

; Mechanically Proving Approximation Bounds on Lattice-Linear Parallel
; Algorithms
;
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; 1. Introduction
;
; Lattice-Linear Predicates (LLPs) describe a class of predicates
; defined over lattices of vectors for which the problem of finding a
; minimal satisfying vector has a simple parallel/distributed algorithm.
; LLPs have been shown to be effective at parallelizing a variety of
; well-known optimization problems improving upon existing solutions. Garg's
; algorithm for detecting LLPs runs in time proportional to the height of
; the lattice, offering a large cut-down on the solution space. For
; lattices with very large height, however, it is simply infeasible to
; parallelize any solution. Many such problems are NP-hard, and thus if P !=
; NP, then there does not exist any algorithm for them in NC.
;
;
; To combat the hardness of such problems, a common remedy is to
; resort to approximations. While LLPs describe optimization problems,
; it is hard to encode approximation algorithms as LLPs because of
; their optimality. In this project, we discover a method for
; exploiting the lattice via abstraction to implicitly achieve
; approximations with LLPs. Furthermore, we represent this method in ACL2 to
; mechanically construct robust proofs of correctness.
;
; 2. Background
;
; A lattice is a partially-ordered set where each pair of elements has a
; well-defined least upper bound (join) and greatest lower bound (meet).
; The lattice is said to be distributive if join distributes over meet
; (equivalent to meet distributes over join).
;
; A Galois connection between two lattices A and B is a pair of functions
; alpha : A -> B and gamma : B -> A such that gamma(alpha(a)) >= a and
; alpha(gamma(b)) <= b. A Galois connection is called a Galois insertion
; (GI) if alpha(gamma(b)) = b. That is, B is exactly representable in A. We
; will assume only GIs throughout this project since it is well-known that a
; Galois connection may be reduced to a GI. It is also known that alpha
; uniquely determines gamma, and vice versa. Finally, it is known that for
; order-preserving f : A -> A (alpha o f o gamma) <= g pointwise for all g :
; B -> B such that alpha o f <= g o alpha. These properties allow us to
; simplify the project, and we do not verify them in ACL2.
;
; d is a quasi-metric on A if for all x,y,z in A, d(x,y) = 0 iff x = y,
; d(x,y) > 0 iff x > y, and d(x,y) + d(y,z) >= d(x,z). For the purposes of
; this problem, a specific measure and metric is important, but that is
; unimportant for this project.
;

; Let L be a distributive lattice of n-dimensional vectors and B be a
; predicate over L. Then, we define forbidden(G,i,B) := forall H in L such
; that G <= H, G[i] = H[i] ==> not B(H). This states that component i is
; forbidden in vector G in L if, no matter how G is progressed, fixing i
; keeps the predicate false. We then say that B is lattice-linear if for any
; G that makes B false, G has a forbidden component. For the purposes of
; minimality, we also need to know how much to progress a forbidden
; component so as to make it no longer forbidden while not jumping over the
; optimal solution. Let delta(G,i,B) be the greatest value such that for all
; H in L such that H >= G, H[i] < delta(G,i,B) ==> not B(H) whenever
; forbidden(G,i,B). That is, it is the least value we need to bring
; component i up to in order to make it no longer forbidden. Advancing a
; vector G with a forbidden component i is done by setting the ith component
; to delta(G,i,B).

; Distributivity in the lattice is only necessary for certain performance
; improvements, so for the purposes of this project we do not worry
; ourselves with it. We later note the potential for future work with
; distributive lattices. For the purposes of this paper, and as is typically
; and classically presented in LLPs, we will consider vectors ordered
; component-wise throughout this project and report.

; 3. A Method for Approximating LLP Problems

; Suppose we have an LLP B over a lattice of n-dimensional vectors L with a
; quasi-metric d defined over it. Let L' be a lattice of m-dimensional
; vectors with (alpha, gamma) a GI between L and L'. We say that f : L -> L,
; f' : L' -> L' are epsilon-complete (e.c.) w.r.t the GI if d(f(G), (gamma
; o f' o alpha)(G)) <= epsilon for all G in L.

