COM3020J: Cryptography Symmetric Crypto:

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Data Encryption Standard

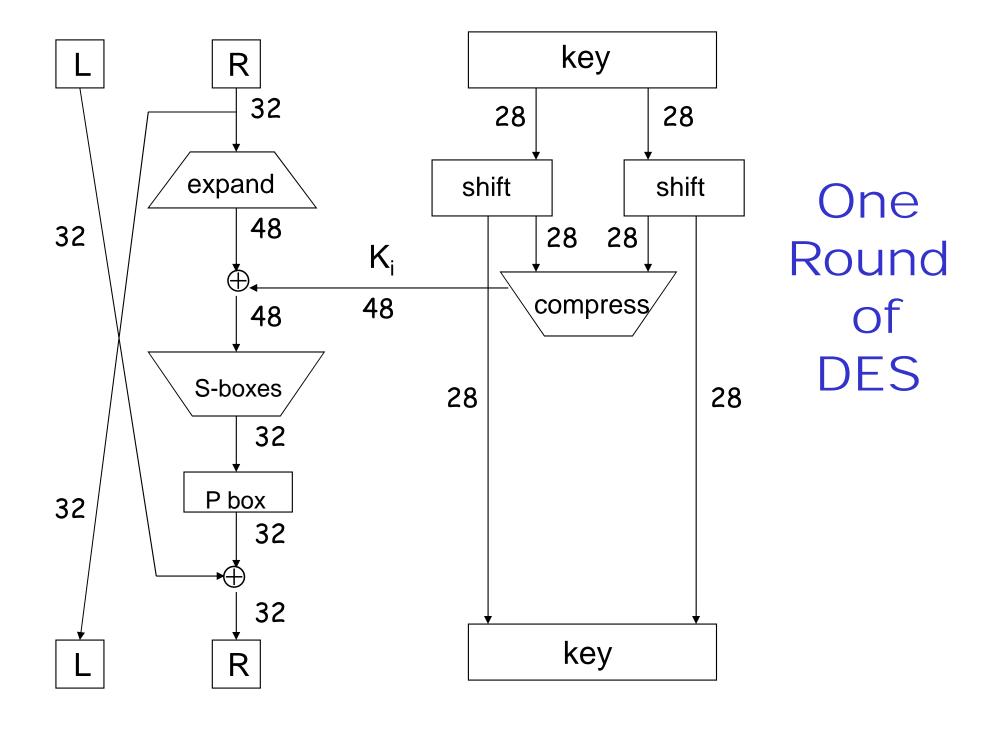
- □ DES developed in 1970's
- Based on IBM's Lucifer cipher
- DES was U.S. government standard
- DES was controversial
 - NSA secretly involved
 - Design process was secret
 - Key length reduced from 128 to 56 bits
 - Subtle changes to Lucifer algorithm

DES Numerology Encrypt Plaintext (p) (64-bit block) Ciphertext (C) 56-bit key (64-bit block)

- DES is a Feistel cipher with...
 - o 64 bit block length: 64 bit input (plaintext), 64 bit output (ciphertext).

Decrypt

- o 56 bit key length
- 16 rounds for Encryption & Decryption
- 48 bits of key used each round (subkey)
- Round function is simple (for block cipher)
- Security depends heavily on "S-boxes"
 - Each S-box maps 6 bits to 4 bits



DES Expansion Permutation

□ Input 32 bits

0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31

Output 48 bits

31 0 1 2 3 4 3 4 5 6 7 8 7 8 9 10 11 12 11 12 13 14 15 16 15 16 17 18 19 20 19 20 21 22 23 24 23 24 25 26 27 28 27 28 29 30 31 0

DES S-box

- 8 "substitution boxes" or S-boxes
- Each S-box maps 6 bits to 4 bits
- Here is S-box number 1

```
input bits (0,5)
```

input bits (1,2,3,4)

| 0000 0001 0010 0011 0100 0101 0110 0111 1000 1001 1010 1011 1100 1101 1110 1111

