



CSE 247

Data Structures

Algorithm Analysis

Algorithm Origin

- The word Algorithm is on the name of Muslim author, renowned mathematician, Abu Ja'far Mohammad Ibn Musa al-Khowarizmi.
- He was born in the eighth century at Khwarizm, in present known as Uzbekistan.

Algorithm definition

- An algorithm is a well-defined procedure that takes some value as input and produces some value as output.

Problem-solving consists of the following five steps:

1. Problem understanding: Define exactly what you are trying to solve the problem
2. Formulation of the problem: Discovery of the fact to break down the complexity
3. Developing an algorithm: understand different alternatives to solve the problem and pick one
4. Implementation of an algorithm: deliver the outcome essential to solve the problem
5. Running it on data

Why Algorithm

- Understanding algorithm is essential for a computer scientist.
- Algorithm is independent of any programming language. Thus, it is the mathematical theory behind a program.
- Algorithm and data structures are interdependent as most of the fast algorithms are fast due to the use of fast data structures and vice versa.
- Many of the courses in the computer science program deal with efficient algorithms and data structures such as they apply to various applications: compilers, operating systems, databases, artificial intelligence, computer graphics, and vision, etc.

Algorithm efficiency

- An important question is: How efficient is an algorithm or piece of code? Efficiency covers lots of resources, including:
- CPU (time) usage
- memory usage
- disk usage
- network usage

Factors affect on algorithms efficiency

- Different implementations may cause an algorithm to run faster/slower
- Some algorithms run faster on some computers
- Algorithms may perform differently depending on data (e.g., sorting often depends on what is being sorted)

Algorithm efficiency

- To compute time exactly, we should count the number of CPU cycles it takes to perform each operation in the algorithm.
- This time computation would be a bit tedious and is not a very practical approach.
- Instead we will count the number of times primitive operations are executed in an algorithm.
- By *primitive operation* we mean a simplest operation such as loop, boolean operations, assignments, exchanges etc.
- we are usually interested in the **worst case**: what are the **max** operations that might be performed for a given problem size (other cases are best case and average case)

Analyzing Algorithm

- In analyzing the performance of an algorithm, usually we are interested in the amount of memory required by an algorithm – its *space complexity* – and the time taken for an algorithm to run – its *time complexity*.

Introduction to Time Complexity

- Be careful to differentiate between:
 - **Performance**: how much time/memory/disk/... is actually used when a program is run. This depends on the machine, compiler, etc. as well as the code.
 - **Complexity**: how do the resource requirements of a program or algorithm scale, i.e., what happens as the size of the problem being solved gets larger.
- Complexity affects performance but not the other way around.

Algorithm Analysis

- How to estimate the time required for an algorithm.
- How to use techniques that drastically reduce the running time of an algorithm.

Analyze above question on linear and Binary search

Search Algorithms

- Linear/ sequential search
- Binary search

Example: Searching Sorted Array

Algorithm 1: Linear Search

```
int search(int A[], int N, int Num) {  
    int index = 0;                                1  
  
    while ((index < N) && (A[index] < Num)) {      N+1  
        index++;                                   N  
    }  
  
    if ((index < N) && (A[index] == Num))          1  
        return index;                             1  
    else  
        return -1;  
}
```

$F(N) = 2N + 4$

Analyzing Search Algorithm 1

Operations to count: how many times Num is compared to member of array.

