# **ACSL** by Example

Towards a Formally Verified Standard Library

Version 19.0.0 for Frama-C 19.0 (Potassium) June 2019

> Jens Gerlach Denis Efremov Tim Sikatzki

## **Former Authors**

Jochen Burghardt, Andreas Carben Robert Clausecker, Liangliang Gu Kerstin Hartig, Timon Lapawczyk Hans Werner Pohl, Juan Soto Kim Völlinger



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This document is hosted at

https://github.com/fraunhoferfokus/acsl-by-example

From there, you can also download the source code of all algorithms discussed here, their contracts, and the employed predicate definitions and lemmas. All examples are developed and proved with the Frama-C/WP [1] plugin.<sup>4</sup> We recommend using the GitHub issue tracker

https://github.com/fraunhoferfokus/acsl-by-example/issues

to report suggestions or errors. Alternatively, you can email them also to

jens.gerlach@fokus.fraunhofer.de



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<sup>&</sup>lt;sup>2</sup>Project duration: 2012-2016, see http://www.stance-project.eu

<sup>&</sup>lt;sup>3</sup>Project duration: 2009–2012

<sup>&</sup>lt;sup>4</sup>There is also full support for the Frama-C/AstraVer plugin which is developed at ISP RAS and can be installed with the instruction available on https://forge.ispras.ru/projects/astraver/wiki

## **Changes**

This release is intended for Frama-C 19.0 (*Potassium*), issued in June 2019.<sup>5</sup>

For changes in previous versions we refer to Appendix B on Page 273.

## New in Version 19.0.0 (Potassium, June 2019)

- Structure of document
  - The document is now structured into several parts.
  - The chapter on classic sorting algorithms has been merged into the chapter on sorting.
  - The various variants of unique\_copy are now grouped into a separate chapter.
- Fix various inconsistencies
  - Change the return types of the logic functions Accumulate, Difference, Capacity, Size, Top from bounded one (e.g., value\_type, size\_type) to integer. A combination of bounded type for a logical function with an arithmetic operations in the logical definitions may lead to inconsistency. This fixes the inconsistencies in the accumulate, stack and stack\_wd examples.
  - Fix an inconsistency in DifferenceRead axiom: restriction on the array size added to premises.

#### • Various improvements

- An important change is the rewriting of the implicit, *axiomatic* definitions of Accumulate, Count, Difference, InnerProduct and UniqueSize logic functions to explicit, *recursive* ones. Accordingly, all axioms in the respective examples have been rewritten as lemmas.
- Generalize CountSectionMonotonic, UnchangedSection lemmas: remove restriction on lower bound for the range.
- Fix typo in postcondition of find.
- Rewrite specifications of remove\_copy and remove examples.
- Rename predicate RemoveCount to RemoveSize.
- Gather all versions of MultisetRetainRest in section on push\_heap.
- Add another figure to highlight simple contract for unique\_copy.
- Adapt Coq proofs to the fact that the Z scope is not available by default.
- New examples
  - Add count 2 example with an inductive predicate instead of a logic function in count.
  - Add merge example.
- Infrastructure
  - Travis-CI configuration for the GitHub repository added as an illustrative example of how the verification results could be reproduced.

<sup>&</sup>lt;sup>5</sup>See https://frama-c.com/download.html

- Add support for Frama-C/AstraVer plugin.

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# Part I.

# **Basics**

## 1. Introduction

This report provides various examples for the formal specification, implementation, and deductive verification of C programs using the ANSI/ISO-C Specification Language (ACSL [2]) and the Frama-C/WP plug-in [1] of Frama-C [3] (Framework for Modular Analysis of C programs).

We have chosen our examples from the C++ Standard Library whose initial version is still known as the *Standard Template Library* (STL). The C++ Standard Library contains a broad collection of *generic* algorithms that work not only on C arrays but also on more elaborate container data structures. For the purposes of this document we have selected representative algorithms, and converted their implementation from C++ function templates to C functions that work on arrays of type int.

We will continue to extend and refine this report by describing additional STL algorithms and data structures. Thus, step by step, this document will evolve from an ACSL tutorial to a report on a formally specified and deductively verified Standard Library for ANSI/ISO-C. Moreover, as ACSL is extended to a C++ specification language, our work may be extended to a deductively verified C++ Standard Library.

We encourage you to check vigilantly whether our formal specifications capture the essence of the informal description of the STL algorithms. We appreciate your feedback<sup>6</sup> and hope that this document helps foster the adoption of deductive verification techniques.

## Acknowledgement

Many members from the Frama-C community provided valuable input and comments during the course of the development of this document. In particular, we wish to thank our project partners Patrick Baudin, Loïc Correnson, Zaynah Dargaye, Florent Kirchner, Virgile Prevosto, and Armand Puccetti from CEA LIST<sup>7</sup> and Pascal Cuoq from TrustInSoft<sup>8</sup>.

We also like to express our gratitude to Claude Marché (LRI/INRIA)<sup>9</sup> and Yannick Moy (AdaCore)<sup>10</sup> for their helpful comments and detailed suggestions for improvement. Finally, we would like to thank Aaron Rocha who sent us valuable improvement suggestions and error reports.

 $<sup>^6</sup>We$  suggest GitHub's issue tracker: https://github.com/fraunhoferfokus/acsl-by-example/issues

<sup>7</sup>http://www-list.cea.fr/en

<sup>8</sup>http://trust-in-soft.com

<sup>9</sup>https://www.lri.fr/index\_en.php?lang=EN

<sup>10</sup>http://www.adacore.com

## 1.1. Frama-C

The Framework for Modular Analyses of C, Frama-C [3], is a suite of software tools dedicated to the analysis of C source code. Its development efforts are conducted and coordinated at two French public institutions: CEA LIST [4], a laboratory of applied research on software-intensive technologies, and INRIA Saclay [5], the French National Institute for Research in Computer Science and Control in collaboration with LRI [6], the Laboratory for Computer Science at Université Paris-Sud.

ACSL (ANSI/ISO-C Specification Language) [2] is a formal language to express behavioral properties of C programs. This language can specify a wide range of functional properties by adding annotations to the code. It allows to create function contracts containing preconditions and postconditions. It is possible to define type and global invariants as well as logic specifications, such as predicates, lemmas, axioms or logic functions. Furthermore, ACSL allows statement annotations such as assertions or loop annotations.

Within Frama-C, the Frama-C/WP plug-in [1] enables deductive verification of C programs that have been annotated with ACSL. The Frama-C/WP plug-in uses Hoare-style weakest precondition computations to formally prove ACSL properties of C code. Verification conditions are generated and submitted to external automatic theorem provers or interactive proof assistants.

The Verification Group at Fraunhofer FOKUS [7] see the great potential for deductive verification using ACSL. However, we recognize that for a novice there are challenges to overcome in order to effectively use the Frama-C/WP plug-in for deductive verification. In order to help users gain confidence, we have written this tutorial that demonstrates how to write annotations for existing C programs. This document provides several examples featuring a variety of annotated functions using ACSL. For an in-depth understanding of ACSL, we strongly recommend users to read the official Frama-C introductory tutorial [8] first. The principles presented in this paper are also documented in the ACSL reference document [9].

## 1.2. Structure of this document

The functions presented in this document were selected from the C++ Standard Library. The original C++ implementation was stripped from its generic implementation and mapped to C arrays of type value\_type.

Chapter 2 provides a short introduction into the Hoare Calculus. For a better understanding of Frama-C/WP and the theory behind it, we also recommend Allan Blanchard's ACSL tutorial [10].

We have grouped various standard algorithms in chapters as follows:

- non-mutating algorithms (Chapter 3)
- maximum/minimum algorithms (Chapter 4)
- binary search algorithms (Chapter 5)
- mutating algorithms (Chapter 6)
- numeric algorithms (Chapter 8)
- heap algorithms (Chapter 9)
- sorting algorithms and well-known classical implementations of sorting algorithms (Chapter 10)

The order of these chapters reflects their increasing complexity.

Using the example of a stack, we tackle in Chapter 11 the problem of how a data type and its associated C functions can be specified with ACSL and automatically verified with Frama-C.

Finally, Appendix A lists for each example the results of verification with Frama-C.

## 1.3. Types, arrays, ranges and valid indices

In order to keep algorithms and specifications as general as possible, we use abstract type names on almost all occasions. We currently defined the following types:

```
typedef int value_type;

typedef unsigned int size_type;

typedef int bool;
```

Programmers who know the types associated with C++ Standard Library containers will not be surprised that value\_type refers to the type of values in an array whereas size\_type will be used for the indices of an array.

This approach allows one to modify, say, an algorithm working on an **int** array to work on a **char** array by changing only one line of code, viz. the **typedef** of value\_type. Moreover, we believe in better readability as it becomes clear whether a variable is used as an index or as a memory for a copy of an array element, just by looking at its type.

The latter reason also applies to the use of **bool**. To denote values of that type, we defined the identifiers **false** and **true** to be 0 and 1, respectively. While any non-zero value is accepted to denote **true** in ACSL like in C the algorithms shown in this tutorial will always produce 1 for **true**. Due to the above definitions, the ACSL truth-value constant \false and \true can be used interchangeably with our **false** and **true**, respectively, in ACSL clauses, but not in C code.

## 1.3.1. Array and ranges

The C Standard describes an array as a "contiguously allocated nonempty set of objects" [11,  $\S6.2.5.20$ ]. If n is a constant integer expression with a value greater than zero, then

```
int a[n];
```

describes an array of type **int**. In particular, for each i that is greater than or equal to 0 and less than n, we can dereference the pointer a+i.

Let the following prototype represent a function, whose first argument is the address to a range and whose second argument is the length of this range.

```
void example(value_type* a, size_type n);
```

To be very precise, we have to use the term range instead of array. This is due to the fact, that functions may be called with empty ranges, i.e., with n = 0. Empty arrays, however, are not permitted according to the definition stated above. Nevertheless, we often use the term array and range interchangeably.

## 1.3.2. Specification of valid ranges in ACSL

The following ACSL fragment expresses the precondition that the function example expects that for each i, such that  $0 \le i \le n$ , the pointer a+i may be safely dereferenced.

```
/*@
    requires 0 <= n;
    requires \valid(a + (0.. n-1));
*/
void example(value_type* a, size_type n);</pre>
```

In this case we refer to each index i with  $0 \le i \le n$  as a valid index of a.

ACSL's built-in predicates  $\valid(a + (0.. n))$  and  $\valid_read(a + (0.. n))$  refer to all addresses a+i where  $0 \le i \le n$ . However, the array notation **int** a [n] of the C programming language refers only to the elements a+i where i satisfies  $0 \le i \le n$ . Users of ACSL must therefore use the range notation a+(0.. n-1) in order to express a valid array of length n.

## 2. The Hoare calculus

In 1969, C.A.R. Hoare introduced a calculus for formal reasoning about properties of imperative programs [12], which became known as "Hoare Calculus".

The basic notion is

```
//@ assert P;
Q;
//@ assert R;
```

where P and R denote logical expressions and Q denotes a source-code fragment. Informally, this means

*If* P *holds before the execution of* Q, *then* R *will hold after the execution.* 

Usually, P and R are called *precondition* and *postcondition* of Q, respectively. The syntax for logical expressions is described in  $[9, \S 2.2]$  in full detail. For the purposes of this tutorial, the notions shown in Table 2.1 are sufficient. Note that they closely resemble the logical and relational operators in C.

| ACSL syntax         | Name                   | Reading                                      |  |
|---------------------|------------------------|--|--|
| !P                  | negation               | P is not true                                |  |
| P && Q              | conjunction            | P is true and Q is true                      |  |
| P    Q              | disjunction            | P is true or Q is true                       |  |
| P ==> Q             | implication            | if P is true, then Q is true                 |  |
| P <==> Q            | equivalence            | if, and only if, P is true, then Q is true   |  |
| x < y == z          | relation chain         | x is less than y and y is equal to z         |  |
| \forall int x; P(x) | universal quantifier   | P(x) is true for every <b>int</b> value of x |  |
| \exists int x; P(x) | existential quantifier | P(x) is true for some <b>int</b> value of x  |  |

Table 2.1.: Some ACSL formula syntax

Here we show three example source-code fragments and annotations.

```
//@ assert x \% 2 == 1;

++x;

//@ assert x \% 2 == 0;

If x has an odd value before execution of the code ++x then x has an even value thereafter.
```

```
//@ assert 0 <= x <= y;

++x;

//@ assert 0 <= x <= y + 1;

If the value of x is in the range \{0, ..., y\} before execution of the same code, then x's value is in the range \{0, ..., y + 1\} after execution.
```

```
//@ assert true;
while (--x != 0)
    sum += a[x];
//@ assert x == 0;
Under any circumstances, the value of x is zero after execution of the loop code.
```

Any C programmer will confirm that these properties are valid. <sup>11</sup> The examples were chosen to demonstrate also the following issues:

- For a given code fragment, there does not exist one fixed pre- or postcondition. Rather, the choice of formulas depends on the actual property to be verified, which comes from the application context. The first two examples share the same code fragment, but have different pre- and postconditions.
- The postcondition need not be the most restricting possible formula that can be derived. In the second example, it is not an error that we stated only that 0 <= x although we know that even 1 <= x.
- In particular, pre- and postconditions need not contain all variables appearing in the code fragment. Neither sum nor a [] is referenced in the formulas of the loop example.
- We can use the predicate **true** to denote the absence of a properly restricting precondition, as we did before the **while** loop.
- It is not possible to express by pre- and postconditions that a given piece of code will always terminate. The loop example only states that *if* the loop terminates, then x == 0 will hold. In fact, if x has a negative value on entry, the loop will run forever. However, if the loop terminates, x == 0 will hold, and that is what the loop example claims.

Usually, termination issues are dealt with separately from correctness issues. Termination proofs may, however, refer to properties stated (and verified) using the Hoare Calculus.

Hoare provided the rules shown in Listing 2.2 to 2.12 in order to reason about programs. We will comment on them in the following sections.

<sup>&</sup>lt;sup>11</sup>We leave the important issues of overflow aside for a moment.

## 2.1. The assignment rule

We start with the rule that is probably the least intuitive of all Hoare-Calculus rules, viz. the assignment rule. It is depicted in Listing 2.2, where

$$P\{x \mapsto e\}$$

denotes the result of substituting each occurrence of the variable x in the predicate P by the expression e.

```
//@ assert P {x |--> e};
x = e;
//@ assert P;
```

Listing 2.2: The assignment rule

For example, if P is the predicate

```
x > 0 \&\& a[2*x] == 0
```

then  $P\{x \mapsto y + 1\}$  is the predicate

```
y+1 > 0 && a[2*(y+1)] == 0
```

Hence, we get Listing 2.3 as an example instance of the assignment rule. Note that parentheses are required in the index expression to get the correct 2\*(y+1) rather than the faulty 2\*y+1.

```
//@ assert y+1 > 0 && a[2*(y+1)] == 0;
x = y+1;
//@ assert x > 0 && a[2*x] == 0;
```

Listing 2.3: An assignment rule example instance

Note that after a substitution several different predicates P may result in the same predicate  $P\{x \mapsto e\}$ . For example, after applying the substitution  $P\{x \mapsto y + 1\}$  each of the following four predicates

```
x > 0 \&\& a[2*x] == 0

x > 0 \&\& a[2*(y+1)] == 0

y+1 > 0 \&\& a[2*x] == 0

y+1 > 0 \&\& a[2*(y+1)] == 0
```

turns into

```
y+1 > 0 \&\& a[2*(y+1)] == 0
```

For this reason, the same precondition and statement may result in several different postconditions (All four above expressions are valid postconditions in Listing 2.3, for example). However, given a postcondition and a statement, there is only one precondition that corresponds.

When first confronted with Hoare's assignment rule, most people are tempted to think of a simpler and more intuitive alternative, shown in Listing 2.4.

```
//@ assert P;
x = e;
//@ assert P && x == e;
```

Listing 2.4: Simpler, but faulty assignment rule

Listings 2.5–2.7 show some example instances of this faulty rule.

```
//@ assert y > 0;
x = y+1;
//@ assert y > 0 && x == y+1;
```

Listing 2.5: An example instance of the faulty rule from Listing 2.4

While Listing 2.5 happens to be ok, Listing 2.6 and 2.7 lead to postconditions that are obviously nonsensical formulas.

```
//@ assert true;
x = x+1;
//@ assert x == x+1;
```

Listing 2.6: An example instance of the faulty rule from Listing 2.4

The reason is that in the assignment in Listing 2.6 the left-hand side variable  $\times$  also appears in the right-hand side expression  $\oplus$ , while the assignment in Listing 2.7 just destroys the property from its precondition.

```
//@ assert x < 0;
x = 5;
//@ assert x < 0 && x == 5;
```

Listing 2.7: An example instance of the faulty rule from Listing 2.4

Note that the correct example Listing 2.5 can as well be obtained as an instance of the correct rule from Listing 2.2, since replacing x by y+1 in its postcondition yields y > 0 && y+1 == y+1 as precondition, which is logically equivalent to just y > 0.

## 2.2. The sequence rule

The sequence rule, shown in Listing 2.8, combines two code fragments Q and S into a single one Q; S. Note that the postcondition for Q must be identical to the precondition of S. This just reflects the sequential execution ("first do Q, then do S") on a formal level. Thanks to this rule, we may "annotate" a program with interspersed formulas, as it is done in Frama-C.

```
//@ assert P;
Q;
//@ assert R;

and
//@ assert R;

//@ assert P;
Q; S;
//@ assert T;

//@ assert P;
Q; S;
//@ assert T;
```

Listing 2.8: The sequence rule

## 2.3. The implication rule

The implication rule, shown in Listing 2.9, allows us at any time to sharpen a precondition P and to weaken a postcondition P. More precisely, if we know that P' ==> P and P ==> P then the we can replace the left contract in of Listing 2.9 by the right one.

```
//@ assert P;
Q;
//@ assert R;

//@ assert P';
Q;
//@ assert R';
```

Listing 2.9: The implication rule

## 2.4. The choice rule

The choice rule, depicted in Listing 2.10, is needed to verify conditional statements of the form

```
if (C) X;
else Y;
```

Both the then and else branch must establish the same postcondition, viz. S. The implication rule can be used to weaken differing postconditions S1 of a then-branch and S2 of an else-branch into a unified postcondition S1  $\mid \mid$  S2, if necessary. In each branch, we may use what we know about the condition C. For example, in the else-branch, we may use that C is false. If the else-branch is missing, it can be considered as consisting of an empty sequence, having the postcondition P && !C.

```
//@ assert P && C;
X;
//@ assert P && !C;
Y;
//@ assert S;

//@ assert P;
if (C) X;
else Y;
//@ assert S;
```

Listing 2.10: The choice rule

Listing 2.11 shows an example application of the choice rule.

```
//@ assert 0 <= i < n;
                                // given precondition
if (i < n-1) {
  //@ assert 0 <= i < n - 1;
                                // using that i < n-1 holds in this branch</pre>
                                // by the implication rule
  //@ assert 1 <= i+1 < n;
  i = i+1;
                                // by the assignment rule
  //@ assert 1 <= i < n;
                                // weakened by the implication rule
  //@ assert 0 <= i < n;
} else {
  //@ assert 0 <= i == n-1 < n; // using that !(i < n-1) holds in else part
  //@ assert 0 == 0 && 0 < n;
                                // weakened by the implication rule
  i = 0;
  //@ assert i == 0 && 0 < n;
                                // by the assignment rule
  //@ assert 0 <= i < n;
                                // weakened by the implication rule
                                // by the choice rule from both branches
//@ assert 0 <= i < n;
```

Listing 2.11: An example application of the choice rule

The variable i may be used as an index into a ring buffer **int** a[n]. The shown code fragment just advances the index i appropriately. We verified that i remains a valid index into a[] provided it was valid before. Note the use of the implication rule to establish preconditions for the assignment rule as needed, and to unify the postconditions of the then and else branches, as required by the choice rule.

## 2.5. The loop rule

The loop rule, shown in Listing 2.12, is used to verify a **while** loop. This requires to find an appropriate formula, P, which is preserved by each execution of the loop body. P is also called a loop invariant.

```
//@ assert P;
//@ assert P;
while (B) {
    S;
//@ assert P;
    while (B) {
        S;
        //@ assert !B && P;
        //@ assert !B && P;
```

Listing 2.12: The loop rule

To find it requires some intuition in many cases; for this reason, automatic theorem provers usually have problems with this task.

As said above, the loop rule does not guarantee that the loop will always eventually terminate. It merely assures us that, if the loop has terminated, the postcondition holds. To emphasize this, the properties verifiable with the Hoare Calculus are usually called "partial correctness" properties, while properties that include program termination are called "total correctness" properties.

As an example application, let us consider an abstract ring-buffer. Listing 2.13 shows a verification proof for the index i lying always within the valid range [0..n-1] during, and after, the loop. It uses the proof from Listing 2.11 as a sub-part.

```
//@ assert 0 < n;
                                    // given precondition
int i = 0;
//@ assert 0 <= i < n;
                                    // by the assignment rule
while (!done) {
  //@ assert 0 <= i < n && !done;
                                    // may be assumed by the loop rule
  a[i] = getchar();
  //@ assert 0 <= i < n && !done;
                                    // required property of getchar
  //@ assert 0 <= i < n;
                                    // weakened by the implication rule
  i = (i < n-1) ? i+1 : 0;
                                    // follows by the choice rule
  //@ assert 0 <= i < n;
  process(a, i, &done);
                                    // required property of process
  //@ assert 0 <= i < n;
//@ assert 0 <= i < n;
                                    // by the loop rule
```

Listing 2.13: An abstract ring buffer loop

To reuse the proof from Listing 2.11, we had to drop the conjunct !done, since we didn't consider it in Listing 2.11. In general, we may *not* infer

```
//@ assert P && S;
Q;
//@ assert R && S;

from

//@ assert P;
Q;
//@ assert R;
```

since the code fragment Q may just destroy the property S.

This is obvious for Q being the fragment from Listing 2.11, and S being e.g. i != 0.

Suppose for a moment that process had been implemented in a way such that it refuses to set done to **true** unless it is **false** at entry. In this case, we would really need that ! done still holds after execution of Listing 2.11. We would have to do the proof again, looping-through an additional conjunct ! done.

We have similar problems to carry the property  $0 \le i \le n \&\& !$  done and  $0 \le i \le n$  over the statement a[i] = getchar() and process(a, i, &done), respectively. We need to specify that neither getchar nor process is allowed to alter the value of i or n. In ACSL, there is a particular language construct assigns for that purpose, which is introduced in Section 6.4 on Page 93.

In our example, the loop invariant can be established between any two statements of the loop body. However, this need not be the case in general. The loop rule only requires the invariant holds before the loop and at the end of the loop body. For example, process could well change the value of  $i^{12}$  and even n intermediately, as long as it re-establishes the property 0 <= i < n immediately prior to returning.

The loop invariant, 0 <= i < n, is established by the proof in Listing 2.11 also after termination of the loop. Thus, e.g., a final a  $[i] = ' \setminus 0'$  after the loop would be guaranteed not to lead to a bounds violation.

Even if we would need the property 0 <= i < n to hold only immediately before the assignment a [i] = getchar(), for example since process's body didn't use a or i, we would still have to establish 0 <= i < n as a loop invariant by the loop rule, since there is no other way to obtain any property inside a loop body. Apart from this formal reason it is obvious that 0 <= i < n wouldn't hold during the second loop iteration unless we re-established it at the end of the first one, and that is just what the while rule requires.

<sup>&</sup>lt;sup>12</sup>We would have to change the call to process (a, &i, &done) and the implementation of process appropriately. In this case we couldn't rely on the above-mentioned assigns clause for process.

## 2.6. Derived rules

The above rules do not cover all kinds of statements allowed in C. However, missing C-statements can be rewritten into a form that is semantically equivalent and covered by the Hoare rules.

For example, if the expression E doesn't have side-effects, then

```
switch (E) {
    case E1: Q1; break; ...
    case En: Qn; break;
    default: Q0; break;
}
```

is semantically equivalent to

```
if (E == E1) {
    Q1;
} else ... if (E == En) {
    Qn;
} else {
    Q0;
}
```

While the **if-else** form is usually slower in terms of execution speed on a real computer, this doesn't matter for verification purposes, which are separate from execution issues.

Similarly, a loop statement of the form

```
for (P; Q; R) {
   S;
}
```

can be re-expressed as

```
P;
while (Q) {
    S;
    R;
```

and so on.

It is then possible to derive a Hoare rule for each kind of statement not previously discussed, by applying the classical rules to the corresponding re-expressed code fragment. However, we do not present these derived rules here

Although procedures cannot be re-expressed in the above way if they are (directly or mutually) recursive, it is still possible to derive Hoare rules for them. This requires the finding of appropriate "procedure invariants" similar to loop invariants. Non-recursive procedures can, of course, just be inlined to make the classical Hoare rules applicable.

Note that **goto** cannot be rewritten in the above way; in fact, programs containing **goto** statements cannot be verified with the Hoare Calculus. See [13] for a similar calculus that can deal with arbitrary flowcharts, and hence arbitrary jumps. In fact, Hoare's work was based on that calculus. Later calculi inspired from Hoare's work have been designed to re-integrate support for arbitrary jumps. However, in this tutorial, we will not discuss example programs containing a **goto**.

## Part II.

# Nonmutating and simple search algorithms

## 3. Non-mutating algorithms

In this chapter, we consider *non-mutating* algorithms of the C++ Standard Library [14, §25.2]. These algorithms neither change their arguments nor any objects outside their scope. This requirement can be formally expressed with the following *assigns clause*:

```
assigns \nothing;
```

Each algorithm in this chapter therefore uses this assigns clause in its specification.

The specifications of these algorithms are not very complex. Nevertheless, we have tried to arrange them so that the earlier examples are simpler than the later ones. Each algorithm works on one-dimensional arrays.

- find (Section 3.1 on Page 34) provides *sequential* or *linear search* and returns the smallest index at which a given value occurs in a given range. In Section 3.2, on Page 36, a predicate is introduced in order to simplify the specification. We refer to the simplified version as find2.
- find\_first\_of (Section 3.3, on Page 38) provides similar to find a *sequential search*. However, unlike find it does not search for a particular value, but for an arbitrary member of a set.
- adjacent\_find (Section 3.4 on Page 40) can be used to find equal neighbors in an array.
- equal and mismatch (Section 3.5 on Page 42) are useful for comparing two ranges element-byelement and identifying where they differ.
- search and search\_n (Sections 3.6 and 3.7) find a subsequence that is identical to a given sequence when compared element-by-element and returns the position of the first occurrence.
- count (Section 3.9, on Page 54) returns the number of occurrences of a given value in a range. Here we will explicitly define a logic function for elements counting and show that the implementation comply with it.
- count2 (Section 3.10, on Page 58) contains different specification for the count function. In this case an inductive predicate defined for elements counting. The section allows one to compare different approaches of writing specifications and demonstrates the ACSL inductive predicates.

## 3.1. The find algorithm

The find algorithm in the C++ Standard Library [14, §25.2.5] implements *sequential search* for general sequences. We have modified the generic implementation, which relies heavily on C++ templates, to that of a range of type value\_type. The signature now reads:

```
size_type find(const value_type* a, size_type n, value_type val);
```

The function find returns the least *valid* index i of a where the condition a[i] == val holds. If no such index exists then find returns the length n of the array.

As an example, we consider in Figure 3.1 an array. The arrows indicate which indices will be returned by find for a given value. Note that the index 9 points *one past end* of the array. Values that are not contained in the array are colored in gray.

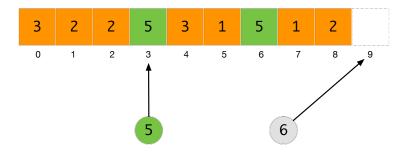


Figure 3.1.: Some simple examples for find

## 3.1.1. Formal specification of find

The formal specification of find in ACSL is shown in Listing 3.2.

```
/ * @
  requires \valid_read(a + (0..n-1));
            \nothing;
  assigns
  ensures
            0 <= \result <= n;</pre>
  behavior some:
    assumes \exists integer i; 0 <= i < n && a[i] == val;</pre>
    ensures 0 <= \result < n;</pre>
    ensures a[\result] == val;
    ensures \forall integer i; 0 <= i < \result ==> a[i] != val;
 behavior none:
    assumes \forall integer i; 0 <= i < n ==> a[i] != val;
    ensures \result == n;
  complete behaviors;
  disjoint behaviors;
size_type
find(const value_type* a, size_type n, value_type val);
```

Listing 3.2: Formal specification of find

The requires-clause indicates that n is non-negative and that the pointer a points to n contiguously allocated objects of type value\_type (see Section 1.3). The assigns-clause indicates that find (as a non-mutating algorithm), does not modify any memory location outside its scope (see Page 33).

Generally, we only know that find returns a non-negative index that is less or equal the length of the array. However, once we assume more specific situations, we can also make more precise statements about the returned valued. This is the reason why we have subdivided the specification of find into two behaviors (named some and none).

- The behavior some applies if the sought-after value is contained in the array. We express this condition by using the assumes-clause. The next line expresses that if the assumptions of the behavior are satisfied then find will return a valid index. The algorithm also ensures that the returned (valid) index i, a[i] == val holds. Therefore we define this property in the second postcondition of behavior some. Finally, it is important to express that find returns the smallest index i for which a[i] == val holds (see last postcondition of behavior some).
- The behavior none covers the case that the sought-after value is *not* contained in the array (see assumes-clause of behavior none in Listing 3.2). In this case, find must return the length n of the range a.

Note that the formula in the assumes-clause of the behavior some is the negation of the assumes-clause of the behavior none. Therefore, we can express that these two behaviors are *complete* and *disjoint*.

## 3.1.2. Implementation of find

Listing 3.3 shows a straightforward implementation of find. The only noteworthy elements of this implementation are the *loop annotations*.

```
size_type
find(const value_type* a, size_type n, value_type val)
{
    /*@
    loop invariant 0 <= i <= n;
    loop invariant \forall integer k; 0 <= k < i ==> a[k] != val;
    loop assigns i;
    loop variant n-i;
    */
    for (size_type i = 0u; i < n; i++) {
        if (a[i] == val) {
            return i;
        }
    }
    return n;
}</pre>
```

Listing 3.3: Implementation of find

The first loop *invariant* is needed to prove that accesses to a only occur with valid indices. The second loop *invariant* is needed for the proof of the postconditions of the behavior some (see Listing 3.2). It expresses that for each iteration the sought-after value is not yet found up to that iteration step.

Finally, the loop *variant* n-i is needed to generate correct verification conditions for the termination of the loop.

## 3.2. The find algorithm reconsidered

In this section we specify the find algorithm in a slightly different way when compared to Section 3.1. Our approach is motivated by a considerable number of closely related formulas. We have in Listings 3.2 and 3.3 the following formulas

| \exists | integer | i; | 0 | <= | i | < | n       | & & | a[i] | ==  | val; |
|---------|---------|----|---|----|---|---|---------|-----|------|-----|------|
| \forall | integer | i; | 0 | <= | i | < | \result | ==> | a[i] | ! = | val; |
| \forall | integer | i; | 0 | <= | i | < | n       | ==> | a[i] | ! = | val; |
| \forall | integer | k; | 0 | <= | k | < | i       | ==> | a[k] | ! = | val; |

Note that the first formula is the negation of the third one.

## 3.2.1. The predicate HasValue

In order to be more explicit about the commonalities of these formulas we define a predicate, called HasValue (see Listing 3.4), which describes the situation that there is a valid index i where a[i] equals val.

```
/*@
  predicate
   HasValue{A} (value_type* a, integer m, integer n, value_type v) =
      \exists integer i; m <= i < n && a[i] == v;

predicate
   HasValue{A} (value_type* a, integer n, value_type v) =
      HasValue(a, 0, n, v);
*/</pre>
```

Listing 3.4: The predicate HasValue

Note that we needed to provide a label, viz. A, to the predicate, since the evaluation the predicate depends on a memory state, viz. the contents of a [0.n-1]. In general, we have to write

```
\exists integer i; 0 <= i < n && \at(a[i],A) == v;
```

in order to express that we refer to the value a[i] in the program state A. However, ACSL allows to abbreviate  $\hat{a[i]}$ , A) by a[i] if, as in HasValue, the label A is the only available label.

With this predicate we can encapsulate all uses of the universal and existential quantifiers in both the function contract of find and in its loop annotations. The result is shown in Listings 3.5 and 3.6.

#### 3.2.2. Formal specification of find

Th revised contract for find in Listing 3.5 is more concise than the previous one in Listing 3.2. In particular, it can be seen immediately that the conditions in the assumes clauses of the two behaviors some and none are mutually exclusive since one is the literal negation of the other. Moreover, the requirement that find returns the smallest index can also be expressed using the HasValue predicate, as depicted with the last postcondition of behavior some shown in Listing 3.5.

```
/ * @
           valid: \valid_read(a + (0..n-1));
 requires
 assigns
            \nothing;
           result: 0 <= \result <= n;
 behavior some:
   assumes HasValue(a, n, val);
   ensures bound: 0 <= \result < n;</pre>
   ensures result: a[\result] == val;
   ensures first: !HasValue(a, \result, val);
 behavior none:
   assumes !HasValue(a, n, val);
   ensures result: \result == n;
 complete behaviors;
 disjoint behaviors;
size_type
find2(const value_type* a, size_type n, value_type val);
```

Listing 3.5: Formal specification of find using the HasValue predicate

We also enriched the specification of find by user-defined names (sometimes called *labels*, too, the distinction to program state identifiers being obvious) to refer to the requires and ensures clauses. We highly recommend this practice in particular for more complex annotations. For example, Frama-C can be instructed to verify only clauses with a given name.

#### 3.2.3. Implementation of find

The predicate HasValue is also used in the loop annotation inside the implementation of find. Note that, as in the case of the specification, we use labels to name individual annotations.

```
size_type
find2(const value_type* a, size_type n, value_type val)
{
    /*@
    loop invariant bound:    0 <= i <= n;
    loop invariant not_found: !HasValue(a, i, val);
    loop assigns i;
    loop variant n-i;
    */
    for (size_type i = 0u; i < n; i++) {
        if (a[i] == val) {
            return i;
        }
    }
    return n;
}</pre>
```

Listing 3.6: Implementation of find with loop annotations based on HasValue

## 3.3. The find\_first\_of algorithm

The find\_first\_of algorithm [14, §25.2.7] is closely related to find (see Sections 3.1 and 3.2).

Like find, it performs a sequential search. However, while find searches for a particular value, the function find\_first\_of returns the least index i such that a[i] is equal to one of the values b[0..n-1].

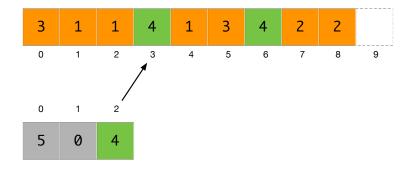


Figure 3.7.: A simple example for find\_first\_of

As an example, we consider in Figure 3.7 two arrays. The arrow indicates the smallest index where one of the elements of the three-element array occurs.

#### 3.3.1. The predicate HasValueOf

Similar to our approach in Section 3.2, we define a predicate HasValueOf that formalizes the fact that there are valid indices i and j of the respective arrays a and b such that a[i] == b[j] holds. We have chosen to reuse the predicate HasValueOf (Listing 3.4) to define HasValueOf (Listing 3.8).

```
/*@
   predicate
    HasValueOf{A}(value_type* a, integer m, value_type* b, integer n) =
    \exists integer i; 0 <= i < m && HasValue{A}(b, n, a[i]);
*/</pre>
```

Listing 3.8: The predicate HasValueOf

#### 3.3.2. Formal specification of find\_first\_of

The formal specification of find\_first\_of is shown Listing 3.9. The function contract uses the predicates HasValueOf and HasValue thereby making it very similar the specification find (Listing 3.5).

```
/ * @
 requires valid: \valid_read(a + (0..m-1));
 requires valid: \valid_read(b + (0..n-1));
 assigns \nothing;
 ensures result: 0 <= \result <= m;</pre>
 behavior found:
   assumes HasValueOf(a, m, b, n);
   ensures bound: 0 <= \result < m;</pre>
   ensures result: HasValue(b, n, a[\result]);
    ensures first: !HasValueOf(a, \result, b, n);
 behavior not_found:
    assumes !HasValueOf(a, m, b, n);
    ensures result: \result == m;
 complete behaviors;
 disjoint behaviors;
size_type
find_first_of(const value_type* a, size_type m,
              const value_type* b, size_type n);
```

Listing 3.9: Formal specification of find\_first\_of

#### 3.3.3. Implementation of find\_first\_of

Our implementation of find\_first\_of is shown in Listing 3.10.

Listing 3.10: Implementation of find\_first\_of

Note the call of the find function. We opted for an implementation of find\_first\_of that emphasizes reuse. Besides, leading to a more concise implementation, we also have to write fewer loop annotations.

# 3.4. The adjacent\_find algorithm

The adjacent\_find algorithm of the C++ Standard Library [14, §25.2.8]

```
size_type adjacent_find(const value_type* a, size_type n);
```

returns the smallest valid index i, such that i+1 is also a valid index and such that

```
a[i] == a[i+1]
```

holds. The adjacent\_find algorithm returns n if no such index exists.

The arrow in Figure 3.11 indicates the smallest index where two adjacent elements are equal.

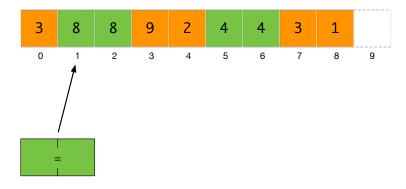


Figure 3.11.: A simple example for adjacent\_find

#### 3.4.1. The predicate HasEqualNeighbors

As in the case of other search algorithms, we first define a predicate <code>HasEqualNeighbors</code> (see Listing 3.12) that captures the essence of finding two adjacent indices at which the array holds equal values.

```
/*@
  predicate
    HasEqualNeighbors{L} (value_type* a, integer n) =
        \exists integer i; 0 <= i < n-1 && a[i] == a[i+1];
*/</pre>
```

Listing 3.12: The predicate HasEqualNeighbors

#### 3.4.2. Formal specification of adjacent\_find

We use the predicate HasEqualNeighbors to define the formal specification of adjacent\_find (see Listing 3.13).

```
/ * @
 requires valid: \valid_read(a + (0..n-1));
 assigns \nothing;
 ensures result: 0 <= \result <= n;</pre>
 behavior some:
   assumes HasEqualNeighbors(a, n);
    ensures result: 0 <= \result < n-1;</pre>
    ensures adjacent: a[\result] == a[\result+1];
    ensures first:
                       !HasEqualNeighbors(a, \result);
 behavior none:
   assumes !HasEqualNeighbors(a, n);
    ensures result:
                       \result == n;
 complete behaviors;
 disjoint behaviors;
size_type
adjacent_find(const value_type* a, size_type n);
```

Listing 3.13: Formal specification of adjacent\_find

#### 3.4.3. Implementation of adjacent\_find

The implementation of adjacent\_find, including loop annotations is shown in Listing 3.14. At the beginning we check whether the array contains at least two elements. Otherwise, there is no point in looking for adjacent neighbors ...

```
size_type
adjacent_find(const value_type* a, size_type n)
{
   if (n > 1u) {
        /*@
        loop invariant bound: 0 <= i < n;
        loop invariant none: !HasEqualNeighbors(a, i+1);
        loop assigns i;
        loop variant n-i;
        */
        for (size_type i = 0u; i + 1u < n; ++i) {
            if (a[i] == a[i + 1u]) {
                return i;
            }
        }
    }
   return n;
}</pre>
```

Listing 3.14: Implementation of adjacent\_find

Note the use of the predicate HasEqualNeighbors in the loop invariant to match the similar postcondition of behavior some.

## 3.5. The equal and mismatch algorithms

The equal [14, §25.2.11] and mismatch [14, §25.2.10] algorithms in the C++ Standard Library compare two generic sequences. For our purposes we have modified the generic implementation to that of an array of type value\_type. The signatures read

The function equal returns true if and only if a[i] == b[i] holds for each  $0 \le i \le n$ . Otherwise, equal returns false.

The mismatch algorithm is slightly more general than the negation of equal: it returns the smallest index where the two ranges a and b differ. If no such index exists, that is, if both ranges are equal, then mismatch returns the (common) length n of the two ranges.

#### 3.5.1. The EqualRanges predicate

The fact that two arrays a[0] ... a[n-1] and b[0] ... b[n-1] are equal when compared element by element, is a property we might need again in other specifications, as it describes a very basic property.

The motto *don't repeat yourself* is not just good programming practice.<sup>13</sup> It is also true for concise and easy to understand specifications. We will therefore introduce specification elements that we can apply to the equal algorithm as well as to other specifications and implementations with the described property.

We start with introducing in Listing 3.15 several *overloaded* versions of the predicate EqualRanges.

Listing 3.15: Overloaded versions of predicate EqualRanges

The letters K and L in the definition of EqualRanges are so-called *labels*<sup>14</sup> that refer to program states in which the ranges a [..] and b [..] are evaluated. Frama-C defines several standard labels, e.g. Old and Post, a programmer can use to refer to the pre-state or post-state, respectively, of a function. For more details on labels we refer to the ACSL specification [9,  $\S 2.6.9$ ].

<sup>&</sup>lt;sup>13</sup>Compare http://en.wikipedia.org/wiki/Don't\_repeat\_yourself

<sup>&</sup>lt;sup>14</sup>Labels are used in C to name the target of the *goto* jump statement.

#### 3.5.2. Formal specification of equal and mismatch

Using predicate EqualRanges we can formulate the specification of equal in Listing 3.16, using the predefined label Here. When used in an ensures clause, the label Here refers to the post-state of a function. Note that the equivalence is needed in the ensures clause. Putting an equality instead is not legal in ACSL, because EqualRanges is a predicate, not a function.

```
/*@
  requires valid: \valid_read(a + (0..n-1));
  requires valid: \valid_read(b + (0..n-1));

  assigns \nothing;

  ensures result: \result <==> EqualRanges{Here, Here}(a, n, b);
  */
  bool
  equal(const value_type* a, size_type n, const value_type* b);
```

Listing 3.16: Formal specification of equal

```
/*@
  requires valid: \valid_read(a + (0..n-1));
  requires valid: \valid_read(b + (0..n-1));

  assigns \nothing;

  ensures result: 0 <= \result <= n;

  behavior all_equal:
    assumes EqualRanges{Here, Here}(a, n, b);
    ensures result: \result == n;

  behavior some_not_equal:
    assumes !EqualRanges{Here, Here}(a, n, b);
    ensures bound: 0 <= \result < n;
    ensures result: a[\result] != b[\result];
    ensures first: EqualRanges{Here, Here}(a, \result, b);

  complete behaviors;
  disjoint behaviors;

*/
size_type
mismatch(const value_type* a, size_type n, const value_type* b);</pre>
```

Listing 3.17: Formal specification of mismatch

The formal specification of mismatch in Listing 3.17 is more complex than that of equal because the return value of mismatch provides more information than just reporting whether the two arrays are equal. On the other, the specification is conceptually quite similar to that of find (Listing 3.5). While find returns the smallest index i where a[i] = val holds, mismatch finds the smallest index a[i] != b[i].

Note in particular the use of EqualRanges in the specification of mismatch. As in the specification of find the completeness and disjointness of mismatch's behaviors is quite obvious, because the assumes clauses of all\_equal and some\_not\_equal are negations of each other.

#### 3.5.3. Implementation of equal and mismatch

Listing 3.18 shows an implementation of the equal algorithm by a simple call of mismatch.

```
bool
equal(const value_type* a, size_type n, const value_type* b)
{
  return mismatch(a, n, b) == n;
}
```

Listing 3.18: Implementation of equal with mismatch

Listing 3.19 shows an implementation of mismatch that we have enriched with some loop annotations to support the deductive verification.

```
size_type
mismatch(const value_type* a, size_type n, const value_type* b)
{
    /*@
    loop invariant bound: 0 <= i <= n;
    loop invariant equal: EqualRanges{Here, Here}(a, i, b);
    loop assigns i;
    loop variant n-i;
    */
    for (size_type i = 0u; i < n; i++) {
        if (a[i] != b[i]) {
            return i;
        }
    }
    return n;
}</pre>
```

Listing 3.19: Implementation of mismatch

We use the predicate EqualRanges in order to express that all indices k that are less than the current index i satisfy the condition a[k] == b[k]. This is necessary to prove that mismatch indeed returns the smallest index where the two ranges differ.

## 3.6. The search algorithm

The search algorithm in the C++ Standard Library [14, §25.2.13] finds a subsequence that is identical to a given sequence when compared element-by-element. For our purposes we have modified the generic implementation to that of an array of type value\_type. The signature now reads:

The function search returns the first index s of the array a where the condition a[s+k] == b[k] holds for each index k with 0 <= k < n (see Figure 3.20). If no such index exists, then search returns the length m of the array a.

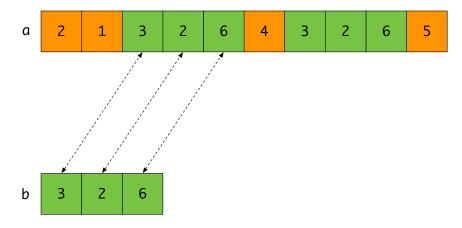


Figure 3.20.: Searching the first occurrence of b [0..n-1] in a [0..m-1]

#### 3.6.1. The predicate HasSubRange

Our specification of search starts with introducing the predicate HasSubRange in Listing 3.21. This predicate formalizes, using the predicate EqualRanges defined in Listing 3.15, that the sequence a contains a subsequence which equal the sequence b. Of course, in order to contain a subsequence of length n, a must be at least that large; this is expressed by lemma HasSubRangeSizes.

```
/*@
predicate
   HasSubRange{A} (value_type* a, integer f, integer l, value_type* b, integer n) =
   \exists integer k; (f <= k <= l-n) && EqualRanges{A,A} (a+k, n, b);

predicate
   HasSubRange{A} (value_type* a, integer m, value_type* b, integer n) =
        HasSubRange{A} (a, 0, m, b, n);

lemma
   HasSubRangeSizes:
   \forall value_type *a, *b, integer f, t, n;
        HasSubRange(a, f, t, b, n) ==> n <= t-f;
*/</pre>
```

Listing 3.21: The predicate HasSubRange

#### 3.6.2. Formal specification of search

The ACSL specification of search is shown in Listing 3.22. Conceptually, the specification of search is very similar to that of find (Section 3.1). We therefore use again two behaviors to capture the essential aspects of search. The behavior has\_match applies if the sequence a contains a subsequence identical to b. We express this condition with assumes using the predicate HasSubRange.

```
requires \valid_read(a + (0..m-1));
  requires \valid_read(b + (0..n-1));
  assigns \nothing;
  ensures result: 0 <= \result <= m;</pre>
 behavior has_match:
    assumes HasSubRange(a, 0, m, b, n);
    ensures bound: 0 <= \result <= m-n;</pre>
    ensures result: EqualRanges{Here, Here} (a+\result, n, b);
    ensures first: !HasSubRange(a, 0, \result+n-1, b, n);
 behavior no_match:
    assumes !HasSubRange(a, 0, m, b, n);
    ensures result: \result == m;
  complete behaviors;
 disjoint behaviors;
size_type
search(const value_type* a, size_type m,
       const value_type* b, size_type n);
```

Listing 3.22: Formal specification of search

The ensures clause bound of behavior has\_match indicates that the return value must be in the range 0... m-n. The clause result expresses that search returns an index where a copy of b can be found in a. Clause first indicates that the least index with that property is returned, i.e. that b can't be found in a [0...result+n-2].

The behavior no\_match covers the case that there is no subsequence a that equals b. In this case, search must return the length m of the range a.

If the ranges a or b are empty then the return value will be 0.

The formula in the assumes clause of the behavior has\_match is the negation of the assumes clause of the behavior no\_match. Therefore, we can express that these two behaviors are *complete* and *disjoint*.

#### 3.6.3. Implementation of search

Our implementation of search is shown in Listing 3.23. It follows the C++ Standard Library implementation in being easy to understand, but needing an order of magnitude of m\*n operations. In contrast, the sophisticated algorithm from [15] needs only m+n operations.<sup>15</sup>

```
size_type
search(const value_type* a, size_type m,
       const value_type* b, size_type n)
 if (n \le m) \{
    / * a
      loop invariant bound:
                                 i \le m-n+1;
      loop invariant not_found: !HasSubRange(a, 0, n+i-1, b, n);
      loop assigns i;
      loop variant m-i;
    for (size_type i = 0u; i <= m - n; ++i) {</pre>
      if (equal(a + i, n, b)) {
        //@ assert has_match: HasSubRange(a, 0, m, b, n);
        return i;
      }
  }
  //@ assert no_match: !HasSubRange(a, 0, m, b, n);
 return m;
```

Listing 3.23: Implementation of search

The loop invariant not\_found is needed for the proof of the postconditions of the behavior has\_match (see Listing 3.22). It expresses that the subsequence b has not been found up to the current iteration step.

The trivial case m < n is caught separately in order to prevent an overflow in computation of m - n in the loop. Neither n == 0 nor m == 0 need to be handled separately, not even for efficiency reasons: in the former case, equal (a+i, n, b) will succeed in the first iteration, while in the latter, n > m will apply.

