## **ACSL** By Example

Towards a Verified C Standard Library

Version 11.1.0 for Frama-C (Sodium) March 2015

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<sup>&</sup>lt;sup>1</sup>See http://www.stance-project.eu

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#### **Foreword**

This report provides various examples for the formal specification, implementation, and deductive verification of C programs using the ANSI/ISO-C Specification Language (ACSL [1]) and the WP plug-in [2] of Frama-C [3] (Framework for Modular Analysis of C programs). The report at hand has been revised and refers to the *Sodium* release from March 2015 of Frama-C.

We have chosen our examples from the C++ Standard Library whose initial version is still known as the *Standard Template Library* (STL).<sup>4</sup> The STL contains a broad collection of *generic* algorithms that work not only on C arrays but also on more elaborate containers, i.e., data structures. For the purposes of this document we have selected representative algorithms, and converted their implementation from C++ function templates to C functions that work on arrays of type int.<sup>5</sup>

We will continue to extend and refine this report by describing additional STL algorithms and data structures. Thus, step by step, this document will evolve from an ACSL tutorial to a report on a formally specified and deductively verified standard library for ANSI/ISO-C. Moreover, should ACSL be extended to a C++ specification language, our work may be extended to a deductively verified C++ Standard Library.

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In particular, we encourage you to check vigilantly whether our formal specifications capture the essence of the informal description of the STL algorithms.

We appreciate your feedback and hope that this document helps foster the adoption of deductive verification techniques.

## **Acknowledgement**

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<sup>&</sup>lt;sup>4</sup>See http://www.sgi.com/tech/stl/

<sup>&</sup>lt;sup>5</sup>We are not directly using **int** in the source code but rather value\_type which is a **typedef** for **int**.

<sup>&</sup>lt;sup>6</sup>http://trust-in-soft.com

## Changes for Version 11.1.0 (March 2015)

For changes in previous versions see Appendix A.

- Use built-in predicates \valid and \valid\_read instead of IsValidRange.
- Simplify loop invariants of find\_first\_of (Section 3.5).
- Replace two loop invariants of remove\_copy by ACSL lemmas (Section 6.10).
- Rename several predicates
  - IsEqual → EqualRanges.
  - IsMaximum → MaxElement.
  - IsMinimum → MinElement.
  - Reverse  $\mapsto$  Reversed.
  - IsSorted  $\mapsto$  Sorted.
- Several changes for Stack:
  - Rename Stack functions from foo\_stack to stack\_foo.
  - Equality of stacks now ignores the capacity field. This is similar to how equality for objects of type std::vector<T> is defined. As a consequence stack\_full is not well-defined any more. Other stack functions are not effected.
  - Remove all assertions from stack functions (including in axioms).
  - Describe predicate Separated (Listing 7.30) in text.

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## 1. Introduction

The Framework for Modular Analyses of C, Frama-C [3], is a suite of software tools dedicated to the analysis of C source code. Its development efforts are conducted and coordinated at two French public institutions: CEA LIST [4], a laboratory of applied research on software-intensive technologies, and INRIA Saclay[5], the French National Institute for Research in Computer Science and Control in collaboration with LRI [6], the Laboratory for Computer Science at Université Paris-Sud.

ACSL (ANSI/ISO-C Specification Language) [1] is a formal language to express behavioral properties of C programs. This language can specify a wide range of functional properties by adding annotations to the code. It allows to create function contracts containing preconditions and post-conditions. It is possible to define type and global invariants as well as logic specifications, such as predicates, lemmas, axioms or logic functions. Furthermore, ACSL allows statement annotations such as assertions or loop annotations.

Within Frama-C, the WP plug-in [2] enables deductive verification of C programs that have been annotated with ACSL. The WP plug-in uses Hoare-style weakest precondition computations to formally prove ACSL properties of C code. Verification conditions are generated and submitted to external automatic theorem provers or interactive proof assistants.

The Verification Group at Fraunhofer FOKUS[7] see the great potential for deductive verification using ACSL. However, we recognize that for a novice there are challenges to overcome in order to effectively use the WP plug-in for deductive verification. In order to help users gain confidence, we have written this tutorial that demonstrates how to write annotations for existing C programs. This document provides several examples featuring a variety of annotated functions using ACSL. For an in-depth understanding of ACSL, we strongly recommend users to read the official Frama-C introductory tutorial [8] first. The principles presented in this paper are also documented in the ACSL reference document [9].

#### 1.1. Structure of this document

The functions presented in this document were selected from the C++ Standard Template Library (STL) [10]. The original C++ implementation was stripped from its generic implementation and mapped to C arrays of type value\_type.

Chapter 2 provides a short introduction into the Hoare Calculus.

We have grouped various STL algorithms in chapters as follows:

- non-mutating algorithms (Chapter 3)
- maximum/minimum algorithms (Chapter 4)

- binary search algorithms (Chapter 5)
- mutating algorithms (Chapter 6)

The order of these chapters reflects their increasing complexity.

Using the example of a stack, we tackle in Chapter 7 the problem of how a data type and its associated C functions can be specified with ACSL and automatically verified with Frama-C.

## 1.2. Types, arrays, ranges and valid indices

This section describe several general conventions and basic definitions we use throughout this document.

#### 1.2.1. Types

In order to keep algorithms and specifications as general as possible, we use abstract type names on almost all occasions. We currently defined the following types:

```
typedef int value_type;

typedef unsigned int size_type;

typedef int bool;
```

Programmers who know the types associated with STL containers will not be surprised that <code>value\_type</code> refers to the type of values in an array whereas <code>size\_type</code> will be used for the indices of an array.

This approach allows one to modify e.g. an algorithm working on an **int** array to work on a **char** array by changing only one line of code, viz. the **typedef** of value\_type. Moreover, we believe in better readability as it becomes clear whether a variable is used as an index or as a memory for a copy of an array element, just by looking at its type.

The latter reason also applies to the use of **bool**. To denote values of that type, we #defined the identifiers **false** and **true** to be 0 and 1, respectively. While any non-zero value is accepted to denote **true** in ACSL like in C the algorithms shown in this tutorial will always produce 1 for **true**. Due to the above definitions, the ACSL truth-value constant \false and \true can be used interchangeably with our **false** and **true**, respectively, in ACSL clauses, but not in C code.

## 1.2.2. Array and ranges

The C Standard describes an array as a "contiguously allocated nonempty set of objects" [11, §6.2.5.20]. If n is a constant integer expression with a value greater than zero, then

```
int a[n];
```

describes an array of type int. In particular, for each i that is greater than or equal to 0 and less than n, we can dereference the pointer a+i.

Let the following prototype represent a function, whose first argument is the address to a range and whose second argument is the length of this range.

```
void example(value_type* a, size_type n);
```

To be very precise, we have to use the term range instead of array. This is due to the fact, that functions may be called with empty ranges, i.e., with n == 0. Empty arrays, however, are not permitted according to the definition stated above. Nevertheless, we often use the term array and range interchangeably.

#### 1.2.3. Specification of valid ranges in ACSL

The following ACSL fragment expresses the precondition that the function example expects that for each i, such that  $0 \le i \le n$ , the pointer a+i may be safely dereferenced.

```
/*@
    requires 0 <= n;
    requires \valid(a+(0.. n-1));
*/
void example(value_type* a, size_type n);</pre>
```

In this case we refer to each index i with  $0 \le i \le n$  as a valid index of a.

ACSL's built-in predicates  $\valid(a + (0.. n))$  and  $\valid_read(a + (0.. n))$  refer to all addresses a+i where  $0 \le i \le n$ . However, the array notation int a[n] of the C programming language refers only to the elements a+i where i satisfies  $0 \le i \le n$ . Users of ACSL must therefore use the range notation a+(0.. n-1) in order to express a valid array of length n.

## 2. The Hoare calculus

In 1969, C.A.R. Hoare introduced a calculus for formal reasoning about properties of imperative programs [12], which became known as "Hoare Calculus".

The basic notion is

```
//@ assert P;
Q;
//@ assert R;
```

where P and R denote logical expressions and Q denotes a source-code fragment. Informally, this means "If P holds before the execution of Q, then R will hold after the execution". Usually, P and R are called "precondition" and "postcondition" of Q, respectively. The syntax for logical expressions is described in [9, Section 2.2] in full detail. For the purposes of this tutorial, the notions shown in Table 2.1 are sufficient. Note that they closely resemble the logical and relational operators in C.

!P	negation	"P is not true"				
P && Q	conjunction	"P is true and Q is true"				
P    Q	disjunction	"P is true or Q is true"				
P ==> Q	implication	"if P is true, then Q is true"				
P <==> Q	equivalence	"if, and only if, P is true, then Q is true"				
x < y == z	relation chain	"x is less than y and y is equal to z"				
\forall int x; P(x)	universal quantifier	"P (x) is true for every int value of x"				
\exists int x; P(x)	existential quantifier	"P (x) is true for some int value of x"				

Table 2.1.: Some ACSL formula syntax

The Listings 2.2 and 2.3 shows three example source-code fragments and annotations.

```
//@ assert x % 2 == 1;
++x;
//@ assert x % 2 == 0;
//@ assert 0 <= x <= y;
++x;
//@ assert 0 <= x <= y + 1;
```

Listing 2.2: Example source code fragments and annotations

```
//@ assert true;
while (--x != 0)
    sum += a[x];
//@ assert x == 0;
```

Listing 2.3: Loop source code fragments and annotations

Their informal meanings are as follows:

**Listing 2.2 (a)** "If x has an odd value before execution of the code ++x then x has an even value thereafter."

**Listing 2.2 (b)** "If the value of x is in the range  $\{0, ..., y\}$  before execution of the same code, then x's value is in the range  $\{0, ..., y + 1\}$  after execution."

**Listing 2.3** "Under any circumstances, the value of x is zero after execution of the loop code."

Any C programmer will confirm that these properties are valid.<sup>7</sup> The examples were chosen to demonstrate also the following issues:

- For a given code fragment, there does not exist one fixed pre- or postcondition. Rather, the choice of formulas depends on the actual property to be verified, which comes from the application context. The two examples in Listing 2.2 share the same code fragment, but have different pre- and postconditions.
- The postcondition need not be the most restricting possible formula that can be derived. In Listing 2.3, it is not an error that we stated only that 0 <= x although we know that even 1 <= x.
- In particular, pre- and postconditions need not contain all variables appearing in the code fragment. Neither sum nor a [] is referenced in the formulas of Listing 2.3.
- We can use the predicate **true** to denote the absence of a properly restricting precondition, as we did in Listing 2.3.
- It is not possible to express by pre- and postconditions that a given piece of code will always terminate. Listing 2.3 only states that *if* the loop terminates, then x == 0 will hold. In fact, if x has a negative value on entry, the loop will run forever. However, if the loop terminates, x == 0 will hold, and that is what Listing 2.3 claims.

Usually, termination issues are dealt with separately from correctness issues. Termination proofs may, however, refer to properties stated (and verified) using the Hoare Calculus.

Hoare provided the rules shown in Listing 2.4 to 2.14 in order to reason about programs. We will comment on them in the following sections.

<sup>&</sup>lt;sup>7</sup>We leave the important issues of overflow aside for a moment.

## 2.1. The assignment rule

We start with the rule that is probably the least intuitive of all Hoare-Calculus rules, viz. the assignment rule. It is depicted in Listing 2.4, where "P  $\{x \mapsto e\}$ " denotes the result of substituting each occurrence of x in P by e.

```
//@ assert P {x |--> e};
x = e;
//@ assert P;
```

Listing 2.4: The assignment rule

For example,

```
if P is x > 0 \&\& a[2* x] == 0,
then P \{x \mapsto y+1\} is y+1 > 0 \&\& a[2*(y+1)] == 0.
```

Hence, we get Listing 2.5 as an example instance of the assignment rule. Note that parentheses are required in the index expression to get the correct 2 \* (y+1) rather than the faulty 2 \* y+1.

```
//@ assert y+1 > 0 && a[2*(y+1)] == 0;
x = y+1;
//@ assert x > 0 && a[2*x] == 0;
```

Listing 2.5: An assignment rule example instance

Note that several different expressions P may result in the same expression P  $\{x \mid --> e\}$ . For example, all four expressions

For this reason, the same precondition and statement may result in several different postconditions (All four above expressions are valid postconditions in Listing 2.5, for example). However, given a postcondition and a statement, there is only one precondition that corresponds.

When first confronted with Hoare's assignment rule, most people are tempted to think of a simpler and more intuitive alternative, shown in Listing 2.6.

```
//@ assert P;
x = e;
//@assert P && x ==
e;
```

Listing 2.6: Simpler, but faulty assignment rule

Listing 2.7–2.9 show some example instances of this faulty rule.

```
//@ assert y > 0;
x = y+1;
//@ assert y > 0 && x == y+1;
```

Listing 2.7: An example instance of the faulty rule from Listing 2.6

While Listing 2.7 happens to be ok, Listing 2.8 and 2.9 lead to postconditions that are obviously nonsensical formulas.

```
//@ assert true;
x = x+1;
//@assert x == x+1;
```

Listing 2.8: An example instance of the faulty rule from Listing 2.6

The reason is that in the assignment in Listing 2.8 the left-hand side variable  $\times$  also appears in the right-hand side expression  $\in$ , while the assignment in Listing 2.9 just destroys the property from its precondition.

```
//@ assert x < 0;
x = 5;
//@ assert x < 0 && x == 5;
```

Listing 2.9: An example instance of the faulty rule from Listing 2.6

Note that the correct example Listing 2.7 can as well be obtained as an instance of the correct rule from Listing 2.4, since replacing x by y+1 in its postcondition yields y>0 && y+1==y+1 as precondition, which is logically equivalent to just y>0.

## 2.2. The sequence rule

The sequence rule, shown in Listing 2.10, combines two code fragments Q and S into a single one Q; S. Note that the postcondition for Q must be identical to the precondition of S. This just reflects the sequential execution ("first do Q, then do S") on a formal level. Thanks to this rule, we may "annotate" a program with interspersed formulas, as it is done in Frama-C.

```
//@ assert P
;
Q; and S; 
//@ assert T
;

//@ assert P
;
Q; S; 
//@ assert T
;

//@ assert T
;
```

Listing 2.10: The sequence rule

## 2.3. The implication rule

The implication rule, shown in Listing 2.11, allows us at any time to weaken a postcondition and to sharpen a precondition. We will provide application examples together with the next rule.

```
//@ assert P
;
Q;
//@ assert R';
if P' ==> P
and R ==> R'
;
```

Listing 2.11: The implication rule

## 2.4. The choice rule

The choice rule, depicted in Listing 2.12, is needed to verify  $if(...) \{...\}$  else $\{...\}$  statements. Both branches must establish the same postcondition, viz. S. The implication rule can be used to weaken differing postconditions S1 of a then branch and S2 of an else branch into a unified postcondition S1||S2, if necessary. In each branch, we may use what we know about the condition B, e.g. in the else branch, that it is false. If the else branch is missing, it can be considered as consisting of an empty sequence, having the postcondition P && !B.

Listing 2.13 shows an example application of the choice rule. The variable i may be used as an index into a ring buffer int a [n]. The shown code fragment just advances the index i appropriately. We verified that i remains a valid index into a [] provided it was valid before. Note the use

```
//@ assert
                                                              P;
                                                          if (B) {
//@ assert P &&
                            //@ assert P && !
                                                               Q;
    В;
                                 В;
                     and
                                                          } else {
                                                    \sim
                            R;
Q;
                                                               R;
//@ assert S;
                            //@ assert S;
                                                          //@ assert
                                                              S;
```

Listing 2.12: The choice rule

of the implication rule to establish preconditions for the assignment rule as needed, and to unify the postconditions of the then and else branch, as required by the choice rule.

```
given precondition
//@ assert 0 <= i < n
if (i < n-1) {
  //@ assert 0 <= i < n - 1;
                                       using that the condition i < n-1; holds in the
  //@ assert 1 <= i+1 < n;
                                       then part by the implication rule
  i = i+1;
                                       by the assignment rule
  //@ assert 1 <= i < n;
                                       weakened by the implication rule
  //@ assert 0 <= i < n;
  //@ assert 0 <= i == n-1 < n;
                                       using that then condition i < n-1 fails in the
  //@ assert 0 == 0 && 0 < n;
                                       else part weakened by the implication rule
  i = 0;
                                       by the assignment rule
  //@ assert i == 0 && 0 < n;
                                       weakened by the implication rule
  //@ assert 0 <= i < n;
                                       by the choice rule from the then and the else part
//@ assert 0 <= i < n;
```

Listing 2.13: An example application of the choice rule

## 2.5. The loop rule

The loop rule, shown in Listing 2.14, is used to verify a **while** loop. This requires to find an appropriate formula, P, which is preserved by each execution of the loop body. P is also called a loop invariant.

```
//@ assert P;

//@ assert P;

while (B)
{
    S;
    //@ assert P;

//@ assert P;

//@ assert P;

//@ assert P;
```

Listing 2.14: The loop rule

To find it requires some intuition in many cases; for this reason, automatic theorem provers usually have problems with this task.

As said above, the loop rule does not guarantee that the loop will always eventually terminate. It merely assures us that, if the loop has terminated, the postcondition holds. To emphasis this, the properties verifiable with the Hoare Calculus are usually called "partial correctness" properties, while properties that include program termination are called "total correctness" properties.

As an example application, let us consider an abstract ring-buffer loop as shown in Listing 2.15.

```
given precondition
//@ assert 0 < n;
                                        follows trivially
//@ assert 0 <= 0 < n;
int i = 0;
//@ assert 0 <= i < n;
                                        by the assignment rule
while(!done) {
                                        may be assumed by the loop rule
 //@ assert 0 <= i < n && !done;
 a[i] = getchar();
                                        required property of getchar
 //@ assert 0 <= i < n && !done;
                                        weakened by the implication rule
 //@ assert 0 <= i < n;
 if (i < n-1)i++; else i = 0;
 //@ assert 0 <= i < n;
                                        as seen above (Listing 2.13)
 process(a, i, &done);
 //@ assert 0 <= i < n;
                                        required property of process
}
                                        by the loop rule
//@ assert 0 <= i < n;
```

Listing 2.15: An abstract ring buffer loop

Listing 2.15 shows a verification proof for the index i lying always within the valid range [0..n-1] during, and after, the loop. It uses the proof from Listing 2.13 as a sub-part. Note the following issues:

• To reuse the proof from Listing 2.13, we had to drop the conjunct !done, since we didn't consider it in Listing 2.13. In general, we may *not* infer

since the code fragment Q may just destroy the property S. This is obvious for Q being the fragment from Listing 2.13, and S being e.g. i != 0.

Suppose for a moment that process had been implemented in a way such that it refuses to set done to true unless it is false at entry. In this case, we would really need that !done still holds after execution of Listing 2.13. We would have to do the proof again, looping-through an additional conjunct !done.

