

CS112: Data Structures

Lecture 7

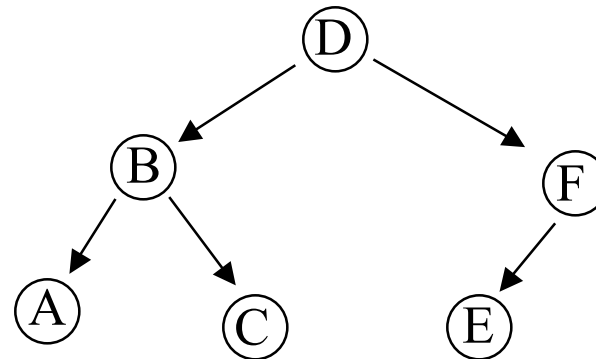
Hashing

Exam in 1 week

- **Exam 1 will be held:**
 - **Wednesday, June 29, 6 - 7:20 pm**
 - **In our normal lecture room**

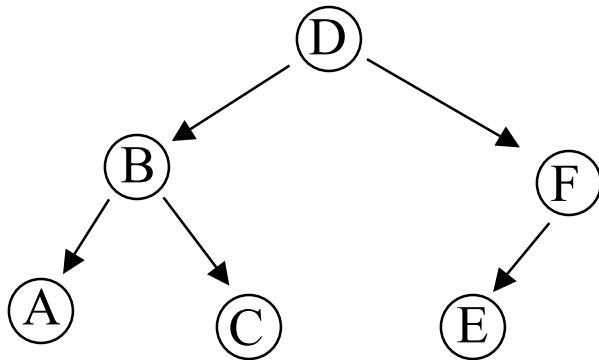
Review: Trees

- **Nodes (vertices) and arcs (edges)**
- **Relationships:**
 - **Parent and Child**
 - D and B, D and E, B and A, etc.
 - **Root and Subtree**
 - B and {B, C, A},
D and {A, B, C, D, E, F},
etc.



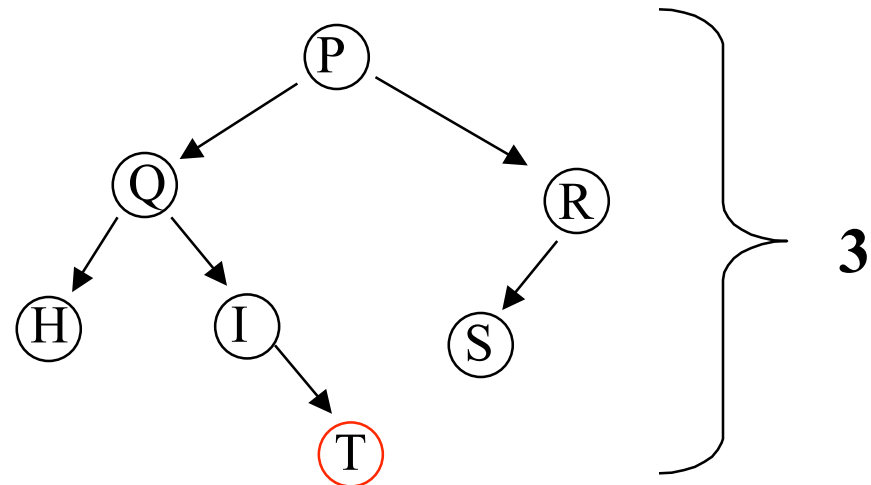
Trees

- **Root** (eg D) has no parents
- **Leaf nodes** have (A, C, and E) have no children
- **All nodes except the root** have a single parent
- **There is exactly one path** from root to any node

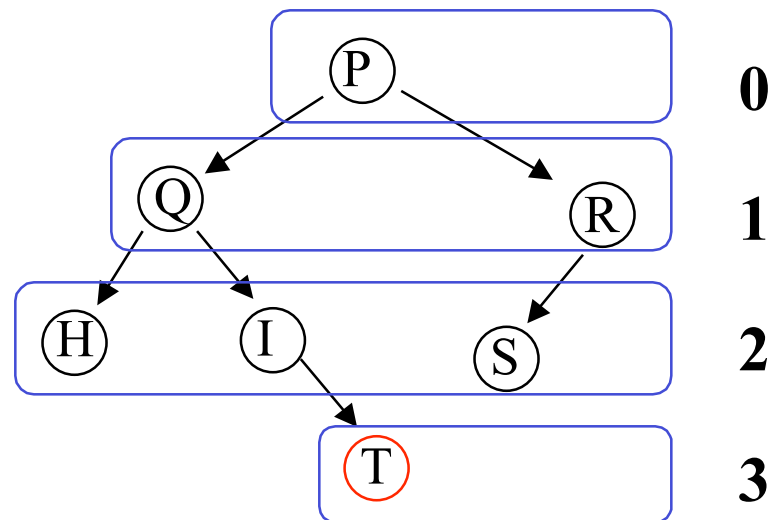


Trees

- **Height of tree**

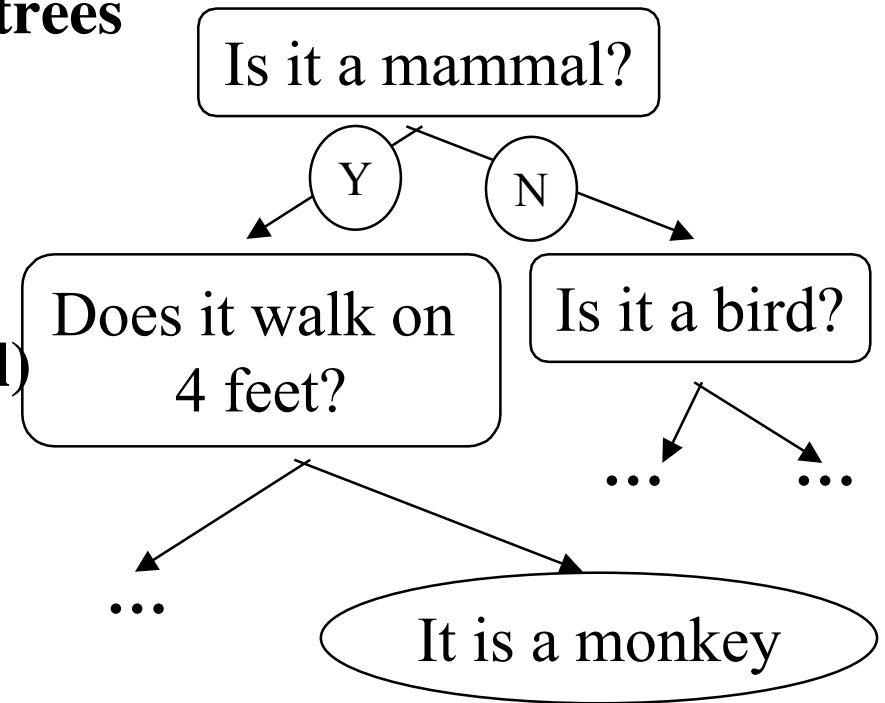
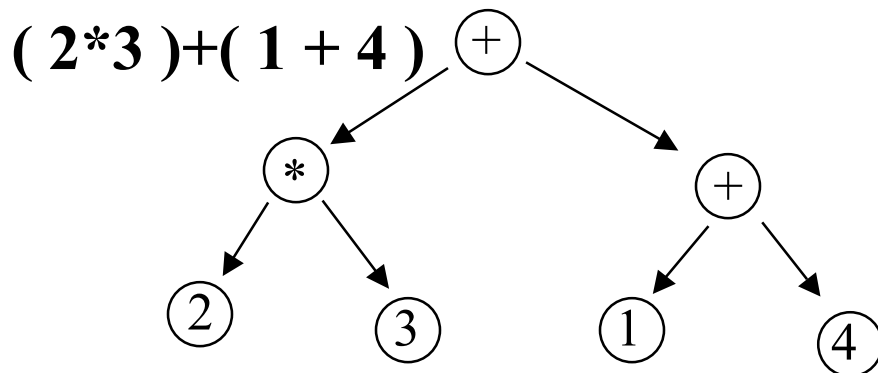


