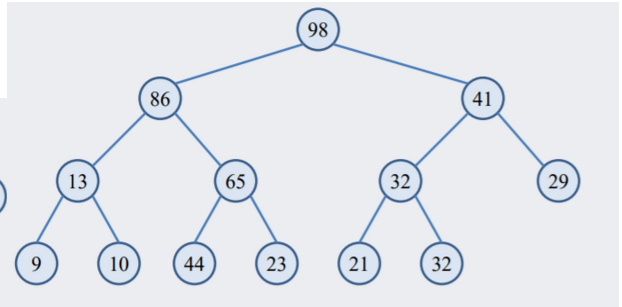
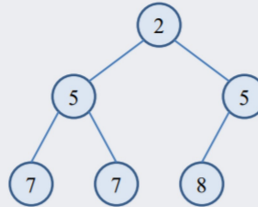
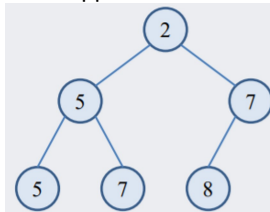
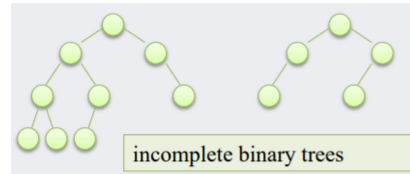


Insertion Removal Heapsort

November 13, 2019 8:08 AM

Binary heap

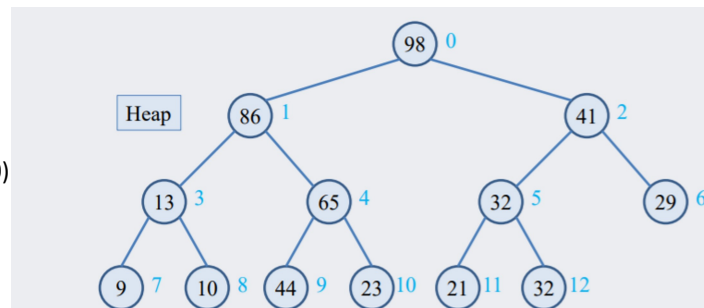
- heap is binary tree with 2 properties
 - o Complete
 - all levels except bottom must be completely filled in
 - Leaves on the bottom level are as far to left as possible
 - o Partially Ordered
 - For max heap, value of node at least as large as its children's values
 - For min heap, value of node is no greater than its children's values
- Duplicate priority values
 - o two binary heaps can contain same data, but items may appear in different positions



- Heap implementation
 - o can be implemented using arrays
 - o natural method of indexing tree nodes
 - o Index nodes from top to bottom and left to right
 - o heaps are complete binary trees, no gaps in array
- Referencing nodes
 - o to move, find index of parent of a node or children
 - o array indexed from 0 to n-1
 - o Each level's nodes indexed from $2^{\text{level}-1}$ to $2^{\text{level}+1}-2$ (root = 0)
 - o children of node i are the array elements indexed at $2i+1$ and $2i+2$
 - o parent of node i is array element at $(i-1)/2$

Heaps are *not* fully ordered – an in-order traversal would result in:

9, 13, 10, 86, 44, 65, 23, 98, 21, 32, 32, 41, 29

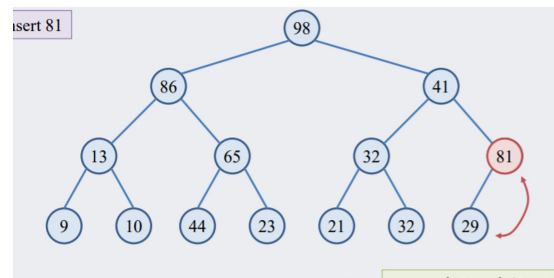
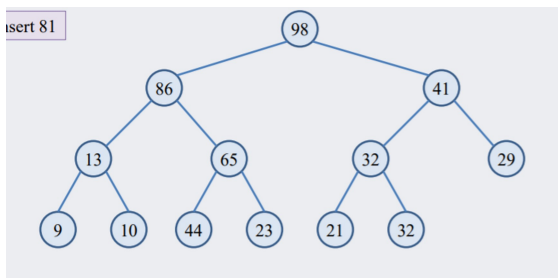


