

# Advance Data Structures

## 1. Trie | (Insert and Search)

**Trie** is an efficient information **retrieval** data structure. Using trie, search complexities can be brought to optimal limit (key length). If we store keys in binary search tree, a well balanced BST will need time proportional to  $M * \log N$ , where M is maximum string length and N is number of keys in tree. Using trie, we can search the key in  $O(M)$  time. However the penalty is on trie storage requirements.

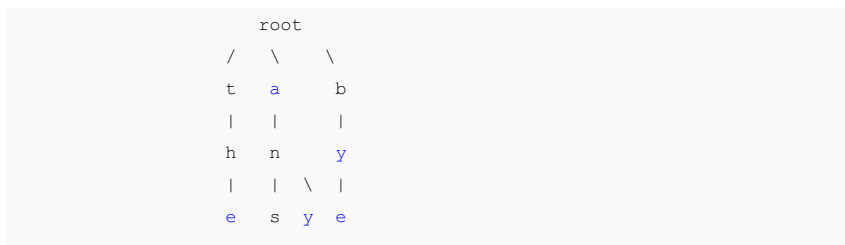
Every node of trie consists of multiple branches. Each branch represents a possible character of keys. We need to mark the last node of every key as leaf node. A trie node field *value* will be used to distinguish the node as leaf node (there are other uses of the *value* field). A simple structure to represent nodes of English alphabet can be as following,

```
struct trie_node
{
    int value; /* Used to mark leaf nodes */
    trie_node_t *children[ALPHABET_SIZE];
};
```

Inserting a key into trie is simple approach. Every character of input key is inserted as an individual trie node. Note that the *children* is an array of pointers to next level trie nodes. The key character acts as an index into the array *children*. If the input key is new or an extension of existing key, we need to construct non-existing nodes of the key, and mark leaf node. If the input key is prefix of existing key in trie, we simply mark the last node of key as leaf. The key length determines trie depth.

Searching for a key is similar to insert operation, however we only compare the characters and move down. The search can terminate due to end of string or lack of key in trie. In the former case, if the *value* field of last node is non-zero then the key exists in trie. In the second case, the search terminates without examining all the characters of key, since the key is not present in trie.

The following picture explains construction of trie using keys given in the example below,



```

      /  |  |
     i  r  w
      |  |  |
     r  e  e
           |
          r

```

In the picture, every character is of type *trie\_node\_t*. For example, the *root* is of type *trie\_node\_t*, and it's children *a*, *b* and *t* are filled, all other nodes of root will be NULL. Similarly, “a” at the next level is having only one child (“n”), all other children are NULL. The leaf nodes are in blue.

Insert and search costs  **$O(\text{key\_length})$** , however the memory requirements of trie is  **$O(\text{ALPHABET\_SIZE} * \text{key\_length} * N)$**  where N is number of keys in trie. There are efficient representation of trie nodes (e.g. compressed trie, [ternary search tree](#), etc.) to minimize memory requirements of trie.

```

#include <stdio.h>
#include <stdlib.h>
#include <string.h>

#define ARRAY_SIZE(a) sizeof(a)/sizeof(a[0])

// Alphabet size (# of symbols)
#define ALPHABET_SIZE (26)
// Converts key current character into index
// use only 'a' through 'z' and lower case
#define CHAR_TO_INDEX(c) ((int)c - (int)'a')

// trie node
typedef struct trie_node trie_node_t;
struct trie_node
{
    int value;
    trie_node_t *children[ALPHABET_SIZE];
};

// trie ADT
typedef struct trie trie_t;
struct trie
{
    trie_node_t *root;
    int count;
};

// Returns new trie node (initialized to NULLs)
trie_node_t *getNode(void)
{
    trie_node_t *pNode = NULL;

    pNode = (trie_node_t *)malloc(sizeof(trie_node_t));

    if( pNode )
    {
        int i;

        pNode->value = 0;
    }
}

```

```

        for(i = 0; i < ALPHABET_SIZE; i++)
        {
            pNode->children[i] = NULL;
        }
    }

    return pNode;
}

// Initializes trie (root is dummy node)
void initialize(trie_t *pTrie)
{
    pTrie->root = getNode();
    pTrie->count = 0;
}

// If not present, inserts key into trie
// If the key is prefix of trie node, just marks leaf node
void insert(trie_t *pTrie, char key[])
{
    int level;
    int length = strlen(key);
    int index;
    trie_node_t *pCrawl;

    pTrie->count++;
    pCrawl = pTrie->root;

    for( level = 0; level < length; level++ )
    {
        index = CHAR_TO_INDEX(key[level]);
        if( !pCrawl->children[index] )
        {
            pCrawl->children[index] = getNode();
        }

        pCrawl = pCrawl->children[index];
    }

    // mark last node as leaf
    pCrawl->value = pTrie->count;
}

// Returns non zero, if key presents in trie
int search(trie_t *pTrie, char key[])
{
    int level;
    int length = strlen(key);
    int index;
    trie_node_t *pCrawl;

    pCrawl = pTrie->root;

    for( level = 0; level < length; level++ )
    {
        index = CHAR_TO_INDEX(key[level]);

        if( !pCrawl->children[index] )
        {
            return 0;
        }
    }

```

```

        pCrawl = pCrawl->children[index];
    }
    return (0 != pCrawl && pCrawl->value);
}

// Driver
int main()
{
    // Input keys (use only 'a' through 'z' and lower case)
    char keys[][8] = {"the", "a", "there", "answer", "any", "by", "bye"};
    trie_t trie;

    char output[][32] = {"Not present in trie", "Present in trie"};

    initialize(&trie);

    // Construct trie
    for(int i = 0; i < ARRAY_SIZE(keys); i++)
    {
        insert(&trie, keys[i]);
    }

    // Search for different keys
    printf("%s --- %s\n", "the", output[search(&trie, "the")] );
    printf("%s --- %s\n", "these", output[search(&trie, "these")] );
    printf("%s --- %s\n", "their", output[search(&trie, "their")] );
    printf("%s --- %s\n", "thaw", output[search(&trie, "thaw")] );

    return 0;
}

```

— **Venki**. Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.

## 2. Trie | (Delete)

In the [previous post](#) on [trie](#) we have described how to insert and search a node in trie. Here is an algorithm how to delete a node from trie.

During delete operation we delete the key in bottom up manner using recursion. The following are possible conditions when deleting key from trie,

1. Key may not be there in trie. Delete operation should not modify trie.
2. Key present as unique key (no part of key contains another key (prefix), nor the key itself is prefix of another key in trie). Delete all the nodes.
3. Key is prefix key of another long key in trie. Unmark the leaf node.
4. Key present in trie, having atleast one other key as prefix key. Delete nodes from end of key until first leaf node of longest prefix key.

The highlighted code presents algorithm to implement above conditions. (One may be in dilemma how a pointer passed to delete helper is reflecting changes from deleteHelper to deleteKey. Note that we are holding trie as an ADT in trie\_t node, which is passed by reference or pointer).

```
#include <stdio.h>
#include <stdlib.h>
#include <string.h>

#define ARRAY_SIZE(a) sizeof(a)/sizeof(a[0])

// Alphabet size (# of symbols)

#define ALPHABET_SIZE (26)
#define INDEX(c) ((int)c - (int)'a')

#define FREE(p) \
    free(p); \
    p = NULL;

// forward declration
typedef struct trie_node trie_node_t;

// trie node
struct trie_node
{
    int value; // non zero if leaf
    trie_node_t *children[ALPHABET_SIZE];
};

// trie ADT
typedef struct trie trie_t;

struct trie
{
    trie_node_t *root;
    int count;
};

trie_node_t *getNode(void)
{
    trie_node_t *pNode = NULL;

    pNode = (trie_node_t *)malloc(sizeof(trie_node_t));

    if( pNode )
    {
        int i;

        pNode->value = 0;

        for(i = 0; i < ALPHABET_SIZE; i++)
        {
            pNode->children[i] = NULL;
        }

        return pNode;
    }
}

void initialize(trie t *pTrie)
```

```

{
    pTrie->root = getNode();
    pTrie->count = 0;
}

void insert(trie_t *pTrie, char key[])
{
    int level;
    int length = strlen(key);
    int index;
    trie_node_t *pCrawl;

    pTrie->count++;
    pCrawl = pTrie->root;

    for( level = 0; level < length; level++ )
    {
        index = INDEX(key[level]);

        if( pCrawl->children[index] )
        {
            // Skip current node
            pCrawl = pCrawl->children[index];
        }
        else
        {
            // Add new node
            pCrawl->children[index] = getNode();
            pCrawl = pCrawl->children[index];
        }
    }

    // mark last node as leaf (non zero)
    pCrawl->value = pTrie->count;
}

int search(trie_t *pTrie, char key[])
{
    int level;
    int length = strlen(key);
    int index;
    trie_node_t *pCrawl;

    pCrawl = pTrie->root;

    for( level = 0; level < length; level++ )
    {
        index = INDEX(key[level]);

        if( !pCrawl->children[index] )
        {
            return 0;
        }

        pCrawl = pCrawl->children[index];
    }

    return (0 != pCrawl && pCrawl->value);
}

int leafNode(trie_node_t *pNode)
{

