

# Reliable data transfer - Noisy channel

CE 352, Computer Networks  
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Lecture 9

Slides are adapted from Computer Networking: A Top Down Approach, 7<sup>th</sup> Edition © J.F Kurose and K.W. Ross

# Recap

- Transport protocols:
  - Reliable channel – Simple, rdt1.0
  - Unreliable channel – Stop-and-Wait
    - rdt2.0: channel with bit errors
    - rdt2.1: distorted ACK/NAK)
    - rdt2.2: NAK – free protocol

## Today

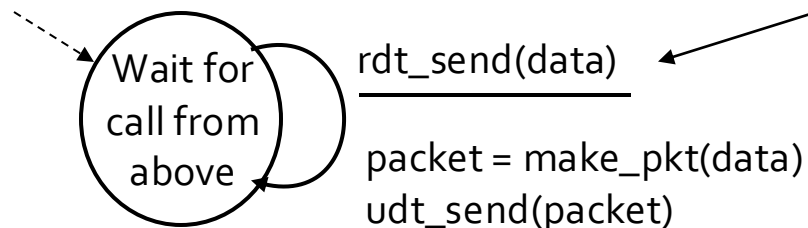
- rdt3.0: channel with errors and loss
- Unreliable channel - pipelined protocols
  - Go-Back-N
  - Selective repeat
- TCP protocol

# rdt1.0: reliable transfer over a **reliable channel**

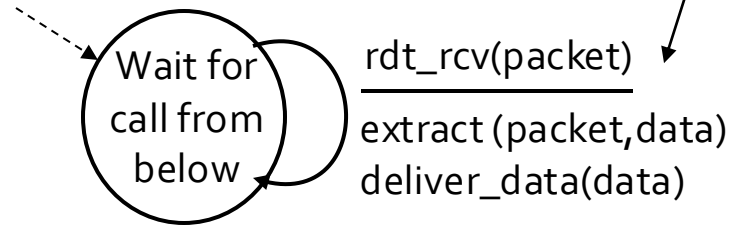
- underlying channel perfectly reliable
  - no bit errors
  - no loss of packets
- separate FSMs for sender, receiver:
  - sender sends data into underlying channel
  - receiver reads data from underlying channel

**Simple**  
provides neither  
flow nor error control

event  
actions

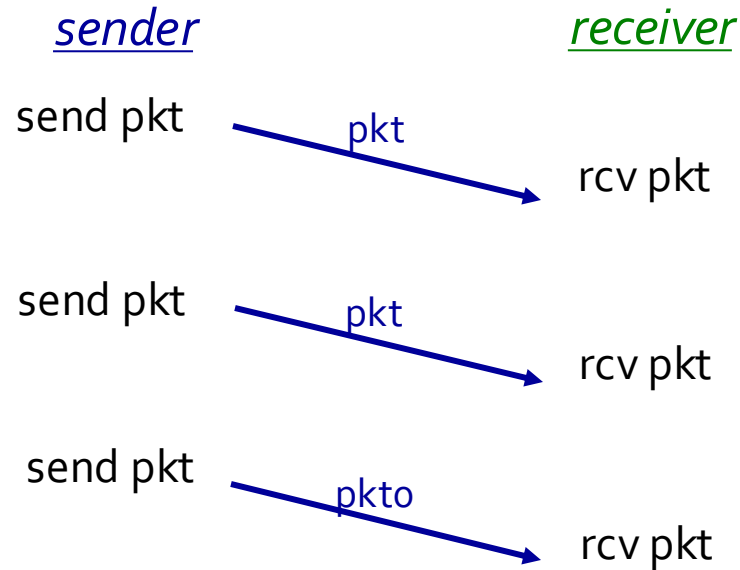


**sender**



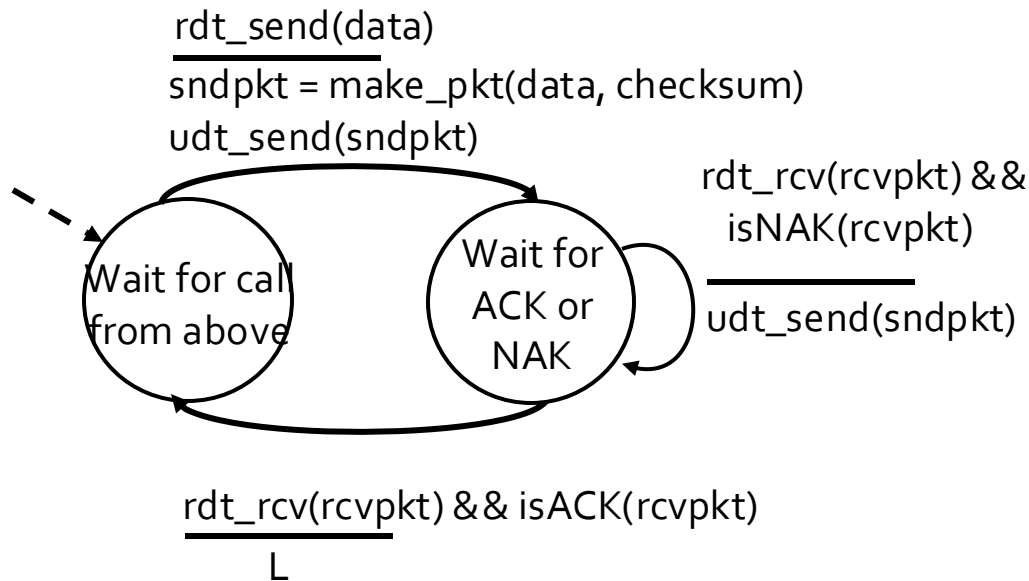
**receiver**

# rdt1.0 in action



No error and no loss (reliable communication channel)

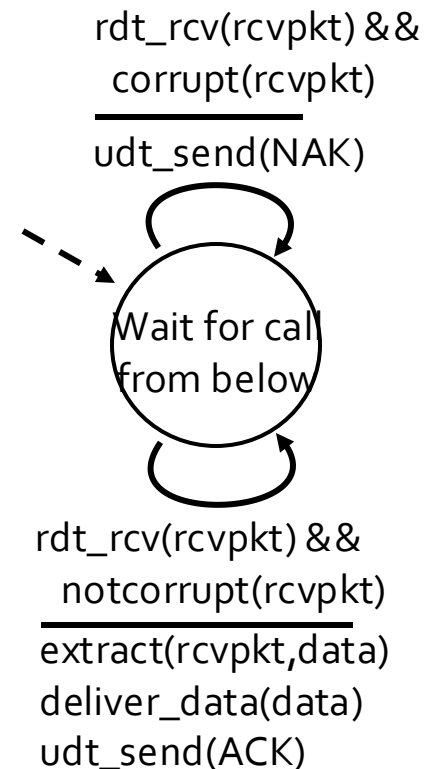
# rdt2.0: channel with bit errors (unreliable channel)



