

# Photons, Waves, Tunneling, Particle Boxes

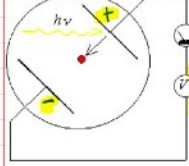
Wednesday, November 4, 2020 6:04 PM

## Electrons / Photons

- Electromagnetic radiation  $\rightarrow$  particles = photons
- $E_{\text{photon}} \propto \text{freq} \propto \text{momentum} \propto 1/\text{wavelength}$

$$E = h\nu \quad c = \nu \lambda \quad \text{Photon Energy}$$

## Photoelectric Effect



- Light's photon sufficient to eject  $e^-$
- Transfers energy  $\rightarrow$  needs minimum energy: work function

Wavelength: Long  $\rightarrow$  No Emission  $e^-$ , even @  $\uparrow f$   
Short  $\rightarrow$  Emission  $e^-$ , even @  $\downarrow f$

## Kinetic Energy & Work Function

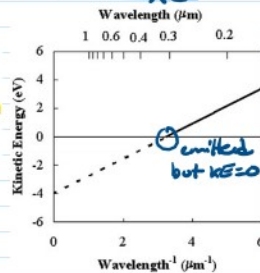
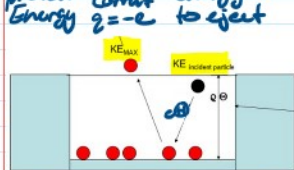
- $E$  of  $e^-$  ejected  $\propto$  light's freq.

$$KE = h\nu - \phi \rightarrow \phi = \text{min. } E \text{ to eject } e^-$$

$$\phi = \text{work function (eV)}$$

$$h\nu = V_0 + \phi \rightarrow \phi = \frac{hc}{\lambda} + V = \frac{hc}{\lambda} \rightarrow \phi = q\phi_m$$

photon energy  $q = -e$  to eject



$$KE_{\text{max}} = KE_{\text{incident particle}} - \phi$$

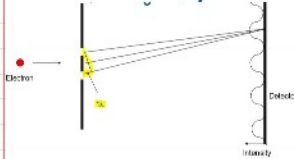
$\rightarrow$  Energy of Ejected  $e^-$

- Momentum:  $p = \frac{h}{\lambda}$
- Visible light: 400nm - 700nm  $\rightarrow$  photons 1.7 - 3.2 eV
- Conversion: 1eV =  $1.60218 \times 10^{-19}$  J  $\rightarrow e^-$
- $\phi \approx 2.1 - 5.5$  eV for metals
- Not every photon leads to ejection:
  - If  $E_{\text{photon}} < \phi \rightarrow$  NO
  - If  $E_{\text{photon}} > \phi \rightarrow$  Maybe, may collide w/ other  $e^-$ , not enough  $E$

## Electrons as Waves

- Form standing waves  $\rightarrow$  orbitals (atomic, bonding, molecular)
- In solids: waves  $\rightarrow$  Energy bands & bands

## Double Slit Experiment



- Constructive Interference  $\rightarrow$  pathlength differs by  $n\lambda$

## Wave Particle Duality

- $e^-$  has mass & charge but interferes like waves
- De Broglie:  $\lambda = \frac{h}{p} = \frac{h}{\hbar k} \rightarrow k = \frac{2\pi}{\lambda}$
- Heisenberg Uncertainty Principle
  - The better we know  $e^-$  position, the worse we know momentum /  $\lambda$  / speed
  - $\Delta x \Delta p \geq \hbar/2 \rightarrow \hbar = \frac{h}{2\pi}$  (planck's const)

## Kinetic Energy for $e^-$ wave:

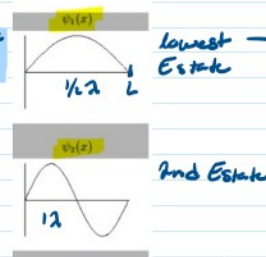
$$KE = \frac{p^2}{2m} = \frac{\hbar^2 k^2}{2m} = \frac{\hbar^2}{2m} \left(\frac{2\pi}{\lambda}\right)^2$$

$$KE = \frac{\hbar^2}{2m} \frac{4\pi^2}{\lambda^2}$$

$$\frac{1}{2}\lambda \text{ has the highest KE}$$

$$E_{\text{total}} = KE + U \rightarrow U = PE$$

$$\lambda \propto \uparrow p \propto \uparrow KE$$

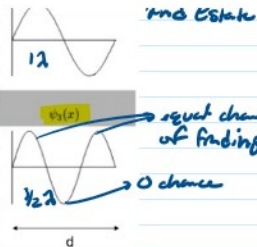


lowest state  $\rightarrow$  longest  $\lambda$  allowed =  $2L$   $\therefore$  lowest order  $\lambda$  has sheet length  $L = \frac{\lambda}{2}$  &  $\psi(x) = 0$  at walls

