# Understanding the AI ecosystem

Day 2. What everyone is doing

## Understanding the AI ecosystem

- State of Al
- Current work in Al governance
- Main stakeholders and their POV on AI safety

# State of AI

And its transformative impact

#### Number of Al Publications by Field of Study (Excluding Other AI), 2010-21

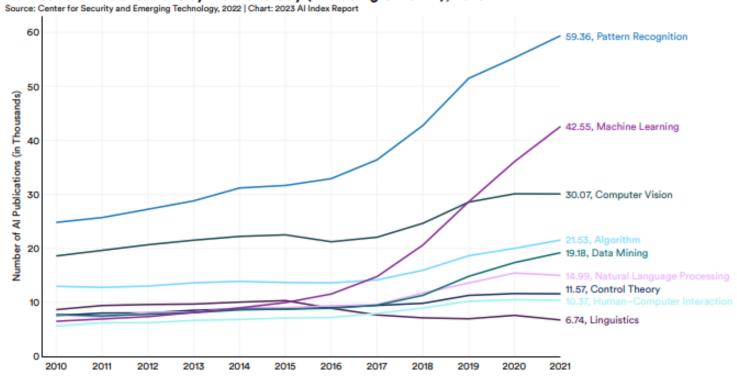
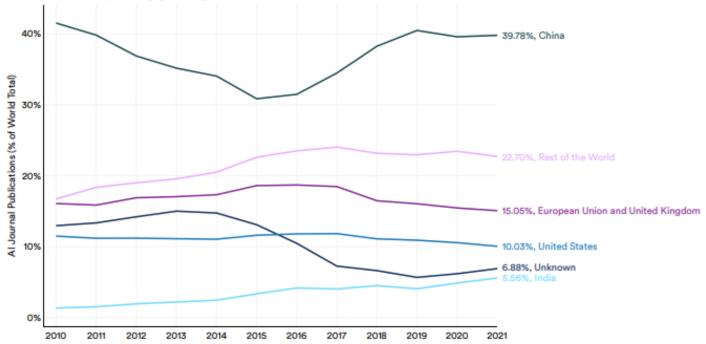


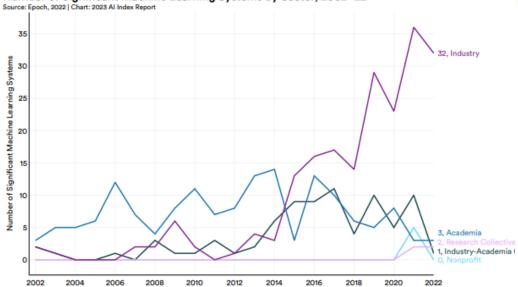
Figure 1.1.3

## Al Journal Publications (% of World Total) by Geographic Area, 2010–21 Source: Center for Security and Emerging Technology, 2022 | Chart: 2023 Al Index Report

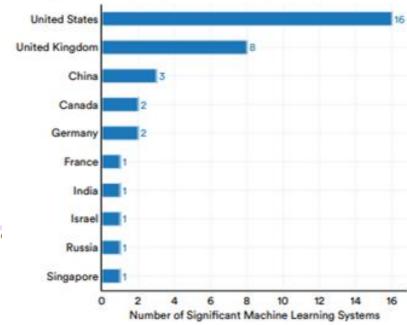




#### Number of Significant Machine Learning Systems by Sector, 2002-22

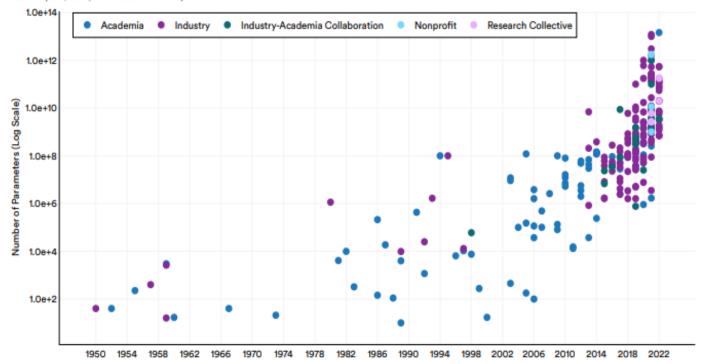


### Number of Significant Machine Learning Systems by Country, 2022 Source: Epoch and Al Index, 2022 | Chart: 2023 Al Index Report



