

# Context-Free Languages

$$\{a^n b^n : n \geq 0\} \qquad \{ww^R\}$$

Regular Languages

$$a^* b^* \qquad (a + b)^*$$

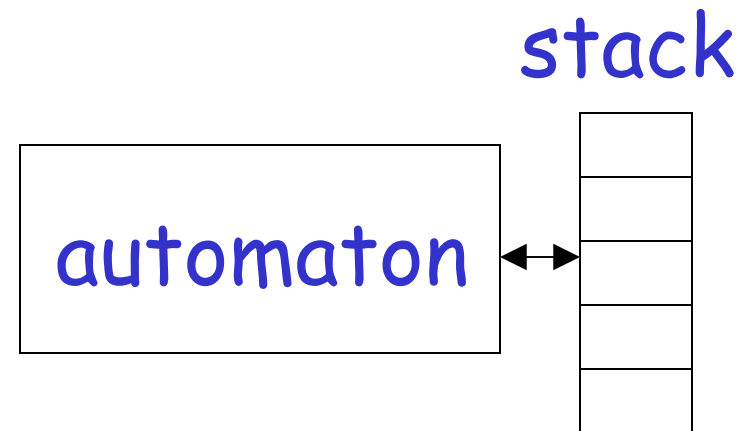
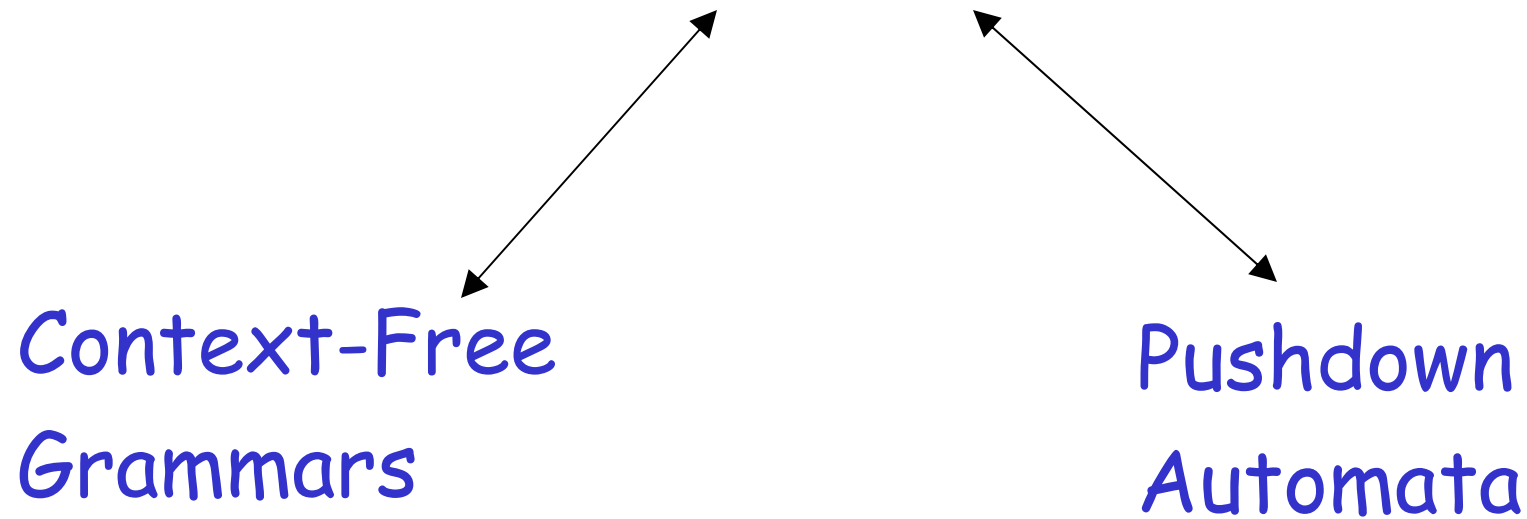
# Context-Free Languages

$$\{a^n b^n\}$$

$$\{ww^R\}$$

## Regular Languages

# Context-Free Languages



# Context-Free Grammars

# Grammars

Grammars express languages

Example: the English language

$$\langle sentence \rangle \rightarrow \langle noun\_phrase \rangle \langle predicate \rangle$$
$$\langle noun\_phrase \rangle \rightarrow \langle article \rangle \langle noun \rangle$$
$$\langle predicate \rangle \rightarrow \langle verb \rangle$$

$\langle \textit{article} \rangle \rightarrow a$

$\langle \textit{article} \rangle \rightarrow the$

$\langle \textit{noun} \rangle \rightarrow cat$

$\langle \textit{noun} \rangle \rightarrow dog$

$\langle \textit{verb} \rangle \rightarrow runs$

$\langle \textit{verb} \rangle \rightarrow walks$

## A derivation of "the dog walks":

$\langle sentence \rangle \Rightarrow \langle noun\_phrase \rangle \langle predicate \rangle$   
 $\Rightarrow \langle noun\_phrase \rangle \langle verb \rangle$   
 $\Rightarrow \langle article \rangle \langle noun \rangle \langle verb \rangle$   
 $\Rightarrow the \langle noun \rangle \langle verb \rangle$   
 $\Rightarrow the \ dog \langle verb \rangle$   
 $\Rightarrow the \ dog \ walks$



## A derivation of "a cat runs":

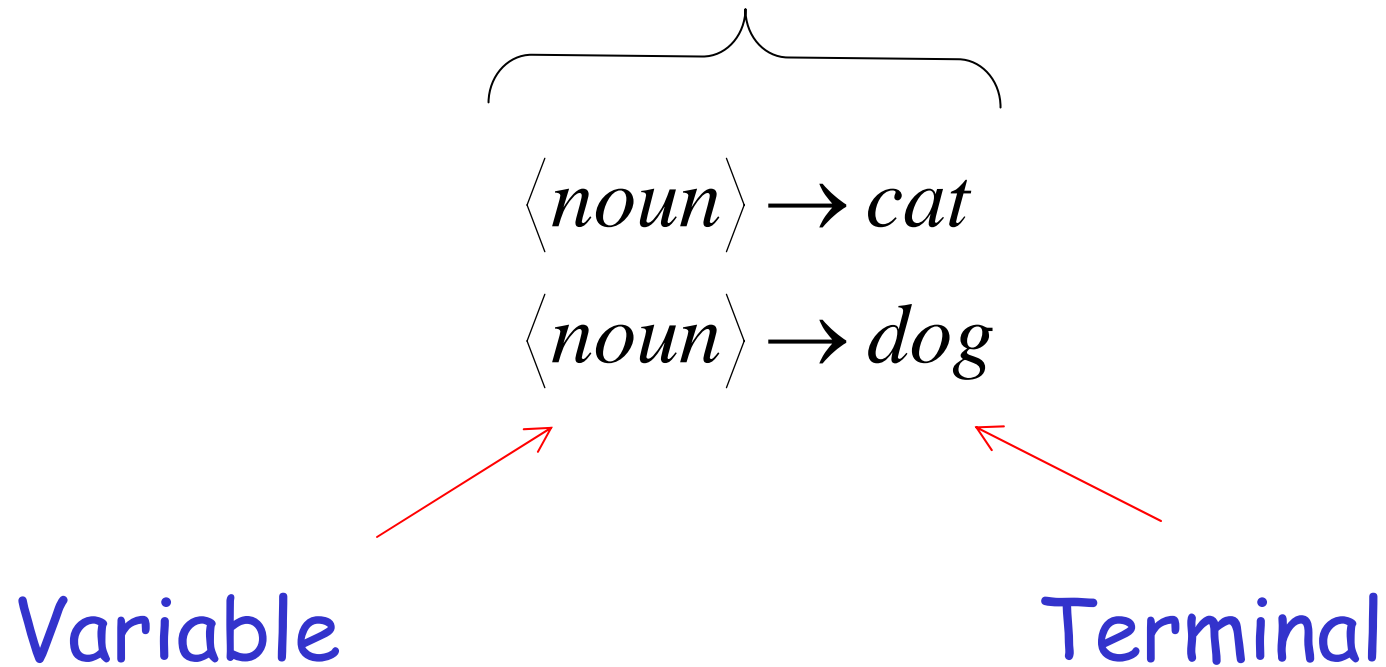
$\langle sentence \rangle \Rightarrow \langle noun\_phrase \rangle \langle predicate \rangle$   
 $\Rightarrow \langle noun\_phrase \rangle \langle verb \rangle$   
 $\Rightarrow \langle article \rangle \langle noun \rangle \langle verb \rangle$   
 $\Rightarrow a \langle noun \rangle \langle verb \rangle$   
 $\Rightarrow a \text{ cat } \langle verb \rangle$   
 $\Rightarrow a \text{ cat runs}$

Language of the grammar:

$$L = \{ \text{"a cat runs"}, \\ \text{"a cat walks"}, \\ \text{"the cat runs"}, \\ \text{"the cat walks"}, \\ \text{"a dog runs"}, \\ \text{"a dog walks"}, \\ \text{"the dog runs"}, \\ \text{"the dog walks"} \}$$

# Notation

## Production Rules

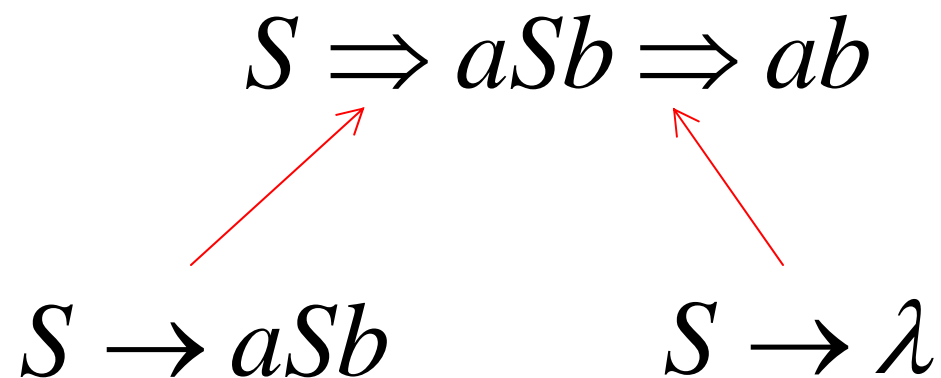


## Another Example

Grammar:  $S \rightarrow aSb$

$S \rightarrow \lambda$

Derivation of sentence  $ab$ :



Grammar:  $S \rightarrow aSb$

$S \rightarrow \lambda$

Derivation of sentence  $aabb$  :

$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aabb$



$S \rightarrow aSb$



$S \rightarrow \lambda$

Other derivations:

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \Rightarrow aaabbbb$$

$$\begin{aligned} S &\Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \\ &\Rightarrow aaaaSbbbb \Rightarrow aaabbbbb \end{aligned}$$

Language of the grammar

$$S \rightarrow aSb$$

$$S \rightarrow \lambda$$

$$L = \{a^n b^n : n \geq 0\}$$

# More Notation

**Grammar**       $G = (V, T, S, P)$

$V$  :    Set of variables

$T$  :    Set of terminal symbols

$S$  :    Start variable

$P$  :    Set of Production rules



# Example

Grammar  $G$  :  $S \rightarrow aSb$

$S \rightarrow \lambda$

$$G = (V, T, S, P)$$

$$V = \{S\}$$

$$T = \{a, b\}$$

$$P = \{S \rightarrow aSb, S \rightarrow \lambda\}$$

# More Notation

## Sentential Form:

A sentence that contains  
variables and terminals

Example:

$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \Rightarrow aaabbbb$

Sentential Forms

sentence

We write:  $S \stackrel{*}{\Rightarrow} aaabbb$

Instead of:

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaasbbbb \Rightarrow aaabbbb$$

\*

In general we write:

$$w_1 \Rightarrow w_n$$

If:

$$w_1 \Rightarrow w_2 \Rightarrow w_3 \Rightarrow \cdots \Rightarrow w_n$$

By default:

$$w \stackrel{*}{\Rightarrow} w$$

# Example

## Grammar

$$S \rightarrow aSb$$

$$S \rightarrow \lambda$$

## Derivations

$$\begin{array}{c} * \\ S \Rightarrow \lambda \end{array}$$

$$\begin{array}{c} * \\ S \Rightarrow ab \end{array}$$

$$\begin{array}{c} * \\ S \Rightarrow aabb \end{array}$$

$$\begin{array}{c} * \\ S \Rightarrow aaabbb \end{array}$$

# Example

## Grammar

$$S \rightarrow aSb$$

$$S \rightarrow \lambda$$

## Derivations

$$S \xRightarrow{*} aaSbb$$

$$aaSbb \xRightarrow{*} aaaaaaSbbbbbb$$

# Another Grammar Example

Grammar  $G$  :  $S \rightarrow Ab$

$A \rightarrow aAb$

$A \rightarrow \lambda$

Derivations:

$S \Rightarrow Ab \Rightarrow b$

$S \Rightarrow Ab \Rightarrow aAbb \Rightarrow abb$

$S \Rightarrow Ab \Rightarrow aAbb \Rightarrow aaAbbbb \Rightarrow aabbbb$



## More Derivations

$$S \Rightarrow Ab \Rightarrow aAbb \Rightarrow aaAbbb \Rightarrow aaaAbbbb \\ \Rightarrow aaaaAbbbbbb \Rightarrow aaaaabbbbbbb$$

$$\begin{array}{c} * \\ S \Rightarrow aaaaabbbbb \end{array}$$

$$\begin{array}{c} * \\ S \Rightarrow aaaaaabbbbbbbb \end{array}$$

$$\begin{array}{c} * \\ S \Rightarrow a^n b^n b \end{array}$$

# Language of a Grammar

For a grammar  $G$   
with start variable  $S$  :

$$L(G) = \{w : S \overset{*}{\Rightarrow} w\}$$

String of terminals



## Example

For grammar  $G$  :  $S \rightarrow Ab$

$$A \rightarrow aAb$$

$$A \rightarrow \lambda$$

$$L(G) = \{a^n b^n b : n \geq 0\}$$

Since:  $S \xRightarrow{*} a^n b^n b$

# A Convenient Notation

$$\begin{array}{l} A \rightarrow aAb \\ A \rightarrow \lambda \end{array} \quad \longrightarrow \quad A \rightarrow aAb \mid \lambda$$

$$\begin{array}{l} \langle article \rangle \rightarrow a \\ \langle article \rangle \rightarrow the \end{array} \quad \longrightarrow \quad \langle article \rangle \rightarrow a \mid the$$

