

CS112: Data Structures

Lecture 06

Add / Delete / Search Trees

Review: Add / Delete / Search

- **Basic task:**
 - **Set of data items**
 - E.g. “Al”, “Bob”, “Cindy”
 - **Operations:**
 - Add an item
 - Delete an item
 - Search for an item
- **Goal: minimize**
worst case $O(\text{add} + \text{delete} + \text{search})$

Unordered Array

Add Alice

| | |
|----------|--------------|
| 0 | Carol |
| 1 | Anne |
| 2 | Bob |
| 3 | |
| 4 | |

numberOfNames

3

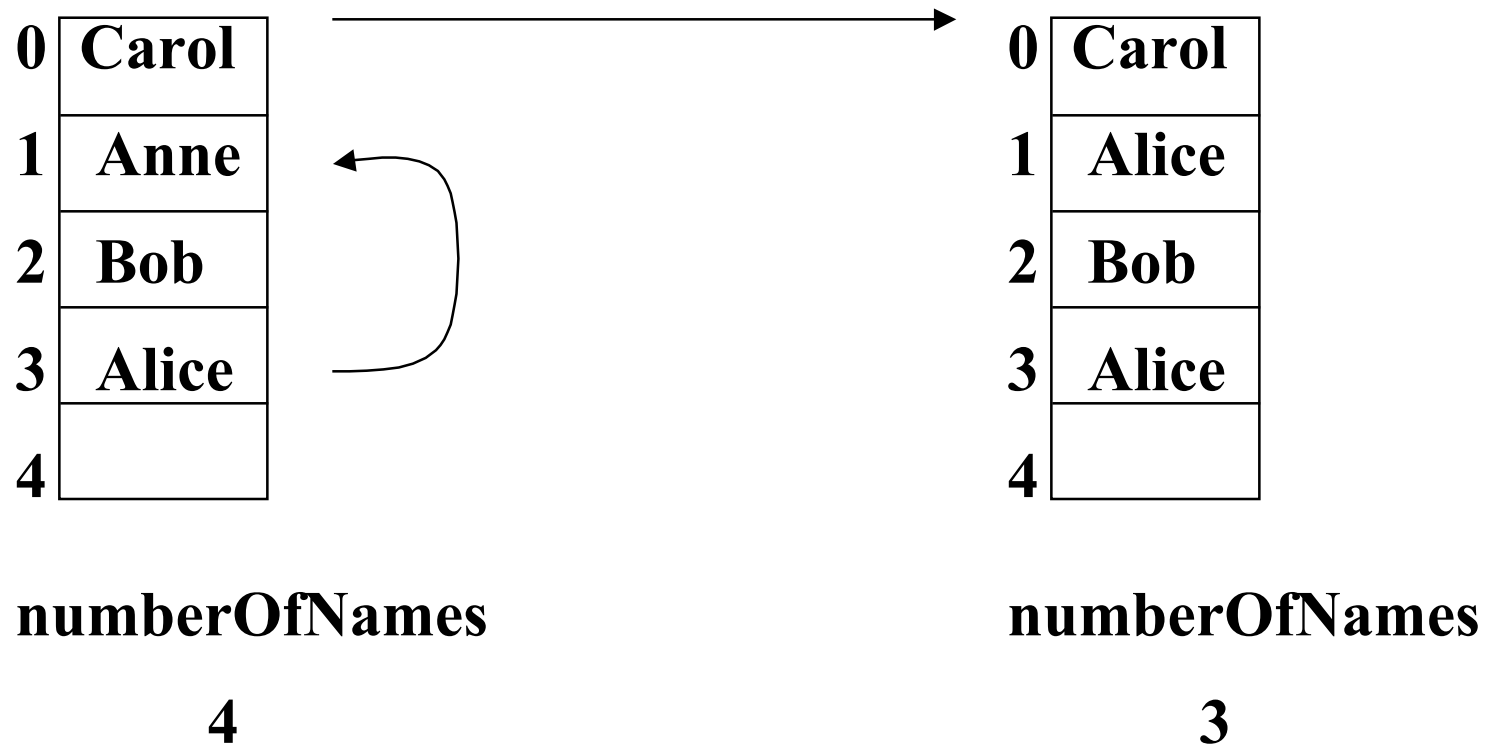
| | |
|----------|--------------|
| 0 | Carol |
| 1 | Anne |
| 2 | Bob |
| 3 | Alice |
| 4 | |

numberOfNames

4

Unordered Array

Remove Anne



Unordered Array

- **Insert $O(1)$ if there's space**
- **Delete $O(1)$ (move last element)**
- **Search $O(n)$ where n is size of set**
- **Overall $O(n)$**

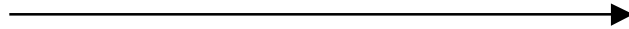
Ordered Array

Add Alice

| | |
|---|-------|
| 0 | Anne |
| 1 | Bob |
| 2 | Carol |
| 3 | |
| 4 | |

numberOfNames

3



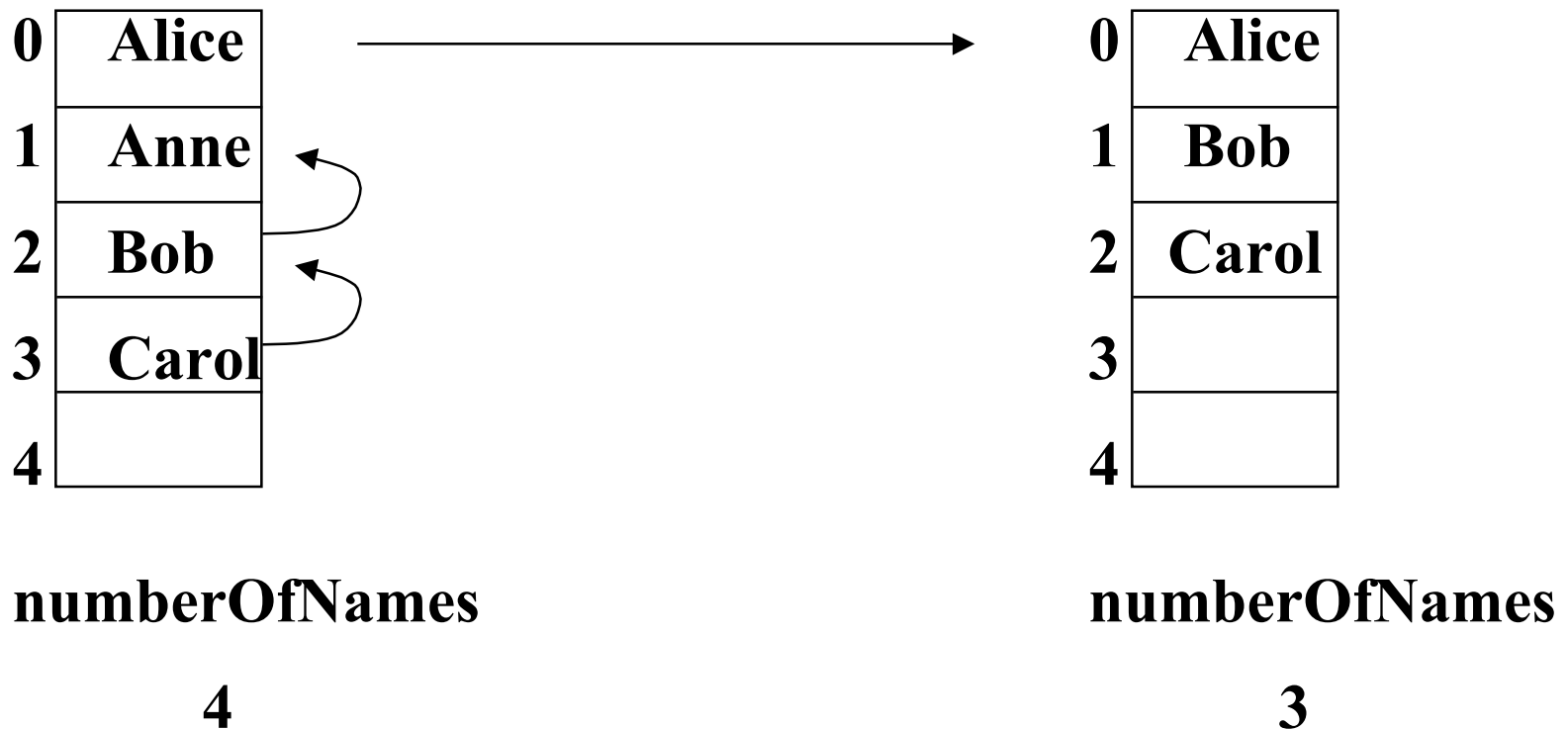
| | |
|---|-------|
| 0 | Alice |
| 1 | Anne |
| 2 | Bob |
| 3 | Carol |
| 4 | |

numberOfNames

4

Ordered Array

Remove Anne



Searching an ordered array

Binary search

- requires sorted values
- each comparison rules out half of the remaining elements
- $O(\log(n))$ - we will prove this later
- Find A, find R