For lowest  $E$   $\lambda$ :  $\lambda = 2L$ ,  $k = \frac{2\pi}{\lambda}$ ,  $\psi(x) = A \sin\left(\frac{2\pi x}{L}\right)$   
in 3D:  $\psi(x,y,z) = A_x \sin\left(\frac{2\pi x}{L}\right) A_y \sin\left(\frac{2\pi y}{L}\right) A_z \sin\left(\frac{2\pi z}{L}\right)$

## Schrödinger's Equation

-  $E_{total} = KE + U \rightarrow U = PE$   
 -  $\Delta \lambda = \frac{h}{p} \neq \frac{h}{KE}$



### Schrödinger's Equation

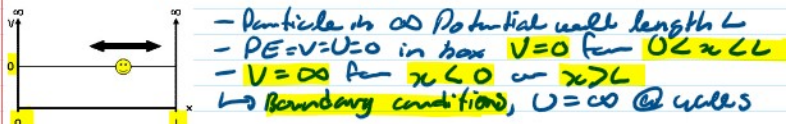
1D: 
$$\underbrace{j\hbar \frac{\partial}{\partial t}}_{\text{Total E}} = \underbrace{-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2}}_{KE} + \underbrace{U\psi}_{PE}$$

### 1D Particle Box

- Particle moving horizontally in infinite well

- Solutions:  $E \rightarrow$  Allowed Energy  
 $\psi(x) \rightarrow$  wave function, when squared = probability of locating  $e^-$  @  $x$  at given  $E$  level

#### ① Define potential Energy $V$



#### ② Solve Schrödinger's Equation

- For particle mass  $m$  moving w/ Energy  $E$ :

$$E\psi(x) = -\frac{\hbar^2}{2m} \frac{d^2\psi(x)}{dx^2} + V(x)\psi(x) \xrightarrow{V(x)=0} E\psi(x) = -\frac{\hbar^2}{2m} \frac{d^2\psi(x)}{dx^2}$$

$\rightarrow$  General soln:  $\psi(x) = A \sin(kx) + B \cos(kx)$

#### ③ Define wave function

- Apply Boundary conditions: @  $x=0$  or  $L$ ,  $\sin(0)=0 \neq \cos(0)=1$ ,  $\psi(x)=0$

$\rightarrow$  solve for  $k$ :  $k = \left(\frac{8m^2 E}{\hbar^2}\right)^{1/4} \therefore B=0, \psi(x) = A \sin(kx) \rightarrow k = \frac{2E}{\hbar^2}$

$\therefore \psi = A \sin\left(\frac{8m^2 E}{\hbar^2}\right)^{1/4} x$

$\rightarrow$  solve for  $A$ : use Boundary conditions  $x=L \rightarrow \psi(L)=0$

$$\left(\frac{8m^2 E}{\hbar^2}\right)^{1/4} L = n\pi$$

$\therefore \psi = A \sin\left(\frac{n\pi}{L}\right) x \rightarrow$  Normalize wave function:  $\int_0^L \psi^2 dx = 1 \rightarrow A = \sqrt{\frac{2}{L}}$

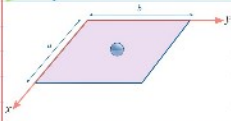
$\therefore \psi = \sqrt{\frac{2}{L}} \sin\left(\frac{n\pi}{L}\right) x$



#### ④ Allowed Energies

$$E_n = \frac{n^2 \hbar^2}{8mL^2}$$

### 2D Particle Box



- Boundary Conditions:  $0 < x < a, 0 < y < b$

$$V(x,y) = 0 \text{ for } \psi(x,y,z) = 0 \text{ @ walls}$$

- Schrödinger Eqn 2D:  $E\psi(x,y) = -\frac{\hbar^2}{2m} \left( \frac{\partial^2 \psi(x,y)}{\partial x^2} + \frac{\partial^2 \psi(x,y)}{\partial y^2} \right) + V(x,y)\psi(x,y)$

$\therefore V(x,y) = 0 \therefore E\psi(x,y) = -\frac{\hbar^2}{2m} \left( \frac{\partial^2 \psi(x,y)}{\partial x^2} + \frac{\partial^2 \psi(x,y)}{\partial y^2} \right)$

