

# Connection-oriented transport: TCP

CE 352, Computer Networks  
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Lecture 10

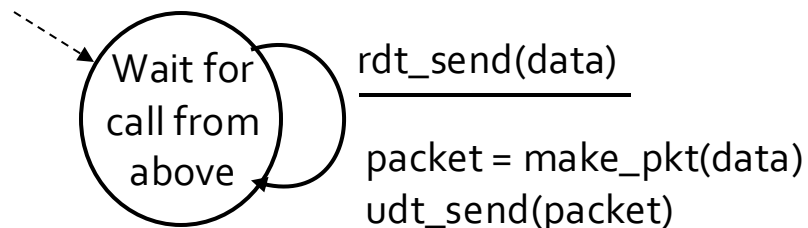
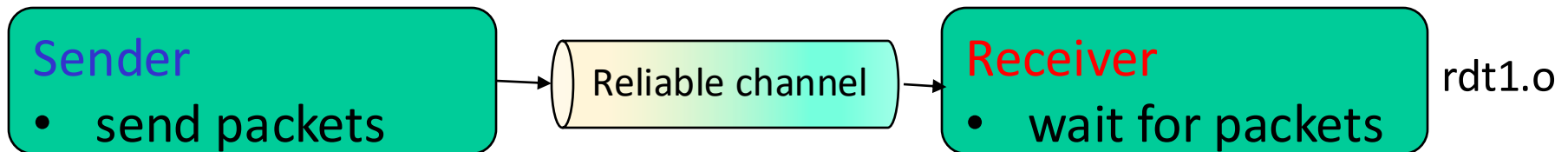
Slides are adapted from Computer Networking: A Top Down Approach, 7<sup>th</sup> Edition © J.F Kurose and K.W. Ross

# Recap (transport layer)

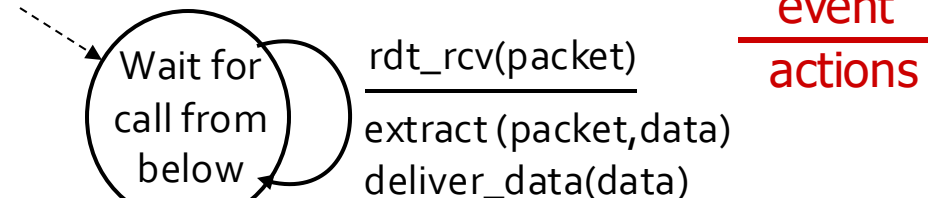
- Transport layer provides communication between application processes (mux/demux using ports) so that reliable and efficient data delivery is achieved.
- Network layer can result in packets that are **corrupted, delayed, dropped, reordered, or duplicated**.
- Network layer gives no guidance on traffic volume to send and when
- TCP and UDP are the common transport protocols
- UDP is a minimalist lightweight communication between processes
- TCP offers a reliable, in-order, and byte stream communication with congestion control

# Recap (reliable transport channel)

- Reliable transport channel is easy in a perfect world
  - rdt1.0: Simple protocol
    - provides neither flow nor error control



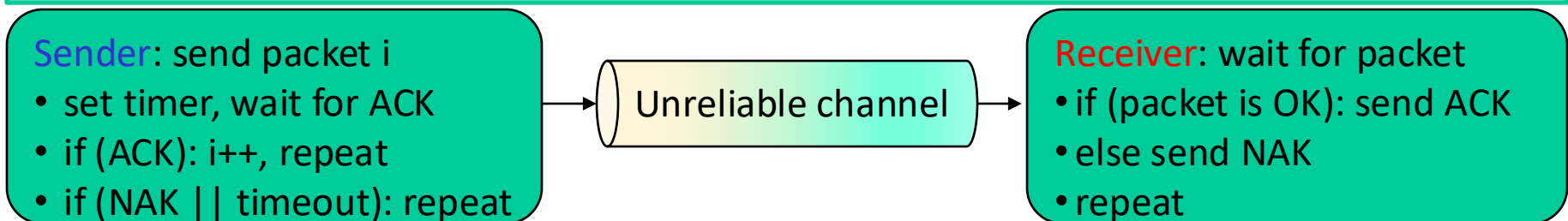
sender



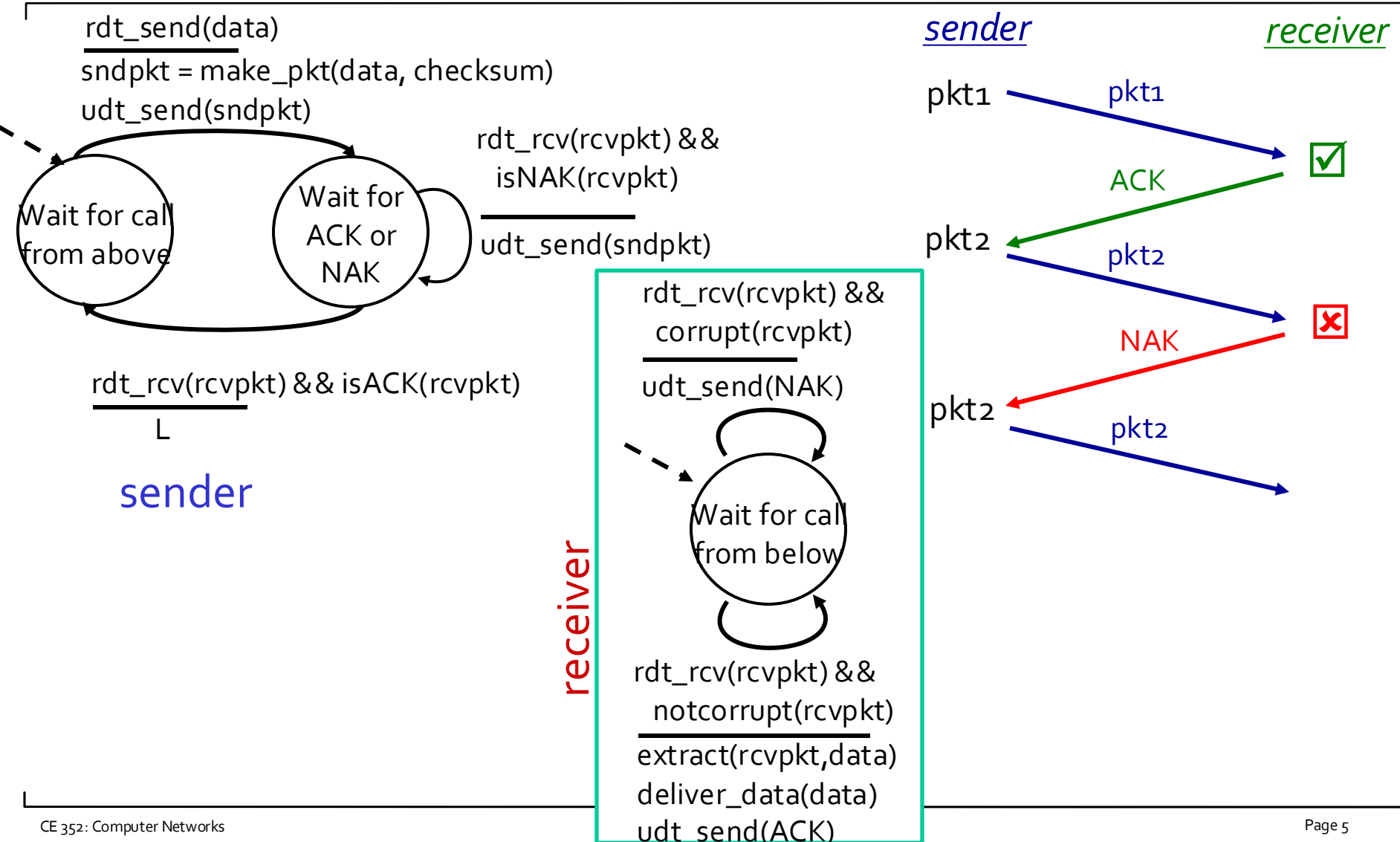
receiver

# Recap (unreliable transport channel)

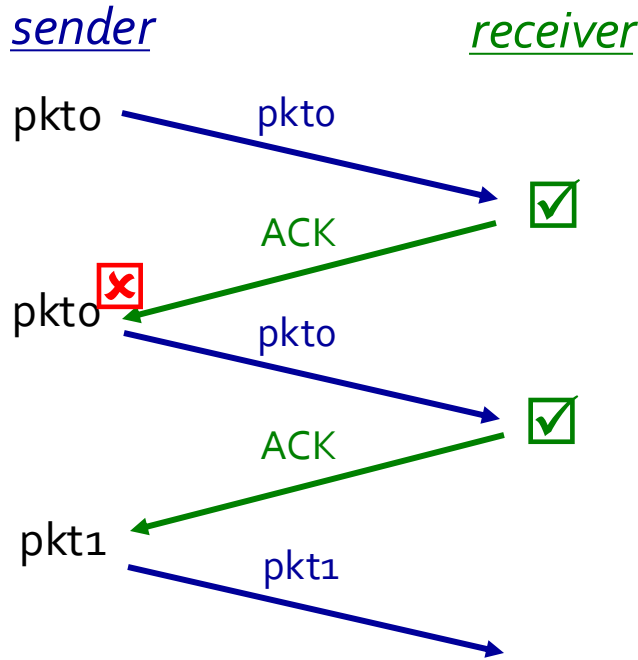
- Components of solution to send packets over unreliable channel:
  - checksums (to detect bit errors)
  - timers (to detect loss)
  - acknowledgements (Ack, NAK)
  - sequence numbers (to deal with duplicates)
- Stop-and-wait
  - rdt2.0: deals with packet corruption
  - rdt2.1: deals with garbled Ack/NAKs
  - rdt2.2: NAK-free by the use of sequence numbers
  - rdt3.0: deals with packet loss – timer driven loss detection
- Pipelined protocol (sliding window)
  - Go-Back-N
  - Selective repeat