Best-case: find the number we are looking for at the first position in the array ($1 + 1 = 2$ comparisons) $O(1)$

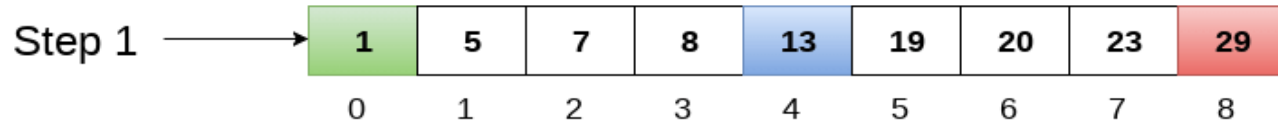
Average-case: find the number on average half-way down the array (sometimes longer, sometimes shorter)
($N/2 + 1$ comparisons) $O(N)$

Worst-case: have to compare Num to every element in the array
($N + 1$ comparisons) $O(N)$

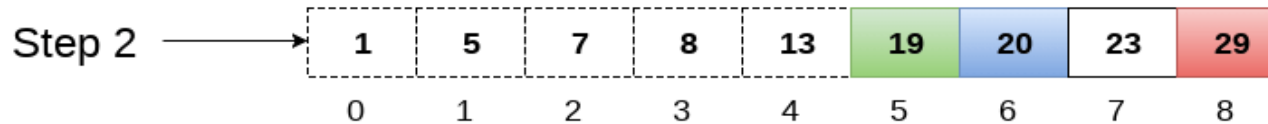
Example: Searching Sorted Array

- Algorithm 2: Binary Search

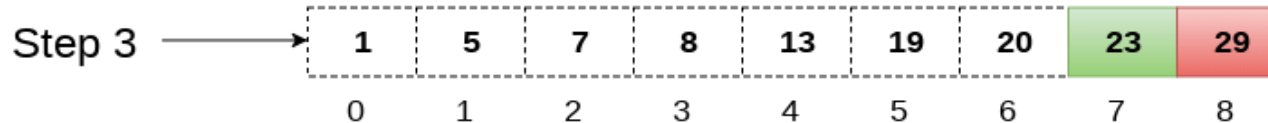
Item to be searched = 23



$a[mid] = 13$
 $13 < 23$
 $beg = mid + 1 = 5$
 $end = 8$
 $mid = (beg + end)/2 = 13 / 2 = 6$



$a[mid] = 20$
 $20 < 23$
 $beg = mid + 1 = 7$
 $end = 8$
 $mid = (beg + end)/2 = 15 / 2 = 7$



$a[mid] = 23$
 $23 = 23$
 $loc = mid$

Search Algorithm 2: Binary Search

```
int search(int A[], int Num) {  
    int first = 0;  
    int last = A.length - 1;           1  
    int mid = (first + last) / 2;       1  
                                        1  
    while (first < last) {  
        if (Num < A[mid] )              logN+1  
            last = mid - 1;             logN  
        else if(A[mid]==Num)             logN  
            return mid;    //Num found at mid  
        else  
            first = mid + 1;             logN  
            mid = (first + last) / 2;     logN  
    }  
    if (A[mid] == Num)                  1  
        return mid;    // Num found at mid  1  
    else  
        return -1;    // Num not found  
}
```

$$F(N)=5\log N+6$$

100

50

50

25

25

12

13

6

6

3

3

1

2

1

1

Analyzing Binary Search

One comparison after loop

First time through loop, toss half of array (2 comps)

Second time, half remainder (1/4 original) 2 comps

Third time, half remainder (1/8 original) 2 comps

...

Loop Iteration	Remaining Elements
----------------	--------------------

1	$N/2$
2	$N/4$
3	$N/8$
4	$N/16$

...

??	1
----	---

How long to get to 1?

$$= N/2 + N/2/2 + N/4/2 + \dots + 1$$

$$= N/2 + N/4 + N/8 + N/16 + \dots + 1$$

$$= N/2^1 + N/2^2 + N/2^3 + N/2^4 + \dots + 1$$

$$= N/2^k \geq 1, \text{ where } k = 1, 2, 3, \dots$$

$$= N \geq 2^k$$

Apply log

$$\log N \geq k \log 2$$

Analyzing Binary Search (cont)

Looking at the problem in reverse, how long to double the number until we get to N ?

$$N = 2^x$$

For a list of ten thousand elements, how many total number of statement will be executed to search an element in both algorithms?

