

# Reinforcement Learning in Strategy-Based and Atari Games: A Review of Google DeepMind's Innovations

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## **Abstract—Abstract**

**Index Terms—Deep Reinforcement Learning, Google DeepMind, AlphaGo, AlphaGo Zero, MuZero, Atari Games, Go, Chess, Shogi,**

## **I. INTRODUCTION**

Artificial Intelligence (AI) has revolutionized the gaming industry, both as a tool for creating intelligent in-game opponents and as a testing environment for advancing AI research. Games serve as an ideal environment for training and evaluating AI systems because they provide well-defined rules, measurable objectives, and diverse challenges. From simple puzzles to complex strategy games, AI research in gaming has pushed the boundaries of machine learning and reinforcement learning. Also The benefits from such employment helped game developers to realize the power of AI methods to analyze large volumes of player data and optimize game designs. [1] Atari games, in particular, with their retro visuals and straightforward mechanics, offer a challenging yet accessible benchmark for testing AI algorithms. The simplicity of Atari games hides complexity that they require strategies that involve planning, adaptability, and fast decision-making, making them

also a good testing environment for evaluating AI's ability to learn and generalize.

The development of AI in games has been a long journey, starting with rule-based systems and evolving into more sophisticated machine learning models. However, the machine learning models had a few challenges, from these challenges is that the games employing AI involves decision making in the game environment. Machine learning models are unable to interact with the decisions that the user make because it depends on learning from datasets and have no interaction with the environment. To overcome such problem, game developers started to employ reinforcement learning (RL) in developing games. Years later, deep learning (DL) was developed and shows remarkable results in video games [2]. The combination between both reinforcement learning and deep learning resulted in Deep Reinforcement Learning (DRL). The first employment of DRL was by game developers in atari game [3]. One of the famous companies that employed DRL in developing AI models is Google DeepMind. This company is known for developing AI models, including games. Google DeepMind passed through a long journey in developing AI models for games. Prior to the first DRL game model they

develop, which is AlphaGo, Google DeepMind gave a lot of contributions in developing DRL, by which these contributions were first employed in Atari games.

For the employment of DRL in games to be efficient, solving tasks in games need to be sequential, so Google DeepMind combined RL-like techniques with neural networks to create models capable of learning algorithms and solving tasks that require memory and reasoning, which is the Neural Turing Machines (NTMs) [4]. They then introduced the Deep Q-network (DQN) algorithm, which is combine deep learning with Q-learning and RL algorithm. Q-learning is a model in reinforcement learning which use the Q-network, which is a type of neural network to approximate the Q-function, which predicts the value of taking a particular action in a given state [5]. The DQN algorithm was the first algorithm that was able to learn directly from high-dimensional sensory input, the data that have a large number of features or dimensions [6].

To enhance the speed of learning in reinforcement learning agents, Google DeepMind introduced the concept of experience replay, which is a technique that randomly samples previous experiences from the agent's memory to break the correlation between experiences and stabilize the learning process [7]. They then developed asynchronous methods for DRL, which is the Actor-Critic (A3C) model. This model showed faster and more stable training and showed a remarkable performance in Atari games [8]. By the usage of these algorithms, Google DeepMind was able to develop the first AI model that was able to beat the world champion in the game of Go, which is AlphaGo in 2016.

There are a lot of related work that reviewed the reinforcement learning in strategy-based and atari games. Arulkumaran et al [9] make a brief introduction of DRL, covering central algorithms and presenting a range of visual RL domains Zhao et al. [10] and Tang et al. [11] survey the development of DRL research, and focus on AlphaGo and AlphaGo Zero models. Shao et al. [12] review the development of DRL in game AI, from 2D to 3D, and from single-agent to multi-agent, and discuss the real-time strategy games.

In this paper, we will discuss the development that Google DeepMind made in developing AI models for games and the advancements. The main contribution will be the comparison between the three models AlphaGo, AlphaGo Zero, and MuZero, focusing on the challenges that each model faced and the improvements that were made. Also we will discuss the advancements in these three AI models, reaching to the future directions.