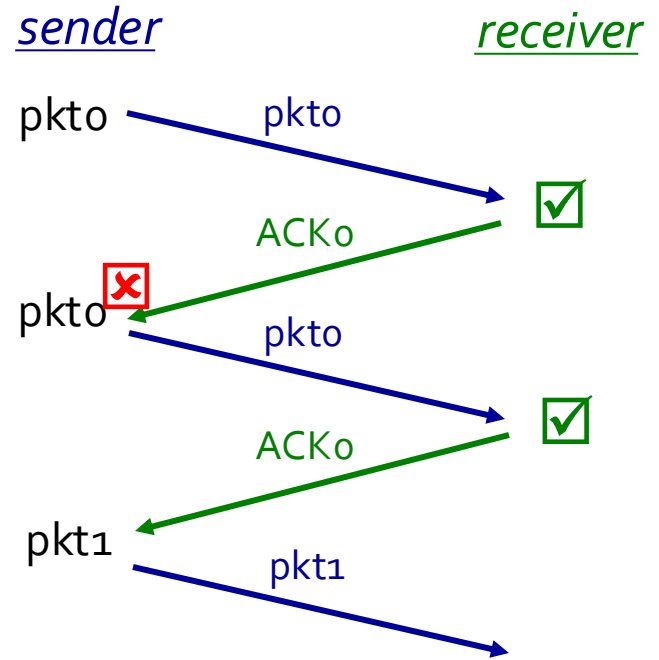
# Recap (rdt2.0: deals with packet corruption)



