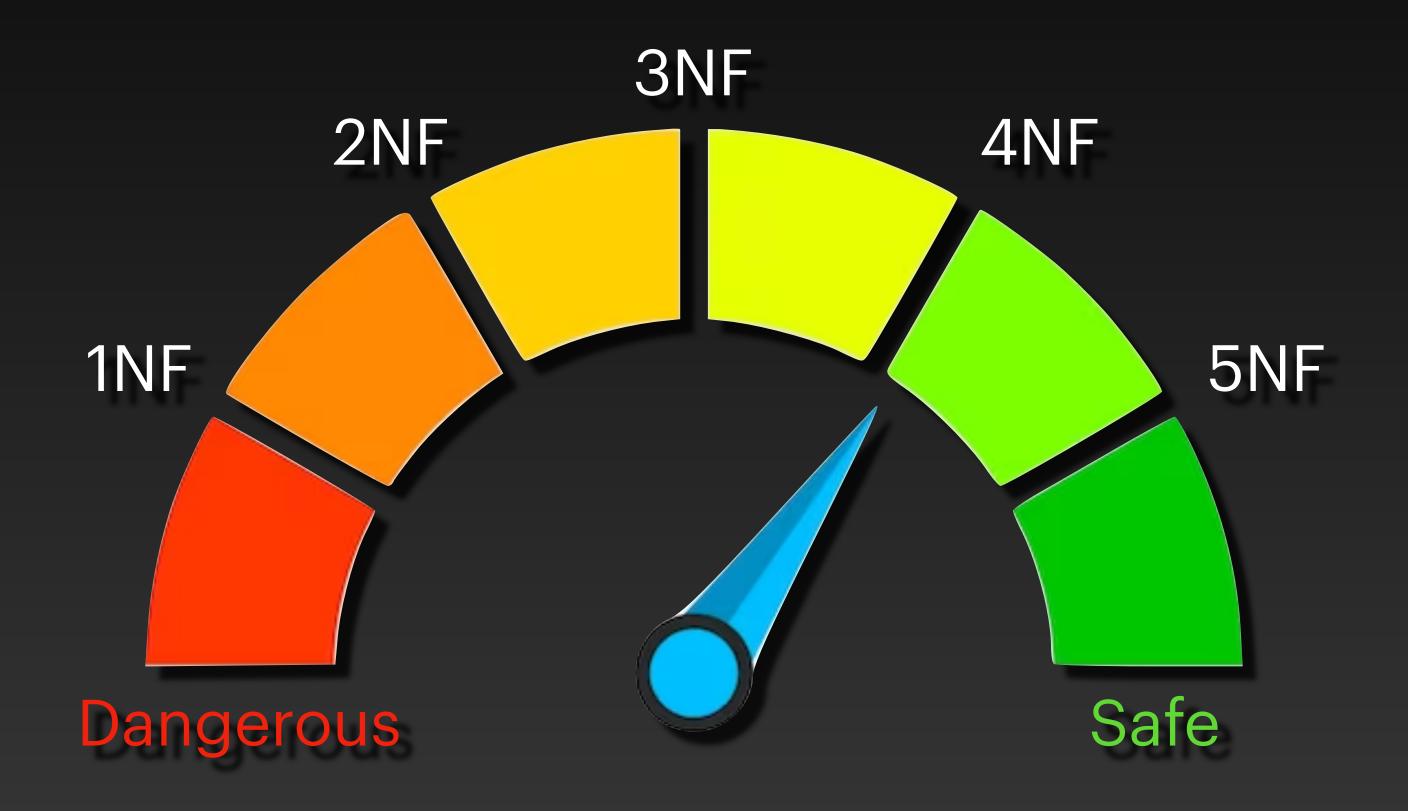


| customer_id | birth_date  |
|-------------|-------------|
| 123         | 17-APR-1992 |
| 123         | 05-OCT-1989 |



