

# Non-regular languages

Non-regular languages

$$\{a^n b^n : n \geq 0\}$$

$$\{vv^R : v \in \{a,b\}^*\}$$

Regular languages

$$a^*b$$

$$b^*c + a$$

$$b + c(a + b)^*$$

*etc...*

How can we prove that a language  $L$  is not regular?

Prove that there is no DFA that accepts  $L$

**Problem:** this is not easy to prove

**Solution:** the Pumping Lemma !!!

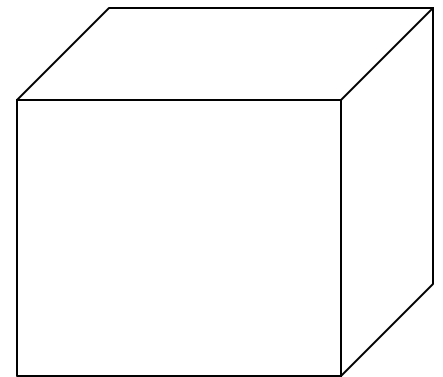
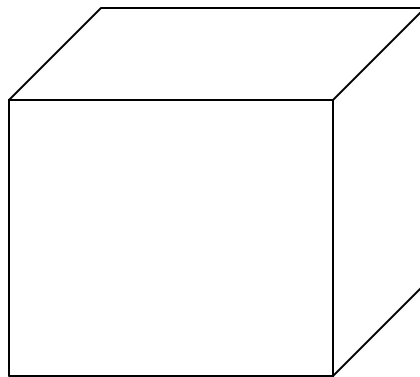
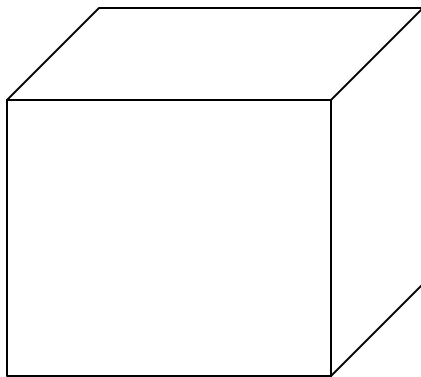


# The Pigeonhole Principle

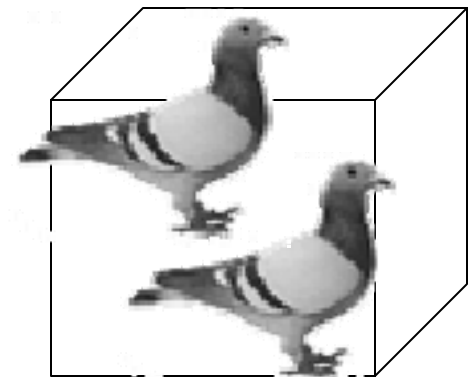
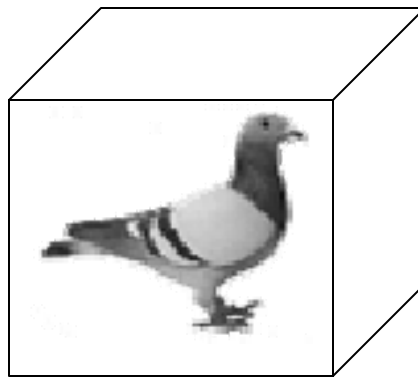
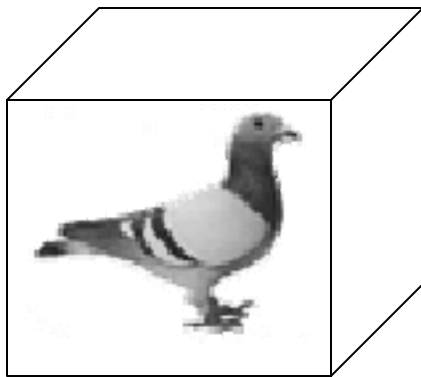
4 pigeons



3 pigeonholes



A pigeonhole must  
contain at least two pigeons



$n$  pigeons

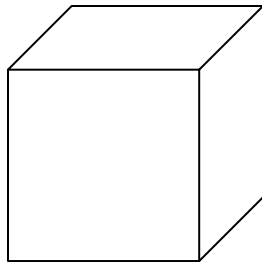
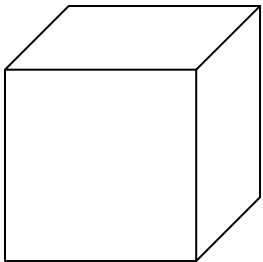


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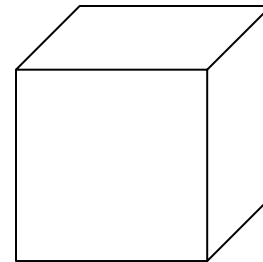


$m$  pigeonholes

$n > m$



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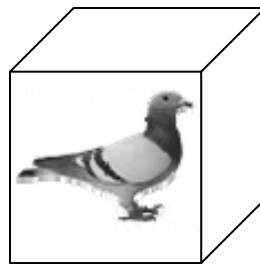
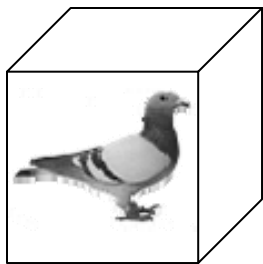
# The Pigeonhole Principle

$n$  pigeons

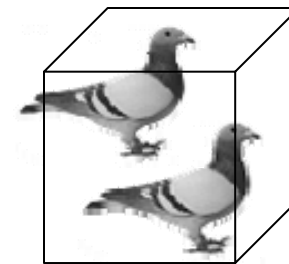
$m$  pigeonholes

$$n > m$$

There is a pigeonhole  
with at least 2 pigeons



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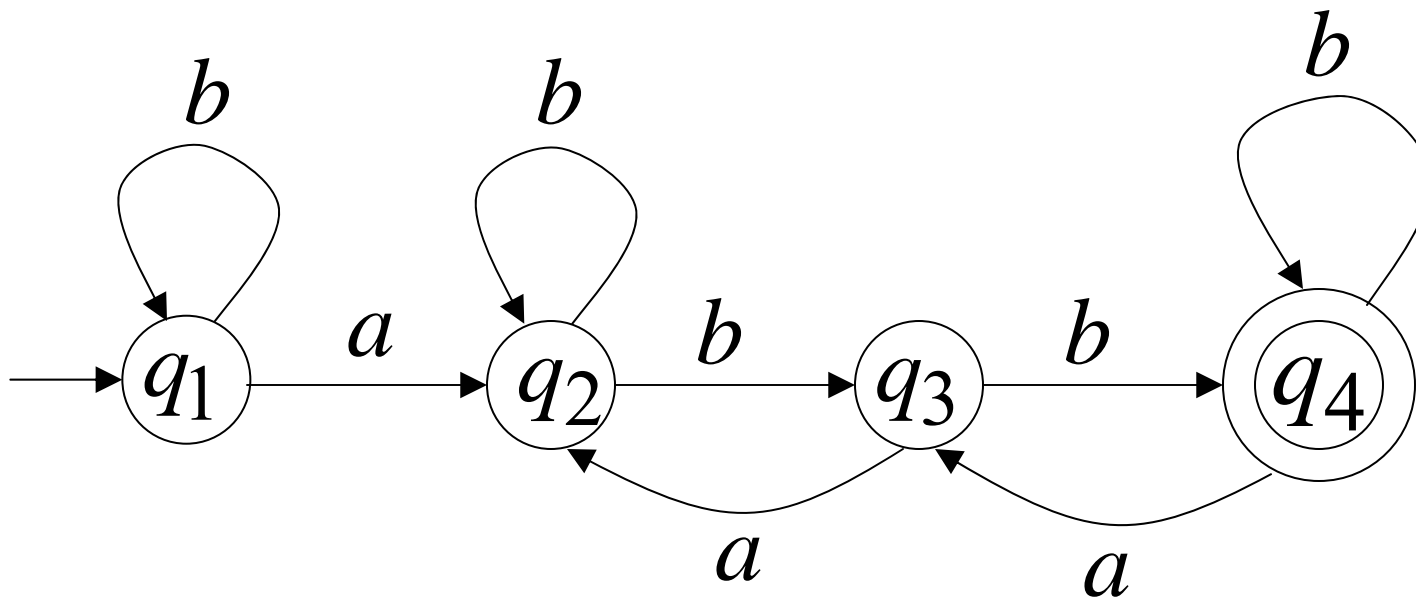