# Recap (rdt2.1/2.2: deals with garbled ACK-NAK/ data and ack packets carry sequence numbers)



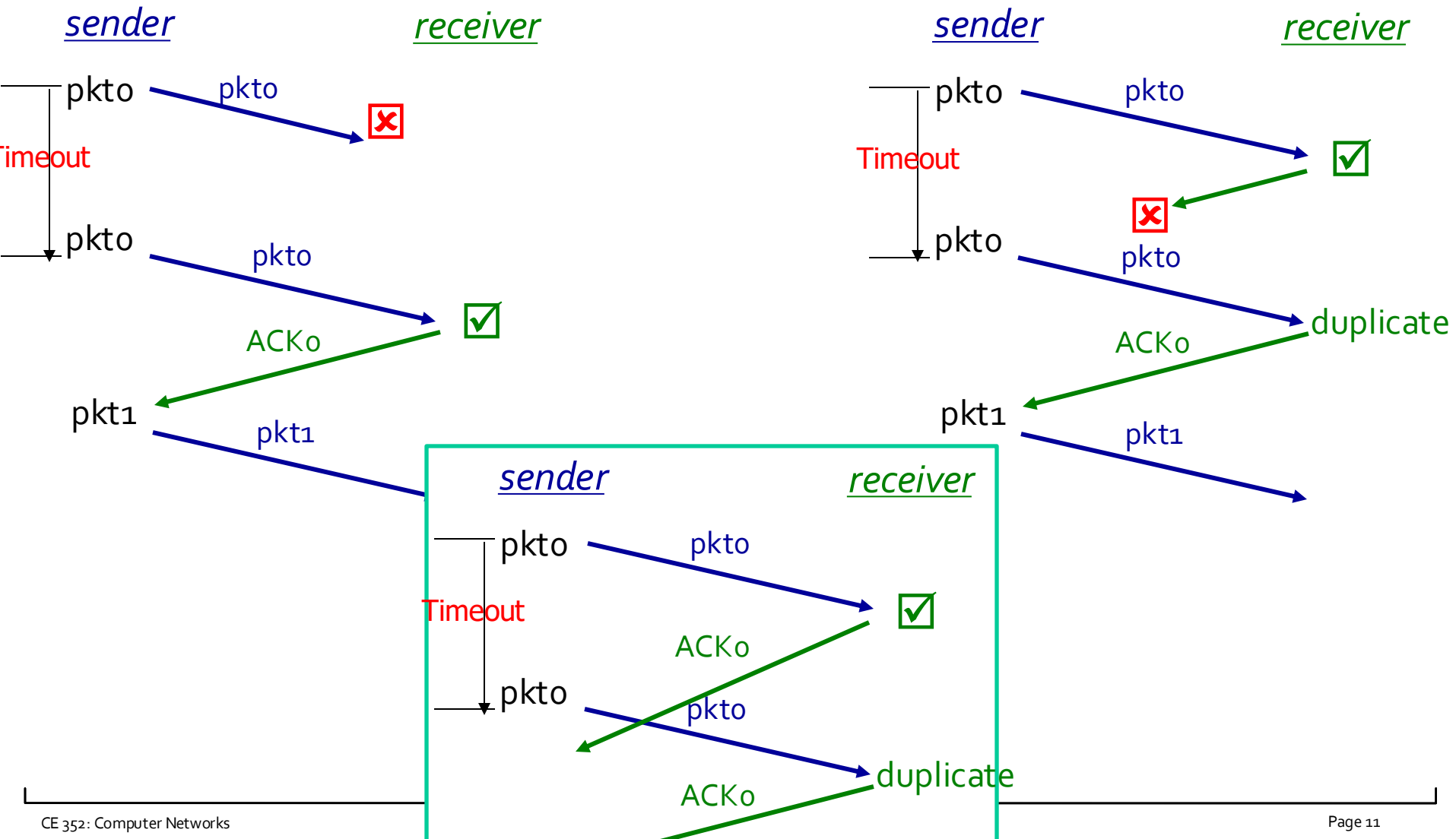
rdt2.1



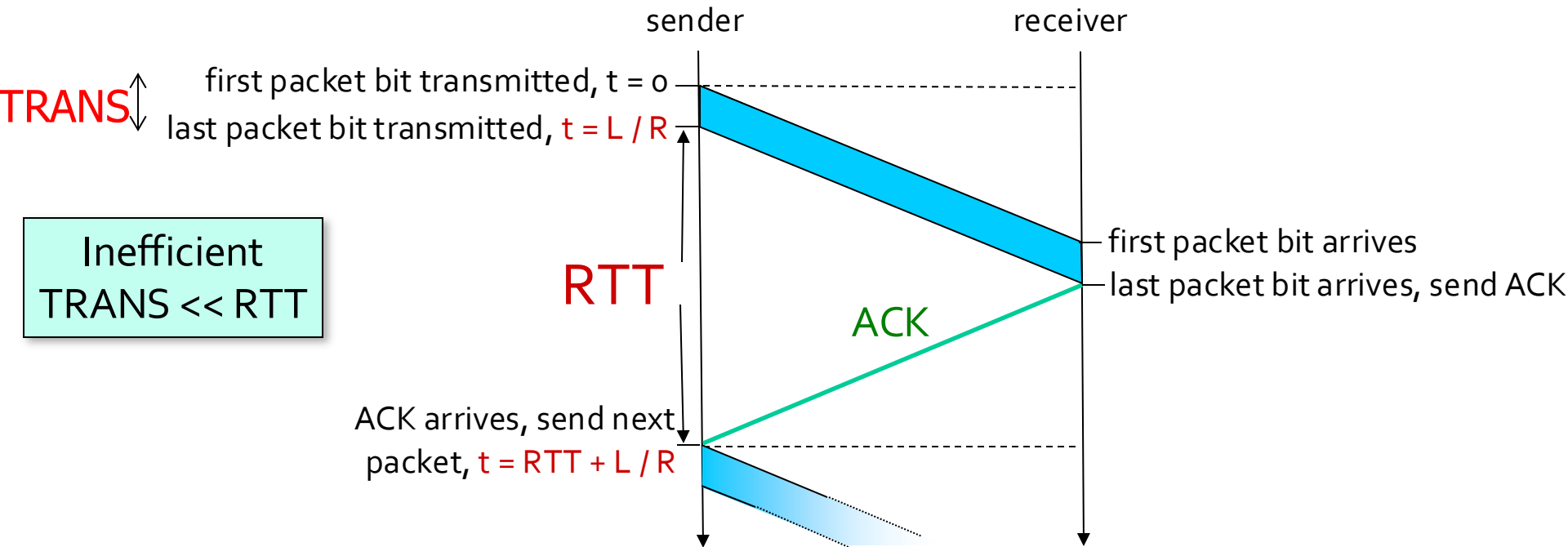
rdt2.2

# Recap (rdt3.0: deals with packet loss)

## Timer-driven loss detection



# Recap (stop-and-wait is inefficient)



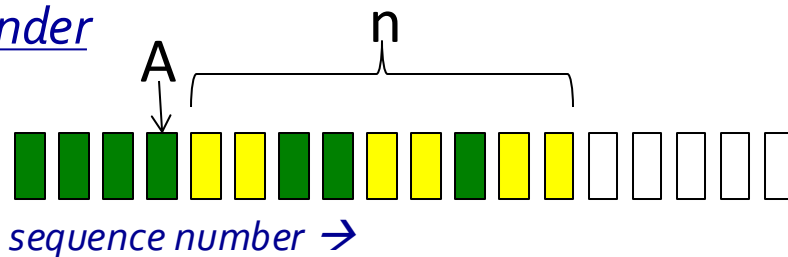
- $R = 1$  Gbps link, 15 ms prop. delay,  $L = 8000$  bit packet  $\rightarrow$  total  $t = 30.008$  msec  
 $\rightarrow$  1,000 bytes in 30.008 milliseconds, gives throughput of only 267 kbps



# Recap (Pipelined protocol: Sliding window)

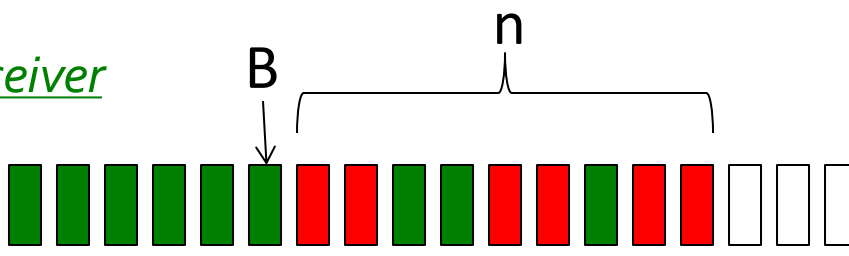
- Window: set of adjacent sequence numbers (window size  $n$ )
- Send up to  $n$  packets at a time
  - Sender can send packets in its window
  - Receiver can accept packets in its window
  - Window slides on successful reception/ acknowledgement

sender



- Already ACK'd
- Sent but not ACK'd
- Cannot be sent

receiver

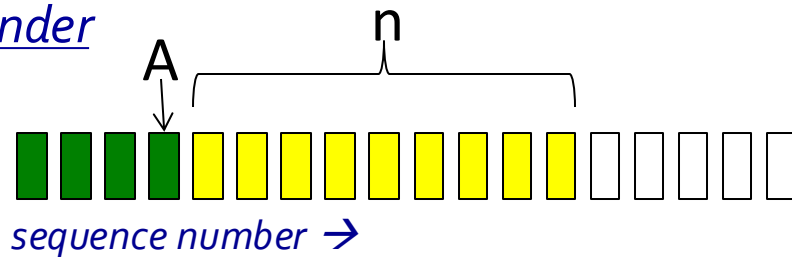





- Received and ACK'd
- Acceptable but not yet received
- Cannot be received

# Recap (Go-Back-N)

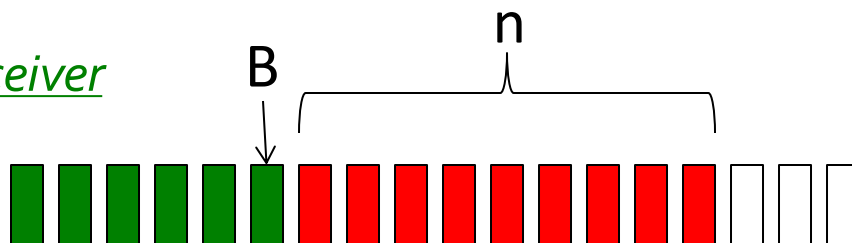
- Sender transmits up to  $n$  unacknowledged packets
- Receiver only accepts packets in order
  - Receiver discards out-of-order packets
  - Receiver uses cumulative acknowledgements
  - Sender sets timer for 1st outstanding ack ( $A+1$ ), if timeout, retransmit  $A+1, \dots, A+n$




sender



-  Already ACK'd
-  Sent but not ACK'd
-  Cannot be sent

receiver



-  Received and ACK'd
-  Acceptable but not yet received
-  Cannot be received

# Recap (GBN example)

sender window (N=4)

0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8

sender

send pkt0  
 send pkt1  
 send pkt2  
 send pkt3  
 (wait)

rcv ack0, send pkt4  
 rcv ack1, send pkt5

ignore duplicate ACK



*pkt 2 timeout*

send pkt2  
 send pkt3  
 send pkt4  
 send pkt5

receiver

receive pkt0, send ack0  
 receive pkt1, send ack1

receive pkt3, discard,  
 (re)send ack1

receive pkt4, discard,  
 (re)send ack1

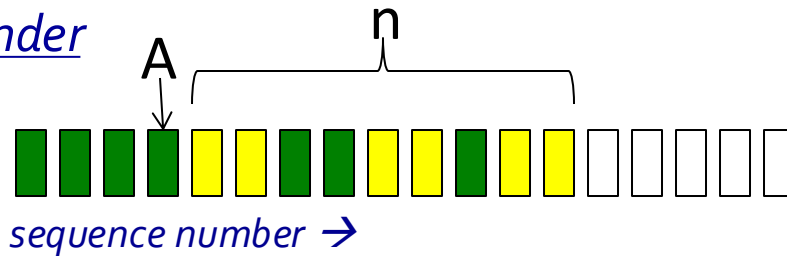
receive pkt5, discard,  
 (re)send ack1

rcv pkt2, deliver, send ack2  
 rcv pkt3, deliver, send ack3  
 rcv pkt4, deliver, send ack4  
 rcv pkt5, deliver, send ack5

# Recap (Selective repeat)

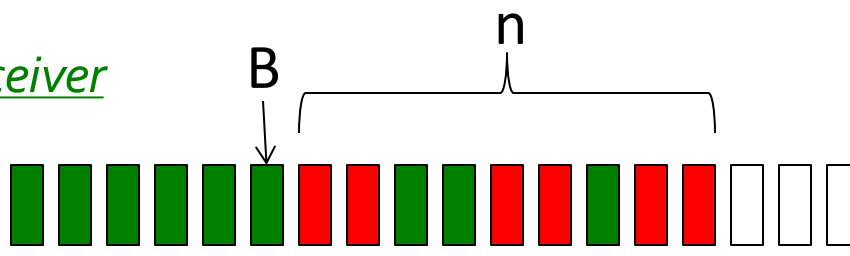
- Sender transmits up to  $n$  unacknowledged packets
- Assume packet  $m$  is lost,  $m+1$  is not
- Receiver indicates packet  $m+1$  is correctly received
- Sender retransmits only packet on  $m$  timeout
- Efficient in retransmission, but requires book-keeping

sender



- Already ACK'd
- Sent but not ACK'd
- Cannot be sent

receiver



- Received and ACK'd
- Acceptable but not yet received
- Cannot be received

# Recap (Selective repeat example)

sender window (N=4)

0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8  
 [ ]