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| A | D | E | F | G | H | J | M | P | T |
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |

Searching an array

Performance

- **Search among 1 Billion entries**
- **Check 1 million entries per second**
 - **Sequential search**
 - 1 billion operations needed
 - Requires 1000 seconds - about 20 minutes
 - **Binary search**
 - 30 operations needed
 - Requires 30 microseconds
 - 30 million times faster

Searching an array

Performance

- **Search among 1 Million entries**
- **Check 1 million entries per second**
 - **Sequential search**
 - 1 million operations needed
 - Requires 1 second
 - **Binary search**
 - 20 operations needed
 - Requires 20 microseconds
 - 50,000 times faster

Searching + Insert Performance

- **1 billion entries, process 1 million/sec**
- **Insert $O(n)$**
 - 1 billion operations needed
 - Requires 1000 seconds - about 20 minutes
- **Binary search $O(\log n)$**
 - 30 operations needed
 - Requires 30 microseconds
- **Together: 1000.00003 seconds**

Searching + Insert Performance

- **1 million entries, process 1 million/sec**
- **Insert $O(n)$**
 - 1 million operations needed
 - Requires 1 second
- **Binary search $O(\log n)$**
 - 20 operations needed
 - Requires 20 microseconds
- **Together: 1.00002 seconds**

Ordered Array

- **Insert $O(n)$**
- **Delete $O(n)$**
- **Search $O(\log n)$ Binary Search**
- **Overall $O(n)$**

Unordered Linked List

- **Insert $O(1)$**
- **Delete $O(1)$**
- **Search $O(n)$**
- **Overall $O(n)$**

Ordered Linked List

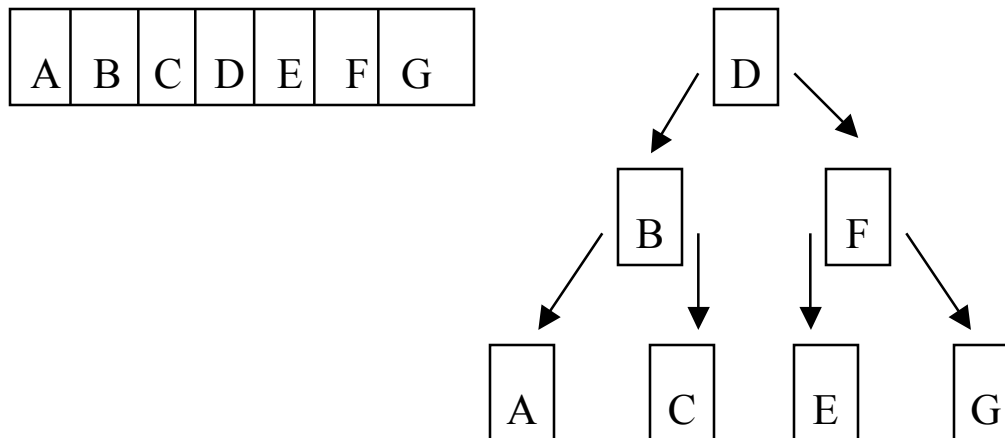
- **Insert $O(n)$**
- **Delete $O(1)$**
- **Search $O(n)$**
 - **Jump to $A[\text{middle}]$ is $O(n)$ not $O(1)$ so linear search faster than binary search**
- **Overall $O(n)$**

Links Speed Up Add/Delete

- **Idea:** Use linked list to make add / delete faster
- **Problem:** Search of linked list is $O(n)$
 - Why not binary search on linked list?

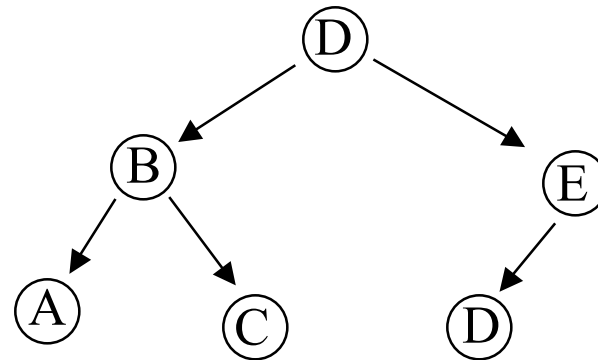
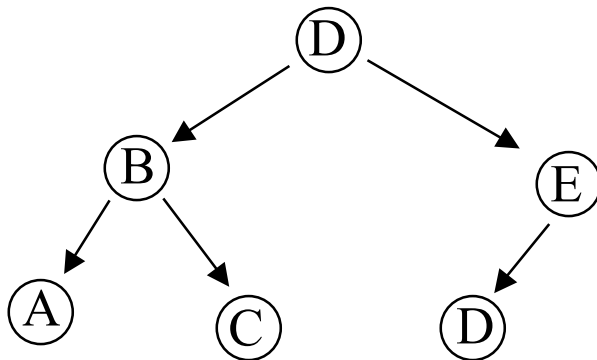
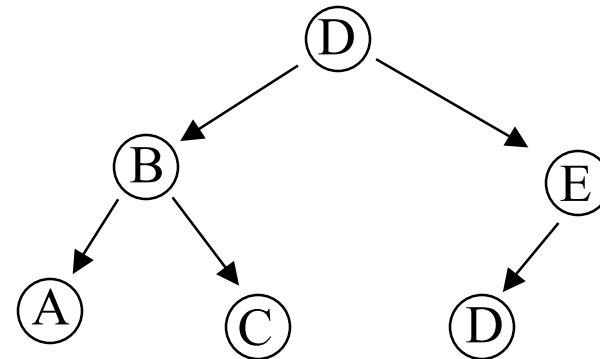
Links Speed Up Add/Delete

- **Idea:** Use linked list to make add / delete faster
- **Problem:** Search of linked list is $O(n)$
 - Why not binary search on linked list?
- **Idea:** links to *two* places



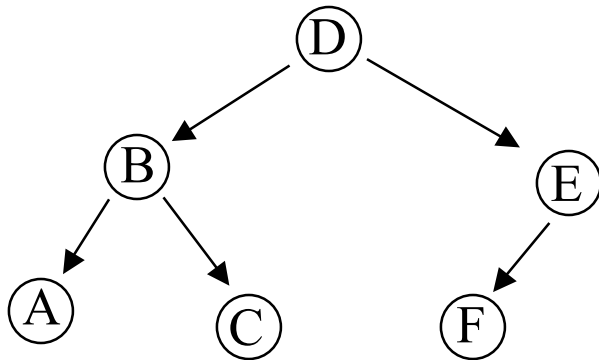
New Trees

- **Nodes and arcs (edges)**
- **Relationships:**
 - **Parent and Child**
 - **Root and Subtree**



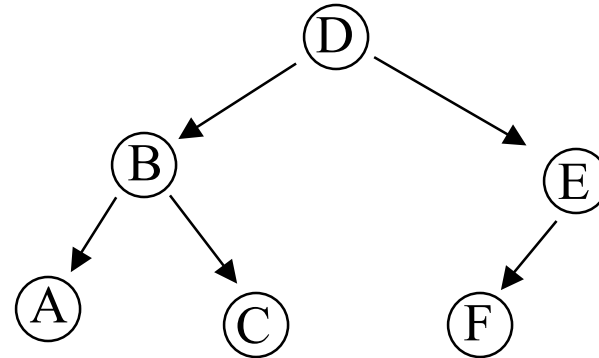
Trees

- **Root** has no parents
- **Leaf node** has no children
- **All nodes except the root** have a single parent
- **There is exactly one path** from root to any node