00 | 1110 0100 1101 0001 0010 1111 1011 1000 0011 1010 0110 1100 0101 1001 0000 0111 01 | 0000 1111 0111 0100 1110 0010 1101 0001 1010 0110 1100 1011 1001 0101 0011 1000 10 | 0100 0001 1110 1000 1101 0110 0010 1011 1111 1100 1001 0111 0011 1010 0000 11 | 1111 1100 1000 0010 0100 0100 1001 0001 0111 0111 0111 0111 0111 0101 0100 0100 1101 1101

DES P-box

□ Input 32 bits

0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31

Output 32 bits

15 6 19 20 28 11 27 16 0 14 22 25 4 17 30 9 1 7 23 13 31 26 2 8 18 12 29 5 21 10 3 24

DES Subkey

- □ 56 bit DES key, numbered 0,1,2,...,55
- Left half key bits, LK

49 42 35 28 21 14 7

0 50 43 36 29 22 15

8 1 51 44 37 30 23

16 9 2 52 45 38 31

Right half key bits, RK

55 48 41 34 27 20 13

6 54 47 40 33 26 19

12 5 53 46 39 32 25

18 11 4 24 17 10 3

DES Subkey

- □ For rounds i=1,2,...,16
 - Let LK = (LK circular shift left by r_i)
 - o Let RK = (RK circular shift left by r_i)
 - Left half of subkey K_i is of LK bits

```
13 16 10 23 0 4 2 27 14 5 20 9 22 18 11 3 25 7 15 6 26 19 12 1
```

Right half of subkey K_i is RK bits

```
12 23 2 8 18 26 1 11 22 16 4 19 15 20 10 27 5 24 17 13 21 7 0 3
```

DES Subkey

- \square For rounds 1, 2, 9 and 16 the shift r_i is 1, and in all other rounds r_i is 2
- □ Bits 8,17,21,24 of LK omitted each round
- Bits 6,9,14,25 of RK omitted each round
- Compression permutation yields 48 bit subkey K_i from 56 bits of LK and RK
- □ Key schedule generates subkey

DES Last Word (Almost)

- An initial permutation before round 1
- Halves are swapped after last round
- □ A final permutation (inverse of initial perm) applied to (R₁₆, L₁₆)
- None of this serves any security purpose

Security of DES

- Security depends heavily on S-boxes
 - Everything else in DES is linear
- 35+ years of intense analysis has revealed no back door
- Attacks, essentially exhaustive key search
- □ Inescapable conclusions
 - Designers of DES knew what they were doing
 - Designers of DES were way ahead of their time (wrt certain cryptanalytic techniques)

Block Cipher Notation

- P = plaintext block
- □ C = ciphertext block
- Encrypt P with key K to get ciphertext C
 C = E(P, K)
- Decrypt C with key K to get plaintext P
 P = D(C, K)
- □ Note: P = D(E(P, K), K) and C = E(D(C, K), K)
 - o But $P \neq D(E(P, K_1), K_2)$ and $C \neq E(D(C, K_1), K_2)$ when $K_1 \neq K_2$

Symmetric Encryption Algorithms

	DES	Triple DES	AES
Plaintext block size (bits)	64	64	128
Ciphertext block size (bits)	64	64	128
Key size (bits)	56	112 or 168	128, 192, or 256

DES = Data Encryption Standard AES = Advanced Encryption Standard

Triple DES

- □ Today, 56 bit DES key is too small
 - o Exhaustive key search is feasible
- □ But DES is everywhere, so what to do?
- □ Triple DES or 3DES (112 bit key)
 - o $C = E(D(E(P,K_1),K_2),K_1)$
 - $P = D(E(D(C,K_1),K_2),K_1)$
- Why Encrypt-Decrypt-Encrypt with 2 keys?
 - Backward compatible: E(D(E(P,K),K),K) = E(P,K)
 - o And 112 is a lot of bits

3DES

- \square Why not C = E(E(P,K),K) instead?
 - Trick question still just 56 bit key
- □ Why not $C = E(E(P,K_1),K_2)$ instead?
- □ A (semi-practical) known plaintext attack
 - o Pre-compute table of $E(P,K_1)$ for every possible key K_1 (resulting table has 2^{56} entries)
 - Then for each possible K_2 compute $D(C,K_2)$ until a match in table is found
 - When match is found, have $E(P,K_1) = D(C,K_2)$
 - Result gives us keys: $C = E(E(P,K_1),K_2)$

