

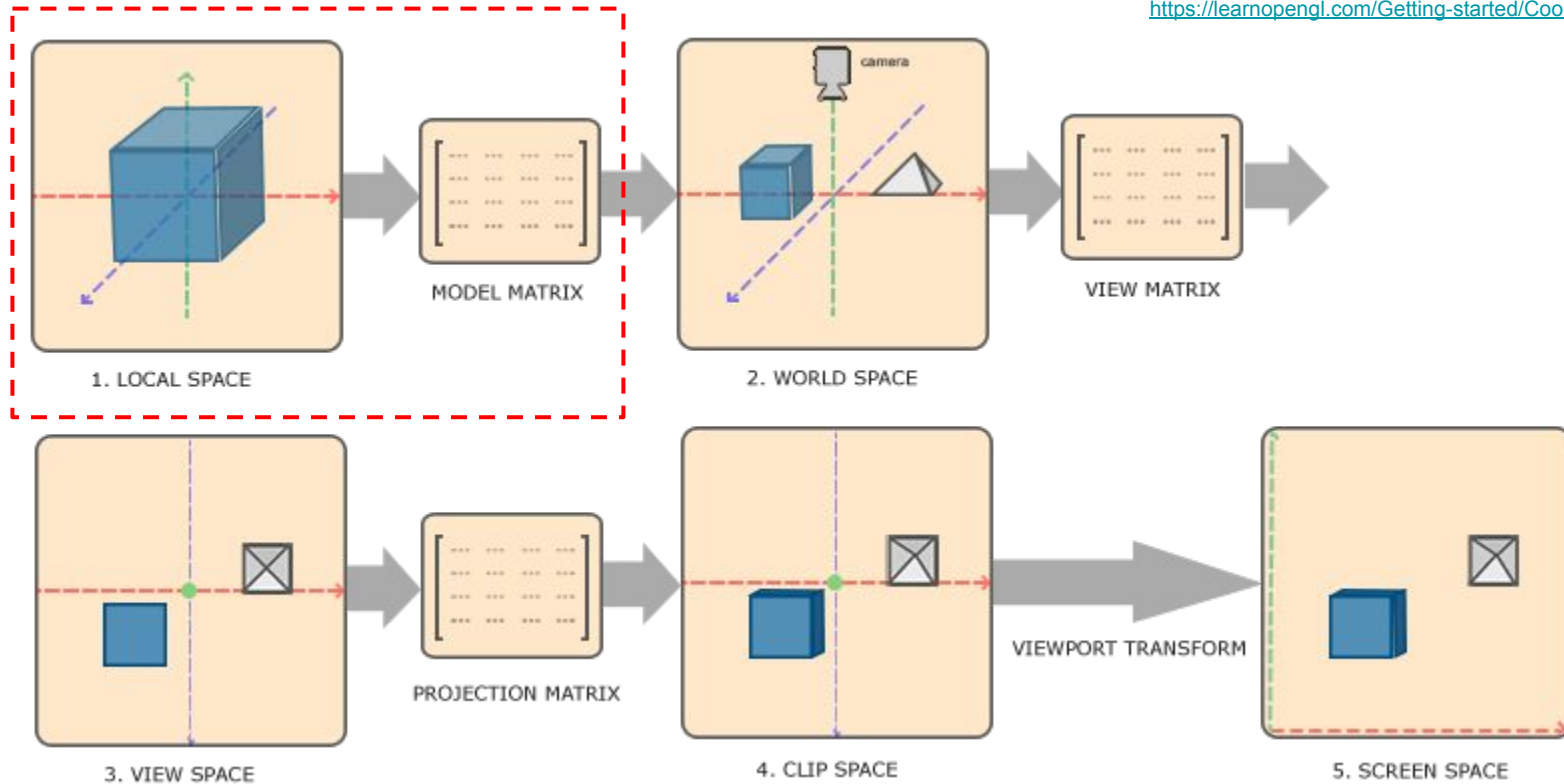
# Affine Transformations and Modeling-Rendering Paradigm

**CSE606: Computer Graphics**  
**Jaya Sreevalsan Nair, IIT Bangalore**  
**January 27, 2025**

# Affine Transformations

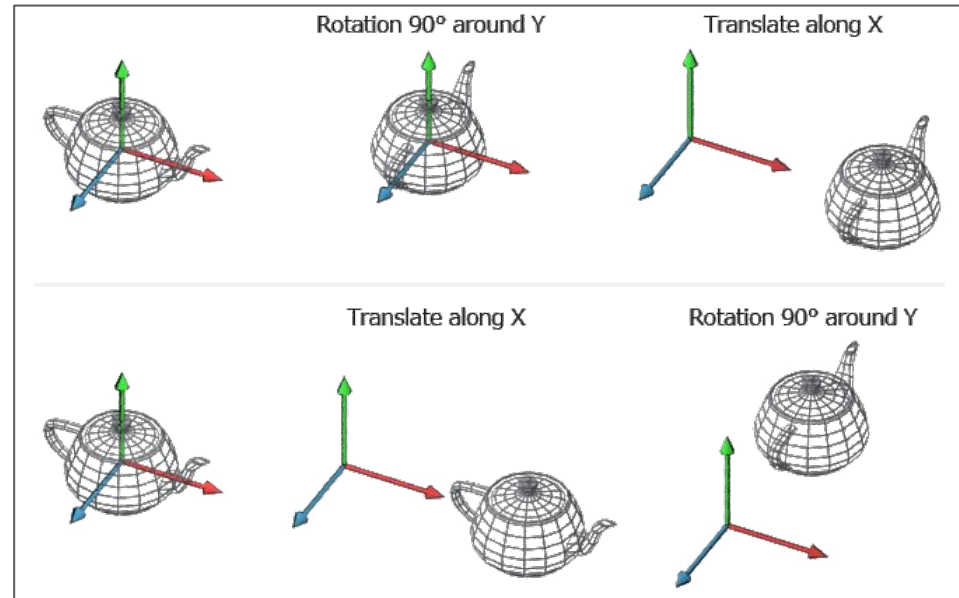
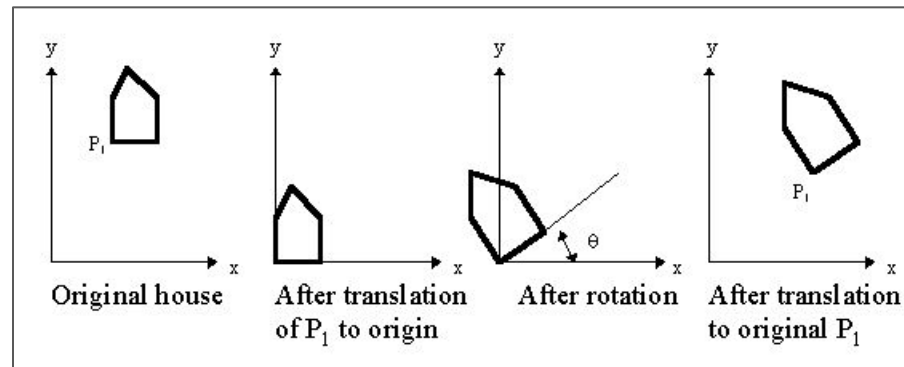
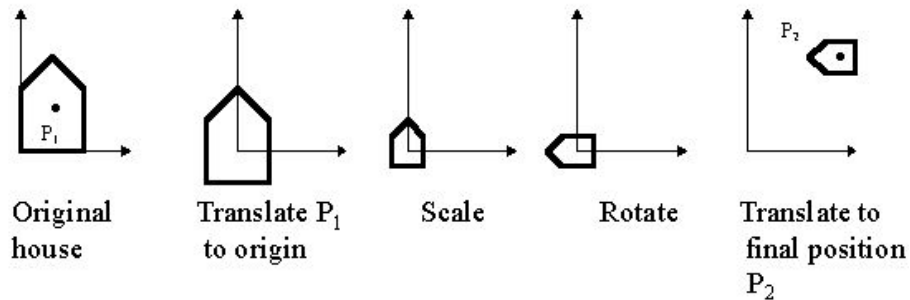
# Coordinate Systems in Computer Graphics: Implementation