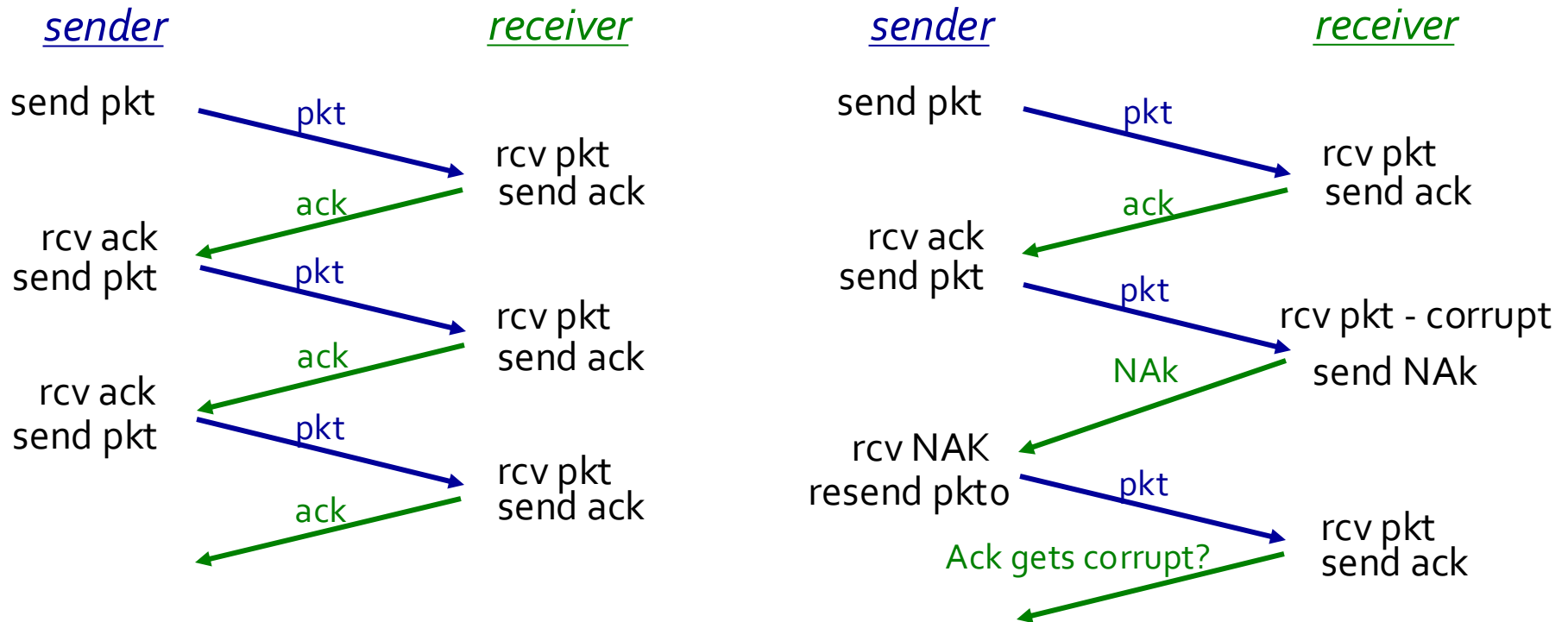
sender

stop and wait

receiver



# rdt2.0 in action

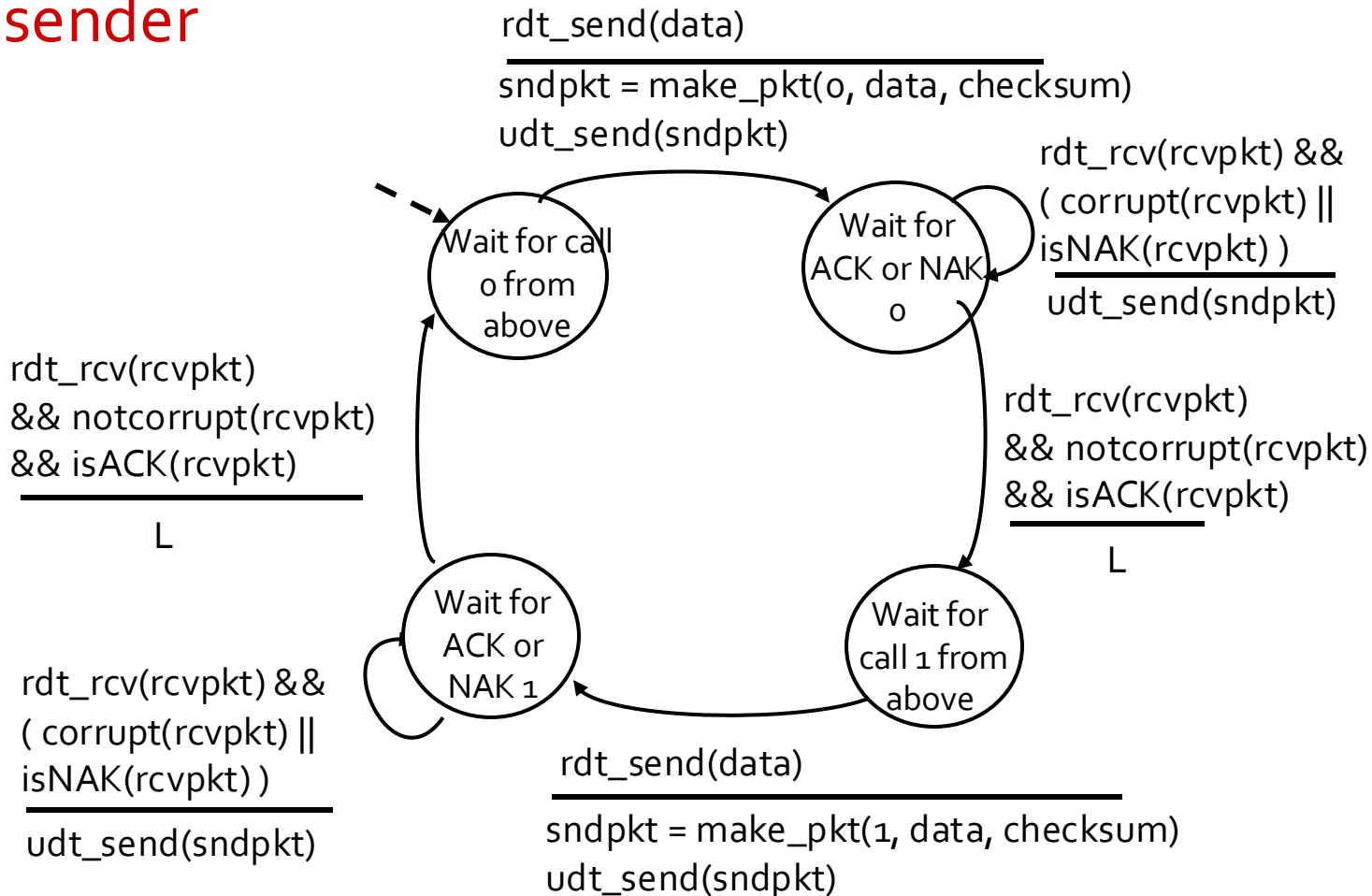


Then cannot handle

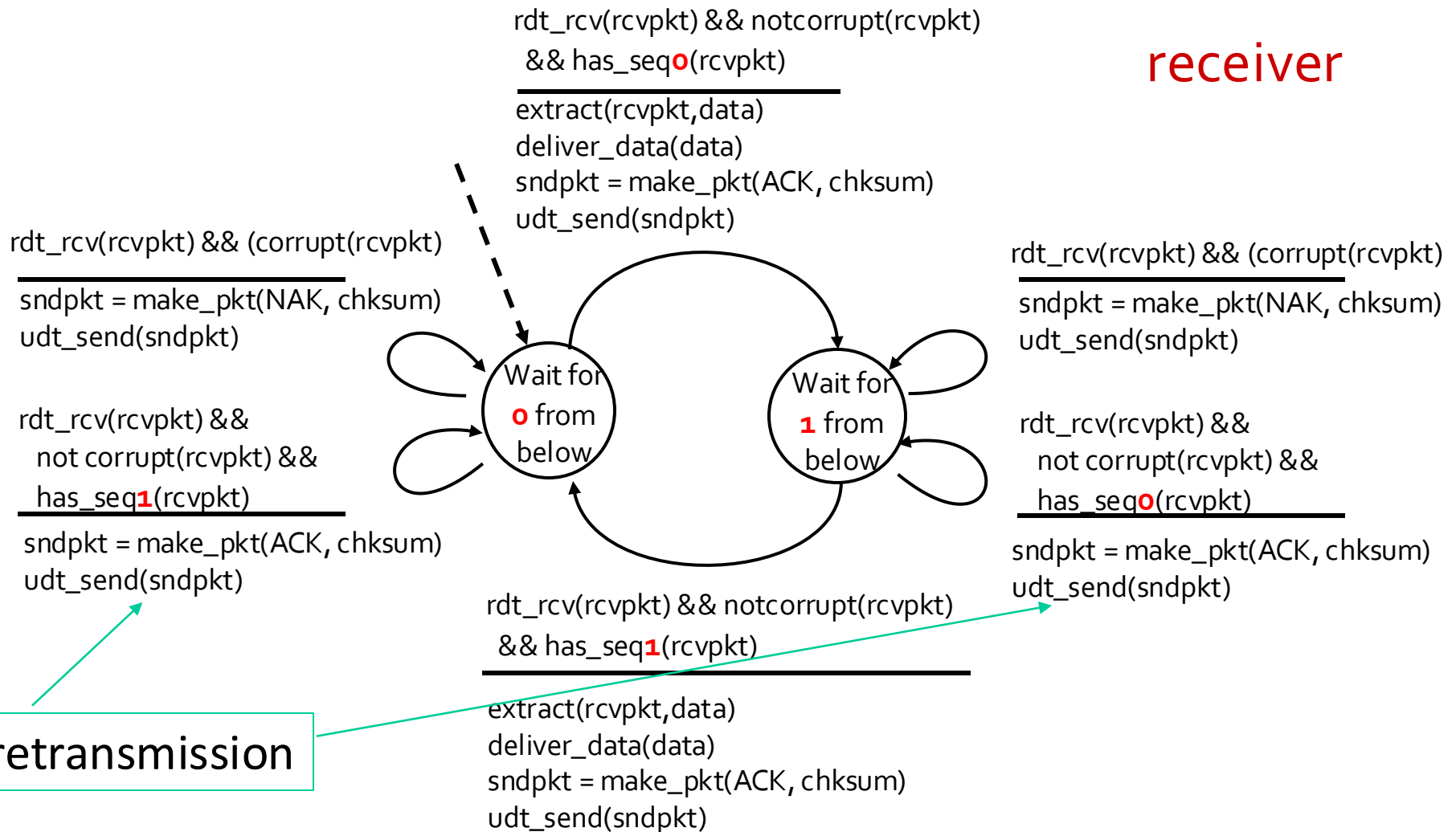
Possible error in Ack but still assuming no loss of packets