 $<sup>^{15}</sup>$  The efficiency question has been also discussed by the C++ standardization committee, see <code>http://www.open-std.org/jtc1/sc22/wg21/docs/papers/2014/n3905.html</code>

## 3.7. The search\_n algorithm

The search\_n algorithm in the C++ Standard Library [14, §25.2.13] finds the first place where a given value starts to occur a given number of times in a given sequence. For our purposes we have modified the generic implementation to that of an array of type value\_type. The signature now reads:

```
size_type search_n(const value_type* a, size_type m, size_type n, value_type b)
```

Note the similarity to the signature of search (Section 3.6). The only difference is that b now is a single value rather than an array. <sup>16</sup> The function search\_n returns the first index s of the array a where the condition a[s+k] = b holds for each index k with 0 <= k < n (see Figure 3.24). If no such index exists, then search\_n returns the length m of the array a.

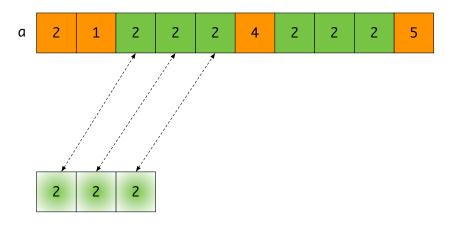


Figure 3.24.: Searching the first occurrence a given constant sequence in a [0..m-1]

#### 3.7.1. The predicates ConstantRange and HasConstantSubRange

Our specification of search\_n starts with introducing the predicate ConstantRange in Listing 3.25, which expresses that each member a [first..last-1] equals val.

Listing 3.25: The predicate ConstantRange

There are two additional overloaded versions of ConstantRange. The first one uses the a [first] as val. We will use this particular version later on during the specification of unique\_copy (Listing 7.3).

<sup>&</sup>lt;sup>16</sup>For some reason, the C++ Standard Library has swapped the b and n parameter; we followed that order.

The second version is just a shortcut when the first index is 0.

Based on that, the predicate <code>HasConstantSubRange</code> in Listing 3.26 formalizes that the sequence a of length <code>m</code> contains a subsequence of <code>n</code> times the value <code>b</code>. Similar to <code>HasSubRange</code>, in order to contain <code>n</code> repetitions, <code>a</code> must be at least that large; this is what lemma <code>HasConstantSubRangeSizes</code> says.

```
/*@
predicate
  HasConstantSubRange{A} (value_type* a, integer m, integer n, value_type b) =
    \exists integer i; 0 <= i <= m-n && ConstantRange(a, i, i+n, b);

lemma
  HasConstantSubRangeSizes:
  \forall value_type *a, v, integer m, n;
    HasConstantSubRange(a, m, n, v) ==> n <= m;
*/</pre>
```

Listing 3.26: The predicate HasConstantSubRange

#### 3.7.2. Formal specification of search\_n

The ACSL specification of search\_n is shown in Listing 3.27. Like for search, the specification of search\_n is very similar to that of find. We again use two behaviors to capture the essential aspects of search\_n. The behavior has\_match applies if the sequence a contains an n-fold repetition of b. We express this condition with assumes by using the predicate HasConstantSubRange.

```
/ * @
 requires valid: \valid_read(a + (0..m-1));
 assigns \nothing;
 ensures result: 0 <= \result <= m;</pre>
 behavior has_match:
    assumes HasConstantSubRange(a, m, n, b);
    ensures result: 0 <= \result <= m-n;</pre>
    ensures match: ConstantRange(a, \result, \result+n, b);
    ensures first: !HasConstantSubRange(a, \result+n-1, n, b);
 behavior no_match:
   assumes !HasConstantSubRange(a, m, n, b);
   ensures result: \result == m;
 complete behaviors;
 disjoint behaviors;
size_type
search_n(const value_type* a, size_type m, size_type n, value_type b);
```

Listing 3.27: Formal specification of search\_n

The result ensures clause of behavior has\_match indicates that the return value must be in the range [0..m-n]. The match ensures clause expresses that the return value of search\_n actually points to an index where b can be found n or more times in a. The first ensures clause expresses that the minimal index with this property is returned.

The behavior no\_match covers the case that there is no matching subsequence in sequence a. In this case, search\_n must return the length m of the range a.

The formula in the assumes clause of the behavior has\_match is the negation of the assumes clause of the behavior no\_match. Therefore, we can express that these two behaviors are *complete* and *disjoint*.

## 3.7.3. Implementation of search\_n

Although the specification of search\_n strongly resembles that of search, their implementations in the C++ Standard Library are significantly different. The former has a time complexity of O(m), whereas the latter employs an easy, but a non-optimal algorithm needing  $O(m \cdot n)$  time, cf. Section 3.6.3. Despite its linear complexity, the Standard Library search\_n implementation uses two nested loops which is somewhat hard to understand; in Listing 3.28, we give an equally efficient implementation with only one loop.

```
size_type
search_n(const value_type* a, size_type m, size_type n, value_type b)
 if (0u < n) {
   if (n <= m) {
     size_type start = 0u;
       loop invariant constant: ConstantRange(a, start, i, b);
       loop invariant start: 0 < start ==> a[start-1] != b;
       loop invariant bound:
                                start <= i + 1;
       loop invariant not_found: !HasConstantSubRange(a, i, n, b);
       loop assigns i, start;
       loop variant m - i;
     */
     for (size_type i = 0u; i < m; ++i) {</pre>
       if (a[i] != b) {
          start = i + 1u;
       else if (n == i + 1u - start) {
         return start;
   return m;
 }
 return Ou;
```

Listing 3.28: Implementation of search\_n

Our implementation maintains in the variable start the beginning of the most recent consecutive range of values b. This property is expressed by three loop invariants:

- constant states that b is the only value that can occur in a [start..i-1];
- start states that it cannot be left-extended, i.e. a [start-1] (if defined) is different from b;
- bound states that the sequence's bounds are actually given left to right.

The loop invariant not\_found states that we didn't find an n-fold repetition of b up to now; if we find one, we terminate the loop, returning start.

We handle the trivial case m < n separately before entering the loop, thus avoiding unnecessary work. The other trivial case n <= 0 is caught since our loop relies on the range searched for being non-empty. Only in this case checking a [i] != b makes sense in the very first iteration.

# 3.8. The find\_end algorithm

The find\_end algorithm in the C++ Standard Library [14, §25.2.6] searches for the last subsequence that is identical to a given sequence when compared element-by-element. For our purposes we have modified the generic implementation to that of an array of type value\_type. The signature now reads:

The function find\_end returns the greatest index s of the array a where the condition a [s+k] == b[k] holds for each index k with 0 <= k < n (see Figure 3.29). If no such index exists, then find\_end returns the length m of the array a. One has to remark the special case n == 0. In this case the last position of the empty string is found (the length m) and returned.

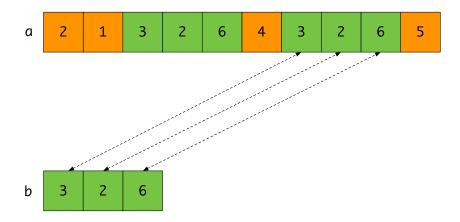


Figure 3.29.: Finding the last occurrence b [0..n-1] in a [0..m-1]

#### 3.8.1. Formal specification of find\_end

The ACSL specification of find\_end is shown in Listing 3.30. Conceptually, the specification of the function find\_end is very similar to that of find in Section 3.2. We therefore use again behaviors to capture the essential aspects of find\_end.

```
/ * @
 requires valid: \valid_read(a + (0..m-1));
 requires valid: \valid_read(b + (0..n-1));
 assigns \nothing;
 ensures result: 0 <= \result <= m;</pre>
 behavior has_match:
   assumes HasSubRange(a, 0, m, b, n);
   ensures bound: 0 <= \result <= m-n;</pre>
   ensures result: EqualRanges{Here, Here} (a + \result, n, b);
   ensures last: !HasSubRange(a, \result + 1, m, b, n);
 behavior no_match:
   assumes !HasSubRange(a, 0, m, b, n);
   ensures result: \result == m;
 complete behaviors;
 disjoint behaviors;
size_type
find_end(const value_type* a, size_type m,
         const value_type* b, size_type n);
```

Listing 3.30: Formal specification of find\_end

The behavior has\_match applies if the sequence a contains a subsequence identical to b. We express this condition with assumes using the predicate HasSubRange. The ensures clause bound indicates that the return value must be in the range 0..m-n. The clause result of behavior has\_match expresses that find\_end returns an index where b can be found in a. Finally, the clause last indicates that the sequence a does not contain b beginning at a position larger than \result.

The behavior no\_match covers the case that there is no subsequence of a that equals b. In this case, find\_end must return the length m of the range a.

It is quite clear that these behaviors are *complete* and *disjoint*.

#### 3.8.2. Implementation of find\_end

Our implementation of find\_end is shown in Listing 3.31. Similar to our search implementation (Section 3.6), it follows the C++ Standard Library implementation in being easy to understand, but needing an order of magnitude of  $m \times n$  rather than only m + n operations.

```
size_type
find_end(const value_type* a, size_type m,
         const value_type* b, size_type n)
 size_type ret = m;
 if ((0u < n) && (n <= m)) {
      loop invariant bound: ret <= m - n || ret == m;</pre>
      loop invariant result: ret == m ==> !HasSubRange(a, n+i-1, b, n);
      loop invariant result: ret < m ==> EqualRanges{Here, Here} (a + ret, n, b);
      loop invariant last: ret < m ==> !HasSubRange(a, ret+1, i+n-1, b, n);
      loop assigns i, ret;
     loop variant m - i;
   for (size_type i = 0u; i <= m - n; ++i) {</pre>
     if (equal(a + i, n, b)) {
       ret = i;
  }
 return ret;
```

Listing 3.31: Implementation of find end

We maintain in the variable ret the prospective value to be returned, according to the current knowledge. Initially, it is set to m, meaning "no occurrence of b found yet". Whenever an occurrence is found, ret is updated to its starting position.

Invariant bound states that ret either still has the value m or has a value up to m-n. For the former case, invariant result1 indicates that no occurrence of b has been found. For the latter case, invariant result2 indicates that an occurrence at ret has been found, and invariant last states that none was found so far after ret.

# 3.9. The count algorithm

The count algorithm in the C++ Standard Library [14, §25.2.9] counts the frequency of occurrences for a particular element in a sequence. For our purposes we have modified the generic implementation to that of arrays of type value\_type. The signature now reads:

```
size_type count(const value_type* a, size_type n, value_type val);
```

Informally, the function returns the number of occurrences of val in the array a.

#### 3.9.1. The logic function Count

When trying to specify count we are faced with the situation that ACSL does not provide a definition of counting a value in an array.<sup>17</sup> We therefore start with an axiomatic definition of *logic function* Count that captures the basic intuitive features of counting on an array section. The expression Count Section (a, m, n, v) returns the number of occurrences of v in a [m], ..., a [n-1].

The specification of count will then be fairly short because it employs our *logic function* Count whose (considerably) longer definition is given in Listing 3.32.<sup>18</sup>

```
axiomatic CountAxiomatic
 logic integer
 Count{L} (value_type* a, integer m, integer n, value_type v) =
   n \le m ? 0 : Count(a, m, n-1, v) + (a[n-1] == v ? 1 : 0);
 1emma
    CountSectionEmpty{L}:
      \forall value_type *a, v, integer m, n;
       n \le m => Count(a, m, n, v) == 0;
 lemma
    CountSectionHit{L}:
      \forall value_type *a, v, integer n, m;
        m < n => a[n-1] == v => Count(a, m, n, v) == Count(a, m, n-1, v) + 1;
 1emma
    CountSectionMiss{L}:
      \forall value_type *a, v, integer n, m;
       m < n => a[n-1] != v => Count(a, m, n, v) == Count(a, m, n-1, v);
 lemma
    CountSectionRead{K,L}:
      \forall value_type *a, v, integer m, n;
        Unchanged(K,L)(a, m, n) ==>
          Count\{K\}(a, m, n, v) == Count\{L\}(a, m, n, v);
```

Listing 3.32: The logic function Count

<sup>&</sup>lt;sup>17</sup>This statement is not quite true because the ACSL documentation lists numof as one of several *higher order logic constructions* [9, §2.6.7]. However, these *extended quantifiers* are mentioned only as experimental features.

<sup>&</sup>lt;sup>18</sup>This definition of Count is a generalization of the *logic function* nb\_occ of the ACSL specification [9, p. 55].

- The ACSL keyword axiomatic is used to structure the specification and gather the logic function Count and related lemmas. Note that the interval bounds m and n and the return value for Count are of type integer.
- The logic functions Count is defined explicitly with the recursion. It consist of two checks: for the range emptiness and for the value of the "current" element in the array. The recursion goes down on the range length.
- Lemmas CountSectionEmpty, CountSectionHit and CountSectionMiss are not required. They set the implicit definition for Count. But as we already has the explicit definition these lemmas simplify replicate the properties of the function under different conditions. Thus these lemmas are proved automatically by solvers. We keep them as an comparative illustration of an implicit definition.
- Lemma CountSectionEmpty covers the case of an empty range.
- Lemmas CountSectionHit and CountSectionMiss reduce counting of a range of length n to a range of length n-1.
- The Count depends on the a[0..n-1] memory location set.

Lemma CountSectionRead makes this claim explicit by ensuring that Count produces the same result if the values a [0..n-1] do not change between two program states indicated by the labels L1 and L2. We use predicate Unchanged (Listing 6.1 in Section 6.1) to express the premise of Lemma CountSectionRead. Lemma CountSectionRead is helpful if one has to verify mutating algorithms that rely on Count, e.g., remove\_copy in Section 6.14. It is an inductive consequence of the definition and the lemmas CountSectionEmpty, CountSectionHit, CountSectionMiss, but we don't prove it here.

Listing 3.33 shows some additional properties of Count.

Listing 3.33: Some lemmas for Count

#### 3.9.2. Counting on a whole array

We also provide in Listing 3.34 an overloaded version of the logic function Count that only takes the starting address and the size of an array. This is just a convenience function for the use in specifications that do not need to consider array sections. Note how the accompanying lemmas in Listing 3.34 correspond to the lemmas in Listing 3.32.

```
/ * @
 logic integer
   Count{L}(value_type* a, integer n, value_type v) = Count{L}(a, 0, n, v);
 lemma
   CountEmpty:
     \forall value_type *a, v, integer n;
       n \le 0 = > Count(a, n, v) == 0;
 lemma
   CountHit:
     \forall value_type *a, v, integer n;
       0 < n => a[n-1] == v => Count(a, n, v) == Count(a, n-1, v) + 1;
 lemma
   CountMiss:
     \forall value_type *a, v, integer n;
       0 < n => a[n-1] != v => Count(a, n, v) == Count(a, n-1, v);
 lemma
   CountRead{L1,L2}:
     \forall value_type *a, v, integer n;
       Unchanged\{L1,L2\}(a, n) ==> Count\{L1\}(a, n, v) == Count\{L2\}(a, n, v);
```

Listing 3.34: The logic function Count

The lemmas for Count in Listing 3.35 are just reformulated versions of those in Listing 3.33.

Listing 3.35: Some lemmas for Count

## 3.9.3. Formal specification of count

Listing 3.36 shows how we use the logic function Count from Listing 3.34 to specify count in ACSL. Note that our specification also states that the result of count is non-negative and less than or equal the size of the array.

```
/*@
  requires valid: \valid_read(a + (0..n-1));

  assigns \nothing;

  ensures bound: 0 <= \result <= n;
  ensures count: \result == Count(a, n, val);

*/
size_type
count(const value_type* a, size_type n, value_type val);</pre>
```

Listing 3.36: Formal specification of count

#### 3.9.4. Implementation of count

Listing 3.37 shows a possible implementation of count. Note that we refer to the logic function Count in one of the loop invariants.

```
size_type
count(const value_type* a, size_type n, value_type val)
{
    size_type counted = 0u;

    /*@
        loop invariant bound: 0 <= i <= n;
        loop invariant bound: 0 <= counted <= i;
        loop invariant count: counted == Count(a, i, val);
        loop assigns i, counted;
        loop variant n-i;
        */
    for (size_type i = 0u; i < n; ++i) {
        if (a[i] == val) {
            counted++;
        }
    }
    return counted;
}</pre>
```

Listing 3.37: Implementation of count

## 3.10. The count 2 algorithm

In this section, we specify the count algorithm in differently compared to Section 3.9. The example demonstrates how inductive predicates could be defined and used in ACSL specifications.

Sections 3.9 and 3.10 share the same count implementation.

#### 3.10.1. The inductive predicate Count

To specify the counting behavior, we define the inductive predicate Count. The definition consists of three cases. The "Nil" case states the predicate holds then the size "n" of the array "a" is not a positive value and the number "sum" of the counted elements is equal to zero. The "Hit" and "Miss" cases define given the Count holds for the array "a" of size "n-1" the conditions the Count holds for the size "n". The only difference between these two cases is that in the first one the array has the value "v" in position "n-1" and the number "sum" of the counted elements should be increased by one the predicate Count to hold for size "n".

One might notice that the cases are very similar to the lemmas CountEmpty, CountHit, CountMiss from Listing 3.32, except we use the additional argument "sum" to save the number of counted elements since this is the predicate.

In the example we intentionally use the scheme "n-1" => "n" instead of "n" => "n+1". In this particular case, it allows solvers to match loop indices with premises without additional hints to prove loop invariants.

It should be noted that this definition is enough to prove the code corresponds to its contract. No additional lemmas required.

Listing 3.38: The inductive predicate Count

#### 3.10.2. Additional lemmas for the inductive predicate

These lemmas are not required to prove the count function, but we provide them to complete the illustrative example of how inductive predicates could be utilized in the specifications.

Listing 3.39 complements Listing 3.32 and demonstrates how exactly the same lemmas could be rewritten for the inductive predicate.

```
/ * @
 axiomatic Count2ImplicitAxiomatic {
   1emma
     Count2Empty{L}:
        \forall value_type *a, v, integer n;
         n \le 0 = > Count2(a, n, v, 0);
   1emma
     Count2Miss{L}:
        \forall value_type *a, v, integer n, sum;
          0 < n ==> a[n-1] != v ==>
            (Count2(a, n-1, v, sum) ==> Count2(a, n, v, sum));
   1emma
     Count2Hit{L}:
        \forall value_type *a, v, integer n, sum;
          0 < n ==> a[n-1] == v ==>
            (Count2(a, n-1, v, sum) ==> Count2(a, n, v, sum+1));
     Count2Read{K,L}:
        \forall value_type *a, v, integer n, sum;
         Unchanged(K, L) (a, n) ==>
            (Count2\{K\}(a, n, v, sum) \le Count2\{L\}(a, n, v, sum));
 }
```

Listing 3.39: Implicit definition of Count

The inductive definition is the "complete" definition. This means that a predicate does not hold in every case outside the definition. We state this property explicitly for Count with Lemma 3.40. Frama-C does not add such axiom in the context, and thus the Lemma is not proved automatically. The reason for not adding such an axiom that it "could confuse first-order theorem provers". The Coq proof could be as simple as the application of the inversion to the definition.

```
/*@
  lemma Count2Inverse:
    \forall value_type *a, v, integer n, sum;
    Count2(a, n, v, sum) ==>
        (n <= 0 && sum == 0) ||
        (0 < n && a[n-1] != v && Count2(a, n-1, v, sum)) ||
        (0 < n && a[n-1] == v && Count2(a, n-1, v, sum-1));
*/</pre>
```

Listing 3.40: Inverse lemma for Count

The lower bound for the number of the counted elements is zero. Lemma 3.41 could be proved in Coq in

<sup>19</sup>https://stackoverflow.com/a/32457870

one line by induction on the Count definition.

```
/*@
  lemma
  Count2NonNegativeSum{L}:
    \forall value_type *a, v, integer n, sum;
    Count2(a, n, v, sum) ==> sum >= 0;
*/
```

Listing 3.41: The result of the Count is always greater that zero

The relation between the definitions through the inductive predicate 3.38 and the logic function 3.32 is expressed in Lemma 3.42. The Lemma is proved with Coq.

```
/*@
  lemma
  CountCount2Relation{L}:
    \forall value_type *a, v, integer n;
    Count2(a, n, v, Count(a, n, v));
*/
```

Listing 3.42: The relation between definitions

#### 3.10.3. Specification of count

Listing 3.43 shows how we use the inductive predicate Count from Listing 3.38 to specify count in ACSL.

```
/*@
  requires valid: \valid_read(a + (0..n-1));

  assigns \nothing;

  ensures bound: 0 <= \result <= n;
  ensures count: Count2(a, n, val, \result);
  */
  size_type
  count2(const value_type* a, size_type n, value_type val);</pre>
```

Listing 3.43: The count contract reconsidered

#### 3.10.4. Implementation of count

The only difference here with Listing 3.37 is that counted is used as an argument for the Count predicate.

```
size_type
count2(const value_type* a, size_type n, value_type val)
{
    size_type counted = 0u;

    /*@
    loop invariant bound: 0 <= i <= n;
    loop invariant bound: 0 <= counted <= i;
    loop invariant count: Count2(a, i, val, counted);
    loop assigns i, counted;
    loop variant n-i;

    */
    for (size_type i = 0u; i < n; ++i) {
        if (a[i] == val) {
            counted++;
        }
    }

    return counted;
}</pre>
```

Listing 3.44: The count implementation reconsidered

# 4. Maximum and minimum algorithms

In this chapter we discuss the formal specification of algorithms in the C++ Standard Library [14, §25.4.7] that compute the maximum or minimum values of their arguments. As the algorithms in Chapter 3, they also do not modify any memory locations outside their scope. The most important new feature of the algorithms in this chapter is that they compare values using binary operators such as <.

We consider in this chapter the following algorithms.

- max\_element returns an index to a maximum element in a range. Similar to find it also returns the smallest of all possible indices. It is discussed in Section 4.2, on Page 65. In Section 4.3, on Page 67, we will introduce an alternative specification max\_element2 which relies on user-defined predicates.
- max\_seq (Section 4.4, on Page 69) is very similar to max\_element and will serve as an example of *modular verification*. It returns the maximum value itself rather than an index to it.
- min element can be used to find the smallest element in an array (Section 4.5).

First, however, we discuss in Section 4.1 general properties that must be satisfied by the relational operators.

## 4.1. A note on relational operators

Note that in order to compare values, the algorithms in the C++ Standard Library [14, §25.4.7] usually rely solely on the *less than* operator < or special function objects. To be precise, the operator < must be a *partial order*, <sup>20</sup> which means that the following rules must hold.

```
irreflexivity \forall x : \neg(x < x)
asymmetry \forall x, y : x < y \implies \neg(y < x)
transitivity \forall x, y, z : x < y \land y < z \implies x < z
```

If you wish to check that the operator < of our value\_type<sup>21</sup> satisfies these properties you can formulate lemmas in ACSL and verify them with Frama-C (see Listing 4.1).

<sup>20</sup>See http://en.wikipedia.org/wiki/Partially\_ordered\_set

<sup>&</sup>lt;sup>21</sup>See Section 1.3

```
lemma
LessIrreflexivity:
    \forall value_type a; !(a < a);

lemma
LessAntisymmetry:
    \forall value_type a, b; (a < b) ==> !(b < a);

lemma
LessTransitivity:
    \forall value_type a, b, c; (a < b) && (b < c) ==> (a < c);
*/</pre>
```

Listing 4.1: Requirements for a partial order on value\_type

It is of course possible to specify and implement the algorithms of this chapter by only using operator <. For example, a <= b can be written as  $a < b \mid \mid a == b$ , or, for our particular ordering on value\_type, as ! (b < a).

Listing 4.2 formulates conditions on the semantics of the derived operator >, <=, and >=.

```
lemma
Greater:
    \forall value_type a, b; (a > b) <==> (b < a);

lemma
LessOrEqual:
    \forall value_type a, b; (a <= b) <==> ! (b < a);

lemma
GreaterOrEqual:
    \forall value_type a, b; (a >= b) <==> ! (a < b);

*/</pre>
```

Listing 4.2: Semantics of derived comparison operators

We also introduce in this chapter the predicates

- UpperBound in Listing 4.5
- StrictUpperBound in Listing 4.6
- LowerBound in Listing 4.12
- StrictLowerBound in Listing 4.13

These overloaded predicates concisely express the comparison of the elements in an array (segment) with a given value. We will heavily rely on these predicates both in this chapter and in Chapter 5.

## 4.2. The max\_element algorithm

The  $max\_element$  algorithm in the C++ Standard Library [14, §25.4.7] searches the maximum of a general sequence. The signature of our version of  $max\_element$  reads:

```
size_type max_element(const value_type* a, size_type n);
```

The function finds the largest element in the range a [0..n-1]. More precisely, it returns the unique valid index i such that:

- 1. for each index k with  $0 \le k \le n$  the condition  $a[k] \le a[i]$  holds and
- 2. for each index k with  $0 \le k \le i$  the condition  $a[k] \le a[i]$  holds.

The return value of  $max_{element}$  is n if and only if there is no maximum, which can only occur if n == 0.

#### 4.2.1. Formal specification of max element

A formal specification of max\_element in ACSL is shown in Listing 4.3.

```
/ * @
  requires valid:
                        \valid_read(a + (0..n-1));
  assigns \nothing;
  ensures result: 0 <= \result <= n;</pre>
  behavior empty:
    assumes n == 0;
    ensures result: \result == 0;
  behavior not_empty:
    assumes 0 < n;</pre>
    ensures result: 0 <= \result < n;</pre>
    ensures upper: \forall integer i; 0 <= i < n ==> a[i] <= a[\result];
ensures strict: \forall integer i; 0 <= i < \result ==> a[i] < a[\result];</pre>
  complete behaviors;
  disjoint behaviors;
size_type
max_element(const value_type* a, size_type n);
```

Listing 4.3: Formal specification of max\_element

Note that we have subdivided the specification of max\_element into the two behaviors empty and not\_empty. The behavior empty contains the specification for the case that the range contains no elements. The behavior not\_empty applies if the range has a positive length.

The second ensures clause of behavior not\_empty indicates that the returned valid index k refers to a maximum value of the array. The third one expresses that k is indeed the *first* occurrence of a maximum value in the array.

#### 4.2.2. Implementation of max\_element

Listing 4.4 shows an implementation of max\_element. In our description, we concentrate on the *loop* annotations.

```
size_type
max_element(const value_type* a, size_type n)
  if (0u < n) {
    size_type max = 0u;
    /*@
      loop invariant bound: 0 <= i <= n;</pre>
      loop invariant max: 0 <= max < n;</pre>
      loop invariant upper: \forall integer k; 0 <= k < i ==> a[k] <= a[max];</pre>
      loop invariant strict: \forall integer k; 0 <= k < max ==> a[k] < a[max];</pre>
      loop assigns max, i;
      loop variant n-i;
    for (size_type i = 1u; i < n; i++) {</pre>
      if (a[max] < a[i]) {</pre>
        max = i;
    }
    return max;
  }
  return n;
```

Listing 4.4: Implementation of max\_element

Loop invariant max is needed to prove postcondition result of behavior not\_empty in Listing 4.3. Using loop invariant upper we prove postcondition upper of behavior not\_empty in Listing 4.3. Finally, postcondition strict of this behavior can be proved with loop invariant strict.

## 4.3. The max\_element algorithm with predicates

In this section we present another specification of the max\_element algorithm. The main difference is that we employ several user-defined predicates. First, we define in Listing 4.5 the overloaded predicate UpperBound which basically expresses that a given value is greater or equal than all elements of a given array (section).

```
/*@
  predicate
   UpperBound{L} (value_type* a, integer m, integer n, value_type v) =
    \forall integer i; m <= i < n ==> a[i] <= v;

predicate
   UpperBound{L} (value_type* a, integer n, value_type v) =
        UpperBound{L} (a, 0, n, v);
*/</pre>
```

Listing 4.5: Definition of the UpperBound predicate

Closely related to the predicate UpperBound is the overloaded predicate StrictUpperBound from Listing 4.6.

```
/*@
  predicate
   StrictUpperBound{L} (value_type* a, integer m, integer n, value_type v) =
    \forall integer i; m <= i < n ==> a[i] < v;

predicate
  StrictUpperBound{L} (value_type* a, integer n, value_type v) =
   StrictUpperBound{L} (a, 0, n, v);
*/</pre>
```

Listing 4.6: Definition of the StrictUpperBound predicate

We then define in Listing 4.7 the predicate MaxElement by stating that the element at a given index max is an *upper bound* of the sequence a [0..n-1], and, by construction, a member of that sequence.

```
/*@
  predicate
   MaxElement{L}(value_type* a, integer n, integer max) =
    0 <= max < n && UpperBound(a, n, a[max]);
*/</pre>
```

Listing 4.7: Definition of the MaxElement predicate

#### 4.3.1. Formal specification of max\_element

The new formal specification of  $max_element$  in ACSL is shown in Listing 4.8. Note that we also use the predicate StrictUpperBound from Listing 4.6 in order to express that  $max_element$  returns the *first* maximum position in a [0..n-1].

```
/ * @
  requires valid:
                     \valid_read(a + (0..n-1));
  assigns \nothing;
  ensures result: 0 <= \result <= n;</pre>
 behavior empty:
    assumes n == 0;
    ensures result:
                     \result == 0;
  behavior not_empty:
    assumes 0 < n;</pre>
    ensures result: 0 <= \result < n;</pre>
    ensures max: MaxElement(a, n, \result);
    ensures strict: StrictUpperBound(a, \result, a[\result]);
  complete behaviors;
  disjoint behaviors;
size_type
max_element2(const value_type* a, size_type n);
```

Listing 4.8: Formal specification of max\_element

#### 4.3.2. Implementation of max\_element

Listing 4.9 shows implementation of max\_element with only the loop invariants changed.

```
size_type
max_element2(const value_type* a, size_type n)
  if (0u < n) {
    size_type max = 0u;
    /*@
      loop invariant bound: 0 <= i <= n;</pre>
      loop invariant max: 0 <= max < n;</pre>
      loop invariant upper: UpperBound(a, i, a[max]);
      loop invariant strict: StrictUpperBound(a, max, a[max]);
      loop assigns max, i;
      loop variant n-i;
    for (size_type i = 0u; i < n; i++) {</pre>
      if (a[max] < a[i]) {
        max = i;
    return max;
  return n;
```

Listing 4.9: Implementation of max\_element

## 4.4. The max\_seq algorithm

In this section we consider the function max\_seq (see Chapter 3, [8]) which is very similar to the function max\_element of Section 4.2. The main difference between max\_seq and max\_element is that max\_seq returns the maximum value (not just the index of it). Therefore, it requires a *non-empty* range as an argument.

Of course, max\_seq can easily be implemented using max\_element (see Listing 4.11). Moreover, using only the formal specification of max\_element in Listing 4.8 we are also able to deductively verify the correctness of this implementation. Thus, we have a simple example of *modular verification* in the following sense:

Any implementation of max\_element that is separately proven to implement the contract in Listing 4.8 makes max\_seq behave correctly. Once the contracts have been defined, the function max\_element could be implemented in parallel, or just after max\_seq, without affecting the verification of max\_seq.

#### 4.4.1. Formal specification of max\_seq

A formal specification of max\_seq in ACSL is shown in Listing 4.10.

```
/*@
  requires n > 0;
  requires \valid_read(p + (0..n-1));

  assigns \nothing;

  ensures \forall integer i; 0 <= i <= n-1 ==> \result >= p[i];
  ensures \exists integer e; 0 <= e <= n-1 && \result == p[e];

*/
value_type
max_seq(const value_type* p, size_type n);</pre>
```

Listing 4.10: Formal specification of max\_seq

Using the first requires-clause we express that max\_seq needs a *non-empty* range as input. Our post-conditions formalize that max\_seq indeed returns the maximum value of the range.

#### 4.4.2. Implementation of max\_seq

Listing 4.11 shows the trivial implementation of max\_seq using max\_element. Since max\_seq requires a non-empty range the call of max\_element returns an index to a maximum value in the range. The fact that max\_element returns the smallest index is of no importance in this context.

```
value_type
max_seq(const value_type* p, size_type n)
{
   return p[max_element2(p, n)];
}
```

Listing 4.11: Implementation of max\_seq

## 4.5. The min\_element algorithm

The min\_element algorithm in the C++ Standard Library [14, §25.4.7] searches the minimum in a general sequence. The signature of our version of min\_element reads:

```
size_type min_element(const value_type* a, size_type n);
```

The function min\_element finds the smallest element in the range a[0..n-1]. More precisely, it returns the unique valid index i such that a[i] is minimal among the values a[0], ..., a[n-1], and i is the first position with that property. The return value of min\_element is n if and only if n == 0.

First we define in Listing 4.12 the overloaded predicate LowerBound that basically expresses that a given value is less or equal than all elements of a given array (section).

```
/*@
    predicate
    LowerBound{L} (value_type* a, integer m, integer n, value_type v) =
        \forall integer i; m <= i < n ==> v <= a[i];

predicate
    LowerBound{L} (value_type* a, integer n, value_type v) =
        LowerBound{L} (a, 0, n, v);
*/</pre>
```

Listing 4.12: Definition of the LowerBound predicate

Closely related to the predicate LowerBound is the overloaded predicate StrictLowerBound from Listing 4.13.

```
/*@
  predicate
  StrictLowerBound{L} (value_type* a, integer m, integer n, value_type v) =
    \forall integer i; m <= i < n ==> v < a[i];

predicate
  StrictLowerBound{L} (value_type* a, integer n, value_type v) =
    StrictLowerBound{L} (a, 0, n, v);
*/</pre>
```

Listing 4.13: Definition of the StrictLowerBound predicate

We then define in Listing 4.14 the predicate MinElement by stating that the element at a given index min is a *lower bound* of the sequence a [0..n-1], and, by construction, a member of that sequence.

```
/*@
  predicate
    MinElement{L} (value_type* a, integer n, integer min) =
    0 <= min < n && LowerBound(a, n, a[min]);
*/</pre>
```

Listing 4.14: Definition of the MinElement predicate

## 4.5.1. Formal specification of min\_element

The ACSL specification of min\_element is shown in Listing 4.15. Note that we also use the predicate StrictLowerBound from Listing 4.13 in order to express that min\_element returns the *first* minimum position in a [0..n-1].

```
/ * @
 requires valid: \valid_read(a + (0..n-1));
 assigns \nothing;
 ensures result: 0 <= \result <= n;</pre>
 behavior empty:
   assumes n == 0;
   ensures result: \result == 0;
 behavior not_empty:
   assumes 0 < n;</pre>
   ensures result: 0 <= \result < n;</pre>
   ensures min:
                    MinElement(a, n, \result);
    ensures strict: StrictLowerBound(a, \result, a[\result]);
 complete behaviors;
 disjoint behaviors;
size_type
min_element(const value_type* a, size_type n);
```

Listing 4.15: Formal specification of min\_element

#### 4.5.2. Implementation of min\_element

Listing 4.16 shows the implementation of min\_element with loop invariants where we also employ the predicates LowerBound and StrictLowerBound.

```
size_type
min_element(const value_type* a, size_type n)
  if (0u < n) {
    size_type min = 0u;
    /*@
      loop invariant bound: 0 <= i <= n;</pre>
      loop invariant min: 0 <= min < n;</pre>
      loop invariant lower: LowerBound(a, i, a[min]);
      loop invariant first: StrictLowerBound(a, min, a[min]);
      loop assigns min, i;
      loop variant n-i;
    for (size_type i = 0u; i < n; i++) {</pre>
      if (a[i] < a[min]) {</pre>
       min = i;
    return min;
  return n;
```

Listing 4.16: Implementation of min\_element

# 5. Binary search algorithms

In this chapter, we consider the four *binary search* algorithms of the C++ Standard Library [14, §25.4.3], namely

- lower bound in Section 5.1
- upper\_bound in Section 5.2
- two variants for the implementation of equal\_range which we refer to as equal\_range and equal\_range2 in Sections 5.3
- two variants for the formal specification of binary\_search which we refer to as binary\_search and binary\_search2 in Section 5.4

All binary search algorithms require that their input array is sorted in ascending order. There are two versions of predicate Sorted in Listing 5.1. The first one defines when a section of an array is sorted in ascending order. The second version uses the first one to express that the whole array is sorted.

```
/*@
  predicate
  Sorted{L} (value_type* a, integer m, integer n) =
     \forall integer i, j; m <= i < j < n ==> a[i] <= a[j];

  predicate
  Sorted{L} (value_type* a, integer n) = Sorted{L} (a, 0, n);
*/</pre>
```

Listing 5.1: The predicate Sorted

As in the case of the of maximum/minimum algorithms from Chapter 4 the binary search algorithms primarily use the less-than operator < (and the derived operators <=, > and >=) to determine whether a particular value is contained in a sorted range. Thus, different to the find algorithm in Section 3.1, the equality operator == will play only a supporting part in the specification of binary search.

In order to make the specifications of the binary search algorithms more compact and (arguably) more readable we re-use the following predicates

- UpperBound in Listing 4.5
- StrictUpperBound in Listing 4.6
- LowerBound in Listing 4.12
- StrictLowerBound in Listing 4.13

# 5.1. The lower\_bound algorithm

The lower\_bound algorithm is one of the four binary search algorithms of the C++ Standard Library [14, §25.4.3.1]. For our purposes we have modified the generic implementation to that of an array of type value\_type. The signature now reads:

```
size_type lower_bound(const value_type* a, size_type n, value_type val);
```

As with the other binary search algorithms lower\_bound requires that its input array is sorted in ascending order. The index lb, that lower\_bound returns satisfies the inequality

$$0 \le 1b \le n \tag{5.1}$$

and has the following properties for a valid index k of the array under consideration

$$0 \le k < 1b \implies a[k] < val$$
 (5.2)

$$1b \le k < n \qquad \Longrightarrow \qquad val \le a[k] \tag{5.3}$$

Conditions (5.2) and (5.3) imply that val can only occur in the array section a [lb..n-1]. In this sense lower\_bound returns a *lower bound* for the potential indices.

As an example, we consider in Figure 5.2 a sorted array. The arrows indicate which indices will be returned by lower\_bound for a given value. Note that the index 9 points *one past end* of the array. Values that are not contained in the array are colored in gray.

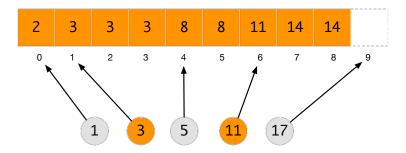


Figure 5.2.: Some examples for lower\_bound

Figure 5.2 also clarifies that care must be taken when interpreting the return value of lower\_bound. An important difference to the algorithms in Chapter 3 is that a return value of lower\_bound that is less than n does not necessarily implies a [lb] == val. We can only be sure that val <= a [lb] holds.

#### 5.1.1. Formal specification of lower\_bound

The ACSL specification of lower\_bound is shown in Listing 5.3. The preconditions sorted expresses that the values in the (valid) array need to be sorted in ascending order. The postconditions reflect the conditions listed above and can be expressed using predicates LowerBound from Listing 4.12 and StrictLowerBound from Listing 4.13, namely,

• Condition (5.1) becomes postcondition result

- Condition (5.2) becomes postcondition left
- Condition (5.3) becomes postcondition right

```
/*@
  requires valid: \valid_read(a + (0..n-1));
  requires sorted: Sorted(a, n);

  assigns \nothing;

  ensures result: 0 <= \result <= n;
  ensures left: StrictUpperBound(a, 0, \result, val);
  ensures right: LowerBound(a, \result, n, val);
  */
  size_type
lower_bound(const value_type* a, size_type n, value_type val);</pre>
```

Listing 5.3: Formal specification of lower\_bound

## 5.1.2. Implementation of lower\_bound

Our implementation of lower\_bound is shown in Listing 5.4. Each iteration step narrows down the range that contains the sought-after result. The loop invariants express that in each iteration step all indices less than the temporary left bound left contain values that are less than val and all indices not less than the temporary right bound right contain values that are greater or equal than val. The expression to compute middle is slightly more complex than the naïve (left+right)/2, but it avoids potential overflows.

```
size_type
lower_bound(const value_type* a, size_type n, value_type val)
 size_type left = 0u;
 size_type right = n;
  / * @
    loop invariant bound: 0 <= left <= right <= n;</pre>
    loop invariant left: StrictUpperBound(a, 0, left, val);
    loop invariant right: LowerBound(a, right, n, val);
    loop assigns left, right;
    loop variant right - left;
 while (left < right) {</pre>
   const size_type middle = left + (right - left) / 2u;
   if (a[middle] < val) {</pre>
      left = middle + 1u;
    else {
      right = middle;
  }
 return left;
```

Listing 5.4: Implementation of lower\_bound

# 5.2. The upper\_bound algorithm

The upper\_bound algorithm of the C++ Standard Library [14, §25.4.3.1] is a variant of binary search and closely related to lower\_bound of Section 5.1. The signature reads:

```
size_type upper_bound(const value_type* a, size_type n, value_type val)
```

As with the other binary search algorithms, upper\_bound requires that its input array is sorted in ascending order. The index ub returned by upper\_bound satisfies the inequality

$$0 \le \mathsf{ub} \le n \tag{5.4}$$

and is involved in the following implications for a valid index k of the array under consideration

$$0 \le k < \text{ub} \qquad \Longrightarrow \qquad a[k] \le \text{val}$$
 (5.5)

$$ub \le k < n \implies val < a[k]$$
 (5.6)

Conditions (5.5) and (5.6) imply that val can only occur in the array section a [0..ub-1]. In this sense upper\_bound returns a *upper bound* for the potential indices where val can occur. It also means that the searched-for value val can *never* be located at the index ub.

Figure 5.5 is a variant of Figure 5.2 for the case of upper\_bound and the same example array. The arrows indicate which indices will be returned by upper\_bound for a given value. Note how, compared to Figure 5.2, only the arrows from values that *are present* in the array change their target index.

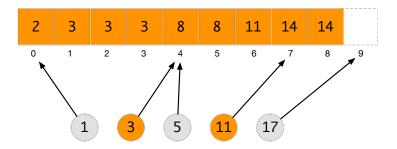


Figure 5.5.: Some examples for upper\_bound

#### 5.2.1. Formal specification of upper\_bound

The ACSL specification of upper\_bound is shown in Listing 5.6. The specification is quite similar to the specification of lower\_bound (see Listing 5.3). The precondition sorted expresses that the values in the (valid) array need to be sorted in ascending order.

The postconditions reflect the conditions listed above and can be expressed using predicates UpperBound from Listing 4.5 and StrictUpperBound from Listing 4.6, namely,

- Condition (5.4) becomes postcondition result
- Condition (5.5) becomes postcondition left
- Condition (5.6) becomes postcondition right

```
/*@
  requires valid: \valid_read(a + (0..n-1));
  requires sorted: Sorted(a, n);

  assigns \nothing;

  ensures result: 0 <= \result <= n;
  ensures left: UpperBound(a, 0, \result, val);
  ensures right: StrictLowerBound(a, \result, n, val);

*/
size_type
upper_bound(const value_type* a, size_type n, value_type val);</pre>
```

Listing 5.6: Formal specification of upper\_bound

## 5.2.2. Implementation of upper\_bound

Our implementation of upper\_bound is shown in Listing 5.7.

The loop invariants express that for each iteration step all indices less than the temporary left bound left contain values not greater than val and all indices not less than the temporary right bound right contain values greater than val.

```
size_type
upper_bound(const value_type* a, size_type n, value_type val)
 size_type left = 0u;
 size_type right = n;
  / * @
    loop invariant bound: 0 <= left <= right <= n;</pre>
   loop invariant left: UpperBound(a, 0, left, val);
    loop invariant right: StrictLowerBound(a, right, n, val);
    loop assigns left, right;
   loop variant right - left;
 while (left < right) {</pre>
   const size_type middle = left + (right - left) / 2u;
   if (a[middle] <= val) {</pre>
      left = middle + 1u;
   else {
      right = middle;
  }
 return right;
```

Listing 5.7: Implementation of upper\_bound

# 5.3. The equal\_range algorithm

The equal\_range algorithm is one of the four binary search algorithms of the C++ Standard Library [14, §25.4.3.3]. As with the other binary search algorithms equal\_range requires that its input array is sorted in ascending order. The specification of equal\_range states that it *combines* the results of the algorithms lower\_bound (Section 5.1) and upper\_bound (Section 5.2).

For our purposes we have modified equal\_range to take an array of type value\_type. Moreover, instead of a pair of iterators, our version returns a pair of indices. To be more precise, the return type of equal\_range is the struct size\_type\_pair from Listing 5.9. Thus, the signature of equal\_range now reads:

```
size_type_pair equal_range(const value_type* a, size_type n, value_type val);
```

Figure 5.8 combines Figure 5.2 with Figure 5.5 in order visualize the behavior of equal\_range for select test cases. The two types of arrows  $\rightarrow$  and  $\rightarrow$  represent the respective fields first and second of the return value. For values that are not contained in the array, the two arrows point to the same index. More generally, if equal\_range returns the pair (1b, ub), then the difference ub – 1b is equal to the number of occurrences of the argument val in the array.

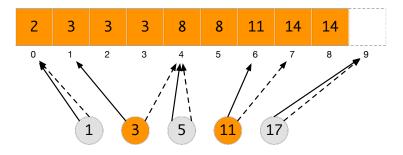


Figure 5.8.: Some examples for equal\_range

We will provide two implementations of equal\_range and verify both of them. The first implementation just straightforwardly calls lower\_bound and upper\_bound and simply returns their results (see Listing 5.11). The second, more elaborate, implementation follows the original STL code by attempting to minimize duplicate computations (see Listing 5.12).

Let (1b, ub) be the return value equal\_range, then the conditions (5.1)–(5.6) can be merged into the inequality

$$0 \le 1b \le ub \le n \tag{5.7}$$

and the following three implications for a valid index k of the array under consideration

$$0 \le k < 1b \implies a[k] < val$$
 (5.8)

$$1b \le k < ub \implies a[k] = val$$
 (5.9)

$$ub \le k < n \implies a[k] > val$$
 (5.10)

Here are some justifications for these conditions.

• Conditions (5.8) and (5.10) are just the Conditions (5.2) and (5.6), respectively.

- The Inequality (5.7) follows from the Inequalities (5.1) and (5.4) and the following considerations: If ub were less than 1b, then according to (5.8) we would have a[ub] < val. One the other hand, we know from (5.10) that opposite inequality val < a[ub] holds. Therefore, we have  $1b \le ub$ .
- Condition (5.9) follows from the combination of (5.3) and (5.5) and the fact that ≤ is a total order on the integers.

## 5.3.1. The auxiliary function make\_pair

The type size\_type\_pair and the make\_pair function in Listing 5.9 are used both for the first and second implementation of equal\_range. The specification and implementation of this simple function is shown in Listing 5.9.

```
struct size_type_pair {
 size_type first;
 size_type second;
};
typedef struct size_type_pair size_type_pair;
/ * @
    assigns \nothing;
    ensures \result.first == first;
    ensures \result.second == second;
static inline
size_type_pair
make_pair(size_type first, size_type second)
 size_type_pair pair;
 pair.first = first;
 pair.second = second;
 return pair;
```

Listing 5.9: The type size\_pair\_type and the function make\_pair

## 5.3.2. Formal specification of equal\_range

The ACSL specification of equal\_range is shown in Listing 5.10.

```
/*@
  requires valid: \valid_read(a + (0..n-1));
  requires sorted: Sorted(a, n);

  assigns \nothing;

  ensures result: 0 <= \result.first <= \result.second <= n;
  ensures left: StrictUpperBound(a, 0, \result.first, val);
  ensures middle: ConstantRange(a, \result.first, \result.second, val);
  ensures right: StrictLowerBound(a, \result.second, n, val);
  */
  size_type_pair
  equal_range(const value_type* a, size_type n, value_type val);</pre>
```

Listing 5.10: Formal specification of equal\_range

The ACSL specification of equal\_range is shown in Listing 5.10. The precondition sorted expresses that the values in the (valid) array need to be sorted in ascending order.

The postconditions reflect the conditions listed above and can be expressed using the well-known predicates ConstantRange (Listing 3.25), StrictUpperBound (Listing 4.6) and StrictLowerBound (Listing 4.13), namely,

- Condition (5.7) becomes postcondition result
- Condition (5.8) becomes postcondition left
- Condition (5.9) becomes postcondition middle
- Condition (5.10) becomes postcondition right

#### 5.3.3. First implementation of equal\_range

Our first implementation of equal\_range is shown in Listing 5.11. We just call the two functions lower\_bound and upper\_bound and return their respective results as a pair.

```
size_type_pair
equal_range(const value_type* a, size_type n, value_type val)
{
    size_type first = lower_bound(a, n, val);
    size_type second = upper_bound(a, n, val);
    //@ assert aux: second < n ==> val < a[second];
    return make_pair(first, second);
}</pre>
```

Listing 5.11: First implementation of equal\_range

In an earlier version of this document we had proven the similar assertion first <= second with the interactive theorem prover Coq. After reviewing this proof we formulated the new assertion aux that uses a fact from the postcondition of upper\_bound (Listing 5.6). The benefit of this reformulation is that both the assertion aux and the postcondition first <= second can now be verified automatically.