- We have similar problems to carry the property 0 <= i < n && !done and 0 <= i < n over the statement a[i] = getchar() and process(a, i, &done), respectively. We need to specify that neither getchar nor process is allowed to alter the value of i or n. In ACSL, there is a particular language construct assigns for that purpose, which is introduced in Section 6.1 on Page 70.
- In our example, the loop invariant can be established between any two statements of the loop body. However, this need not be the case in general. The loop rule only requires the invariant holds before the loop and at the end of the loop body. For example, process could well change the value of i<sup>8</sup> and even n intermediately, as long as it re-establishes the property 0 <= i < n immediately prior to returning.
- The loop invariant, 0 <= i < n, is established by the proof in Listing 2.13 also after termination of the loop. Thus, e.g., a final a [i] = '\0' after the loop would be guaranteed not to lead to a bounds violation.
- Even if we would need the property 0 <= i < n to hold only immediately before the assignment a [i] = getchar(), since, e.g., process's body didn't use a or i, we would still have to establish 0 <= i < n as a loop invariant by the loop rule, since there is no other way to obtain any property inside a loop body. Apart from this formal reason it is obvious that 0 <= i < n wouldn't hold during the second loop iteration unless we reestablished it at the end of the first one, and that is just what the while rule requires.

<sup>&</sup>lt;sup>8</sup> We would have to change the call to process (a, &i, &done) and the implementation of process appropriately. In this case we couldn't rely on the above-mentioned assigns clause for process.

#### 2.6. Derived rules

The above rules don't cover all kinds of statements allowed in C. However, missing C-statements can be rewritten into a form that is semantically equivalent and covered by the Hoare rules.

For example,

}

```
switch (E) {
    case E1: Q1; break; ...
    case En: Qn; break;
    default: Q0; break;
}

is semantically equivalent to

if (E == E1) {
    Q1;
} else ... if (E == En) {
    Qn;
} else {
    Q0;
```

if E doesn't have side-effects. While the **if-else** form is usually slower in terms of execution speed on a real computer, this doesn't matter for verification purposes, which are separate from execution issues.

```
Similarly, for (P; Q; R) {S} can be re-expressed as P; while (Q) {S; R}, and so on.
```

It is then possible to derive a Hoare rule for each kind of statement not previously discussed, by applying the classical rules to the corresponding re-expressed code fragment. However, we do not present these derived rules here.

Although procedures cannot be re-expressed in the above way if they are (directly or mutually) recursive, it is still possible to derive Hoare rules for them. This requires the finding of appropriate "procedure invariants" similar to loop invariants. Non-recursive procedures can, of course, just be inlined to make the classical Hoare rules applicable.

Note that **goto** cannot be rewritten in the above way; in fact, programs containing **goto** statements cannot be verified with the Hoare Calculus. See [13] for a similar calculus that can deal with arbitrary flowcharts, and hence arbitrary jumps. In fact, Hoare's work was based on that calculus. Later calculi inspired from Hoare's work have been designed to re-integrate support for arbitrary jumps. However, in this tutorial, we will not discuss example programs containing a **goto**.

## 3. Non-mutating algorithms

In this chapter, we consider *non-mutating* algorithms, i.e., algorithms that neither change their arguments nor any objects outside their scope. This requirement can be formally expressed with the following *assigns clause*:

```
assigns \nothing;
```

Each algorithm in this chapter therefore uses this assigns clause in its specification.

The specifications of these algorithms are not very complex. Nevertheless, we have tried to arrange them so that the earlier examples are simpler than the later ones. All algorithms work on one-dimensional arrays ("ranges").

- **equal** (Section 3.1 on Page 26) compares two ranges element-by-element. Here, we will present to versions to specify to specify such a function.
- mismatch (Section 3.2 on Page 30) returns the smallest index where two ranges differ. An implementation of equal using mismatch is also presented.
- **find** (Section 3.3 on Page 32) provides *sequential* or *linear search* and returns the smallest index at which a given value occurs in a range. In Section 3.4, on Page 34, a predicate is introduced in order to simplify the specification.
- **find\_first\_of** (Section 3.5, on Page 36) provides similar to find a *sequential search*, but unlike find it does not search for a particular value, but for the least index of a given range which occurs in another range.
- adjacent\_find (Section 3.6 on Page 38) can be used to find equal neighbors in an array.
- **search** (Section 3.7, on Page 40) finds a subsequence that is identical to a given sequence when compared element-by-element and returns the position of the first occurrence.
- **count** (Section 3.8, on Page 43) returns the number of occurrences of a given value in a range. Here we will employ some user-defined axioms to formally specify count.

## 3.1. The equal algorithm

The equal algorithm in the C++ Standard Library compares two generic sequences. For our purposes we have modified the generic implementation<sup>9</sup> to that of an array of type value\_type. The signature now reads:

```
bool equal(const value_type* a, size_type n, const value_type* b);
```

The function returns **true** if a[i] == b[i] holds for each 0 <= i < n. Otherwise, equal returns **false**.

#### 3.1.1. Formal specification of equal

The ACSL specification of equal is shown in Listing 3.1. We discuss the specification now line by line.

```
/*@
  requires \valid_read(a + (0..n-1));
  requires \valid_read(b + (0..n-1));

  assigns \nothing;

  behavior all_equal:
    assumes \forall integer i; 0 <= i < n ==> a[i] == b[i];
    ensures \result;

  behavior some_not_equal:
    assumes \exists integer i; 0 <= i < n && a[i] != b[i];
    ensures !\result;

  complete behaviors;
  disjoint behaviors;
  */
  bool equal(const value_type* a, size_type n, const value_type* b);</pre>
```

Listing 3.1: Formal specification of equal

The first part of our specification are the preconditions, which must be existent before the algorithm is executed. Those requirements can be specified with the requires-clause in ACSL. In case of the equal algorithm it is needed that n is non-negative and that the pointers a and b point to n contiguously allocated objects of type value\_type (see also Section 1.2).

In the second part of our specification we make a statement about objects and arguments that changed by the function. Since equal is a non-mutating algorithm and does not modify any memory location outside its scope we just define assigns \nothing (see Page 25).

Finally, we must define the postconditions, which must satisfy after the equal algorithm is finished. Therefore we have two behaviors:

<sup>&</sup>lt;sup>9</sup>See http://www.sqi.com/tech/stl/equal.html.

The behavior all\_equal applies if an element-wise comparison of the two ranges yields that they In this case the function equal is expected to return true. Finally, the behavior some\_not\_equal applies if there is at least one valid index i where the elements a[i] and b[i] differ. In this case the function equal is expected to return false.

The negation of the formula

```
\forall integer i; 0 <= i < n ==> a[i] == b[i];
in behavior all_equal is just the formula
\exists integer i; 0 <= i < n && a[i] != b[i];
```

in behavior some\_not\_equal. Therefore, these two behaviors complement each other.

The complete behaviors-clause in Listing 3.1 expresses the fact that for all ranges a and b that satisfy the preconditions of the contract *at least one* of the specified named behaviors, in this case all\_equal and some\_not\_equal, applies.

The disjoint behaviors-clause in Listing 3.1 formalizes the fact that for all ranges a and b that satisfy the preconditions of the contract *at most one* of the specified named behaviors, in this case all\_equal and some\_not\_equal, applies.

#### 3.1.2. The EqualRanges predicate

The fact that two arrays a[0] ... a[n-1] and b[0] ... b[n-1] are equal when compared element by element, is a property we might need again in other specifications, as it describes a very basic behavior.

The motto *don't repeat yourself* is not just good programming practice.<sup>10</sup> It is also true for concise and easy to understand specifications. We will therefore introduce specification elements that we can apply to the equal algorithm as well as to other specifications and implementations with the described behavior.

In Listing 3.2 we introduce the predicate EqualRanges.

```
/*@
  predicate
    EqualRanges{A,B} (value_type* a, integer n, value_type* b) =
    \forall integer i; 0 <= i < n ==> \at(a[i], A) == \at(b[i], B);

predicate
    EqualRanges{A,B} (value_type* a, integer n) =
    \forall integer i; 0 <= i < n ==> \at(a[i], A) == \at(a[i], B);
*/
```

Listing 3.2: The predicate EqualRanges

This predicate formalizes the fact that the arrays a[0] ... a[n-1] and b[0] ... b[n-1] are equal when compared element by element. The letters A and B are *labels*<sup>11</sup> that must be supplied when

<sup>&</sup>lt;sup>10</sup>Compare http://en.wikipedia.org/wiki/Don't repeat yourself.

<sup>&</sup>lt;sup>11</sup>Labels are used in C to name the target of the *goto* jump statement.

using the predicate EqualRanges. We use labels in the definition of EqualRanges to extend its applicability. The expression  $\hat{at(a[i]}$ , A) means that a[i] is evaluated at the label A. Frama-C defines several standard labels, e.g. Old and Post, a programmer can use to refer to the pre-state or post-state, respectively, of a function. For more details on labels we refer to the ACSL specification [9, p. 39].

Using this predicate we can reformulate the specification of equal in Listing 3.1 as shown in Listing 3.3. Here we use the predefined label Here. When used in an ensures clause the label Here refers to the pre-state of a function.

```
/*@
  requires \valid_read(a + (0..n-1));
  requires \valid_read(b + (0..n-1));

  assigns \nothing;

  ensures \result <==> EqualRanges{Here, Here}(a, n, b);
  */
bool equal(const value_type* a, size_type n, const value_type* b);
```

Listing 3.3: Formal specification of equal using the EqualRanges predicate

Note that the equivalence is needed in the ensures clause. Putting an equality instead is not legal in ACSL, because EqualRanges is a predicate.

#### 3.1.3. Implementation of equal

Listing 3.4 shows one way to implement the function equal. In our description, we concentrate on the *loop annotations*.

The first loop *invariant* is needed to prove that all accesses to a and b occur with valid indices. However, we may *not* require simply

```
loop invariant 0 <= i < n;</pre>
```

since the very last loop iteration would violate this formula. Therefore, we have to weaken the formula to that shown in the implementation of Listing 3.4, which is preserved by *all* iterations of the loop. Note that 0 <= i < n is still valid immediately before the array accesses in, since we may assume there in addition that the loop condition i < n holds. However, 0 <= i < n is invalid after completion of the loop, while the loop invariant is guaranteed to hold there, too, cf. the loop rule in Figure 2.14 on Page 21.

Most important is the last loop *invariant*. It complies with the postcondition of the specification in Listing 3.3 and is needed to prove that for each iteration all elements of a and b up to that iteration step are equal. The loop assigns-clause in Listing 3.4 expresses that only the loop index is modified in any iteration. This is in accordance with the fact that equal is a *non-mutating* algorithm. The loop *variant* is needed to generate correct verification conditions for the termination of the **for-**loop. In order to prove the termination of the loop, Frama-C needs to know an expression whose value is decreased by each and every loop cycle and is always positive <sup>12</sup>[9, Subsections 2.4.2,

<sup>&</sup>lt;sup>12</sup>Except for possibly the very last iteration.

```
bool equal(const value_type* a, size_type n, const value_type* b)
{
    /*@
    loop invariant 0 <= i <= n;
    loop invariant \forall integer k; 0 <= k < i ==> a[k] == b[k];
    loop assigns i;
    loop variant n-i;
    */
    for (size_type i = 0; i < n; i++)
    {
        if (a[i] != b[i])
        {
            return false;
        }
    }
    return true;
}</pre>
```

Listing 3.4: Implementation of equal

2.5.1]. For a **for** loop as simple as that the expression n-i is sufficient for that purpose. Again, we can use the predicate EqualRanges in order to simplify the second loop invariant, which complies our postcondition. Listing 3.5 shows the modified implementation using the predicate EqualRanges.

```
bool equal(const value_type* a, size_type n, const value_type* b)
{
    /*@
    loop invariant 0 <= i <= n;
    loop invariant EqualRanges{Here, Here}(a, i, b);
    loop assigns i;
    loop variant n-i;
    */
    for (size_type i = 0; i < n; ++i)
    {
        if (a[i] != b[i])
        {
            return false;
        }
    }
    return true;
}</pre>
```

Listing 3.5: Implementation of equal using the EqualRanges predicate

## 3.2. The mismatch algorithm

The mismatch algorithm is closely related to the negation of equal from Section 3.1. Its signature reads

The function mismatch returns the smallest index where the two ranges a and b differ. If no such index exists, that is, if both ranges are equal then mismatch returns the length n of the two ranges.  $^{13}$ 

#### 3.2.1. Formal specification of mismatch

We use the EqualRanges predicate defined in Listing 3.2 also for the formal specification of mismatch that is shown in Listing 3.6.

Note in particular the use of EqualRanges in the specification shown in Listing 3.6 in order to express that mismatch returns the *smallest* index where the two arrays differ. Note also that the completeness and disjointedness of the behaviors all\_equal and some\_not\_equal has now become immediately obvious, since their assumes clauses are just literal negations of each other.

```
/*@
  requires \valid_read(a + (0..n-1));
  requires \valid_read(b + (0..n-1));

  assigns \nothing;

  behavior all_equal:
    assumes EqualRanges{Here, Here} (a, n, b);
    ensures \result == n;

  behavior some_not_equal:
    assumes !EqualRanges{Here, Here} (a, n, b);
    ensures 0 <= \result < n;
    ensures a[\result] != b[\result];
    ensures EqualRanges{Here, Here} (a, \result, b);

  complete behaviors;
  disjoint behaviors;

*/
size_type mismatch(const value_type* a, size_type n, const value_type* b);</pre>
```

Listing 3.6: Formal specification of mismatch

<sup>&</sup>lt;sup>13</sup>See also http://www.sgi.com/tech/stl/mismatch.html.

#### 3.2.2. Implementation of mismatch

Listing 3.7 shows an implementation of mismatch that we have enriched with some loop annotations to support the deductive verification.

Listing 3.7: Implementation of mismatch

We use the predicate EqualRanges as shown in Listing 3.7 in order to express that all indices k that are less than the current index i satisfy the condition a[k] = b[k]. This is necessary to prove that mismatch indeed returns the smallest index where the two ranges differ.

#### 3.2.3. Implementation of equal by calling mismatch

Listing 3.8 shows an implementation of the equal algorithm by a simple call of mismatch. 14

```
/*@
  requires \valid_read(a + (0..n-1));
  requires \valid_read(b + (0..n-1));

  assigns \nothing;

  ensures \result <==> EqualRanges{Here, Here}(a, n, b);
  */
  bool equal(const value_type* a, size_type n, const value_type* b);
```

Listing 3.8: Implementation of equal with mismatch

 $<sup>^{14}</sup>$ See also the note on the relationship of equal and mismatch on http://www.sgi.com/tech/stl/equal.html.

## 3.3. The find algorithm

The find algorithm in the C++ standard library implements *sequential search* for general sequences.<sup>15</sup> We have modified the generic implementation, which relies heavily on C++ templates, to that of a range of type value\_type. The signature now reads:

```
size_type find(const value_type* a, size_type n, value_type val);
```

The function find returns the least *valid* index i of a where the condition a[i] == val holds. If no such index exists then find returns the length n of the array.

#### 3.3.1. Formal specification of find

The formal specification of find in ACSL is shown in Listing 3.9.

```
/*@
  requires \valid_read(a + (0..n-1));

  assigns \nothing;

  behavior some:
    assumes \exists integer i; 0 <= i < n && a[i] == val;
    ensures 0 <= \result < n;
    ensures a[\result] == val;
    ensures \forall integer i; 0 <= i < \result ==> a[i] != val;

  behavior none:
    assumes \forall integer i; 0 <= i < n ==> a[i] != val;
    ensures \result == n;

  complete behaviors;
  disjoint behaviors;

*/
size_type find(const value_type* a, size_type n, value_type val);
```

Listing 3.9: Formal specification of find

The requires-clause indicates that n is non-negative and that the pointer a points to n contiguously allocated objects of type value\_type (see Section 1.2).

The assigns-clause indicates that find (as a non-mutating algorithm), does not modify any memory location outside its scope (see Page 25).

We have subdivided the specification of find into two behaviors (named some and none). The behavior some applies if the sought-after value is contained in the array. We express this condition by using the assumes-clause. The next line expresses that if the assumptions of the behavior are satisfied then find will return a valid index. The algorithm also ensures that the returned (valid) index i, a[i] == val holds. Therefore we define this property in the second postcondition of

<sup>&</sup>lt;sup>15</sup>See http://www.sgi.com/tech/stl/find.html.

behavior some. Finally, it is important to express that find return the smallest index i for which a [i] == val holds (see last postcondition of behavior some).

The behavior none covers the case that the sought-after value is *not* contained in the array (see assumes-clause of behavior none in Listing 3.9). In this case, find must return the length n of the range a.

Note that the formula in the assumes-clause of the behavior some is the negation of the assumes -clause of the behavior none. Therefore, we can express that these two behaviors are *complete* and *disjoint*.

#### 3.3.2. Implementation of find

Listing 3.10 shows a straightforward implementation of find. The only noteworthy elements of this implementation are the *loop annotations*.

```
size_type find(const value_type* a, size_type n, value_type val)
{
   /*@
   loop invariant 0 <= i <= n;
   loop invariant \forall integer k; 0 <= k < i ==> a[k] != val;
   loop assigns i;
   loop variant n-i;
   */
   for (size_type i = 0; i < n; i++)
      if (a[i] == val)
      {
        return i;
    }
   return n;
}</pre>
```

Listing 3.10: Implementation of find

The first loop *invariant* is needed to prove that accesses to a only occur with valid indices. With the second loop *invariant* is needed for the proof of the postconditions of the behavior some (see Listing 3.9). It expresses that for each iteration the sought-after value is not yet found up to that iteration step.

Finally, the loop *variant* n-i is needed to generate correct verification conditions for the termination of the loop.

## 3.4. The find algorithm reconsidered

In this section we specify the find algorithm in a slightly different way when compared to Section 3.3. Our approach is motivated by a considerable number of closely related formulas. We have in Listings 3.9 and 3.10 the following formulas

\exists	integer	i;	0	<=	i	<	n	& &	a[i]	==	val;
\forall	integer	i;	0	<=	i	<	\result	==>	a[i]	! =	val;
\forall	integer	i;	0	<=	i	<	n	==>	a[i]	! =	val;
\forall	integer	k;	0	<=	k	<	i	==>	a[k]	! =	val;

Note that the first formula is the negation of the third one.

In order to be more explicit about the commonalities of these formulas we define a predicate, called HasValue (see Listing 3.11), which describes the situation that there is a valid index i such that

```
a[i] == val
```

holds.

```
/*@
  predicate
  HasValue{A} (value_type* a, integer n, value_type val) =
    \exists integer i; 0 <= i < n && a[i] == val;
*/</pre>
```

Listing 3.11: The predicate HasValue

Note that we needed to provide a label, viz. A, to the predicate, since its evaluation depends on a memory state, viz. then contents of a[]. ACSL allows to abbreviate  $\at (a[i], A)$  by a[i] if, as in our predicate body, A is the only available label.

