OpenAS2 Server Application

Table of Contents

Introduction	. 1
Glossary	. 1
Glossary	. 1
Configuration	2
4.1. Application Configuration	
4.2. Partner Configuration	. 4
4.2.1. Partner Definition	.4
4.2.2. Partnership Definition	
4.3. Certificate Configuration	
4.4. Logging Configuration.	. 5
4.4. Logging ConfigurationRunning OpenAS2 Festing OpenAS2 Transfers	5
Testing OpenAS2 Transfers	.8
Remote Control	. 8
Dynamic Variables	
Appendix: config.xml file structure	
Appendix: partnership.xml file structure	
Appendix: command.xml file structure	

1. Introduction

The OpenAS2 application enables you to transmit and receive AS2 messages with EDI-X12, EDIFACT, XML, or binary payloads between trading partners. This document describes how to install, configure, and use OpenAS2. In this document a partner can be either your own company or a company you will be exchanging data with using AS2.

The examples in this document are based on Unix type OS but in general the only significant difference is that it may be necessary to use \ instead of / for folder name separators on Windows based machines but because the application is Java it should work fine leaving the / for the most part as it will do the conversion if necessary.

2. Glossary

EDI – Electronic Data Interchange

MDN - Message Disposition Notification

3. Installing OpenAS2

To be able to run the OpenAS2, you will need:

1. Java[™] installed on the machine you intend to run the OpenAS2 server on – this document uses Java 1.6.

- 2. The OpenAS2 package version you wish to use. The downloadable packages can be found here: https://sourceforge.net/projects/openas2/files
- 3. Java Cryptography Extension (JCE) policy files you can download the correct version from the Java website. Search "Java Cryptography Extension Unlimited Strength" to find the right cryptography extension for your version of Java. The current link for Java8 is here.

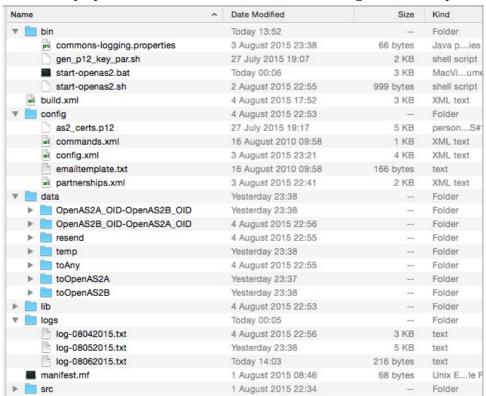
The following steps will provide an installed app on a target machine:

 Unzip the downloaded OpenAS2 package into a suitable location, which we will call <install_dir>.

NOTE: Typical values for <install_dir> locations are /opt/OpenAS2 under Linux®/Unix or C:\OpenAS2 under Microsoft® Windows®.

2. For the encryption and certificate management to work correctly, you must have the proper JCE policy files installed in your version of Java. The downloaded zip archive contains the two files local_policy.jar and US_export_policy.jar. Install them into your Java location under <JAVA_HOME>/lib/security. Back up the existing files before installing these new ones. There are numerous detailed articles on the web for installing these files if you need more information.

The file structure will look something like the figure below without the data and logs folders which are created automatically by the server when it starts based on configuration if they do not exist.



4. Configuration

This section explains the details of the configuration files and how they link together.

The OpenAS2 server uses four files to configure and execute:

- 1. config.xml configures the application
- 2. partnerships.xml configures the partners
- 3. as2_certs.p12 stores the PKCS12 certificates for all partners
- 4. commands.xml stores the commands that the application will support. This file should not be modified

The folder containing the config.xml file defines the *home* configuration parameter that can be used to reference other files on the file system relative to a known base folder in the app. This is done by encapsulating *home* in percentage signs (*%home%*). All files can be referenced relative to this parameter and it is how the default config.xml file defines the location of other configuration and data file locations used by the OpenAS2 application.

4.1. Application Configuration

The file named "config.xml" configures the modules that will be activated by the AS2 server when it starts up. This file can be located anywhere within the disk subsystem on which the OpenAS2 application runs as it is passed into the application as a startup parameter.

Some of the key configuration settings in the config.xml file are:

- define the modules to be activated in the OpenAS2 application
- override module default classes in the AS2 code base
- enhance or change behaviour of modules and the inputs and outputs of the modules.
- define the location of the certificates keystore
- define the location of the partnerships configuration file
- specify the listening ports

See appendices for a detailed definition of the config.xml file structure.