; The basic idea is as follows: with L' being a lattice with smaller height
; than L, progress through the smaller lattice, checking whether we have
; stepped over a feasible solution in L (by simply checking B(f(G)) where G
; is the last position we checked). If f(G) is feasible, then it must be
; optimal (proven in ACL2, described later), so d(f(G), (gamma o f' o
; alpha)(G)) = d(OPT, (gamma o f' o alpha)(G)) <= epsilon where OPT is the
; optimal solution in L. We can abuse the GI property to make this more
; efficient (also proven in ACL2) since repeated application of (gamma o f'
; o alpha) gives (gamma o f' o alpha o gamma o f' o alpha) = (gamma o f' o
; alpha). Many applications of this will cancel out many instances of (alpha
; o gamma) by the GI property, thereby saving many unnecessary computations.

; Counter-intuitively, the epsilon distance does not accumulate because we
; "fix" a comparison point in L at each step. This is the crux and novelty
; of the project, proven in ACL2.

; 4. ACL2 Representation and Results

; In this section, we will use "components" and "states" interchangeably.

; We represent LLPs abstractly so as to apply these proofs to any concrete
 ; or realized instance by utilizing the "encapsulate" primitive from ACL2.
 ; This approach provides the definitional properties of LLPs without
 ; exposing the definition of the abstract functions and predicates. The
 ; encapsulate includes properties for the necessary theory / realizable
 ; functions and constants.
 ;
 ; In LLPs, lattices are typically over vectors of reals ordered
 ; component-wise, however for the sake of simplicity in this project, we
 ; consider vectors of naturals ordered similarly. This comes in the form of
 ; the definitions vcp and lattice-leq, both of which have a small number of
 ; associated theorems.
 ;
 ; From these definitions and the basic properties provided by encapsulate,
 ; we prove a number of important lemmas. The most important theorems proven
 ; are:
 ; 1. bounded-by-opt:
 ; This proves that advancing a vector below OPT keeps it
 ; below OPT.
 ; 2. gi-makes-stepping-efficient:
 ; This proves that GI's enable an optimization on
 ; the approximation algorithm.
 ; 3. constant-approx-dist:
 ; This proves that the algorithm gives a constant approximation
 ; factor (namely, epsilon) GIVEN that it only takes a finite
 ; number of steps to reach OPT in the standard LLP algorithm.
 ; 4. lattice-leq-component & advance-is-higher-lattice:
 ; These prove that advancing a vector with the aforementioned
 ; advance rule moves it strictly up the lattice. This directly
 ; implies (with bounded-by-opt) that it only takes a finite
 ; number of steps to reach OPT in the standard LLP algorithm
 ; (thus supporting constant-approx-dist).
 ;
 ; 5. Conclusion
 ;
 ; In this project, presented a novel technique for representing
 ; approximation algorithms with LLPs, modeled this technique in ACL2, and
 ; utilized the ACL2 theorem prover to prove an approximation bound on this
 ; technique. Using an automated theorem prover like ACL2 not only gave strong
 ; assurance of the technique's validity, but also offered valuable insights
 ; into automated deduction and the technique's underlying recursive
 ; structure. This project can be further extended to verify very important
 ; LLP optimizations utilizing lattice distributivity and Birkhoff's theorem.
 ; It would also be a good step to apply these ACL2 theorems to verify an
 ; application of this technique.

