



# **Evaluation of Deep Neural Network Domain Adaptation Techniques** for Image Recognition

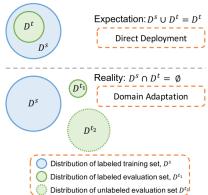
**Advanced Machine Learning** 

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#### Introduction

- Deep learning algorithms assume that training and testing data is drawn from independent and identical distributions (i.i.d.).
- This assumption rarely holds true.
- Reducing the domain shift between distribution of datasets is called domain adaptation





# Types of domain adaptation

Different types of domain adaptation are:

- Unsupervised domain adaptation
- Semi supervised domain adaptation
- Weakly supervised domain adaptation
- Few shot learning

Further Unsupervised domain adaptation based on technique used:

- Adversarial Methods
- Distance-based Methods
- Incremental Methods
- Optimal Transport
- Other Methods



#### Selected methods

We have chosen four different unsupervised domain adaptation techniques for image classification tasks

- Deep coral:Correlation alignment for deep domain adaptation. (DeepCORAL)[2]
- Deep domain confusion: Max-imizing for domain invariance.
   (DDC)[3]
- Conditional adversarial domain adaptation. (CDAN)[4]
- CDAN with Entropy conditioning. (CDAN+E) [4]



#### **Dataset: Office-31**

- Office-31 dataset contains a total of 4652 images collected from three different sources.
- Images are collected are online web (amazon), digital SLR (dslr) camera and webcam. Office-31 dataset have 31 classes <sup>1</sup> in total.
- Images from the web: These are collected from amazon website. We call this
  dataset as amazon dataset (A). It has around 2800 images and with an average of
  90 images per each class. The resolution of the images are each 300x300 pixels.
- Images from a dslr camera: These are captured from dslr camera in real
  environments. We call this dataset as dslr dataset (D). It has total of 498 images and
  with an average of 16 images per each class. The resolution of the images are
  1000x1000 pixels.
- Images from a webcam: These are collected from a webcam with lower resolution
  and will have more noise. From now this is called as webcam dataset (W). It has
  around 795 images and with an average of 25 images per each class. The resolution
  of the images is HxW where H and W are varying between 400 and 600.

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The 31 classes are: backpack, bike, bike helmet, bookcase, bottle, calculator, desk chair, desk lamp, computer, file cabinet, headphones, keyboard, laptop, letter tray, mobile phone, monitor, mouse, mug, notebook, pen, phone, printer, projector, puncher, ring binder, ruler, scissors, speaker, stapler, tape, and trash can.

## **Dataset: Office-31**

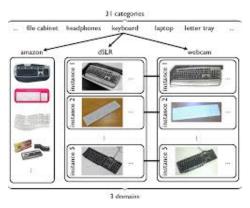


Figure 2: Sample of keyboard category images for all the three domains[5]



# **DeepCORAL**

- Domain adaptation method based on Correlation Alignment (CORAL) [2]
- CORAL attempts to increase performance in domain shift by aligning the second order statistics between both data distributions.
- DeepCORAL extends the capacities of CORAL by learning a non-linear mapping using convolutional neural networks and a differentiable loss function

$$I_{CORAL} = \frac{1}{4d^2} \|C_S - C_T\|_F^2 \tag{1}$$

$$C_S = \frac{1}{n_S - 1} \left( D_S^T D_S - \frac{1}{n_S} (1^T D_S)^T (1^T D_S) \right)$$
 (2)

$$C_T = \frac{1}{n_T - 1} \left( D_T^T D_T - \frac{1}{n_T} (1^T D_T)^T (1^T D_T) \right)$$
 (3)

$$l=l_{CLASS}+\sum_{i=1}^{t}\lambda_{i}l_{CORAL}$$
 (4)



# **DeepCORAL**

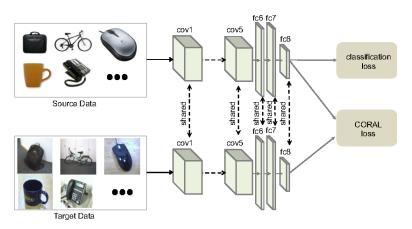


Figure 3: DeepCORAL neural network architecture.



