# **Web Locks API**

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# **Abstract**

This document defines a web platform API that allows script to asynchronously acquire a lock over a resource, hold it while work is performed, then release it. While held, no other script in the origin can acquire a lock over the same resource. This allows contexts (windows, workers) within a web application to coordinate the usage of resources.



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# § 1. Introduction

This section is non-normative.

A <u>lock request</u> is made by script for a particular <u>resource name</u> and <u>mode</u>. A scheduling algorithm looks at the state of current and previous requests, and eventually grants a lock request. A <u>lock</u> is a granted request; it has a <u>resource name</u> and <u>mode</u>. It is represented as an object returned to script. As long as the lock is held it may prevent other lock requests from being granted (depending on the name and mode). A lock can be released by script, at which point it may allow other lock requests to be granted.

The API provides optional functionality that may be used as needed, including:

- returning values from the asynchronous task,
- shared and exclusive lock modes,
- conditional acquisition,
- diagnostics to query the state of locks, and
- an escape hatch to protect against deadlocks.

Cooperative coordination takes place within the scope of <u>agents</u> sharing a <u>storage bucket</u>; this may span multiple <u>agent clusters</u>.

<u>Agents</u> roughly correspond to windows (tabs), iframes, and workers. <u>Agent clusters</u> correspond to independent processes in some user agent implementations.

# § 1.1. Usage Overview

The API is used as follows:

- 1. The lock is requested.
- 2. Work is done while holding the lock in an asynchronous task.
- 3. The lock is automatically released when the task completes.

```
EXAMPLE 1
A basic example of the API usage is as follows:
 navigator.locks.request('my resource', async lock => {
     // The lock has been acquired.
     await do something();
     await do something else();
    // Now the lock will be released.
 });
Within an asynchronous function, the request itself can be awaited:
 // Before requesting the lock.
 await navigator.locks.request('my resource', async lock => {
    // The lock has been acquired.
    await do something();
    // Now the lock will be released.
 });
 // After the lock has been released
```

# § 1.2. Motivating Use Cases

A web-based document editor stores state in memory for fast access and persists changes (as a series of records) to a storage API such as the <u>Indexed Database API</u> for resiliency and offline use, and to a server for cross-device use. When the same document is opened for editing in two tabs the work must be coordinated across tabs, such as allowing only one tab to make changes to or synchronize the document at a time. This requires the tabs to coordinate on which will be actively making changes (and synchronizing the in-memory state with the storage API), knowing when the active tab goes away (navigated, closed, crashed) so that another tab can become active.

In a data synchronization service, a "primary tab" is designated. This tab is the only one that should be performing some operations (e.g. network sync, cleaning up queued data, etc). It holds a lock and never releases it. Other tabs can attempt to acquire the lock, and such attempts will be queued. If the "primary tab" crashes or is closed then one of the other tabs will get the lock and become the new primary.

The <u>Indexed Database API</u> defines a transaction model allowing shared read and exclusive write access across multiple named storage partitions within an origin. Exposing this concept as a primitive allows any Web Platform activity to be scheduled based on resource availability, for example allowing transactions to be composed for other storage types (such as Caches [Service-Workers]), across storage types, even across non-storage APIs (e.g. network fetches).

# § 2. Concepts

For the purposes of this specification:

- Separate user profiles within a browser are considered separate user agents.
- Every <u>private mode</u> browsing session is considered a separate user agent.

A <u>user agent</u> has a *lock task queue* which is the result of <u>starting a new parallel queue</u>.

The task source for steps enqueued below is the *web locks tasks source*.

#### § 2.1. Resources Names

A *resource name* is a <u>JavaScript string</u> chosen by the web application to represent an abstract resource.

A resource name has no external meaning beyond the scheduling algorithm, but is global across <u>agents</u> sharing a <u>storage bucket</u>. Web applications are free to use any resource naming scheme.

# EXAMPLE 2 To mimic transaction locking over named stores within a named database in

To mimic transaction locking over named stores within a named database in [IndexedDB-2], a script might compose resource names as:

Resource names starting with U+002D HYPHEN-MINUS (-) are reserved; requesting these will cause an exception.

1

# § 2.2. Lock Managers

A *lock manager* encapsulates the state of <u>locks</u> and <u>lock requests</u>. Each <u>storage bucket</u> includes one lock manager through an associated storage bottle for the Web Locks API.

Note: Pages and workers (<u>agents</u>) sharing a <u>storage bucket</u> opened in the same user agent share a <u>lock manager</u> even if they are in unrelated <u>browsing contexts</u>.