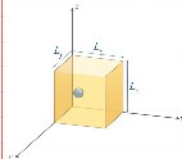
$\psi(x,y) = \psi_x(x) \psi_y(y)$

- General Soln:  $\psi_x(x) = A_x \sin(k_x x) + B_x \cos(k_x x)$   
 $\psi_y(y) = A_y \sin(k_y y) + B_y \cos(k_y y)$

$\psi(x,y) = N \sin\left(\sqrt{\frac{2m E_x}{\hbar^2}} x\right) \sin\left(\sqrt{\frac{2m E_y}{\hbar^2}} y\right) = N \sin\left(\frac{n_x \pi x}{L_x}\right) \sin\left(\frac{n_y \pi y}{L_y}\right) \rightarrow$  normalize:  $N = \frac{2}{L}$

- Allowed Energy:  $E_{n_x, n_y} = \frac{\hbar^2 \pi^2}{2m L^2} (n_x^2 + n_y^2)$

### 3D Particle Box



- Boundary Conditions:  $0 < x < L_x, 0 < y < L_y, 0 < z < L_z$

-  $V(\neq 0)$  potential in box  $\rightarrow \vec{F} = L_x \hat{x} + L_y \hat{y} + L_z \hat{z}$

$E\psi(r) = -\frac{\hbar^2}{2m} \left( \frac{\partial^2 \psi(r)}{\partial x^2} + \frac{\partial^2 \psi(r)}{\partial y^2} + \frac{\partial^2 \psi(r)}{\partial z^2} \right) \psi(x,y,z) = \psi_x(x) \psi_y(y) \psi_z(z)$

$\rightarrow$  normalized:  $\psi(r) = \sqrt{\frac{8}{L_x L_y L_z}} \sin\left(\frac{n_x \pi x}{L_x}\right) \sin\left(\frac{n_y \pi y}{L_y}\right) \sin\left(\frac{n_z \pi z}{L_z}\right) \rightarrow$  normalize:  $A_x = A_y = A_z = \sqrt{\frac{2}{L}}$

- Allowed Energy:  $E_{n_x, n_y, n_z} = \frac{\hbar^2}{8m} \left( \frac{n_x^2}{L_x^2} + \frac{n_y^2}{L_y^2} + \frac{n_z^2}{L_z^2} \right) = KE$

$e$  ground state (lowest  $E$ ):  $KE_{1,1,1} = \frac{3\hbar^2}{8mL^2} \rightarrow$  Total  $E = KE + U_0$  (potential  $E$ )

- Degeneracy: -  $e$  ground state  $\rightarrow$  1 wave function  $\therefore$  non-degenerate  $\rightarrow (1,1,1)$



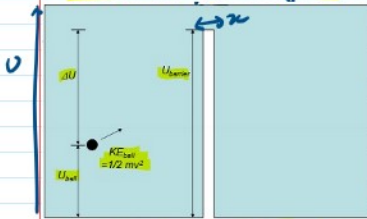
@ ground state (lowest E):  $KE_{1,1,1} = \frac{8\pi^2}{8mL^2}$

- Degeneracy: - @ ground state  $\rightarrow$  1 wave function: - non-degenerate  $\rightarrow (1,1,1)$ 
  - If has > 1 states/wave func: - degenerate
  - $\rightarrow$  Sum of squares of quantum numbers = same  $\rightarrow n^2 = n_x^2 + n_y^2 + n_z^2$
  - # wave func = degree of degeneracy of energy level
  - $n^2 = \text{coeff. to multiply } \frac{h^2}{8mL^2}$

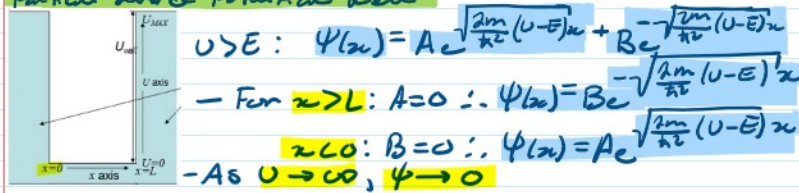
$n_x^2 + n_y^2 + n_z^2$	Combinations of Degeneracy ( $n_x, n_y, n_z$ )			Total Energy ( $E_{n_x, n_y, n_z}$ )	Degrees of Degeneracy
3	(1,1,1)			$\frac{3h^2}{8mL^2}$	1
6	(2,1,1)	(1,2,1)	(1,1,2)	$\frac{6h^2}{8mL^2}$	3
9	(2,2,1)	(1,2,2)	(2,1,2)	$\frac{9h^2}{8mL^2}$	3
11	(3,1,1)	(1,3,1)	(1,1,3)	$\frac{11h^2}{8mL^2}$	3
12	(2,2,2)			$\frac{12h^2}{8mL^2}$	1