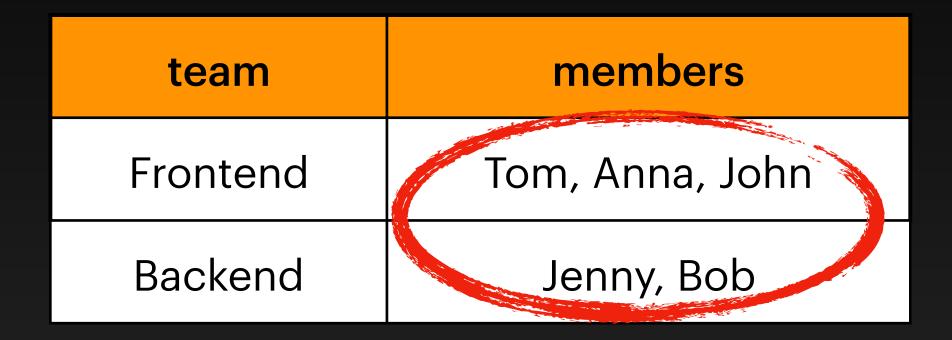
# Normal Forms





# First Normal Form (1NF)





## Multi-valued cells violate 1NF



SELECT member
FROM team\_members
WHERE team='Backend'

member

Bob

Anna

Jenny

| team     | member |
|----------|--------|
| Frontend | Tom    |
| Backend  | Anna   |
| Frontend | John   |
| Backend  | Jenny  |
| Backend  | Bob    |



Most senior

| team     | member_by_seniority |
|----------|---------------------|
| Frontend | John                |
| Frontend | Tom                 |
| Backend  | Jenny               |
| Backend  | Anna                |
| Backend  | Bob                 |



Least senior

SELECT member\_by\_seniority
FROM team\_members
WHERE team='Backend'

Anna
Bob
Jenny

Using row order to convey information violates 1NF



| team     | member | join_date  |
|----------|--------|------------|
| Frontend | John   | 1998-08-28 |
| Frontend | Tom    | 2006-09-08 |
| Backend  | Jenny  | 2021-01-28 |
| Backend  | Anna   | 2021-12-26 |
| Backend  | Bob    | 2022-09-21 |

SELECT member
FROM team\_members
WHERE team='Backend'
ORDER BY join\_date



| team     | member | join_date  |  |
|----------|--------|------------|--|
| Frontend | John   | 1998-08-28 |  |
| Frontend | Tom    | 2006-09-08 |  |
| Backend  | Jenny  | 2021-01-28 |  |
| Backend  | Anna   | 2021-12-26 |  |
| Backend  | Bob    | 2022-09-21 |  |
| Frontend | Tom    | 2006-09-08 |  |

Having duplicate rows violates 1NF



#### Primary Key (PK)

| id  | team     | member | join_date  |
|-----|----------|--------|------------|
| 101 | Frontend | John   | 1998-08-28 |
| 102 | Frontend | Tom    | 2006-09-08 |
| 103 | Backend  | Jenny  | 2021-01-28 |
| 104 | Backend  | Anna   | 2021-12-26 |
| 105 | Backend  | Bob    | 2022-09-21 |

To guarantee there are no duplicate rows, always define a primary key for each table



# First Normal Form (1NF)

- No multi-valued attributes
- Cannot use row order to convey information
- Always define a primary key

# Also

- No mixing data types within a column
- No multiple columns with the same name



| Student_ID | Courses                                   |
|------------|---|
| S01        | Math (95), Econ (80)                      |
| S02        | Phys (86)                                 |
| S03        | Chem (91), Bio (76), Phys (74), Math (81) |

| • | student_id | <u>course</u> | score |
|---|------------|---------------|-------|
|   | S01        | Math          | 95    |
|   | S01        | Econ          | 80    |
|   | S02        | Phys          | 86    |
|   | S03        | Chem          | 91    |
|   | S03        | Bio           | 76    |
|   | S03        | Phys          | 74    |
|   | S03        | Math          | 81    |



# Second Normal Form (2NF)



| • | student_id | <u>course</u> | score | instructor |
|---|------------|---------------|-------|------------|
|   | S01        | Math          | 95    | Bob        |
|   | S01        | Econ          | 80    | Anna       |
|   | S02        | Phys          | 86    | Tom        |
|   | S03        | Chem          | 91    | Julia      |
|   | S03        | Bio           | 76    | Alice      |
|   | S03        | Phys          | 74    | Tom        |
|   | S03        | Math          | 81    | Bob        |



| • | student_id | <u>course</u> | score | instructor |
|---|------------|---------------|-------|------------|
|   | S01        | Math          | 95    | John       |
|   | S01        | Econ          | 80    | Anna       |
|   | S02        | Phys          | 86    | Tom        |
|   |            |               |       |            |
| • | 505        | Chem          |       | Julia      |
|   | S03        | Bio           | 76    | Alice      |
|   | S03        | Phys          | 74    | Tom        |
|   | S03        | Math          | 81    | Bob        |