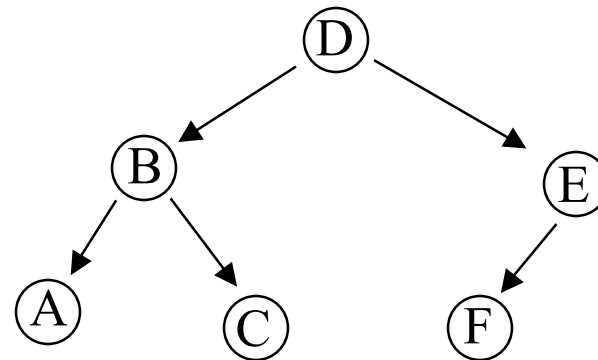


Trees

- **Height of tree**

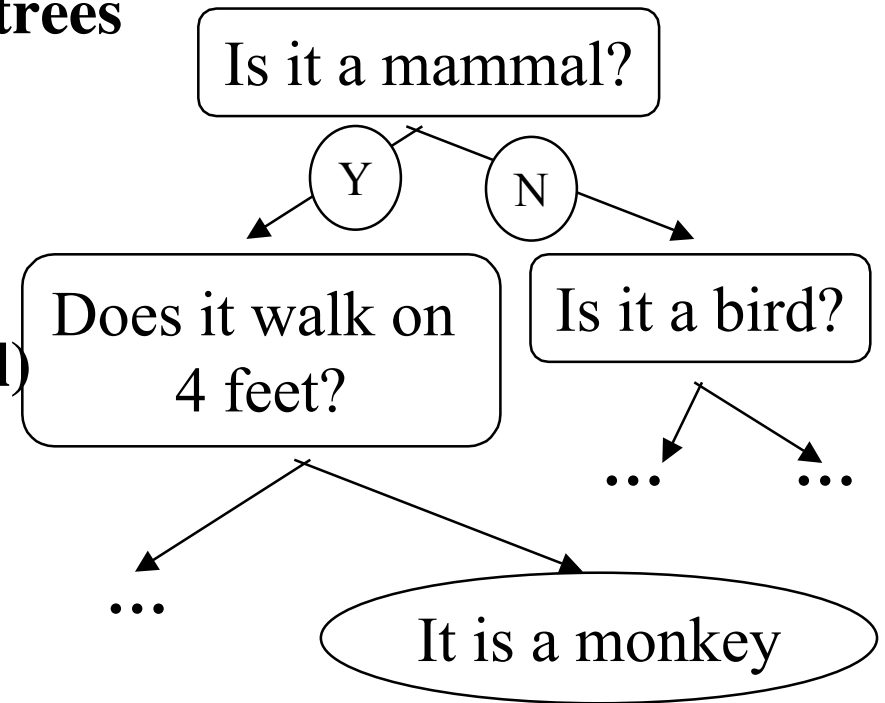
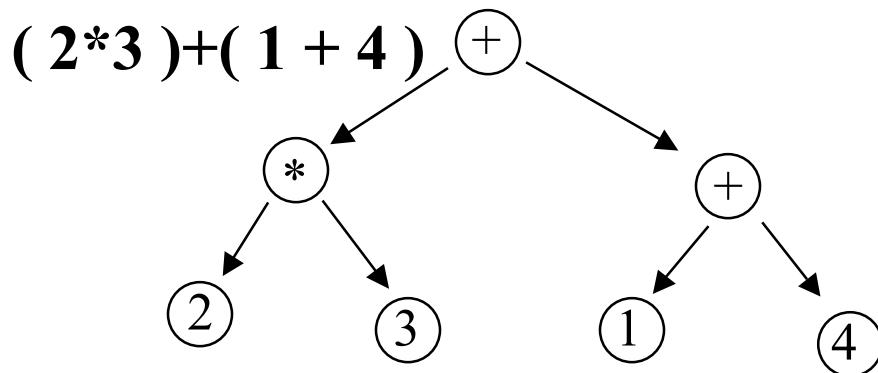


- **Depth of a node**

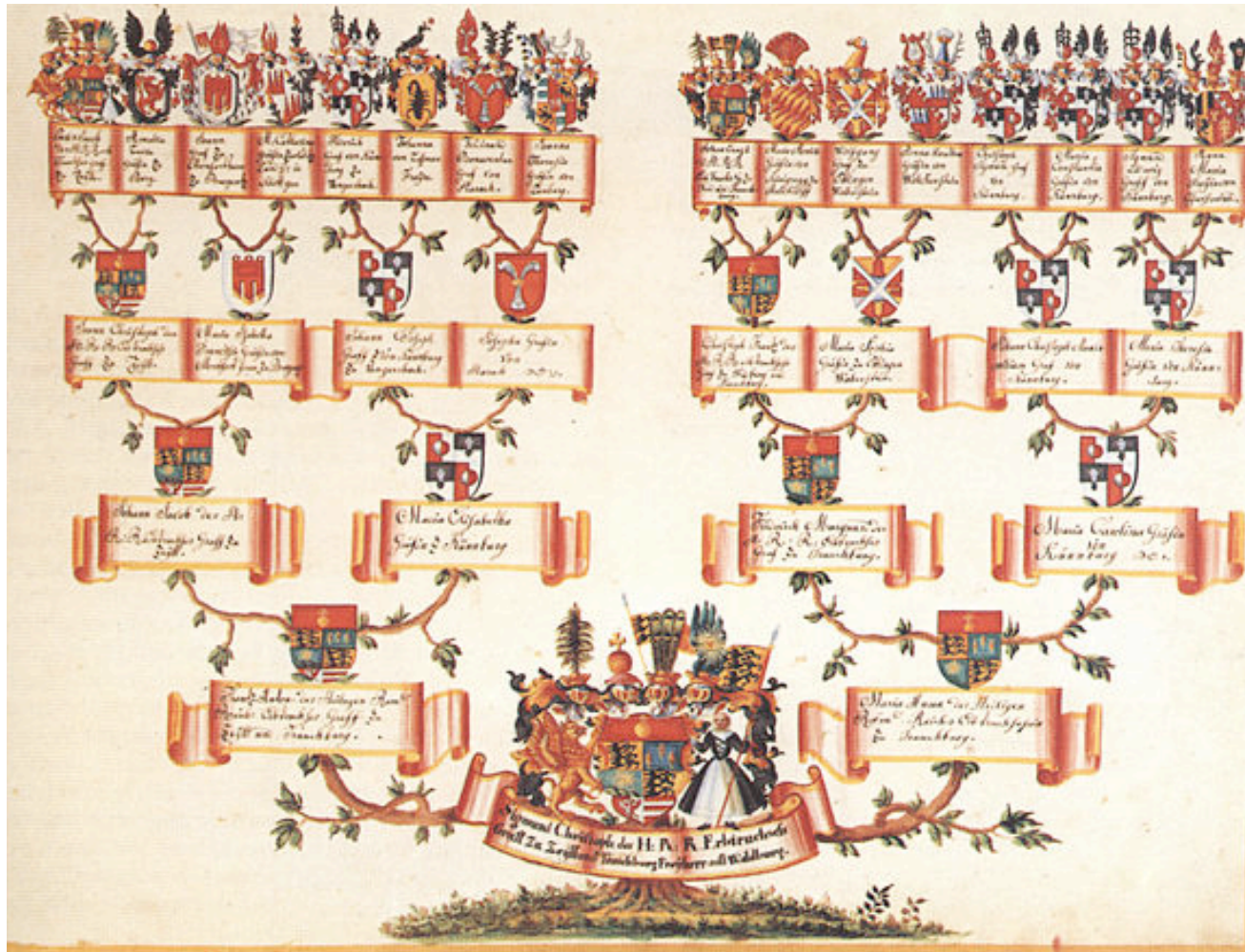


Binary tree

- each node has at most 2 subtrees
 - left and right subtree
- Examples of binary trees
 - 20 questions game (after animal/vegetable/mineral)
 - Arithmetic expressions

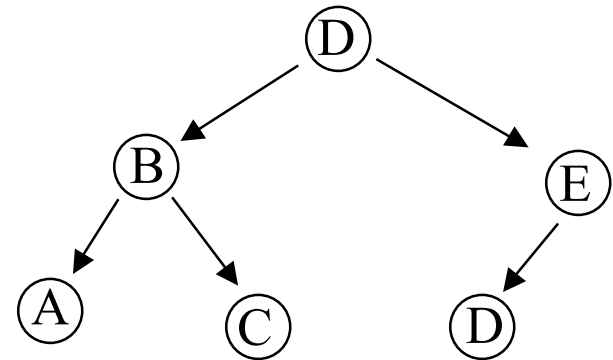
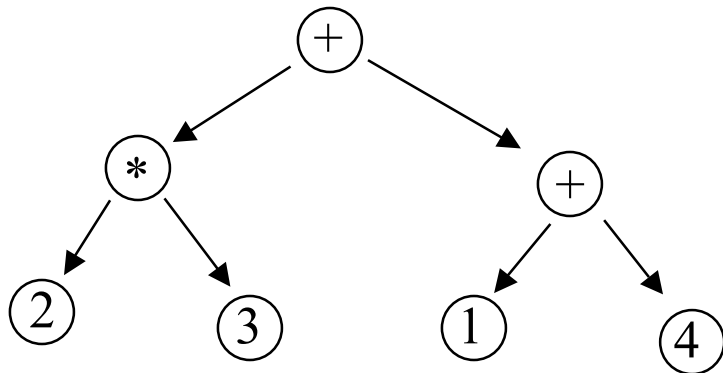