```

```

    return (pNode->value != 0);
}

int isItFreeNode(trie_node_t *pNode)
{
    int i;
    for(i = 0; i < ALPHABET_SIZE; i++)
    {
        if( pNode->children[i] )
            return 0;
    }

    return 1;
}

```

```

bool deleteHelper(trie_node_t *pNode, char key[], int level, int len)
{
    if( pNode )
    {
        // Base case
        if( level == len )
        {
            if( pNode->value )
            {
                // Unmark leaf node
                pNode->value = 0;

                // If empty, node to be deleted
                if( isItFreeNode(pNode) )
                {
                    return true;
                }

                return false;
            }
        }
        else // Recursive case
        {
            int index = INDEX(key[level]);

            if( deleteHelper(pNode->children[index], key, level+1, len) )
            {
                // last node marked, delete it
                FREE(pNode->children[index]);

                // recursively climb up, and delete eligible nodes
                return ( !leafNode(pNode) && isItFreeNode(pNode) );
            }
        }
    }

    return false;
}

```

```

void deleteKey(trie_t *pTrie, char key[])
{
    int len = strlen(key);

    if( len > 0 )
    {
        deleteHelper(pTrie->root, key, 0, len);
    }
}

```

```

r
int main()
{
    char keys[][8] = {"she", "sells", "sea", "shore", "the", "by", "sh
    trie_t trie;

    initialize(&trie);

    for(int i = 0; i < ARRAY_SIZE(keys); i++)
    {
        insert(&trie, keys[i]);
    }

    deleteKey(&trie, keys[0]);

    printf("%s %s\n", "she", search(&trie, "she") ? "Present in trie"

    return 0;
}

```

— **Venki**. Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.

### 3. AVL Tree | Set 1 (Insertion)

AVL tree is a self-balancing Binary Search Tree (BST) where the difference between heights of left and right subtrees cannot be more than one for all nodes.

#### Why AVL Trees?

Most of the BST operations (e.g., search, max, min, insert, delete.. etc) take  $O(h)$  time where  $h$  is the height of the BST. The cost of these operations may become  $O(n)$  for a skewed Binary tree. If we make sure that height of the tree remains  $O(\log n)$  after every insertion and deletion, then we can guarantee an upper bound of  $O(\log n)$  for all these operations. The height of an AVL tree is always  $O(\log n)$  where  $n$  is the number of nodes in the tree (See [this](#) video lecture for proof).

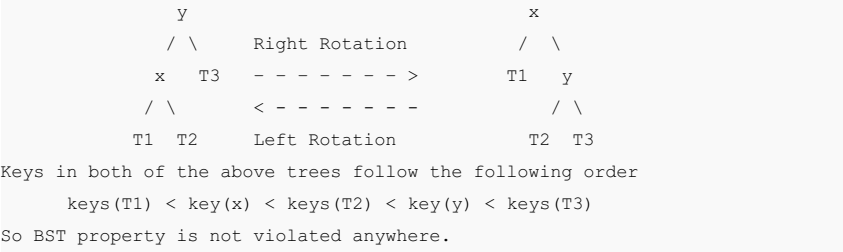
#### Insertion

To make sure that the given tree remains AVL after every insertion, we must augment the standard BST insert operation to perform some re-balancing. Following are two basic operations that can be performed to re-balance a BST without violating the BST property ( $\text{keys}(\text{left}) < \text{key}(\text{root}) < \text{keys}(\text{right})$ ).

- 1) Left Rotation
- 2) Right Rotation

T1, T2 and T3 are subtrees of the tree rooted with y (on left side)  
or x (on right side)





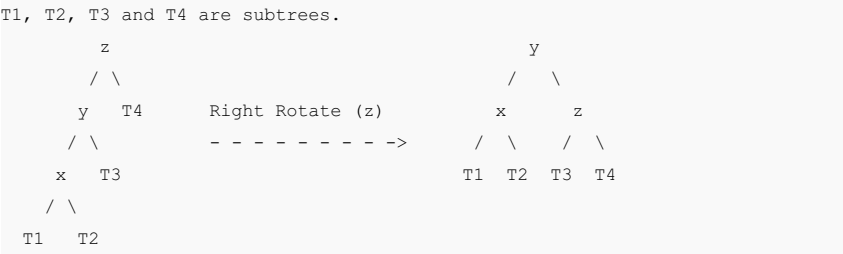
Steps to follow for insertion

Let the newly inserted node be w

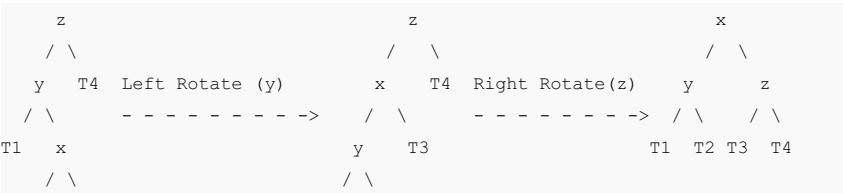
- 1) Perform standard BST insert for w.
- 2) Starting from w, travel up and find the first unbalanced node. Let z be the first unbalanced node, y be the child of z that comes on the path from w to z and x be the grandchild of z that comes on the path from w to z.
- 3) Re-balance the tree by performing appropriate rotations on the subtree rooted with z. There can be 4 possible cases that needs to be handled as x, y and z can be arranged in 4 ways. Following are the possible 4 arrangements:
- a) y is left child of z and x is left child of y (Left Left Case)
- b) y is left child of z and x is right child of y (Left Right Case)
- c) y is right child of z and x is right child of y (Right Right Case)
- d) y is right child of z and x is left child of y (Right Left Case)

Following are the operations to be performed in above mentioned 4 cases. In all of the cases, we only need to re-balance the subtree rooted with z and the complete tree becomes balanced as the height of subtree (After appropriate rotations) rooted with z becomes same as it was before insertion. (See [this](#) video lecture for proof)

a) Left Left Case



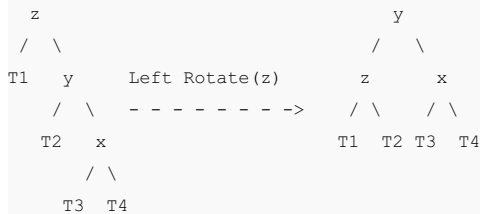
b) Left Right Case



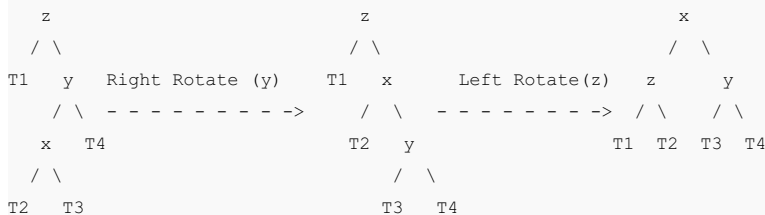
T2 T3

T1 T2

### c) Right Right Case



### d) Right Left Case



### C implementation

Following is the C implementation for AVL Tree Insertion. The following C implementation uses the recursive BST insert to insert a new node. In the recursive BST insert, after insertion, we get pointers to all ancestors one by one in bottom up manner. So we don't need parent pointer to travel up. The recursive code itself travels up and visits all the ancestors of the newly inserted node.