0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8

0 1 2 3 4 6 7 8 9

sender

send pkt0  
 send pkt1  
 send pkt2  
 send pkt3  
 (wait)

rcv ack0, send pkt4  
 rcv ack1, send pkt5

record ack3 arrived



*pkt 2 timeout*

send pkt2

record ack4 arrived

record ack5 arrived

receiver

receive pkt0, send ack0  
 receive pkt1, send ack1

receive pkt3, buffer,  
 send ack3

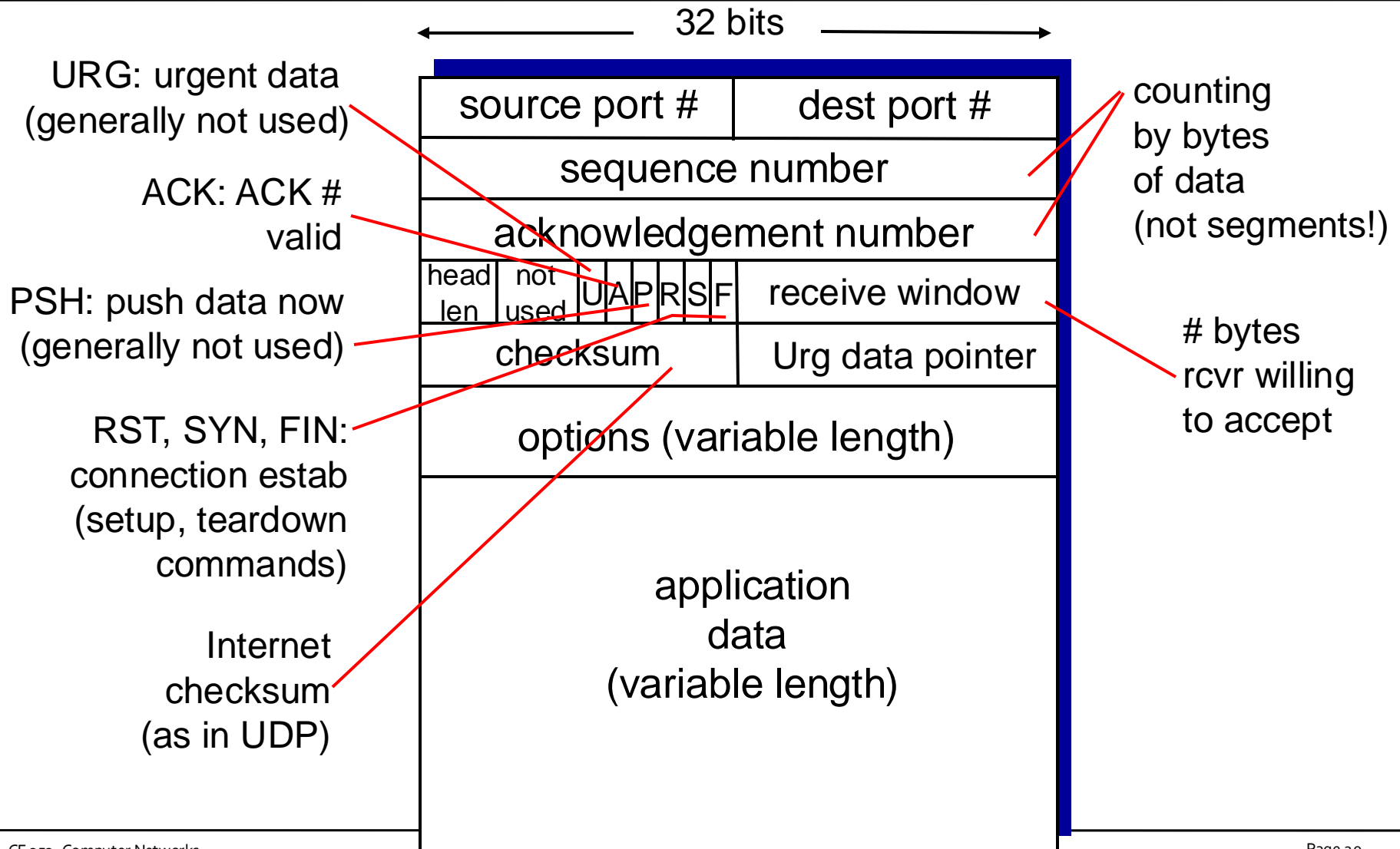
receive pkt4, buffer,  
 send ack4

receive pkt5, buffer,  
 send ack5

rcv pkt2; deliver pkt2,  
 pkt3, pkt4, pkt5; send ack2

*what happens when ack2 arrives?*

# TCP header

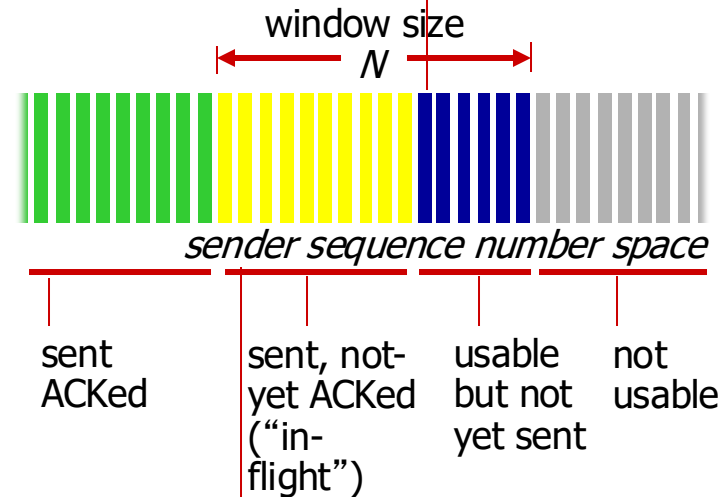


# TCP seq. numbers, ACKs

- Sequence numbers:
  - byte stream “number” of first byte in segment’s data
- Acknowledgements:
  - seq # of next byte expected from other side
  - cumulative ACK

outgoing segment from sender

source port #	dest port #
sequence number	
acknowledgement number	
	rwnd
checksum	urg pointer

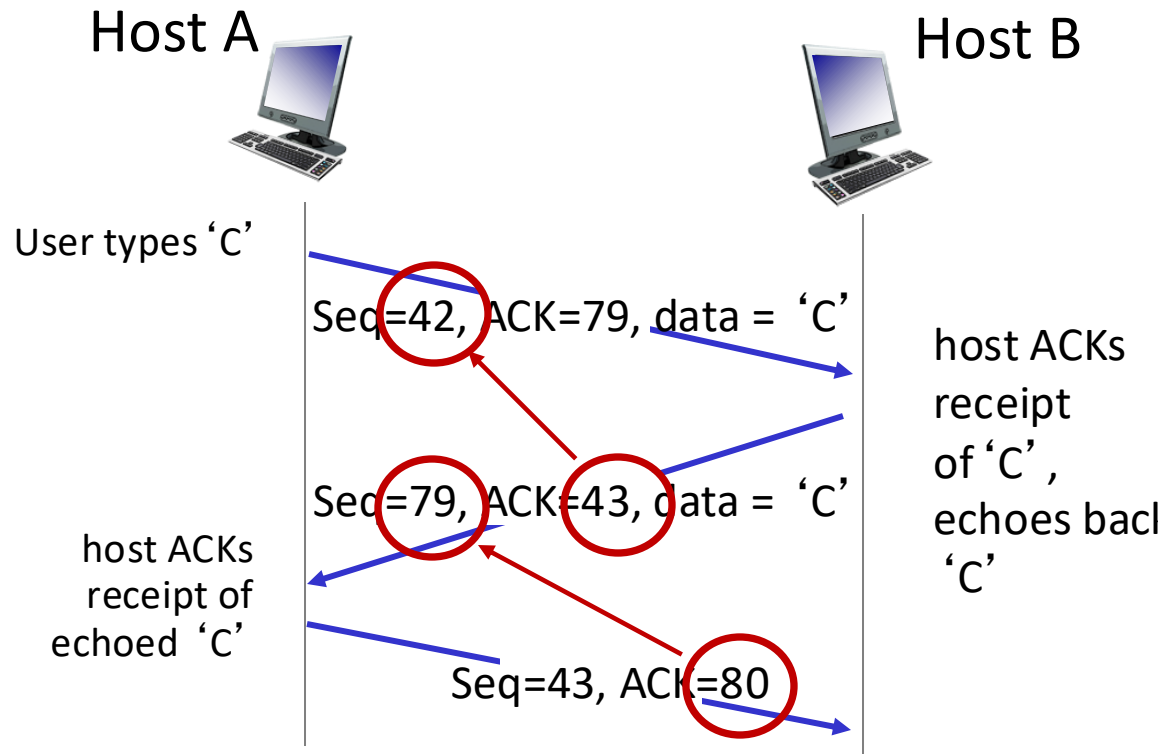


incoming segment to sender

source port #	dest port #
sequence number	
acknowledgement number	
	rwnd
checksum	urg pointer

# TCP seq. numbers, ACKs

- Starting seq. no. 42 for client and 79 for server
- After TCP established, before data sent, client waits for byte 79 and server waits for byte 42
- Server replies with ack 43, seg 79 and echo back 'C'
- Acknowledgment is said to be piggybacked



simple telnet scenario



# TCP reliable data transfer

TCP creates rdt service on top of IP' s unreliable service

- ❑ sender/receiver agree to establish connection “handshake”
- ❑ pipelined segments for efficiency
- ❑ cumulative acks for acknowledgements
- ❑ single retransmission timer (for loss detection)
- ❑ checksums (for error detection)

retransmissions triggered by:

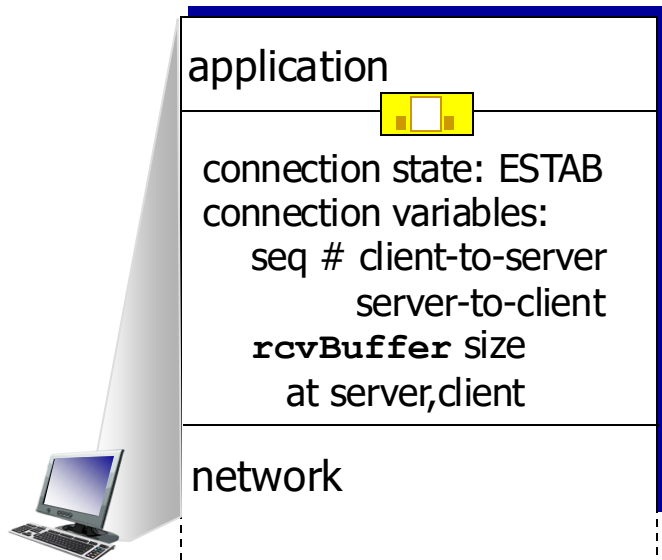
- ❑ timeout events
- ❑ duplicate acks

simplified TCP sender:

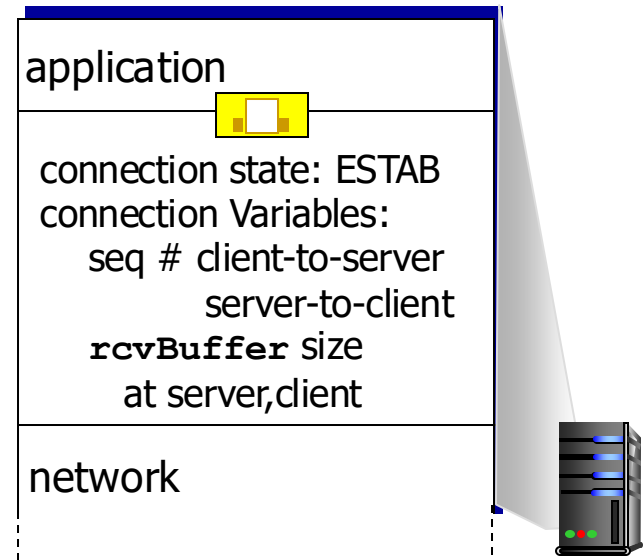
- ❑ ignore duplicate acks
- ❑ ignore flow control, congestion control

# Connection Management

before exchanging data, sender/receiver “handshake”:  
agree to establish connection (each knowing the other willing to establish connection)  
agree on connection parameters

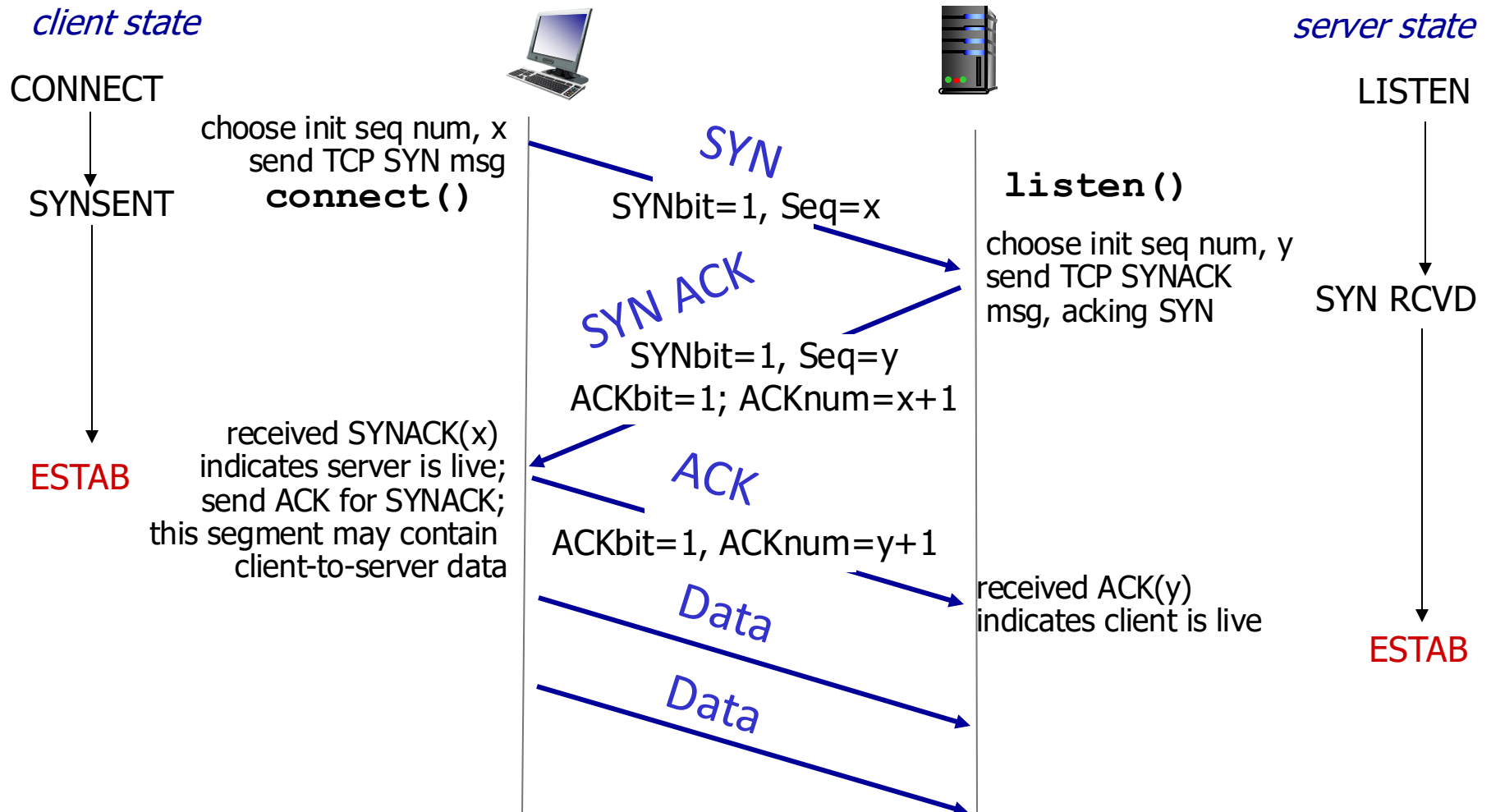


```
connect (sockfd, ...)
```



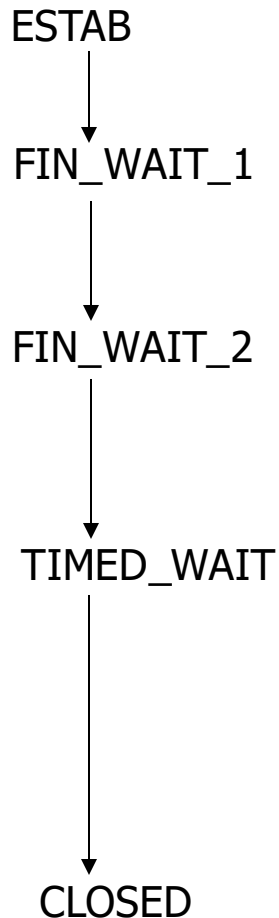
```
listen (sockfd,n);  
conncfd = accept();
```

# Establishing a TCP Connection: 3-way handshake



# Closing a TCP connection

*client state*



**close ()**

can no longer  
send but can  
receive data

wait for server  
close

timed wait  
for  $2 * \text{max}$   
segment lifetime



FINbit=1, seq=x

ACKbit=1; ACKnum=x+1

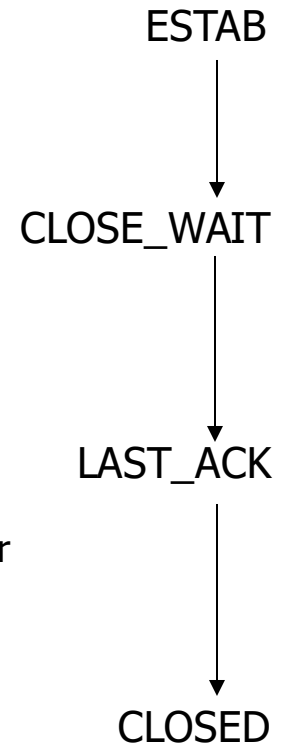
FINbit=1, seq=y

ACKbit=1; ACKnum=y+1

can still  
send data

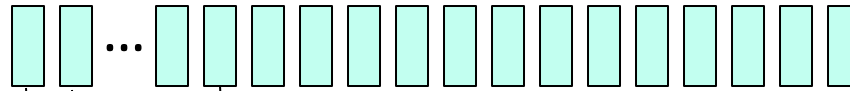
can no longer  
send data

*server state*



# TCP Segments

Sender



 Byte

TCP Data

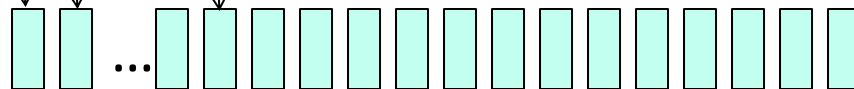
Sender sends packet and starts timer  
Data starts with sequence number  $X$   
Packet contains  $B$  bytes  $[X, X+1, X+2, \dots, X+B-1]$   
Expiration interval: `TimeoutInterval`