## 5.3.4. Second implementation of equal\_range

The first implementation of equal\_range does more work than needed. STL uses a slightly more complicated implementation of equal\_range that performs as much range reduction as possible before calling upper\_bound and lower\_bound on the reduced ranges. In Listing 5.12 we translated the STL implementation to C code and verified it. It is a drop-in replacement for the first implementation and implements the same formal specification, provided in Listing 5.10.

```
size_type_pair
equal_range2(const value_type* a, size_type n, value_type val)
 size_type first = 0u;
 size_type middle = 0u;
 size_type last
                  = n;
    loop invariant bounds: 0 <= first <= last <= n;</pre>
    loop invariant left: StrictUpperBound(a, 0, first, val);
    loop invariant right: StrictLowerBound(a, last, n, val);
    loop assigns first, last, middle;
   loop variant last - first;
 while (last > first) {
   middle = first + (last - first) / 2u;
    if (a[middle] < val) {</pre>
      first = middle + 1u;
    else if (val < a[middle]) {</pre>
      last = middle;
   else {
     break;
  }
 if (first < last) {</pre>
    //@ assert sorted: Sorted(a, first, middle);
    size_type left = first + lower_bound(a + first, middle - first, val);
    //@ assert constant: LowerBound(a, left, middle, val);
    //@ assert strict: StrictUpperBound(a, first, left, val);
    ++middle;
    //@ assert sorted: Sorted(a, middle, last);
    size_type right = middle + upper_bound(a + middle, last - middle, val);
    //@ assert constant: UpperBound(a, middle, right, val);
    //@ assert strict: StrictLowerBound(a, right, last, val);
   return make_pair(left, right);
 else {
   return make_pair(first, first);
```

Listing 5.12: Second implementation of equal\_range

Due to the higher complexity of the second implementation, additional assertions had to be added to ensure that Frama-C is able to verify the correctness of the code. All of these are related to pointer arithmetic and shifting base pointers. They fall into three groups and are briefly discussed below. In order to enable the automatic verification of these properties we added the ACSL lemmas in Listing 5.13.

```
/ * @
 lemma
   SortedShift {L}:
     \forall value_type *a, integer 1, r;
     0 \leftarrow 1 \leftarrow r => Sorted\{L\}(a, l, r) => Sorted\{L\}(a+l, r-l);
 lemma
   LowerBoundShift {L}:
     \forall value_type *a, val, integer b, c, d;
       LowerBound(L)(a+b, c, d, val)
       LowerBound(L)(a, c+b, d+b, val);
 lemma
   StrictLowerBoundShift{L}:
     \forall value_type *a, val, integer b, c, d;
       StrictLowerBound(L)(a+b, c, d, val)
       StrictLowerBound{L}(a, c+b, d+b, val);
 lemma
   UpperBoundShift{L}:
   \forall value_type *a, val, integer b, c;
     UpperBound(L)(a+b, 0, c-b, val) ==>
     UpperBound{L} (a,
                       b, c, val);
 lemma
   StrictUpperBoundShift{L}:
     \forall value_type *a, val, integer b, c;
       StrictUpperBound{L}(a+b, 0, c-b, val) ==>
       StrictUpperBound(L)(a, b, c, val);
*/
```

Listing 5.13: Some lemmas to support the verification of equal\_range

## The sorted properties

Both upper\_bound and lower\_bound require that they operate on sorted data. This is also true for equal\_range, however, inside our second implementation we need a more specific formulation, namely,

```
Sorted(a + middle, last - middle)
```

A three-argument form of the Sorted predicate from Listing 5.1 was added so we can spell out an intermediate step. This enables the provers to verify the preconditions of the call to lower\_bound automatically. A similar assertion is present before the call to upper\_bound.

## The strict and constant properties

Part of the post conditions of equal\_range is that val is both a strict upper and a strict lower bound. However, the calls to upper\_bound and lower\_bound only give us

```
StrictUpperBound(a + first, 0, left - first, val)
StrictLowerBound(a + middle, right - middle, last - middle, val)
```

which is not enough to reach the desired post conditions automatically. One intermediate step for each of the assertions was sufficient to guide the prover to the desired result.

Conceptually similar to the strict properties the constant properties guide the prover towards

```
LowerBound(a, left, n, val)
UpperBound(a, 0, right, val)
```

Combining these properties allow the postcondition middle to be derived automatically.

# 5.4. The binary\_search algorithm

The binary\_search algorithm is one of the four binary search algorithms of the C++ Standard Library [14, §25.4.3.4]. For our purposes we have modified the generic implementation to that of an array of type value\_type. The signature now reads:

```
bool binary_search(const value_type* a, size_type n, value_type val);
```

Again, binary\_search requires that its input array is sorted in ascending order. It will return **true** if there exists an index i in a such that a[i] == val holds.<sup>22</sup>

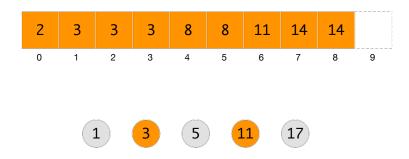


Figure 5.14.: Some examples for binary\_search

In Figure 5.14 we do not need to use arrows to visualize the effects of binary\_search. The colors orange and grey of the sought-after values indicate whether the algorithm returns true or false, respectively.

## 5.4.1. Formal specification of binary\_search

The ACSL specification of binary\_search is shown in Listing 5.15.

```
/*@
  requires valid: \valid_read(a + (0..n-1));
  requires sorted: Sorted(a, n);

  assigns \nothing;

  ensures result: \result <==> \exists integer i; 0 <= i < n && a[i] == val;
  */
  bool
binary_search(const value_type* a, size_type n, value_type val);</pre>
```

Listing 5.15: Formal specification of binary\_search

Note that instead of the somewhat lengthy existential quantification in Listing 5.15 we can use our previously introduced predicate HasValue (see Listing 3.4) in to achieve the more concise formal specification in Listing 5.16.

<sup>&</sup>lt;sup>22</sup>To be more precise: The C++ Standard Library requires that (a[i] <= val) && (val <= a[i]) holds. For our definition of value\_type (see Section 1.3) this means that val equals a[i].

```
/*@
  requires valid: \valid_read(a + (0..n-1));
  requires sorted: Sorted(a, n);

  assigns \nothing;

  ensures result: \result <==> HasValue(a, n, val);
  */
  bool
binary_search2(const value_type* a, size_type n, value_type val);
```

Listing 5.16: Formal specification of binary\_search using the HasValue predicate

It is interesting to compare this specification with that of find shown in Listing 3.5. Both find and binary\_search allow to determine whether a value is contained in an array. The fact that the C++ Standard Library requires that find has *linear* complexity whereas binary\_search must have a *logarithmic* complexity can currently not be expressed with ACSL.

#### 5.4.2. Implementation of binary\_search

Our implementation of binary\_search is shown in Listing 5.17.

```
bool
binary_search(const value_type* a, size_type n, value_type val)
{
  const size_type i = lower_bound(a, n, val);
  return (i < n) && (a[i] <= val);
}</pre>
```

Listing 5.17: Implementation of binary\_search

The function binary\_search first calls lower\_bound from Section 5.1. Remember that if the latter returns an index 0 <= i < n then we can be sure that val <= a[i] holds.

# Part III. Mutating and numeric algorithms

# 6. Mutating algorithms

Let us now turn our attention to another class of algorithms, viz. *mutating* algorithms of the C++ Standard Library [14, §25.3], i.e., algorithms that change one or more ranges. In Frama-C, you can explicitly specify that, e.g., entries in an array a may be modified by a function f, by including the following *assigns clause* into the f's specification:

```
assigns a[0..length-1];
```

The expression length-1 refers to the value of length when f is entered, see [9, §2.3.2]. Below are the algorithms we will discuss in this chapter. First, however, we introduce in Sections 6.1 and 6.2 the auxiliary predicates Unchanged and MultisetUnchanged, respectively.

- fill in Section 6.3 initializes each element of an array by a given fixed value.
- swap in Section 6.4 exchanges two values.
- swap\_ranges in Section 6.5 exchanges the contents of the arrays of equal length, element by element. We use this example to present "modular verification", as swap\_ranges reuses the verified properties of swap.
- copy in Section 6.6 copies a source array to a destination array.
- copy\_backward in Section 6.7 also copies a source array to a destination array. This version, however, uses another separation condition than copy.
- reverse\_copy and reverse in Sections 6.8 and 6.9, respectively, reverse an array. Whereas reverse\_copy copies the result to a separate destination array, the reverse algorithm works in place.
- rotate\_copy in Section 6.10 rotates a source array by m positions and copies the results to a destination array.
- rotate in Section 6.11 rotates *in place* a source array by m positions.
- replace\_copy and replace in Sections 6.12 and 6.13, respectively, substitute each occurrence of a value by a given new value. Whereas replace\_copy copies the result to a separate array, the replace algorithm works in place.
- remove\_copy and remove in Sections 6.14–6.17 *filter* all occurrences of a given value from an array. Whereas remove\_copy copies the result to a separate array, the remove algorithm works in place. Note that we provide altogether three versions of how to specify remove\_copy. This shall help the reader to understand that finding appropriate contracts is an iterative process and that it is usually a good idea to *not* strive for a "complete" contract right from the beginning.

Note that in Chapter 7 we give an even more detailed presentation of a related algorithm.

• random\_shuffle Section 6.18 re-arranges the elements of an array in a random way.

# 6.1. The predicate Unchanged

Many of the algorithms in this section iterate sequentially over one or several sequences. For the verification of such algorithms it is often important to express that a section of an array, or the complete array, have remained *unchanged*; this cannot always be expressed by an assigns clause. In Listing 6.1 we therefore introduce the overloaded predicate Unchanged together with some simple lemmas. The expression Unchanged {K, L} (a, f, l) is true if the range a[f..l-l] in state K is element-wise equal to that range in state L.

```
/*@
  predicate
  Unchanged{K,L}(value_type* a, integer m, integer n) =
    \forall integer i; m <= i < n ==> \at(a[i],K) == \at(a[i],L);

predicate
  Unchanged{K,L}(value_type* a, integer n) =
    Unchanged{K,L}(a, 0, n);
*/
```

Listing 6.1: The predicate Unchanged

We also provide a few lemmas for Unchanged that we need for the verification of some algorithms.

Lemma UnchangedSection in Listing 6.2 states that if the range a [m..n-1] does not change when going from state K to state L, then a [p..q-1] does not change either, provided the latter is a subrange of the former, i.e. provided  $0 \le m \le p \le q \le n$  holds.

Listing 6.2: The lemma UnchangedSection

Lemma UnchangedStep in Listing 6.3 expresses the simple fact that "unchangedness" is an inductive property.

```
/*@
    lemma
        UnchangedStep{K,L}:
        \forall value_type *a, integer n;
            Unchanged{K,L}(a, n) ==>
            \at(a[n],K) == \at(a[n],L) ==>
            Unchanged{K,L}(a, n+1);
            */
```

Listing 6.3: The lemma UnchangedStep

Lemma UnchangedTransitive in Listing 6.4 expresses the transitivity of Unchanged with respect to program states.

```
/*@
  lemma
  UnchangedTransitive{K, L, M}:
    \forall value_type *a, integer n;
    Unchanged{K, L} (a, n) ==>
        Unchanged{L, M} (a, n) ==>
        Unchanged{K, M} (a, n);
*/
```

Listing 6.4: The lemma UnchangedTransitive

# 6.2. The predicate MultisetUnchanged

Various algorithms in this document *rearrange* or *reorder* the elements of a given range such that the number of each element remains unchanged. In other words, reordering leaves the *multiset*<sup>23</sup> of elements in the range unchanged.

We use the predicate MultisetUnchanged, defined in Listing 6.5, to formally describe this property. This predicate, which is given in two overloaded versions, relies on the logic function Count that is defined in Listing 3.34.

```
/*@
    predicate
    MultisetUnchanged{L1,L2}(value_type* a, integer first, integer last) =
        \forall value_type v;
        Count{L1}(a, first, last, v) == Count{L2}(a, first, last, v);

predicate
    MultisetUnchanged{L1,L2}(value_type* a, integer n) =
        MultisetUnchanged{L1,L2}(a, 0, n);
*/
```

Listing 6.5: The predicate MultisetUnchanged

 $<sup>^{23}\</sup>mathbf{See}$  http://en.wikipedia.org/wiki/Multiset

# 6.3. The fill algorithm

The fill algorithm in the C++ Standard Library [14, §25.3.6] initializes general sequences with a particular value. For our purposes we have modified the generic implementation to that of an array of type value\_type. The signature now reads:

```
void fill(value_type* a, size_type n, value_type val);
```

#### 6.3.1. Formal specification of fill

Listing 6.6 shows the formal specification of fill in ACSL. We can express the postcondition of fill simply by using the overloaded predicate ConstantRange from Listing 3.25.

```
/*@
  requires valid: \valid(a + (0..n-1));

  assigns a[0..n-1];

  ensures constant: ConstantRange(a, n, val);
  */
  void
fill(value_type* a, size_type n, value_type val);
```

Listing 6.6: Formal specification of fill

The assigns-clauses formalize that fill modifies only the entries of the range a [0..n-1]. In general, when more than one *assigns clause* appears in a function's specification, it is permitted to modify any of the referenced memory locations. However, if no *assigns clause* appears at all, the function is free to modify any memory location, see [9, §2.3.2]. To forbid a function to do any modifications outside its scope, a clause assigns \nothing; must be used, as we practised in the example specifications in Chapter 3.

## 6.3.2. Implementation of fill

Listing 6.7 shows an implementation of fill.

```
void
fill(value_type* a, size_type n, value_type val)
{
    /*@
    loop invariant bound:    0 <= i <= n;
    loop invariant constant: ConstantRange(a, i, val);
    loop assigns i, a[0..n-1];
    loop variant n-i;
    */
    for (size_type i = 0u; i < n; ++i) {
        a[i] = val;
    }
}</pre>
```

Listing 6.7: Implementation of fill

The loop invariant constant expresses that for each iteration the array is filled with the value of val up to the index i of the iteration. Note that we use here again the predicate ConstantRange from Listing 3.25.

# 6.4. The swap algorithm

The swap algorithm [14, §25.3.3] in the C++ Standard Library exchanges the contents of two variables. Similarly, the iter\_swap algorithm [14, §25.3.3] exchanges the contents referenced by two pointers. Since C and hence ACSL, does not support an & type constructor ("declarator"), we will present an algorithm that processes pointers and refer to it as swap.

#### 6.4.1. Formal specification of swap

The ACSL specification for the swap function is shown in Listing 6.8. The preconditions are formalized by the requires-clauses which state that both pointer arguments of the swap function must be dereferenceable.

```
/*@
  requires \valid(p);
  requires \valid(q);

  assigns *p;
  assigns *q;

  ensures *p == \old(*q);
  ensures *q == \old(*p);
  */
void
swap(value_type* p, value_type* q);
```

Listing 6.8: Formal specification of swap

Upon termination of swap the entries must be mutually exchanged. We can express those postconditions by using the ensures-clause. The expression  $\old(*p)$  refers to the pre-state of the function contract, whereas by default, a postcondition refers the values after the functions has been terminated.

## 6.4.2. Implementation of swap

Listing 6.9 shows the usual straight-forward implementation of swap. No interspersed ACSL is needed to get it verified by Frama-C.

```
void
swap(value_type* p, value_type* q)
{
  value_type save = *p;
  *p = *q;
  *q = save;
}
```

Listing 6.9: Implementation of swap

# 6.5. The swap\_ranges algorithm

The swap\_ranges algorithm in the C++ Standard Library [14, §25.3.3] exchanges the contents of two expressed ranges element-wise. After translating C++ reference types and iterators to C, our version of the original signature reads:

```
void swap_ranges(value_type* a, size_type n, value_type* b);
```

We do not return a value since it would equal n, anyway.

This function refers to the previously discussed algorithm swap. Thus, swap\_ranges serves as another example for "modular verification". The specification of swap will be automatically integrated into the proof of swap\_ranges.

## 6.5.1. Formal specification of swap\_ranges

Listing 6.10 shows an ACSL specification for the swap\_ranges algorithm.

```
/*@
  requires valid: \valid(a + (0..n-1));
  requires valid: \valid(b + (0..n-1));
  requires sep: \separated(a+(0..n-1), b+(0..n-1));

  assigns a[0..n-1];
  assigns b[0..n-1];

  ensures equal: EqualRanges{Old, Here}(a, n, b);
  ensures equal: EqualRanges{Old, Here}(b, n, a);
  */
  void
  swap_ranges(value_type* a, size_type n, value_type* b);
```

Listing 6.10: Formal specification of swap\_ranges

The swap\_ranges algorithm works correctly only if a and b do not overlap. Because of that fact we use the separated-clause to tell Frama-C that a and b must not overlap.

With the assigns-clause we postulate that the swap\_ranges algorithm alters the elements contained in two distinct ranges, modifying the corresponding elements and nothing else.

The postconditions of swap\_ranges specify that the content of each element in its post-state must equal the pre-state of its counterpart. We can use the predicate EqualRanges (see Listing 3.15) together with the label Old and Here to express the postcondition of swap\_ranges. In our specification in Listing 6.10, for example, we specify that the array a in the memory state that corresponds to the label Here is equal to the array b at the label Old. Since we are specifying a postcondition Here refers to the post-state of swap\_ranges whereas Old refers to the pre-state.

## 6.5.2. Implementation of swap\_ranges

Listing 6.11 shows an implementation of swap\_ranges together with the necessary loop annotations.

```
void
swap_ranges(value_type* a, size_type n, value_type* b)
{
    /*@
    loop invariant bound: 0 <= i <= n;
    loop invariant equal: EqualRanges{Pre, Here}(a, i, b);
    loop invariant equal: EqualRanges{Pre, Here}(b, i, a);

    loop invariant unchanged: Unchanged{Pre, Here}(a, i, n);
    loop invariant unchanged: Unchanged{Pre, Here}(b, i, n);

    loop assigns i, a[0..n-1], b[0..n-1];
    loop variant n-i;
    */
    for (size_type i = 0u; i < n; ++i) {
        swap(a + i, b + i);
    }
}</pre>
```

Listing 6.11: Implementation of swap\_ranges

For the postcondition of the specification in Listing 6.10 to hold, our loop invariants must ensure that at each iteration all of the corresponding elements that have already been visited are swapped.

Note that there are two additional loop invariants which claim that all the elements that have not visited yet equal their original values. This a workaround that allows us to prove the postconditions of swap\_ranges despite the fact that the loop assigns is coarser than it should be. The predicate Unchanged from Listing 6.1 is used to express this property.

# 6.6. The copy algorithm

The copy algorithm in the C++ Standard Library [14, §25.3.1] implements a duplication algorithm for general sequences. For our purposes we have modified the generic implementation to that of a range of type value\_type. The signature now reads:

```
void copy(const value_type* a, size_type n, value_type* b);
```

Informally, the function copies every element from the source range a [0..n-1] to the destination range b [0..n-1], as shown in Figure 6.12.

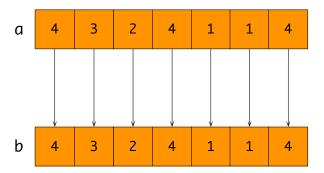


Figure 6.12.: Effects of copy

#### 6.6.1. Formal specification of copy

Figure 6.12 might suggest that the ranges a [0..n-1] and b [0..n-1] must not overlap. However, since the informal specification requires that elements are copied in the order of increasing indices only a weaker condition is necessary. To be more specific, it is required that the pointer b does not refer to elements of a [0..n-1] as shown in the example in Figure 6.13.

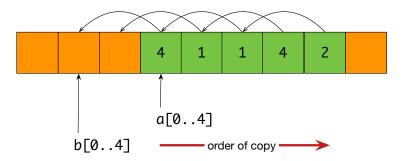


Figure 6.13.: Possible overlap of copy ranges

The ACSL specification of copy is shown in Listing 6.14. The copy algorithm expects that the ranges a and b are valid for reading and writing, respectively. Note the precondition sep that expresses the previously discussed non-overlapping property.

```
/*@
  requires valid: \valid_read(a + (0..n-1));
  requires valid: \valid(b + (0..n-1));
  requires sep: \separated(a + (0..n-1), b);

  assigns b[0..n-1];

  ensures equal: EqualRanges{Old,Here}(a, n, b);

*/
void
copy(const value_type* a, const size_type n, value_type* b);
```

Listing 6.14: Formal specification of copy

Again, we can use the EqualRanges predicate from Section 3.5 to express that the array a equals b after copy has been called. Nothing else must be altered. To state this we use the assigns-clause.

#### 6.6.2. Implementation of copy

Listing 6.15 shows an implementation of the copy function.

Listing 6.15: Implementation of copy

For the postcondition equal to be true, we must ensure that for every index i, the value a[i] must not yet have been changed before it is copied to b[i]. We express this by using the Unchanged predicate.<sup>24</sup>

The assigns clause ensures that nothing but the range b[0..n-1] and the loop variable i is modified. Keep in mind, however, that parts of the source range a[0..n-1] might change due to its potential overlap with the destination range.

<sup>&</sup>lt;sup>24</sup>Alternatively, this could also be expressed by changing the loop assigns clause to i, b[0..i-1]; however, Frama-C doesn't yet support loop assigns clauses containing the loop variable.

# 6.7. The copy\_backward algorithm

The copy\_backward algorithm in the C++ Standard Library [14, §25.3.1] implements another duplication algorithm for general sequences. For our purposes we have modified the generic implementation to that of a range of type value\_type. The signature now reads:

```
void copy_backward(const value_type* a, size_type n, value_type* b);
```

The main reason for the existence of copy\_backward is to allow copying when the start of the destination range a [0..n-1] is contained in the source range b [0..n-1]. In this case, copy can't be employed since its precondition sep is violated, as can be see in Listing 6.14.

The informal specification of <code>copy\_backward</code> states that copying starts at the end of the source range. For this to work, however, the pointer <code>b+n</code> must not be contained in the source range. Note that the order of operation (or procedure) calls cannot be specified in ACSL. A similar remark about order of operations tacitly applied to earlier functions as well, e.g. to <code>copy</code>, where the C++ order was prescribed by confining the signature to a <code>ForwardIterator</code>.

Figure 6.16 gives an example where copy\_backward, but not copy, can be applied.

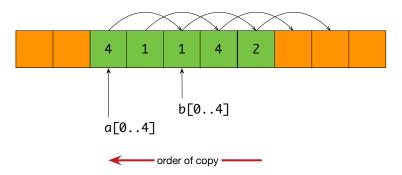


Figure 6.16.: Possible overlap of copy\_backward ranges

Note that in the original signature the argument b refers to one past the end of the destination range. Here, however, it refers to its start. The reason for this change is that in C++ copy\_backward is defined for bidirectional iterators which do not provide random access operations such as adding or subtracting an index. Since our C version works on pointers we do not consider it as necessary to use the one past the end pointer.

#### 6.7.1. Formal specification of copy\_backward

The ACSL specification of copy\_backward is shown in Listing 6.17. The copy\_backward algorithm expects that the ranges a [0..n-1] and b [0..n-1] are valid for reading and writing, respectively. Precondition sep formalizes the constraints on the overlap of the source and destination ranges as discussed at the beginning of this section.

<sup>&</sup>lt;sup>25</sup>The Aoraï specification language and the corresponding Frama-C plugin are provided to specify and verify temporal properties of code; however, they are beyond the scope of this tutorial.

```
/*@
  requires valid: \valid_read(a + (0..n-1));
  requires valid: \valid(b + (0..n-1));
  requires sep: \separated(a + (0..n-1), b + n);

  assigns b[0..n-1];

  ensures equal: EqualRanges{Old, Here}(a, n, b);

*/
void
copy_backward(const value_type* a, size_type n, value_type* b);
```

Listing 6.17: Formal specification of copy\_backward

The function copy\_backward assigns the elements from the source range a to the destination range b, modifying the memory of the elements pointed to by b. Again, we can use the EqualRanges predicate from Section 3.5 to express that the array a equals b after copy\_backward has been called.

#### 6.7.2. Implementation of copy\_backward

Listing 6.18 shows an implementation of the copy\_backward function.

Listing 6.18: Implementation of copy\_backward

We have loop invariants similar to copy, stating the loop variable's range (bound) and the area that has already been copied in each cycle (equal).

# 6.8. The reverse\_copy algorithm

The reverse\_copy algorithm of the C++ Standard Library [14, §25.3.10] inverts the order of elements in a sequence. reverse\_copy does not change the input sequence, and copies its result to the output sequence. For our purposes we have modified the generic implementation to that of a range of type value\_type. The signature now reads:

```
void reverse_copy(const value_type* a, size_type n, value_type* b);
```

Informally, reverse\_copy copies the elements from the array a into array b such that the copy is a reverse of the original array.

Listing 6.19: The predicate Reverse

In order to concisely formalize these conditions we define in Listing 6.19 the predicate Reverse (see also Figure 6.20). We also define several overloaded variants of Reverse that provide default values for some of the parameters. These overloaded versions enable us to write more concise ACSL annotations.

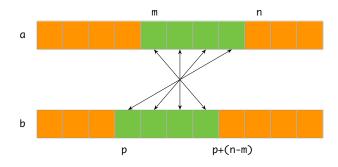


Figure 6.20.: Sketch of predicate Reverse

#### 6.8.1. Formal specification of reverse\_copy

The ACSL specification of reverse\_copy is shown in Listing 6.21. We use the second version of predicate Reverse from Listing 6.19 in order to formulate the postcondition of reverse\_copy.

```
/*@
  requires valid: \valid_read(a + (0..n-1));
  requires valid: \valid(b + (0..n-1));
  requires sep: \separated(a + (0..n-1), b + (0..n-1));

  assigns b[0..(n-1)];

  ensures reverse: Reverse{Old, Here}(a, n, b);
  ensures unchanged: Unchanged{Old, Here}(a, n);
  */
  void
  reverse_copy(const value_type* a, size_type n, value_type* b);
```

Listing 6.21: Formal specification of reverse\_copy

#### 6.8.2. Implementation of reverse\_copy

Listing 6.22 shows an implementation of the reverse\_copy function. For the postcondition to be true, we must ensure that for every element i, the comparison b[i] == a[n-1-i] holds. This is formalized by the loop invariant reverse where we employ the first version of Reverse from Listing 6.19.

```
void
reverse_copy(const value_type* a, size_type n, value_type* b)
{
    /*@
    loop invariant bound:    0 <= i <= n;
    loop invariant reverse: Reverse{Here,Pre}(b, 0, i, a, n-i);
    loop assigns i, b[0..n-1];
    loop variant n-i;
    */
    for (size_type i = 0u; i < n; ++i) {
        b[i] = a[n - 1u - i];
    }
}</pre>
```

Listing 6.22: Implementation of reverse\_copy

# 6.9. The reverse algorithm

The reverse algorithm of the C++ Standard Library [14, §25.3.10] inverts the order of elements in a sequence. Note that reverse works *in place*, meaning that it modifies its input sequence. Our modified signature reads:

```
void reverse(value_type* a, size_type n);
```

#### 6.9.1. Formal specification of reverse

The ACSL specification for the reverse function is shown in listing 6.23.

```
/*@
  requires valid: \valid(a + (0..n-1));

  assigns a[0..n-1];

  ensures reverse: Reverse{Old, Here}(a, n);
  */
  void
  reverse(value_type* a, size_type n);
```

Listing 6.23: Formal specification of reverse

### 6.9.2. Implementation of reverse

Listing 6.24 shows an implementation of the reverse function. Since the reverse algorithm operates *in place* we use the swap function from Section 6.4 in order to exchange the elements of the first half of the array with the corresponding elements of the second half. We reuse the predicates Reverse (Listing 6.19) and Unchanged (Listing 6.1) in order to write concise loop invariants.

```
void
reverse (value_type* a, size_type n)
  const size_type half = n / 2u;
  //@ assert half: half <= n - half;</pre>
  //@ assert half: 2*half <= n <= 2*half + 1;
  /*@
                           0 <= i <= half <= n-i;
    loop invariant bound:
                             Reverse{Pre, Here} (a, 0, i, n-i);
    loop invariant left:
    loop invariant middle: Unchanged{Pre, Here} (a, i, n-i);
    loop invariant right:
                             Reverse{Pre, Here} (a, n-i, n, 0);
    loop assigns i, a[0..n-1];
    loop variant half - i;
  for (size_type i = Ou; i < half; ++i) {</pre>
    swap(&a[i], &a[n - 1u - i]);
```

Listing 6.24: Implementation of reverse

# 6.10. The rotate\_copy algorithm

The rotate\_copy algorithm of the C++ Standard Library [14,  $\S 25.3.11$ ] copies, in a particular way, the elements of one sequence of length n into a separate sequence. More precisely,

- the first m elements of the first sequence become the last m elements of the second sequence, and
- the last n-m elements of the first sequence become the first n-m elements of the second sequence.

Figure 6.25 illustrates the effects of rotate\_copy by highlighting how the initial and final segments of the array a[0..n-1] are mapped to corresponding subranges of the array b[0..n-1].

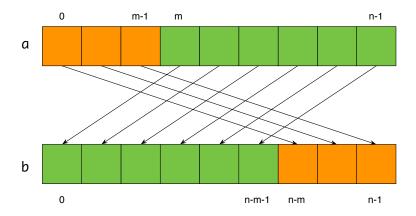


Figure 6.25.: Effects of rotate\_copy

For our purposes we have modified the generic implementation to that of a range of type value\_type. The signature now reads:

```
void rotate_copy(const value_type* a, size_type m, size_type n, value_type* b);
```

## 6.10.1. Formal specification of rotate\_copy

The ACSL specification of rotate\_copy is shown in Listing 6.26. Note that we require explicitly that both ranges do not overlap and that we are only able to *read* from the range a [0..n-1].

```
requires bound: 0 <= m <= n;
requires valid: \valid_read(a + (0..n-1));
requires valid: \valid(b + (0..n-1));
requires sep: \separated(a + (0..n-1), b + (0..n-1));

assigns b[0..(n-1)];

ensures left: EqualRanges{Old, Here}(a, 0, m, b, n-m);
ensures right: EqualRanges{Old, Here}(a, m, n-m, b, 0);
ensures unchanged: Unchanged{Old, Here}(a, n);

*/
void
rotate_copy(const value_type* a, size_type m, size_type n, value_type* b);</pre>
```

Listing 6.26: Formal specification of rotate\_copy

## 6.10.2. Implementation of rotate\_copy

Listing 6.27 shows an implementation of the rotate\_copy function. The implementation simply calls the function copy twice.

```
void
rotate_copy(const value_type* a, size_type m, size_type n, value_type* b)
{
   copy(a, m, b + (n - m));
   copy(a + m, n - m, b);
}
```

Listing 6.27: Implementation of rotate\_copy

# 6.11. The rotate algorithm

The algorithm rotate is an *in-place* variant of the algorithm rotate\_copy of Section 6.10. We have modified the generic specification of rotate [14, §25.3.11] such that it refers to a range of objects of value\_type. The signature now reads:

```
size_type rotate(const value_type* a, size_type m, size_type n);
```

## 6.11.1. Formal specification of rotate

Figure 6.28 shows informally the behavior of rotate. The figure is of course very similar to the one for rotate\_copy (see Figure 6.25). The notable difference is that rotate operates *in place* of the array a [0..n-1].

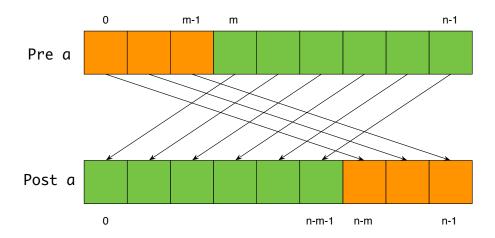


Figure 6.28.: Effects of rotate

The ACSL specification of rotate is shown in Listing 6.29.

```
/*@
  requires valid: \valid(a + (0..n-1));
  requires bound: m <= n;

  assigns a[0..n-1];

  ensures result: \result == n-m;
  ensures left: EqualRanges{Old, Here}(a, 0, m, n-m);
  ensures right: EqualRanges{Old, Here}(a, m, n, 0);

*/
size_type
rotate(value_type* a, size_type m, size_type n);</pre>
```

Listing 6.29: Formal specification of rotate

## 6.11.2. Implementation of rotate

Listing 6.30 shows an implementation of the rotate function together with several ACSL annotations. Actually, there are several ways to implement rotate. We have chosen a particularly simple one that is derived from an implementation of std::rotate for *bidirectional iterators* [14, §24.2.6] and which essentially consists of several calls to the algorithm reverse of Section 6.9.

Note the statement contract of the final call of reverse Listing 6.30. Here we use both the labels Pre and Old which refer to the pre-states of reverse and the function rotate itself, respectively.

```
size_type
rotate(value_type* a, size_type m, size_type n)
  // if one subrange is empty, then nothings needs to be done
 if ((0u < m) && (m < n)) {
   reverse(a, m);
    reverse (a + m, n - m);
     requires left: Reverse{Pre, Here}(a, 0, m, 0);
      requires right: Reverse{Pre, Here}(a, m, n, m);
     assigns
                       a[0..n-1];
      ensures left:
                     Reverse{Old, Here} (a, 0, m, n-m);
      ensures right: Reverse{Old, Here}(a, m, n, 0);
    reverse(a, n);
    //@ assert left:
                       EqualRanges{Pre, Here} (a, 0, m, n-m);
    //@ assert right: EqualRanges{Pre, Here}(a, m, n, 0);
 return n - m;
```

Listing 6.30: Implementation of rotate

# 6.12. The replace\_copy algorithm

The replace\_copy algorithm of the C++ Standard Library [14, §25.3.5] substitutes specific elements from general sequences. Here, the general implementation has been altered to process value\_type ranges. The new signature reads:

The replace\_copy algorithm copies the elements from the range a[0..n] to range b[0..n], substituting every occurrence of v by w. The return value is the length of the range. As the length of the range is already a parameter of the function this return value does not contain new information.

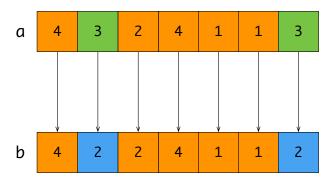


Figure 6.31.: Effects of replace

Figure 6.31 shows the behavior of replace\_copy at hand of an example where all occurrences of the value 3 in a [0..n-1] are replaced with the value 2 in b [0..n-1].

#### 6.12.1. The predicate Replace

We start with defining in Listing 6.32 the predicate Replace that describes the intended relationship between the input array a [0..n-1] and the output array b [0..n-1]. Note the introduction of *local bindings* \let ai = ... and \let bi = ... in the definition of Replace (see  $[9, \S 2.2]$ ).

Listing 6.32: The predicate Replace

Listing 6.32 also contains a second, overloaded version of Replace which we will use for the specification of the related in-place algorithm replace in Section 6.13.

## 6.12.2. Formal specification of replace\_copy

Using predicate Replace the ACSL specification of replace\_copy is as simple as in Listing 6.33. Note that we require that the pointer b does not refer to elements of the source range a [0.n-1] (see also Section 6.6).

Listing 6.33: Formal specification of the replace\_copy

#### 6.12.3. Implementation of replace\_copy

An implementation (including loop annotations) of replace\_copy is shown in Listing 6.34. Note how the structure of the loop annotations resembles the specification of Listing 6.33.

Listing 6.34: Implementation of the replace\_copy algorithm

# 6.13. The replace algorithm

The replace algorithm of the C++ Standard Library [14, §25.3.5] substitutes specific values in a general sequence. Here, the general implementation has been altered to process value\_type ranges. The new signature reads

```
void replace(value_type* a, size_type n, value_type v, value_type w);
```

The replace algorithm substitutes all elements from the range a [0..n-1] that equal v by w.

## 6.13.1. Formal specification of replace

Using the second predicate Replace from Listing 6.32 the ACSL specification of replace can be expressed as in Listing 6.35.

```
/*@
  requires valid: \valid(a + (0..n-1));

  assigns a[0..n-1];

  ensures replace: Replace{Old, Here}(a, n, v, w);
  */
  void
  replace(value_type* a, size_type n, value_type v, value_type w);
```

Listing 6.35: Formal specification of the replace

#### 6.13.2. Implementation of replace

An implementation of replace is shown in Listing 6.36. The loop invariant unchanged expresses that when entering iteration i the elements a [i..n-1] have not yet changed.

Listing 6.36: Implementation of the replace algorithm

# 6.14. The remove\_copy algorithm (basic contract)

The remove\_copy algorithm of the C++ Standard Library [14, §25.3.8] copies all elements of a sequence other than a given value. Here, the general implementation has been altered to process value\_type ranges. The new signature reads:

```
size_type
remove_copy(const value_type* a, size_type n, value_type* b, value_type v);
```

The requirements of remove\_copy are:

| Requirements                  | Description  |  |  |
|-------------------------------|--|--|--|
| Remove Copy Size              | The output range has to fit in all the elements of the input range,  |  |  |
|                               | except the ones that equal the value v by remove_copy.               |  |  |
| Remove Copy Separated         | The input range and the output range do not overlap                  |  |  |
| Remove Copy Elements          | The remove_copy algorithm copies elements that are not               |  |  |
|                               | equal to v from range a[0n-1] to the range b[0\                      |  |  |
|                               | result-1].   |  |  |
| Remove Copy Stability         | The algorithm is stable, that is, the relative order of the elements |  |  |
|                               | in b is the same as in a.  |  |  |
| Remove Copy Return            | The return value is the length of the resulting range.               |  |  |
| <b>Remove Copy Complexity</b> | The algorithm takes $n$ comparisons in every case.                   |  |  |

Table 6.37.: Properties of remove\_copy

Figure 6.38 shows an example of how remove\_copy is supposed to copy elements that differ from 4 from the input range to the output range.

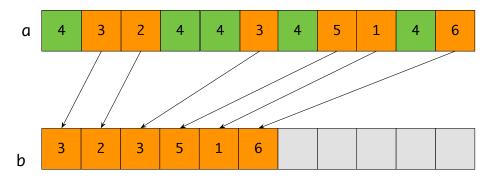


Figure 6.38.: Effects of remove\_copy

### 6.14.1. Formal specification of remove\_copy

Listing 6.39 shows our first attempt to specify remove\_copy. In postcondition discard we use of the negation of the predicate HasValue from Listing 3.4 to show that the value v does not occur in the range b[0..\result].

```
requires valid: \valid_read(a + (0..n-1));
requires valid: \valid(b + (0..n-1));
requires sep: \separated(a + (0..n-1), b + (0..n-1));

assigns b[0..n-1];

ensures bound: 0 <= \result <= n;
ensures discard: !HasValue(b, \result, v);
ensures unchanged: Unchanged{Old, Here}(b, \result, n);

*/
size_type
remove_copy(const value_type *a, size_type n, value_type *b, value_type v);</pre>
```

Listing 6.39: Formal specification of remove\_copy

One shortcoming of this specification is that the postcondition bound only makes very general and not very precise statements about the number of copied elements. We will address this problem in Section 6.15. A more serious shortcoming is, however, that we haven't specified what the relationship between the elements of the input range a[0.n-1] and the output range  $b[0..\rrangle]$  looks like. This problem will be discussed in Section 6.16.

## 6.14.2. Implementation of remove\_copy

An implementation of remove\_copy is shown in Listing 6.40.

Listing 6.40: Implementation of remove\_copy

Here we also need to add another loop invariant discard which basically checks if v occurs in b[0..k] for each iteration of the loop.

## 6.15. The remove\_copy algorithm (number of copied elements)

This section rests on Section 6.14 and improves on the contract from Listing 6.39 by exactly specifying the number \result of elements copied by remove\_copy.

Actually, it is not difficult to formally describe this number because it is equal to the size n of the input range minus the number of occurrences of the value v in the input range. We therefore define the corresponding logic function RemoveSize in Listing 6.41 simply by resorting to the logic function Count from Listing 3.34. The parameter m adds the option to analyze specific segments of a range.

```
/ * @
 logic integer
   RemoveSize(value_type* a, integer m, integer n, value_type v) = n - m - Count(a,
       m, n, v);
   RemoveSize(value_type* a, integer n, value_type v) = RemoveSize(a, 0, n, v);
 lemma
   RemoveSizeEmpty:
     \forall value_type *a, v, integer m, n;
       n \le m = \infty RemoveSize(a, m, n, v) == n - m;
 lemma
   RemoveSizeHit:
     \forall value_type *a, v, integer m, n;
       m \ll n => a[n] == v ==>
       RemoveSize(a, m, n+1, v) == RemoveSize(a, m, n, v);
 lemma
   RemoveSizeMiss:
    \forall value_type *a, v, integer m, n;
      m \ll n => a[n] != v ==>
      RemoveSize(a, m, n+1, v) == RemoveSize(a, m, n, v) + 1;
   RemoveSizeRead{L1,L2}:
    \forall value_type *a, v, integer m, n;
      Unchanged\{L1,L2\}(a, m, n) ==>
      RemoveSize{L1}(a, m, n, v) == RemoveSize{L2}(a, m, n, v);
*/
```

Listing 6.41: The predicate RemoveSize

We added an overloaded version without the parameter m, where the value of m is set to 0 while calling the function RemoveSize(a, m, n, v.

## 6.15.1. Formal specification of remove\_copy

To extend our formal specification by using RemoveSize we add the new postcondition size, which states that the returning value of remove\_copy equals RemoveSize. Listing 6.42 shows the extended formal specification with the new postcondition.

With this contract we now can talk about the number of copied elements. It is still not possible to say anything about the relationship between the elements of range a[0..n-1] and range b[0..n-1] but we will change this in Section 6.16 with the help of RemoveSize.

```
requires valid: \valid_read(a + (0..n-1));
requires valid: \valid(b + (0..n-1));
requires sep: \separated(a + (0..n-1), b + (0..n-1));

assigns b[0..n-1];

ensures size: \result == RemoveSize(a, n, v);
ensures bound: 0 <= \result <= n;
ensures discard: !HasValue(b, \result, v);
ensures unchanged: Unchanged{Old, Here}(b, \result, n);

*/
size_type
remove_copy2(const value_type* a, size_type n, value_type* b, value_type v);</pre>
```

Listing 6.42: Specification with RemoveSize

## 6.15.2. Implementation of remove\_copy

Listing 6.43 shows the implementation of our extended specification of remove\_copy. Here we added the loop invariant size which corresponds to the postcondition in Listing 6.42.

```
size type
remove_copy2(const value_type* a, size_type n, value_type* b, value_type v)
 size_type k = 0u;
   / * @
   loop invariant discard: !HasValue(b, k, v);
   loop invariant unchanged: Unchanged{Pre, Here} (b, k, n);
               k, i, b[0..n-1];
   loop assigns
   loop variant
                n-i;
 for (size_type i = 0u; i < n; ++i) {</pre>
   if (a[i] != v) {
     b[k++] = a[i];
 }
 return k;
```

Listing 6.43: Implementation with RemoveSize

# 6.16. The remove\_copy algorithm (final contract)

This section will consider the achievements of the last sections 6.14 and 6.15 while introducing a new logic function, which is able to describe the relationship between the elements of a [0..n-1] and the elements of b [0..RemoveSize(a, n, v)-1]. Note that we have shown in Section 6.15 that the result of RemoveSize(a, n, v) is equal to the value of \result. Therefore the ranges b [0...result-1] and b [0..RemoveSize(a, n, v)-1] share the same length for a given range a [0..n-1]. This property will be used in Section 6.16.4.

### 6.16.1. A closer look on the properties of remove\_copy

Figure 6.44 shows a modified version of the Figure 6.38. We left out the indices of values that were not copied into the target array. Furthermore we have added a dashed arrow which points to the index that corresponds to the *one past the end* location of the input and output range.

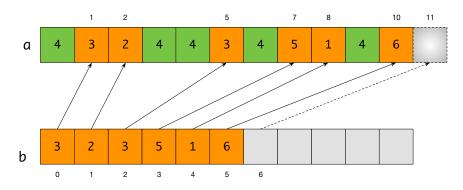


Figure 6.44.: Partitioning the input of remove\_copy

These arrows between the indices of the array b and array a define the following sequence p of seven indices. The index of the *one past the end* is underlined. p = (1, 2, 5, 7, 8, 10, 11)

More generally, we refer to the sequence p as partitioning sequence of remove\_copy for the array a [0...n-1]. For the **length of a partitioning sequence** m we get the following **strictly monotone increasing** sequence:

$$0 \le p_0 < \dots < p_m = n \tag{6.1}$$

and the open index intervals

$$(p_i, p_{i+1}) \qquad \forall i : 0 \le i < m \tag{6.2}$$

mark consecutive ranges of the value v in the source array, that is,

$$a[k] = v \qquad \forall k : p_i < k < p_{i+1} \tag{6.3}$$

Additionally, the half open interval

$$[0,p_0) \tag{6.4}$$

also marks another **consecutive range** of the value v in the source array:

$$a[k] = v \qquad \forall k : 0 \le k < p_0 \tag{6.5}$$

Another observation is that

$$a[p_i] \neq v \qquad \forall i : 0 \le i < m \tag{6.6}$$

holds. Finally, we have

$$a[p_i] = b[i] \qquad \forall i : 0 \le i < m \tag{6.7}$$

which, together with the inequality (6.6) states, that

$$b[i] \neq v \qquad \forall i : 0 \le i < m$$

This means that the target does not contain the value  $\ensuremath{\text{v}}$ .

### 6.16.2. Formalizing the properties of the partitions of remove\_copy

The function RemovePartition, whose axiomatic definition is given in Listing 6.46, defines the partitioning sequence p from Section 6.16.1. Before we begin to relate the axioms from Listing 6.46 to the formulas from Section 6.16.1 we want to remind the reader that logic functions (and predicates) must be total that is they must be defined for all possible argument values.

We would also like to point out that our definition of RemovePartition is slightly more general than indicated in Section 6.16.1. Instead of considering the range of a [0..n-1], we define RemovePartition on the range a [m..n-1]. This is why we use the additional parameter m. We also included an overloaded version of RemovePartition where the parameter m is set to 0. We will make use of this version later in Section 6.16.5.

The logic function has these properties:

- Axioms RemovePartitionEmpty, RemovePartitionLeft RemovePartitionRight, and RemovePartitionMonotone describe the monotonicity property (6.1)
- Axiom RemovePartitionSegment represents the property of the Equation (6.3)
- Axiom RemovePartitionInitial represents the property shown in Equation (6.5)
- Axiom RemovePartitionNotValue reflects Equation (6.6)
- Axiom RemovePartitionEqual expresses that the value of RemovePartition(a, m, n, v, p) does not rely on the size of the array

In addition to these some lemmas are used to describe the bounds of RemovePartition. These are shown in Listing 6.45.

```
/*@
lemma RemovePartitionLowerBound:
    \forall value_type *a, v, integer p, n;
    0 < n ==>
    0 <= p < RemoveSize(a, n, v) ==>
    0 <= RemovePartition(a, n, v, p);

lemma RemovePartitionUpperBound:
    \forall value_type *a, v, integer p, n;
    0 < n ==>
    0 <= p < RemoveSize(a, n, v) ==>
    RemovePartition(a, n, v, p) < n;
*/</pre>
```

Listing 6.45: The bound lemmas for RemovePartition

```
/ * @
 axiomatic RemovePartitionAxiomatic
   logic integer
     RemovePartition(value_type* a, integer m, integer n,
                      value_type v, integer p) reads a[m..n-1];
   axiom RemovePartitionEmpty:
     \forall value_type *a, v, integer m, n, p;
       n \ll m => RemovePartition(a, m, n, v, p) == m;
   axiom RemovePartitionLeft:
      \forall value_type *a, v, integer m, n, p;
       p < m < n ==> RemovePartition(a, m, n, v, p) == m;
   axiom RemovePartitionRight:
      \forall value_type *a, v, integer m, n, p;
       m < n ==> RemoveSize(a, m, n, v) <= p ==>
          RemovePartition(a, m, n, v, p) == n;
   axiom RemovePartitionMonotone:
      \forall value_type *a, v, integer m, n, p, q;
       m \ll p \ll q \ll RemoveSize(a, m, n, v) ==>
          m \le RemovePartition(a, m, n, v, p) \le RemovePartition(a, m, n, v, q) \le n;
   axiom RemovePartitionSegment:
      \forall value_type *a, v, integer i, m, n, p;
         m \le p \le RemoveSize(a, m, n, v) ==>
          \label{eq:removePartition} \mbox{RemovePartition(a, m, n, v, p) < i < \mbox{RemovePartition(a, m, n, v, p+1)} \mbox{}
    ==> a[i] == v;
   axiom RemovePartitionInitial:
      \forall value_type *a, v, integer i, m, n, p;
       m \le i \le RemovePartition(a, m, n, v, m) ==> a[i] == v;
   axiom RemovePartitionNotValue:
     \forall value_type *a, v, integer m, n, p;
       m \le p \le RemoveSize(a, m, n, v) ==>
          a[RemovePartition(a, m, n, v, p)] != v;
   axiom RemovePartitionEqual:
      \forall value_type *a, v, integer m, n1, n2, p;
       m <= n1 < n2 ==>
   m \le p \le RemoveSize(a, n1, v) ==>
     RemovePartition(a, m, n1, v, p) == RemovePartition(a, m, n2, v, p);
   axiom RemovePartitionRead{K,L}:
      \forall value_type *a, v, integer m, n, p;
       Unchanged(K,L)(a, m, n) ==>
          RemovePartition\{K\} (a, m, n, v, p) == RemovePartition\{L\} (a, m, n, v, p);
 logic integer
   RemovePartition(value_type* a, integer n, value_type v, integer p)
     = RemovePartition(a, 0, n, v, p);
```

Listing 6.46: The logic function RemovePartition

### 6.16.3. The predicate Remove

In Listing 6.47 we introduce the new predicate Remove to improve the readability of our specification. The predicate expresses that for every element of the range b[m..RemoveSize(a, m, n, v)-1] the equation

```
b[k] == RemovePartition(a, m, n, v, k)
```

holds.