With this predicate we can encapsulate all uses of the  $\forall$  and  $\exists$  quantifiers in both the specification of the function contract of find and in the loop annotations. The result is shown in Listings 3.12 and 3.13.

## 3.4.1. Formal specification of find

This approach leads to a specification of find that is more readable than the one described in Section 3.3.

In particular, it can be seen immediately that the conditions in the assumes clauses of the two behaviors some and none are mutually exclusive since one is the literal negation of the other. Moreover, the requirement that find returns the smallest index can also be expressed using the HasValue predicate, as depicted with the last postcondition of behavior some as shown in Listing 3.12.

```
/*@
  requires \valid_read(a + (0..n-1));

assigns \nothing;

behavior some:
  assumes HasValue(a, n, val);
  ensures 0 <= \result < n;
  ensures a[\result] == val;
  ensures !HasValue(a, \result, val);

behavior none:
  assumes !HasValue(a, n, val);
  ensures \result == n;

complete behaviors;
  disjoint behaviors;
*/
size_type find(const value_type* a, size_type n, value_type val);</pre>
```

Listing 3.12: Formal specification of find using the HasValue predicate

#### 3.4.2. Implementation of find

The predicate HasValue is also used in the loop annotation inside the implementation of find.

```
size_type find(const value_type* a, size_type n, value_type val)
{
    /*@
    loop invariant 0 <= i <= n;
    loop invariant !HasValue(a, i, val);
    loop assigns i;
    loop variant n-i;
    */
    for (size_type i = 0; i < n; i++)
        if (a[i] == val)
        {
            return i;
        }
        return n;
}</pre>
```

Listing 3.13: Implementation of find with loop annotations based on HasValue

## 3.5. The find\_first\_of algorithm

The find\_first\_of algorithm<sup>16</sup> is closely related to find (see Sections 3.3 and 3.4).

As in find it performs a sequential search. However, whereas find searches for a particular value, find\_first\_of returns the least index i such that a[i] is equal to one of the values b[0..n-1].

#### 3.5.1. Formal specification of find\_first\_of

Similar to our approach in Section 3.4, we define a predicate  ${\tt HasValueOf}$  that formalizes the fact that there are valid indices i for a and j of b such that a[i] == b[j] hold. We have chosen to reuse the predicate  ${\tt HasValue}$  (Listing 3.11) to define  ${\tt HasValueOf}$  (Listing 3.14).

```
/*@
   predicate
   HasValueOf{A}(value_type* a, integer m, value_type* b, integer n) =
    \exists integer i; 0 <= i < m && HasValue{A}(b, n, a[i]);
*/</pre>
```

Listing 3.14: The predicate HasValueOf

Both the predicates <code>HasValueOf</code> and <code>HasValue</code> occur in the formal specification of <code>find\_first\_of</code> (see Listing 3.15). Note how similar the specification of <code>find\_first\_of</code> becomes to that of <code>find</code> (Listing 3.12) when using these predicates.

## 3.5.2. Implementation of find\_first\_of

Our implementation of find\_first\_of is shown in Listing 3.16.

Note the call of the find function shown in the Listing above. In the original STL implementation 17, find\_first\_of does not call find but rather inlines it. The reason for this were probably efficiency considerations. We opted for an implementation of find\_first\_of that emphasizes reuse. Besides, leading to a more concise implementation, we also have to write less loop annotations.

<sup>&</sup>lt;sup>16</sup>See http://www.sqi.com/tech/stl/find first of.html.

<sup>17</sup> See http://www.sgi.com/tech/stl/stl\_algo.h

```
/ * @
  requires \valid_read(a + (0..m-1));
  requires \valid_read(b + (0..n-1));
 assigns \nothing;
 behavior found:
    assumes HasValueOf(a, m, b, n);
    ensures bound: 0 <= \result < m;</pre>
    ensures result: HasValue(b, n, a[\result]);
    ensures first: !HasValueOf(a, \result, b, n);
 behavior not found:
    assumes !HasValueOf(a, m, b, n);
    ensures result: \result == m;
 complete behaviors;
 disjoint behaviors;
*/
size_type find_first_of(const value_type* a, size_type m,
                        const value_type* b, size_type n);
```

Listing 3.15: Formal specification of find\_first\_of

Listing 3.16: Implementation of find\_first\_of

# 3.6. The adjacent\_find algorithm

```
The adjacent_find algorithm<sup>18</sup>

size_type adjacent_find(const value_type* a, size_type n);

returns the smallest valid index i, such that i+1 is also a valid index and such that

a[i] == a[i+1]
```

holds. The adjacent\_find algorithm returns n if no such index exists.

## 3.6.1. Formal specification of adjacent\_find

As in the case of other search algorithms, we first define a predicate HasEqualNeighbors (see Listing 3.17) that captures the essence of finding two adjacent indices at which the array holds equal values.

```
/*@
   predicate
   HasEqualNeighbors{A} (value_type* a, integer n) =
     \exists integer i; 0 <= i < n-1 && a[i] == a[i+1];
*/</pre>
```

Listing 3.17: The predicate HasEqualNeighbors

```
requires \valid_read(a + (0..n-1));

assigns \nothing;

behavior some:
    assumes HasEqualNeighbors(a, n);
    ensures 0 <= \result < n-1;
    ensures a[\result] == a[\result+1];
    ensures !HasEqualNeighbors(a, \result);

behavior none:
    assumes !HasEqualNeighbors(a, n);
    ensures \result == n;

complete behaviors;
    disjoint behaviors;

*/
size_type adjacent_find(const value_type* a, size_type n);</pre>
```

Listing 3.18: Formal specification of adjacent\_find

<sup>18</sup> See http://www.sgi.com/tech/stl/adjacent\_find.html

We use the predicate <code>HasEqualNeighbors</code> to define the formal specification of adjacent\_find (see Listing 3.18).

## 3.6.2. Implementation of adjacent\_find

The implementation of adjacent\_find, including loop (in)variants is shown in Listing 3.19. Please note the use of the predicate HasEqualNeighbors in the loop invariant to match the similar postcondition of behavior some.

```
size_type
adjacent_find(const value_type* a, size_type n)
{
   if (n == 0) return n;

    /*@
     loop invariant 0 <= i < n;
     loop invariant !HasEqualNeighbors(a, i+1);
     loop assigns i;
     loop variant n-i;
    */
   for (size_type i = 0; i < n - 1; i++)
   {
      if (a[i] == a[i + 1])
      {
        return i;
      }
   }
   return n;
}</pre>
```

Listing 3.19: Implementation of adjacent\_find

# 3.7. The search algorithm

The search algorithm in the C++ standard library finds a subsequence that is identical to a given sequence when compared element-by-element. For our purposes we have modified the generic implementation to that of an array of type value\_type. <sup>19</sup> The signature now reads:

The function search returns the first index i of the array a where the condition a[i+k]==b[k] for each  $0 \le k \le n$  holds. If no such index exists then search returns the length m of the array a. Figure 3.20 tries to illustrate the requirement of search that b[0..n-1] cannot be found in the subrange  $a[0..\rdot{result+n-2}]$ .

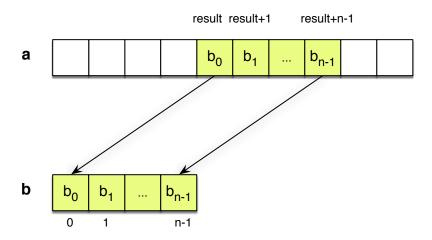


Figure 3.20.: Matching b [0..n-1] in a [0..m-1]

## 3.7.1. Formal specification of search

Our specification of search starts with introducing the predicate HasSubRange in Listing 3.21. This predicate formalizes the fact that the sequence a contains a subsequence which is identical to the sequence b.

The ACSL specification of search is shown in Listing 3.22. The behavior has\_match applies if the sequence a contains a subsequence, which is identical to the sequence b. We express this condition with assumes by using the predicate HasSubRange.

The first ensures clause of behavior has\_match indicates that the return value must be in the range [0..m-n]. The second one expresses that search returns the smallest index where b can be found in a. Finally, in the last line under behavior has\_match we indicate that the sequence a contains a subsequence (from the position \result), which is identical to the sequence b.

<sup>&</sup>lt;sup>19</sup>See http://www.sqi.com/tech/stl/search.html.

Listing 3.21: The predicate HasSubRange

```
/ * @
  requires \valid_read(a + (0..m-1));
  requires \valid_read(b + (0..n-1));
  assigns \nothing;
  ensures (n == 0 || m == 0) ==> \result == 0;
 behavior has_match:
    assumes HasSubRange(a, m, b, n);
    ensures 0 <= \result <= m-n;</pre>
    ensures EqualRanges{Here, Here} (a+\result, n, b);
    ensures !HasSubRange(a, \result+n-1, b, n);
 behavior no_match:
    assumes !HasSubRange(a, m, b, n);
    ensures \result == m;
  complete behaviors;
  disjoint behaviors;
size_type search(const value_type* a, size_type m,
                 const value_type* b, size_type n);
```

Listing 3.22: Formal specification of search

The behavior no\_match covers the case that there is no such subsequence in sequence a, which equals to the sequence b. In this case, search must return the length m of the range a. In any case, if the ranges a or b are empty, then the return value will be 0. We express this fact with the following line:

```
ensures (n == 0 || m == 0) ==> \result == 0;
```

The formula in the assumes clause of the behavior has\_match is the negation of the assumes clause of the behavior no\_match. Therefore, we can express that these two behaviors are *complete* and *disjoint*.

### 3.7.2. Implementation of search

Our implementation of search is shown in Listing 3.23. It follows the C++ standard library implementation in being easy to understand, but needing an order of magnitude of m\*n operations. In contrast, the sophisticated algorithm from [14] needs only m+n operations.

```
size_type search(const value_type* a, size_type m,
                  const value_type* b, size_type n)
 if ((n == 0) | (m == 0))
   return 0;
  }
 if (n > m)
    return m;
  }
  / * @
    loop invariant 0 <= i <= m-n+1;</pre>
    loop invariant !HasSubRange(a, n+i-1, b, n);
    loop assigns i;
    loop variant m-i;
  for (size_type i = 0; i <= m - n; i++)</pre>
    if (equal(a + i, n, b)) // Is there a match?
      return i;
  }
 return m;
```

Listing 3.23: Implementation of search

The second loop *invariant* is needed for the proof of the postconditions of the behavior has\_match (see Listing 3.22). It expresses that for each iteration the subsequence, which equals to the sequence b, is not yet found up to that iteration step.

 $<sup>^{20}</sup> This$  question has been also discussed by the C++ standardization committee, see http://www.open-std.org/jtc1/sc22/wg21/docs/papers/2014/n3905.html

# 3.8. The count algorithm

The count algorithm in the C++ standard library counts the frequency of occurrences for a particular element in a sequence. For our purposes we have modified the generic implementation<sup>21</sup> to that of arrays of type value\_type. The signature now reads:

```
size_type count(const value_type* a, size_type n, value_type val);
```

Informally, the function returns the number of occurrences of val in the array a.

## 3.8.1. An axiomatic definition of counting

The specification of count will be fairly short because it employs the *logic function* Count whose (considerably) longer definition is given in Listing 3.24.<sup>22</sup> We will reuse this axiomatic definition of counting for the specification of other algorithms, e.g., remove\_copy (Section 6.9).

Listing 3.24: The logic function Count

The logic function Count in Listing 3.24 determines the number of occurrences of a value v in the index range [0..n-1] of an array of type value\_type.

- The ACSL keyword axiomatic is used to gather the logic function Count and its defining axioms. Note that the interval bound n and the return value for Count are of type integer.
- Axiom CountEmpty covers the case of an empty range.

<sup>&</sup>lt;sup>21</sup> See http://www.sgi.com/tech/stl/count.html.

<sup>&</sup>lt;sup>22</sup>This definition of Count is a generalization of the *logic function* nb\_occ of the ACSL specification [9].

- Axioms CountOneHit and CountOneMiss reduce counting of a range of length n + 1 to a range of length n.
- The reads clause in the axiomatic definition of Count specifies the set of memory locations on which Count depends. Specifically, it states that Count only depends on the range a [0..n-1]. Axiom CountRead then ensures that Count produces the same result if the values a [0..n-1] do not change between two program states indicated by the labels L1 and L2, respectively. We use predicate EqualRanges (Listing 3.2) to express this condition. Axiom CountRead is necessary if one has to verify *mutating* algorithms that are specified with Count, e.g., remove\_copy in Sections 6.9 and 6.10.

The following properties of Count can be verified with the help of the axioms given in Listing 3.24.

```
lemma CountOne: \forall value_type *a, v, integer n;
   Count(a, n + 1, v) == Count(a, n, v) + Count(a + n, 1, v);

lemma CountUnion: \forall value_type *a, v, integer n, k;
   0 <= k ==>
   Count(a, n + k, v) == Count(a, n, v) + Count(a + n, k, v);

lemma CountBounds: \forall value_type *a, v, integer n;
   0 <= n ==> 0 <= Count(a, n, v) <= n;

lemma CountMonotonic: \forall value_type *a, v, integer m, n;
   0 <= m <= n ==> Count(a, m, v) <= Count(a, n, v);
*/</pre>
```

Listing 3.25: More properties of Count

## 3.8.2. Formal specification of count

Listing 3.26 shows how we use the logic function count to specify count in ACSL. Note that our specification also states that the result of count is non-negative and less than or equal the says of the array.

```
/*@
  requires \valid_read(a + (0..n-1));

  assigns \nothing;

  ensures \result == Count(a, n, val);
  ensures 0 <= \result <= n;
*/
size_type count(const value_type* a, size_type n, value_type val);</pre>
```

Listing 3.26: Formal specification of count

## 3.8.3. Implementation of count

Listing 3.27 shows a possible implementation of count. Note that we refer to the logic function Count in one of the loop invariants.

```
size_type
count(const value_type* a, size_type n, value_type val)
{
    size_type counted = 0;

    /*@
        loop invariant 0 <= i <= n;
        loop invariant 0 <= counted <= i;
        loop invariant counted == Count(a, i, val);
        loop assigns i, counted;
        loop variant n-i;
        */
    for (size_type i = 0; i < n; ++i)
        {
        if (a[i] == val)
            {
                  counted++;
            }
        }
        return counted;
}</pre>
```

Listing 3.27: Implementation of count

# 4. Maximum and minimum algorithms

In this chapter we discuss the formal specification of algorithms that compute the maximum or minimum values of their arguments. As the algorithms in Chapter 3, they also do not modify any memory locations outside their scope. The most important new feature of the algorithms in this chapter is that they compare values using binary operators such as <.

We consider in this chapter the following algorithms.

- max\_element (Section 4.2, on Page 50) returns an index to a maximum element in range. Similar to find it also returns the smallest of all possible indices. An alternative specification which relies on user-defined predicates will be introduced in Section 4.3, on Page 52).
- max\_seq (Section 4.4, on Page 54) is very similar to max\_element and will serve as an example of *modular verification*. It returns the maximum value itself rather than an index to it

min\_element which can be used to find the smallest element in an array (Section 4.5).

First, however, we discuss in Section 4.1 general properties that must be satisfied by the relational operators.

# 4.1. A note on relational operators

Note that in order to compare values, STL algorithms usually rely solely on the *less than* operator < or special function objects.<sup>23</sup> To be precises, the operator < must be a *partial order*,<sup>24</sup> which means that the following rules hold.

```
irreflexivity \forall x : \neg(x < x)
asymmetry \forall x, y : x < y \implies \neg(y < x)
transitivity \forall x, y, z : x < y \land y < z \implies x < z
```

If you wish check that the operator < of our value\_type<sup>25</sup> satisfies this properties you can formulate lemmas in ACSL and verify them with Frama-C. (see Listing 4.1).

```
/*@
lemma LessIrreflexivity:
   \forall value_type a; !(a < a);

lemma LessAntisymmetry:
   \forall value_type a, b; (a < b) ==> !(b < a);

lemma LessTransitivity:
   \forall value_type a, b, c; (a < b) && (b < c) ==> (a < c);
*/</pre>
```

Listing 4.1: Requirements for a partial order on value\_type

It is of course possible to specify and implement the algorithms of this chapter by only using operator <. For example, a <= b can be written as  $a < b \mid \mid a == b$ , or, for our particular ordering on value\_type, as ! (b < a). However, for the purpose of this introductory document we have opted for a more user friendly representation.

Listing 4.2 formulates condition on the semantics of the derived operator >, <= and >=.

```
lemma Greater:
    \forall value_type a, b; (a > b) <==> (b < a);

lemma LessOrEqual:
    \forall value_type a, b; (a <= b) <==> ! (b < a);

lemma GreaterOrEqual:
    \forall value_type a, b; (a >= b) <==> ! (a < b);
*/</pre>
```

Listing 4.2: Semantics of derived comparison operators

 $<sup>^{23}\</sup>mathbf{See}$  http://www.sgi.com/tech/stl/LessThanComparable.html.

<sup>&</sup>lt;sup>24</sup>See http://en.wikipedia.org/wiki/Partially\_ordered\_set

<sup>&</sup>lt;sup>25</sup>See Section 1.2

We also provide a group of predicates that concisely express the comparison of the elements in an array segment with a given value (see Listing 4.3). We will use these predicates both in this chapter and in Chapter binary-search.

```
/ * @
 predicate ConstantRange(value_type* a, integer first,
                          integer last, value_type val) =
     \forall integer i; first <= i < last ==> a[i] == val;
 predicate StrictLowerBound(value_type* a, integer first,
                             integer last, value_type val) =
     \forall integer i; first <= i < last ==> val < a[i];
 predicate LowerBound(value_type* a, integer first,
                       integer last, value_type val) =
     \forall integer i; first <= i < last ==> !(a[i] < val);
 predicate StrictUpperBound(value_type* a, integer first,
                             integer last, value_type val) =
     \forall integer i; first <= i < last ==> a[i] < val;
 predicate UpperBound(value_type* a, integer first,
                       integer last, value_type val) =
     \forall integer i; first <= i < last ==> !(val < a[i]);
*/
```

Listing 4.3: Predicates for comparing array elements with a given value

# 4.2. The max\_element algorithm

The max\_element algorithm in the C++ Standard Template Library<sup>26</sup> searches the maximum of a general sequence. The signature of our version of max\_element reads:

```
size_type max_element (const value_type* a, size_type n);
```

The function finds the largest element in the range a[0, n). More precisely, it returns the unique valid index i such that

- 1. for each index k with  $0 \le k \le n$  the condition  $a[k] \le a[i]$  holds and
- 2. for each index k with  $0 \le k \le i$  the condition  $a[k] \le a[i]$  holds.

The return value of  $max\_element$  is n if and only if there is no maximum, which can only occur if n == 0.

