

Properties of Context-Free Grammars

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Proof idea: By induction on the length of the derivation of x

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Induction base: derivation length zero. In this case $w = x$
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Induction step: **assume the result** for all derivations of $n \geq 0$ steps and **consider** the $n+1$ step derivation $w_1 w_2 \dots w_k \xRightarrow{*} x$.

In this case we have:

1. Suppose this derivation first rewrites w_m , for $1 \leq m \leq k$, i.e.,
 $w_1 w_2 \dots w_m \dots w_k \Rightarrow w_1 w_2 \dots w_{m-1} y_1 y_2 \dots y_p w_{m+1} \dots w_k \xRightarrow{*} x$
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where $w_m \rightarrow y_1 y_2 \dots y_p$ is a specification rule.
2. Applying the induction hypothesis to the last n steps of this derivation, there must exist $x_1, x_2, \dots, x_{m-1}, x_{m+1}, \dots, x_k$,
 z_1, z_2, \dots, z_p so that $w_i \xRightarrow{*} x_i$, $i = 1, 2, \dots, m-1, m+1, \dots, k$ and
 $y_j \xRightarrow{*} z_j$, $1 \leq j \leq p$, and $x = x_1 x_2 \dots x_{m-1} z_1 z_2 \dots z_p x_{m+1} \dots x_k$.

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2. Applying the induction hypothesis to the last n steps of this derivation, there must exist $x_1, x_2, \dots, x_{m-1}, x_{m+1}, \dots, x_k$, z_1, z_2, \dots, z_p so that $w_i \xRightarrow{*} x_i$, $i = 1, 2, \dots, m-1, m+1, \dots, k$ and $y_j \xRightarrow{*} z_j$, $1 \leq j \leq p$, and $x = x_1 x_2 \dots x_{m-1} z_1 z_2 \dots z_p x_{m+1} \dots x_k$.
3. Taking $x_m = z_1 z_2 \dots z_p$ and $w_m \Rightarrow y_1 y_2 \dots y_p \xRightarrow{*} x_m$ the induction is extended to $n+1$ length derivation

Example application

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- The following are derivations with G :

$$S \Rightarrow aSB \rightarrow aaSBB \Rightarrow aaSbBB,$$

$$S \Rightarrow aSB \rightarrow aaSBB \Rightarrow aaSBbB,$$

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$$S \Rightarrow aSB \rightarrow aaSBB \Rightarrow aaBB$$

which show that derivations with this grammar are quite complex

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- Derivations from B are $B \Rightarrow bB \Rightarrow bbB \Rightarrow b^k B, k \geq 0$
- Therefore $aaSBB \xRightarrow{*} aaSb^p b^q, p, q \geq 0$

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4. $X \in V$ is **dead** if there is no $x \in \Sigma^*$ such that $X \xRightarrow{*} x$
5. $X \in (V \cup \Sigma)$ is **useless** if it is either **unreachable** or **dead**

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- The occurrence of a dead variable in a derivation insures that that derivation contribute no string in the language, even if that variable is reachable
- While useless symbols are no value to the description of a language, they are not prohibited.

Example

Consider the CFG $G = (\{S, A, B, C\}, \{a, b\}, R, S)$ where R is the set

$$S \rightarrow bb \mid aB$$

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1. C is unreachable and B is dead
2. A is live and reachable but contributes nothing to the language

Cleaning up a grammar

Cleaning up a grammar is the process of eliminating useless symbols and their productions

Dead symbol elimination

For each CFG $G = (V, \Sigma, R, S)$ with $L(G) \neq \emptyset$, there is a CFG $G' = (V', \Sigma, R', S)$ so that $L(G') = L(G)$, $V' \subseteq V$, $R' \subseteq R$, and G' has no dead symbols.

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3. Define $V' = \bigcup_{i=0}^{\infty} V_i$ and $R' = \{X \rightarrow w \in R \mid w \in (V' \cup \Sigma)^*\}$

Claim

We show now that V' is the set of all live symbols of G and for each $X \in V$, $X \xRightarrow{R} y \in \Sigma^*$ iff $X \xRightarrow{R'} y \in \Sigma^*$

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1. Each $X \in V'$ must belong to V_i for some i
2. By definition, variables in V_0 are live
3. If variables in V_i are live then variables in V_{i+1} are live by construction

Proof, continuation

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1. If X has a one step derivation then by construction $X \in V_0$ and hence $X \in V'$
2. Assume that all variables with n or fewer step derivations to a terminal string belong to V' and consider a variable X with $n + 1$ step derivation, say $X \Rightarrow w_1 w_2 \dots w_k \xRightarrow{*} y \in \Sigma^*$.

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3. By Theorem 2.1, $y = y_1 y_2 \dots y_k$ and $w_i \xRightarrow{*} y_i$, $1 \leq i \leq k$. Hence, by induction hypothesis $w_i \in V'$. But then each w_i , $1 \leq i \leq k$ belongs to some set $V_m \subseteq V'$.