- **Depth of a node**

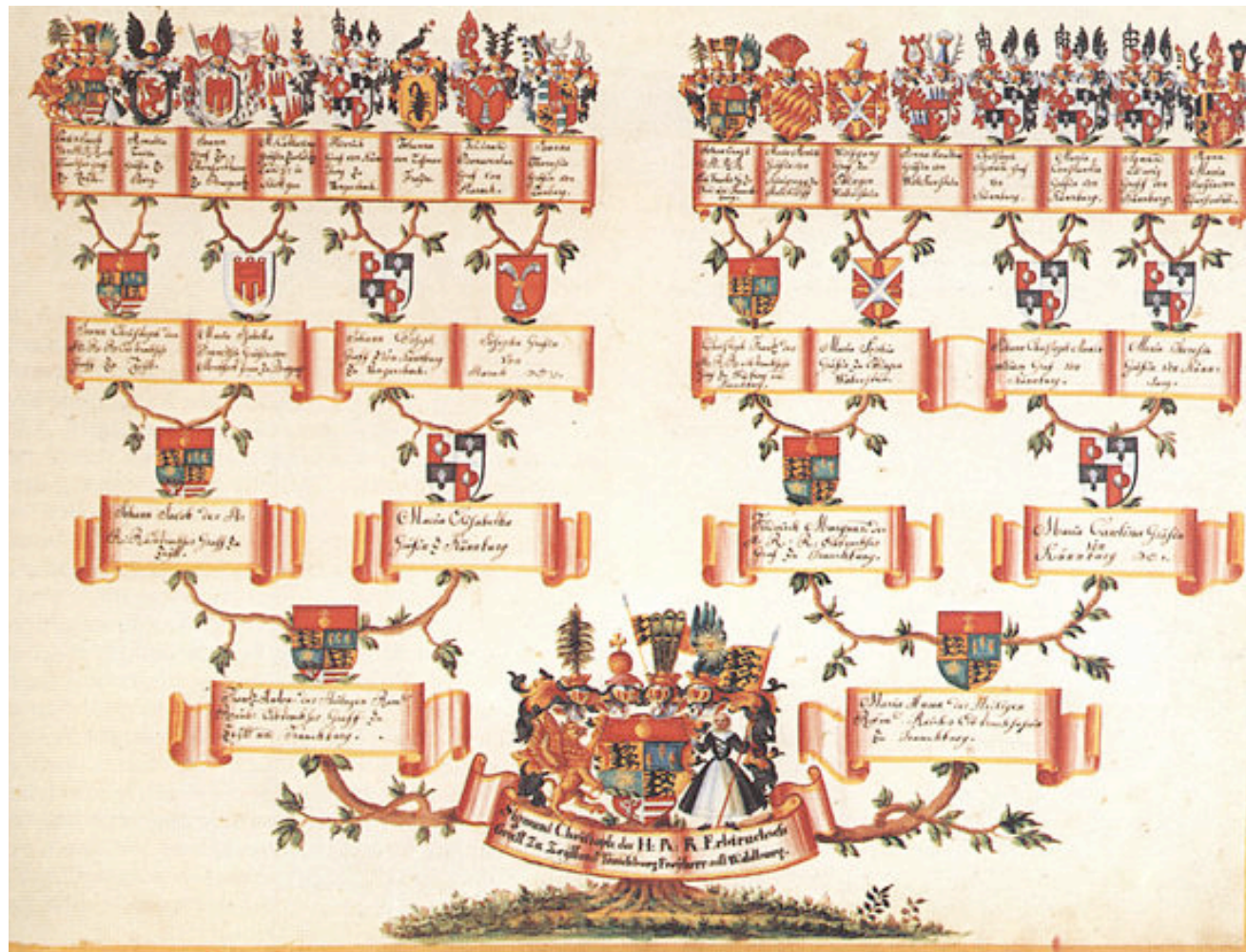


Binary tree

- each node has at most 2 subtrees
 - left and right subtree
- Examples of binary trees
 - 20 questions game (after animal/vegetable/mineral)
 - Arithmetic expressions

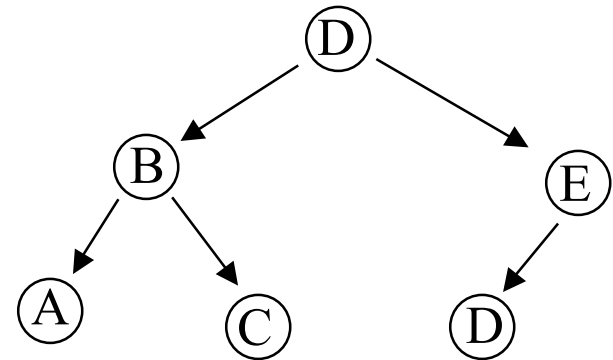
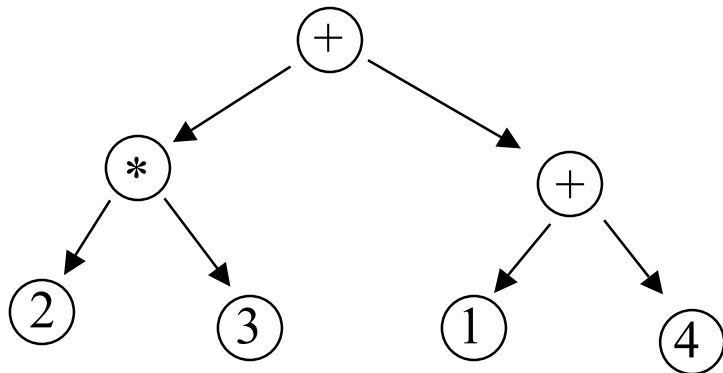


Family Tree



Binary tree

- **Strict binary tree**
 - only 0 or 2 subtrees
 - why not “only 2 subtrees”?
- **Complete binary tree**
 - every level but last is full,
 - last filled left-to-right



Recursive Data Structures

- **Recursive definition of a binary tree**
 - empty (i.e. null)
 - not empty
 - the root
 - a left subtree, which is a **binary tree**
 - a right subtree, which is a **binary tree**

Recursive functions

- Common form of function on a tree is recursive

f(tree):

if (tree == null) return ○
else return □(data, f(tree.lst), f(tree.rst))

Where ○ is a value and
□ is a function

Recursive functions

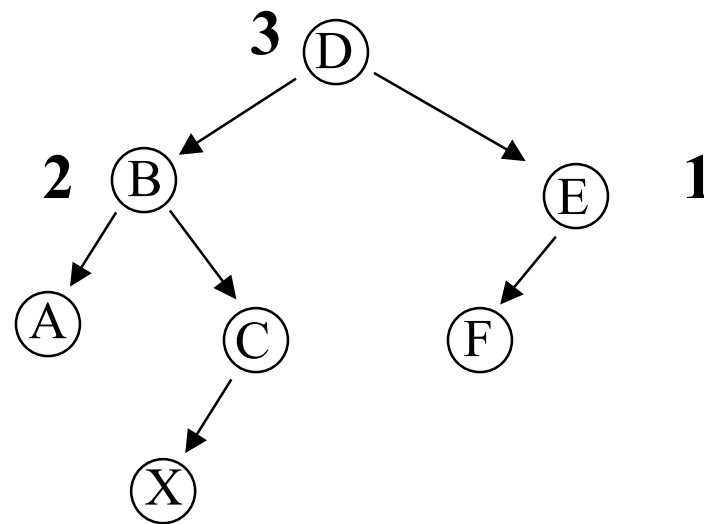
height

height(tree):

```
if (tree == null) return -1
else return 1 + max ( height (tree.lst),
                     height (tree.rst))
```