Listing 6.47: The predicate Remove

In addition, we define Remove for two labels that describe memory states. This improvement provides us with the ability to use the predicate Remove for the remove algorithm in Section 6.17, where the memory state before the execution of remove has to be compared to the memory state after its execution. The predicate also contains two overloaded versions of Remove. The first one is used as a shortcut to talk about the whole range of a [0..n-1] by simply setting the value of m to zero. The second version is used in the specification of the inplace algorithm remove later in Section 6.17. We call the predicate with the parameter a two times because we want to access a at two different memory states as explained above. Therefore we improve the readability of the contract by the parameter for the second range if we want only want to look at one range.

### 6.16.4. Formal specification of remove\_copy

The Listing 6.48 shows the extended version of the formal specification of remove\_copy.

```
requires valid: \valid_read(a + (0..n-1));
requires valid: \valid(b + (0..n-1));
requires sep: \separated(a + (0..n-1), b);

assigns b[0..n-1];

ensures size: \result == RemoveSize{Old}(a, n, v);
ensures bound: 0 <= \result <= n;
ensures remove: Remove{Old, Here}(a, n, b, v);
ensures unchanged: Unchanged{Old, Here}(a, \result-1, n);
ensures unchanged: Unchanged{Old, Here}(b, \result, n);
*/
size_type
remove_copy3(const value_type* a, size_type n, value_type* b, value_type v);</pre>
```

Listing 6.48: An extended specification for remove\_copy

The additional postcondition remove makes use of the predicate Remove which was described previously. We also use the lemma RemoveImpliesNotHasValue shown in Listing 6.49. The lemma states that if the predicate Remove holds, then also the postcondition discard which we used until now has to hold. That is why we are able to leave out the postcondition discard in the specification of Listing 6.48. Furthermore, we use an additional postcondition unchanged which states that the values of the source array a [0..n] are not changed in the range a [\result-1..n].

```
/*@
lemma RemoveImpliesNotHasValue{A,B}:
    \forall value_type *a, *b, v, integer n;
    Remove{A,B}(a, n, b, v) ==> !HasValue{B}(b, RemoveSize{A}(a, n, v), v);
*/
```

Listing 6.49: The lemma RemoveImpliesNotHasValue

### 6.16.5. Implementation of remove\_copy

The listing 6.50 shows the more detailed implementation of remove\_copy.

```
size_type
remove_copy3(const value_type* a, size_type n, value_type* b, value_type v)
 size_type k = 0u;
  / * @
   loop invariant mapping: i <= RemovePartition{Pre}(a, n, v, k);
loop invariant remove: Remove{Pre, Here}(a, i, b, v);</pre>
   loop invariant unchanged: Unchanged{Pre, Here} (a, k-1, n);
   loop invariant unchanged: Unchanged{Pre, Here} (b, k,
   loop assigns   k, i, b[0..n-1];
   loop variant
                 n−i;
 for (size_type i = 0u; i < n; ++i) {</pre>
   if (a[i] != v) {
     b[k++] = a[i];
      //@ assert mapping: RemovePartition{Pre}(a, n, v, k) == i;
 }
 return k;
```

Listing 6.50: The extended annotations for remove\_copy

In addition to the loop invariant remove and unchanged which are used by analogy with the postconditions remove and unchanged, we also use another loop invariant mapping. The invariant states that the variable i will always be smaller or equal to the result of RemovePartition(a, n, v, k). We also add the assertion mapping to our implementation as stepping stone for the provers to verify the correctness of the loop invariant. The assertion requires i to be equal to the result of RemovePartition (a, n, v, k) after the increment of k.

## 6.17. The remove algorithm

Besides the remove\_copy function, the C++ Standard Library also contains a function remove performing the same operation as remove\_copy, but in place. Its signature is very similar to that of remove\_copy, except that the b is missing since a is modified in place:

```
size_type remove(value_type* a, size_type n, value_type v);
```

Figure 6.51 shows how remove is supposed to remove all occurrences of the given value 4 from a range.

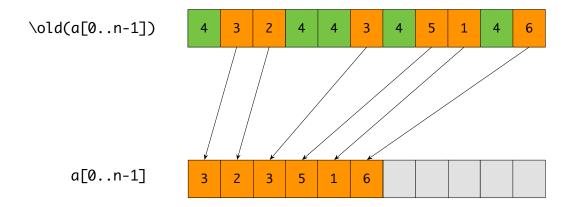


Figure 6.51.: Effects of remove

### 6.17.1. Formal specification of remove

Listing 6.52 shows a formal specification of the remove function. Our specification will share many properties with the one of remove\_copy from Section 6.16.

```
requires valid: \valid(a + (0..n-1));

assigns a[0..n-1];

ensures size: \result == RemoveSize{Pre}(a, n, v);
ensures bound: 0 <= \result <= n;
ensures remove: Remove{Old, Here}(a, n, v);
ensures unchanged: Unchanged{Old, Here}(a, \result, n);

*/
size_type
remove(value_type* a, size_type n, value_type v);</pre>
```

Listing 6.52: Formal specification of remove

For the postcondition remove we use one of the overloaded versions of the predicate Remove from Listing 6.47. We also add the two labels Old and Here to refer to the memory states before and after the execution of remove. In addition we also rely on the Lemma RemoveImpliesNotHasValue from Listing 6.49.

### 6.17.2. Implementation of remove

Listing 6.53 shows our implementation of remove together with the additional loop annotations.

Listing 6.53: Implementation of remove

As loop annotations we add the loop invariants size, bound, remove and unchanged which reflect the postconditions from Listing 6.52.

# 6.18. The random\_shuffle algorithm

The random\_shuffle algorithm in the C++ Standard Library [14, §25.3.12] randomly rearranges the elements of a given range, that is, it randomly picks one of its possible orderings. For our purposes we have modified the generic implementation to that of a range of type value\_type. The signature now reads:

```
void random_shuffle(value_type* a, size_type n);
```

Figure 6.54 illustrates an example run of random\_shuffle. In this figure, the values 1, 2, 3, and 4 occur twice, once, once, and three times, respectively, both before and after the random\_shuffle run. This expresses that the range has been reordered.

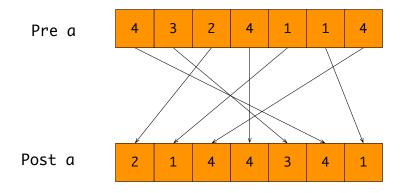


Figure 6.54.: Effects of random\_shuffle

### 6.18.1. Formal specification of random\_shuffle

The ACSL specification of random\_shuffle is shown in Listing 6.55. The random\_shuffle algorithm expects that the range a is valid for reading and writing. We use the predicate MultisetUnchanged defined in Listing 6.5 to express that the contents of a [0..n-1] is just permuted, i.e., the number of occurrences of each of its members remains unchanged. The array random\_seed contains a seed for the random number generator used to randomize the shuffle. By specifying that the function assigns to random\_seed we capture that the function may return a different permutation every time.

```
extern unsigned short random_seed[3];

/*@
  requires \valid(a + (0..n-1));

  assigns a[0..n-1];
  assigns random_seed[0..2];

  ensures MultisetUnchanged{Old, Here}(a,n);

*/
void
random_shuffle(value_type* a, size_type n);
```

Listing 6.55: Formal specification of random\_shuffle

Note that our specification only states that the resulting range is a reordering of the input range; nothing more and nothing less. Ideally, we would also specify that sequence of reorderings obtained by repeated calls of random\_shuffle is required to be random. However, ACSL does currently not support the specification of temporal properties related to repeated call results.

More generally speaking, it is not trivial to capture the notion of randomness in a mathematically precise way. As a typical example, we refer to a paper [16, p.6–8], which just gives four statistical tests indicating the randomness of the permutations computed with their algorithm. From a theoretical point of view, a sequence of permutations can be called "random" if its Kolmogorov complexity exceeds a certain measure, however, this property is undecidable [17].

### 6.18.2. Implementation of random\_shuffle

Listing 6.56 shows an implementation of the random\_shuffle function. It repeatedly calls the function swap from Section 6.4 to *transpose* (randomly) selected elements. The loop invariants reorder and unchanged of random\_shuffle are necessary for the verification of the postcondition reorder: in the ith loop cycle, the subrange a[0..i-1] has been reordered, while the remaining subrange a[i..n-1] is yet unchanged. We also formulate two auxiliary assertions reorder which use the *ghost label* Before, to guide the automatic verification the loop invariant reorder.

```
void
random_shuffle(value_type* a, size_type n)
  if (0u < n) {
    /*@
      loop invariant bounds:
                               1 <= i <= n;
      loop invariant reorder: MultisetUnchanged{Pre, Here}(a, 0, i);
      loop invariant unchanged: Unchanged{Pre, Here}(a, i, n);
      loop assigns i, a[0..n-1], random_seed[0..2];
      loop variant
                    n - i;
    for (size_type i = 1u; i < n; ++i) {</pre>
      const size_type j = random_number(i) + 1u;
      //@ ghost Before:
      swap(&a[j], &a[i]);
      //@ assert reorder: MultisetUnchanged{Before, Here}(a, 0, j);
      //@ assert reorder:
                                  Unchanged{Before, Here} (a, j+1, i);
      //@ assert reorder: MultisetUnchanged{Before, Here} (a, j+1, i);
    }
  }
```

Listing 6.56: Implementation of random\_shuffle

### Verifying a random number generator

Our implementation presupposes a random-number generator named random\_number which is specified in Listing 6.57. As in the case of random\_shuffle itself, we do not formulate specific properties of randomness and only require its result to be in the specified range [0..n-1]. Again, the assigns clause to the array random\_seed models the dependency on an external state.

```
extern unsigned short random_seed[3];

/*@
  requires 0 < n;
  assigns random_seed[0..2];
  ensures 0 <= \result < n;

*/
size_type
random_number(size_type n);</pre>
```

Listing 6.57: Formal specification of random\_number

Internally, the function random\_number uses a custom implementation of the POSIX.1 random number generator lrand48(). <sup>26</sup> For sake of completeness, we have included our implementation of lrand48() (see Listing 6.58).

```
/*@
  1emma
    random_number_modulo:
      \forall unsigned long long a;
        (a % (1ull << 48)) < (1ull << 48);
*/
unsigned short random_seed[3] = { 0x243f, 0x6a88, 0x85a3 };
// see IEEE 1003.1-2008, 2016 Edition for specification
/ * @
 assigns random_seed[0..2];
 ensures lower: 0 <= \result;</pre>
 ensures upper: \result <= 0x7fffffff;</pre>
static long
my_lrand48(void)
 unsigned long long state = (unsigned long long) random_seed[0] << 32</pre>
                               | (unsigned long long) random_seed[1] << 16</pre>
                               | (unsigned long long) random_seed[2];
 state = (0x5deece66dull * state + 0xbull) % (1ull << 48);</pre>
  //@ assert lower: state < (1ull << 48);
 long result = state / (1ull << 17);</pre>
  //@ assert lower: 0 <= result;</pre>
  random_seed[0u] = state >> 32 & 0xffff;
  random_seed[1u] = state >> 16 & 0xffff;
  random_seed[2u] = state >> 8 & 0xffff;
  return result;
size_type
random_number(size_type n)
  return my_lrand48() % n;
```

Listing 6.58: Implementation of random\_number

<sup>&</sup>lt;sup>26</sup>See http://pubs.opengroup.org/onlinepubs/9699919799/functions/lrand48.html

Note the custom ACSL lemma random\_number\_modulo which we introduced to support the verification of the assertions lower.

The random number generator is a linear congruence generator with a 48 bit state and the iteration procedure

$$x_{n+1} = ax_n + c \bmod 2^{48} (6.8)$$

where a = 25214903917 and c = 11 are relatively prime integers.

As a part of the iteration procedure in Equation (6.8) an unsigned overflow may occur. This does not affect the result as we are only interested in its lowest 48 bits. However, as one of the options we use, <code>-warn-unsigned-overflow</code>, causes Frama-C/WP assert the absence of unsigned overflow this algorithm does not verify under the same options used for the other algorithms. As an exception, we have therefore decided to disable <code>-warn-unsigned-overflow</code> for this function as the unsigned overflow is both benign and well-defined (cf. [11, §6.2.5, 9]).

# 7. A closer look at unique\_copy

In this chapter we take a closer look on testing and formal verification of the algorithm unique\_copy from the C++ standard library. We start in Section 7.1 with a careful analysis of unique\_copy's informal requirements. We use the identified requirements to guide our testing and verification artefacts in the subsequent chapters.

Although testing is rightly considered easier than formal verification, *designing* both good test code and convincing test data is far from trivial. This is particularly true when one has to deal with testing properties that rely on *implicit* relationships between the input and output data of an algorithm. In the case of unique\_copy, the main problem is to show that the first (and only the first) element of each consecutive range of equal elements is copied. We tackle this problem in Section 7.2 by exploiting the fact that we deal with a *generic* algorithm. This allows us to transport additional data through the algorithm under test and subsequently use this data to establish that the original data are processed according to the requirements.

In Section 7.3 we then proceed to formally verify a non-generic C version of unique\_copy with the Frama-C [3] verification platform. In particular, we are writing formal function contract in Frama-C's specification language ACSL [2]. Here, we emphasize that there are different levels of how formal one wants to be. Thus, we consider both the formal verification of the *absence of undefined behavior* and the verification of the *functional correctness* of unique\_copy.

We, unsurprisingly, conclude in Section 7.4 that testing and formal verification are complementary and not conflicting activities and also point out the existing support in Frama-C to approach both techniques in a coordinated way.

# 7.1. Informal specification of unique\_copy

This section deals with the informal specification of unique\_copy and its behavior. In Section 7.1.1 we present the requirements of unique\_copy as they are derived from the C++ standard library. In Section 7.1.2 we look at specific examples to provide a better understanding of unique\_copy. To take it one step further we finally present in Section 7.1.3 a more formal analysis of unique\_copy's behavior.

## 7.1.1. What does the C++ standard says about unique\_copy?

The unique\_copy algorithms of the C++ standard library [14, §25.3.9], whose signature is shown in Listing 7.1, is a template function which copies certain values from a sequence given by the right-open interval of iterators [first, last) into a sequence that starts at the iterator result.

Listing 7.1: Signature of unique\_copy from the C++ standard library

For the purposes of this report we do not consider the wide possibilities of ranges covered by this signature, rather we assume the two ranges to be arrays<sup>27</sup> a[0..n-1] and b[0..n-1] of length n. Listing 7.2 shows thus the signature and implementation of a simplified yet still generic function unique\_copy. Later in this document we will consider an even more specific version of unique\_copy that is implemented in C.

```
template<typename T>
size_type unique_copy(const T* a, size_type n, T* b)
{
  auto result = std::unique_copy(a, a + n, b);
  return result - b;
}
```

Listing 7.2: A simplified version of unique\_copy

Besides assuming the input and output ranges to be (generic) arrays, this version of unique\_copy returns the number of copied elements instead of an iterator that indicates the last copied element in the output range.

| Table 7.3 shows our interpretation of the requirements for unique | _copy from the C++ standard[25.3.9] |
|---|-------------------------------------|
| [14] for the signature of Listing 7.2.                            |                                     |

| Requirement                    | Description  |  |
|--------------------------------|--|--|
| <b>Unique Copy Size</b>        | The output range must be able to store the same number of ele-       |  |
|                                | ments as the input range.  |  |
| <b>Unique Copy Separation</b>  | The input range and the output range do not overlap.                 |  |
| <b>Unique Copy Consecutive</b> | ecutive Only the first element from every consecutive group of equal |  |
|                                | elements of the input range is copied into the output range.         |  |
| Unique Copy Return             | The algorithm returns the number of copied elements.                 |  |
| <b>Unique Copy Complexity</b>  | At most $n-1$ comparisons of adjacent elements are performed.        |  |

Table 7.3.: Requirements of unique\_copy

Requirement **Unique Copy Consecutive** captures the core functionality of the algorithms. The intention of this requirement, which is not explicitly mentioned in **Unique Copy Consecutive**, is that the copied elements do *not* contain adjacent equal elements. One goal of this report is to show how this requirement can be expressed in Frama-C's specification language ACSL.

 $<sup>^{27}</sup>$ We employ here and in the following the ACSL notation a [0..n-1] to denote an array of n elements.

Complexity requirements, as formulated in terms of the number of comparison operations in requirement **Unique Copy Complexity**, are essential for specifying efficient algorithms. However, since Frama-C does currently not provide sufficient support for specifying this kind of requirements, we will not consider them in the rest of this document.

### 7.1.2. Some examples for unique\_copy

In this section we analyze the requirement **Unique Copy Consecutive** by looking at how unique\_copy behaves on different inputs.

Figure 7.4 shows the result of unique\_copy when applied to a short array of integers. The arrows indicate from which index in the array a the respective value in the array b originates. The gray portion in the target array indicates that in our example not all elements from a have been copied.

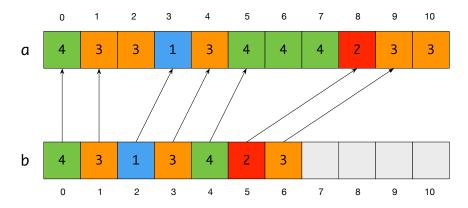


Figure 7.4.: Example of applying unique\_copy

Requirement Unique Copy Consecutive also implies that if unique\_copy is applied to sequence that contains no adjacent equal elements in the first place, then it behaves like an ordinary copy algorithms (Figure 7.5).

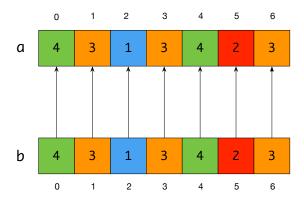


Figure 7.5.: Applying unique\_copy to a sequence with no adjacent equal elements

Another, somewhat extreme, example is applying unique\_copy to a sequence where all elements are equal to each other. In this case, the result of unique\_copy will consists of a single value (Figure 7.6).

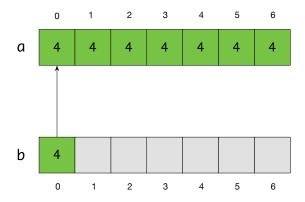


Figure 7.6.: Applying unique\_copy to a sequence where all elements are equal

A typical use case of unique\_copy is to apply it to a *sorted* sequence. In this case calling unique\_copy ensures that each value of the input range occurs exactly once in the output range (Figure 7.7). This is of course known to Unix programmers who can use sort FILE | uniq to remove all duplicate lines from FILE.

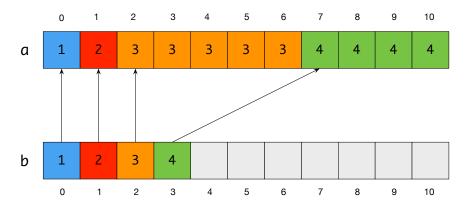


Figure 7.7.: Applying unique\_copy to remove all duplicate elements from a sorted sequence

## 7.1.3. A first analysis of unique\_copy

Figure 7.8 is a slight modification of Figure 7.4. We show here only the indices of the source array whose values are copied into the target array. In addition, we have added another (dashed) arrow to link the indices that correspond to the *one past the end* locations of the input and output ranges, respectively. We use this additional arrow in order to be able to describe all sub sequences of consecutive equal elements in the source array.

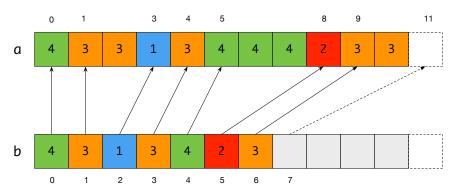


Figure 7.8.: Partitioning the input of unique\_copy

These arrows between the indices of the array b and the array a define the following sequence p of eight indices where the index that points one past the end is underlined.

$$p = (0, 1, 3, 4, 5, 8, 9, 11)$$
 for Figure 7.8

For the other examples the corresponding index sequences are

$$p = (0, 1, 2, 3, 4, 5, 6, 7)$$
 for Figure 7.5  
 $p = (0, 7)$  for Figure 7.6  
 $p = (0, 1, 2, 7, 11)$  for Figure 7.7

Don't forget that the last three figures do not show the respective one past the end arrows.

More generally, we refer to the sequence p as partitioning sequence of unique\_copy for the array a [0...n-1]. This sequence is characterized by the following properties: If m+1 is the **length of a partitioning sequence**, then we observe that they are **strictly monotone increasing** 

$$0 = p_0 < \dots < p_{m+1} = n \tag{7.1}$$

and that the right-open index intervals

$$[p_i, p_{i+1}) \qquad \forall i : 0 \le i < m$$

mark consecutive ranges of equal elements in the source array, that is,

$$\mathbf{a}[p_i] = \mathbf{a}[k] \qquad \forall k : p_i \le k < p_{i+1} \tag{7.2}$$

We also have that the consecutive ranges are maximal in the following sense

$$\mathbf{a}[p_i] \neq \mathbf{a}[p_{i+1}] \qquad \forall i : 0 \le i < m-1 \tag{7.3}$$

and last but not least for the result of unique\_copy it must hold

$$b[i] = a[p_i] \qquad \forall i : 0 \le i < m \tag{7.4}$$

## 7.2. Unit tests for unique\_copy

In this section we derive both *test data* and *test code* that shall establish that the implementation of unique\_copy from Listing 7.2 satisfies the requirements listed in Table 7.3. We are mainly concerned with *unit tests* that capture the functionality of unique\_copy. This is also the reason why we are not discussing the also important issue of *code coverage*.

We are dealing in this section with tests of a *generic* implementation, that is, with an implementation that is parameterized over the type of the elements stored in arrays. Our test code is therefore also as generic as suitable, see Section 7.2.1 for example. Of course, the actual test execution appears with both specific type parameters and concrete test data.

We start our presentation in Section 7.2.2 with a discussion of suitable test data for unique\_copy. Thereby we also have a look at the test data for unique\_copy in *libcxx* [18], an open source implementation of the C++ standard library.

Requirement Unique Copy Consecutive captures the core of unique\_copy, and the design of our tests aims particularly at checking this requirement. This is, however, not an easy undertaking because it is not clear in the beginning how to convincingly demonstrate that the *first* (and only the first) element of a consecutive group of equal elements is copied. Our first tests unique\_copy in Sections 7.2.3 and 7.2.4 (and the corresponding tests in *libcxx*) therefore only show that there are no adjacent equal elements in the output array.

There is, however, a not too complicated method to show the copying of the first element of the consecutive ranges. As we will show in Section 7.2.5 this method relies both on

- 1. our semi-formal analysis of the unique\_copy in Section 7.1.3 and
- 2. the *generic* nature of the implementation of unique\_copy.

The latter allows us to replace values of type T essentially by std::pair<T, size\_t> where the second field of std::pair will hold the index of the copied element in the input sequence of unique\_copy.

## 7.2.1. Preparation of test code

In order to facilitate the testing of unique\_copy from Listing 7.2, we provide a wrapper implementation that uses the container vector from the C++ standard library.

```
template<typename T>
std::vector<T>
unique_copy(const std::vector<T>& a)
{
   std::vector<T> b(a.size());

auto size = unique_copy(a.data(), a.size(), b.data());
   b.resize(size);

return b;
}
```

Listing 7.9: unique\_copy for std::vector

Using this generic auxiliary function from Listing 7.9 has several advantages for our tests.

- The vector container conveniently encapsulates both the memory and the number of elements of a C array.
- A vector hides many details of dynamic memory allocation from the user. Listing 7.2 shows that it is also easy to *resize* a vector object after it was created.
- The C++ standard ensures that different vector objects manage their own memory. Thus, using vector, it is easy to satisfy **Unique Copy Separation** which states that its two array arguments do not overlap.
- Also note that we initially declare the output vector to have the same size as the input vector. We are thus making sure that the requirement **Unique Copy Size** is satisfied.

### 7.2.2. Test data

Table 7.10 shows our initial test data for unique\_copy. These are exactly the examples from Section 7.1.2 that have been used there to describe the behavior of unique\_copy.

| Input                             | Output                | Reference  |
|-----------------------------------|-----------------------|------------|
| (4, 3, 3, 1, 3, 4, 4, 4, 2, 3, 3) | (4, 3, 1, 3, 4, 2, 3) | Figure 7.4 |
| (4, 3, 1, 3, 4, 2, 3)             | (4, 3, 1, 3, 4, 2, 3) | Figure 7.5 |
| (4, 4, 4, 4, 4, 4, 4)             | (4)                   | Figure 7.6 |
| (1, 2, 3, 3, 3, 3, 3, 4, 4, 4)    | (1, 2, 3, 4)          | Figure 7.7 |

Table 7.10.: Initial test data

Boundary test data are data for extreme inputs of the specific algorithm. It can be argued that the example from Figure 7.6 where the elements of the input array equal *one* value represents boundary test data. Table 7.11 shows the expected behavior of unique\_copy for input ranges of size 0 and size 1.

| Input | Output | Reference               |
|-------|--------|-------------------------|
| ()    | ()     | empty input range       |
| (3)   | (3)    | one-element input range |

Table 7.11.: Boundary test data

It is interesting to compare our test data with those from the functional tests for unique\_copy in an open source implementation of the C++ standard library [18, unique\_copy.pass.cpp]. We have listed these test data in Table 7.12.

| Input                 | Output       |
|-----------------------|--------------|
| (0, 1, 2, 2, 4)       | (0, 1, 2, 4) |
| (0)                   | (0)          |
| (0, 1)                | (0, 1)       |
| (0, 0)                | (0)          |
| (0, 0, 1)             | (0, 1)       |
| (0, 0, 1, 0)          | (0, 1, 0)    |
| (0, 0, 1, 1)          | (0, 1)       |
| (0, 0, 1)             | (0, 1)       |
| (0, 1, 1, 1, 2, 2, 2) | (0, 1, 2)    |

Table 7.12.: Test data for unique\_copy from an open source test suite

Here the emphasis is on an arguably more systematic presentation of small input ranges of sizes. Interestingly, however, there is no test case for the empty range.

### 7.2.3. A basic test

When we present *test code* then we present code that shows that the function under test satisfies certain *properties* which in turn are justified by the requirements.

Listing 7.13, for example, contains code that tests that the elements copied by unique\_copy contain no adjacent equal elements. This is, as we have explained in Section 7.1.2, a simple consequence of **Unique Copy Consecutive** from Table 7.3.

```
template<typename T>
std::vector<T>
unique_copy_basic_test(const std::vector<T>& input)
{
  auto result = unique_copy(input);
  assert(std::adjacent_find(result.begin(), result.end()) == result.end());

//std::cout << "test " << __func__ << " succeeded " << std::endl;

return result;
}</pre>
```

Listing 7.13: Testing the absence of adjacent equal elements

The key ingredient of the test in Listing 7.13 consists in calling the C++ standard library function adjacent\_find which searches its input range for (the first) occurrence of two consecutive equal elements. If there is no such occurrence, adjacent\_find returns the iterator that indicates the end of the range.

Listing 7.14 shows how the test from Listing 7.13 is executed.

```
int main(int argc, char** argv)
{
   assert(argc == 2);
   std::fstream file(argv[1]);
   std::vector<int> v;

   while (true) {
      file >> v;
      if (file) {
            // std::cout << v << std::endl;
            unique_copy_basic_test(v);
            v.clear();
      }
   else {
      break;
    }
}

std::cout << "\tsuccessful execution of " << argv[0] << "\n";
   return EXIT_SUCCESS;
}</pre>
```

Listing 7.14: Test execution code for the absence of adjacent equal elements

In our setting the test data step from the file in Listing 7.15 which contains the input data from Tables 7.10, 7.11, and 7.12.

```
(4, 3, 3, 1, 3, 4, 4, 4, 2, 3, 3)
(4, 3, 1, 3, 4, 2, 3)
(4, 4, 4, 4, 4, 4, 4)
(1, 2, 3, 3, 3, 3, 3, 4, 4, 4)

(3)
(1)
(0, 1, 2, 2, 4)
(0)
(0, 0)
(0, 0, 1)
(0, 0, 1, 0)
(0, 0, 1, 1)
(0, 0, 1, 1)
(0, 0, 1, 1)
(0, 0, 1, 1, 1, 2, 2, 2)
```

Listing 7.15: Test input data for unique\_copy

## 7.2.4. Extending the basic test

This basic test can be extended to the slightly more elaborate test in Listing 7.16 that in addition to Listing 7.14 compares whether

- 1. the number of copied elements equals the size of the expected output range and
- 2. the copied elements actually equal the expected output range.

Listing 7.16: Comparing with expected result

Listing 7.17 shows how the test from Listing 7.16 is executed with the test data read from a file.

Listing 7.17: Test execution code for comparing with expected result

In this setting, the test data step from the file in Listing 7.18 which contains the input data and the expected output data from Tables 7.10, 7.11, and 7.12.

```
(4, 3, 3, 1, 3, 4, 4, 4, 2, 3, 3)
                                   (4, 3, 1, 3, 4, 2, 3)
(4, 3, 1, 3, 4, 2, 3)
                                    (4, 3, 1, 3, 4, 2, 3)
(4, 4, 4, 4, 4, 4, 4)
                                    (4)
(1, 2, 3, 3, 3, 3, 4, 4, 4
                                    (1, 2, 3, 4)
()
                                    ()
(3)
                                    (3)
(0, 1, 2, 2, 4)
                                    (0, 1, 2, 4)
(0)
                                    (0)
(0, 1)
                                    (0, 1)
(0, 0)
                                    (0)
(0, 0, 1)
                                    (0, 1)
(0, 0, 1, 0)
                                    (0, 1, 0)
(0, 0, 1, 1)
                                    (0, 1)
                                    (0, 1)
(0, 0, 1)
(0, 1, 1, 1, 2, 2, 2)
                                    (0, 1, 2)
```

Listing 7.18: Test input and expected output for unique\_copy

Executing the test from Listing 7.16 with the test data from Section 7.2.2 allows us to establish whether unique\_copy satisfies the Requirement Unique Copy Size and the above mentioned consequence of Requirement Unique Copy Consecutive. However, these tests cannot establish that the *first* (and only the first) element of each consecutive group of equal elements is copied. In this sense, our test is as expressive as the tests for unique\_copy from [18, unique\_copy.pass.cpp]

### 7.2.5. Partition testing

In Section 7.1.3 we had, informally, argued that for each sequence  $a = (a_0, \ldots, a_{n-1})$  there is a partitioning sequence  $p = (p_0, \ldots, p_m)$  that satisfies the conditions (7.1), (7.2), and (7.3) and which, moreover, relates the input and output of unique\_copy according to Equation (7.4). The term partition testing refers here to using these properties in the design of our tests.

The problem is that the partitioning sequence does not *explicitly* occur in unique\_copy. There is, however, a relatively simple and natural way to make the partitioning sequence explicitly.

We explain the basic idea at hand of the input sequence

$$a = (4, 3, 3, 1, 3, 4, 4, 4, 2, 3, 3)$$

from Figure 7.8. We begin with creating a sequence of pairs  $(a_i, i)$  consisting of the original value  $a_i$  and its index i, in other words, we zip the sequence a with the sequence of its indices. In order to facilitate the readability of lists of pairs we also write  $\binom{a_i}{i}$  instead of  $(a_i, i)$ .

For our example, the augmented sequence of pairs a' reads

$$a' = \left( \binom{4}{0}, \binom{3}{1}, \binom{3}{2}, \binom{3}{1}, \binom{3}{4}, \binom{4}{5}, \binom{4}{6}, \binom{4}{7}, \binom{2}{8}, \binom{3}{9}, \binom{3}{10} \right)$$

If we define the equality of two pairs  $(a_i, i)$  and  $(a_j, j)$  by the equality of its first components, then unique\_copy piggybacks the original index of an element into the result. In other words, unique\_copy applied to the sequence a' produces the following sequence

$$b' = \begin{pmatrix} 4 \\ 0 \end{pmatrix}, \begin{pmatrix} 3 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 3 \end{pmatrix}, \begin{pmatrix} 3 \\ 4 \end{pmatrix}, \begin{pmatrix} 4 \\ 5 \end{pmatrix}, \begin{pmatrix} 2 \\ 8 \end{pmatrix}, \begin{pmatrix} 3 \\ 9 \end{pmatrix}$$

where the second components indicate the index in the input sequence.

Finally, we extract the two lists of its first and second components from b' (that is we *unzip* b') and add a final element 11 (the number of elements of a) to the sequence of indices. We thus obtain the sequence

$$b = (4, 3, 1, 3, 4, 2, 3)$$

which is just the result of applying unique\_copy to a, and the partitioning sequence

$$p = (0, 1, 3, 4, 5, 8, 9, 11)$$

Both sequences can, of course be found in Figure 7.8.

In the following subsections, we discuss the details of the implementation of our partitioning test.

### Pairs of values and indices

Listing 7.19 shows our definition of a type for *indexed values* which consists of a pair of generic type T and size\_t as index type. We implement this type with the generic class std::pair that has two public fields first and second, respectively.

```
template<typename T>
struct Indexed : public std::pair<T, size_t> {
    // inherit constructors of base class
    using std::pair<T, size_t>::pair;
};

template<typename T>
bool operator==(const Indexed<T>& a, const Indexed<T>& b)
{
    return a.first == b.first;
}
```

Listing 7.19: A type for indexed values

The equality operation (**operator**==) of this new type has been defined in such a way that only the first component of the underlying pair type is evaluated. Note that we only provide a special implementation for the equality operation but not for copying and assigning a value p of type Indexed<T>. This is essential for keeping the values p.first and p.second unchanged while they are processed by our generic unique\_copy.

### Creating the partition sequence

Listing 7.20 shows our implementation of computing the partitioning sequence of a given input sequence.

Listing 7.20: Creating the partition underlying unique\_copy

- As explained at the beginning of this section we start with creating the sequence of pairs of values and their respective indices.
- We then pass this sequence of pairs to our generic version of unique\_copy for vector from

Listing 7.9. The resulting sequence contains, thanks to our definition of equality of our pair type, the values with their indices in the original sequence.

• We then *unzip* the vector of pairs into a pair of vectors and add to the index vector the size of the input sequence as final element.

### **Testing the partition properties**

Listing 7.21 shows our generic partition test. We have added comments to facilitate the tracing of our test code to the properties (7.1)–(7.4) from Section 7.1.3.

First unique\_copy is called and the corresponding partition sequence is computed. Note that computing of this partition sequence naturally also leads to a second computation of the result of unique\_copy. Thus, initially we check (for sanity) that both computations have the same result.

```
template<typename T>
void unique_copy_partition_test(const std::vector<T>& a)
 auto b = unique_copy(a);
 auto unzipped = unique_copy_create_partition(a);
 assert (unzipped.first == b);
 const std::vector<size_t>& p = unzipped.second;
 // partition sequence is one element longer than output array
 assert(p.size() == b.size() + 1);
 // monotonicity (first and last element only)
 assert(p.front() == 0);
 assert(p.back() == a.size());
 for (size_t i = 0; i < b.size(); ++i) {</pre>
    // consider i-th segment of the partition
    auto begin = p[i];
   auto end = p[i + 1];
    // monotonicity
    assert (begin < end);</pre>
    // consecutive range of equal elements
   for (size_t k = begin; k < end; ++k) {</pre>
      assert(a[begin] == a[k]);
    // maximal consecutive range of equal elements
   if (i + 1 < b.size()) {
      assert(a[begin] != a[end]);
    // result of unique copy
    assert(b[i] == a[begin]);
  }
```

Listing 7.21: Partition testing of unique\_copy

We then check whether the first element of partitioning sequence equals 0 and whether the last element equals the size of the input sequence. This is, of course, in accordance with the chain of (in)equalities from Relation 7.1. Finally, we check for each partition segment  $[p_i, p_{i+1})$  the rest of the monotonicity conditions from Relation (7.1), and then proceed to verify the properties (7.2), (7.3), and (7.4).

Listing 7.22 shows the code for executing partition tests with test data read from a file. As test data we use again the inputs from Listing 7.15.

```
int main(int argc, char** argv)
{
   assert(argc == 2);
   std::fstream file(argv[1]);

while (true) {
    std::vector<int> v;
    file >> v;
    if (file) {
        // std::cout << v << std::endl;
        unique_copy_partition_test(v);
    }
   else {
        break;
   }
}

std::cout << "\tsuccessful execution of " << argv[0] << "\n";
   return EXIT_SUCCESS;
}</pre>
```

Listing 7.22: Test execution code for partition tests

## 7.3. Formal specifications of unique\_copy

In this Section we discuss the formal verification of unique\_copy with Frama-C/WP. The first issue is that while std::unique\_copy is implemented in C++ and heavily relies on C++ templates, Frama-C/WP can only deal with C functions. For this reason we present in Section 7.3.1 an implementation of unique\_copy in C.

We discuss our first and simplest version of an ACSL specification of unique\_copy in Section 7.3.2. The main idea of a so-called *minimal contract* is that our formal specification is just strong enough to verify the absence of certain undefined behaviors, such as illegal memory accesses and integer overflows. More specifically this means that our minimal contract for unique\_copy formalizes **Unique Copy Size** and **Unique Copy Separation** but only partially formalizes **Unique Copy Return**. The formalization of the core requirement **Unique Copy Consecutive** is not addressed at all. Still, the verification of a minimal contract is meaningful since the addressed undefined behaviors are often a cause for security vulnerabilities. The verification of the *absence* of these undesirable behaviors can play an important role for ensuring the robustness of software.

In Section 7.3.3, we extend the minimal contract from Section 7.3.2 by a postcondition that states that the output range of unique\_copy will not contain any adjacent equal elements. In other words, the new contract also partially addresses **Unique Copy Consecutive**.

Finally, in Section 7.3.4 we present a further extension of our contract that also captures the missing aspects of **Unique Copy Return** and **Unique Copy Consecutive** in the specification of unique\_copy. Here, we build on top of our analysis of the so-called *partitioning sequence* from Section 7.1.3.

In order to differentiate the those different variants of formal verification we number the functions. For example the functions unique\_copy2 and unique\_copy3 share basically the same implementation but have different formal annotations.

### 7.3.1. Reformulation of the algorithms in C

Our reformulation of unique\_copy in the C programming language has a signature that can be considered as a specialisation of our simplified generic implementation of Listing 7.2. Instead of the generic type parameter T, however, we employ the integer type alias value\_type. We also replace the standard unsigned integer type size\_t by the type alias size\_type. More information can be found in Section 1.3.

The basic idea of our C-implementation of unique\_copy in Listing 7.23 is to traverse the input array a[0...n-1] and copy an element a[i] to the output array b[0..n-1] whenever it has been detected that it is different from its predecessor a[i-1]. Assuming a non-empty array, the implementation starts with copying a[0] to b[0]. In order to detect whether the current value a[i] is different from its predecessor we compare it with the most recently copied value b[k].

```
size_type
unique_copy(const value_type* a, size_type n, value_type* b)
{
   if (n == 0u) {
      return n;
   }
   else {
      size_type k = 0u;
      b[k] = a[0];

   for (size_type i = 1u; i < n; ++i) {
      const value_type val = a[i];

      if (b[k] != val) {
        b[++k] = val;
      }
   }
   return ++k;
}</pre>
```

Listing 7.23: Re-implementation of unique\_copy in C

Note that the test code in Section 7.2 has be designed in such a way that it can be applied also to a function with the signature in Listing 7.23.

### 7.3.2. A minimal contract for unique copy

When we talk about a *minimal contract* of a function we mean a small contract that covers only basic properties. One might, for example, only be interested that during the execution of a function no runtime errors such as arithmetic overflows or invalid pointer accesses occur. Since many software security problems are caused by undetected runtime errors, minimal contracts can help to achieve a higher degree of quality assurance. This means that our minimal contract for unique\_copy formalizes the requirements **Unique Copy Size** and **Unique Copy Separation** but only partially formalizes **Unique Copy Return**. The formalization of the core requirement **Unique Copy Consecutive** is not addressed at all.

#### Formal specification

Listing 7.24 shows the specification of our minimal contract. We have *labeled* the various preconditions and postconditions of our contract by names, e.g., we use the label sep in order to refer to our formal specification of **Unique Copy Separation**. Using these user-supplied labels simplifies the documentation of contracts and can also be helpful during the process of formal verification. In the following we often refer to the various formal properties in a contract by their labels.

```
/*@
  requires valid: \valid_read(a + (0..n-1));
  requires valid: \valid(b + (0..n-1));
  requires sep: \separated(a + (0..n-1), b + (0..n-1));

  assigns b[0..n-1];

  ensures result: 0 <= \result <= n;
  ensures unchanged: Unchanged{Old, Here}(b, \result, n);

*/
size_type
unique_copy2(const value_type* a, size_type n, value_type* b);</pre>
```

Listing 7.24: A "minimal" contract for unique\_copy

Using the built-in predicates \valid and \valid\_read, the preconditions valid state that

- 1. the elements of the input range a[0..n-1] can be safely accessed for reading,
- 2. whereas the elements of the output range b[0..n-1] can be safely accessed both for reading and writing.

Note that in accordance with **Unique Copy Size** both ranges have the same size. The informal specification also states in **Unique Copy Separation** that the input and output ranges do not overlap. This precondition is expressed by our property sep which in turn uses the built-in predicate \separated.

The assigns clause of our minimal contract states that unique\_copy can only modify the array b [0..n-1]. Note that this requirement on the side effects of unique\_copy cannot be found in the requirements in Table 7.3. This can be attributed to the fact that little is known about internal side effects of the generic type parameter T. In our more specific situation with the concrete type value\_type we can use the means of ACSL to restrict the side effects allowed by our contract.

The postcondition result describes the numerical range for the return value of unique\_copy. In other words, our minimal contract only provides a rather coarse estimation of **Unique Copy Return** for the number of elements copied by unique\_copy. Note the use the ACSL keyword \result in this postcondition to refer to the return value of function. Also note that we have employed a *chained inequality* instead of writing

```
0 <= \result && \result <= n
```

This is a nice, little feature that helps writing compact contracts. There is a second postcondition unchanged that is formulated using the *user defined* ACSL predicate Unchanged that we show in Listing 6.1. This predicate comes in the form of two overloaded versions. The first one is defined for an array section wheres the second one only requires the length of the array. The arguments K and L of the predicate are *labels* that represent *program states*. The predicate Unchanged says the respective elements of the array have the same value in state K and state L.

We use the predicate Unchanged in order to make the assigns clause a bit more precise. Using the predefined labels Old, which refers to the pre-state of the contract, and Post, which refers to the post-state of the contract, the postcondition unchanged says that element of the range b[\result..n-1] are the same before and after unique\_copy has been called. All this would easier if we could use just the assigns clause

```
assigns b[0..\result-1];
```

since this would imply our postcondition

```
ensures unchanged: Unchanged{Old, Here}(b, \result, n);
```

We remark here that the ACSL documentation does not forbid the use of \result outside of ensures clauses [9, p. 30]. While Frama-C/WP does not reject it either, the corresponding proof obligations are, in any case, not verified.

#### Graphical presentation of the minimal contract

Figure 7.25 is an attempt to graphically represent the minimal contract from Listing 7.24.

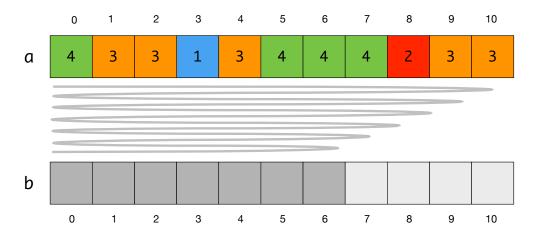


Figure 7.25.: Representation of a minimal contract for unique\_copy

In contrast to Figure 7.4, it is not indicated which elements of the input array have been copied to which elements of the output array. This is because, it has not been specified, whether any element at all has been copied. On the other hand, the minimal contract ensures that the part of the output array, that is not needed to hold the result, is kept unchanged.

#### Annotating the implementation

The verification of the contract in Listing 7.24 requires that we add appropriate *loop annotations* to the implementation in Listing 7.26. Among these annotations are so-called *loop invariants*, which are formulas that must hold at the beginning of each loop iteration.

```
size type
unique_copy2(const value_type* a, size_type n, value_type* b)
 if (n == 0u) {
    return n;
 else {
    size_type k = 0u;
   b[k] = a[0];
      loop invariant bound:
                                 0 \le k \le i \le n;
      loop invariant unchanged: Unchanged{Pre, Here} (b, k+1, n);
      loop assigns i, k, b[0..n-1];
      loop variant n-i;
    for (size_type i = 1u; i < n; ++i) {</pre>
      const value_type val = a[i];
      if (b[k] != val) {
       b[++k] = val;
    return ++k;
```

Listing 7.26: Annotations for the minimal contract

We now have a closer look at the loop annotations.

- The loop invariant bound states that the index k is always less than i that both are limited from below and above by 0 and the array length n, respectively.
- Similar to the postcondition unchanged in Listing 7.24 the loop invariant unchanged states that in each iteration of the loop the values in the range b[k+1..n] will be the same as in the pre-state of unique\_copy. Note the use of the predefined label Pre to denote the program state before the function was called.
- We also need a *loop assigns clause* which lists all memory locations that can be changed during the execution of the loop. These memory locations comprise not only the array b[0..n-1] but also the local variables i and k.
- Finally, we have a *loop variant* which must contain a positive value that is decreased in each loop iteration. Loop variants serve to verify the *termination* of loops.

#### Understanding the verification of minimal contracts

The WP plugin [19] of Frama-C (in short also Frama-C/WP) is activated by using the option <code>-wp</code>. However, before Frama-C/WP starts generating and discharging proof obligations, the Frama-C kernel produces a *normalized version* of the source code. Most Frama-C plugins, including Frama-C/WP, use this semantically equivalent presentation to conduct their respective analyses.

```
/*@ requires valid: \valid_read(a + (0 .. n - 1));
    requires valid: \valid(b + (0 .. n - 1));
    requires sep: \separated(a + (0 .. n - 1), b + (0 .. n - 1));
    ensures result: 0 <= \result <= \old(n);</pre>
    ensures unchanged: Unchanged{Old, Here}(\old(b), \result, \old(n));
    assigns * (b + (0 .. n - 1));
*/
size_type
unique_copy2(value_type const *a, size_type n, value_type *b)
  size_type __retres;
  if (n == 0u) {
    \underline{\phantom{a}}retres = n;
    goto return_label;
  else {
    size_type k = 0u;
    *(b + k) = *(a + 0);
      size_type i = 1u;
      /*@ loop invariant bound: 0 <= k < i <= n;
          loop invariant unchanged: Unchanged{Pre, Here}(b, k + 1, n);
          loop assigns i, k, *(b + (0 .. n - 1));
          loop variant n - i;
      while (i < n) {
        {
          value_type const val = *(a + i);
          if (*(b + k) != val) {
            k ++;
             *(b + k) = val;
        }
        i ++;
    }
    k ++;
    \underline{\phantom{a}}retres = k;
    goto return_label;
return_label:
  return __retres;
```

Listing 7.27: Normalized presentation of the minimal contract

Listing 7.27 shows the normalized version of the formal specification from Listing 7.24 and the annotated implementation from Listing 7.26. In order to extract the normalized version, which is also shown in Frama-C GUI, one can use the option -print. One of the most visible differences between the original and the normalized form is that *for loops* are represented as *while loops*. For more details on the normalization process we refer to the respective section of the Frama-C manual [20, §5.3].

Using the option <code>-wp-rte</code>, the Frama-C/WP plugin allows to generate additional assertions that are placed as guards before potentially dangerous C constructs, such as pointer dereferencing of integer operations that might overflow. Listing 7.28 shows these additional assertions in the normalized version of <code>unique\_copy</code> when using the options <code>-wp-rte -warn-unsigned-overflow -warn-unsigned-downcast</code>. For more details how to customize the generation of RTE (runtime error) guards we refer to the respective manuals [19, 21].