## II. BACKGROUND

Reinforcement Learning (RL) is a key area of machine learning that focuses on learning through interaction with the environment. In RL, an agent takes actions (A) in specific states (S) with the goal of maximizing the rewards (R) received from the environment. The foundations of RL can be traced back to 1911, when Thorndike introduced the Law of Effect, suggesting that actions leading to favorable outcomes are more likely to be repeated, while those causing

discomfort are less likely to recur [13].

RL emulates the human learning process of trial and error. The agent receives positive rewards for beneficial actions and negative rewards for detrimental ones, enabling it to refine its policy function—a strategy that dictates the best action to take in each state. That's said, for a give agent in state  $u$ , if it takes action  $u$ , then the immediate reward  $r$  can be modeled as  $r(x, u) = \mathbb{E}[r_t \mid x = x_{t-1}, u = u_{t-1}]$ .

So for a full episode of  $T$  steps, the cumulative reward  $R$  can be modeled as  $R = \sum_{t=1}^T r_t$ .

### A. Markov Decision Process (MDP)

In reinforcement learning, the environment is often modeled as a **Markov Decision Process (MDP)**, which is defined as a tuple  $(S, A, P, R, \gamma)$ , where:

- $S$  is the set of states,
- $A$  is the set of actions,
- $P$  is the transition probability function,
- $R$  is the reward function, and
- $\gamma$  is the discount factor.

The MDP framework is grounded in **sequential decision-making**, where the agent makes decisions at each time step based on its current state. This process adheres to the **Markov property**, which asserts that the future state and reward depend only on the present state and action, not on the history of past states and actions.

Formally, the Markov property is represented by:

$$P(s' \mid s, a) = \mathbb{P}[s_{t+1} = s' \mid s_t = s, a_t = a]$$

which denotes the probability of transitioning from state  $s$  to state  $s'$  when action  $a$  is taken.

The reward function  $R$  is similarly defined as:

$$R(s, a) = \mathbb{E}[r_t \mid s_{t-1} = s, a_{t-1} = a]$$

which represents the expected reward received after taking action  $a$  in state  $S$ .

### B. Policy and Value Functions

In reinforcement learning, an agent's goal is to find the optimal policy that the agent should follow to maximize cumulative rewards over time. To facilitate this process, we need to quantify the desirability of a given state, which is done through the **value function**  $V(s)$ . Value function estimates the expected cumulative reward an agent will receive starting from state  $s$  and continuing thereafter. In essence, the value function reflects how beneficial it is to be in a particular state, guiding the agent's decision-making process. The value function is defined as:

$$V(s) = \mathbb{E}[G_t \mid s_t = s]$$

where  $G_t$  is the cumulative reward from time step  $t$  onwards. From here we can define the **action-value function** under

policy  $\pi$   $Q_\pi(s, a)$ , which again, estimates the expected cumulative reward from the state  $s$  and taking action  $a$  and then following policy  $\pi$ :

$$Q_\pi(s, a) = \mathbb{E}_\pi[G_t \mid s_t = s, a_t = a]$$

$$= \mathbb{E}_\pi[r_t + \gamma r_{t+1} + \gamma^2 r_{t+2} + \dots \mid s_t = s, a_t = a]$$

where  $\gamma$  is the discount factor, which is a decimal value between 0 and 1 that determines how much we care about immediate rewards versus future reward rewards [14].

### C. Reinforcement Learning Algorithms

There are multiple reinforcement learning algorithms that have been developed that falls under a lot of categories. But, for the sake of this review, we will focus on the following algorithms that have been used by the Google DeepMind team in their reinforcement learning models.

1) **Monte Carlo Algorithm:** The Monte Carlo Algorithm is a model-free reinforcement learning algorithm used to estimate the value of states or state-action pairs under a given policy by averaging the returns of multiple episodes. This method alternates between two main steps: policy evaluation and policy improvement.

- **Policy Evaluation:** The algorithm evaluates the value of a state or state-action pair by averaging the returns from multiple episodes that include that state or state-action pair.
- **Policy Improvement:** The algorithm improves the policy by selecting the action that maximizes the value of the state-action pair.