Segment number  
= 1st byte in  
segment

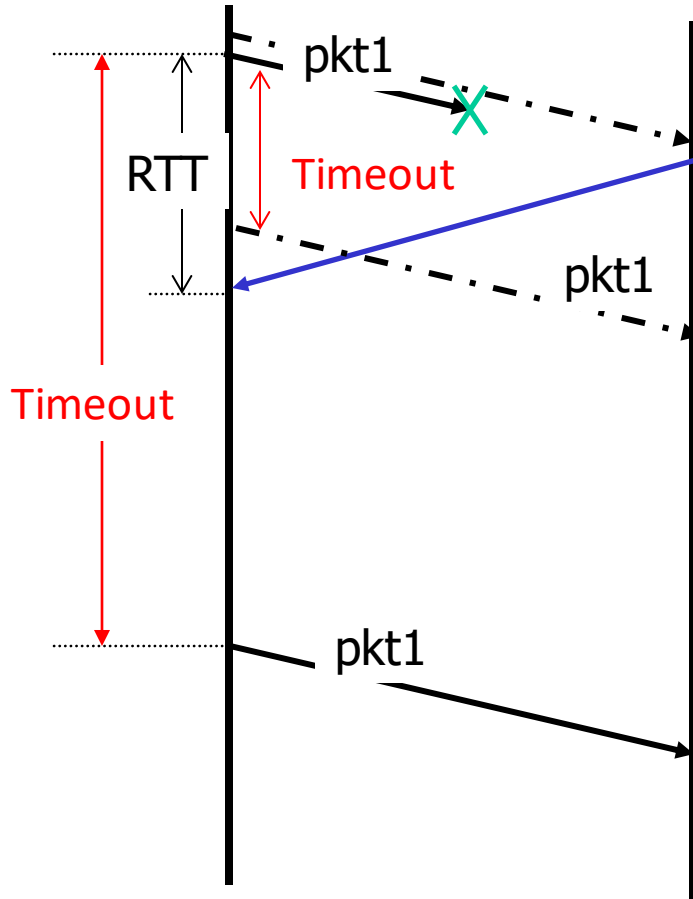
TCP Data

Receiver sends an ACK  
cumulative (GBN) or selective acknowledges

receiver



# TCP retransmission timer



Timeout too long – inefficient

Timeout too short – duplicate packets

Make timeout proportional to RTT

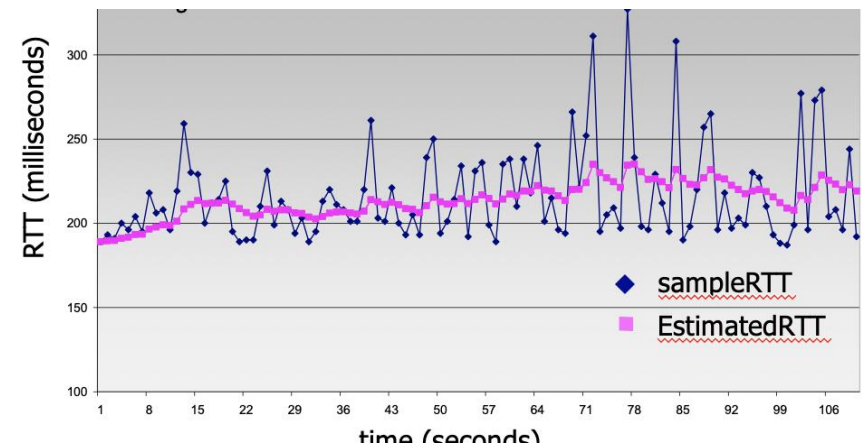
**EstimatedRTT = (1 -  $\alpha$ ) \* EstimatedRTT +  $\alpha$  \* SampleRTT**

(exponential weighted moving average)

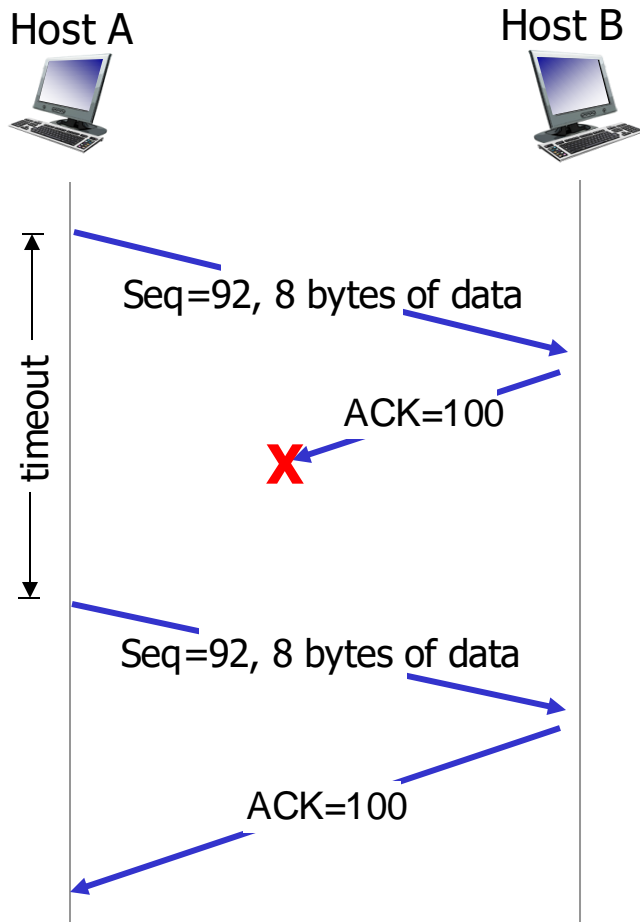
SampleRTT = t segment sent – t segment acknowledged

This time keeps changing with network conditions

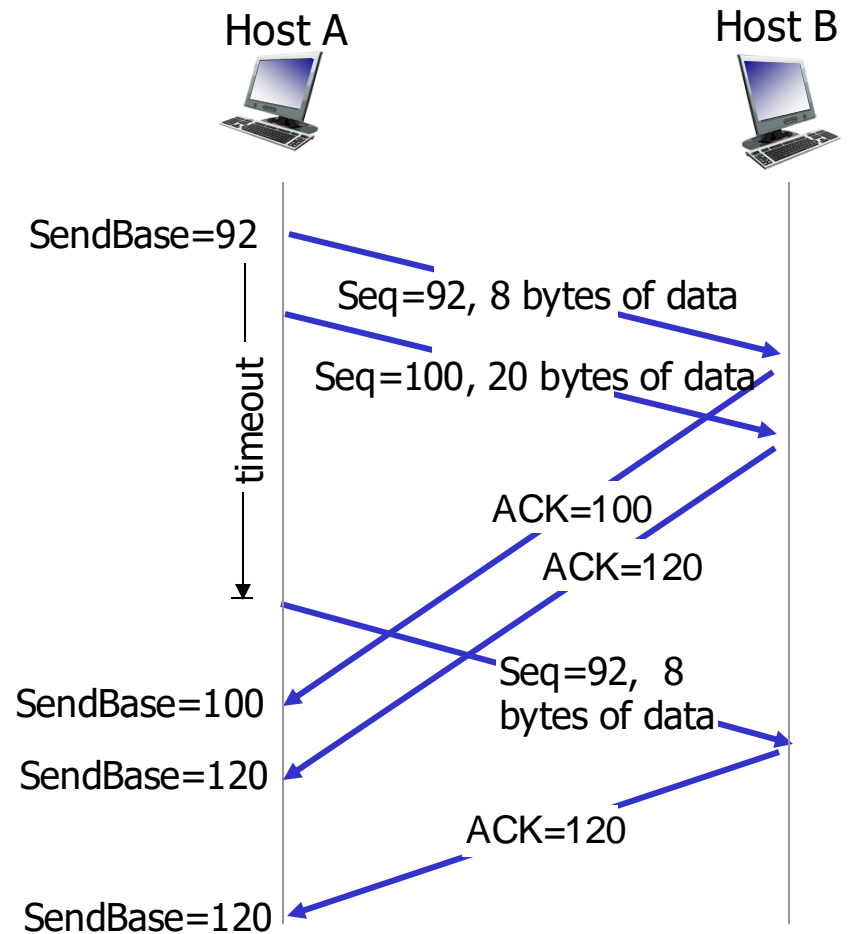
- influence of past sample decreases exponentially fast
- typical value:  $\alpha = 0.125$