# The Pigeonhole Principle

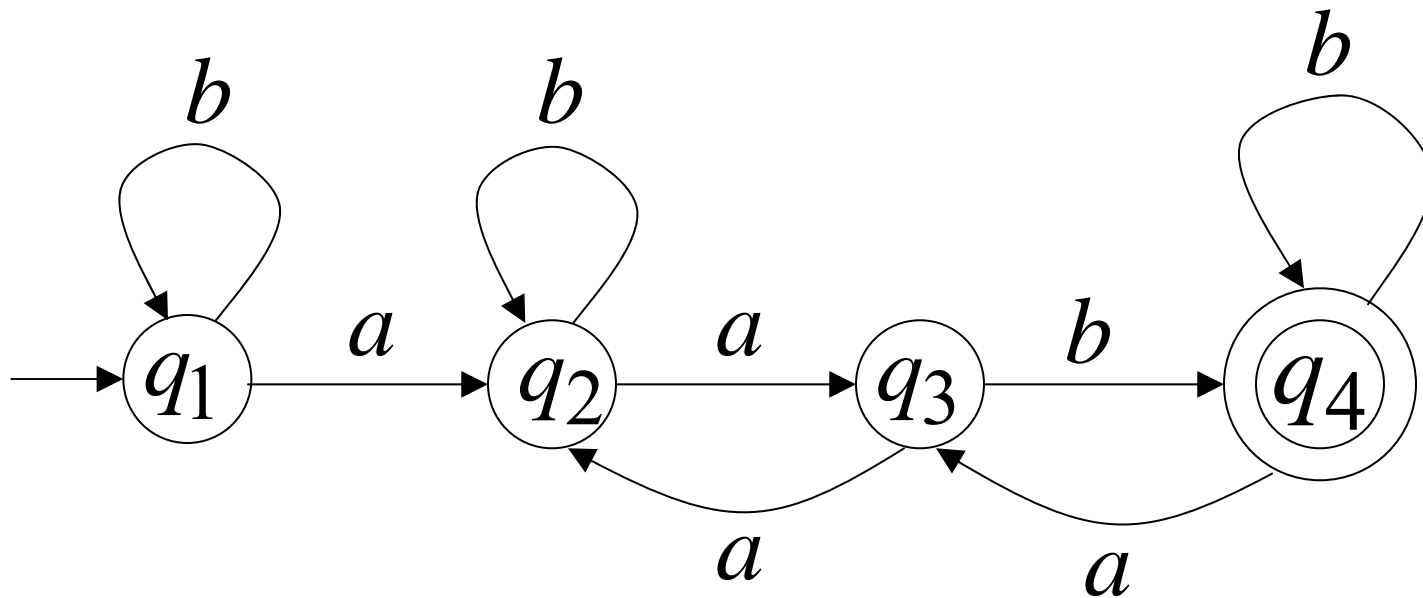
and

# DFAs

## DFA with 4 states



In walks of strings:  $a$       no state  
 $aa$       is repeated  
 $aab$



In walks of strings:  $aabb$

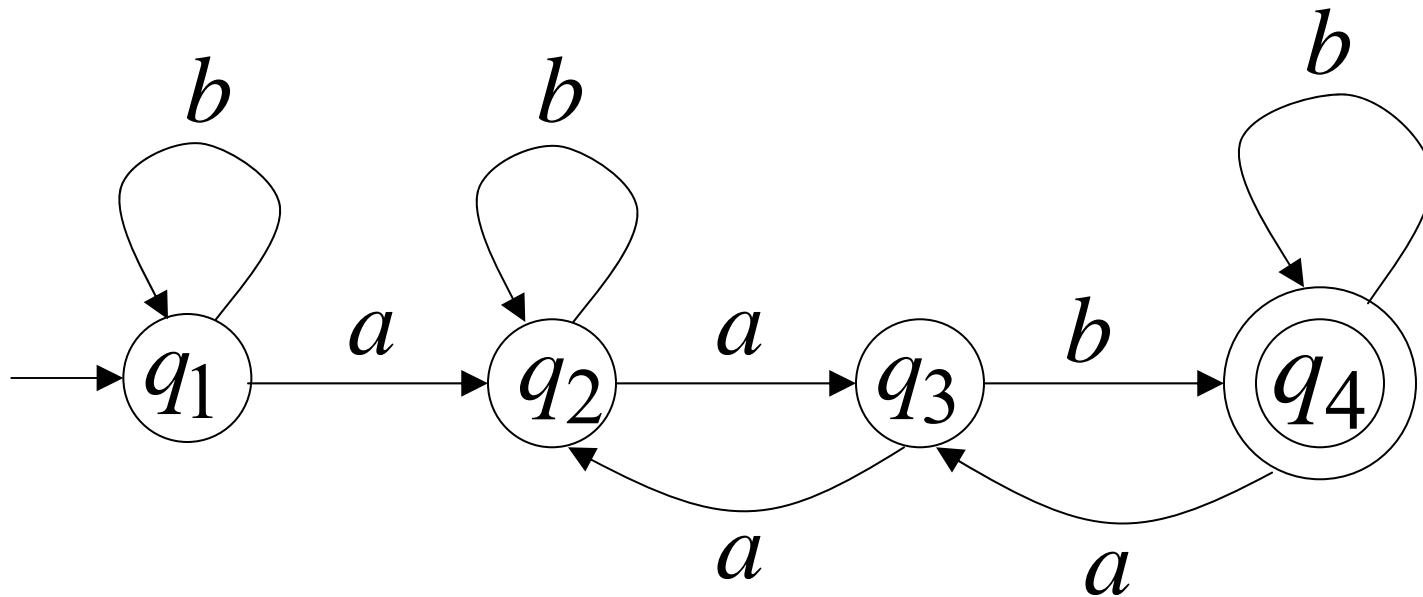
$bbaa$

$abbabb$

$abbbabbabb...$

a state

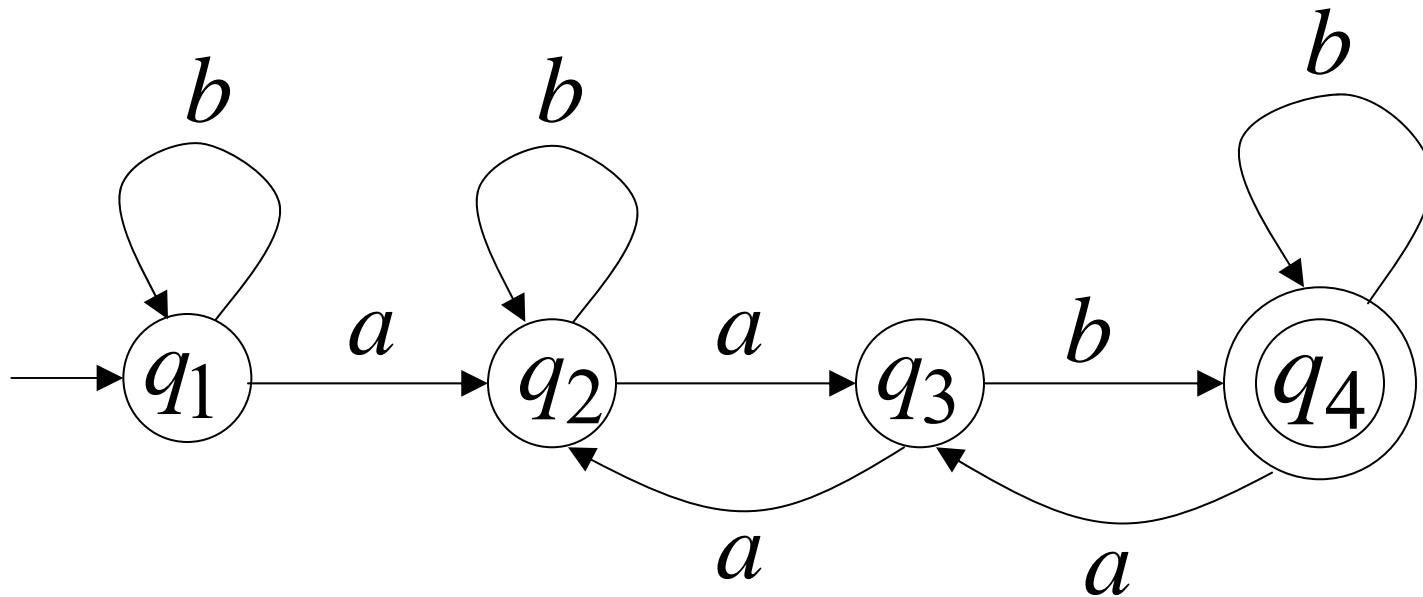
is repeated



If string  $w$  has length  $|w| \geq 4$ :