# **DDC**

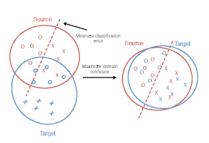
- Deep domain confusion is another domain adaptation method that uses a deep neural network to learn a non-linear transformation [3]
- Distance between data distributions is minimized using a standard metric called Maximum Mean Discrepancy (MMD)
- DDC intializes its weights with a pre-trained AlexNet and adds bottleneck layer for regularization

$$MMD(X_S, X_T) = \left| \frac{1}{\|X_S\|} \sum \phi(x_s) - \frac{1}{\|X_T\|} \sum \phi(x_t) \right|$$
 (5)

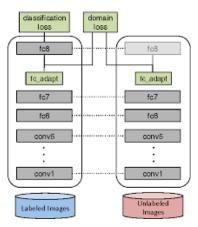
$$l = l_C(X_L, y) + \lambda MMD^2(X_S, X_T)$$
(6)



## **DDC**



(a) DDC approach: Maximizing domain confusion can lead to good performance in target domains.



(b) DDC neural network architecture.



## **CDAN**

- Adversarial domain adaptation method.
- Solves two issues
  - can handle datasets which have complex multi modal distributions.
  - can handle uncertain information difference between source and target data.

•

$$E(G) = \mathbb{E}_{(x_i^s, y_i^s) \sim D_s} L(G(x_i^s), y_i^s) \tag{7}$$

$$E(D,G) = -\mathbb{E}_{x_i^s \sim D_s} log[D(f_i^s, g_i^s)] - \mathbb{E}_{x_i^t \sim D_t} log[D(f_j^t, g_j^t)]$$
(8)

where L(.) is the cross entropy loss. Now CDAN is described in minmax optimization problem as

$$minG E(G) - \lambda E(D, G)$$
 and  $minG E(D, G)$  (9)

where  $\lambda$  is a hyper-parameter that balances the domain adversary and source risk.



# **CDAN**

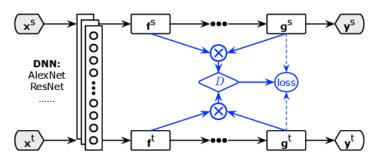


Figure 5: Architecture of CDAN for domain adaptation.[4]

## CDAN+E

- CDAN+E is an variant of CDAN.
- Additional involvement of Entropy Conditioning.
- So uncertainty corresponding to classifier predictions are quantified using entropy criteria explained in Eq. 10.

$$H(g) = -\sum_{c=1}^{C} g_c \log g_c \tag{10}$$

where C is number of classes and  $g_c$  is probability of predictions. Now training examples are prioritized by an entropy-aware weight given by

$$w(H(g)) = 1 + e^{-H(g)}$$
(11)



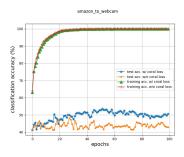
#### Results

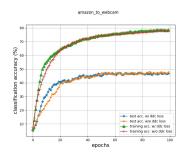
Testing accuracy for different domain adaptation techniques across different datasets.

	$A\toW$	$A\toD$	$W \rightarrow A$	$W\toD$	$D\toA$	D  o W
No Adaptation	43.1±2.5	49.2±3.7	35.6±0.6	94.2±3.1	35.4±0.7	90.9±2.4
DeepCORAL	49.5±2.7	40.0±3.3	$38.3 \pm 0.4$	$74.4 \pm 4.3$	38.5±1.5	89.1±4.4
DDC	41.7±9.1	· —	_	· —	_	_
CDAN	$44.9 \pm 3.3$	$49.5 \pm 4.6$	$34.8 \pm 2.4$	$93.3 \pm 3.4$	$32.9 \pm 2.4$	$88.3 \pm 3.8$
CDAN+E	48.7±7.5	53.7±4.7	35.3±2.7	93.6±3.4	$33.9 \pm 2.2$	87.7±4.0

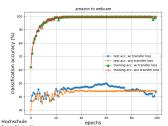


## Results

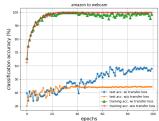














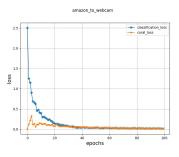


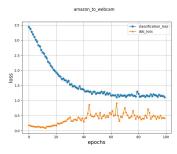
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(d) CDAN+E

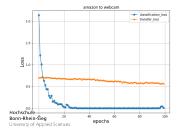
## Results

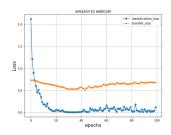












#### **Conclusions**

- Proof of concept of domain adaptation: improved accuracy performance using domain adaptation losses
- Accuracy can actually decrease or remain the same for other shifts (room for improvement)
- Hyperparameter tunning: carry out trainings for 200 epochs (not 100), understand better the behavior of our losses
- We gained hands-on experience building end-to-end pipelines using deep neural networks for domain adaptation tasks

#### References

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## Thank You