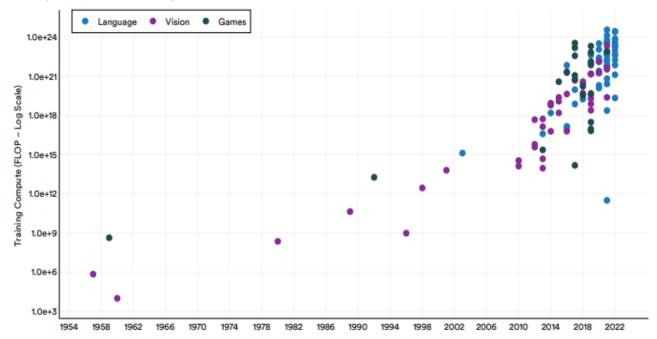
#### Number of Parameters of Significant Machine Learning Systems by Sector, 1950–2022

Source: Epoch, 2022 | Chart: 2023 Al Index Report



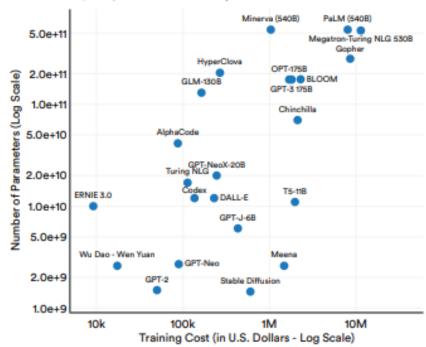
#### Training Compute (FLOP) of Significant Machine Learning Systems by Domain, 1950–2022

Source: Epoch, 2022 | Chart: 2023 Al Index Report



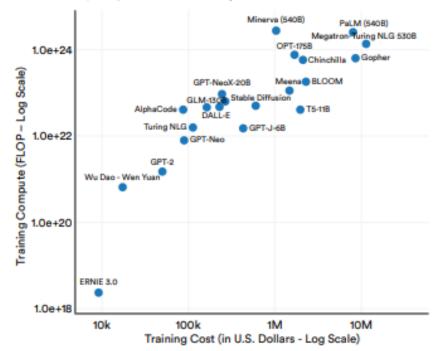
## Estimated Training Cost of Select Large Language and Multimodal Models and Number of Parameters

Source: Al Index, 2022 | Chart: 2023 Al Index Report

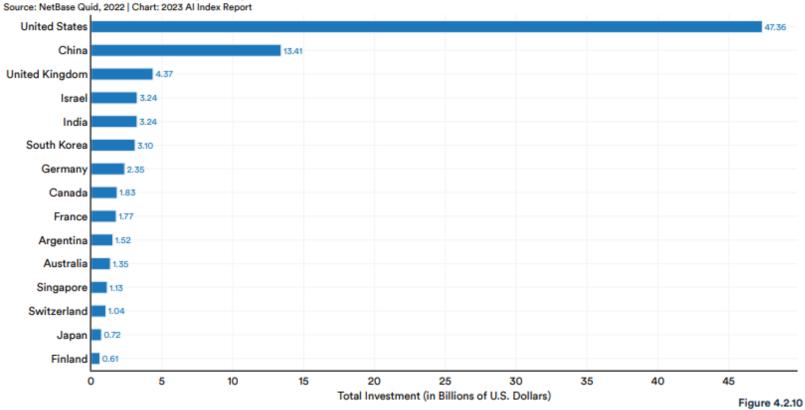


## Estimated Training Cost of Select Large Language and Multimodal Models and Training Compute (FLOP)

Source: Al Index, 2022 | Chart: 2023 Al Index Report



#### Private Investment in AI by Geographic Area, 2022



# Generative Al could raise global GDP by 7%

GPTs are GPTs: An Early Look at the Labor Market Impact Potential of Large Language Models

Tyna Eloundou<sup>1</sup>, Sam Manning<sup>1,2</sup>, Pamela Mishkin\*<sup>1</sup>, and Daniel Rock<sup>3</sup>