<https://learnopengl.com/Getting-started/Coordinate-Systems>



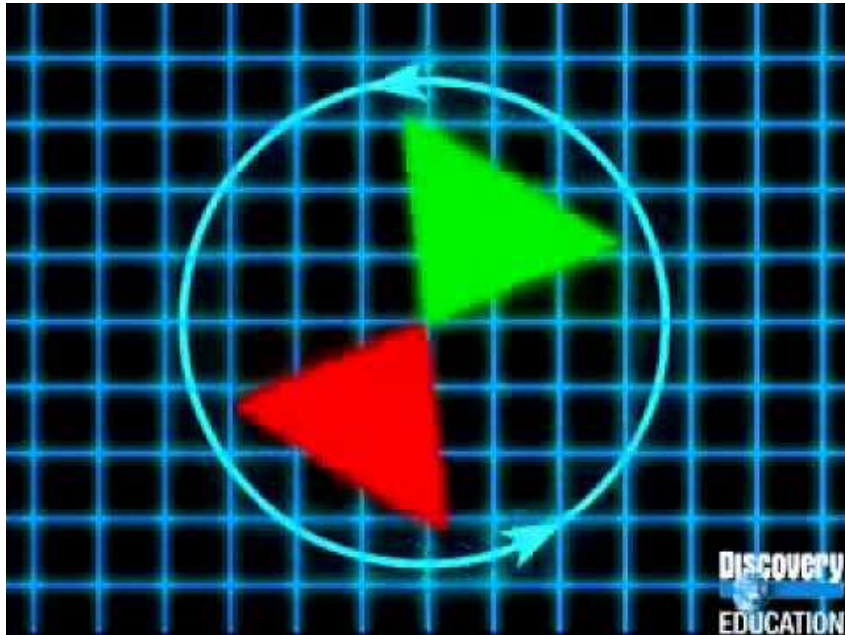
# Model Transformations: Examples - 2D and 3D

Model transformations fall under the class of affine transformations.

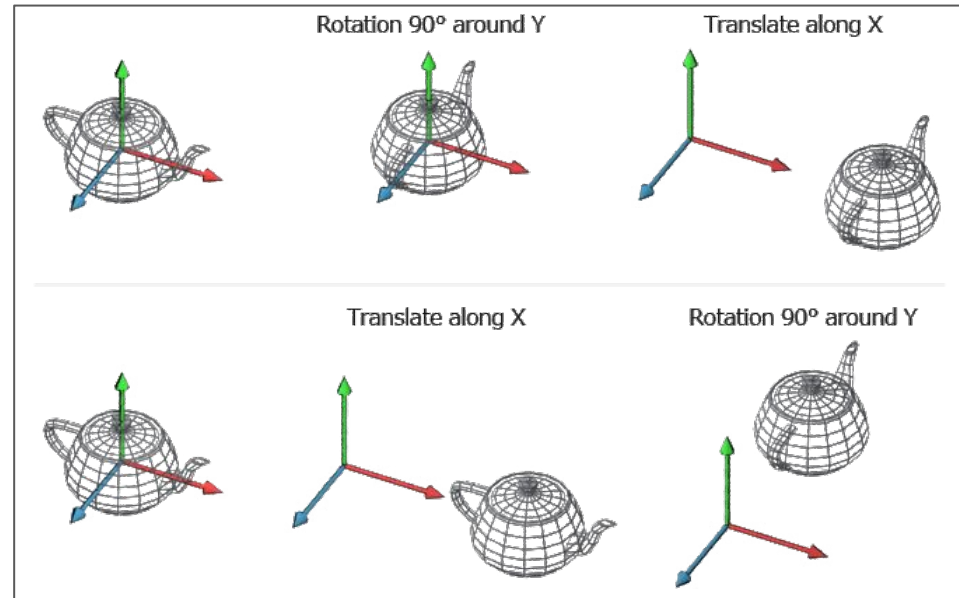


[http://www.codinglabs.net/article\\_world\\_view\\_projection\\_matrix.aspx](http://www.codinglabs.net/article_world_view_projection_matrix.aspx)

# Model Transformations: Examples - 2D and 3D



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<https://www.youtube.com/watch?v=NY2cDTpsvBA>

[http://www.codinglabs.net/article\\_world\\_view\\_projection\\_matrix.aspx](http://www.codinglabs.net/article_world_view_projection_matrix.aspx)

# Introduction to Transformations

Definition: A transformation is a function that maps a point (or vector) to another point (or vector).

In functional form,  $Q = T(P)$ ,  $\mathbf{v} = R(\mathbf{u})$

Transformations are too general to be useful, hence a (restricted) class of transformations is used in computer graphics, namely, the **linear transformations**.

# Introduction to Transformations

Definition: A transformation is a function that maps a point (or vector) to another point (or vector).

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Transformations are too general to be useful, hence a (restricted) class of transformations is used in computer graphics, namely, the **linear transformations**.

Linear transformation is defined as:  $f(\alpha p + \beta q) = \alpha f(p) + \beta f(q)$

Linear transformations can be implemented using **matrix multiplications**.

- Using matrix representation theorem of linear transformations;
- Now, extending vector algebra (of handling vertices) to matrix algebra.

Examples of linear transformations: rotation, scaling

# Linear Transformations

Linear transformation is defined as:  $f(\alpha p + \beta q) = \alpha f(p) + \beta f(q)$

Implication: **Transformations of linear combination** of entities is a **linear combination of transformation** of the entities.

It has the advantage of saving several recalculations.

However, linearity is a restriction, as computer graphics also has non-linear behavior, e.g. perspective projection.



<https://webglfundamentals.org/webgl/lessons/webgl-3d-perspective.html>



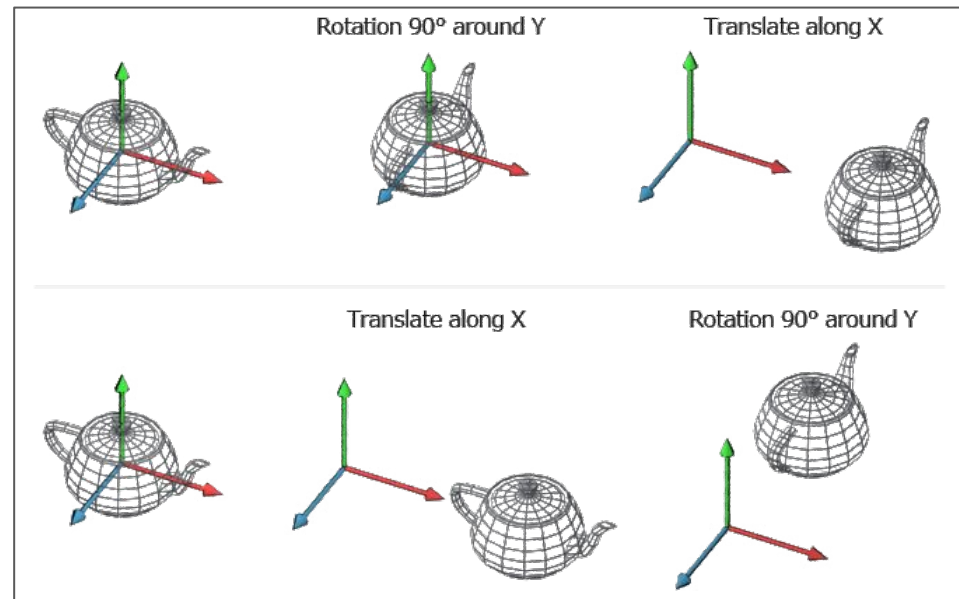
# Affine Transformations

An **affine transformation** is equivalent to a linear transformation, but combined with vector addition.

$$f(\mathbf{u}) = \mathbf{v} = T(\mathbf{u}) + \mathbf{w}$$

for  $f : X \rightarrow Y$  for affine spaces,  $X$  and  $Y$ , such that  $\mathbf{u} \in X$  and  $\mathbf{w} \in Y$ .