Note

If p is the maximum index m in the above conclusion then by construction $\{w_1, w_2, \dots, w_k\} \subseteq V_p \subseteq V'$. Thus the derivation $X \Rightarrow w_1 w_2 \dots w_k$ sets X in V_{p+1} and therefore $X \in V'$.

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$$L(G') = L(G)$$

1. Since $L(G) \neq \emptyset$ it results that S is live and hence $S \in V'$
2. $L(G') \subseteq L(G)$ because $R' \subseteq R$ and so any rewriting in G' can also be done in G
3. $L(G) \subseteq L(G')$: each step in the derivation of a string of terminals in G can introduce no dead symbols and so productions used belong to R' .

Unreachable symbol elimination

For each CFG $G = (V, \Sigma, R, S)$ with $L(G) \neq \emptyset$ there is $G' = (V', \Sigma', R', S)$ so that $L(G') = L(G)$, $V' \subseteq V$, $\Sigma' \subseteq \Sigma$, $R' \subseteq R$, and G' has no dead or unreachable symbols.

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 1. $S_0 = \{S\}$
 2. For $i \geq 0$, $S_{i+1} = S_i \cup \{\sigma \in (V \cup \Sigma) \mid \exists X \in S_i \wedge \exists \alpha, \beta \in (V \cup \Sigma)^* \wedge X \rightarrow \alpha\sigma\beta \in R\}$

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 3. Set $V' = V \cap (\cup_{i=0}^{\infty} S_i)$, $\Sigma' = \Sigma \cap (\cup_{i=0}^{\infty} S_i)$,
 $R' = \{r \in R \mid lhs(r) \in V' \wedge rhs(r) \in (V' \cup \Sigma')^*\}$

Proof, continuation

Claim 1: $V' \cup \Sigma'$ is exactly the collection of reachable symbols of G

Proof: show that each $\sigma \in V' \cup \Sigma'$ is reachable by induction on the smallest index i so that $\sigma \in S_i$.

Induction basis: For $i = 0$ this is trivial

Induction step: assume that all symbols in S_n are reachable and $\sigma \in S_{n+1}$. If $\sigma \in S_n$ then it is reachable by induction hypothesis; if $\sigma \notin S_n$ then there exist $X \in S_n$, $\alpha, \beta \in (V \cup \Sigma)^*$ with $X \rightarrow \alpha\sigma\beta \in R$. Since $X \in S_n$, X is reachable and so $S \xRightarrow{*} w_1 X w_2 \Rightarrow w_1 \alpha \sigma \beta w_2$ and thus σ is reachable

Note

The claim that every reachable symbol σ of G is in $V' \cup \Sigma'$ is proved by induction on the length of the shortest derivation producing σ .

Proof, continuation

Claim 2: deleting the unreachable symbols and their rules create no dead variables in G'

Proof: if X is a reachable variable and $X \xRightarrow{*} w \in \Sigma^*$ in G , then every symbol introduced in this derivation is also reachable, and so it is not dead. Consequently X is still live in G'

Proof, continuation

Claim 3: $L(G') = L(G)$

Proof: $L(G') \subseteq L(G) \wedge L(G) \subseteq L(G')$

1. $L(G') \subseteq L(G)$: since $R' \subseteq R$, each derivation in G' is a derivation in G .
2. $L(G) \subseteq L(G')$: If $S \xRightarrow{*} w \in \Sigma^*$ in G then every symbol introduced in this derivation is reachable. Consequently all productions used are retained in G' so this is also a derivation in G'

Application

Clean $G = (\{S, A, B, C\}, \{a, b\}, R, S)$ where R is:

$$S \rightarrow bb|aB$$

$$A \rightarrow a|Aa$$

$$B \rightarrow bB|Ba|AB$$

$$C \rightarrow ba|aA|Bb|aCb$$

1. $V_0 = \{S, A, C\} = V_1 = V'$;
 $G' = (\{S, A, C\}, \{a, b\}, \{S \rightarrow bb, A \rightarrow a|Aa, C \rightarrow ba|aA|aCb\}, S)$
2. $S_0 = \{S\}, S_1 = \{S, b\}, S_2 = S_1; G'' = (\{S\}, \{b\}, \{S \rightarrow bb\}, S)$
3. $L(G'') = L(G) = \{bb\}$

Language elements

Language element interpretation:

- Sometimes a CFG can generate the same string in several different ways
- Such a string will have several different derivation trees
- Since each derivation tree represents an interpretation of the string, each derivation tree defines a meaning of the string.
- Different derivation trees for a string means different meanings for the same language element

Observations

1. Multiple meanings of the same language element are undesirable for some applications
2. For example, multiple meanings of a program are unacceptable in a programming language
3. Each language element in a programming language should have a unique interpretation

Note: multiple derivations for a sentence is a common situation in natural languages

Ambiguity

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- If a CFG G generates some string ambiguously we say that the grammar G is *ambiguous*

Example

Consider the grammar G_4 whose rules are:

$$E \rightarrow E + T \mid T, T \rightarrow T * F \mid F, F \rightarrow (E) \mid a$$

and the grammar G_5 , whose rules are:

$$E \longrightarrow E + E \mid E * E \mid (E) \mid a$$

- $L(G_4) = L(G_5)$

Note: one can easily show this by showing the inclusions

$$L(G_4) \subseteq L(G_5) \text{ and } L(G_5) \subseteq L(G_4)$$

- G_5 generates ambiguously some arithmetic expressions while G_4 doesn't.