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(defun indexp (x y) (and (natp x) (natp y) (>= x 1) (<= x y)))
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(defun vcp (G n)
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(if (zp n)
  (null G)
  (and (consp G)
    (natp (car G))
    (<= 0 (car G))
    (vecp (cdr G) (- n 1)))))

(defthm vecp-length-strict
  (implies (and (natp n) (vecp G n))
            (equal (len G) n)))

(defthm vecp-is-true-listp
  (implies (and (natp n) (vecp x n))
            (true-listp x)))

(defun ith (G i)
  (if (or (atom G) (<= i 1))
      (car G)
      (ith (cdr G) (- i 1)))))

(defthm vecp-nat-and-nonneg
  (implies (and (natp n) (>= n 1) (vecp G n) (indexp i n))
            (natp (ith G i)))
  :rule-classes ((:type-prescription)))

(defun set-ith (G i v)
  (if (atom G)
      nil
      (if (equal i 1)
          (cons v (cdr G))
          (cons (car G)
                (set-ith (cdr G) (- i 1) v))))))

(defthm set-ith-sets
  (implies (and (natp n) (indexp i n) (vecp G n))
            (equal (ith (set-ith G i v) i) v)))

(defthm set-ith-conserves
  (implies (and (natp n) (indexp i n) (indexp j n)
                (not (equal i j)) (vecp G n))
            (equal (ith (set-ith G i v) j) (ith G j)))))

(defthm set-ith-type-preservation
  (implies (and (natp n) (indexp i n) (vecp G n) (natp v) (>= v 0))
            (vecp (set-ith G i v) n)))

(defun lattice-leq (x y)
  (if (consp x)
      (and (<= (car x) (car y)) (lattice-leq (cdr x) (cdr y)))
      T))

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(defthm lattice-leq-recurs-car
  (implies (and (natp n) (>= n 1) (vecp x n) (vecp y n) (lattice-leq x y))
            (<= (car x) (car y)))))

(defthm lattice-leq-recurs-cdr
  (implies (and (natp n) (>= n 1) (vecp x n) (vecp y n) (lattice-leq x y))
            (lattice-leq (cdr x) (cdr y)))))

(defthm lattice-leq-reflexive
  (implies (and (natp n) (vecp x n) (vecp y n))
            (lattice-leq x x)))

(defthm lattice-leq-antisymmetric
  (implies (and (natp n) (>= n 1) (vecp x n) (vecp y n)
                (lattice-leq x y) (not (equal x y)))
            (not (lattice-leq y x)))
  :hints (("Goal" :use (vecp-is-true-listp)))))

(defthm lattice-leq-transitive
  (implies (and (natp n) (vecp x n) (vecp y n)
                (lattice-leq x y) (lattice-leq y z))
            (lattice-leq x z)))

(encapsulate
  (
    ((forbidden * *) => *)
    ((feasible * ) => *)
    ((feasible-abs * ) => *)
    ((alpha * ) => *)
    ((gamma * ) => *)
    ((advance * *) => *)
    ((advance-abs * ) => *)
    ((dist * *) => *)
    ((ep) => *)
    ((delta * *) => *)
    ((get-forbidden-i * *) => *)
    ((get-forbidden *) => *)
    ((opt *) => *)
    ((stepmin) => *)
  )
  (local (defun feasible (x) (if x t t)))
  (local (defun feasible-abs (x) (if x t t)))
  (local (defun alpha (x) x))
  (local (defun gamma (x) x))
  (local (defun forbidden (G i) (if (and i G) nil nil)))
  (local (defun advance (G i) (if i G G)))
  (local (defun advance-abs (G) G))
  (local (defun dist (x y) (if (and x y) 0 0))))

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(local (defun ep () 1))
(local (defun delta (G i) (+ 1 (ith G i))))
(local (defun get-forbidden-i (G i)
  (if (or (zp i) (atom G))
      nil
      (if (forbidden G i)
          i
          (get-forbidden-i G (- i 1))))))
(local (defun get-forbidden (G) (get-forbidden-i G (len G))))
(local (defun opt (n) (if (zp n) nil (cons 0 (opt (- n 1))))))
(local (defun stepmin () 1))

(defthm alpha-is-vecp
  (implies (and (natp n) (>= n 1) (vecp G n))
            (vecp (alpha G) n)))