Family Tree



Binary tree

- **Strict binary tree**
 - only 0 or 2 subtrees
 - why not “only 2 subtrees”?
- **Complete binary tree**
 - every level but last is full,
 - last filled left-to-right



Recursive Data Structures

- **Recursive definition of a binary tree**
 - empty (i.e. null)
 - not empty
 - the root
 - a left subtree, which is a **binary tree**
 - a right subtree, which is a **binary tree**

Recursive functions

- Common form of function on a tree is recursive

f(tree):

if (tree == null) return ○
else return □(data, f(tree.lst), f(tree.rst))

Where ○ is a value and
□ is a function

Recursive functions

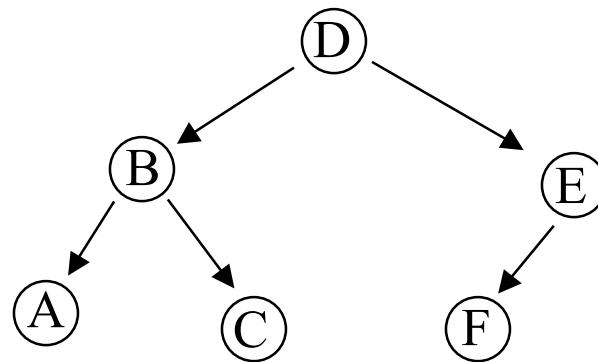
height

height(tree):

```
if (tree == null) return -1
else return 1 + max ( height (tree.lst),
                    height (tree.rst))
```

Recursive functions

height



Recursive functions

nodeCount

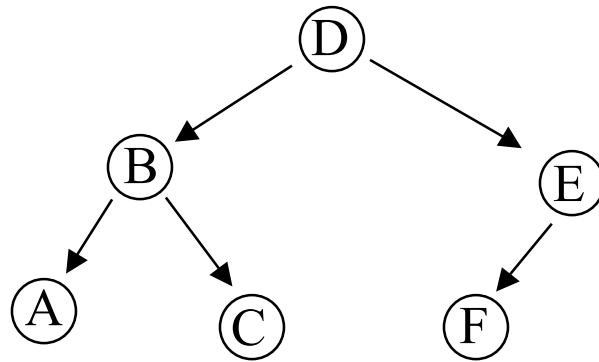
nodeCount(tree):

if (tree == null) return 0

**else return 1 + sum (nodeCount(tree.lst),
nodeCount(tree.rst))**

Recursive functions

nodeCount



Recursive functions

Sum

sum(tree):

```
if (tree == null) return ○  
else return □ (tree.data,  
               sum( tree.lst ),  
               sum( tree.rst ))
```

Recursive functions

has0

has0(tree):

```
if (tree == null) return ○  
else return □ (tree.data,  
               has0( tree.lst ),  
               has0( tree.rst ))
```

Recursive functions

has0

has0(tree):

if (tree == null) return false

**else return or (tree.data == 0,
has0(tree.lst),
has0(tree.rst))**

Static vs NonStatic

- **Problem in Java:**
 - Null is not an object, so can't send it a message, so can't do

```
class TreeNode{  
    int maxData(TreeNode node){  
        if (node == null){ ...
```

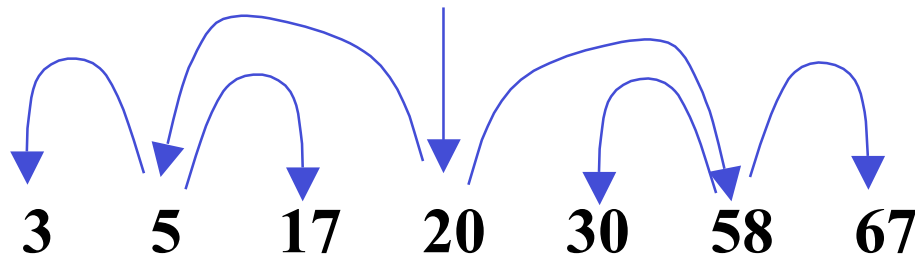
- **See `TreeNode.java`**

Back to: Add / Delete / Search

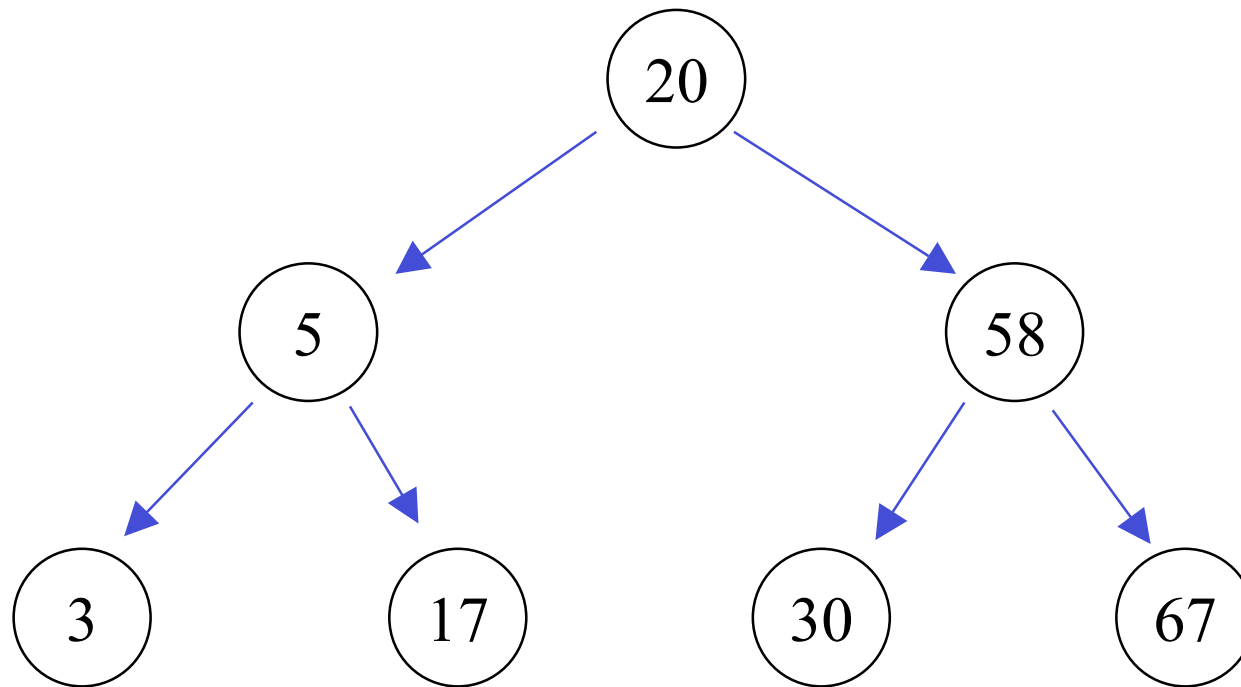
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 - Set of data items
 - E.g. “Al”, “Bob”, “Cindy”
 - Operations:
 - Add an item
 - Delete an item
 - Search for an item
- **Goal: minimize**
worst case $O(\text{add} + \text{delete} + \text{search})$

Binary Search Trees

- **Why can't we do binary search on a linked list?**
 - can't jump to middle
- **Suppose we could jump to middle**
 - use more pointers



This looks like a tree!