Model transformations fall under the class of affine transformations.

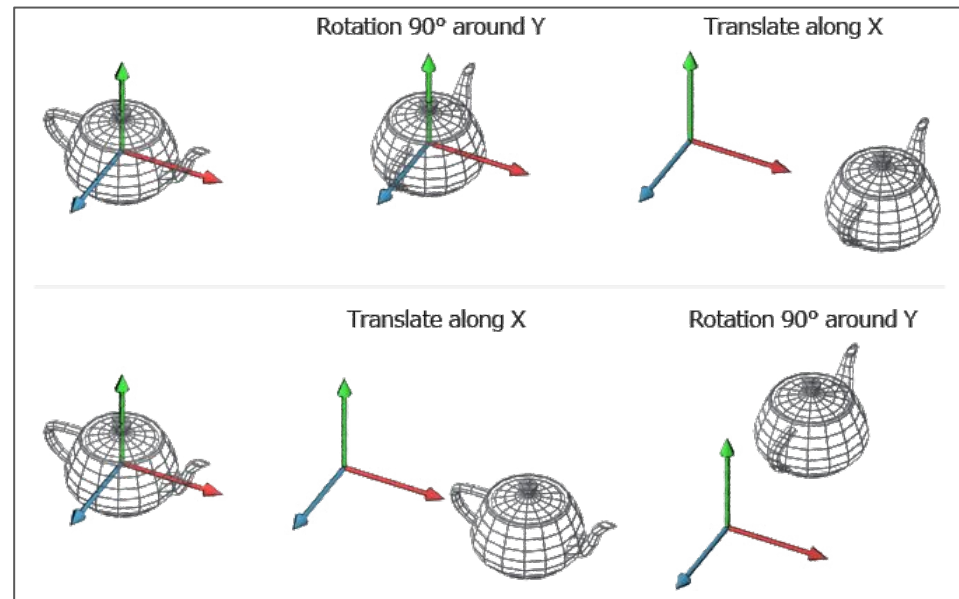


# Affine Transformations

## Properties of Affine Transformations:

- Parallel lines remain parallel.
- The midpoint of a line segment remains a midpoint.
- All points on a straight line remain on a straight line.

Model transformations fall under the class of affine transformations.



# Affine Transformation of Lines

Affine transformations preserve lines.

For a line,  $P(\alpha) = P_0 + \alpha \mathbf{d}$ , affine transformation gives a line

$$Q(\alpha) = C(P(\alpha)) = C(P_0) + \alpha C(\mathbf{d})$$

Similarly, affine transformation of line-segment gives

$$Q(\alpha) = C(P(\alpha)) = (1-\alpha)C(P_0) + \alpha C(P_1)$$

Significance: OpenGL implements *affine transformations of end-points/vertices* to determine the *transformed line*.

# Affine Transformations in Computer Graphics

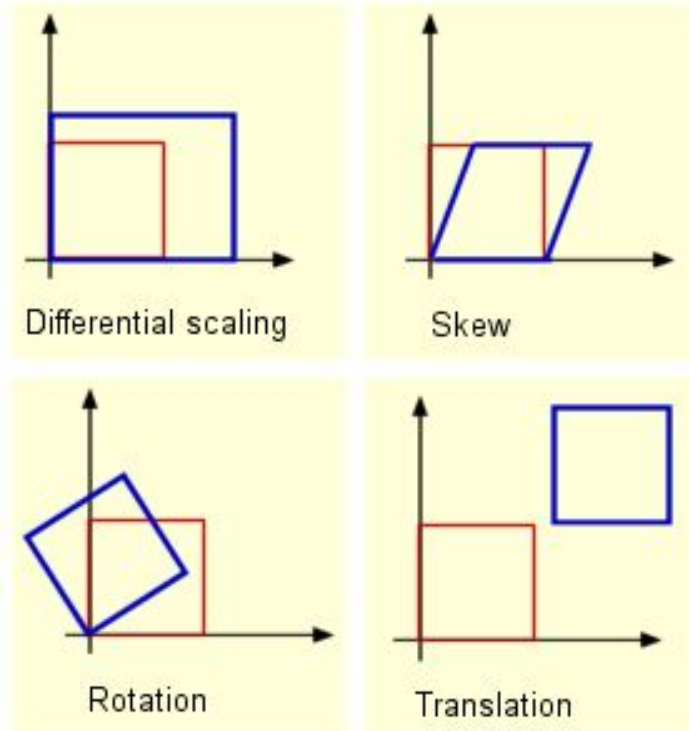
Most of the transformations in Computer Graphics are affine.

- Basic types of affine transformations include translation, rotation, scaling, shear.
- All affine transformations can be constructed as sequence of basic types.

**Rigid-body transformations:** Transformations with no alteration to shape or volume of object. e.g., Translation, Rotation.

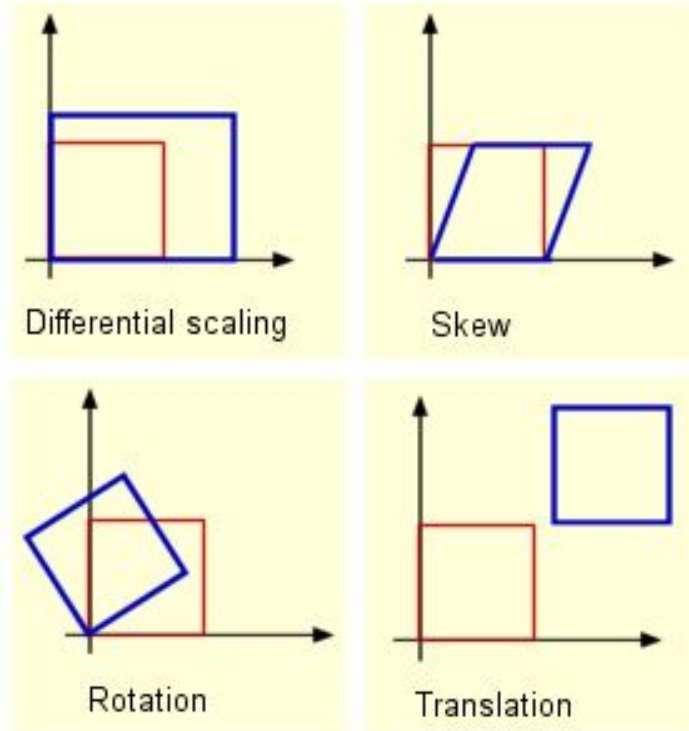
**Non-rigid-body transformations:** Transformations that alter shape or volume of an object. e.g., Uniform & Non-uniform scaling, Shear.

# Affine Transformations



(Image courtesy: OpenGlobe).