Then the transitions of string  $w$   
are more than the states of the DFA

Thus, a state must be repeated

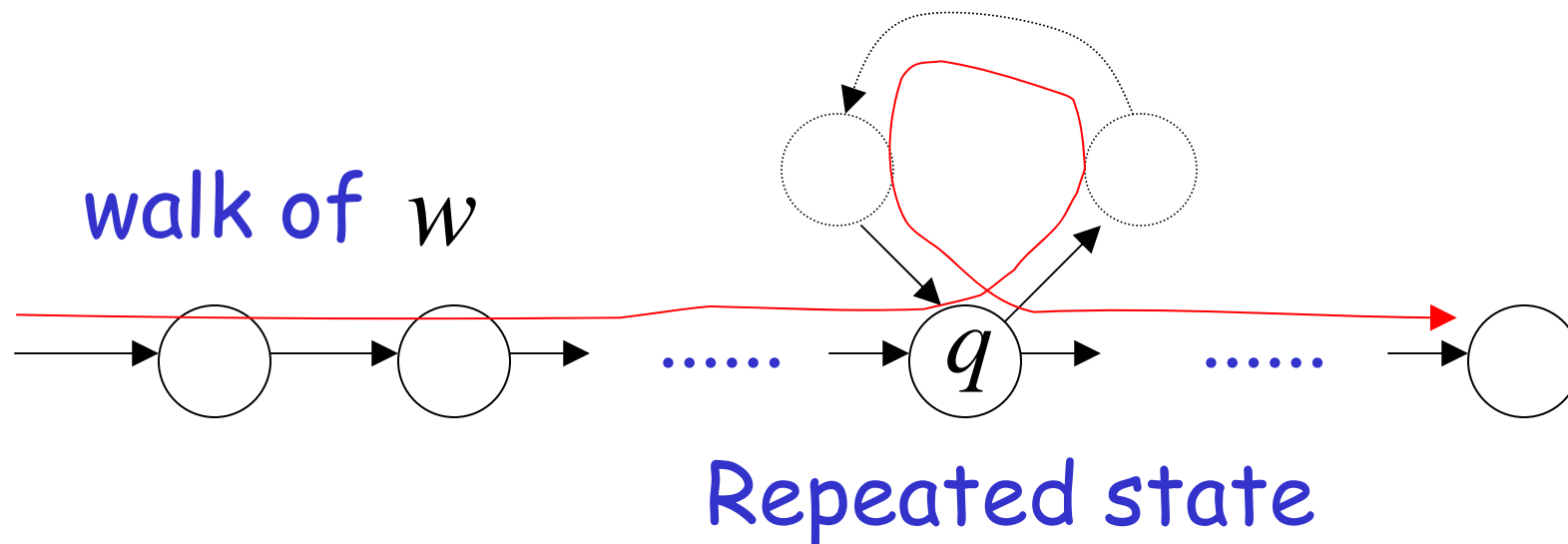


In general, for any DFA:

String  $w$  has length  $\geq$  number of states



A state  $q$  must be repeated in the walk of  $w$

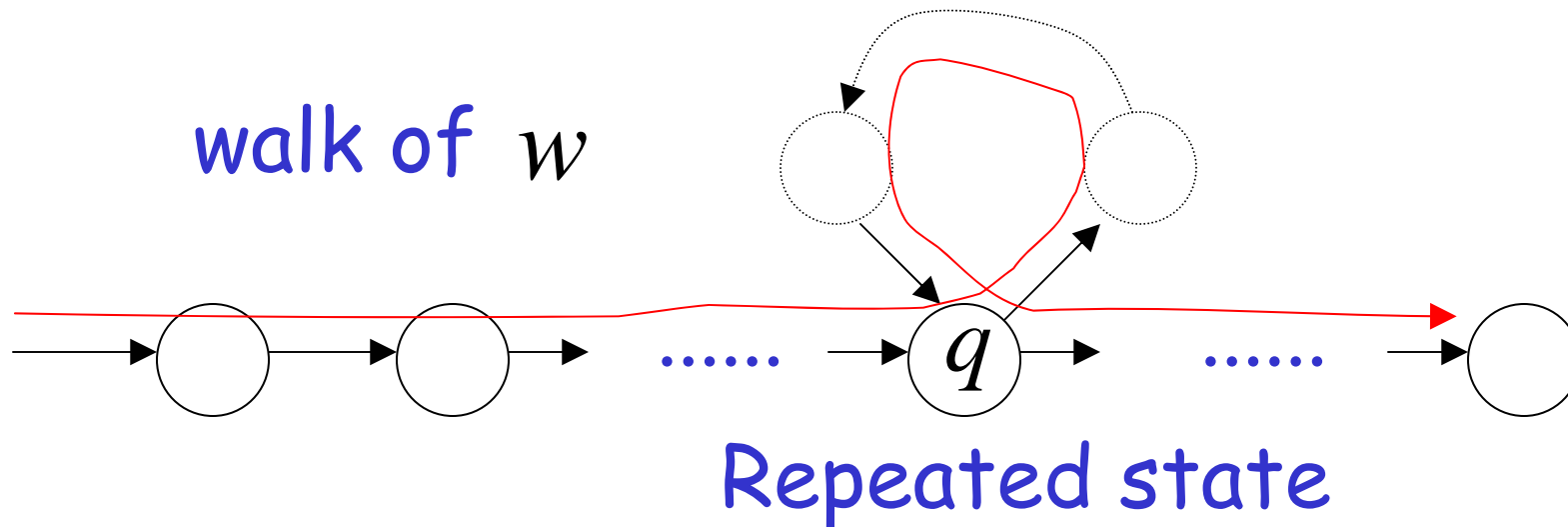
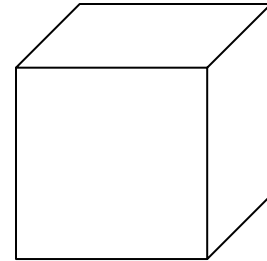


In other words for a string  $w$ :