Advanced Encryption Standard

- Replacement for DES
- □ AES competition (late 90's)
 - NSA openly involved
 - Transparent selection process
 - Many strong algorithms proposed
 - Rijndael Algorithm ultimately selected (pronounced like "Rain Doll" or "Rhine Doll")
- □ Iterated block cipher (like DES)
- Not a Feistel cipher (unlike DES)

AES: Executive Summary

- □ Block size: 128 bits (others in Rijndael)
- Key length: 128, 192 or 256 bits (independent of block size in Rijndael)
- □ 10 to 14 rounds (depends on key length)
- □ Each round uses 4 functions (3 "layers")
 - ByteSub (nonlinear layer)
 - ShiftRow (linear mixing layer)
 - MixColumn (nonlinear layer)
 - AddRoundKey (key addition layer)

AES ByteSub

□ Treat 128 bit block as 4x4 byte array

$$\begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{10} & a_{11} & a_{12} & a_{13} \\ a_{20} & a_{21} & a_{22} & a_{23} \\ a_{30} & a_{31} & a_{32} & a_{33} \end{bmatrix} \longrightarrow \mathtt{ByteSub} \longrightarrow \begin{bmatrix} b_{00} & b_{01} & b_{02} & b_{03} \\ b_{10} & b_{11} & b_{12} & b_{13} \\ b_{20} & b_{21} & b_{22} & b_{23} \\ b_{30} & b_{31} & b_{32} & b_{33} \end{bmatrix}.$$

- □ ByteSub is AES's "S-box"
- Can be viewed as nonlinear (but invertible) composition of two math operations

AES "S-box"

Last 4 bits of input

7b f2 6b 6f c5 30 01 67 2b fe d7 ab 76 ca 82 c9 7d fa 59 47 f0 ad d4 a2 af 9c a4 72 c0 b7 fd 93 26 36 3f f7 cc 34 a5 e5 f1 71 d8 31 15 04 c7 23 c3 18 96 05 9a 07 12 80 e2 eb 27 b2 75 09 83 2c 1a 1b 6e 5a a0 52 3b d6 b3 29 e3 2f 84 53 d1 00 ed 20 fc b1 5b 6a cb be 39 4a 4c 58 cf First 4 d0 ef aa fb 43 4d 33 85 45 f9 02 7f 50 3c 9f a8 51 a3 40 8f 92 9d 38 f5 bc b6 da 21 10 ff f3 d2 bits of cd Oc 13 ec 5f 97 44 17 c4 a7 7e 3d 64 5d 19 73 60 81 4f dc 22 2a 90 88 46 ee b8 14 de 5e 0b db e0 32 3a 0a 49 06 24 5c c2 d3 ac 62 91 95 e4 79 e7 c8 37 6d 8d d5 4e a9 6c 56 f4 ea 65 7a ae 08 ba 78 25 2e 1c a6 b4 c6 e8 dd 74 1f 4b bd 8b 8a 70 3e b5 66 48 03 f6 0e 61 35 57 b9 86 c1 1d 9e e1 f8 98 11 69 d9 8e 94 9b 1e 87 e9 ce 55 28 df f 8c a1 89 0d bf e6 42 68 41 99 2d 0f b0 54 bb 16

input

AES ShiftRow

Cyclic shift rows

```
\begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{10} & a_{11} & a_{12} & a_{13} \\ a_{20} & a_{21} & a_{22} & a_{23} \\ a_{30} & a_{31} & a_{32} & a_{33} \end{bmatrix} \longrightarrow \text{ShiftRow} \longrightarrow \begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{11} & a_{12} & a_{13} & a_{10} \\ a_{22} & a_{23} & a_{20} & a_{21} \\ a_{33} & a_{30} & a_{31} & a_{32} \end{bmatrix}
```

AES MixColumn

□ Invertible, linear operation applied to each column

$$\begin{bmatrix} a_{0i} \\ a_{1i} \\ a_{2i} \\ a_{3i} \end{bmatrix} \longrightarrow \texttt{MixColumn} \longrightarrow \begin{bmatrix} b_{0i} \\ b_{1i} \\ b_{2i} \\ b_{3i} \end{bmatrix} \text{ for } i = 0, 1, 2, 3$$

