

# **Lecture 7**

## **Functional Dependencies**

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# Introduction

- A **Functional dependency** is a **constraint** between **two sets** of **attributes** from the **database**.
- Consider the whole database as being described by a single **universal relation schema**  $R = \{A_1, A_2, \dots, A_n\}$  has  $n$  attributes  $A_1, A_2, \dots, A_n$ .
- A **functional dependency**, denoted by  $X \rightarrow Y$ , between **two sets** of attributes  $X$  and  $Y$  that are **subsets of**  $R$  specifies a **constraint** on the possible **tuples** that can form a **relation state**  $r$  of  $R$ .
- **Constraint** : *For all pairs of tuples  $t_1$  and  $t_2$  in  $r$  that have  $t_1[X] = t_2[X]$ , they must also have  $t_1[Y] = t_2[Y]$ .*
- There is a **functional dependency** from  $X$  to  $Y$ , or that  $Y$  is **functionally dependent** on  $X$ .
- The abbreviation for **functional dependency** is **FD** or **f.d.**
- The set of attributes  $X$  is called the **left-hand side** of the **FD**, and  $Y$  is called the **right-hand side**.

# Functional Dependency

- Suppose **R** is a relation, **X,Y** are attributes over **R**, **t1,t2** are tuples in the relation **R**:

	<b>X</b>	<b>Y</b>
<b>t1</b>	<b>a1</b>	<b>b1</b>
<b>t2</b>	<b>a2</b>	<b>b2</b>

- The **functional dependency**  **$X \rightarrow Y$**  holds in **R** if and only if
  - **$(t1.X=t2.X) \Rightarrow (t1.Y=t2.Y)$**
- In above **relation R** there is **no such tuple** for which the condition  **$t1.X=t2.X$**  is **true** thus the **functional dependency**  **$X \rightarrow Y$**  holds in **R**.

# Exercise 1

- Suppose you have the following table:

A	B
a1	b1
a2	b1

- $A \rightarrow B$  is **true** (B is Functionally Dependent on A) because there is no such pair of tuples for which  $(t1.A=t2.A)$  .

# Exercise 2

- Suppose you have the following table:

A	B
a1	b1
a1	b1

- $A \rightarrow B$  is **true** (B is Functionally Dependent on A) because there is a pair of tuples for which  $(t1.A=t2.A) \Rightarrow (t1.B=t2.B)$

# Exercise 3

- Suppose you have the following table:

A	B
a1	b1
a1	b2

- $A \rightarrow B$  is **False** (B is Not FD on A) because there is a pair of tuples for which  $(t1.A = t2.A)$  but  $(t1.B \neq t2.B)$

# Exercise 4

- Suppose you have the following table:

A	B	C
$a_1$	$b_1$	$c_1$
$a_1$	$b_1$	$c_2$
$a_2$	$b_1$	$c_1$
$a_2$	$b_1$	$c_3$

- List all **functional dependencies** satisfied by the relation of above Figure.
- $A \rightarrow B$  is True because** there are pair of tuples for which:
  - $(t1.A=t2.A) \Rightarrow (t1.B=t2.B)$  and  $(t3.A=t4.A) \Rightarrow (t3.B=t4.B)$**
- $C \rightarrow B$  is True because** there are pair of tuples for which:
  - $(t1.C=t3.C) \Rightarrow (t1.B=t3.B)$  and**
  - for tuples **t2** and **t4** no such pair exist for which the values of **t2.C** and **t4.C** match.
- A dependency that logically implied by  **$A \rightarrow B$  and  $C \rightarrow B$  :  **$AC \rightarrow B$** .**

# Example 5

- Let us consider the **relation**  $r$ , to see which **functional dependencies** are satisfied.

$A$	$B$	$C$	$D$
$a_1$	$b_1$	$c_1$	$d_1$
$a_1$	$b_2$	$c_1$	$d_2$
$a_2$	$b_2$	$c_2$	$d_2$
$a_2$	$b_2$	$c_2$	$d_3$
$a_3$	$b_3$	$c_2$	$d_4$

- Observe that  $A \rightarrow C$  is satisfied.
- There are **two tuples** that have an  **$A$  value** of  $a_1$ .
- These tuples have the **same  $C$  value**—namely,  $c_1$ .
- Similarly, the **two tuples** with an  **$A$  value** of  $a_2$  have the **same  $C$  value**,  $c_2$ .
- There are no other pairs of distinct tuples that have the same  $A$  value.
- The **functional dependency**  $C \rightarrow A$  is **not satisfied**, however.
- As there is a pair of tuples  $t_1$  and  $t_2$  such that  $t_1[C] = t_2[C]$ , but  $t_1[A] \neq t_2[A]$ .



