



# AVL Trees



# Problem

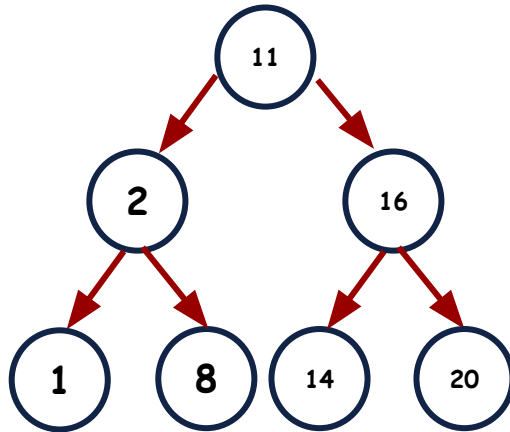
Let's create a **Binary Search Tree** from the following input.

**Input:** [11, 2, 16, 20, 14, 1, 8]

# Binary Search Tree

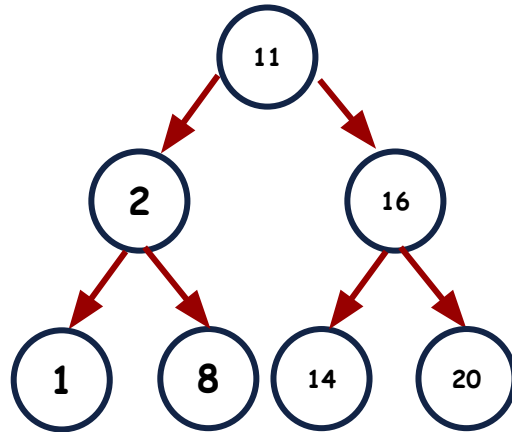
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# Binary Search Tree: Time Complexity?

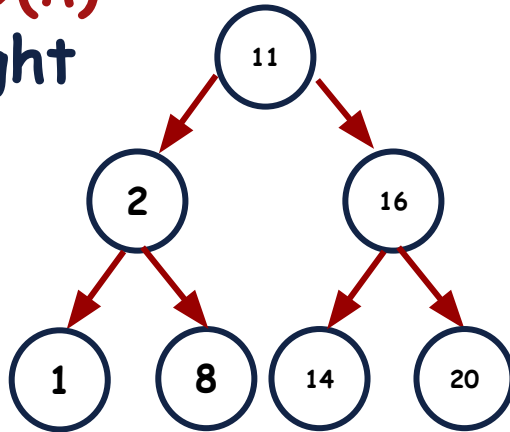
What will be the **worst Time Complexity** of searching in the Binary Search Tree?



# Binary Search Tree: Time Complexity?

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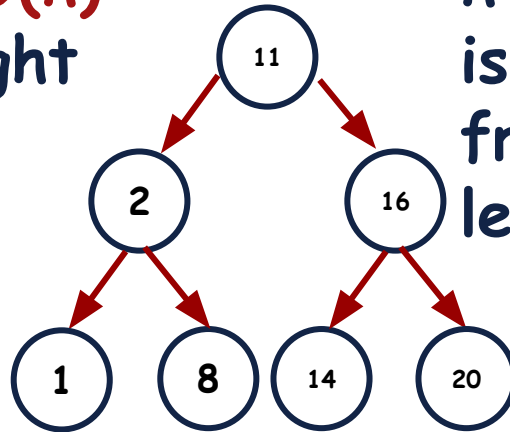
**Time Complexity:  $O(h)$**   
where  $h$  is the height  
of the tree



# Binary Search Tree: Time Complexity?

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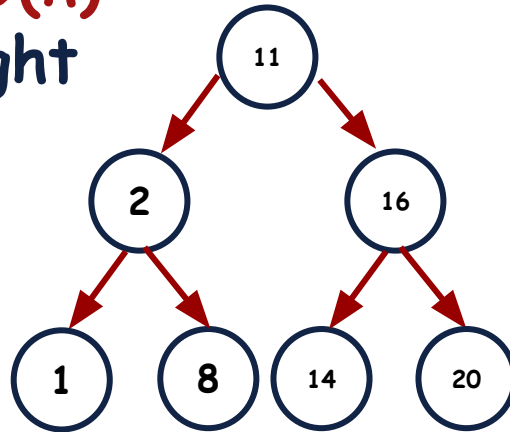
$h$  = height of the tree  
is the longest path  
from the root to a  
leaf node.

# Binary Search Tree: Time Complexity?

What will be the **worst Time Complexity** of searching in the Binary Search Tree?

**Time Complexity:  $O(h)$**   
where  $h$  is the height  
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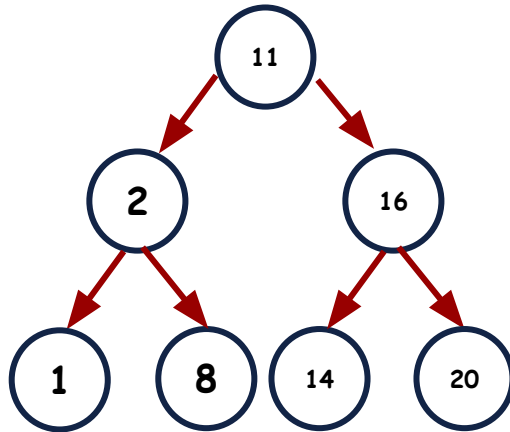
$$h = \log_2(n)$$



# Binary Search Tree: Time Complexity?

What will be the **worst Time Complexity** of searching in the Binary Search Tree?

Time Complexity:  
 $O(\log_2(n))$





# || Binary Search Tree

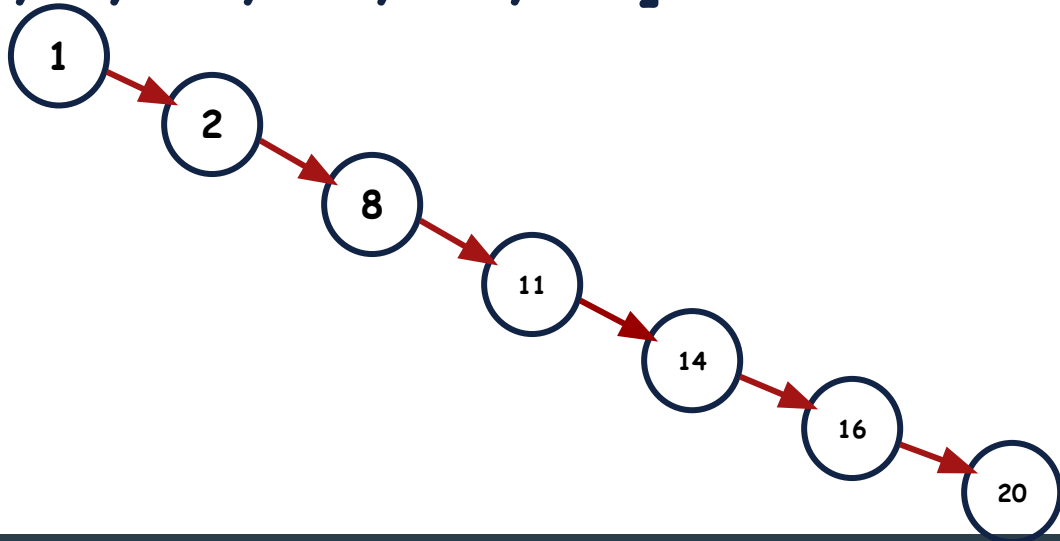
Let's create a **Binary Search Tree** from the following input.

**Input:** [1, 2, 8, 11, 14, 16, 20]

# Binary Search Tree

Let's create a **Binary Search Tree** from the following input.

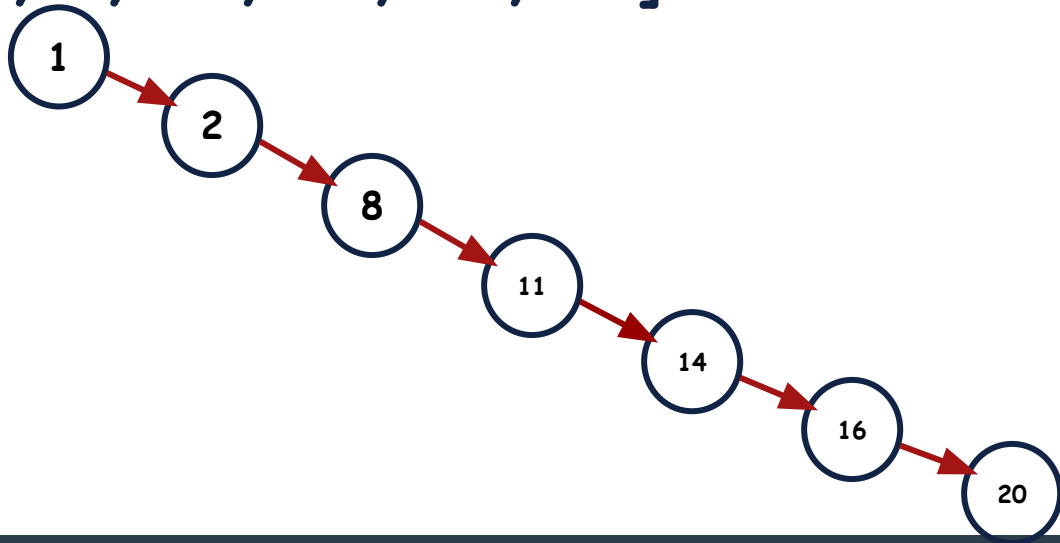
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# Binary Search Tree: Time Complexity?

What will be the **worst Time Complexity** of searching in this Binary Search Tree?

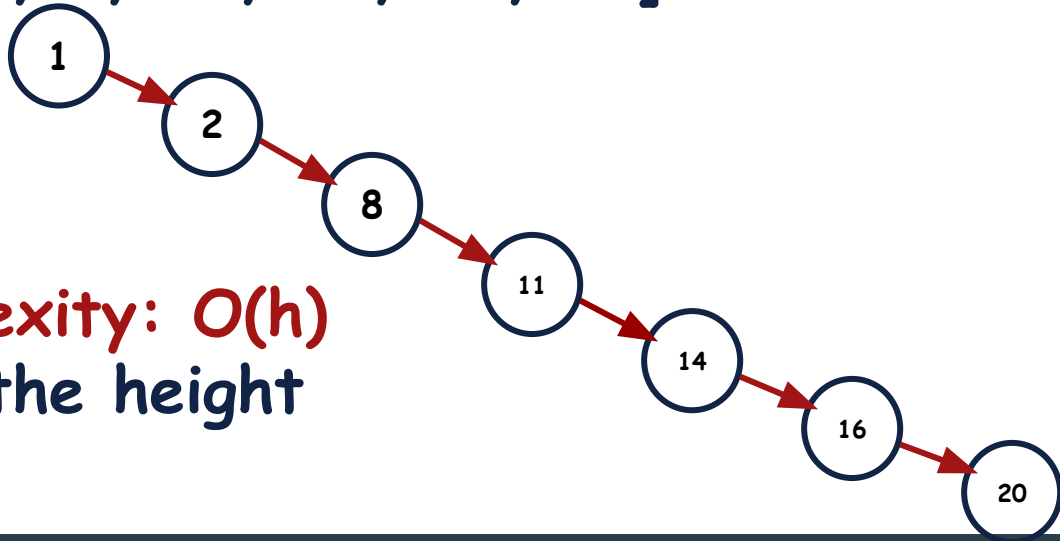
**Input:** [1, 2, 8, 11, 14, 16, 20]



# Binary Search Tree: Time Complexity?

What will be the **worst Time Complexity** of searching in this Binary Search Tree?