## Tunneling

- Transistor size  $\rightarrow$  tunneling b/w gate channel, energy leakage
- Consequence of wave nature of  $e^-$ 
  - $\rightarrow$  "leaks" into regions they don't have enough E to be in
- Soln. of wave func:  $\psi(x) = A e^{\sqrt{\frac{2m}{\hbar^2}(U-E)}x} + B e^{-\sqrt{\frac{2m}{\hbar^2}(U-E)}x}$ 
  - Potential E:  $U = mgh$
  - $KE = \frac{1}{2}mv^2$
  - If enough U, go over "barrier"
  - $\downarrow x = \downarrow$  probability that  $e^-$  in well
  - $\rightarrow$  If narrow,  $e^-$  may leak through or divide across both sides



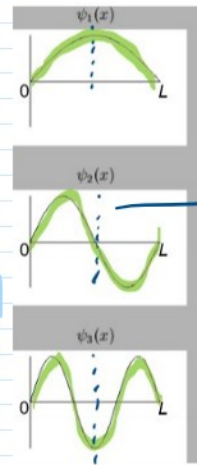
## Particle inside Potential well



$U > E: \psi(x) = A e^{\sqrt{\frac{2m}{\hbar^2}(U-E)}x} + B e^{-\sqrt{\frac{2m}{\hbar^2}(U-E)}x}$

- For  $x > L: A = 0 \therefore \psi(x) = B e^{-\sqrt{\frac{2m}{\hbar^2}(U-E)}x}$

- As  $U \rightarrow \infty, \psi \rightarrow 0$



mean position of state

## Infinite Potential Well: $U_{max} \rightarrow \infty$

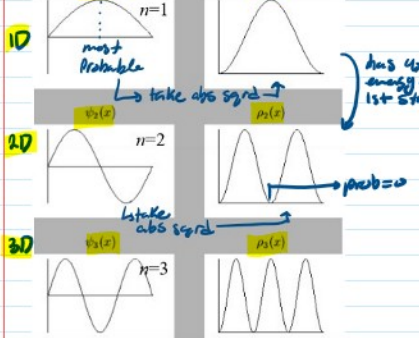
Soln. in well where  $U=0: \psi(x) = A e^{i\sqrt{\frac{2m}{\hbar^2}E}x} + B e^{-i\sqrt{\frac{2m}{\hbar^2}E}x}$

$\rightarrow$  Boundary conditions:  $\psi=0$  @  $x=0$ ,  $U_{max} \rightarrow \infty$  @  $x=L$

@  $x=0, B = -A, \psi=0$  or  $\psi(x) = A' \sin(\sqrt{\frac{2mE}{\hbar^2}}x)$

@  $x=L, \sin(kL)=0, kL=n\pi$  or  $n\pi = \sqrt{\frac{2mE}{\hbar^2}}L$

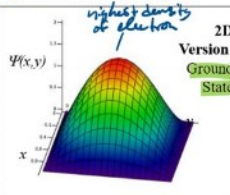
- Allowed Energy levels:  $E(n) = \frac{n^2\pi^2\hbar^2}{2mL^2} \rightarrow KE = \frac{\hbar^2 k^2}{2m}$



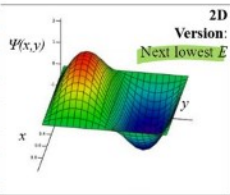
## 1D Box

### 1st Energy level

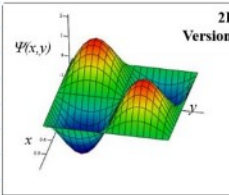
(Box of dimensions  $x_0 \times y_0$ )



### 2nd Energy level



### 4th Energy level



1D:  $E(n) = \frac{n^2\pi^2\hbar^2}{2mL^2}$  2D:  $E(n_x, n_y) = \frac{\hbar^2}{8m} (\frac{n_x^2}{x_0^2} + \frac{n_y^2}{y_0^2})$

3D:  $E(n) = \frac{\hbar^2}{8mL^2} (n_x^2 + n_y^2 + n_z^2)$