# Example 6

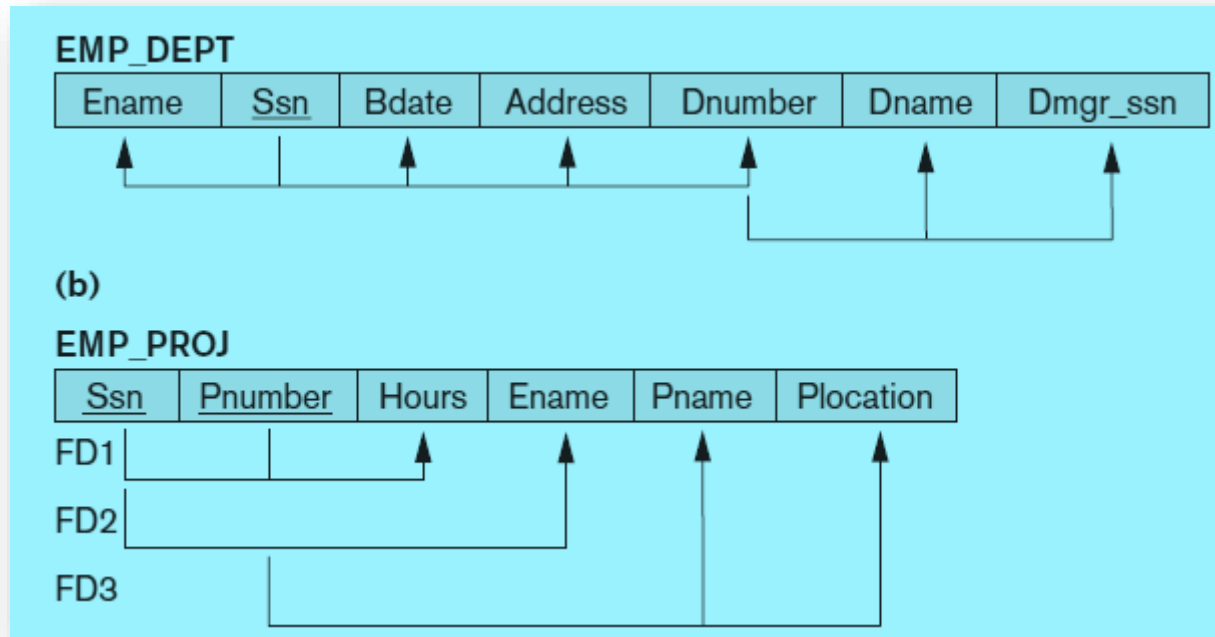
- The functional dependency  $AB \rightarrow D$ .
- Observe that there is **no pair of distinct tuples  $t_1$  and  $t_2$  such that  $t_1[AB] = t_2[AB]$** .
- Therefore, if  $t_1[AB] = t_2[AB]$ , it must be that  $t_1 = t_2$  and, thus,  $t_1[D] = t_2[D]$ .
- So,  $r$  satisfies  $AB \rightarrow D$ .

A	B	C	D
$a_1$	$b_1$	$c_1$	$d_1$
$a_1$	$b_2$	$c_1$	$d_2$
$a_2$	$b_2$	$c_2$	$d_2$
$a_2$	$b_3$	$c_2$	$d_3$
$a_3$	$b_3$	$c_2$	$d_4$

# Functional Dependency

- Consider the relation schema **EMP\_PROJ**; the following **functional dependencies** should hold:
  - a. **Ssn**  $\rightarrow$  **Ename**
  - b. **Pnumber**  $\rightarrow$  {**Pname**, **Plocation**}
  - c. {**Ssn**, **Pnumber**}  $\rightarrow$  **Hours**
- These **functional dependencies** specify that:
  - (a) the value of an employee's Social Security number (**Ssn**) **uniquely determines** the **employee name** (**Ename**), alternatively, we say that **Ename** is **functionally determined by** (or functionally dependent on) **Ssn**.
  - (b) the value of a project's number (**Pnumber**) **uniquely determines** the **project name** (**Pname**) and **location** (**Plocation**), and
  - (c) a combination of **Ssn** and **Pnumber** values **uniquely determines** the **number of hours** the employee currently works on the project per week (**Hours**).

