

TECH
VAULT



TRANSACTIONS

“Schedules”

AMR ELHELW

ACID Properties

A

Atomicity

Each transaction is “all or nothing”

C

Consistency

Data should be valid according to all defined rules

I

Isolation

Transactions do not affect each other

D

Durability

Committed data would not be lost, even after power failure.

T1

T2

Read (X)

Write (X)

Read (Y)

Write (Y)

Commit

Read (X)

Write (X)

Commit

Time

S : $r_1(X)$, $r_2(X)$, $w_1(X)$, $r_1(Y)$, $w_2(X)$, $w_1(Y)$, c_2 , c_1

Conflicting Operations

Conditions for 2 conflicting operations:

- They belong to different transactions
- They access the same object
- At least one of them is a write operation

$S : r_1(X), r_2(X), w_1(X), r_1(Y), w_2(X), w_1(Y), r_2(X), c_2, c_1$

- Read-Write (R-W) conflict
- Write-Read (W-R) conflict
- Write-Write (W-W) conflict



$S : r_1(X), r_2(X), w_1(X), r_1(Y), w_2(X), w_1(Y), r_2(X), c_2, c_1$

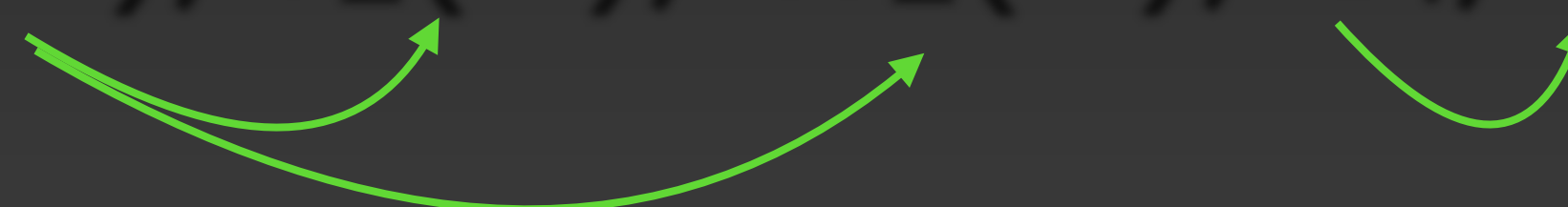
- Same object, diff transactions, but **both reads**
- Read and write, diff transaction, but **diff objects**
- Read and write, same object, but **same transaction**

Recoverable Schedule

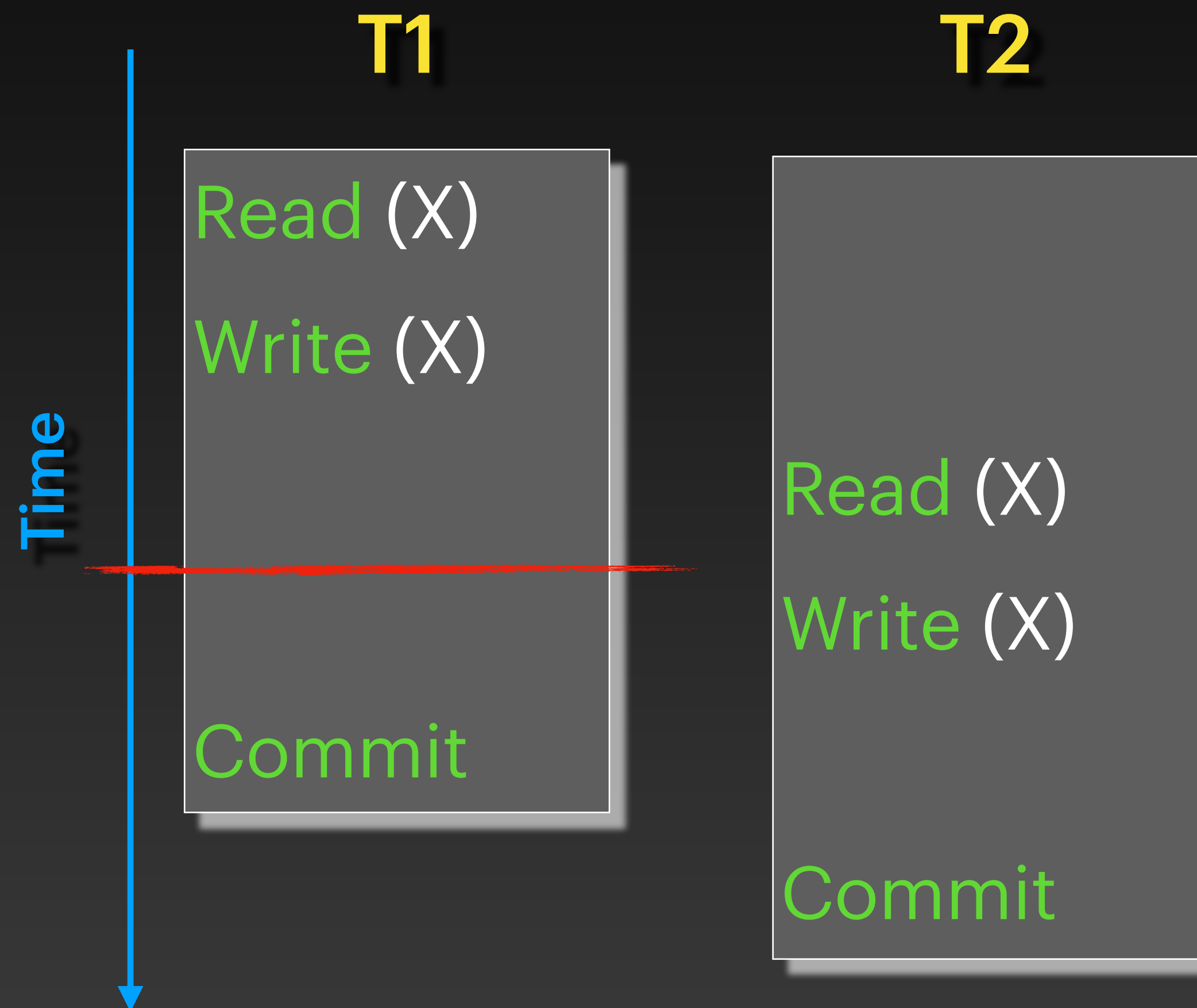
- If $T1$ writes X then $T2$ reads/writes X
- Then $T1$ must commit before $T2$ commits