To *obtain a lock manager*, given an <u>environment settings object</u> *environment*, run these steps:

- 1. Let *map* be the result of <u>obtaining a local storage bottle map</u> given *environment* and "web-locks".
- 2. If *map* is failure, then return failure.
- 3. Let *bottle* be *map*'s associated storage bottle.
- 4. Return *bottle*'s associated <u>lock manager</u>.

**ISSUE 1** Refine the integration with [Storage] here, including how to get the lock manager properly from the given environment.

# § 2.3. Modes and Scheduling

A *mode* is either "exclusive" or "shared". Modes can be used to model the common readerswriter lock pattern. If an "exclusive" lock is held, then no other locks with that name can be granted. If a "shared" lock is held, other "shared" locks with that name can be granted — but not any "exclusive" locks. The default mode in the API is "exclusive".

Additional properties may influence scheduling, such as timeouts, fairness, and so on.

#### § 2.4. Locks

A *lock* represents exclusive access to a shared resource.

A <u>lock</u> has an *agent* which is an <u>agent</u>.

A lock has a *clientId* which is an opaque string.

A <u>lock</u> has a *manager* which is a <u>lock manager</u>.

 $\P$ 

A lock has a *name* which is a resource name.

A <u>lock</u> has a *mode* which is one of "<u>exclusive</u>" or "<u>shared</u>".

A <u>lock</u> has a *waiting promise* which is a Promise.

A <u>lock</u> has a *released promise* which is a Promise.

There are two promises associated with a lock's lifecycle:

- A promise provided either implicitly or explicitly by the callback when the lock is granted which determines how long the lock is held. When this promise settles, the lock is released. This is known as the lock's <u>waiting promise</u>.
- A promise returned by <u>LockManager</u>'s <u>request()</u> method that settles when the lock is released or the request is aborted. This is known as the lock's <u>released promise</u>.

```
const p1 = navigator.locks.request('resource', lock => {
  const p2 = new Promise(r => {
    // Logic to use lock and resolve promise...
});
  return p2;
});
```

In the above example, p1 is the <u>released promise</u> and p2 is the <u>waiting promise</u>. Note that in most code the callback would be implemented as an async function and the returned promise would be implicit, as in the following example:

```
const p1 = navigator.locks.request('resource', async lock => {
   // Logic to use lock...
});
```

The <u>waiting promise</u> is not named in the above code, but is still present as the return value from the anonymous async callback. Further note that if the callback is not async and returns a non-promise, the return value is wrapped in a promise that is immediately resolved; the lock will be released in an upcoming microtask, and the <u>released promise</u> will also resolve in a subsequent microtask.

Each <u>lock manager</u> has a *held lock set* which is a <u>set</u> of <u>locks</u>.

When <u>lock</u> <u>lock</u>'s <u>waiting promise</u> settles (fulfills or rejects), <u>enqueue the following steps</u> on the <u>lock</u> <u>task queue</u>:

- 1. Release the lock *lock*.
- 2. <u>Resolve lock's released promise</u> with lock's waiting promise.

### § 2.5. Lock Requests

A *lock request* represents a pending request for a <u>lock</u>.

A <u>lock request</u> is a <u>struct</u> with <u>items</u> *agent*, *clientId*, *manager*, *name*, *mode*, *callback*, *promise*, and *signal*.

A *lock request queue* is a queue of lock requests.

Each <u>lock manager</u> has a *lock request queue map*, which is a <u>map</u> of <u>resource names</u> to <u>lock request</u> <u>queues</u>.

To *get the lock request queue* from <u>lock request queue map</u> *queueMap* from <u>resource name</u> *name*, run these steps:

- 1. If *queueMap[name*] does not <u>exist</u>, <u>set</u> *queueMap[name*] to a new empty <u>lock request queue</u>.
- 2. Return *queueMap*[name].

A <u>lock request</u> request is said to be *grantable* if the following steps return true:

- 1. Let manager be request's manager.
- 2. Let *queueMap* be *manager*'s <u>lock request queue map</u>.
- 3. Let *name* be *request*'s name.
- 4. Let *queue* be the result of getting the lock request queue from *queueMap* for *name*.
- 5. Let *held* be *manager*'s <u>held lock set</u>
- 6. Let *mode* be *request*'s mode
- 7. If *queue* is not empty and *request* is not the first item in *queue*, then return false.
- 8. If *mode* is "<u>exclusive</u>", then return true if no <u>lock</u> in *held* has <u>name</u> equal to *name*, and false otherwise.
- 9. Otherwise, *mode* is "<u>shared</u>"; return true if no <u>lock</u> in *held* has <u>mode</u> "<u>exclusive</u>" and has <u>name</u> equal to *name*, and false otherwise.