There are 2 listening ports for inbound connections (see partnerships.xml config for outbound connections) used for:

- 1. receiving messages and synchronous MDN's default port number 10080
- 2. receiving asynchronous MDN's default port number 10081

The port numbers are arbitrary and defaulted to a number above 1024 that does not require root access to listen on (normally on Unix type systems any port below 1024 requires root access). The port values are important to the partner you will be communicating with if they will be sending AS2 messages to your system. For outbound only systems, it is only necessary to have a listener for asynchronous MDN's if using that mechanism for MDN's.

Each module has a number of attributes that can be configured on the module element to control and change how the module behaves.

4.2. Partner Configuration

The file named partnerships.xml configures all the information relating to the partners you will be exchanging data with. See the appendix for information on the structure of this file.

It is important to keep in mind that the word *partner* refers to any entity specified as a recipient or sender of AS2 messages and includes your own company that you might be configuring the application for.

Each partner will require the following entries in the file:

- a **<partner>** element key information defining the partner
- a <partnership> element key information for defining a partnership between 2 partners
 Separate <partnership> elements are required for inbound and outbound data for a specific partner pairing.
 - NOTE:It is not necessary to have 2 elements if data transfer is unidirectional.

4.2.1. Partner Definition

The **partner>** element requires 3 attributes to enable AS2 partner identification:

- 1. partner name this is the key to connect partnerships to a partner definition
- 2. AS2 identifier this is the key for identifying the target/source partner and is included in AS2 message headers to allow the receiving partner to identify the source of the message and verify the target partner for the AS2 message. It is also used by the general directory polling module to look up the partner names and hence the partnership definition where the as2_id of the sender and receiver are part of the transferred file name.
- 3. X.509 certificate alias identifies the alis of the certificates for this partner in the keystore. The encryption and decryption of messages requires the partners public or private key as appropriate

4.2.2. Partnership Definition

The <partnership> element identifies a **specific direction** of AS2 message transfer **from** one partner **to** another. The "name" attribute on the <partnership> element is not important but should be used to clearly identify the intended use of the partnership definition. It is suggested the name value uses the names of the source and destination partners something like xxx-to-yyy.

The <partnership> element encapsulates a number of child elements that are necessary to properly configure a partnership:

- <sender name="xxx"> identifies the sending partner definition such that xxx must match the "name" attribute of a <partner> element
- <receiver name="yyy"> identifies the receiving partner definition such that yyy must match the "name" attribute of a <partner> element
- <as2_url> a fully qualified URI that provides the connection string to the remote partner for sending AS2 messages. If sending to another OpenAS2 server then the port number must

match the value configured in the config.xml file of the remote OpenAS2 server.

<as2_mdn_to> - a fully qualified URI that provides the connection string to the remote
partner for sending asynchronous AS2 MDN's. If sending to another OpenAS2 server then
the port number must match the value configured in the config.xml file of the remote
OpenAS2 server.

The default content transfer encoding uses "8bit" if not explicitly overwritten in the configuration. Many AS2 implementations use "binary" and this can be changed using the

"content_transfer_encoding" attribute in the partnership.xml file. If you experience issues with failing to verify a partners AS2 inbound message because the message contains CR/LF data in it then you should switch to using "binary" for the transfer encoding. The sample partnership file sets the transfer encoding to "binary" for both partners.

4.3. Certificate Configuration

The certificate store used by default is a PKCS12 key store and stores all X.509 certificates. The key store must contain the private key of your own X.509 certificate and the public key for each of your trading partners X.509 certificates.

The certificates must be stored with the matching alias as specified in the partner definition of each partner in the partnership.xml file.

There is a shell file to help generating certificates: **bin/gen_p12_key_par.sh**An excellent open source visual keystore manager that will run on any OS can be found here: http://portecle.sourceforge.net/

4.4. Logging Configuration

The logging system supports the use of either or both the *commons-logging.properties* file or a file named *openas2log.properties* to control the logging level. Properties in openas2log.properties will override commons-logging.properties entries. There is a commons-logging.properties file in the *bin* directory which is part of the classpath specified in the script file described in the section on running the application.

The properties in the *openas2log.properties* file should be prefixed by "org.openas2.logging."