Look for the W-R and W-W conflicts — the commits must be in the same order

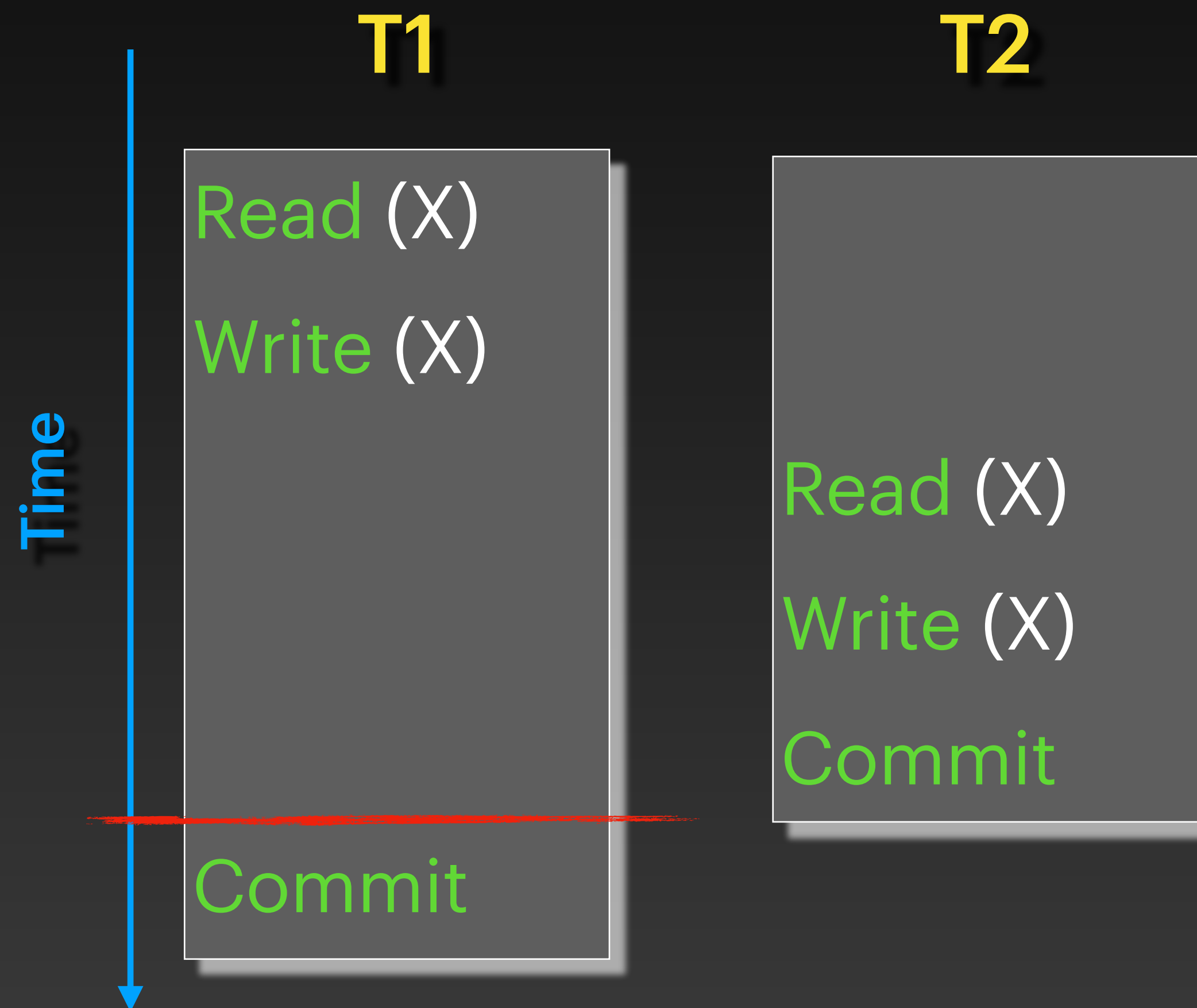
$S : r_1(X), w_1(X), r_2(X), w_2(X), C_1, C_2$



$S : r_1(X), w_1(X), r_2(X), w_2(X), c_1, c_2$



$S : r_1(X), w_1(X), r_2(X), w_2(X), c_2, c_1$



Cascadeless Schedule

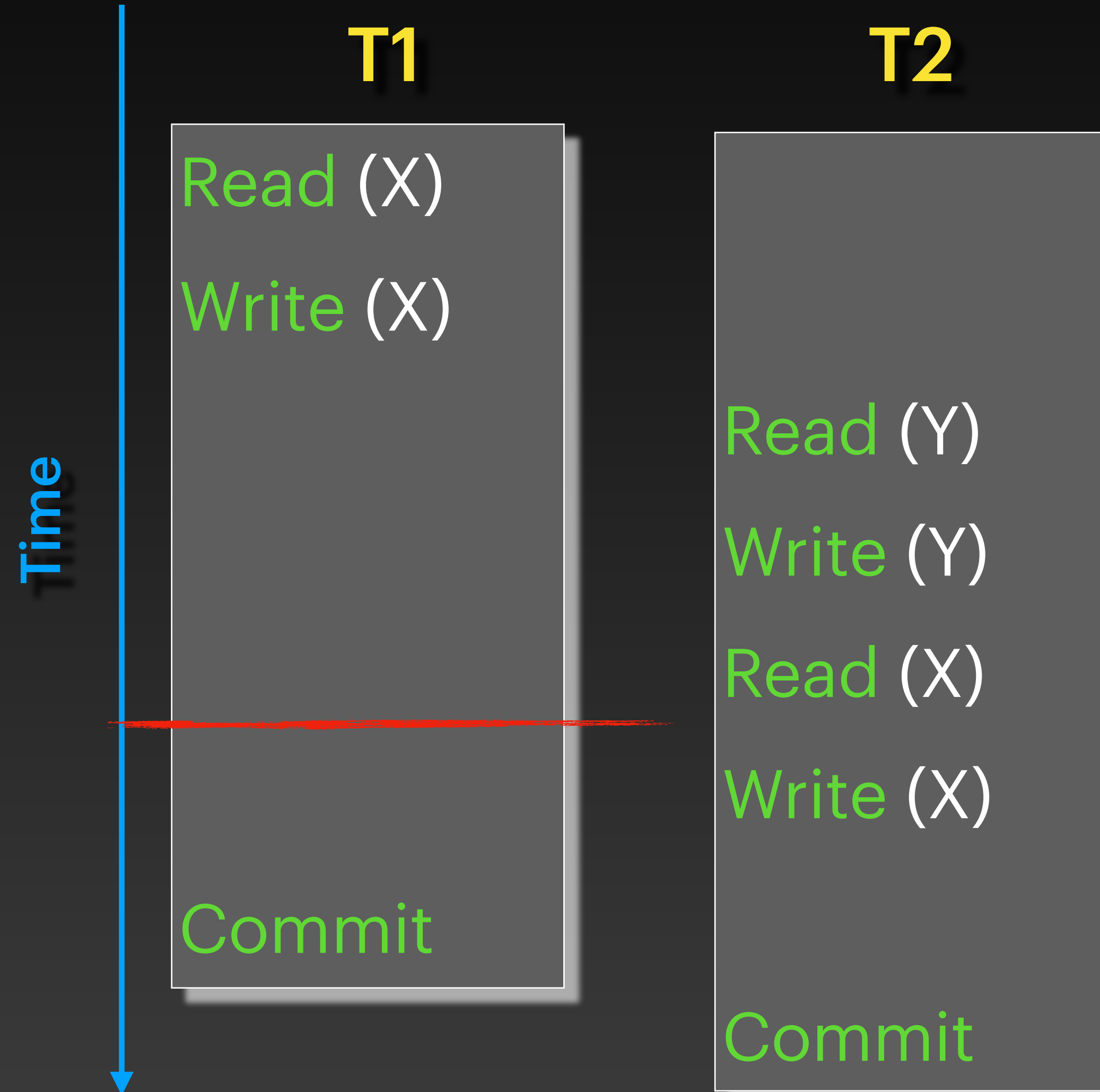
- Subset of recoverable schedules, with **no cascading rollback**