# rdt2.1: sender handles distorted ACK/NAKs

sender

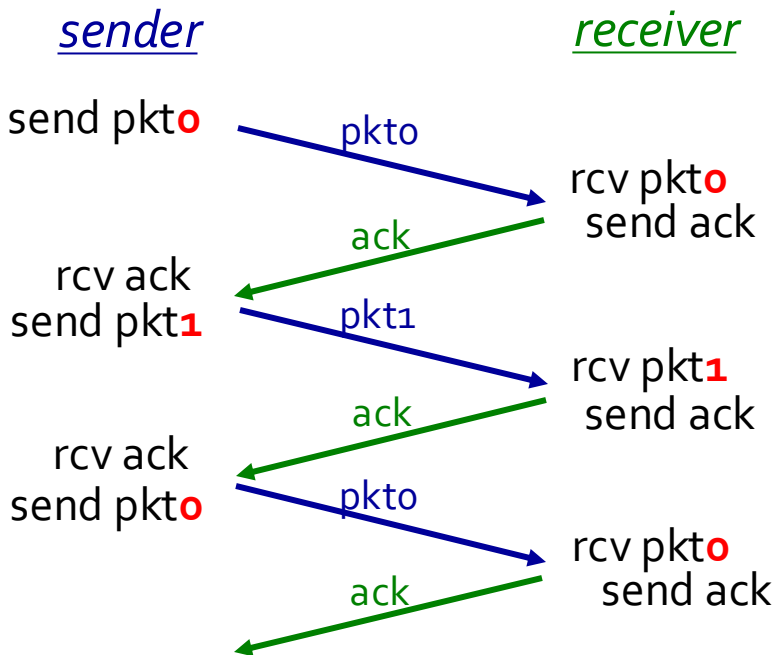


# rdt2.1: receiver handles distorted ACK/NAKs

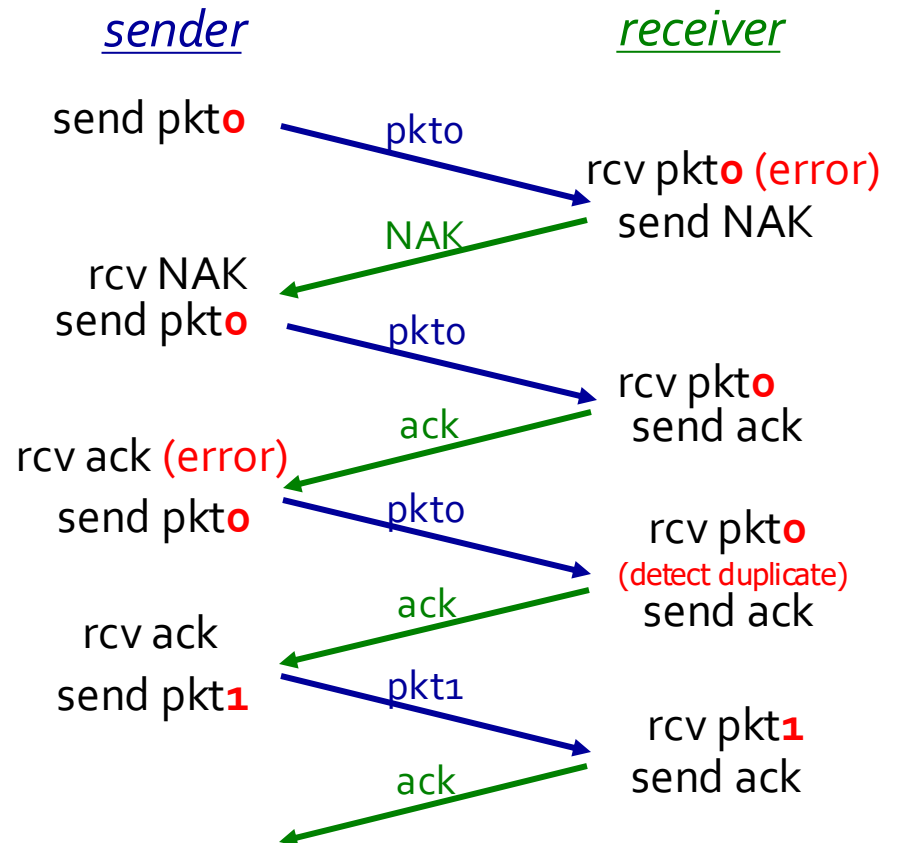




# rdt2.1 in action



No error

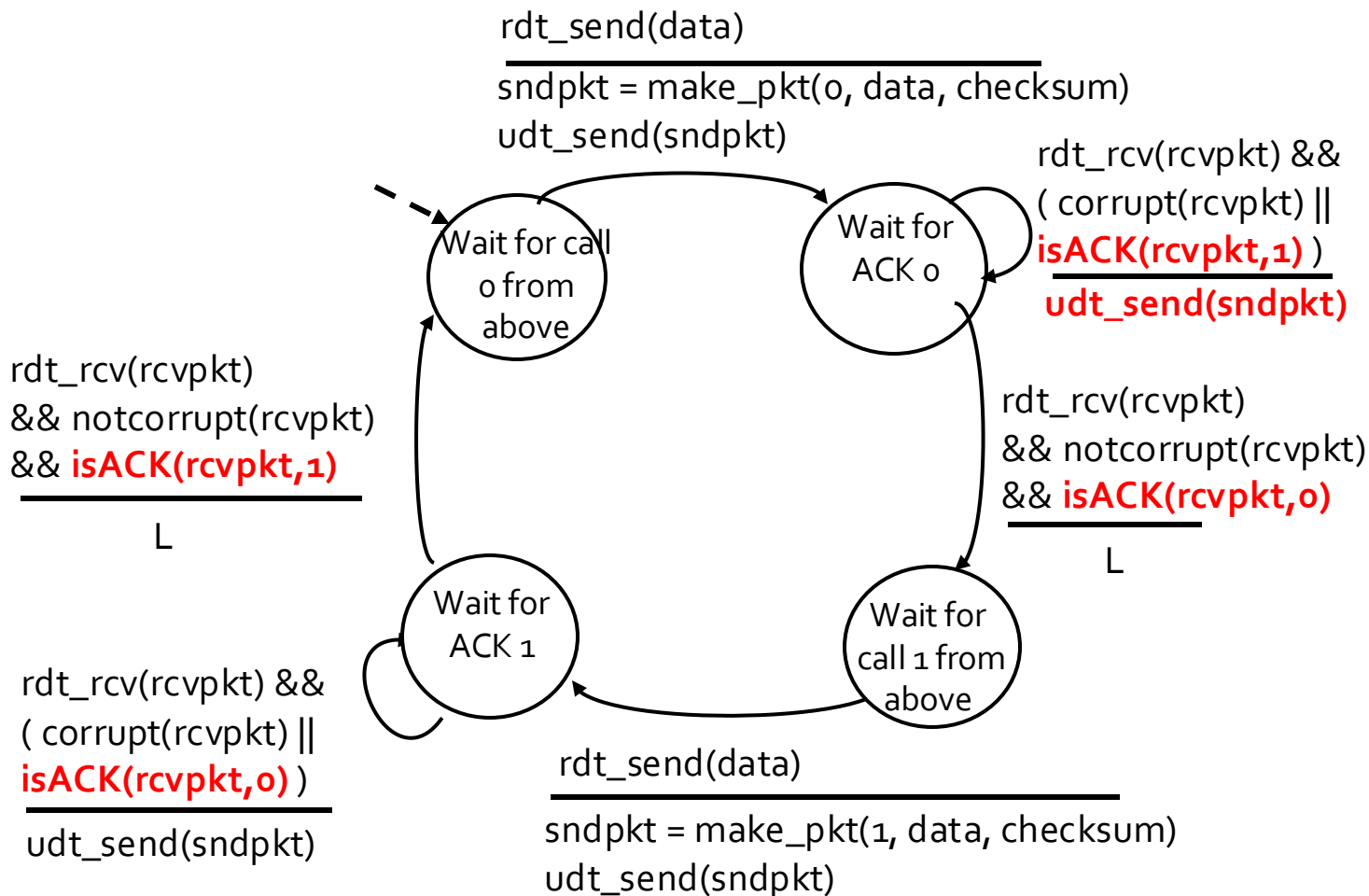


Error in pkt or ack/nak

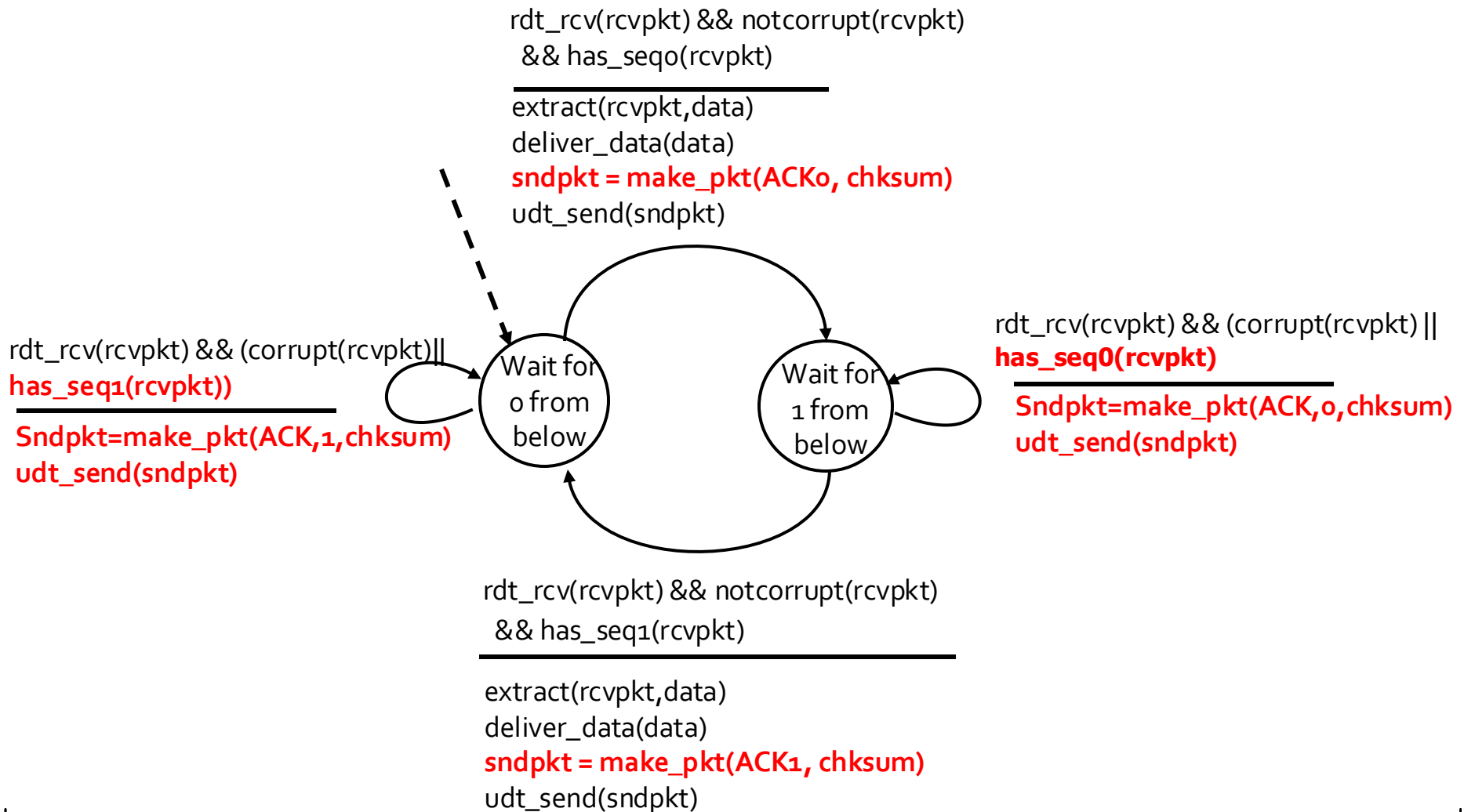
# rdt2.2: a NAK-free protocol

- same functionality as rdt2.1, using ACKs only
- instead of NAK, receiver sends ACK for last pkt received OK
  - receiver must *explicitly* include seq # of pkt being ACKed
- duplicate ACK at sender results in same action as NAK: *retransmit current pkt*