(defthm gamma-is-vecp
  (implies (and (natp n) (>= n 1) (vecp G n))
            (vecp (gamma G) n)))

(defthm advance-abs-is-vecp
  (implies (and (natp n) (>= n 1) (vecp G n))
            (vecp (advance-abs G) n)))

(defthm opt-is-vecp (implies (natp n) (vecp (opt n) n)))

(defthm stepmin-is-natp (natp (stepmin)))

(defthm ep-is-natp (natp (ep)))

(defthm get-forbidden-sem-zero
  (implies (or (zp i) (atom G))
            (equal (get-forbidden G) nil)))

(defthm get-forbidden-sem-recurs
  (implies (and (natp n) (> n 0) (vecp G n) (get-forbidden G))
            (equal (get-forbidden G) (+ 1 (get-forbidden (cdr G))))))

(defthm get-forbidden-i-is-indexp
  (implies (and (natp n) (>= n 1) (vecp G n) (indexp i n)
                (get-forbidden-i G i))
            (indexp (get-forbidden-i G i) n)))

(defthm get-forbidden-i-is-forbidden
  (implies (and (natp n) (>= n 1) (vecp G n) (indexp i n)
                (get-forbidden-i G i))
            (forbidden G (get-forbidden-i G i)))))

(defthm get-forbidden-is-indexp
  (implies (and (natp n) (>= n 1) (vecp G n) (get-forbidden G))
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(indexp (get-forbidden G) n)))

(defthm get-forbidden-is-forbidden
  (implies (and (natp n) (>= n 1) (vecp G n) (get-forbidden G))
            (forbidden G (get-forbidden G)))))

(defthm get-forbidden-gives-nil
  (implies (and (natp n) (>= n 1) (vecp G n)
                (not (get-forbidden G)) (indexp i n))
            (not (forbidden G i)))))

(defthm get-forbidden-nil-is-feasible
  (implies (and (natp n) (>= n 1) (vecp G n) (not (get-forbidden G)))
            (feasible G)))))

(defthm advance-goes-up
  (implies (and (natp n) (>= n 1) (indexp i n) (vecp G n))
            (lattice-leq G (advance G i)))))

(defthm advance-makes-unforbidden
  (implies (and (natp n) (>= n 1) (indexp i n) (vecp G n)
                (forbidden G i))
            (not (forbidden (advance G i) i)))))

(defthm advance-progresses-by-delta
  (implies (and (natp n) (>= n 1) (vecp G n) (indexp i n)
                (forbidden G i))
            (equal (advance G i) (set-ith G i (delta G i))))))

(defthm ag-galois-connection
  (implies (and (natp n) (>= n 1) (vecp G n) (vecp H n))
            (lattice-leq G (gamma (alpha G))))))

(defthm ag-galois-insertion
  (implies (and (natp n) (>= n 1) (vecp G n))
            (equal (alpha (gamma G)) G)))))

(defthm llp-abs-soundness
  (implies (and (natp n) (vecp G n) (feasible-abs G))
            (feasible (gamma G)))))

(defthm forbidden-def
  (implies (and (natp n) (>= n 1) (indexp i n) (vecp G n) (vecp H n)
                (forbidden G i) (lattice-leq G H)
                (equal (ith G i) (ith H i)))
            (not (feasible H)))))

(defthm llp-def
  (implies (and (natp n) (>= n 1) (indexp i n) (vecp G n) (feasible G))
            (not (forbidden G i)))))


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(defthm ep-completeness
  (implies (and (natp n) (>= n 1) (indexp i n) (vecp G n)
                (forbidden G i))
            (<= (dist (advance G i)
                      (gamma (advance-abs (alpha G))))
                (ep)))))

(defthm dist-triangle-inequality
  (implies (and (natp n) (>= n 1) (vecp G n) (vecp H n) (vecp A n))
            (<= (dist G A) (+ (dist G H) (dist H A)))))

(defthm dist-zp-is-eq
  (implies (and (natp n) (>= n 1) (vecp G n))
            (equal (dist G G) 0)))