## 4.2.1. Formal specification of max\_element

A formal specification of max\_element in ACSL is shown in Listing 4.4.

```
requires \valid_read(a + (..n-1));

assigns \nothing;

behavior empty:
    assumes n == 0;
    ensures \result == 0;

behavior not_empty:
    assumes 0 < n;

ensures 0 <= \result < n;
    ensures \forall integer i; 0 <= i < n ==> a[i] <= a[\result];
    ensures \forall integer i; 0 <= i < \result ==> a[i] < a[\result];

complete behaviors;
    disjoint behaviors;
*/
size_type max_element(const value_type* a, size_type n);</pre>
```

Listing 4.4: Formal specification of max\_element

We have subdivided the specification of max\_element into two behaviors (named empty and not\_empty). The behavior empty contains the specification for the case that the range contains no elements. The behavior not\_empty applies if the range has a positive length.

 $<sup>^{26}</sup> See \, \text{http://www.sgi.com/tech/stl/max\_element.html}$ 

The second ensures clause of behavior not\_empty indicates that the returned valid index k refers to a maximum value of the array. The third one expresses that k is indeed the *first* occurrence of a maximum value in the array.

#### 4.2.2. Implementation of max\_element

Listing 4.5 shows an implementation of max\_element. In our description, we concentrate on the *loop annotations*.

```
size_type max_element(const value_type* a, size_type n)
  if (n == 0)
    return 0;
  size_type max = 0;
  / * @
    loop invariant 0 <= i <= n;</pre>
    loop invariant 0 <= max < n;</pre>
    loop invariant \forall integer k; 0 <= k < i ==> a[k] <= a[max];</pre>
    loop invariant \forall integer k; 0 <= k < max ==> a[k] < a[max];</pre>
    loop assigns max, i;
    loop variant n-i;
  for (size_type i = 1; i < n; i++)</pre>
    if (a[max] < a[i])
    {
      max = i;
  return max;
```

Listing 4.5: Implementation of max\_element

The second loop invariant is needed to prove the first postcondition of behavior not\_empty in Listing 4.4. Using the next loop invariant we prove the second postcondition of behavior not\_empty in Listing 4.4. Finally, the last postcondition of this behavior can be proved with the endmost loop *invariant*.

# 4.3. The max\_element algorithm with predicates

In this section we present another specification of the max\_element algorithm. The main difference is that we employ two user defined predicates. First we define the predicate MaxElement by using the previously introduced predicate UpperBound (Listing 4.3) by stating that it is an upper bound that belongs to the sequence a[0..n-1].

```
/*@
  predicate MaxElement{L} (value_type* a, integer n, integer max) =
    0 <= max < n && UpperBound(a, 0, n, a[max]);
*/</pre>
```

Listing 4.6: Definition of the MaxElement predicate

#### 4.3.1. Formal specification of max\_element

The new formal specification of max\_element in ACSL is shown in Listing 4.7. Note that we also use the predicate StrictUpperBound (Listing 4.3) in order to express that max\_element returns the *first* maximum position in [0..n-1].

```
requires \valid_read(a + (0..n-1));

assigns \nothing;

behavior empty:
    assumes n == 0;

ensures result: \result == 0;

behavior not_empty:
    assumes 0 < n;

ensures result: 0 <= \result < n;
    ensures maximum: MaxElement(a, n, \result);
    ensures first: StrictUpperBound(a, 0, \result, a[\result]);

complete behaviors;
    disjoint behaviors;
*/
size_type max_element(const value_type* a, size_type n);</pre>
```

Listing 4.7: Formal specification of max\_element

## 4.3.2. Implementation of max\_element

Listing 4.8 shows implementation of max\_element with rewritten loop invariants. In the loop invariants we also employ the predicates UpperBound and StrictUpperBound that we have used in the specification.

```
size_type max_element(const value_type* a, size_type n)
  if (n == 0)
    return 0;
  size_type max = 0;
 /*@
    loop invariant bound: 0 <= i <= n;</pre>
    loop invariant min: 0 <= max < n;</pre>
    loop invariant lower: UpperBound(a, 0, i, a[max]);
    loop invariant first: StrictUpperBound(a, 0, max, a[max]);
    loop assigns max, i;
    loop variant n-i;
  for (size_type i = 0; i < n; i++)</pre>
    if (a[max] < a[i])
     max = i;
    }
  return max;
```

Listing 4.8: Implementation of max\_element

# 4.4. The max\_seq algorithm

In this section we consider the function max\_seq (see Chapter 3, [8]) that is very similar to the max\_element function of Section 4.2. The main difference between max\_seq and max\_element is that max\_seq returns the maximum value (not just the index of it). Therefore, it requires a *non-empty* range as an argument.

Of course, max\_seq can easily be implemented using max\_element (see Listing 4.10). Moreover, using only the formal specification of max\_element in Listing 4.7 we are also able to deductively verify the correctness of this implementation. Thus, we have a simple example of *modular verification* in the following sense:

Any implementation of max\_element that is separately proven to implement the contract in Listing 4.7 makes max\_seq behave correctly. Once the contracts have been defined, the function max\_element could be implemented in parallel, or just after max\_seq, without affecting the verification of max\_seq.

## 4.4.1. Formal specification of max\_seq

A formal specification of max\_seq in ACSL is shown in Listing 4.9.

```
/*@
  requires n > 0;
  requires \valid_read(p + (0..n-1));

  assigns \nothing;

  ensures \forall integer i; 0 <= i <= n-1 ==> \result >= p[i];
  ensures \exists integer e; 0 <= e <= n-1 && \result == p[e];
  */
  value_type max_seq(const value_type* p, size_type n);</pre>
```

Listing 4.9: Formal specification of max\_seq

Using the first requires-clause we express that max\_seq needs a *non-empty* range as input. By using the ensures-clause we express our postconditions. They formalize that max\_seq indeed returns the maximum value of the range.

## 4.4.2. Implementation of max\_seq

Listing 4.10 shows the trivial implementation of  $max\_seq$  using  $max\_element$ . Since  $max\_seq$  requires a non-empty range the call of  $max\_element$  returns an index to a maximum value in the range. The fact that  $max\_element$  returns the smallest index is of no importance in this context.

```
value_type max_seq(const value_type* p, size_type n)
{
  return p[max_element(p, n)];
}
```

Listing 4.10: Implementation of max\_seq

# 4.5. The min\_element algorithm

The min\_element algorithm in the C++ standard library<sup>27</sup> searches the minimum in a general sequence. The signature of our version of min\_element reads:

```
size_type min_element (const value_type* a, size_type n);
```

The function min\_element finds the smallest element in the range a [0..n-1]. More precisely, it returns the unique valid index i such that The return value of min\_element is n if and only if n == 0. First we define the predicate MinElement by using the previously introduced predicate LowerBound (Listing 4.3) by stating that it is an lower bound that belongs to the sequence a[0..n-1].

```
/*@
  predicate MinElement{L} (value_type* a, integer n, integer min) =
   0 <= min < n && LowerBound(a, 0, n, a[min]);
*/</pre>
```

Listing 4.11: Definition of the MinElement predicate

### 4.5.1. Formal specification of min\_element

```
requires \valid_read(a + (0..n-1));

assigns \nothing;

behavior empty:
    assumes n == 0;

ensures result: \result == 0;

behavior not_empty:
    assumes 0 < n;

ensures result: 0 <= \result < n;
    ensures minimum: MinElement(a, n, \result);
    ensures first: StrictLowerBound(a, 0, \result, a[\result]);

complete behaviors;
disjoint behaviors;
*/
size_type min_element(const value_type* a, size_type n);</pre>
```

Listing 4.12: Formal specification of min\_element

<sup>&</sup>lt;sup>27</sup>See http://www.sgi.com/tech/stl/min\_element.html.

The ACSL specification of min\_element is shown in Listing 4.12. Note that we also use the predicate StrictLowerBound (Listing 4.3) in order to express that min\_element returns the *first* minimum position in [0..n-1].

## 4.5.2. Implementation of min\_element

Listing 4.13 shows implementation of min\_element with rewritten loop invariants. In the loop invariants we also employ the predicates LowerBound and StrictLowerBound that we have used in the specification.

```
size_type min_element(const value_type* a, size_type n)
  if (0 == n)
    return n;
  size_type min = 0;
  / * @
    loop invariant bound: 0 <= i <= n;</pre>
    loop invariant min: 0 <= min < n;</pre>
    loop invariant lower: LowerBound(a, 0, i, a[min]);
    loop invariant first: StrictLowerBound(a, 0, min, a[min]);
    loop assigns min, i;
    loop variant n-i;
  for (size_type i = 0; i < n; i++)</pre>
    if (a[i] < a[min])
    {
      min = i;
    }
  }
  return min;
```

Listing 4.13: Implementation of min\_element

# 5. Binary search algorithms

In this chapter, we consider the four binary search algorithms of the STL, namely

- lower\_bound in Section 5.1
- upper\_bound in Section 5.2
- equal\_range in Section 5.3
- binary\_search in Section 5.4.

All binary search algorithms require that their input array is sorted in ascending order. The predicate Sorted in Listing 5.1 formalizes these requirements.

```
/*@
   predicate
    Sorted{L} (value_type* a, integer n) =
    \forall integer i, j; 0 <= i < j < n ==> a[i] <= a[j];
*/</pre>
```

Listing 5.1: The predicate Sorted

As in the case of the of maximum/minimum algorithms from Chapter 4 the binary search algorithms primarily use the less-than operator < (and the derived operators <=, > and >=) to determine whether a particular value is contained in a sorted range. Thus, different to the find algorithm in Section 3.3, the equality operator == will play only a supporting part in the specification of binary search.

In order to make the specifications of the binary search algorithms more compact and (arguably) more readable we use the predicates from Listing 4.3.

# 5.1. The lower\_bound algorithm

The lower\_bound algorithm is one of the four binary search algorithms of the STL. For our purposes we have modified the generic implementation<sup>28</sup> to that of an array of type value\_type. The signature now reads:

As with the other binary search algorithms lower\_bound requires that its input array is sorted in ascending order. Specifically, lower\_bound will return the *largest* index i with  $0 \le i \le n$  such that for each index k with  $0 \le k \le i$  the condition  $a[k] \le val$  holds. This specification makes lower\_bound a bit tricky to use as a search algorithm:

- If lower\_bound returns n then for each index i with 0 <= i < n holds a[i] < val. Thus, val is not contained in a.
- If, however, lower\_bound returns an index r with 0 <= r < n then we can only be sure that a[i] < val holds for 0 <= i < r and that val <= a[i] holds for r <= i < n.

#### 5.1.1. Formal specification of lower\_bound

The ACSL specification of lower\_bound is shown in Listing 5.2.

```
/*@
  requires \valid_read(a + (0..n-1));
  requires Sorted(a, n);

  assigns \nothing;

  ensures result: 0 <= \result <= n;
  ensures left: StrictUpperBound(a, 0, \result, val);
  ensures right: LowerBound(a, \result, n, val);
  */
  size_type
lower_bound(const value_type* a, size_type n, value_type val);</pre>
```

Listing 5.2: Formal specification of lower\_bound

- The preconditions express, by using the predicate Sorted, that the values in the (valid) array need to be sorted in ascending order.
- The postconditions formalize the central properties, mentioned above, of the return value of lower bound.

 $<sup>^{28}</sup>$ See http://www.sgi.com/tech/stl/lower\_bound.html.

### 5.1.2. Implementation of lower\_bound

Our implementation of lower\_bound is shown in Listing 5.3. Each iteration step narrows down the range that contains the sought-after result. The loop invariants express that in each iteration step all indices less than the temporary left bound left contain values smaller than val and all indices not less than the temporary right bound right contain values not smaller than val.

```
size_type
lower_bound(const value_type* a, size_type n, value_type val)
  size_type left = 0;
  size_type right = n;
  size_type middle = 0;
  / * @
    loop invariant bound: 0 <= left <= right <= n;</pre>
    loop invariant left: StrictUpperBound(a, 0, left, val);
    loop invariant right: LowerBound(a, right, n, val);
    loop assigns middle, left, right;
    loop variant right - left;
  while (left < right)</pre>
    middle = left + (right - left) / 2;
    if (a[middle] < val)</pre>
      left = middle + 1;
    }
    else
      right = middle;
  return left;
```

Listing 5.3: Implementation of lower\_bound

# 5.2. The upper\_bound algorithm

The upper\_bound<sup>29</sup> algorithm is a version of the binary\_search algorithm closely related to lower\_bound of Section 5.1.

The signature reads:

In contrast to the lower\_bound algorithm the upper\_bound algorithm locates the *largest* index i with 0 <= i <= n such that for each index k with 0 <= k < i the condition a[k] <= val holds. This means:

- If upper\_bound returns n then we can only be sure that for each index 0 <= i < n the relationship a[i] <= val.
- If upper\_bound returns an index r with 0 <= r < n then we can be sure that val < a [i] holds for i where r <= i < n. Thus, if upper\_bound returns 0 then we know that val is not contained in a.

## 5.2.1. Formal specification of upper\_bound

The ACSL specification of upper\_bound is shown in Listing 5.4.

```
requires \valid_read(a + (0..n-1));
requires Sorted(a, n);

assigns \nothing;

ensures result: 0 <= \result <= n;
ensures left: UpperBound(a, 0, \result, val);
ensures right: StrictLowerBound(a, \result, n, val);

*/
size_type
upper_bound(const value_type* a, size_type n, value_type val);</pre>
```

Listing 5.4: Formal specification of upper\_bound

The specification is quite similar to the specification of lower\_bound (see Listing 5.2). The difference can be seen in the postconditions. As we are searching for the upper bound this time, upper\_bound has to ensures that

- all indices less than the returned one belong to elements are less than or equal to val
- all indices greater than or equal to the returned one belong to elements that are greater than val.

<sup>&</sup>lt;sup>29</sup>See http://www.sgi.com/tech/stl/upper\_bound.html.

### 5.2.2. Implementation of upper\_bound

Our implementation of upper\_bound is shown in Listing 5.5.

The loop invariants express that for each iteration step all indices less than the temporary left bound left contain values not greater than val and all indices not less than the temporary right bound right contain values greater than val.

```
size_type
upper_bound(const value_type* a, size_type n, value_type val)
  size_type left = 0;
 size_type right = n;
  size_type middle = 0;
  /*@
    loop invariant bound: 0 <= left <= right <= n;</pre>
    loop invariant left: UpperBound(a, 0, left, val);
    loop invariant right: StrictLowerBound(a, right, n, val);
    loop assigns middle, left, right;
    loop variant right - left;
  */
  while (left < right)</pre>
    middle = left + (right - left) / 2;
    if (a[middle] <= val)</pre>
      left = middle + 1;
    else
      right = middle;
  return right;
```

Listing 5.5: Implementation of upper\_bound

# 5.3. The equal\_range algorithm

The equal\_range algorithm is one of the four binary search algorithms of the STL. For our purposes we have modified the generic implementation<sup>30</sup> to that of an array of type value\_type. Moreover, instead of a pair of iterators, our version of equal\_range returns a pair of indices. To be more precisely, the return type of equal\_range is the struct size\_type\_pair from Listing 5.6. Thus, the signature of equal\_range now reads:

As with the other binary search algorithms equal\_range requires that its input array is sorted in ascending order. The specification of equal\_range states that it *combines* the results of the algorithms lower\_bound (Section 5.1) and upper\_bound (Section 5.2).

## 5.3.1. Formal specification of equal\_range

The ACSL specification of equal range is shown in Listing 5.6.

```
struct spair
 size_type first;
 size_type second;
};
typedef struct spair size_type_pair;
 requires \valid_read(a + (0..n-1));
 requires Sorted(a, n);
 assigns \nothing;
 ensures result: 0 <= \result.first <= \result.second <= n;</pre>
 ensures left: StrictUpperBound(a, 0, \result.first, val);
 ensures middle: ConstantRange(a, \result.first,
                                    \result.second, val);
 ensures right: StrictLowerBound(a, \result.second, n, val);
 */
size_type_pair
equal_range(const value_type* a, size_type n, value_type val);
```

Listing 5.6: Formal specification of equal\_range

The preconditions express that the values in the (valid) array need to be sorted in ascending order.

The postconditions express that the pair of indices (f, s) returned by equal\_range satisfy the following properties:

<sup>30</sup> See http://www.sgi.com/tech/stl/equal\_range.html.

- $0 \le f \le s \le n$
- the set of indices  $[f, s) = \{i \mid f \le i < s\}$  is the *largest* set for which a[i] = val holds

#### 5.3.2. Implementation of equal\_range

Our implementation of equal\_range is shown in Listing 5.7. We call the two functions lower\_bound and upper\_bound and return their respective results as a pair. However, instead of doing this straightforward, we use the auxiliary function  $make_pair^{31}$  and formulate an assertion for its arguments  $first \leq second$ . Using this assertion simplifies the task of *automatically* proving the postcondition in Listing 5.6.

```
/ * @
    assigns \nothing;
    ensures \result.first == first;
    ensures \result.second == second;
*/
size_type_pair make_pair(size_type first, size_type second)
  size_type_pair pair;
 pair.first = first;
 pair.second = second;
  return pair;
}
size_type_pair
equal_range(const value_type* a, size_type n, value_type val)
  size_type first = lower_bound(a, n, val);
  size_type second = upper_bound(a, n, val);
  //@ assert aux: second < n ==> val < a[second];</pre>
  return make_pair(first, second);
```

Listing 5.7: Implementation of equal\_range

In an earlier version of this document we had proven the similar assertion first <= second with the interactive theorem prover Coq. After reviewing this proof we formulated the new assertion aux that uses a fact from the postcondition of upper\_bound (Listing 5.4). The benefit of this reformulation is that both the assertion aux and the postcondition first <= second can now be verified automatically.

<sup>&</sup>lt;sup>31</sup>This functions is modelled after the C++ template function std::make\_pair.

# 5.4. The binary\_search algorithm

The binary\_search algorithm is one of the four binary search algorithms of the STL. For our purposes we have modified the generic implementation<sup>32</sup> to that of an array of type value\_type. The signature now reads:

Again, binary\_search requires that its input array is sorted in ascending order. It will return **true** if there exists an index i in a such that a[i] == val holds.<sup>33</sup>

## 5.4.1. Formal specification of binary\_search

The ACSL specification of binary\_search is shown in Listing 5.8.

Listing 5.8: Formal specification of binary\_search

Note that we can use our previously introduced predicate <code>HasValue</code> (see Page 34) in Listing 5.9. It is interesting to compare this specification with that of find in Listing 3.12. Both find and <code>binary\_search</code> allow to determine whether a value is contained in an array. The fact that the C++ standard library requires that <code>find</code> has <code>linear</code> complexity whereas <code>binary\_search</code> must have a <code>logarithmic</code> complexity can currently not be expressed with ACSL.

 $<sup>^{32}\</sup>mathbf{See}$  http://www.sgi.com/tech/stl/binary\_search.html.