### § 2.6. Termination of Locks

Whenever the <u>unloading document cleanup steps</u> run with a <u>document</u>, <u>terminate remaining locks and requests</u> with its <u>agent</u>.

When an <u>agent</u> terminates, <u>terminate remaining locks and requests</u> with the agent.

**ISSUE 2** This is currently only for workers and is vaguely defined, since there is no normative way to run steps on worker termination.

To *terminate remaining locks and requests* with *agent*, <u>enqueue the following steps</u> on the <u>lock task</u> <u>queue</u>:

- 1. For each <u>lock request</u> request with <u>agent</u> equal to *agent*:
  - 1. Abort the request request.
- 2. For each <u>lock</u> lock with <u>agent</u> equal to *agent*:
  - 1. Release the lock lock.

### § 3. API

# § 3.1. Navigator Mixins

```
[SecureContext]
interface mixin NavigatorLocks {
   readonly attribute LockManager locks;
};
Navigator includes NavigatorLocks;
WorkerNavigator includes NavigatorLocks;
```

Each environment settings object has a LockManager object.

The *locks* getter's steps are to return <u>this</u>'s <u>relevant settings object</u>'s <u>LockManager</u> object.



# § 3.2. LockManager class



```
[SecureContext, Exposed=(Window, Worker)]
interface LockManager {
  Promise<any> request(DOMString name,
                       LockGrantedCallback callback);
  Promise<any> request(DOMString name,
                       LockOptions options,
                       LockGrantedCallback callback);
  Promise<LockManagerSnapshot> query();
};
callback LockGrantedCallback = Promise<any> (Lock? lock);
enum LockMode { "shared", "exclusive" };
dictionary LockOptions {
  LockMode mode = "exclusive";
  boolean ifAvailable = false;
  boolean steal = false;
 AbortSignal signal;
};
dictionary LockManagerSnapshot {
  sequence<LockInfo> held;
 sequence<LockInfo> pending;
};
dictionary LockInfo {
  DOMString name;
 LockMode mode;
 DOMString clientId;
};
```

A <u>LockManager</u> instance allows script to make <u>lock requests</u> and query the state of the <u>lock manager</u>.

#### § 3.2.1. The request() method



```
FOR WEB DEVELOPERS (NON-NORMATIVE)
```

```
promise = navigator . locks . request(name, callback)
promise = navigator . locks . request(name, options, callback)
```

The <u>request()</u> method is called to request a lock.

The *name* (initial argument) is a <u>resource name</u> string.

The *callback* (final argument) is a <u>callback function</u> invoked with the <u>Lock</u> when granted. This is specified by script, and is usually an async function. The lock is held until the callback function completes. If a non-async callback function is passed in, then it is automatically wrapped in a promise that resolves immediately, so the lock is only held for the duration of the synchronous callback.

The returned *promise* resolves (or rejects) with the result of the callback after the lock is released, or rejects if the request is aborted.

#### Example:

The lock will be released when the callback exits for any reason — either when the code returns, or if it throws.

An *options* dictionary can be specified as a second argument; the *callback* argument is always last.

# options . mode

The <u>mode</u> option can be "<u>exclusive</u>" (the default if not specified) or "<u>shared</u>". Multiple tabs/workers can hold a lock for the same resource in "<u>shared</u>" mode, but only one tab/worker can hold a lock for the resource in "exclusive" mode.

The most common use for this is to allow multiple readers to access a resource simultaneously but prevent changes. Once reader locks are released a single exclusive writer can acquire the lock to make changes, followed by another exclusive writer or more shared readers.

```
await navigator.locks.request('resource', {mode: 'shared'}, async lock =
    // Lock is held here. Other contexts might also hold the lock in share
    // but no other contexts will hold the lock in exclusive mode.
});
```

#### options . ifAvailable

If the <u>ifAvailable</u> option is true, then the lock is only granted if it can be without additional waiting. Note that this is still not *synchronous*; in many user agents this will require cross-process communication to see if the lock can be granted. If the lock cannot be granted, the callback is invoked with null. (Since this is expected, the request is *not* rejected.)

```
await navigator.locks.request('resource', {ifAvailable: true}, async loc
  if (!lock) {
    // Didn't get it. Maybe take appropriate action.
    return;
  }
  // Lock is held here.
});
```

### options . signal

The <u>signal</u> option can be set to an <u>AbortSignal</u>. This allows aborting a lock request, for example if the request is not granted in a timely manner:

```
const controller = new AbortController();
setTimeout(() => controller.abort(), 200); // Wait at most 200ms.

try {
   await navigator.locks.request(
    'resource', {signal: controller.signal}, async lock => {
        // Lock is held here.
   });
   // Done with lock here.
} catch (ex) {
   // |ex| will be a DOMException with error name "AbortError" if timer if
}
```

If an abort is signalled before the lock is granted, then the request promise will reject with an <a href="AbortError">AbortError</a>. Once the lock has been granted, the signal is ignored.