Binary search in worst-case $O(\log_2 N) = \log_2 (10000) = 13$

Sequential search in worst-case $O(N) = 10000$

Analysis: A Better Approach

Idea: characterize performance in terms of key operation(s)

- Sorting:
 - count number of times two values compared
 - count number of times two values swapped
- Search:
 - count number of times value being searched
- Recursive function:
 - count number of recursive calls

Analysis in General

Want to comment on the “general” performance of the algorithm

- Measure for several examples, but what does this tell us in general?
- Instead, assess performance in an abstract manner

Idea: analyze performance as size of problem grows

Examples:

- Sorting: how many comparisons for array of size N ?
- Searching: #comparisons for array of size N

May be difficult to discover a reasonable formula

The growth rate of function as N Grows

Function	10	100	1000	10000	100000
$\log_2 N$	3	6	9	13	16
N	10	100	1000	10000	100000
$N \log_2 N$	30	664	9965	10^5	10^6
N^2	10^2	10^4	10^6	10^8	10^{10}
N^3	10^3	10^6	10^9	10^{12}	10^{15}
2^N	10^3	10^{30}	10^{301}	10^{3010}	10^{30103}

How to Compare Formulas?

$$50N^2 + 31N^3 + 24N + 15$$

$$3N^2 + N + 21 + 4 * 3^N$$

Answer depends on value of N:

N	$50N^2 + 31N^3 + 24N + 15$	$3N^2 + N + 21 + 4 * 3^N$
1	120	37
2	511	71
3	1374	159
4	2895	397
5	5260	1073
6	8655	3051
7	13266	8923
8	19279	26465
9	26880	79005
10	36255	236527

What Happened?

N	$3N^2 + N + 21 + 4 * 3^N$	$4 * 3^N$	% of Total
1	37	12	32.4
2	71	36	50.7
3	159	108	67.9
4	397	324	81.6
5	1073	972	90.6
6	3051	2916	95.6
7	8923	8748	98.0
8	26465	26244	99.2
9	79005	78732	99.7
10	236527	236196	99.9

- Higher-order term dominated the sum

Order of Magnitude Analysis

Measure speed with respect to the part of the sum that grows quickest

$$50N^2 + 31N^3 + 24N + 15$$

$$3N^2 + N + 21 + 4 * 3^N$$

Ordering:

$$1 < \log_2 N < N < N \log_2 N < N^2 < N^3 < 2^N < 3^N$$

Order of Magnitude Analysis (cont)