# Diagrammatic Notation for FDs



# Trivial Functional Dependency

- Some **functional dependencies** are said to be **trivial** because they are **satisfied by all relations**.
- For example,  $A \rightarrow A$  is satisfied by **all relations** involving **attribute A**.
- We see that, for all tuples  $t1$  and  $t2$  such that  $t1[A] = t2[A]$ , it is the case that  $t1[A] = t2[A]$ .
- Similarly,  $AB \rightarrow A$  is satisfied by **all relations** involving **attribute A**.
- In general, a **functional dependency** of the form  $\alpha \rightarrow \beta$  is **trivial** if  $\beta \subseteq \alpha$ .

# Inference Rules for FD

- The notation  $F \models X \rightarrow Y$  to denote that the functional dependency  $X \rightarrow Y$  is inferred from the set of functional dependencies  $F$ .
- The following six rules IR1 through IR6 are well-known inference rules for functional dependencies:
  1. IR1 (reflexive rule): If  $X \supseteq Y$ , then  $X \rightarrow Y$ .
  2. IR2 (augmentation rule):  $\{X \rightarrow Y\} \models XZ \rightarrow YZ$ .
  3. IR3 (transitive rule):  $\{X \rightarrow Y, Y \rightarrow Z\} \models X \rightarrow Z$ .
  4. IR4 (decomposition, or projective, rule):  $\{X \rightarrow YZ\} \models X \rightarrow Y$ .
  5. IR5 (union, or additive, rule):  $\{X \rightarrow Y, X \rightarrow Z\} \models X \rightarrow YZ$ .
  6. IR6 (pseudotransitive rule):  $\{X \rightarrow Y, WY \rightarrow Z\} \models WX \rightarrow Z$ .
- Inference rules IR1 through IR3 are known as Armstrong's inference rules.

# Inferred Functional Dependency

- Let  $F$  *denote* the set of functional dependencies that are specified on relation schema  $R$ .
- Typically, the schema designer specifies the functional dependencies that are *semantically obvious*.
- However, numerous other functional dependencies hold in *all* legal relation instances among sets of attributes that can be **derived** from and satisfy the dependencies in  $F$ .
- Those other dependencies can be *inferred or deduced* from the FDs in  $F$ .
- **Example:**  $\text{Dept\_no} \rightarrow \text{Mgr\_ssn}$  and  $\text{Mgr\_ssn} \rightarrow \text{Mgr\_phone}$  **infer**  $\text{Dept\_no} \rightarrow \text{Mgr\_phone}$
- This is an **inferred FD** and need *not* be explicitly stated in addition to the two given FDs.

# Closure of FD

- Formally, the **set of all dependencies** that **include  $F$**  as well as **all dependencies that can be inferred from  $F$**  is called the **closure of  $F$** ; it is denoted by  $F^+$ .
- Example:** Consider the set of FDs  $F$ :
- $F = \{Ssn \rightarrow \{Ename, Bdate, Address, Dnumber\}, Dnumber \rightarrow \{Dname, Dmgr\_ssn\}\}$
- Some of the **additional functional dependencies** that we can **infer from  $F$**  are the following:
  - $Ssn \rightarrow \{Dname, Dmgr\_ssn\}$
  - $Ssn \rightarrow Ssn$
  - $Dnumber \rightarrow Dname$
- Thus  $F^+ = \{Ssn \rightarrow \{Ename, Bdate, Address, Dnumber\}, Dnumber \rightarrow \{Dname, Dmgr\_ssn\}, Ssn \rightarrow \{Dname, Dmgr\_ssn\}, Ssn \rightarrow Ssn, Dnumber \rightarrow Dname\}$