- 1) Perform the normal BST insertion.
- 2) The current node must be one of the ancestors of the newly inserted node. Update the height of the current node.
- 3) Get the balance factor (left subtree height – right subtree height) of the current node.
- 4) If balance factor is greater than 1, then the current node is unbalanced and we are either in Left Left case or left Right case. To check whether it is left left case or not, compare the newly inserted key with the key in left subtree root.
- 5) If balance factor is less than -1, then the current node is unbalanced and we are either in Right Right case or Right Left case. To check whether it is Right Right case or not, compare the newly inserted key with the key in right subtree root.

```

#include<stdio.h>
#include<stdlib.h>

// An AVL tree node
struct node
{
    int key;
    struct node *left;
    struct node *right;
    int height;
};
  
```

```

..

// A utility function to get maximum of two integers
int max(int a, int b);

// A utility function to get height of the tree
int height(struct node *N)
{
    if (N == NULL)
        return 0;
    return N->height;
}

// A utility function to get maximum of two integers
int max(int a, int b)
{
    return (a > b)? a : b;
}

/* Helper function that allocates a new node with the given key and
   NULL left and right pointers. */
struct node* newNode(int key)
{
    struct node* node = (struct node*)
                        malloc(sizeof(struct node));
    node->key = key;
    node->left = NULL;
    node->right = NULL;
    node->height = 1; // new node is initially added at leaf
    return(node);
}

// A utility function to right rotate subtree rooted with y
// See the diagram given above.
struct node *rightRotate(struct node *y)
{
    struct node *x = y->left;
    struct node *T2 = x->right;

    // Perform rotation
    x->right = y;
    y->left = T2;

    // Update heights
    y->height = max(height(y->left), height(y->right))+1;
    x->height = max(height(x->left), height(x->right))+1;

    // Return new root
    return x;
}

// A utility function to left rotate subtree rooted with x
// See the diagram given above.
struct node *leftRotate(struct node *x)
{
    struct node *y = x->right;
    struct node *T2 = y->left;

    // Perform rotation
    y->left = x;
    x->right = T2;

    // Update heights

```

```

    x->height = max(height(x->left), height(x->right))+1;
    y->height = max(height(y->left), height(y->right))+1;

    // Return new root
    return y;
}

// Get Balance factor of node N
int getBalance(struct node *N)
{
    if (N == NULL)
        return 0;
    return height(N->left) - height(N->right);
}

struct node* insert(struct node* node, int key)
{
    /* 1. Perform the normal BST rotation */
    if (node == NULL)
        return(newNode(key));

    if (key < node->key)
        node->left = insert(node->left, key);
    else
        node->right = insert(node->right, key);

    /* 2. Update height of this ancestor node */
    node->height = max(height(node->left), height(node->right)) + 1;

    /* 3. Get the balance factor of this ancestor node to check whether
    this node became unbalanced */
    int balance = getBalance(node);

    // If this node becomes unbalanced, then there are 4 cases

    // Left Left Case
    if (balance > 1 && key < node->left->key)
        return rightRotate(node);

    // Right Right Case
    if (balance < -1 && key > node->right->key)
        return leftRotate(node);

    // Left Right Case
    if (balance > 1 && key > node->left->key)
    {
        node->left = leftRotate(node->left);
        return rightRotate(node);
    }

    // Right Left Case
    if (balance < -1 && key < node->right->key)
    {
        node->right = rightRotate(node->right);
        return leftRotate(node);
    }

    /* return the (unchanged) node pointer */
    return node;
}

```

```

// A utility function to print preorder traversal of the tree.
// The function also prints height of every node

```

```
// The function also prints height of every node
void preOrder(struct node *root)
{
    if(root != NULL)
    {
        printf("%d ", root->key);
        preOrder(root->left);
        preOrder(root->right);
    }
}

/* Driver program to test above function*/
int main()
{
    struct node *root = NULL;

    /* Constructing tree given in the above figure */
    root = insert(root, 10);
    root = insert(root, 20);
    root = insert(root, 30);
    root = insert(root, 40);
    root = insert(root, 50);
    root = insert(root, 25);

    /* The constructed AVL Tree would be
        30
       /  \
      20   40
     /  \   \
    10  25  50
    */

    printf("Pre order traversal of the constructed AVL tree is \n");
    preOrder(root);

    return 0;
}
```

Output:

```
Pre order traversal of the constructed AVL tree is
30 20 10 25 40 50
```

**Time Complexity:** The rotation operations (left and right rotate) take constant time as only few pointers are being changed there. Updating the height and getting the balance factor also take constant time. So the time complexity of AVL insert remains same as BST insert which is  $O(h)$  where  $h$  is height of the tree. Since AVL tree is balanced, the height is  $O(\log n)$ . So time complexity of AVL insert is  $O(\log n)$ .

The AVL tree and other self balancing search trees like Red Black are useful to get all basic operations done in  $O(\log n)$  time. The AVL trees are more balanced compared to Red Black Trees, but they may cause more rotations during insertion and deletion. So if your application involves many frequent insertions and deletions, then Red Black trees should be preferred. And if the insertions and deletions are less frequent and search is more frequent operation, then AVL tree should be preferred over Red Black Tree.

Following are some previous posts that have used self-balancing search trees.

[Median in a stream of integers \(running integers\)](#)

[Maximum of all subarrays of size k](#)

[Count smaller elements on right side](#)

### References:

[IITD Video Lecture on AVL Tree Introduction](#)

[IITD Video Lecture on AVL Tree Insertion and Deletion](#)

Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.

## 4. AVL Tree | Set 2 (Deletion)

We have discussed AVL insertion in the [previous post](#). In this post, we will follow a similar approach for deletion.

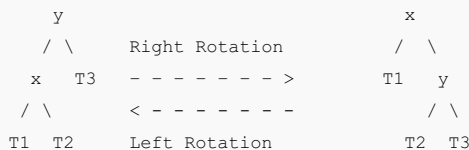
### Steps to follow for deletion.

To make sure that the given tree remains AVL after every deletion, we must augment the standard BST delete operation to perform some re-balancing. Following are two basic operations that can be performed to re-balance a BST without violating the BST property ( $\text{keys}(\text{left}) < \text{key}(\text{root}) < \text{keys}(\text{right})$ ).

1) Left Rotation

2) Right Rotation

T1, T2 and T3 are subtrees of the tree rooted with y (on left side)  
or x (on right side)



Keys in both of the above trees follow the following order

$\text{keys}(T1) < \text{key}(x) < \text{keys}(T2) < \text{key}(y) < \text{keys}(T3)$

So BST property is not violated anywhere.

Let w be the node to be deleted

1) Perform standard BST delete for w.

2) Starting from w, travel up and find the first unbalanced node. Let z be the first unbalanced node, y be the larger height child of z, and x be the larger height child of y. Note that the definitions of x and y are different from [insertion](#) here.

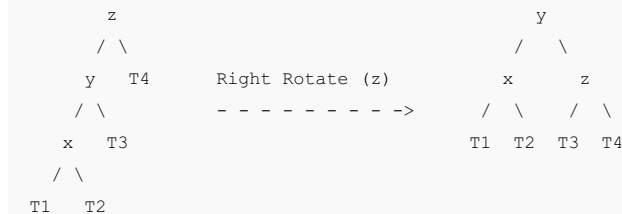
**3)** Re-balance the tree by performing appropriate rotations on the subtree rooted with z. There can be 4 possible cases that needs to be handled as x, y and z can be arranged in 4 ways. Following are the possible 4 arrangements:

- a) y is left child of z and x is left child of y (Left Left Case)
- b) y is left child of z and x is right child of y (Left Right Case)
- c) y is right child of z and x is right child of y (Right Right Case)
- d) y is right child of z and x is left child of y (Right Left Case)

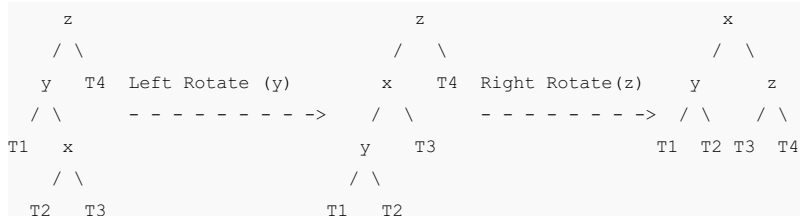
Like insertion, following are the operations to be performed in above mentioned 4 cases. Note that, unlike insertion, fixing the node z won't fix the complete AVL tree. After fixing z, we may have to fix ancestors of z as well (See [this video lecture](#) for proof)

#### a) Left Left Case

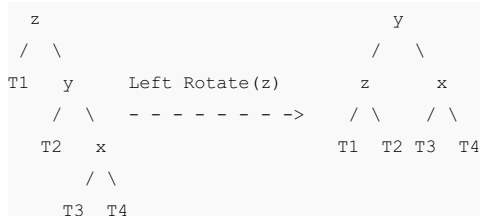
T1, T2, T3 and T4 are subtrees.