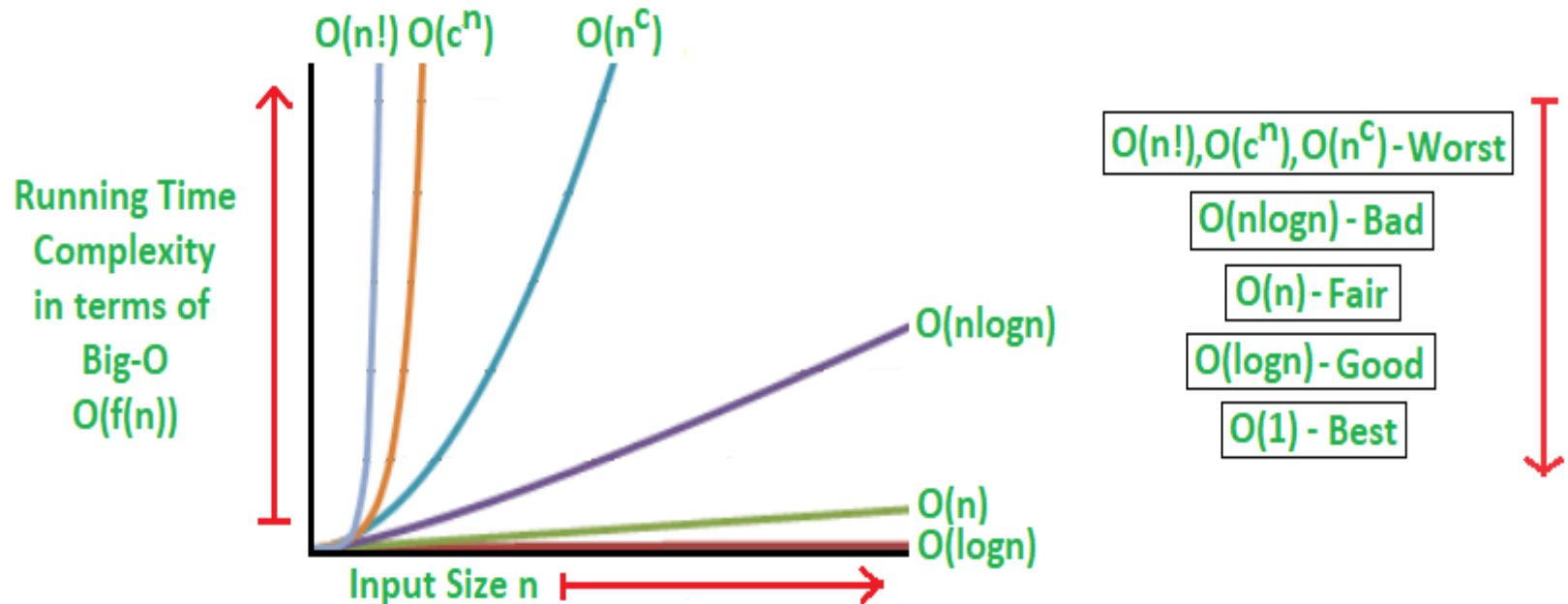
Furthermore, simply ignore any constants in front of term and simply report general class of the term:

$50N^2 + 31N^3 + 24N + 15$ grows proportionally to N^3

$3N^2 + N + 21 + 4 * 3^N$ grows proportionally to 3^N

When comparing algorithms, determine formulas to count operation(s) of interest, then compare dominant terms of formulas.

The growth rate of function as N Grows



Analysis where Results Vary

Types of analyses:

- Best-case: how fastest an algorithm can run for a problem of size N ?
- Average-case: on average how fast does an algorithm run for a problem of size N ?
- Worst-case: how longest an algorithm can run for a problem of size N ?

Motivation for Asymptotic Analysis

- Count operations instead of time
- Focus on how the performance scales:
 - If list is twice as long, how much more time does it take to search it?
- Go beyond input size, for example the data structure used to store the data.

Asymptotic notation

- Big O notation
 - Symbol is O ,
 - Worst case analysis (find upper bound of the function)
- Big Omega notation
 - Symbol is Ω ,
 - Best case analysis (find lower bound of the function)
- Big Theta notation
 - Symbol is Θ ,
 - Average case analysis (find upper bound and lower bound where both are same)

Big-O Notation

- Computer scientists like to categorize algorithms using Big-O notation.
- For sufficiently large N , the value of a function is largely determined by its dominant term.
- Big-O notation is used to capture the most dominant term in function and to represent the growth rate.
- Big-O notation also allows us to establish a relative order among functions by comparing dominant terms
- Thus, if running time of an algorithm is $O(N^2)$, then ignoring constant, it can be guaranteeing that running time bound to quadratic function.

Analyzing Running Time

$T(n)$, or the running time of a particular algorithm on input of size n , is taken to be the number of times the instructions in the algorithm are executed. Pseudo code algorithm illustrates the calculation of the mean (average) of a set of n numbers:

1. $n = \text{read input from user}$
2. $\text{sum} = 0$
3. $i = 0$
4. **while** $i < n$
5. $\text{number} = \text{read input from user}$
6. $\text{sum} = \text{sum} + \text{number}$
7. $i = i + 1$
8. $\text{mean} = \text{sum} / n$

The computing time for this algorithm in terms on input size n is: $T(n) = 4n + 5$.