Recursive functions

height



Recursive functions

nodeCount

nodeCount(tree):

if (tree == null) return 0

**else return 1 + sum (nodeCount(tree.lst),
nodeCount(tree.rst))**

Recursive functions

Sum

sum(tree):

```
if (tree == null) return ○  
else return □ (tree.data,  
               sum( tree.lst ),  
               sum( tree.rst ))
```

Recursive functions

has0

has0(tree):

```
if (tree == null) return ○  
else return □ (tree.data,  
               has0( tree.lst ),  
               has0( tree.rst ))
```

Recursive functions

has0

has0(tree):

if (tree == null) return false

**else return or (tree.data == 0,
has0(tree.lst),
has0(tree.rst))**

Static vs NonStatic

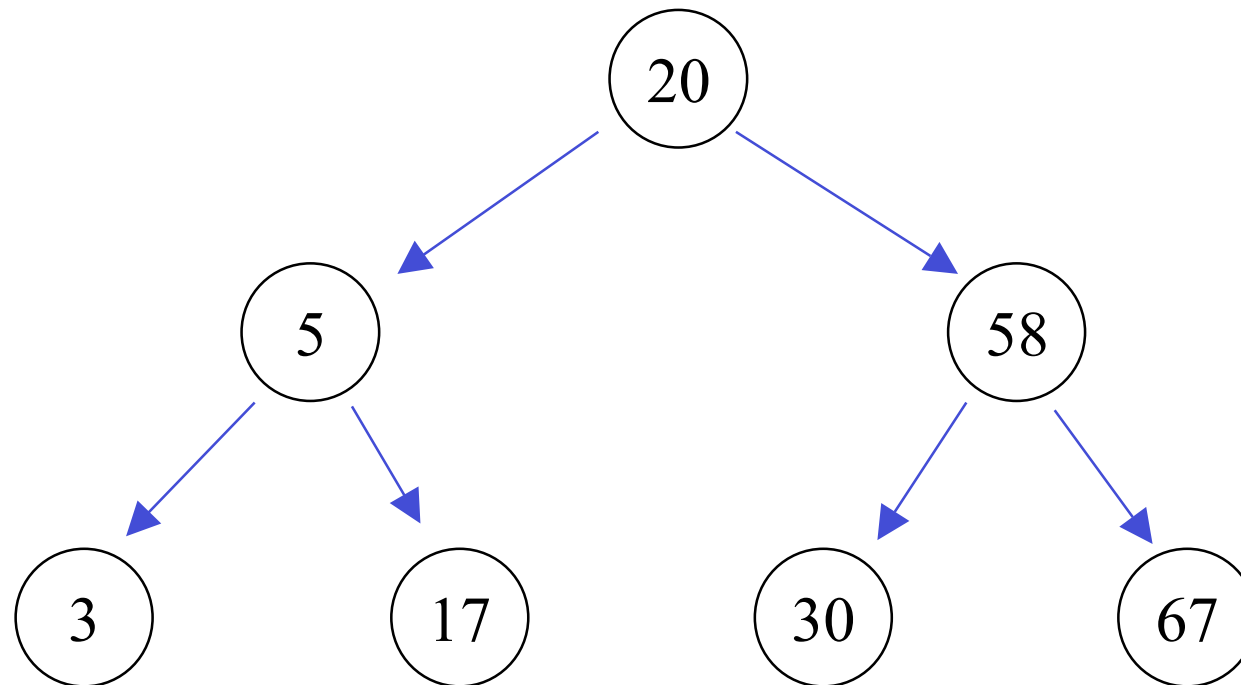
- **Problem in Java:**
 - Null is not an object, so can't send it a message, so can't do

```
class TreeNode{  
    int maxData( ){  
        if (this == null){ ...
```

Back to: Add / Delete / Search

- **Basic task:**
 - Set of data items
 - E.g. “Al”, “Bob”, “Cindy”
 - Operations:
 - Add an item
 - Delete an item
 - Search for an item
- **Goal: minimize**
worst case $O(\text{add} + \text{delete} + \text{search})$

Binary Search Tree



Binary Search Tree

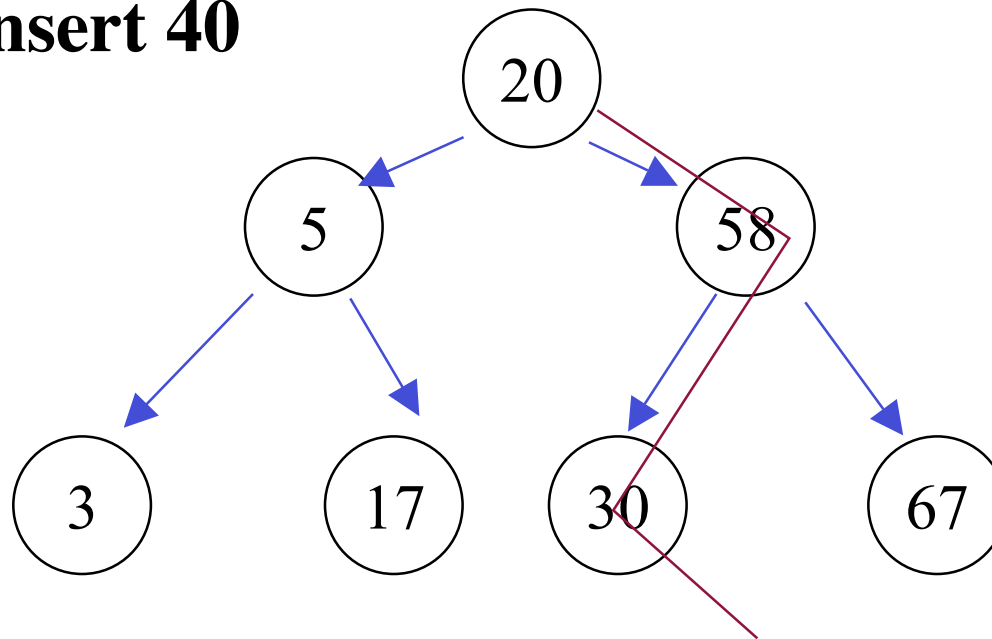
- data at a node is $>$ any data in left subtree
- data at a node is $<$ any data in right subtree
- Therefore, to print a BST in data order:
 - Print left subtree in data order
 - Print data
 - Print right subtree in data order

Search

- **Searching a BST is easy**
 - **if node = null, search fails**
 - **if node.data equals target, found**
 - **if target < node.data, search on left subtree**
 - **else search on right subtree**