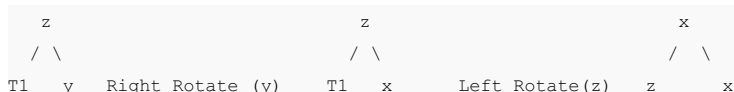
#### b) Left Right Case

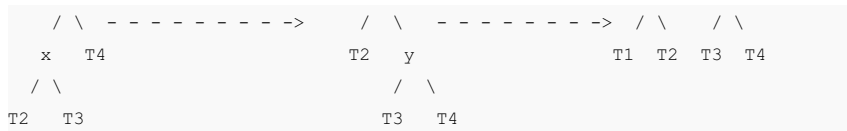


#### c) Right Right Case



#### d) Right Left Case





Unlike insertion, in deletion, after we perform a rotation at  $z$ , we may have to perform a rotation at ancestors of  $z$ . Thus, we must continue to trace the path until we reach the root.

### C implementation

Following is the C implementation for AVL Tree Deletion. The following C implementation uses the recursive BST delete as basis. In the recursive BST delete, after deletion, we get pointers to all ancestors one by one in bottom up manner. So we don't need parent pointer to travel up. The recursive code itself travels up and visits all the ancestors of the deleted node.

- 1) Perform the normal BST deletion.
- 2) The current node must be one of the ancestors of the deleted node. Update the height of the current node.
- 3) Get the balance factor (left subtree height – right subtree height) of the current node.
- 4) If balance factor is greater than 1, then the current node is unbalanced and we are either in Left Left case or Left Right case. To check whether it is Left Left case or Left Right case, get the balance factor of left subtree. If balance factor of the left subtree is greater than or equal to 0, then it is Left Left case, else Left Right case.
- 5) If balance factor is less than -1, then the current node is unbalanced and we are either in Right Right case or Right Left case. To check whether it is Right Right case or Right Left case, get the balance factor of right subtree. If the balance factor of the right subtree is smaller than or equal to 0, then it is Right Right case, else Right Left case.

```

#include<stdio.h>
#include<stdlib.h>

// An AVL tree node
struct node
{
    int key;
    struct node *left;
    struct node *right;
    int height;
};

// A utility function to get maximum of two integers
int max(int a, int b);

// A utility function to get height of the tree
int height(struct node *N)
{
    if (N == NULL)
        return 0;
    return N->height;
}

// A utility function to get maximum of two integers
int max(int a, int b)

```



```

int max(int a, int b)
{
    return (a > b)? a : b;
}

/* Helper function that allocates a new node with the given key and
   NULL left and right pointers. */
struct node* newNode(int key)
{
    struct node* node = (struct node*)
                        malloc(sizeof(struct node));
    node->key   = key;
    node->left  = NULL;
    node->right = NULL;
    node->height = 1; // new node is initially added at leaf
    return(node);
}

// A utility function to right rotate subtree rooted with y
// See the diagram given above.
struct node *rightRotate(struct node *y)
{
    struct node *x = y->left;
    struct node *T2 = x->right;

    // Perform rotation
    x->right = y;
    y->left = T2;

    // Update heights
    y->height = max(height(y->left), height(y->right))+1;
    x->height = max(height(x->left), height(x->right))+1;

    // Return new root
    return x;
}

// A utility function to left rotate subtree rooted with x
// See the diagram given above.
struct node *leftRotate(struct node *x)
{
    struct node *y = x->right;
    struct node *T2 = y->left;

    // Perform rotation
    y->left = x;
    x->right = T2;

    // Update heights
    x->height = max(height(x->left), height(x->right))+1;
    y->height = max(height(y->left), height(y->right))+1;

    // Return new root
    return y;
}

// Get Balance factor of node N
int getBalance(struct node *N)
{
    if (N == NULL)
        return 0;
    return height(N->left) - height(N->right);
}

```

```

-
struct node* insert(struct node* node, int key)
{
    /* 1. Perform the normal BST rotation */
    if (node == NULL)
        return(newNode(key));

    if (key < node->key)
        node->left = insert(node->left, key);
    else
        node->right = insert(node->right, key);

    /* 2. Update height of this ancestor node */
    node->height = max(height(node->left), height(node->right)) + 1;

    /* 3. Get the balance factor of this ancestor node to check whether
    this node became unbalanced */
    int balance = getBalance(node);

    // If this node becomes unbalanced, then there are 4 cases

    // Left Left Case
    if (balance > 1 && key < node->left->key)
        return rightRotate(node);

    // Right Right Case
    if (balance < -1 && key > node->right->key)
        return leftRotate(node);

    // Left Right Case
    if (balance > 1 && key > node->left->key)
    {
        node->left = leftRotate(node->left);
        return rightRotate(node);
    }

    // Right Left Case
    if (balance < -1 && key < node->right->key)
    {
        node->right = rightRotate(node->right);
        return leftRotate(node);
    }

    /* return the (unchanged) node pointer */
    return node;
}

/* Given a non-empty binary search tree, return the node with minimum
key value found in that tree. Note that the entire tree does not
need to be searched. */
struct node * minValueNode(struct node* node)
{
    struct node* current = node;

    /* loop down to find the leftmost leaf */
    while (current->left != NULL)
        current = current->left;

    return current;
}

struct node* deleteNode(struct node* root, int key)
-

```

```

{
    // STEP 1: PERFORM STANDARD BST DELETE

    if (root == NULL)
        return root;

    // If the key to be deleted is smaller than the root's key,
    // then it lies in left subtree
    if ( key < root->key )
        root->left = deleteNode(root->left, key);

    // If the key to be deleted is greater than the root's key,
    // then it lies in right subtree
    else if( key > root->key )
        root->right = deleteNode(root->right, key);

    // if key is same as root's key, then This is the node
    // to be deleted
    else
    {
        // node with only one child or no child
        if( (root->left == NULL) || (root->right == NULL) )
        {
            struct node *temp = root->left ? root->left : root->right;

            // No child case
            if(temp == NULL)
            {
                temp = root;
                root = NULL;
            }
            else // One child case
                *root = *temp; // Copy the contents of the non-empty child

            free(temp);
        }
        else
        {
            // node with two children: Get the inorder successor (smallest
            // in the right subtree)
            struct node* temp = minValueNode(root->right);

            // Copy the inorder successor's data to this node
            root->key = temp->key;

            // Delete the inorder successor
            root->right = deleteNode(root->right, temp->key);
        }
    }

    // If the tree had only one node then return
    if (root == NULL)
        return root;

    // STEP 2: UPDATE HEIGHT OF THE CURRENT NODE
    root->height = max(height(root->left), height(root->right)) + 1;

    // STEP 3: GET THE BALANCE FACTOR OF THIS NODE (to check whether
    // this node became unbalanced)
    int balance = getBalance(root);

    // If this node becomes unbalanced, then there are 4 cases

```

```

// Left Left Case
if (balance > 1 && getBalance(root->left) >= 0)
    return rightRotate(root);

// Left Right Case
if (balance > 1 && getBalance(root->left) < 0)
{
    root->left = leftRotate(root->left);
    return rightRotate(root);
}

// Right Right Case
if (balance < -1 && getBalance(root->right) <= 0)
    return leftRotate(root);

// Right Left Case
if (balance < -1 && getBalance(root->right) > 0)
{
    root->right = rightRotate(root->right);
    return leftRotate(root);
}

return root;
}

```

// A utility function to print preorder traversal of the tree.  
// The function also prints height of every node

```

void preOrder(struct node *root)
{
    if(root != NULL)
    {
        printf("%d ", root->key);
        preOrder(root->left);
        preOrder(root->right);
    }
}

```

/\* Driver program to test above function\*/

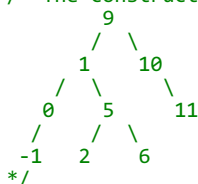
```

int main()
{
    struct node *root = NULL;

    /* Constructing tree given in the above figure */
    root = insert(root, 9);
    root = insert(root, 5);
    root = insert(root, 10);
    root = insert(root, 0);
    root = insert(root, 6);
    root = insert(root, 11);
    root = insert(root, -1);
    root = insert(root, 1);
    root = insert(root, 2);
}

```

/\* The constructed AVL Tree would be



```

printf("Pre order traversal of the constructed AVL tree is \n");
preOrder(root);

root = deleteNode(root, 10);

/* The AVL Tree after deletion of 10
      1
     / \
    0   9
   / \ / \
  -1 5 2 11
     / \
    2   6
*/

printf("\nPre order traversal after deletion of 10 \n");
preOrder(root);

return 0;
}

```

Output:

```

Pre order traversal of the constructed AVL tree is
9 1 0 -1 5 2 6 10 11
Pre order traversal after deletion of 10
1 0 -1 9 5 2 6 11

```

**Time Complexity:** The rotation operations (left and right rotate) take constant time as only few pointers are being changed there. Updating the height and getting the balance factor also take constant time. So the time complexity of AVL delete remains same as BST delete which is  $O(h)$  where  $h$  is height of the tree. Since AVL tree is balanced, the height is  $O(\log n)$ . So time complexity of AVL delete is  $O(\log n)$ .