# TCP: retransmission scenarios

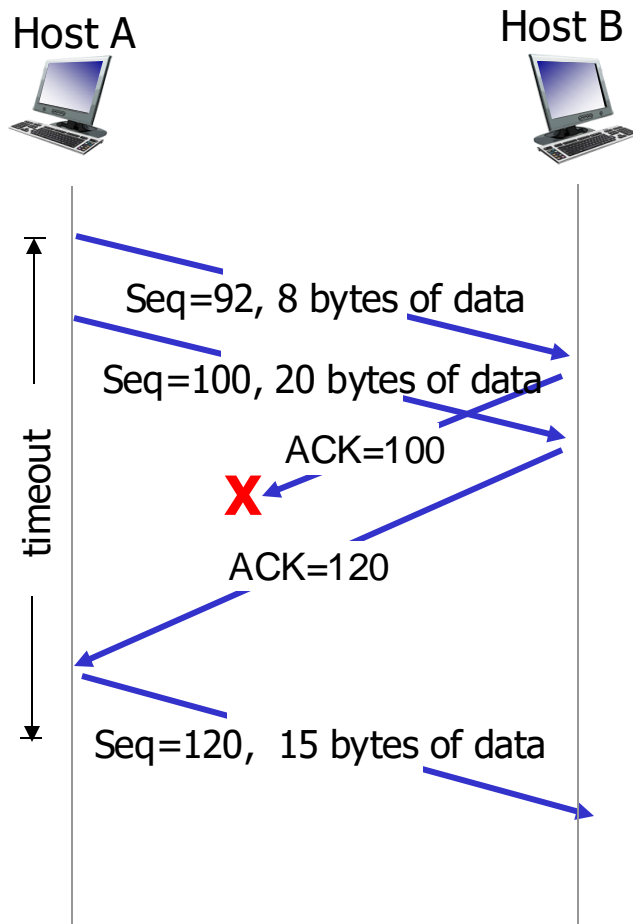


lost ACK scenario



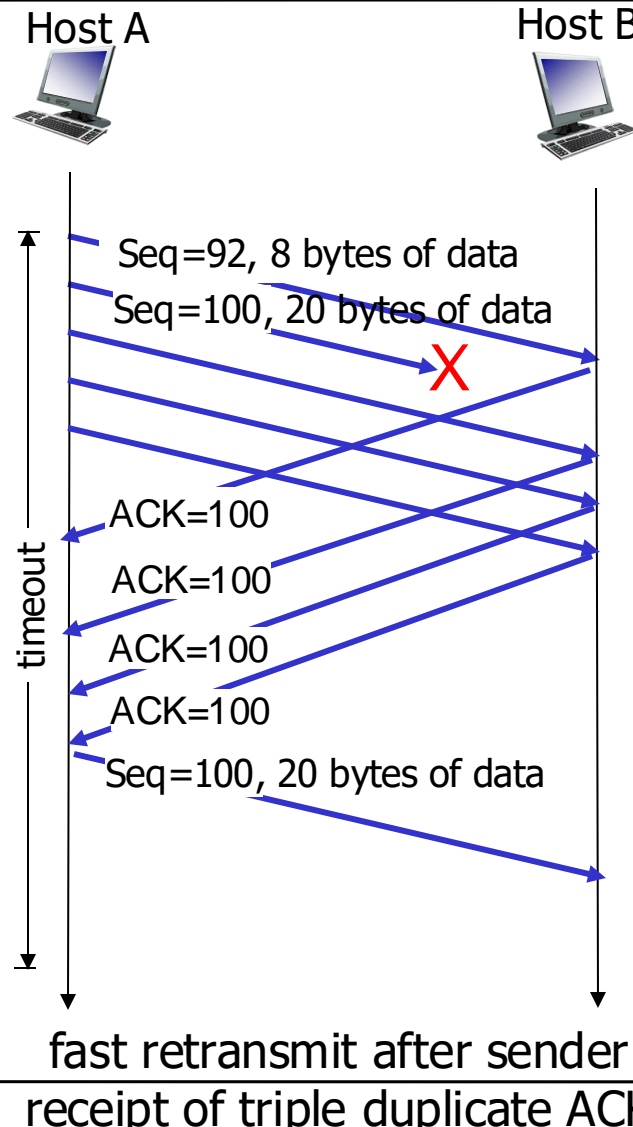
premature timeout

# TCP: retransmission scenarios





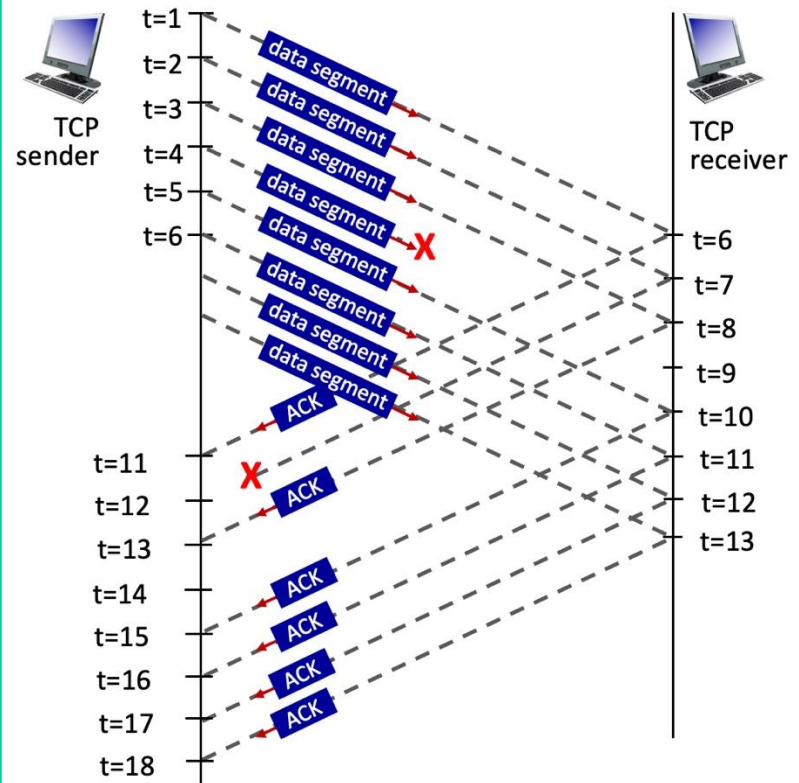
# TCP fast retransmit



# TCP example

TCP sender sends 8 TCP segments at  $t = 1, 2, 3, 4, 5, 6, 7, 8$ . Suppose the initial value of the sequence number is 0 and every segment sent to the receiver each contains 100 bytes. The delay between the sender and receiver is 5 time units, and so the first segment arrives at the receiver at  $t = 6$ .

- Sender's sequence numbers: 0, 100, 200, 300, ....
- Receiver's acknowledgement numbers: 100, 200, 300, 300, ..



# TCP ACK generation [RFC 1122, RFC 2581]

<i>event at receiver</i>	<i>TCP receiver action</i>
arrival of in-order segment with expected seq #. All data up to expected seq # already ACKed	delayed ACK. Wait up to 500ms for next segment. If no next segment, send ACK
arrival of in-order segment with expected seq #. One other segment has ACK pending	immediately send single cumulative ACK, ACKing both in-order segments
arrival of out-of-order segment higher-than-expect seq. # . Gap detected	immediately send <i>duplicate ACK</i> , indicating seq. # of next expected byte
arrival of segment that partially or completely fills gap	immediate send ACK, provided that segment starts at lower end of gap

# TCP flow control

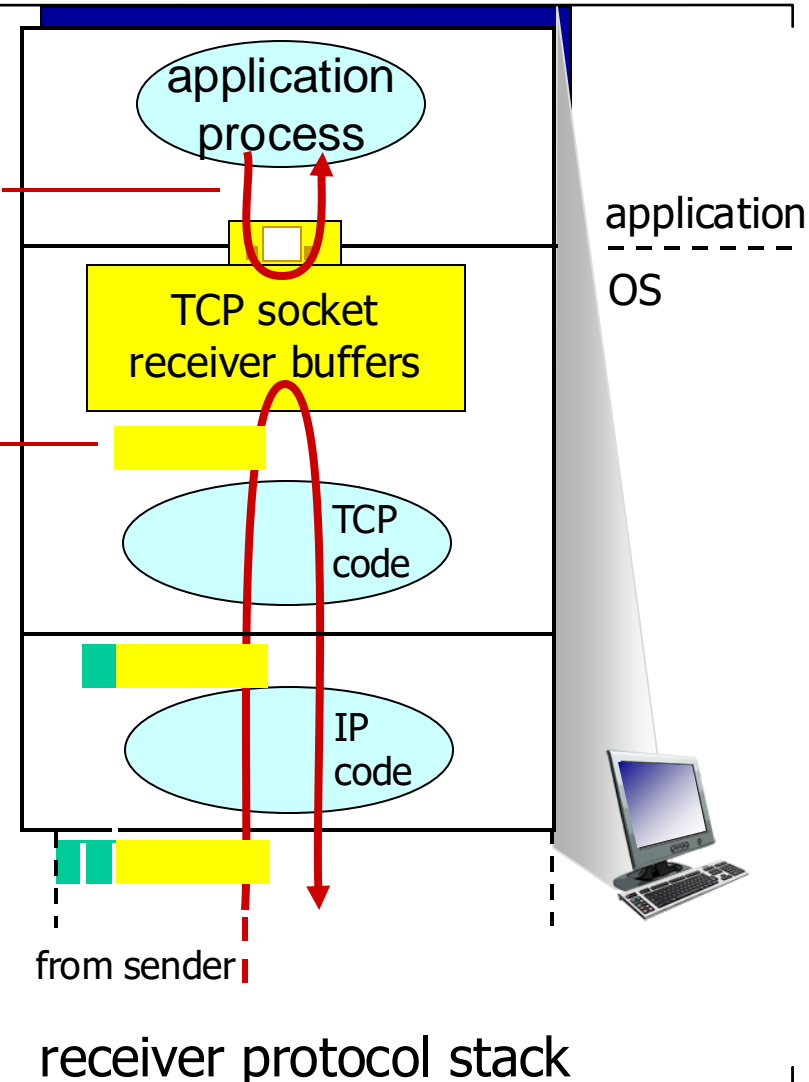


"no one can drink from a firehose"

application may  
remove data from  
TCP socket buffers ....