(defthm delta-forbidden
  (implies (and (natp n) (>= n 1) (indexp i n) (vecp G n) (vecp H n)
                (forbidden G i) (lattice-leq G H)
                (< (ith H i) (delta G i)))
            (not (feasible H)))))

(defthm no-forbidden-is-feasible
  (implies (and (natp n) (>= n 1) (vecp G n) (not (get-forbidden G)))
            (feasible G)))

(defthm opt-is-feasible
  (implies (and (natp n) (>= n 1))
            (feasible (opt n)))))

(defthm opt-is-optimal
  (implies (and (natp n) (>= n 1) (vecp G n) (lattice-leq G (opt n))
                (not (equal G (opt n))))
            (not (feasible G)))))

(defthm opt-is-optimal-contrapositive
  (implies (and (natp n) (>= n 1) (vecp G n) (feasible G))
            (lattice-leq (opt n) G)))

(defthm delta-type
  (implies (and (natp n) (>= n 1) (vecp G n) (indexp i n)
                (natp (delta G i)))
            :hints (("Goal" :use (vecp-nat-and-nonneg)))))

(defthm delta-bound
  (implies (and (natp n) (>= n 1) (vecp G n) (indexp i n)
                (forbidden G i))
            (>= (- (delta G i) (ith G i)) (stepmin)))))

(defthm increase-stepmin

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(implies (and (natp n) (>= n 1) (vecp G n) (indexp i n)
              (forbidden G i))
         (>= (delta G i) (+ (ith G i) (stepmin)))))

(defthm delta-is-higher
  (implies (and (natp n) (>= n 1) (vecp G n) (indexp i n)
                (forbidden G i))
            (> (delta G i) (ith G i)))))

(defthm stepmin-pos (> (stepmin) 0))
)

(defthm delta-is-bounded
  (implies (and (natp n) (>= n 1) (indexp i n) (forbidden G i) (vecp G n)
                (vecp H n) (feasible H) (lattice-leq G H))
            (<= (delta G i) (ith H i)))
  :hints (("Goal" :use (delta-forbidden)))))

(defthm monotone-update
  (implies (and (natp n) (>= n 1) (indexp i n) (vecp G n) (vecp H n)
                (lattice-leq G H) (<= v (ith H i)))
            (lattice-leq (set-ith G i v) H)))

(defthm detect-llp-step-stays-below
  (implies (and (natp n) (>= n 1) (vecp G n) (vecp M n) (indexp i n)
                (forbidden G i) (lattice-leq G M) (feasible M))
            (lattice-leq (advance G i) M))
  :hints (("Goal" :use (advance-progresses-by-delta
                        delta-is-bounded
                        monotone-update)))))

(defthm advance-is-higher
  (implies (and (natp n) (>= n 1) (vecp G n) (indexp i n) (forbidden G i))
            (> (ith (advance G i) i) (ith G i)))))

(defthm this-is-so-simple
  (implies (and (natp n) (>= n 1) (vecp G n) (vecp H n)
                (> (ith H i) (ith G i)))
            (not (equal H G)))))

(defthm this-is-even-simpler
  (implies (and (natp n) (>= n 1) (vecp G n) (indexp i n)
                (forbidden G i)) (>= (delta G i) 0))
  :hints (("Goal" :use delta-type)))))

(defthm advance-is-vecp
  (implies (and (natp n) (>= n 1) (vecp G n) (indexp i n) (forbidden G i))
            (vecp (advance G i) n))
  :hints (("Goal" :use (advance-progresses-by-delta
                        delta-type this-is-even-simpler
                        this-is-so-simple))))
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        set-ith-type-preservation)))))

(defthm advance-is-higher-lattice
  (implies (and (natp n) (>= n 1) (vecp G n) (indexp i n) (forbidden G i))
            (not (equal (advance G i) G)))
  :hints ((("Goal" :use (advance-is-higher
                           advance-is-vecp
                           (:instance this-is-so-simple
                                       (H (advance G i)))))))))