# Example

A context-free grammar  $G$ :

$$S \rightarrow aSb$$
$$S \rightarrow \lambda$$

A derivation:

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aabb$$

A context-free grammar  $G$ :

$$S \rightarrow aSb$$
$$S \rightarrow \lambda$$

Another derivation:

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \Rightarrow aaabbbb$$

$$S \rightarrow aSb$$

$$S \rightarrow \lambda$$

$$L(G) = \{a^n b^n : n \geq 0\}$$

Describes parentheses: ((( ( )))

# Example

A context-free grammar  $G$ :  $S \rightarrow aSa$

$S \rightarrow bSb$

$S \rightarrow \lambda$

A derivation:

$$S \Rightarrow aSa \Rightarrow abSba \Rightarrow abba$$



A context-free grammar  $G$ :

$$S \rightarrow aSa$$
$$S \rightarrow bSb$$
$$S \rightarrow \lambda$$

Another derivation:

$$S \Rightarrow aSa \Rightarrow abSba \Rightarrow abaSaba \Rightarrow abaaba$$

$$S \rightarrow aSa$$

$$S \rightarrow bSb$$

$$S \rightarrow \lambda$$

$$L(G) = \{ww^R : w \in \{a,b\}^*\}$$

# Example

A context-free grammar  $G$ :  $S \rightarrow aSb$

$$S \rightarrow SS$$

$$S \rightarrow \lambda$$

A derivation:

$$S \Rightarrow SS \Rightarrow aSbS \Rightarrow abS \Rightarrow ab$$

A context-free grammar  $G$ :

$$S \rightarrow aSb$$
$$S \rightarrow SS$$
$$S \rightarrow \lambda$$

A derivation:

$$S \Rightarrow SS \Rightarrow aSbS \Rightarrow abS \Rightarrow abaSb \Rightarrow abab$$

$$S \rightarrow aSb$$

$$S \rightarrow SS$$

$$S \rightarrow \lambda$$

$$L(G) = \{w : n_a(w) = n_b(w), \\ \text{and } n_a(v) \geq n_b(v) \\ \text{in any prefix } v\}$$

Describes

matched

parentheses:

$() (( ( ))) (( ))$

# Definition: Context-Free Grammars

Grammar  $G = (V, T, S, P)$

Variables

Terminal  
symbols

Start  
variable

Productions of the form:

$A \rightarrow x$

Variable

String of variables  
and terminals

$$G = (V, T, S, P)$$

$$L(G) = \{w : S \overset{*}{\Rightarrow} w, \quad w \in T^*\}$$

# Definition: Context-Free Languages

A language  $L$  is context-free

if and only if

there is a context-free grammar  $G$   
with  $L = L(G)$



## Derivation Order

- |                       |                            |                            |
|-----------------------|----------------------------|----------------------------|
| 1. $S \rightarrow AB$ | 2. $A \rightarrow aaA$     | 4. $B \rightarrow Bb$      |
|                       | 3. $A \rightarrow \lambda$ | 5. $B \rightarrow \lambda$ |

Leftmost derivation:

$$\begin{array}{ccccccccc} & 1 & & 2 & & 3 & & 4 & & 5 \\ S & \Rightarrow & AB & \Rightarrow & aaAB & \Rightarrow & aaB & \Rightarrow & aaBb & \Rightarrow & aab \end{array}$$

Rightmost derivation:

$$\begin{array}{ccccccccc} & 1 & & 4 & & 5 & & 2 & & 3 \\ S & \Rightarrow & AB & \Rightarrow & ABb & \Rightarrow & Ab & \Rightarrow & aaAb & \Rightarrow & aab \end{array}$$

$$S \rightarrow aAB$$

$$A \rightarrow bBb$$

$$B \rightarrow A \mid \lambda$$

Leftmost derivation:

$$\begin{aligned} S &\Rightarrow aAB \Rightarrow abBbB \Rightarrow abAbB \Rightarrow abbBbbB \\ &\Rightarrow abbbbB \Rightarrow abbbb \end{aligned}$$

Rightmost derivation:

$$\begin{aligned} S &\Rightarrow aAB \Rightarrow aA \Rightarrow abBb \Rightarrow abAb \\ &\Rightarrow abbBbb \Rightarrow abbbb \end{aligned}$$