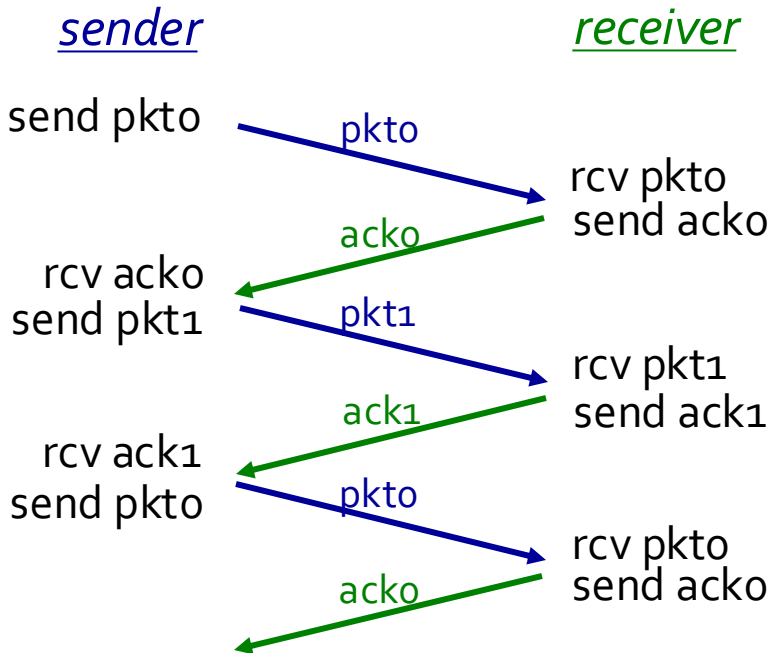
# rdt2.2: NAK-free sender



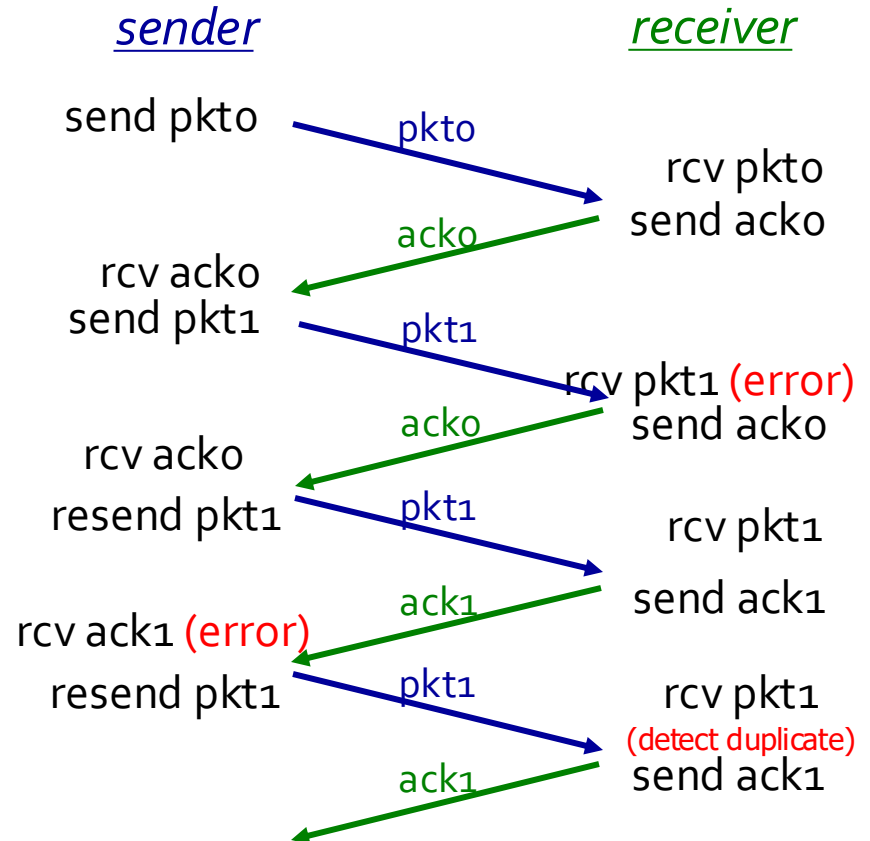
# rdt2.2: NAK-free receiver



# rdt2.2 in action



No error



## Error in pkt or ack/nak

# rdt3.0: channels with *errors and loss*

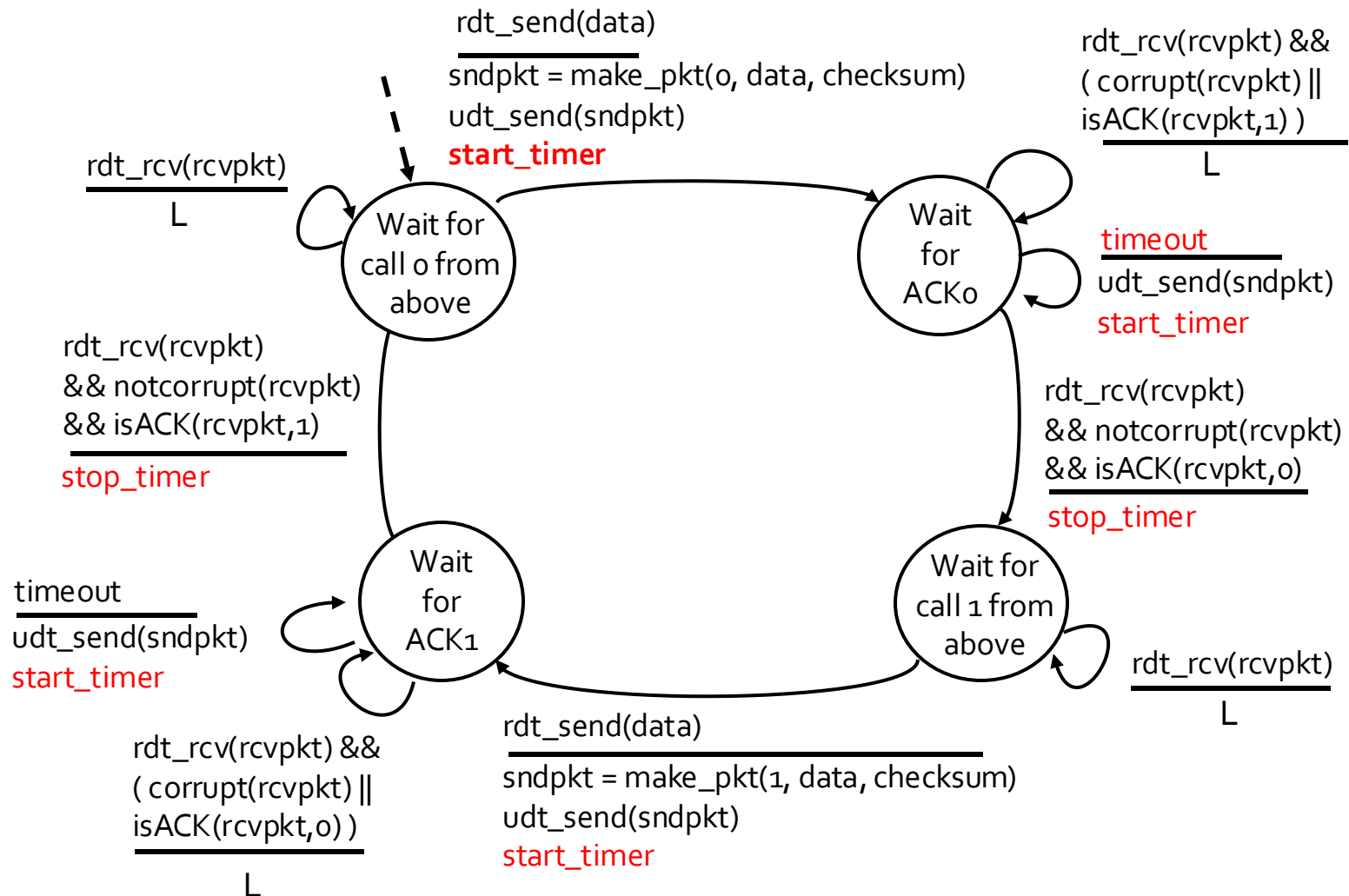
## New assumption:

- underlying channel can also lose packets (data, ACKs)
  - checksum, seq. #, ACKs, retransmissions will be of help ... but not enough

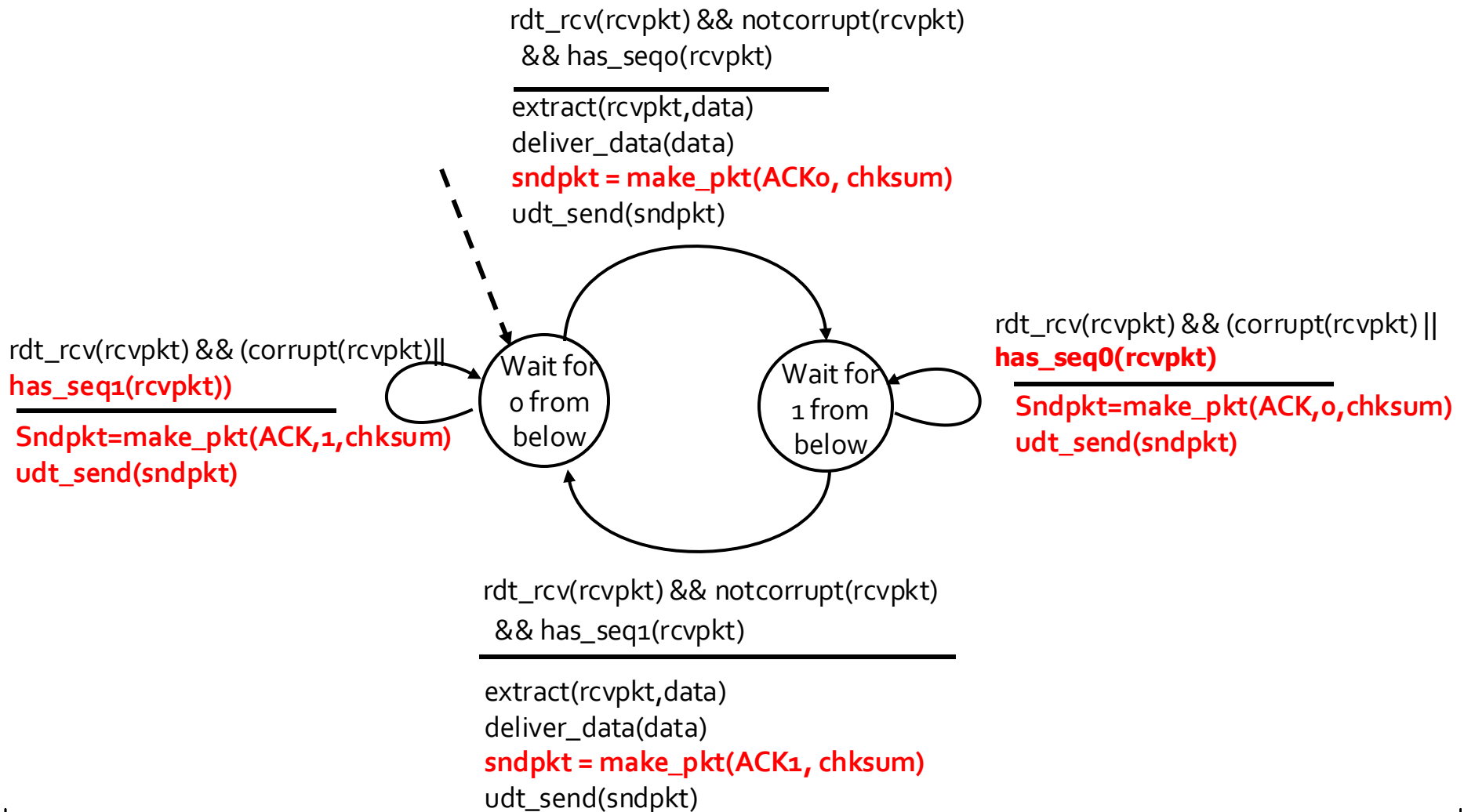
## Approach:

- sender waits “reasonable” amount of time for ACK
- retransmits if no ACK received in this time
- if pkt (or ACK) just delayed (not lost):
  - retransmission will be duplicate, but seq. #'s already handles this
  - receiver must specify seq # of pkt being ACKed
- requires countdown timer

# rdt3.0 sender

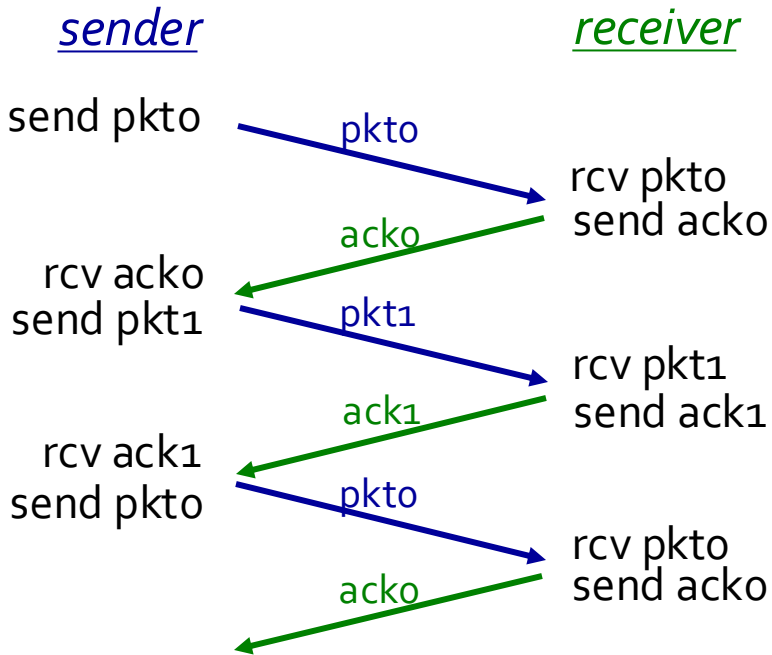


# rdt3.0 receiver (rdt2.2 receiver)

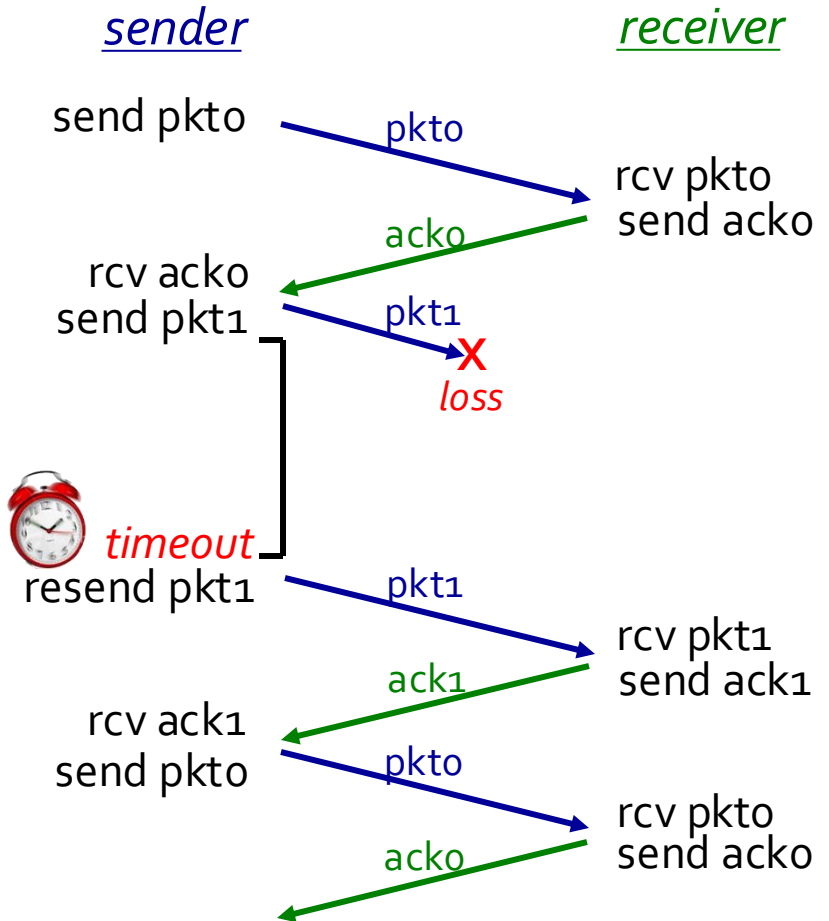




# rdt3.0 in action

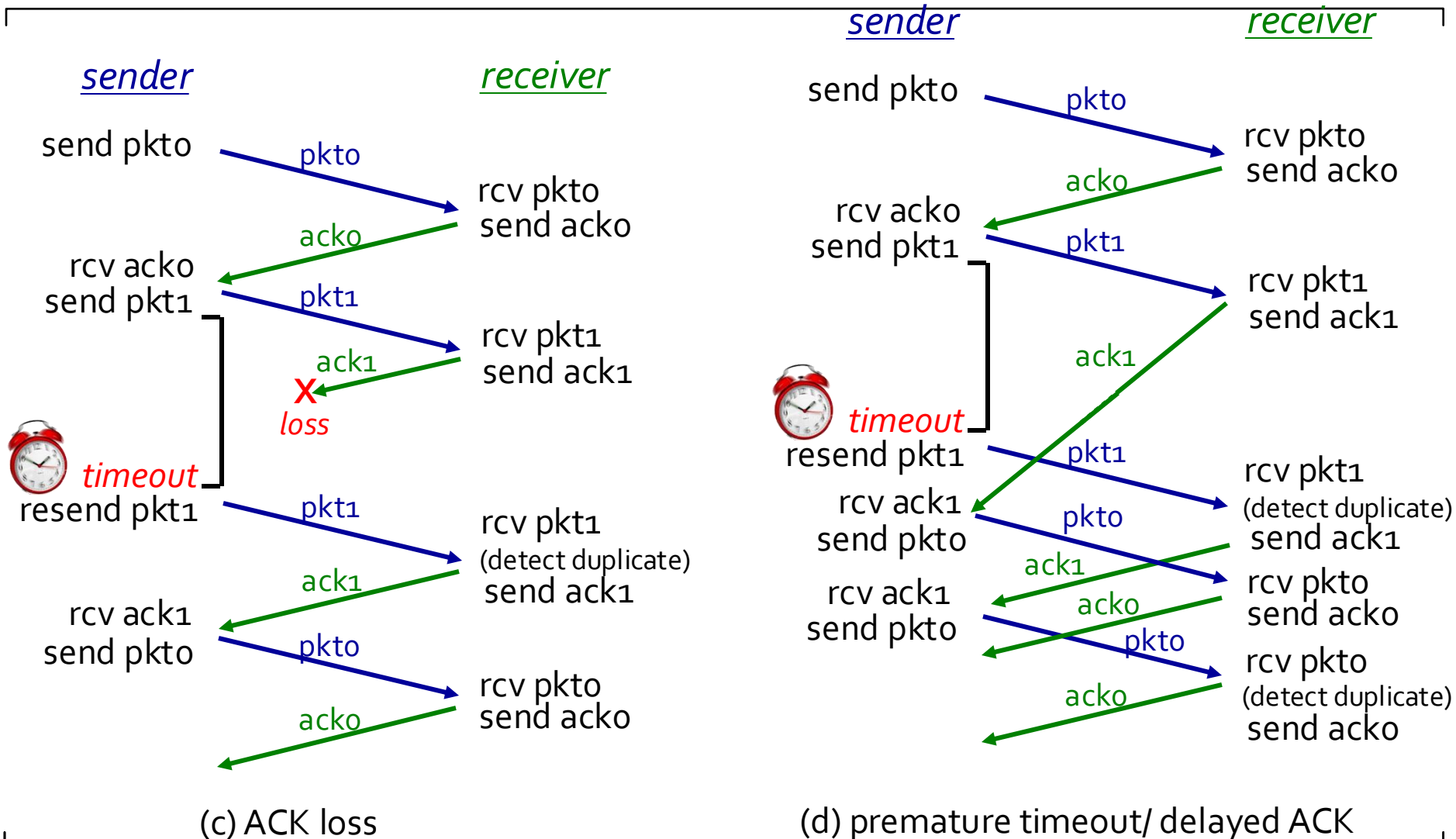


(a) no loss

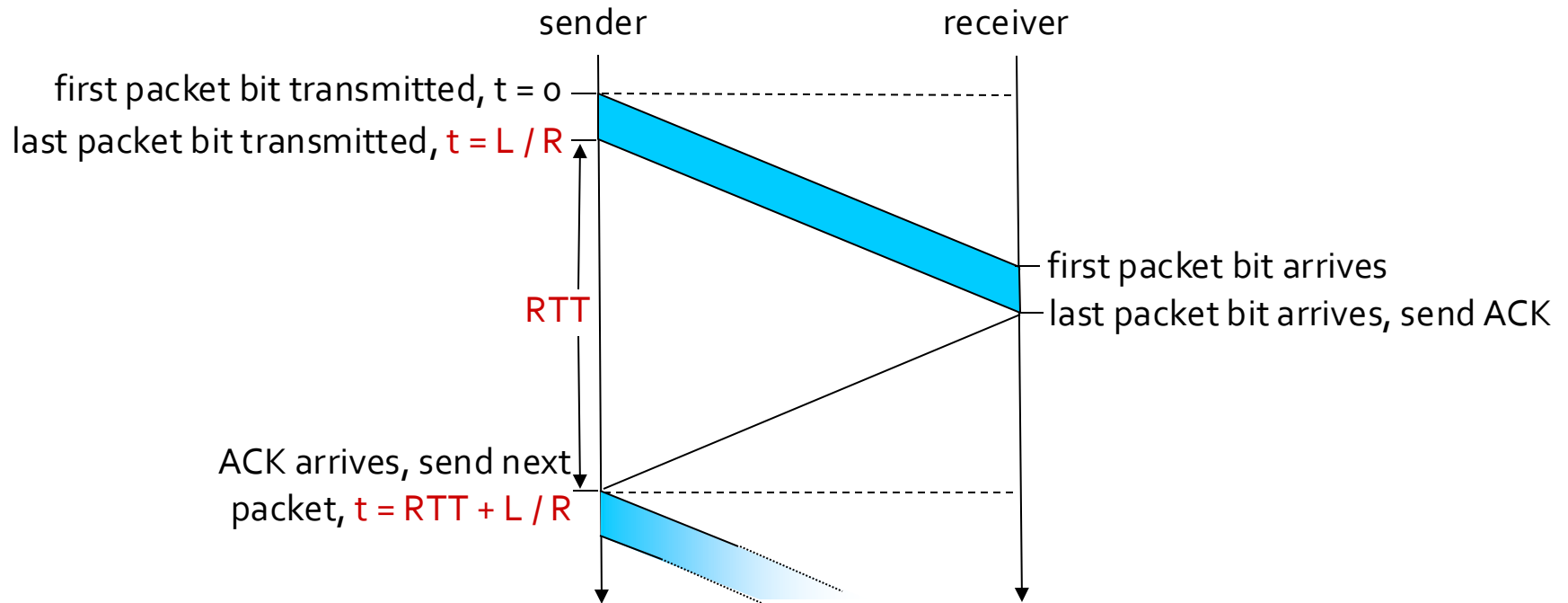


(b) packet loss

# rdt3.0 in action



# rdt3.0: stop-and-wait operation



$$Utilization_{sender} = \frac{L / R}{RTT + L / R}$$

# Performance of rdt3.0

- rdt3.0 is correct, but performance is not good
- e.g.: 1 Gbps link, 15 ms prop. delay, 8000 bit packet:

$$D_{trans} = \frac{L}{R} = \frac{8000 \text{ bits}}{10^9 \text{ bits/sec}} = 8 \text{ microsecs}$$

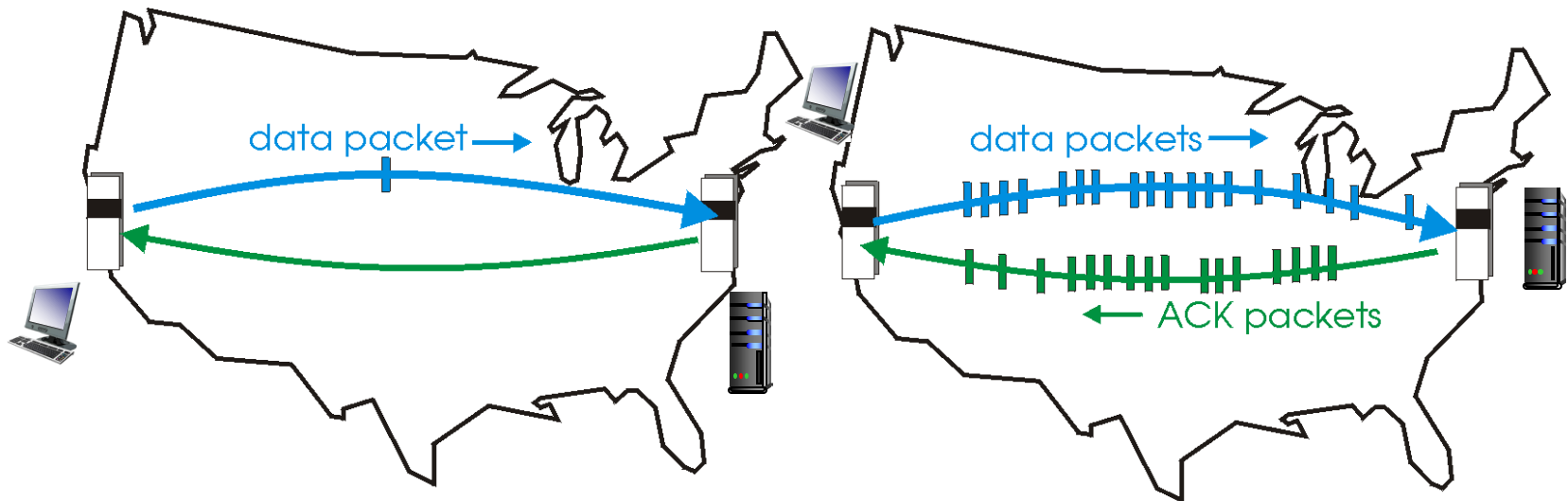
- $U_{\text{sender}}$ : *utilization* – fraction of time sender busy sending

$$U_{\text{sender}} = \frac{L / R}{RTT + L / R} = \frac{.008}{30.008} = 0.00027$$

- if RTT=30 msec, 1KB pkt every 30 msec: 33kB/sec (267kbps) thruput over 1 Gbps link
- network protocol limits use of physical resources!

# Pipelined protocols

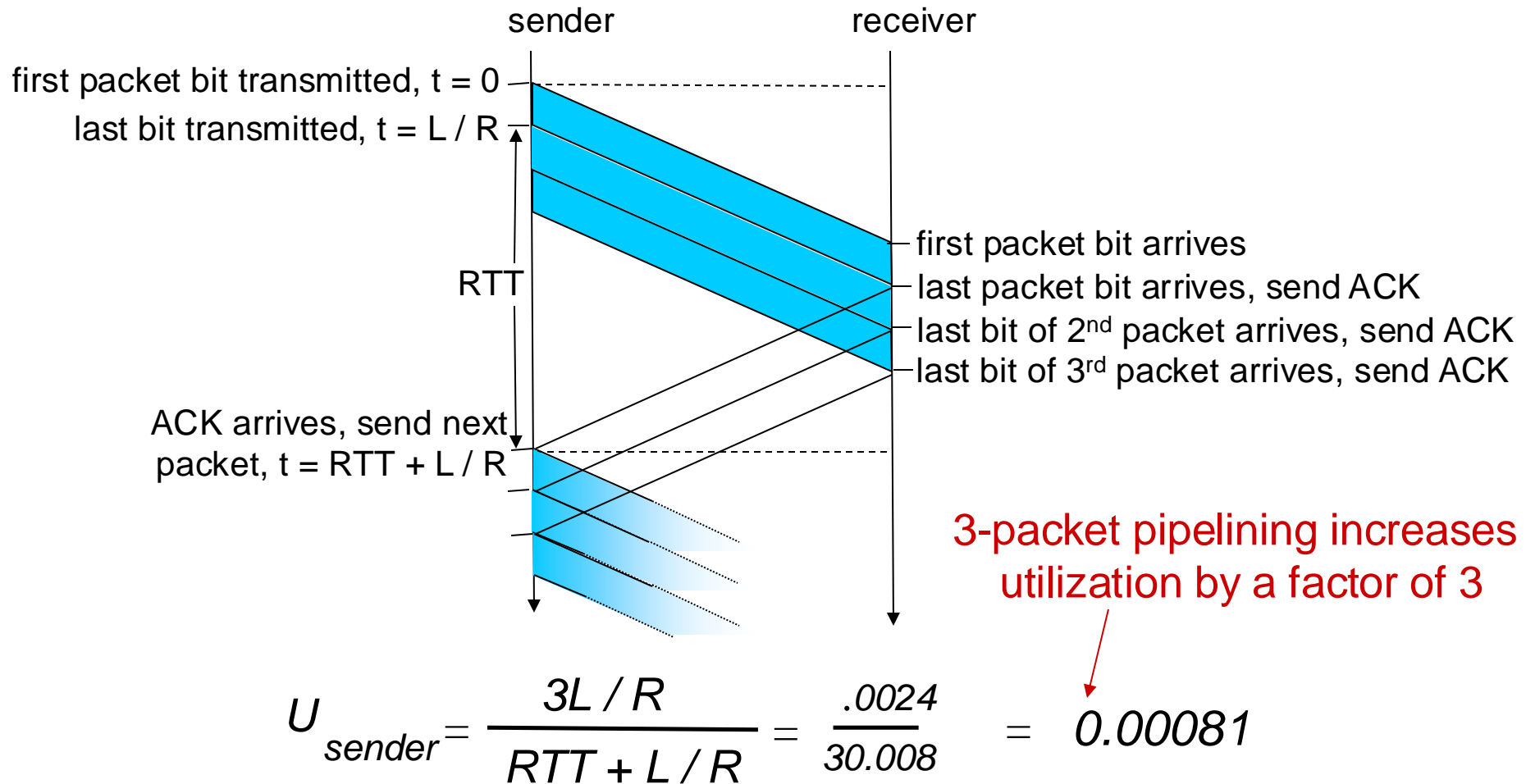
- **pipelining**: sender allows multiple, “in-flight”, yet-to-be-acknowledged pkts
  - range of sequence numbers must be increased
  - buffering at sender and/or receiver
- two generic forms of pipelined protocols: *go-Back-N*, *selective repeat*



(a) a stop-and-wait protocol in operation

(b) a pipelined protocol in operation

# Pipelining: increased utilization



# Pipelined protocols: overview

## Go-back-N:

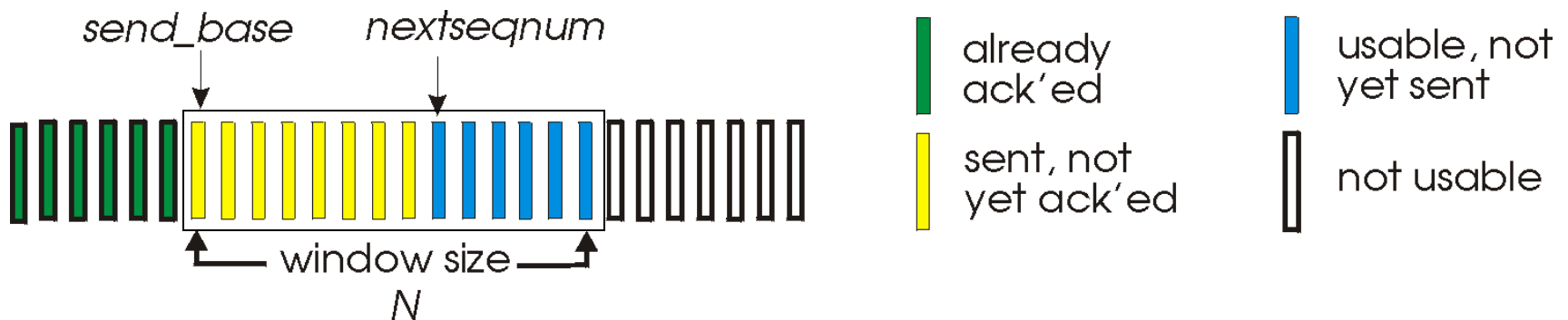
- sender can have up to N unacked packets in pipeline
- receiver only sends *cumulative ack*
  - doesn't ack packet if there's a gap
- sender has timer for oldest unacked packet
  - when timer expires, retransmit *all* unacked packets