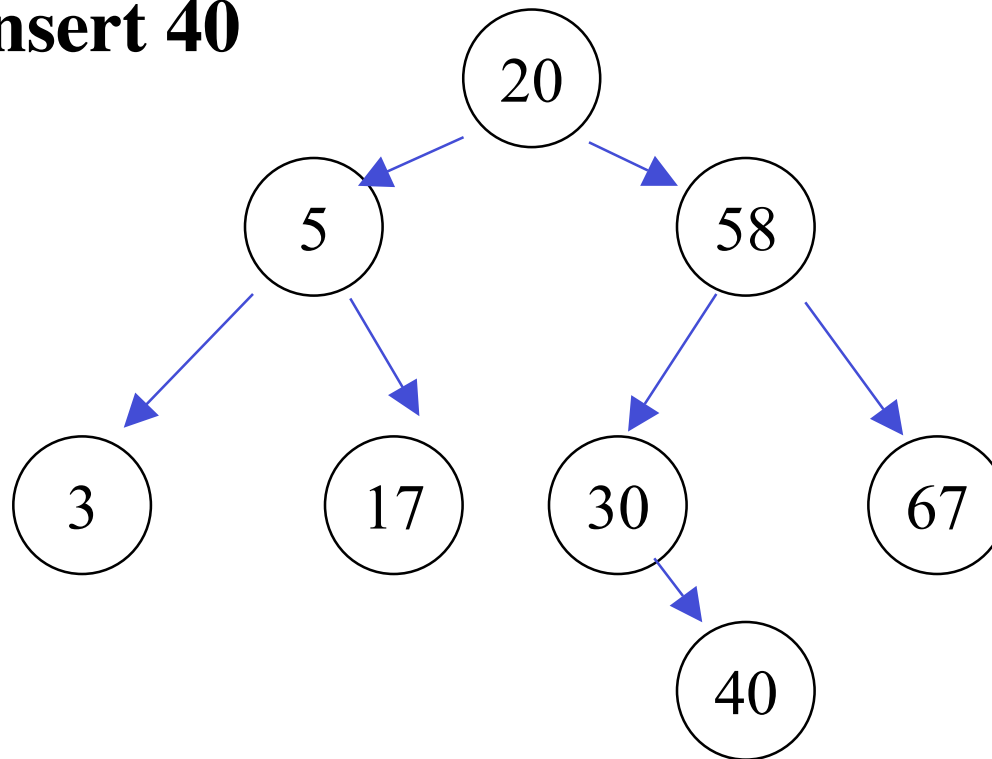
Insert

- **Search, fail, insert where failed**
 - **Insert 40**



Insert

- **Search, fail, insert where failed**
 - **Insert 40**

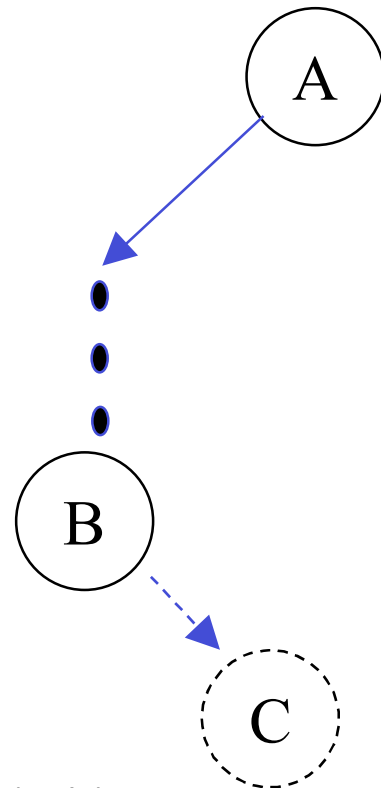


Delete

- **Three cases**
 - **node to delete had no children => delete it**
 - **node to delete has 1 child => replace node with child**
 - **node to delete has 2 children**

Deleting node with 2 children

- **Observation: for node with left child, inorder predecessor has no right child**



If C exists, $C > B$ and $C < A$

So B cannot be inorder predecessor of A

Deleting node with 2 children

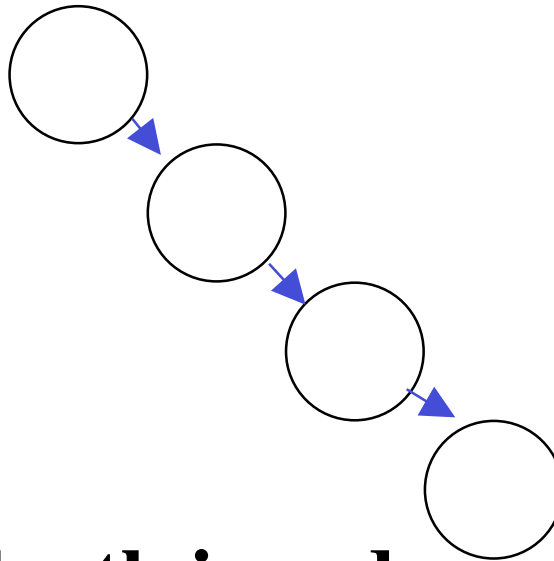
- **Replace data at node with data of inorder predecessor**
- **Delete inorder predecessor (which must have either 0 or 1 child)**

Cost of using BST

- **Search: $O(\text{depth})$**
 - what is depth of tree?
 - with n nodes, best depth is $\log n$
 - but worst depth is n

Binary Search Trees

- **Problem: insertion & deletion can give tree of any shape - even**



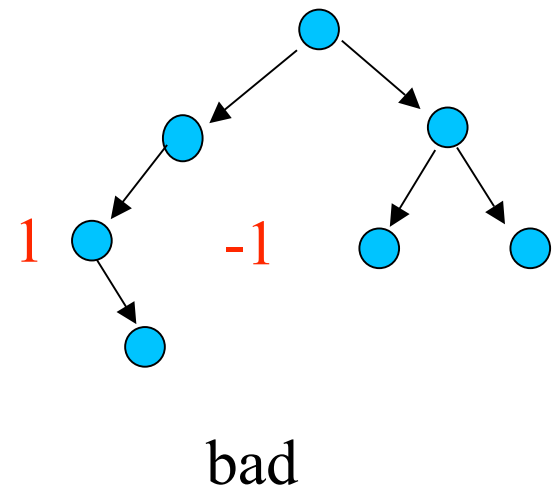
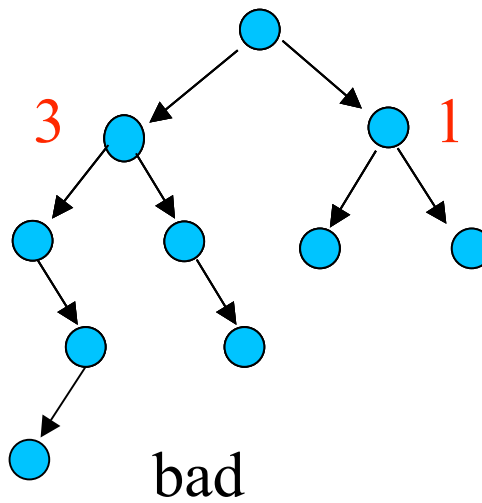
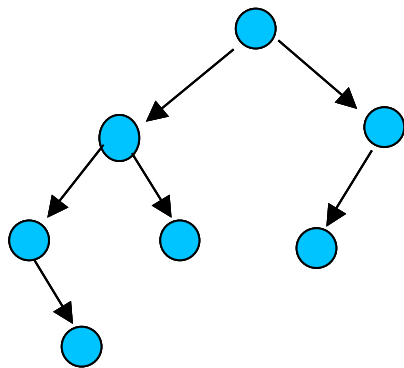
- **Worst case depth is order n , not $\log n$**

•Goal: $O(\log n)$ complexity

- Goal: to be able to maintain a list with all operations at worst $O(\log(\# \text{ nodes}))$
 - Insert, delete, search
- Binary search tree is $O(\text{depth})$ but depth is, worst case, $\# \text{ nodes}$
- AVL tree is like Binary search tree but depth is roughly $\log(\# \text{ nodes})$

