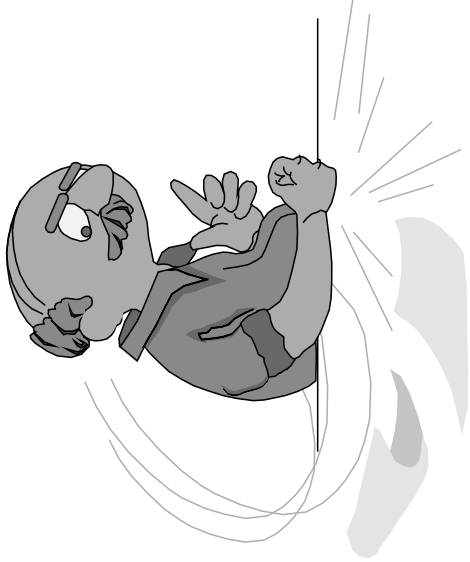
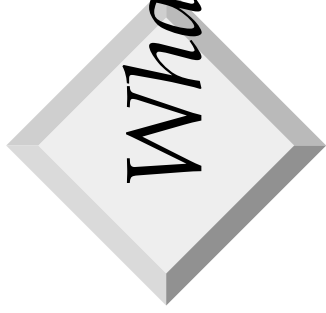


# *Introduction to Database Systems*

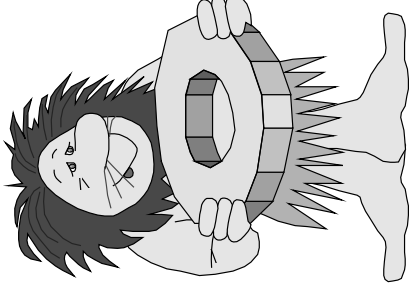


## *Chapter 1*

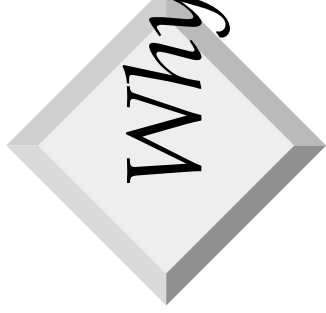
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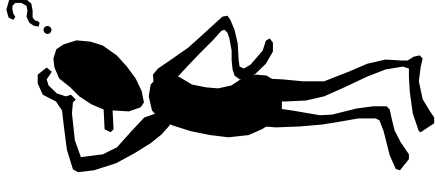
# What Is a DBMS?



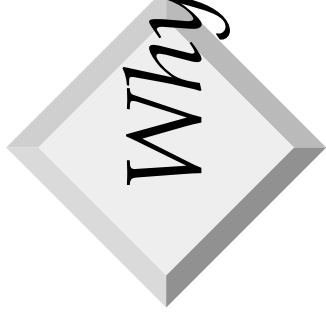
- ❖ A very large, integrated collection of data.
- ❖ Models real-world enterprise.
  - Entities (e.g., students, courses)
  - Relationships (e.g., Madonna is taking CS564)
- ❖ A Database Management System (DBMS) is a software package designed to store and manage databases.



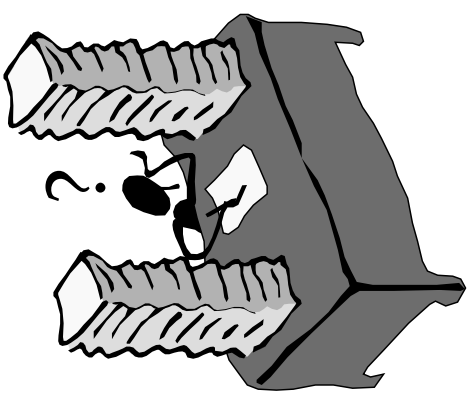
## *Why Use a DBMS?*



- ❖ Data independence and efficient access.
- ❖ Reduced application development time.
- ❖ Data integrity and security.
- ❖ Uniform data administration.
- ❖ Concurrent access, recovery from crashes.