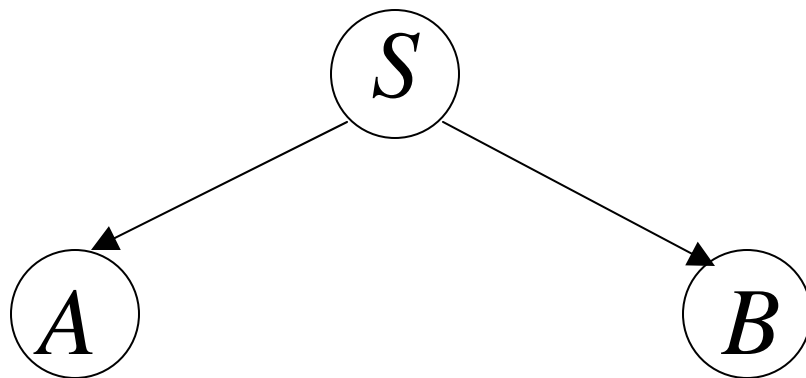
# Derivation Trees

$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \lambda$$

$$B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB$$

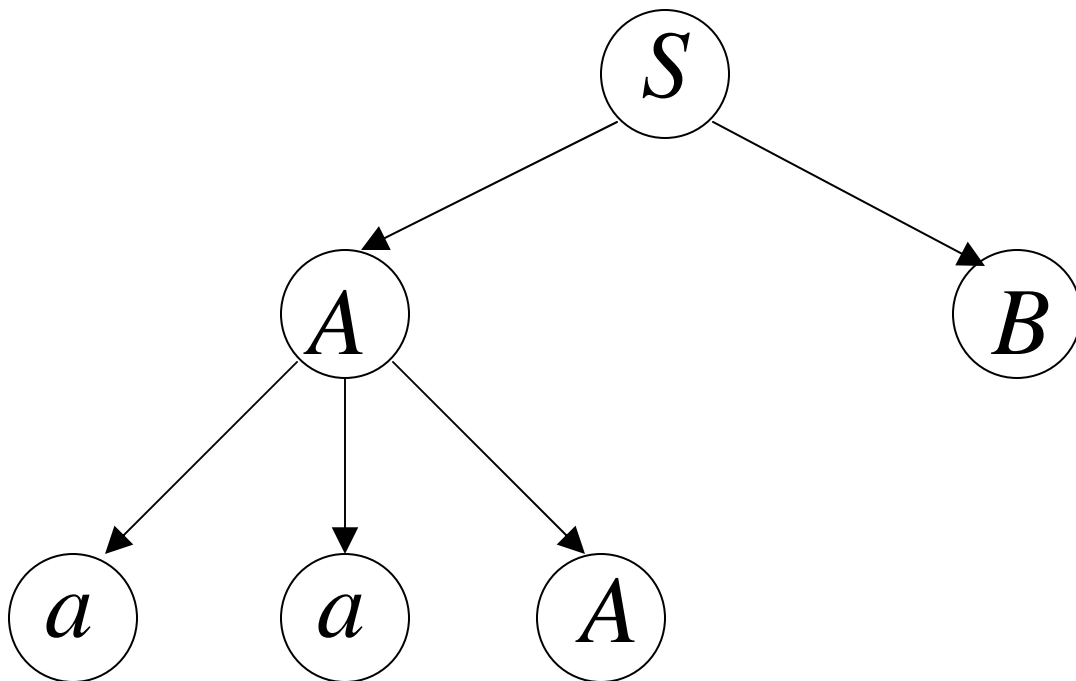


$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \lambda$$

$$B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB \Rightarrow aaAB$$

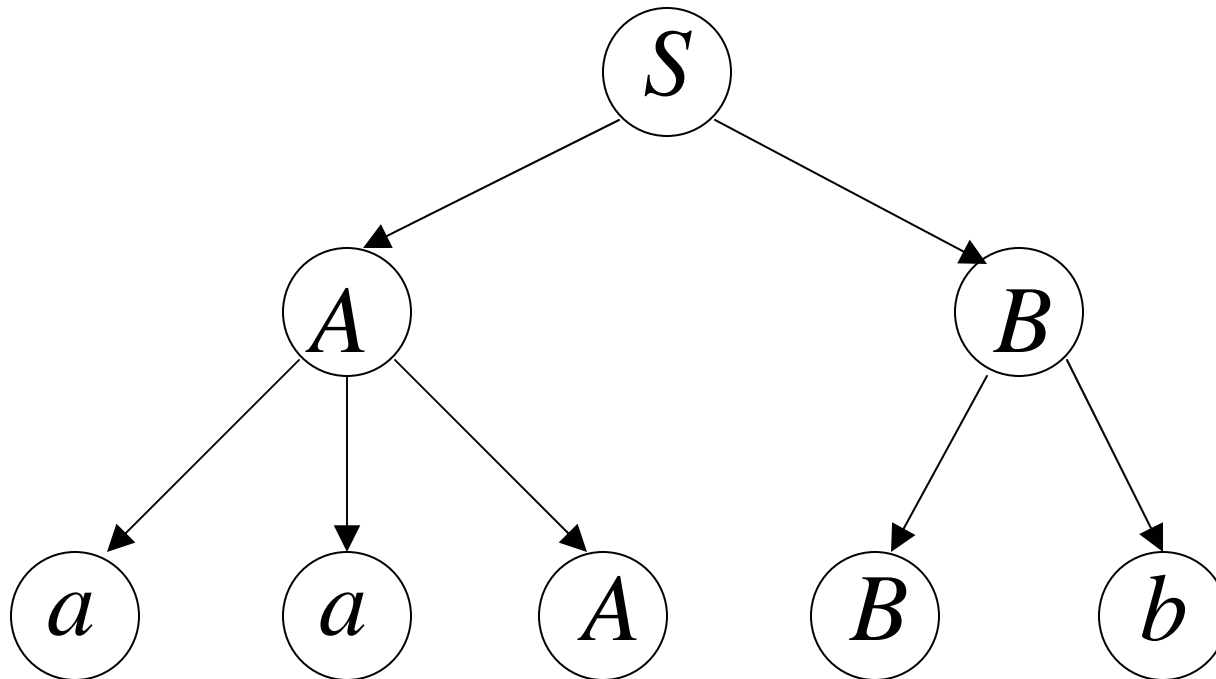


$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \lambda$$

$$B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb$$

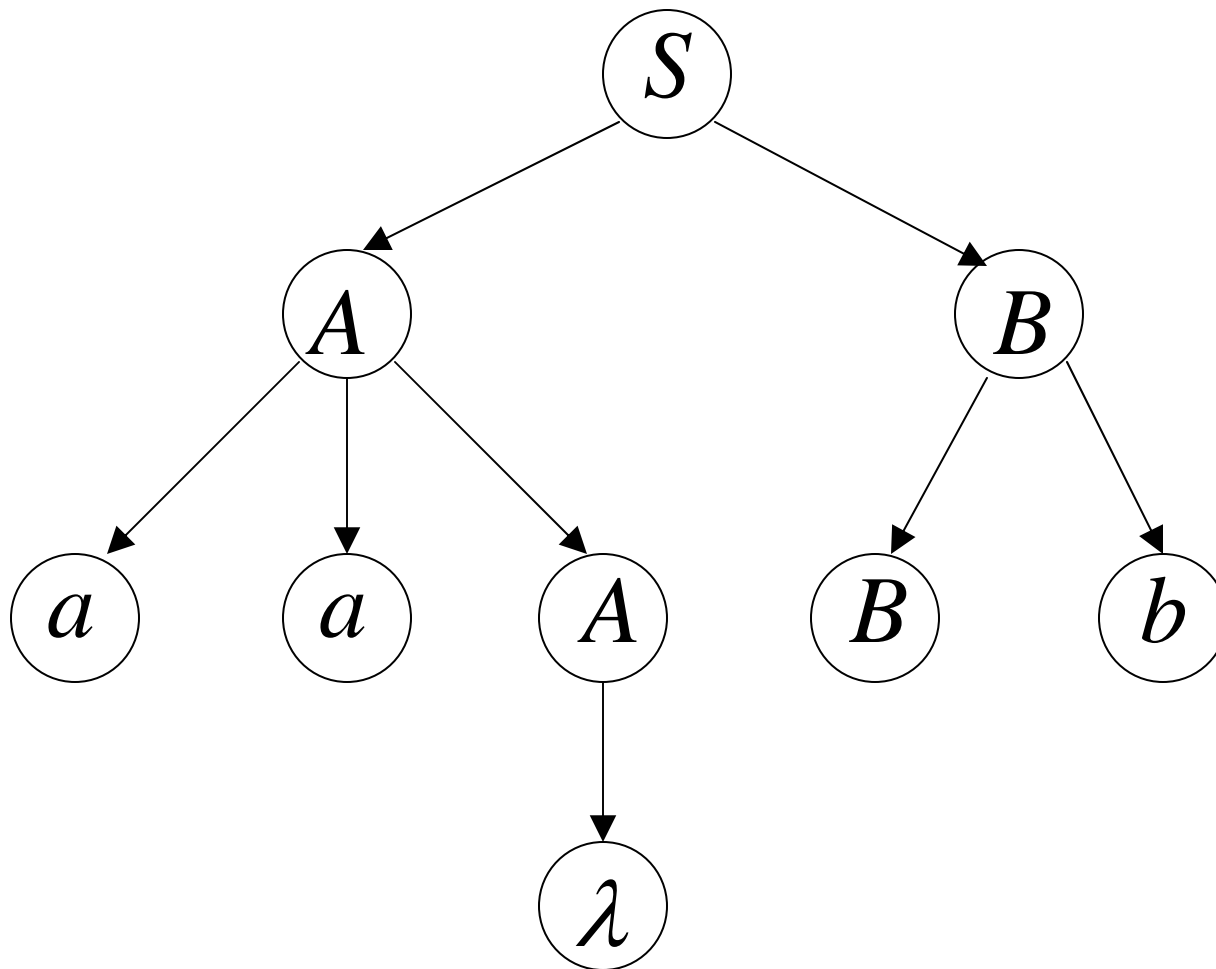


$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \lambda$$

$$B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb$$



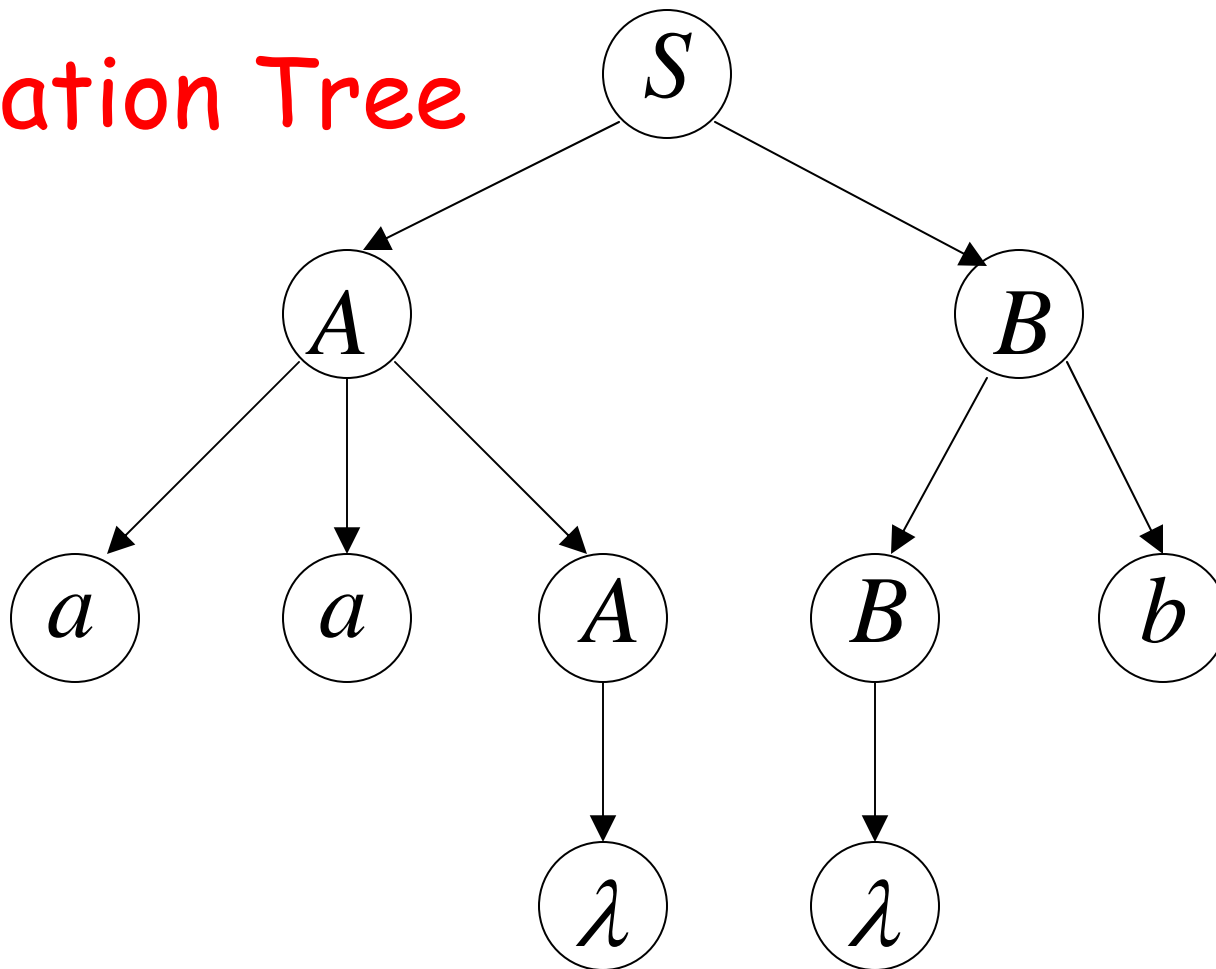
$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \lambda$$

$$B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb \Rightarrow aab$$

Derivation Tree





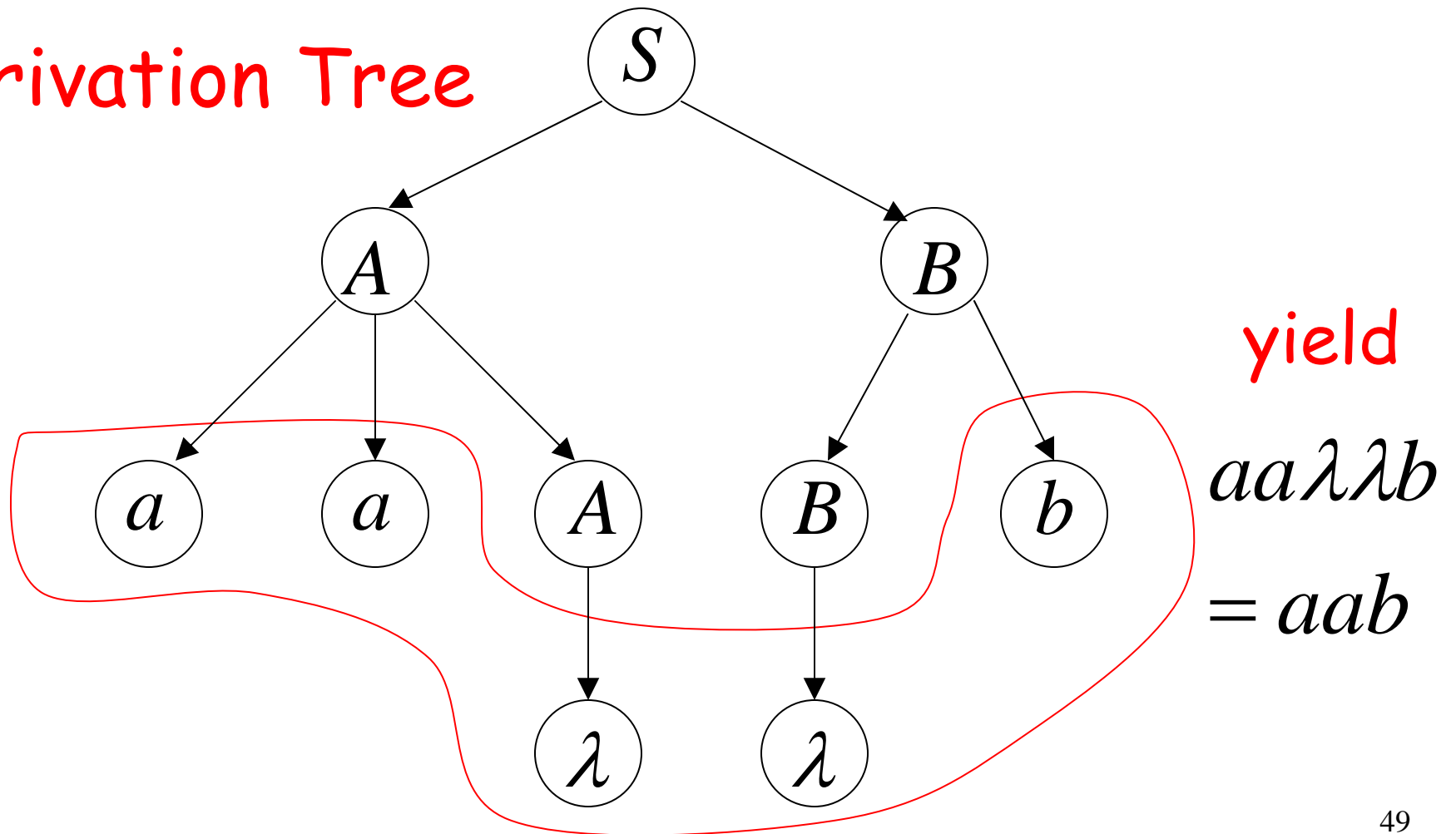
$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \lambda$$

$$B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb \Rightarrow aab$$

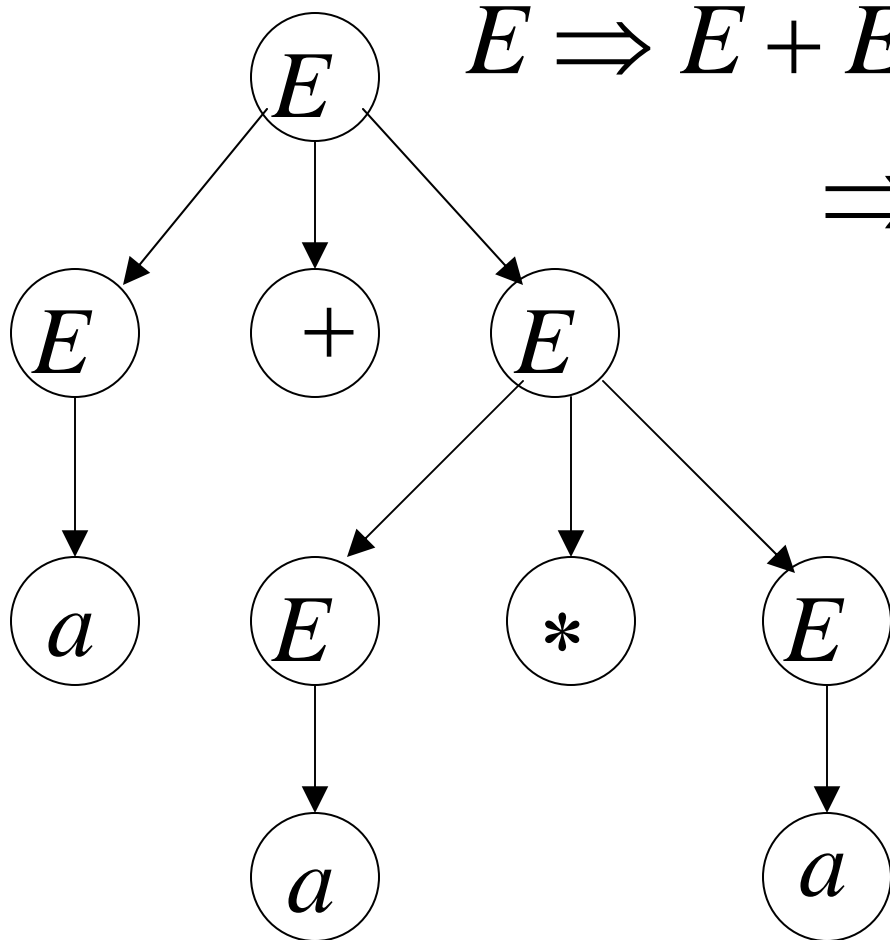
Derivation Tree



*Ambiguity*

$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

$$a + a * a$$



$$\begin{aligned}
 E &\Rightarrow E + E \Rightarrow a + E \Rightarrow a + E * E \\
 &\Rightarrow a + a * E \Rightarrow a + a * a
 \end{aligned}$$

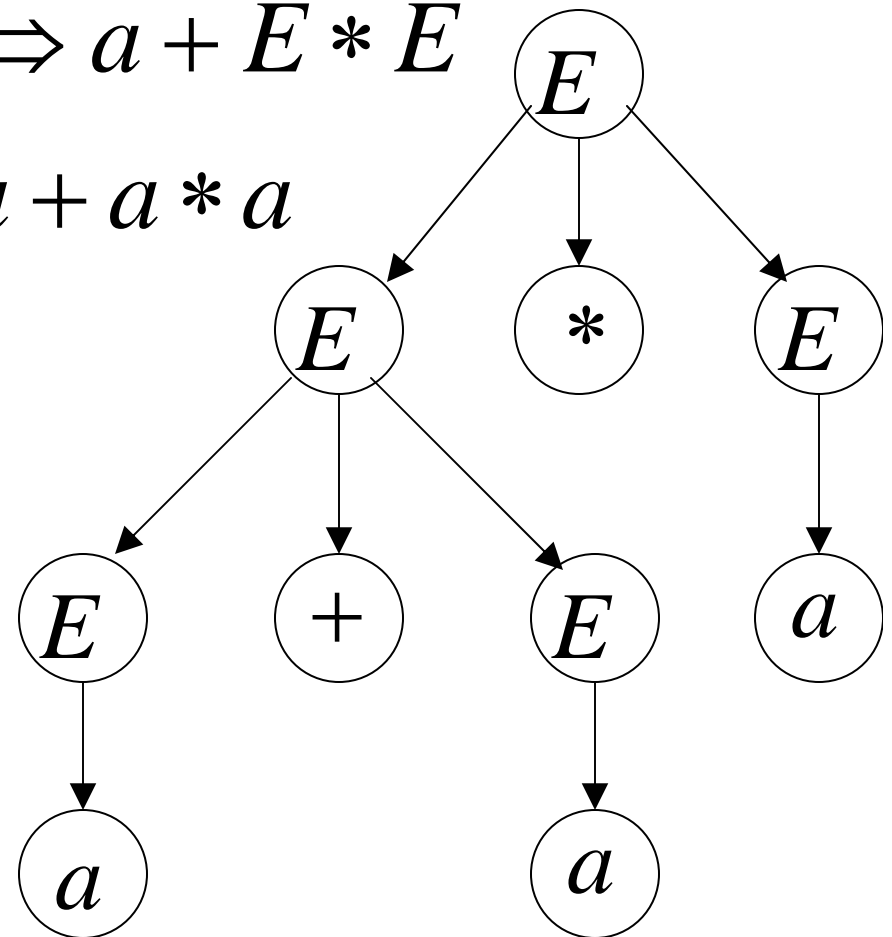
leftmost derivation

$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

$$a + a * a$$

$$E \Rightarrow E * E \Rightarrow E + E * E \Rightarrow a + E * E \\ \Rightarrow a + a * E \Rightarrow a + a * a$$