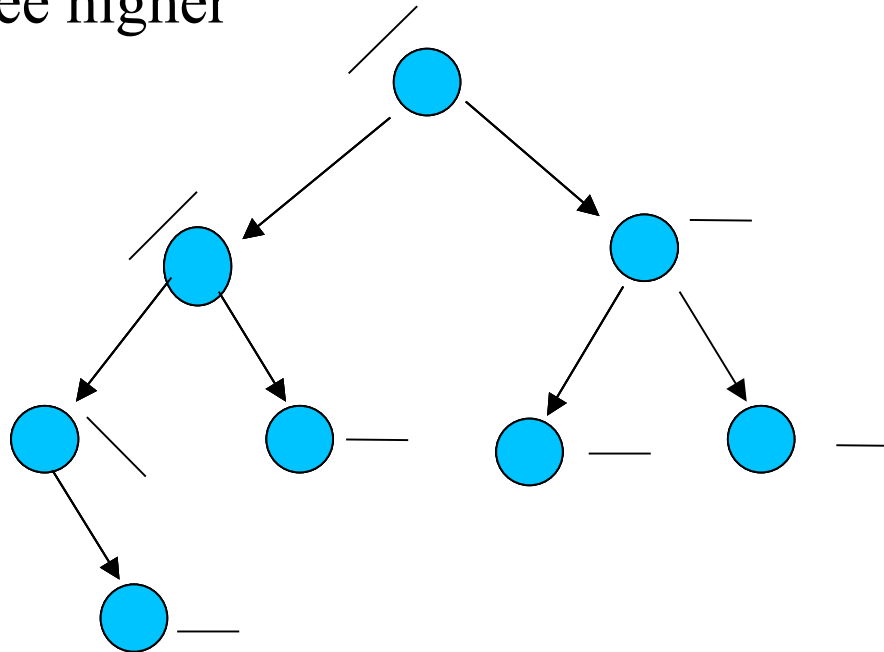
AVL Trees

- **Binary Search Tree**
 - Inorder traversal = data order
- **Almost balanced**
 - At every node, subtree heights same ± 1



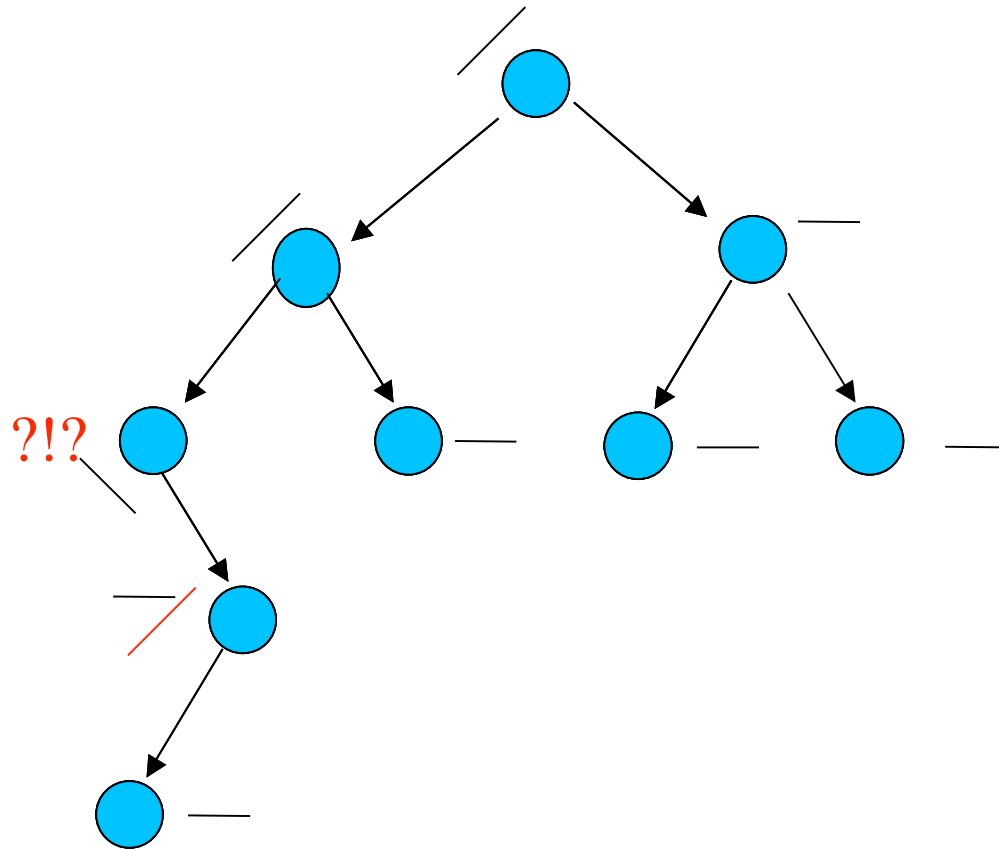
Labeling an AVL Tree

- **Label each node as**
 - left & right subtrees equally high
 - \ right subtree higher
 - / left subtree higher