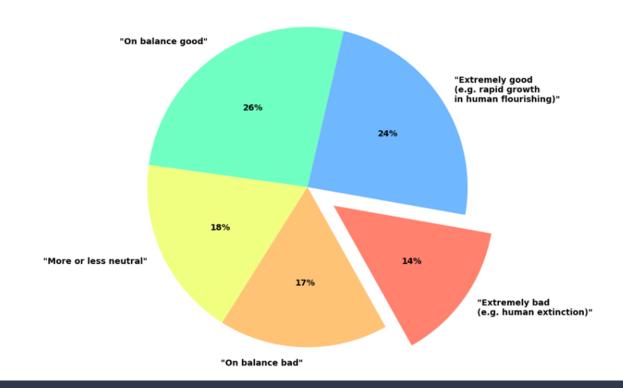
<sup>1</sup>OpenAI <sup>2</sup>OpenResearch <sup>3</sup>University of Pennsylvania

March 27, 2023

Mar 2023

## "Assume for the purpose of this question that HLMI will at some point exist. How positive or negative do you expect the overall impact of this to be on humanity, in the long run?"

Average responses from 559 machine learning experts in 2022



#### **Extinction from Al**

Participants were asked:

What probability do you put on future AI advances causing human extinction or similarly permanent and severe disempowerment of the human species?

#### **Answers**

SWEIS

Median 5%.

#### Extinction from human failure to control Al

Participants were asked:

What probability do you put on human inability to control future advanced AI systems causing human extinction or similarly permanent and severe disempowerment of the human species?

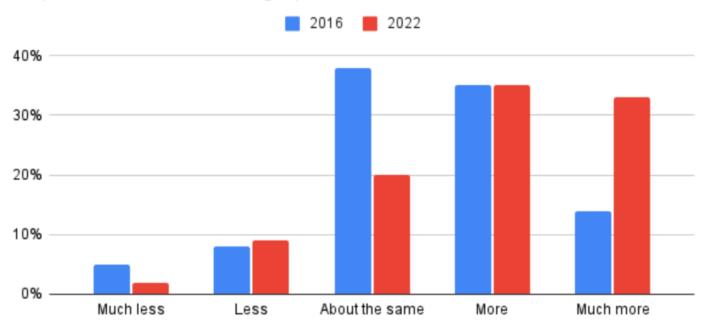
#### Answers

Median 10%.

State of AI > current opinions of AI experts

# "How much should society prioritize AI safety research, relative to how much it is currently prioritized?"

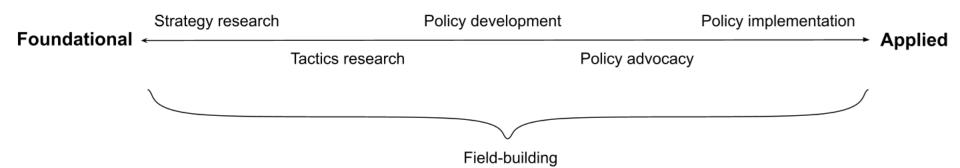
Responses from machine learning experts in 2016 and 2022



# Current work in AI governance

A summary

## Governance work spectrum



## Strategy > Strategy research

## Some significant questions:

- > What are the primary sources of existential risk?
- What are the AI capabilities of China? How likely is China to become an AI superpower?
- Will there be significant military interest in AI technologies? Will this lead to military AI megaprojects?











## Strategy > Surveys

#### Foundation for strategic decisions:

## **Expert opinions**

- → Al timelines
- → Risk assessment

## Public opinions

→ Overton window







## Strategy > Forecasting

## Some significant questions:

- ➤ When will AGI be developed?
- > Will AI takeoff be fast or slow?
- > What impacts of AI should we expect on democracy or international stability in the coming years?
- > Will data be a serious bottleneck for increasing the size of future AI models?

## Who is working on this:







Metaculus

# Group discussion!

Will data be a serious bottleneck for increasing the size of future Al models?

- → Very little existing government regulation of AI currently exists

  Most important decisions about training and deployment

  are almost entirely made within the industry.
- → Incredibly concentrated AI industry
- → Possible to influence key decisions by working with a small number of actors.

> Improving corporate decisions

#### Decisions on development, deployment and investment:

- DeepMind's decision to stop releasing their models
- Decisions by OpenAI, such as not open-sourcing GPT-3, waiting six months before releasing GPT-4, giving Microsoft early-access to GPT-4
- Meta Al giving academics access to Llama

Who is working on this:

ANTHROP\C







> Improving corporate structures

- Implementing good risk management procedures at Al labs
- Legal status of AI labs, e.g. capped profit (OpenAI)
- Red-teaming, evaluations, oversight boards

## Who is working on this:







OpenAI . Centre for the Governance of AI Google DeepMind

> Model evaluations

- Make capabilities and alignment of models measurable
- Predict when models will get dangerous
  - → Credible commitments, stronger arguments for dangers





## > Standards setting

- Dominant approach for safety in many industries
- For AI it could be :
  - Robustness & trustworthiness benchmarks
  - Bias
  - Development standards
  - Certifications and auditing standards
  - Governance standards









> Incentivizing responsible publication norms

Reduce the number of actors with access to cutting-edge Al models.