Since the algorithm is model-free and does not assume any prior knowledge of the environment's dynamics, it focuses on estimating state-action pair values ( $Q(s, a)$ ) rather than just state values. The Monte Carlo Algorithm typically follows these steps:

- 1) Initialize the state-action pair values  $Q(s, a)$  arbitrarily.
- 2) Start at a random state and take a random action, ensuring the possibility of visiting all state-action pairs over time.
- 3) Follow the current policy  $\pi$  until a terminal state is reached.
- 4) Update the value of each state-action pair  $Q(s, a)$  encountered in the episode using the observed returns.
- 5) For each state visited in the episode, update the policy  $\pi(s)$  to select the action that maximizes  $Q(s, a)$ :

$$\pi(s) = \arg \max_a Q(s, a).$$

This algorithm is particularly well-suited for environments that are *episodic*, where each episode ends in a terminal state after a finite number of steps.

## III. ALPHAGO

### A. Introduction

AlphaGo is a groundbreaking reinforcement learning model that utilizes neural networks and tree search to play the game of GO, which is thought to be one of the most challenging classic games for artificial intelligence owing to its enormous search space and the difficulty of evaluating board positions and moves.

AlphaGo uses value networks for position evaluation and policy networks for taking actions, that combined with Monte Carlo simulation achieved a 99.8% winning rate, and beating the European human Go champion in 5 out of 5 games.

### B. Key Innovations

*Integration of Policy and Value Networks with MCTS:* AlphaGo combines policy and value networks in an MCTS framework to efficiently explore and evaluate the game tree. Each edge  $(s, a)$  in the search tree stores:

- Action value  $Q(s, a)$ : The average reward for taking action  $a$  from state  $s$ .
- Visit count  $N(s, a)$ : The number of times this action has been explored.
- Prior probability  $P(s, a)$ : The probability of selecting action  $a$ , provided by the policy network.

During the selection phase, actions are chosen to maximize:

$$a_t = \arg \max_a (Q(s, a) + u(s, a))$$

where the exploration bonus  $u(s, a)$  is defined as:

$$u(s, a) \propto \frac{P(s, a)}{1 + N(s, a)}$$

When a simulation reaches a leaf node, its value is evaluated in two ways: 1. Value Network Evaluation: A forward pass through the value network predicts  $v_\theta(s)$ , the likelihood of winning. 2. Rollout Evaluation: A lightweight policy simulates the game to its conclusion, and the terminal result  $z$  is recorded.

These evaluations are combined using a mixing parameter  $\lambda$ :

$$V(s_L) = \lambda v_\theta(s_L) + (1 - \lambda) z_L$$

The back propagation step updates the statistics of all nodes along the path from the root to the leaf.

### C. Training Process

1) *Supervised Learning for Policy Networks:* The policy network was initially trained using supervised learning on human expert games. The training data consisted of 30 million board positions sampled from professional games on the KGS Go Server. The goal was to maximize the likelihood of selecting the human move for each position:

$$\Delta \sigma \propto \nabla_\sigma \log p_\sigma(a|s)$$

where  $p_\sigma(a|s)$  is the probability of selecting action  $a$  given state  $s$ .

This supervised learning approach achieved a move prediction accuracy of 57.0% on the test set, significantly outperforming prior methods. This stage provided a solid foundation for replicating human expertise.

2) *Reinforcement Learning for Policy Networks*: The supervised learning network was further refined through reinforcement learning (RL). The weights of the RL policy network were initialized from the SL network. AlphaGo then engaged in self-play, where the RL policy network played against earlier versions of itself to iteratively improve.

The reward function used for RL was defined as:

$$r(s) = \begin{cases} +1 & \text{if win} \\ -1 & \text{if loss} \\ 0 & \text{otherwise (non-terminal states).} \end{cases}$$

At each time step  $t$ , the network updated its weights to maximize the expected reward using the policy gradient method:

$$\Delta \rho \propto z_t \nabla_{\rho} \log p_{\rho}(a_t | s_t)$$

where  $z_t$  is the final game outcome from the perspective of the current player.

This self-play strategy allowed AlphaGo to discover novel strategies beyond human knowledge. The RL policy network outperformed the SL network with an 80% win rate and achieved an 85% win rate against Pachi, a strong open-source Go program, without using MCTS.

3) *Value Network Training*: The value network was designed to evaluate board positions by predicting the likelihood of winning from a given state. Unlike the policy network, it outputs a single scalar value  $v_{\theta}(s)$  between  $-1$  (loss) and  $+1$  (win).