... slower than TCP  
receiver is delivering  
(sender is sending)

*flow control*  
receiver controls sender, so  
sender won't overflow  
receiver's buffer by  
transmitting too much, too fast



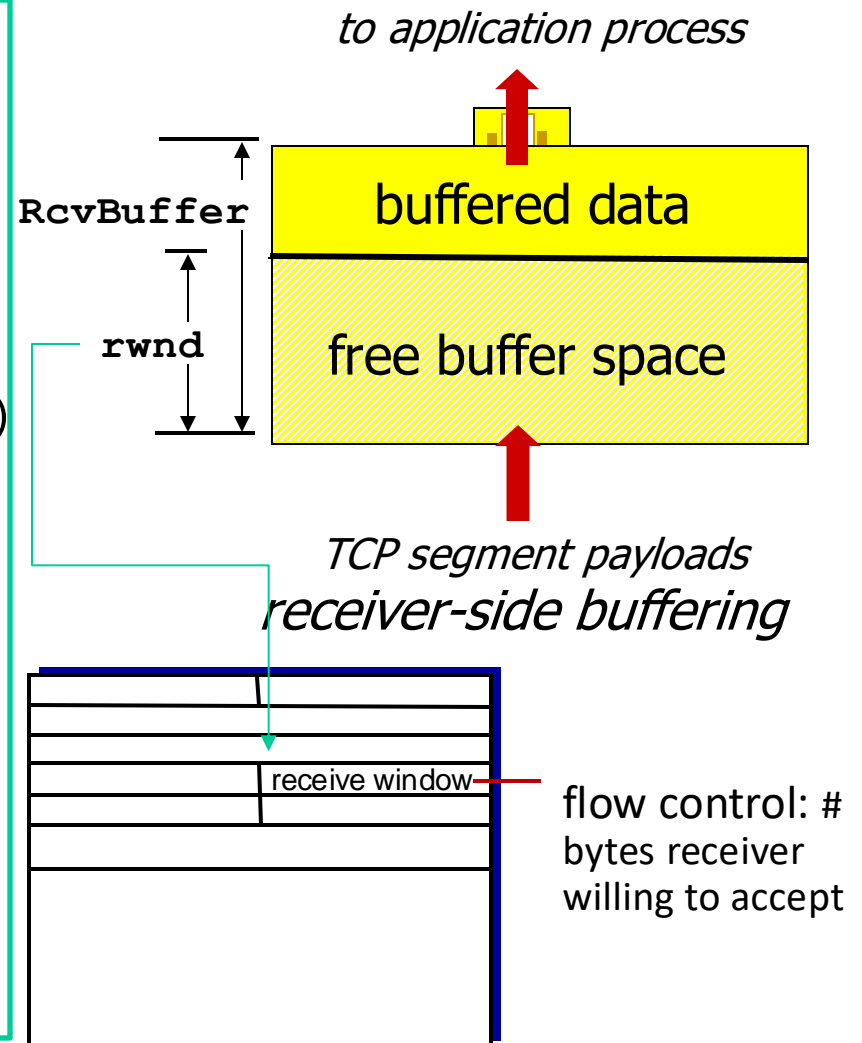
# Receiver window - rwnd

receiver “advertises” free buffer space by including **rwnd** (receiver window) value in TCP header of receiver-to-sender segments

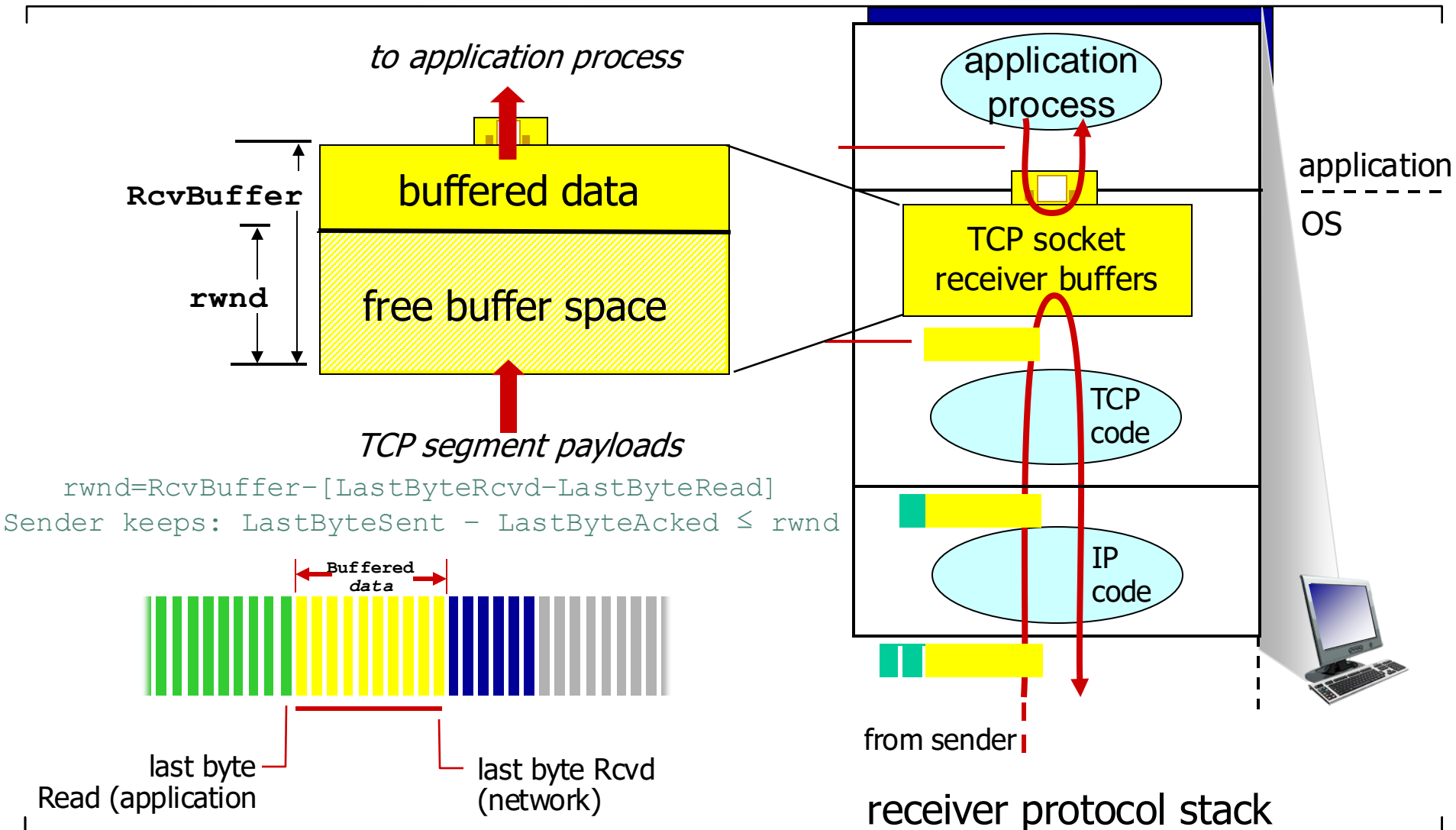
- ▣ **RcvBuffer** size set via socket options (typical default is 4096 bytes)
- ▣ many operating systems autoadjust **RcvBuffer**

sender limits amount of unacked (“in-flight”) data to receiver’s **rwnd** value

guarantees receive buffer will not overflow



# TCP flow control



# Summary

## Today:

- TCP reliable data transfer
- TCP connection
- TCP segments
- TCP sender and receiver ACK generation
- TCP flow control

## Canvas discussion:

- Reflection
- Exit ticket

## Next time:

- read 3.6 and 3.7 of K&R (TCP congestion control)
- follow on Canvas! Material and announcements

# Any questions?