- *Look for the W-R and W-W conflicts — the commits must be in the same order*
- *For any W-R conflicts, the first transaction commits before the second reads*


T1 not
committed yet

S : $r_1(X)$, $w_1(X)$, $r_2(Y)$, $w_2(Y)$, $r_2(X)$, $w_2(X)$, c_1 , c_2

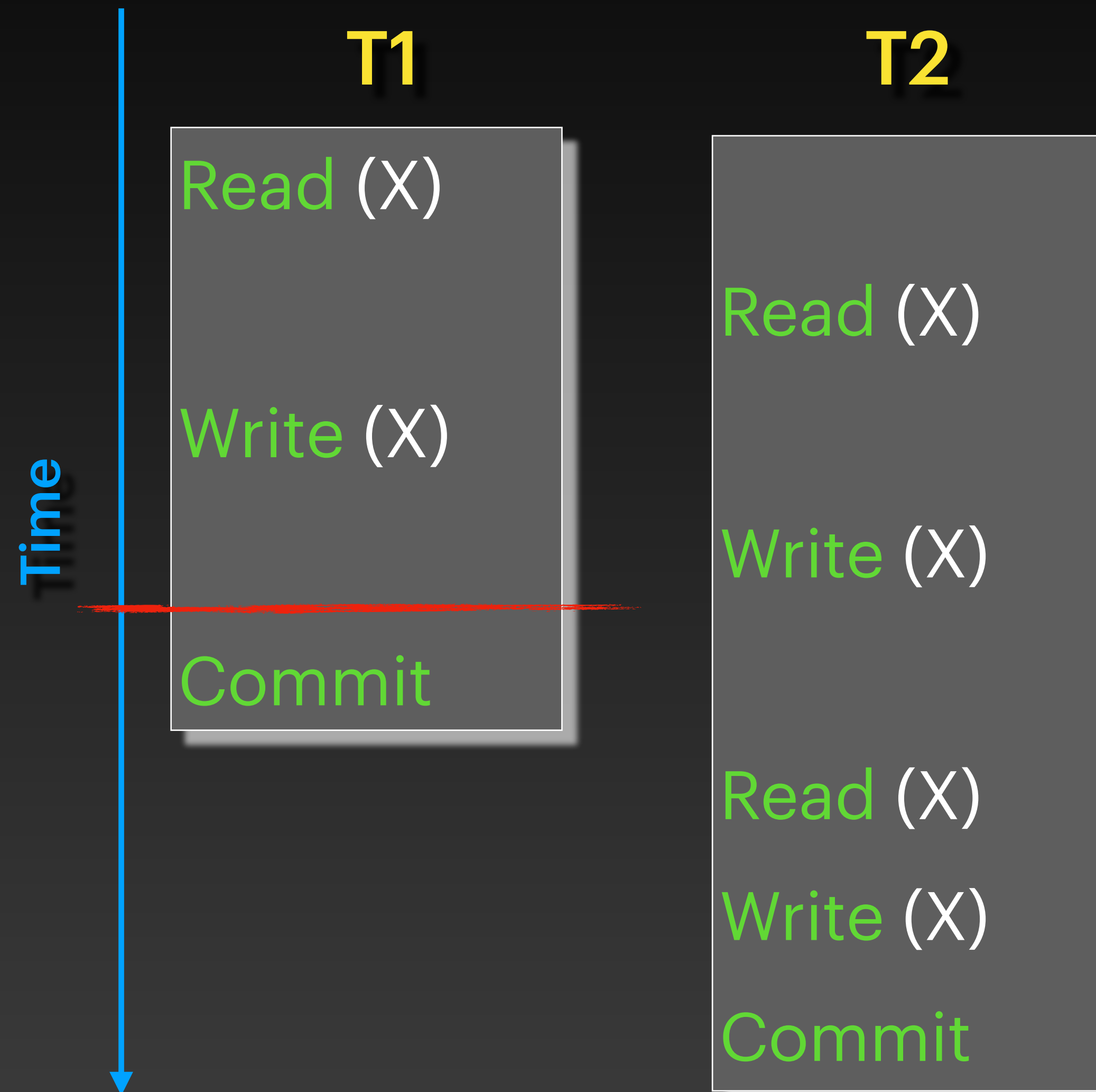
- ✓ Recoverable
- ✗ Cascadeless



S : $r_1(X)$, $r_2(X)$, $w_1(X)$, $w_2(X)$, c_1 $r_2(X)$, $w_2(X)$, c_2

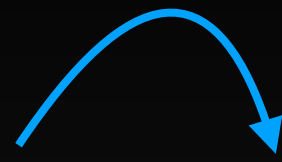


- ✓ Recoverable
- ✓ Cascadeless



Strict Schedule

- Subset of cascadeless schedules, with stricter conditions
- *Look for the W-R and W-W conflicts — the commits must be in the same order*
- ~~For any W-R conflicts, the first transaction commits before the second reads~~
- For any W-R or W-W conflicts, the first transaction commits before the second reads/writes.