Underlying array

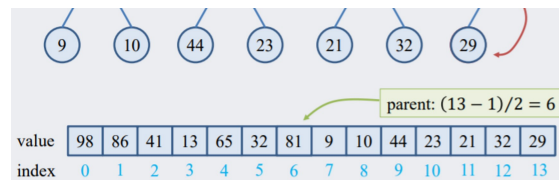
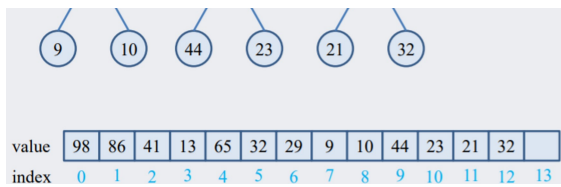
value	98	86	41	13	65	32	29	9	10	44	23	21	32
index	0	1	2	3	4	5	6	7	8	9	10	11	12

```
typedef struct MinHeap {
    int size; // number of stored elements
    int capacity; // maximum capacity of array
    int* arr; // array in dynamic memory
} MinHeap;
void initializeMinHeap(MinHeap* h, int initcapacity) {
    h->size = 0;
    h->capacity = initcapacity;
    h->arr = (int*) malloc(h->capacity * sizeof(int));
}
```

- Heap insertion
 - o heap properties have to be maintained
 - o 2 general strategies that could be used to maintain heap properties
 - make sure tree is complete and fix ordering
 - make sure ordering is correct first
 - o insertion algorithm ensures tree is complete
 - make new item in first available (leftmost) leaf on bottom level
 - fix partial ordering, compare new value to parent, swap if new value greater than parent



parent: $(13 - 1) / 2 = 6$

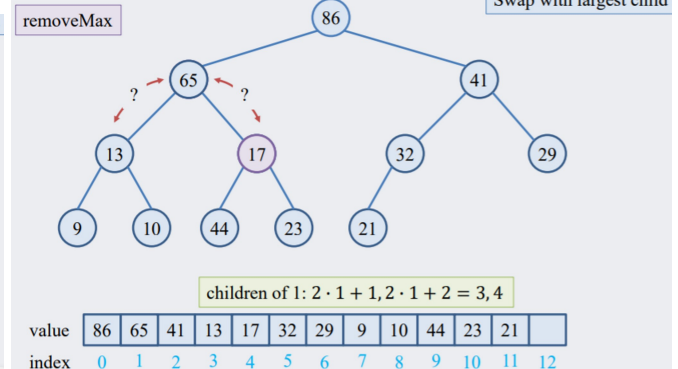
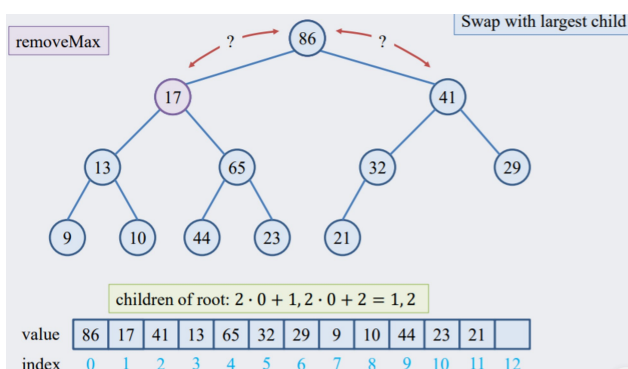
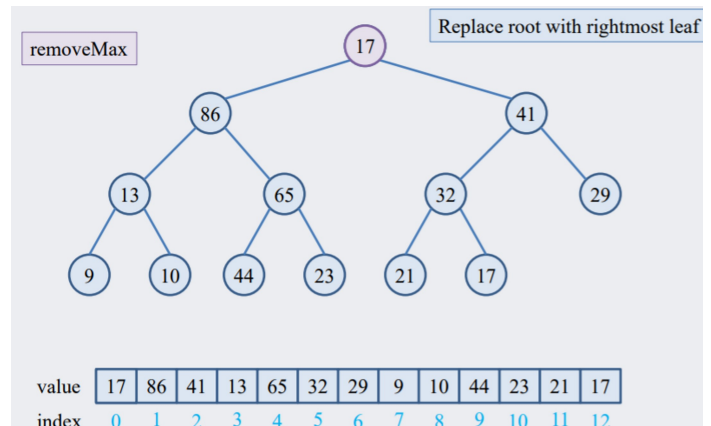
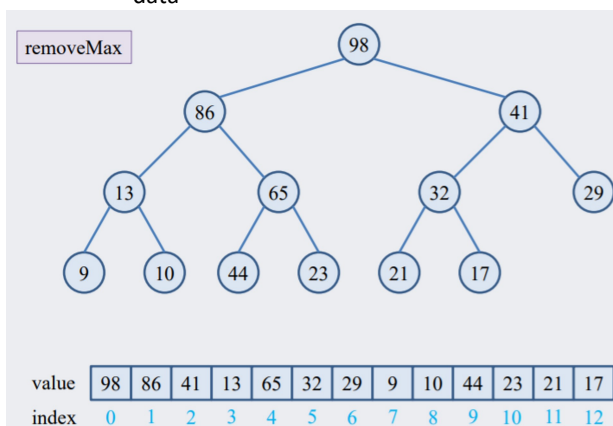