Binary Search Tree

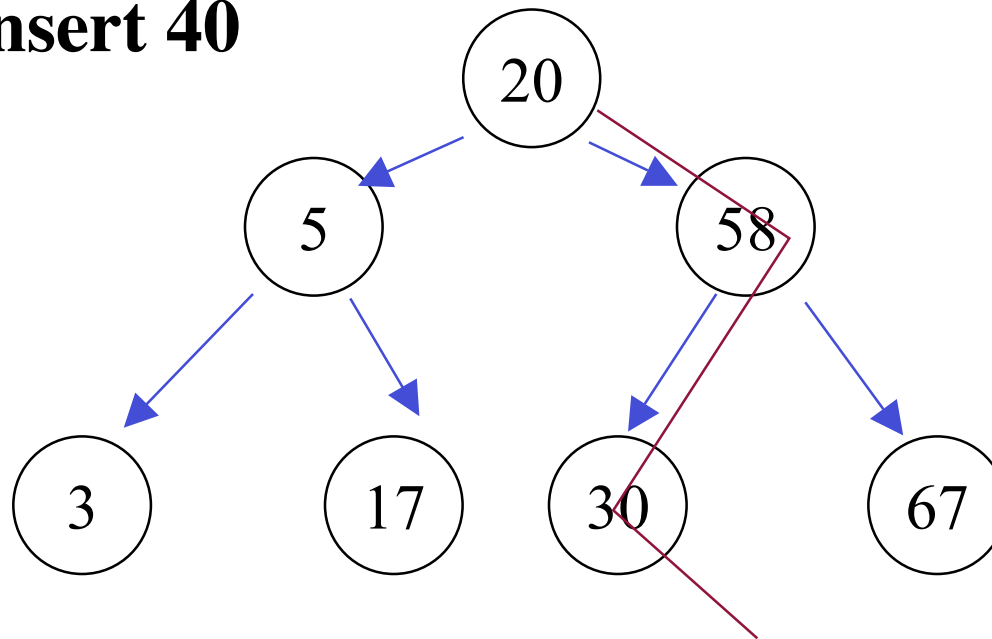
- data at a node is $>$ any data in left subtree
- data at a node is $<$ any data in right subtree
- Therefore, to print a BST in data order:
 - Print left subtree in data order
 - Print data
 - Print right subtree in data order

Search

- **Searching a BST is easy**
 - if node = null, search fails
 - if node.data equals target, found
 - if target < node.data, search on left subtree
 - else search on right subtree

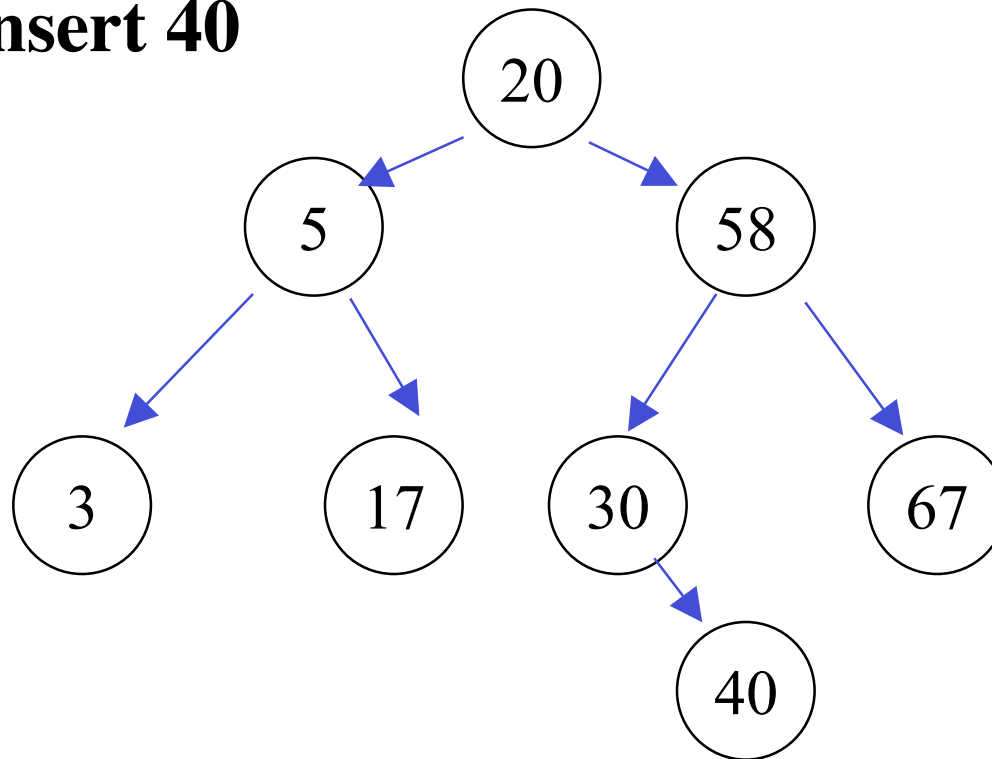
Insert

- **Search, fail, insert where failed**
 - **Insert 40**



Insert

- **Search, fail, insert where failed**
 - **Insert 40**

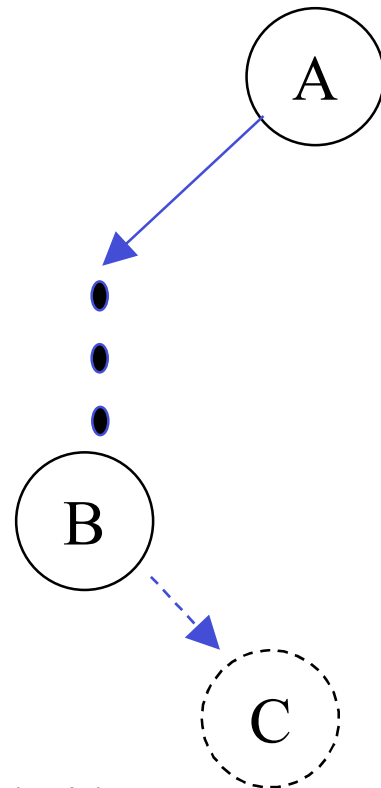


Delete

- **Three cases**
 - node to delete had no children => delete it
 - node to delete has 1 child => replace node with child
 - node to delete has 2 children

Deleting node with 2 children

- **Observation: for node with left child, inorder predecessor has no right child**



If C exists, $C > B$ and $C < A$

So B cannot be inorder predecessor of A

Deleting node with 2 children

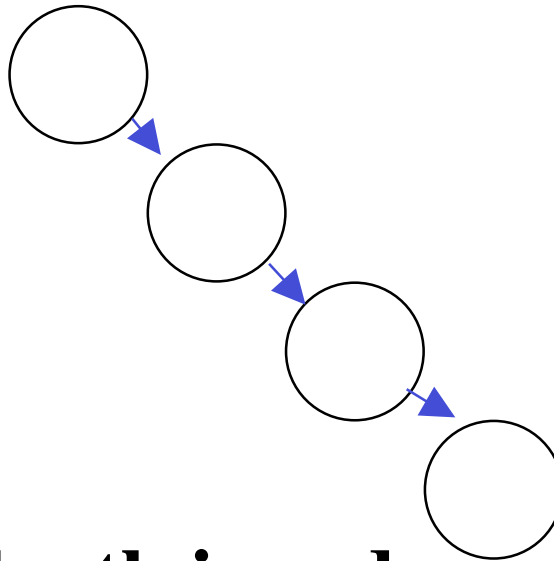
- **Replace data at node with data of inorder predecessor**
- **Delete inorder predecessor (which must have either 0 or 1 child)**

Cost of using BST

- **Search: $O(\text{depth})$**
 - what is depth of tree?
 - with n nodes, best depth is $\log n$
 - but worst depth is n
 - wait - I thought binary search was $O(\log n)$
worst case!?!?

Binary Search Trees

- **Problem: insertion & deletion can give tree of any shape - even**

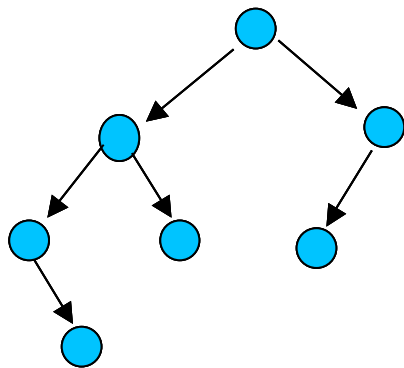


- **Worst case depth is order n , not $\log n$**

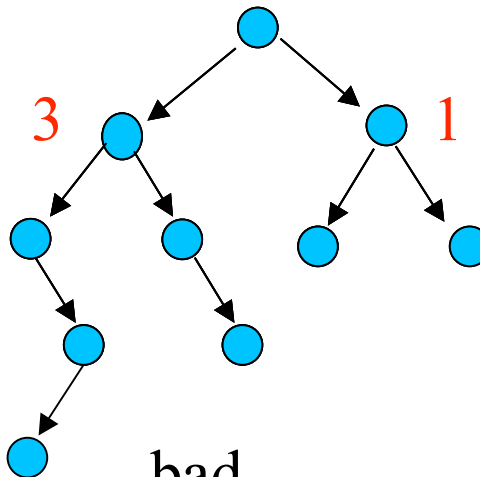
- **Goal: $O(\log n)$ complexity**
- **Goal: to be able to maintain a list with all operations at worst $O(\log(\# \text{ nodes}))$**
 - Insert, delete, search
- **Binary search tree is $O(\text{depth})$ but depth is, worst case, $\# \text{nodes}$**
- **AVL tree is like Binary search tree but depth is roughly $\log(\# \text{nodes})$**