**Input:** [1, 2, 8, 11, 14, 16, 20]

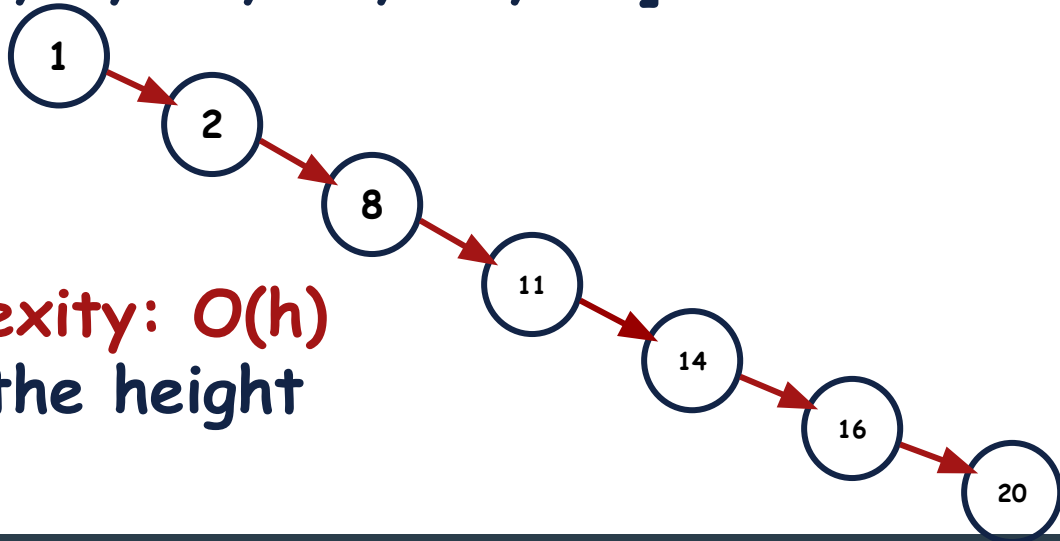


**Time Complexity:**  $O(h)$   
where  $h$  is the height  
of the tree

# Binary Search Tree: Time Complexity?

What will be the **worst Time Complexity** of searching in this Binary Search Tree?

**Input:** [1, 2, 8, 11, 14, 16, 20]



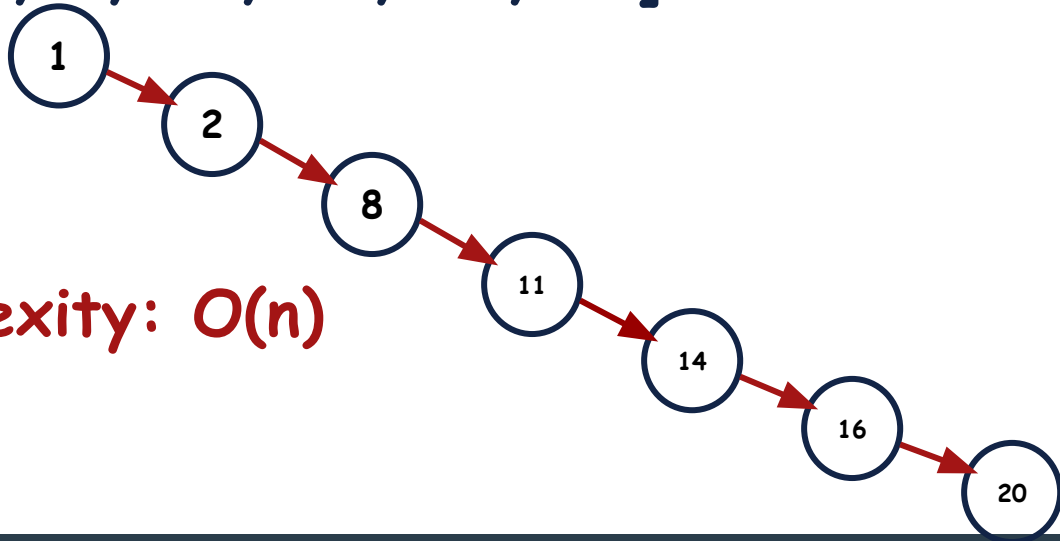
$$h = n$$

**Time Complexity:**  $O(h)$   
where  $h$  is the height  
of the tree

# Binary Search Tree: Time Complexity?

What will be the **worst Time Complexity** of searching in this Binary Search Tree?

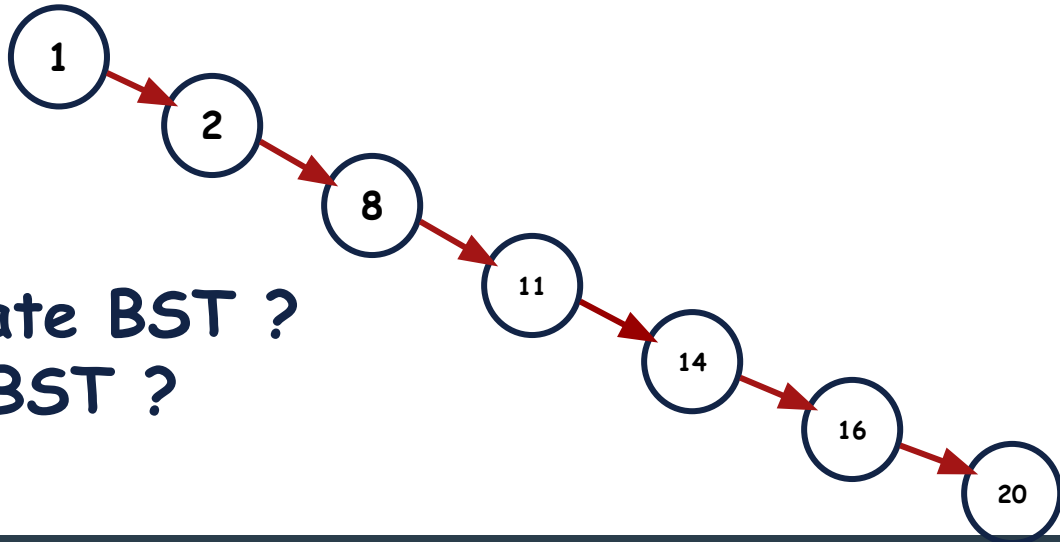
**Input:** [1, 2, 8, 11, 14, 16, 20]



**Time Complexity:**  $O(n)$

# Binary Search Tree: Food for Thought?

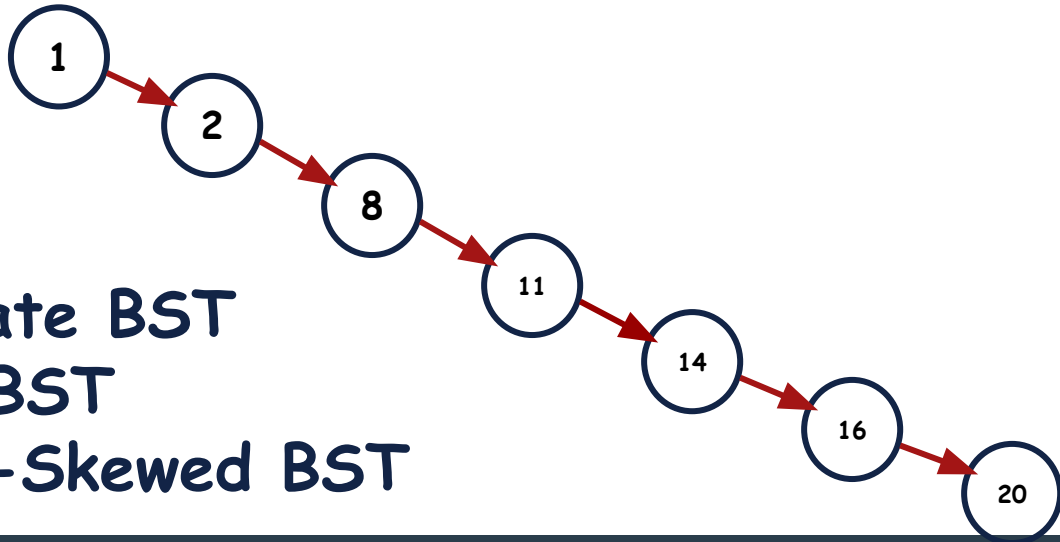
What is the special name of this Binary Search Tree?



- a) Degenerate BST ?
- b) Skewed BST ?

# Binary Search Tree: Food for Thought?

What is the special name of this Binary Search Tree?



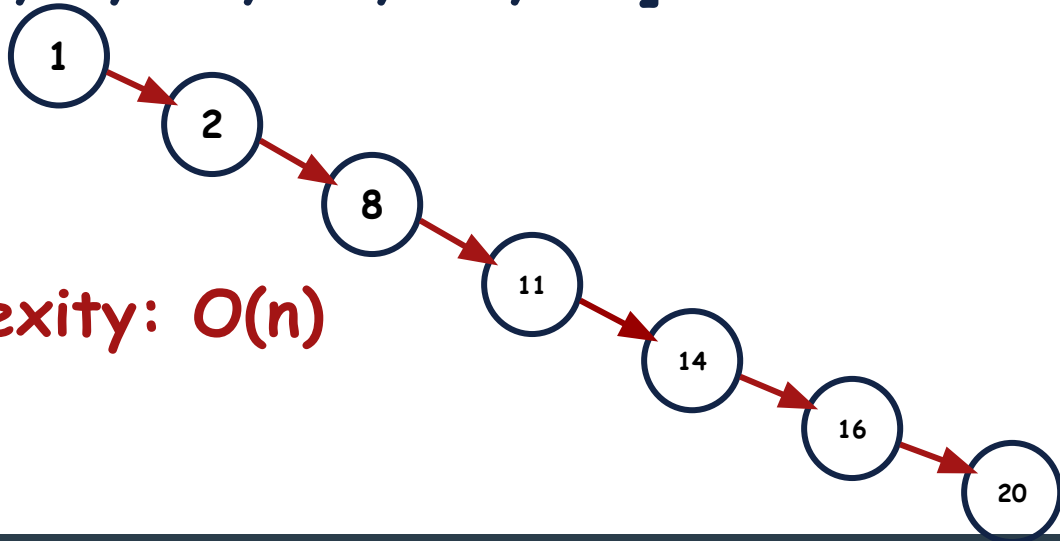
- a) Degenerate BST
- ✓ b) Skewed BST
- Right-Skewed BST



# Binary Search Tree: Linked List?

So, it means if the data is given in sorted order then there is not difference in BST and Linked List.