$S1 : r_1(X), r_2(X), w_1(X), w_2(X), c_1, r_2(X), w_2(X), c_2$

✓ Recoverable

✓ Cascadeless

✗ Strict

$S2 : r_1(X), r_2(X), w_1(X), c_1, w_2(X), r_2(X), w_2(X), c_2$

✓ Strict

Schedule Recoverability

All Schedules

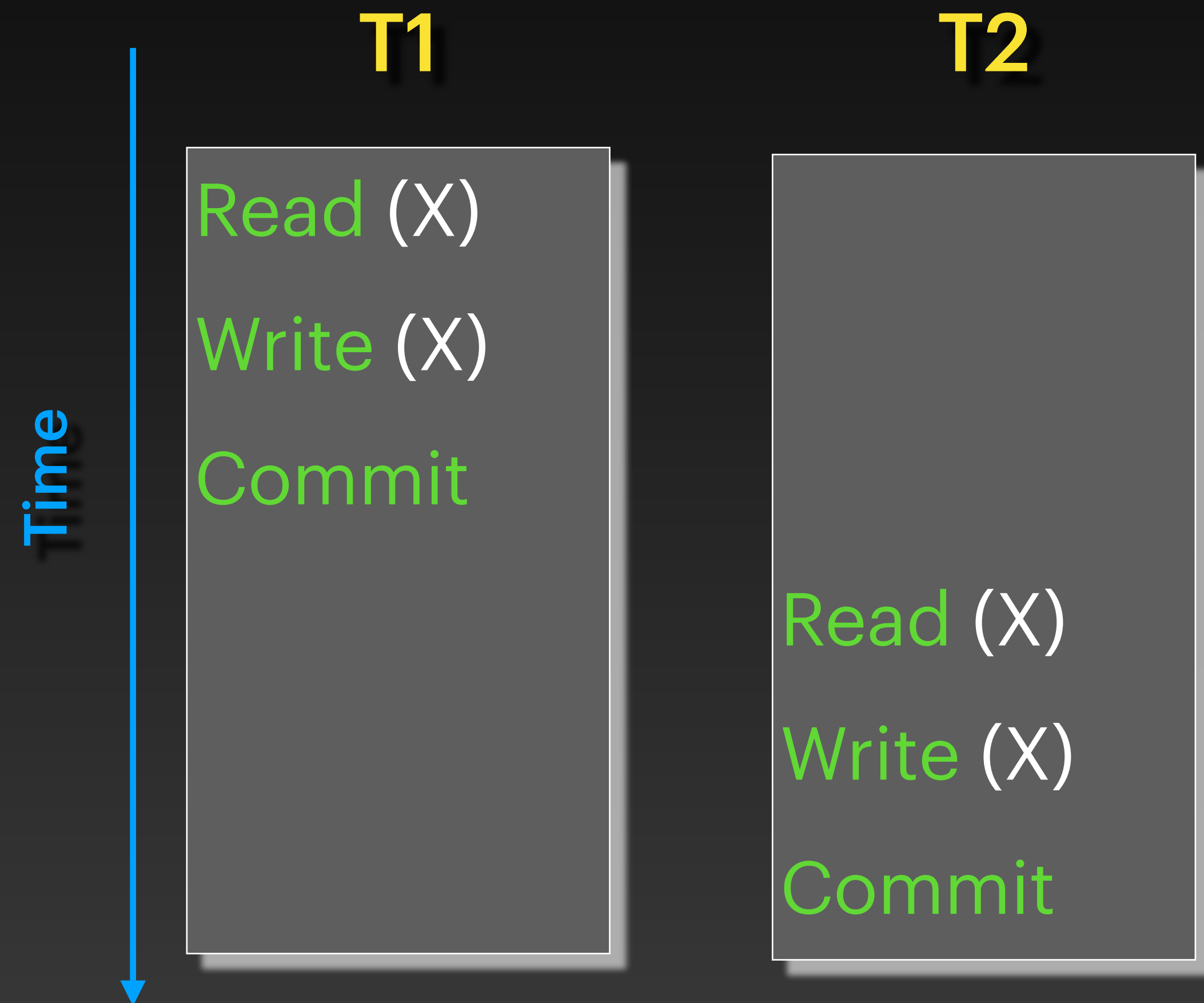
Recoverable Schedules

Cascadeless Schedules

Strict Schedules

Serial Schedule

- No interleaving of operations from different transactions
- n transactions \rightarrow $n!$ possible serial schedules



Serializable Schedule

- A schedule that is “equivalent” to a serial schedule
- Several ways to define equivalence
- One type of equivalence is “conflict equivalence”

Conflict Equivalence

- Two schedules are **conflict equivalent** if the relative order of any two conflicting operations is the same in both schedules

S1 : $r_1(X), r_2(Y), w_1(X), w_2(Y), r_2(X), w_2(X), c_1, c_2$

S2 : $r_2(Y), r_1(X), w_2(Y), w_1(X), r_2(X), w_2(X), c_2, c_1$



Conflict Equivalence

- Two schedules are **conflict equivalent** if the relative order of any two conflicting operations is the same in both schedules

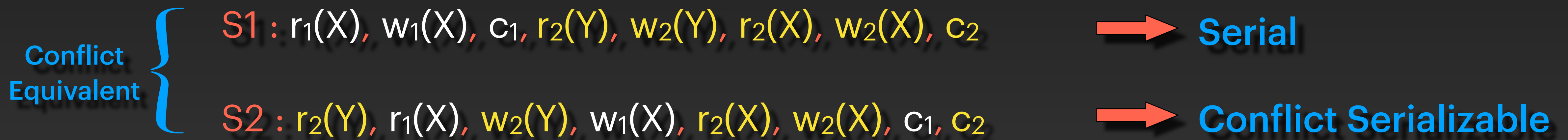
S1 : $r_1(X)$, $r_2(Y)$, $w_1(X)$, $w_2(Y)$, $r_2(X)$, $w_2(X)$, c_1 , c_2