□ Implemented as a (big) lookup table

AES AddRoundKey

XOR subkey with block

$$\begin{bmatrix} a_{00} & a_{01} & a_{02} & a_{03} \\ a_{10} & a_{11} & a_{12} & a_{13} \\ a_{20} & a_{21} & a_{22} & a_{23} \\ a_{30} & a_{31} & a_{32} & a_{33} \end{bmatrix} \oplus \begin{bmatrix} k_{00} & k_{01} & k_{02} & k_{03} \\ k_{10} & k_{11} & k_{12} & k_{13} \\ k_{20} & k_{21} & k_{22} & k_{23} \\ k_{30} & k_{31} & k_{32} & k_{33} \end{bmatrix} = \begin{bmatrix} b_{00} & b_{01} & b_{02} & b_{03} \\ b_{10} & b_{11} & b_{12} & b_{13} \\ b_{20} & b_{21} & b_{22} & b_{23} \\ b_{30} & b_{31} & b_{32} & b_{33} \end{bmatrix}$$

$$Block \qquad \qquad Subkey$$

 RoundKey (subkey) determined by key schedule algorithm

AES Decryption

- □ To decrypt, process must be invertible
- □ Inverse of MixAddRoundKey is easy, since "⊕" is its own inverse
- MixColumn is invertible (inverse is also implemented as a lookup table)
- Inverse of ShiftRow is easy (cyclic shift the other direction)
- ByteSub is invertible (inverse is also implemented as a lookup table)

A Few Other Block Ciphers

- □ Briefly...
 - o IDEA
 - o Blowfish
 - o RC6
- More detailed...
 - o TEA

IDEA

- Invented by James Massey
 - One of the giants of modern crypto
- □ IDEA has 64-bit block, 128-bit key
- □ IDEA uses mixed-mode arithmetic
- Combine different math operations
 - IDEA the first to use this approach
 - Frequently used today

Blowfish

- Blowfish encrypts 64-bit blocks
- Key is variable length, up to 448 bits
- Invented by Bruce Schneier
- Almost a Feistel cipher

$$R_{i} = L_{i-1} \oplus K_{i}$$

$$L_{i} = R_{i-1} \oplus F(L_{i-1} \oplus K_{i})$$

- □ The round function F uses 4 S-boxes
 - Each S-box maps 8 bits to 32 bits
- □ Key-dependent S-boxes
 - S-boxes determined by the key

RC6

- Invented by Ron Rivest
- Variables
 - o Block size
 - o Key size
 - Number of rounds
- An AES finalist
- □ Uses data dependent rotations
 - Unusual for algorithm to depend on plaintext

Time for TEA...

- □ Tiny Encryption Algorithm (TEA)
- □ 64 bit block, 128 bit key
- Assumes 32-bit arithmetic
- Number of rounds is variable (32 is considered secure)
- Uses "weak" round function, so large number of rounds required

TEA Encryption

Assuming 32 rounds:

```
(K[0], K[1], K[2], K[3]) = 128 \text{ bit key}
(L,R) = plaintext (64-bit block)
delta = 0x9e3779b9
sum = 0
for i = 1 to 32
   sum += delta
   L += ((R << 4) + K[0])^{(R+sum)^{(R>>5)} + K[1])
   R += ((L << 4) + K[2])^(L + sum)^((L >> 5) + K[3])
next i
ciphertext = (L,R)
```

TEA Decryption

Assuming 32 rounds:

```
(K[0], K[1], K[2], K[3]) = 128 \text{ bit key}
(L,R) = ciphertext (64-bit block)
delta = 0x9e3779b9
sum = delta << 5
for i = 1 to 32
   R = ((L << 4) + K[2])^(L + sum)^((L >> 5) + K[3])
   L = ((R << 4) + K[0])^{(R+sum)^{(R>>5)} + K[1])
   sum -= delta
next i
plaintext = (L,R)
```

TEA Comments

- "Almost" a Feistel cipher
 - Uses + and instead of ⊕ (XOR)
- Simple, easy to implement, fast, low memory requirement, etc.
- Possibly a "related key" attack
- eXtended TEA (XTEA) eliminates related key attack (slightly more complex)
- Simplified TEA (STEA) insecure version used as an example for cryptanalysis