(defthm advance-conserves-other
  (implies (and (natp n) (>= n 1) (vecp G n)
                (indexp i n) (indexp j n)
                (forbidden G i) (not (equal i j)))
            (equal (ith (advance G i) j) (ith G j)))
  :hints ((("Goal" :use (advance-progresses-by-delta set-ith-conserves))))))

(defthm equal-implies-
  (implies (equal x y)
            (&lt;= x y))
  :rule-classes (:rewrite))

(defthm &lt;-implies-<?
  (implies (&lt; x y)
            (&lt;= x y))
  :rule-classes (:rewrite))

(defthm lattice-leq-component
  (implies (and (natp n) (= n 1) (vecp G n) (indexp i n) (indexp j n)
                (forbidden G i))
            (<= (ith G j) (ith (advance G i) j)))
  :hints ((("Goal"
            :cases ((equal i j)
                    (not (equal i j)))
            :use ( advance-progresses-by-delta
                   set-ith-sets
                   advance-conserves-other
                   advance-is-higher )))))

(defthm no-forbidden-below-opt-is-opt
  (implies (and (natp n) (>= n 1) (vecp G n) (not (get-forbidden G))
                (lattice-leq G (opt n)))
            (equal (opt n) G)))

(defthm advance-keeps-other-states-fixed
  (implies (and (natp n) (>= n 1) (vecp G n) (indexp j n) (indexp i n)
                (not (equal i j)) (forbidden G i))
            (equal (ith (advance G i) j) (ith G j)))
  :hints ((("Goal" :use (advance-progresses-by-delta set-ith-conserves))))))

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(defthm forbidden-is-infeasible
  (implies (and (natp n) (>= n 1) (vecp G n) (indexp i n) (forbidden G i))
            (not (feasible G)))))

(defthm bounded-by-opt
  (implies (and (natp n) (>= n 1) (vecp G n) (indexp i n) (forbidden G i)
                (lattice-leq G (opt n)))
            (lattice-leq (advance G i) (opt n)))
  :hints ((("Goal" :use (opt-is-feasible opt-is-vecp
                                         (:instance detect-llp-step-stays-below
                                         (M (opt n))))
           :in-theory (disable advance-progresses-by-delta)))))

(defthm apply-gi
  (implies (and (natp n) (>= n 1) (vecp G n))
            (equal (alpha (gamma (advance-abs (alpha G)))) 
                   (advance-abs (alpha G)))))
  :hints ((("Goal" :use ((:instance ag-galois-insertion
                                         (G (advance-abs (alpha G))))))))))

(defun abstract-step (G k)
  (if (zp k)
      G
      (abstract-step (advance-abs G) (- k 1)))))

(defun transit-step (G k)
  (if (zp k)
      G
      (transit-step (gamma (advance-abs (alpha G))) (- k 1)))))

(defthm gi-makes-stepping-efficient
  (implies (and (natp n) (>= n 1) (natp k) (>= k 1) (vecp G n))
            (equal (gamma (abstract-step (alpha G) k)) (transit-step G k)))
  :hints ((("Goal" :use ((:instance ag-galois-insertion
                                         (G (advance-abs (alpha G))))))))))

(defthm step-to-opt
  (implies (and (natp n) (>= n 1) (vecp G n) (indexp i n) (forbidden G i)
                (lattice-leq G (opt n))
                (lattice-leq (opt n) (advance G i)))
            (equal (opt n) (advance G i)))))

(defthm constant-approx-dist
  (implies (and (natp n) (>= n 1) (vecp G n) (indexp i n) (forbidden G i)
                (lattice-leq G (opt n))
                (lattice-leq (opt n) (advance G i)))
            (<= (dist (opt n) (gamma (advance-abs (alpha G)))) 
                  (ep)))
  :hints ((("Goal" :use (step-to-opt ep-completeness))))))

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