AVL Trees

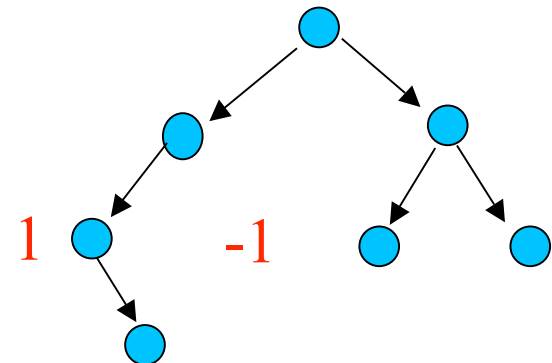
- **Binary Search Tree**
 - Inorder traversal = data order
- **Almost balanced**
 - At every node, subtree heights same ± 1



good



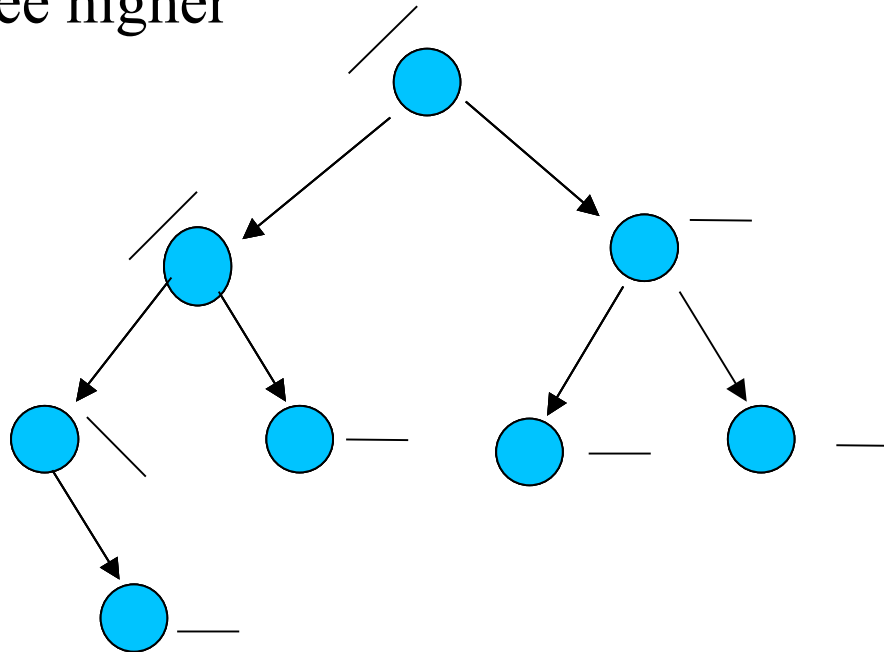
bad



bad

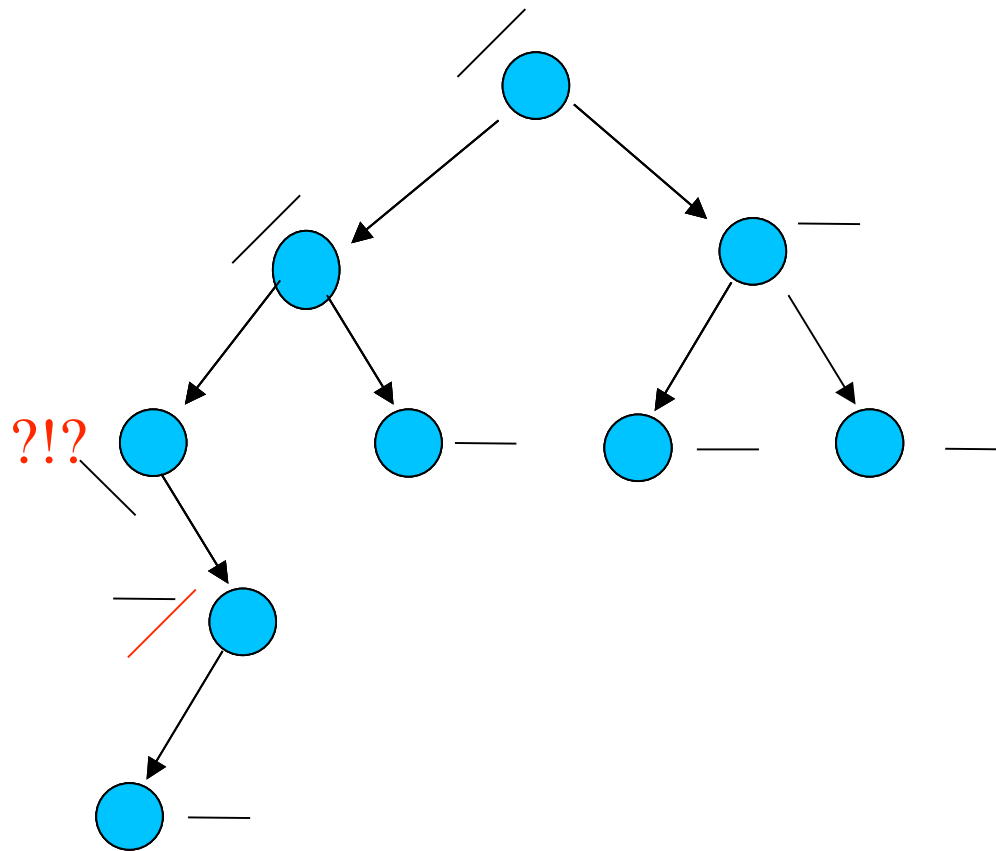
Labeling an AVL Tree

- **Label each node as**
 - left & right subtrees equally high
 - \ right subtree higher
 - / left subtree higher