Update Anomaly

Deletion Anomaly

New course: "English" taught by Nancy

Insertion Anomaly



#### Primary Key (PK)

#### Non-key attributes





|   | •          | •             |       |            |
|---|------------|---------------|-------|------------|
| • | student_id | <u>course</u> | score | instructor |
|   | S01        | Math          | 95    | Bob        |
|   | S01        | Econ          | 80    | Anna       |
|   | S02        | Phys          | 86    | Tom        |
|   | S03        | Chem          | 91    | Julia      |
|   | S03        | Bio           | 76    | Alice      |
|   | S03        | Phys          | 74    | Tom        |
|   | S03        | Math          | 81    | Bob        |

#### Second Normal Form (2NF)

- Must satisfy 1NF
- Each non-key attribute must depend on the entire PK



#### course

| course_id | instructor |
|-----------|------------|
| Math      | Bob        |
| Econ      | Anna       |
| Phys      | Tom        |
| Chem      | Julia      |
| Bio       | Alice      |

{course\_id} → {instructor}

## student\_course

| Ť | student_id | course_id | score |
|---|------------|-----------|-------|
|   | S01        | Math      | 95    |
|   | S01        | Econ      | 80    |
|   | S02        | Phys      | 86    |
|   | S03        | Chem      | 91    |
|   | S03        | Bio       | 76    |
|   | S03        | Phys      | 74    |
|   | S03        | Math      | 81    |

{student\_id, course\_id} → {score}



# Third Normal Form (3NF)



#### course

| P | course_id | instructor | phone    |
|---|-----------|------------|----------|
|   | Math      | Tom        | 123-4567 |
|   | Econ      | Anna       | 987-6543 |
|   | Phys      | Tom        | 345-6789 |
|   | Chem      | Julia      | 765-4321 |
|   | Bio       | Alice      | 456-7890 |

#### Third Normal Form (3NF)

- Must satisfy 2NF
- No non-key attribute is dependent on another non-key attribute

$$\{course\_id\} \longrightarrow \{instrue\}tor\} \longrightarrow \{phone\}$$

Transitive Dependency



#### course

| • | course_id | instructor | phone    |
|---|-----------|------------|----------|
|   | Math      | Bob        | 123-4567 |
|   | Econ      | Anna       | 987-6543 |
|   | Phys      | Tom        | 345-6789 |
|   | Chem      | Julia      | 765-4321 |
|   | Bio       | Alice      | 456-7890 |

{course\_id} → {instructor}

#### instructor

| • | instr_id | phone    |
|---|----------|----------|
|   | Bob      | 123-4567 |
|   | Anna     | 987-6543 |
|   | Tom      | 345-6789 |
|   | Julia    | 765-4321 |
|   | Alice    | 456-7890 |

 $\{instr\_id\} \longrightarrow \{phone\}$ 



# Boyce-Codd Normal Form (BCNF)



# Boyce-Codd Normal Form

BCNF - Upgraded version of 3NF (3.5 NF)

## 3NF

- Must satisfy 2NF
- No non-key attribute is dependent on another non-key attribute

## BCNF

- Must satisfy 3NF
- No attribute is dependent on a non-key attribute



# Fourth Normal Form (4NF)



## Products



Colors: Blue, Black

Sizes: L, M



Colors: Green, Grey

Sizes: S, XS



Colors: Green, Red, White

T-shirt Sizes: L, S

| • | <u>ltem</u> | <u>Color</u> | <u>Size</u> |
|---|-------------|--------------|-------------|
|   | Jeans       | Blue         | L           |
|   | Jeans       | Blue         | M           |
|   | Jeans       | Black        | L           |
|   | Jeans       | Black        | M           |
|   | Shorts      | Green        | S           |
|   | Shorts      | Green        | XS          |
|   | Shorts      | Grey         | S           |
|   | Shorts      | Grey         | XS          |
|   | T-Shirt     | Green        | L           |
|   | T-Shirt     | Green        | S           |
|   | T-Shirt     | Red          | L           |
|   | T-Shirt     | Red          | S           |
|   | T-Shirt     | White        | L           |
|   | T-Shirt     | White        | S           |