The following are the logging levels supported by the application in order of lowest(finest) to highest:

"TRACE", "DEBUG", "INFO", "WARN", "ERROR", "FATAL"

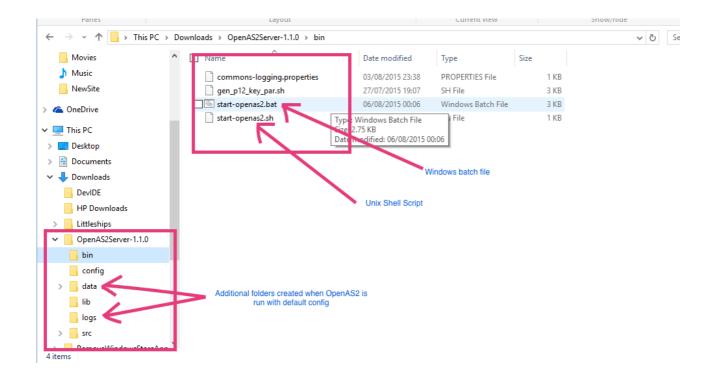
The logging levels are turned off by specifying the level you want on and all other levels higher than that level will also be turned on.

The default level is INFO and therefore WARN, ERROR and FATAL are also turned on by default. By adding a property level=DEBUG in the common-logging.properties file will result in DEBUG logging being enabled along with INFO, WARN, ERROR and FATAL

The same can be achieved by adding org.openas2.logging.openas2log.level=DEBUG in the openas2log.properties file.

5. Running OpenAS2

The default install of the application is as in the figure below from a windows PC.



There are 2 executable script files in the *bin* folder of the AS2 application root as indicated in the screenshot above:

- 1. start-openas2.sh for UNIX based systems
- 2. start-openas2.bat for Microsoft Windows based system

It is not necessary to modify these files for the default install to work. If you choose to put the config.xml file in a different location than the default then you will need to edit the appropriate script file and set the path to the config.xml file appropriately.

Simply execute the script file and an AS2 server will start up. It will create the following folders along with sub folders when it starts assuming no change to the default config:

- logs contains the norml program logging
- data contains all the transferred files and any AS2 specific headers associated with AS2 transfers. This folder will have a number of sub folders for outbound and inbound files for different partners

In Microsoft Windows you should be able to double click the start-openas2.bat file and a command window will open as below.

```
OpenAS2 v1.1.0
Starting Server...
Loading configuration...
Registering Session to Command Processor...
Starting Active Modules...
OpenAS2 Started
08/06/15 14:03:07 OpenAS2Server: - OpenAS2 Started -
Loading Command Processor...[Thread[Thread-2,5,main], Thread[Thread-3,5,main]]
Loading Command Processor...[Thread[Thread-2,5,main], Thread[Thread-3,5,main]]
```

No command prompt is shown initially but you can enter a command or just press <ENTER> to get a visible prompt. Typing ? Will show possible commands. Each command will list sub commands they require if you try to enter them without the appropriate parameters. A sample is shown below.

```
OpenAS2 v1.1.0
Starting Server...
Loading configuration...
Registering Session to Command Processor...
Starting factive Modules...
OpenAS2 Started
80/86/15 1408:07 OpenAS2Server: - OpenAS2 Started -
Loading Command Processor...[Thread[Thread-2,5,main], Thread[Thread-3,5,main]]
Loading Command Processor...[Thread[Thread-2,5,main], Thread[Thread-3,5,main]]
#3?
Error: command not found> ?
List of commands:
exit
cert
partner
tisport
importbystream
list
delete
clear
view

#5-cert list
Oxi
Openas2a
Openas2a
Openas2a
Openas2a
Openas2b
```

There will be some logging as the application starts and it will then provide a command prompt (you may need to press the ENTER key to see it due to logging events.

You can enter commands after startup by typing in the console window.

6. Testing OpenAS2 Transfers

The default configuration of the OpenAS2 configuration is set up for two partners named "OpenAS2A" and "OpenAS2B". The system will effectively send messages to itself between the 2 configured partners. You can simply start the OpenAS2 server without any changes and then copy a file into the appropriate outbox as defined by the relevant module using the org.openas2.processor.receiver.AS2DirectoryPollingModule classes "outboxdir" attribute to send the file to the desired partner.

