#### Analysis of Algorithms

#### Lecture No. 6

# Complexity and asymptotic Notations Average case analysis Dr. Shamila Nasreen

### Recap

- What is complexity
- Asymptotic analysis
- Types of Analysis
- Big O Notation (Worst Case Analysis)
- Big Omega (Best Case analysis)
- Examples

# Today's Lecture

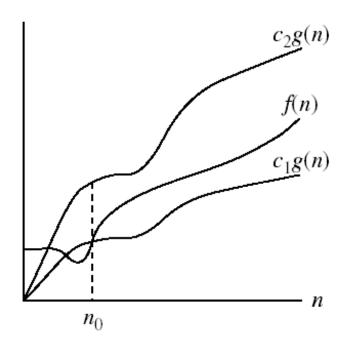
- Theta Notation (Average Case Analysis)
- Little o
- Little Omega
- Comparison

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- Theta Notation ∅
- Theta Notation For non-negative functions, f(n) and g(n), f(n) is theta of g(n) if and only if f(n) = O(g(n)) and  $f(n) = \Omega(g(n))$ . This is denoted as " $f(n) = \Theta(g(n))$ ".

This is basically saying that the function, f(n) is bounded both from the top and bottom by the same function, g(n).

 $\Theta(g(n)) = \{f(n) : \text{ there exist positive constants } c_1, c_2, \text{ and } n_0 \text{ such that } 0 \le c_1 g(n) \le f(n) \le c_2 g(n) \text{ for all } n \ge n_0 \}$ .

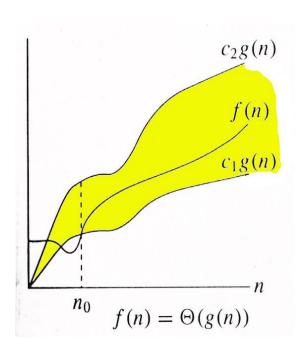


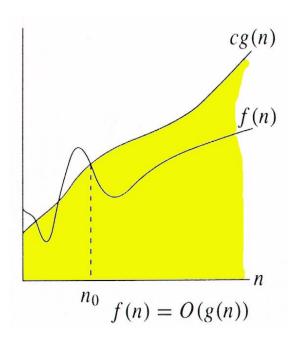
 $\Theta(g(n))$  is the set of functions with the same order of growth as g(n)

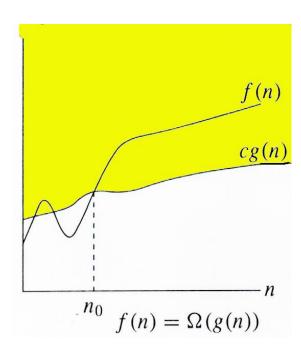
g(n) is an *asymptotically tight bound* for f(n).

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#### Relations Between $\Theta$ , O, $\Omega$







#### Relations Between $\Theta$ , O, $\Omega$

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Theorem: For any two functions g(n) and f(n), f(n) = \Theta(g(n)) iff f(n) = O(g(n)) and f(n) = \Omega(g(n)).
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- I.e.,  $\Theta(g(n)) = O(g(n)) \cap \Omega(g(n))$
- In practice, asymptotically tight bounds are obtained from asymptotic upper and lower bounds.

#### Example 1: Prove that $3n^2+5n+1\in\Theta(n^2)$

#### **Proof**

#### Step 1: Define f(n) and g(n)

Let:

- $f(n) = 3n^2 + 5n + 1$
- $g(n) = n^2$

We want to prove that:

#### Step 2: Use O-notation definition

A function  $f(n)\in\Theta(n^2)$  if there exist constants  $c_1,c_2>0$  and  $n_0$  such that:

For Upper bound

$$c_1 n^2 \leq 3n^2 + 5n + 1 \leq c_2 n^2 \quad ext{for all } n \geq n_0 \ 3n^2 + 5n + 1 \leq c_2 n^2 \Rightarrow rac{3n^2 + 5n + 1}{n^2} \leq c_2 \Rightarrow 3 + rac{5}{n} + rac{1}{n^2} \leq c_2$$

As  $n o \infty$ ,  $rac{5}{n} o 0$ ,  $rac{1}{n^2} o 0$ 

So, pick  $n_0 = 1$ , and for all  $n \ge 1$ :

$$3+rac{5}{n}+rac{1}{n^2} \leq 3+5+1=9 \Rightarrow f(n) \leq 9n^2$$

So, we can choose  $c_2=9$ 

Upper Bound Proven:

#### Example 1: Prove that $3n^2+5n+1\in\Theta(n^2)$

We want:

$$3n^2 + 5n + 1 \geq c_1 n^2 \Rightarrow rac{3n^2 + 5n + 1}{n^2} \geq c_1 \Rightarrow 3 + rac{5}{n} + rac{1}{n^2} \geq c_1$$

As 
$$n o \infty$$
,  $rac{5}{n} + rac{1}{n^2} o 0$ 

So for large n,  $f(n)/n^2$  approaches 3 from above. Let's pick  $n_0=1$ , then:

$$3+rac{5}{n}+rac{1}{n^2}\geq 3\Rightarrow f(n)\geq 3n^2$$

So we can take  $c_1=3$ 

✓ Lower Bound Proven:

$$f(n) \geq 3n^2$$

$$c_1 n^2 \le f(n) \le c_2 n^2$$
 for all  $n \ge n_0$ 

♦ Therefore:

$$3n^2+5n+1\in\Theta(n^2)$$

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Example 2: Prove that f(n) = 2n^2 + 3n + 6 \notin \Theta(n^3)
Proof: Let f(n) = 2 \cdot n^2 + 3 \cdot n + 6, and g(n) = n^3
   we have to show that f(n) \ \boxdot \ \Theta(g(n))
   On contrary assume that f(n) \in \Theta(g(n)) i.e.
   there exist some positive constants c_1, c_2 and n_0 such
                    c_1.g(n) \le f(n) \le c_2.g(n)
    that:
   c_1.g(n) \le f(n) \le c_2.g(n) \ \ c_1.n^3 \le 2.n^2 + 3.n + 6 \le c_2.n^3 \ \ c_3.g(n) \le c_3.g(n) \le c_3.g(n) 
   c_1.n \le 2 + 3/n + 6/n^2 \le c_2.n \implies
   c_1.n \le 2 \le c_2.n, for large n \Rightarrow
   which is not possible
    Hence f(n) \supseteq \Theta(g(n)) \Rightarrow 2 \cdot n^2 + 3 \cdot n + 6 \supseteq \Theta(n^3)
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#### Little-O Notation

**Definition**: Little-o notation describes an *upper bound* that a function **strictly** falls short of — meaning the function grows *slower* than another function as  $n \to \infty$ .

#### Formally:

We write

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\begin{split} &f(n) \in o(g(n))\\ &\text{if for every constant } c>0, \text{ there exists an } n_0 \text{ such that:}\\ &f(n) < c \cdot g(n) \text{ for all } n \geq n_0 \end{split}
```

This means that f(n) grows strictly slower than g(n).

#### Example 1:

Let f(n) = n, and  $g(n) = n^2$ 

We say  $n \in o(n^2)$  because no matter how small the constant c, eventually  $n < c \cdot n^2$  for sufficiently large n.

- Little-O Notation
- Example 2:  $f(n)=2n^2$  ,  $g(n)=n^2$  :
- We say f(n) ∈ o(g(n)) if and only if for every constant c > 0, there exists an n<sub>0</sub> such that:f(n) < c · g(n) for all n ≥ n<sub>0</sub>
- $2n^2 < c \cdot n^2$
- Divide both sides by n<sup>2</sup> (for n > 0):
- 2 < c

This inequality 2 < c must be true for all c > 0, but it's not:

- For c = 1, we get 2 > c, so  $2n^2 < c \cdot n^2$  does not hold
- For **c** = **1.5**, same inequality fails.

So  $2n^2 < c \cdot n^2$  is not true for all positive c — it's only true when c > 2

But little-o requires it to hold for every c > 0, no matter how small.

# Asymptotic notations for little o

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Example 3: Prove that 2n^2 \in o(n^3)
Proof:
  Assume that f(n) = 2n^2, and g(n) = n^3
       f(n) \in o(g(n))?
  Now we have to find the existence n₀ for any c
  f(n) < c.g(n) this is true
  2n^2 < c.n^3 = 2 < c.n
  This is true for any c, because for any arbitrary c we can
  choose no such that the above inequality holds.
  Hence f(n) \in o(g(n))
```

# Asymptotic notations for Little o

Example 4: Prove that  $n^2 \notin o(n^2)$ 

#### Proof:

Assume that  $f(n) = n^2$ , and  $g(n) = n^2$ Now we have to show that  $f(n) \notin o(g(n))$ 

#### Since

$$f(n) < c.g(n) ? n^2 < c.n^2 ? 1 \le c,$$

In our definition of small o, it was required to prove for any c but here there is a constraint over c. Hence,  $n^2 \notin o(n^2)$ , where c = 1 and  $n_0 = 1$ 

- Little Omega Notation
- $f(n) \in \omega(g(n))$  if: $\forall c > 0, \exists n 0$  such that  $f(n) > c \cdot g(n)$  for all  $n \ge n 0 \forall$

For every constant c>0, there exists a constant  $n_0$  such that for all  $n\geq n_0$ ,

$$f(n) > c \cdot g(n)$$

• So, no matter how large the constant c, f(n) eventually outgrows  $c \cdot g(n)$ .

# Asymptotic notations for Little omega

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Proof:  \text{Assume that } f(n) = 5.n^2 \text{ , and } g(n) = n   f(n) \in \Omega(g(n)) ?  We have to prove that for any c there exists n_0 s.t.,  c.g(n) < f(n) \quad \text{?} \quad n \geq n_0   c.n < 5.n^2 \, \text{?} \quad c < 5.n  And hence f(n) \in \omega(g(n)),
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# Asymptotic notations Comparison

Notation	Symbol	Meaning	Definition (Inequality Form)	Growth Relation	Bound Type
Big-O	O(g(n))	Upper Bound	$egin{aligned} \existsc>0,\;\existsn_0:\ f(n)\leq c\cdot g(n)\;orall n\geq \ n_0 \end{aligned}$	f(n) grows at most like $g(n)$	Loose or Tight Upper Bound
Little-o	o(g(n))	Strict Upper Bound	$egin{aligned} orall  c > 0, \; \exists  n_0 : \ f(n) < c \cdot g(n) \; orall n \geq \ n_0 \end{aligned}$	f(n) grows strictly slower than $g(n)$	Strict (Loose) Upper Bound
Big- Omega	$\Omega(g(n))$	Lower Bound	$\existsc>0,\ \existsn_0: \ f(n)\geq c\cdot g(n)\ orall n\geq n_0$	f(n) grows <b>at least</b> like $g(n)$	Loose or Tight Lower Bound
Little- omega	$\omega(g(n))$	Strict Lower Bound	$egin{aligned} orall  c > 0, \; \exists  n_0 : \ f(n) > c \cdot g(n) \; orall n \geq n_0 \end{aligned}$	f(n) grows strictly faster than $g(n)$	Strict (Loose) Lower Bound

# Summery

Asymptotic Notation