Verifying the minimal contract with the additional run time error assertions essentially shows that a large class of undefined behaviors cannot occur *if* the preconditions of the contract are satisfied. Since undefined behaviors often represent security vulnerabilities, even the verification of the minimal contract can, thus, provide significant evidence that the execution of a function such as unique\_copy cannot cause security weaknesses.

```
/*@ requires valid: \valid_read(a + (0 .. n - 1));
    requires valid: \valid(b + (0 .. n - 1));
    requires sep: \separated(a + (0 .. n - 1), b + (0 .. n - 1));
    ensures result: 0 <= \result <= \old(n);</pre>
    ensures unchanged: Unchanged{Old, Here}(\old(b), \result, \old(n));
   assigns *(b + (0 ... n - 1));
* /
size_type
unique_copy2(value_type const *a, size_type n, value_type *b)
  size_type __retres;
  if (n == 0u) {
    _{\rm retres} = n;
    goto return_label;
 else {
    size_type k = 0u;
    /*@ assert rte: mem_access: \valid(b + k); */
    /*@ assert rte: mem_access: \valid_read(a + 0); */
    *(b + k) = *(a + 0);
      size_type i = 1u;
      /*0 loop invariant bound: 0 <= k < i <= n;
          loop invariant unchanged: Unchanged{Pre, Here}(b, k + 1, n);
          loop assigns i, k, *(b + (0 .. n - 1));
          loop variant n - i;
      while (i < n) {
          /*@ assert rte: mem_access: \valid_read(a + i); */
          value_type const val = *(a + i);
          /*@ assert rte: mem_access: \valid_read(b + k); */
          if (*(b + k) != val) {
            /*@ assert rte: unsigned_overflow: k + 1 <= 4294967295; */</pre>
            k ++;
            /*@ assert rte: mem_access: \valid(b + k); */
            \star (b + k) = val;
          }
        }
        /*@ assert rte: unsigned_overflow: i + 1 <= 4294967295; */
        i ++;
      }
    /*@ assert rte: unsigned_overflow: k + 1 <= 4294967295; */
    k ++;
    \underline{\phantom{a}}retres = k;
    goto return_label;
return_label:
 return __retres;
```

Listing 7.28: Normalized presentation of the minimal contract with RTE assertions

### 7.3.3. A more elaborate contract for unique\_copy

After using the minimal contract to prove the absence of undefined behavior we now show that there are no equal neighbors in the output array b [0...result-1]. This property reflects an important consequence of **Unique Copy Consecutive**. It does, however, neither express the fact that only the first element of every consecutive range within the input array is copied nor does it state that the elements in the output range are at all related to those from the input range.

In order to formalize this new property we use the new predicate  ${\tt HasEqualNeighbors}$  which we show in Listing 3.12. The predicate states that there exists an element in the range a [0..n-1] which is equal to its direct successor.

### Formal specification

Listing 7.29 is an extension of our minimal contract. We keep all properties but also add the new postcondition unique to our contract.

```
requires valid: \valid_read(a + (0..n-1));
requires valid: \valid(b + (0..n-1));
requires sep: \separated(a + (0..n-1), b + (0..n-1));

assigns b[0..n-1];

ensures result: 0 <= \result <= n;
ensures unique: !HasEqualNeighbors (b, \result);
ensures unchanged: Unchanged{Old, Here}(b, \result, n);
*/
size_type
unique_copy3(const value_type* a, size_type n, value_type* b);</pre>
```

Listing 7.29: A more elaborate contract for unique\_copy

In order to formally express the new postcondition we use the negation of HasEqualNeighbors from Listing 3.12.

#### Graphical presentation of the more elaborate contract

Figure 7.30 is an attempt to graphically represent the more elaborate specification from Listing 7.29. Compared to Figure 7.25 our new figure highlights that neighbouring elements of the output array are not equal. Our figure, however, still not indicates which elements of the input array have been copied to which elements of the output array.

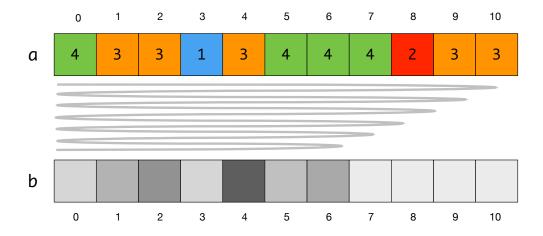


Figure 7.30.: Representation of a more elaborate contract for unique\_copy

#### Annotating the implementation

In order to support the verification of the postcondition unique from Listing 7.29 we add a loop invariant that is also labeled as unique to our implementation 7.31. This loop invariant states that in each loop iteration there are no adjacent equal elements in the range b[0..k] of already copied elements. Not surprisingly, we are using the predicate HasEqualNeighbors to formally describe this property.

```
size_type
unique_copy3(const value_type* a, size_type n, value_type* b)
  if (n == 0u) {
    return n;
  else {
    size_type k = 0u;
    b[k] = a[0];
    / * @
      loop invariant bound:
                                0 \le k \le i \le n;
                              !HasEqualNeighbors(b, k+1);
      loop invariant unique:
      loop invariant unchanged: Unchanged{Pre, Here} (b, k+1, n);
      loop assigns i, k, b[0..n-1];
      loop variant n-i;
    for (size_type i = 1; i < n; ++i) {</pre>
      const value_type val = a[i];
      if (b[k] != val) {
        b[++k] = val;
    }
    return ++k;
  }
```

Listing 7.31: Annotations for a more elaborate contract of unique\_copy

### 7.3.4. A complete contract for unique\_copy

In this section we finally tackle the issue of formalizing the requirements of **Unique Copy Consecutive** in ACSL. The main idea is that we

- 1. capture in ACSL the properties of the partitioning sequence from Section 7.1.3
- 2. use these properties to specify and verify unique\_copy

#### Formalizing the number of elements copied by unique\_copy

The logic function UniqueSize in Listing 7.32 computes the number of elements that are to be copied by unique\_copy from an array a [0..n-1] In other words, UniqueSize represents the number m in the inequalities (7.1) of consecutive sub-ranges of equal elements. The Listing 7.32 contains explicit recursive definition of UniqueSize and the corresponding implicit definition through the lemmas.

```
/ * @
 axiomatic UniqueSizeAxiomatic
   logic integer UniqueSize(value_type* a, integer n) =
     n \le 0 ? 0 : (n == 1 ? 1 : UniqueSize(a, n-1) + (a[n-1] == a[n-2] ? 0 : 1));
   lemma UniqueSizeEmpty:
     \forall value_type *a, integer n;
       n \ll 0 \implies UniqueSize(a, n) == 0;
   lemma UniqueSizeOne:
     \forall value_type *a;
       UniqueSize(a, 1) == 1;
   lemma UniqueSizeEqual:
     \forall value_type *a, integer n;
       0 < n == a[n-1] == a[n] == UniqueSize(a, n+1) == UniqueSize(a, n);
   lemma UniqueSizeDiffer:
     \forall value_type *a, integer n;
       0 < n ==> a[n-1] != a[n] ==> UniqueSize(a, n+1) == UniqueSize(a, n) + 1;
   lemma UniqueSizeRead{K,L}:
     \forall value_type *a, integer n, i;
       Unchanged{K,L}(a, n) ==> UniqueSize{K}(a, n) == UniqueSize{L}(a, n);
```

Listing 7.32: The logic function UniqueSize

Note that the definition of UniqueSize cover also arrays of negative size and in general ignore whether the involved pointers can be dereferenced. The issue here is that the function UniqueSize must be defined as a *total function* regardless of the fact whether the involved function arguments make sense in C-code. For more details we refer to the rules for logic definitions in the description of ACSL [9, §2.2.2].

The following ACSL lemmas UniqueSizeBound (Listing 7.33) formulates some simple bounds on the number of copied elements. As trivial as these inequalities might look like, their not too complicated proofs rely on mathematical induction. Since automatic theorem provers are often not capable of performing induction proofs, we have proven this lemma with the interactive theorem prover Coq.

```
/*@
  lemma UniqueSizeBound:
    \forall value_type *a, integer n;
    0 <= n ==> 0 <= UniqueSize(a, n) <= n;
*/</pre>
```

Listing 7.33: Lemma UniqueSizeBound

#### Formalizing the properties of the partitions of unique\_copy

The function UniquePartition, whose axiomatic definition is given in Listing 7.34, defines the partitioning sequence p from Section 7.1.3. Before we begin to relate the axioms from Listing 7.34 to the formulas from Section 7.1.3 we want to remind the reader that logic functions (and predicates) must be total that is they must be defined for all possible argument values.

- The monotonicity conditions (7.1) are described by the axioms UniquePartitionEmpty, UniquePartitionLeft, UniquePartitionRight and UniquePartitionMonotone.
- Equation (7.2) is represented by the axiom UniquePartitionSegment.
- Inequality (7.3) is described by axiom UniquePartitionMaximal.
- Axiom UniquePartitionEqual expresses that the value of UniquePartition(a, n, i) does not depend on the size of the array.
- Axiom UniquePartitionRead, finally states that UniquePartition is independent from the particular programme state in which it is used—as long as the respective array elements are equal in both states.

```
/ * @
 \textbf{axiomatic} \ \texttt{UniquePartitionAxiomatic}
   logic integer
     UniquePartition(value_type* a, integer n, integer i) reads a[0..n-1];
   axiom UniquePartitionEmpty:
     \forall value_type *a, integer n, i;
       n \ll 0 \implies UniquePartition(a, n, i) == 0;
   axiom UniquePartitionLeft:
      \forall value_type *a, integer n, i;
       0 < n \implies i <= 0 \implies UniquePartition(a, n, i) == 0;
   axiom UniquePartitionRight:
      \forall value_type *a, integer n, i;
       0 < n => UniqueSize(a, n) <= i => UniquePartition(a, n, i) == n;
   axiom UniquePartitionMonotone:
      \forall value_type *a, integer n, i, j;
        0 \le i \le j \le UniqueSize(a, n) ==>
       UniquePartition(a, n, i) < UniquePartition(a, n, j);</pre>
   axiom UniquePartitionSegment:
      \forall value_type *a, integer n, i, k;
        0 <= i < UniqueSize(a, n) ==>
        ConstantRange(a, UniquePartition(a, n, i), UniquePartition(a, n, i+1));
   axiom UniquePartitionMaximal:
     \forall value_type *a, integer n, i;
        0 \le i \le UniqueSize(a, n) - 1 ==>
       a[UniquePartition(a, n, i)] != a[UniquePartition(a, n, i+1)];
   axiom UniquePartitionEqual:
      \forall value_type *a, integer n, m, i;
       n < m => 0 <= i < UniqueSize(a, n) ==>
       UniquePartition(a, n, i) == UniquePartition(a, m, i);
   axiom UniquePartitionRead{K,L}:
      \forall value_type *a, integer n, i;
       Unchanged(K,L)(a, n) ==>
          UniquePartition(K)(a, n, i) == UniquePartition(L)(a, n, i);
 }
```

Listing 7.34: Axiomatic description of the function UniquePartition

With the definitions of the logic functions UniqueSize and UniquePartition we can now formulate the ACSL predicate Unique from Listing 7.35. This predicate reflects Equation (7.4) and therefore will serve a prominent role in our complete contract of unique\_copy.

```
predicate
    predicate
    Unique(value_type* a, integer n, value_type* b) =
        \forall integer k; 0 <= k < UniqueSize(a, n) ==>
        b[k] == a[UniquePartition(a, n, k)];
*/
```

Listing 7.35: The predicate Unique

Before we turn, however, our attention to the contract of unique\_copy we show in Listing 7.36 a couple of simple ACSL lemmas that will be helpful in verifying the new contract.

```
/ * @
 lemma UniquePartitionZero:
     \forall value_type *a, integer n;
      UniquePartition(a, n, 0) == 0;
 lemma UniquePartitionLowerBound:
    \forall value_type *a, integer n, i;
      0 < n ==>
      0 \le i \le UniqueSize(a, n) ==>
      0 <= UniquePartition(a, n, i);</pre>
 lemma UniquePartitionUpperBound:
    \forall value_type *a, integer n, i;
      0 < n ==>
       0 <= i < UniqueSize(a, n) ==>
      UniquePartition(a, n, i) < n;</pre>
 lemma UniquePartitionDiffer:
   \forall value_type *a, integer i, k, n;
     UniquePartition(a, n, k-1) < i <= UniquePartition(a, n, k)
      ==> a[i-1] != a[i] ==> i == UniquePartition(a, n, k);
```

Listing 7.36: Some lemmas regarding UniquePartition

#### Formal specification

Listing 7.37 shows how we use the predicate Unique in the postcondition unique in order to formally specify **Unique Copy Consecutive** for unique\_copy.

```
requires valid: \valid_read(a + (0..n-1));
requires valid: \valid(b + (0..n-1));
requires sep: \separated(a + (0..n-1), b + (0..n-1));

assigns b[0..n-1];

ensures bound: 0 <= \result <= n;
ensures size: \result == UniqueSize(a, n);
ensures unique: Unique(a, n, b);
ensures unchanged: Unchanged{Old, Here}(a, n);
ensures unchanged: Unchanged{Old, Here}(b, \result, n);
*/
size_type
unique_copy4(const value_type* a, size_type n, value_type* b);</pre>
```

Listing 7.37: A complete contract for unique\_copy

A natural question is whether our postcondition unique is a generalization of the postcondition with the same name from the contract 7.29. Fortunately, this question can be answered in the affirmative. In fact, Lemma UniqueImpliesNoEqualNeighbors from Listing 7.38 states exactly the desired implication.

```
/*@
  lemma UniqueImpliesNoEqualNeighbors:
    \forall value_type *a, *b, integer n;
    Unique(a, n, b) ==> !HasEqualNeighbors(b, UniqueSize(a, n));
*/
```

Listing 7.38: The predicate UniqueImpliesNoEqualNeighbors

#### Annotating the implementation

Listing 7.39 shows that we need considerably more annotation in order to verify the contract from Listing 7.37. We also rely on Lemma UnchangedSection 6.2.

```
size_type
unique_copy4(const value_type* a, size_type n, value_type* b)
 if (n == 0u) {
   return n;
 else {
   size_type k = 0u;
   b[k] = a[0];
   //@ assert mapping: 0 == UniquePartition(a, n, k);
    / * @
     loop invariant bound:
                             0 <= k < i <= n;
     loop invariant size:
                              k+1 == UniqueSize(a, i);
     loop invariant copy:
                              b[k] == a[i-1];
     loop invariant mapping: UniquePartition(a, n, k) < i;</pre>
     loop invariant unchanged: Unchanged{Pre, Here}(b, k+1, n);
     loop assigns i, k, b[0..n-1];
     loop variant n-i;
   for (size_type i = 1u; i < n; ++i) {</pre>
     const value_type val = a[i];
     if (b[k] != val) {
       //@ assert distinct: a[i-1] != a[i];
       //@ ghost Before:
       b[++k] = val;
       //@ assert unchanged: Unchanged{Before, Here} (b, k);
       //@ assert unchanged: Unchanged{Before, Here}(a, n);
       //@ assert unchanged: Unchanged{Before, Here}(a, i);
       //@ assert mapping: i == UniquePartition(a, n, k);
                            k == UniqueSize(a, i);
       //@ assert size:
       //@ assert unique:
                           Unique(a, i, b);
    }
   return ++k;
```

Listing 7.39: Annotations for the complete contract of unique\_copy

The annotations in Listing 7.39 come not only in the form of loop invariants or ACSL assertions. We also employ so-called *ghost code* whose purpose we will explain now. As explained in the ACSL documentations [9, §2.12], variables and statements that appear in comments marked as

```
/*@ ghost ... */
or
//@ ghost ...
```

are treated as C variables and statements, however, they are visible only in the specifications. In Listing 7.39 we declare the label Before as ghost. We could also have resorted to ACSL *statement contracts* [9, §2.4.4] but opted here for using ghost code.

# 7.4. Frama-C and Testing

In this chapter, we have investigated at some lengths various aspects of testing and formal verification of unique\_copy — a not too complicated algorithm from the C++ standard library. Testing and formal verification are sufficiently different techniques to assure the quality of software. Unsurprisingly, however, they both rely on an in-depth analysis of the (informal) requirements. We have conducted such an analysis in Section 7.1.

This analysis allowed us in Section 7.2 to derive both test code and test data that capture the core aspects of the algorithm under investigation. Similarly, we have shown in Section 7.3 that, depending on the properties that one wishes to verify, there are various ways to come up with a formal specification.

Ideally, formal verification and testing should go hand in hand. In particular, the process of finding the necessary code annotations involves a lot of guessing whose results are best checked with some test data before one ventures to prove them. The Frama-C verification platform provides the E-ACSL plug-in [22] that shows how this goal can be achieved.

# 8. Numeric algorithms

The algorithms that we considered so far only *compared*, *read* or *copied* values in sequences. In this chapter, we consider so-called *numeric* algorithms of the C++ Standard Library [14, §26.7] that use arithmetic operations on value\_type to combine the elements of sequences.

```
#define VALUE_TYPE_MAX INT_MAX
#define VALUE_TYPE_MIN INT_MIN
```

Listing 8.1: Limits of value\_type

In order to refer to potential arithmetic overflows we introduce the two constants shown in Listing 8.1 which refer to the numeric limits of value\_type (see also Section 1.3).

We consider the following algorithms.

- iota writes sequentially increasing values into a range (Section 8.1 on Page 162)
- accumulate computes the sum of the elements in a range (Section 8.2 on Page 164)
- inner\_product computes the inner product of two ranges (Section 8.3 on Page 168)
- partial\_sum computes the sequence of partial sums of a range (Section 8.4 on Page 171)
- adjacent\_difference computes the differences of adjacent elements in a range (Section 8.5 on Page 175)

The formal specifications of these algorithms raise new questions. In particular, we now have to deal with arithmetic overflows in value\_type.

# 8.1. The iota algorithm

The iota algorithm in the C++ Standard Library [14, §26.7.6] assigns sequentially increasing values to a range, where the initial value is user-defined. Our version of the original signature reads:

```
void iota(value_type* a, size_type n, value_type val);
```

Starting at val, the function assigns consecutive integers to the elements of the range a. When specifying iota we must be careful to deal with possible overflows of the argument val.

## 8.1.1. Formal specification of iota

The specification of iota relies on the logic function Iota that is defined in Listing 8.2.

```
/*@
  predicate
  Iota(value_type* a, integer n, value_type v) =
    \forall integer i; 0 <= i < n ==> a[i] == v+i;
*/
```

Listing 8.2: Logic function Iota

The ACSL specification of iota is shown in Listing 8.3. It uses the logic function Iota in order to express the postcondition increment.

```
/*@
  requires valid: \valid(a + (0..n-1));
  requires limit: val + n <= VALUE_TYPE_MAX;

  assigns a[0..n-1];

  ensures increment: Iota(a, n, val);
  */
  void
  iota(value_type* a, size_type n, value_type val);</pre>
```

Listing 8.3: Formal specification of iota

The specification of iota refers to VALUE\_TYPE\_MAX which is the maximum value of the underlying integer type (see Listing 8.1). In order to avoid integer overflows the sum val+n must not be greater than the constant VALUE\_TYPE\_MAX.

## 8.1.2. Implementation of iota

Listing 8.4 shows an implementation of the iota function.

Listing 8.4: Implementation of iota

The loop invariant increment describes that in each iteration of the loop the current value val is equal to the sum of the value val in state of function entry and the loop index i. We have to refer here to \at (val, Pre) which is the value on entering iota.

# 8.2. The accumulate algorithm

The accumulate algorithm in the C++ Standard Library [14, §26.7.2] computes the sum of an given initial value and the elements in a range. Our version of the original signature reads:

```
value_type
accumulate(const value_type* a, size_type n, value_type init);
```

The result of accumulate shall equal the value

$$init + \sum_{i=0}^{n-1} a[i]$$

This implies that accumulate will return init for an empty range.

# 8.2.1. The logic function Accumulate

As in the case of count (see Section 3.9) we specify accumulate by first defining a *logic function* Accumulate that formally defines the summation of elements in an array.

```
/*@
    axiomatic AccumulateAxiomatic
{
    logic integer
        Accumulate{L}(value_type* a, integer n, value_type init) =
            n <= 0 ? init : Accumulate(a, n-1, init) + a[n-1];

lemma
        AccumulateRead{K,L}:
        \forall value_type *a, init, integer n;
        Unchanged{K,L}(a, n) ==>
            Accumulate{K}(a, n, init) == Accumulate{L}(a, n, init);
}
*/
```

Listing 8.5: The logic function Accumulate

With this definition the following equation holds for  $n \ge 0$ 

Accumulate(a,n,init) = init + 
$$\sum_{i=0}^{n-1} a[i]$$
 (8.1)

The lemma AccumulateRead in Listing 8.5 express that the result of the Accumulate function only depends on the values of a [0..n-1].

Listing 8.6 shows an overloaded version of Accumulate that uses 0 as default value of init. This listing also includes additional properties of observable Accumulate behavior, here given as a lemmas. These lemmas are proved automatically based on the definitions from Listing 8.5. We will use this version for the specification of the algorithm partial\_sum (see Section 8.4).

Thus, for the overloaded version of Accumulate we have

$$Accumulate(a,n) = \sum_{i=0}^{n-1} a[i]$$
 (8.2)

```
/*@
logic integer Accumulate{L}(value_type* a, integer n) =
    Accumulate{L}(a, n, (value_type) 0);

lemma
    AccumulateDefault0{L}:
    \forall value_type* a; Accumulate(a, 0) == 0;

lemma
    AccumulateDefault1{L}:
    \forall value_type* a; Accumulate(a, 1) == a[0];

lemma
    AccumulateDefaultNext{L}:
    \forall value_type* a, integer n;
    0 <= n ==> Accumulate(a, n+1) == Accumulate(a, n) + a[n];

lemma
    AccumulateDefaultRead{L1, L2}:
    \forall value_type *a, integer n;
    Unchanged{L1, L2}(a, n) ==>
         Accumulate{L2}(a, n);
*/
```

Listing 8.6: An overloaded version of Accumulate

## 8.2.2. Preventing numeric overflows for accumulate

Before we present our formal specification of accumulate we introduce in Listing 8.7 a predicate AccumulateBounds that we will subsequently use in order to compactly express requirements that exclude numeric overflows while accumulating value.

```
/*@
predicate
  AccumulateBounds{L} (value_type* a, integer n, value_type init) =
    \forall integer i; 0 <= i <= n ==>
        VALUE_TYPE_MIN <= Accumulate(a, i, init) <= VALUE_TYPE_MAX;

predicate
  AccumulateBounds{L} (value_type* a, integer n) =
    AccumulateBounds{L} (a, n, (value_type) 0);
*/</pre>
```

Listing 8.7: The overloaded predicate AccumulateBounds

Predicate AccumulateBounds expresses that for  $0 \le i < n$  the partial sums

$$init + \sum_{k=0}^{i} a[k] \tag{8.3}$$

do not overflow. If one of them did, one couldn't guarantee that the result of accumulate equals the mathematical description of Accumulate.

Note that we also provide a second (overloaded) version of AccumulateBounds which uses a default value 0 for init.

## 8.2.3. Formal specification of accumulate

Using the logic function Accumulate and the predicate AccumulateBounds, the ACSL specification of accumulate is then as simple as shown in Listing 8.8.

```
/*@
  requires valid: \valid_read(a + (0..n-1));
  requires bounds: AccumulateBounds(a, n, init);

  assigns \nothing;

  ensures result: \result == Accumulate(a, n, init);

*/
value_type
accumulate(const value_type* a, size_type n, value_type init);
```

Listing 8.8: Formal specification of accumulate

#### 8.2.4. Implementation of accumulate

Listing 8.9 shows an implementation of the accumulate function with corresponding loop annotations.

```
value_type
accumulate(const value_type* a, size_type n, value_type init)
{
    /*@
    loop invariant index:    0 <= i <= n;
    loop invariant partial: init == Accumulate(a, i, \at(init,Pre));
    loop assigns i, init;
    loop variant n-i;
    */
    for (size_type i = 0u; i < n; ++i) {
        //@ assert rte_help: init + a[i] == Accumulate(a, i+1, \at(init,Pre));
        init = init + a[i];
    }
    return init;
}</pre>
```

Listing 8.9: Implementation of accumulate

Note that loop invariant partial claims that in the *i*-th iteration step result equals the accumulated value of Equation (8.3). This depends on the property bounds in Listing 8.8 which expresses that there is no numeric overflow when updating the variable init.

# 8.3. The inner\_product algorithm

The inner\_product algorithm in the C++ Standard Library [14, §26.7.3] computes the *inner product*<sup>28</sup> of two ranges. Our version of the original signature reads:

The result of inner\_product equals the value

$$init + \sum_{i=0}^{n-1} a[i] \cdot b[i]$$

thus, inner\_product will return init for empty ranges.

# 8.3.1. The logic function InnerProduct

As in the case of accumulate (see Section 8.2) we specify inner\_product by first defining a *logic* function InnerProduct that formally defines the summation of the element-wise product of two arrays.

Listing 8.10: The logic function InnerProduct

Lemma InnerProductRead express that the result of the InnerProduct only depends on the values of a[0..n-1] and b[0..n-1].

<sup>&</sup>lt;sup>28</sup>Also referred to as *dot product*, see http://en.wikipedia.org/wiki/Dot\_product

# 8.3.2. Preventing numeric overflows for inner\_product

Before we present our formal specification of inner\_product we introduce in Listing 8.11 two predicates that we will use subsequently in order to compactly express requirements that exclude numeric overflows while computing the inner product.

Listing 8.11: The predicates ProductBounds and InnerProductBounds

Predicate ProductBounds expresses that for  $0 \le i < n$  the products

$$a[i] \cdot b[i] \tag{8.4}$$

do not overflow. Predicate InnerProductBounds, on the other hand, states that for  $0 \le i < n$  the partial sums

$$init + \sum_{k=0}^{i} a[k] \cdot b[k]$$
(8.5)

do not overflow.

Otherwise, one cannot guarantee that the result of inner\_product equals the mathematical description of InnerProduct.

#### 8.3.3. Formal specification of inner\_product

Using the logic function InnerProduct, we specify inner\_product as shown in Listing 8.12. Note that we needn't require that a and b are separated.

Listing 8.12: Formal specification of inner\_product

#### 8.3.4. Implementation of inner\_product

Listing 8.13 shows an implementation of inner\_product with corresponding loop annotations.

Listing 8.13: Implementation of inner\_product

Note that the loop invariant inner claims that in the *i*-th iteration step the current value of init equals the accumulated value of Equation (8.5). This depends of course on the properties bounds in Listing 8.12, which express that there is no arithmetic overflow when computing the updates of the variable init.

# 8.4. The partial\_sum algorithm

The partial\_sum algorithm in the C++ Standard Library [14, §26.7.4] computes the sum of a given initial value and the elements in a range. Our version of the original signature reads:

```
size_type
partial_sum(const value_type* a, size_type n, value_type* b);
```

After executing the function partial\_sum the array b[0..n-1] holds the following values

$$b[0] = a[0]$$

$$b[1] = a[0] + a[1]$$

$$\vdots$$

$$b[n-1] = a[0] + a[1] + ... + a[n-1]$$

More concisely, for  $0 \le i < n$  holds

$$b[i] = \sum_{k=0}^{i} a[k]$$
 (8.6)

## 8.4.1. The predicate PartialSum

Equations (8.6) and (8.2) suggest that we define the ACSL predicate PartialSum in Listing 8.14 by using the logic function Accumulate from Listing 8.6. Listing 8.14.

```
/*@
   predicate
   PartialSum{L} (value_type* a, integer n, value_type* b) =
        \forall integer i; 0 <= i < n ==> Accumulate(a, i+1) == b[i];
*/
```

Listing 8.14: The predicate PartialSum

# 8.4.2. Formal specification of partial\_sum

Using the predicates PartialSum and AccumulateBounds, we specify partial\_sum as shown in Listing 8.15.

Listing 8.15: Formal specification of partial\_sum

Our specification requires that the arrays a[0..n-1] and b[0..n-1] are separated, that is, they do not overlap. Note that is a stricter requirement than in the case of the original C++ version of partial\_sum, which allows that a equals b, thus allowing the computation of partial sums *in place*.

# 8.4.3. Implementation of partial\_sum

Listing 8.16 shows an implementation of partial\_sum with corresponding loop annotations.

```
size_type
partial_sum(const value_type* a, size_type n, value_type* b)
 if (0u < n) {
   b[0u] = a[0u];
       loop invariant bound:
                                 1 <= i <= n;
       loop invariant unchanged: Unchanged{Pre, Here} (a, n);
       loop invariant accumulate: b[i-1] == Accumulate(a, i);
       loop invariant partialsum: PartialSum(a, i, b);
       loop assigns i, b[1..n-1];
       loop variant n - i;
    */
   for (size_type i = 1u; i < n; ++i) {</pre>
      //@ ghost Enter:
     b[i] = b[i - 1u] + a[i];
      //@ assert unchanged: a[i] == \at(a[i],Enter);
      //@ assert unchanged: Unchanged(Enter, Here)(a, i);
      //@ assert unchanged: Unchanged{Enter, Here}(b, i);
  }
 return n;
```

Listing 8.16: Implementation of partial\_sum

In order to facilitate the automatic verification of partial\_sum, we had to add the assertions unchanged and provide the lemmas of Listing 8.17.

#### 8.4.4. Additional lemmas

The lemmas shown in Listing 8.17 are needed for the verification of partial\_sum and the algorithms in Sections 8.6 and 8.7.

```
/ * @
 lemma
   PartialSumSection{K}:
      \forall value_type *a, *b, integer m, n;
     0 <= m <= n
     PartialSum{K}(a, n, b) ==>
     PartialSum{K}(a, m, b);
 lemma
   PartialSumUnchanged{K,L}:
     \forall value_type *a, *b, integer n;
       0 <= n ==>
       PartialSum{K}(a, n, b) ==>
       Unchanged(K, L)(a, n) ==>
       Unchanged(K, L)(b, n) ==>
       PartialSum{L}(a, n, b);
   PartialSumStep{L}:
      \forall value_type *a, *b, integer n;
       1 <= n
       PartialSum(a, n, b)
       b[n] == Accumulate(a, n+1) ==>
       PartialSum(a, n+1, b);
 lemma
   PartialSumStep2{K,L}:
      \forall value_type *a, *b, integer n;
       1 <= n
       PartialSum{K} (a, n, b)
       Unchanged(K,L)(a, n+1)
                                         ==>
       Unchanged(K,L)(b, n)
       \at(b[n] == Accumulate(a, n+1),L) ==>
       PartialSum{L}(a, n+1, b);
*/
```

Listing 8.17: The lemma PartialSumStep

# 8.5. The adjacent\_difference algorithm

The adjacent\_difference algorithm in the C++ Standard Library [14, §25.7.5] computes the differences of adjacent elements in a range. Our version of the original signature reads:

```
size_type
adjacent_difference(const value_type* a, size_type n, value_type* b);
```

After executing the function adjacent\_difference the array b[0..n-1] holds the following values

$$b[0] = a[0]$$

$$b[1] = a[1] - a[0]$$

$$\vdots$$

$$b[n-1] = a[n-1] - a[n-2]$$

If we form the partial sums of the sequence b we find that

$$a[0] = b[0]$$

$$a[1] = b[0] + b[1]$$

$$\vdots$$

$$a[n-1] = b[0] + b[1] + ... + b[n-1]$$

Thus, we have for  $0 \le i < n$ 

$$a[i] = \sum_{k=0}^{i} b[k]$$
 (8.7)

which means that applying partial\_sum on the output of adjacent\_difference produces the original input of adjacent\_difference.

Conversely, if a [0..n-1] and b [0..n-1] are the input and output of partial\_sum, then we have

$$b[0] = a[0]$$

$$b[1] = a[0] + a[1]$$

$$\vdots$$

$$b[n-1] = a[0] + b[1] + ... + b[n-1]$$

from which we can conclude

$$a[0] = b[0]$$

$$a[1] = b[1] - b[0]$$

$$\vdots$$

$$a[n-1] = b[n-1] - b[n-2]$$
(8.8)

We will verify these claims in Sections 8.6 and 8.7.

# 8.5.1. The predicate AdjacentDifference

We define the predicate AdjacentDifference in Listing 8.19 by first introducing the logic function Difference (Listing 8.18).

Listing 8.18: The logic function Difference

```
/*@
   predicate
   AdjacentDifference{L}(value_type* a, integer n, value_type* b) =
     \forall integer i; 0 <= i < n ==> b[i] == Difference(a, i);
*/
```

Listing 8.19: The predicate AdjacentDifference

## 8.5.2. Formal specification of adjacent\_difference

We introduce here the predicate AdjacentDifferenceBounds (Listing 8.20) that captures conditions that prevent numeric overflows while computing difference of the form a [i] - a[i-1].

```
/*@
   predicate
   AdjacentDifferenceBounds(value_type* a, integer n) =
      \forall integer i; 1 <= i < n ==>
      VALUE_TYPE_MIN <= Difference(a, i) <= VALUE_TYPE_MAX;
*/</pre>
```

Listing 8.20: The predicate AdjacentDifferenceBounds

Using the predicates AdjacentDifference and AdjacentDifferenceBounds we can provide a concise formal specification of adjacent\_difference (Listing 8.21). As in the case of the specification of partial\_sum we require that the arrays a[0..n-1] and b[0..n-1] are separated.

Listing 8.21: Formal specification of adjacent\_difference

## 8.5.3. Implementation of adjacent\_difference

Listing 8.22 shows an implementation of adjacent\_difference with corresponding loop annotations.

In order to achieve the verification of the loop invariant difference we added

- the assertions bound and difference
- $\bullet$  the lemmas <code>AdjacentDifferenceStep</code> and <code>AdjacentDifferenceSection</code> from Listing 8.23
- a statement contract with the two postconditions labeled as step

```
size_type
adjacent_difference(const value_type* a, size_type n, value_type* b)
 if (0u < n) {
   b[0u] = a[0u];
    /*@
      loop invariant index:
                              1 <= i <= n;
      loop invariant unchanged: Unchanged{Pre, Here} (a, n);
      loop invariant difference: AdjacentDifference(a, i, b);
      loop assigns i, b[1..n-1];
      loop variant n - i;
   for (size_type i = 1u; i < n; ++i) {</pre>
      //@ assert bound: VALUE_TYPE_MIN <= Difference(a, i) <= VALUE_TYPE_MAX;</pre>
       assigns b[i];
       ensures step: Unchanged{Old, Here} (b, i);
       ensures step: b[i] == Difference(a, i);
     b[i] = a[i] - a[i - 1u];
      //@ assert difference: AdjacentDifference(a, i+1, b);
 }
 return n;
```

Listing 8.22: Implementation of adjacent\_difference

## 8.5.4. Additional Lemmas

The lemmas shown in Listing 8.23 are also needed for the verification of the algorithm in Section 8.7.

```
lemma
AdjacentDifferenceStep{K,L}:
    \forall value_type *a, *b, integer n;
    AdjacentDifference{K}(a, n, b) ==>
        Unchanged{K,L}(b, n) ==>
        Unchanged{K,L}(a, n+1) ==>
        \at(b[n],L) == Difference{L}(a, n) ==>
        AdjacentDifference{L}(a, 1+n, b);

lemma
AdjacentDifferenceSection{K}:
    \forall value_type *a, *b, integer m, n;
    0 <= m <= n ==>
        AdjacentDifference{K}(a, n, b) ==>
        AdjacentDifference{K}(a, m, b);
*/
```

Listing 8.23: The lemma AdjacentDifferenceStep

# 8.6. Inverting partial\_sum with adjacent\_difference

In Section 8.5 we had informally argued that partial\_sum and adjacent\_difference are inverse to each other (see Equations (8.7) and (8.8)). In the current section, we are going to verify the second of these claims with the help of Frama-C, viz. that applying adjacent\_difference to the output of partial\_sum produces the original array. In Section 8.7, we will verify the converse first claim.

Listing 8.24 expresses the property from Equation (8.8) as lemma, on the ACSL logical level. This lemma is verified by Frama-C with the help of automatic theorem provers.

Listing 8.24: The lemma PartialSumInv

Since the lemma does not deal with arithmetic overflows or potential aliasing of data, we give a corresponding auxiliary C function which takes these issues into account.

Function partial\_sum\_inv, shown in Listing 8.25, calls first partial\_sum and then adjacent\_difference. The contract of this function formulates preconditions that shall guarantee that during the computation neither arithmetic overflows (property bound) nor unintended aliasing of arrays (property separated) occur. Under these precondition, Frama-C automatically verifies that the final adjacent\_difference call just restores the original contents of a used for the initial partial\_sum call.

Listing 8.25: partial\_sum and then adjacent\_difference

# 8.7. Inverting adjacent\_difference with partial\_sum

In this section, we prove the converse property, viz. that applying adjacent\_difference, and thereafter partial\_sum, restores the original data array. Listing 8.26 expresses this property as a lemma on the level of ACSL predicates. It had to be proven interactively with Coq, by induction on n.

Listing 8.26: The lemma AdjacentDifferenceInv

As in the case discussed in Section 8.6, we give a corresponding C function in order to account for possible arithmetic overflows and potential aliasing of data. Function adjacent\_difference\_inv, shown in Listing 8.27, calls first adjacent\_difference and then partial\_sum. The contract of this function formulates preconditions that shall guarantee that during the computation neither arithmetic overflows (property bound) nor unintended aliasing of arrays (property separated) occur.

```
requires valid: \valid(a + (0..n-1));
requires valid: \valid(b + (0..n-1));
requires separated: \separated(a + (0..n-1), b + (0..n-1));
requires bounds: AdjacentDifferenceBounds(a, n+1);

assigns a[0..n-1], b[0..n-1];
ensures unchanged: Unchanged{Old, Here}(a, n);
*/
void
adjacent_difference_inv(value_type* a, size_type n, value_type* b)
{
   adjacent_difference(a, n, b);
   partial_sum(b, n, a);
}
```

Listing 8.27: adjacent\_difference and then partial\_sum

In order to improve the automatic verification rate of the function adjacent\_difference\_inv we also use lemma UnchangedTransitive from Listing 6.4. Both lemmas itself and (with its additional help) the contract of adjacent\_difference\_inv are proven by Frama-C without further manual intervention. This finishes the formal proof of our inversity claims from Section 8.5.

# Part IV. Sorting algorithms

# 9. Heap Algorithms

The heap algorithms of the C++ Standard Library [14, 25.4.6] were already part of *ACSL by Example* from 2010–2012. In this chapter we re-introduce them and discuss—based on the bachelor thesis of one of the authors—the verification efforts in some detail [23].

The C++ standard<sup>29</sup> introduces the concept of a *heap* as follows:

- 1. A *heap* is a particular organization of elements in a range between two random access iterators [a,b). Its two key properties are:
  - a) There is no element greater than \*a in the range and
  - b) \*a may be removed by pop\_heap(), or a new element added by push\_heap(), in  $O(\log(N))$  time.
- 2. These properties make heaps useful as priority queues.
- make\_heap() converts a range into a heap and sort\_heap() turns a heap into a sorted sequence.

Figure 9.1 gives an overview on the five heap algorithms by means of an example. Algorithms, which in a typical implementation are in a caller-callee relation, have the same color.

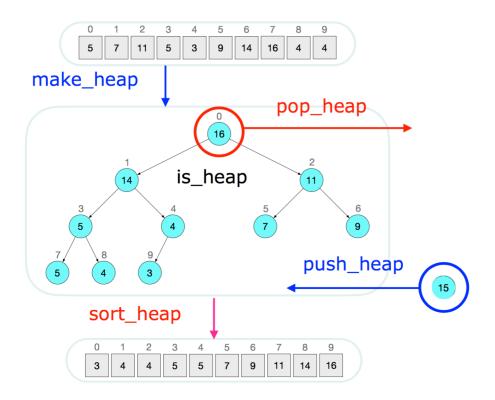


Figure 9.1.: Overview on heap algorithms

<sup>&</sup>lt;sup>29</sup>See http://www.open-std.org/jtc1/sc22/wg21/docs/papers/2011/n3242.pdf

Roughly speaking, the algorithms from Figure 9.1 have the following behavior.

- is\_heap from Section 9.3 allows to test at run time whether a given array is arranged as a heap
- push\_heap from Section 9.4 adds an element to a given heap in such a way that resulting array is again a heap
- pop\_heap, which is currently not included in this document, *removes* an element from a given heap in such a way that the resulting array is again a heap
- make\_heap from Section 9.6 turns a given array into a heap.
- sort\_heap from Section 9.7 transforms a given heap into a sorted range.

In Section 9.1 we present in more detail how heaps are defined. The ACSL logic functions and predicate that formalize the basic heap properties of heaps are introduced in Section 9.2.

#define SIZE\_TYPE\_MAX UINT\_MAX

Listing 9.2: Upper limits of size\_type

In order to admit maximally large heaps, we had to catch border cases in ACSL as well as in C, cf. e.g. Listing 9.35 and 9.36. To this end, we introduced the constant from Listing 9.2. to refer to the upper bound of size\_type. We don't need a corresponding constant SIZE\_TYPE\_MIN for the lower bound, since it is trivial.

# 9.1. Basic heap concepts

The description of heaps at the beginning of this chapter is of course fairly vague. It outlines only the most important properties of various operations but does not clearly state what specific and verifiable properties a range must satisfy such that it may be called a heap.

A more detailed description can be found in the Apache C++ Standard Library User's Guide: 30

A heap is a binary tree in which every node is larger than the values associated with either child. A heap and a binary tree, for that matter, can be very efficiently stored in a vector, by placing the children of node i at positions 2i + 1 and 2i + 2.

We have, in other words, the following basic relations between indices of a heap:

left child for index 
$$i$$
 child<sub>1</sub>:  $i \mapsto 2i + 1$  (9.1)

right child for index 
$$i$$
 child<sub>r</sub>:  $i \mapsto 2i + 2$  (9.2)

and

parent index for index 
$$i$$
 parent :  $i \mapsto \frac{i-1}{2}$  (9.3)

These function are related through the following two equations that hold for all integers i. Note that in ACSL integer division rounds towards zero (cf. [9, §2.2.4]).

$$parent(child_1(i)) = i (9.4)$$

$$parent(child_r(i)) = i$$
 (9.5)

In order to given an example for the usefulness of heaps we consider the following multiset of integers X.

$$X = \{2, 3, 3, 3, 6, 7, 8, 8, 9, 11, 13, 14\} \tag{9.6}$$

<sup>&</sup>lt;sup>30</sup>See http://stdcxx.apache.org/doc/stdlibug/14-7.html

Figure 9.3 shows how the multiset from Equation (9.6) can, according to the parent-child relations of a heap, be represented as a tree.

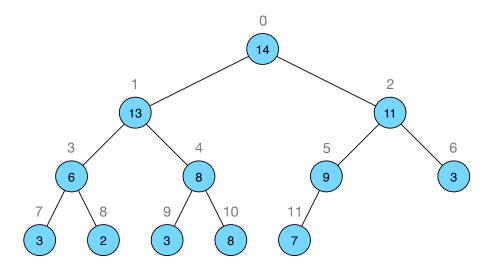


Figure 9.3.: Tree representation of the multiset X

The numbers outside the nodes in Figure 9.3 are the indices at which the respective node value is stored in the underlying array of a heap (cf. Figure 9.4).

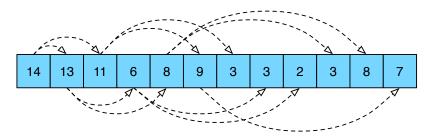


Figure 9.4.: Underlying array of a heap

It is important to understand that there can be various representations of a multiset as a heap. Figure 9.5, for example, arranges the elements of the multiset X as a heap in a different tree.

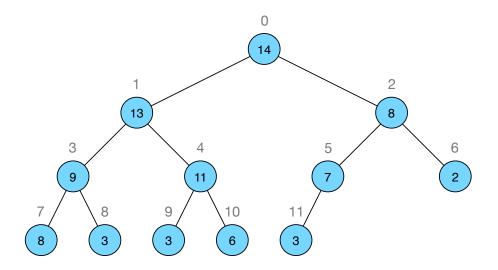


Figure 9.5.: An alternative representation of the multiset X

Figure 9.6 then shows the underlying array that corresponds to the tree in Figure 9.5.

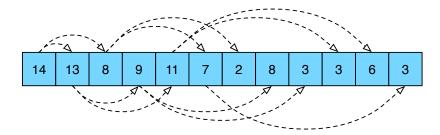


Figure 9.6.: Underlying array of the alternative representation

# 9.2. ACSL presentation of heap concepts

Listing 9.7 shows three logic functions HeapLeft, HeapRight and HeapParent that correspond to the definitions (9.1), (9.2) and (9.3), respectively.

```
/ * @
 logic integer HeapLeft(integer i) = 2*i + 1;
 logic integer HeapRight(integer i) = 2*i + 2;
 logic integer HeapParent(integer i) = (i-1) / 2;
 lemma
   HeapParentOfLeft:
      \forall integer p; 0 <= p ==> HeapParent(HeapLeft(p)) == p;
 lemma
   HeapParentOfRight:
      \forall integer p; 0 <= p ==> HeapParent (HeapRight (p)) == p;
 lemma
   HeapParentChild:
      \forall integer c, p;
       0 < c ==> HeapParent(c) == p ==>
        (c == HeapLeft(p) || c == HeapRight(p));
 lemma
   HeapChilds:
      \forall integer a, b;
       0 < a ==> 0 < b ==>
       HeapParent(a) == HeapParent(b) ==>
        (a == b \mid \mid a+1 == b \mid \mid a == b+1);
   HeapParentBounds:
      \forall integer c; 0 < c ==> 0 <= HeapParent(c) < c;
 lemma
   HeapChildBounds:
      \forall integer p;
        0 <= p ==> p < HeapLeft(p) < HeapRight(p);</pre>
*/
```

Listing 9.7: Logic functions for heap definition

Listing 9.7 also contains a number of ACSL lemma that state among other things that

- the HeapParent function satisfies the equations (9.4) and (9.5) and
- the function HeapParent is the *left inverse* to the HeapLeft and HeapRight functions.<sup>31</sup>

<sup>&</sup>lt;sup>31</sup>See Section Left and right inverses at http://en.wikipedia.org/wiki/Inverse\_function

On top of these basic definitions we introduce in Listing 9.8 the predicate IsHeap.

```
/*@
  predicate
  IsHeap{L}(value_type* a, integer n) =
      \forall integer i; 0 < i < n ==> a[i] <= a[HeapParent(i)];
*/</pre>
```

Listing 9.8: The predicate IsHeap

The root of a heap, that is the element at index 0, is always the largest element of the heap. Lemma HeapMaximum in Listing 9.9 expresses this property using the MaxElement predicate from Listing 4.7.

```
/*@
  lemma
  HeapMaximum{L} :
    \forall value_type* a, integer n;
    1 <= n ==> IsHeap(a, n) ==> MaxElement(a, n, 0);
*/
```

Listing 9.9: The lemma HeapMaximum

We use the following fact about division in C in the proof of lemma HeapMaximum.

```
/*@
    lemma
        C_Division_2:
        \forall integer a; 0 <= a ==> 0 <= a/2 <= a;
    */
```

Listing 9.10: The lemma C\_Division\_2

The following Listing 9.11 contains the C counterpart of a logic heap function in Listing 9.7. Note that here we have to take into account the limitations of C types.

Listing 9.11: An auxiliary heap function

# 9.3. The is\_heap algorithm

The is\_heap algorithm of the C++ Standard Library [14, §25.4.6.5] works on generic sequences. For our purposes we have modified the generic implementation to that of an array of type value\_type. The signature now reads:

```
bool is_heap(const value_type* a, int n);
```

The algorithm is\_heap checks whether a given array satisfies the heap properties we have semi-formally described in the beginning of this chapter. In particular, is\_heap will return **true** called with the array argument from Figure 9.4.

#### 9.3.1. Formal specification of is\_heap

The ACSL specification of is\_heap is shown in Listing 9.12. The function returns **true** if and only if its arguments satisfy the predicate IsHeap introduced in Section 9.2.

```
/*@
    requires valid: \valid_read(a +(0..n-1));

    assigns \nothing;

    ensures heap: \result <==> IsHeap(a, n);

*/
bool
is_heap(const value_type* a, size_type n);
```

Listing 9.12: Function Contract of is\_heap

Before we discuss the implementation of is\_heap we want to point out that (downward) sorted arrays are heaps. In Listing 9.13 we have formalized this claim which is easily verified by Frama-C/WP.

```
/*@
    predicate
    SortedDown{L} (value_type* a, integer n) =
        \forall integer i, j;
        0 <= i <= j < n ==> a[i] >= a[j];

lemma
    SortedDownIsHeap{L}:
        \forall value_type *a, integer n;
        SortedDown(a, n) ==> IsHeap(a, n);
*/
```

Listing 9.13: The lemma SortedDownIsHeap

#### 9.3.2. Implementation of is\_heap

Listing 9.14 shows one way to implement the function is\_heap. The algorithms starts at the index 1, which is the smallest index, where a child node of the heap might reside. The algorithms checks for each (child) index whether the value at the corresponding parent index is greater than or equal to the value at the child index.

```
bool
is_heap(const value_type* a, size_type n)
{
    size_type parent = 0u;

    /*@
    loop invariant bound: 0 <= parent < child <= n+1;
    loop invariant parent: parent == HeapParent(child);
    loop invariant heap: IsHeap(a, child);

    loop assigns child, parent;
    loop variant n - child;

*/
for (size_type child = 1u; child < n; ++child) {
    if (a[parent] < a[child]) {
        return false;
    }

    if ((child % 2u) == 0u) {
        ++parent;
    }
}

    return true;
}</pre>
```

Listing 9.14: Implementation of is\_heap

# 9.4. The push\_heap algorithm

Whereas in the C++ Standard Library [14, §25.4.6.1] push\_heap works on a range of random access iterators, our version operates on an array of value\_type. We therefore use the following signature for push\_heap

```
void push_heap(value_type* a, size_type n);
```

The push\_heap algorithm expects that n is greater or equal than 1. It also assumes that the array a[0..n-2] forms a heap. The algorithms then *rearranges* the array a[0..n-1] such that the resulting array is a heap. In this sense the algorithm *pushes* an element on a heap.