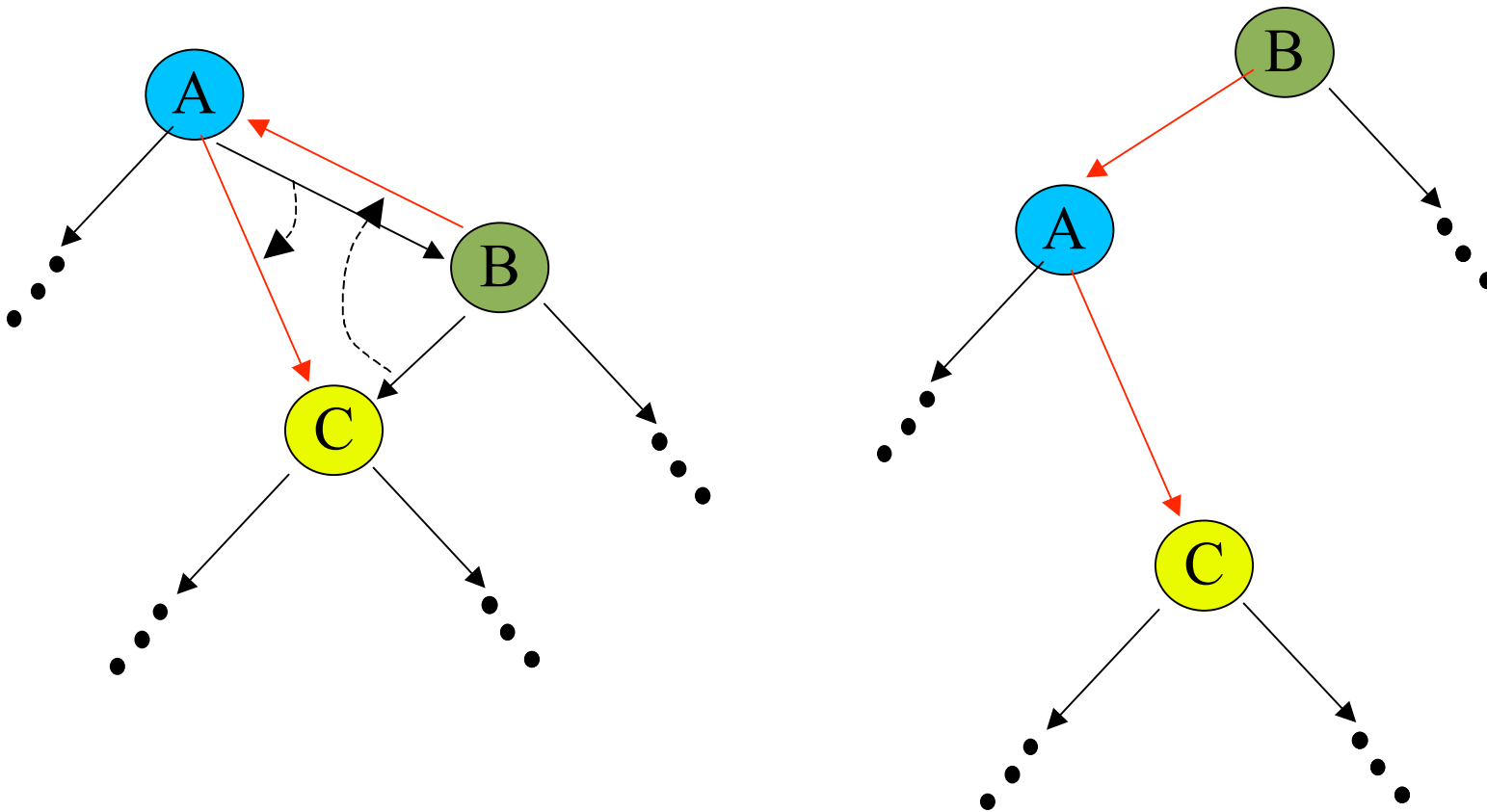
Rebalancing

- **Problem: insert/delete -> not balanced**



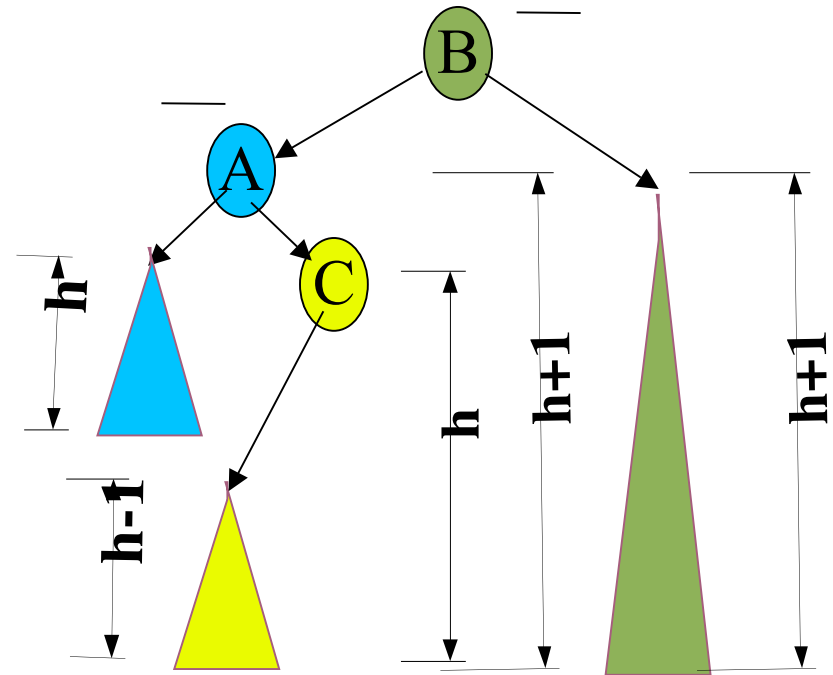
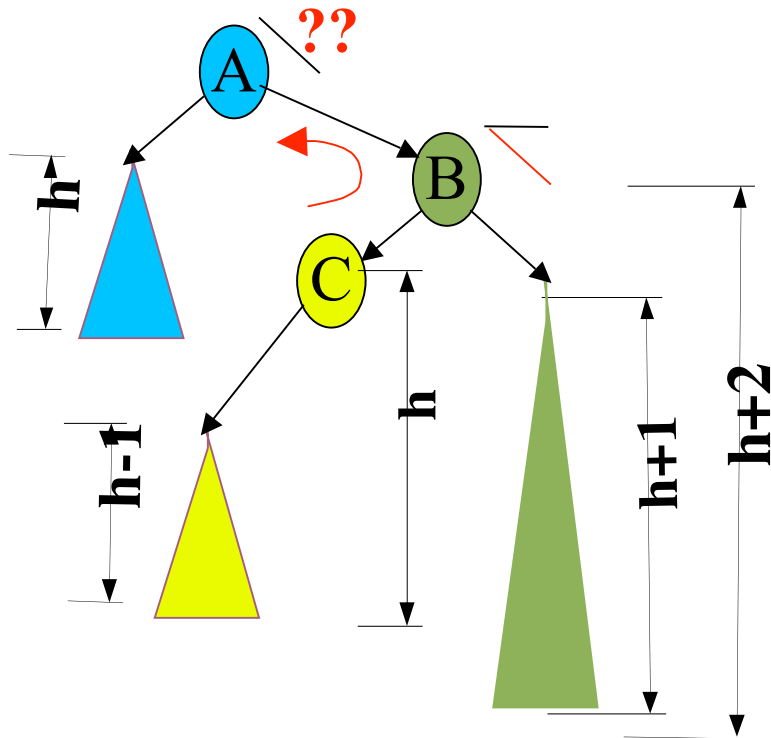
Rebalancing