Colors: Blue, Black

Sizes: L, M



Colors: Green, Grey

Sizes: S, XS



Colors: Green, Red, White

Sizes: L, S

| <u>ltem</u> | <u>Color</u> | <u>Size</u> |
|-------------|--------------|-------------|
| Jeans       | Blue         | L           |
| Jeans       | Blue         | M           |
| Jeans       | Black        | L           |
| Jeans       | Black        | M           |
| Shorts      | Green        | S           |
| Shorts      | Green        | XS          |
| Shorts      | Grey         | S           |
| Shorts      | Grey         | XS          |
| T-Shirt     | Green        | L           |
| T-Shirt     | Green        | S           |
| T-Shirt     | Red          | L           |
| T-Shirt     | Red          | S           |
| T-Shirt     | White        | L           |

#### Fourth Normal Form (4NF)

- Must satisfy BCNF
- Every non-trivial multi-valued dependency must depend on the key

X->>> Y is trivial if Y is a subset of X, or X and Y together form the whole set of ECH attributes of the tably AULT



Colors: Blue, Black

Sizes: L, M



T-shirt

Colors: Green, Grey

Sizes: S, XS

## Product\_colors

| • | <u>ltem</u> | <u>Color</u> |
|---|-------------|--------------|
|   | Jeans       | Blue         |
|   | Jeans       | Black        |
|   | Shorts      | Green        |
|   | Shorts      | Grey         |
|   | T-Shirt     | Green        |
|   | T-Shirt     | Red          |
|   | T-Shirt     | White        |

#### Product\_sizes

| • | <u>ltem</u> | <u>Size</u> |
|---|-------------|-------------|
|   | Jeans       | L           |
|   | Jeans       | M           |
|   | Shorts      | S           |
|   | Shorts      | XS          |
|   | T-Shirt     | L           |
|   | T-Shirt     | S           |



Sizes: L, S



$$\{item\} \longrightarrow \{size\}$$



# Fifth Normal Form (5NF)



# Fifth Normal Form (5NF)

- Must satisfy 4NF
- There must be no join-dependencies.

 Join-dependency: a table can be decomposed into a join of multiple tables without loss of information





#### **P1**

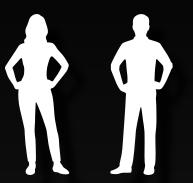
Cheese Veggie Mushroom

## P2

Cheese Chicken

# **P3**

Cheese Mushroom Chicken



## Anna

Brands:

P2, P3

Flavors:

Chicken Mushroom

#### Tom

Brands:

P1, P2, P3

Flavors:

Cheese

Veggie

Chicken

#### Preferences

| Customer | <u>Brand</u> | <u>Flavor</u> |
|----------|--------------|---------------|
| Anna     | P2           | Chicken       |
| Anna     | Р3           | Mushroom      |
| Anna     | Р3           | Chicken       |
| Tom      | P1           | Cheese        |
| Tom      | P1           | Veggie        |
| Tom      | P2           | Cheese        |
| Tom      | P2           | Chicken       |
| Tom      | P3           | Cheese        |
| Tom      | P3           | Chicken       |





**P1** 

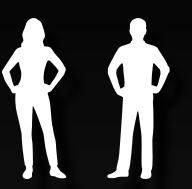
Cheese Veggie Mushroom

P2

Cheese Chicken

**P3** 

Cheese Mushroom Chicken



## Brand\_flavor

<u>Flavor</u>

Mushroom

Cheese

Chicken

Cheese

Mushroom

Chicken

Brand

P2

P2

P3

P3

P3

Anna

Brands:

P2, P3

Flavors:

Chicken Mushroom

Tom

Brands:

P1, P2, P3

Flavors:

Cheese

Veggie

Chicken

# Cheese Customer\_brand Veggie

| • | Customer | Brand |
|---|----------|-------|
|   | Anna     | P2    |
|   | Anna     | Р3    |
|   | Tom      | P1    |
|   | Tom      | P2    |
|   | Tom      | P3    |

## Customer\_flavor

| Customer | <u>Flavor</u> |
|----------|---------------|
| Anna     | Chicken       |
| Anna     | Mushroom      |
| Tom      | Cheese        |
| Tom      | Veggie        |
| Tom      | Chicken       |



# Summary



#### 1NF

- No multi-valued attributes
- Cannot use row order to convey information
- Always define a primary key
- No mixing data types within a column
- No multiple columns with the same name

#### 2NF

Each non-key attribute must depend on the entire PK

#### 3NF

No non-key attribute is dependent on another non-key attribute

#### **BCNF**

No attribute is dependent on a non-key attribute

#### 4NF

• Every non-trivial multi-valued dependency must depend on the key

#### 5NF

There must be no join-dependencies