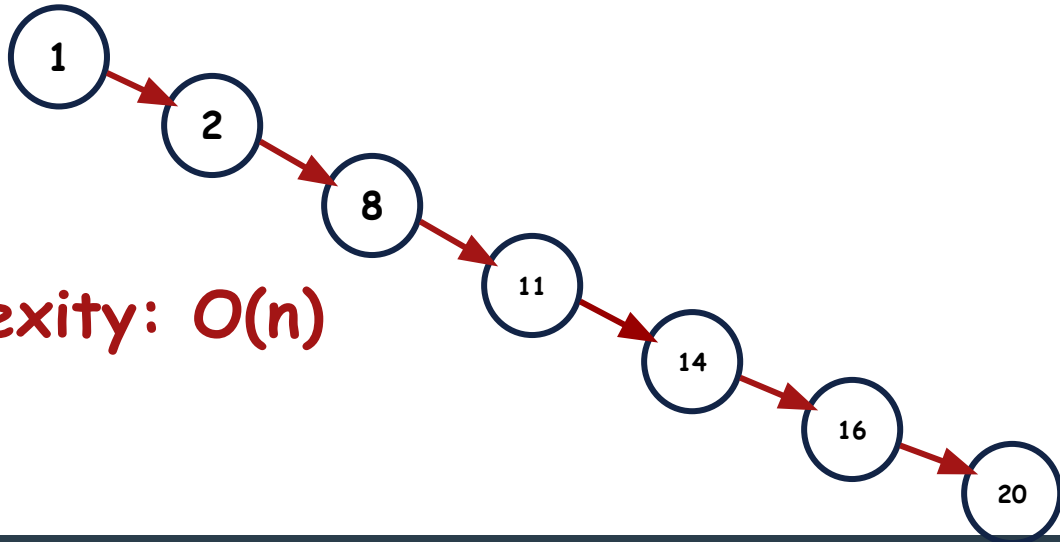
**Input:** [1, 2, 8, 11, 14, 16, 20]



**Time Complexity:**  $O(n)$

# Binary Search Tree: Linked List?

Now, the question is what is the benefit of Binary Search Tree when the worst case of Searching in a Binary Search Tree and Linked List is the same?

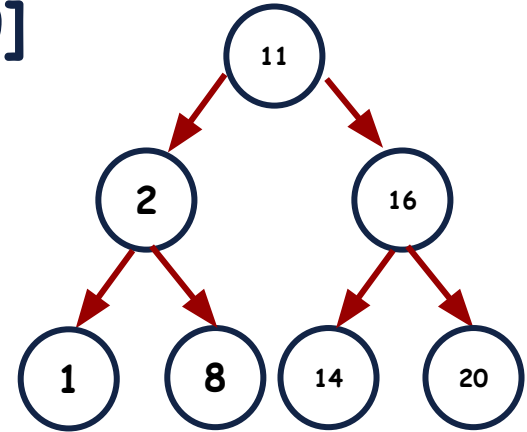
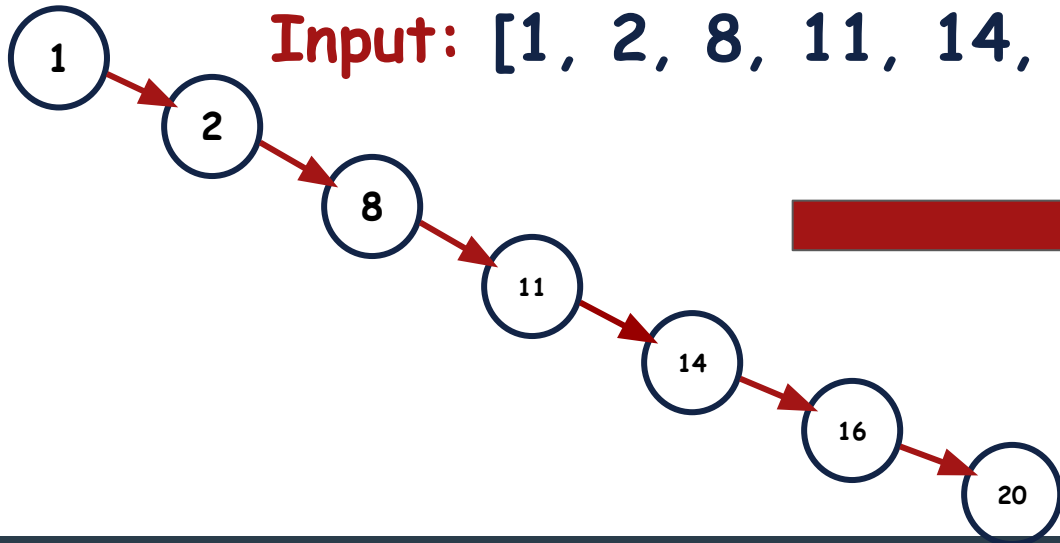


Time Complexity:  $O(n)$

# Binary Search Tree

There is no benefit of binary search trees unless we make a binary search tree whose height is always  $\log_2(n)$  when the input data is given in any order.

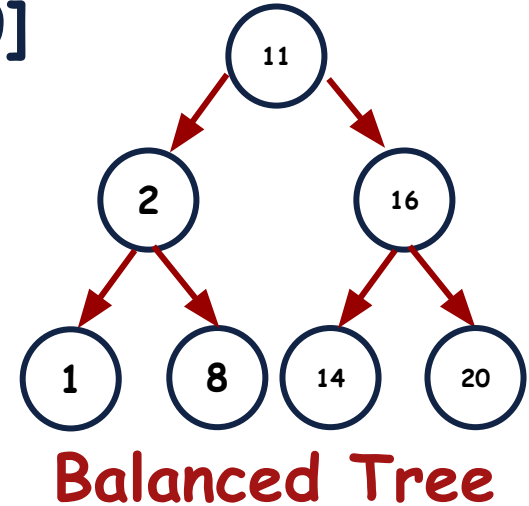
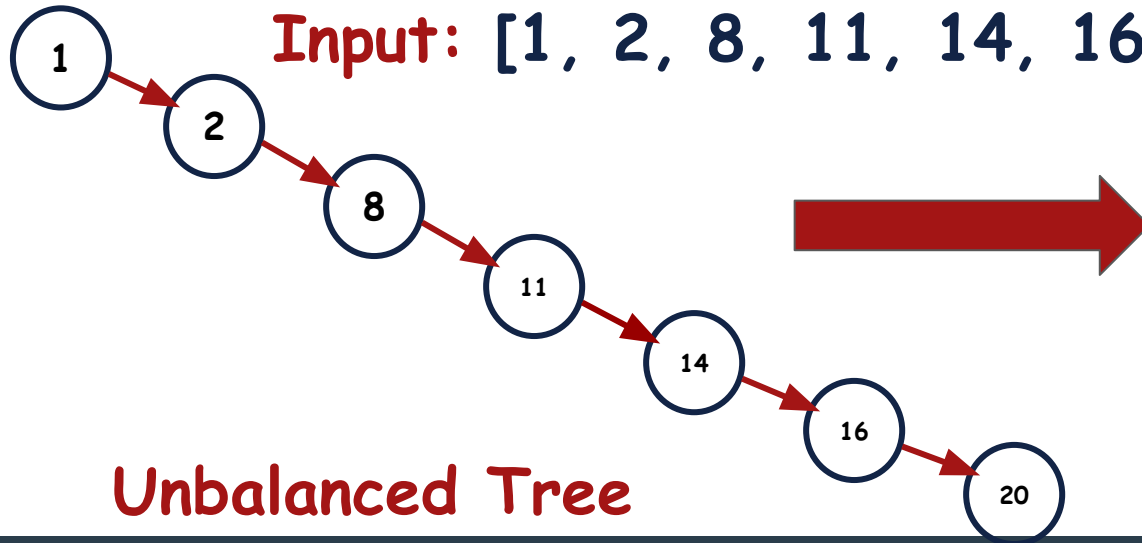
**Input:** [1, 2, 8, 11, 14, 16, 20]



# Binary Search Tree

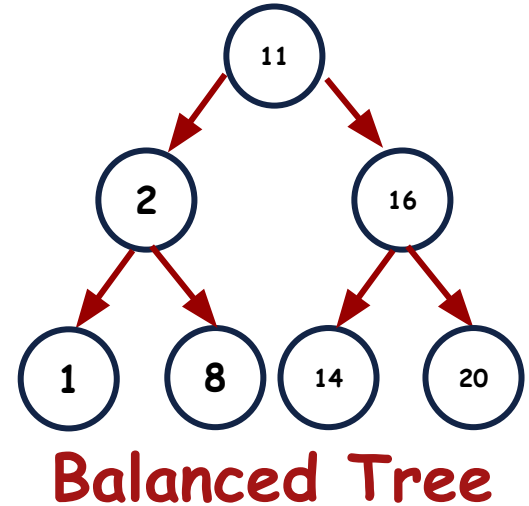
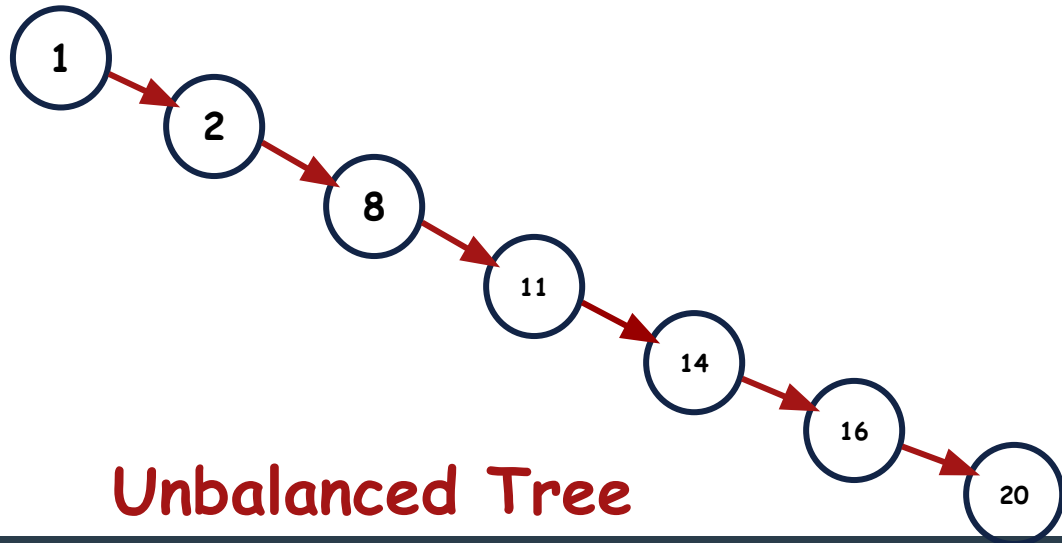
There is no benefit of binary search trees unless we make a binary search tree whose height is always  $\log_2(n)$  when the input data is given in any order.