- **Solution: Rotation**



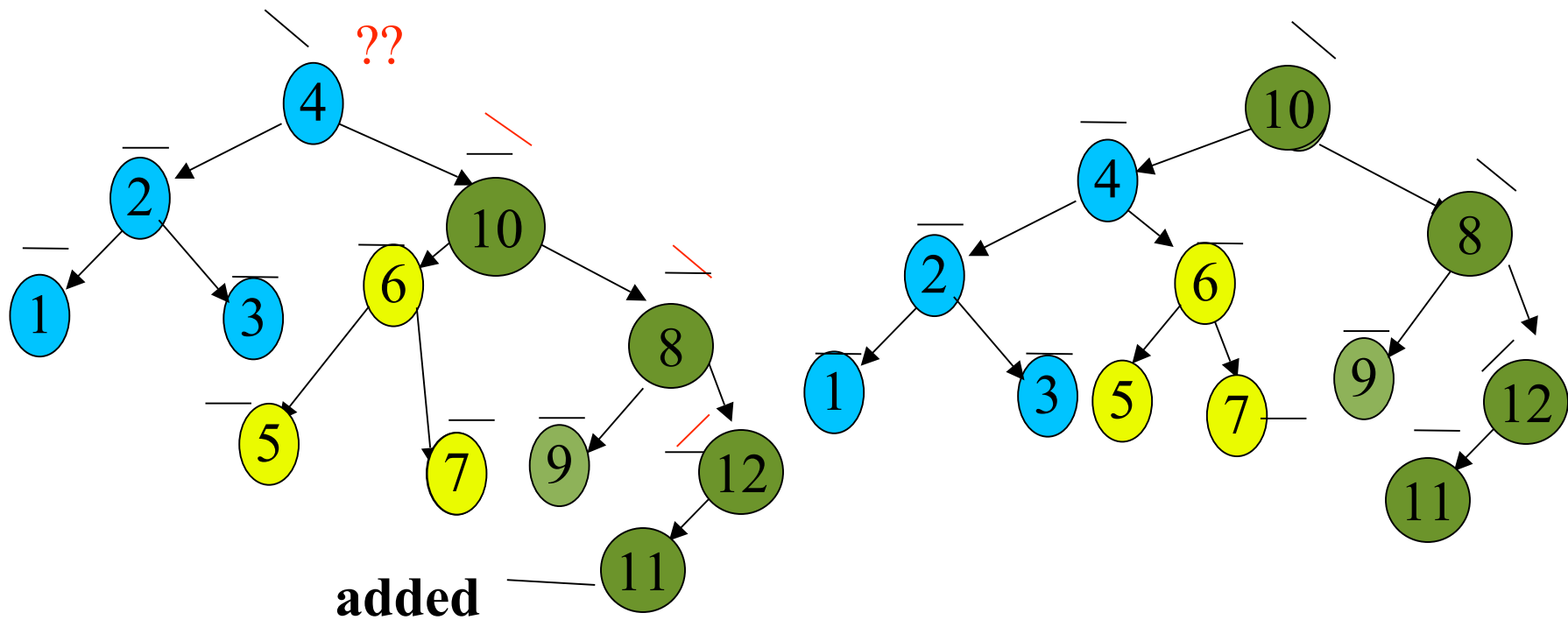
Rebalancing

- **Solution: Rotation**
 - **Highside child of A has same label as A**



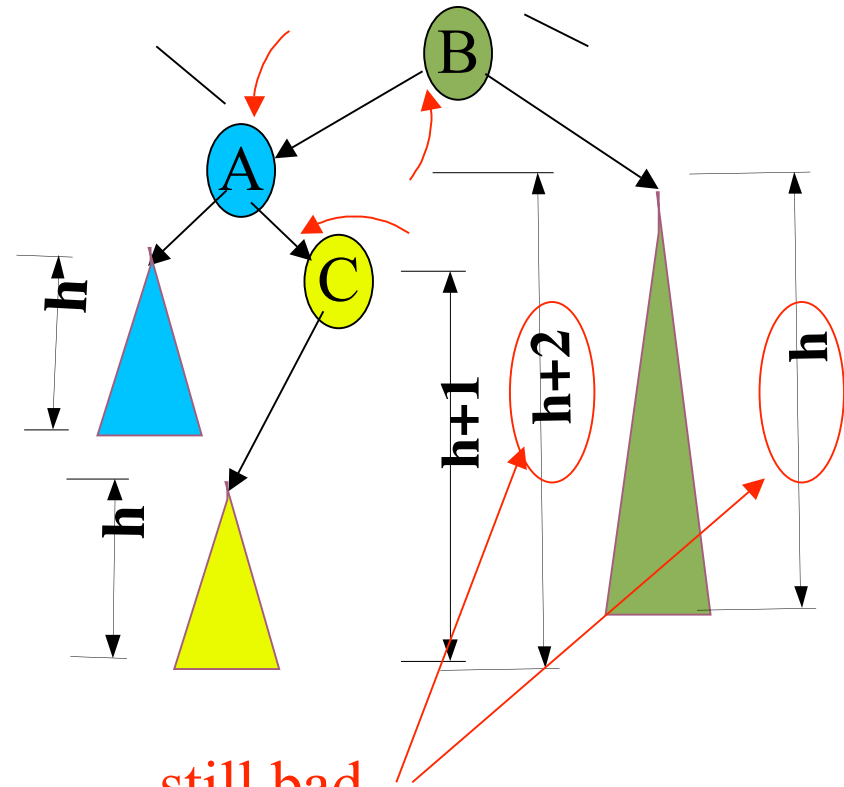
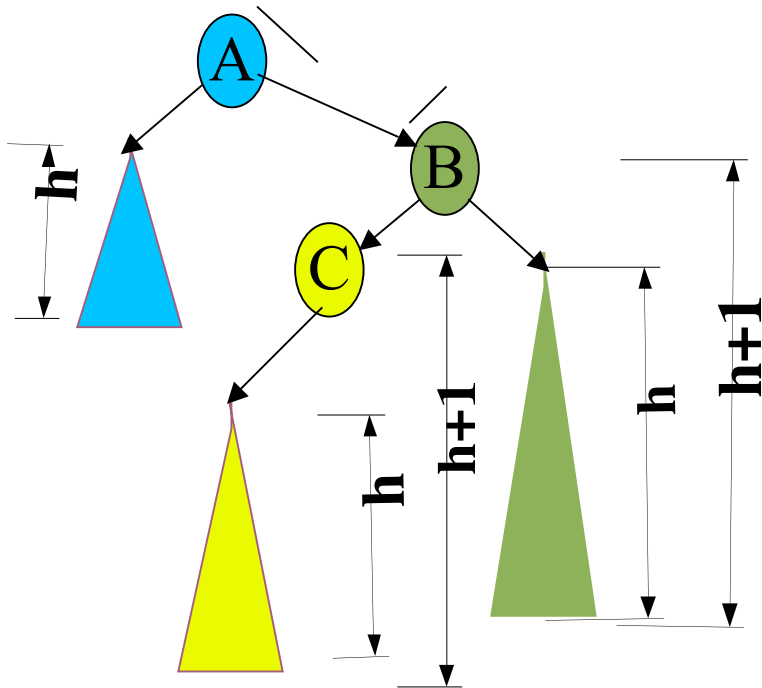
Rebalancing

- **Solution: Rotation**
 - Highside child of A has same label as A



Rebalancing

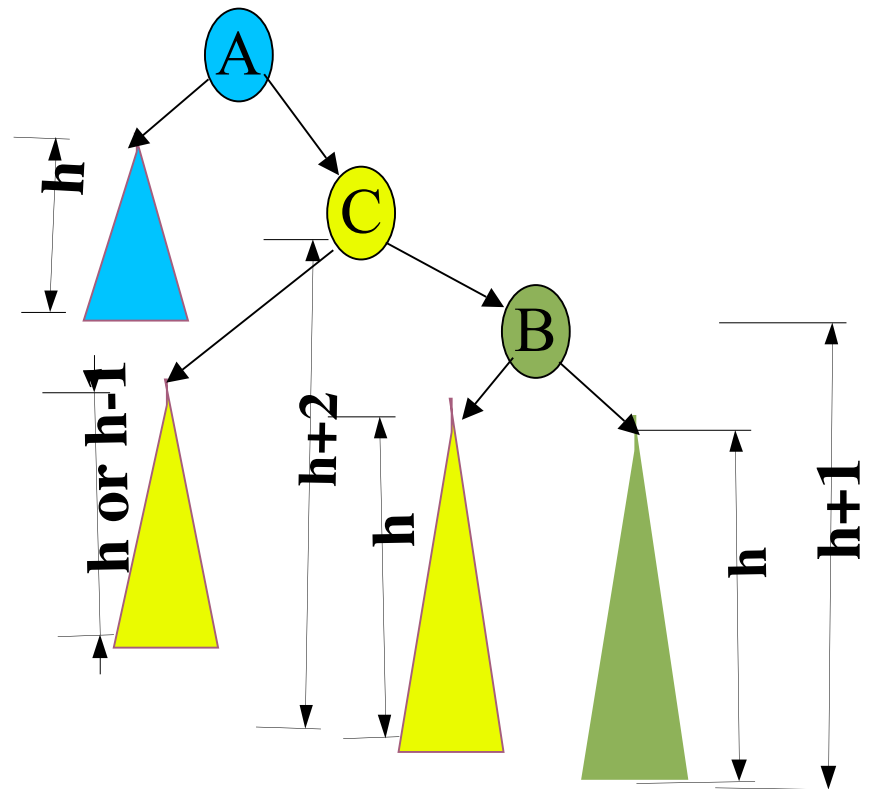
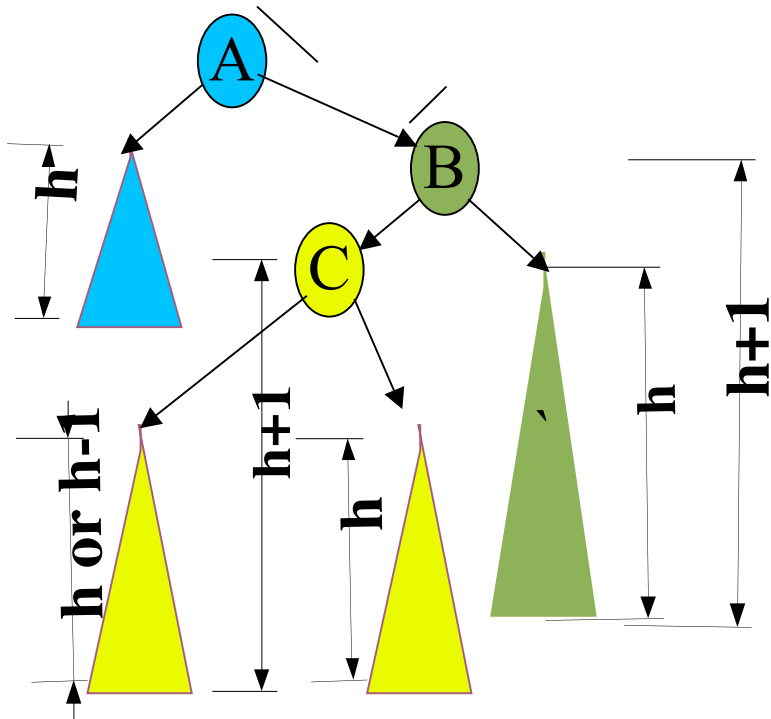
- **Solution: Rotation**
 - Highside child of A has opposite label from A



still bad

Rebalancing

- **Solution: Rotate BC First**



Rebalancing

- **Solution: Then Rotate AC**