Symmetric Algorithms - Summary

Symmetric Encryption Algorithm	Key length (in bits)	Description
DES	56	Designed at IBM during the 1970s and was the NIST standard until 1997. Although considered outdated, DES remains widely in use. Designed to be implemented only in hardware, and is therefore extremely slow in software.
3DES	112 and 168	Based on using DES three times which means that the input data is encrypted three times and therefore considered much stronger than DES. However, it is rather slow compared to some new block ciphers such as AES.
AES	128, 192, and 256	Fast in both software and hardware, is relatively easy to implement, and requires little memory. As a new encryption standard, it is currently being deployed on a large scale.
Software Encryption Algorithm (SEAL)	160	SEAL is an alternative algorithm to DES, 3DES, and AES. It uses a 160-bit encryption key and has a lower impact to the CPU when compared to other software-based algorithms.
The RC series	RC2 (40 and 64) RC4 (1 to 256) RC5 (0 to 2040) RC6 (128, 192, and 256)	A set of symmetric-key encryption algorithms invented by Ron Rivest. RC1 was never published and RC3 was broken before ever being used. RC4 is the world's most widely used stream cipher. RC6, a 128-bit block cipher based heavily on RC5, was an AES finalist developed in 1997.

Block Cipher Modes

Multiple Blocks

- How to encrypt multiple blocks?
- Do we need a new key for each block?
 - o If so, as impractical as a one-time pad!
- Encrypt each block independently?
- □ Is there any analog of codebook "additive"?
- How to handle partial blocks?
 - We won't discuss this issue

Modes of Operation

- Many modes we discuss 3 most popular
- □ Electronic Codebook (ECB) mode
 - Encrypt each block independently
 - Most obvious approach, but a bad idea
- Cipher Block Chaining (CBC) mode
 - Chain the blocks together
 - More secure than ECB, virtually no extra work
- □ Counter Mode (CTR) mode
 - Block ciphers acts like a stream cipher
 - Popular for random access

ECB Mode

- \square Notation: C = E(P, K)
- \square Given plaintext $P_0, P_1, ..., P_m, ...$
- Most obvious way to use a block cipher:

Encrypt Decrypt

$$C_0 = E(P_0, K)$$
 $P_0 = D(C_0, K)$

$$C_1 = E(P_1, K)$$
 $P_1 = D(C_1, K)$

$$C_2 = E(P_2, K) \dots P_2 = D(C_2, K) \dots$$

- For fixed key K, this is "electronic" version of a codebook cipher (without additive)
 - With a different codebook for each key

ECB Cut and Paste

- Suppose plaintext is Alice digs Bob. Trudy digs Tom.
- Assuming 64-bit blocks and 8-bit ASCII:

```
P_0 = "Alice di", P_1 = "gs Bob.", P_2 = "Trudy di", P_3 = "gs Tom."
```

- □ Ciphertext: C₀, C₁, C₂, C₃
- □ Trudy cuts and pastes: C₀, C₃, C₂, C₁
- Decrypts asAlice digs Tom. Trudy digs Bob.

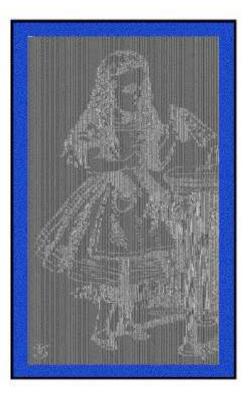
ECB Weakness

- \square Suppose $P_i = P_j$
- □ Then $C_i = C_j$ and Trudy knows $P_i = P_j$
- This gives Trudy some information, even if she does not know P_i or P_j
- Trudy might know P_i
- □ Is this a serious issue?

Alice Hates ECB Mode

□ Alice's uncompressed image, and ECB encrypted (TEA)





- Why does this happen?
- □ Same plaintext yields same ciphertext!