#### 9.4.1. Formal Specification of push\_heap

Listing 9.15 shows our ACSL specification of push\_heap. Note that the post condition reorder states that push\_heap is not allowed to change the number of occurrences of an array element. Without this post condition, an implementation that assigns 0 to each array element would satisfy the post condition heap—surely not what the user of the algorithm has in mind.

```
1
2
      requires nonempty: 0 < n;
3
                          \valid(a + (0..n-1));
      requires valid:
4
                          IsHeap(a, n-1);
      requires heap:
5
6
      assigns a[0..n-1];
7
8
      ensures heap:
                          IsHeap(a, n);
9
      ensures reorder:
                          MultisetUnchanged(Old, Here)(a, n);
10
   push_heap(value_type* a, size_type n);
```

Listing 9.15: Formal specification of push\_heap

Pushing an element on a heap usually *rearranges* several elements of the array (cf. Figures 9.16 and 9.17). We therefore must be able express that push\_heap only *reorders* the elements of the array. We re-use the predicate MultisetUnchanged, defined in Listing 6.5, to formally describe this property.

#### 9.4.2. Implementation of push\_heap

The following two figures illustrate how push\_heap affects an array, which is shown as a tree with blue and grey nodes, representing heap and non-heap nodes, respectively. Figure 9.16 shows the heap from Figure 9.3 together with the additional element 12 that is to be on the heap. To be quite clear about it: the new element 12 is the last element of the array and not yet part of the heap.

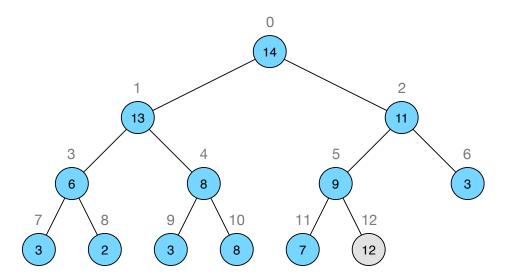


Figure 9.16.: Heap before the call of push\_heap

Figure 9.17 shows the array after the call of push\_heap. We can see that now all nodes are colored in blue, i.e., they are part of the heap. The dashed nodes changed their contents during the function call. The pushed element 12 is now at its correct position in the heap.

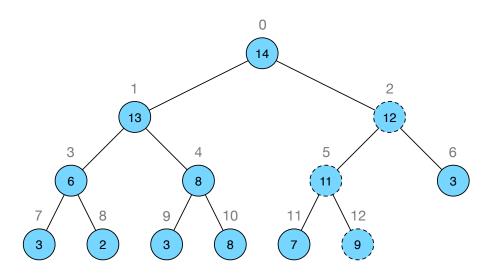


Figure 9.17.: Heap after the call of push\_heap

#### Challenges during the verification

In order to properly describe different stages of push\_heap and to accommodate the sheer size of our implementation we split the source code into three separate parts, to which we refer as

- prologue (see Section 9.4.2)
- main act (see Section 9.4.2)
- epilogue (see Section 9.4.2)

We will illustrate the changes to the array after each stage by figures of the array in tree form, based on the push\_heap example from Figure 9.16.

Verifying push\_heap is a non-trivial undertaking, and we will proceed, roughly speaking, as follows:

We can establish the heap property of Listing 9.15 already in the prologue. However, the reorder property only holds at the function boundaries but is violated while push\_heap manipulates the array. To be more precise: We loose the reorder property in the prologue and formally capture and maintain a slightly more general property in the main act. From this we will recover the reorder property in the epilogue.

#### **Prologue**

Our prologue initializes some important variables, checks whether the initial heap is nonempty, *and* also tries to move the new element upwards within the heap. In other implementations, the latter step is usually performed as part of push\_heap's main loop. In order to better understand our implementation decision we can look at Figure 9.18 which shows exemplarily its effects.

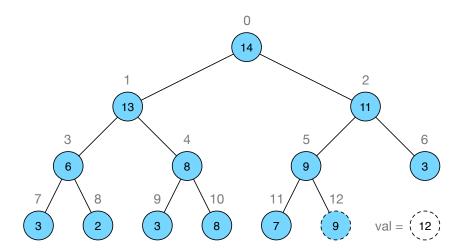


Figure 9.18.: Heap after the prologue of push\_heap

If we compare this tree to the tree in Figure 9.16 we notice that the element in the node with the dashed outlining changed its value. The number of occurrences of 9 increased by one during the prologue, while the number of occurrences of 12 decreased by one. The number of occurrences for all other elements is maintained. We store the element 12 in the variable val so we can write it back into the array later. The increased number of occurrences of 9 and the decreased number of elements 12 means that at this stage the postcondition reorder is violated. On the other hand, the modified array is now a heap. We express this by coloring all elements blue (cf. Figure 9.16).

More generally speaking, the following properties hold after the prologue:

- 1. The modified array is now a heap.
- 2. The "parent value" a [parent] now occurs one time more often.
- 3. The value a[n-1], on the other hand, now occurs one time fewer.
- 4. No other value changed its number of occurrences.

Listing 9.19 shows the implementation of the prologue. It starts with the listing of an auxiliary function heap\_parent that computes the parent index of its argument. The prologue also deals with the trivial cases that

- the array contains only one element or
- if a [n-1] is less or equal than its parent element

At the end of the prologue we have added four assertions that formally express the properties we have just enumerated above. As we will see (in Listing 9.25), these properties will occur as loop invariants in the main act. Adding these assertions makes the purpose of the prologue more explicit and thus supports the long-term maintenance of the annotated code. The auxiliary predicates that are used in the assertions are:

- predicate MultisetAdd (Listing 9.20)
- predicate MultisetMinus (Listing 9.21)
- various overloaded versions of predicate MultisetRetainRest (Listings 9.22 and 9.23)

```
void
push_heap(value_type* a, size_type n)
{
    // start of prologue
    if (1u < n) {        // otherwise nothings needs to be done
        const value_type v = a[n - 1u];
        size_type hole = heap_parent(n - 1u);

    if (a[hole] < v) {
        a[n - 1u] = a[hole];

        //@ assert heap: IsHeap(a, n);
        //@ assert add: MultisetAdd{Pre,Here}(a, n, a[hole]);
        //@ assert minus: MultisetMinus{Pre,Here}(a, n, v);
        //@ assert retain: MultisetRetainRest{Pre,Here}(a, n, v, a[hole]);
        // end of prologue</pre>
```

Listing 9.19: Prologue of push\_heap implementation

These auxiliary predicates are discussed in the following subsections.

#### The predicates MultisetAdd and MultisetMinus

The predicate MultisetAdd in Listing 9.20 expresses that the number of occurrences of a specific element in an array has increased by one between between two program points K and L.

```
/*@
   predicate
   MultisetAdd{K,L}(value_type* a, integer n, value_type val) =
        Count{L}(a, n, val) == Count{K}(a, n, val) + 1;
*/
```

Listing 9.20: The predicate MultisetAdd

The predicate MultisetMinus in Listing 9.21, on the other hand, expresses that the number of occurrences of a specific element in an array has decreased by one between two program points K and L.

```
/*@
  predicate
    MultisetMinus{K,L} (value_type* a, integer n, value_type val) =
        Count{L} (a, n, val) == Count{K} (a, n, val) - 1;
*/
```

Listing 9.21: The predicate MultisetMinus

Note that we could have defined MultisetMinus also by calling MultisetAdd with the labels reversed.

```
predicate
   MultisetMinus{K,L} (value_type* a, integer n, value_type val) =
        MultisetAdd{L,K} (a, n, val);
```

It is a often only a matter of taste how to decide which of several ways to define a predicate is more appropriate. However, one also has to take into account which definition can be handled more easily by Frama-C/WP and its associated theorem provers.

#### The predicate MultisetRetainRest

In order to achieve a concise specification we introduce the overloaded predicate MultisetRetainRest (see Listing 9.22). The expression MultisetRetainRest  $\{K, L\}$  (a, m, b, n, v) is true if the range a [0..n-1] at time K contains the same elements as b [0..m-1] at time L, except possibly for occurrences of v; the elements' order may differ in a and b. There is also a more general version of MultisetRetainRest in Listing 9.22 that is defined over array segments.

Listing 9.22: The predicate MultisetRetainRest

For push\_heap another overloaded version of MultisetRetainRest (Listing 9.23) is particularly useful. The new version holds if the number of occurrences for all elements, except the two given ones, remains unchanged between two program points.

Listing 9.23: An overloaded version of predicate MultisetRetainRest

The definition of this new version of the MultisetRetainRest predicate uses the simpler predicate MultisetRetain shown in Listing 9.24. This predicate holds if the number of occurrences of a given value in an array does not change between two program points. We will later also directly employ MultisetRetain to succinctly formulate additional assertions in push\_heap.

```
/*@
  predicate
   MultisetRetain{K,L} (value_type* a, integer n, value_type v) =
        Count{K} (a, n, v) == Count{L} (a, n, v);
*/
```

Listing 9.24: The predicate MultisetRetain

#### Main act

The goal of the main act is to locate the array index to which the new element can be assigned. Listing 9.25 shows its implementation.

```
// start of main act
if (0u < hole) {
 size_type parent = heap_parent(hole);
   loop invariant bound: 0 <= hole < n-1;</pre>
   loop invariant heap: IsHeap(a, n);
   loop invariant heap: parent == HeapParent(hole);
   loop invariant less: a[hole] < v;</pre>
    loop invariant add: MultisetAdd{Pre,Here}(a, n, a[hole]);
    loop invariant minus: MultisetMinus{Pre, Here} (a, n, v);
    loop invariant retain: MultisetRetainRest{Pre, Here}(a, n, v, a[hole]);
                    hole, parent, a[0..n-1];
    loop assigns
   loop variant
                          hole;
 while ((Ou < hole) && (a[parent] < v)) {</pre>
    //@ ghost Loop: // LoopEntry not yet supported!
   //@ ghost const value_type old_a = a[hole];
    //@ assert reorder: old_a == \at(a[hole],Loop);
   if (a[hole] < a[parent]) {</pre>
     a[hole] = a[parent];
      //@ assert less: old_a
                                 < v;
                        a[hole] < v;
      //@ assert less:
     //@ assert retain: MultisetUnchanged{Loop, Here}(a, 0, hole);
     //@ assert retain: MultisetUnchanged{Loop, Here} (a, hole + 1, n);
     //@ assert minus: MultisetMinus{Loop, Here}(a, n, old_a);
     //@ assert add: MultisetAdd{Loop, Here}(a, n, a[hole]);
     //@ assert retain: MultisetRetain{Loop, Here} (a, n, v);
     //@ assert retain: MultisetRetain{Pre, Here} (a, n, old_a);
      //@ assert retain: MultisetRetainRest{Pre,Here}(a, n, v, a[hole]);
   hole = parent;
   if (0u < hole) {
     parent = heap_parent(hole);
}
// end of main act
```

Listing 9.25: Main act of push\_heap implementation

The loop invariant heap expresses that the predicate IsHeap is true for the array throughout the main act. Instead of an invariant reorder that reflects the postcondition with the same name, we now consider the invariants add, minus, and retain.

It is important to understand the use of the variable hole in these loop invariants. Before each loop iteration, hole stores the index of the node whose value was assigned to one of its children in the previous iteration or in the prologue (for the first loop run). Therefore, the value a [hole] appears in the loop invariants add and retain.

Verifying the various loop invariants and assertions has been far from being straightforward, and required additional assertions and the *ghost* label Loop. The following remarks highlight some of the issues.

- 1. The heap property implies that a [hole] <= a [parent] always holds. Thus, the assignment a [hole] = a [parent] might be redundant. We have not check whether guarding this assignment with the condition a [hole] < a [parent] is more efficient. Important for us is the following: the guard allows us to put additional assertions where they really matter and where they can more easily be verified.
- 2. In order to guide the automatic provers, we have also provided the lemmas MultisetAddDistinct (Listing 9.26), MultisetMinusDistinct (Listing 9.27), and MultisetPushHeapRetain (Listing 9.28). These lemmas formalize conditions under which the respective predicates MultisetAdd, MultisetMinus, and MultisetRetain apply.

Listing 9.26: The lemma MultisetAddDistinct

Listing 9.27: The lemma MultisetMinusDistinct

```
/ * @
lemma
  MultisetPushHeapRetain{K,L,M}:
     \forall value_type *a, ap, ah, v, integer h, p, n;
       0 \le p \le h \le n-1
                                                ==>
       ah < ap < v
                                                ==>
       \at(a[h],L)
                     ==
                                                ==>
                         ah
       \at(a[p],L)
                                                ==>
                         ap
       \at(a[h],M)
                    ==
                         ap
                                                ==>
       MultisetMinus{K,L}(a, n, v)
                                                ==>
       MultisetAdd(K,L)(a, n, ah)
                                                ==>
       MultisetRetainRest(K,L)(a, n, v,
                                                ==>
       MultisetUnchanged(L,M)(a, 0, h)
                                                ==>
       MultisetUnchanged{L,M}(a, h+1, n)
       MultisetRetainRest(K,M)(a, n, v, ap);
```

Listing 9.28: The lemma MultisetPushHeapRetain

Figure 9.29 shows the array after the main act. The contents of the dashed nodes have been overwritten with the values of their parents until hole reached a node to which val can be assigned, whilst maintaining the heap property.

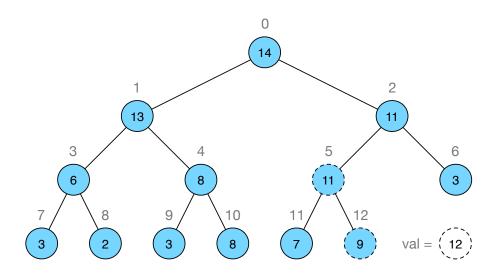


Figure 9.29.: Heap after the main act of push\_heap

In our example, the loop performs just one assignment, viz. a[5] = a[2], and then stops with hole being 2. At this point, the new element 12 can be assigned to the node with the index 2 and the heap property stays intact. This assignment takes place in the epilogue.

#### **Epilogue**

The last part of the implementation is the epilogue, shown in Listing 9.30. It consists of exactly one assignment which re-establishes the reorder property while maintaining the heap property already established.

```
// start of epilogue
//@ ghost Epi:
a[hole] = v;
//@ assert value: \at(a[hole],Epi) != v;
//@ assert value: \at(a[hole],Here) == v;
//@ assert unchanged: MultisetUnchanged{Epi,Here}(a, 0, hole);
//@ assert unchanged: MultisetUnchanged{Epi,Here}(a, hole+1, n);
//@ assert add: MultisetAdd{Epi,Here}(a, n, v);
//@ assert minus: MultisetMinus{Epi,Here}(a, n, \at(a[hole],Epi));
//@ assert reorder: MultisetUnchanged{Pre,Here}(a, n);
}
// end of epilogue
}
```

Listing 9.30: Epilogue of push\_heap implementation

The ghost label Epi, together with a couple of assertions and the lemma MultisetPushHeapClosure are necessary to help the automatic theorem provers to prove the final assertion reorder.

```
/*@
lemma
  MultisetPushHeapClosure{K,L,M}:
     \forall value_type *a, u, v, integer i, n;
       0 \le i \le n-1
                                               ==>
       u != v
                                              ==>
       \operatorname{(a[i],M)} == v
       MultisetAdd(K,L)(a, n, u)
       MultisetMinus(K,L)(a, n, v)
       MultisetRetainRest(K,L)(a, n, v, u)
       MultisetUnchanged(L,M)(a, 0, i)
       MultisetUnchanged {L, M} (a, i+1, n)
                                              ==>
       MultisetAdd(L,M)(a, n, v)
                                               ==>
       MultisetMinus{L,M}(a, n, u)
                                               ==>
       MultisetUnchanged(K,M)(a, n);
```

Listing 9.31: The lemma MultisetPushHeapClosure

Concerning the reorder property, the main act finished with an increased count of nodes with the value 11 and a decreased count of nodes with the value 12 (cf. Figure 9.29). The heap in Figure 9.32, on the other hand, shows the tree after the epilogue has assigned the value 12 to the node with the index 2, which contained the value 11. Hence the reorder property is re-established and the function can return.

Moreover, since the heap property has been inferred, all nodes are now colored blue.

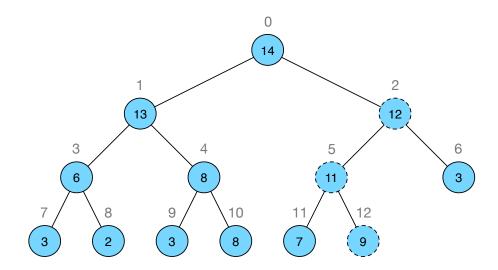


Figure 9.32.: Heap after the epilogue of push\_heap

# 9.5. The pop\_heap algorithm

Whereas in the C++ Standard Library [14, §25.4.6.2] pop\_heap works on a range of random access iterators, our version operates on an array of value\_type. We therefore use the following signature for pop\_heap

```
void pop_heap(value_type* a, size_type n);
```

The pop\_heap algorithm expects that n is greater or equal than 1 and that the array a[0..n-1] forms a heap. The algorithms then *rearranges* the array a[0..n-1] such that the resulting array satisfies the following properties.

- a[n-1] = old(a[0]), that is, the largest element<sup>32</sup> of the original heap is transferred to the end of the array
- the subarray a [0..n-2] is a heap

In this sense the algorithm *pops* the largest element from a heap.

#### 9.5.1. Formal Specification of pop\_heap

The ACSL function contract of pop\_heap is given in Listing 9.33.

```
requires bounds: 0 < n;
requires valid: \valid(a + (0..n-1));
requires heap: IsHeap(a, n);

assigns a[0..n-1];

ensures heap: IsHeap(a, n-1);
ensures result: a[n-1] == \old(a[0]);
ensures max: MaxElement(a, n, n-1);
ensures reorder: MultisetUnchanged{Old, Here}(a, n);

*/
void
pop_heap(value_type* a, size_type n);</pre>
```

Listing 9.33: Formal specification of pop\_heap

 $<sup>^{32}\</sup>mbox{See}$  Lemma HeapMaximum in Listing 9.9.

#### 9.5.2. Implementation of pop\_heap

Listing 9.34 shows our implementation of pop\_heap together with ACSL annotations. Note that in this version the postcondition reorder of pop\_heap, which states that the algorithm only *rearranges* the elements of the array, is not verified by Frama-C/WP. Verifying this postcondition would require more elaborate loop invariants which we will supply in a later version of this document.

```
void
pop_heap(value_type* a, size_type n)
  if (1u < n) { // otherwise nothings needs to be done</pre>
    const value_type v = a[0u];
    //@ assert max: MaxElement(a, n, 0);
    if (a[n - 1u] < v) { // otherwise nothings needs to be done
      //@ assert bounds: 2 <= n;</pre>
      size_type hole = 0u;
      size_type child = maximum_heap_child(a, n, hole);
      //@ assert heap: child < n - 1 ==> hole == HeapParent(child);
      / * @
          loop invariant bounds: 0 <= hole < n-1;</pre>
          loop invariant bounds: hole < child;</pre>
          loop invariant heap: IsHeap(a, n);
          loop invariant heap: a[n-1] < a[HeapParent(hole)];</pre>
          loop invariant heap: child < n - 1 ==> hole == HeapParent(child);
          loop invariant child: HeapMaximumChild(a, n, hole, child);
          loop invariant max: UpperBound(a, 0, n, v);
          loop assigns
                                hole, child, a[0..n-2];
          loop variant
                                 n - hole;
       */
      while ((child < n - 1u) && (a[n - 1u] < a[child])) {
       a[hole] = a[child];
        hole = child;
        //@ assert heap: IsHeap(a, n);
        child = maximum_heap_child(a, n, hole);
      //@ assert child: child < n-1 ==> a[n-1] >= a[child];
      //@ assert child: HeapMaximumChild(a, n, hole, child);
      //@ assert heap: IsHeap(a, n);
      //@ assert heap: a[n-1] < a[HeapParent(hole)];</pre>
      a[hole] = a[n - 1u];
      //@ assert heap: IsHeap(a, n-1);
      a[n - 1u] = v;
      //@ assert heap: IsHeap(a, n-1);
    }
  }
```

Listing 9.34: Implementation of pop\_heap

Our implementation relies on the auxiliary function maximum\_heap\_child which determines for a given heap element "the" child element is not less than another child element. We have formalized the notion of a maximum child of an element of a heap in the ACSL predicate HeapMaximumChild shown in Listing 9.35.

Listing 9.35: The predicate HeapMaximumChild

Listing 9.36 shows the contract and the implementation of the function  $maximum\_heap\_child$ . This function returns a child index c of parent with the property that for a potential other child d of parent with d < n - 1 the condition a[d] <= a[c] holds. Note that it explicitly handles the case that the child index computation would overflow; it returns n then.

```
/ * @
   requires bound: 2 <= n;
   requires bound: 0 <= parent < n - 1;</pre>
   requires valid: \valid(a + (0..n-1));
  requires heap: IsHeap(a, n);
                   \nothing;
   assigns
   ensures heap: IsHeap(a, n);
                 HeapMaximumChild(a, n, parent, \result);
   ensures max:
   ensures less: parent < \result;</pre>
   ensures less: \result < n - 1 ==> parent == HeapParent(\result);
static inline size_type
maximum_heap_child(const value_type* a, size_type n, size_type parent)
 if (parent < (SIZE_TYPE_MAX - 1u) / 2u) {</pre>
    const size_type right = 2u * parent + 2u;
   const size_type left = right - 1u;
    if (right < n - 1u) {
     // case of two children: select child with maximum value
     return a[left] >= a[right] ? left : right;
   else {
     // at most one child that comes before n-1 can exist
     return left;
 else {
   return n;
  }
```

Listing 9.36: The auxiliary function maximum\_heap\_child

# 9.6. The make\_heap algorithm

Whereas in the C++ Standard Library [14, §25.4.6.2] make\_heap works on a pair of generic random access iterators, our version operators on a range of value\_type. Thus the signature of make\_heap reads

```
void make_heap(value_type* a, size_type n);
```

The function  $make\_heap$  rearranges the elements of the given array a[0..n-1] such that they form a heap.

As an examples we look at the array in Figure 9.37. The elements of this array do not form a heap, as indicated by the grey colouring. Executing the make\_heap algorithm on this array rearranges its elements so that they form a heap as shown in Figure 9.4.



Figure 9.37.: Array before the call of make\_heap

#### 9.6.1. Formal Specification of make\_heap

Listing 9.38 shows the ACSL specification of make\_heap.

Listing 9.38: The Specification of make\_heap

Like with push\_heap the formal specification of make\_heap must ensure that the resulting array is a heap of size n and contains the same multiset of elements as in the pre-state of the function. These properties are expressed by the heap and reorder postconditions respectively. The reorder postcondition uses the predicate MultisetUnchanged (see Listing 6.5) to ensure make\_heap only rearranges the array elements.

#### 9.6.2. Implementation of make\_heap

The implementation of make\_heap, shown in Listing 9.39, is straightforward. From low to high the array's elements are pushed to the growing heap. We used i < n as loop condition, rather than the more tempting i <= n, in order to admit also  $n == SIZE_TYPE_MAX$ ; as a consequence, we had to call push\_heap with i+1. The iteration starts at i+1 == 2, because an array with length one is a heap already.

```
void
make_heap(value_type* a, size_type n)
 if (0u < n) {
   /*@
      loop invariant bounds:
                                1 <= i <= n;
      loop invariant heap:
                                IsHeap(a, i);
      loop invariant reorder: MultisetUnchanged{Pre, Here} (a, i+1);
      loop invariant unchanged: Unchanged{Pre, Here} (a, i+1, n);
      loop assigns
                    i, a[0..n-1];
      loop variant n - i;
   for (size_type i = 1u; i < n; ++i) {</pre>
     push_heap(a, i + 1u);
 }
  //@ assert heap: IsHeap(a, n);
```

Listing 9.39: The Implementation of make\_heap

Since the loop statement consists just of a call to push\_heap we obtain the both loop invariants heap and reorder by simply lifting them from the contract of push\_heap (see Section 9.4.1).

The postcondition of push\_heap only specifies the multiset of elements from index 0 to i. We therefore also have to specify that the elements from index i+1 to n-1 are only reordered. This property can be derived from the unchanged property of push\_heap.

# 9.7. The sort\_heap algorithm

Whereas in the C++ Standard Library [14, §25.4.6.4] sort\_heap works on a range of random access iterators, our version operates on an array of value\_type. We therefore use the following signature for sort\_heap

```
void sort_heap(value_type* a, size_type n);
```

The function sort\_heap rearranges the elements of a given heap a [0..n-1] into an array that is sorted in increasing order. Thus, applying sort\_heap to the heap in Figure 9.4 produces the sorted array in Figure 9.40.

| 2 | 3 3 | 3 | 6 | 7 | 8 | 8 | 9 | 11 | 13 | 14 |  |
|---|-----|---|---|---|---|---|---|----|----|----|--|
|---|-----|---|---|---|---|---|---|----|----|----|--|

Figure 9.40.: Array after the call of sort\_heap

#### 9.7.1. Formal Specification of sort\_heap

Listing 9.41 shows our ACSL specification of sort\_heap. The formal specification of sort\_heap must ensure that the resulting array is sorted. Furthermore the multiset contained by the array must be the same as in the pre-state of the function. The postconditions sorted and reorder express these properties, respectively. The specification effort is relatively simple because we can reuse the previously defined predicates MultisetUnchanged (Listing 6.5) and Sorted (Listing 5.1).

```
/ * @
1
2
      requires valid: \valid(a + (0..n-1));
3
      requires heap:
                      IsHeap(a, n);
4
5
      assigns a[0..n-1];
6
7
      ensures sorted:
                         Sorted(a, n);
8
      ensures reorder: MultisetUnchanged{Old, Here}(a, n);
9
10
   void
   sort_heap(value_type* a, size_type n);
11
```

Listing 9.41: Formal specification of sort\_heap

#### 9.7.2. Implementation of sort\_heap

The implementation of sort\_heap (Listing 9.42) is relatively simple because it relies on the pop\_heap algorithm performing essential work.

Our implementation of sort\_heap repeatedly calls pop\_heap to extract the maximum of the shrinking heap and adding it to the sorted part of the array.

```
sort_heap(value_type* a, size_type n)
    loop invariant bound: 0 <= i <= n;</pre>
    loop invariant heap:
                            IsHeap(a, i);
    loop invariant sorted: Sorted(a, i, n);
    loop invariant lower: LowerBound(a, i, n, a[0]);
    loop invariant reorder: MultisetUnchanged{Pre, Here} (a, 0, n);
    loop assigns i, a[0..n-1];
    loop variant i;
 for (size_type i = n; i > 1u; --i) {
       requires heap:
                          IsHeap(a, i);
       assigns a[0..i-1];
       ensures heap: IsHeap(a, i-1);
                         a[i-1] == \old(a[0]);
       ensures max:
                     MaxElement(a, i, i-1);
       ensures max:
       ensures reorder: MultisetUnchanged{Old, Here} (a, 0, i);
       ensures reorder: Unchanged{Old, Here}(a, i, n);
   pop_heap(a, i);
   //@ assert lower: LowerBound(a, i, n, a[i-1]);
}
```

Listing 9.42: The Implementation of sort\_heap

The loop invariants of sort\_heap describe the content of the array in two parts. The first i elements form a heap and are described by the heap invariant. The last n-i elements are already sorted.

#### **Supporting lemmas**

In order to facilitate the automatic verification of the property sorted we rely among others on the properties lower and max and the lemma SortedUpperBound from Listing 9.43.

```
/*@
  lemma
  SortedUpperBound{L}:
    \forall value_type *a, integer n;
    UpperBound(a, n, a[n]) ==>
        Sorted(a, n) ==>
        Sorted(a, n+1);
*/
```

Listing 9.43: The lemma SortedUpperBound

To verify the property reorder we formulate in Listing 9.44 several lemmas that express that the properties

- MultisetUnchanged(K,L)(a, 0, i) and
- Unchanged{Old, Here}(a, i, n)

imply the desired loop invariant MultisetUnchanged(K, L) (a, 0, n).

```
/ * @
 lemma
   UnchangedImpliesMultisetUnchanged{L1,L2}:
     \forall value_type *a, integer k, n;
       Unchanged\{L1,L2\}(a, k, n) ==>
       MultisetUnchanged(L1,L2)(a, k, n);
 1emma
   MultisetUnchangedUnion{L1,L2}:
     \forall value_type *a, integer i, k, n;
       0 <= i <= k <= n
       MultisetUnchanged(L1,L2)(a, i, k) ==>
       MultisetUnchanged{L1,L2}(a, k, n) ==>
       MultisetUnchanged{L1,L2}(a, i, n);
 lemma
   MultisetUnchangedTransitive{L1,L2,L3}:
     \forall value_type *a, integer n;
       MultisetUnchanged{L1,L2}(a, n) ==>
       MultisetUnchanged(L2,L3)(a, n)
       MultisetUnchanged{L1,L3}(a, n);
*/
```

Listing 9.44: Some lemmas for MultisetUnchanged

# 10. Sorting Algorithms

In this chapter, we present algorithms of the C++ Standard Library [14, §25.4.1] that are related to the task of sorting a linear array.

- Section 10.1 shows an algorithm to check if a given array is already sorted in ascending order.
- The algorithm in Section 10.2 partitions a given array, and sorts only the resulting lower part.

Many issues in computer science can be exemplified in the field of sorting algorithms; see e.g. [24] for a famous textbook. Therefore we arrange some of the most common classic sorting algorithms.

Following [25], we have also used (C rephrasings of) functions from the C++ Standard Library as far as possible to implement the different algorithmic approaches.

- heap\_sort in Section 10.3 describes the quite efficient *heap sort*, which relies on the algorithms presented in Chapter 9.<sup>33</sup>
- selection\_sort in Section 10.4 presents the classic selection sort algorithm.<sup>34</sup>
- insertion\_sort in Section 10.5 the also well-known insertion sort algorithm.<sup>35</sup>
- merge in Section 10.6 the also well-known merge algorithm from merge sort.

These algorithms essentially share the following contract; it is their implementations that differ fundamentally.

```
/*@
    requires valid: \valid(a + (0..n-1));

    assigns a[0..n-1];

    ensures sorted: Sorted(a, n);
    ensures reorder: MultisetUnchanged{Old, Here}(a, n);
*/
void xxx_sort(value_type* a, size_type n);
```

While heap\_sort achieves a run-time complexity upper bound of  $O(n \cdot \log(n))$  due to the efficiency of the heap data structure, both selection\_sort and insertion\_sort need  $O(n^2)$  in the average case, and also in the worst case.

Note that the sort algorithm from the C++ Standard Library is not handled here because it typically relies on *introspection sort* which is sophisticated mix of various classic algorithms.<sup>36</sup>

In future releases we plan to handle the more algorithms related sorting.

<sup>33</sup> See https://en.wikipedia.org/wiki/Heapsort

 $<sup>^{34}\</sup>mathbf{See}$  https://en.wikipedia.org/wiki/Selection\_sort

<sup>&</sup>lt;sup>35</sup>See https://en.wikipedia.org/wiki/Insertion\_sort

 $<sup>^{36}\</sup>mathbf{See}\;\mathtt{https://en.wikipedia.org/wiki/Introsort}$ 

# 10.1. The is\_sorted algorithm

Our version of the  $is\_sorted$  algorithm compared to the C++ Standard Library [14, §25.4.1.5] has the signature

```
bool is_sorted(const value_type* a, size_type n);
```

It returns **true** if the given array is in ascending order, and **false** else.

#### 10.1.1. Formal Specification of is\_sorted

Listing 10.1 shows the ACSL specification of is\_sorted. In the contract, we use the predicate Sorted, (see Listing 5.1) which states that any array element is always less or equal to any other element right of it. We'll use an easier-to-handle predicate in the implementation, see below.

```
/*@
  requires valid: \valid_read(a + (0..n-1));

  assigns \nothing;

  ensures \result <==> Sorted(a, n);
  */
  bool
  is_sorted(const value_type* a, size_type n);
```

Listing 10.1: The Specification of is\_sorted

#### 10.1.2. Implementation of is\_sorted

The implementation of is\_sorted is shown in Listing 10.3. As usual, it doesn't compare every array element to all that are right to it, but only to the immediately adjacent one, which is of course more efficient. For this reason, we use the predicate WeaklySorted, shown in Listing 10.2, in the loop invariant of the implementation.

Users inexperienced in formal verification often have a blind spot at the difference between Sorted and WeaklySorted. Both versions are logically equivalent, and proving Sorted  $\Rightarrow$  WeaklySorted is even trivial. However, proving the converse direction is not, and requires an induction on the array size n, employing the transitivity of  $\leq$  in the induction step. Humans are trained to perform such inductions unnoticed, but none of the automated provers supported by Frama-C is able to perform induction at all.

Listing 10.2: The predicate WeaklySorted

Since our implementation uses WeaklySorted in its loop invariant, and follows the same principle in its code, its verification is straight-forward — except for the final reasoning that WeaklySorted(a,n) implies Sorted(a,n). We have an own lemma for that step, shown in Listing 10.4, which needs to be proven manually with Coq. The converse lemma (Listing 10.4) is proven automatically, but isn't actually needed to verify our is\_sorted implementation.

Alternatively, we could have dragged the predicate Sorted along the loop, which happens to cause no particular problems in this case.

Listing 10.3: The implementation of is sorted

Listing 10.4: The lemmas SortedImpliesWeaklySorted and WeaklySortedImpliesSorted

# 10.2. The partial\_sort algorithm

Our version of the partial\_sort algorithm compared to the  $C^{++}$  Standard Library [14, §25.4.1.3] has the signature

```
void partial_sort(value_type* a, size_type m, size_type n);
```

The algorithm reorders the given array a in such a way that it represents a partition: each member of the left part a [0..m-1] is less or equal to each member of the right part a [m..m-1]. Moreover, the algorithm sorts the left part in increasing order. The order of elements in the right part, however, is unspecified. Figure 10.5 uses a bar chart to depict a typical result of a call partial\_sort(a, m, n). In the post-state, the left and the right part is colored in green and orange, respectively.

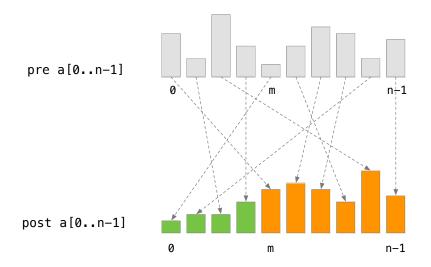


Figure 10.5.: Effects of partial\_sort

#### 10.2.1. Formal specification of partial\_sort

We start this section by introducing in Listing 10.6 the new predicate Partition which formalizes the partitioning property.

```
/*@
  predicate
   Partition{L} (value_type* a, integer m, integer n) =
     0 <= m <= n ==>
     \forall integer i, k; 0 <= i < m <= k < n ==> a[i] <= a[k];
*/</pre>
```

Listing 10.6: The predicate Partition

The formal specification of the partial\_sort function is shown in Listing 10.7. It uses the just introduced predicate Partition and reuses the previously defined predicates Sorted (shown in Listing 5.1) and MultisetUnchanged (Listing 6.5).

```
/*@
  requires valid: \valid(a + (0..n-1));
  requires split: 0 <= m <= n;

  assigns a[0..n-1];

  ensures sorted: Sorted(a, m);
  ensures partition: Partition(a, m, n);
  ensures reorder: MultisetUnchanged{Old, Here}(a, n);
  */
  void
  partial_sort(value_type* a, size_type m, size_type n);</pre>
```

Listing 10.7: The Specification of partial\_sort

## 10.2.2. Implementation of partial\_sort

Our implementation is shown in Listing 10.9 and 10.10. It initially calls make\_heap (Section 9.6) to rearrange the left part a [0..m-1] into a heap. After that, it scans the right part, from left to right, for elements that are too small; each such element is exchanged for the left part's maximum, by applying pop\_heap (9.5) and push\_heap (9.4) appropriately. When the scan is done, the smallest elements are collected in the left part. We finally convert it from a heap into an ascending range, by sort\_heap (9.7).

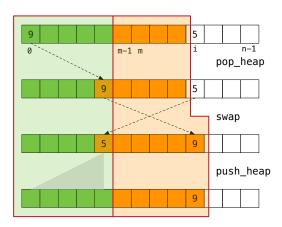


Figure 10.8.: An iteration of partial\_sort

In the scan loop, we maintain as invariants

- that the left part is a heap (invariant heap);
- that its maximal element, a [0], is a "separating element" between the left part a [0..m-1] and the right part a [m..i-1], i.e., an upper bound of the left (invariant upper) and a lower bound of the right part (invariant lower), respectively;
- that a [i..m-1] is yet unchanged (invariant unchanged); and
- that only permutation operations have been applied to a [0..i-1] (invariant reorder).

```
void
partial_sort(value_type* a, size_type m, size_type n)
 if (m > 0u) {
   make_heap(a, m);
   //@ assert reorder: Unchanged{Pre, Here} (a, m, n);
     loop invariant unchanged: Unchanged{Pre, Here}(a, i, n);
                  i, a[0..n-1];
     loop assigns
     loop variant
                          n-i;
   for (size_type i = m; i < n; ++i)</pre>
     if (a[i] < a[0u]) {</pre>
      /*@
        assigns
                        a[0..m-1];
                       IsHeap(a, m-1);
        ensures heap:
                       a[m-1] == \old(a[0]);
        ensures max:
                      MaxElement(a, m, m-1);
        ensures max:
        ensures reorder: MultisetUnchanged{Old, Here}(a, m);
        ensures unchanged: Unchanged{Old, Here}(a, m, i);
        ensures unchanged: Unchanged{Old, Here}(a, m, n);
      pop_heap(a, m);
      //@ assert lower:
                        a[0] \le a[m-1];
      //@ assert lower:
                        a[i] < a[m-1];
      //@ assert lower:
                        LowerBound(a, m, i, a[m-1]);
      //@ assert partition: Partition(a, m, i);
      //@ assert reorder: MultisetUnchanged{Pre, Here}(a, i);
```

Listing 10.9: The Implementation of partial\_sort (1)

In order to preserve the loop invariants after i is incremented, nothing has to be done if a [0] happens to be also a lower bound for a [i]. Otherwise, let us follow the algorithm through the then part code, depicting the intermediate states in Figure 10.8. The elements considered so far are shown colored similar to Figure 10.5; in particular the heap part is shown in green. The overlaid transparent red shape indicates the ranges to which Partition applies, in each state. The figure assumes the initial contents of a [0] and a [i] to be 9 and 5, for sake of generality, let us call them p and q, respectively.

After pop\_heap and swap, we have p at a [i], and q at a [m-1]. At that point we know

- 1.  $q for each <math>m \le k < i$ , since p was a lower bound for a [m..i-1];
- 2. q
- 3.  $a[j] \le p \le a[k]$  for each  $0 \le j < m-1$  and each  $m \le k < i$ , since this held on loop entry, and we didn't more than reordering inside the parts; and
- 4.  $a[j] \le p = a[i]$  since p was the heap maximum on loop entry.

Altogether, we have a [j]  $\leq p \leq$  a [k] for each  $0 \leq j < m$  and each  $m \leq k < i + 1$ . That is, Partition (a, m, i+1) holds, although we cannot name a separating element of a here.

```
/ * @
                           a[m-1], a[i];
        assigns
                           SwappedInside{Old, Here} (a, m-1, i, n);
        ensures swapped:
      swap(a + m - 1u, a + i);
      //@ assert lower:
                            a[m-1] < a[i];
                             \forall integer k; 0 <= k < m ==>
      /*@ assert lower:
                              LowerBound(a,m,i+1,a[k]);
      //@ assert upper:
                             UpperBound(a, 0, m-1, a[0]);
      //@ assert reorder:
                             MultisetUnchanged{Pre, Here} (a, i+1);
      //@ assert unchanged: Unchanged{Pre,Here}(a, i+1, n);
      / * @
                           a[0..m-1];
       assigns
        ensures heap:
                           IsHeap(a, m);
                           MultisetUnchanged{Old, Here} (a, m);
        ensures reorder:
        ensures unchanged: Unchanged{Old, Here} (a, m, i+1);
        ensures unchanged: Unchanged{Old, Here}(a, i+1, n);
     push_heap(a, m);
      //@ assert upper:
                           UpperBound(a, 0, m,
      //@ assert lower:
                           LowerBound(a, m, i+1, a[0]);
  //@ assert partition: Partition(a, m, n);
  / * @
   assigns
                      a[0..m-1];
   ensures sorted:
                      Sorted(a, m);
   ensures reorder: MultisetUnchanged{Old, Here} (a, m);
    ensures reorder: MultisetUnchanged{Old, Here} (a, m, n);
  sort_heap(a, m);
  //@ assert partition: Partition(a, m, n);
  //@ assert reorder: MultisetUnchanged{Pre, Here}(a, n);
}
```

Listing 10.10: The Implementation of partial\_sort (2)

After calling push\_heap, which just performs some more reorderings of the left part,<sup>37</sup> this property is preserved. Moreover, we now know again that a[0] has become an upper bound of the left part, and hence a separating element between a[0..m-1] and a[m..i]; that is, the loop invariants upper and lower have been re-established. These two invariants together are eventually used to prove the property partition of the contract.

Compared to its size, the algorithm makes a lot of procedure calls; in this respect it is closer to real-life software than most other algorithms of this tutorial. Therefore, we use it to illustrate a methodical point: For almost every procedure call, we give the callee's contract, tailored to its actual parameters, as a statement contract of the call. For example, everything we know from the pop\_heap contract, instantiated to the particular situation, is documented in the first statement contract (Listing 10.9). In contrast, we use assert clauses to indicate intermediate reasoning to obtain subsequently needed properties.

Our implementation has a worst-case time complexity of  $O((n+m) \cdot \log m)$ . On the other hand, an implementation that ignores m and just sorts a [0..n-1] also satisfies the contract in Listing 10.7, and may have  $O(n \cdot \log n)$  complexity. Some arithmetic shows that partial\_sort performs better than plain sort if, and only if,  $\log m < \frac{n}{m} \cdot \log \left(\frac{n}{m}\right)$ , that is, if n is sufficiently larger than m.

 $<sup>^{37}</sup>$ We can't and we needn't tell which position q is moved to; the former is indicated in Figure 10.5 by the vague grey triangle.

## Lemmas used in partition proofs

The following lemmas are use in proofs of properties and annotations related to the loop invariants upper and lower. Lemma ReorderPreservesUpperBound (Listing 10.11) informally says that a lower bound v of a range a [0..n-1] keeps its property even after the range is reordered.

Listing 10.11: The lemma ReorderPreservesUpperBound

Dually, lemma ReorderPreservesLowerBound (Listing 10.11) says that reordering a range doesn't affect any of its upper bounds.

Listing 10.12: The lemma ReorderPreservesLowerBound

Lemma PartialReorderPreservesLowerBounds (Listing 10.13) describes a more particular situation: if each element in a [0..m-1] is known to be a lower bound of a [m..n-1], and the former range is reordered while the latter is kept untouched, then a [0] will still be a lower bound of a [m..n-1]. We employ this lemma to infer that, after push\_heap was called, the new heap maximum a [0], is a lower bound of a [m..i],

Listing 10.13: The lemma PartialReorderPreservesLowerBounds

Lemma ReorderImpliesMatch states that a value a[i] taken from a range a[0..n-1] after some reordering must have been in that range already before reordering. It is used to prove the lemmas above.

Listing 10.14: The lemma ReorderImpliesMatch

## Lemmas handling some effects of swap

The next group of lemmas is related to proofs dealing with the effect of the swap call. We used the predicate SwappedInside, shown in Listing 10.15 rather than the literal postcondition of swap, since this leads to better performance of the provers.

Listing 10.15: The predicate SwappedInside

Our next lemma, SwappedInsideMultisetUnchanged, which is shown in Listing 10.16, employs the predicate SwappedInside from Listing 10.15. It states that swapping the elements a[i] and a[k] is a particular kind of reordering on the range a[i..k].

```
/*@
  lemma
  SwappedInsideMultisetUnchanged{K,L}:
    \forall value_type* a, integer i, k, n;
    SwappedInside{K,L}(a, i, k, n) ==>
    MultisetUnchanged{K,L}(a, i, k+1);
*/
```

Listing 10.16: The lemma SwappedInsideMultisetUnchanged

This lemma is extended to lemma SwappedInsidePreservesMultisetUnchanged (Listing 10.17), which additionally considers a left context a[0..k-1] and a right context a[k..n-1]; if the left and right context is reordered and kept untouched, respectively, and a[i] and a[k] are swapped as before, then this whole action is a reordering on the range a[0..k]. These two lemmas are needed to prove that the loop invariant reorder is preserved.

```
/*@
lemma
SwappedInsidePreservesMultisetUnchanged{K,L,M}:
    \forall value_type* a, integer i, k, n;
    MultisetUnchanged{K,L}(a, k) ==>
    Unchanged{K,L}(a, k, n) ==>
    SwappedInside{L,M}(a, i, k, n) ==>
    MultisetUnchanged{K,M}(a, k+1);
*/
```

 ${\bf Listing}~10.17:~{\bf The~lemma}~{\tt SwappedInsidePreservesMultisetUnchanged}$ 

# 10.3. The heap\_sort algorithm

The heap\_sort algorithm has the signature

```
void heap_sort(value_type* a, size_type n);
```

It relies upon the heap data structure discussed in Chapter 9 to efficiently bring the given array into an ascending order.

## 10.3.1. Formal Specification of heap\_sort

Listing 10.18 shows the ACSL specification of heap\_sort.

```
/*@
    requires valid: \valid(a + (0..n-1));

    assigns a[0..n-1];

    ensures sorted: Sorted(a, n);
    ensures reorder: MultisetUnchanged{Old, Here}(a, n);

*/
void
heap_sort(value_type* a, size_type n);
```

Listing 10.18: The Specification of heap\_sort

## 10.3.2. Implementation of heap\_sort

The implementation of heap\_sort, shown in Listing 10.19, is straightforward. Given the unsorted array a, we use make\_heap to arrange it into a heap; after that, we use sort\_heap to draw from this heap the elements in ascending order.

```
void
heap_sort(value_type* a, size_type n)
{
   make_heap(a, n);
   sort_heap(a, n);
}
```

Listing 10.19: The Implementation of heap\_sort

# 10.4. The selection\_sort algorithm

Our version of the selection\_sort algorithm has the signature

```
void selection_sort(value_type* a, size_type n);
```

The selection\_sort algorithm constructs the sorted array, left to right, by selecting in each step the minimum element of the remaining (yet unsorted) part and *swaps* it the first element of the unsorted part. This implies that each member of the sorted initial array segment is less or equal than each member of the unsorted part.

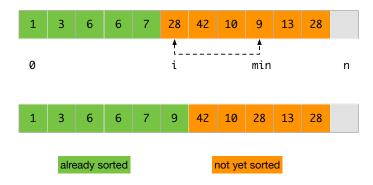


Figure 10.20.: An iteration of selection\_sort

Figure 10.20 shows a typical situation in an example run. The algorithm will swap the 28 at position i with the 9 at position min to extend the sorted area one field to the right.

## 10.4.1. Formal Specification of selection\_sort

Listing 10.21 shows the ACSL specification of selection\_sort.

```
/*@
    requires valid: \valid(a + (0..n-1));

    assigns a[0..n-1];

    ensures sorted: Sorted(a, n);
    ensures reorder: MultisetUnchanged{Old, Here}(a, n);
    */
    void
    selection_sort(value_type* a, size_type n);
```

Listing 10.21: The Specification of selection\_sort

## 10.4.2. Implementation of selection\_sort

The implementation of selection\_sort is shown in Listing 10.22. We use min\_element from Section 4.5 to find the minimum element of the unsorted area.

The loop invariants sorted and lower establish the sortedness of the initial array segment a [0..i-1] and, respectively, state that a [i-1] is a lower bound of the remaining array segment a [i..n-1]. Since the min element call uses an address offset, we had to employ again the *shift lemmas* from Listing 5.13.

```
selection_sort(value_type* a, size_type n)
    loop invariant bound:
                            0 <= i <= n;
    loop invariant sorted: Sorted(a, i);
    loop invariant sorted: 0 < i ==> LowerBound(a, i, n, a[i-1]);
    loop invariant reorder: MultisetUnchanged{Pre, Here} (a, n);
    loop assigns
                  i, a[0..n-1];
                   n - i;
   loop variant
 for (size_type i = 0u; i < n; ++i) {</pre>
   const size_type sel = i + min_element(a + i, n - i);
    //@ assert reorder: i <= sel < n;</pre>
       assigns a[sel], a[i];
       ensures reorder: a[i]
                              == \old(a[sel]);
       ensures reorder: a[sel] == \old(a[i]);
       ensures reorder: Unchanged{Old, Here}(a, 0,
                                                        i):
       ensures reorder: Unchanged{Old, Here}(a, i+1,
       ensures reorder: Unchanged{Old, Here} (a, sel+1, n);
    swap(a + sel, a + i);
    //@ assert reorder: MultisetUnchanged{Pre, Here}(a, n);
```

Listing 10.22: The Implementation of selection\_sort

The loop invariant reorder, on the other hand, states that the multiset of values in the array a are only rearranged during the algorithm. While this is intuitively most obvious (as the call to the swap routine, from Section 6.4, is the only code that modifies a), it took considerable effort to prove it formally; including a statement contract that captures that captures the effects of calling swap. assertions in the loop body.