### options . steal

If the <u>steal</u> option is true, then any held locks for the resource will be released (and the <u>released promise</u> of such locks will resolve with <u>AbortError</u>), and the request will be granted, preempting any queued requests for it.

If a web application detects an unrecoverable state — for example, some coordination point like a Service Worker determines that a tab holding a lock is no longer responding — then it can "steal" a lock using this option.

Use the <u>steal</u> option with caution. When used, code previously holding a lock will now be executing without guarantees that it is the sole context with access to the resource. Similarly, the code that used the option has no guarantees that other contexts will not still be executing as if they have access to the abstract resource. It is intended for use by web applications that need to attempt recovery in the face of application and/or user-agent defects, where behavior is already unpredictable.

The *request*(name, callback) and *request*(name, options, callback) method steps are:

1. If *options* was not passed, then let *options* be a new <u>LockOptions</u> dictionary with default members.

- 2. Let *environment* be this's relevant settings object.
- 3. If *environment*'s <u>relevant global object</u>'s <u>associated Document</u> is not <u>fully active</u>, then return <u>a</u> <u>promise rejected with a "InvalidStateError" DOMException.</u>
- 4. Let *manager* be the result of <u>obtaining a lock manager</u> given *environment*. If that returned failure, then return <u>a promise rejected with</u> a "<u>SecurityError</u>" <u>DOMException</u>.
- 5. If *name* starts with U+002D HYPHEN-MINUS (-), then return a promise rejected with a "NotSupportedError" DOMException.
- 6. If both *options*["steal"] and *options*["ifAvailable"] are true, then return a <u>promise rejected</u> with a "NotSupportedError" DOMException.
- 7. If *options*["steal"] is true and *options*["mode"] is not "<u>exclusive</u>", then return <u>a promise</u> rejected with a "NotSupportedError" DOMException.
- 8. If *options*["signal"] <u>exists</u>, and either of *options*["steal"] or *options*["ifAvailable"] is true, then return a <u>promise rejected with</u> a "NotSupportedError" <u>DOMException</u>.
- 9. If *options*["signal"] <u>exists</u> and is <u>aborted</u>, then return <u>a promise rejected with options</u>["signal"]'s <u>abort reason</u>.
- 10. Let *promise* be a new promise.
- 11. <u>Request a lock</u> with *promise*, the current <u>agent</u>, *environment*'s <u>id</u>, *manager*, *callback*, *name*, *options*["mode"], *options*["ifAvailable"], *options*["steal"], and *options*["signal"].
- 12. Return *promise*.

#### § 3.2.2. The **query()** method



FOR WEB DEVELOPERS (NON-NORMATIVE)

# state = await navigator . locks . query()

The <u>query()</u> method can be used to produce a snapshot of the <u>lock manager</u> state for an origin, which allows a web application to introspect its usage of locks, for logging or debugging purposes.

The returned promise resolves to *state*, a plain-old-data structure (i.e. JSON-like data) with this form:

The clientId field corresponds to a unique context (frame or worker), and is the same value returned by Client's id attribute.

This data is just a *snapshot* of the <u>lock manager</u> state at some point in time. By the time the data is returned to script, the actual lock state might have changed.

The *query()* method steps are:

1. Let *environment* be <u>this</u>'s <u>relevant settings object</u>.

2. If *environment*'s <u>relevant global object</u>'s <u>associated Document</u> is not <u>fully active</u>, then return <u>a promise rejected with a "InvalidStateError" DOMException</u>.

- 3. Let *manager* be the result of <u>obtaining a lock manager</u> given *environment*. If that returned failure, then return <u>a promise rejected with</u> a "SecurityError" <u>DOMException</u>.
- 4. Let *promise* be <u>a new promise</u>.
- 5. <u>Enqueue the steps</u> to <u>snapshot the lock state</u> for *manager* with *promise* to the <u>lock task queue</u>.
- 6. Return *promise*.

#### § 3.3. Lock class



```
[SecureContext, Exposed=(Window, Worker)]
interface Lock {
  readonly attribute DOMString name;
  readonly attribute LockMode mode;
};
```

A Lock object has an associated lock.