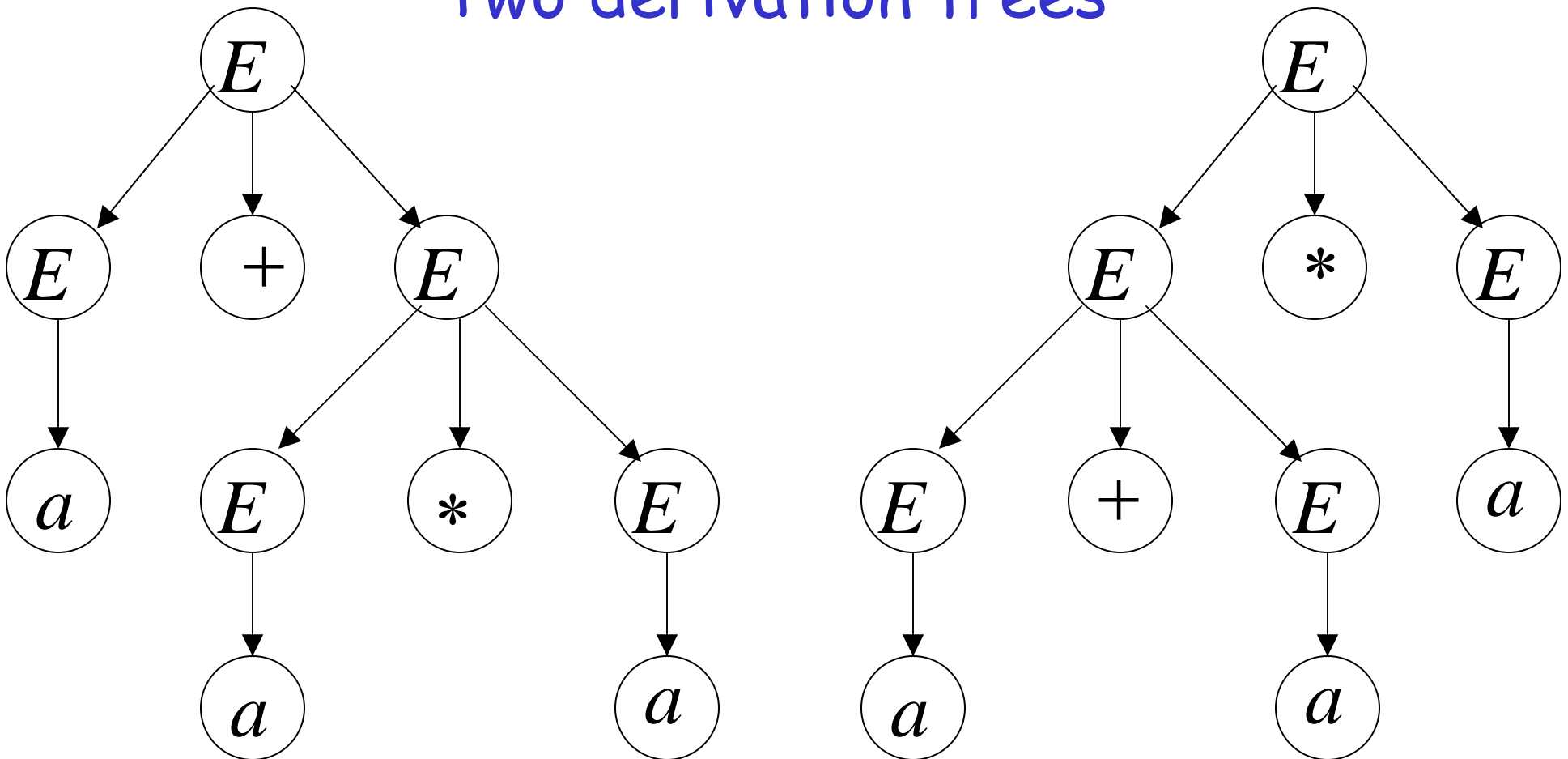
leftmost derivation



$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

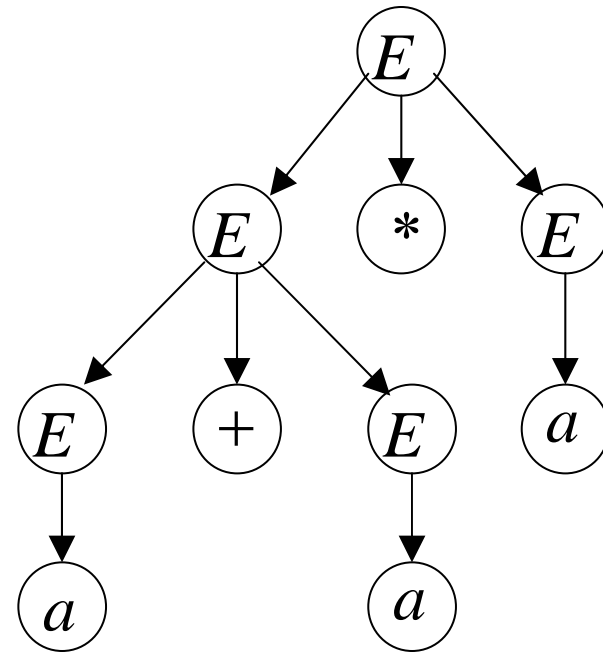
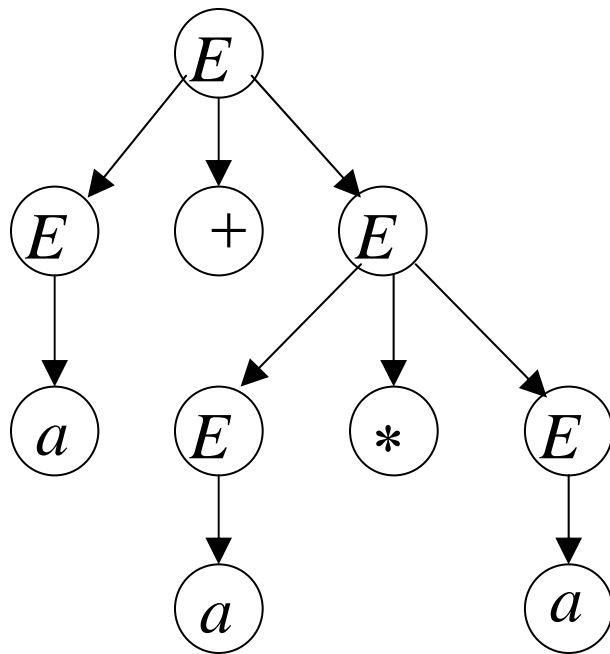
$$a + a * a$$

Two derivation trees



The grammar  $E \rightarrow E + E \mid E * E \mid (E) \mid a$   
is ambiguous:

string  $a + a * a$  has two derivation trees



The grammar  $E \rightarrow E + E \mid E * E \mid (E) \mid a$   
is ambiguous:

string  $a + a * a$  has two leftmost derivations

$$\begin{aligned} E &\Rightarrow E + E \Rightarrow a + E \Rightarrow a + E * E \\ &\Rightarrow a + a * E \Rightarrow a + a * a \end{aligned}$$

$$\begin{aligned} E &\Rightarrow E * E \Rightarrow E + E * E \Rightarrow a + E * E \\ &\Rightarrow a + a * E \Rightarrow a + a * a \end{aligned}$$

# Definition:

A context-free grammar  $G$  is **ambiguous**

if some string  $w \in L(G)$  has:

two or more derivation trees



In other words:

A context-free grammar  $G$  is **ambiguous**

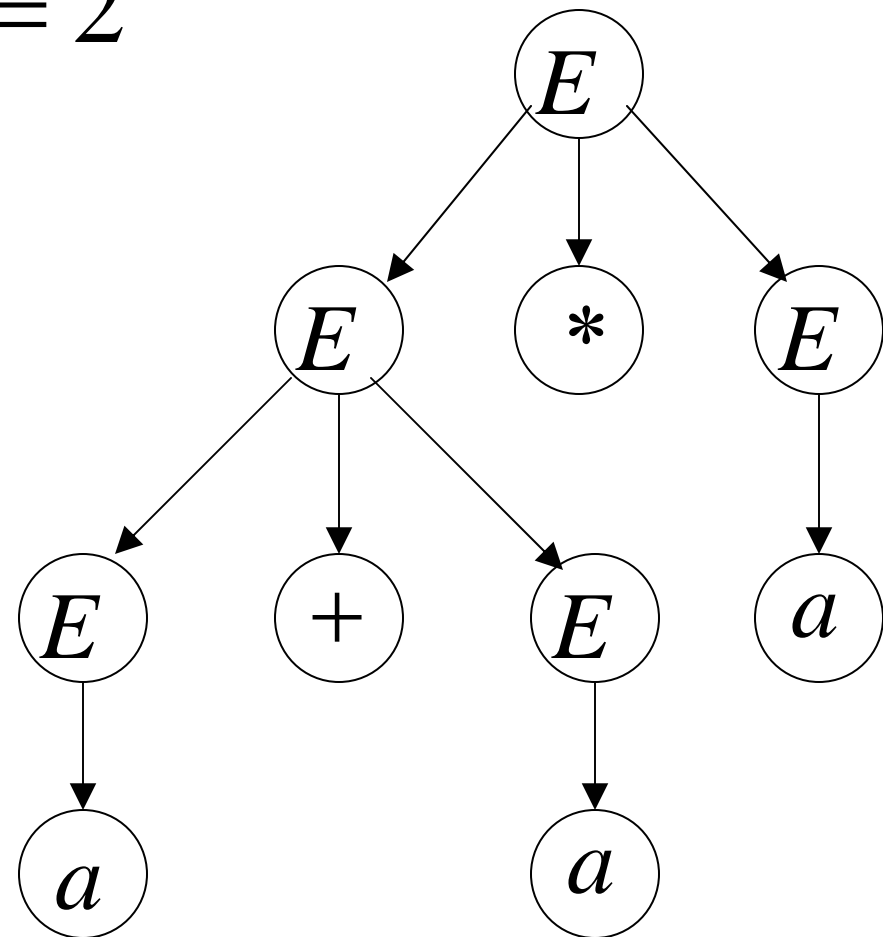
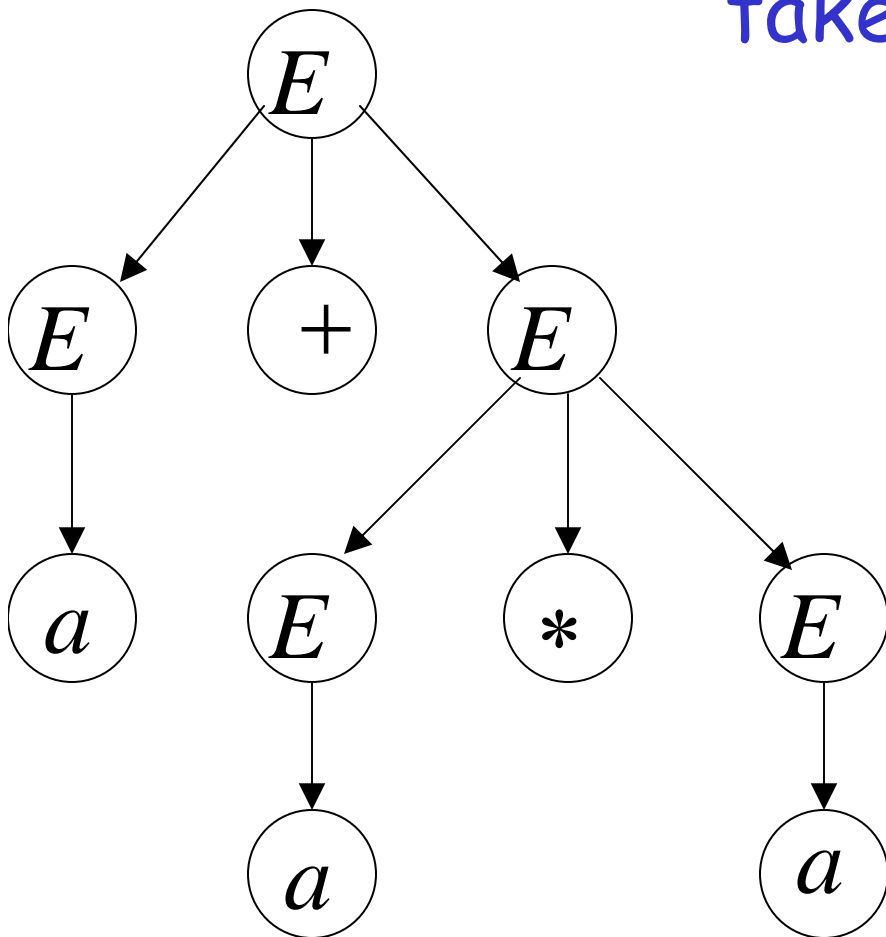
if some string  $w \in L(G)$  has:

two or more leftmost derivations  
(or rightmost)