- OpenAI did not release many technical details of GPT-4
- Major releases from DeepMind has decreased in the past months.

Democratising Al: Multiple Meanings, Goals, and Methods

Elizabeth Seger, Aviv Ovadya, Ben Garfinkel, Divya Siddarth, Allan Dafoe

The Offense-Defense Balance of Scientific Knowledge: Does Publishing Al Research Reduce Misuse?

Toby Shevlane, Allan Dafoe

Who is working on this:







OpenAI . Centre for the Governance of AI Google DeepMind

# Group discussion!

How careful should we be when publishing AI research?

# Government focused governance

> Legislative action

- EU Al act
- UK : first major global summit on AI safety
- US: hearing on AI in Senate
- China: national plans for AI regulation

## Who is working on this:



THE FUTURE SOCIETY





# Government focused governance

## > Compute governance

- Current AI systems require expensive and sophisticated hardware
- This hardware is produced in a complex and global supply chain
- Regulating the supply chain can enforce standards and exclude bad actors

#### Some ideas:

- Monitoring capabilities
- Restricting access to compute
- Hardware based mechanisms









# Government focused governance

> International governance

- Ban military use of AI (FLI)
- Avoid conflict
- Knowledge sharing to prevent dangerous technology races: IAEA for AI?
- Politically neutral hub for AI research: CERN for AI?









# Field building > Grantmaking

- Prioritize which work gets funded > shapes the field and the strategies
- Majority of work in AIS funded by private philanthropy

## Who is working on this:





**Survival and Flourishing** .Fund (SFF)

## Field building > Media campaigns

- FLI's open letter
- FLI's campaign against autonomous weapons
- Coverage of AI risk in the Economist, Time, NYT
- Statement on Al Risk, Center for Al Safety
- Theory of change of the existential risk observatory







## Field building > Outreach

#### **Broadening the field:**

- Conferences
- Networking between industry and policy
- Events to connect different fields

Allan Dafoe: "I think we want to build a Metropolis---a hub with dense connections to the broader communities of computer science, social science, and policymaking---rather than an isolated Island."





# Field building > Scouting and training talent

## Main talent pipeline:

- Interest in Al risk
- Join reading group or short bootcamp
- Test fit in one of the (fairly competitive) summer opportunities
- Join a longer fellowship
- Begin working in academia, in industry, for a think tank, or for government

## Talent pipeline

- Interest in Al risk
- Reading groups / short bootcamps
- Test fit in Summer opportunities
- Longer fellowships
- Begin working in academia, in industry, for a think tank, or for government

## What exists currently:

# AI Safety Fundamentals

A project by

O BlueDot Impact





## Talent pipeline

- Interest in Al risk
- Reading groups / short bootcamps
- Test fit in Summer opportunities
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- Begin working in academia, in industry, for a think tank, or for government

## What exists currently:



**Stanford** Existential Risks Initiative

**Swiss Existential Risk Initiative** 

### Talent pipeline

- Interest in Al risk
- Reading groups / short bootcamps
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- Begin working in academia, in industry, for a think tank, or for government

#### What exists currently:

## **EU Tech Policy Fellowship 2023**

Open Philanthropy
Technology Policy Fellowship



Winter Fellowship 2024

SERI ML Alignment Theory Scholars Program

## Potential work in AI governance

Some areas that could be explored

### Data governance

- Training data is usually scraped from internet
- Current legal situation for what data may and may not be used is unclear

- DeepMind is being sued for mishandling protected medical data
- Stable Diffusion and Midjourney are being sued by artists for producing derivative works
- Copilot is being sued for reciting code without stating the authors

# Group discussion!

- Does Al governance go against innovation ?
- How can governments and regulatory bodies keep pace with the rapid advancements in Al technology?
- What lessons can we learn from past technologies in shaping Al governance for the future?
- Think about other AI governance approaches that could be implemented!