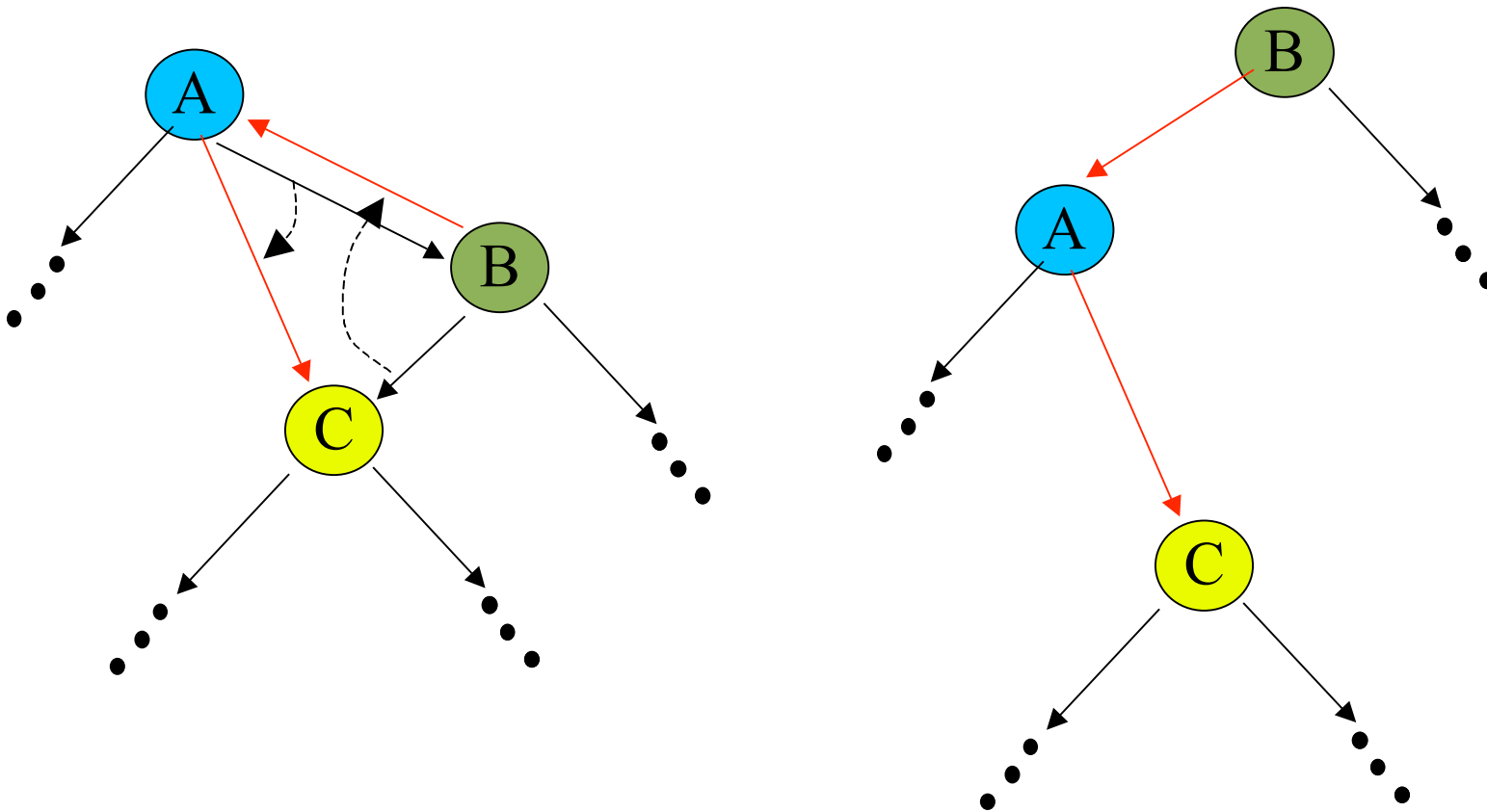
Rebalancing

- **Problem: insert/delete -> not balanced**



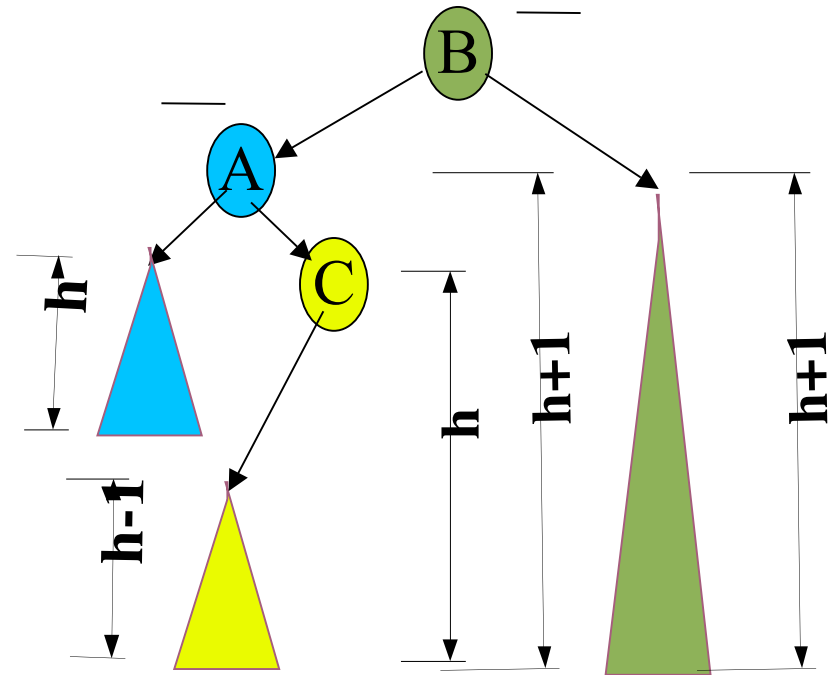
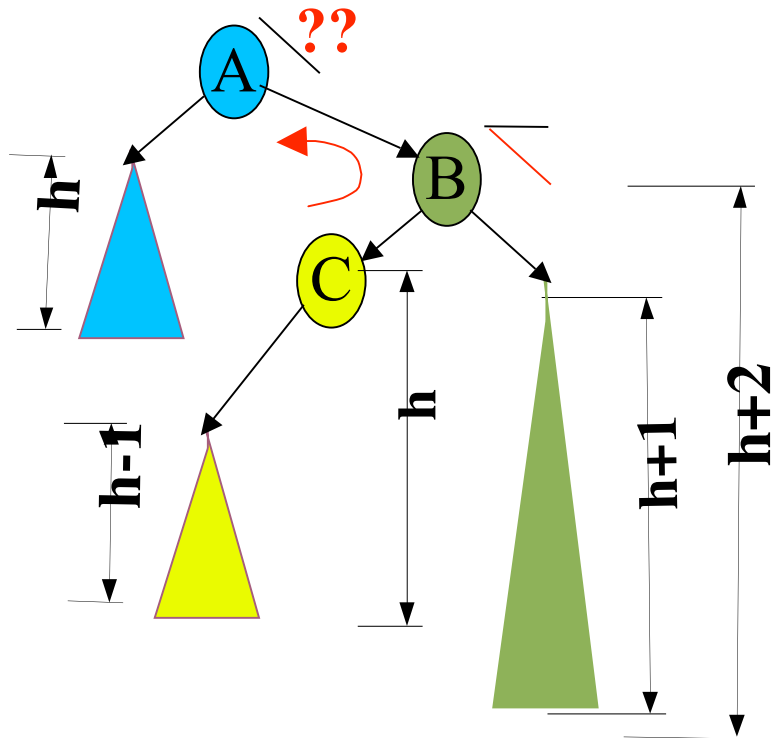
Rebalancing