Training the value network on full games led to overfitting due to the strong correlation between successive positions in the same game. To mitigate this, a new dataset of 30 million distinct board positions was generated through self-play, ensuring that positions came from diverse contexts.

The value network was trained by minimizing the mean squared error (MSE) between its predictions  $v_{\theta}(s)$  and the actual game outcomes  $z$ :

$$L(\theta) = \mathbb{E}_{(s,z) \sim D} [(v_{\theta}(s) - z)^2]$$

#### D. Challenges and Solutions

AlphaGo overcame several challenges:

- **Overfitting**: Training the value network on full games led to memorization. This was mitigated by generating a diverse self-play dataset.
- **Scalability**: Combining neural networks with MCTS required significant computational resources, addressed through parallel processing on GPUs and CPUs.
- **Exploration vs. Exploitation**: Balancing these in MCTS was achieved using the exploration bonus  $u(s, a)$  and the policy network priors.

#### E. Performance Benchmarks

AlphaGo achieved the following milestones:

- 85% win rate against Pachi without using MCTS.
- 99.8% win rate against other Go programs in a tournament held to evaluate the performance of AlphaGo.
- Won 77%, 86%, and 99% of handicap games against Crazy Stone, Zen and Pachi, respectively.
- Victory against professional human players such as Fan Hui (5-0) and Lee Sedol (4-1), marking a significant breakthrough in AI.

#### IV. ALPHAGO ZERO

AlphaGo Zero

#### V. MUZERO

##### Introduction

Through the development of AlphaZero, a general model for board games with superhuman ability has been achieved in three games: Go, chess, and Shogi. It could achieve these results without the need for human gameplay data or history, instead using self-play in an enclosed environment. However, the model still relied on a simulator that could perfectly replicate the behavior, which might not translate well to real-world applications, where modeling the system might not be feasible. MuZero was developed to address this challenge by developing a model-based RL approach that could learn without explicitly modeling the real environment. This allowed for the same general approach used in AlphaZero to be used in Atari environments where reconstructing the environment is costly. Essentially, MuZero was deployed to all the games with no prior knowledge of them or specific optimization and managed to show state-of-the-art results in almost all of them.

##### MuZero Algorithm

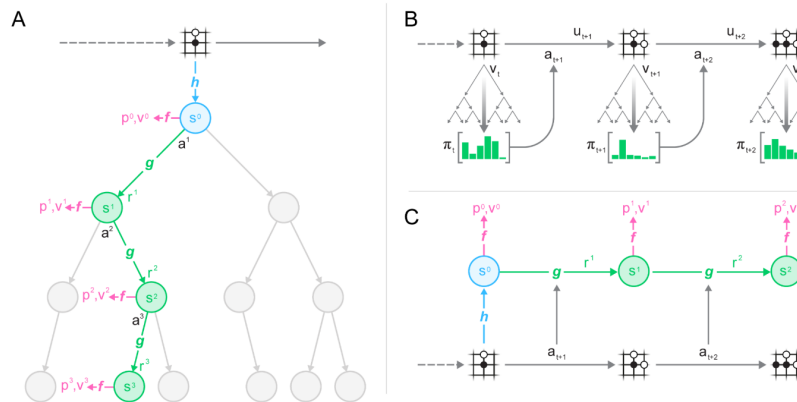


Fig. 1. This is a sample image.

The model takes in an input of observations  $o_1, \dots, o_t$  that are then fed to a representation network  $h$ , which reduces the dimensions of the input and produces a root hidden state  $s_0$ . Internally, the model mirrors an MDP, with each state

representing a node with edges connecting it to the future states depending on available actions. Unlike traditional RL approaches, this hidden state is not constrained to contain the information necessary to reproduce the entire future observations. Instead, the hidden states are only optimized for predicting information that is related to planning. At every time step, the model predicts the policy, the immediate reward, and the value function. The output of the state-action pair is then used by the dynamics function to produce future states. Similar to AlphaZero, a Monte Carlo tree search is used to find the best action policy given an input space. This is used to train the model by comparing the MCTS policy with the predictor function policy. Also, after a few training runs, the model ceases to use illegal moves, and the predicted actions map to the real action space. This eliminates the need for a simulator, as the model internalizes the environment characteristics it deems necessary for planning and acting, which generally converges to reality through training. The value function at the final step is compared against the game result in board games, i.e., win, loss, or a draw.