The main reason for introducing the statement contract is that it *transforms* the postcondition of the call to swap from Listing 6.8 into the hypotheses for the new lemma SwapImpliesMultisetUnchanged in Listing 10.23. This lemma, which relies on the lemmas from Listing 9.44, captures the fact that *swapping two elements of an array* is a *reordering*.

```
/ * @
  lemma
    SwapImpliesMultisetUnchanged{K,L}:
       \forall value_type *a, integer i, k, n;
         0 \le i \le k \le n
                                                    ==>
         \operatorname{at}(a[i],K) == \operatorname{at}(a[k],L)
                                                    ==>
         \operatorname{(a[k],K)} == \operatorname{(a[i],L)}
                                                    ==>
         MultisetUnchanged(K,L)(a, 0,
                                               i)
                                                   ==>
         MultisetUnchanged(K,L)(a, i+1, k)
                                                   ==>
         MultisetUnchanged(K,L)(a, k+1, n)
         MultisetUnchanged(K,L)(a, n);
```

Listing 10.23: The lemma SwapImpliesMultisetUnchanged

# 10.5. The insertion\_sort algorithm

Like selection\_sort, the algorithm insertion\_sort traverses the given array a [0..n-1] left to right, maintaining a left-adjusted, constantly increasing range a [0..i-1] that is already sorted. Unlike selection\_sort, however, insertion\_sort adds a [i] to the sorted range in the ith step (see Figure 10.24). It determines the (rightmost) appropriate position to insert a [i] by a call to upper\_bound (Section 5.2), and then uses rotate (Section 6.11) to actually perform the insertion.

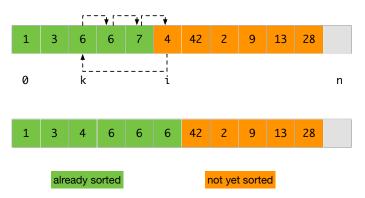


Figure 10.24.: An iteration of insertion\_sort

## 10.5.1. Formal Specification of insertion\_sort

Listing 10.25 shows our (generic sorting) contract for insertion\_sort.

```
/*@
    requires valid: \valid(a + (0..n-1));

    assigns a[0..n-1];

    ensures sorted: Sorted(a, n);
    ensures reorder: MultisetUnchanged{Old, Here}(a, n);

*/
void
insertion_sort(value_type* a, size_type n);
```

Listing 10.25: The Specification of insertion\_sort

## 10.5.2. Implementation of insertion\_sort

The implementation of insertion\_sort is shown in Listing 10.26. We used an ACSL statement contract to specify those aspects of the rotate contract that are needed here. Properties related to the result of insertion\_sort being in ascending order are labelled sorted. Properties related to the rearrangement of elements are labelled reorder and, whenever their order isn't changed, unchanged.

```
void
insertion_sort(value_type* a, size_type n)
  / * @
    loop invariant bound:
                              0 \le i \le n;
    loop invariant sorted:
                             Sorted(a, i);
    loop invariant reorder: MultisetUnchanged{Pre, Here}(a, 0, i);
    loop invariant unchanged: Unchanged{Pre, Here}(a, i, n);
    loop assigns
                 i, a[0..n-1];
   loop variant
                  n - i;
 for (size_type i = 0u; i < n; ++i) {</pre>
   const size_type k = upper_bound(a, i, a[i]);
   //@ assert bound:
                        0 <= k <= i;
      requires sorted: UpperBound(a, k, a[i]);
      requires sorted:
                        StrictLowerBound(a, k, i, a[i]);
       requires sorted: Sorted(a, k, i);
       assigns a[k..i];
       ensures unchanged: Unchanged{Old, Here}(a, 0, k);
       ensures unchanged: Unchanged{Old, Here}(a, i+1, n);
       ensures reorder: MultisetUnchanged{Old, Here} (a, 0, k);
       ensures reorder: EqualRanges{Old, Here}(a, k, i, k+1);
       ensures reorder:
                         EqualRanges{Old, Here}(a, i, i+1, k);
       ensures sorted:
                          Sorted(a, 0, k);
      ensures sorted:
                          UpperBound(a, k, a[k]);
    rotate(a + k, i - k, i - k + 1u);
    //@ assert sorted: Sorted(a, k+1, i+1);
    //@ assert sorted: StrictLowerBound(a, k+1, i+1, a[k]);
    //@ assert sorted: Sorted(a, i+1);
    //@ assert reorder: MultisetUnchanged{Pre, Here}(a, 0, i+1);
  }
```

Listing 10.26: The Implementation of insertion\_sort

When we originally implemented and verified rotate, we hadn't yet in mind to use that function inside of insertion\_sort. Consequently, the properties needed for the latter aren't directly provided by the former. One approach to solve this problem is to add the new properties to rotate's contract (Listing 6.29) and repeat its verification proof.<sup>38</sup>

However, if rotate is assumed to be part of a pre-verified library, this approach isn't feasible, since rotate's implementation may not be available for re-verification. Therefore, we used another approach, viz. to prove that rotate's original specification *implies* all the properties we need in insertion\_sort.

This is another use of the Hoare calculus' implication rule (Section 2.3). We used several lemmas, shown below, to make the necessary implications explicit, and to help the provers to establish them. Some of them needed manual proofs by induction.

<sup>&</sup>lt;sup>38</sup>ACSL allows to declare a function several times with different contracts; they are merged into a single one. Alternatively, non-disjoint behaviors, with empty assumes clauses, allow contract merging and provide finer control over the set of hypotheses generated from e.g. an assert.

## Supporting lemmas related to property sorted

Lemma EqualRangesPreservesSorted (Listing 10.27) assumes an ordered range a [m.n-1] and claims that every (elementwise) equal range range a [m+p.n+p-1] is ordered, too. It is needed to establish that the rotate call preserves the order of those elements that are shifted upwards (cf. Figure 10.24).

```
/*@
  lemma
  EqualRangesPreservesSorted{K,L}:
    \forall value_type* a, integer m, n, p;
        Sorted{K}(a, m, n) ==>
        EqualRanges{K,L}(a, m, n, m+p) ==>
        Sorted{L}(a, m+p, n+p);
*/
```

Listing 10.27: The lemma EqualRangesPreservesSorted

Lemma RotatePreservesStrictLowerBound (Listing 10.28) is used to prove that the range a [k ..i-1] having a[i] as strict lower bound before our rotate call ensures that it has a[k] as such a bound after the call. Note that this lemma reflects the situation at our particular rotate call site.

```
/*@
  lemma
  RotatePreservesStrictLowerBound{K,L}:
    \forall value_type* a, integer m, n;
    StrictLowerBound{K} (a, m, n, \at(a[n],K)) ==>
    EqualRanges{K,L} (a, m, n, m+1) ==>
    EqualRanges{K,L} (a, n, n+1, m) ==>
    StrictLowerBound{L} (a, m+1, n+1, \at(a[m],L));
*/
```

Listing 10.28: The lemma RotatePreservesStrictLowerBound

## Supporting lemmas related to property reorder

Lemma RotateImpliesMultisetUnchanged (Listing 10.29) establishes that rotate by one produces just a reordering of the range it is applied to. Again, this lemma has been special-tailored to our rotate call; one could also think of a more general version that allows for arbitrary rotation amounts.

Listing 10.29: The lemma RotateImpliesMultisetUnchanged

Finally, lemma EqualRangesPreservesCount (Listing 10.30) says that two elementwise equal ranges a[m..n-1] and a[p..p+n-m-1] will result in the same occurrence count, for each value v. It is useful in the proof of the previous lemma, RotateImpliesMultisetUnchanged, since the predicate MultisetUnchanged (Listing 6.5) is defined via the Count function (Listing 3.32).

Listing 10.30: The lemma EqualRangesPreservesCount

# 10.6. The merge algorithm

The merge algorithm has the signature

The merge algorithm is a part of the merge sort algorithm. It operates on the second step to merge two sorted sub-arrays into a new one. The algorithm merges two sorted arrays a[0..n-1] and b[0..m-1], respectively. The merged values are stored in the output array result which must be able to hold m + n values.

The merge algorithm operates by traversing both input arrays. On each iteration it copies smaller of both elements to the result array, thus constructing the sorted array. If the algorithm reaches the end of one of the input arrays, it just copies the rest elements of the other array to the result array.

## 10.6.1. Formal specification of merge

Listing 10.31 shows the ACSL specification of merge. The specification requires the sorted input arrays of the proper size and the output array of enough size to contain all the input elements. The input arrays should not overlap with the output array.

In the current edition of this guide, we prove only the sortedness of the resulting array. Future editions will contain additional postconditions stating that the result array consists of reordered input elements and the stability of the algorithm, i.e., the same elements of the input arrays preserve their order in the output array.

```
/ * @
  requires bound: n + m <= SIZE_TYPE_MAX;</pre>
  requires valid: \valid_read(a + (0..n-1));
  requires valid: \valid_read(b + (0..m-1));
 requires valid: \valid(result + (0..n+m-1));
 requires sep: \separated(a + (0..n-1), result + (0..n+m-1));
 requires sep: \separated(b + (0..m-1), result + (0..n+m-1));
 requires sorted: WeaklySorted(a, n);
  requires sorted: WeaklySorted(b, m);
  assigns result[0 .. n+m-1];
  ensures sorted: Sorted(result, n + m);
 */
void
merge(const value_type* a, size_type n,
      const value_type* b, size_type m,
      value_type* result);
```

Listing 10.31: The specification of merge

## 10.6.2. Lemmas for WeaklySorted

Listing 10.32 contains additional lemmas required to prove the sortedness of the output array in the merge algorithm.

```
/ * @
 lemma WeaklySortedAddElement{L}:
    \forall value_type *a, integer m;
     1 < m \&\& WeaklySorted(a, m-1) \&\& a[m-2] <= a[m-1] ==> WeaklySorted(a, m);
 lemma WeaklySortedShift{L}:
   \forall value_type *a, integer n, m;
     WeaklySorted(a + n, 0, m) <==> WeaklySorted(a, n, m + n);
 lemma EqualRangesWeaklySorted{L}:
    \forall value_type *a, *b, integer n, m;
     WeaklySorted(a, n, m) && EqualRanges(L,L)(a, n, m, b) ==>
      WeaklySorted(b, n, m);
 lemma WeaklySortedJoin{L}:
    \forall value_type *a, integer n, m;
     0 < n < m
                            & &
     WeaklySorted(a, n)
     WeaklySorted(a, n, m) &&
     a[n-1] <= a[n] ==>
       WeaklySorted(a, m);
*/
```

Listing 10.32: Lemmas for WeaklySorted predicate

- Lemma WeaklySortedAddElement defines the way a weakly sorted array can be constructed.
- Lemma WeaklySortedShift states the equality with respect to the WeaklySorted property of the elements of the array a [0..n] and the elements of the array section a [n..m+n].
- Lemma EqualRangesWeaklySorted states that if an array is weakly sorted, then another array, whose elements are in a one-to-one correspondence with the original array, is also weakly sorted.
- Lemma WeaklySortedJoin defines the conditions that two consequent weakly sorted ranges can be viewed as merged weakly sorted range.

Lemma WeaklySortedShift requires a manual proof with Coq. The other lemmas can be proved automatically. Note that we also rely on the lemma from Listing 10.4 to verify the postcondition.

## 10.6.3. Implementation of merge

The implementation of merge, shown in Listing 10.33, is straightforward. The listing contains a number of assertions to trigger an application of lemmas by the provers. The **while** loop traverses the input arrays and constructs, in accordance with Lemma WeaklySortedAddElement, the resulting weakly sorted array. After the loop, the algorithm copies the remaining elements to the resulting array.

- Lemma EqualRangesWeaklySorted is used to show that the copied elements from one of the input arrays preserve the WeaklySorted property.
- Lemma WeaklySortedJoin is used to extend the WeaklySorted property of the two subranges of the resulting array over the whole range. Lemma WeaklySortedShift is used in-between for array offset arithmetic.
- Finally, Lemma WeaklySortedImpliesSorted is used to prove the output array is sorted.

```
void
merge(const value_type* a, size_type n,
     const value_type* b, size_type m,
     value_type* result)
 size_type i = 0;
 size_type j = 0;
 size_type x = 0;
 if (n == 0 && m == 0) {
   return;
 /*0 loop invariant 0 <= i <= n;
      loop invariant 0 <= j <= m;</pre>
      loop invariant x == i + j;
      loop invariant 0 \le x \le n + m - 1;
      loop invariant ordnung: \forall integer k; 0 <= k < x && i < n ==>
                                 result[k] <= a[i];
     loop invariant ordnung: \forall integer k; 0 <= k < x && j < m ==>
                                 result[k] <= b[j];
     loop invariant sorted: WeaklySorted(result, x);
     loop assigns i, j, x, result[0 .. n+m-1];
     loop variant (n + m) - (i + j);
 while (i < n && j < m) {
   if (a[i] < b[j]) {
     result[x++] = a[i++];
   else {
     result[x++] = b[j++];
  }
 //@ assert i == n ^^ j == m;
  //@ assert i < n ^^ j < m;
 //@ assert WeaklySorted(result, 0, x);
 if (i < n) {
    //@ assert 0 < x ==> result[x-1] <= a[i];
    //@ assert WeaklySorted(a + i, 0, n - i);
   copy(a + i, n - i, result + x);
   //@ assert result[x] == a[i];
    /*@ assert WeaklySorted(a + i, 0, n - i) &&
               EqualRanges{Here, Here} (a + i, 0, n - i, result + x) ==>
                  WeaklySorted(result + x, 0, n - i);
    //@ assert n - i + x == n + m;
 else {
   //@ assert 0 < x ==> result[x-1] <= b[j];
   //@ assert WeaklySorted(b + j, 0, m - j);
   copy(b + j, m - j, result + x);
   //@ assert result[x] == b[j];
    /*@ assert WeaklySorted(b + j, 0, m - j) &&
               EqualRanges{Here, Here}(b + j, 0, m - j, result + x) ==>
                  WeaklySorted(result + x, 0, m - j);
    //@ assert m - j + x == n + m;
  //@ assert WeaklySorted(result, x, n + m);
 //@ assert x > 0 ==> result[x-1] <= result[x];</pre>
 //@ assert WeaklySorted(result, 0, n + m);
```

# Part V. Verification of data structures

# 11. The Stack data type

So far we have used the ACSL specification language for the task of specifying and verifying one single C function at a time. However, in practice we are also faced with the task to implement a family of functions, usually around some sophisticated data structure, which have to obey certain rules of interdependence. In this kind of task, we are not only interested in the properties of a single function but also in properties describing how several function play together.

The C++ Standard Library provides a generic container adaptor stack [14, §23.6.5] whose signature and behavior we try to follow as far as our C implementation it allows. For a more detailed discussion of our approach to the formal verification of Stack we refer to Kim Völlinger's thesis [26].

A *stack* is a data type that can hold objects and has the property that, if an object *a* is *pushed* on a stack *before* object *b*, then *a* can only be removed (*popped*) after *b*. A stack is, in other words, a *first-in*, *last-out* data type (see Figure 11.1). The *top* function of a stack returns the last element that has been pushed on a stack.

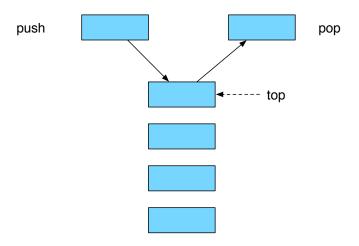


Figure 11.1.: Push and pop on a stack

We consider only stacks that have a finite capacity, that is, that can only hold a maximum number c of elements that is constant throughout their lifetime. This restriction allows us to define a stack without relying on dynamic memory allocation. When a stack is created or initialized, it contains no elements, i.e., its size is 0. The function push and pop increases and decreases the size of a stack by at most one, respectively.

# 11.1. Methodology overview

Figure 11.2 gives an overview of our methodology to specify and verify abstract data types (verification of one axiom shown only).

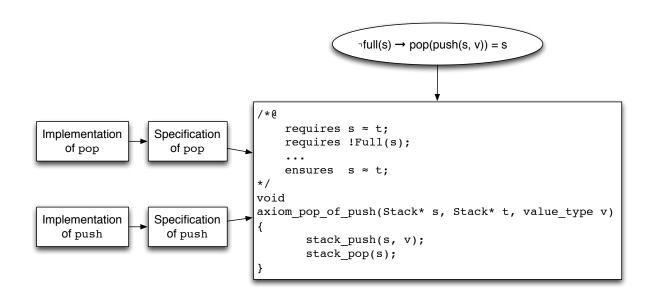


Figure 11.2.: Methodology Overview

What we will basically do is:

- 1. specify axioms about how the stack functions should interact with each other (Section 11.2),
- define a basic implementation of C data structures (only one in our example, viz.
   struct Stack; see Section 11.3) and some invariants the instances of them have to obey (Section 11.4),
- 3. provide for each stack function an ACSL contract and a C implementation (Section 11.7),
- 4. verify each function against its contract (Section 11.7),
- 5. transform the axioms into ACSL-annotated C code (Section 11.8), and
- 6. verify that code, using access function contracts and data-type invariants as necessary (Section 11.8).

Section 11.5 provides an ACSL-predicate deciding whether two instances of a **struct** Stack are considered to be equal (indication by " $\approx$ " in Figure 11.2), while Section 11.6 gives a corresponding C implementation. The issue of an appropriate definition of equality of data instances is familiar to any C programmer who had to replace a faulty comparison **if** (s1 == s2) by the correct **if** (strcmp(s1, s2) == 0) to compare two strings **char** \*s1, \*s2 for equality.

## 11.2. Stack axioms

To specify the interplay of the stack access functions, we use a set of axioms<sup>39</sup>, all but one of them having the form of a conditional equation.

Let V denote an arbitrary type. We denote by  $S_c$  the type of stacks with capacity c > 0 of elements of type V. The aforementioned functions then have the following signatures.

init: 
$$S_c \to S_c$$
,  
push:  $S_c \times V \to S_c$ ,  
pop:  $S_c \to S_c$ ,  
top:  $S_c \to V$ ,  
size:  $S_c \to \mathbb{N}$ .

With  $\mathbb{B}$  denoting the *boolean* type we will also define two auxiliary functions

empty : 
$$S_c \to \mathbb{B}$$
,  
full :  $S_c \to \mathbb{B}$ .

To qualify as a stack these functions must satisfy the following rules which are also referred to as *stack* axioms.

#### 11.2.1. Stack initialization

After a stack has been initialized its size is 0.

$$size(init(s)) = 0. (11.1)$$

The auxiliary functions empty and full are defined as follows

$$empty(s)$$
, iff  $size(s) = 0$ , (11.2)

$$full(s)$$
, iff  $size(s) = c$ . (11.3)

We expect that for every stack s the following condition holds

$$0 \le \operatorname{size}(s) \le c. \tag{11.4}$$

## 11.2.2. Adding an element to a stack

To push an element v on a stack the stack must not be full. If an element has been pushed on an eligible stack, its size increases by 1

$$\operatorname{size}(\operatorname{push}(s, v)) = \operatorname{size}(s) + 1,$$
 if  $\neg \operatorname{full}(s)$ . (11.5)

Moreover, the element pushed on a stack is the top element of the resulting stack

$$top(push(s, v)) = v, if ¬full(s). (11.6)$$

<sup>&</sup>lt;sup>39</sup>There is an analogy in geometry: Euclid (e.g. [27]) invented the use of axioms there, but still kept definitions of *point*, *line*, *plane*, etc. Hilbert [28] recognized that the latter are not only unformalizable, but also unnecessary, and dropped them, keeping only the formal descriptions of relations between them.

## 11.2.3. Removing an element from a stack

An element can only be removed from a non-empty stack. If an element has been removed from an eligible stack the stack size decreases by 1

$$\operatorname{size}(\operatorname{pop}(s)) = \operatorname{size}(s) - 1,$$
 if  $\neg\operatorname{empty}(s)$ . (11.7)

If an element is pushed on a stack and immediately afterwards an element is removed from the resulting stack then the final stack is equal to the original stack

$$pop(push(s, v)) = s, if \neg full(s). (11.8)$$

Conversely, if an element is removed from a non-empty stack and if afterwards the top element of the original stack is pushed on the new stack then the resulting stack is equal to the original stack.

$$push(pop(s), top(s)) = s, if \neg empty(s). (11.9)$$

## 11.2.4. A note on exception handling

We don't impose a requirement on push (s, v) if s is a full stack, nor on pop(s) or top(s) if s is an empty stack. Specifying the behavior in such *exceptional* situations is a problem by its own; a variety of approaches is discussed in the literature. We won't elaborate further on this issue, but only give an example to warn about "innocent-looking" exception specifications that may lead to undesired results.

If we'd introduce an additional error value err in the element type V and require top(s) = err if s is empty, we'd be faced with the problem of specifying the behavior of push(s, err). At first glance, it would seem a good idea to have err just been ignored by push, i.e. to require

$$push(s, err) = s. (11.10)$$

However, we then could derive for any non-full and non-empty stack s, that

$$size(s) = size(pop(push(s, err)))$$
 by 11.8  
=  $size(pop(s))$  as assumed in 11.10  
=  $size(s) - 1$  by 11.7

i.e. no such stacks could exist, or all int values would be equal.

# 11.3. The structure Stack and its associated functions

We now introduce one possible C implementation of the above axioms. It is centred around the C structure Stack shown in Listing 11.3.

```
struct Stack
{
  value_type* obj;
  size_type capacity;
  size_type size;
};

typedef struct Stack Stack;
```

Listing 11.3: Definition of type Stack

This struct holds an array obj of positive length called capacity. The capacity of a stack is the maximum number of elements this stack can hold. The field size indicates the number elements that are currently in the stack. See also Figure 11.4 which attempts to interpret this definition according to Figure 11.1.

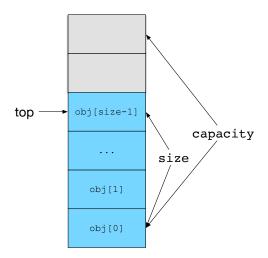


Figure 11.4.: Interpreting the data structure Stack

Based on the stack functions from Section 11.2, we declare in Listing 11.5 the following functions as part of our Stack data type.

```
void
            stack_init(Stack* s, value_type* a, size_type n);
            stack_equal(const Stack* s, const Stack* t);
bool
            stack_size(const Stack* s);
size type
            stack_empty(const Stack* s);
bool
bool
            stack_full(const Stack* s);
           stack_top(const Stack* s);
value_type
void
            stack_push(Stack* s, value_type v);
void
            stack_pop(Stack* s);
```

Listing 11.5: Declaration of functions of type Stack

Most of these functions directly correspond to methods of the C++ std::stack template class [14, §23.6.5]. The function stack\_equal corresponds to the comparison operator ==, whereas one use of stack\_init is to bring a stack into a well-defined initial state. The function stack\_full has no counterpart in std::stack. This reflects the fact that we avoid dynamic memory allocation, while std::stack does not.

## 11.4. Stack invariants

Not every possible instance of type Stack is considered a valid one, e.g., with our definition of Stack in Listing 11.3, Stack  $s = \{\{0,0,0,0\},4,5\}$  is not. Below, we will define an ACSL-predicate Invariant that discriminates valid and invalid instances.

Before, we introduce in Listing 11.6 the auxiliary logical function Capacity and Size which we can use in specifications to refer to the fields capacity and size of Stack, respectively. This listing also contains the logical function Top which defines the array element with index size-1 as the top place of a stack. The reader can consider this as an attempt to hide implementation details from the specification. We intentionally use here integer as a return value of these logic functions. Inaccurate use of logic functions with bounded types in axioms with arithmetic operations may lead to inconsistencies.

```
/*@
logic integer Capacity{L} (Stack* s) = s->capacity;
logic integer Size{L} (Stack* s) = s->size;
logic value_type* Storage{L} (Stack* s) = s->obj;
logic integer Top{L} (Stack* s) = s->obj[s->size-1];
*/
```

Listing 11.6: The logical functions Capacity, Size and Top

We also introduce in Listing 11.7 two predicates that express the concepts of empty and full stacks by referring to a stack's size and capacity (see Equations (11.2) and (11.3)).

```
/*@
    predicate
        Empty{L} (Stack* s) = Size(s) == 0;

predicate
    Full{L} (Stack* s) = Size(s) == Capacity(s);
*/
```

Listing 11.7: Predicates for empty an full stacks

There are some obvious invariants that must be fulfilled by every valid object of type Stack:

- The stack capacity shall be strictly greater than zero (an empty stack is ok but a stack that cannot hold anything is not useful).
- The pointer obj shall refer to an array of length capacity.
- The number of elements size of a stack the must be non-negative and not greater than its capacity.

These invariants are formalized in the predicate Invariant of Listing 11.8.

```
/*@
predicate
Invariant{L}(Stack* s) =
    0 < Capacity(s) &&
    0 <= Size(s) <= Capacity(s) &&
    \valid(Storage(s) + (0..Capacity(s)-1)) &&
    \separated(s, Storage(s) + (0..Capacity(s)-1));
*/</pre>
```

Listing 11.8: The predicate Invariant

Note how the use of the previously defined logical functions and predicates allows us to define the stack invariant without directly referring to the fields of Stack.

# 11.5. Equality of stacks

Defining equality of instances of non-trivial data types, in particular in object-oriented languages, is not an easy task. The book *Programming in Scala* [29, Chapter 28] devotes to this topic a whole chapter of more than twenty pages. In the following two sections we give a few hints how ACSL and Frama-C can help to correctly define equality for a simple data type.

We consider two stacks as equal if they have the same size and if they contain the same objects. To be more precise, let s and t two pointers of type Stack, then we define the predicate Equal as in Listing 11.9.

```
/*@
    predicate
        Equal{S,T}(Stack* s, Stack* t) =
            Size{S}(s) == Size{T}(t) &&
            EqualRanges{S,T}(Storage{S}(s), Size{S}(s), Storage{T}(t));
*/
```

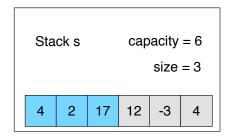
Listing 11.9: Equality of stacks

Our use of labels in Listing 11.9 makes the specification somewhat hard to read (in particular in the last line where we reuse the predicate EqualRanges from Page 42). However, this definition of Equal will allow us later to compare the same stack object at different points of a program. The logical expression Equal {A,B} (s,t) reads informally as: The stack object \*s at program point A equals the stack object \*t at program point B.

The reader might wonder why we exclude the capacity of a stack into the definition of stack equality. This approach can be motivated with the behavior of the method capacity of the class std::vector<T>. There, equal instances of type std::vector<T> may very well have different capacities.<sup>40</sup>

If equal stacks can have different capacities then, according to our definition of the predicate Full in Listing 11.7, we can have to equal stacks where one is full and the other one is not.

A finer, but very important point in our specification of equality of stacks is that the elements of the arrays  $s \rightarrow obj$  and  $t \rightarrow obj$  are compared only up to  $s \rightarrow size$  and not up to  $s \rightarrow capacity$ . Thus the two stacks s and t in Figure 11.10 are considered equal although there is are obvious differences in their internal arrays.



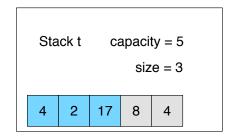


Figure 11.10.: Example of two equal stacks

 $<sup>^{40}</sup> See \; \text{http://www.cplusplus.com/reference/vector/vector/capacity}$ 

If we define an equality relation (=) of objects for a data type such as Stack, we have to make sure that the following rules hold.

reflexivity 
$$\forall s \in S : s = s,$$
 (11.11a)

symmetry 
$$\forall s, t \in S : s = t \implies t = s,$$
 (11.11b)

transitivity 
$$\forall s, t, u \in S : s = t \land t = u \implies s = u.$$
 (11.11c)

Any relation that satisfies the conditions (11.11) is referred to as an *equivalence relation*. The mathematical set of all instances that are considered equal to some given instance s is called the equivalence class of s with respect to that relation.

Listing 11.11 shows a formalization of these three rules for the relation Equal; it can be automatically verified that they are a consequence of the definition of Equal in Listing 11.9.

```
/*@
lemma
   StackEqualReflexive{S} :
     \forall Stack* s; Equal{S,S}(s, s);

lemma
   StackEqualSymmetric{S,T} :
     \forall Stack *s, *t;
        Equal{S,T}(s, t) ==> Equal{T,S}(t, s);

lemma
   StackEqualTransitive{S,T,U}:
   \forall Stack *s, *t, *u;
        \forall Stack *s, *t, *u;
        Equal{S,T}(s, t) && Equal{T,U}(t, u) ==> Equal{S,U}(s, u);
*/
```

Listing 11.11: Equality of stacks is an equivalence relation

The two stacks in Figure 11.10 show that an equivalence class of Equal can contain more than one element. The stacks s and t in Figure 11.10 are also referred to as two *representatives* of the same equivalence class. In such a situation, the question arises whether a function that is defined on a set with an equivalence relation can be defined in such a way that its definition is *independent of the chosen representatives*. We ask, in other words, whether the function is *well-defined* on the set of all equivalence classes of the relation Equal. The question of well-definition will play an important role when verifying the functions of the Stack (see Section 11.7).

<sup>&</sup>lt;sup>41</sup>This is a common situation in mathematics. For example, the equivalence class of the rational number  $\frac{1}{2}$  contains infinitely many elements, viz.  $\frac{1}{2}$ ,  $\frac{2}{4}$ ,  $\frac{7}{14}$ , . . . .

<sup>&</sup>lt;sup>42</sup>This is why mathematicians know that  $\frac{1}{2} + \frac{3}{5}$  equals  $\frac{7}{14} + \frac{3}{5}$ .

<sup>43</sup> See http://en.wikipedia.org/wiki/Well-definition.

# 11.6. Runtime equality of stacks

The function stack\_equal is the C equivalent for the Equal predicate. The specification of the function stack\_equal is shown in Listing 11.12. Note that this specifications explicitly refers to valid stacks.

```
/*@
    requires valid: \valid(s) && Invariant(s);
    requires valid: \valid(t) && Invariant(t);

    assigns \nothing;

    ensures equal: \result == 1 <==> Equal{Here, Here}(s, t);
    ensures not_equal: \result == 0 <==> !Equal{Here, Here}(s, t);

*/
bool
stack_equal(const Stack* s, const Stack* t);
```

Listing 11.12: Specification of stack\_equal

The implementation of stack\_equal in Listing 11.13 compares two stacks according to the same rules of predicate Equal.

```
bool
stack_equal(const Stack* s, const Stack* t)
{
   return (s->size == t->size) && equal(s->obj, s->size, t->obj);
}
```

Listing 11.13: Implementation of stack\_equal

## 11.7. Verification of stack functions

In this section we verify the functions stack\_init (Section 11.7.1), stack\_size (Section 11.7.2), stack\_empty (Section 11.7.3), stack\_full (Section 11.7.4), stack\_top (Section 11.7.5), and stack\_push (Section 11.7.6) stack\_pop (Section 11.7.7), of the data type Stack. To be more precise, we provide for each of function stack\_foo:

- an ACSL specification of stack\_foo
- a C implementation of stack\_foo
- a C function stack\_foo\_wd<sup>44</sup> accompanied by a an ACSL contract that expresses that the implementation of stack\_foo is well-defined. Figure 11.14 shows our methodology for the verification of well-definition in the pop example, (≈) again indicating the user-defined Stack equality.

```
/*@
    requires s ≈ t;
    requires !Empty(s);
    ...
    ensures s ≈ t;
    */
    void stack_pop_wd(Stack *s, Stack *t)
    {
        stack_pop(s);
        stack_pop(t);
    }
}
```

Figure 11.14.: Methodology for the verification of well-definition

Note that the specifications of the various functions will explicitly refer to the *internal state* of Stack. In Section 11.8 we will show that the *interplay* of these functions satisfy the stack axioms from Section 11.2.

<sup>&</sup>lt;sup>44</sup>The suffix \_wd stands for well definition

## 11.7.1. The function stack init

Listing 11.15 shows the ACSL specification of stack\_init. Note that our specification of the post-conditions contains a redundancy because a stack is empty if and only if its size is zero.

```
/ * @
 requires valid:
                   \valid(s);
 requires capacity: 0 < capacity;</pre>
 requires storage: \valid(storage + (0..capacity-1));
                   \separated(s, storage + (0..capacity-1));
 assigns s->obj, s->capacity, s->size;
                   \valid(s);
 ensures valid:
 ensures invariant: Invariant(s);
 ensures capacity: Capacity(s) == capacity;
                   Empty(s);
 ensures empty:
 ensures storage: Storage(s) == storage;
void
stack_init(Stack* s, value_type* storage, size_type capacity);
```

Listing 11.15: Specification of stack\_init

Listing 11.15 shows the implementation of stack\_init. It simply initializes obj and capacity with the respective value of the array and sets the field size to zero.

Listing 11.16: Implementation of stack\_init

## 11.7.2. The function stack size

The function stack\_size is the runtime version of the logical function Size from Listing 11.6 on Page 242. The specification of stack\_size in Listing 11.17 simply states that stack\_size produces the same result as Size.

```
/*@
    requires valid: \valid(s) && Invariant(s);

    assigns \nothing;

    ensures size: \result == Size(s);

*/
size_type
stack_size(const Stack* s);
```

Listing 11.17: Specification of stack\_size

As in the definition of the logical function Size the implementation of stack\_size in Figure 11.18 simply returns the field size.

```
size_type
stack_size(const Stack* s)
{
   return s->size;
}
```

Listing 11.18: Implementation of stack\_size

Listing 11.19 shows our check whether stack\_size is well-defined. Since stack\_size neither modifies the state of its Stack argument nor that of any global variable we only check whether it produces the same result for equal stacks. Note that we simply may use operator == to compare integers since we didn't introduce a nontrivial equivalence relation on that data type.

```
/*@
  requires valid: \valid(s) && Invariant(s);
  requires valid: \valid(t) && Invariant(t);
  requires equal: Equal{Here, Here}(s, t);

  assigns \nothing;

  ensures equal: \result;
*/
bool
stack_size_wd(const Stack* s, const Stack* t)
{
  return stack_size(s) == stack_size(t);
}
```

Listing 11.19: Well-definition of stack\_size

## 11.7.3. The function stack\_empty

The function stack\_empty is the runtime version of the predicate Empty from Listing 11.7 on Page 243.

```
requires valid: \valid(s) && Invariant(s);

assigns \nothing;

ensures empty: \result == 1 <==> Empty(s);
ensures not_empty: \result == 0 <==> !Empty(s);
*/
bool
stack_empty(const Stack* s);
```

Listing 11.20: Specification of stack\_empty

As in the definition of the predicate Empty the implementation of stack\_empty in Figure 11.21 simply checks whether the size of the stack is zero.

```
bool
stack_empty(const Stack* s)
{
   return stack_size(s) == 0u;
}
```

Listing 11.21: Implementation of stack\_empty

Listing 11.22 shows our check whether stack\_empty is well-defined.

```
/*@
   requires valid: \valid(s) && Invariant(s);
   requires valid: \valid(t) && Invariant(t);
   requires equal: Equal{Here, Here}(s, t);

   assigns \nothing;

   ensures equal: \result;
*/
bool
stack_empty_wd(const Stack* s, const Stack* t)
{
   return stack_empty(s) == stack_empty(t);
}
```

Listing 11.22: Well-definition of stack\_empty

## 11.7.4. The function stack full

The function stack\_full is the runtime version of the predicate Full from Listing 11.7 on Page 243.

```
/*@
    requires valid: \valid(s) && Invariant(s);

    assigns \nothing;

    ensures full: \result == 1 <==> Full(s);
    ensures not_full: \result == 0 <==> !Full(s);

*/
bool
stack_full(const Stack* s);
```

Listing 11.23: Specification of stack\_full

As in the definition of the predicate Full the implementation of stack\_full in Figure 11.24 simply checks whether the size of the stack equals its capacity.

```
bool
stack_full(const Stack* s)
{
   return stack_size(s) == s->capacity;
}
```

Listing 11.24: Implementation of stack\_full

Note that with our definition of stack equality (Section 11.5) there can be equal stack with different capacities. As a consequence, there can are equal stacks where one is full while the other is not. In other words, Full is not well-defined.

## 11.7.5. The function stack\_top

The function stack\_top is the runtime version of the logical function Top from Listing 11.6 on Page 242. The specification of stack\_top in Listing 11.25 simply states that for non-empty stacks stack\_top produces the same result as Top which in turn just returns the element obj[size-1] of Stack.

```
/*@
    requires valid: \valid(s) && Invariant(s);

    assigns \nothing;

    ensures top: !Empty(s) ==> \result == Top(s);

*/
value_type
stack_top(const Stack* s);
```

Listing 11.25: Specification of stack\_top

For a non-empty stack the implementation of stack\_top in Figure 11.26 simply returns the element obj [size-1]. Note that our implementation of stack\_top does not crash when it is applied to an empty stack. In this case we return the first element of the internal, non-empty array obj. This is consistent with our specification of stack\_top which only refers to non-empty stacks.

```
value_type
stack_top(const Stack* s)
{
   if (!stack_empty(s)) {
      return s->obj[s->size - 1u];
   }
   else {
      return s->obj[0u];
   }
}
```

Listing 11.26: Implementation of stack\_top

Listing 11.27 shows our check whether stack\_top well-defined for non-empty stacks.

```
requires valid: \valid(s) && Invariant(s) && !Empty(s);
  requires valid: \valid(t) && Invariant(t) && !Empty(t);
  requires equal: Equal{Here, Here}(s, t);

  assigns \nothing;

  ensures equal: \result;
*/
bool
stack_top_wd(const Stack* s, const Stack* t)
{
  return stack_top(s) == stack_top(t);
}
```

Listing 11.27: Well-definition of stack\_top

Since our axioms in Section 11.2 did not impose any behavior on the behavior of stack\_top for empty stacks, we prove the well-definition of stack\_top only for nonempty stacks.

#### 11.7.6. The function stack\_push

Listing 11.28 shows the ACSL specification of the function stack\_push. In accordance with Axiom (11.5), stack\_push is supposed to increase the number of elements of a non-full stack by one. The specification also demands that the value that is pushed on a non-full stack becomes the top element of the resulting stack (see Axiom (11.6)).

```
/ * @
 requires valid: \valid(s) && Invariant(s);
 assigns s->size;
 assigns s->obj[s->size];
 behavior not_full:
   assumes !Full(s);
   assigns s->size;
   assigns s->obj[s->size];
   ensures valid:
                      \valid(s) && Invariant(s);
                     Size(s) == Size{Old}(s) + 1;
   ensures size:
                  Top(s) == v;
   ensures top:
   ensures not_empty: !Empty(s);
   ensures unchanged: Unchanged{Old, Here}(Storage(s), Size{Old}(s));
   ensures storage: Storage(s) == Storage(Old)(s);
   ensures capacity: Capacity(s) == Capacity(Old)(s);
 behavior full:
   assumes Full(s);
   assigns \nothing;
                      \valid(s) && Invariant(s);
   ensures valid:
   ensures full:
                      Full(s);
   ensures unchanged: Unchanged{Old, Here} (Storage(s), Size(s));
   ensures size: Size(s) == Size{Old}(s);
   ensures storage:
                      Storage(s) == Storage(Old)(s);
   ensures capacity: Capacity(s) == Capacity(Old)(s);
 complete behaviors;
 disjoint behaviors;
void
stack_push(Stack* s, value_type v);
```

Listing 11.28: Specification of stack\_push

The implementation of stack\_push is shown in Listing 11.29. It checks whether its argument is a non-full stack in which case it increases the field size by one but only after it has assigned the function argument to the element obj[size].

```
void
stack_push(Stack* s, value_type v)
{
   if (!stack_full(s)) {
      //@ assert not_full: s->size < s->capacity;
      s->obj[s->size++] = v;
   }
}
```

Listing 11.29: Implementation of stack\_push

The function stack\_push does not return a value but rather modifies its argument. For the well-definition of stack\_push we therefore check whether it turns equal stacks into equal stacks. However, equality of the stack arguments is not sufficient for a proof that stack\_push is well-defined. We must also ensure that there is no *aliasing* between the two stacks. Otherwise modifying one stack could modify the other stack in unexpected ways. In order to express that there is no aliasing between two stacks, we define in Listing 11.30 the predicate Separated.

Listing 11.30: The predicate Separated

#### Listing 11.31 shows our formalization of the well-definition for stack\_push.

```
/ * @
 requires valid:
                      \valid(s) && Invariant(s);
 requires valid: requires equal:
                      \valid(t) && Invariant(t);
                     Equal{Here, Here} (s, t);
 requires not_full: !Full(s) && !Full(t);
 requires separated: Separated(s, t);
 assigns s->size, s->obj[s->size];
 assigns t->size, t->obj[t->size];
                      Invariant(s) && Invariant(t);
 ensures valid:
 ensures equal:
                     Equal{Here, Here}(s, t);
void
stack_push_wd(Stack* s, Stack* t, value_type v)
 stack_push(s, v);
 stack_push(t, v);
 //@ assert top:
                    Top(s) == v;
 //@ assert top:
                    Top(t) == v;
 //@ assert equal: EqualRanges{Here, Here} (Storage(s), Size{Pre}(s), Storage(t));
```

Listing 11.31: Well-definition of stack\_push

In order to achieve an automatic verification of the well-definition of stack\_push we added in Listing 11.31 the assertions top and equal and introduced the lemma StackPushEqual from Listing 11.32.

Listing 11.32: The lemma StackPushEqual

#### 11.7.7. The function stack\_pop

Listing 11.33 shows the ACSL specification of the function stack\_pop. In accordance with Axiom (11.7) stack\_pop is supposed to reduce the number of elements in a non-empty stack by one. In addition to the requirements imposed by the axioms, our specification demands that stack\_pop changes no memory location if it is applied to an empty stack.

```
requires valid: \valid(s) && Invariant(s);
  assigns s->size;
  ensures valid: \valid(s) && Invariant(s);
 behavior not_empty:
    assumes !Empty(s);
    assigns s->size;
    ensures size: Size(s) == Size{Old}(s) - 1;
ensures full: !Full(s);
    ensures unchanged: Unchanged{Old, Here} (Storage(s), Size(s));
    ensures storage: Storage(s) == Storage(Old)(s);
    ensures capacity: Capacity(s) == Capacity(Old)(s);
 behavior empty:
    assumes Empty(s);
    assigns \nothing;
    ensures empty: Empty(s);
    ensures unchanged: Unchanged{Old, Here} (Storage(s), Size(s));
    ensures size: Size(s) == Size{Old}(s);
    ensures storage: Storage(s) == Storage{Old}(s);
    ensures capacity: Capacity(s) == Capacity(Old)(s);
  complete behaviors;
  disjoint behaviors;
void
stack_pop(Stack* s);
```

Listing 11.33: Specification of stack\_pop

The implementation of stack\_pop is shown in Listing 11.34. It checks whether its argument is a non-empty stack in which case it decreases the field size by one.

```
void
stack_pop(Stack* s)
{
   if (!stack_empty(s)) {
     --s->size;
   }
}
```

Listing 11.34: Implementation of stack\_pop

Listing 11.35 shows our check whether  $stack\_pop$  is well-defined. As in the case of  $stack\_push$  we use the predicate Separated (Listing 11.30) in order to express that there is no aliasing between the two stack arguments.

```
/*@
  requires valid:
                      \valid(s) && Invariant(s);
  requires valid:
                      \valid(t) && Invariant(t);
  requires equal:
                      Equal{Here, Here}(s, t);
 requires separated: Separated(s, t);
 assigns s->size;
 assigns t->size;
 ensures valid: Invariant(s);
 ensures valid: Invariant(t);
 ensures equal: Equal{Here, Here}(s, t);
void
stack_pop_wd(Stack* s, Stack* t)
  stack_pop(s);
  stack_pop(t);
```

Listing 11.35: Well-definition of stack\_pop

#### 11.8. Verification of stack axioms

In this section we show that the stack functions defined in Section 11.7 satisfy the stack Axioms of Section 11.2.

The annotated code has been obtained from the axioms in a fully systematical way. In order to transform a condition equation  $p \rightarrow s = t$ :

- Generate a clause requires p.
- Generate a clause requires  $x1 == \dots == xn$  for each variable x with n occurrences in s and t.
- Change the *i*-th occurrence of x to xi in s and t.
- Translate both terms *s* and *t* to reversed polish notation.
- Generate a clause ensures y1 == y2, where y1 and y2 denote the value corresponding to the translated s and t, respectively.

This makes it easy to implement a tool that does the translation automatically, but yields a slightly longer contract in our example.

#### 11.8.1. Resetting a stack

Our formulation in ACSL/C of the Axiom in Equation (11.1) on Page 239 is shown in Listing 11.36.

```
requires valid: \valid(s);
requires size: 0 < n;
requires valid: \valid(a + (0..n-1));
requires separated: \separated(s, a + (0..n-1));

assigns s->obj, s->capacity, s->size;

ensures valid: Invariant(s);
ensures size: \result == 0;
*/
size_type
axiom_size_of_init(Stack* s, value_type* a, size_type n)
{
    stack_init(s, a, n);
    return stack_size(s);
}
```

Listing 11.36: Specification of Axiom (11.1)

#### 11.8.2. Adding an element to a stack

Axioms (11.5) and (11.6) describe the behavior of a stack when an element is added.

```
/*@
  requires valid: \valid(s) && Invariant(s);
  requires not_full: !Full(s);

  assigns s->size;
  assigns s->obj[s->size];

  ensures valid: Invariant(s);
  ensures size: \result == Size{Old}(s) + 1;

*/
size_type
axiom_size_of_push(Stack* s, value_type v)
{
  stack_push(s, v);
  return stack_size(s);
}
```

Listing 11.37: Specification of Axiom (11.5)

Except for the assigns clauses, the ACSL-specification refers only to encapsulating logical functions and predicates defined in Section 11.4. If ACSL would provide a means to define encapsulating logical functions returning also sets of memory locations, the expressions in assigns clauses would not need to refer to the details of our Stack implementation. As an alternative, assigns clauses could be omitted, as long as the proofs are only used to convince a human reader.

```
/*@
  requires valid: \valid(s) && Invariant(s);
  requires not_full: !Full(s);

  assigns s->size;
  assigns s->obj[s->size];

  ensures top: \result == v;

*/
value_type
axiom_top_of_push(Stack* s, value_type v)
{
  stack_push(s, v);
  return stack_top(s);
}
```

Listing 11.38: Specification of Axiom (11.6)

<sup>&</sup>lt;sup>45</sup>In [9, §2.3.4], a powerful sublanguage to build memory location set expressions is defined. We will explore its capabilities in a later version.

#### 11.8.3. Removing an element from a stack

This section shows the Listings for Axioms 11.7, 11.8 and 11.9 which describe the behavior of a stack when an element is removed.

```
/*@
  requires valid: \valid(s) && Invariant(s);
  requires empty: !Empty(s);

  assigns s->size;

  ensures size: \result == Size{Old}(s) - 1;

*/
size_type
axiom_size_of_pop(Stack* s)
{
  stack_pop(s);
  return stack_size(s);
}
```

Listing 11.39: Specification of Axiom (11.7)

```
requires valid: \valid(s) && Invariant(s);
requires not_full: !Full(s);

assigns s->size;
assigns s->obj[s->size];

ensures equal: Equal{Pre, Here}(s, s);
*/
void
axiom_pop_of_push(Stack* s, value_type v)
{
   stack_push(s, v);
   stack_pop(s);
}
```

Listing 11.40: Specification of Axiom (11.8)

```
requires valid: \valid(s) && Invariant(s);
requires not_empty: !Empty(s);

assigns s->size;
assigns s->obj[s->size-1];

ensures equal: Equal{Old, Here}(s, s);
*/
void
axiom_push_of_pop_top(Stack* s)
{
   const value_type val = stack_top(s);
   stack_pop(s);
   stack_push(s, val);
}
```

Listing 11.41: Specification of Axiom (11.9)

# Part VI. Appendices

# A. Results of formal verification with Frama-C

In this chapter we introduce the formal verification tools used in this tutorial. We will afterwards present to what extent the examples from Chapters 3–11 could be deductively verified.

Within Frama-C, the Frama-C/WP plug-in [1] enables deductive verification of C programs that have been annotated with the ANSI/ISO-C Specification Language (ACSL) [2]. The Frama-C/WP plug-in uses weakest precondition computations to generate proof obligations. To formally prove the ACSL properties, these proof obligations can be submitted to external automatic theorem provers or interactive proof assistants. For the precise settings for Frama-C/WP and the associated provers that we used in this release we refer to Section A.1. In Sections A.2 and A.3 we show detailed verification results for different scenarios how the provers are called.

## A.1. Verification settings

This section gives all settings that depend on the software release of Frama-C, Why3, or one if its employed provers. For our experiments we used Frama-C [3, v19.0 (Potassium)] and the Why3 platform [30, v0.88.3]

Here are the most important options of Frama-C that we used in for almost all functions.<sup>46</sup>

```
-pp-annot
-no-unicode
-wp
-wp-rte
-wp-model Typed+ref
-warn-unsigned-overflow
-warn-unsigned-downcast
-wp-timeout 10
-wp-steps 1000
-wp-coq-timeout 10
```

The individual provers and their versions are listed in the following Table A.1. All provers, except Coq, are automatic provers.

| Prover     | Type        | Version | Reference |
|------------|-------------|---------|-----------|
| Alt-Ergo   | automatic   | 2.0.0   | [31]      |
| CVC4       | automatic   | 1.6     | [32]      |
| CVC3       | automatic   | 2.4.1   | [33]      |
| <b>Z</b> 3 | automatic   | 4.8.4   | [34]      |
| Е          | automatic   | 2.3     | [35]      |
| Coq        | interactive | 8.9.1   | [36]      |

Table A.1.: Information of automatic and interactive theorem provers

<sup>&</sup>lt;sup>46</sup>For the my\_lrand48() function in random\_shuffle, the option -warn-unsigned-overflow is disabled as explained in Section 6.18.2.