<sup>&</sup>lt;sup>33</sup>To be more precise: The C++ standard library requires that  $(a[i] \le val) \& \& (val \le a[i])$  holds. For our definition of value\_type (see Section 1.2) this means that val equals a[i].

```
/*@
  requires \valid_read(a + (0..n-1));
  requires Sorted(a, n);

  assigns \nothing;

  ensures result: \result <==> HasValue(a, n, val);
  */
bool binary_search(const value_type* a, size_type n, value_type val);
```

Listing 5.9: Formal specification of binary\_search using the HasValue predicate

## 5.4.2. Implementation of binary\_search

Our implementation of binary\_search is shown in Listing 5.10.

```
bool binary_search(const value_type* a, size_type n, value_type val)
{
    size_type i = lower_bound(a, n, val);
    return i < n && a[i] <= val;
}</pre>
```

Listing 5.10: Implementation of binary\_search

The function binary\_search first calls lower\_bound from Section 5.1. Remember that if lower\_bound returns an index 0 <= i < n then we can be sure that val <= a[i] holds.

# 6. Mutating algorithms

Let us now turn our attention to another class of algorithms, viz. *mutating* algorithms, i.e., algorithms that change one or more ranges. In Frama-C, you can explicitly specify that, e.g., entries in an array a may be modified by a function f, by including the following *assigns clause* into the f's specification:

```
assigns a[0..length-1];
```

The expression length-1 refers to the value of length when f is entered, see [9, Section 2.3.2]. Below are the seven example algorithms we will discuss next.

- **swap** (Section 6.1 on Page 70) exchanges two values.
- **fill** (Section 6.2 on Page 72) initializes each element of an array by a given fixed value.
- **swap\_ranges** (Section 6.3 on Page 74) exchanges the contents of the arrays of equal length, element by element. We use this example to present "modular verification", as swap\_ranges reuses the verified properties of swap.
- **copy** (Section 6.4 on Page 76) copies a source array to a destination array.
- reverse\_copy and reverse (Sections 6.5 and 6.6 on Pages 78 and 80, respectively) reverse an array. Whereas reverse\_copy copies the result to a separate destination array, the reverse algorithm works in place.
- **rotate\_copy** (Section 6.7 on Page 82) rotates a source array by m positions and copies the results to a destination array.
- **replace\_copy** (Section 6.8 on Page 84) copies a source array to a destination array, but substitutes each occurrence of a given old value by a given **new** value.
- **remove\_copy** copies a source array to a destination array, but omits each occurrence of a given value. We provide two specifications for remove\_copy:
  - First we provide a relatively simple contract that omits, however, an important aspect of the informal specification (see Section 6.9 on Page 86).
  - In Section 6.10 (Page 88) we show how the missing part of the specification can be expressed.
- iota (Section 6.11 on Page 94) writes consecutive integers into an array. Here we have do deal with possible integer overflows when iota is executed.

# 6.1. The swap algorithm

The swap algorithm<sup>34</sup> in the C++ STL exchanges the contents of two variables. Similarly, the iter\_swap algorithm<sup>35</sup> exchanges the contents referenced by two pointers. Since C and hence ACSL, does not support an & type constructor ("declarator"), we will present an algorithm that processes pointers and refer to it as swap.

#### 6.1.1. Formal specification of swap

The ACSL specification for the swap function is shown in Listing 6.1.

```
/*@
  requires \valid(p);
  requires \valid(q);

  assigns *p;
  assigns *q;

  ensures *p == \old(*q);
  ensures *q == \old(*p);

*/
void swap(value_type* p, value_type* q);
```

Listing 6.1: Formal specification of swap

The preconditions which formalize by the requires-clause states that both argument pointers to the swap function must be dereferenceable.

The assigns-clauses formalize that the swap algorithm modifies only the entries referenced by the pointers p and q. Nothing else may be altered. In general, when more than one *assigns clause* appears in a function's specification, it permitted to modify any of the referenced locations. However, if no *assigns clause* appears at all, the function is free to modify any memory location, see [9, Section 2.3.2]. To forbid a function to do any modifications outside its scope, a clause

```
assigns \nothing;
```

must be used, as we practised in the example specifications in Chapter 3.

Upon termination of swap the entries must be mutually exchanged. We can express those post-conditions by using the ensures-clause. The expression \old(\*p) refers to the pre-state of the function contract, whereas by default, a postcondition refers the values after the functions has been terminated.

<sup>&</sup>lt;sup>34</sup>See http://www.sgi.com/tech/stl/swap.html.

<sup>35</sup> See http://www.sqi.com/tech/stl/iter swap.html.

# 6.1.2. Implementation of swap

Listing 6.2 shows the usual straight-forward implementation of swap. No interspersed ACSL is needed to get it verified by Frama-C.

```
void swap(value_type* p, value_type* q)
{
  value_type save = *p;
  *p = *q;
  *q = save;
}
```

Listing 6.2: Implementation of swap

# 6.2. The fill algorithm

The fill algorithm in the C++ Standard Library initializes general sequences with a particular value. For our purposes we have modified the generic implementation<sup>36</sup> to that of an array of type value\_type. The signature now reads:

```
void fill(value_type* a, size_type n, value_type val);
```

## 6.2.1. Formal specification of fill

Listing 6.3 shows the formal specification of fill in ACSL. We can express the postcondition of fill simply by using the predicate ConstantRange from Listing 4.3.

```
/*@
  requires valid: \valid(a + (0..n-1));

  assigns a[0..n-1];

  ensures constant: ConstantRange(a, 0, n, val);
  */
void fill(value_type* a, size_type n, value_type val);
```

Listing 6.3: Formal specification of fill

<sup>&</sup>lt;sup>36</sup>See http://www.sgi.com/tech/stl/fill.html

### 6.2.2. Implementation of fill

Listing 6.4 shows an implementation of fill.

Listing 6.4: Implementation of fill

The loop invariant bound is necessary to prove that each access to the range a occurs with valid indices. The loop invariant constant expresses that for each iteration the array is filled with the value of val up to the index i of the iteration. Note that we use here again the predicate ConstantRange from Listing 4.3.

# 6.3. The swap\_ranges algorithm

The swap\_ranges algorithm<sup>37</sup> in the C++ STL exchanges the contents of two expressed ranges element-wise. After translating C++ reference types and iterators to C, our version of the original signature reads:

```
void swap_ranges(value_type* a, size_type n, value_type* b);
```

We do not return a value since it would equal n, anyway.

This function refers to the previously discussed algorithm swap. Thus, swap\_ranges serves as another example for "modular verification". The specification of swap will be automatically integrated into the proof of swap\_ranges.

### 6.3.1. Formal specification of swap\_ranges

Listing 6.5 shows an ACSL specification for the swap\_ranges algorithm.

```
requires valid_a: \valid(a + (0..n-1));
requires valid_a: \valid(b + (0..n-1));
requires sep: \separated(a+(0..n-1), b+(0..n-1));

assigns a[0..n-1];
assigns b[0..n-1];
ensures equal_a: EqualRanges{Here,Old}(a, n, b);
ensures equal_b: EqualRanges{Old,Here}(a, n, b);
*/
void swap_ranges(value_type* a, size_type n, value_type* b);
```

Listing 6.5: Formal specification of swap\_ranges

The swap\_ranges algorithm works correctly only if a and b do not overlap. Because of that fact we use the separated-clause to tell Frama-C that a and b must not overlap.

With the assigns-clause we postulate that the swap\_ranges algorithm alters the elements contained in two distinct ranges, modifying the corresponding elements and nothing else.

The postconditions of swap\_ranges specify that the content of each element in its post-state must equal the pre-state of its counterpart. We can use the predicate EqualRanges (see Listing 3.2) together with the label old and Here to express the postcondition of swap\_ranges. In our specification in Listing 6.5, for example, we specify that the array a in the memory state that corresponds to the label Here is equal to the array b at the label old. Since we are specifying a postcondition Here refers to the post-state of swap\_ranges whereas old refers to the pre-state.

<sup>&</sup>lt;sup>37</sup>See http://www.sgi.com/tech/stl/swap\_ranges.html.

### 6.3.2. Implementation of swap\_ranges

Listing 6.6 shows an implementation of swap\_ranges together with the necessary loop annotations.

```
void swap_ranges(value_type* a, size_type n, value_type* b)
{
    /*@
    loop invariant bound: 0 <= i <= n;
    loop invariant equal_a: EqualRanges{Here,Pre}(a, i, b);
    loop invariant equal_b: EqualRanges{Here,Pre}(b, i, a);

    loop invariant unchanged_a: Unchanged{Here,Pre}(a, i, n);
    loop invariant unchanged_b: Unchanged{Here,Pre}(b, i, n);

    loop assigns i, a[0..n-1], b[0..n-1];
    loop variant n-i;
    */
    for (size_type i = 0; i < n; ++i)
    {
        swap(a+i, b+i);
    }
}</pre>
```

Listing 6.6: Implementation of swap\_ranges

For the postcondition of the specification in Listing 6.5 to hold, our loop invariants must ensure that at each iteration all of the corresponding elements that have already been visited are swapped.

Note that there are two additional loop invariants which claim that all the elements that have not visited yet equal their original values. This a workaround that allows us to prove the postconditions of swap\_ranges despite the fact that the loop assigns is coarser than it should be. The predicate Unchanged from Listing 6.7 is used to express this property.

Listing 6.7: The predicate Unchanged

# 6.4. The copy algorithm

The copy algorithm in the C++ Standard Library implements a duplication algorithm for general sequences. For our purposes we have modified the generic implementation<sup>38</sup> to that of a range of type value\_type. The signature now reads:

```
void copy(const value_type* a, size_type n, value_type* b);
```

Informally, the function copies every element from the source range a to the destination range b.

#### 6.4.1. Formal specification of copy

The ACSL specification of copy is shown in Listing 6.8. The copy algorithm expects that the ranges a and b are valid for reading and writing, respectively. Also important is that the ranges do not overlap, this property is expressed with the separated-clause in our specification.

```
/*@
  requires valid_a: \valid_read(a + (0..n-1));
  requires valid_b: \valid(b + (0..n-1));
  requires sep: \separated(a + (0..n-1), b + (0..n-1));

  assigns b[0..n-1];

  ensures equal: EqualRanges{Here, Here}(a, n, b);
  */
  void copy(const value_type* a, const size_type n, value_type* b);
```

Listing 6.8: Formal specification of copy

Furthermore the function copy assigns the elements from the source range a to the destination range b, modifying the memory of the elements pointed to by b. Again, we can use the EqualRanges predicate from Section 3.1 to express that the array a equals b after copy has been called. Nothing else must be altered. To state this we use the assigns-clause.

Note the similarities in the specifications of copy and swap\_ranges (Section 6.3).

<sup>&</sup>lt;sup>38</sup>See http://www.sqi.com/tech/stl/copy.html.

### 6.4.2. Implementation of copy

Listing 6.9 shows an implementation of the copy function.

```
void copy(const value_type* a, size_type n, value_type* b)
{
    /*@
    loop invariant bound: 0 <= i <= n;
    loop invariant equal: EqualRanges{Here, Here}(a, i, b);
    loop assigns i, b[0..n-1];
    loop variant n-i;
    */
    for (size_type i = 0; i < n; ++i)
    {
        b[i] = a[i];
    }
}</pre>
```

Listing 6.9: Implementation of copy

Here are some remarks on its loop invariants.

For the postcondition to be true, we must ensure that for every element i, the comparison a[i] == b[i] is true. This can be expressed by using the EqualRanges predicate.

The assigns clause ensures that nothing but the range b[0..i-1] and the loop variable i is modified. In order to prove the termination of the loop for every possible n we use the loop variant n-i and cover it with an assertion.

# 6.5. The reverse\_copy algorithm

The reverse\_copy<sup>39</sup> algorithm of the C++ Standard Library invert the order of elements in a sequence. reverse\_copy does not change the input sequence and copies its result to the output sequence. For our purposes we have modified the generic implementations to that of a range of type value\_type. The signature now reads:

```
void reverse_copy(const value_type* a, size_type n, value_type* b);
```

### 6.5.1. Formal specification of reverse\_copy

Informally, reverse\_copy copies the elements from the array a into array b such that the copy is a reverse of the original array. Thus, after calling reverse\_copy the following conditions shall be satisfied.

```
b[0] == a[n-1]

b[1] == a[n-2]

\vdots \vdots \vdots

b[n-1] == a[0]
```

In order to concisely formalize these condition we write the two (overloaded) predicates Reversed that are shown in Listing 6.10.

Listing 6.10: Predicate Reversed

<sup>&</sup>lt;sup>39</sup>See http://www.sgi.com/tech/stl/reverse\_copy.html.

The ACSL specification of reverse\_copy is shown in Listing 6.11.

```
/*@
  requires valid_a: \valid_read(a + (0..n-1));
  requires valid_b: \valid(b + (0..n-1));
  requires sep: \separated(a + (0..n-1), b + (0..n-1));

  assigns b[0..(n-1)];

  ensures reverse: Reversed{Here, Here}(a, n, b);
  */
  void reverse_copy(const value_type* a, size_type n, value_type* b);
```

Listing 6.11: Formal specification of reverse\_copy

The postcondition states that the contents of a was copied reversely to b.

### 6.5.2. Implementation of reverse\_copy

Listing 6.12 shows an implementation of the reverse\_copy function.

```
void reverse_copy(const value_type* a, size_type n, value_type* b)
{
    /*@
    loop invariant bound: 0 <= i <= n;
    loop invariant reverse: Reversed{Here, Here}(b, n, a, 0, i);
    loop assigns i, b[0..n-1];
    loop variant n-i;
    */
    for (size_type i = 0; i < n; ++i)
    {
        b[i] = a[n-1-i];
    }
}</pre>
```

Listing 6.12: Implementation of reverse\_copy

For the postcondition to be true, we must ensure that for every element i, the comparison b[i] = a[n-1-i] holds. This is formalized by the loop invariants. You can see that it is very similar to the postcondition in Listing 6.11.

# 6.6. The reverse algorithm

The  $reverse^{40}$  algorithm of the C++ Standard Library invert the order of elements in a sequence. The reverse algorithm works in place, meaning, that it modifies its input sequence. For our purposes we have modified the generic implementations to that of a range of type  $value\_type$ . The signature now reads:

```
void reverse(value_type* a, size_type n);
```

### 6.6.1. Formal specification of reverse

The ACSL specification for the reverse function is shown in listing 6.13.

```
/*@
  requires valid: \valid(a + (0..n-1));

  assigns a[0..(n-1)];

  ensures reverse: Reversed{Here,Old}(a, n, a);
  */
  void reverse(value_type* a, size_type n);
```

Listing 6.13: Formal specification of reverse

In the postcondition we use again the predicate Reversed from Listing 6.10.

<sup>&</sup>lt;sup>40</sup>See http://www.sgi.com/tech/stl/reverse.html.

### 6.6.2. Implementation of reverse

Listing 6.14 shows an implementation of the reverse function where the elements of the first half of the array are swapped with the corresponding elements of the second half. Note the assertion for the variable half in the loop body.

```
void reverse(value_type* a, size_type n)
{
   const size_type half = n / 2;

   /*@
   loop invariant bound: 0 <= i <= half;

   loop invariant left: Reversed{Here,Pre} (a, n, a, 0, i);
   loop invariant middle: Unchanged{Here,Pre} (a, i, n - i);
   loop invariant right: Reversed{Here,Pre} (a, n, a, n-i, n);

   loop assigns i, a[0..n-1];
   loop variant half - i;

   */
   for (size_type i = 0; i < half; ++i)
   {
        //@ assert 0 < half ==> i < n-1-i;
        swap(&a[i], &a[n-1-i]);
   }
}</pre>
```

Listing 6.14: Implementation of reverse

We reuse the predicates Reversed (Listing 6.10) and Unchanged (Listing 6.7) in order to write concise loop invariants.

# 6.7. The rotate\_copy algorithm

The rotate\_copy algorithm in the C++ Standard Library rotates a sequence by m positions and copies the results to another same sized sequence. For our purposes we have modified the generic implementation<sup>41</sup> to that of a range of type value\_type. The signature now reads:

Informally, the first m elements of the array a become the last m elements of the array b whereas the last n-m elements of the array a become the first n-m elements of the array b. Figure 6.15 illustrates the effects of rotate\_copy.

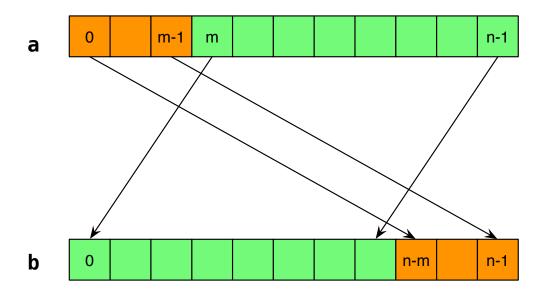


Figure 6.15.: Effects of rotate\_copy

<sup>41</sup> See http://www.sgi.com/tech/stl/rotate\_copy.html.

### 6.7.1. Formal specification of rotate\_copy

The ACSL specification of rotate\_copy is shown in Listing 6.16.

```
requires sub:     0 <= m <= n;
requires valid_a: \valid_read(a + (0..n-1));
requires valid_b: \valid(b + (0..n-1));
requires sep: \separated(a + (0..n-1), b + (0..n-1));

assigns b[0..(n-1)];

ensures first: EqualRanges{Here,Here}(a, m, b+(n-m));
ensures last: EqualRanges{Here,Here}(a+m, n-m, b);
*/
void rotate_copy(const value_type* a, size_type m, size_type n, value_type* b);</pre>
```

Listing 6.16: Formal specification of rotate\_copy

The separated-clause tells WP that a and b must not overlap.

### 6.7.2. Implementation of rotate\_copy

Listing 6.17 shows an implementation of the rotate\_copy function. The implementation simply calls the function copy twice.

Listing 6.17: Implementation of rotate\_copy

# 6.8. The replace\_copy algorithm

The replace\_copy algorithm of the C++ Standard Library substitutes specific elements from general sequences. Here, the general implementation<sup>42</sup> has been altered to process value\_type ranges. The new signature reads:

The replace\_copy algorithm copies the elements from the range a [0..n] to range b [0..n], substituting every occurrence of oldv by newv. The return value is the length of the range. As the length of the range is already a parameter of the function this return value does not contain new information. However, the length returned is analogous to the implementation of the C++ Standard Library.

#### 6.8.1. Formal specification of replace\_copy

The ACSL specification of replace\_copy is shown in Listing 6.18.

Listing 6.18: Formal specification of the replace\_copy

In particular, the specification requires that the arrays a and b are non-overlapping. The core functionality of replace\_copy is specified as follows: For every element a[j] of a, we have two possibilities. Either it equals oldv or it is different from oldv. In the former case, we specify that the corresponding element b[j] has to be substituted with newv. In the latter case, we specify that b[j] equals a[j].

<sup>&</sup>lt;sup>42</sup>See http://www.sgi.com/tech/stl/replace copy.html.