**Input:** [1, 2, 8, 11, 14, 16, 20]



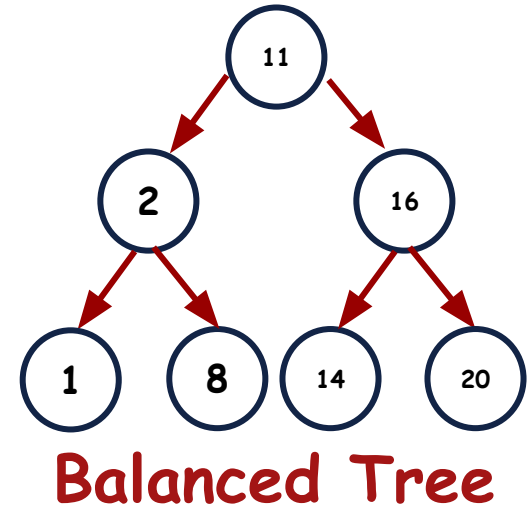
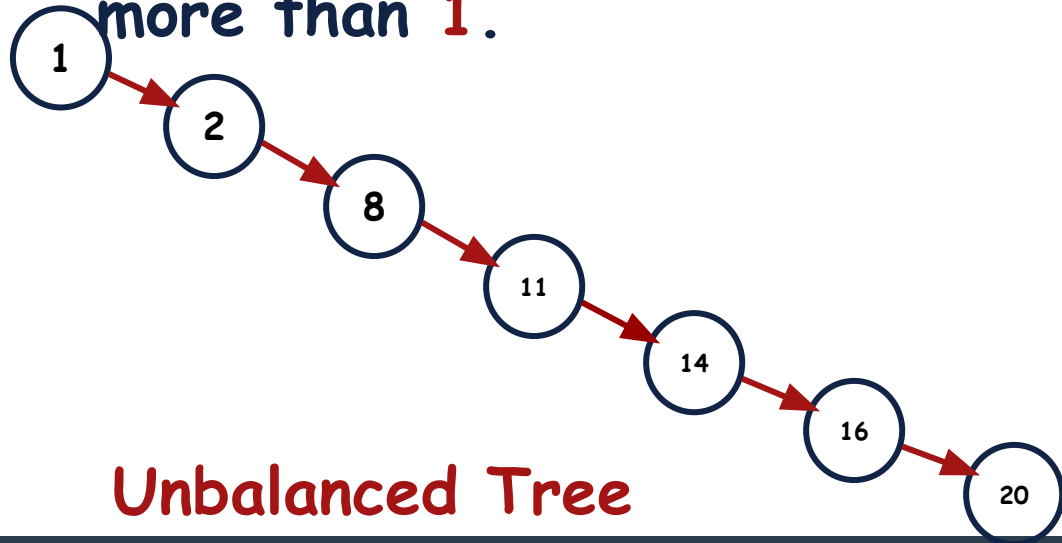
# Balanced Binary Search Tree

Why is this tree a Balanced Binary Search Tree?



# Balanced Binary Search Tree

A balanced binary search tree (**height-balanced** binary search tree) is defined as a tree in which the height of the left and right subtree of any node differ by not more than **1**.

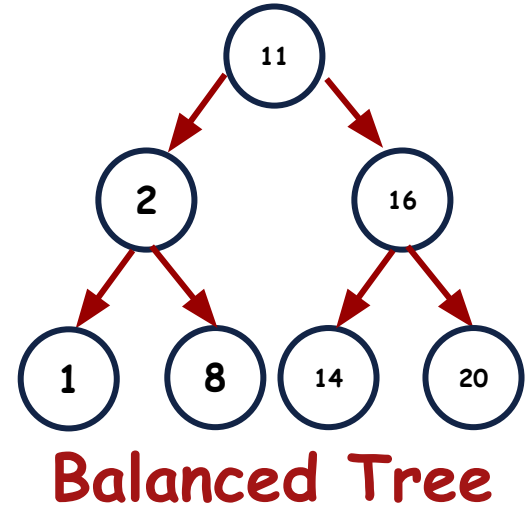
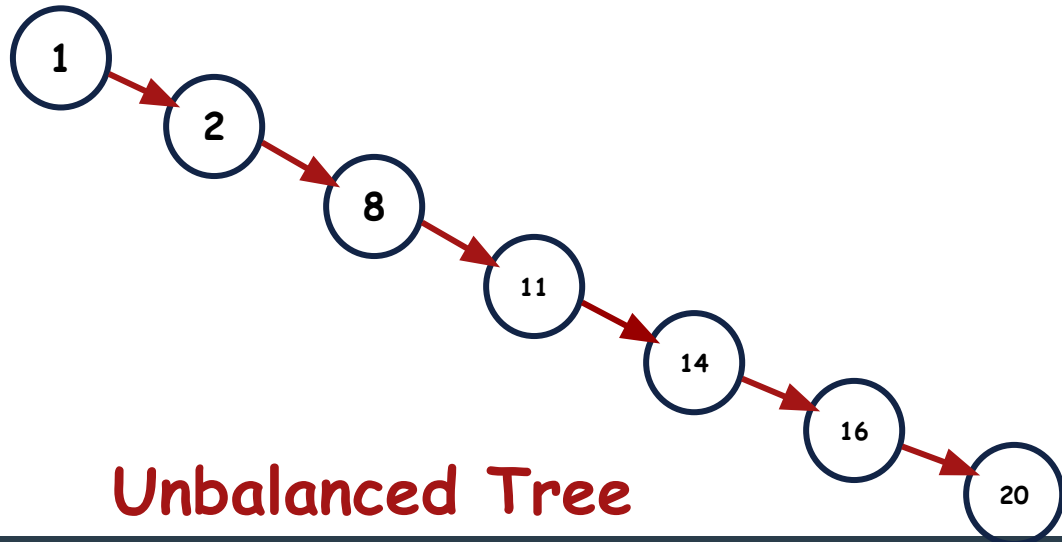


# Balanced Binary Search Tree

Balance Factor (BF)

=

Height of left SubTree - Height of right SubTree

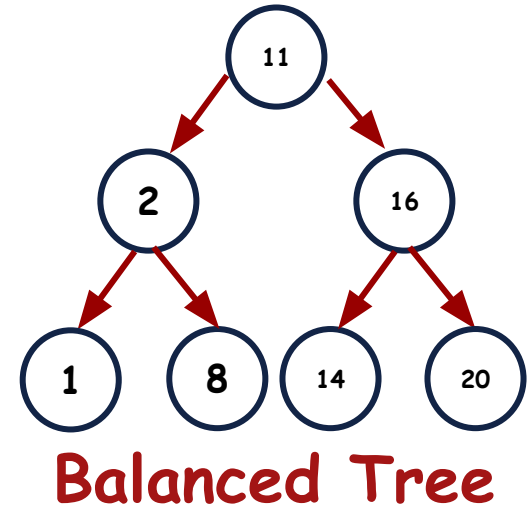
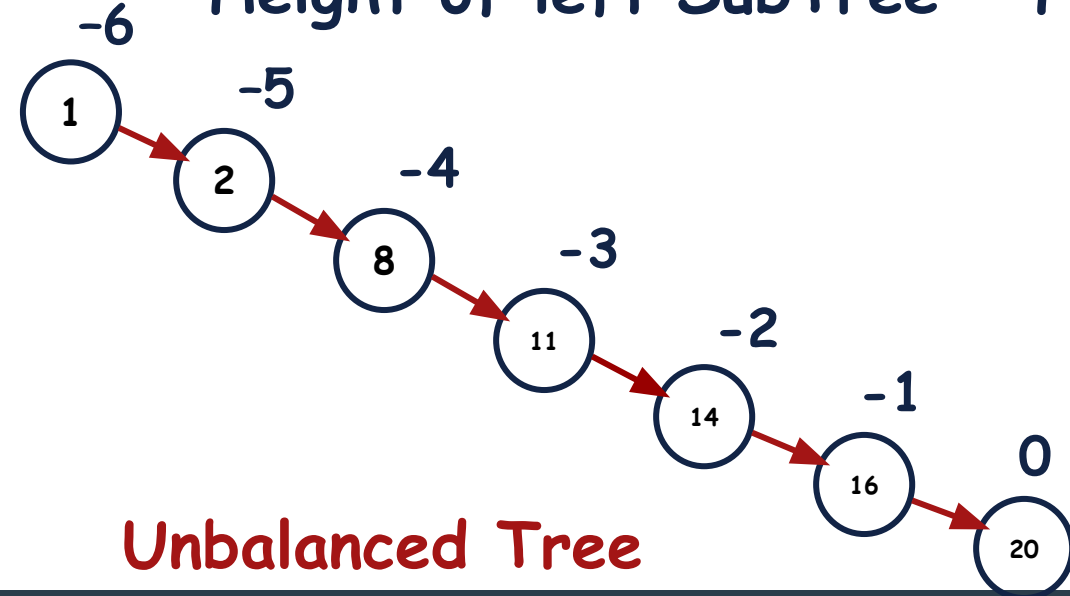


# Balanced BST: Balance Factor

Balance Factor (BF)

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Height of left SubTree - Height of right SubTree