# Closure of FD

- **Example:**
- Let  $R=(A,B,C,G,H,I)$  is a relational schema with set of functional dependencies  $F=(A \rightarrow B, A \rightarrow C, CG \rightarrow H, CG \rightarrow I, B \rightarrow H)$
- Then members of  $F^+$  are:
  - **$A \rightarrow H$** , since  $A \rightarrow B$  &  $B \rightarrow H$  hold (**transitivity rule**)
  - **$CG \rightarrow HI$** , since  $CG \rightarrow H$  &  $CG \rightarrow I$  (**union rule**)
  - **$AG \rightarrow I$** , since  $A \rightarrow C$ ,  $CG \rightarrow I$  (**pseudotransitivity rule**)
- Thus  $F^+ = \{A \rightarrow B, A \rightarrow C, CG \rightarrow H, CG \rightarrow I, B \rightarrow H, A \rightarrow H, CG \rightarrow HI, AG \rightarrow I\}$



# Closure of attribute under a FD

- **Algorithm:** Determining  $X^+$ , the **Closure of  $X$**  under  $F$
- **Input:** A set  $F$  of FDs on a relation schema  $R$ , and a set of attributes  $X$ , which is a subset of  $R$ .

```
 $X^+ := X;$   
repeat  
     $\text{old}X^+ := X^+;$   
    for each functional dependency  $Y \rightarrow Z$  in  $F$  do  
        if  $X^+ \supseteq Y$  then  $X^+ := X^+ \cup Z;$   
until  $(X^+ = \text{old}X^+);$ 
```

# Closure of Attribute under a FD

- Consider a set  $F$  of functional dependencies that should hold on **EMP\_PROJ**:
- $F = \{\text{Ssn} \rightarrow \text{Ename}, \text{Pnumber} \rightarrow \{\text{Pname}, \text{Plocation}\}, \{\text{Ssn}, \text{Pnumber}\} \rightarrow \text{Hours}\}$ 
  - $\{\text{Ssn}\}^+ = \text{Ssn}$
  - $\{\text{Ssn}\}^+ = \{\text{Ssn}, \text{Ename}\}$
  - $\{\text{Pnumber}\}^+ = \{\text{Pnumber}, \text{Pname}, \text{Plocation}\}$
  - $\{\text{Ssn}, \text{Pnumber}\}^+ = \{\text{Ssn}, \text{Pnumber}, \text{Ename}, \text{Pname}, \text{Plocation}, \text{Hours}\}$

```
X+ := X;  
repeat  
    oldX+ := X+;  
    for each functional dependency Y → Z in F do  
        if X+ ⊇ Y then X+ := X+ ∪ Z;  
until (X+ = oldX+);
```

# Applications of Attribute Closure

- There are **several uses** of the **Attribute closure algorithm**:
  - To test if  $\alpha$  is a **superkey**, we **compute  $\alpha^+$** , and **check if  $\alpha^+$  contains all attributes of  $R$** .
  - We can check if a **functional dependency  $\alpha \rightarrow \beta$  holds** (or, in other words, is in  $F^+$ ), by checking if  **$\beta \subseteq \alpha^+$** .
    - That is, we **compute  $\alpha^+$**  by using **attribute closure**, and then **check if it contains  $\beta$** .

# Example

- Consider the schema  $R = (A, B, C, G, H, I)$  and the set  $F$  of functional dependencies:
- $F = \{A \rightarrow B, A \rightarrow C, CG \rightarrow H, CG \rightarrow I, B \rightarrow H\}$  and
- $F^+ = (A \rightarrow B, A \rightarrow C, CG \rightarrow H, CG \rightarrow I, B \rightarrow H, A \rightarrow H, CG \rightarrow HI, AG \rightarrow I)$
- Check **AG** is super key or not?
- **Solution:**
- $AG^+ = AG$
- Consider the FD  $A \rightarrow B$ , here  $A \subseteq AG^+$  thus  $AG^+ = AG \cup B = AGB$
- Consider the FD  $A \rightarrow C$ , here  $A \subseteq AG^+$  thus  $AG^+ = AGB \cup C = AGBC$
- Consider the FD  $CG \rightarrow H$ , here  $CG \subseteq AG^+$  thus  $AG^+ = AGBC \cup H = AGBCH$
- Consider the FD  $CG \rightarrow I$ , here  $CG \subseteq AG^+$  thus  $AG^+ = AGBCH \cup I = AGBCHI$
- Consider the FD  $B \rightarrow H$ , here  $B \subseteq AG^+$  thus  $AG^+ = AGBCHI \cup H = \mathbf{AGBCHI}$  (old  $AG^+$ )
- As  $AG^+$  contains **all the attribute of R** thus **AG** is a **super key of R**.