The *name* getter's steps are to return the associated <u>lock</u>'s <u>name</u>.



The *mode* getter's steps are to return the associated <u>lock</u>'s <u>mode</u>.

# § 4. Algorithms

# § 4.1. Request a lock

To **request a lock** with promise, agent, clientId, manager, callback, name, mode, ifAvailable, steal, and signal:

- 1. Let request be a new <u>lock request</u> (agent, clientId, manager, name, mode, callback, promise, signal).
- 2. If *signal* is present, then <u>add</u> the algorithm <u>signal</u> to <u>abort the request</u> request with *signal* to *signal*.
- 3. Enqueue the following steps to the lock task queue:
  - 1. Let *queueMap* be *manager*'s <u>lock request queue map</u>.

2. Let *queue* be the result of <u>getting the lock request queue</u> from *queueMap* for *name*.

- 3. Let *held* be *manager*'s <u>held lock set</u>.
- 4. If *steal* is true, then run these steps:
  - 1. For each *lock* of *held*:
    - 1. If *lock*'s <u>name</u> is *name*, then run these steps:
      - 1. Remove lock from *held*.
      - 2. <u>Reject lock</u>'s <u>released promise</u> with an "<u>AbortError</u>" <u>DOMException</u>.
  - 2. <u>Prepend</u> request in queue.
- 5. Otherwise, run these steps:
  - 1. If *ifAvailable* is true and *request* is not <u>grantable</u>, then <u>enqueue the following steps</u> on *callback*'s <u>relevant settings object</u>'s <u>responsible event loop</u>:
    - 1. Let *r* be the result of <u>invoking</u> *callback* with null as the only argument.
    - 2. <u>Resolve</u> *promise* with *r* and abort these steps.
  - 2. <u>Enqueue</u> request in queue.
- 6. Process the lock request queue queue.
- 4. Return request.

#### § 4.2. Release a lock

#### To **release the lock** lock:

- 1. <u>Assert</u>: these steps are running on the <u>lock task queue</u>.
- 2. Let *manager* be *lock*'s <u>manager</u>.
- 3. Let *queueMap* be *manager*'s <u>lock request queue map</u>.
- 4. Let *name* be *lock*'s resource name.
- 5. Let *queue* be the result of <u>getting the lock request queue</u> from *queueMap* for *name*.
- 6. <u>Remove lock</u> from the *manager*'s <u>held lock set</u>.
- 7. <u>Process the lock request queue</u> *queue*.

# § 4.3. Abort a request

#### To **abort the request** request:

- 1. <u>Assert</u>: these steps are running on the <u>lock task queue</u>.
- 2. Let *manager* be *request*'s <u>manager</u>.
- 3. Let *name* be *request*'s <u>name</u>.
- 4. Let *queueMap* be *manager*'s <u>lock request queue map</u>.
- 5. Let *queue* be the result of <u>getting</u> the <u>lock request queue</u> from *queueMap* for *name*.
- 6. <u>Remove</u> *request* from *queue*.
- 7. Process the lock request queue queue.

#### To *signal to abort the request* request with *signal*:

- 1. Enqueue the steps to abort the request request to the lock task queue.
- 2. <u>Reject request's promise</u> with signal's <u>abort reason</u>.

# § 4.4. Process a lock request queue for a given resource name

#### To *process the lock request queue* queue:

- 1. Assert: these steps are running on the lock task queue.
- 2. <u>For each</u> request of queue:
  - 1. If *request* is not <u>grantable</u>, then return.

Note: Only the first item in a queue is grantable. Therefore, if something is not grantable then all the following items are automatically not grantable.

- 2. Remove request from queue.
- 3. Let *agent* be *request*'s <u>agent</u>.
- 4. Let *manager* be *request*'s manager.
- 5. Let *clientId* be *request*'s <u>clientId</u>.
- 6. Let *name* be *request*'s <u>name</u>.
- 7. Let *mode* be *request*'s <u>mode</u>.
- 8. Let *callback* be *request*'s <u>callback</u>.