S2 : $r_2(Y)$, $r_1(X)$, $w_2(Y)$, $r_2(X)$, $w_1(X)$, $w_2(X)$, c_2 , c_1

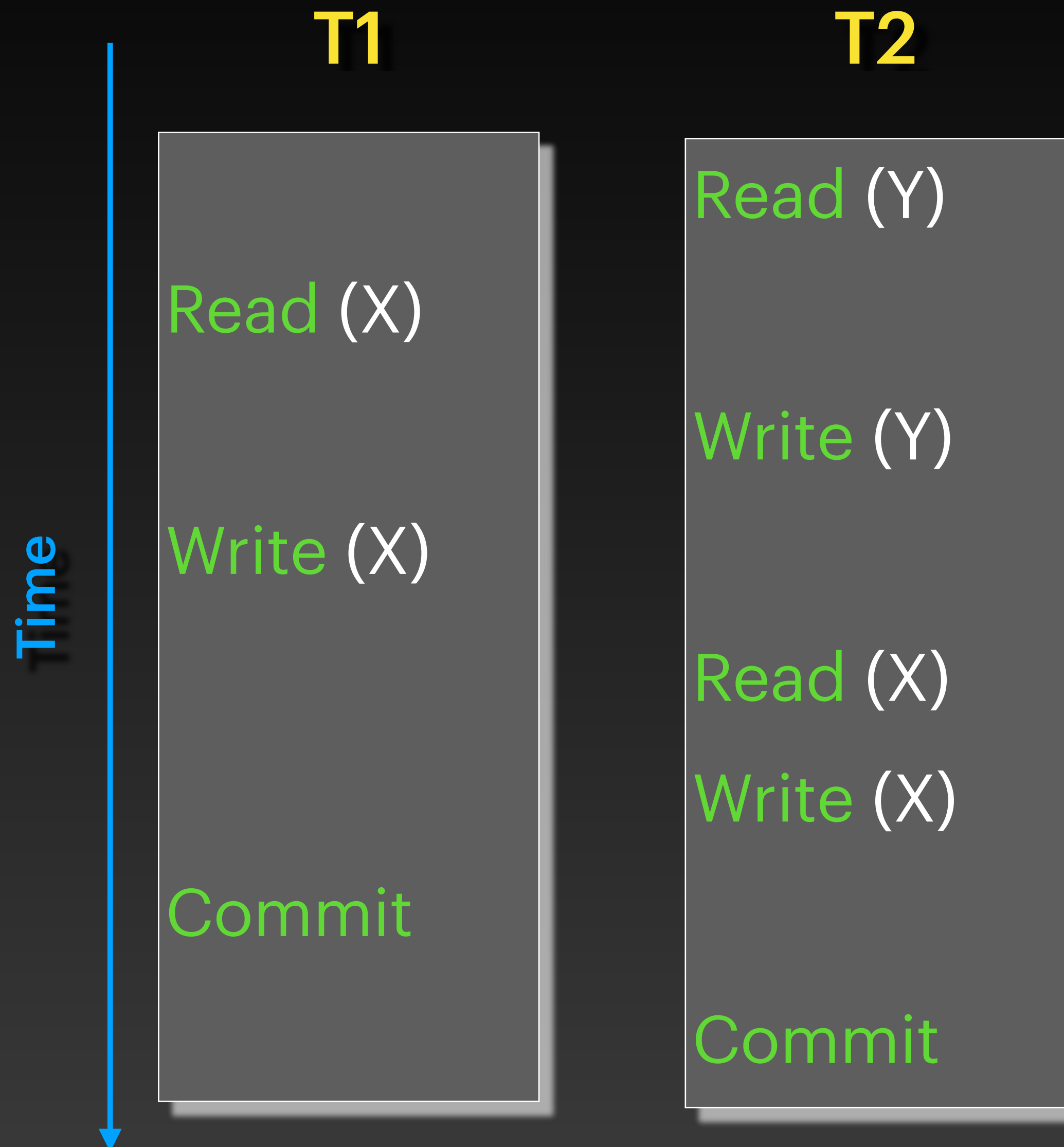


Serializable Schedule

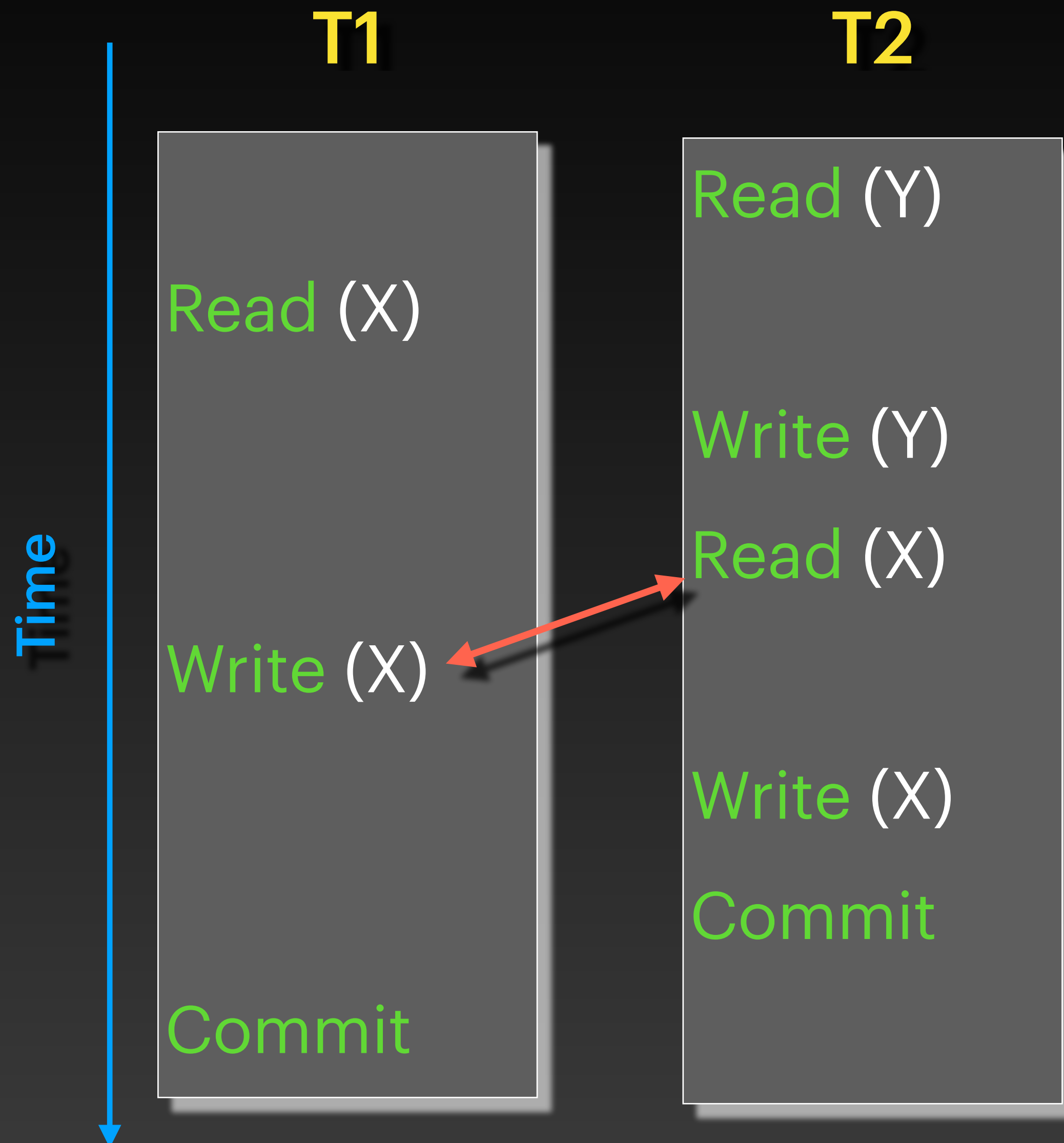
- A schedule that is **conflict equivalent** to a serial schedule is **Conflict Serializable**.