### 6.8.2. Implementation of replace\_copy

An implementation (including loop annotations) of replace\_copy is shown in Listing 6.19. Note how the structure of the loop annotations resembles the specification of Listing 6.18.

```
size_type replace_copy(const value_type* a, size_type n,
                        value_type* b,
                        value_type oldv, value_type newv)
{
  / * @
    loop invariant bounds: 0 <= i <= n;</pre>
    loop invariant change: \forall integer k; 0 <= k < i</pre>
         ==> \at(a[k], Pre) == oldv ==> b[k] == newv;
    loop invariant keep:
                            \forall integer k; 0 <= k < i
         ==> \lambda at(a[k], Pre) != oldv ==> b[k] == \lambda at(a[k], Pre);
    loop assigns i, b[0..n-1];
    loop variant n-i;
  */
  for (size_type i = 0; i < n; ++i)</pre>
    b[i] = (a[i] == oldv ? newv : a[i]);
  return n;
```

Listing 6.19: Implementation of the replace\_copy algorithm

# 6.9. The remove\_copy algorithm

The remove\_copy algorithm of the C++ Standard Library copies all elements of a sequence other than a given value. Here, the general implementation has been altered to process value\_type ranges.<sup>43</sup> The new signature reads:

The most important facts of this algorithms are

- 1. The return value is the length of the resulting range.
- 2. The remove\_copy algorithm copies elements that are not equal to v from range a [0..n -1] to the range b [0..\result-1].
- 3. The algorithm is stable, that is, the relative order of the elements in b is the same as in a.

### 6.9.1. Formal specification of remove\_copy

In order to achieve a concise specification we start with introducing two auxiliary predicates.

We use the predicate PreserveCount in Listing lst:preservecount in order to express that the number of elements that are different from v is the same in the source and target ranges.

Listing 6.20: The predicate PreserveCount

The predicate Unchanged from Listing 6.7 is used to express that remove\_copy does not change the elements  $b[\result..n-1]$ .

<sup>&</sup>lt;sup>43</sup>See http://www.sgi.com/tech/stl/remove\_copy.html.

#### Listing 6.21 shows our first attempt to specify remove\_copy.

```
requires valid_a: \valid_read(a + (0..n-1));
 requires valid_b:
                       \forall a = (0..n-1);
 requires sep:
                   \separated(a + (0..n-1), b+(0..n-1));
 assigns b[0..(n-1)];
 ensures bound:
                     0 \le \text{result} \le n;
 ensures result:
                     ensures removed:
                     !HasValue(b, \result, v);
 ensures kept:
                     PreserveCount(a, n, b, \result, v);
 ensures unchanged: Unchanged{Here,Old}(b, \result, n);
*/
size_type remove_copy(const value_type* a, size_type n,
                    value_type* b, value_type v);
```

Listing 6.21: Formal specification of remove\_copy

Note the re-use of predicate HasValue (Listing 3.11) to express that the target range does not contain the value v.

We use the logic function Count from Section 3.8 to express that only the elements that differ from v are copied:

- The return value of remove\_copy is the number of copied elements. This value must obviously be equal to n diminished by the number of occurrences of v in a [0..n-1].
- We use Count to express that any value that differs from v appears as often in the input range a [0..n-1] as in the output range b [0..n-1].

While this formal specification is a good representation of the informal requirements it does not capture that remove\_copy is *stable*: Given a range  $a = \{1, 0, 5, 2, 0, 5\}$  and a value v = 0 the expected result of remove\_copy is  $b = \{1, 5, 2, 5\}$ . However, since Count is invariant under permutations the specification in Listing 6.21 would also allow the result  $b = \{5, 5, 1, 2\}$ . In Section 6.10 we will discuss how the stability of remove\_copy can be captured in an ACSL specification.

### 6.9.2. Implementation of remove\_copy

An implementation of remove\_copy is shown in Listing 6.22. Not surprisingly, the logical function Count and the predicates PreserveCount and Unchanged also appear in the loop invariants of remove\_copy.

```
size_type remove_copy(const value_type* a, size_type n,
                   value_type* b, value_type v)
 size_type j = 0;
 /*@
   loop invariant bound: 0 \le j \le i \le n;
   loop invariant kept: PreserveCount(a, i, b, j, v);
   loop invariant unchanged: Unchanged{Here,Pre}(b, j, n);
   loop assigns i, j, b[0..n-1];
   loop variant n-i;
 */
 for (size_type i = 0; i < n; ++i)</pre>
   //@ assert EqualRanges{Here,Pre}(a, n);
   if (a[i] != v)
     b[j++] = a[i];
 return j;
```

Listing 6.22: Implementation of remove\_copy

# 6.10. Capturing the stability of remove\_copy

The most important facts of this algorithms are

- 1. The remove\_copy algorithm copies elements that are different from v from the range a [0..n-1] to a range beginning at b [0].
- 2. The return value is the number of copied elements.
- 3. The algorithm is stable, that is, the relative order of the elements in b is the same as in a.

A particular challenge in the specification of remove\_copy is how to express the stability of the removal. Figure 6.23 shows how remove\_copy is supposed to copy elements that differ from v from one range to the other.

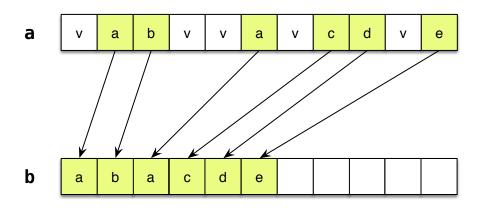


Figure 6.23.: Stability of remove\_copy

Figure 6.24 shows, with respect to array indices, how the elements different from v "slide" to positions with smaller indices. The main observation here is that an element slides as many positions down as there are elements in front of it that equal v.

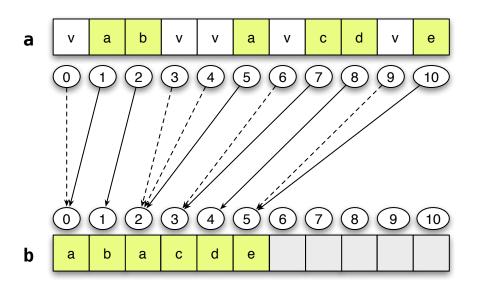


Figure 6.24.: Stability of remove\_copy with respect to indices

As it turns out, it is quite easy<sup>44</sup> to express this property using the previously introduced logic function Count (see Listing 3.24 on Page 43). We simply define in Listing 6.25 a logic function RemoveCount which subtracts from every position i the number of occurrences of v that come

<sup>&</sup>lt;sup>44</sup> To tell the truth, it took us quite some time to really understand how easy it is!

before i. Note that RemoveCount (a, v, i) equals the number of elements of a [0..i-1] that are copied to the destination range b [0..n-1] by remove\_copy.

```
/*@
 logic
    integer RemoveCount{L} (value_type* a, integer i, value_type v) =
      i - Count{L}(a, i, v);
 lemma RemoveCountEmpty:
     \forall value_type *a, v, integer i;
        i \le 0 ==> RemoveCount(a, i, v) == i;
  lemma RemoveCountHit:
     \forall value_type *a, v, integer i; a[i] == v ==>
       RemoveCount(a, i+1, v) == RemoveCount(a, i, v);
 lemma RemoveCountMiss:
     \forall value_type *a, v, integer i; a[i] != v ==>
        RemoveCount(a, i+1, v) == RemoveCount(a, i, v) + 1;
 lemma RemoveCountRead{L1,L2}:
    \forall value_type *a, v, integer i; EqualRanges {L1, L2} (a, i) ==>
        RemoveCount{L1}(a, i, v) == RemoveCount{L2}(a, i, v);
```

Listing 6.25: The logic function RemoveCount

Also, note that RemoveCount is defined for all integers, including those indices i where a[i] equals v (see the dashed lines in Figure 6.24). In the specification of remove\_copy we will, however, only use RemoveCount for indices where a[i] is different from v.

### 6.10.1. Formal specification of remove\_copy

The predicate StableRemove (Listing 6.26) uses RemoveCount to formally capture the stability with respect to corresponding elements of the source and target ranges.

Listing 6.26: The predicate StableRemove

Listing 6.27 shows improved specification of remove\_copy that also captures the required stability.

```
/ * @
 requires valid_a: \valid_read(a + (0..n-1));
 requires valid_b: \valid(b + (0..n-1));
 requires sep: \separated(a + (0..n-1), b+(0..n-1));
 assigns b[0..(n-1)];
 ensures bound:
                      0 <= \result <= n;</pre>
 ensures result:
                     \result == RemoveCount(a, n, v);
 ensures removed:
                     !HasValue(b, \result, v);
 ensures kept: PreserveCount(a, n, b, \result, v);
 ensures unchanged: Unchanged{Here,Old}(b, \result, n);
 ensures stable:
                      StableRemove(a, n, b, v);
size_type remove_copy(const value_type* a, size_type n,
                     value_type* b, value_type v);
```

Listing 6.27: Improved formal specification of remove\_copy

There are essentially two changes compared to the specification in Listing 6.21 on Page 87.

- 1. We now use RemoveCount in order to specify the expected return value in postcondition result.
- 2. We use StableRemove in the new postcondition stable. Here we exactly specify to which element in the output range b[0..n-1] an element of the input range a[0..n-1], that is different from v, is copied.

### 6.10.2. Implementation of remove\_copy

Listing 6.28 shows the additional loop annotations that are necessary to verify the stronger specification in Listing 6.27.

```
size_type remove_copy(const value_type* a, size_type n,
                  value_type* b, value_type v)
 size_type j = 0;
 / * @
   loop invariant unchanged: Unchanged{Here,Pre}(b, j, n);
   loop invariant stable: StableRemove(a, i, b, v);
   loop assigns i, j, b[0..n-1];
   loop variant n-i;
 */
 for (size_type i = 0; i < n; ++i)</pre>
   //@ assert EqualRanges{Here,Pre}(a, n);
   if (a[i] != v)
    b[j++] = a[i];
   }
 return j;
```

Listing 6.28: Implementation of remove\_copy with additional loop invariants

In order to prove the additional loop invariant stable we rely on the following monotonicity properties of RemoveCount. The proof of the lemmas in Listing 6.29 relies on the properties of Count that have been formulated in Listings 3.24 and 3.25.

```
/*@
lemma RemoveCountMonotonic :
    \forall value_type *a, v, integer m, n; 0 <= m <= n ==>
        RemoveCount(a, m, v) <= RemoveCount(a, n, v);

lemma RemoveCountStrictlyMonotonic :
    \forall value_type *a, v, integer n;
    \forall integer i; 0 <= i < n ==> a[i] != v ==>
        RemoveCount(a, i, v) < RemoveCount(a, n, v);
*/</pre>
```

Listing 6.29: Additional lemmas for RemoveCount

## 6.11. The iota algorithm

The iota algorithm in the C++ STL assigns sequentially increasing values to a range, where the start value is user defined. Our version of the original signature<sup>45</sup> reads:

```
void iota(value_type* a, size_type n, value_type val);
```

Starting at val, the function assigns consecutive integers to the range a. When specifying iota we must be careful to deal with possible overflows.

### 6.11.1. Formal specification of iota

The ACSL specification of iota is shown in Listing 6.30.

Note that the specification of iota refers to INT\_MAX which is defined in limits.h.

Listing 6.30: Formal specification of iota

At first, the algorithm requires that a is a valid range. In order to avoid integer overflows both the length n of the array and the sum val+n must not be greater than the constant INT\_MAX.

Upon termination, each element of a contains the sum of its index within a and the argument val.

<sup>&</sup>lt;sup>45</sup>See http://www.sgi.com/tech/stl/iota.html.

### 6.11.2. Implementation of iota

Listing 6.31 shows an implementation of the iota function.

Listing 6.31: Implementation of iota

The second loop invariant describes that in each iteration of the loop the current value val is equal to the sum of the value val in state of function entry and the loop index i (note the use of the \at clause here). This invariant is essential to prove the last invariant which represents the postcondition from our specification Listing 6.30.

# 7. The Stack data type

Originally, ACSL is tailored to the task of specifying and verifying one single C function at a time. However, in practice we are also faced with the task to implement a family of functions, usually around some sophisticated data structure, which have to obey certain rules of interdependence. In this kind of task, we are not interested in the properties of a single function (usually called "implementation details"), but in properties describing how several function play together (usually called "abstract interface description", or "abstract data type properties").

This chapter introduces a methodology to formally denote and verify the latter property sets using ACSL. For a more detailed discussion of our approach to the formal verification of Stack we refer to this thesis [15].

A *stack* is a data type that can hold objects and has the property that, if an object *a* is *pushed* on a stack *before* object *b*, then *a* can only be removed (*popped*) after *b*. A stack is, in other words, a *first-in*, *last-out* data type (see Figure 7.1). The *top* function of a stack returns the last element that has been pushed on a stack.

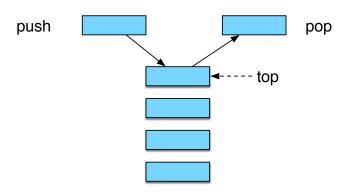


Figure 7.1.: Push and pop on a stack

We consider only stacks that have a finite *capacity*, that is, that can only hold a maximum number *c* of elements that is constant throughout their lifetime. This restriction allows us to define a stack without relying on dynamic memory allocation. When a stack is *created* or *initialized*, it contains no elements, i.e., its *size* is 0. The function *push* and *pop* increases and decreases the size of a stack by at most one, respectively.

# 7.1. Methodology overview

Figure 7.2 gives an overview of our methodology to specify and verify abstract data types (verification of one axiom shown only).

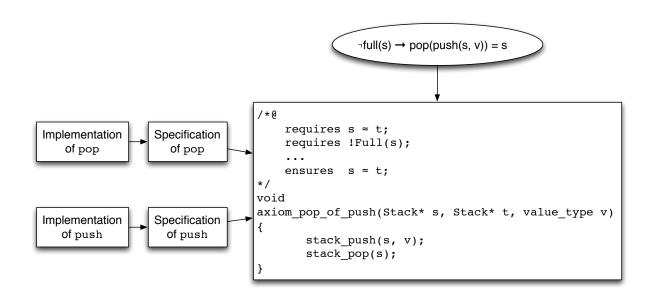


Figure 7.2.: Methodology Overview

What we will basically do is:

- 1. specify axioms about how the stack functions should interact with each other (Section 7.2),
- 2. define a basic implementation of C data structures (only one in our example, viz. struct Stack; see Section 7.3) and some invariants the instances of them have to obey (Section 7.4),
- 3. provide for each stack function an ACSL contract and a C implementation (Section 7.7),
- 4. verify each function against its contract (Section 7.7),
- 5. transform the axioms into ACSL-annotated C code (Section 7.8), and
- 6. verify that code, using access function contracts and data-type invariants as necessary (Section 7.8).

Section 7.5 provides an ACSL-predicate deciding whether two instances of a **struct** Stack are considered to be equal (indication by " $\approx$ " in Figure 7.2), while Section 7.6 gives a corresponding C implementation. The issue of an appropriate definition of equality of data instances is familiar to any C programmer who had to replace a faulty comparison **if** (s1 = s2) by the correct **if** (strcmp(s1, s2) = 0) to compare two strings **char** \*s1, \*s2 for equality.

### 7.2. Stack axioms

To specify the interplay of the stack access functions, we use a set of axioms<sup>46</sup>, all but one of them having the form of a conditional equation.

Let V denote an arbitrary type. We denote by  $S_c$  the type of stacks with capacity c > 0 of elements of type V. The aforementioned functions then have the following signatures.

init: 
$$S_c \to S_c$$
,  
push:  $S_c \times V \to S_c$ ,  
pop:  $S_c \to S_c$ ,  
top:  $S_c \to V$ ,  
size:  $S_c \to \mathbb{N}$ .

With  $\mathbb{B}$  denoting the *boolean* type we will also define two auxiliary functions

empty : 
$$S_c \to \mathbb{B}$$
,  
full :  $S_c \to \mathbb{B}$ .

To qualify as a stack these functions must satisfy the following rules which are also referred to as *stack axioms*.

#### 7.2.1. Stack initialization

After a stack has been initialized its size is 0.

$$size(init(s)) = 0. (7.1)$$

The auxiliary functions empty and full are defined as follows

$$empty(s)$$
, iff  $size(s) = 0$ , (7.2)

$$full(s)$$
, iff  $size(s) = c$ . (7.3)

We expect that for every stack s the following condition holds

$$0 \le \operatorname{size}(s) \le c. \tag{7.4}$$

<sup>&</sup>lt;sup>46</sup>There is an analogy in geometry: Euclid (e.g. [16]) invented the use of axioms there, but still kept definitions of *point*, *line*, *plane*, etc. Hilbert [17] recognized that the latter are not only unformalizable, but also unnecessary, and dropped them, keeping only the formal descriptions of relations between them.

#### 7.2.2. Adding an element to a stack

To push an element v on a stack the stack must not be full. If an element has been pushed on an eligible stack, its size increases by 1

$$\operatorname{size}(\operatorname{push}(s, v)) = \operatorname{size}(s) + 1, \qquad \text{if } \neg \operatorname{full}(s). \tag{7.5}$$

Moreover, the element pushed on a stack is the top element of the resulting stack

$$top(push(s, v)) = v, if \neg full(s). (7.6)$$

### 7.2.3. Removing an element from a stack

An element can only be removed from a non-empty stack. If an element has been removed from an eligible stack the stack size decreases by 1

$$size(pop(s)) = size(s) - 1,$$
 if  $\neg empty(s)$ . (7.7)

If an element is pushed on a stack and immediately afterwards an element is removed from the resulting stack then the final stack is equal to the original stack

$$pop(push(s, v)) = s, if \neg full(s). (7.8)$$

Conversely, if an element is removed from a non-empty stack and if afterwards the top element of the original stack is pushed on the new stack then the resulting stack is equal to the original stack.

$$push(pop(s), top(s)) = s, if \neg empty(s). (7.9)$$

#### 7.2.4. A note on exception handling

We don't impose a requirement on push (s, v) if s is a full stack, nor on pop (s) or top (s) if s is an empty stack. Specifying the behavior in such *exceptional* situations is a problem by its own; a variety of approaches is discussed in the literature. We won't elaborate further on this issue, but only give an example to warn about "innocent-looking" exception specifications that may lead to undesired results.

If we'd introduce an additional error value err in the element type V and require top(s) = err if s is empty, we'd be faced with the problem of specifying the behavior of push(s, err). At first glance, it would seem a good idea to have err just been ignored by push, i.e. to require

$$push(s, err) = s. (7.10)$$

However, we then could derive for any non-full and non-empty stack s, that

i.e. no such stacks could exist, or all **int** values would be equal.