### Equations

$$s_0 = h_\theta(o_1, \dots, o_t) \quad (1)$$

$$r_k, s_k = g_\theta(s_{k-1}, a_k) \quad (2)$$

$$p_k, v_k = f_\theta(s_k) \quad (3)$$

$$\begin{bmatrix} p_k \\ v_k \\ r_k \end{bmatrix} = \mu_\theta(o_1, \dots, o_t, a_1, \dots, a_k) \quad (4)$$

$$\nu_t, \pi_t = \text{MCTS}(s_0^t, \mu_\theta) \quad (5)$$

$$a_t \sim \pi_t \quad (6)$$

$$p_k^t, v_k^t, r_k^t = \mu_\theta(o_1, \dots, o_t, a_{t+1}, \dots, a_{t+k}) \quad (7)$$

$$z_t = \begin{cases} u_T & \text{for games} \\ u_{t+1} + \gamma u_{t+2} + \dots + \gamma^{n-1} u_{t+n} + \gamma^n \nu_{t+n} & \text{for general MDPs} \end{cases} \quad (8)$$

$$l_t(\theta) = \sum_{k=0}^K [l_r(u_{t+k}, r_k^t) + l_v(z_{t+k}, v_k^t) + l_p(\pi_{t+k}, p_k^t)] + c \|\theta\|_2^2 \quad (9)$$

$$l_r(u, r) = \begin{cases} 0 & \text{for games} \\ \phi(u)^T \log r & \text{for general MDPs} \end{cases} \quad (10)$$

$$l_v(z, q) = \begin{cases} (z - q)^2 & \text{for games} \\ \phi(z)^T \log q & \text{for general MDPs} \end{cases} \quad (11)$$

$$l_p(\pi, p) = \pi^T \log p \quad (12)$$

### MCTS

MuZero uses the same approach developed in AlphaZero to find the optimum action given an internal state. MCTS is used where states are the nodes, and the edges store visit count, mean value, policy, and reward. The search is done in a three-phase setup: selection, expansion, and backup. The simulation starts with a root state, and an action is chosen based on the state-transition reward table. Then, after the end of the tree, a new node is created using the output of the dynamics function as a value, and the data from the prediction function is stored in the edge connecting it to the previous state. Finally, the simulation ends, and the updated trajectory is added to the state-transition reward table. In two-player zero-sum games, board games, for example, the value function is bounded between 0 and 1, which is helpful to use value estimation and probability using the pUCT rule. However, many other environments have unbounded values, so MuZero rescales the value to the maximum value observed by the model up to this training step, ensuring no environment-specific data is needed.

### Results

The MuZero model demonstrated significant improvements across various test cases, achieving state-of-the-art performance in several scenarios. Key findings include:

#### Board Games:

- When tested on the three board games AlphaZero was trained for (Go, chess, and shogi):
  - MuZero matched AlphaZero’s performance **without any prior knowledge** of the games’ rules.
  - It achieved this with **reduced computational cost** due to fewer residual blocks in the representation function.

#### Atari Games:

- MuZero was tested on 60 Atari games, competing against both human players and state-of-the-art models (model-based and model-free). Results showed:
  - **Starting from regular positions:** MuZero outperformed competitors in **46 out of 60 games**.
  - **Starting from random positions:** MuZero maintained its lead in **37 out of 60 games**, though its performance was reduced.

The MDPs computational efficiency and generalization of MuZero highlight its effectiveness in complex, unstructured environments.

#### Limitations:

- Despite its strengths, MuZero struggled in certain games, such as:
  - *Montezuma’s Revenge* and *Pitfall*, which require long-term planning and strategy.
- General challenges:
  - Long-term dependencies remain difficult for MuZero, as is the case for RL models in general.
  - Limited input space and lack of combinatorial inputs in Atari games could introduce scalability issues for broader applications.