### References:

[IITD Video Lecture on AVL Tree Insertion and Deletion](#)

Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.

## 5. Find the k most frequent words from a file

Given a book of words. Assume you have enough main memory to accommodate all words. design a data structure to find top K maximum occurring words. The data structure should be dynamic so that new words can be added.

A simple solution is to **use Hashing**. Hash all words one by one in a hash table. If a

word is already present, then increment its count. Finally, traverse through the hash table and return the k words with maximum counts.

We can **use Trie and Min Heap** to get the k most frequent words efficiently. The idea is to use Trie for searching existing words adding new words efficiently. Trie also stores count of occurrences of words. A Min Heap of size k is used to keep track of k most frequent words at any point of time(Use of Min Heap is same as we used it to find k largest elements in [this](#) post).

Trie and Min Heap are linked with each other by storing an additional field in Trie 'indexMinHeap' and a pointer 'trNode' in Min Heap. The value of 'indexMinHeap' is maintained as -1 for the words which are currently not in Min Heap (or currently not among the top k frequent words). For the words which are present in Min Heap, 'indexMinHeap' contains, index of the word in Min Heap. The pointer 'trNode' in Min Heap points to the leaf node corresponding to the word in Trie.

Following is the complete process to print k most frequent words from a file.

Read all words one by one. For every word, insert it into Trie. Increase the counter of the word, if already exists. Now, we need to insert this word in min heap also. For insertion in min heap, 3 cases arise:

1. The word is already present. We just increase the corresponding frequency value in min heap and call minHeapify() for the index obtained by "indexMinHeap" field in Trie. When the min heap nodes are being swapped, we change the corresponding minHeapIndex in the Trie. Remember each node of the min heap is also having pointer to Trie leaf node.
2. The minHeap is not full. we will insert the new word into min heap & update the root node in the min heap node & min heap index in Trie leaf node. Now, call buildMinHeap().
3. The min heap is full. Two sub-cases arise.
  - ....3.1 The frequency of the new word inserted is less than the frequency of the word stored in the head of min heap. Do nothing.
  - ....3.2 The frequency of the new word inserted is greater than the frequency of the word stored in the head of min heap. Replace & update the fields. Make sure to update the corresponding min heap index of the "word to be replaced" in Trie with -1 as the word is no longer in min heap.
4. Finally, Min Heap will have the k most frequent words of all words present in given file. So we just need to print all words present in Min Heap.

```
// A program to find k most frequent words in a file
#include <stdio.h>
#include <string.h>
#include <ctype.h>

# define MAX_CHARS 26
# define MAX_WORD_SIZE 30
```

```

// A Trie node
struct TrieNode
{
    bool isEnd; // indicates end of word
    unsigned frequency; // the number of occurrences of a word
    int indexMinHeap; // the index of the word in minHeap
    TrieNode* child[MAX_CHARS]; // represents 26 slots each for 'a' to
};

// A Min Heap node
struct MinHeapNode
{
    TrieNode* root; // indicates the leaf node of TRIE
    unsigned frequency; // number of occurrences
    char* word; // the actual word stored
};

// A Min Heap
struct MinHeap
{
    unsigned capacity; // the total size a min heap
    int count; // indicates the number of slots filled.
    MinHeapNode* array; // represents the collection of minHeapNodes
};

// A utility function to create a new Trie node
TrieNode* newTrieNode()
{
    // Allocate memory for Trie Node
    TrieNode* trieNode = new TrieNode;

    // Initialize values for new node
    trieNode->isEnd = 0;
    trieNode->frequency = 0;
    trieNode->indexMinHeap = -1;
    for( int i = 0; i < MAX_CHARS; ++i )
        trieNode->child[i] = NULL;

    return trieNode;
}

// A utility function to create a Min Heap of given capacity
MinHeap* createMinHeap( int capacity )
{
    MinHeap* minHeap = new MinHeap;

    minHeap->capacity = capacity;
    minHeap->count = 0;

    // Allocate memory for array of min heap nodes
    minHeap->array = new MinHeapNode [ minHeap->capacity ];

    return minHeap;
}

// A utility function to swap two min heap nodes. This function
// is needed in minHeapify
void swapMinHeapNodes ( MinHeapNode* a, MinHeapNode* b )
{
    MinHeapNode temp = *a;
    *a = *b;
    *b = temp;
}

```

```

}

// This is the standard minHeapify function. It does one thing extra.
// It updates the minHeapIndex in Trie when two nodes are swapped in
// in min heap
void minHeapify( MinHeap* minHeap, int idx )
{
    int left, right, smallest;

    left = 2 * idx + 1;
    right = 2 * idx + 2;
    smallest = idx;
    if ( left < minHeap->count &&
        minHeap->array[ left ]. frequency <
        minHeap->array[ smallest ]. frequency
    )
        smallest = left;

    if ( right < minHeap->count &&
        minHeap->array[ right ]. frequency <
        minHeap->array[ smallest ]. frequency
    )
        smallest = right;

    if( smallest != idx )
    {
        // Update the corresponding index in Trie node.
        minHeap->array[ smallest ]. root->indexMinHeap = idx;
        minHeap->array[ idx ]. root->indexMinHeap = smallest;

        // Swap nodes in min heap
        swapMinHeapNodes ( &minHeap->array[ smallest ], &minHeap->array
                           minHeapify( minHeap, smallest );
    }
}

// A standard function to build a heap
void buildMinHeap( MinHeap* minHeap )
{
    int n, i;
    n = minHeap->count - 1;

    for( i = ( n - 1 ) / 2; i >= 0; --i )
        minHeapify( minHeap, i );
}

// Inserts a word to heap, the function handles the 3 cases explained :
void insertInMinHeap( MinHeap* minHeap, TrieNode** root, const char* w
{
    // Case 1: the word is already present in minHeap
    if( (*root)->indexMinHeap != -1 )
    {
        ++( minHeap->array[ (*root)->indexMinHeap ]. frequency );

        // percolate down
        minHeapify( minHeap, (*root)->indexMinHeap );
    }

    // Case 2: Word is not present and heap is not full
    else if( minHeap->count < minHeap->capacity )
    {
        int count = minHeap->count;

```



```

// Case 2: minHeap is not full, replace root with new word
minHeap->array[ count ]. frequency = (*root)->frequency;
minHeap->array[ count ]. word = new char [strlen( word ) + 1];
strcpy( minHeap->array[ count ]. word, word );

minHeap->array[ count ]. root = *root;
(*root)->indexMinHeap = minHeap->count;

++( minHeap->count );
buildMinHeap( minHeap );
}

// Case 3: Word is not present and heap is full. And frequency of word
// is more than root. The root is the least frequent word in heap,
// replace root with new word
else if ( (*root)->frequency > minHeap->array[0]. frequency )
{
    minHeap->array[ 0 ]. root->indexMinHeap = -1;
    minHeap->array[ 0 ]. root = *root;
    minHeap->array[ 0 ]. root->indexMinHeap = 0;
    minHeap->array[ 0 ]. frequency = (*root)->frequency;

    // delete previously allocated memory and
    delete [] minHeap->array[ 0 ]. word;
    minHeap->array[ 0 ]. word = new char [strlen( word ) + 1];
    strcpy( minHeap->array[ 0 ]. word, word );

    minHeapify ( minHeap, 0 );
}
}

// Inserts a new word to both Trie and Heap
void insertUtil ( TrieNode** root, MinHeap* minHeap,
                const char* word, const char* dupWord )
{
    // Base Case
    if ( *root == NULL )
        *root = newTrieNode();

    // There are still more characters in word
    if ( *word != '\0' )
        insertUtil ( &(*root)->child[ tolower( *word ) - 97 ],
                    minHeap, word + 1, dupWord );
    else // The complete word is processed
    {
        // word is already present, increase the frequency
        if ( (*root)->isEnd )
            ++( (*root)->frequency );
        else
        {
            (*root)->isEnd = 1;
            (*root)->frequency = 1;
        }

        // Insert in min heap also
        insertInMinHeap( minHeap, root, dupWord );
    }
}

// add a word to Trie & min heap. A wrapper over the insertUtil
void insertTrieAndHeap(const char *word, TrieNode** root, MinHeap* minHeap)