### 7.3. The structure Stack and its associated functions

We now introduce one possible C implementation of the above axioms. It is centred around the C structure Stack shown in Listing 7.3.

```
struct Stack
{
   value_type* obj;

   size_type capacity;

   size_type size;
};

typedef struct Stack Stack;
```

Listing 7.3: Definition of type Stack

This struct holds an array obj of positive length called capacity. The capacity of a stack is the maximum number of elements this stack can hold. The field size indicates the number elements that are currently in the stack. See also Figure 7.4 which attempts to interpret this definition according to Figure 7.1.

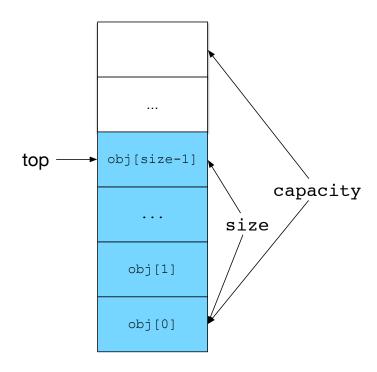


Figure 7.4.: Interpreting the data structure Stack

Based on the stack functions from Section 7.2, we declare in Listing 7.5 the following functions as part of our Stack data type.

```
void
            stack_init(Stack* s, value_type* a, size_type n);
bool
            stack_equal(const Stack* s, const Stack* t);
size_type
            stack_size(const Stack* s);
bool
            stack_empty(const Stack* s);
bool
            stack_full(const Stack* s);
           stack top(const Stack* s);
value type
            stack_push(Stack* s, value_type v);
void
void
            stack_pop(Stack* s);
```

Listing 7.5: Declaration of functions of type Stack

Most of these functions directly correspond to methods of the C++ std::stack template class.<sup>47</sup> The function stack\_equal corresponds to the comparison operator ==, whereas one use of stack\_init is to bring a stack into a well-defined initial state. The function stack\_full has no counterpart in std::stack. This reflects the fact that we avoid dynamic memory allocation, while std::stack does not.

### 7.4. Stack invariants

Not every possible instance of type Stack is considered a valid one, e.g., with our definition of Stack in Listing 7.3, Stack  $s = \{\{0,0,0,0\},4,5\}$  is not. Below, we will define an ACSL-predicate Valid that discriminates valid and invalid instances.

Before, we introduce in Listing 7.6 the auxiliary logical function Capacity and Size which we can use in specifications to refer to the fields capacity and size of Stack, respectively. This listing also contains the logical function Top which defines the array element with index size-1 as the top place of a stack. The reader can consider this as an attempt to hide implementation details from the specification.

<sup>&</sup>lt;sup>47</sup>See http://www.sgi.com/tech/stl/stack.html

```
//@ logic size_type Capacity{L} (Stack* s) = s->capacity;

//@ logic size_type Size{L} (Stack* s) = s->size;

//@ logic value_type* Storage{L} (Stack* s) = s->obj;

//@ logic value_type Top{L} (Stack* s) = s->obj[s->size-1];
```

Listing 7.6: The logical functions Capacity, Size and Top

We also introduce in Listing 7.7 two predicates that express the concepts of empty and full stacks by referring to a stack's size and capacity (see Equations (7.2) and (7.3)).

```
//@ predicate Empty{L}(Stack* s) = Size(s) == 0;
//@ predicate Full{L}(Stack* s) = Size(s) == Capacity(s);
```

Listing 7.7: Predicates for empty an full stacks

There are some obvious invariants that must be fulfilled by every valid object of type Stack:

- The stack capacity shall be strictly greater than zero (an empty stack is ok but a stack that cannot hold anything is not useful).
- The pointer obj shall refer to an array of length capacity.
- The number of elements size of a stack the must be non-negative and not greater than its capacity.

These invariants are formalized in the predicate Valid of Listing 7.8.

Listing 7.8: The predicate Valid

Note how the use of the previously defined logical functions and predicates allows us to define the stack invariant without directly referring to the fields of Stack. As we usually have to deal with a pointer s of type Stack we add the necessary \valid(s) to the predicate Valid.

# 7.5. Equality of stacks

Defining equality of instances of non-trivial data types, in particular in object-oriented languages, is not an easy task. The book *Programming in Scala*[18, Chapter 28] devotes to this topic a whole chapter of more than twenty pages. In the following two sections we give a few hints how ACSL and Frama-C can help to correctly define equality for a simple data type.

We consider two stacks as equal if they have the same size and if they contain the same objects. To be more precise, let s and t two pointers of type Stack, then we define the predicate Equal as in Listing 7.9.

```
/*@
    predicate Equal{S,T}(Stack* s, Stack* t) =
        Size{S}(s) == Size{T}(t) &&
        EqualRanges{S,T}(Storage{S}(s), Size{S}(s), Storage{T}(t));
*/
```

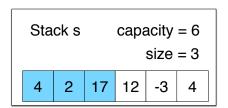
Listing 7.9: Equality of stacks

Our use of labels in Listing 7.9 makes the specification somewhat hard to read (in particular in the last line where we reuse the predicate EqualRanges from Page 27). However, this definition of Equal will allow us later to compare the same stack object at different points of a program. The logical expression  $Equal\{A,B\}$  (s,t) reads informally as: The stack object \*s at program point A equals the stack object \*t at program point B.

The reader might wonder why we exclude the capacity of a stack into the definition of stack equality. This approach can be motivated with the behavior of the method capacity of the class std::vector<T>. There, equal instances of type std::vector<T> may very well have different capacities.<sup>48</sup>

If equal stacks can have different capacities then, according to our definition of the predicate Full in Listing 7.7, we can have to equal stacks where one is full and the other one is not.

A finer, but very important point in our specification of equality of stacks is that the elements of the arrays s->obj and t->obj are compared only up to s->size and not up to s->capacity. Thus the two stacks s and t in Figure 7.10 are considered equal although there is are obvious differences in their internal arrays.



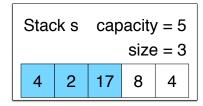


Figure 7.10.: Example of two equal stacks

<sup>&</sup>lt;sup>48</sup> See http://www.cplusplus.com/reference/vector/vector/capacity

If we define an equality relation (=) of objects for a data type such as Stack, we have to make sure that the following rules hold.

reflexivity 
$$\forall s \in S : s = s,$$
 (7.11a)

symmetry 
$$\forall s, t \in S : s = t \implies t = s,$$
 (7.11b)

transitivity 
$$\forall s, t, u \in S : s = t \land t = u \implies s = u.$$
 (7.11c)

Any relation that satisfies the conditions (7.11) is referred to as an *equivalence relation*. The mathematical set of all instances that are considered equal to some given instance s is called the equivalence class of s with respect to that relation.

Listing 7.11 shows a formalization of these three rules for the relation Equal; it can be automatically verified that they are a consequence of the definition of Equal in Listing 7.9.

```
/*@
lemma StackEqualReflexive{S} :
    \forall Stack* s; Equal{S,S}(s, s);

lemma StackEqualSymmetric{S,T} :
    \forall Stack *s, *t;
    Equal{S,T}(s, t) ==> Equal{T,S}(t, s);

lemma StackEqualTransitive{S,T,U}:
    \forall Stack *s, *t, *u;
    Equal{S,T}(s, t) && Equal{T,U}(t, u) ==> Equal{S,U}(s, u);
*/
```

Listing 7.11: Equality of stacks is an equivalence relation

The two stacks in Figure 7.10 show that an equivalence class of Equal can contain more than one element.<sup>49</sup> The stacks s and t in Figure 7.10 are also referred to as two *representatives* of the same equivalence class. In such a situation, the question arises whether a function that is defined on a set with an equivalence relation can be defined in such a way that its definition is *independent* of the chosen representatives.<sup>50</sup> We ask, in other words, whether the function is *well-defined* on the set of all equivalence classes of the relation Equal.<sup>51</sup> The question of well-definition will play an important role when verifying the functions of the Stack (see Section 7.7).

<sup>&</sup>lt;sup>49</sup>This is a common situation in mathematics. For example, the equivalence class of the rational number  $\frac{1}{2}$  contains infinitely many elements, viz.  $\frac{1}{2}$ ,  $\frac{2}{4}$ ,  $\frac{7}{14}$ , ....

<sup>&</sup>lt;sup>50</sup>This is why mathematicians have to *prove* that  $\frac{1}{2} + \frac{3}{5}$  equals  $\frac{7}{14} + \frac{3}{5}$ .

<sup>51</sup> See http://en.wikipedia.org/wiki/Well-definition.

# 7.6. Runtime equality of stacks

The function stack\_equal is the C equivalent for the Equal predicate. The specification of stack\_equal is shown in Listing 7.12. Note that this specifications explicitly refers to valid stacks.

```
/*@
    requires Valid(s);
    requires Valid(t);

    assigns \nothing;

    ensures \result == 1 <==> Equal{Here, Here}(s, t);
    ensures \result == 0 <==> !Equal{Here, Here}(s, t);

*/
bool stack_equal(const Stack* s, const Stack* t);
```

Listing 7.12: Specification of stack\_equal

The implementation of stack\_equal in Listing 7.13 compares two stacks according to the same rules of predicate Equal.

```
bool stack_equal(const Stack* s, const Stack* t)
{
   return (s->size == t->size) && equal(s->obj, s->size, t->obj);
}
```

Listing 7.13: Implementation of stack\_equal

#### 7.7. Verification of stack functions

In this section we verify the functions stack\_init (Section 7.7.1), stack\_size (Section 7.7.2), stack\_empty (Section 7.7.3), stack\_full (Section 7.7.4), stack\_top (Section 7.7.5), and stack\_push (Section 7.7.6) stack\_pop (Section 7.7.7), of the data type Stack. To be more precise, we provide for each of function stack\_foo:

- an ACSL specification of stack\_foo
- a C implementation of stack\_foo
- a C function  $stack_{foo_wd^{52}}$  accompanied by a an ACSL contract that expresses that the implementation of  $stack_{foo}$  is well-defined. Figure 7.14 shows our methodology for the verification of well-definition in the pop example, ( $\approx$ ) again indicating the user-defined stack equality.

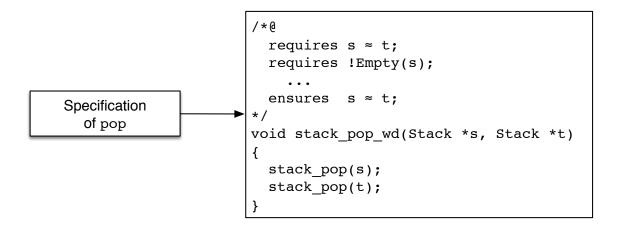


Figure 7.14.: Methodology for the verification of well-definition

Note that the specifications of the various functions will explicitly refer to the *internal state* of Stack. In Section 7.8 we will show that the *interplay* of these functions satisfy the stack axioms from Section 7.2.

<sup>&</sup>lt;sup>52</sup>The suffix \_wd stands for well definition.

#### 7.7.1. The function stack init

Listing 7.15 shows the ACSL specification of stack\_init. Note that our specification of the post-conditions contains a redundancy because a stack is empty if and only if its size is zero.

```
requires \valid(s);
requires 0 < capacity;
requires \valid(storage + (0..capacity-1));
requires \separated(s, storage + (0..capacity-1));

assigns s->obj;
assigns s->capacity;
assigns s->size;

ensures Valid(s);
ensures Capacity(s) == capacity;
ensures Size(s) == 0;
ensures Empty(s);
ensures Empty(s);
ensures Storage(s) == storage;
*/
void stack_init(Stack* s, value_type* storage, size_type capacity);
```

Listing 7.15: Specification of stack\_init

Listing 7.15 shows the implementation of stack\_init. It simply initializes obj and capacity with the respective value of the array and sets the field size to zero.

Listing 7.16: Implementation of stack\_init

#### 7.7.2. The function stack size

The function stack\_size is the runtime version of the logical function Size from Listing 7.6 on Page 103. The specification of stack\_size in Listing 7.17 simply states that stack\_size produces the same result as Size.

```
/*@
    requires Valid(s);

    assigns \nothing;

    ensures \result == Size(s);

*/
size_type stack_size(const Stack* s);
```

Listing 7.17: Specification of stack\_size

As in the definition of the logical function Size the implementation of stack\_size in Figure 7.18 simply returns the field size.

```
size_type stack_size(const Stack* s)
{
  return s->size;
}
```

Listing 7.18: Implementation of stack\_size

Listing 7.19 shows our check whether stack\_size is well-defined. Since stack\_size neither modifies the state of its Stack argument nor that of any global variable we only check whether it produces the same result for equal stacks. Note that we simply may use operator == to compare integers since we didn't introduce a nontrivial equivalence relation on that data type.

```
/*@
  requires Valid(s) && Valid(t);
  requires Equal{Here, Here}(s, t);

  assigns \nothing;

  ensures \result;
*/
bool stack_size_wd(const Stack* s, const Stack* t)
{
  return stack_size(s) == stack_size(t);
}
```

Listing 7.19: Well-definition of stack\_size

### 7.7.3. The function stack\_empty

The function stack\_empty is the runtime version of the predicate Empty from Listing 7.7 on Page 103.

```
requires Valid(s);

assigns \nothing;

ensures \result == 1 <==> Empty(s);
ensures \result == 0 <==> !Empty(s);
*/
bool stack_empty(const Stack* s);
```

Listing 7.20: Specification of stack\_empty

As in the definition of the predicate Empty the implementation of stack\_empty in Figure 7.21 simply checks whether the size of the stack is zero.

```
bool stack_empty(const Stack* s)
{
   return stack_size(s) == 0;
}
```

Listing 7.21: Implementation of stack\_empty

Listing 7.22 shows our check whether stack\_empty is well-defined.

```
/*@
  requires Valid(s);
  requires Valid(t);
  requires Equal{Here, Here}(s, t);

  assigns \nothing;

  ensures \result;
*/
bool stack_empty_wd(const Stack* s, const Stack* t)
{
  return stack_empty(s) == stack_empty(t);
}
```

Listing 7.22: Well-definition of stack\_empty

#### 7.7.4. The function stack full

The function stack\_full is the runtime version of the predicate Full from Listing 7.7 on Page 103.

```
requires Valid(s);

assigns \nothing;

ensures \result == 1 <==> Full(s);
ensures \result == 0 <==> !Full(s);
*/
bool stack_full(const Stack* s);
```

Listing 7.23: Specification of stack\_full

As in the definition of the predicate Full the implementation of stack\_full in Figure 7.24 simply checks whether the size of the stack equals its capacity.

```
bool stack_full(const Stack* s)
{
   return stack_size(s) == s->capacity;
}
```

Listing 7.24: Implementation of stack\_full

Note that with our definition of stack equality (Section 7.5) there can be equal stack with different capacities. Accordingly, there can exist equal stacks where one is full while the other is not.

### 7.7.5. The function stack\_top

The function stack\_top is the runtime version of the logical function Top from Listing 7.6 on Page 103. The specification of stack\_top in Listing 7.25 simply states that for non-empty stacks stack\_top produces the same result as Top which in turn just returns the element obj[size-1] of Stack.

```
/*@
    requires Valid(s);

    assigns \nothing;

    ensures !Empty(s) ==> \result == Top(s);

*/
value_type stack_top(const Stack* s);
```

Listing 7.25: Specification of stack\_top

For a non-empty stack the implementation of stack\_top in Figure 7.26 simply returns the element obj[size-1]. Note that our implementation of stack\_top does not crash when it is applied to an empty stack. In this case we return the first element of the internal, non-empty array obj. This is consistent with our specification of stack\_top which only refers to non-empty stacks.

```
value_type stack_top(const Stack* s)
{
   if (!stack_empty(s))
   {
      return s->obj[s->size - 1];
   }
   else
   {
      return s->obj[0];
   }
}
```

Listing 7.26: Implementation of stack\_top

Listing 7.27 shows our check whether stack\_top well-defined for non-empty stacks.

```
requires Valid(s) && !Empty(s);
requires Valid(t) && !Empty(t);
requires Equal{Here, Here}(s, t);

assigns \nothing;
ensures \result;
*/
bool stack_top_wd(const Stack* s, const Stack* t)
{
   return stack_top(s) == stack_top(t);
}
```

Listing 7.27: Well-definition of stack\_top

Since our axioms in Section 7.2 did not impose any behavior on the behavior of stack\_top for empty stacks, we prove the well-definition of stack\_top only for nonempty stacks.

### 7.7.6. The function stack\_push

Listing 7.28 shows the ACSL specification of the function stack\_push. In accordance with Axiom (7.5), stack\_push is supposed to increase the number of elements of a non-full stack by one. The specification also demands that the value that is pushed on a non-full stack becomes the top element of the resulting stack (see Axiom (7.6)).

```
/ * a
  requires Valid(s);
  assigns s->size;
  assigns s->obj[s->size];
 behavior not_full:
   assumes !Full(s);
   assigns s->size;
    assigns s->obj[s->size];
   ensures Valid(s);
    ensures Size(s) == Size{Old}(s) + 1;
    ensures Top(s) == v;
    ensures !Empty(s);
    ensures Unchanged{Pre, Here} (Storage(s), 0, Size{Pre}(s));
    ensures Storage(s) == Storage(Old)(s);
    ensures Capacity(s) == Capacity(Old)(s);
 behavior full:
    assumes Full(s);
   assigns \nothing;
   ensures Valid(s);
    ensures Full(s);
    ensures Unchanged{Pre, Here} (Storage(s), 0, Size(s));
    ensures Size(s) == Size{Old}(s);
    ensures Storage(s) == Storage(Old)(s);
    ensures Capacity(s) == Capacity(Old)(s);
  complete behaviors;
  disjoint behaviors;
void stack_push(Stack* s, value_type v);
```

Listing 7.28: Specification of stack\_push

The implementation of stack\_push is shown in Listing 7.29. It checks whether its argument is a non-full stack in which case it increases the field size by one but only after it has assigned the function argument to the element obj[size].

```
void stack_push(Stack* s, value_type v)
{
   if (!stack_full(s))
   {
     s->obj[s->size++] = v;
   }
}
```

Listing 7.29: Implementation of stack\_push

stack\_push does not return a value but rather modifies its argument. For the well-definition of stack\_push we therefore check whether it turns equal stacks into equal stacks. However, equality of the stack arguments is not sufficient for a proof that stack\_push is well-defined. We must also ensure that there is no *aliasing* between the two stacks. Otherwise modifying one stack could modify the other stack in unexpected ways. In order to express that there is no aliasing between two stacks, we define in Listing 7.30 the predicate Separated.