The default configuration provides for 2 partners OPENAS2A and OPENAS2B and will create outbox folders <*installDir*>/*data/toOpenAS2A* and <*installDir*>/*data/toOpenAS2B* for explicitly targeting a partner for any file dropped in one of those folders.

If you wish to run 2 OpenAS2 servers on the same machine then the ports on the 2nd instance of OpenAS2 as configured in the config.xml must be different to those configured on the first instance (see Application Configuration above). The entry in the partnerships.xml file for the 2nd instance for the as2_mdn_to and as2_mdn_to must match the values configured in the 1st instances config.xml for

7. Remote Control

By default the OpenAS2 server application will start up a command processor as a socket listener allowing remote connection to the OpenAS2 server to execute commands. The OpenAS2 remote application is part of the application package but is not necessary to use it if you have no remote access requirement and should be disabled in the config.xml file if not using it by removing or commenting out the **<commandProcessor>** element with classname value **org.openas2.cmd.processor.SocketCommandProcessor**

You can set the port that the command processor listens on using the **portId** parameter.

<commandProcessor

classname="org.openas2.cmd.processor.SocketCommandProcessor" portId="14321" userid="userID" password="pWd"/>

The remote control application will need to connect to the specified port with the specified user ID and password.

8. Dynamic Variables

Variables can be used in configuration files for real time replacement of strings. Some variables are specific to certain processor modules. The variables used in the configuration files are as follows:

\$date.xxx\$::: for date parameters

where xxx is any valid character formatting string defined in java.text.SimpleDateFormat

for example: \$date.YYYY\$ gets the four digit year

\$msg.xxx.yyy\$, from the incoming message, used by MessageFileModule where xxx can be any of the following values to get

- sender
- receiver
- attributes
- headers

for example: \$msq.sender\$ gets the sender id on the message

\$mdn.xxx\$ for message mdn parameters, used by EmailLogger and MDNFileModule where xxx can be any of the following values to get

- msg
- sender
- receiver
- text
- attributes
- headers

for example: \$mdn.text\$ gets the text portion of the MDN

\$exception.xxx\$, used by EmailLogger

where xxx can be any of the follow ing values to get

- name
- message
- trace
- terminated

for example: \$exception.trace\$ gets the trace log of the exception

9. Appendix: config.xml file structure

Node: openas2

• Node: certificates

Attributes

classname

describes the Java class to process the certificate file. for example: *org.openas2.cert.PKCS12CertificateFactory*

filename

defines the file name containing the certificates

for example: %home%/certs.p12

password

opens the file using this password

for example: test

interval

describes how often the file should be check up for updates. Specified

in seconds.

for example: 300

• Node: partnerships

Describes the OpenAS2 classes to handle the trading partner identifications.

Attributes

classname

describes the Java class to process the partnerships file for example: org.openas2.partner.XMLPartnershipFactory defines the file name containing the partnerships definitions describes

for example: %home%/partnerships.xml

• Node: loggers

Describes the OpenAS2 logging classes to use. You must include

-Dorg.apache.commons.logging.Log=org.openas2.logging.Log in your startup call or as a property in the commons-logging.properties file. See http://commons.apache.org/logging/guide.html#commons-logging-api.jar for more information.

Do not use this node when using other logging packages (e.g. log4j) with the OpenAS2 package.

Node: logger (for E-mail logging)
 Optional, if not specified no E-mail logging is performed.

Attributes

classname

describes the Java class to process E-mail logging for example: *org.openas2.logging.EmailLogger* show (Optional)

describes what level of logging to handle

Possible values

- all = all exceptions (terminated or not) and info
- terminated = all terminated exceptions **Default value**
- exceptions = all non-terminated exceptions
- info = all info log entries

for example: terminated

from

describes

for example: openas2

to

describes

for example: your e-mail address

smtpserver

describes the SMTP server to process outgoing e-mail

for example: *mySillyMailerDot.com*

subject

describes the e-mail to the receiving party

for example: \$exception.name\$: \$exception.message\$

bodytemplate

defines the file that contains the body of the message for example: *%home%/emailtemplate.txt*

• Node: **logger** (for file logging)

Optional, if not specified no file logging is performed.

Attributes

classname

describes the Java class to log messages for example: *org.openas2.logging.FileLogger*

filename

defines the name of the output log file.

for example: *%home%/log-\$date.MMddyyyy\$.txt* show (Optional)

describes what level of logging to handle

Possible values

- all = all exceptions (terminated or not) and info **Default** value
- terminated = all terminated exceptions
- exceptions = all non-terminated exceptions
- info = all info log entries

for example: terminated

Node: logger (for Console logging, writes to System.out)
 Optional, if not specified no console logging is performed.