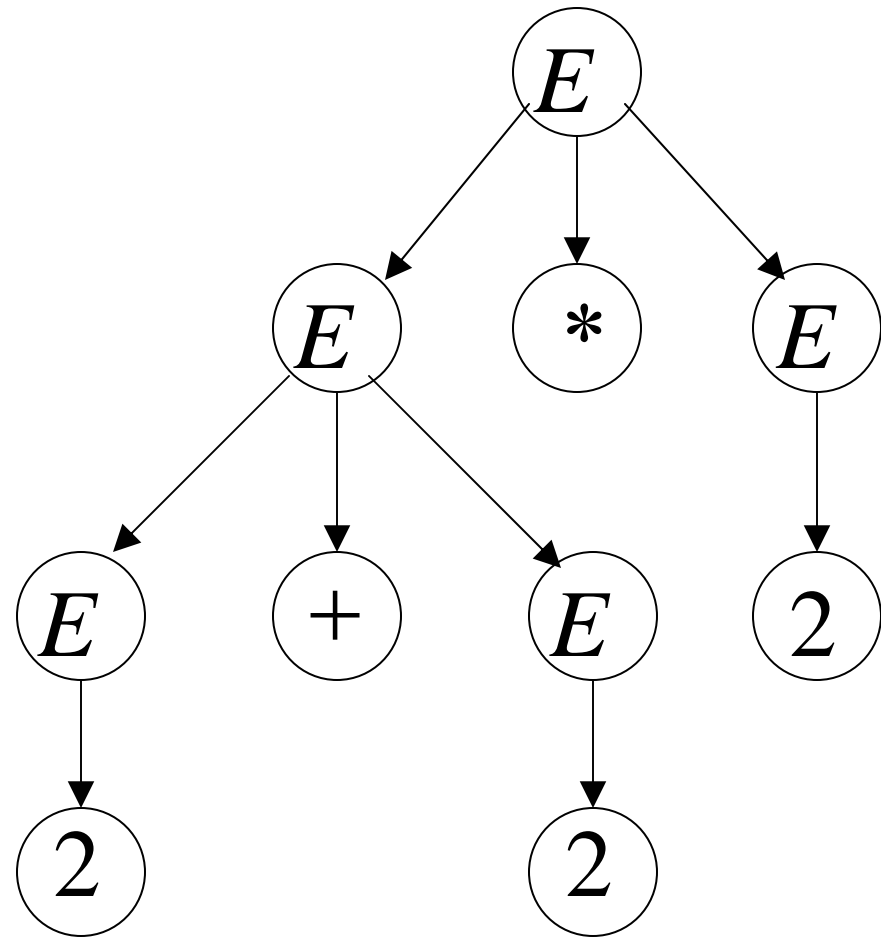
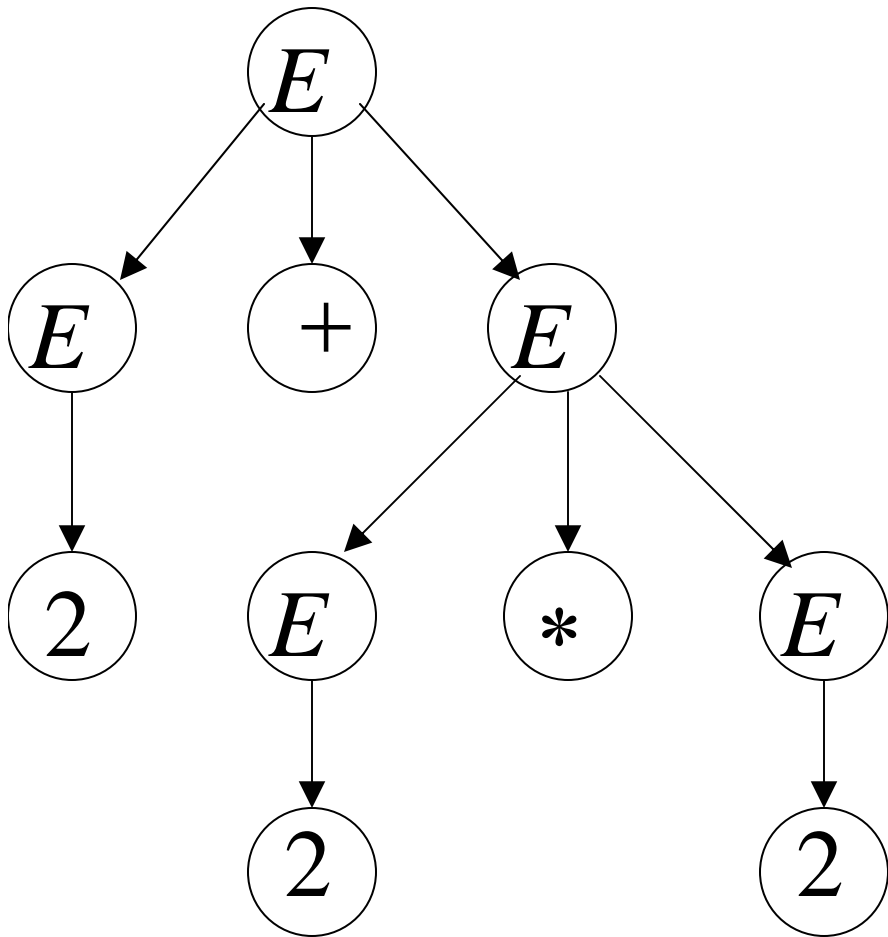
# Why do we care about ambiguity?