<u>Statement</u>	<u>Number of times executed</u>
1	1
2	1
3	1
4	$n+1$
5	n
6	n
7	n
8	1

Big O Analysis

- $F(n) = O(g(n))$, means $f(n)$ and $g(n)$ grow in same way as their input grows.
- In practice, Big oh analysis ignore constant and estimate the rate of growth of a function by considering the $g(n)$ a closest upper bound of $f(n)$.
- $10000000 = O(1)$, because the number of steps doesn't change with the input size n .
- Keep only dominant term

Big-O Notation

- Let's consider a function F on N , where N is a measure of the size of the problem we try to solve, e.g., $F(N)$ is $O(C g(N))$ if: So, then we can say $F(N)$ grows no faster than $g(N)$.

Example 1

$$F(N) = 2N + 2$$

$$F(N) \leq c(g(N))$$

$$2N + 2 \leq 2N + 2N$$

$$\leq 4N \quad \text{where } N \geq 1$$

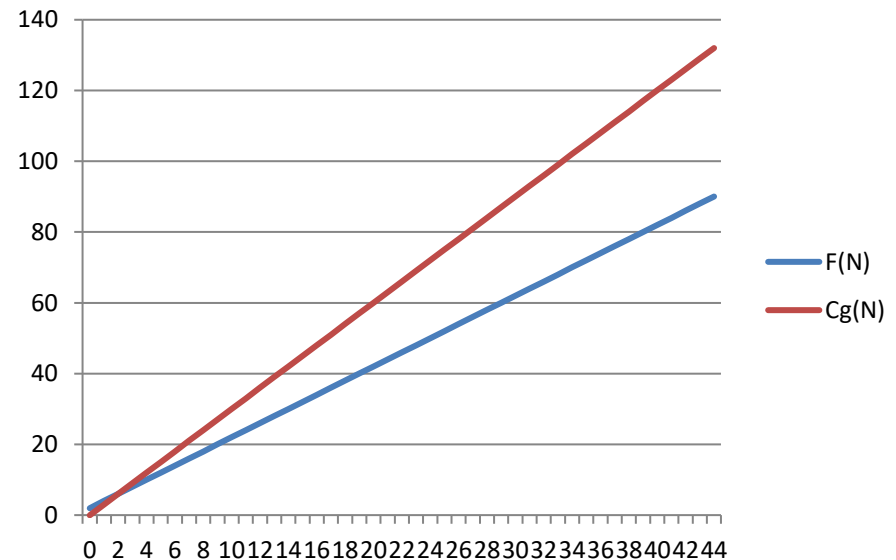
So $c=4$ and $g(N)=N$

We can say,

$c(g(N))$ is upper bound of $F(N)$

Thus, $F(N)$ is classify as $O(N)$

- Although n^2 , n^3 are also upper bound of $F(N)$, however nearest upper bound should be selected.



To keep this equality N start from
 $2N + 2 \leq 4N$
 $2 \leq 4N - 2N$
 $2 \leq 2N \quad \rightarrow N \geq 1$

Big-O Notation

- If you tell a computer scientist that they can choose between two algorithms:
 - one whose time complexity is $O(N)$
 - Another is $O(N^2)$,then the $O(N)$ algorithm will likely be chosen.

Question

$$F(n) = 2n^2 + 3n + 10$$

Find out the Big O of $F(n)$?

Can we say that Big O of above $F(n)$ is $O(n^3)$?

Answer

- $F(n) = 2n^2 + 3n + 10$

Can we say that Big O of $F(n)$ is $O(n^3)$?

- Answer is YES according to Big O definition. Because
 - Big O defines the upper bound of the function and
 - n^3 is upper bound of function $2n^2 + 3n + 10$.