$\xrightarrow{a}$  transitions are pigeons



$(q)$  states are pigeonholes

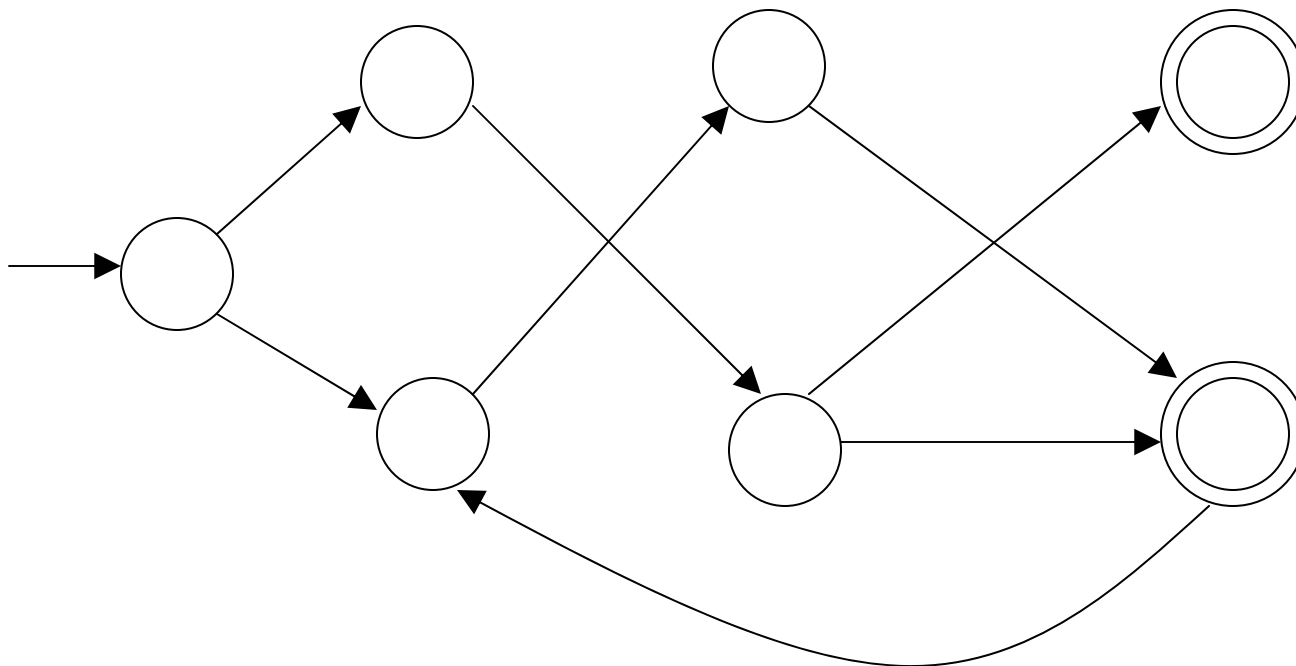


# The Pumping Lemma



Take an **infinite** regular language  $L$

There exists a DFA that accepts  $L$



$m$   
states

Take string  $w$  with  $w \in L$

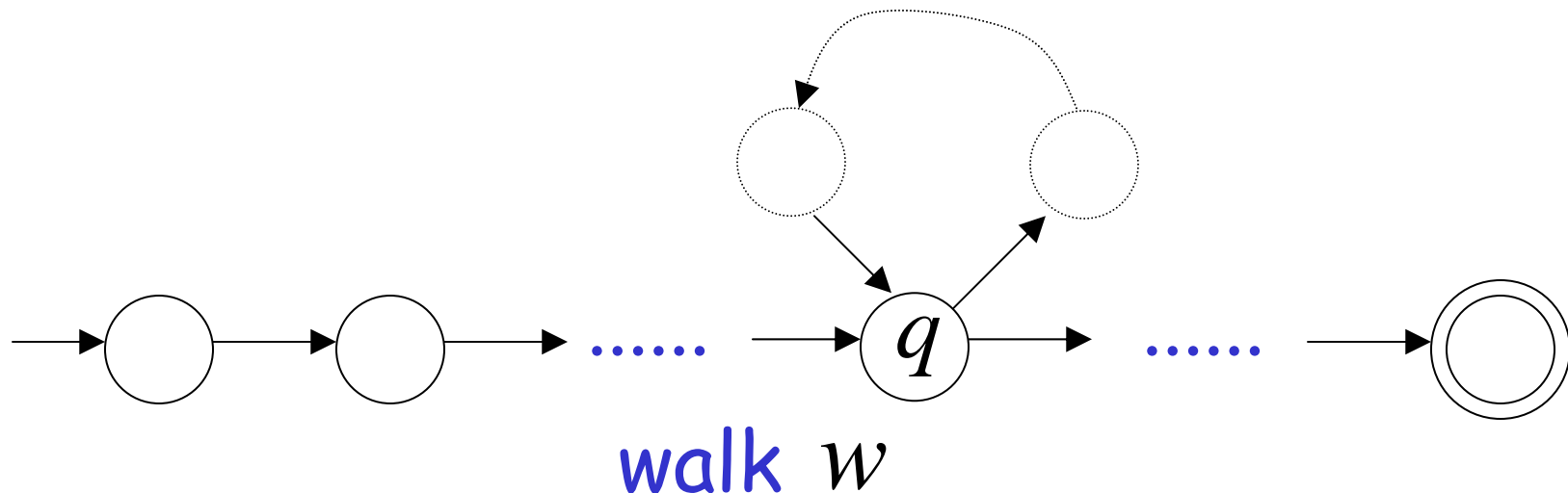
There is a walk with label  $w$ :



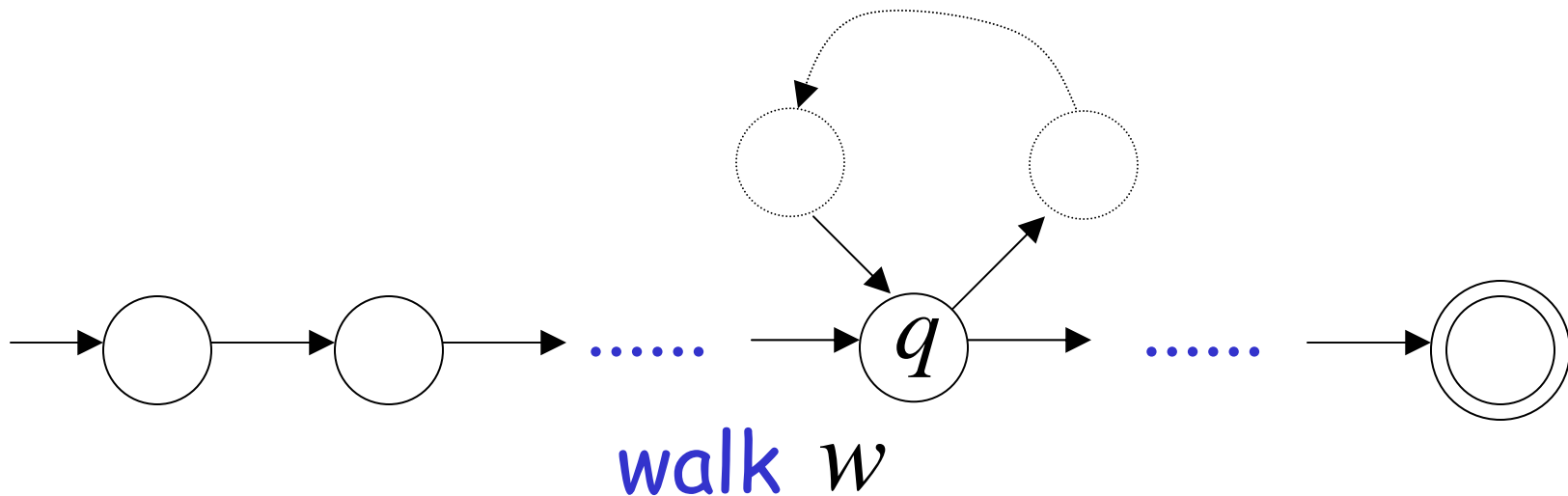
If string  $w$  has length  $|w| \geq m$  (number of states of DFA)

then, from the pigeonhole principle:

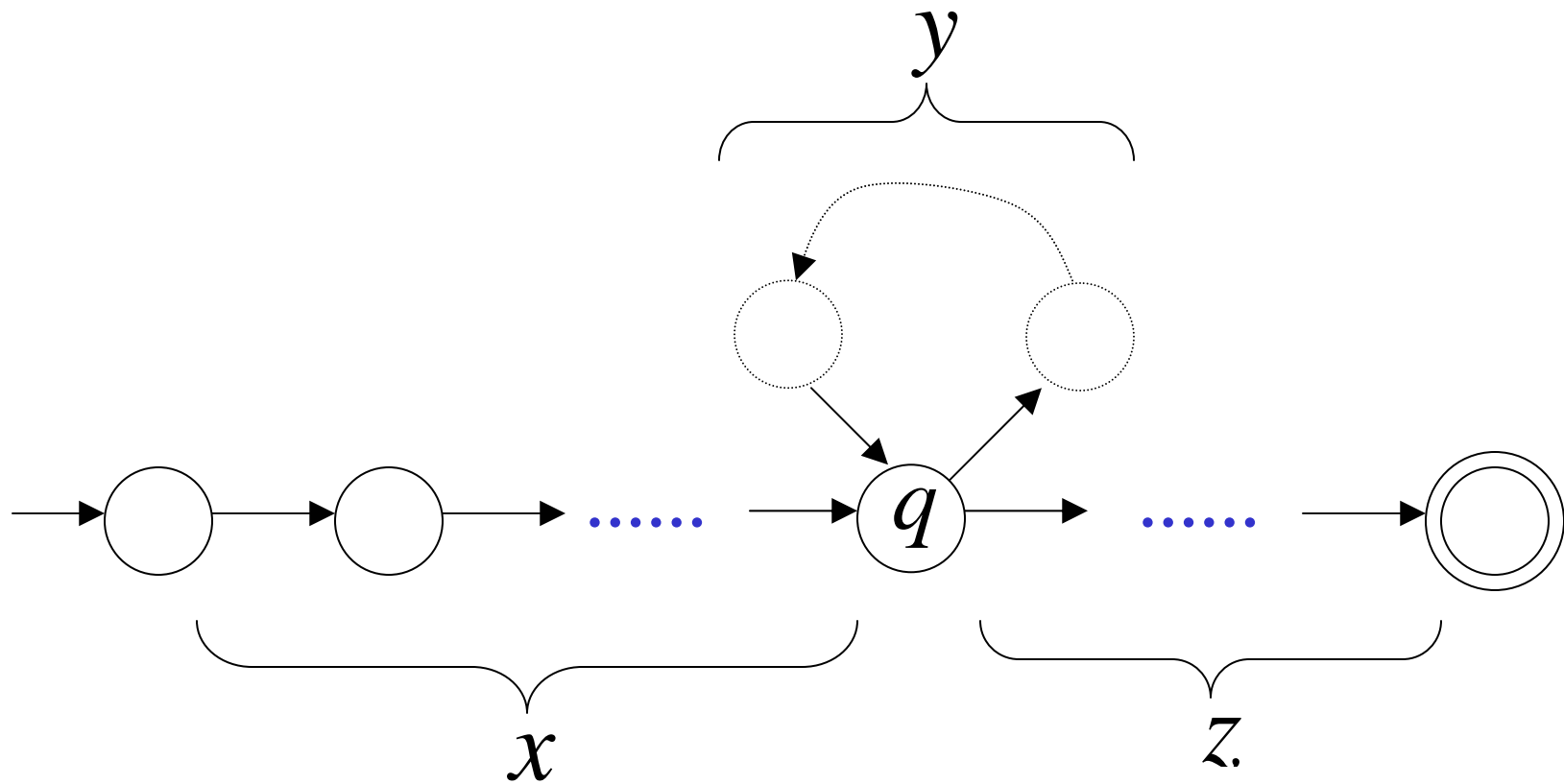
a state is repeated in the walk  $w$



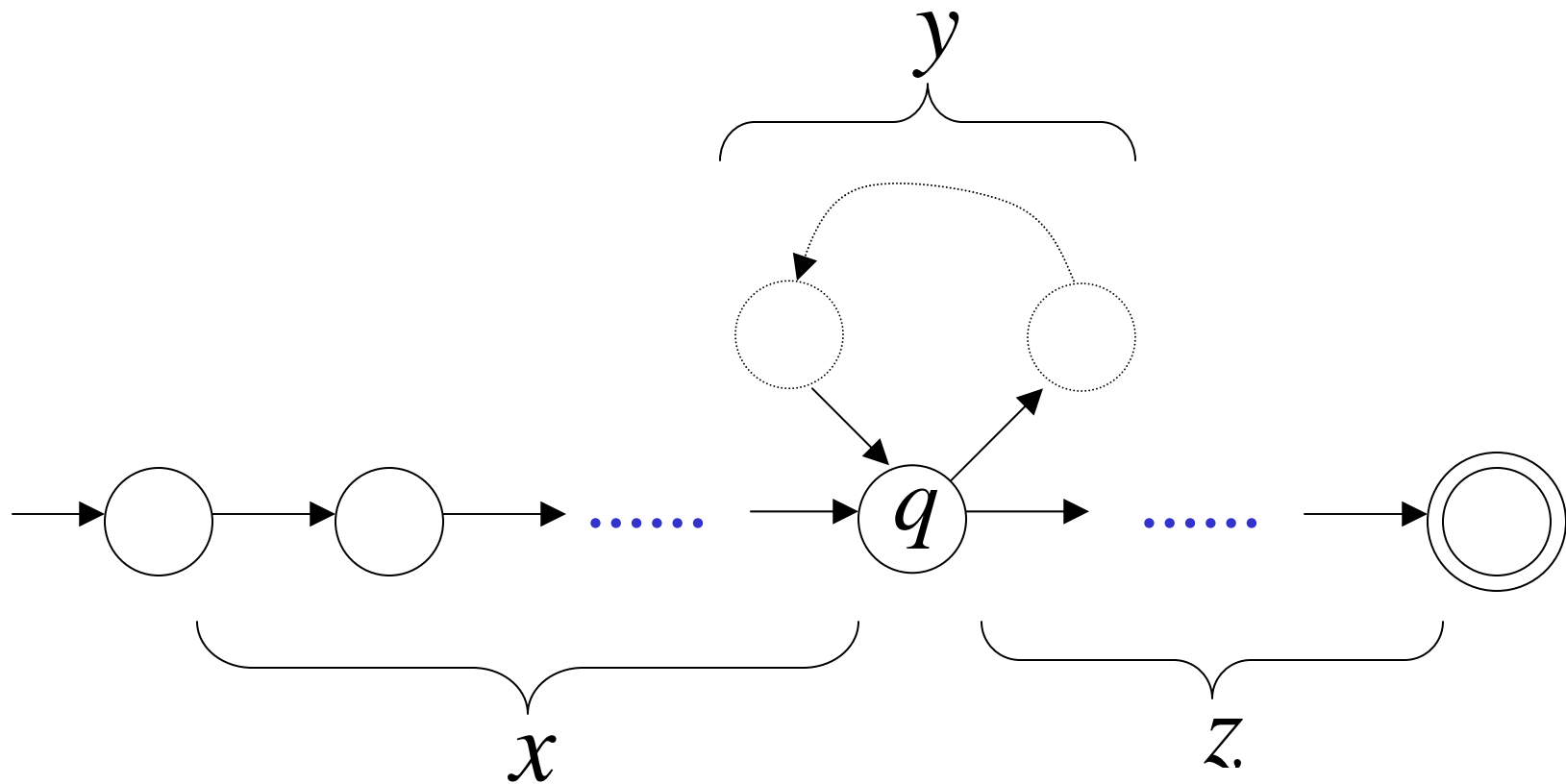
Let  $q$  be the first state repeated in the walk of  $w$