## Selective Repeat:

- sender can have up to N unack'ed packets in pipeline
- receiver sends *individual ack* for each packet
- sender maintains timer for each unacked packet
  - when timer expires, retransmit only that unacked packet

# Go-Back-N: sender

- k-bit seq # in pkt header
- “window” of up to N, consecutive unack’ed pkts allowed
- ACK(n): ACKs all pkts up to, including seq # n - “*cumulative ACK*”
  - may receive duplicate ACKs (see receiver)
- timer for oldest in-flight pkt
- *timeout(n)*: retransmit packet n and all higher seq # pkts in window





# GBN in action

sender window (N=4)

0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8  
 0 1 2 3 4 5 6 7 8

sender

send pkt0  
 send pkt1  
 send pkt2  
 send pkt3  
 (wait)

rcv ack0, send pkt4  
 rcv ack1, send pkt5

ignore duplicate ACK



*pkt 2 timeout*

send pkt2  
 send pkt3  
 send pkt4  
 send pkt5

receiver

receive pkt0, send ack0  
 receive pkt1, send ack1

receive pkt3, discard,  
 (re)send ack1

receive pkt4, discard,  
 (re)send ack1

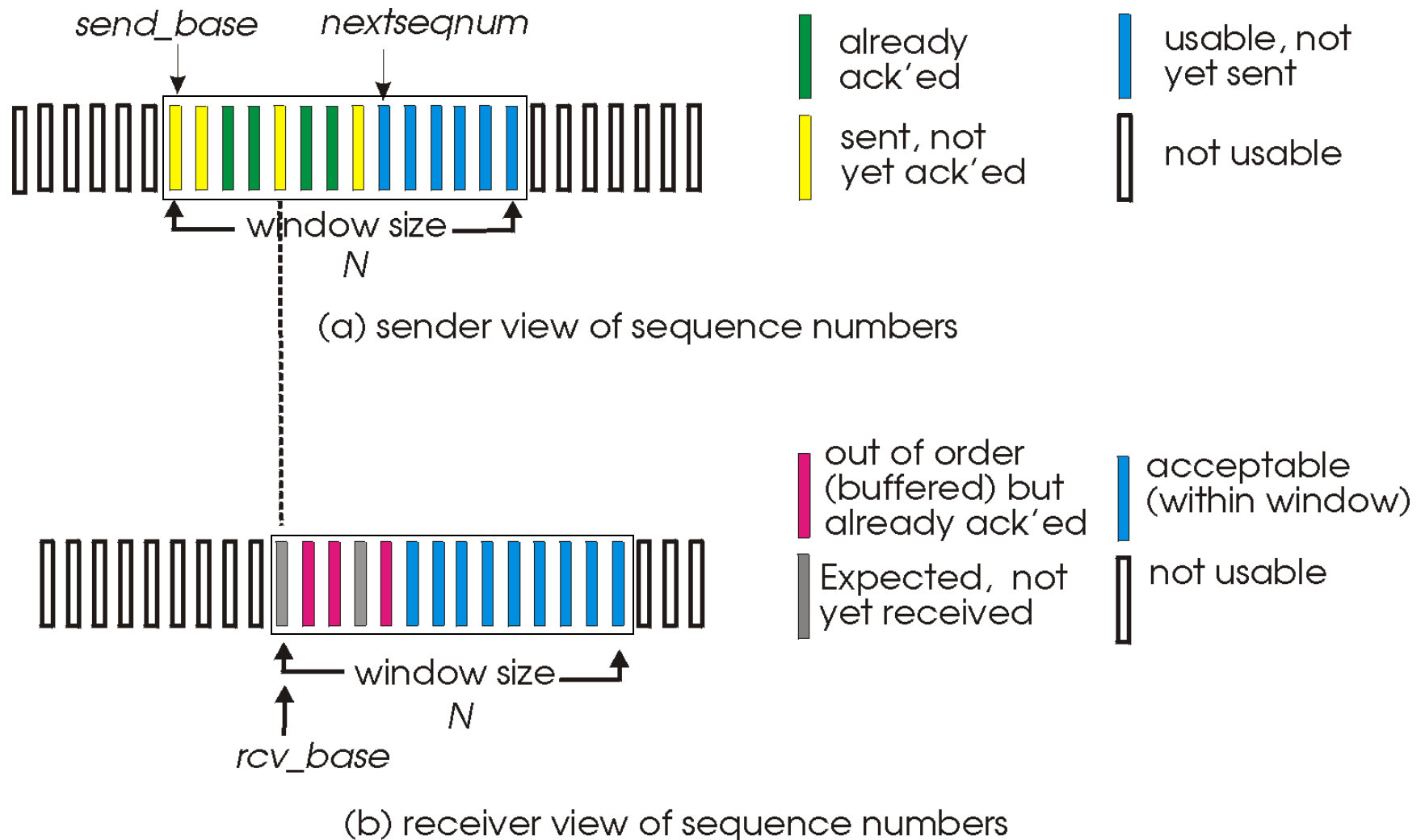
receive pkt5, discard,  
 (re)send ack1

rcv pkt2, deliver, send ack2  
 rcv pkt3, deliver, send ack3  
 rcv pkt4, deliver, send ack4  
 rcv pkt5, deliver, send ack5

# Selective repeat

- receiver *individually* acknowledges all correctly received pkts
  - buffers pkts, as needed, for eventual in-order delivery to upper layer
- sender only resends pkts for which ACK not received
  - sender timer for each unACKed pkt
- sender window
  - $N$  consecutive seq #'s
  - limits seq #'s of sent, unACKed pkts

# Selective repeat: sender, receiver windows



# Selective repeat in action

sender window (N=4)

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8

sender

send pkt0

send pkt1

send pkt2

send pkt3

(wait)

rcv ack0, send pkt4

rcv ack1, send pkt5

record ack3 arrived



*pkt 2 timeout*

send pkt2

record ack4 arrived

record ack5 arrived

receiver

receive pkt0, send ack0

receive pkt1, send ack1

receive pkt3, buffer,  
send ack3

receive pkt4, buffer,  
send ack4

receive pkt5, buffer,  
send ack5

rcv pkt2; deliver pkt2,  
pkt3, pkt4, pkt5; send ack2

# Selective repeat

## sender

### data from above:

- if next available seq # in window, send pkt

### timeout(n):

- resend pkt n, restart timer

### ACK(n) in [sendbase, sendbase+N]:

- mark pkt n as received
- if n smallest unACKed pkt, advance window base to next unACKed seq #

## receiver

### pkt n in [rcvbase, rcvbase+N-1]

- send ACK(n)
- out-of-order: buffer
- in-order: deliver (also deliver buffered, in-order pkts), advance window to next not-yet-received pkt

### pkt n in [rcvbase-N, rcvbase-1]

- ACK(n)

### otherwise:

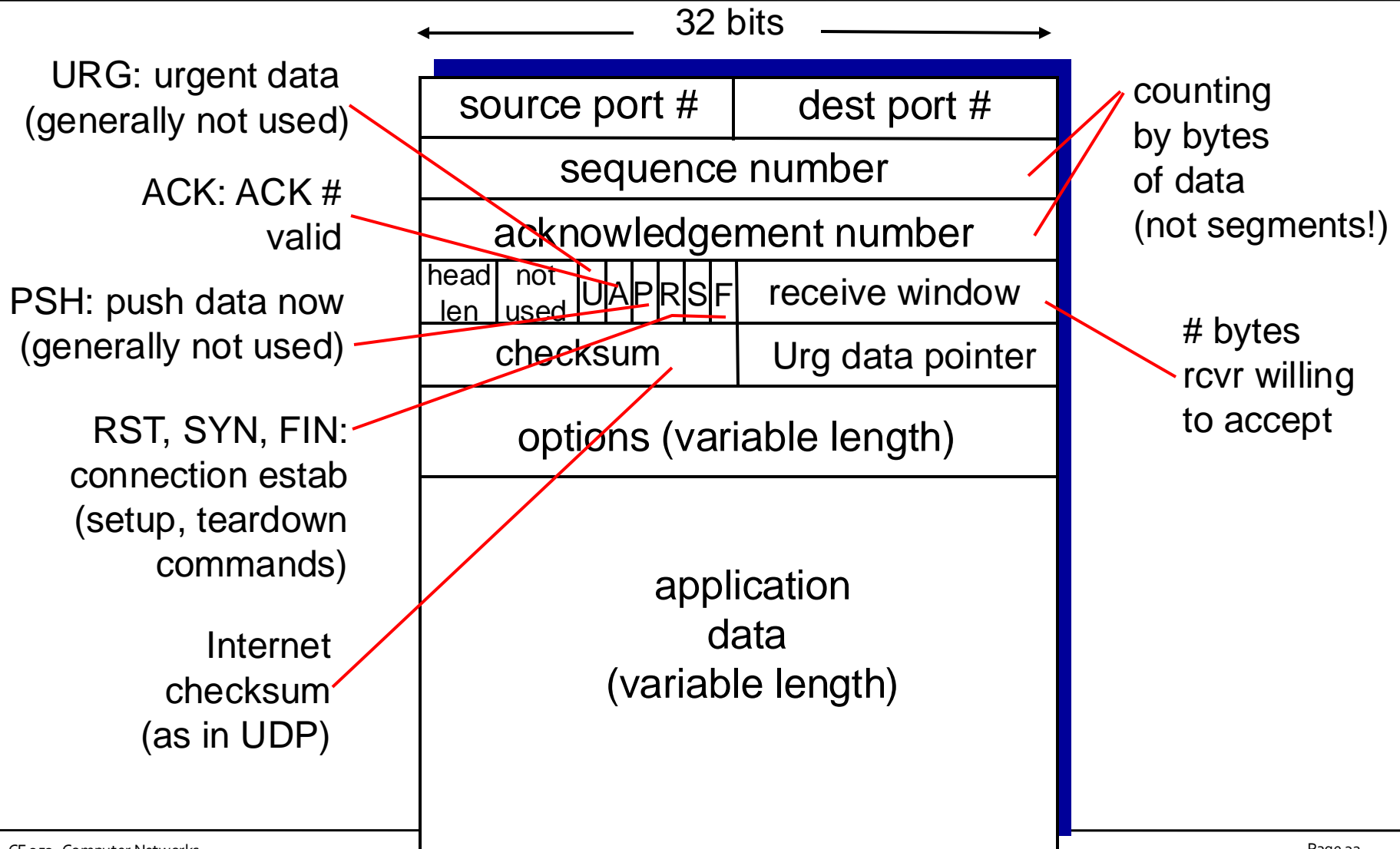
- ignore

# TCP: Overview

RFCs: 793,1122,1323, 2018, 2581

- **point-to-point:**
  - one sender, one receiver
- **reliable, in-order *byte stream*:**
  - no “message boundaries”
- **pipelined:**
  - TCP congestion and flow control set window size
- **full duplex data:**
  - bi-directional data flow in same connection
- **connection-oriented:**
  - handshaking (exchange of control msgs) inits sender, receiver state before data exchange
- **flow controlled:**
  - sender will not overwhelm receiver