Attributes

classname

describes the Java class to log messages for example: *org.openas2.logging.ConsoleLogger* show (Optional)

describes what level of logging to handle

Possible values

- all = all exceptions (terminated or not) and info **Default** value
- terminated = all terminated exceptions
- exceptions = all non-terminated exceptions
- info = all info log entries

for example: info

Node: commands

Describes the OpenAS2 command classes to use

Attributes

classname

describes the Java class to process the command file for more information see <u>Command File</u> for example: *org.openas2.app.XMLCommandRegistry*

filename

defines the name of the file command all possible commands for example: *%home%/commands.xml*

Node: processor

Describes the OpenAS2 class to handle the message processors.

Attributes

classname

describes the default Java class to handle outgoing message for example: org.openas2.processor.DefaultProcessor

• Node: module

Module that sends out AS2 messages.

Attributes

classname

describes the Java class to send outgoing Messages for example: org.openas2.processor.sender.AS2SenderModule retry

defines the number of attempts for sending a message, default is -1 aka infinite.

for example *retries="3"* will stop sending the message after 3 failures.

connecttimeout

defines the millisecond count before a connection times out. default value is 30000 or 30 seconds.

for example *connecttimeout="60000"* will time out after 60 seconds.

readtimeout

defines the millisecond count before a read times out. default value is 30000 or 30 seconds.

for example *readtimeout="60000"* will time out after 60 seconds.

Node: module

Module that sends out AS2 MDNs asynchronously.

Attributes

classname

describes the Java class to send asynch MDN for example:

 $org. open as 2. processor. sender. A synch MDN Sender Module \\ retry$

defines the number of attempts for sending a message, default value is -1 (infinite.)

for example *retries="3"* will stop sending the message after 3 failures.

connecttimeout

defines the millisecond count before a connection times out. default value is 30000 or 30 seconds.

for example *connecttimeout="60000"* will time out after 60 seconds.

readtimeout

defines the millisecond count before a read times out. default value is 30000 or 30 seconds.

for example *readtimeout="60000"* will time out after 60 seconds.

Node: module

The following will describe a module to process outgoing message placed in a generic directory. The module determines the receiver and send from the file name placed in the directory (see <u>format</u> attribute). This module will look for files in specified directory and file names to send to the default message processor.

Attributes

classname

describes the Java class to process files to be sent to the AS2SenderModule for its delivery process.

for example:

org.openas2.processor.receiver.AS2DirectoryPollingModule outboxdir

defines the directory where files are to be found.

for example: %home%/toAny

errordir

defines directory where files containing errors are redirected to. for example: *%home%/toAny/error*

interval

describes how often the directory is to be checked for work. Specified in seconds. Default is 30 seconds.

for example: 5

delimiters

defines the characters used to parse the incoming file name. Characters are separate the tokens: sender, receiver and file id. for example: -.

format

describes the file name by the tokens sender, receiver and file id. May be in any order. Sender id and receiver id are as defined in the partnership.xml file.

for example: sender.as2_id, receiver.as2_id, attributes.fileid or attributes.mimetype, attributes.mimesubtype, sender.name, receiver.name

mimetype

describes the outgoing message mime message type. for example: *application/EDI-X12*

Node: module

Attributes

classname

describes the Java class to process files for a particular trading

```
partner that are sent to the AS2SenderModule for its delivery
     process.
     for example:
     org.openas2.processor.receiver.AS2DirectoryPollingModule
outboxdir
     defines the directory where outgoing message are defined.
     for example: %home%/toOpenAS2A/
errordir
     defines the directory where erroneous messages are left.
     for example: %home%/toOpenAS2A/error
interval
     describes how often the incoming directory is searched. Defined
     in seconds, default is 30 seconds.
     for example: 5
defaults
     describes the AS2 sender and receiver ids as defined in the
     partnership.xml file.
     for example: defaults="sender.as2_id=OpenAS2A_OID,
     receiver.as2 id=OpenAS2B OID"
protocol
     describes the AS2 protocol, which is AS2.
     for example: as2
mimetype
     describes the outgoing message mime message type.
     for example: application/EDI-X12
```

Node: module

Attributes

classname

describes the Java class to process incoming MDNs

for example: org.openas2.processor.storage.MDNFileModule

filename

describes

for example: %home%/mdn/\$date.yyyy\$/\$date.MM\$/\$mdn.msq.sender.as2_id\$-\$mdn.msq.receiver.as2_id\$-

\$mdn.msg.headers.message-id\$

protocol

describes

for example: as2

tempdir

describes

for example: %home%/temp

• Node: **module** Defines the module to handle messages.