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Ambiguous expressions

Figure 1 shows two different derivation trees for $a+a*a$

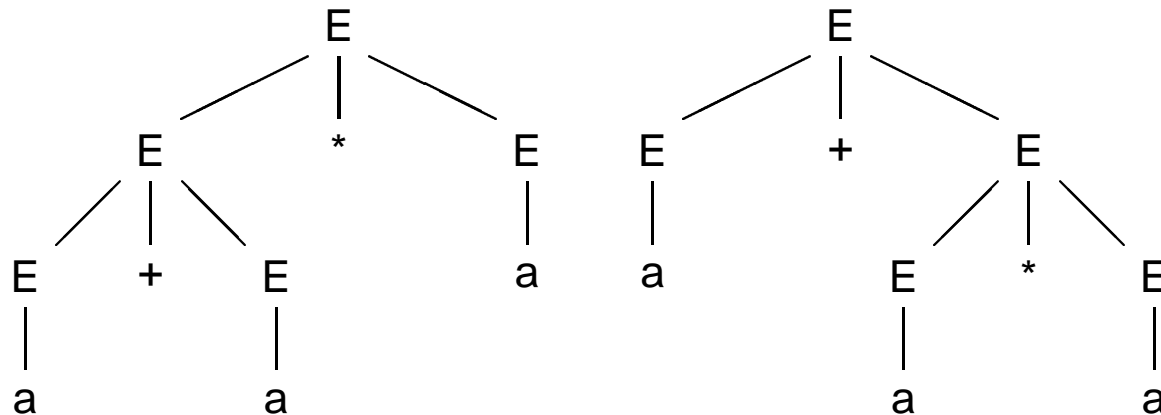


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- In contrast, the grammar G_4 generates the same language, but every generated string has a unique derivation tree
- Hence, G_5 is ambiguous and G_4 is not, i.e., G_4 is *unambiguous*

Another example

G_2 below is another ambiguous grammar

$\langle SENTENCE \rangle$	\longrightarrow	$\langle NounPhrase \rangle \langle VerbPhrase \rangle$
$\langle NounPhrase \rangle$	\longrightarrow	$\langle CpNoun \rangle \langle CpNoun \rangle \langle PrepPhrase \rangle$
$\langle VerbPhrase \rangle$	\longrightarrow	$\langle CpVerb \rangle \langle CpVerb \rangle \langle PrepPhrase \rangle$
$\langle PrepPhrase \rangle$	\longrightarrow	$\langle Prep \rangle \langle CpNoun \rangle$
$\langle CpNoun \rangle$	\longrightarrow	$\langle Article \rangle \langle Noun \rangle$
$\langle CpVerb \rangle$	\longrightarrow	$\langle Verb \rangle \langle Verb \rangle \langle NounPhrase \rangle$
$\langle Article \rangle$	\longrightarrow	$a the$
$\langle Noun \rangle$	\longrightarrow	$boy girl flower$
$\langle Verb \rangle$	\longrightarrow	$touches likes sees$
$\langle Prep \rangle$	\longrightarrow	$with$

Example ambiguous string

The sentence:

the girl touches the boy with the flower

has two different derivations, so it is ambiguous

The two derivations correspond to the two readings:

(the girl touches the boy) (with the flower)

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- Two different derivations however, may produce the same derivation tree because they may differ in the order in which they replace nonterminals not in the rules they use
- To concentrate on the structure of derivations we need to fix the order of rule application

Fixing rule application order

Leftmost derivation: a derivation of a string w in a grammar G is a *leftmost derivation* if at every step the leftmost nonterminal is replaced

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Leftmost derivation: a derivation of a string w in a grammar G is a *leftmost derivation* if at every step the leftmost nonterminal is replaced

Rightmost derivation: a derivation of a string w in a grammar G is a *rightmost derivation* if at every step the rightmost nonterminal is replaced

Note

The leftmost and rightmost derivations of a string w are unique, so they are equivalent to the derivation trees

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Ambiguity again

- A string w is derived ambiguously in the CFG G if it has two or more different leftmost (or rightmost) derivations.
- A CFG G is ambiguous if it generates some string ambiguously

Note

Sometimes when we have an ambiguous grammar (such as G_5) we can find an unambiguous grammar (such as G_4) that generates the same language

Inherent ambiguity

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- **Example of inherently ambiguous language:**

$$\{0^i 1^j 2^k \mid i = j \vee j = k\}$$