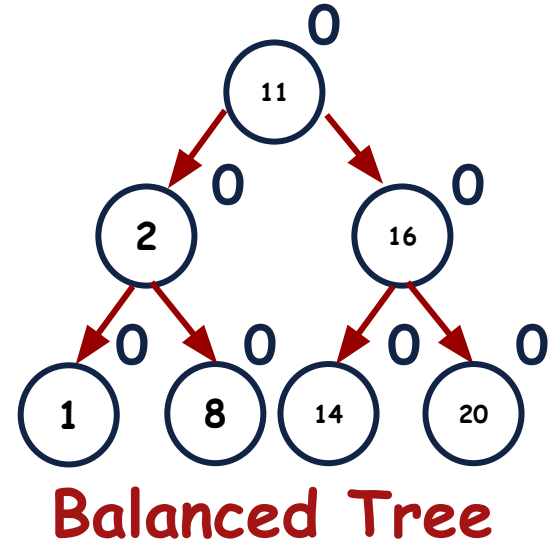
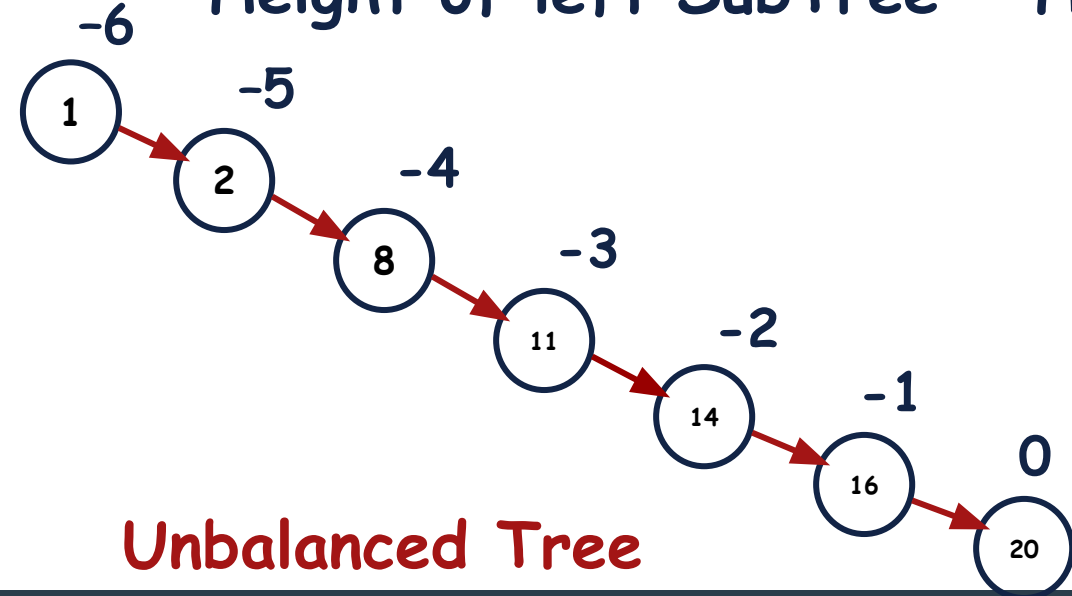


# Balanced BST: Balance Factor

Balance Factor (BF)

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Height of left SubTree - Height of right SubTree



# || Balanced BST

Now, the question is How to Create a Balanced Binary Search Tree?

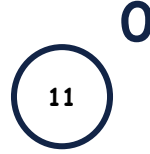
# || Balanced BST

Let's start inserting the input values in the Tree and see what happens when the balance factor is greater than 1 or less than -1.

# Balanced BST

Input: 11

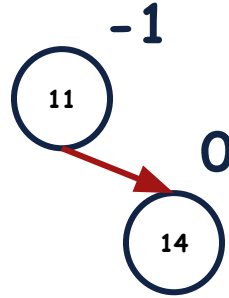
Inserted the Node  
and calculated the  
Balance Factor of  
the node.



# Balanced BST

Input: 11, 14

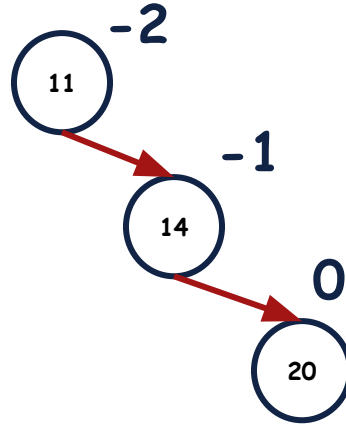
Inserted the Node  
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the node.



# Balanced BST

Input: 11, 14, 20

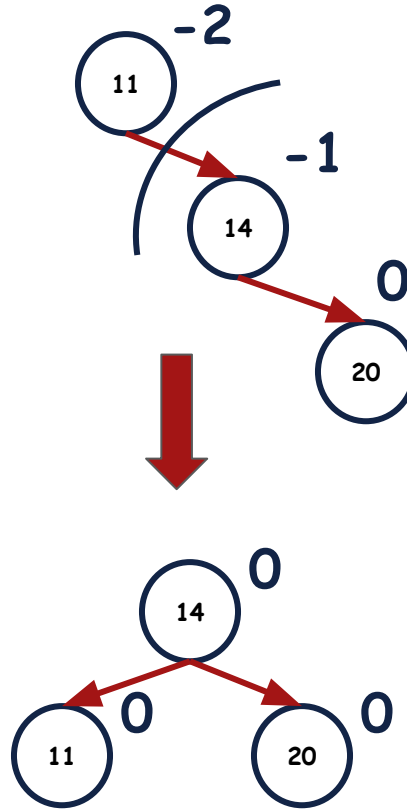
Inserted the Node  
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# Balanced BST

Input: 11, 14, 20

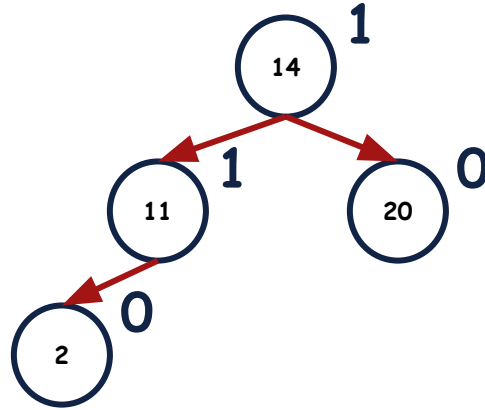
If the balance factor is less than -1 and if the node is inserted in the right subtree of the right child then do the **Left Rotation**.



# Balanced BST

Input: 11, 14, 20, 2

Inserted the Node  
and calculated the  
Balance Factor of  
the node.

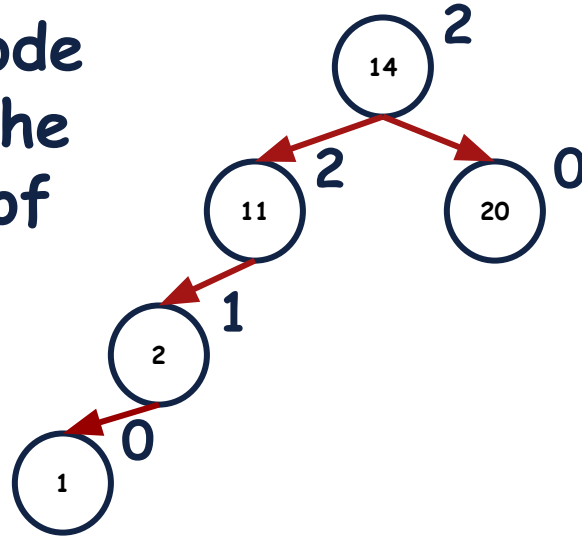




# Balanced BST

Input: 11, 14, 20, 2, 1

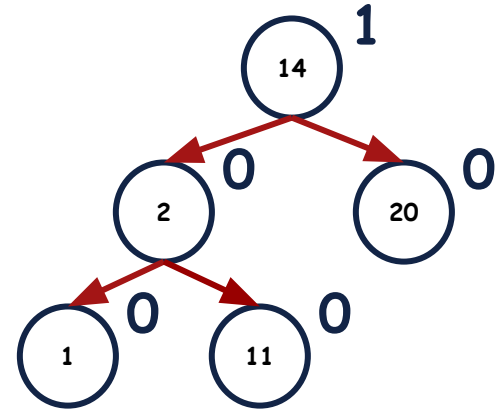
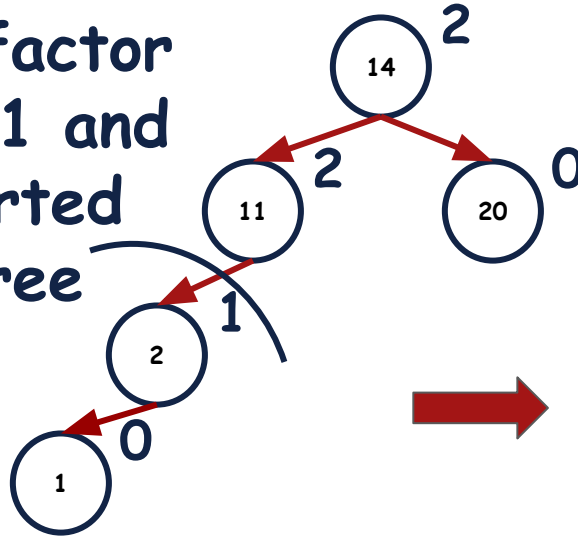
Inserted the Node  
and calculated the  
Balance Factor of  
the node.



# Balanced BST

Input: 11, 14, 20, 2, 1

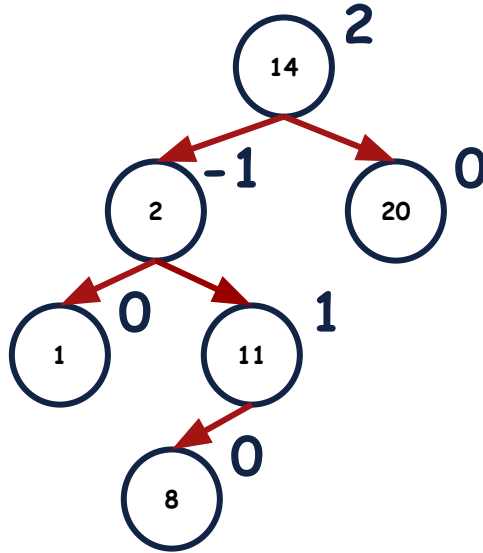
If the balance factor is greater than 1 and the node is inserted in the left subtree of the left child then apply **Right Rotation**



# Balanced BST

Input: 11, 14, 20, 2, 1, 8

Inserted the Node  
and calculated the  
Balance Factor of  
the nodes.