- **Solution: Rotation**



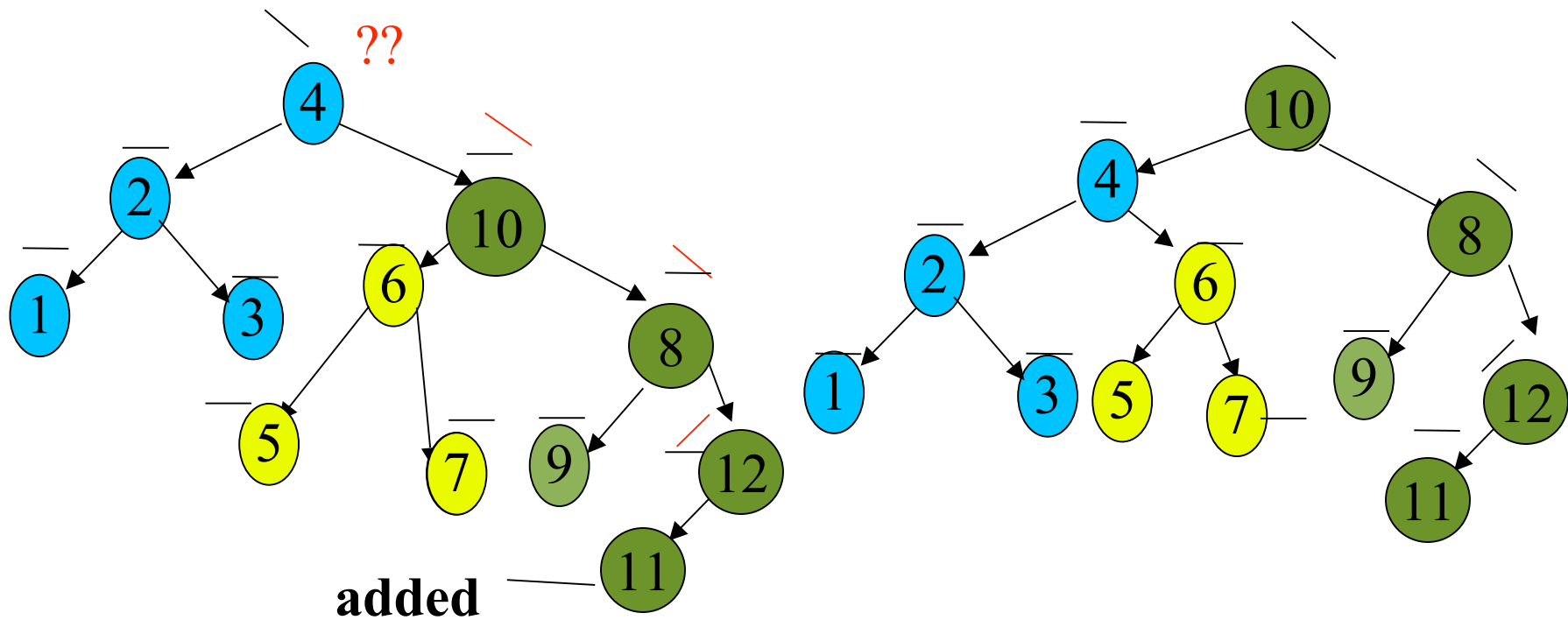
Rebalancing

- **Solution: Rotation**
 - **Highside child of A has same label as A**



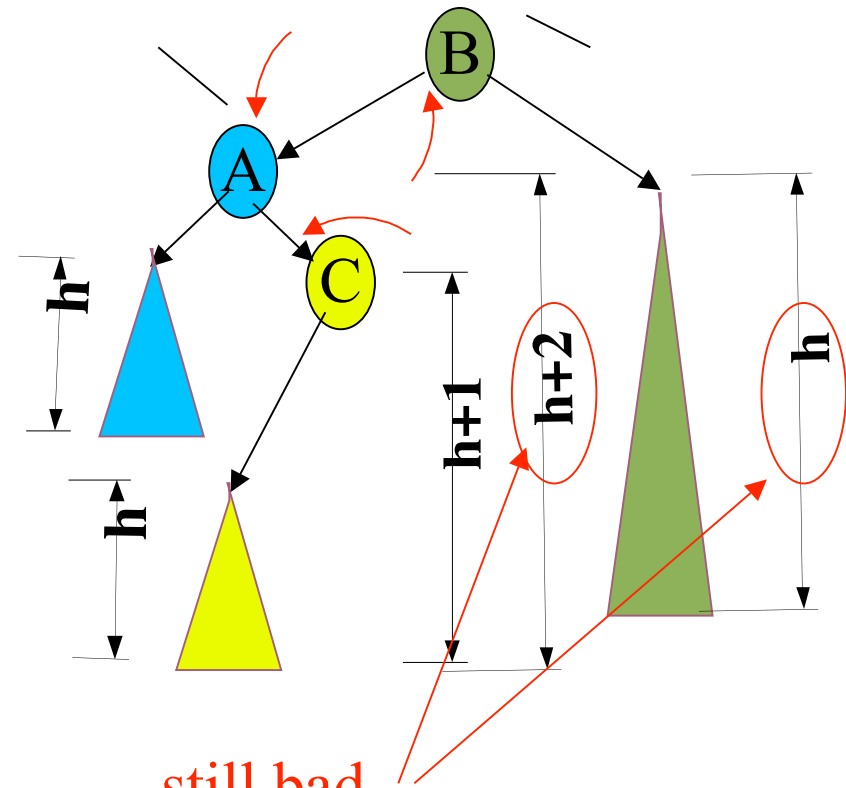
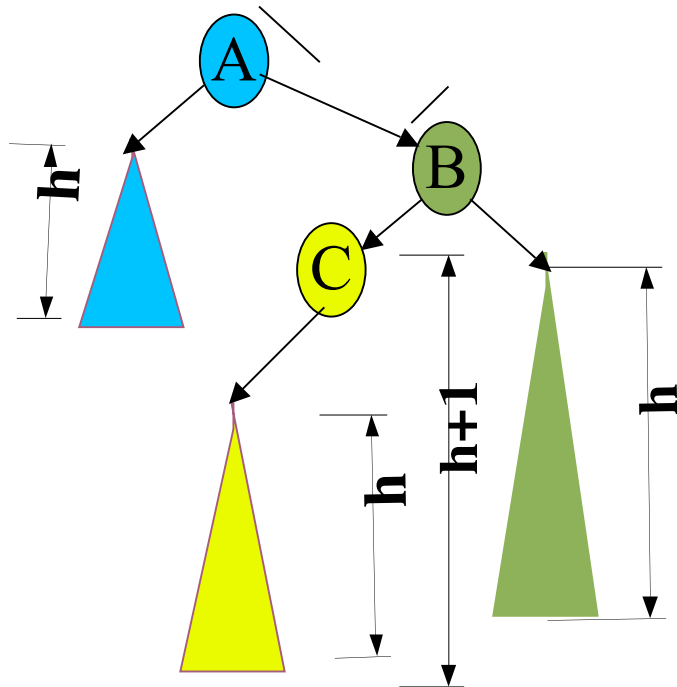
Rebalancing

- **Solution: Rotation**
 - Highside child of A has same label as A



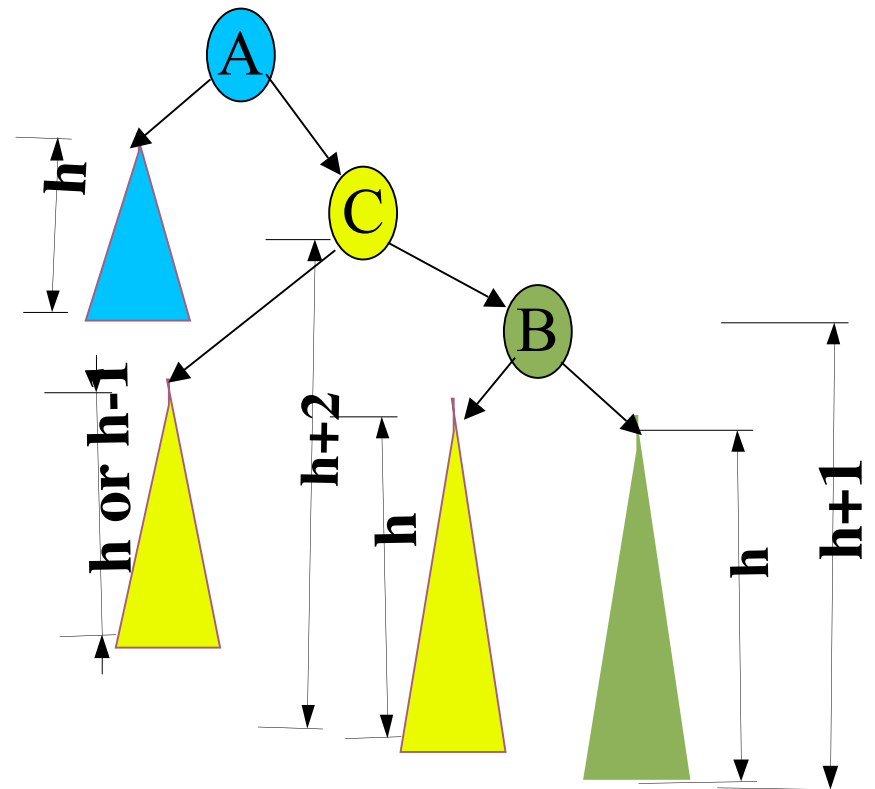
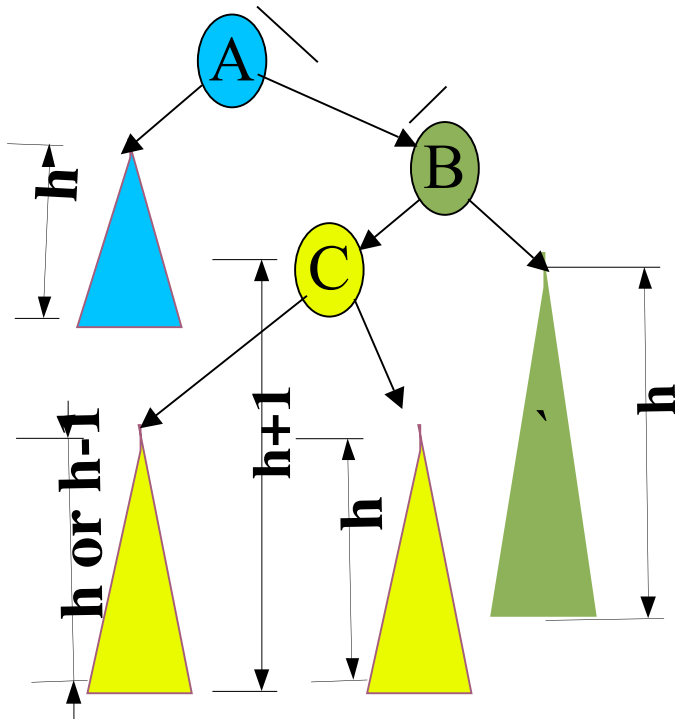
Rebalancing