# Affine Transformations



Scaling: requires fixed point, and a scale factor  $\alpha$ .

For a specific direction of scaling.

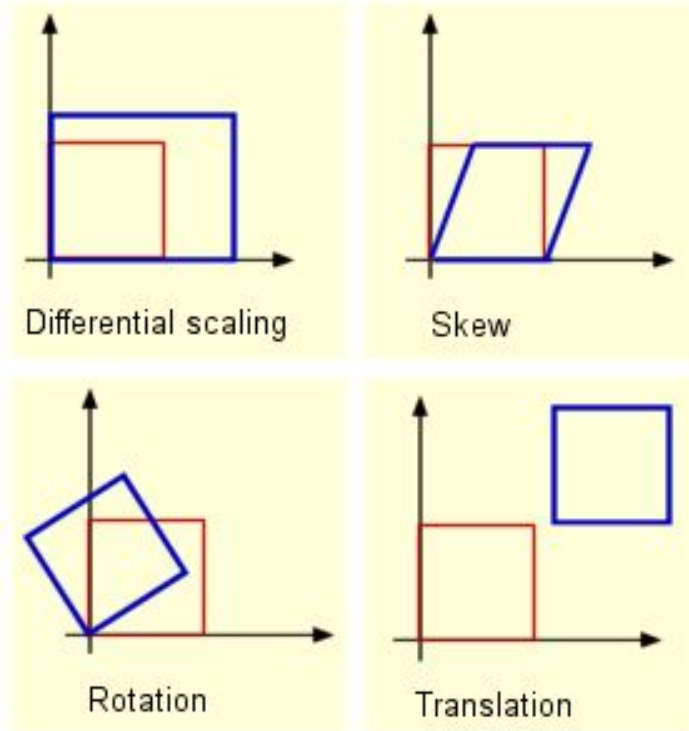
- Normal scaling:  $\alpha \geq 0$
- Reflection:  $\alpha < 0$

For a specific size of scaling:

- Expansion:  $|\alpha| > 1$
- Identity:  $|\alpha| = 1$
- Contraction:  $0 \leq |\alpha| < 1$

(Image courtesy: OpenGlobe).

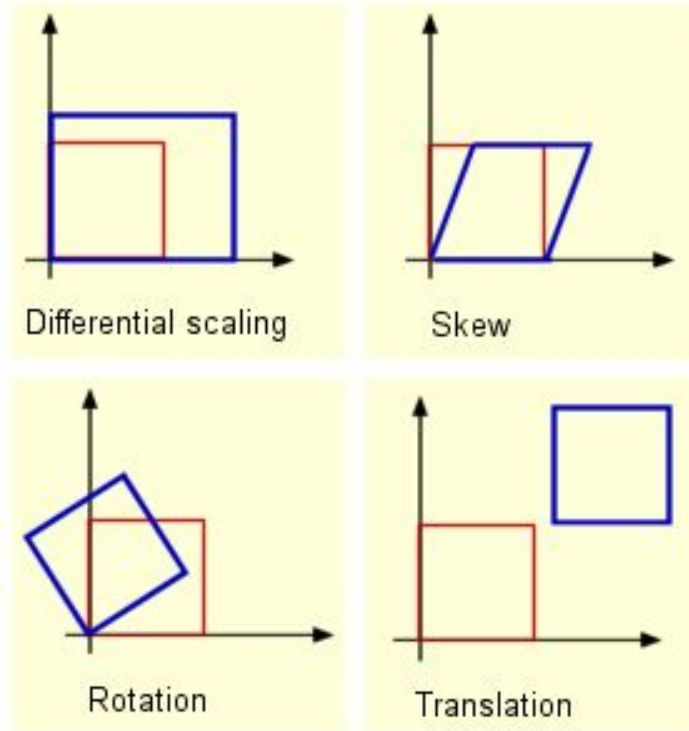
# Affine Transformations



Translation:  $P' = P + \mathbf{d}$

(Image courtesy: OpenGlobe).

# Affine Transformations



Rotation by a fixed angle about fixed point about a fixed axis:

The fixed point is the point unchanged by rotation.

Two-dimensional rotation  $\equiv$   
Three-dimensional rotation about the z-axis.

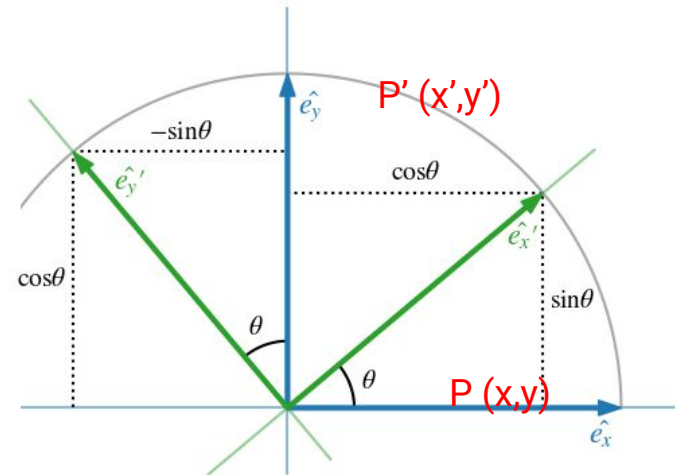
In OpenGL, the origin is always the **fixed point**.

(Image courtesy: OpenGlobe).



# Rotation

What happens when we rotate a coordinate system?



# Rotation

What happens when we rotate a coordinate system?

Applying the same concept to rotating a point -- analogous to a vertex in graphics.

Since rotations are linear transformations, the effect of rotating a vector from the origin to some arbitrary point,  $P = (x, y)$ , can be established by considering the rotation of the basis vectors  $\hat{e}_x \equiv (1, 0)$  and  $\hat{e}_y \equiv (0, 1)$ . In the figure below, a rotation by  $\theta$  takes

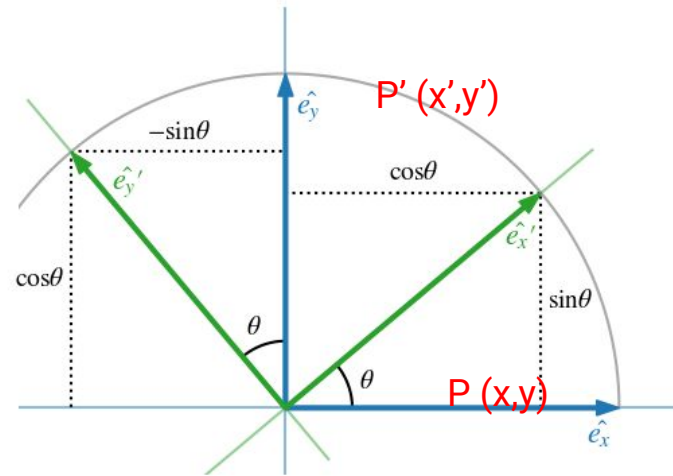
$$\begin{aligned}\hat{e}_x &\rightarrow \hat{e}'_x = \cos \theta \hat{e}_x + \sin \theta \hat{e}_y, \\ \hat{e}_y &\rightarrow \hat{e}'_y = -\sin \theta \hat{e}_x + \cos \theta \hat{e}_y.\end{aligned}$$

Our point  $P$  is therefore transformed from  $(x, y) \equiv x\hat{e}_x + y\hat{e}_y$  to:

$$P' = x\hat{e}'_x + y\hat{e}'_y = (x \cos \theta - y \sin \theta)\hat{e}_x + (x \sin \theta + y \cos \theta)\hat{e}_y.$$