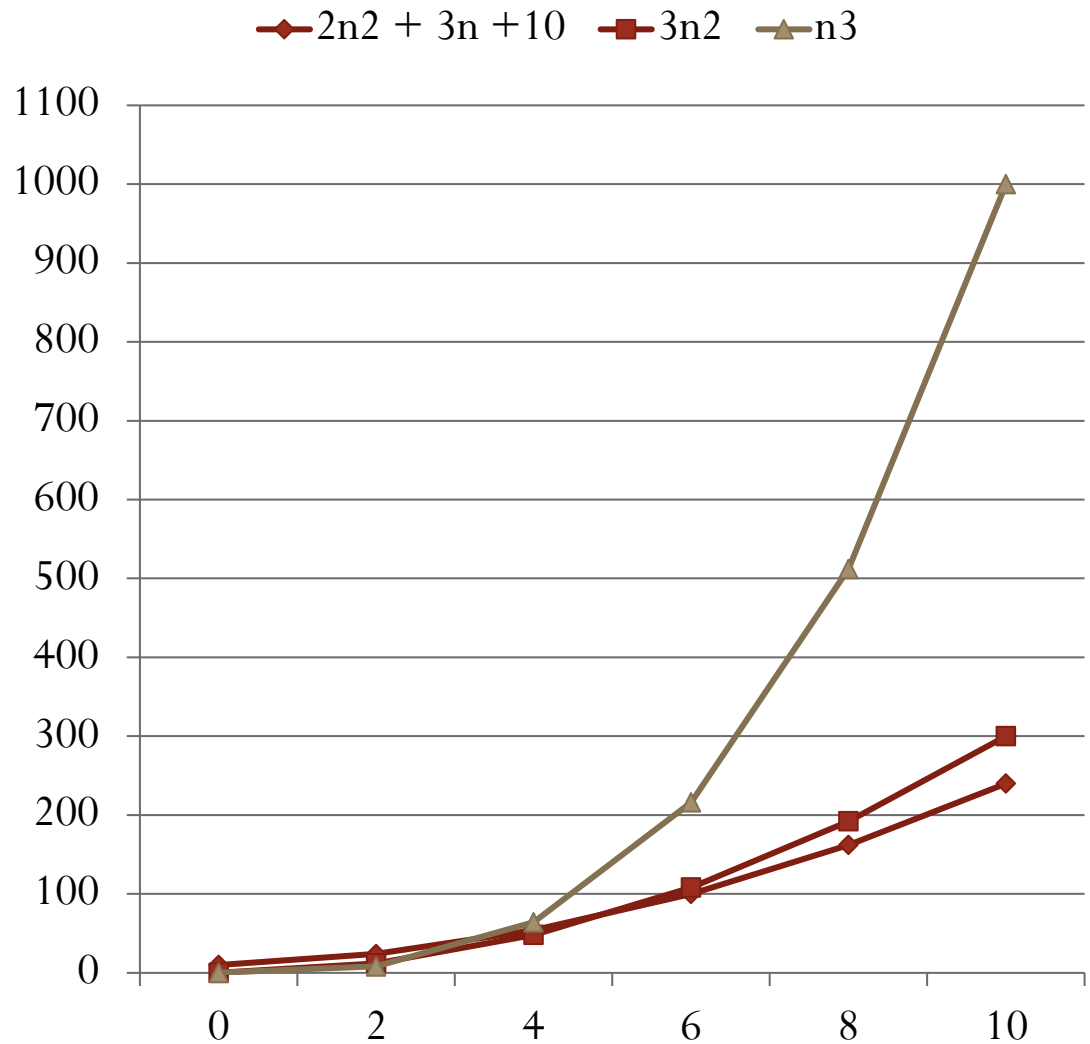
However, for analysis in practice the closest upper bound should be selected. Here for $F(n)$, $3n^2$ is the closest upper bound where $g(n)$ is n^2

Thus $F(n)$ is $O(n^2)$.

Answer cont.

Closest upper bound is $3n^2$

	$2n^2 + 3n + 10$	$3n^2$	n^3
0	10	0	0
2	24	12	8
4	54	48	64
6	100	108	216
8	162	192	512
10	240	300	1000



Question

- Suppose you have two algorithms (Algorithm A and Algorithm B) and that both algorithms are $O(N)$. Does this mean that they both take the same amount of time to run?