### Main stakeholders

And their POV on AI safety

### Main labs > OpenAI



# Our approach to alignment research

- 1. Training Al systems using human feedback
- 2. Training AI systems to assist human evaluation
- 3. Training AI systems to do alignment research

#### **375 people** (most in capabilities)

- Superalignment team
- Policy research team
- RL, Human Data, Security, Applied Safety, and Trust and Safety teams

"Over the next four years the division will have access to 20% of the company's processing capacity to build a "human-level automated alignment researcher" that can be scaled up to supervise superintelligence."

### Main labs > Google Deepmind



- 1,567 people (most in capabilities)
  - Technical safety team
- Ethics and public engagement teams

- Signed pledge against use of their technologies for lethal autonomous weapons
- Specification, robustness, and assurance



10000+ employees

#### Don't Fear the Terminator

Artificial intelligence never needed to evolve, so it didn't develop the survival instinct that leads to the impulse to dominate others

By Anthony Zador, Yann LeCun on September 26, 2019

One of the 'godfathers' of AI says concerns the technology could pose a threat to humanity are 'preposterously ridiculous'

#### Questions

- How long is this going to take to reach human-level AI?
  - Years to decades. Many problems to solve on the way.
  - ▶ Before we get to HLAI, we will get to cat-level AI, dog-level AI,...
- What is AGI?
  - ► There is no such thing. Intelligence is highly multidimensional
  - ► Intelligence is a collection of skills + ability to learn new skills quickly
  - ► Even humans can only accomplish a tiny subset of all tasks

Y. LeCur

- Are there risks associated with human-level AI?
  - Yes, as with every technology
  - But all those risks can be mitigated
  - Disinformation, propaganda, hate, spam,...: Al is the solution!
- Should AI research be open source or heavily regulated?
  - In a future where everyone interacts with AI assistants for everything in their daily lives, the base models must be open.
  - Having them controlled by a small number of company is too dangerous
- Will robots take over the world?
  - ▶ No! this is a projection of human nature on machines
  - Intelligence is not correlated with a desire to dominate, even in humans
  - ► Objective-Driven AI systems will be made subservient to humans

- How to solve the alignment problem?
  - Through trial and error and testing in sand-boxed systems
  - We are very familiar with designing objectives for human and superhuman entities. It's called law making.
  - What if bad people get their hand on on powerful AI? Their evil AI will be inferior to the Good Guys' AI police.
- What are the benefits of human-level AI?
  - ► AI will amplify human intelligence
  - Everyone will have a staff of intelligent agents working for them
- ► Al will bring a new era of enlightenment, a renaissance to humanity

## Group discussion!

Do you agree with Yann Lecun's POV ?

"Artificial intelligence never needed to evolve, so it didn't develop the survival instinct that leads to the impulse to dominate others"

#### Main actors > EU

#### High Risk

Most regulated AI systems, as these have the potential to cause significant harm if they fail or are misused, e.g. if used in law enforcement or recruiting.

#### **Minimal Risk**

All other AI systems, e.g. a spam filter, which can be deployed without additional restrictions.



#### **Unacceptable Risk**

Highest level of risk prohibited in the EU. Includes AI systems using e.g. subliminal manipulation or general social scoring.

#### **Limited Risk**

Includes AI systems with a risk of manipulation or deceit, e.g. chatbots or emotion recognition systems. Humans must be informed about their interaction with the AI.

#### THE ALACT

The Brussels Effect and Artificial Intelligence:

How EU regulation will impact the global AI market

EU AI Act risk framework, 2022

Charlotte Siegmann\* and Markus Anderljung\* | August 2022

#### Main actors > China

Regulations target recommendation algorithms for disseminating content, synthetically generated images and video, and generative AI systems

→ China is the largest producer of AI research in the world, and its regulations will drive new research as companies seek out techniques to meet regulatory demands.

International discourse on Chinese AI governance often biased

- Dismissed as irrelevant
- Used as political prop to advance other agendas (US)

#### Main actors > US





Safe and Effective Systems



Algorithmic
Discrimination
Protections



**Data Privacy** 



Notice and Explanation



Human
Alternatives,
Consideration, and

Fallback

"Systems should undergo pre-deployment testing, risk identification and mitigation, and ongoing monitoring that demonstrate they are safe and effective based on their intended use, mitigation of unsafe outcomes including those beyond the intended use, and adherence to domain-specific standards.

Outcomes of these protective measures should include the **possibility of not deploying the system or removing a system from use**."

## Questions!