# Why Study Databases??



- ❖ Shift from computation to information
  - at the “low end”: scramble to webspace (a mess!)
  - at the “high end”: scientific applications
- ❖ Datasets increasing in diversity and volume.
  - Digital libraries, interactive video, Human Genome project, EOS project
  - ... need for DBMS exploding
- ❖ DBMS encompasses most of CS
  - OS, languages, theory, “A”I, multimedia, logic

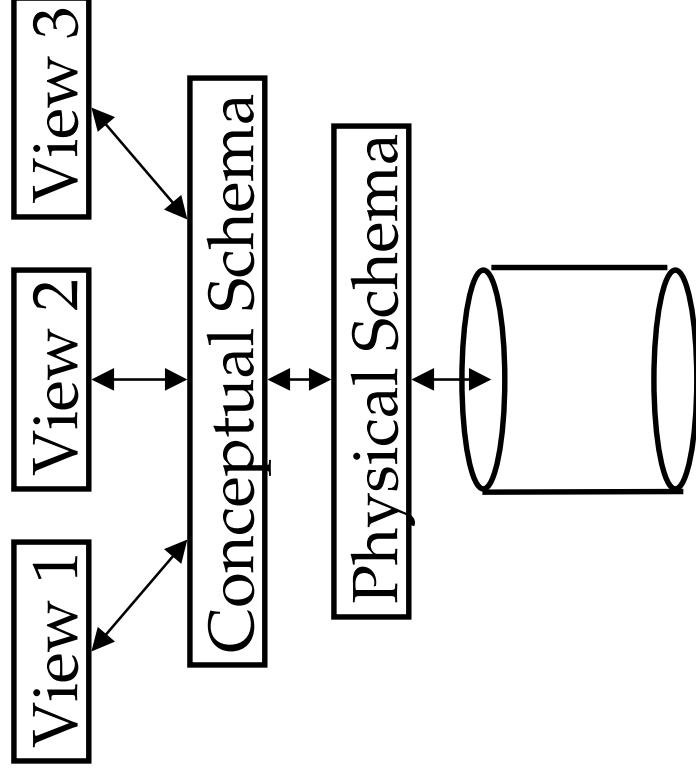


# *Data Models*

- ❖ A *data model* is a collection of concepts for describing data.
- ❖ A *schema* is a description of a particular collection of data, using the a given data model.
- ❖ The *relational model of data* is the most widely used model today.
  - Main concept: *relation*, basically a table with rows and columns.
  - Every relation has a *schema*, which describes the columns, or fields.

# Levels of Abstraction

- ❖ Many views, single conceptual (logical) schema and physical schema.
  - Views describe how users see the data.
  - Conceptual schema defines logical structure
  - Physical schema describes the files and indexes used.



☛ *Schemas are defined using DDL; data is modified/queried using DML.*

# Example: University Database

## ❖ Conceptual schema:

- *Students*(*sid*: string, *name*: string, *login*: string, *age*: integer, *gpa*: real)
- *Courses*(*cid*: string, *cname*: string, *credits*: integer)
- *Enrolled*(*sid*: string, *cid*: string, *grade*: string)

## ❖ Physical schema:

- Relations stored as unordered files.
- Index on first column of Students.

## ❖ External Schema (View):

- *Course\_info*(*cid*: string, *enrollment*: integer)

# Data Independence

- ❖ Applications insulated from how data is structured and stored.
- ❖ Logical data independence: Protection from changes in *logical* structure of data.
- ❖ Physical data independence: Protection from changes in *physical* structure of data.

☞ *One of the most important benefits of using a DBMS!*





# *Concurrency Control*

- ❖ Concurrent execution of user programs is essential for good DBMS performance.
  - Because disk accesses are frequent, and relatively slow, it is important to keep the cpu humming by working on several user programs concurrently.
- ❖ Interleaving actions of different user programs can lead to inconsistency: e.g., check is cleared while account balance is being computed.
- ❖ DBMS ensures such problems don't arise: users can pretend they are using a single-user system.