$$a + a * a$$

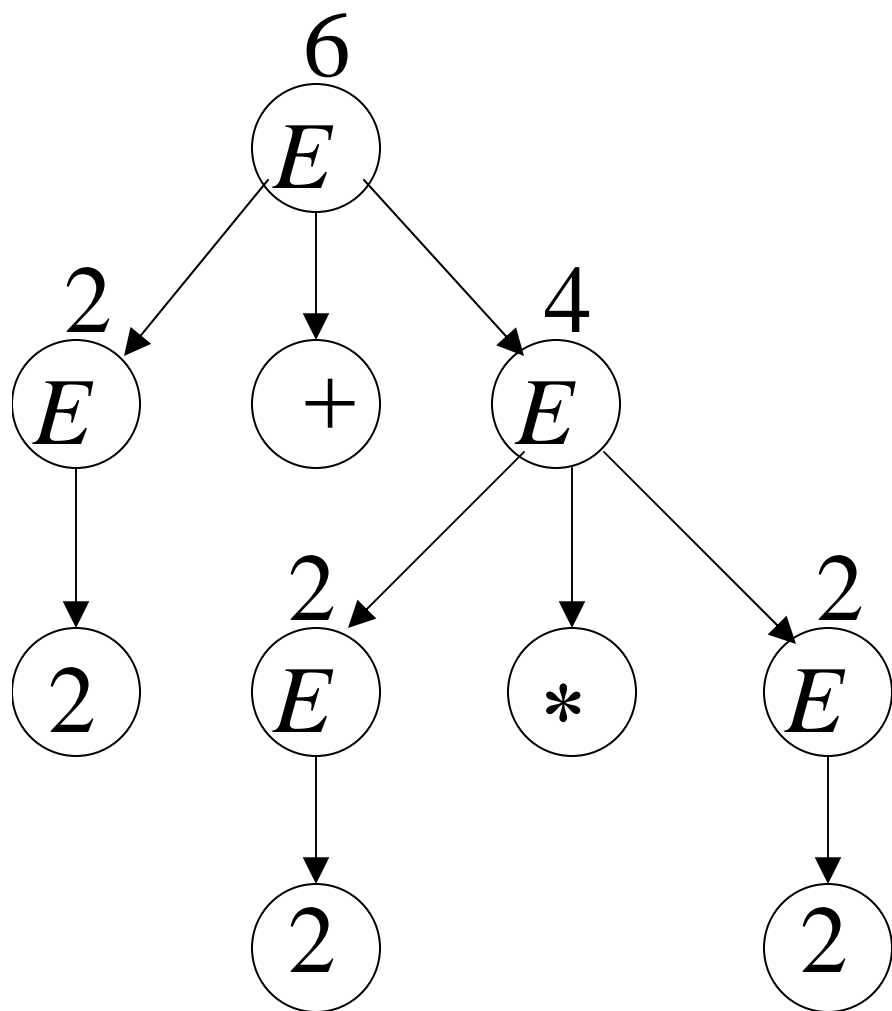
take  $a = 2$



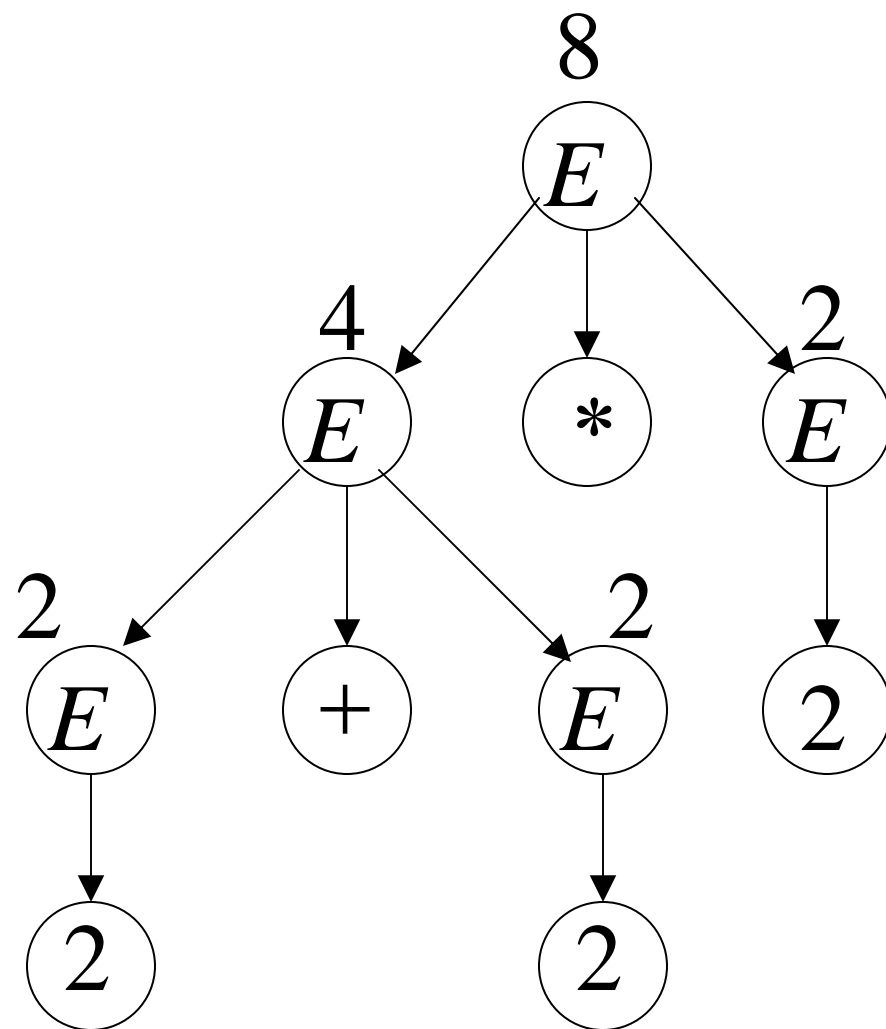
$$2 + 2 * 2$$



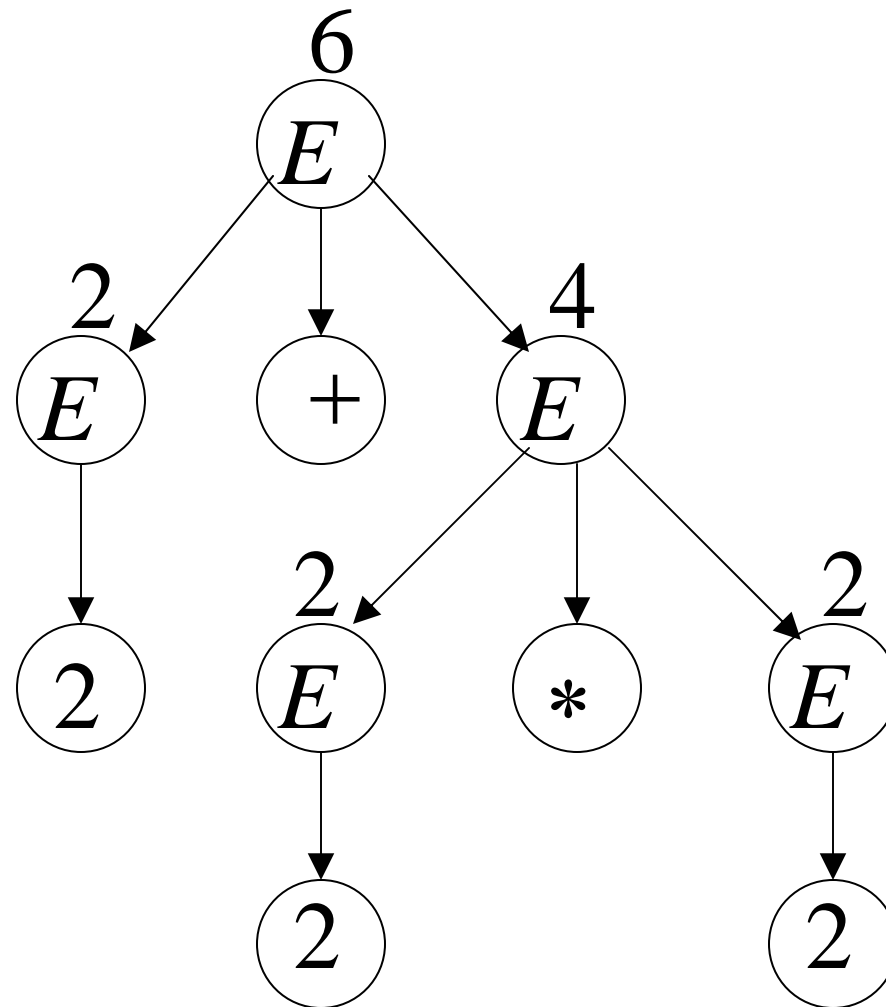
$$2 + 2 * 2 = 6$$



$$2 + 2 * 2 = 8$$



Correct result:  $2 + 2 * 2 = 6$

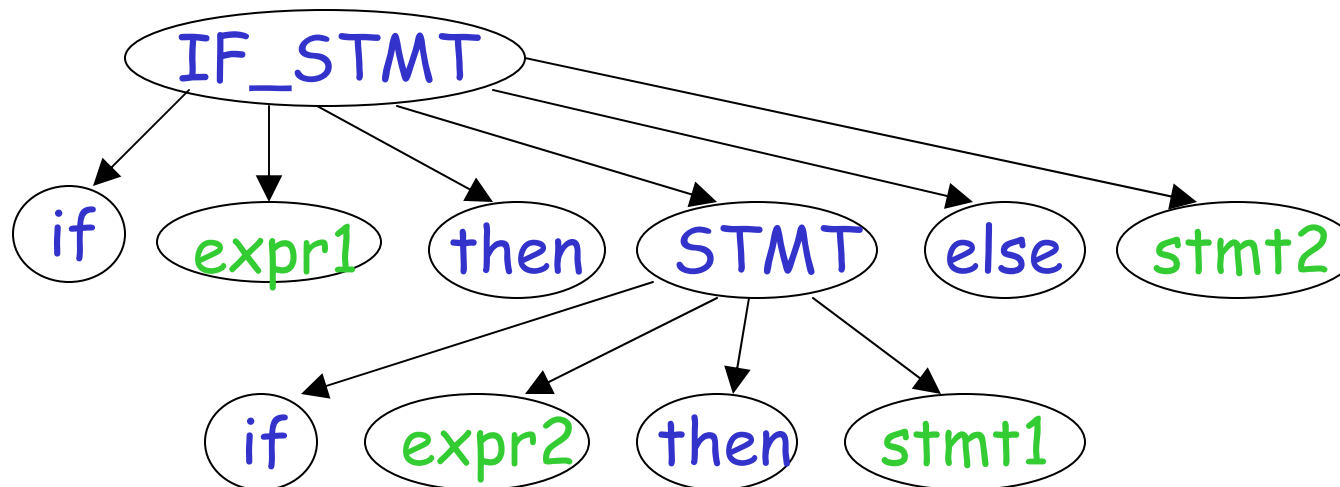
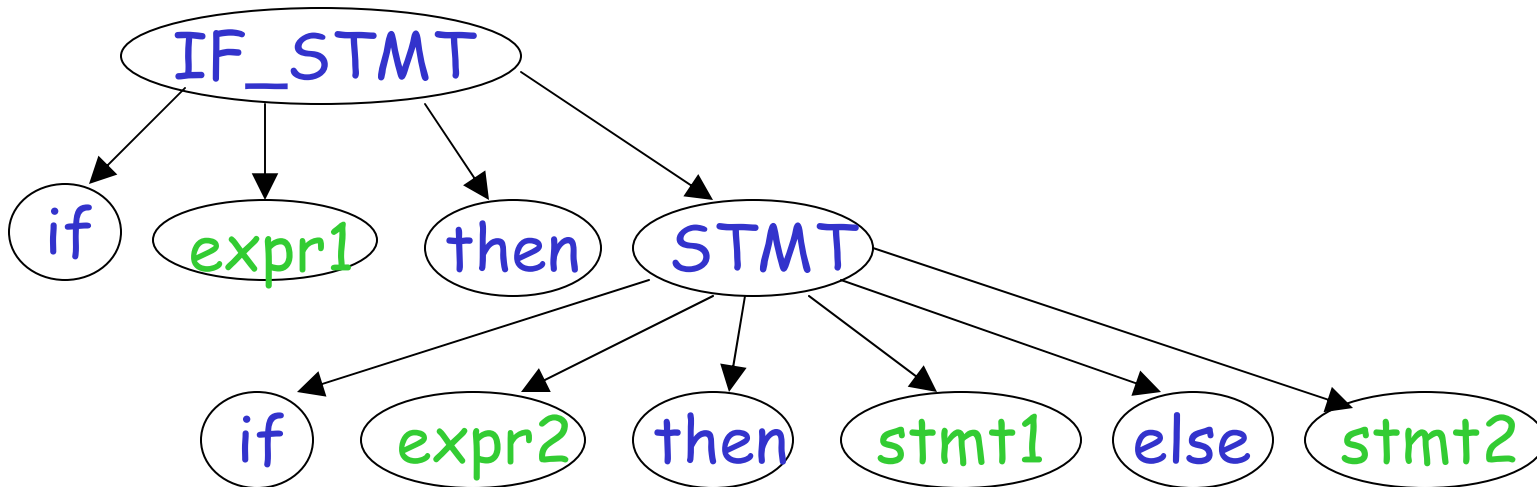


- Ambiguity is **bad** for programming languages
- We want to remove ambiguity

## Another Ambiguous Grammar

IF\_STMT  $\rightarrow$  if EXPR then STMT  
          | if EXPR then STMT else STMT

If  $expr1$  then if  $expr2$  then  $stmt1$  else  $stmt2$






# Inherent Ambiguity

Some context free languages  
have only ambiguous grammars

Example:  $L = \{a^n b^n c^m\} \cup \{a^n b^m c^m\}$


$$S \rightarrow S_1 \mid S_2$$


$$S_1 \rightarrow S_1 c \mid A$$

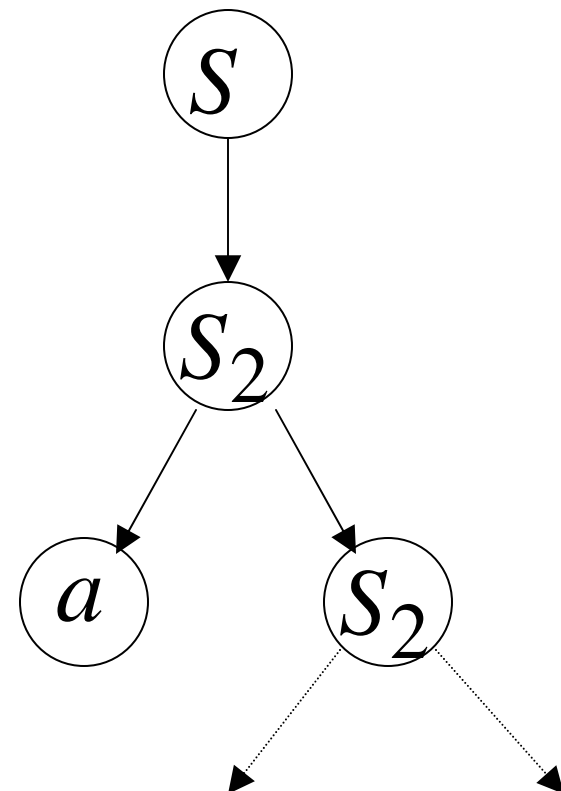
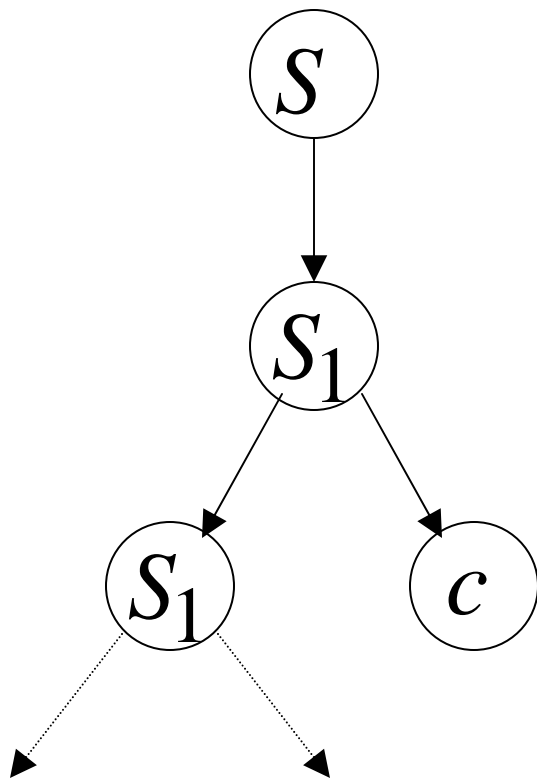
$$A \rightarrow aAb \mid \lambda$$


$$S_2 \rightarrow aS_2 \mid B$$

$$B \rightarrow bBc \mid \lambda$$

The string  $a^n b^n c^n$

has two derivation trees



# Simplifications of Context-Free Grammars

# A Substitution Rule

$$S \rightarrow aB$$

$$A \rightarrow aaA$$

$$A \rightarrow abBc$$

$$B \rightarrow aA$$

$$B \rightarrow b$$

Substitute  
 $B \rightarrow b$

Equivalent  
grammar

$$S \rightarrow aB \mid ab$$

$$A \rightarrow aaA$$

$$A \rightarrow abBc \mid abbc$$

$$B \rightarrow aA$$

# A Substitution Rule

$$S \rightarrow aB \mid ab$$

$$A \rightarrow aaA$$

$$A \rightarrow abBc \mid abbc$$

$$B \rightarrow aA$$

Substitute

$$B \rightarrow aA$$

$$S \rightarrow \cancel{aB} \mid ab \mid aaA$$

$$A \rightarrow aaA$$

$$A \rightarrow \cancel{abBc} \mid abbc \mid abaAc$$

Equivalent  
grammar

In general:

$$A \rightarrow xBz$$

$$B \rightarrow y_1$$

Substitute

$$B \rightarrow y_1$$

$$A \rightarrow xBz \mid xy_1z$$

equivalent  
grammar

# Nullable Variables

$\lambda$  – production :  $A \rightarrow \lambda$

Nullable Variable:  $A \Rightarrow \dots \Rightarrow \lambda$

# Removing Nullable Variables

Example Grammar:

$$S \rightarrow aMb$$

$$M \rightarrow aMb$$

$$M \rightarrow \lambda$$

Nullable variable





## Final Grammar

$$S \rightarrow aMb$$

$$M \rightarrow aMb$$

~~$$M \rightarrow \lambda$$~~

Substitute

$$M \rightarrow \lambda$$

$$S \rightarrow aMb$$

$$S \rightarrow ab$$

$$M \rightarrow aMb$$

$$M \rightarrow ab$$

# Unit-Productions

Unit Production:  $A \rightarrow B$

(a single variable in both sides)

# Removing Unit Productions

Observation:

$$A \rightarrow A$$

Is removed immediately

## Example Grammar:

$$S \rightarrow aA$$

$$A \rightarrow a$$

$$A \rightarrow B$$

$$B \rightarrow A$$

$$B \rightarrow bb$$

$$S \rightarrow aA$$

$$A \rightarrow a$$

~~$$A \rightarrow B$$~~

$$B \rightarrow A$$

$$B \rightarrow bb$$

Substitute

$$A \rightarrow B$$

$$S \rightarrow aA \mid aB$$

$$A \rightarrow a$$

$$B \rightarrow A \mid B$$

$$B \rightarrow bb$$

$$S \rightarrow aA \mid aB$$

$$A \rightarrow a$$

$$B \rightarrow A \mid \cancel{B}$$

$$B \rightarrow bb$$

Remove

$$B \rightarrow B$$

$$S \rightarrow aA \mid aB$$

$$A \rightarrow a$$

$$B \rightarrow A$$

$$B \rightarrow bb$$

$$S \rightarrow aA \mid aB$$

$$A \rightarrow a$$

~~$$B \rightarrow A$$~~

$$B \rightarrow bb$$

Substitute

$$B \rightarrow A$$

$$S \rightarrow aA \mid aB \mid aA$$

$$A \rightarrow a$$

$$B \rightarrow bb$$

## Remove repeated productions

$$S \rightarrow aA \mid aB \mid \cancel{aA}$$

$$A \rightarrow a$$

$$B \rightarrow bb$$



## Final grammar

$$S \rightarrow aA \mid aB$$

$$A \rightarrow a$$

$$B \rightarrow bb$$



# Useless Productions

$$S \rightarrow aSb$$

$$S \rightarrow \lambda$$

$$S \rightarrow A$$

$$A \rightarrow aA \text{ Useless Production}$$

Some derivations never terminate...

$$S \Rightarrow A \Rightarrow aA \Rightarrow aaA \Rightarrow \dots \Rightarrow aa \dots aA \Rightarrow \dots$$

Another grammar:

$$S \rightarrow A$$

$$A \rightarrow aA$$

$$A \rightarrow \lambda$$

$$B \rightarrow bA$$

Useless Production

Not reachable from S

In general:

contains only  
terminals

if  $S \Rightarrow \dots \Rightarrow xAy \Rightarrow \dots \Rightarrow w$

  $w \in L(G)$

then variable  $A$  is useful

otherwise, variable  $A$  is useless

A production  $A \rightarrow x$  is useless  
if any of its variables is useless

$$S \rightarrow aSb$$

$$S \rightarrow \lambda$$

Productions

Variables

$$S \rightarrow A$$

useless

useless

$$A \rightarrow aA$$

useless

useless

$$B \rightarrow C$$

useless

useless

$$C \rightarrow D$$

useless

# Removing Useless Productions

Example Grammar:

$$S \rightarrow aS \mid A \mid C$$

$$A \rightarrow a$$

$$B \rightarrow aa$$

$$C \rightarrow aCb$$

**First:** find all variables that can produce strings with only terminals

$$S \rightarrow aS \mid A \mid C$$

$$A \rightarrow a$$

$$B \rightarrow aa$$

$$C \rightarrow aCb$$

Round 1:  $\{A, B\}$

$$S \rightarrow A$$

Round 2:  $\{A, B, S\}$

Keep only the variables  
that produce terminal symbols:  $\{A, B, S\}$   
(the rest variables are useless)

$$S \rightarrow aS \mid A \mid \cancel{C}$$

$$A \rightarrow a$$

$$B \rightarrow aa$$

$$\cancel{C \rightarrow aCb}$$



$$S \rightarrow aS \mid A$$

$$A \rightarrow a$$

$$B \rightarrow aa$$

Remove useless productions

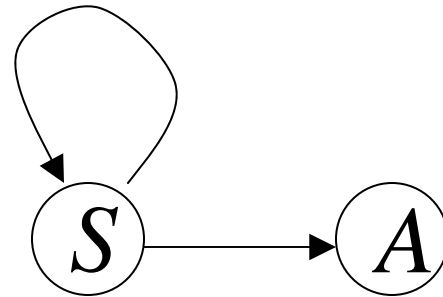
**Second:** Find all variables  
reachable from  $S$

Use a Dependency Graph

$S \rightarrow aS \mid A$

$A \rightarrow a$

$B \rightarrow aa$



not  
reachable



Keep only the variables  
reachable from  $S$

(the rest variables are useless)

Final Grammar

$$S \rightarrow aS \mid A$$

$$A \rightarrow a$$

~~$$B \rightarrow aa$$~~



$$S \rightarrow aS \mid A$$

$$A \rightarrow a$$

Remove useless productions

# Removing All

**Step 1:** Remove Nullable Variables

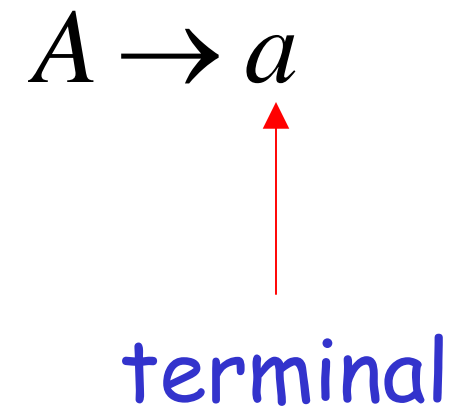
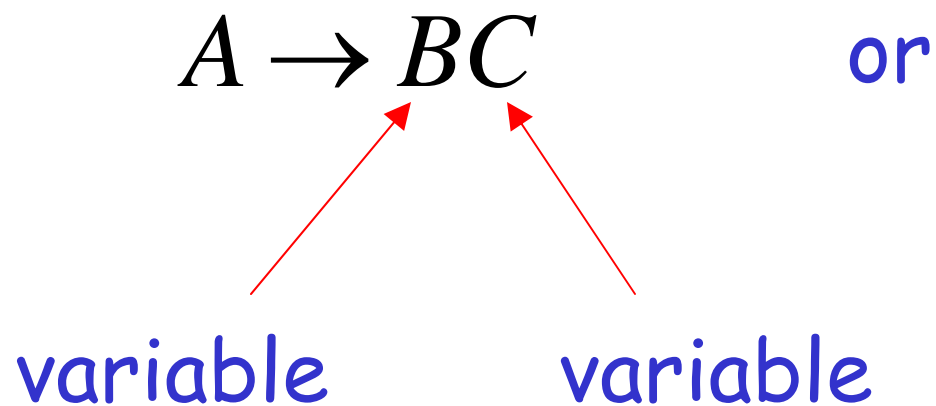
**Step 2:** Remove Unit-Productions