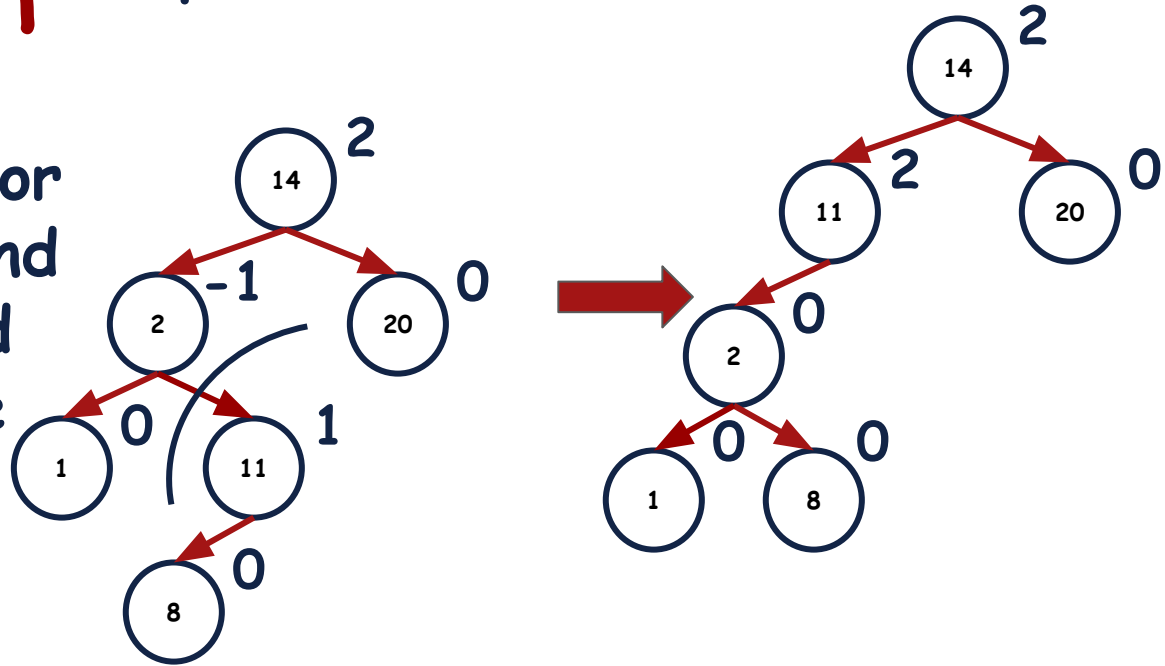


# Balanced BST

Input: 11, 14, 20, 2, 1, 8

If the balance factor is greater than 1 and the node is inserted in the right subtree of left child then apply 2 rotations.

Left Rotation  
then Right Rotation.

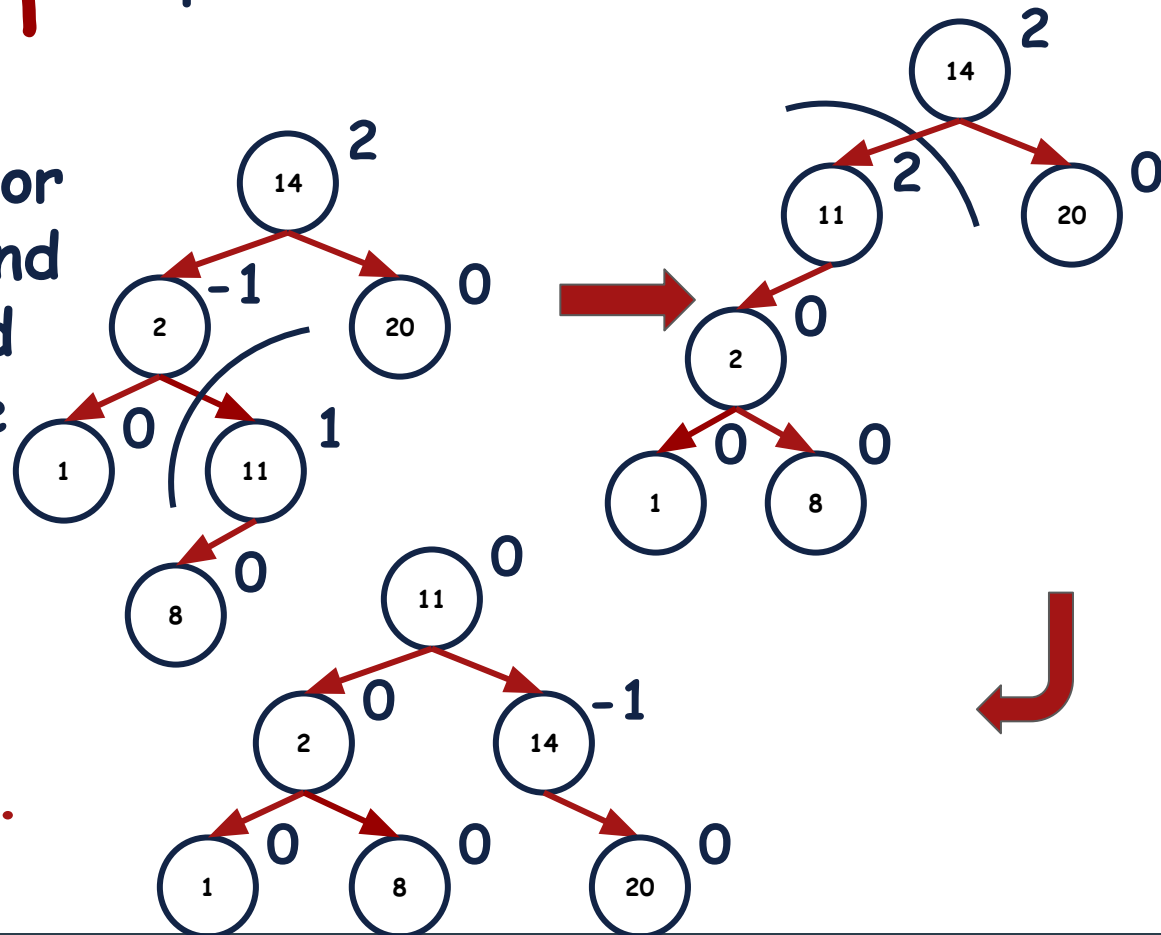


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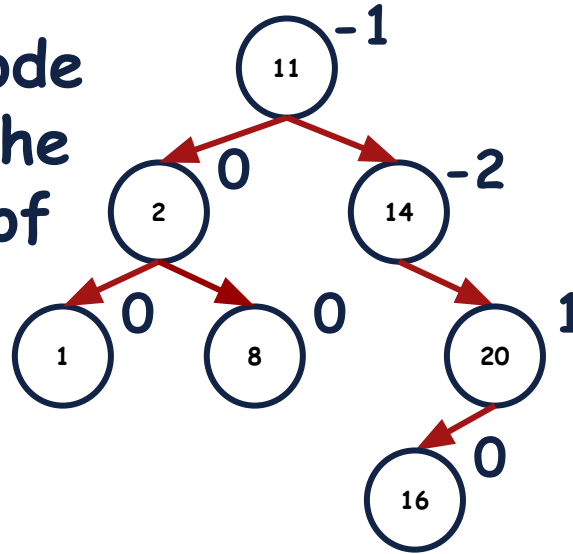
Left Rotation  
then Right Rotation.



# Balanced BST

Input: 11, 14, 20, 2, 1, 8, 16

Inserted the Node  
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Balance Factor of  
the nodes.

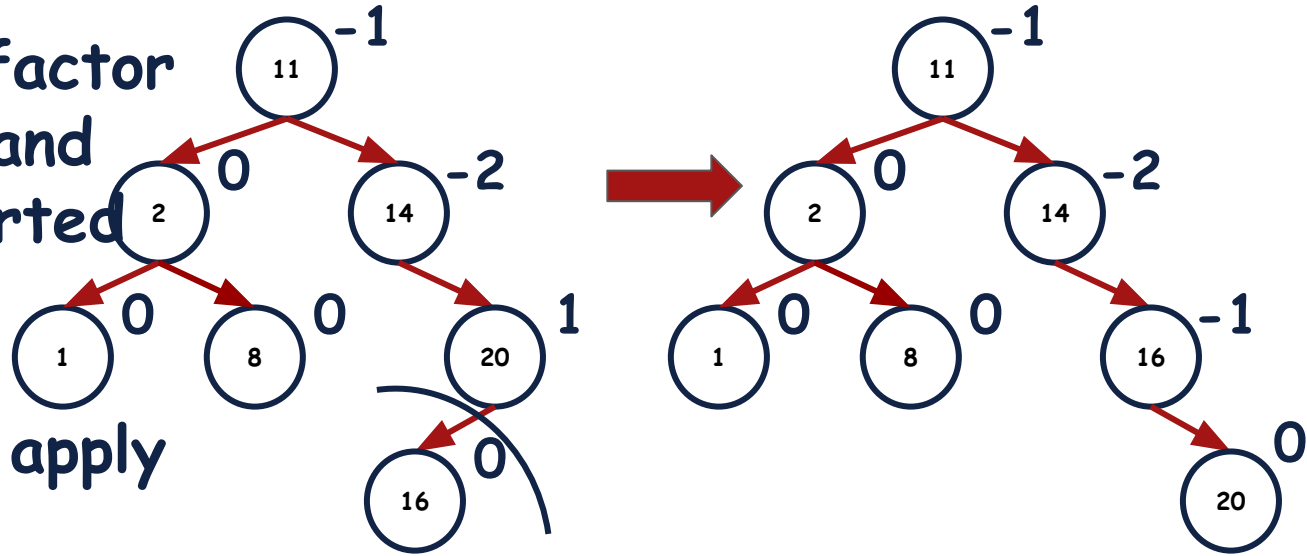


# Balanced BST

Input: 11, 14, 20, 2, 1, 8, 16

If the balance factor is less than -1 and the node is inserted in the left subtree of right child then apply 2 rotations.