- 9. Let *p* be *request*'s promise.
- 10. Let signal be request's signal.
- 11. Let *waiting* be a new promise.
- 12. Let *lock* be a new <u>lock</u> with <u>agent</u> agent, <u>clientId</u> clientId, <u>manager</u> manager, <u>mode</u> mode, <u>name</u> name, <u>released promise</u> p, and <u>waiting promise</u> waiting.
- 13. Append lock to manager's held lock set.
- 14. Enqueue the following steps on *callback*'s relevant settings object's responsible event loop:
  - 1. If *signal* is present, then run these steps:
    - 1. If *signal* is <u>aborted</u>, then run these steps:
      - 1. Enqueue the following step to the lock task queue:
        - 1. Release the lock lock.
      - 2. Return.
    - 2. <u>Remove</u> the algorithm <u>signal to abort the request request</u> from *signal*.
  - 2. Let r be the result of <u>invoking</u> *callback* with a new <u>Lock</u> object associated with *lock* as the only argument.
  - 3. Resolve waiting with r.

# § 4.5. Snapshot the lock state

To **snapshot the lock state** for manager with promise:

- 1. <u>Assert</u>: these steps are running on the <u>lock task queue</u>.
- 2. Let *pending* be a new <u>list</u>.
- 3. <u>For each queue of manager's lock request queue map's values:</u>
  - 1. <u>For each</u> request of queue:
    - 1. <u>Append</u> «[ "name" → request's <u>name</u>, "mode" → request's <u>mode</u>, "clientId" → request's <u>clientId</u>]» to pending.
- 4. Let *held* be a new <u>list</u>.
- 5. <u>For each</u> *lock* of *manager*'s <u>held lock set</u>:

- 1. <u>Append</u> «[ "name"  $\rightarrow$  *lock*'s <u>name</u>, "mode"  $\rightarrow$  *lock*'s <u>mode</u>, "clientId"  $\rightarrow$  *lock*'s <u>clientId</u> ]» to *held*.
- 6. Resolve promise with «["held"  $\rightarrow$  held, "pending"  $\rightarrow$  pending]».

For any given resource, the snapshot of the pending lock requests will return the requests in the order in which they were made; however, no guarantees are made with respect to the relative ordering of requests across different resources. For example, if pending lock requests A1 and A2 are made against resource A in that order, and pending lock requests B1 and B2 are made against resource B in that order, than both «A1, A2, B1, B2» and «A1, B1, A2, B2» would be possible orderings for a snapshot's pending list.

No ordering guarantees exist for the snapshot of the held lock state.

# § 5. Usage Considerations

*This section is non-normative.* 

### § 5.1. Deadlocks

<u>Deadlocks</u> are a concept in concurrent computing, and deadlocks scoped to a particular <u>lock manager</u> can be introduced by this API.

```
EXAMPLE 3
```

An example of how deadlocks can be encountered through the use of this API is as follows.

Script 1:

```
navigator.locks.request('A', async a => {
  await navigator.locks.request('B', async b => {
    // do stuff with A and B
  });
});
```

Script 2:

```
navigator.locks.request('B', async b => {
   await navigator.locks.request('A', async a => {
      // do stuff with A and B
   });
});
```

If script 1 and script 2 run close to the same time, there is a chance that script 1 will hold lock A and script 2 will hold lock B and neither can make further progress - a deadlock. This will not affect the user agent as a whole, pause the tab, or affect other script in the origin, but this particular functionality will be blocked.

Preventing deadlocks requires care. One approach is to always acquire multiple locks in a strict order.

1

#### EXAMPLE 4

A helper function such as the following could be used to request multiple locks in a consistent order.

In practice, the use of multiple locks is rarely as straightforward — libraries and other utilities can often unintentionally obfuscate their use.

# § 6. Security and Privacy Considerations

# § 6.1. Lock Scope

The definition of a <u>lock manager</u>'s scope is important as it defines a privacy boundary. Locks can be used as an ephemeral state retention mechanism and, like storage APIs, can be used as a communication mechanism, and must be no more privileged than storage facilities. User agents that impose finer granularity on one of these services must impose it on others; for example, a user agent

that exposes different storage partitions to a top-level page (first-party) and a cross-origin iframe (third-party) in the same origin for privacy reasons must similarly partition locking.

This also provides reasonable expectations for web application authors; if a lock is acquired over a storage resource, all same-origin browsing contexts must observe the same state.

# § 6.2. Private Browsing

Every <u>private mode</u> browsing session is considered a separate user agent for the purposes of this API. That is, locks requested/held outside such a session have no affect on requested/held inside such a session, and vice versa. This prevents a website from determining that a session is "incognito" while also not allowing a communication mechanism between such sessions.

### § 6.3. Implementation Risks

Implementations must ensure that locks do not span origins. Failure to do so would provide a sidechannel for communication between script running in two origins, or allow one script in one origin to disrupt the behavior of another (e.g. denying service).