**Step 3:** Remove Useless Variables

# Normal Forms for Context-free Grammars

# Chomsky Normal Form

Each productions has form:



## Examples:

$$S \rightarrow AS$$

$$S \rightarrow a$$

$$A \rightarrow SA$$

$$A \rightarrow b$$

Chomsky  
Normal Form

$$S \rightarrow AS$$

$$S \rightarrow AAS$$

$$A \rightarrow SA$$

$$A \rightarrow aa$$

Not Chomsky  
Normal Form

# Conversion to Chomsky Normal Form

Example:  $S \rightarrow ABa$

$A \rightarrow aab$

$B \rightarrow Ac$

Not Chomsky  
Normal Form

Introduce variables for terminals:  $T_a, T_b, T_c$

$$S \rightarrow ABa$$

$$A \rightarrow aab$$

$$B \rightarrow Ac$$



$$S \rightarrow ABT_a$$

$$A \rightarrow T_aT_aT_b$$

$$B \rightarrow AT_c$$

$$T_a \rightarrow a$$

$$T_b \rightarrow b$$

$$T_c \rightarrow c$$

Introduce intermediate variable:  $V_1$

$$S \rightarrow ABT_a$$

$$A \rightarrow T_aT_aT_b$$

$$B \rightarrow AT_c$$

$$T_a \rightarrow a$$

$$T_b \rightarrow b$$

$$T_c \rightarrow c$$



$$S \rightarrow AV_1$$

$$V_1 \rightarrow BT_a$$

$$A \rightarrow T_aT_aT_b$$

$$B \rightarrow AT_c$$

$$T_a \rightarrow a$$

$$T_b \rightarrow b$$

$$T_c \rightarrow c$$



Introduce intermediate variable:  $V_2$

$$S \rightarrow AV_1$$

$$V_1 \rightarrow BT_a$$

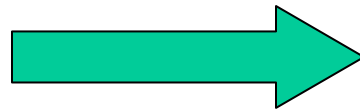
$$A \rightarrow T_a T_a T_b$$

$$B \rightarrow AT_c$$

$$T_a \rightarrow a$$

$$T_b \rightarrow b$$

$$T_c \rightarrow c$$



$$S \rightarrow AV_1$$

$$V_1 \rightarrow BT_a$$

$$A \rightarrow T_a V_2$$

$$V_2 \rightarrow T_a T_b$$

$$B \rightarrow AT_c$$

$$T_a \rightarrow a$$

$$T_b \rightarrow b$$

$$T_c \rightarrow c$$

## Final grammar in Chomsky Normal Form:

$$S \rightarrow AV_1$$

$$V_1 \rightarrow BT_a$$

$$A \rightarrow T_aV_2$$

$$V_2 \rightarrow T_aT_b$$

$$B \rightarrow AT_c$$

$$T_a \rightarrow a$$

$$T_b \rightarrow b$$

$$T_c \rightarrow c$$

## Initial grammar

$$S \rightarrow ABa$$

$$A \rightarrow aab$$

$$B \rightarrow Ac$$

In general:

From any context-free grammar  
(which doesn't produce  $\lambda$ )  
not in Chomsky Normal Form

we can obtain:

An equivalent grammar  
in Chomsky Normal Form

# The Procedure

First remove:

Nullable variables

Unit productions

Then, for every symbol  $a$ :

Add production  $T_a \rightarrow a$

In productions: replace  $a$  with  $T_a$

New variable:  $T_a$

Replace any production  $A \rightarrow C_1 C_2 \cdots C_n$

with  $A \rightarrow C_1 V_1$

$V_1 \rightarrow C_2 V_2$

$\dots$

$V_{n-2} \rightarrow C_{n-1} C_n$

New intermediate variables:  $V_1, V_2, \dots, V_{n-2}$

**Theorem:** For any context-free grammar  
(which doesn't produce  $\lambda$ )  
there is an equivalent grammar  
in Chomsky Normal Form

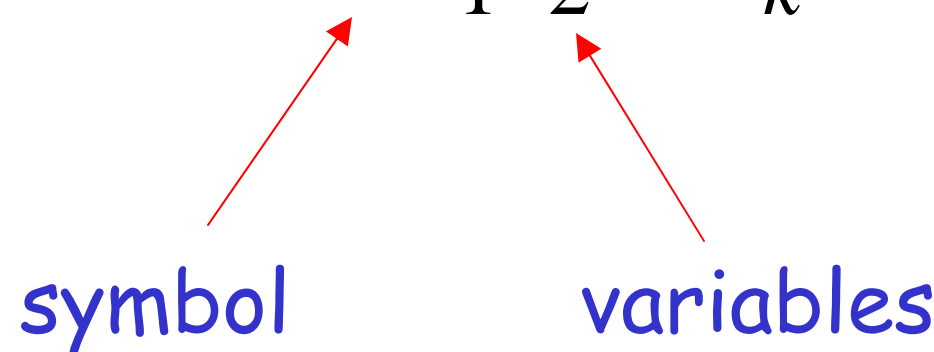
# Observations

- Chomsky normal forms are good for parsing and proving theorems
- It is very easy to find the Chomsky normal form for any context-free grammar



# Greinbach Normal Form

All productions have form:

$$A \rightarrow a V_1 V_2 \cdots V_k \quad k \geq 0$$


symbol

variables

# Observations

- Greinbach normal forms are very good for parsing
- It is hard to find the Greinbach normal form of any context-free grammar

# Compilers

## Program

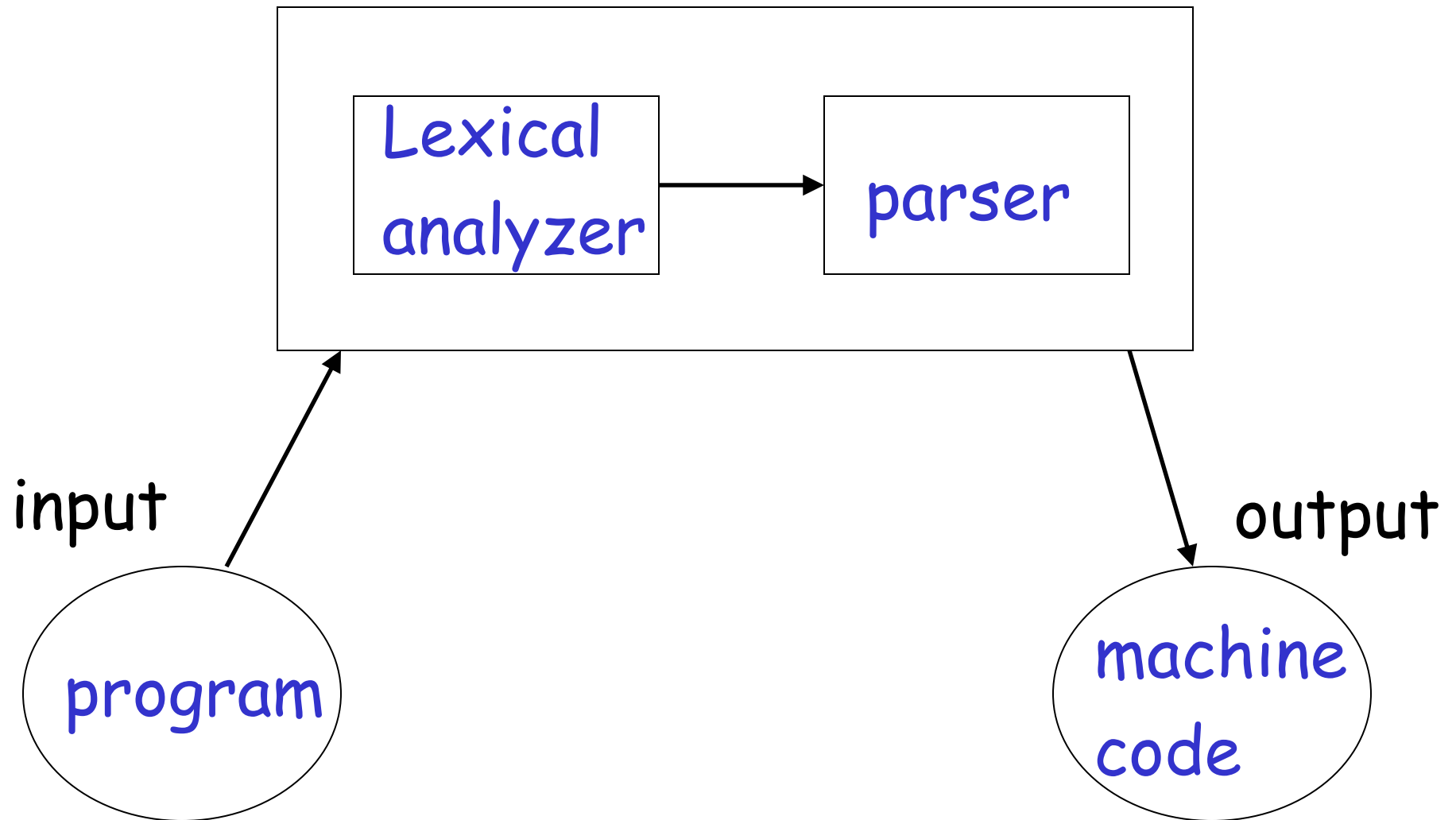
```
v = 5;  
if (v>5)  
    x = 12 + v;  
while (x !=3) {  
    x = x - 3;  
    v = 10;  
}  
.....
```

Compiler

## Machine Code

```
Add v,v,0  
cmp v,5  
jmplt ELSE  
THEN:  
    add x, 12,v  
ELSE:  
    WHILE:  
    cmp x,3  
...
```

# Compiler



A **parser** knows the grammar  
of the programming language

# Parser

PROGRAM  $\rightarrow$  STMT\_LIST

STMT\_LIST  $\rightarrow$  STMT; STMT\_LIST | STMT;

STMT  $\rightarrow$  EXPR | IF\_STMT | WHILE\_STMT  
| { STMT\_LIST }

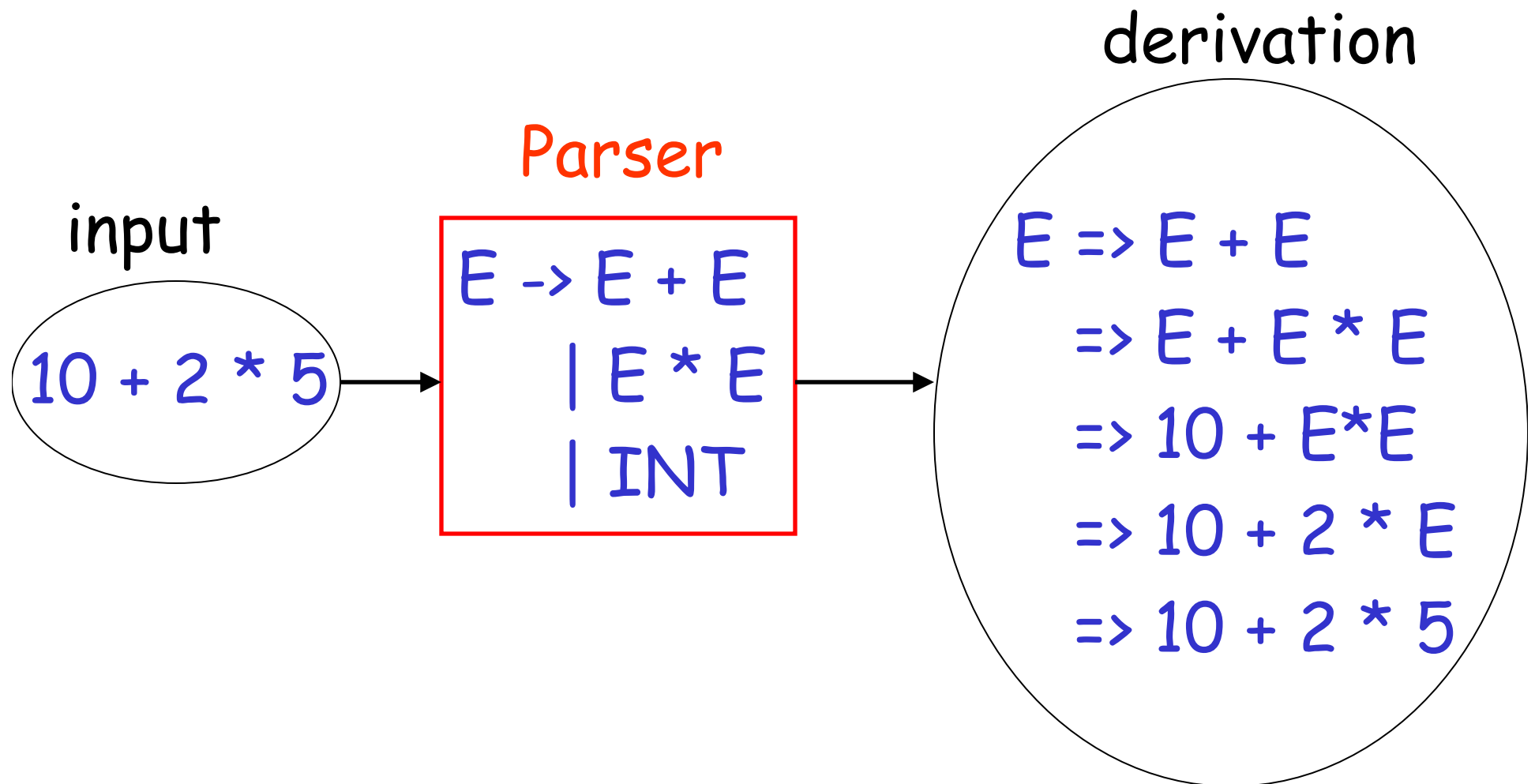
EXPR  $\rightarrow$  EXPR + EXPR | EXPR - EXPR | ID