```

```

{
    insertUtil( root, minHeap, word, word );
}

// A utility function to show results, The min heap
// contains k most frequent words so far, at any time
void displayMinHeap( MinHeap* minHeap )
{
    int i;

    // print top K word with frequency
    for( i = 0; i < minHeap->count; ++i )
    {
        printf( "%s : %d\n", minHeap->array[i].word,
                minHeap->array[i].frequency );
    }
}

// The main function that takes a file as input, add words to heap
// and Trie, finally shows result from heap
void printKMostFreq( FILE* fp, int k )
{
    // Create a Min Heap of Size k
    MinHeap* minHeap = createMinHeap( k );

    // Create an empty Trie
    TrieNode* root = NULL;

    // A buffer to store one word at a time
    char buffer[MAX_WORD_SIZE];

    // Read words one by one from file. Insert the word in Trie and M
    while( fscanf( fp, "%s", buffer ) != EOF )
        insertTrieAndHeap(buffer, &root, minHeap);

    // The Min Heap will have the k most frequent words, so print Min
    displayMinHeap( minHeap );
}

// Driver program to test above functions
int main()
{
    int k = 5;
    FILE *fp = fopen ( "file.txt", "r" );
    if (fp == NULL)
        printf ( "File doesn't exist " );
    else
        printKMostFreq (fp, k);
    return 0;
}

```

Output:

```

your : 3
well : 3
and : 4
to : 4
Geeks : 6

```

The above output is for a file with following content.

```
Welcome to the world of Geeks  
This portal has been created to provide well written well thought and well explained  
solutions for selected questions If you like Geeks for Geeks and would like to contribute  
here is your chance You can write article and mail your article to contribute at  
geeksforgeeks org See your article appearing on the Geeks for Geeks main page and help  
thousands of other Geeks
```

Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.

Given a book of words. Assume you have enough main memory to accommodate all words. design a data structure to find top K maximum occurring words. The data structure should be dynamic so that new words can be added.

A simple solution is to **use Hashing**. Hash all words one by one in a hash table. If a word is already present, then increment its count. Finally, traverse through the hash table and return the k words with maximum counts.

We can **use Trie and Min Heap** to get the k most frequent words efficiently. The idea is to use Trie for searching existing words adding new words efficiently. Trie also stores count of occurrences of words. A Min Heap of size k is used to keep track of k most frequent words at any point of time(Use of Min Heap is same as we used it to find k largest elements in [this post](#)).

Trie and Min Heap are linked with each other by storing an additional field in Trie 'indexMinHeap' and a pointer 'trNode' in Min Heap. The value of 'indexMinHeap' is maintained as -1 for the words which are currently not in Min Heap (or currently not among the top k frequent words). For the words which are present in Min Heap, 'indexMinHeap' contains, index of the word in Min Heap. The pointer 'trNode' in Min Heap points to the leaf node corresponding to the word in Trie.

Following is the complete process to print k most frequent words from a file.

Read all words one by one. For every word, insert it into Trie. Increase the counter of the word, if already exists. Now, we need to insert this word in min heap also. For insertion in min heap, 3 cases arise:

1. The word is already present. We just increase the corresponding frequency value in min heap and call minHeapify() for the index obtained by "indexMinHeap" field in Trie. When the min heap nodes are being swapped, we change the corresponding minHeapIndex in the Trie. Remember each node of the min heap is also having pointer to Trie leaf node.

2. The minHeap is not full. we will insert the new word into min heap & update the root node in the min heap node & min heap index in Trie leaf node. Now, call buildMinHeap().

3. The min heap is full. Two sub-cases arise.

....3.1 The frequency of the new word inserted is less than the frequency of the word stored in the head of min heap. Do nothing.

....3.2 The frequency of the new word inserted is greater than the frequency of the word stored in the head of min heap. Replace & update the fields. Make sure to update the corresponding min heap index of the "word to be replaced" in Trie with -1 as the word is no longer in min heap.

4. Finally, Min Heap will have the k most frequent words of all words present in given file. So we just need to print all words present in Min Heap.

```
// A program to find k most frequent words in a file
#include <stdio.h>
#include <string.h>
#include <ctype.h>

# define MAX_CHARS 26
# define MAX_WORD_SIZE 30

// A Trie node
struct TrieNode
{
    bool isEnd; // indicates end of word
    unsigned frequency; // the number of occurrences of a word
    int indexMinHeap; // the index of the word in minHeap
    TrieNode* child[MAX_CHARS]; // represents 26 slots each for 'a' to
};

// A Min Heap node
struct MinHeapNode
{
    TrieNode* root; // indicates the leaf node of TRIE
    unsigned frequency; // number of occurrences
    char* word; // the actual word stored
};

// A Min Heap
struct MinHeap
{
    unsigned capacity; // the total size a min heap
    int count; // indicates the number of slots filled.
    MinHeapNode* array; // represents the collection of minHeapNodes
};

// A utility function to create a new Trie node
TrieNode* newTrieNode()
{
    // Allocate memory for Trie Node
    TrieNode* trieNode = new TrieNode;

    // Initialize values for new node
    trieNode->isEnd = 0;
    trieNode->frequency = 0;
```

```

    trieNode->indexMinHeap = -1;
    for( int i = 0; i < MAX_CHARS; ++i )
        trieNode->child[i] = NULL;

    return trieNode;
}

// A utility function to create a Min Heap of given capacity
MinHeap* createMinHeap( int capacity )
{
    MinHeap* minHeap = new MinHeap;

    minHeap->capacity = capacity;
    minHeap->count = 0;

    // Allocate memory for array of min heap nodes
    minHeap->array = new MinHeapNode [ minHeap->capacity ];

    return minHeap;
}

// A utility function to swap two min heap nodes. This function
// is needed in minHeapify
void swapMinHeapNodes ( MinHeapNode* a, MinHeapNode* b )
{
    MinHeapNode temp = *a;
    *a = *b;
    *b = temp;
}

// This is the standard minHeapify function. It does one thing extra.
// It updates the minHeapIndex in Trie when two nodes are swapped in
// in min heap
void minHeapify( MinHeap* minHeap, int idx )
{
    int left, right, smallest;

    left = 2 * idx + 1;
    right = 2 * idx + 2;
    smallest = idx;
    if ( left < minHeap->count &&
        minHeap->array[ left ]. frequency <
        minHeap->array[ smallest ]. frequency
    )
        smallest = left;

    if ( right < minHeap->count &&
        minHeap->array[ right ]. frequency <
        minHeap->array[ smallest ]. frequency
    )
        smallest = right;

    if( smallest != idx )
    {
        // Update the corresponding index in Trie node.
        minHeap->array[ smallest ]. root->indexMinHeap = idx;
        minHeap->array[ idx ]. root->indexMinHeap = smallest;

        // Swap nodes in min heap
        swapMinHeapNodes ( &minHeap->array[ smallest ], &minHeap->array
            minHeapify( minHeap, smallest );
    }
}

```

```

    }

// A standard function to build a heap
void buildMinHeap( MinHeap* minHeap )
{
    int n, i;
    n = minHeap->count - 1;

    for( i = ( n - 1 ) / 2; i >= 0; --i )
        minHeapify( minHeap, i );
}

// Inserts a word to heap, the function handles the 3 cases explained :
void insertInMinHeap( MinHeap* minHeap, TrieNode** root, const char* word )
{
    // Case 1: the word is already present in minHeap
    if( (*root)->indexMinHeap != -1 )
    {
        ++( minHeap->array[ (*root)->indexMinHeap ]. frequency );

        // percolate down
        minHeapify( minHeap, (*root)->indexMinHeap );
    }

    // Case 2: Word is not present and heap is not full
    else if( minHeap->count < minHeap->capacity )
    {
        int count = minHeap->count;
        minHeap->array[ count ]. frequency = (*root)->frequency;
        minHeap->array[ count ]. word = new char [strlen( word ) + 1];
        strcpy( minHeap->array[ count ]. word, word );

        minHeap->array[ count ]. root = *root;
        (*root)->indexMinHeap = minHeap->count;

        ++( minHeap->count );
        buildMinHeap( minHeap );
    }

    // Case 3: Word is not present and heap is full. And frequency of word
    // is more than root. The root is the least frequent word in heap,
    // replace root with new word
    else if ( (*root)->frequency > minHeap->array[0]. frequency )
    {
        minHeap->array[ 0 ]. root->indexMinHeap = -1;
        minHeap->array[ 0 ]. root = *root;
        minHeap->array[ 0 ]. root->indexMinHeap = 0;
        minHeap->array[ 0 ]. frequency = (*root)->frequency;

        // delete previously allocated memory and
        delete [] minHeap->array[ 0 ]. word;
        minHeap->array[ 0 ]. word = new char [strlen( word ) + 1];
        strcpy( minHeap->array[ 0 ]. word, word );

        minHeapify ( minHeap, 0 );
    }
}

// Inserts a new word to both Trie and Heap
void insertUtil ( TrieNode** root, MinHeap* minHeap,
                 const char* word, const char* dupWord )