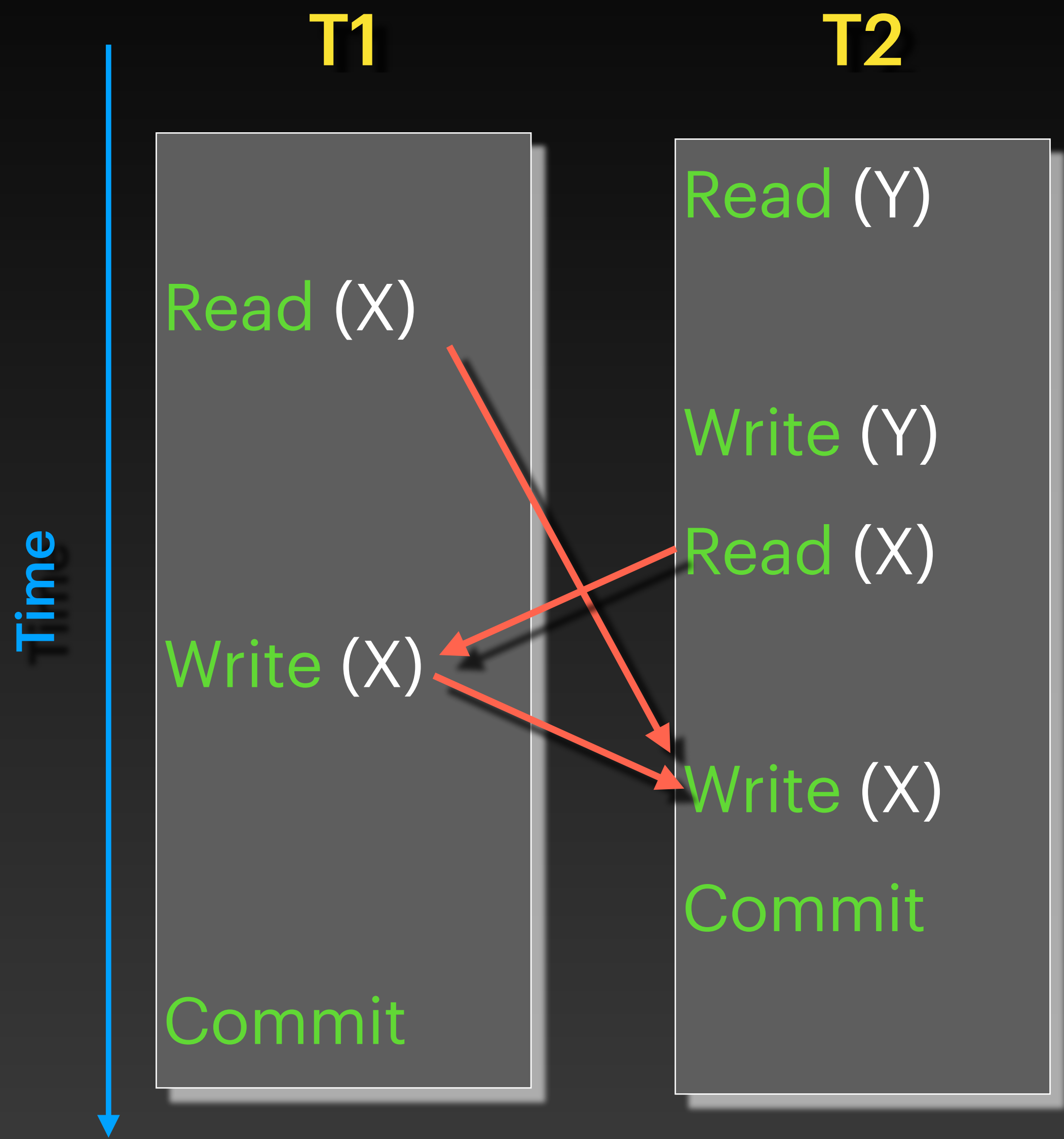
S : $r_2(Y)$, $r_1(X)$, $w_2(Y)$, $w_1(X)$, $r_2(X)$, $w_2(X)$, c_1 , c_2