# Cover of Functional Dependencies

- A set of functional dependencies  $F$  is said to **cover** another set of functional dependencies  $E$  if every FD in  $E$  is also in  $F^+$  i.e.  $E \subseteq F^+$
- That is, if **every dependency in  $E$  can be inferred from  $F$** ; alternatively, we can say that  **$E$  is covered by  $F$** .
- We can **determine** whether  $F$  covers  $E$  by calculating  $X^+$  *with respect to  $F$*  for each FD  $X \rightarrow Y$  in  $E$ , and then checking whether this  $X^+$  **includes** the **attributes in  $Y$** .
- If this is the case **for every FD in  $E$** , then  **$F$  covers  $E$** .

# Equivalence of Sets of Functional Dependencies

- Two sets of functional dependencies  $E$  and  $F$  are equivalent if  $E^+ = F^+$ .
- Therefore, **equivalence** means that **every FD in  $E$  can be inferred from  $F$** , and **every FD in  $F$  can be inferred from  $E$** ;
- That is,  $E$  is equivalent to  $F$  if both the conditions-  $E$  covers  $F$  and  $F$  covers  $E$ - hold.
- **Example:** the following two sets of FDs are equivalent:
  - $F = \{A \rightarrow C, AC \rightarrow D, E \rightarrow AD, E \rightarrow H\}$
  - $G = \{A \rightarrow CD, E \rightarrow AH\}$

As  $F$  covers  $G$  and  $G$  covers  $F$  both are true.

# Equivalence of Sets of Functional Dependencies

- **Exercise:** Show that the following two sets of FDs are **equivalent**:
  - $F = \{A \rightarrow C, AC \rightarrow D, E \rightarrow AD, E \rightarrow H\}$
  - $G = \{A \rightarrow CD, E \rightarrow AH\}$ .
- **Determining whether F covers G**
  - $A^+ = \{A \ C \ D\}$  // closure of left side of  $A \rightarrow CD$  w.r.t. set **F**
  - Here  $CD \subseteq A^+$
  - $E^+ = \{A \ C \ D \ E \ H\}$  // closure of left side of  $E \rightarrow AH$  w.r.t. set **F**
  - Here  $AH \subseteq E^+$
- **Thus F covers G.**

# Equivalence of Sets of Functional Dependencies

- $F = \{A \rightarrow C, AC \rightarrow D, E \rightarrow AD, E \rightarrow H\}$
- $G = \{A \rightarrow CD, E \rightarrow AH\}$ .
- **Determining whether G covers F**
  - $A^+ = \{A \ C \ D\}$  // closure of left side of  $A \rightarrow C$  w.r.t. set **G**
  - Here  $C \subseteq A^+$
  - $(AC)^+ = \{A \ C \ D\}$  // closure of left side of  $AC \rightarrow D$  w.r.t. set **G**
  - Here  $D \subseteq (AC)^+$
  - $E^+ = \{E \ A \ C \ D \ H\}$  // closure of left side of  $E \rightarrow AD$  w.r.t. set **G**
  - Here  $AD \subseteq E^+$
  - $E^+ = \{E \ A \ C \ D \ H\}$  // closure of left side of  $E \rightarrow H$  w.r.t. set **G**
  - Here  $H \subseteq E^+$
  - **Thus G covers F.**
  - It means both F and G are equivalent.



# Extraneous attributes

- An **attribute** of a **functional dependency** is said to be **extraneous** if we can **remove** it without **changing the closure** of the **set of functional dependencies**.
- **Formal definition of extraneous attributes:**
- Consider a **set  $F$  of functional dependencies** and the functional dependency  $\alpha \rightarrow \beta$  in  $F$ .
- **Case 1 (extraneous attribute  $A$  is on LHS of  $\alpha \rightarrow \beta$ ):**
  - To find if an **attribute  $A$**  in  $\alpha$  is **extraneous** or not.
  - **Step 1:** Find  $(\{\alpha\} - A)^+$  using the dependencies of  $F$ .
  - **Step 2:** If  $(\{\alpha\} - A)^+$  contains all the attributes of  $\beta$ , then  **$A$  is extraneous**.