- $\Box C_i = E(C_{i-1} \oplus P_i, K)$
- $\square P_i = C_{i-1} \oplus D(C_i, K),$

CBC Mode

- □ Blocks are "chained" together
- A random initialization vector, or IV, is required to initialize CBC mode
- □ IV is random, but not secret

Encryption

$$C_0 = E(IV \oplus P_0, K),$$

 $C_1 = E(C_0 \oplus P_1, K),$
 $C_2 = E(C_1 \oplus P_2, K),...$

Decryption

$$P_0 = IV \oplus D(C_0, K),$$

$$P_1 = C_0 \oplus D(C_1, K),$$

$$P_2 = C_1 \oplus D(C_2, K),...$$

Analogous to classic codebook with additive

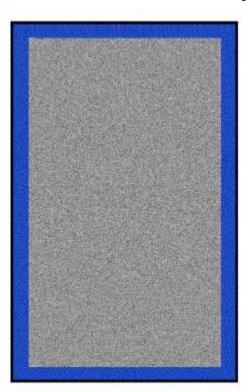
CBC Mode

- Identical plaintext blocks yield different ciphertext blocks — this is very good!
- But what about errors in transmission?
 - o If C_1 is garbled to, say, G then $P_1 \neq C_0 \oplus D(G, K), P_2 \neq G \oplus D(C_2, K)$
 - But $P_3 = C_2 \oplus D(C_3, K), P_4 = C_3 \oplus D(C_4, K), ...$
 - Automatically recovers from errors!
- Cut and paste is still possible, but more complex (and will cause garbles)

Alice Likes CBC Mode

□ Alice's uncompressed image, Alice CBC encrypted (TEA)





- Why does this happen?
- Same plaintext yields different ciphertext!

Counter Mode (CTR)

- CTR is popular for random access
- Use block cipher like a stream cipher

Encryption

$$C_0 = P_0 \oplus E(IV, K),$$

$$C_1 = P_1 \oplus E(IV+1, K),$$

$$C_2 = P_2 \oplus E(IV+2, K),...$$

Decryption

$$P_0 = C_0 \oplus E(IV, K),$$

$$C_1 = P_1 \oplus E(IV+1, K),$$
 $P_1 = C_1 \oplus E(IV+1, K),$

$$P_2 = C_2 \oplus E(IV+2, K),...$$

- Note: CBC also works for random access
 - But there is a significant limitation...

Integrity using Symmetric Key Crypto

Data Integrity

- Integrity detect unauthorized writing (i.e., detect unauthorized mod of data)
- Example: Inter-bank fund transfers
 - Confidentiality may be nice, integrity is critical
- Encryption provides confidentiality (prevents unauthorized disclosure)
- Encryption alone does not provide integrity
 - One-time pad, ECB cut-and-paste, etc., etc.

MAC

- Message Authentication Code (MAC)
 - Used for data integrity
 - Integrity not the same as confidentiality
- MAC is computed as CBC residue
 - That is, compute CBC encryption, saving only final ciphertext block, the MAC
 - The MAC serves as a cryptographic checksum for data

MAC Computation

MAC computation (assuming N blocks)

```
C_0 = E(IV \oplus P_0, K),
C_1 = E(C_0 \oplus P_1, K),
C_2 = E(C_1 \oplus P_2, K),...
C_{N-1} = E(C_{N-2} \oplus P_{N-1}, K) = MAC
```

- \square Send IV, P_0 , P_1 , ..., P_{N-1} and MAC
- Receiver does same computation and verifies that result agrees with MAC
- Both sender and receiver must know K

Does a MAC work?

- Suppose Alice has 4 plaintext blocks
- Alice computes

$$C_0 = E(IV \oplus P_0, K), C_1 = E(C_0 \oplus P_1, K),$$

 $C_2 = E(C_1 \oplus P_2, K), C_3 = E(C_2 \oplus P_3, K) = MAC$

- □ Alice sends IV, P₀, P₁, P₂, P₃ and MAC to Bob
- Suppose Trudy changes P₁ to X
- Bob computes

```
C_0 = E(IV \oplus P_0, K), C_1 = E(C_0 \oplus X, K),

C_2 = E(C_1 \oplus P_2, K), C_3 = E(C_2 \oplus P_3, K) = MAC \neq MAC
```

- □ It works since error <u>propagates</u> into **MAC**
- □ Trudy can't make *MAC* == MAC without K

Confidentiality and Integrity

- Encrypt with one key, MAC with another key
- Why not use the same key?
 - o Send last encrypted block (MAC) twice?
 - o This cannot add any security!
- Using different keys to encrypt and compute MAC works, even if keys are related
 - But, twice as much work as encryption alone
 - o Can do a little better about 1.5 "encryptions"
- Confidentiality and integrity with same work as one encryption is a research topic

Uses for Symmetric Crypto

- Confidentiality
 - Transmitting data over insecure channel
 - Secure storage on insecure media
- Integrity (MAC)
- Authentication protocols (later...)
- Anything you can do with a hash function (upcoming lectures...)