#### § 6.4. Checklist

The W3C TAG has developed a <u>Self-Review Questionnaire</u>: <u>Security and Privacy</u> for editors of specifications to informatively answer. Revisiting the questions here:

- The specification does not deal with personally identifiable information, or high-value data.
- No new state for an origin that persists across browsing sessions is introduced.
- No new persistent, cross-origin state is exposed to the web.
- No new data is exposed to an origin that it doesn't currently have access to (e.g. via polling <a href="IndexedDB-2">[IndexedDB-2]</a>.)
- No new script execution/loading mechanisms are enabled.
- This specification does not allow an origin access to any of the following:
  - The user's location.
  - Sensors on a user's device.
  - Aspects of a user's local computing environment.

- Access to other devices.
- Any measure of control over a user agent's native UI.
- No temporary identifiers to the web are exposed to the web. All <u>resource names</u> are provided by the web application itself.
- Behavior in first-party and third-party contexts is distinguished in a user agent if storage is distinguished. See § 6.1 Lock Scope.
- Behavior in the context of a user agent's "incognito" mode is described in § 6.2 Private Browsing.
- No data is persisted to a user's local device by this API.
- This API does not allow downgrading default security characteristics.

# § 7. Acknowledgements

Many thanks to Alex Russell, Andreas Butler, Anne van Kesteren, Boris Zbarsky, Chris Messina, Darin Fisher, Domenic Denicola, Gus Caplan, Harald Alvestrand, Jake Archibald, Kagami Sascha Rosylight, L. David Baron, Luciano Pacheco, Marcos Caceres, Ralph Chelala, Raymond Toy, Ryan Fioravanti, and Victor Costan for helping craft this proposal.

Special thanks to Tab Atkins, Jr. for creating and maintaining <u>Bikeshed</u>, the specification authoring tool used to create this document, and for his general authoring advice.

# § Conformance

#### § Document conventions

Conformance requirements are expressed with a combination of descriptive assertions and RFC 2119 terminology. The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in the normative parts of this document are to be interpreted as described in RFC 2119. However, for readability, these words do not appear in all uppercase letters in this specification.

All of the text of this specification is normative except sections explicitly marked as non-normative, examples, and notes. [RFC2119]

Examples in this specification are introduced with the words "for example" or are set apart from the normative text with class="example", like this:

#### **EXAMPLE 5**

This is an example of an informative example.

Informative notes begin with the word "Note" and are set apart from the normative text with class="note", like this:

Note, this is an informative note.

### § Conformant Algorithms

Requirements phrased in the imperative as part of algorithms (such as "strip any leading space characters" or "return false and abort these steps") are to be interpreted with the meaning of the key word ("must", "should", "may", etc) used in introducing the algorithm.

Conformance requirements phrased as algorithms or specific steps can be implemented in any manner, so long as the end result is equivalent. In particular, the algorithms defined in this specification are intended to be easy to understand and are not intended to be performant. Implementers are encouraged to optimize.

### § Index

# **§** Terms defined by this specification

```
get the lock request queue, in § 2.5
abort the request, in \S 4.3
                                                         grantable, in § 2.5
agent
       dfn for lock request, in § 2.5
                                                         held, in § 3.2
       dfn for lock-concept, in § 2.4
                                                         held lock set, in § 2.4
callback, in § 2.5
                                                         ifAvailable, in § 3.2
clientId
                                                         <u>Lock</u>, in § 3.3
       dfn for lock request, in § 2.5
                                                         lock-concept, in § 2.4
       dfn for lock-concept, in § 2.4
                                                         LockGrantedCallback, in § 3.2
       dict-member for LockInfo, in § 3.2
                                                         LockInfo, in § 3.2
"exclusive", in § 3.2
```

1

lock manager, in § 2.2 NavigatorLocks, in § 3.1 LockManager, in § 3.2 obtain a lock manager, in § 2.2 LockManagerSnapshot, in § 3.2 pending, in § 3.2 LockMode, in § 3.2 process the lock request queue, in § 4.4 LockOptions, in § 3.2 promise, in § 2.5 lock request, in § 2.5 query(), in § 3.2.2 lock request queue, in § 2.5 released promise, in § 2.4 lock request queue map, in § 2.5 release the lock, in § 4.2 locks, in § 3.1 request a lock, in § 4.1 lock task queue, in § 2 request(name, callback), in § 3.2.1 request(name, options, callback), in § 3.2.1 manager dfn for lock request, in § 2.5 resource name, in § 2.1 dfn for lock-concept, in § 2.4 "shared", in § 3.2 mode signal attribute for Lock, in § 3.3 dfn for lock request, in § 2.5 definition of, in § 2.3 dict-member for LockOptions, in § 3.2 dfn for lock request, in § 2.5 signal to abort the request, in § 4.3 dfn for lock-concept, in § 2.4 snapshot the lock state, in § 4.5 dict-member for LockInfo, in § 3.2 dict-member for LockOptions, in § 3.2 <u>steal</u>, in § 3.2 name terminate remaining locks and requests, in attribute for Lock, in § 3.3 § 2.6 dfn for lock request, in § 2.5 waiting promise, in § 2.4 dfn for lock-concept, in § 2.4 web locks tasks source, in § 2 dict-member for LockInfo, in § 3.2