That is,

$$P' = \mathbf{R}P = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}.$$



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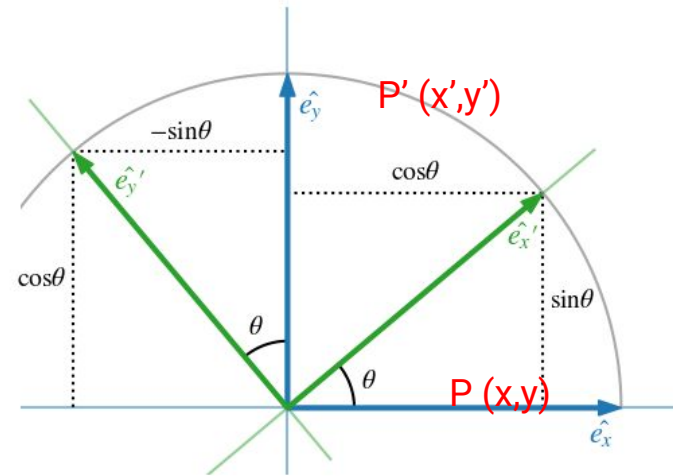
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# Rotation Matrix

## Rotation in 3D

### Rotation around coordinate axes

Extending 2D matrices to 3D

$$\mathbf{R}_x(\theta) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \end{bmatrix}$$

$$\mathbf{R}_y(\theta) = \begin{bmatrix} \cos \theta & 0 & \sin \theta \\ 0 & 1 & 0 \\ -\sin \theta & 0 & \cos \theta \end{bmatrix}$$

$$\mathbf{R}_z(\theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

# Rotation Matrix

## Rotation in 3D

---

Extending 2D matrices to 3D ▶ Concatenation of rotations around x, y, z axes

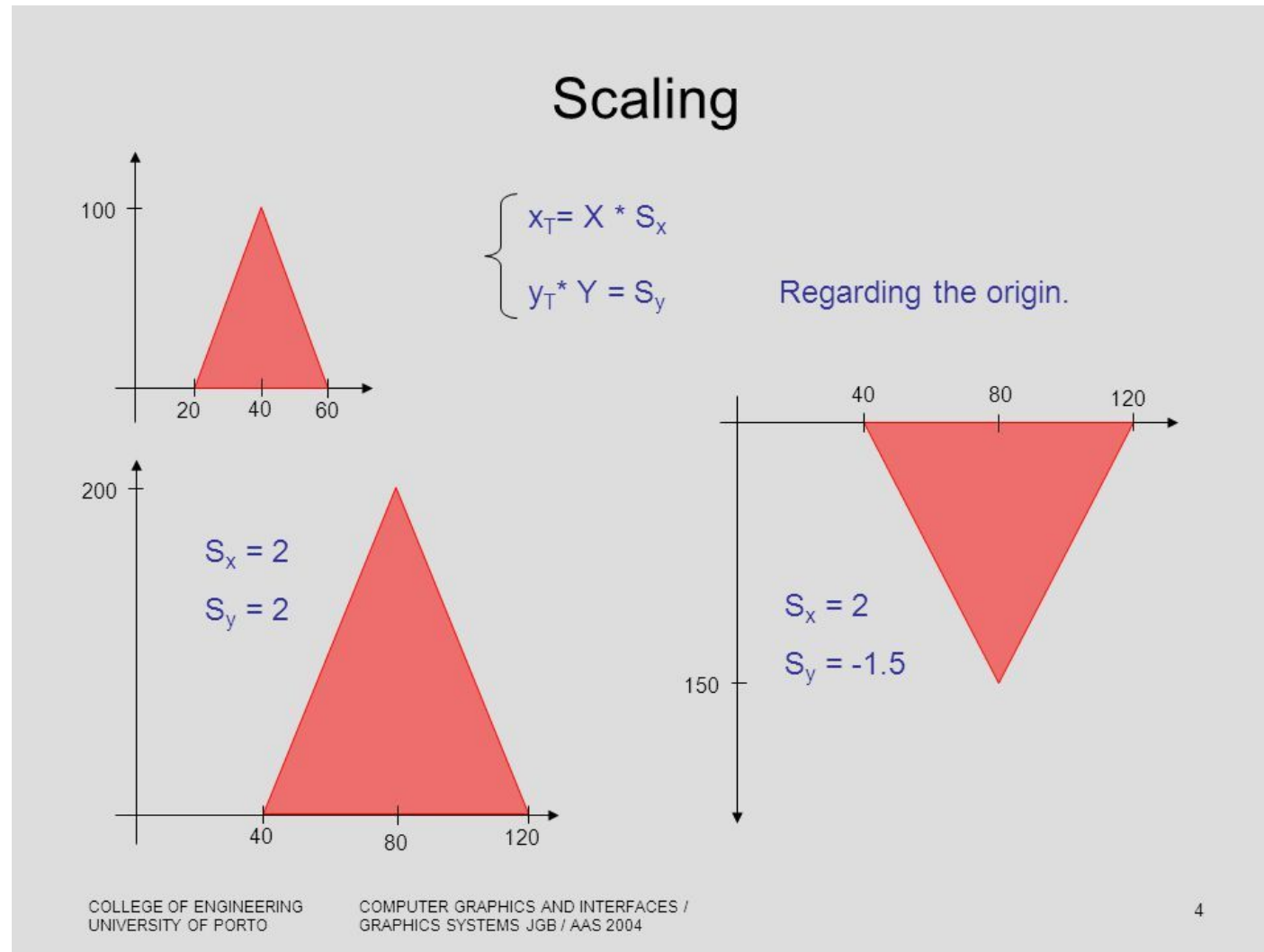
$$\mathbf{R}_{x,y,z}(\theta_x, \theta_y, \theta_z) = \mathbf{R}_x(\theta_x)\mathbf{R}_y(\theta_y)\mathbf{R}_z(\theta_z)$$

- ▶  $\theta_x, \theta_y, \theta_z$  are called Euler angles
- ▶ Result depends on matrix order!

$$\mathbf{R}_x(\theta_x)\mathbf{R}_y(\theta_y)\mathbf{R}_z(\theta_z) \neq \mathbf{R}_z(\theta_z)\mathbf{R}_y(\theta_y)\mathbf{R}_x(\theta_x)$$

# Scaling

An example.

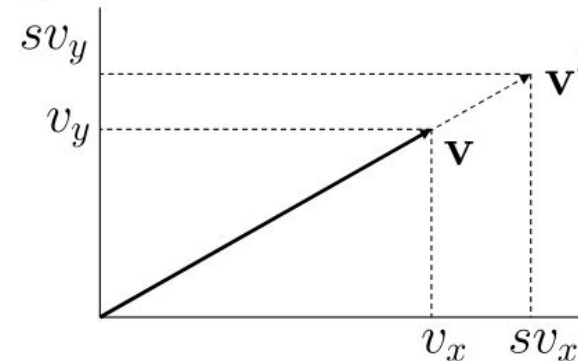


# Scaling Matrix

Uniform or non-differential scaling:  
Same scaling factor along all principal  
axes

## Scaling

- Uniform scaling matrix in 2D



- Analogous in 3D

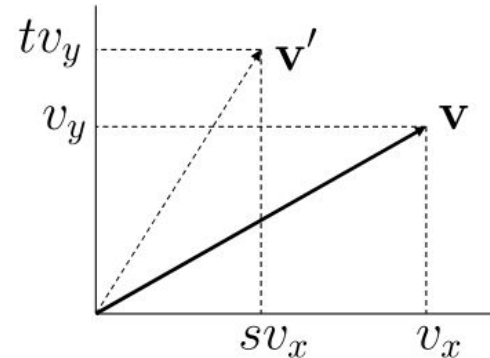
$$\begin{bmatrix} s & 0 \\ 0 & s \end{bmatrix} \mathbf{v} = \begin{bmatrix} s & 0 \\ 0 & s \end{bmatrix} \begin{bmatrix} v_x \\ v_y \end{bmatrix} = \begin{bmatrix} v'_x \\ v'_y \end{bmatrix} = \mathbf{v}'$$