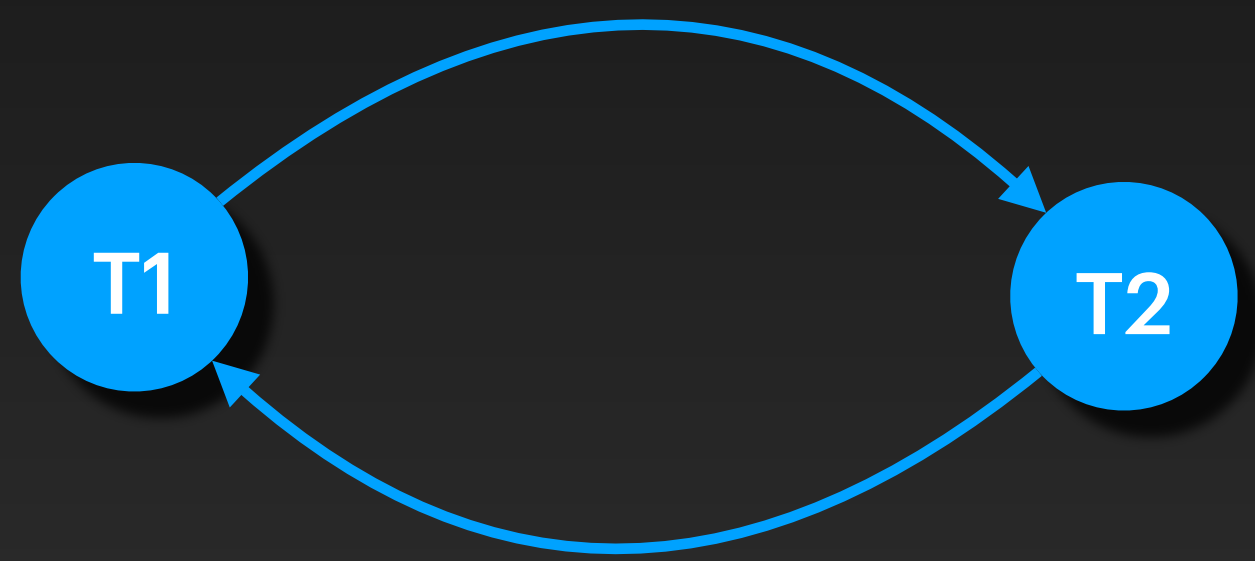
S : $r_2(Y)$, $r_1(X)$, $w_2(Y)$, $r_2(X)$, $w_1(X)$, $w_2(X)$, c_2 , c_1



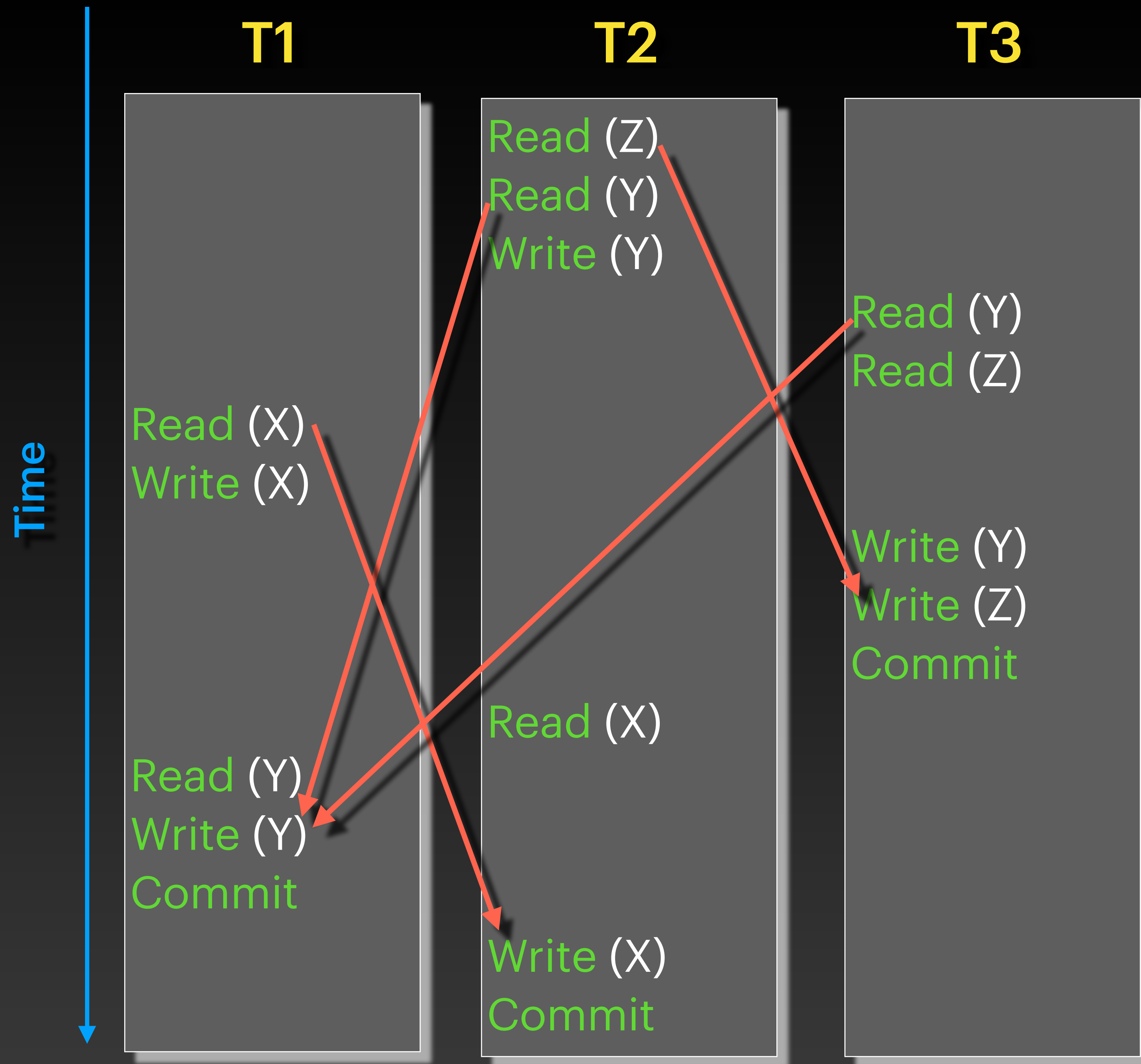
S : r₂(Y), r₁(X), w₂(Y), r₂(X), w₁(X), w₂(X), c₂, c₁