Attributes

classname

describes the Java class to process and store incoming messages for example:

org.openas2.processor.storage.MessageFileModule

filename

describes the location and formatted filename of the stored MDNs.

for example: %home%/inbox/\$msg.sender.as2_id\$-\$msg.receiver.as2_id\$-\$msg.headers.message-id\$

protocol

describes the AS2 protocol

for example: *as2* tempdir (Optional)

defines temporary directory used to store MDNs during message

processing.

for example: %home%/temp

• Node: module

Attributes

classname

describes the Java class to process handle incoming modules

for example:

org.openas2.processor.receiver.AS2ReceiverModule

port

defines the port the server listens on.

for example: 10080

errordir

defines directory where invalid incoming messages are stored.

for example: %home%/inbox/error

errorformat

defines the format of filenames for invalid incoming messages.

for example: sender.as2_id, receiver.as2_id, headers.message-id

Node: module

Attributes

classname

describes the Java class to rehandle messages

for example:

org.openas2.processor.resender.DirectoryResenderModule

resenddir

defines the directory to find message to resend

for example: %home%/resend

errordir

defines the director to store resend messages that are in error.

for example: %home%/resend/error

resenddelay

defines the wait time between resends. Defined in seconds.

Default is 60. for example: *600*

10. Appendix: partnership.xml file structure

This file describes your company and your trading partners. This file requires modification to work with your application

• Node: partnerships

The root node.

Node: partner partner definition

Attributes

name

partner name as defined in OpenAS2 configuration file. *OpenAS2A*

as2_id

partner name as defined in partnership node *OpenAS2A*

x509 alias

Alias as defined in certificate file openas2a

email

E-mail address of partner <u>as2a@MySillyMailerServer.com</u>

• Node: partnership

defines partner relationships between sender and receiver

• Node: partnership

Attributes

name

Unique name of partnership relation. See filename parsing above. *OpenAS2A-OpenAS2B*

• Node: **sender**

Attributes

name

Unique name of Sender

OpenAS2A

Node: receiver

Attributes

name

Unique name of receiver *OpenAS2B*

The following is a list of nodes that use the node name of **attribute**. The subnodes of **attribute** use a name/value node naming pair structure.

• Node: **attribute**

name is **protocol** defines the protocol to use with this partner.

value is as2

name="protocol" value="as2"

• Node: attribute

 \boldsymbol{name} is $\boldsymbol{subject}$ defines text used in E-mail subject line

value

```
name="subject" value="From OpenAS2A to OpenAS2B"
```

• Node: attribute

name is as2_url defines partners AS2 server's URL

value

name="as2_url" value="http://www.MyPartnerAS2Machine.com:10080"/>

• Node: attribute

name is as2_mdn_to defines MDN server's URL
value

name="as2_url" value="http://www.MyPartnerAS2Machine.com:10080" name="as2_mdn_to" value="http://www.MyAS2Machine.com:10081"

• Node: attribute

name is as2_receipt_option defines asynchronous MDN server's URL
value

name="as2_receipt_option" value="http://www.MyAS2Machine.com:10081"

Node: attribute

name is as2_mdn_options defines MDN option values for E-mail header
value

name="as2_mdn_options" value="signed-receipt-protocol=optional, pkcs7-signature; signed-receipt-micalg=optional, sha1"

• Node: attribute

name is **encrypt** defines encrypting algorithm name for E-mail header **value**

name="encrypt" value="3des"

• Node: attribute (optional)

name is content_transfer_encoding defines what the header field should display

value 8bit (default), binary, ...
name="content_transfer_encoding" value="binary"

11. Appendix: command.xml file structure

List of commands available to the OpenAS2 server Application.

- Node: **commands** the root node
 - Node: multicommand

attribute

name

value "cert|part", certificate commands or partnership commands description

value is some useful text

• Node: command

attribute

classname

value is a OpenAS2 classname that will process a command