# TCP segment structure

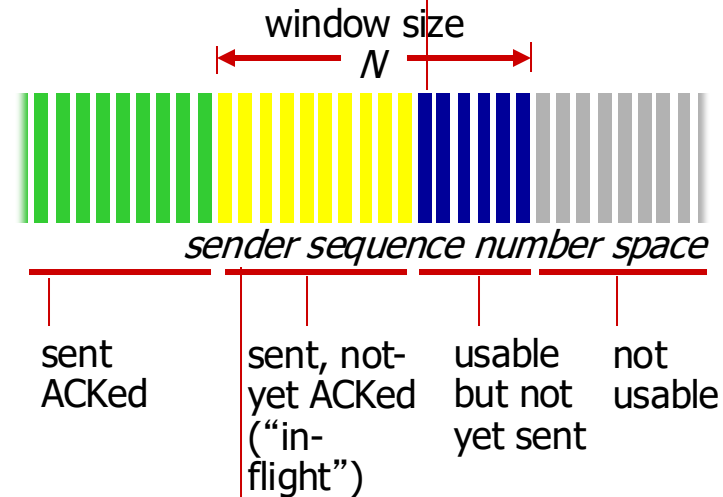


# TCP seq. numbers, ACKs

- Sequence numbers:
  - byte stream “number” of first byte in segment’s data
- Acknowledgements:
  - seq # of next byte expected from other side
  - cumulative ACK

outgoing segment from sender

source port #	dest port #
sequence number	
acknowledgement number	
	rwnd
checksum	urg pointer

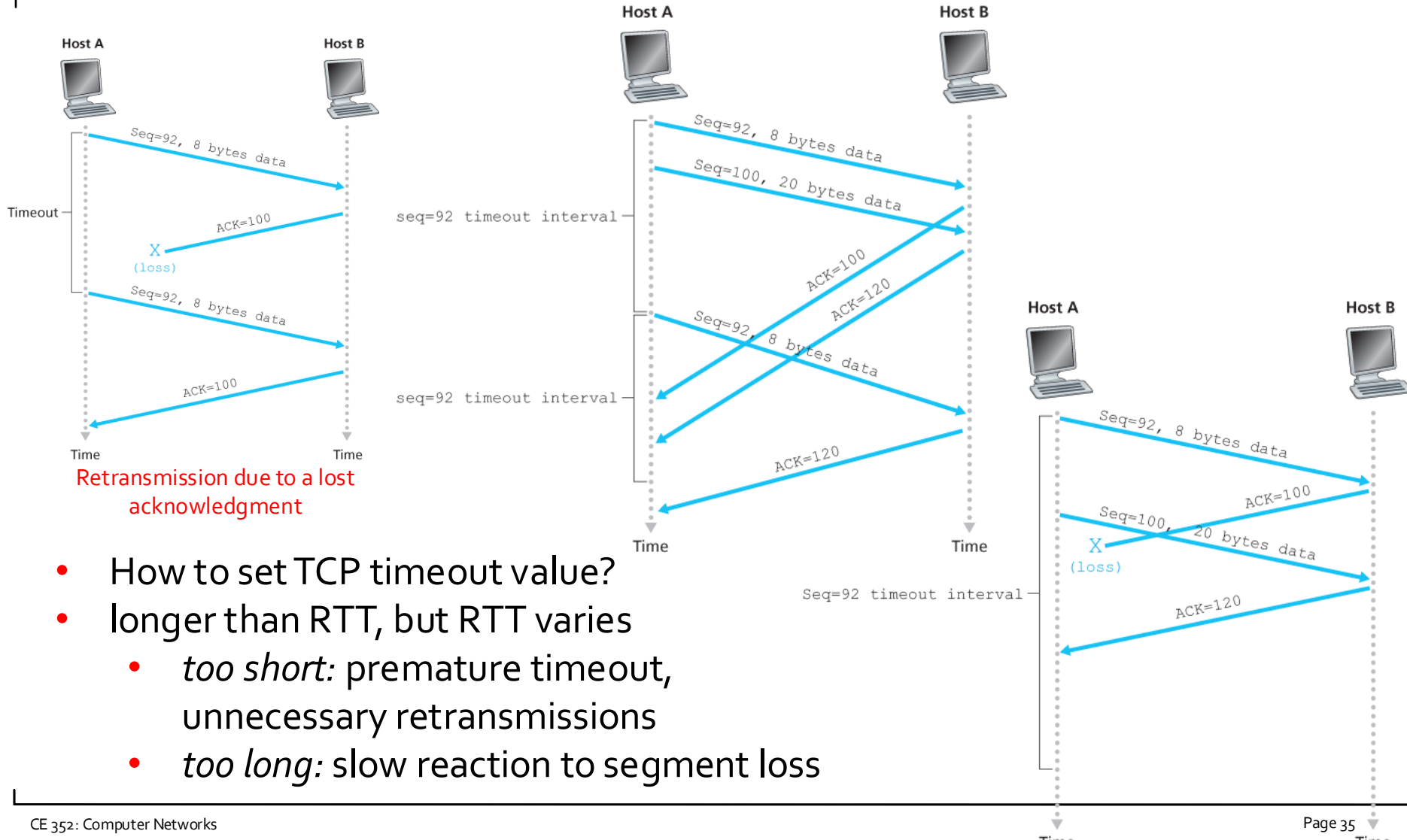


incoming segment to sender

source port #	dest port #
sequence number	
acknowledgement number	
	rwnd
checksum	urg pointer



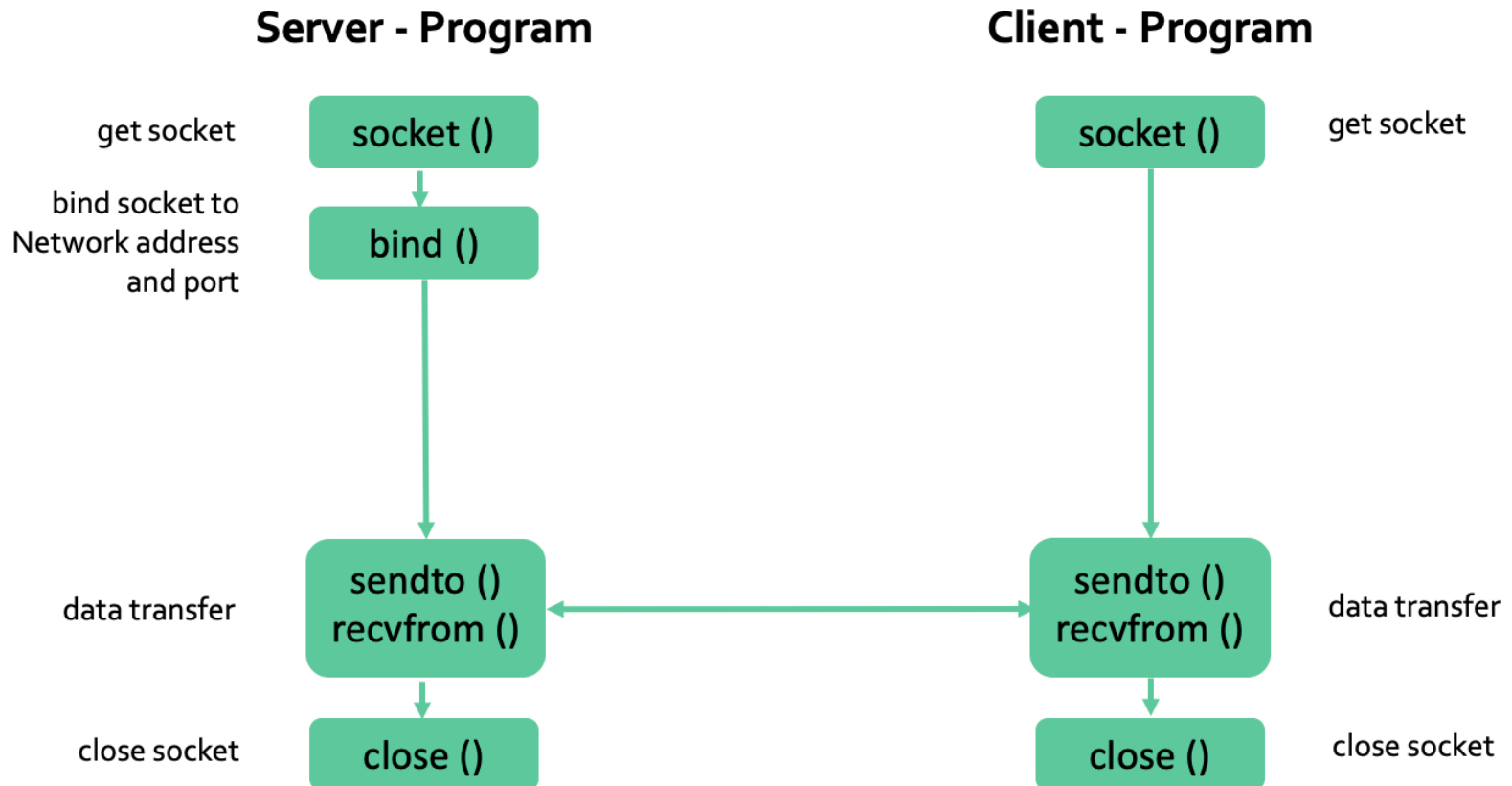
# TCP Timeout



- How to set TCP timeout value?
- longer than RTT, but RTT varies
  - *too short*: premature timeout, unnecessary retransmissions
  - *too long*: slow reaction to segment loss

# Bonus 3

- UDP/ IP socket programming
- Client - Server file transfer (connectionless and unreliable)



# Summary

## Today:

- Transport protocols
  - rtd3.0: channel with errors and loss
  - Pipelined protocols: Go-Back-N and Selective repeat
- TCP overview, segment structure, communication

## Canvas discussion:

- Reflection
- Exit ticket

## Next time:

- read 3.6 and 3.7 of K&R
- follow on Canvas! material and announcements

Any questions?