## A.2. Verification results (sequential)

In the *sequential verification scenario* each proof obligation is processed by a set of automatic and interactive theorem provers that are arranged as a *pipe*.<sup>47</sup> This means that each prover passes on to the next prover only those proof obligations that it could not verify. This *verification pipeline* is shown in Figure A.2.

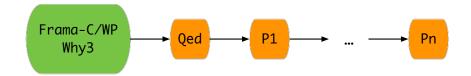


Figure A.2.: Verification pipeline of automatic and interactive theorem provers

For each algorithm we list in the following tables the number of generated verification conditions (VC), the percentage of proven verification conditions, and the number of VC proven by each prover. The value zero is indicated by an empty cell. The tables show that all verification conditions could be verified. Please note that the number of proven verification conditions do *not* reflect on the quality/strength of the individual provers. The reason for that is that we "pipe" each verification condition sequentially through a list of provers (see Figure A.2).

| Alcouithm     |       | Verifi | cation  |    | Indi | vidua | l Prov | vers |    |    |
|---------------|-------|--------|---------|----|------|-------|--------|------|----|----|
| Algorithm     |       | Cond   | litions | QD | AE   | C4    | C3     | Z3   | EP | CQ |
| find          | §3.1  | 19/19  | (100%)  | 10 | 9    |       | •      | •    | •  | •  |
| find2         | §3.2  | 19/19  | (100%)  | 10 | 9    | •     |        |      |    | •  |
| find_first_of | §3.3  | 25/25  | (100%)  | 16 | 9    | •     |        |      |    | •  |
| adjacent_find | §3.4  | 22/22  | (100%)  | 10 | 12   | •     |        |      |    | •  |
| mismatch      | §3.5  | 20/20  | (100%)  | 10 | 10   | •     |        |      |    | •  |
| equal         | §3.5  | 7/7    | (100%)  | 6  | 1    | •     |        |      |    | •  |
| search        | §3.6  | 30/30  | (100%)  | 18 | 12   | •     |        |      |    | •  |
| search_n      | §3.7  | 29/29  | (100%)  | 11 | 18   | •     |        |      |    | •  |
| find_end      | §3.8  | 28/28  | (100%)  | 15 | 13   | •     |        |      |    | •  |
| count         | §3.9  | 28/28  | (100%)  | 7  | 17   | •     |        |      |    | 4  |
| count2        | §3.10 | 31/31  | (100%)  | 7  | 19   | •     |        |      | •  | 5  |

Table A.3.: Results for non-mutating algorithms

<sup>&</sup>lt;sup>47</sup>Sequential processing is achieved by passing the option -wp-par 1 to Frama-C/WP.

| Algorithm                |      | Verifi | ication | Individual Provers |    |    |    |            |    |    |
|--------------------------|------|--------|---------|--------------------|----|----|----|------------|----|----|
| Algorium                 |      | Cond   | litions | QD                 | AE | C4 | C3 | <b>Z</b> 3 | EP | CQ |
| properties of operator < | §4.1 | 6/ 6   | (100%)  | 4                  | 2  |    | •  |            | •  | •  |
| max_element              | §4.2 | 24/24  | (100%)  | 13                 | 11 |    | •  |            | •  | •  |
| max_element2             | §4.3 | 24/24  | (100%)  | 12                 | 12 | •  | •  |            | •  | •  |
| max_seq                  | §4.4 | 8/8    | (100%)  | 5                  | 3  | •  | •  |            | •  | •  |
| min_element              | §4.5 | 24/24  | (100%)  | 12                 | 12 | •  | •  |            | •  | •  |

Table A.4.: Results for maximum and minimum algorithms

| Algorithm      |      | Verifi     | cation |    | Indi | vidua | l Prov | vers |    |    |
|----------------|------|------------|--------|----|------|-------|--------|------|----|----|
| Algorithm      |      | Conditions |        | QD | AE   | C4    | C3     | Z3   | EP | CQ |
| lower_bound    | §5.1 | 19/19      | (100%) | 5  | 14   | •     |        | •    |    | •  |
| upper_bound    | §5.2 | 19/19      | (100%) | 7  | 12   | •     | •      | •    |    | •  |
| equal_range    | §5.3 | 20/20      | (100%) | 17 | 3    | •     | •      | •    |    | •  |
| equal_range2   | §5.3 | 64/64      | (100%) | 24 | 36   | •     | •      | 1    |    | 3  |
| binary_search  | §5.4 | 10/10      | (100%) | 8  | 2    | •     |        |      |    |    |
| binary_search2 | §5.4 | 10/10      | (100%) | 8  | 2    | •     | •      | •    |    |    |

Table A.5.: Results for binary search algorithms

| Algorithm      |       | Verifi | cation  | Individual Provers |    |    |    |            |    |    |
|----------------|-------|--------|---------|--------------------|----|----|----|------------|----|----|
| Algorithm      |       | Cond   | litions | QD                 | AE | C4 | C3 | <b>Z</b> 3 | EP | CQ |
| fill           | §6.3  | 12/12  | (100%)  | 4                  | 8  | •  | •  |            | •  | •  |
| swap           | §6.4  | 7/ 7   | (100%)  | 7                  | •  | •  | •  | •          | •  | •  |
| swap_ranges    | §6.5  | 22/22  | (100%)  | 5                  | 17 | •  | •  | •          | •  | •  |
| сору           | §6.6  | 15/15  | (100%)  | 4                  | 11 | •  | •  | •          | •  | •  |
| copy_backward  | §6.7  | 17/17  | (100%)  | 7                  | 10 | •  | •  | •          | •  | •  |
| reverse_copy   | §6.8  | 17/17  | (100%)  | 4                  | 13 | •  | •  | •          | •  | •  |
| reverse        | §6.9  | 24/24  | (100%)  | 5                  | 17 | •  | 2  | •          | •  | •  |
| rotate_copy    | §6.10 | 17/17  | (100%)  | 5                  | 12 | •  | •  | •          | •  | •  |
| rotate         | §6.11 | 24/24  | (100%)  | 10                 | 14 | •  | •  | •          | •  | •  |
| replace_copy   | §6.12 | 20/20  | (100%)  | 7                  | 13 | •  | •  | •          | •  | •  |
| replace        | §6.13 | 15/15  | (100%)  | 4                  | 11 | •  | •  | •          | •  | •  |
| remove_copy    | §6.14 | 20/20  | (100%)  | 9                  | 11 | •  | •  | •          |    |    |
| remove_copy2   | §6.15 | 36/36  | (100%)  | 9                  | 26 | •  | •  | •          | •  | 1  |
| remove_copy(3) | §6.16 | 43/43  | (100%)  | 10                 | 28 | 4  | •  | •          | •  | 1  |
| remove         | §6.17 | 38/38  | (100%)  | 9                  | 25 | 3  | •  | •          | •  | 1  |
| random_shuffle | §6.18 | 60/60  | (100%)  | 24                 | 28 | •  | 1  | 5          |    | 2  |

Table A.6.: Results for mutating algorithms

| Alaawithm    |        | Verifi     | ication |    | Indi | vidua | l Prov | vers |    |    |
|--------------|--------|------------|---------|----|------|-------|--------|------|----|----|
| Algorithm    |        | Conditions |         | QD | AE   | C4    | C3     | Z3   | EP | CQ |
| unique_copy2 | §7.3.2 | 23/23      | (100%)  | 8  | 15   | •     | •      |      | •  | •  |
| unique_copy3 | §7.3.3 | 26/26      | (100%)  | 8  | 18   | •     | •      |      | •  | •  |
| unique_copy4 | §7.3.4 | 57/57      | (100%)  | 9  | 38   | 5     | 1      |      | •  | 4  |

Table A.7.: Results for variants of unique\_copy

| Algorithm               |      | Verifi | ication |    | Indi | vidua | l Prov | vers |   |   |
|-------------------------|------|--------|---------|----|------|-------|--------|------|---|---|
| Algorithm               |      | QD     | AE      | C4 | C3   | Z3    | EP     | CQ   |   |   |
| iota                    | §8.1 | 16/16  | (100%)  | 7  | 9    | •     |        |      | • | • |
| accumulate              | §8.2 | 19/19  | (100%)  | 6  | 12   | •     |        |      |   | 1 |
| inner_product           | §8.3 | 20/20  | (100%)  | 6  | 13   | •     |        |      |   | 1 |
| partial_sum             | §8.4 | 39/39  | (100%)  | 9  | 29   | •     |        |      |   | 1 |
| adjacent_difference     | §8.5 | 33/33  | (100%)  | 11 | 22   | •     |        |      |   | • |
| partial_sum_inv         | §8.6 | 19/20  | (95%)   | 8  | 10   | •     | •      | •    | • | 1 |
| adjacent_difference_inv | §8.7 | 27/28  | (96%)   | 7  | 18   | •     |        |      |   | 2 |

Table A.8.: Results for numeric algorithms

| Algorithm |      | Verific | ation  |    | Indi | vidua | l Prov | vers |    |   |
|-----------|------|---------|--------|----|------|-------|--------|------|----|---|
| Algorithm |      | Condi   | QD     | AE | C4   | C3    | Z3     | EP   | CQ |   |
| is_heap   | §9.3 | 24/ 24  | (100%) | 6  | 18   |       | •      | •    | •  | • |
| push_heap | §9.4 | 100/100 | (100%) | 33 | 59   | 6     |        | •    |    | 2 |
| pop_heap  | §9.5 | 96/ 97  | (98%)  | 49 | 43   | 2     | •      | •    | •  | 2 |
| make_heap | §9.6 | 38/ 38  | (100%) | 15 | 21   | 1     |        | •    |    | 1 |
| sort_heap | §9.7 | 54/ 54  | (100%) | 16 | 35   | 1     | •      | •    | •  | 2 |

Table A.9.: Results for heap algorithms

| Algorithm      |       | Verific |        |     |    |            |    |    |    |    |
|----------------|-------|---------|--------|-----|----|------------|----|----|----|----|
| Aigorium       |       | Condi   | tions  | QD  | AE | <b>C</b> 4 | C3 | Z3 | EP | CQ |
| is_sorted      | §10.1 | 16/ 16  | (100%) | 7   | 8  |            |    |    |    | 1  |
| partial_sort   | §10.2 | 118/118 | (100%) | 40  | 64 | 3          |    |    |    | 11 |
| heap_sort      | §10.3 | 23/ 23  | (100%) | 8   | 14 |            |    |    |    | 1  |
| selection_sort | §10.4 | 58/ 58  | (100%) | 17  | 33 | 2          |    | 3  |    | 3  |
| insertion_sort | §10.5 | 67/ 67  | (100%) | 18  | 41 | 2          | 1  |    |    | 5  |
| merge          | §10.6 | 268/268 | (100%) | 185 | 67 | 14         | •  |    |    | 2  |

Table A.10.: Results for algorithms related to sorting

| Algorithm   |         | Verifi | Individual Provers |    |    |    |    |    |    |    |  |
|-------------|---------|--------|--------------------|----|----|----|----|----|----|----|--|
| Algorithm   |         | Cond   | litions            | QD | AE | C4 | C3 | Z3 | EP | CQ |  |
| stack_equal | §11.6   | 18/18  | (100%)             | 7  | 11 | •  | •  | •  |    |    |  |
| stack_init  | §11.7.1 | 14/14  | (100%)             | 4  | 10 | •  | •  | •  | •  | •  |  |
| stack_size  | §11.7.2 | 6/ 6   | (100%)             | 1  | 5  | •  | •  | •  | •  |    |  |
| stack_empty | §11.7.3 | 10/10  | (100%)             | 5  | 5  | •  | •  | •  | •  |    |  |
| stack_full  | §11.7.4 | 11/11  | (100%)             | 5  | 6  | •  | •  | •  | •  |    |  |
| stack_top   | §11.7.5 | 16/16  | (100%)             | 6  | 10 | •  | •  | •  | •  |    |  |
| stack_push  | §11.7.6 | 44/44  | (100%)             | 28 | 16 | •  | •  | •  | •  |    |  |
| stack_pop   | §11.7.7 | 32/32  | (100%)             | 20 | 12 | •  | •  | •  | •  | •  |  |

Table A.11.: Results for Stack functions

| Algorithm      | Verifi  | cation     | Individual Provers |    |    |    |    |            |    |    |
|----------------|---------|------------|--------------------|----|----|----|----|------------|----|----|
| Algorium       |         | Conditions |                    | QD | AE | C4 | C3 | <b>Z</b> 3 | EP | CQ |
| stack_size_wd  | §11.7.2 | 12/12      | (100%)             | 8  | 4  | •  | •  |            | •  | •  |
| stack_empty_wd | §11.7.3 | 12/12      | (100%)             | 8  | 4  | •  | •  | •          | •  | •  |
| stack_top_wd   | §11.7.5 | 12/12      | (100%)             | 8  | 4  | •  | •  |            | •  |    |
| stack_push_wd  | §11.7.6 | 15/15      | (100%)             | 3  | 8  | 4  | •  |            | •  |    |
| stack_pop_wd   | §11.7.7 | 12/12      | (100%)             | 6  | 6  | •  | •  | •          | •  |    |

Table A.12.: Results for the well-definition of the Stack functions

| Algorithm             |         | Verifi | Individual Provers |    |    |    |    |    |    |    |  |
|-----------------------|---------|--------|--------------------|----|----|----|----|----|----|----|--|
| Algorium              |         | Cond   | litions            | QD | AE | C4 | C3 | Z3 | EP | CQ |  |
| axiom_size_of_init    | §11.8.1 | 15/15  | (100%)             | 11 | 4  |    |    |    |    |    |  |
| axiom_size_of_push    | §11.8.2 | 12/12  | (100%)             | 9  | 3  |    | •  |    | •  | •  |  |
| axiom_top_of_push     | §11.8.2 | 11/11  | (100%)             | 8  | 3  |    | •  |    | •  | •  |  |
| axiom_size_of_pop     | §11.8.3 | 11/11  | (100%)             | 8  | 3  | •  | •  |    | •  | •  |  |
| axiom_pop_of_push     | §11.8.3 | 10/10  | (100%)             | 6  | 4  | •  | •  |    | •  | •  |  |
| axiom_push_of_pop_top | §11.8.3 | 15/15  | (100%)             | 9  | 6  | •  |    |    |    | •  |  |

Table A.13.: Results for Stack axioms

## A.3. Verification results (parallel)

In the *parallel verification scenario* each proof obligation is first passed to Frama-C/WP's built-in simplifier Qed. If Qed cannot discharge a proof obligation it is submitted in parallel to *all* the other automatic provers from Table A.1.<sup>48</sup> Figure A.14 depicts this arrangement of provers. This arrangement of automatic theorem provers makes it a little bit easier to quantify their strength.

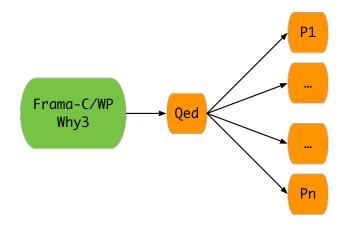


Figure A.14.: Parallel execution of automatic theorem provers

Note that in this scenario we used Frama-C/WP only for the generation and simplification of the proof obligations. For the parallel execution we developed our own (shell) scripts that pass most proof obligations directly through Why3 to the individual provers. However, as in the sequential scenario, obligations for Alt-Ergo are directly generated by Frama-C/WP (without going through Why3).

| Alaanithm     | Verif | Individual Provers |        |    |    |    |    |    |   |
|---------------|-------|--------------------|--------|----|----|----|----|----|---|
| Algorithm     |       | Conc               | QD     | AE | C4 | C3 | Z3 | EP |   |
| find          | §3.1  | 19/19              | (100%) | 10 | 9  | 9  | 9  | 9  | 7 |
| find2         | §3.2  | 19/19              | (100%) | 10 | 9  | 9  | 9  | 6  | 5 |
| find_first_of | §3.3  | 25/25              | (100%) | 16 | 9  | 9  | 9  | 5  | 3 |
| adjacent_find | §3.4  | 22/22              | (100%) | 10 | 12 | 12 | 12 | 8  | 1 |
| mismatch      | §3.5  | 20/20              | (100%) | 10 | 10 | 10 | 10 | 7  | 5 |
| equal         | §3.5  | 7/ 7               | (100%) | 6  | 1  | 1  | 1  | 1  | 1 |
| search        | §3.6  | 29/30              | (96%)  | 18 | 11 | 11 | 11 | 8  | 5 |
| search_n      | §3.7  | 26/29              | (89%)  | 11 | 15 | 12 | 12 | 11 | 2 |
| find_end      | §3.8  | 27/28              | (96%)  | 15 | 12 | 12 | 12 | 6  | 3 |
| count         | §3.9  | 15/28              | (53%)  | 7  | 8  | 8  | 8  | 8  | 3 |
| count2        | §3.10 | 15/31              | (48%)  | 7  | 8  | 7  | 7  | 8  | 3 |

Table A.15.: Results for non-mutating algorithms

 $<sup>^{48}\</sup>mbox{We}$  did not include the interactive theorem prover Coq in this setting.

| Algorithm                |      | Verifi       | Individual Provers |     |    |    |    |            |    |  |
|--------------------------|------|--------------|--------------------|-----|----|----|----|------------|----|--|
| Algorithm                |      | Cond         | litions            | QD  | AE | C4 | C3 | <b>Z</b> 3 | EP |  |
| properties of operator < | §4.1 | 4/ 6         | (66%)              | 4   |    |    |    | •          |    |  |
| max_element              | §4.2 | 24/24        | (100%)             | 13  | 11 | 11 | 11 | 11         | 6  |  |
| max_element2             | §4.3 | 24/24        | (100%)             | 12  | 12 | 12 | 12 | 9          | 5  |  |
| max_seq                  | §4.4 | 8/8          | (100%)             | 5 ¦ | 3  | 3  | 3  | 3          | 1  |  |
| min_element              | §4.5 | 24/24 (100%) |                    | 12  | 12 | 12 | 12 | 9          | 5  |  |

Table A.16.: Results for maximum and minimum algorithms

| A lagarithm    |      | Verifi | Individual Provers |    |    |    |    |            |    |
|----------------|------|--------|--------------------|----|----|----|----|------------|----|
| Algorithm      |      | Cond   | litions            | QD | AE | C4 | C3 | <b>Z</b> 3 | EP |
| lower_bound    | §5.1 | 19/19  | (100%)             | 5  | 14 | 14 | 12 | 11         | 4  |
| upper_bound    | §5.2 | 19/19  | (100%)             | 7  | 12 | 12 | 10 | 10         | 2  |
| equal_range    | §5.3 | 20/20  | (100%)             | 17 | 3  | 3  | 3  | 2          |    |
| equal_range2   | §5.3 | 59/64  | (92%)              | 24 | 35 | 35 | 32 | 22         | 5  |
| binary_search  | §5.4 | 10/10  | (100%)             | 8  | 2  | 2  | 2  | 1          |    |
| binary_search2 | §5.4 | 10/10  | (100%)             | 8  | 2  | 2  | 2  | 1          |    |

Table A.17.: Results for binary search algorithms

| Algorithm      |       | Verifi     | <b>Individual Provers</b> |     |    |    |    |    |    |
|----------------|-------|------------|---------------------------|-----|----|----|----|----|----|
| Algorium       |       | Conditions |                           | QD  | AE | C4 | C3 | Z3 | EP |
| fill           | §6.3  | 12/12      | (100%)                    | 4   | 8  | 8  | 8  | 6  | 3  |
| swap           | §6.4  | 7/7        | (100%)                    | 7   |    | •  | •  | •  |    |
| swap_ranges    | §6.5  | 22/22      | (100%)                    | 5 ¦ | 17 | 17 | 17 | 11 | 8  |
| сору           | §6.6  | 15/15      | (100%)                    | 4 ! | 11 | 11 | 11 | 10 | 4  |
| copy_backward  | §6.7  | 17/17      | (100%)                    | 7   | 10 | 10 | 10 | 7  | 3  |
| reverse_copy   | §6.8  | 17/17      | (100%)                    | 4 ¦ | 13 | 12 | 13 | 11 | 3  |
| reverse        | §6.9  | 24/24      | (100%)                    | 5 ! | 17 | 17 | 18 | 15 | 3  |
| rotate_copy    | §6.10 | 17/17      | (100%)                    | 5   | 12 | 12 | 11 | 11 |    |
| rotate         | §6.11 | 24/24      | (100%)                    | 10  | 14 | 13 | 14 | 6  |    |
| replace_copy   | §6.12 | 20/20      | (100%)                    | 7   | 13 | 13 | 13 | 10 | 4  |
| replace        | §6.13 | 15/15      | (100%)                    | 4   | 11 | 11 | 11 | 8  | 5  |
| remove_copy    | §6.14 | 20/20      | (100%)                    | 9 ¦ | 11 | 11 | 11 | 9  | 2  |
| remove_copy2   | §6.15 | 23/36      | (63%)                     | 9   | 14 | 13 | 13 | 12 | 4  |
| remove_copy(3) | §6.16 | 29/43      | (67%)                     | 10  | 16 | 19 | 15 | 14 | 5  |
| remove         | §6.17 | 25/38      | (65%)                     | 9 ¦ | 14 | 16 | 13 | 12 | 4  |
| random_shuffle | §6.18 | 47/60      | (78%)                     | 24  | 16 | 17 | 17 | 19 | 11 |

Table A.18.: Results for mutating algorithms

| Algorithm    |        | Verifi | Verification |    |    | Individual Provers |    |            |    |  |  |  |  |
|--------------|--------|--------|--------------|----|----|--------------------|----|------------|----|--|--|--|--|
| Algorium     |        | Cond   | litions      | QD | AE | <b>C</b> 4         | C3 | <b>Z</b> 3 | EP |  |  |  |  |
| unique_copy2 |        |        |              |    |    |                    |    |            |    |  |  |  |  |
| unique_copy3 | §7.3.3 | 26/26  | (100%)       | 8  | 18 | 18                 | 18 | 15         | 3  |  |  |  |  |
| unique_copy4 | §7.3.4 | 43/57  | (75%)        | 9  | 33 | 32                 | 30 | 29         | 12 |  |  |  |  |

Table A.19.: Results for variants of unique\_copy

| Algorithm               |      | Verifi | Individual Provers |    |    |    |    |            |    |  |
|-------------------------|------|--------|--------------------|----|----|----|----|------------|----|--|
| Aigoriumi               |      | Conc   | litions            | QD | AE | C4 | C3 | <b>Z</b> 3 | EP |  |
| iota                    | §8.1 | 16/16  | (100%)             | 7  | 9  | 9  | 9  | 7          | 3  |  |
| accumulate              | §8.2 | 14/19  | (73%)              | 6  | 8  | 8  | 8  | 8          | 3  |  |
| inner_product           | §8.3 | 19/20  | (95%)              | 6  | 13 | 13 | 13 | 13         | 5  |  |
| partial_sum             | §8.4 | 28/39  | (71%)              | 9  | 19 | 19 | 19 | 11         | 4  |  |
| adjacent_difference     | §8.5 | 30/33  | (90%)              | 11 | 19 | 19 | 18 | 17         | 2  |  |
| partial_sum_inv         | §8.6 | 12/20  | (60%)              | 8  | 4  | 4  | 4  | 3          | 3  |  |
| adjacent_difference_inv | §8.7 | 12/28  | (42%)              | 7  | 5  | 5  | 5  | 4          | 4  |  |

Table A.20.: Results for numeric algorithms

| A laquithm |      | Verific | 1 Individual Provers |    |    |    |    |    |    |
|------------|------|---------|----------------------|----|----|----|----|----|----|
| Algorithm  |      | Condi   | itions               | QD | AE | C4 | C3 | Z3 | EP |
| is_heap    | §9.3 | 17/ 24  | (70%)                | 6  | 11 | 11 | 11 | 11 | 3  |
| push_heap  | §9.4 | 75/100  | (75%)                | 33 | 37 | 38 | 32 | 27 | 6  |
| pop_heap   | §9.5 | 80/ 97  | (82%)                | 49 | 30 | 31 | 28 | 24 | 7  |
| make_heap  | §9.6 | 24/ 38  | (63%)                | 15 | 8  | 9  | 8  | 7  | 3  |
| sort_heap  | §9.7 | 32/ 54  | (59%)                | 16 | 16 | 16 | 15 | 11 | 6  |

Table A.21.: Results for heap algorithms

| A laawithm     |       | Verific | <b>Individual Provers</b> |     |    |    |    |    |    |
|----------------|-------|---------|---------------------------|-----|----|----|----|----|----|
| Algorithm      |       | Condi   | tions                     | QD  | AE | C4 | C3 | Z3 | EP |
| is_sorted      | §10.1 | 14/ 16  | (87%)                     | 7   | 7  | 7  | 7  | 5  |    |
| partial_sort   | §10.2 | 83/118  | (70%)                     | 40  | 42 | 39 | 40 | 24 | 4  |
| heap_sort      | §10.3 | 9/ 23   | (39%)                     | 8   | 1  | 1  | 1  | 1  |    |
| selection_sort | §10.4 | 37/ 58  | (63%)                     | 17  | 20 | 17 | 19 | 16 | 5  |
| insertion_sort | §10.5 | 48/ 67  | (71%)                     | 18  | 29 | 26 | 28 | 19 | 8  |
| merge          | §10.6 | 262/268 | (97%)                     | 185 | 66 | 77 | 61 | 49 | 28 |

Table A.22.: Results for algorithms related to sorting

| Algorithm   | Verifi  | Individual Provers |         |     |    |    |    |    |    |
|-------------|---------|--------------------|---------|-----|----|----|----|----|----|
| Algorium    |         | Cond               | litions | QD  | AE | C4 | C3 | Z3 | EP |
| stack_equal | §11.6   | 15/18              | (83%)   | 7   | 8  | 8  | 8  | 6  | 2  |
| stack_init  | §11.7.1 | 11/14              | (78%)   | 4   | 7  | 7  | 7  | 7  | 2  |
| stack_size  | §11.7.2 | 3/ 6               | (50%)   | 1   | 2  | 2  | 2  | 2  | 1  |
| stack_empty | §11.7.3 | 7/10               | (70%)   | 5 ¦ | 2  | 2  | 2  | 2  | 2  |
| stack_full  | §11.7.4 | 8/11               | (72%)   | 5   | 3  | 3  | 3  | 3  | 2  |
| stack_top   | §11.7.5 | 13/16              | (81%)   | 6   | 7  | 7  | 7  | 7  | 2  |
| stack_push  | §11.7.6 | 41/44              | (93%)   | 28  | 13 | 13 | 13 | 13 | 2  |
| stack_pop   | §11.7.7 | 29/32              | (90%)   | 20  | 9  | 9  | 9  | 9  | 3  |

Table A.23.: Results for Stack functions

| Algorithm      |         | Verifi | Individual Provers |    |    |    |    |    |   |  |
|----------------|---------|--------|--------------------|----|----|----|----|----|---|--|
| Algorithm      |         | Cond   | QD                 | AE | C4 | C3 | Z3 | EP |   |  |
| stack_size_wd  | §11.7.2 | 9/12   | (75%)              | 8  | 1  | 1  | 1  | 1  | 1 |  |
| stack_empty_wd | §11.7.3 | 9/12   | (75%)              | 8  | 1  | 1  | 1  | 1  | • |  |
| stack_top_wd   | §11.7.5 | 9/12   | (75%)              | 8  | 1  | 1  | 1  |    | • |  |
| stack_push_wd  | §11.7.6 | 11/15  | (73%)              | 3  | 6  | 8  | 7  | 2  | • |  |
| stack_pop_wd   | §11.7.7 | 9/12   | (75%)              | 6  | 3  | 3  | 3  | 2  | • |  |

Table A.24.: Results for the well-definition of the Stack functions

| Algorithm             |         | Verifi | Individual Provers |    |    |    |    |            |    |  |
|-----------------------|---------|--------|--------------------|----|----|----|----|------------|----|--|
| Aigorithm             |         | Cond   | litions            | QD | AE | C4 | C3 | <b>Z</b> 3 | EP |  |
| axiom_size_of_init    | §11.8.1 | 12/15  | (80%)              | 11 | 1  | 1  | 1  | 1          | 1  |  |
| axiom_size_of_push    | §11.8.2 | 9/12   | (75%)              | 9  |    | •  | •  |            | •  |  |
| axiom_top_of_push     | §11.8.2 | 8/11   | (72%)              | 8  |    | •  | •  |            | •  |  |
| axiom_size_of_pop     | §11.8.3 | 8/11   | (72%)              | 8  |    |    | •  |            |    |  |
| axiom_pop_of_push     | §11.8.3 | 7/10   | (70%)              | 6  | 1  | 1  | 1  | 1          |    |  |
| axiom_push_of_pop_top | §11.8.3 | 12/15  | (80%)              | 9  | 3  | 3  | 3  | 3          | 2  |  |

Table A.25.: Results for Stack axioms

# **B.** Changes in previous releases

This chapter describes the changes in previous versions of this document. For the most recent changes see Page 3.

The version numbers of this document are related to the versioning of Frama-C [3]. The versions of Frama-C are named consecutively after the elements of the periodic table. Therefore, our version numbering (X.Y.Z) are constructed as follows:

**X** the major number of our tutorial is the atomic number<sup>49</sup> of the chemical element after which Frama-C is named.

Y the Frama-C subrelease number

**Z** the subrelease number of this tutorial

## B.1. New in Version 18.0.0 (Argon, December 2018)

- Replace the links to the (now abandoned) original site of *Standard Template Library* (STL) by references to the C++ standard.
- Add new algorithm unique\_copy (two versions).
- Add another assertion half for reverse.
- Add two overloaded versions of predicate ConstantRange and use them for the algorithms fill and unique\_copy, respectively.

## B.2. New in Version 17.1.0 (Chlorine, July 2018)

The exact version number of Frama-C originally was Chlorine-20180502. This version number was changed in October 2018 to 17.1

- Slightly change the definition of predicate HasEqualNeighbors and its use in the specification of adjacent\_find.
- Remove the algorithm remove and the more elaborate version of remove\_copy. We are currently working on new specifications of these algorithms.
- Adapt some Coq proofs related to the logic function Count in order to reflect changes in output of Frama-C/WP.
- Remove table on ACSL lemmas that had to be proved by Coq.

<sup>&</sup>lt;sup>49</sup>See http://en.wikipedia.org/wiki/Atomic\_number

## B.3. New in Version 16.1.1 (Sulfur, March 2018)

- fix several errors reported by Aaron Rocha, including,
  - fix an error in figure for upper\_bound algorithms
- fix merging of contracts in second version of binary\_search
- improve and justify the retain annotations of in the implementation of remove
- Alt-Ergo is now directly called in the parallel setting (instead of going through Why3) to be compatible with the sequential setting
- add a third assertion reorder in the random\_shuffle body to keep verification rate at 100% after prover upgrade

## B.4. New in Version 16.1.0 (Sulfur, December 2017)

- special thanks to Aaron Rocha who provided various improvements for Chapters 3, 4, and 5
- improve some mutating algorithms
  - add more assertions to reverse to reduce reliance on CVC3
  - improve structure and ACSL annotations of remove\_copy and remove
    - \* add overloaded version of predicate MultisetRetainRest
    - \* add lemma HasValueImpliesPositiveCount
    - \* add lemma PositiveCountImpliesHasValue
    - \* remove lemma HasValueShiftInversion
    - \* remove lemma HasValueCountInversion
  - add custom lemma random\_number\_modulo for random\_shuffle
- add new Chapter 10 with more algorithms related to sorting
  - add algorithm is\_sorted including predicate WeaklySorted
    - \* add lemma SortedImpliesWeaklySorted
    - \* add lemma WeaklySortedImpliesSorted
  - add algorithm partial\_sort including predicate Partition
    - \* add lemma ReorderImpliesMatch
    - st add lemma ReorderPreservesUpperBound
    - \* add lemma ReorderPreservesLowerBound
    - \* add lemma PartialReorderPreservesLowerBounds
    - \* add lemma SwappedInside
    - \* add lemma SwappedInsideMultisetUnchanged
    - \* add lemma SwappedInsidePreservesMultisetUnchanged

- improve various lemmas
  - rename lemma SortedUp to SortedUpperBound
  - generalize lemma UnchangedSection
  - refactor lemma HeapBounds into C\_Division\_2

## **B.5.** New in Version 15.1.2 (Phosphorus, October 2017)

- fix several typos reported by seniorlackey@github (thanks a lot!)
- add a new chapter on classic sorting algorithms which comprises
  - selection\_sort including lemma SwapImpliesMultisetUnchanged
  - insertion\_sort including lemmas
    - \* EqualRangesPreservesSorted
    - \* RotatePreservesStrictLowerBound
    - \* RotateImpliesMultisetUnchanged
    - \* EqualRangesPreservesCount
  - heap\_sort
- heap algorithms
  - remove length requirements in pop\_heap, sort\_heap, make\_heap, and heap\_sort
    - \* introduce SIZE\_TYPE\_MAX to catch border cases in ACSL and C
  - improve description of pop\_heap
    - \* add predicate HeapMaximumChild
    - \* provide the auxiliary function maximum\_heap\_child
    - \* the postcondition reorder is still not verified
  - improve description of push\_heap
  - other, minor improvements
    - \* add auxiliary function heap\_parent
    - \* add predicate SortedDown and lemma SortedDownIsHeap
    - \* add lemmas HeapParentChild and HeapChilds
    - \* add lemmas HeapParentBounds and HeapChildBounds

## **B.6.** New in Version 15.1.1 (Phosphorus, September 2017)

- add ensures clause to default behavior of the following algorithms
  - find, find\_first\_of, adjacent\_find, mismatch, search, search\_n, find\_end

- max\_element, min\_element
- rewrite axiomatic definitions to ensure disjoint guards which is better suited for E-ACSL
  - concerns the axiomatic definitions of Count, Accumulate, InnerProduct and Difference
  - some Coq proofs related to Count had to be adapted as well
- shorten names of some auxiliary algorithms
  - adjacent\_difference\_inverse → adjacent\_difference\_inv
  - partial\_sum\_inverse → partial\_sum\_inv
- heap algorithms
  - fix a typo in Figure 9.4
  - fix a typo in Figure 9.40
  - explain that there can be multiple representations of an array as a heap
  - add a version of pop\_heap that is, however, not completely verified

## B.7. New in Version 15.1.0 (Phosphorus, June 2017)

- The verification results are now part of the appendix.
- Fix an error in the specification of the well-definition of stack\_size.
- This release of Frama-C/WP could not discharge some of our assertions of push\_heap. We therefore have completely rewritten the annotations and also tweaked the implementation of push\_heap. We also added some new predicates and lemmas to maintain a concise specification that can easily be verified by automatic provers.
  - add predicate MultisetAdd and lemma MultisetAddDistinct
  - add predicate MultisetMinus and lemma MultisetMinusDistinct
  - add predicate MultisetRetain and lemma MultisetPushHeapRetain
  - provide an additional version of predicate MultisetRetainRest
  - and lemma MultisetPushHeapClosure

## B.8. New in Version 14.1.1 (Silicon, April 2017)

- changes in verification infrastructure
  - add verification results for the case where each proof obligation is submitted to all automatic theorem provers (see Section A.3)
- changes in algorithms
  - simplify loop invariants of search\_n and improve description
  - rename predicate CountOneHit to CountHit

- rename predicate CountOneMiss to CountMiss
- rewrite predicates EqualRanges and Reverse in order to simplify the task for automatic theorem provers
- remove lemmas on Reverse that were necessary for rotate but are not needed anymore
- rename predicate Valid(Stack\*) to Invariant(Stack\*) and remove \valid from Invariant(Stack\*)
- add a simple random number generator to random\_shuffle and verify it
- fix an inconsistency in the axioms for Count (thanks to Denis Efremov for reporting this issue)
  - add more guards to axioms CountSectionHit and CountSectionMiss
  - add corresponding guards to lemmas
    - \* CountSectionOne, CountHit, CountMiss and CountOne
    - \* RemoveCountHit and RemoveCountMiss
  - add lemma UnchangedShift and add more assertions to remove in order to simplify the task for automatic theorem provers

## B.9. New in Version 14.1.0 (Silicon, January 2017)

- use label Old instead of Pre in function contracts
- add algorithm rotate
- rewrite definition of predicates EqualRanges and Reverse and provide more overloaded versions
- add figures for algorithms rotate and replace\_copy
- update figure for predicate Reverse
- update Coq proofs and add a table with more information on the ACSL lemmas that had to be verified with Coq

## B.10. New in Version 13.1.1 (Aluminium, November 2016)

- improve layout of tables of verification results
- use two additional automatic theorem provers (CVC3 and E)
- non-mutating algorithms
  - add algorithm find\_end
  - add definition of predicate HasSubRange on subranges
  - add definition of predicate EqualRanges on subranges
  - rename lemma HasSubRange\_fit\_size to HasSubRangeSize
  - rename lemma HasConstantSubRange\_fit\_size to HasSubRangeSize
  - rename logic function Count Section to Count (using overloading in ACSL)

- add lemma HasValueCountInversion
- add lemma HasValueShiftInversion
- add lemma CountShift
- mutating algorithms
  - add algorithm copy\_backward
  - relax precondition on separation of copy, replace\_copy and remove\_copy
  - provide a more sophisticated implementation of remove
  - re-introduce a second version of remove\_copy that also specifies the *stability* of the algorithm
  - add algorithm random\_shuffle

## B.11. New in Version 13.1.0 (Aluminium, August 2016)

The most notable changes of this version are the re-introduction of heap algorithms in Chapter 9. This new description of heap algorithms is based to a large extend on the bachelor thesis of one of the authors [23].

- provide names ("labels") for more ACSL annotations
- non-mutating algorithms
  - reorder and improve description in chapter on non-mutating algorithms
  - add more figures to describe algorithms
  - add non-mutating algorithm search n
  - rewrite logic function Count with new logic function CountSection
  - move lemmas CountBounds and CountMonotonic to separate files
  - use integer instead of size\_type in HasSubRange
  - change index computation in HasEqualNeighbors
- maximum and minimum algorithms
  - isolate predicate ConstantRange from predicates on lower and upper bounds
  - fix typo in precondition of first version of max\_element
- binary search algorithms
  - add version Sorted for subranges
  - add second (more efficient) version of equal\_range
    - \* add lemmas SortedShift, LowerBoundShift, StrictLowerBoundShift, UpperBoundShift and StrictUpperBoundShift to support the automatic verification of this version of equal range
  - add figures to binary search algorithms and improve description
- mutating algorithms
  - greatly reduce the number of assertions needed to verify the first version remove\_copy

- temporarily remove the second version of remove\_copy which also specified the stability of the algorithm
- add remove, an in-place variant of remove\_copy
- rename predicate RetainAllButOne to MultisetRetainRest
- re-introduce chapter on heap algorithms
  - includes the heap algorithms is\_heap, push\_heap, make\_heap and sort\_heap
  - for pop\_heap only a function contract is provided in this version
  - add lemma SortedUp to support verification of sort\_heap
  - add several lemmas to combine the predicates Unchanged and MultisetUnchanged

## B.12. New in Version 12.1.0 (Magnesium, February 2016)

A main goal of this release is to reduce the number of proof obligations that cannot be verified automatically and therefore must be tackled by an interactive theorem prover such as Coq. To this end, we analyzed the proof obligations (often using Coq) and devised additional assertions or ACSL lemmas to guide the automatic provers. Often we succeeded in enabling automatic provers to discharge the concerned obligations. Specifically, whereas the previous version 11.1.1 of *ACSL by Example* listed *nine* proof obligations that could only be discharged with Coq, the document at hand (version 12.1.0) only counts *five* such obligations. Moreover, all these remaining proof obligations are associated to ACSL lemmas, which are usually easier to tackle with Coq than proof obligations directly related to the C code. The reason for this is that ACSL lemmas usually have a much smaller set of hypotheses.

Adding assertions and lemmas also helps to alleviate a problem in Frama-C/WP Magnesium and Sodium where prover processes are not properly terminated.<sup>50</sup> Left-over "zombie processes" lead to a deterioration of machine performance which sometimes results in unpredictable verification results.

- mutating algorithms
  - simplify annotations of replace\_copy and add new algorithm replace
    - \* add predicate Replace to write more compact post conditions and loops invariants
  - add several lemmas for predicate Unchanged and use predicate Unchanged in postconditions of mutating and numeric algorithms
  - simplify annotations of reverse
    - \* rename Reversed to Reverse (again) and provide another overloaded version
    - \* add figure to support description of the Reverse predicate
  - changes regarding remove\_copy
    - \* rename PreserveCount to RetainAllButOne
    - \* rename StableRemove to RemoveMapping
    - \* add statement contracts for both versions of remove\_copy such that only ACSL lemmas require Coq proofs

<sup>&</sup>lt;sup>50</sup>See https://bts.frama-c.com/view.php?id=2154

- numeric algorithms
  - define limits VALUE\_TYPE\_MIN and VALUE\_TYPE\_MAX
  - simplify specification of iota by using new logic function Iota
  - simplify implementation of accumulate
    - \* add overloaded predicates AccumulateBounds
    - \* add lemmas AccumulateDefault0, AccumulateDefault1, AccumulateDefaultNext, and AccumulateDefaultRead
  - simplify implementation of inner\_product
    - \* add predicates ProductBounds and InnerProductBounds
  - enable automatic verification of partial\_sum
    - \* add lemmas PartialSumSection, PartialSumUnchanged, PartialSumStep, and PartialSumStep2 to automatically discharge loop invariants
  - enable automatic verification of adjacent\_difference
    - \* add logic function Difference and predicate AdjacentDifference
    - \* add predicate AdjacentDifferenceBounds
    - \* add lemmas AdjacentDifferenceStep and AdjacentDifferenceSection to automatically discharge proof obligation
  - add two auxiliary functions partial\_sum\_inverse and adjacent\_difference\_inverse in order to verify that partial\_sum and adjacent\_difference are inverse to each other
    - \* add lemmas PartialSumInverse and AdjacentDifferenceInverse to support the automatic verification of the auxiliary functions
- · stack functions
  - add lemma StackPushEqual to enable the automatic verification of the well-definition of stack\_push

## **B.13.** New in Version 11.1.1 (Sodium, June 2015)

- add Chapter on numeric algorithms
  - move iota algorithm to numeric algorithms (Section 8.1)
  - add accumulate algorithm (Section 8.2)
  - add inner\_product algorithm (Section 8.3)
  - add partial\_sum algorithm (Section 8.4)
  - add adjacent\_difference algorithm (Section 8.5)

## **B.14.** New in Version 11.1.0 (Sodium, March 2015)

- Use built-in predicates \valid and \valid\_read instead of valid\_range.
- Simplify loop invariants of find\_first\_of.
- Replace two loop invariants of remove\_copy by ACSL lemmas.
- Rename several predicates
  - IsEqual  $\mapsto$  EqualRanges.
  - IsMaximum → MaxElement.
  - IsMinimum → MinElement.
  - Reverse  $\mapsto$  Reversed.
  - IsSorted → Sorted.
- Several changes for Stack:
  - Rename Stack functions from foo\_stack to stack\_foo.
  - Equality of stacks now ignores the capacity field. This is similar to how equality for objects
    of type std::vector<T> is defined. As a consequence stack\_full is not well-defined
    any more. Other stack functions are not effected.
  - Remove all assertions from stack functions (including in axioms).
  - Describe predicate Separated in text.

## **B.15.** New in Version 10.1.1 (Neon, January 2015)

- use option -wp-split to create simpler (but more) proof obligations
- simplify definition of predicate Count
- add new predicates for lower and upper bounds of ranges and use it in
  - max\_element
  - min\_element
  - lower\_bound
  - upper\_bound
  - equal\_range
  - fill
- use a new auxiliary assertion in equal\_range to enable the complete *automatic* verification of this algorithm
- add predicate Unchanged and use it to simplify the specification of several algorithms
  - swap\_ranges
  - reverse
  - remove\_copy

- stack\_push and stack\_push\_wd
- stack\_pop and stack\_pop\_wd
- add predicate Reverse and use it for more concise specifications of
  - reverse\_copy
  - reverse
- several changes in the two versions of remove\_copy
  - use predicate HasValue instead of logic function Count
  - add predicate PreserveCount
  - reformulate logic function RemoveCount
  - add predicate StableRemove
  - add predicate RemoveCountMonotonic
  - add predicate RemoveCountJump
- use overloading in ACSL to create shorter logic names for Stack
- remove unnecessary labels in several Stack functions

## B.16. New in Version 10.1.0 (Neon, September 2014)

- remove additional labels in the assumes clauses of some stack function that were necessary due to an error in Oxygen
- provide a second version of remove\_copy in order to explain the specification of the *stability* of the algorithms
- coarsen loop assigns of mutating algorithms
- temporarily remove the unique\_copy algorithm

## B.17. New in Version 9.3.1 (Fluorine, not published)

- specify bounds of the return value of count and fix reads clause of Count predicate
- use an auxiliary function make\_pair in the implementation of equal\_range
- provide more precise loop assigns clauses for the mutating algorithms
  - simplify implementation of fill
  - removed the ensures \valid(p) clause in specification of swap
  - simplify implementation of swap\_ranges
  - simplify implementation of copy
  - fix implementation of reverse\_copy after discovering an undefined behavior
  - new implementation of reverse that uses a simple for-loop

- simplify implementation of replace\_copy
- refactor specification and simplify implementation of remove\_copy
- remove work-around with Pre-label in assumes clauses of stack\_push and stack\_pop

## B.18. New in Version 9.3.0 (Fluorine, December 2013)

- adjustments for Fluorine release of Frama-C
- swap now ensures that its pointer arguments are valid after the function has been called
- change definition of size\_type to unsigned int
- change implementation of the iota algorithm. The content of the field a is calculated by increasing the value val instead of sum val+i.
- change implementation of fill.
- The specification/implementation of Stack has been revised by Kim Völlinger [26] and now has a much better verification rate.

## B.19. New in Version 8.1.0 (Oxygen, not published)

- simplified specification and loop annotations of replace\_copy
- add binary search variant equal\_range
- greatly simplified specification of remove\_copy by using the logic function Count
- remove chapter on heap operations

#### B.20. New in Version 7.1.1 (Nitrogen, August 2012)

- improvements with respect to several suggestions and comments of Yannick Moy, e.g., specification refinements of remove\_copy, reverse\_copy and iota
- restricted verification of algorithms to Frama-C/WP with Alt-Ergo
- replaced deprecated \valid\_range by \valid
- fixed inconsistencies in the description of the Stack data type
- binary search algorithms can now be proven without additional axioms for integer division
- changed axioms into lemmas to document that provability is expected, even if not currently granted
- adopted new Fraunhofer logo and contact email

## B.21. New in Version 7.1.0 (Nitrogen, December 2011)

• changed to Frama-C Nitrogen

- changed to Why 2.30
- discussed both plug-ins Frama-C/WP and Jessie
- removed swap\_values algorithm

#### B.22. New in Version 6.1.0 (Carbon, not published)

- changed definition of Stack
- renamed reset\_stack to init\_stack

## B.23. New in Version 5.1.1 (Boron, February 2011)

- prepared algorithms for checking by the new Frama-C/WP plug-in of Frama-C
- changed to Alt-Ergo Version 0.92, Z3 Version 2.11 and Why 2.27
- added List of user-defined predicates and logic functions
- added remarks on the relation of logical values in C and ACSL
- ullet rewrote section on equal and mismatch
- used a simpler logical function to count elements in an array
- added search algorithm
- added chapter to unite the maximum/minimum algorithms
- added chapter for the new lower\_bound, upper\_bound and binary\_search algorithms
- added swap\_values algorithm
- used IsEqual predicate for swap\_ranges and copy
- added reverse\_copy and reverse algorithms
- added rotate\_copy algorithm
- added unique\_copy algorithm
- added chapter on specification of the data type Stack

## **B.24.** New in Version 5.1.0 (Boron, May 2010)

- adaption to Frama-C Boron and Why 2.26 releases
- changed from the -jessie-no-regions command-line option to using the pragma SeparationPolicy (value)

## B.25. New in Version 4.2.2 (Beryllium, May 2010)

• changed to latest version of CVC3 2.2

- added additional remarks to our implementation of find\_first\_of
- changed size\_type (int) to integer in all specifications
- removed casts in fill and iota
- renamed is\_valid\_range as IsValidRange
- renamed has\_value as HasValue
- renamed predicate all\_equal as IsEqual
- extended timeout to 30 sec.

## B.26. New in Version 4.2.1 (Beryllium, April 2010)

- added alternative specification of remove\_copy algorithm that uses ghost variables
- added Chapter on heap operations
- added mismatch algorithm
- moved algorithms adjacent\_find and min\_element from the appendix to chapter on non-mutating algorithms
- added typedefs size\_type and value\_type and used them in all algorithms
- renamed is\_valid\_int\_range as is\_valid\_range

## B.27. New in Version 4.2.0 (Beryllium, January 2010)

- complete rewrite of pre-release
- adaption to Frama-C Beryllium 2 release

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