## VI. ADVANCEMENTS

The evolution of AI in gaming, particularly through the development of AlphaGo, AlphaGo Zero, and MuZero, highlights remarkable advancements in reinforcement learning and artificial intelligence. AlphaGo, the pioneering model, combined supervised learning and reinforcement learning to master the complex game of Go, setting the stage for AI to exceed human capabilities in well-defined strategic games. Building on, AlphaGo Zero eliminated the reliance on human data, introducing a fully self-supervised approach that demonstrated greater efficiency and performance by learning solely through self-play. MuZero took this innovation further by generalizing beyond specific games like Go, Chess, and Shogi, employing model-based reinforcement learning to predict dynamics without explicitly knowing the rules of the environment.

### A. MiniZero

MiniZero is a zero-knowledge learning framework that supports four state-of-the-art algorithms, including AlphaZero, MuZero, Gumbel AlphaZero, and Gumbel MuZero [16]. The difference between AlphaZero and AlphaGo Zero is that AlphaZero expanded upon the principles of AlphaGo Zero, making it a more generalized version that could master multiple games, including Go, Chess, and Shogi, using the same algorithm and architecture. Gumbel AlphaZero and Gumbel MuZero are variants of the AlphaZero and MuZero algorithms that incorporate Gumbel noise into their decision-making process to improve exploration and planning efficiency in reinforcement learning tasks. Gumbel noise is a type of stochastic noise sampled from the Gumbel distribution, commonly used in decision-making and optimization problems.

MiniZero is a simplified version of the original MuZero algorithm, which is designed to have a more simplified architecture reducing the complexity of the neural network used to model environment dynamics, making it easier to implement and experiment with. This simplification allows MiniZero to perform well in smaller environments with fewer states and actions, offering faster training times and requiring fewer computational power compared to MuZero.

### B. Multi-agent models

Multi-agent models in reinforcement learning (MARL) represent an extension of traditional single-agent reinforcement learning. In these models, multiple agents are simultaneously interacting, either competitively or cooperatively, making decisions that impact both their own outcomes and those of other agents. The complexity in multi-agent systems arises from the dynamic nature of the environment, where the actions of each agent can alter the environment and the states of other agents. Unlike in single-agent environments, where the agent learns by interacting with a static world, multi-agent systems require agents to learn not only from their direct experiences but also from the behaviors of other agents, leading to a more complex learning process. Agents must adapt their strategies based on what they perceive other agents are doing, and this leads to problems such as strategic coordination, deception,

negotiation, and competitive dynamics. In competitive scenarios, agents might attempt to outwit one another, while in cooperative scenarios, they must synchronize their actions to achieve a common goal [17].

AlphaGo and AlphaGo Zero are not designed to handle multi-agent environments. The core reason lies in their foundational design, which assumes a single agent interacting with a static environment. AlphaGo and AlphaGo Zero both rely on model-based reinforcement learning and self-play, where a single agent learns by interacting with itself or a fixed opponent, refining its strategy over time. However, these models are not built to adapt to the dynamic nature of multi-agent environments, where the state of the world constantly changes due to the actions of other agents. In AlphaGo and AlphaGo Zero, the environment is well-defined, and the agent's objective is to optimize its moves based on a fixed set of rules. The agents in these models do not need to account for the actions of other agents in real-time or consider competing strategies, which are essential in multi-agent systems. Additionally, AlphaGo and AlphaGo Zero are not designed to handle cooperation or negotiation, which are key aspects of multi-agent environments. On the other hand, MuZero offers a more flexible framework that can be adapted to multi-agent environments. Unlike AlphaGo and AlphaGo Zero, MuZero operates by learning the dynamics of the environment through its interactions, rather than relying on a fixed model of the world. This approach allows MuZero to adapt to various types of environments, whether single-agent or multi-agent, by learning to predict the consequences of actions without needing explicit knowledge of the environment's rules. The key advantage of MuZero in multi-agent settings is its ability to plan and make decisions without needing to model the entire system upfront. In multi-agent environments, this ability becomes essential, as MuZero can dynamically adjust its strategy based on the observed behavior of other agents. By learning not just the immediate outcomes but also the strategic implications of others' actions, MuZero can navigate both competitive and cooperative settings.

## VII. CHALLENGES AND FUTURE DIRECTIONS

### Challenges and Future Directions

## VIII. CONCLUSION

### Conclusion

### ACKNOWLEDGMENT

### REFERENCES

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