# Transaction: An Execution of a DB Program

- ❖ Key concept is transaction, which is an *atomic* sequence of database actions (reads/writes).
- ❖ Each transaction, executed completely, must leave the DB in a consistent state if DB is consistent when the transaction begins.
  - Users can specify some simple integrity constraints on the data, and the DBMS will enforce these constraints.
  - Beyond this, the DBMS does not really understand the semantics of the data. (e.g., it does not understand how the interest on a bank account is computed).
  - Thus, ensuring that a transaction (run alone) preserves consistency is ultimately the user's responsibility!

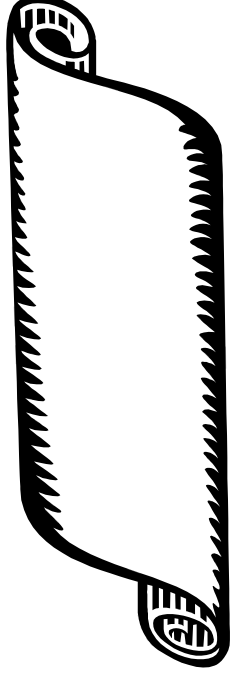
# Scheduling Concurrent Transactions

- ❖ DBMS ensures that execution of  $\{T_1, \dots, T_n\}$  is equivalent to some serial execution  $T_1' \dots T_n'$ .
  - Before reading/writing an object, a transaction requests a lock on the object, and waits till the DBMS gives it the lock. All locks are released at the end of the transaction. (Strict 2PL locking protocol.)
  - Idea: If an action of  $T_i$  (say, writing  $X$ ) affects  $T_j$  (which perhaps reads  $X$ ), one of them, say  $T_i$ , will obtain the lock on  $X$  first and  $T_j$  is forced to wait until  $T_i$  completes; this effectively orders the transactions.
  - What if  $T_j$  already has a lock on  $Y$  and  $T_i$  later requests a lock on  $Y$ ? (Deadlock!)  $T_i$  or  $T_j$  is aborted and restarted!

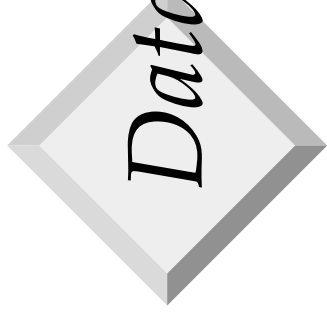
# Ensuring Atomicity

- ❖ DBMS ensures *atomicity* (all-or-nothing property) even if system crashes in the middle of a Xact.
- ❖ Idea: Keep a log (history) of all actions carried out by the DBMS while executing a set of Xacts:
  - Before a change is made to the database, the corresponding log entry is forced to a safe location. (WAL protocol; OS support for this is often inadequate.)
  - After a crash, the effects of partially executed transactions are undone using the log. (Thanks to WAL, if log entry wasn't saved before the crash, corresponding change was not applied to database!)

# The Log



- ❖ The following actions are recorded in the log:
  - *Ti writes an object*: the old value and the new value.
    - ♦ Log record must go to disk before the changed page!
  - *Ti commits/aborts*: a log record indicating this action.
- ❖ Log records chained together by Xact id, so it's easy to undo a specific Xact (e.g., to resolve a deadlock).
- ❖ Log is often *duplexed* and *archived* on “stable” storage.
- ❖ All log related activities (and in fact, all CC related activities such as lock/unlock, dealing with deadlocks etc.) are handled transparently by the DBMS.

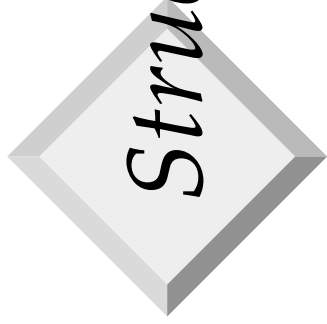


# *Databases make these folks happy ...*

- ❖ End users and DBMS vendors
- ❖ DB application programmers
  - E.g. smart webmasters
- ❖ Database administrator (DBA)
  - Designs logical / physical schemas
  - Handles security and authorization
  - Data availability, crash recovery
  - Database tuning as needs evolve