# **§** Terms defined by reference

[CSS21] defines the following terms:

user agent

#### [DOM] defines the following terms: [INFRA] defines the following terms:

AbortSignal append (for list)
abort reason append (for set)

aborted assert add enqueue document exist

remove for each (for list)

[ECMA262] defines the following terms: for each (for map)

agent is empty

[HTML] defines the following terms: item (for list)

Navigator item (for struct)

WorkerNavigator javascript string

agent cluster list

associated document map

browsing context prepend enqueue steps queue

enqueue the following steps remove

environment settings object set

fully active set (for map)

id struct

relevant global object values

relevant settings object [Service-Workers] defines the following terms:

responsible event loop Client

starting a new parallel queue id

task source [Storage] defines the following terms:

unloading document cleanup steps obtain a local storage bottle map

storage bottle storage bucket

#### [WEBIDL] defines the following terms:

AbortError

**DOMException** 

**DOMString** 

Exposed

InvalidStateError

Not Supported Error

Promise

SecureContext

SecurityError

a new promise

a promise rejected with

any

boolean

callback function

invoke

reject

resolve

sequence

this

# § References

#### § Normative References

#### [CSS21]

Bert Bos; et al. <u>Cascading Style Sheets Level 2 Revision 1 (CSS 2.1) Specification</u>. 7 June 2011.

REC. URL: <a href="https://www.w3.org/TR/CSS21/">https://www.w3.org/TR/CSS21/</a>

#### [DOM]

Anne van Kesteren. <u>DOM Standard</u>. Living Standard. URL: <a href="https://dom.spec.whatwg.org/">https://dom.spec.whatwg.org/</a>

#### [HTML]

Anne van Kesteren; et al. *HTML Standard*. Living Standard. URL:

https://html.spec.whatwg.org/multipage/

#### [INFRA]

Anne van Kesteren; Domenic Denicola. *Infra Standard*. Living Standard. URL:

https://infra.spec.whatwg.org/

#### [RFC2119]

S. Bradner. *Key words for use in RFCs to Indicate Requirement Levels*. March 1997. Best Current Practice. URL: <a href="https://datatracker.ietf.org/doc/html/rfc2119">https://datatracker.ietf.org/doc/html/rfc2119</a>

#### [Storage]

Anne van Kesteren. <u>Storage Standard</u>. Living Standard. URL: <u>https://storage.spec.whatwg.org/</u>

#### [WEBIDL]

Edgar Chen; Timothy Gu. *Web IDL Standard*. Living Standard. URL: <a href="https://webidl.spec.whatwg.org/">https://webidl.spec.whatwg.org/</a>

#### § Informative References

#### [IndexedDB-2]

Ali Alabbas; Joshua Bell. *Indexed Database API 2.0*. 30 January 2018. REC. URL: <a href="https://www.w3.org/TR/IndexedDB-2/">https://www.w3.org/TR/IndexedDB-2/</a>

#### [Service-Workers]

Jake Archibald; Marijn Kruisselbrink. *Service Workers*. 12 July 2022. CR. URL: https://www.w3.org/TR/service-workers/

# § IDL Index

```
callback LockGrantedCallback = Promise<any> (Lock? lock);
enum LockMode { "shared", "exclusive" };
dictionary LockOptions {
  LockMode mode = "exclusive";
  boolean ifAvailable = false;
  boolean steal = false;
 AbortSignal signal;
};
dictionary LockManagerSnapshot {
  sequence<LockInfo> held;
 sequence<LockInfo> pending;
};
dictionary LockInfo {
  DOMString name;
 LockMode mode;
 DOMString clientId;
};
[SecureContext, Exposed=(Window, Worker)]
interface Lock {
  readonly attribute DOMString name;
  readonly attribute <a href="LockMode">LockMode</a> mode;
};
```

# § Issues Index

ISSUE 1 Refine the integration with [Storage] here, including how to get the lock manager properly from the given environment.

ISSUE 2 This is currently only for workers and is vaguely defined, since there is no normative way to run steps on worker termination.