# Scaling Matrix

Uniform or non-differential scaling:  
Same scaling factor along all principal  
axes

## Scaling

- Nonuniform scaling matrix in 2D



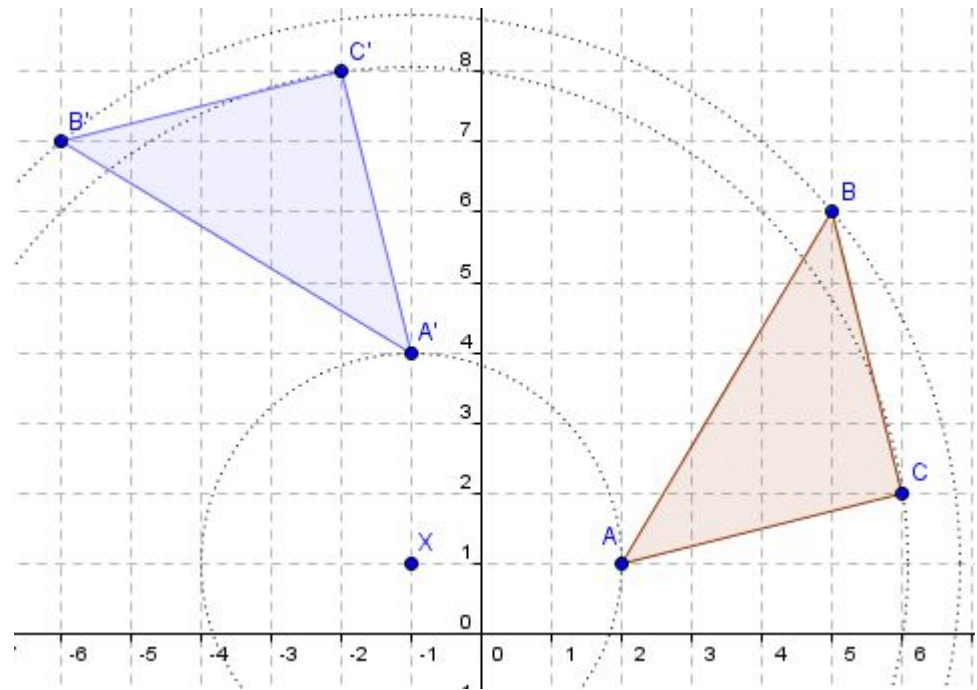
- Analogous in 3D

$$\begin{bmatrix} s & 0 \\ 0 & t \end{bmatrix} \mathbf{v} = \begin{bmatrix} s & 0 \\ 0 & t \end{bmatrix} \begin{bmatrix} v_x \\ v_y \end{bmatrix} = \begin{bmatrix} v'_x \\ v'_y \end{bmatrix} = \mathbf{v}'$$



# Rotation & Scaling

These transformations involve a **fixed point**, which is the point that does not transform.

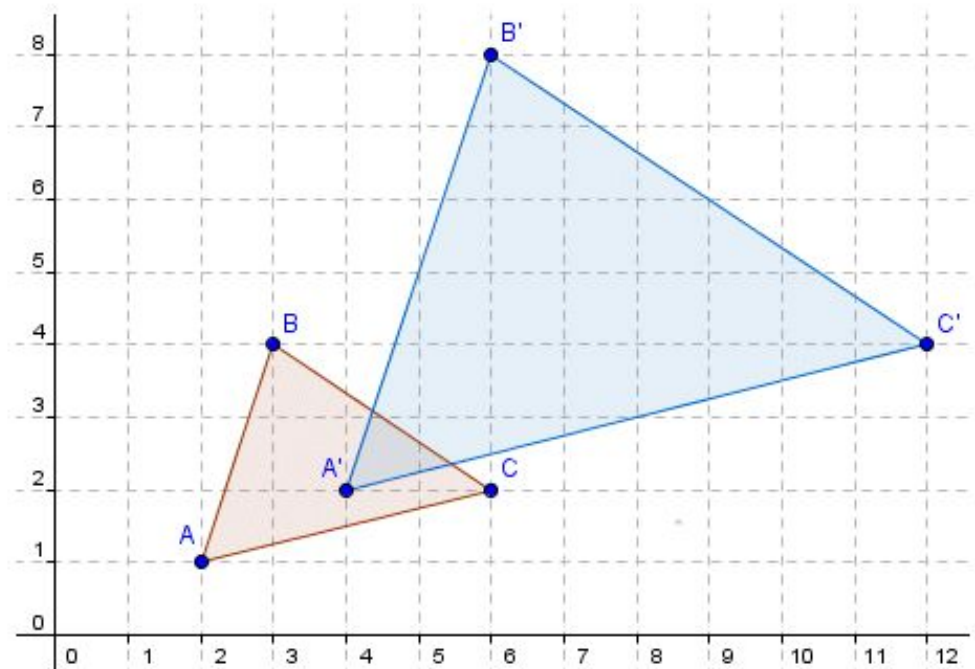


X is a fixed point for rotation, also called “center of rotation”.

Rotation is thus defined by the center, radius, and axis of rotation.

# Rotation & Scaling

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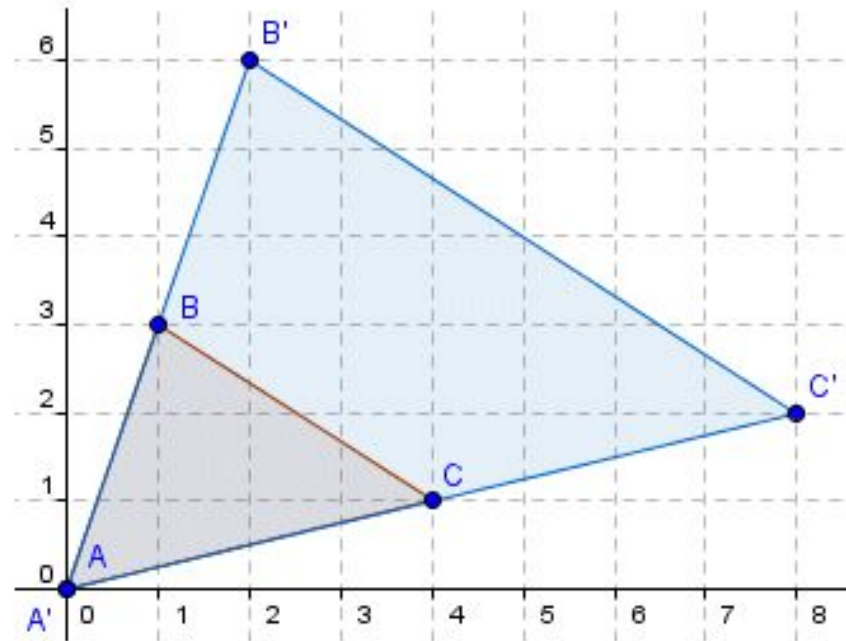


(0,0) is a fixed point for scaling here.

Scaling is thus defined by the fixed point and scaling factors.