Listing 7.30: The predicate Separated

Listing 7.31 shows our formalization of well-definition for stack\_push.

```
requires Valid(s) && Valid(t);
requires Equal{Here, Here}(s, t);
requires !Full(s) && !Full(t);
requires Separated(s, t);

ensures Valid(s) && Valid(t);
ensures Equal{Here, Here}(s, t);

*/
void stack_push_wd(Stack* s, Stack* t, value_type v)
{
   stack_push(s, v);
   stack_push(t, v);
}
```

Listing 7.31: Well-definition of stack\_push

### 7.7.7. The function stack\_pop

Listing 7.32 shows the ACSL specification of the function stack\_pop. In accordance with Axiom (7.7) stack\_pop is supposed to reduce the number of elements in a non-empty stack by one. In addition to the requirements imposed by the axioms, our specification demands that stack\_pop changes no memory location if it is applied to an empty stack.

```
/ * a
 requires Valid(s);
 assigns s->size;
 ensures Valid(s);
 behavior not_empty:
   assumes !Empty(s);
   assigns s->size;
   ensures Size(s) == Size{Old}(s) - 1;
   ensures !Full(s);
   ensures Unchanged(Pre, Here) (Storage(s), 0, Size(s));
    ensures Storage(s) == Storage(Old)(s);
    ensures Capacity(s) == Capacity(Old)(s);
 behavior empty:
   assumes Empty(s);
   assigns \nothing;
   ensures Empty(s);
   ensures Unchanged{Pre, Here} (Storage(s), 0, Size(s));
   ensures Size(s) == Size{Old}(s);
    ensures Storage(s) == Storage{Old}(s);
    ensures Capacity(s) == Capacity{Old}(s);
 complete behaviors;
 disjoint behaviors;
void stack_pop(Stack* s);
```

Listing 7.32: Specification of stack\_pop

The implementation of stack\_pop is shown in Listing 7.33. It checks whether its argument is a non-empty stack in which case it decreases the field size by one.

```
void stack_pop(Stack* s)
{
   if (!stack_empty(s))
   {
     --s->size;
   }
}
```

Listing 7.33: Implementation of stack\_pop

Listing 7.34 shows our check whether stack\_pop is well-defined. As in the case of stack\_push we use the predicate Separated (Listing 7.30) in order to express that there is no aliasing between the two stack arguments.

```
requires Valid(s);
requires Valid(t);
requires Equal{Here, Here}(s, t);
requires Separated(s, t);

assigns s->size;
assigns t->size;
ensures Valid(s);
ensures Valid(t);
ensures Equal{Here, Here}(s, t);
*/
void stack_pop_wd(Stack* s, Stack* t)
{
   stack_pop(s);
   stack_pop(t);
}
```

Listing 7.34: Well-definition of stack\_pop

### 7.8. Verification of stack axioms

In this section we show that the stack functions defined in Section 7.7 satisfy the stack Axioms of Section 7.2.

The annotated code has been obtained from the axioms in a fully systematical way. In order to transform a condition equation  $p \to s = t$ :

- Generate a clause requires p.
- Generate a clause requires  $x1 == \dots == xn$  for each variable x with n occurrences in s and t.
- Change the *i*-th occurrence of x to xi in s and t.
- Translate both terms *s* and *t* to reversed polish notation.
- Generate a clause ensures y1 == y2, where y1 and y2 denote the value corresponding to the translated s and t, respectively.

This makes it easy to implement a tool that does the translation automatically, but yields a slightly longer contract in our example.

### 7.8.1. Resetting a stack

Our formulation in ACSL/C of the Axiom in Equation (7.1) on Page 99 is shown in Listing 7.35.

```
/*@
  requires \valid(s);
  requires 0 < n;
  requires \valid(a + (0..n-1));
  requires \separated(s, a + (0..n-1));

  assigns s->obj, s->capacity, s->size;

  ensures Valid(s);
  ensures \result == 0;
  */
  size_type axiom_size_of_init(Stack* s, value_type* a, size_type n)
{
    stack_init(s, a, n);
    return stack_size(s);
}
```

Listing 7.35: Specification of Axiom (7.1)

### 7.8.2. Adding an element to a stack

Axioms (7.5) and (7.6) describe the behavior of a stack when an element is added.

```
/*@
  requires Valid(s);
  requires !Full(s);

  assigns s->size;
  assigns s->obj[s->size];

  ensures Valid(s);
  ensures \result == Size{Old}(s) + 1;

*/
size_type axiom_size_of_push(Stack* s, value_type v)
{
  stack_push(s, v);
  return stack_size(s);
}
```

Listing 7.36: Specification of Axiom (7.5)

Except for the assigns clauses, the ACSL-specification refers only to encapsulating logical functions and predicates defined in Section 7.4. If ACSL would provide a means to define encapsulating logical functions returning also sets of memory locations, the expressions in assigns clauses would not need to refer to the details of our Stack implementation.<sup>53</sup> As an alternative, assigns clauses could be omitted, as long as the proofs are only used to convince a human reader.

```
/*@
  requires Valid(s);
  requires !Full(s);

  assigns s->size;
  assigns s->obj[s->size];

  ensures \result == v;

*/
value_type axiom_top_of_push(Stack* s, value_type v)
{
  stack_push(s, v);
  return stack_top(s);
}
```

Listing 7.37: Specification of Axiom (7.6)

<sup>&</sup>lt;sup>53</sup>In [9, § 2.3.4], a powerful sublanguage to build memory location set expressions is defined, lacking, however, just function definitions.

### 7.8.3. Removing an element from a stack

This section shows the Listings for Axioms 7.7, 7.8 and 7.9 which describe the behavior of a stack when an element is removed.

```
/*@
  requires Valid(s) && !Empty(s);
  assigns s->size;
  ensures \result == Size{Old}(s) - 1;
  */
  size_type axiom_size_of_pop(Stack* s)
{
    stack_pop(s);
    return stack_size(s);
}
```

Listing 7.38: Specification of Axiom (7.7)

```
/*@
  requires Valid(s) && !Full(s);
  assigns s->size, s->obj[s->size];
  ensures Equal{Pre,Here}(s, s);
  */
  void axiom_pop_of_push(Stack* s, value_type v)
{
    stack_push(s, v);
    stack_pop(s);
}
```

Listing 7.39: Specification of Axiom (7.8)

```
/*@
  requires Valid(s) && !Empty(s);
  assigns s->size, s->obj[s->size-1];
  ensures Equal{Here,Old}(s, s);
  */
  void axiom_push_of_pop_top(Stack* s)
{
    const value_type val = stack_top(s);
    stack_pop(s);
    stack_push(s, val);
}
```

Listing 7.40: Specification of Axiom (7.9)

## 8. Formal verification

In this chapter we introduce the formal verification tools used in this tutorial. We will afterwards present to what extent the examples from Chapters 3–7 could be deductively verified.

Within Frama-C, the WP plug-in [2] enables deductive verification of C programs that have been annotated with the ANSI/ISO-C Specification Language (ACSL)[1]. The WP plug-in uses weakest precondition computations to generate proof obligations. To formally prove the ACSL properties, these proof obligations can be submitted to external automatic theorem provers or interactive proof assistants.

For our experiments we used the WP plugin-in of Sodium release of Frama- $C^{54}$  together with the automatic theorem provers Alt-Ergo (version 0.99.1)<sup>55</sup> and CVC4 (version 1.4)<sup>56</sup> and the interactive theorem prover Coq (version 8.4.5)<sup>57</sup>.

Here are the options of Frama-C that we used and that influence the number of generated proof obligations.

```
-wp
-wp-model Typed+ref
-wp-rte
-wp-split
```

For each algorithm we list in the following tables the number of generated verification conditions (VC), as well as the percentage of proven verification conditions. The tables show that all verification conditions could be verified. Moreover, with the exception of the more precise specification of remove\_copy (Section 6.10) all algorithms are completely verified by the automatic theorem provers (Qed<sup>58</sup>, Alt-Ergo and CVC4). We discharged the remaining few proof obligations of remove\_copy with Coq (see Table 8.4).

Please note that the number of proven verification conditions do *not* reflect on the quality/strength of the individual provers. The reason for that is that we "pipe" each verification condition sequentially through Qed, Alt-Ergo, CVC4 and Coq. If one prover succeeds, then the remaining provers are not called.

<sup>&</sup>lt;sup>54</sup>See http://frama-c.com/install-sodium-20150201.html

<sup>&</sup>lt;sup>55</sup>See http://alt-ergo.lri.fr

<sup>&</sup>lt;sup>56</sup>For the use of CVC4 (see http://cvc4.cs.nyu.edu/web) we relied on version 0.85 of the Why3 platform for deductive program verification (see http://why3.lri.fr).

<sup>&</sup>lt;sup>57</sup>See https://coq.inria.fr

<sup>&</sup>lt;sup>58</sup>Qed is the simplification engine of WP

Algorithm	Section		VCs		Individual Provers					
Algorithm	Section	All Proven (%) Qed Al		Alt-Ergo	CVC4	Coq				
equal	3.1	22	22	100	12	10	0	0		
equal(IsEqual)	3.1	18	18	100	9	9	0	0		
equal (mismatch)	3.2	7	7	100	6	1	0	0		
mismatch	3.2	28	28	100	16	12	0	0		
find	3.3	27	27	100	15	12	0	0		
find (2)	3.4	27	27	100	17	10	0	0		
find_first_of	3.5	34	34	100	23	11	0	0		
adjacent_find	3.6	36	36	100	20	16	0	0		
search	3.7	59	59	100	31	28	0	0		
count	3.8	31	31	100	18	13	0	0		

Table 8.1.: Results for non-mutating algorithms

Algorithm	Section		VCs		Individual Provers				
Aigoriumi	Section	All	Proven	(%)	Qed	Alt-Ergo	CVC4	Coq	
properties of operator <	4.1	6	6	100	4	2	0	0	
max_element	4.2	45	45	100	29	16	0	0	
max_element(2)	4.3	45	45	100	29	16	0	0	
max_seq	4.4	8	8	100	5	3	0	0	
min_element	4.5	45	45	100	29	16	0	0	

Table 8.2.: Results for maximum and minimum algorithms

Algorithm	Section		VCs		Individual Provers					
Mgoritimi	Section	All	Proven	(%)	Qed	Alt-Ergo	CVC4	Coq		
lower_bound	5.1	36	36	100	20	15	1	0		
upper_bound	5.2	36	36	100	18	17	1	0		
equal_range	5.3	22	22	100	17	5	0	0		
binary_search	5.4	12	12	100	8	4	0	0		
binary_search(2)	5.4	15	15	100	8	7	0	0		

Table 8.3.: Results for binary search algorithms

Algorithm	Section		VCs		Individual Provers					
Algorithm	Section	All	Proven	(%)	, ,		CVC4	Coq		
fill	6.2	17	17	100	7	9	1	0		
swap	6.1	8	8	100	8	0	0	0		
swap_ranges	6.3	38	38	100	9	23	6	0		
сору	6.4	18	18	100	7	10	1	0		
reverse_copy	6.5	21	21	100	7	13	1	0		
reverse	6.6	38	38	100	11	24	3	0		
rotate_copy	6.7	20	20	100	4	15	1	0		
replace_copy	6.8	33	33	100	14	15	4	0		
remove_copy	6.9	48	48	100	23	20	3	2		
remove_copy(2)	6.10	62	62	100	23	28	4	7		
iota	6.11	22	22	100	12	9	1	0		

Table 8.4.: Results for mutating algorithms

Algorithm	Section		VCs		Individual Provers					
Aigorium	Section	All	Proven	(%)	Qed	Alt-Ergo	CVC4	Coq		
stack_equal	7.6	22	22	100	7	15	0	0		
stack_init	7.7.1	14	14	100	3	11	0	0		
stack_size	7.7.2	6	6	100	1	5	0	0		
stack_empty	7.7.3	10	10	100	5	5	0	0		
stack_full	7.7.4	11	11	100	5	6	0	0		
stack_top	7.7.5	17	17	100	7	10	0	0		
stack_push	7.7.6	56	56	100	41	12	3	0		
stack_pop	7.7.7	43	43	100	31	12	0	0		

Table 8.5.: Results for Stack functions

Algorithm	Section		VCs		Individual Provers					
Aigurumi	Section	All	Proven	(%)	Qed	Alt-Ergo	CVC4	Coq		
stack_size_wd	7.7.2	12	12	100	8	4	0	0		
stack_empty_wd	7.7.3	12	12	100	8	4	0	0		
stack_top_wd	7.7.5	12	12	100	8	4	0	0		
stack_push_wd	7.7.6	8	8	100	1	4	3	0		
stack_pop_wd	7.7.7	12	12	100	6	3	3	0		

Table 8.6.: Results for the well-definition of the Stack functions

Algorithm	Section		VCs			Individual Provers				
Aigorithii	Section	All	Proven	(%)	Qed	Alt-Ergo	CVC4	Coq		
axiom_size_of_init	7.8.1	19	19	100	16	3	0	0		
axiom_size_of_push	7.8.2	14	14	100	9	5	0	0		
axiom_top_of_push	7.8.2	13	13	100	8	5	0	0		
axiom_pop_of_push	7.8.3	12	12	100	7	5	0	0		
axiom_size_of_pop	7.8.3	11	11	100	7	4	0	0		
axiom_push_of_pop_top	7.8.3	17	17	100	11	6	0	0		

Table 8.7.: Results for Stack axioms

# A. History

This chapter describes the changes in previous versions of this document. For the most recent changes see Section .

The version numbers of this document are related to the versioning of Frama-C [3]. The versions of Frama-C are named consecutively after the elements of the periodic table. Therefore, our version numbering (X.Y.Z) are constructed as follows:

- **X** the major number of our tutorial is the atomic number<sup>59</sup> of the chemical element after which Frama-C is named.
- **Y** the Frama-C subrelease number
- **Z** the subrelease number of this tutorial

## **A.1. New in Version 10.1.1 (January 2015)**

- use option -wp-split to create simpler (but more) proof obligations
- simplify definition of predicate Count
- add new predicates for lower and upper bounds of ranges and use it in
  - max element
  - min\_element
  - lower\_bound
  - upper\_bound
  - equal\_range
  - fill
- use a new auxiliary assertion in equal\_range to enable the complete *automatic* verification of this algorithm
- add predicate Unchanged and use it to simplify the specification of several algorithms
  - swap\_ranges
  - reverse
  - remove\_copy

<sup>&</sup>lt;sup>59</sup>See http://en.wikipedia.org/wiki/Atomic\_number

- stack\_push and stack\_push\_wd
- stack\_pop and stack\_pop\_wd
- add predicate Reverse and use it for more concise specifications of
  - reverse\_copy
  - reverse
- several changes in the two versions of remove\_copy
  - use predicate HasValue instead of logic function Count
  - add predicate PreserveCount
  - reformulate logic function RemoveCount
  - add predicate StableRemove
  - add predicate RemoveCountMonotonic
  - add predicate RemoveCountJump
- use overloading in ACSL to create shorter logic names for Stack
- remove unnecessary labels in several Stack functions

## A.2. New in Version 10.1.0 (September 2014)

- remove additional labels in the assumes clauses of some stack function that were necessary due to an error in Oxygen
- provide a second version of remove\_copy in order to explain the specification of the stability of the algorithms
- coarsen loop assigns of mutating algorithms
- temporarily remove the unique\_copy algorithm

## A.3. New in Version 9.3.1 (not published)

- specify bounds of the return value of count and fix reads clause of Count predicate
- use an auxiliary function make\_pair in the implementation of equal\_range
- provide more precise loop assigns clauses for the mutating algorithms
  - simplify implementation of fill
  - removed the ensures \valid(p) clause in specification of swap
  - simplify implementation of swap\_ranges
  - simplify implementation of copy

- fix implementation of reverse\_copy after discovering an undefined behavior
- new implementation of reverse that uses a simple **for**-loop
- simplify implementation of replace\_copy
- refactor specification and simplify implementation of remove\_copy
- remove work-around with Pre-label in assumes clauses of stack\_push and stack\_pop

## A.4. New in Version 9.3.0 (December 2013)

- adjustments for *Fluorine* release of Frama-C
- swap now ensures that its pointer arguments are valid after the function has been called
- change definition of size\_type to unsigned int
- change implementation of the iota algorithm. The content of the field a is calculated by increasing the value val instead of sum val+i.
- change implementation of fill.
- The specification/implementation of Stack has been revised by Kim Völlinger [15] and now has a much better verification rate.

## A.5. New in Version 8.1.0 (not published)

- simplified specification and loop annotations of replace\_copy
- add binary search variant equal\_range
- greatly simplified specification of remove\_copy by using the logic function Count
- remove chapter on heap operations

### **A.6.** New in Version 7.1.1 (August 2012)

- improvements with respect to several suggestions and comments of Yannick Moy, e.g., specification refinements of remove\_copy, reverse\_copy and iota
- restricted verification of algorithms to WP with Alt-Ergo
- replaced deprecated \valid\_range by \valid in definition of IsValidRange
- fixed inconsistencies in the description of the Stack data type
- binary search algorithms can now be proven without additional axioms for integer division
- changed axioms into lemmas to document that provability is expected, even if not currently granted

• adopted new Fraunhofer logo and contact email

## A.7. New in Version 7.1.0 (December 2011)

- changed to Frama-C Nitrogen
- changed to Why 2.30
- · discussed both plug-ins WP and Jessie
- removed swap\_values algorithm

## A.8. New in Version 6.1.0 (not published)

- changed definition of Stack
- renamed reset\_stack to init\_stack

## A.9. New in Version 5.1.1 (February 2011)

- prepared algorithms for checking by the new WP plug-in of Frama-C
- changed to Alt-Ergo Version 0.92, Z3 Version 2.11 and Why 2.27
- added List of user-defined predicates and logic functions
- added remarks on the relation of logical values in C and ACSL
- rewrote section on equal and mismatch
- used a simpler logical function to count elements in an array
- added search algorithm
- added chapter to unite the maximum/minimum algorithms
- added chapter for the new lower\_bound, upper\_bound and binary\_search algorithms
- added swap\_values algorithm
- used IsEqual predicate for swap\_ranges and copy
- added reverse\_copy and reverse algorithms
- added rotate\_copy algorithm
- added unique\_copy algorithm
- added chapter on specification of the data type Stack

### A.10. New in Version 5.1.0 (May 2010)

- adaption to Frama-C Boron and Why 2.26 releases
- changed from the -jessie-no-regions command-line option to using the pragma SeparationPolicy(value)

## A.11. New in Version 4.2.2 (May 2010)

- changed to latest version of CVC3 2.2
- added additional remarks to our implementation of find\_first\_of
- changed size\_type (int) to integer in all specifications
- removed casts in fill and iota
- renamed is\_valid\_range as IsValidRange
- renamed has\_value as HasValue
- renamed predicate all\_equal as IsEqual
- extended timeout to 30 sec.

## A.12. New in Version 4.2.1 (April 2010)

- added alternative specification of remove\_copy algorithm that uses ghost variables
- added Chapter on heap operations
- added mismatch algorithm
- moved algorithms adjacent\_find and min\_element from the appendix to chapter on non-mutating algorithms
- added typedefs size\_type and value\_type and used them in all algorithms
- renamed is\_valid\_int\_range as is\_valid\_range

## A.13. New in Version 4.2.0 (January 2010)

- complete rewrite of previous release
- adaption to Frama-C Beryllium 2 release

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