# Extraneous attributes

- **Case 2 (extraneous attribute  $A$  is on RHS of  $\alpha \rightarrow \beta$ ):**
  - To find if an **attribute  $A$**  in  $\beta$  is **extraneous** or not.
  - **Step 1:** Find  $\alpha^+$  using the dependencies in  $F'$  where
$$F' = (F - \{\alpha \rightarrow \beta\}) \cup \{\alpha \rightarrow (\beta - A)\}.$$
  - **Step 2:** If  $\alpha^+$  contains  $A$ , then  $A$  is **extraneous**.

# Example 1

- **Question:** Given a relational schema  $R(P,Q,R)$  and  $F = \{P \rightarrow Q, PQ \rightarrow R\}$ . Is  $Q$  extraneous in  $PQ \rightarrow R$ ?
- **Step 1:** Find  $(\{\alpha\} - A)^+$  using the dependencies of  $F$ .
  - Here,  $\alpha$  is  $PQ$ . So find  $(PQ - Q)^+$ , ie.,  $P^+$  (closure of  $P$ ).
  - $P^+ = PQ$  since  $P \rightarrow Q$
  - $P^+ = PQR$  since  $PQ \rightarrow R$
  - Hence, the closure of  $P$  is  $PQR$ .
- **Step 2:** If  $(\{\alpha\} - A)^+$  contains all the attributes of  $\beta$ , then  $A$  is extraneous.
  - $(PQ - Q)^+$  contains  $R$ . Hence,  **$Q$  is extraneous in  $PQ \rightarrow R$ .**

# Example 2

- **Question:** Given a relational schema  $R(P,Q,R)$  and  $F = \{P \rightarrow QR, Q \rightarrow R\}$ . Is  $R$  extraneous in  $P \rightarrow QR$ ?

- **Step 1:** Find  $\alpha^+$  using the dependencies in  $F'$  where

$$F' = (F - \{\alpha \rightarrow \beta\}) \cup \{\alpha \rightarrow (\beta - A)\}.$$

- $F' = (\{P \rightarrow QR, Q \rightarrow R\} - \{P \rightarrow QR\}) \cup \{P \rightarrow (QR - R)\}$
- $F' = (\{Q \rightarrow R\} \cup \{P \rightarrow Q\}) = \{Q \rightarrow R, P \rightarrow Q\}$
- Find  $(P)^+$  closure of  $P$  using the  $F'$ .
- $P^+ = PQ$  since  $P \rightarrow Q$
- $P^+ = PQR$  since  $Q \rightarrow R$
- **Step 2:** If  $\alpha^+$  contains  $A$ , then  $A$  is extraneous.
- Since  $P^+$  contains  $R$ , hence,  $R$  is **extraneous** in  $P \rightarrow QR$ .

# Finding Key Attribute

- **Algorithm :** Finding a **Key**  $K$  for  $R$  Given a set  $F$  of Functional Dependencies
- **Input:** A **relation**  $R$  and a set of functional dependencies  $F$  on the attributes of  $R$ .

**Step 1.** Set  $K := R$ .

**Step 2.** For each attribute  $A$  in  $K$  {compute  $(K - A)^+$  with respect to  $F$ ;

– if  $(K - A)^+$  contains all the attributes in  $R$ , then set  $K := K - \{A\}$  };

**Note:** This algorithm **determines only one key** out of the possible **candidate keys** for  $R$ ; the key returned depends on the order in which attributes are removed from  $R$  in **step 2**.

# Finding Key Attribute

- Determine all **essential attributes** of the **given relation**.
- **Essential attributes** are those **attributes** which are **not present on RHS** of any **functional dependency**.
- **Essential attributes** are always a **part of** every **Candidate key**.
- Now, following **two cases** are possible-
- **Case-1:**
- If all **essential attributes together** can **determine** all remaining **non-essential attributes**, then-
  - The **combination of essential attributes** is the **candidate key**.
  - And it is the **only possible candidate key**.

# Finding Key Attribute

- **Case-2:**
- If all essential attributes together can not determine all remaining non-essential attributes, then-
  - The set of **essential attributes** and **some non-essential attributes** will be the **candidate key(s)**.
  - In this case, **multiple candidate keys** are possible.
  - To find the **candidate keys**, we check different **combinations** of **essential and non-essential attributes**.