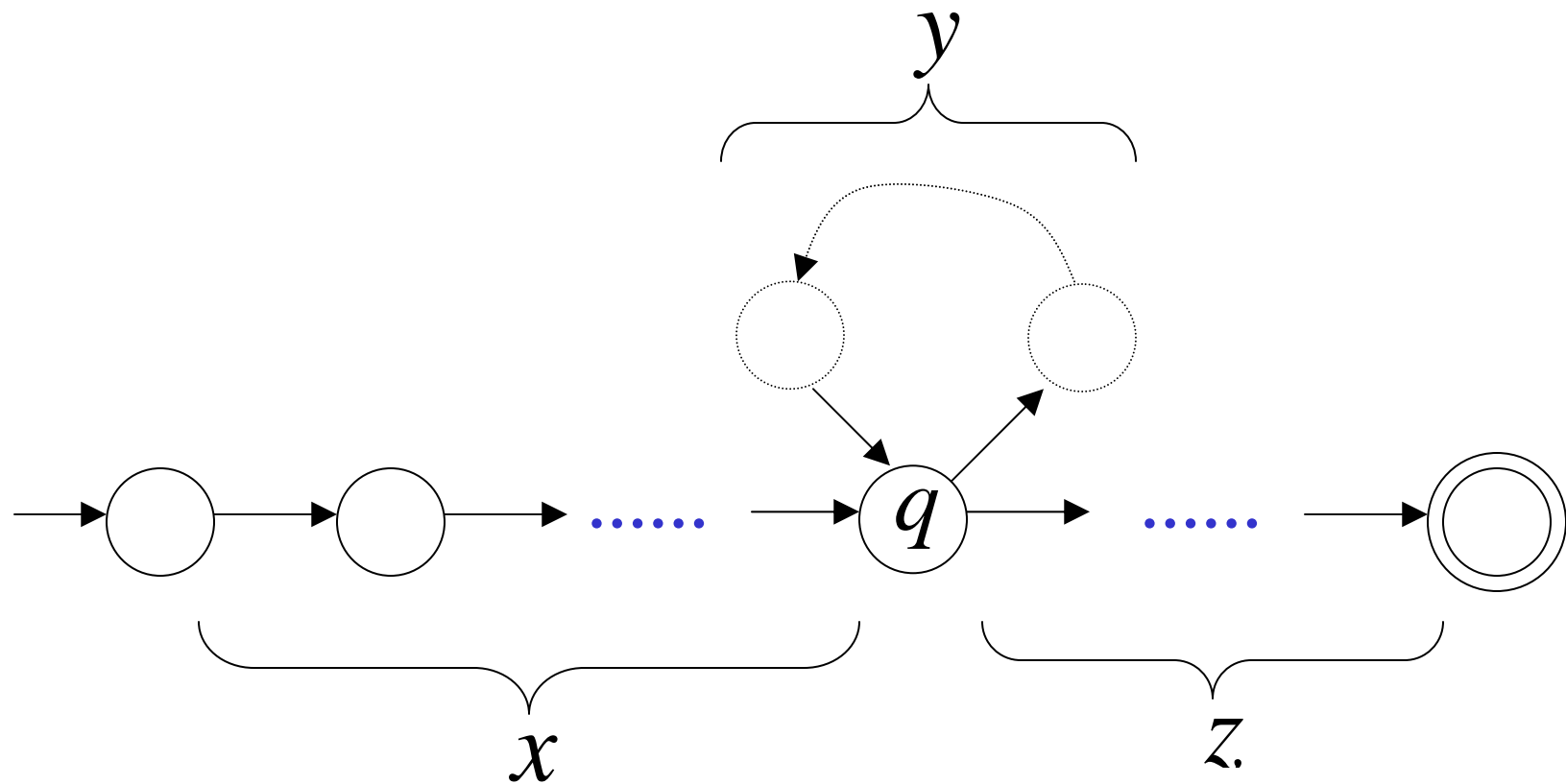
Write  $w = x y z$



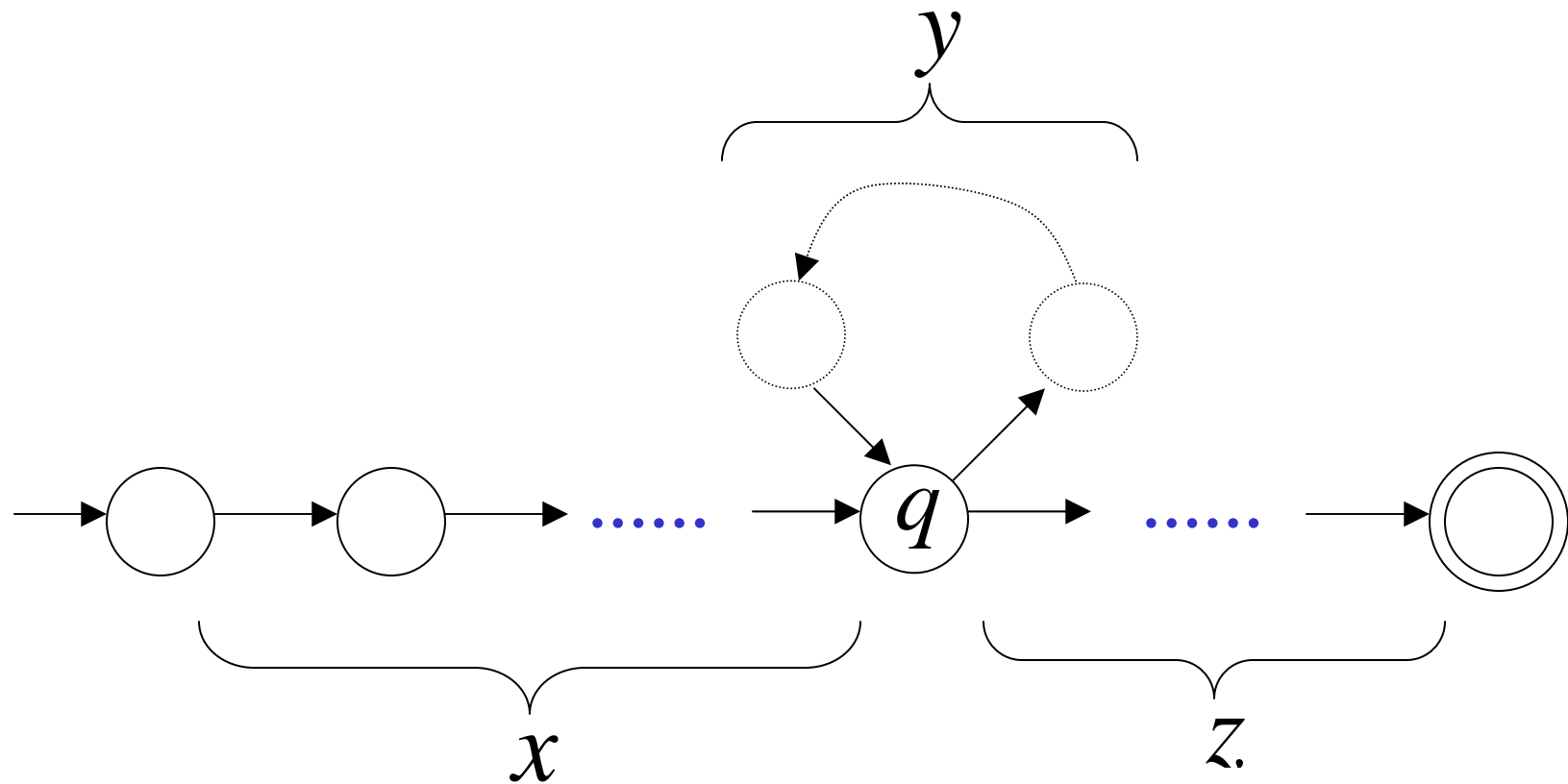
Observations:      length  $|x y| \leq m$       number  
    of states  
    of DFA