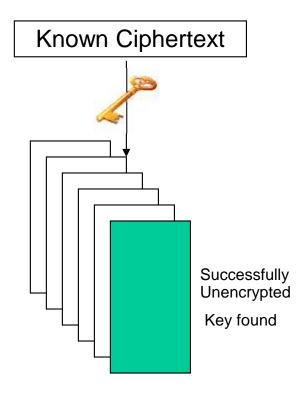
Attacking Symmetric Encryption

- cryptanalysis
 - o rely on nature of the algorithm
 - o plus some knowledge of plaintext characteristics
 - o even some sample plaintext-ciphertext pairs
 - exploits characteristics of algorithm to deduce specific plaintext or key

attacks:

- ciphertext only least info, hardest
- known plaintext some plain/cipher pairs
- chosen plaintext get own plain/cipher pairs
- chosen ciphertext rarer
- chosen text rarer
- only weak algs fail a ciphertext-only attack
- usually design algs to withstand a known-plaintext attack
- brute-force attack
 - try all possible keys on some ciphertext until get an intelligible translation into plaintext

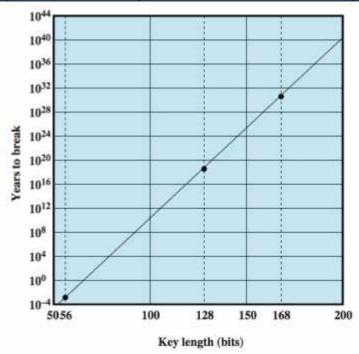
Brute Force Attack



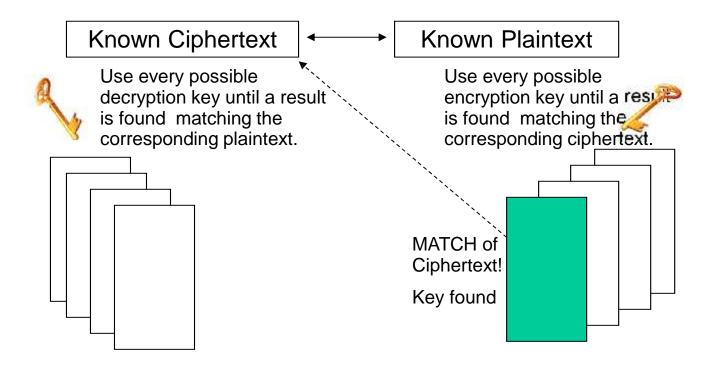
With a Brute Force attack, the attacker has some portion of ciphertext. The attacker attempts to unencrypt the ciphertext with all possible keys.

Exhaustive Key Search

Key Size (bits)	Number of Alternative Keys $2^{32} = 4.3 \times 10^9$	Time Required at 1 Decryption/µs		Time Required at 106 Decryptions/µs
32		2 ³¹ μs	= 35.8 minutes	2.15 milliseconds
56	$2^{56} = 7.2 \times 10^{16}$	255 µs	= 1142 years	10.01 hours
128	$2^{128} = 3.4 \times 10^{38}$	2127 µs	$= 5.4 \times 10^{24} \text{ years}$	5.4 × 10 18 years
168	$2^{168} = 3.7 \times 10^{50}$	2 ¹⁶⁷ μs	$= 5.9 \times 10^{36} \text{ years}$	5.9 × 10 ³⁰ years
26 characters (permutation)	26! = 4 × 10 ²⁶	2 × 10 ²⁶ j	$us = 6.4 \times 10^{12} \text{ years}$	6.4 × 106 years



Meet-in-the-Middle Attack



With a Meet-in-the-Middle attack, the attacker has some portion of text in both plaintext and ciphertext. The attacker attempts to unencrypt the ciphertext with all possible keys while at the same time encrypt the plaintext with another set of possible keys until one match is found.