**Right Rotation** and  
**then Left Rotation.**

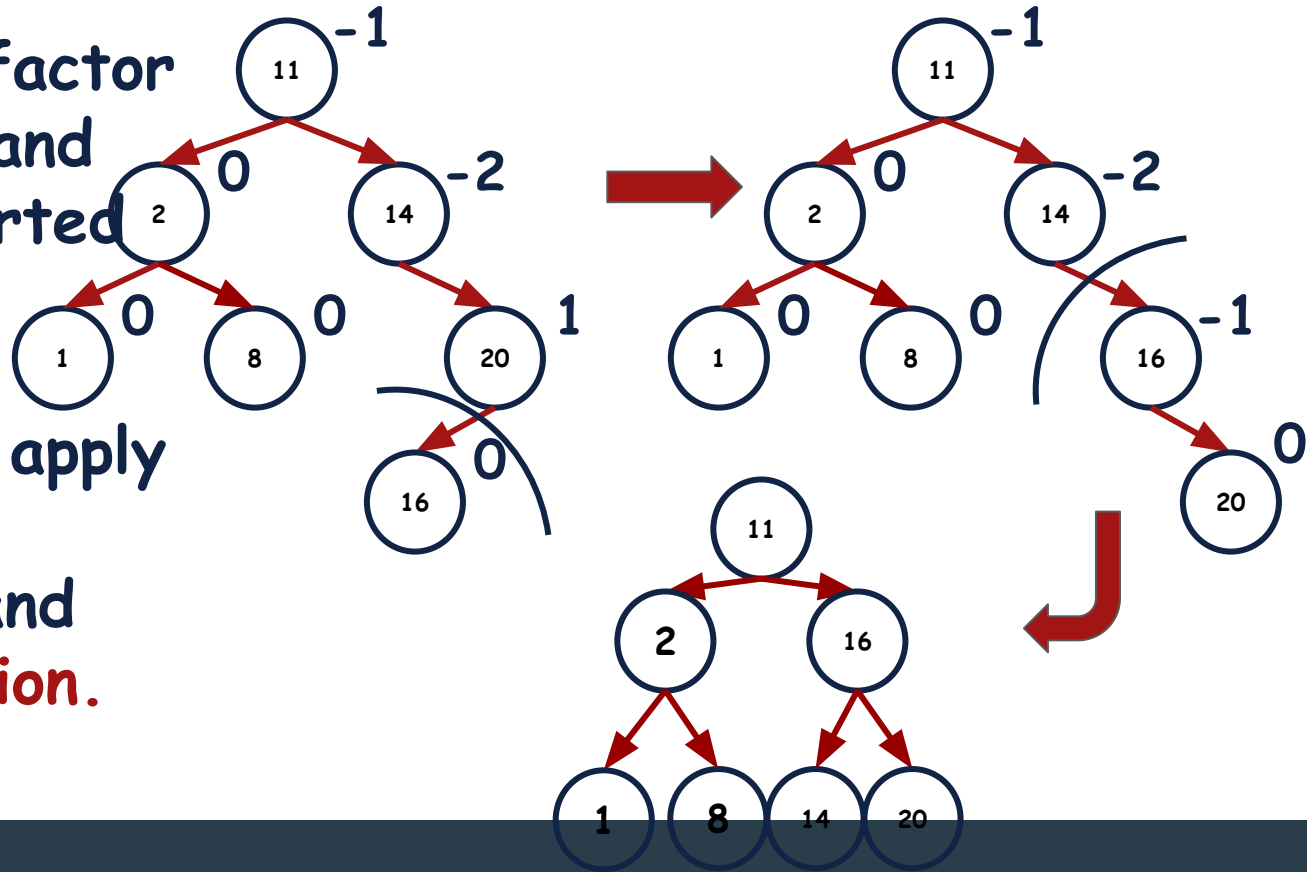


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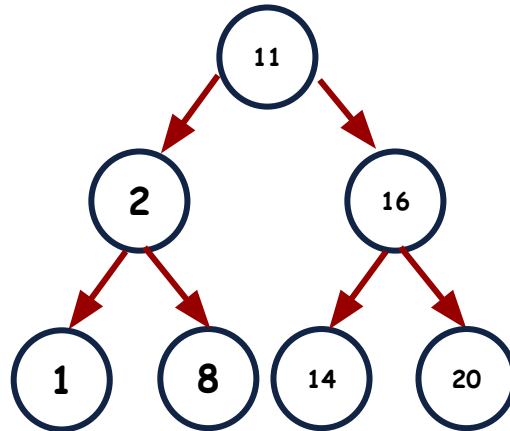




# Self Balancing BST

Input: 11, 14, 20, 2, 1, 8, 16

Self-Balancing Binary Search Trees are **height-balanced** binary search trees that automatically keeps height as small as possible when **insertion** and **deletion** operations are performed on the tree

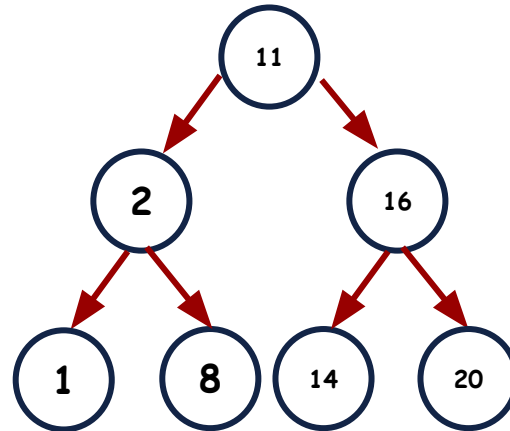


$$h = \log_2(n)$$

# AVL Trees

Input: 11, 14, 20, 2, 1, 8, 16

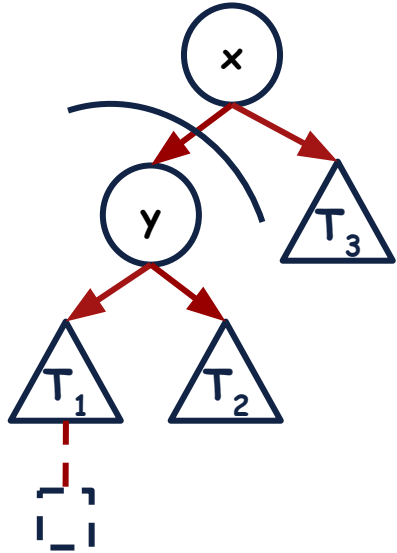
Such Self Balancing BST in which the heights of the two child subtrees of any node differ by **at most one** are known as **AVL** trees (named after inventors **A**delson-**V**elsky and **L**andis)



$$h = \log_2(n)$$

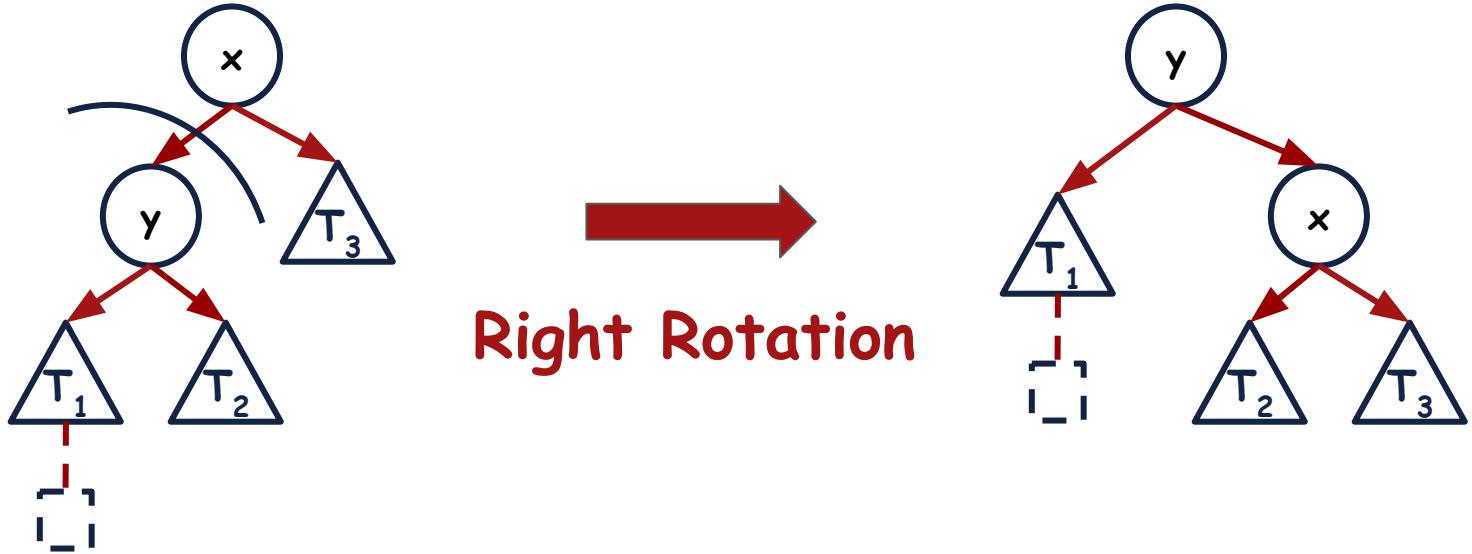
# AVL Trees: 4 Types of Rotations

**Case 1 (LL Case):** Insertion into **left** subtree of **left** child of node  $x$



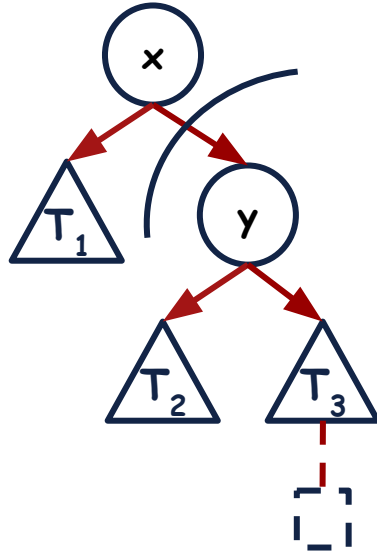
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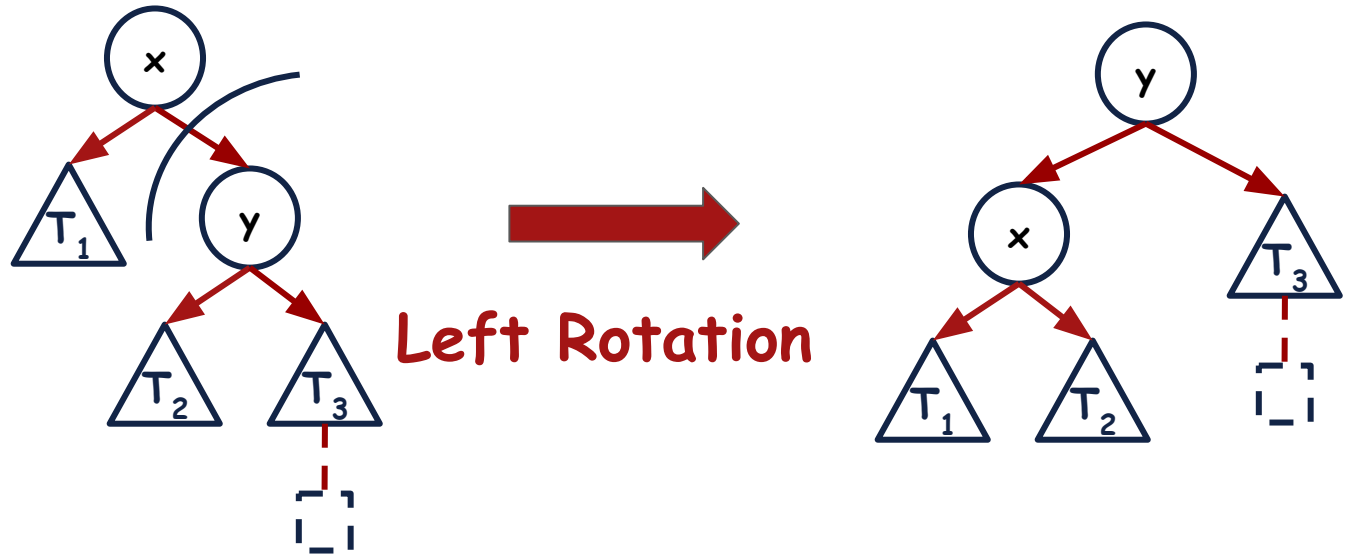
# AVL Trees: 4 Types of Rotations