Observation: The string  $xz$  is accepted

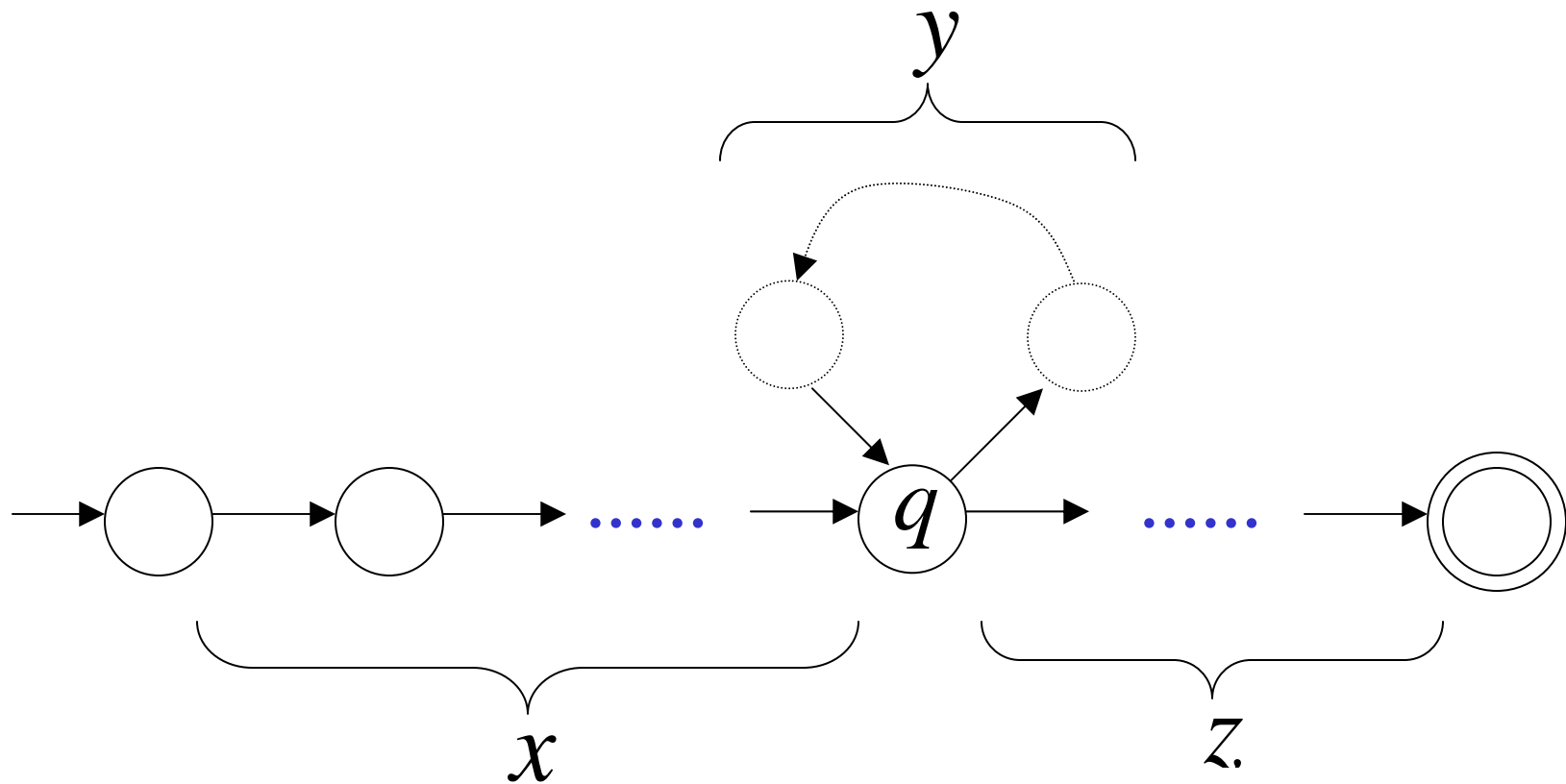


Observation: The string  $x y y z$   
is accepted

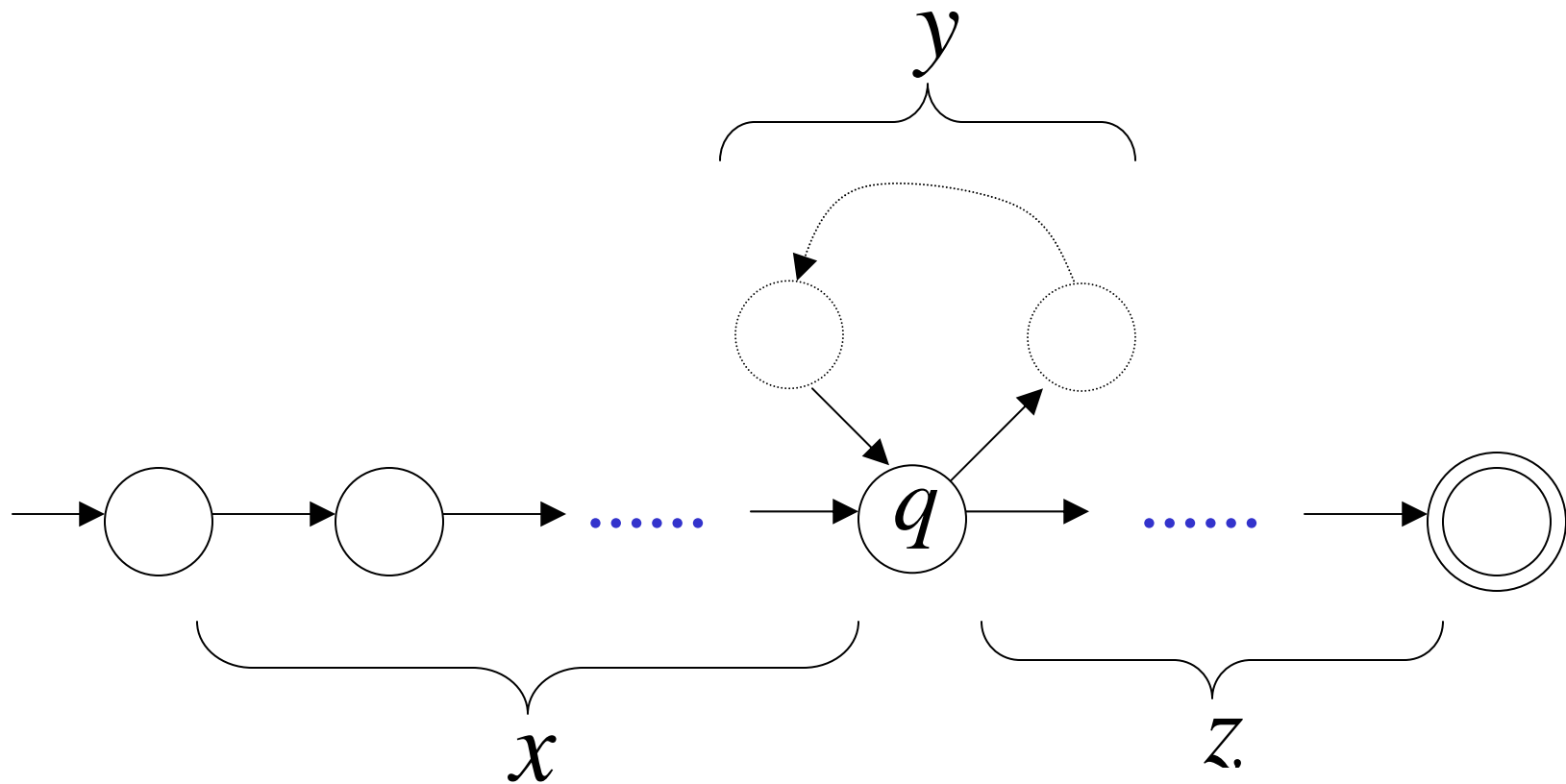




Observation: The string  $x y y y z$   
is accepted

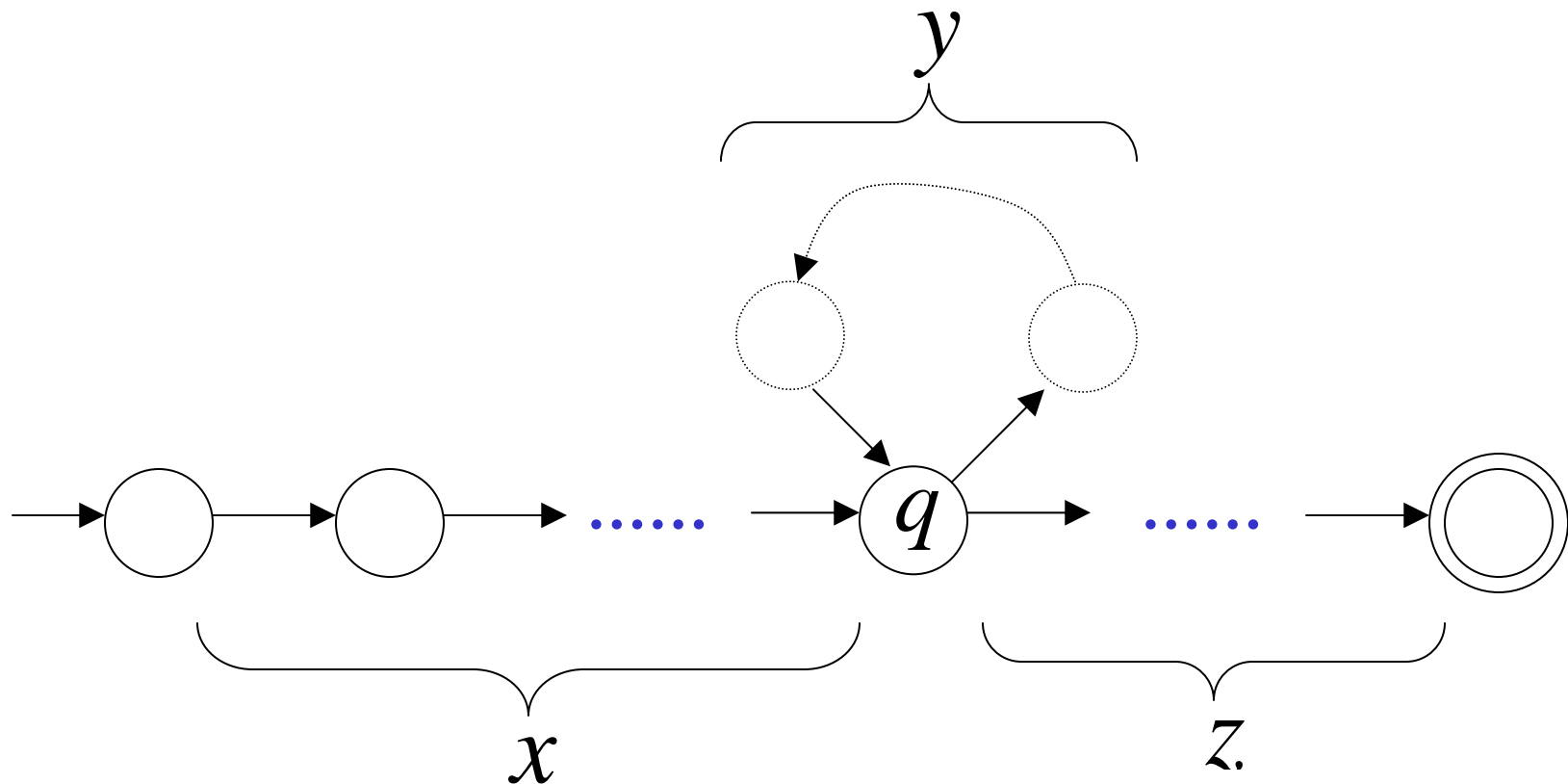


In General: The string  $x y^i z$   
is accepted  $i = 0, 1, 2, \dots$



In General:  $x y^i z \in L \quad i = 0, 1, 2, \dots$

Language accepted by the DFA



In other words, we described:



# The Pumping Lemma:

- Given a infinite regular language  $L$
- there exists an integer  $m$
- for any string  $w \in L$  with length  $|w| \geq m$
- we can write  $w = x y z$
- with  $|x y| \leq m$  and  $|y| \geq 1$
- such that:  $x y^i z \in L \quad i = 0, 1, 2, \dots$

# Nonregular languages

“A language is called a regular language if some finite automaton recognizes it”

The pumping lemma for regular languages

A special property that all regular languages have

There exists certain languages that can not be recognized by any finite automaton

$$B = \{0^n 1^n \mid n \geq 0\}$$

$$C = \{w \mid w \text{ has an equal number of 0s and 1s}\}.$$

Nonregular languages do not have the special property

# The Pumping Lemma

If  $A$  is a regular language, then there is a number  $p$  (the pumping length) where, if  $s$  is any string in  $A$  of length at least  $p$ , then  $s$  may be divided into three pieces,  $s = xyz$ , satisfying conditions:

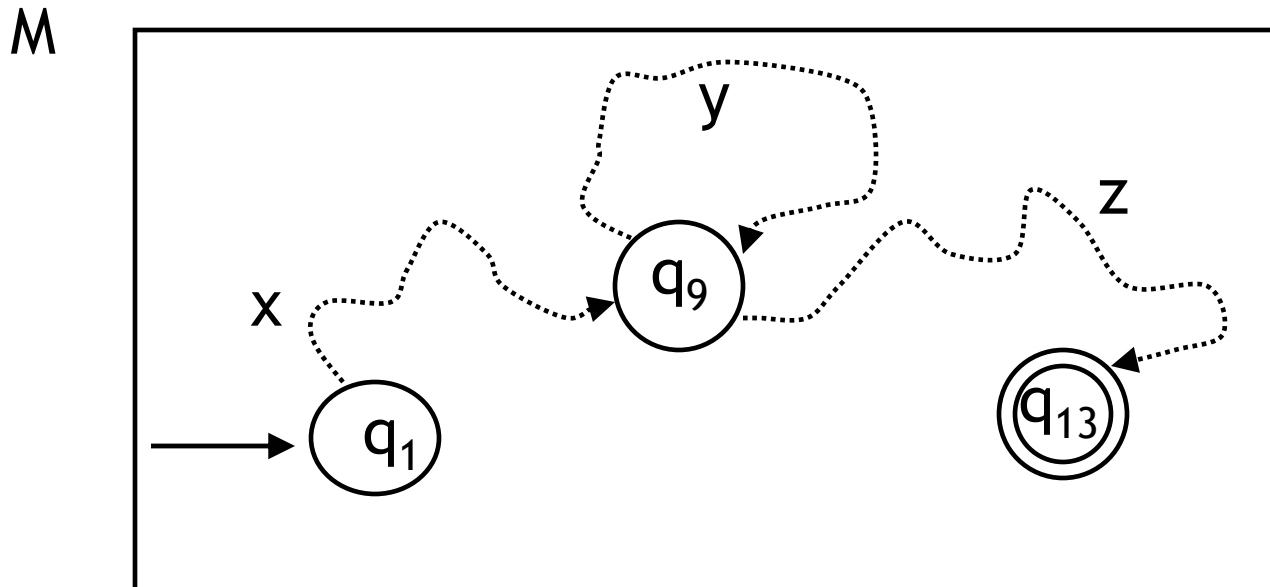
1. for each  $i \geq 0$ ,  $xy^iz \in A$ ,
2.  $|y| > 0$ , and
3.  $|xy| \leq p$ .

Examples:

$0001^*$ ,  $\{0\}$

# Proof of Pumping Lemma

Now we divide  $s$  into 3 pieces  $xyz$



- Condition 1:  $xy^iz \in A$
- Condition 2:  $|y| > 0$
- Condition 3:  $|xy| \leq k$ , ( $q_9$  is the first repetition in the sequence)



# Proof of Pumping Lemma

**Proof:** let  $M = \{Q, \Sigma, \delta, q_1, F\}$  be a DFA recognizing  $A$  and  $k$  be the number of states of  $M$ .

let  $s = s_1 s_2 \dots s_n$  be a string in  $A$  of length  $n$ , where  $n \geq k$ .

~~~~~let  $s = r_1 r_2 \dots r_{n+1}$  be the sequence of states that  $M$  enters while processing  $s$ , so  $r_{i+1} = \delta(r_i, s_i)$ , for  $1 \leq i \leq n$ .

The sequence has length  $n+1$ , which is at least  $k+1$  states. Along the first  $k+1$  elements, two must be the same state.

We call the first  $r_j$ , and the second  $r_l$ . The  $j \leq k$ ,  $l \leq k+1$ .

Now let  $x = s_1 \dots s_{j-1}$ ,  $y = s_j \dots s_{l-1}$ ,  $z = s_l \dots s_n$

As  $x$  takes  $M$  from  $r_1$  to  $r_j$ ,  $y$  takes  $M$  from  $r_j$  to  $r_l$ , and  $z$  takes  $M$  from  $r_l$  to  $r_{n+1}$  which is an accept state,  $M$  must accept  $xy^iz$  for  $i \geq 0$ .

We know that  $j \neq l$ . so  $|y| > 0$ , and  $l \leq k+1$ , so  $|xy| \leq k$ . Thus we have satisfied all three conditions of the pumping lemma.

# The use of Pumping Lemma

Use the pumping lemma to prove a language  $A$  is not regular

First assume that language  $A$  is regular in order to obtain a contradiction

The use of pumping lemma guarantees the existence of a pumping length such that all strings in  $A$  of length at least  $k$  can be pumped

Next find a string  $s$  in  $A$  of length at least  $k$ , but can't be pumped

Finally, demonstrate that  $s$  can not be pumped by considering all ways of dividing  $s$  into  $x$ ,  $y$  and  $z$

# Example 1

Let  $A = \{0^n 1^n \mid n \geq 0\}$ , prove that  $A$  is not regular

Proof:

Assume that  $A$  is regular, let  $K$  be the pumping length given by the pumping lemma. Chose  $s = 0^K 1^K$ . Because  $s$  is a member of  $A$  and has length more than  $K$ , the pumping lemma guarantees that  $s$  can be broken into  $xyz$ , where for any  $i \geq 0$ ,  $xy^i z \in A$ . We consider three cases to show that this result is impossible

- (1). The string  $y$  only consists of only 0s. In this case  $xyyz$  will have more 0s than 1s. So  $xyyz$  is not in  $A$
- (2). The string  $y$  only consists of 1s, then  $xyyz$  will have more 1s than 0s
- (3). The string  $y$  consists of both 0s and 1s. In this case,  $xyyz$  may have same number of 0s and 1s, but they will be out of order. So  $xyyz$  is not in  $A$

Thus a contradiction is unavoidable if we make the assumption that  $A$  is regular, so  $A$  is not regular

## Example 2

Let the language  $B = \{0^i 1^j \mid i > j\}$ , prove that  $B$  is not regular.

Proof:

Assume that  $B$  is regular, let  $p$  be the pumping length given by the pumping lemma. Chose

$$s = 0^{p+1} 1^p,$$

Because  $s$  is a member of  $B$  and has length more than  $p$ , the pumping lemma guarantees that  $s$  can be broken into  $xyz$ , where for any  $i \geq 0$ ,  $xy^i z \in B$ . We show that this result is impossible.

Because  $|xy| \leq p$ ,  $y$  must consists of only 0s. Consider the string  $xy^0 z = xz$ . Removing  $y$  decreases the number of 0s.  $xyz$  has only 1 more 0s than 1s. So  $xz$  is not in  $B$ .

Thus a contradiction is unavoidable if we make the assumption that  $B$  is regular, so  $B$  is not regular.