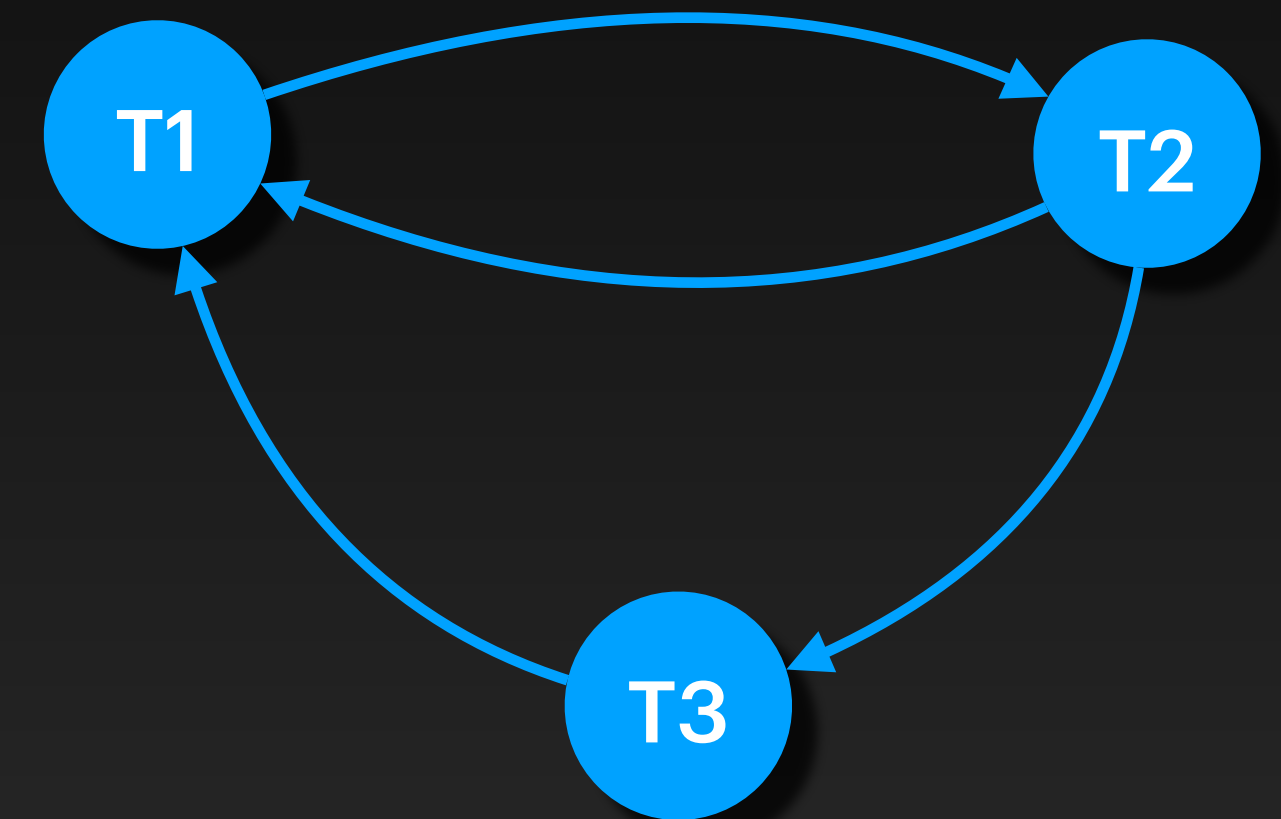
Dependency Graph



Cycle!!
Not serializable



Dependency Graph



Cycle!!
Not serializable

Time

T1

Read (X)
Write (X)

Read (Y)
Write (Y)
Commit

T2

Read (Z)

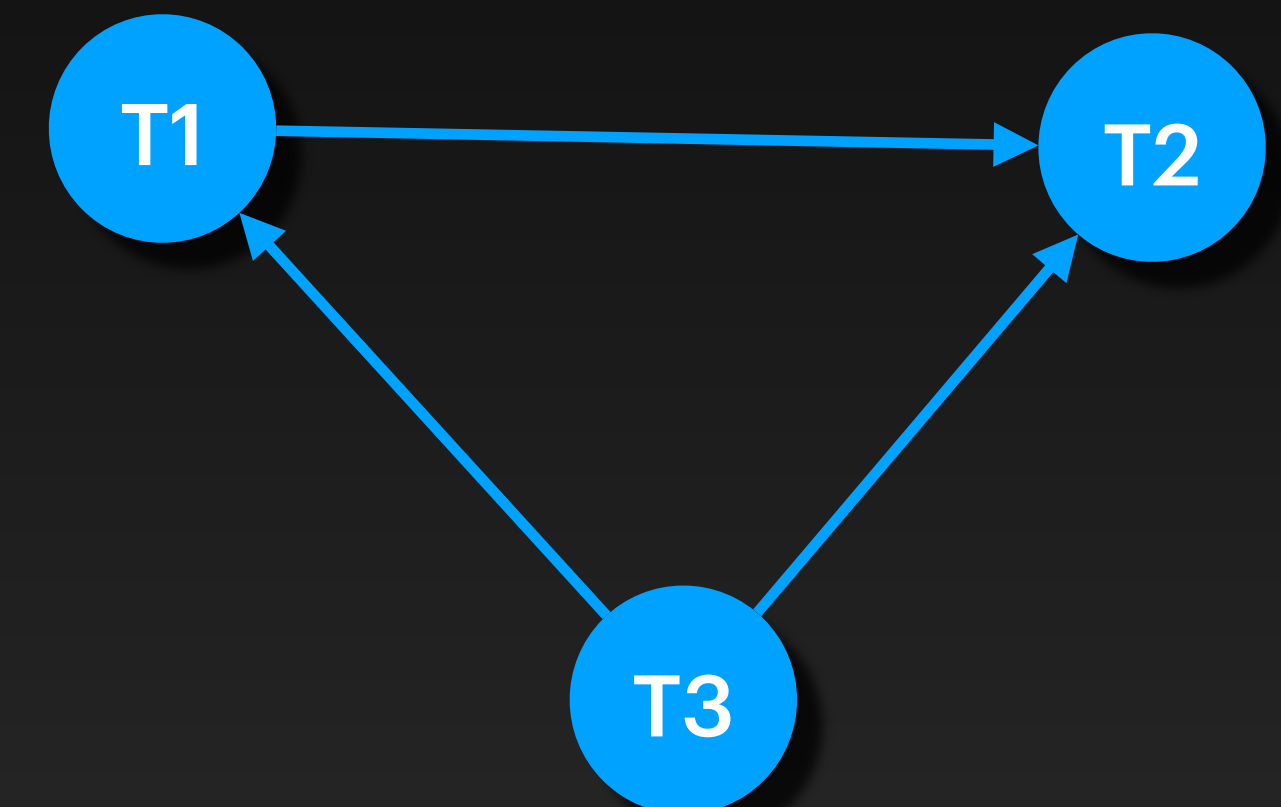
Read (Y)
Write (Y)
Read (X)
Write (X)
Commit

T3

Read (Y)
Read (Z)

Write (Y)
Write (Z)
Commit

Dependency Graph



No Cycles!!

Serializable

Equivalent: T3 -> T1 -> T2

Schedule Serializability

All Schedules

Serializable Schedules

Serial Schedules