# Rotation & Scaling

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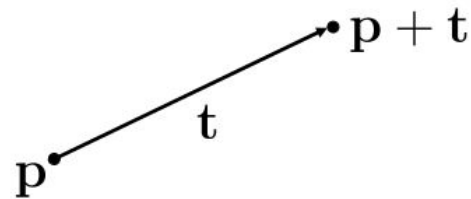
# Translation

Translation is an affine transformation, but not a linear transformation.

Hence it cannot be represented in the form of matrices.

## Translation

### Using homogeneous coordinates



$$\mathbf{p} = \begin{bmatrix} p_x \\ p_y \\ p_z \\ 1 \end{bmatrix} \quad \mathbf{t} = \begin{bmatrix} t_x \\ t_y \\ t_z \\ 0 \end{bmatrix} \quad \mathbf{p} + \mathbf{t} = \begin{bmatrix} p_x + t_x \\ p_y + t_y \\ p_z + t_z \\ 1 \end{bmatrix}$$

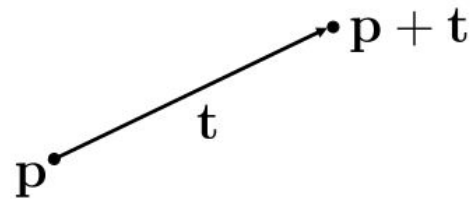
# Translation

*How to make a translation matrix?*

Increase dimensionality of coordinate system by 1 to create **Homogeneous Coordinate System**.

## Translation

**Using homogeneous coordinates**



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# Translation

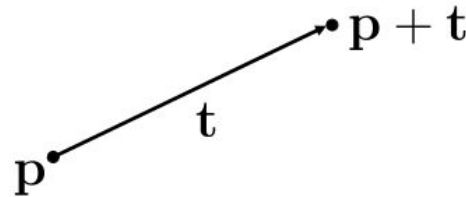
*How to make a translation matrix?*

Increase dimensionality of coordinate system by 1 to create **Homogeneous Coordinate System**.

## Translation

### Using homogeneous coordinates

Homogeneous Coordinate System is to be understood as an **alternative** representation of the Cartesian coordinate system.



$$\mathbf{p} = \begin{bmatrix} p_x \\ p_y \\ p_z \\ 1 \end{bmatrix} \quad \mathbf{t} = \begin{bmatrix} t_x \\ t_y \\ t_z \\ 0 \end{bmatrix} \quad \mathbf{p} + \mathbf{t} = \begin{bmatrix} p_x + t_x \\ p_y + t_y \\ p_z + t_z \\ 1 \end{bmatrix}$$

## Summary: 2D Affine Transformations

Rotation by an angle  $\theta$  about +z axis, scaling, translation.

$$\text{Rotation : } \begin{bmatrix} x \\ y \end{bmatrix} \rightarrow \begin{bmatrix} x \cos \theta - y \sin \theta \\ x \sin \theta + y \cos \theta \end{bmatrix} ; \Rightarrow M_{R_z} = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$$

$$\text{Scaling : } \begin{bmatrix} x \\ y \end{bmatrix} \rightarrow \begin{bmatrix} k_x x \\ k_y y \end{bmatrix} ; \quad \Rightarrow M_S = \begin{bmatrix} k_x & 0 \\ 0 & k_y \end{bmatrix}$$

$$\text{Translation : } \begin{bmatrix} x \\ y \end{bmatrix} \rightarrow \begin{bmatrix} x + t_x \\ y + t_y \end{bmatrix} ; \quad \Rightarrow M_T = ?$$

Rotation and scaling can be represented as (matrix representations of) linear transformations, but translation cannot be represented such.

# Summary: Homogeneous Coordinates for 2D Affine Transformations

Rotation by an angle  $\theta$  about +z axis, scaling, translation.

$$\begin{aligned}
 R : \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} &\rightarrow \begin{bmatrix} x \cos \theta - y \sin \theta \\ x \sin \theta + y \cos \theta \\ 1 \end{bmatrix} ; \Rightarrow M_{R_z} = \begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix} \\
 S : \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} &\rightarrow \begin{bmatrix} k_x x \\ k_y y \\ 1 \end{bmatrix} ; \quad \Rightarrow M_S = \begin{bmatrix} k_x & 0 & 0 \\ 0 & k_y & 0 \\ 0 & 0 & 1 \end{bmatrix} \\
 T : \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} &\rightarrow \begin{bmatrix} x + t_x \\ y + t_y \\ 1 \end{bmatrix} ; \quad \Rightarrow M_T = \begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix}
 \end{aligned}$$



# Modeling-Rendering Paradigm

# Recap - Ingredients in Graphics Programming

Graphics programming is the main part of the rendering module.

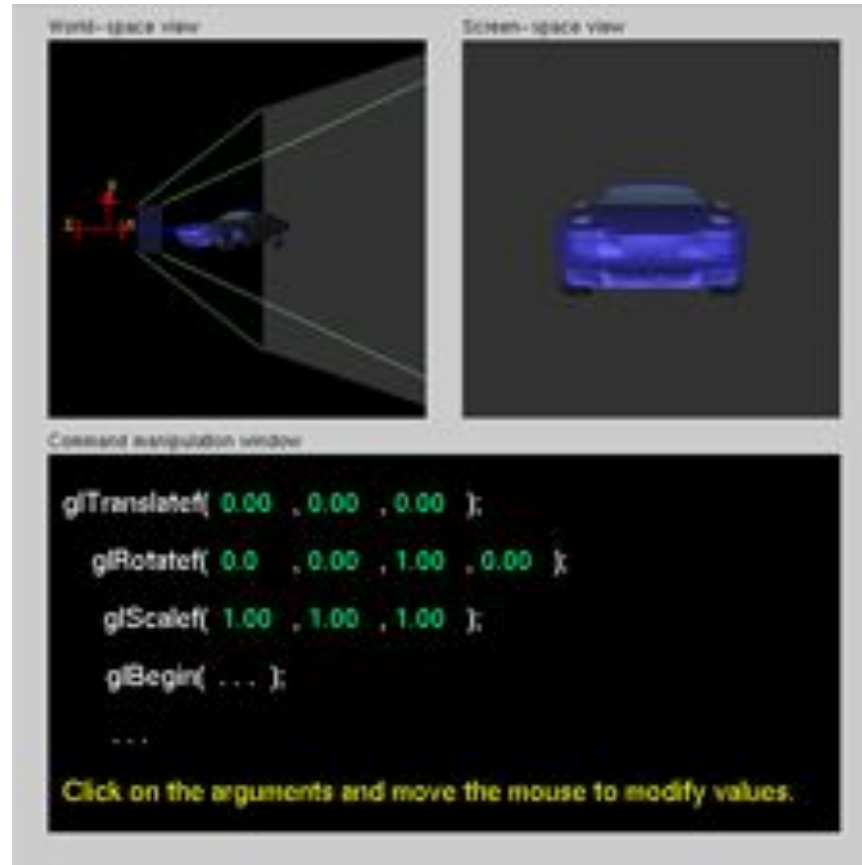
Ingredients:

1. Objects -- output of the modeling module, geometry (3D, complex, etc.)
2. A viewer (or camera)
3. Light sources (important for 3D realism)
4. Material properties (needed for rendering)