- Complexity

- Item inserted at bottom level in first available space, tracked using size attribute
- $O(1)$ access using array index
- Repeated heapify-up operation, moves inserted value up on level in tree
- Upper limit on number of levels in complete tree $O(\log n)$
- Heap insertion has worst case performance of $O(\log n)$

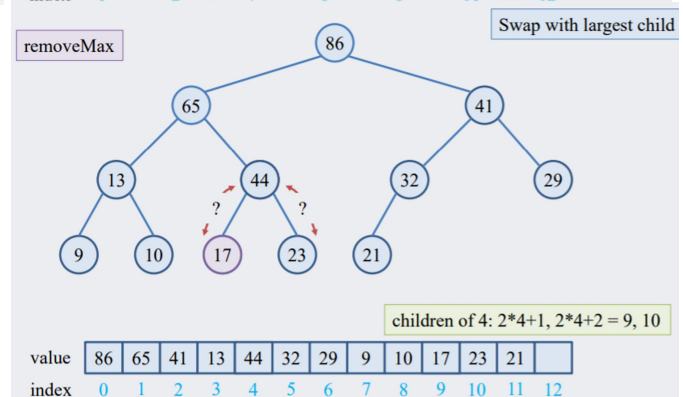
- Removing priority item

- properties must be satisfied after removal
- make temp copy of root's data
- heap remains complete, replace root node with right most leaf
- swap new root with largest valued child until partially ordered property holds, return copied root's data



- Complexity

- Similar to insertion
- replace root with last element - $O(1)$
- repeated heapify-down operations from root move 1 level closer to bottom how many levels? $O(\log n)$
- worst case $O(\log n)$



Array implementation and insertion

- expand when array is full
- copy array contents into new array same indices

Sorting with heaps

- Removal of node from heap performed in $O(\log n)$ time
- Nodes removed in order
- Removing all nodes one by one result in sorted output

- Removal of all nodes from heap is a $O(n \log n)$ operation
- heap is an array, can be used to return sorted data in $O(n \log n)$ time
- cant assume that data to be sorted happens to be a heap
 - o can put it in a heap
 - o inserting in a heap is a $O(\log n)$ operation, so inserting n items is $O(n \log n)$
- buildHeap
 - o to create a heap from unordered array, repeatedly call heapifyDown