**Case 2 (RR Case):** Insertion into **right** subtree of **right** child of node  $x$



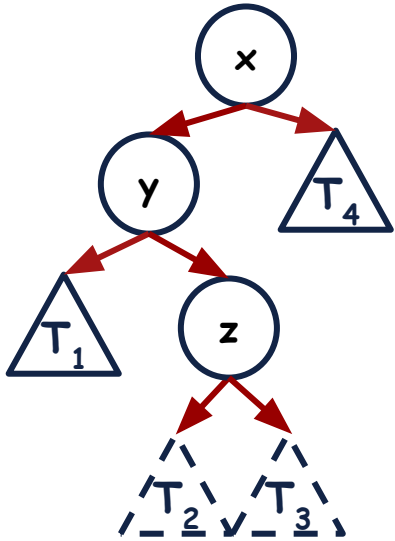
# AVL Trees: 4 Types of Rotations

**Case 2 (RR Case):** Insertion into **right** subtree of **right** child of node  $x$



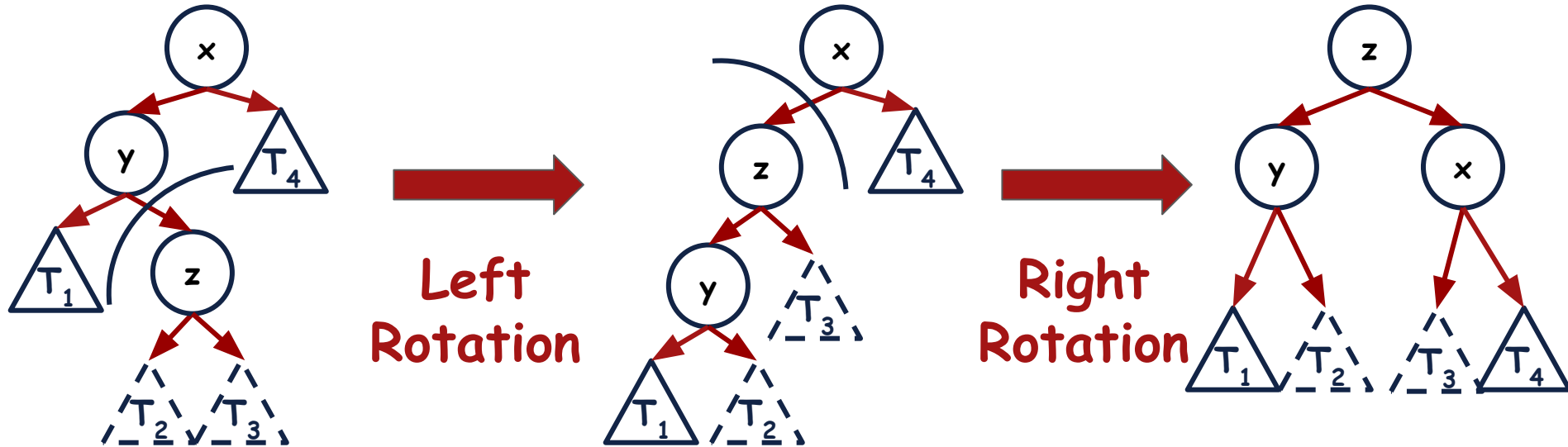
# AVL Trees: 4 Types of Rotations

**Case 3 (LR Case):** Insertion into **right** subtree of **left** child of node  $x$



# AVL Trees: 4 Types of Rotations

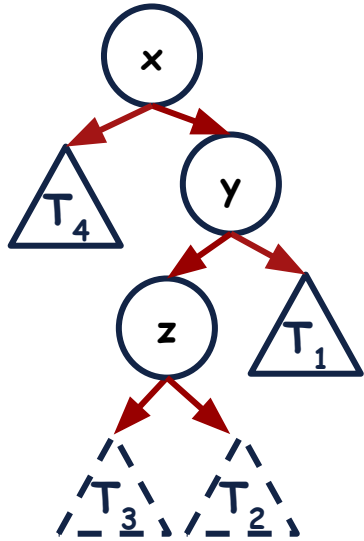
**Case 3 (LR Case):** Insertion into **right** subtree of **left** child of node **x**





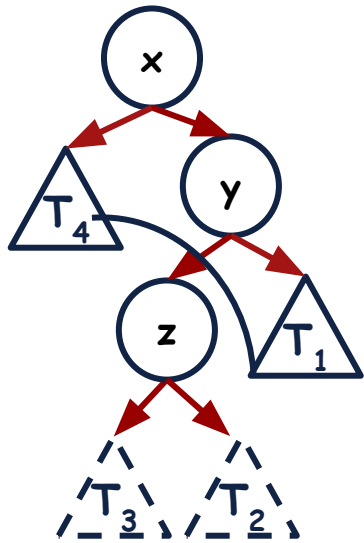
# AVL Trees: 4 Types of Rotations

**Case 4 (RL Case):** Insertion into **left** subtree of **right** child of node  $x$

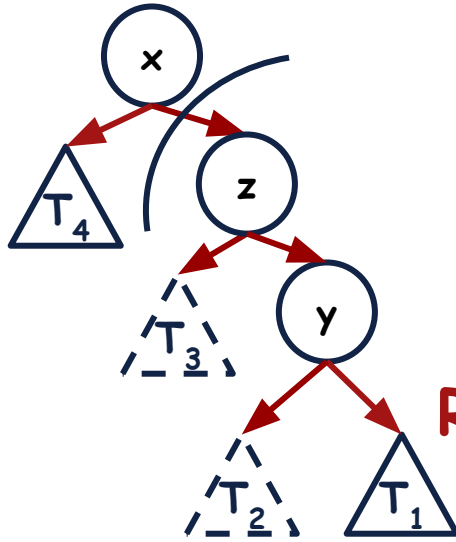


# AVL Trees: 4 Types of Rotations

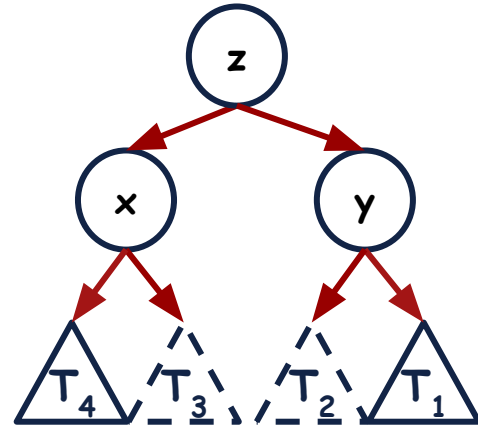
**Case 4 (RL Case):** Insertion into **left** subtree of **right** child of node **x**



**Right  
Rotation**



**Left  
Rotation**



# AVL Trees: Working Example

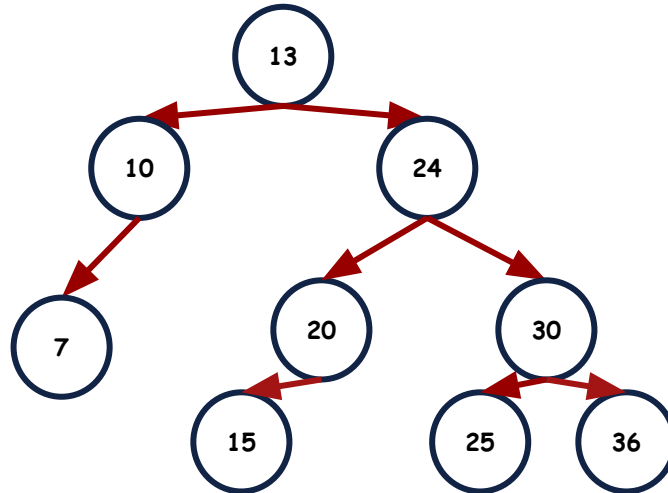
Build an AVL tree with the following values.

**Input:** 15, 20, 24, 10, 13, 7, 30, 36, 25

# AVL Trees: Working Example

Build an AVL tree with the following values.

**Input:** 15, 20, 24, 10, 13, 7, 30, 36, 25



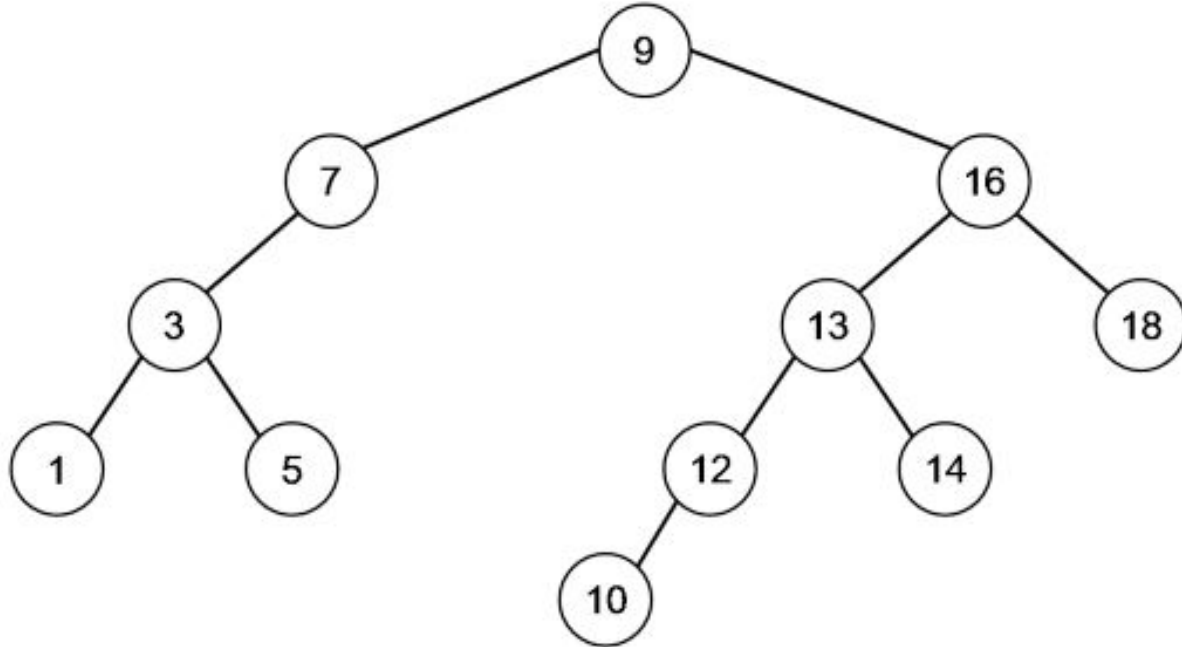
# Learning Objective

Students should be able to insert elements in the **AVL** trees.



# Self Assessment

In the following tree, write the Balance Factor of each node.



# Self Assessment

Draw all the rotations that you must perform and the final AVL tree after the following elements are inserted in the given order starting from an empty tree.

Input:

1, 10, 5, 7, 3, 13, 6, 4, 8, 9