IF\_STMT  $\rightarrow$  if (EXPR) then STMT

| if (EXPR) then STMT else STMT

WHILE\_STMT  $\rightarrow$  while (EXPR) do STMT

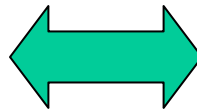
The parser finds the derivation  
of a particular input



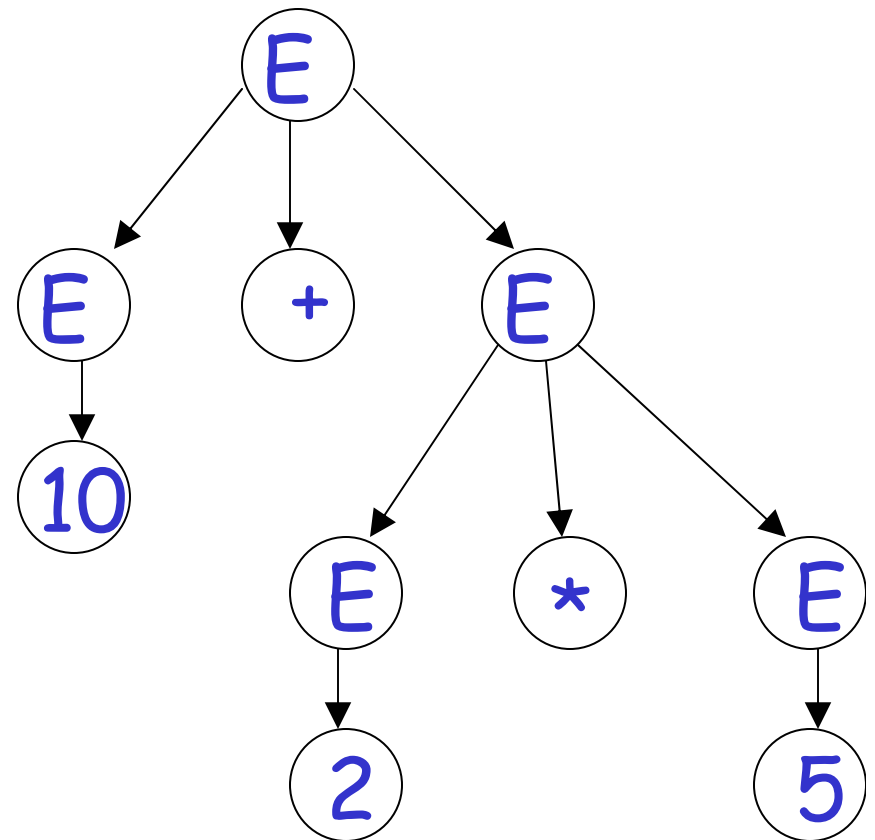


derivation

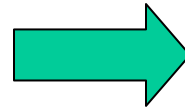
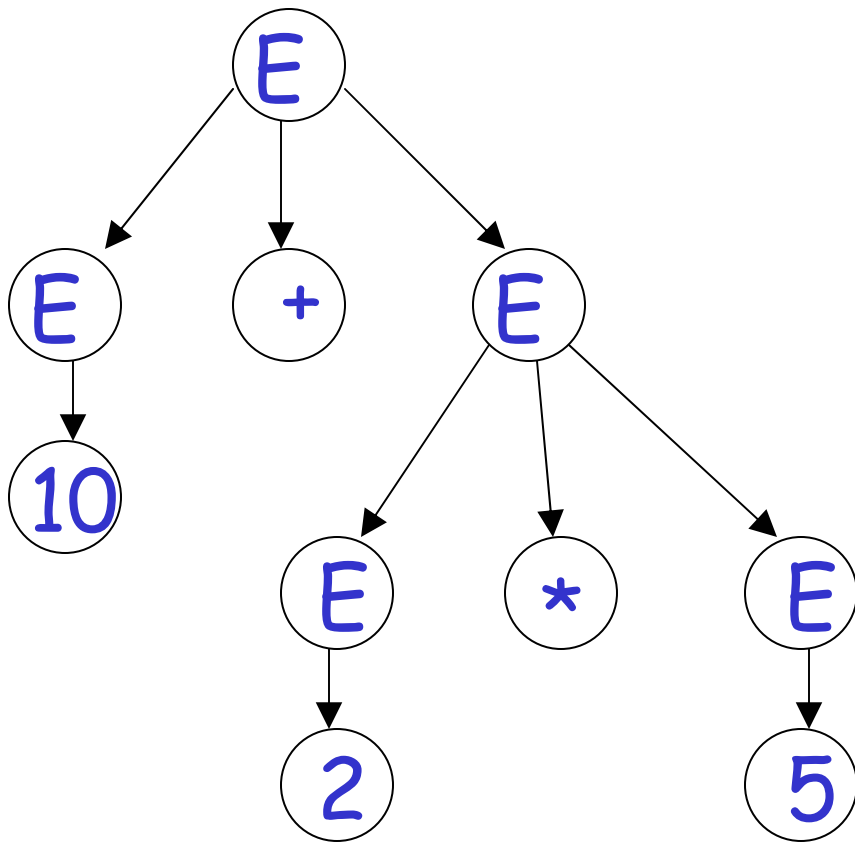
$E \Rightarrow E + E$   
 $\Rightarrow E + E * E$   
 $\Rightarrow 10 + E * E$   
 $\Rightarrow 10 + 2 * E$   
 $\Rightarrow 10 + 2 * 5$



derivation tree



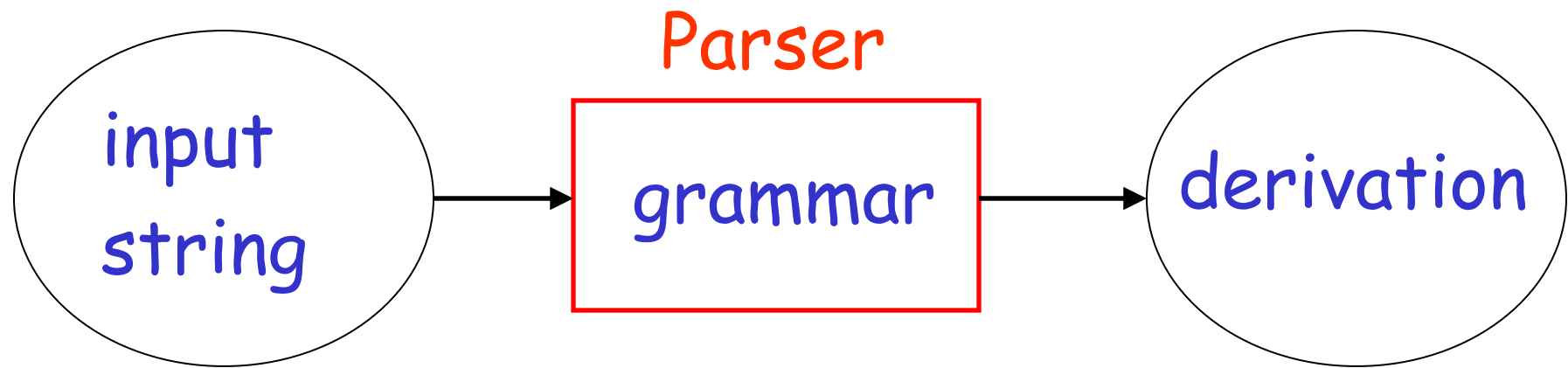
derivation tree



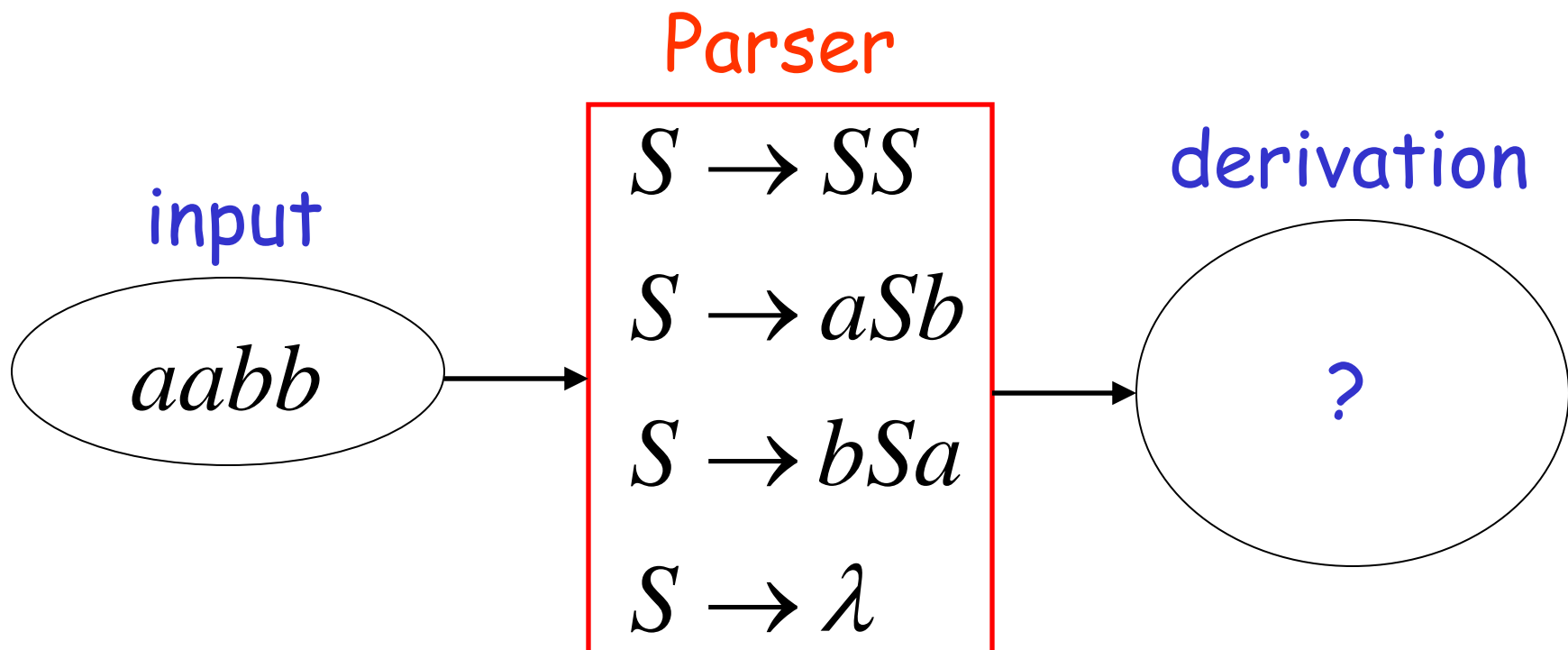
machine code

mult a, 2, 5  
add b, 10, a

# Parsing



Example:



# Exhaustive Search

$$S \rightarrow SS \mid aSb \mid bSa \mid \lambda$$

Phase 1:       $S \Rightarrow SS$       Find derivation of  
                  $S \Rightarrow aSb$        $aabb$   
                  $S \Rightarrow bSa$   
                  $S \Rightarrow \lambda$

All possible derivations of length 1

$$S \Rightarrow SS$$

*aabb*

$$S \Rightarrow aSb$$

~~$$S \Rightarrow bSa$$~~

~~$$S \Rightarrow \lambda$$~~

Phase 2  $S \rightarrow SS \mid aSb \mid bSa \mid \lambda$

$S \Rightarrow SS \Rightarrow SSS$

$S \Rightarrow SS \Rightarrow aSbS$

$aabb$

~~$S \Rightarrow SS \Rightarrow bSaS$~~

$S \Rightarrow SS \Rightarrow S$

Phase 1

$S \Rightarrow SS$

$S \Rightarrow aSb$

$S \Rightarrow aSb \Rightarrow aSSb$

$S \Rightarrow aSb \Rightarrow aaSbb$

~~$S \Rightarrow aSb \Rightarrow abSab$~~

~~$S \Rightarrow aSb \Rightarrow ab$~~



$$S \rightarrow SS \mid aSb \mid bSa \mid \lambda$$

## Phase 2

$$S \Rightarrow SS \Rightarrow SSS$$

$$S \Rightarrow SS \Rightarrow aSbS$$

$$aabb$$

$$S \Rightarrow SS \Rightarrow S$$

$$S \Rightarrow aSb \Rightarrow aSSb$$

$$S \Rightarrow aSb \Rightarrow aaSbb$$

## Phase 3



$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aabb$$

# Final result of exhaustive search (top-down parsing)

Parser

$S \rightarrow SS$

$S \rightarrow aSb$

$S \rightarrow bSa$

$S \rightarrow \lambda$

input

$aabb$

derivation

$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aabb$

# Time complexity of exhaustive search

Suppose there are no productions of the form

$$A \rightarrow \lambda$$

$$A \rightarrow B$$

Number of phases for string  $w$  : approx.  $|w|$

For grammar with  $k$  rules

Time for phase 1:  $k$

$k$  possible derivations

Time for phase 2:  $k^2$

$k^2$  possible derivations

Time for phase  $|w|$  is  $2^{|w|}$ :

A total of  $2^{|w|}$  possible derivations

Total time needed for string  $w$ :

$$k + k^2 + \dots + k^{|w|}$$

phase 1                      phase 2                      phase  $|w|$

The diagram shows the summation formula  $k + k^2 + \dots + k^{|w|}$  with three red arrows pointing from labels below to specific terms. The first arrow points from 'phase 1' to the first  $k$ . The second arrow points from 'phase 2' to the  $k^2$  term. The third arrow points from 'phase  $|w|$ ' to the  $k^{|w|}$  term.

Extremely bad!!!

For general context-free grammars:

There exists a parsing algorithm  
that parses a string  $|w|$   
in time  $|w|^3$

The CYK parser