```

```

{
    // Base Case
    if ( *root == NULL )
        *root = newTrieNode();

    // There are still more characters in word
    if ( *word != '\0' )
        insertUtil ( &(*root)->child[ tolower( *word ) - 97 ],
                    minHeap, word + 1, dupWord );
    else // The complete word is processed
    {
        // word is already present, increase the frequency
        if ( (*root)->isEnd )
            ++( (*root)->frequency );
        else
        {
            (*root)->isEnd = 1;
            (*root)->frequency = 1;
        }

        // Insert in min heap also
        insertInMinHeap( minHeap, root, dupWord );
    }
}

// add a word to Trie & min heap. A wrapper over the insertUtil
void insertTrieAndHeap(const char *word, TrieNode** root, MinHeap* minH)
{
    insertUtil( root, minHeap, word, word );
}

// A utility function to show results, The min heap
// contains k most frequent words so far, at any time
void displayMinHeap( MinHeap* minHeap )
{
    int i;

    // print top K word with frequency
    for( i = 0; i < minHeap->count; ++i )
    {
        printf( "%s : %d\n", minHeap->array[i].word,
                minHeap->array[i].frequency );
    }
}

// The main funtion that takes a file as input, add words to heap
// and Trie, finally shows result from heap
void printKMostFreq( FILE* fp, int k )
{
    // Create a Min Heap of Size k
    MinHeap* minHeap = createMinHeap( k );

    // Create an empty Trie
    TrieNode* root = NULL;

    // A buffer to store one word at a time
    char buffer[MAX_WORD_SIZE];

    // Read words one by one from file. Insert the word in Trie and M
    while( fscanf( fp, "%s", buffer ) != EOF )
        insertTrieAndHeap(buffer, &root, minHeap);
}

```

```

// The Min Heap will have the k most frequent words, so print Min Heap
displayMinHeap( minHeap );
}

// Driver program to test above functions
int main()
{
    int k = 5;
    FILE *fp = fopen ( "file.txt", "r");
    if (fp == NULL)
        printf ("File doesn't exist ");
    else
        printKMostFreq (fp, k);
    return 0;
}

```

Output:

```

your : 3
well : 3
and : 4
to : 4
Geeks : 6

```

The above output is for a file with following content.

```

Welcome to the world of Geeks

This portal has been created to provide well written well thought and well explained
solutions for selected questions If you like Geeks for Geeks and would like to contribute
here is your chance You can write article and mail your article to contribute at
geeksforgeeks org See your article appearing on the Geeks for Geeks main page and help
thousands of other Geeks

```

Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.

## 7. Implement LRU Cache

How to implement LRU caching scheme? What data structures should be used?

We are given total possible page numbers that can be referred. We are also given cache (or memory) size (Number of page frames that cache can hold at a time). The LRU caching scheme is to remove the least recently used frame when the cache is full and a new page is referenced which is not there in cache. Please see the Galvin book for more details (see the LRU page replacement slide [here](#)).



We use two data structures to implement an LRU Cache.

1. A Queue which is implemented using a doubly linked list. The maximum size of the queue will be equal to the total number of frames available (cache size).

The most recently used pages will be near front end and least recently pages will be near rear end.

2. A Hash with page number as key and address of the corresponding queue node as value.

When a page is referenced, the required page may be in the memory. If it is in the memory, we need to detach the node of the list and bring it to the front of the queue. If the required page is not in the memory, we bring that in memory. In simple words, we add a new node to the front of the queue and update the corresponding node address in the hash. If the queue is full, i.e. all the frames are full, we remove a node from the rear of queue, and add the new node to the front of queue.

Note: Initially no page is in the memory.

Below is C implementation:

```
// A C program to show implementation of LRU cache
#include <stdio.h>
#include <stdlib.h>

// A Queue Node (Queue is implemented using Doubly Linked List)
typedef struct QNode
{
    struct QNode *prev, *next;
    unsigned pageNumber; // the page number stored in this QNode
} QNode;

// A Queue (A FIFO collection of Queue Nodes)
typedef struct Queue
{
    unsigned count; // Number of filled frames
    unsigned numberOfFrames; // total number of frames
    QNode *front, *rear;
} Queue;

// A hash (Collection of pointers to Queue Nodes)
typedef struct Hash
{
    int capacity; // how many pages can be there
    QNode* *array; // an array of queue nodes
} Hash;

// A utility function to create a new Queue Node. The queue Node
// will store the given 'pageNumber'
QNode* newQNode( unsigned pageNumber )
{
    // Allocate memory and assign 'pageNumber'
    QNode* temp = (QNode *)malloc( sizeof( QNode ) );
    temp->pageNumber = pageNumber;

    // Initialize prev and next as NULL
    temp->prev = temp->next = NULL;
```

```

    return temp;
}

// A utility function to create an empty Queue.
// The queue can have at most 'numberOfFrames' nodes
Queue* createQueue( int numberOfFrames )
{
    Queue* queue = (Queue *)malloc( sizeof( Queue ) );

    // The queue is empty
    queue->count = 0;
    queue->front = queue->rear = NULL;

    // Number of frames that can be stored in memory
    queue->numberOfFrames = numberOfFrames;

    return queue;
}

// A utility function to create an empty Hash of given capacity
Hash* createHash( int capacity )
{
    // Allocate memory for hash
    Hash* hash = (Hash *) malloc( sizeof( Hash ) );
    hash->capacity = capacity;

    // Create an array of pointers for referring queue nodes
    hash->array = (QNode **) malloc( hash->capacity * sizeof( QNode* ) );

    // Initialize all hash entries as empty
    int i;
    for( i = 0; i < hash->capacity; ++i )
        hash->array[i] = NULL;

    return hash;
}

// A function to check if there is slot available in memory
int AreAllFramesFull( Queue* queue )
{
    return queue->count == queue->numberOfFrames;
}

// A utility function to check if queue is empty
int isQueueEmpty( Queue* queue )
{
    return queue->rear == NULL;
}

// A utility function to delete a frame from queue
void deQueue( Queue* queue )
{
    if( isQueueEmpty( queue ) )
        return;

    // If this is the only node in list, then change front
    if (queue->front == queue->rear)
        queue->front = NULL;

    // Change rear and remove the previous rear
    QNode* temp = queue->rear;

```

```

queue->rear = queue->rear->prev;

if (queue->rear)
    queue->rear->next = NULL;

free( temp );

// decrement the number of full frames by 1
queue->count--;
}

// A function to add a page with given 'pageNumber' to both queue
// and hash
void Enqueue( Queue* queue, Hash* hash, unsigned pageNumber )
{
    // If all frames are full, remove the page at the rear
    if ( AreAllFramesFull ( queue ) )
    {
        // remove page from hash
        hash->array[ queue->rear->pageNumber ] = NULL;
        dequeue( queue );
    }

    // Create a new node with given page number,
    // And add the new node to the front of queue
    QNode* temp = newQNode( pageNumber );
    temp->next = queue->front;

    // If queue is empty, change both front and rear pointers
    if ( isEmpty( queue ) )
        queue->rear = queue->front = temp;
    else // Else change the front
    {
        queue->front->prev = temp;
        queue->front = temp;
    }

    // Add page entry to hash also
    hash->array[ pageNumber ] = temp;

    // increment number of full frames
    queue->count++;
}