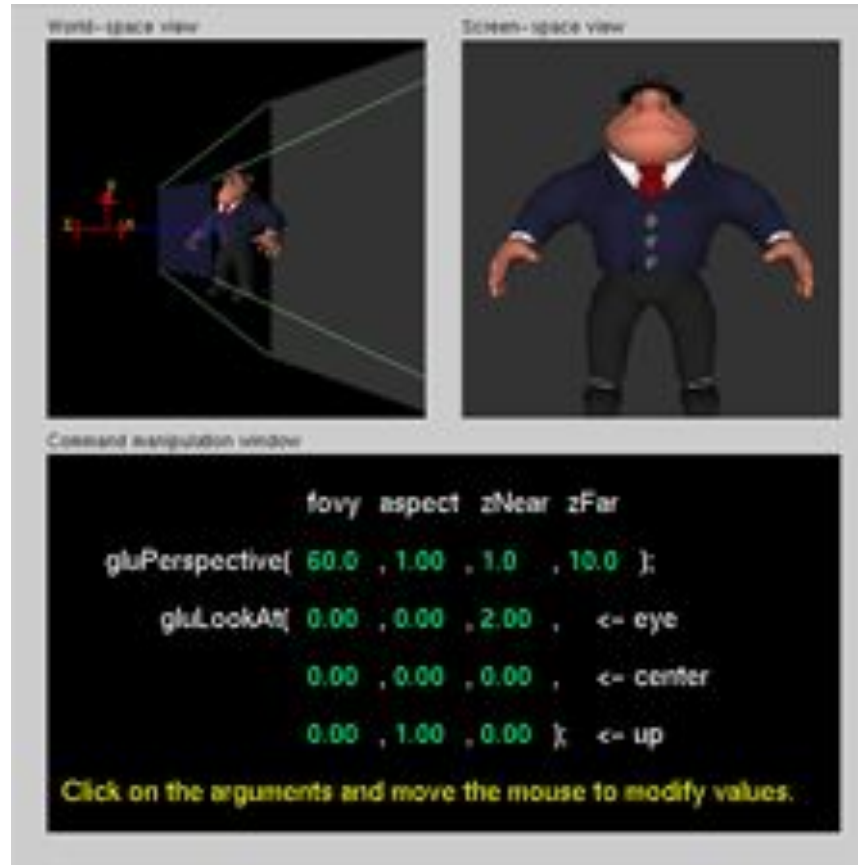
[In-class demo of Nate Robins OpenGL Tutorial

<http://user.xmission.com/~nate/tutors.html> ]

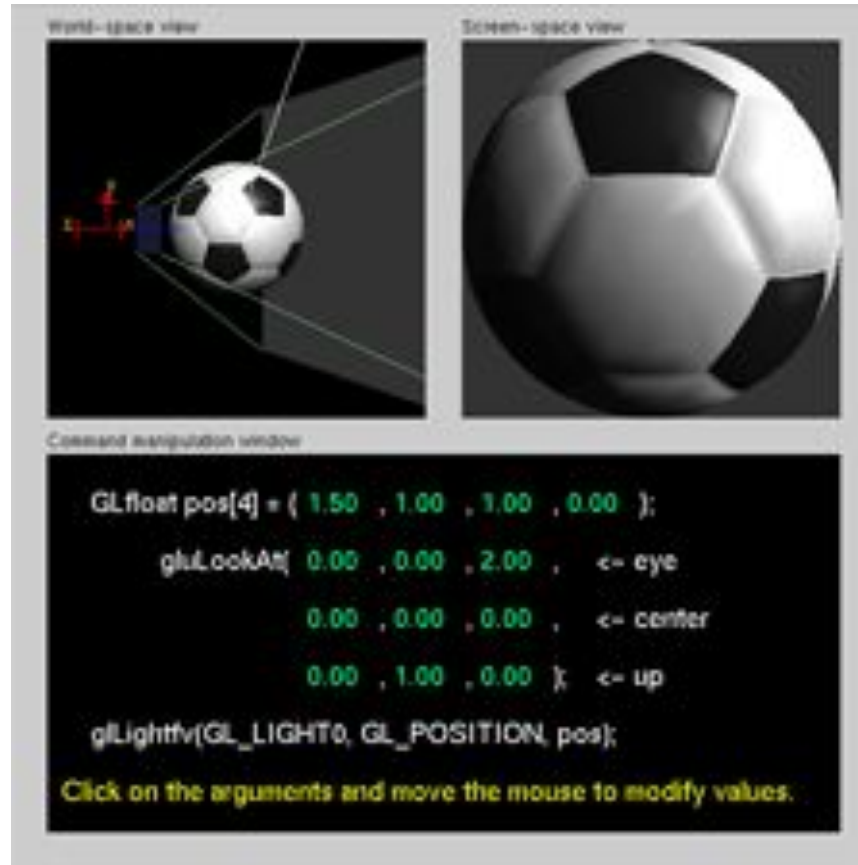
# Object Transformation



# Object Projection

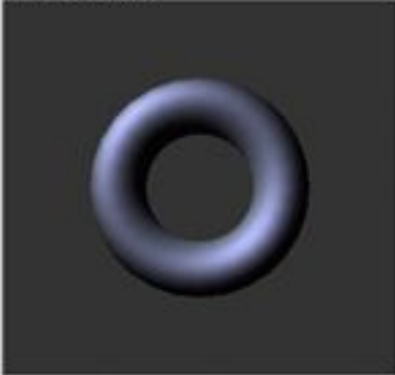


# Lighting

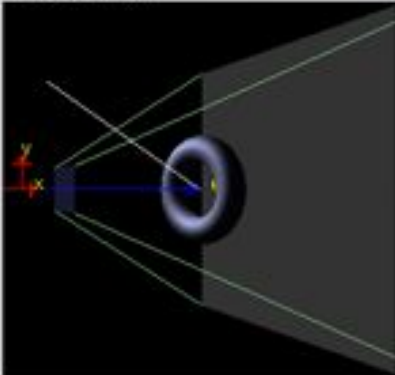


# Material Properties

Screen-space view



World-space view



Command manipulation window

```

GLfloat light_pos[] = { -2.00 , 2.00 , 2.00 , 1.00 };
GLfloat light_Ka[] = { 0.00 , 0.00 , 0.00 , 1.00 };
GLfloat light_Kd[] = { 1.00 , 1.00 , 1.00 , 1.00 };
GLfloat light_Ks[] = { 1.00 , 1.00 , 1.00 , 1.00 };

glLightfv(GL_LIGHT0, GL_POSITION, light_pos);
glLightfv(GL_LIGHT0, GL_AMBIENT, light_Ka);
glLightfv(GL_LIGHT0, GL_DIFFUSE, light_Kd);
glLightfv(GL_LIGHT0, GL_SPECULAR, light_Ks);

GLfloat material_Ka[] = { 0.11 , 0.06 , 0.11 , 1.00 };
GLfloat material_Kd[] = { 0.43 , 0.47 , 0.54 , 1.00 };
GLfloat material_Ks[] = { 0.33 , 0.33 , 0.52 , 1.00 };
GLfloat material_Ke[] = { 0.00 , 0.00 , 0.00 , 0.00 };
GLfloat material_Se = 10 ;

glMaterialfv(GL_FRONT, GL_AMBIENT, material_Ka);
glMaterialfv(GL_FRONT, GL_DIFFUSE, material_Kd);
glMaterialfv(GL_FRONT, GL_SPECULAR, material_Ks);
glMaterialfv(GL_FRONT, GL_EMISSION, material_Ke);
glMaterialfv(GL_FRONT, GL_SHININESS, material_Se);
          
```

**Click on the arguments and move the mouse to modify values.**

# Summary

- Affine transformations
  - Its significance in computer graphics
  - Posing them as linear transformations for efficiency, through the use of homogeneous coordinates
  - Rotation, scaling, translation
- Modeling-rendering paradigm
  - Examples from OpenGL 1.0 implementation in Nate Robins tutorials
  - <http://user.xmission.com/~nate/tutors.html>