- **Solution: Rotation**
 - Highside child of A has opposite label from A



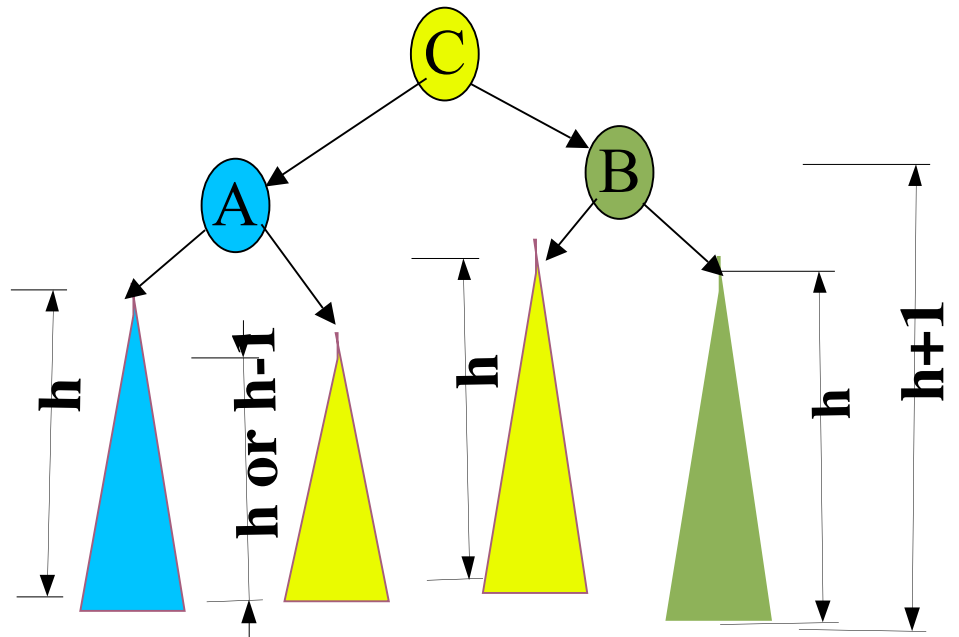
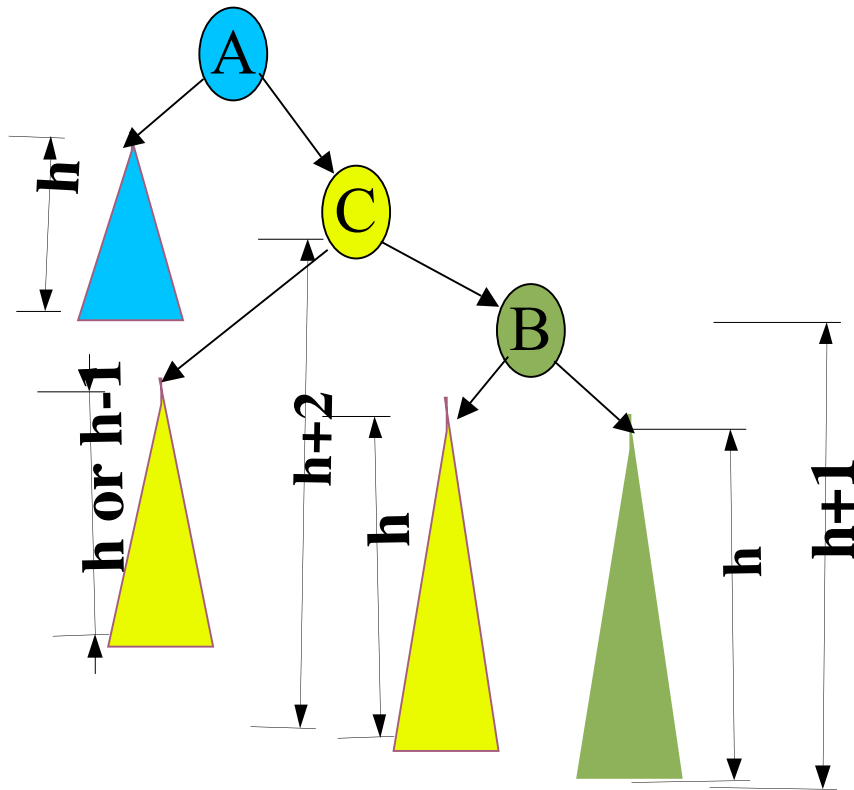
Rebalancing

- **Solution: Rotate BC First**



Rebalancing

- **Solution: Then Rotate AC**



New: Hashing

- Suppose we want to store a set of numbers
 - add number to set, delete from set, test if in set should all be $O(1)$
- If range of numbers is small, e.g. 0 .. 9, we can use a boolean array

0	1	2	3	4	5	6	7	8	9
t	f	f	f	t	t	f	t	f	f

- What if range of numbers is large, e.g. 0...500,000?
 - but only a small number of numbers, e.g. 10

Hashing

- **If we use array of 500,000 elements, they will nearly all be false.**
 - **Divide the 500,000 into blocks of say 1000**
 - **500 blocks so unlikely that two of our 10 numbers will fall in one block**
 - **So for each block an object:**
 - **boolean: did any number fall in this block?**
 - **int: if so, which one**

Hashing

- **Array of 500 objects**
 - **Insert n : put in object at index $n/1000$**
 - **Lookup n : look in object at index $n/1000$**
 - **is any number in this object?**
 - **is it the right number?**
 - **All $O(1)$**

Hash Function

- **What if numbers not random, eg likely to be near each other?**
 - **convert n to index in some other way, e.g. $\text{index} = n \bmod 500$**
 - **In general, function that makes each index equally likely: “makes hash out of any pattern in the numbers” -**
- **Hash function: converts data to hash code**
- **Mapping function: converts hash code to array index. (Why separate this?)**

Collisions

- **Even with 500 indices for 10 numbers, it is possible that more than one number will hash to same index**
- **As we reduce number of indices probability of collision grows**
- **=> must be some way to handle collisions**

Linear Probing

- On insert n , if already data at $\text{hash}(n)$, try $\text{hash}(n)+1$, $\text{hash}(n)+2$, ...
- On lookup n , look at $\text{hash}(n)$, $\text{hash}(n)+1$, $\text{hash}(n)+2$, ... until
 - find n
 - find empty object

Problem: clumping

- **Say 10 indices.**
Let $P(i)$ = P(next number goes in index i)
- **When objects empty, $P(i) = .1$ for all i**
- **Suppose number in index 3.**
 - **$P(3) = 0, P(4) = .2$**
- **Suppose numbers in index 3 & 4**
 - **$P(3) = P(4) = 0, P(5) = .3$**

Quadratic Probing

- If $\text{hash}(n)$ full try $\text{hash}(n)+1$, $\text{hash}(n)+4$, $\text{hash}(n)+9$, ... $\text{hash}(n)+j^2$
- Does not have clumping effect
- Does have problem that it only tries at most half the indices

Chaining

- **Instead of moving to other indices on collision, have a linked list of items at each index**

Complexity

- **Worst case: $O(n)$**
 - all items hash to same index
- **Average: depends on load factor $\alpha = n / \text{size}$**

alpha	linear	quadratic	chaining
.1	1.06	1.06	1.05
.5	1.5	1.4	1.3
.8	3	2	1.4
.9	5.5	2.6	1.45
.99	50.5	4.6	1.5

Built-in Hashing in Java

- The class `java.util.HashMap<K, V>`
 - Mapping from (unique) key to a value
 - Note: generic with two class parameters:
 - K: class of keys
 - V: class of values
 - E.g. Driver's license ID (String) => Driver object (name, address, etc.):
`java.util.HashMap<String, Driver>`