# Example 1

- **Ex1.** Let  $R = (A, B, C, D, E, F)$  be a relation scheme with the following dependencies-  $F = \{ C \rightarrow F, E \rightarrow A, EC \rightarrow D, A \rightarrow B \}$  Find the candidate key(s). How many possible super keys are there?

- **Solution:**

- **Essential attributes** of the relation are- C and E.
- So, attributes **C and E** will definitely be a part of **every candidate key**.
- $\{ CE \}^+$

$$= \{ C, E \}$$

$$= \{ C, E, F \} \text{ ( Using } C \rightarrow F \text{ )}$$

$$= \{ A, C, E, F \} \text{ ( Using } E \rightarrow A \text{ )}$$

$$= \{ A, C, D, E, F \} \text{ ( Using } EC \rightarrow D \text{ )}$$

$$= \{ A, B, C, D, E, F \} \text{ ( Using } A \rightarrow B \text{ )}$$

Thus **CE** is the **only possible candidate key** of the relation.



# Example 2

- As **number of possible super keys** is  $2^{(N - (\text{size of candidate key}))}$
- Here **N=6**, size of candidate key=2
- Thus **possible super keys** is  $2^{(6-2)} = 16$  super keys.

**Ex2.** Let  $R = (A, B, C, D, E)$  be a relation scheme with the following dependencies-

$AB \rightarrow C, C \rightarrow D, B \rightarrow E$ , Determine the total number of candidate keys and super keys.

**Solution:**

- **Essential attributes** of the relation are- **A and B**.
- So, attributes A and B will definitely be a part of every **candidate key**.
- $\{AB\}^+$   
 $= \{A, B, C, D, E\}$

Thus **AB** is the **only possible candidate key** of the relation.

**Possible super keys** is  $2^{(5-2)} = 8$  super keys.

# Example 3

- **Ex3.** Consider the relation scheme  $R(E, F, G, H, I, J, K, L, M, N)$  and the set of functional dependencies  $F = EF \rightarrow G, F \rightarrow I, J, EH \rightarrow KL, K \rightarrow M, L \rightarrow N$ . What is the **key** for  $R$ ?
- **Solution:**
- **Essential attributes** of the relation are- E, F and H.
- So, attributes E, F and H will definitely be a part of every **candidate key**.
- $\{EFH\}^+ = \{EFGHIJKLMNOP\}$
- So, **EFH** is the only possible **candidate key of the relation**.
- Possible super keys is  $2^{(10-3)} = 128$  super keys.

# Example 4

- **Ex4.** Consider the relation scheme  $R(A, B, C, D, E, H)$  and the set of functional dependencies  $F = A \rightarrow B, BC \rightarrow D, E \rightarrow C, D \rightarrow A$ . What are the **candidate keys** of  $R$ ?
- **Solution:**
- **Essential attributes** of the **relation R** are- **E** and **H**.
- So, attributes **E** and **H** will definitely be a part of every **candidate key**.
- $\{EH\}^+ = \{EHC\}$  thus **EH alone is not the candidate key**.
- $\{AEH\}^+ = \{ABCDEH\}$ , thus **AEH** is a **candidate key**.
- $\{BEH\}^+ = \{ABCDEH\}$ , thus **BEH** is a **candidate key**.
- $\{CEH\}^+ = \{CEH\}$ , thus **CEH** is **not** a **candidate key**.
- $\{DEH\}^+ = \{ABCDEH\}$ , thus **DEH** is a **candidate key**.

# Minimal Sets of Functional Dependencies

- A **Minimal cover** of a set of functional dependencies  $E$  is a set of functional dependencies  $F$  that satisfies the property that every dependency in  $E$  is in the closure  $F^+$  of  $F$  i.e.  $E \subseteq F^+$
- In addition, **this property is lost if any dependency from the set  $F$  is removed;**
- $F$  must have **no redundancies** in it, and the **dependencies in  $F$**  are in a **standard form**(that is, they have **only one attribute on the right-hand side**).
- **Minimal cover** is also known as **Canonical cover**.

# Significance of Canonical Cover

- Suppose that we have a set of **functional dependencies  $F$**  on a **relation schema**.
- Whenever a user performs an **update** on the **relation**, the **database system** must **ensure that the update does not violate any functional dependencies**,
- That is, **all the functional dependencies in  $F$**  are **satisfied** in the **new database state**.
- The system must **roll back the update** if it **violates any functional dependencies in the set  $F$** .
- We can **reduce the effort spent in checking for violations by testing a simplified set of functional dependencies** that has the same **closure** as the given set.