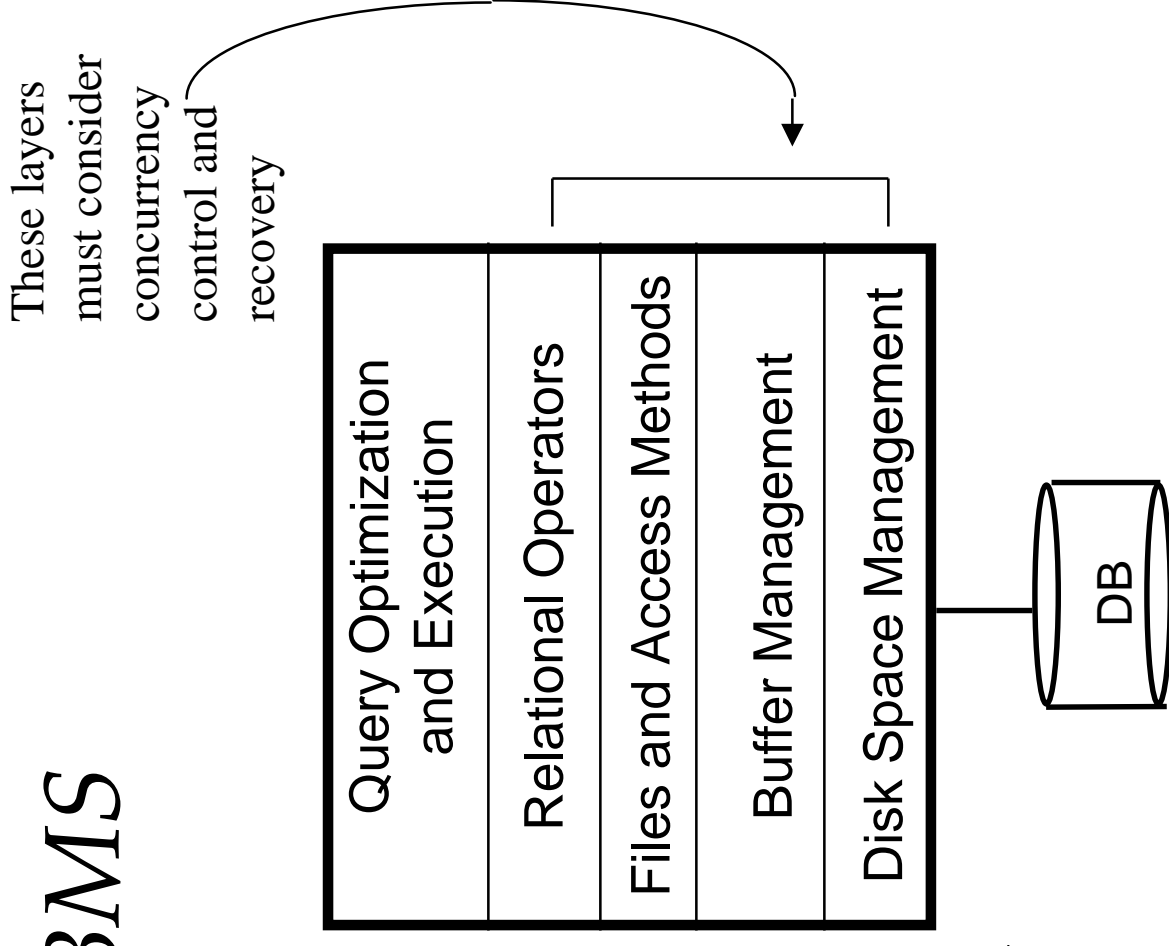
*Must understand how a DBMS works!*





# Structure of a DBMS

- ❖ A typical DBMS has a layered architecture.
- ❖ The figure does not show the concurrency control and recovery components.
- ❖ This is one of several possible architectures; each system has its own variations.



# Summary

- ❖ DBMS used to maintain, query large datasets.
- ❖ Benefits include recovery from system crashes, concurrent access, quick application development, data integrity and security.
- ❖ Levels of abstraction give data independence.
- ❖ A DBMS typically has a layered architecture.
- ❖ DBAs hold responsible jobs and are well-paid!
- ❖ DBMS R&D is one of the broadest, most exciting areas in CS.