Answer:

No, it might not take same amount of time, reasons are:

- Constant factor (Algo A = $2n+8$, Algo B = $100n+7$)
- Hardware
- Worst case depends on data occurrence/arrival (e.g algorithm A has lots of worst cases while Algorithm B might not.)

Example

We will now look at various Java code segments and analyze the time complexity of each:

```
int count = 0;
int sum = 0;
while( count < N )
{
    sum += count;
    System.out.println( sum );
    count++;
}
```

Total number of constant time statements executed...?

Example

```
int count = 0;
int sum = 0;
while( count < N )    n+1
{
    int index = 0;      n
    while( index < N )    n(n+1)
    {
        sum += index * count;    n(n)
        System.out.println( sum ); n(n)
        index++;                n(n)
    }
    count++;                n
}
```

$4n^2 + 4n + 2 = O(n^2)$

Total number of constant time statements executed?

Example

```
int count = 1; // suppose N and M > 1
int sum = 0;
while( count < N )
{
    int index = 0;
    while( index < M )
    {
        sum += index * count;
        System.out.println( sum );
        index++;
    }
    count = count * 2 ;
}
```

Total number of constant time statements executed?

Example

```
int count = 0; // suppose N and M > 1
```

```
int sum = 0;
```

```
while( N > count )
```

```
{
```

```
    int index = 0;
```

```
    while( index < M )
```

```
    {
```

```
        sum += index * count;
```

```
        System.out.println( sum );
```

```
        index++;
```

```
    }
```

```
    N = N / 2 ;
```

```
}
```

Total number of constant time statements executed?

Example

```
int count = 0;
while( count < N )
{
    int index = 0;
    while( index < count + 1 )
    {
        sum++;
        index++;
    }
    count++;
}
```

Find bigOh...?

Example

```
int count = N;  
int sum = 0;  
while( count > 0 )  
{  
    sum += count;  
    count = count / 2;  
}
```

Find bigOh...?

Quiz

- What is the tightest correct upper bound on the running time of a insert operation on an dynamic array currently containing n elements in the worst-case?

- Ans.

If the dynamic array needs to be resized to accommodate the new element, it will take $O(n)$ to create a new array of double size and copy all the current elements into it.

Quiz

- Suppose that we have an algorithm ALGO with running time exactly $10n^2$. How much slower does ALGO get when you double the input size n ?
- twice as slow
- 4 times slower
- 10 times slower
- 40 times slower

Answer:

Initially, the running time is n^2 . On doubling input size, it becomes $(2n)^2 = 4n^2$, i.e. it increases four times.

Quiz

- Suppose that we have different algorithms with time complexity $\log n$, n , n^2 , n^3 . How much slower do each of these algorithms get when you double the input size n ?
- Suppose for $n=100$ and double size is 200.

Time Complexity	$n=100$ (data size)	$2n$ (double data size)	slower
$\log n$	6	7	Approx. same
n	100	200	2-time slower
$n \log n$	600	1400	More than 2-time slower
n^2	10,000	40000	4-time slower
n^3	10,00,000	80,00,000	8-time slower

Quiz

- What is the result of running `reduce(vals)` when `vals` refers to an integer array with values `[1,2,5,3]`?

```
public static void reduce (int[] vals) {  
    int minIndex =0;  
    for (int i=0; i < vals.length; i++) {  
        if (vals[i] < vals[minIndex] ) {  
            minIndex = i;  
        }  
    }  
    int minVal = vals[minIndex];  
    for (int i=0; i < vals.length; i++) {  
        vals[i] = vals[i] - minVal;  
    }  
}
```

Result is `[0,1,4,2]`
 $O(n)$

Reference

- <https://introprogramming.info/english-intro-csharp-book/read-online/chapter-19-data-structures-and-algorithm-complexity/>
- <https://www.geeksforgeeks.org/understanding-time-complexity-simple-examples/>