# Minimal/Canonical Cover

- **Formal definition of Minimal cover:**
- A Minimal/Canonical cover  $F_c$  for  $F$  is a set of dependencies such that  $F$  logically implies all dependencies in  $F_c$ , and  $F_c$  logically implies all dependencies in  $F$ .
- Furthermore,  $F_c$  must have the following properties:
  - No functional dependency in  $F_c$  contains an extraneous attribute.
  - Each right side of a functional dependency in  $F_c$  must have a single attribute.
  - Each left side of a functional dependency in  $F_c$  is unique.
    - That is, there are no two dependencies  $\alpha_1 \rightarrow \beta_1$  and  $\alpha_2 \rightarrow \beta_2$  in  $F_c$  such that  $\alpha_1 = \alpha_2$ .

# Algorithm to compute Canonical Cover

- $F_c = F$
- **repeat**
  - Use the **union rule** to **replace** any dependencies in  $F_c$  of the form
    - $\alpha_1 \rightarrow \beta_1$  and  $\alpha_1 \rightarrow \beta_2$  with  $\alpha_1 \rightarrow \beta_1 \beta_2$ .
  - Find a **functional dependency**  $\alpha \rightarrow \beta$  in  $F_c$  with an **extraneous attribute** either in  $\alpha$  or in  $\beta$ .
  - /\* Note: the test for extraneous attributes is done **using**  $F_c$ , not  $F$  \*/
  - If an **extraneous attribute** is found, **delete** it from  $\alpha \rightarrow \beta$ .
- **until**  $F_c$  does not change.

# Example

**Question:** Consider the following set  $F$  of functional dependencies on schema  $R(A,B,C)$ :

$A \rightarrow BC, B \rightarrow C, A \rightarrow B, AB \rightarrow C$ , Compute the **canonical cover**  $F^c$ .

**Solution:**

- $F^c = \{A \rightarrow BC, B \rightarrow C, A \rightarrow B, AB \rightarrow C\}$
- Applying union rule on  $A \rightarrow BC$  and  $A \rightarrow B$  we get  $A \rightarrow BC$  thus
- $F^c = \{A \rightarrow BC, B \rightarrow C, AB \rightarrow C\}$
- **Is B extraneous in  $AB \rightarrow C$ ?**
- $A^+ = ABC$  thus **B is extraneous** in  $AB \rightarrow C$ .
- Thus after removing **B**,  $AB \rightarrow C$  becomes  $A \rightarrow C$ .
- **Is A extraneous in  $AB \rightarrow C$ ?**
- $B^+ = BC \neq ABC$  thus **A is not extraneous** in  $AB \rightarrow C$ .
- Thus  $F^c = \{A \rightarrow BC, B \rightarrow C, A \rightarrow C\}$



# Example

- **Is B extraneous in  $A \rightarrow BC$ ?**
- We have to find  $F' = (F - \{\alpha \rightarrow \beta\}) \cup \{\alpha \rightarrow (\beta - A)\}$
- $F' = B \rightarrow C, A \rightarrow C$
- $A^+ = AC$  does not contain B thus **B is not extraneous.**
- **Is C extraneous in  $A \rightarrow BC$ ?**
- We have to find  $F' = (F - \{\alpha \rightarrow \beta\}) \cup \{\alpha \rightarrow (\beta - A)\}$
- $F' = B \rightarrow C, A \rightarrow B$
- $A^+ = ABC$  contains C thus **C is extraneous**, remove C from  $A \rightarrow BC$  which becomes  $A \rightarrow B$
- Thus  $F^c = \{A \rightarrow B, B \rightarrow C, A \rightarrow C\}$  after removing the **redundant FD**  $A \rightarrow C$ .
- Thus  $F^c = \{A \rightarrow B, B \rightarrow C\}$  is the **minimal cover**.
- **Note:** A canonical cover might not be unique.

# Exercise

- Consider the set of functional dependencies  $F = \{A \rightarrow BC, B \rightarrow AC, \text{ and } C \rightarrow AB\}$   
find all possible **canonical covers**.