```

```

// This function is called when a page with given 'pageNumber' is referred
// from cache (or memory). There are two cases:
// 1. Frame is not there in memory, we bring it in memory and add to the
//    of queue
// 2. Frame is there in memory, we move the frame to front of queue
void ReferencePage( Queue* queue, Hash* hash, unsigned pageNumber )
{
    QNode* reqPage = hash->array[ pageNumber ];

    // the page is not in cache, bring it
    if ( reqPage == NULL )
        Enqueue( queue, hash, pageNumber );

    // page is there and not at front, change pointer
    else if ( reqPage != queue->front )
    {
        // Unlink requested page from its current location
        // in queue.
        reqPage->prev->next = reqPage->next;
    }
}

```

```

reqPage->prev->next = reqPage->next;
if (reqPage->next)
    reqPage->next->prev = reqPage->prev;

// If the requested page is rear, then change rear
// as this node will be moved to front
if (reqPage == queue->rear)
{
    queue->rear = reqPage->prev;
    queue->rear->next = NULL;
}

// Put the requested page before current front
reqPage->next = queue->front;
reqPage->prev = NULL;

// Change prev of current front
reqPage->next->prev = reqPage;

// Change front to the requested page
queue->front = reqPage;
}
}

// Driver program to test above functions
int main()
{
    // Let cache can hold 4 pages
    Queue* q = createQueue( 4 );

    // Let 10 different pages can be requested (pages to be
    // referenced are numbered from 0 to 9
    Hash* hash = createHash( 10 );

    // Let us refer pages 1, 2, 3, 1, 4, 5
    ReferencePage( q, hash, 1);
    ReferencePage( q, hash, 2);
    ReferencePage( q, hash, 3);
    ReferencePage( q, hash, 1);
    ReferencePage( q, hash, 4);
    ReferencePage( q, hash, 5);

    // Let us print cache frames after the above referenced pages
    printf ("%d ", q->front->pageNumber);
    printf ("%d ", q->front->next->pageNumber);
    printf ("%d ", q->front->next->next->pageNumber);
    printf ("%d ", q->front->next->next->next->pageNumber);

    return 0;
}

```

Output:

```
5 4 1 3
```

This article is compiled by [Aashish Barnwal](#) and reviewed by GeeksforGeeks team. Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.

## 8. Print unique rows in a given boolean matrix

Given a binary matrix, print all unique rows of the given matrix.

Input:

```
{0, 1, 0, 0, 1}
    {1, 0, 1, 1, 0}
    {0, 1, 0, 0, 1}
    {1, 1, 1, 0, 0}
```

Output:

```
0 1 0 0 1
1 0 1 1 0
1 1 1 0 0
```

### Method 1 (Simple)

A simple approach is to check each row with all processed rows. Print the first row. Now, starting from the second row, for each row, compare the row with already processed rows. If the row matches with any of the processed rows, don't print it. If the current row doesn't match with any row, print it.

Time complexity:  $O(\text{ROW}^2 \times \text{COL})$

Auxiliary Space:  $O(1)$

### Method 2 (Use Binary Search Tree)

Find the decimal equivalent of each row and insert it into BST. Each node of the BST will contain two fields, one field for the decimal value, other for row number. Do not insert a node if it is duplicated. Finally, traverse the BST and print the corresponding rows.

Time complexity:  $O(\text{ROW} \times \text{COL} + \text{ROW} \times \log(\text{ROW}))$

Auxiliary Space:  $O(\text{ROW})$

This method will lead to Integer Overflow if number of columns is large.

### Method 3 (Use Trie data structure)

Since the matrix is boolean, a variant of Trie data structure can be used where each node will be having two children one for 0 and other for 1. Insert each row in the Trie. If the row is already there, don't print the row. If row is not there in Trie, insert it in Trie and print it.

Below is C implementation of method 3.

```
//Given a binary matrix of M X N of integers, you need to return only unique rows
#include <stdio.h>
#include <stdlib.h>
#include <stdbool.h>
```

```

#define ROW 4
#define COL 5

// A Trie node
typedef struct Node
{
    bool isEndOfCol;
    struct Node *child[2]; // Only two children needed for 0 and 1
} Node;

// A utility function to allocate memory for a new Trie node
Node* newNode()
{
    Node* temp = (Node *)malloc( sizeof( Node ) );
    temp->isEndOfCol = 0;
    temp->child[0] = temp->child[1] = NULL;
    return temp;
}

// Inserts a new matrix row to Trie. If row is already
// present, then returns 0, otherwise inserts the row and
// return 1
bool insert( Node** root, int (*M)[COL], int row, int col )
{
    // base case
    if ( *root == NULL )
        *root = newNode();

    // Recur if there are more entries in this row
    if ( col < COL )
        return insert ( &( (*root)->child[ M[row][col] ] ), M, row, col );

    else // If all entries of this row are processed
    {
        // unique row found, return 1
        if ( !( (*root)->isEndOfCol ) )
            return (*root)->isEndOfCol = 1;

        // duplicate row found, return 0
        return 0;
    }
}

// A utility function to print a row
void printRow( int (*M)[COL], int row )
{
    int i;
    for( i = 0; i < COL; ++i )
        printf( "%d ", M[row][i] );
    printf("\n");
}

// The main function that prints all unique rows in a
// given matrix.
void findUniqueRows( int (*M)[COL] )
{
    Node* root = NULL; // create an empty Trie
    int i;

    // Iterate through all rows

```

```

for ( i = 0; i < ROW; ++i )
    // insert row to TRIE
    if ( insert(&root, M, i, 0) )
        // unique row found, print it
        printRow( M, i );
}

```

// Driver program to test above functions

```

int main()
{
    int M[ROW][COL] = {{0, 1, 0, 0, 1},
                        {1, 0, 1, 1, 0},
                        {0, 1, 0, 0, 1},
                        {1, 0, 1, 0, 0}};

    findUniqueRows( M );

    return 0;
}

```

Time complexity:  $O( \text{ROW} \times \text{COL} )$

Auxiliary Space:  $O( \text{ROW} \times \text{COL} )$

This method has better time complexity. Also, relative order of rows is maintained while printing.

Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.

## 9. Given a sequence of words, print all anagrams together | Set 2

Given an array of words, print all anagrams together. For example, if the given array is {"cat", "dog", "tac", "god", "act"}, then output may be "cat tac act dog god".

We have discussed two different methods in the [previous post](#). In this post, a more efficient solution is discussed.

Trie data structure can be used for a more efficient solution. Insert the sorted order of each word in the trie. Since all the anagrams will end at the same leaf node. We can start a linked list at the leaf nodes where each node represents the index of the original array of words. Finally, traverse the Trie. While traversing the Trie, traverse each linked list one line at a time. Following are the detailed steps.

- 1) Create an empty Trie
- 2) One by one take all words of input sequence. Do following for each word
  - ...a) Copy the word to a buffer.
  - ...b) Sort the buffer

...c) Insert the sorted buffer and index of this word to Trie. Each leaf node of Trie is head of a Index list. The Index list stores index of words in original sequence. If sorted buffer is already present, we insert index of this word to the index list.

3) Traverse Trie. While traversing, if you reach a leaf node, traverse the index list. And print all words using the index obtained from Index list.

```
// An efficient program to print all anagrams together
#include <stdio.h>
#include <stdlib.h>
#include <string.h>
#include <ctype.h>

#define NO_OF_CHARS 26

// Structure to represent list node for indexes of words in
// the given sequence. The list nodes are used to connect
// anagrams at leaf nodes of Trie
struct IndexNode
{
    int index;
    struct IndexNode* next;
};

// Structure to represent a Trie Node
struct TrieNode
{
    bool isEnd; // indicates end of word
    struct TrieNode* child[NO_OF_CHARS]; // 26 slots each for 'a' to 'z'
    struct IndexNode* head; // head of the index list
};

// A utility function to create a new Trie node
struct TrieNode* newTrieNode()
{
    struct TrieNode* temp = new TrieNode;
    temp->isEnd = 0;
    temp->head = NULL;
    for (int i = 0; i < NO_OF_CHARS; ++i)
        temp->child[i] = NULL;
    return temp;
}

/* Following function is needed for library function qsort(). Refer
   http://www.cplusplus.com/reference/clibrary/cstdlib/qsort/ */
int compare(const void* a, const void* b)
{
    return *(char*)a - *(char*)b;
}

/* A utility function to create a new linked list node */
struct IndexNode* newIndexNode(int index)
{
    struct IndexNode* temp = new IndexNode;
    temp->index = index;
    temp->next = NULL;
    return temp;
}

// A utility function to insert a word to Trie
void insert(struct TrieNode** root, char* word, int index)
{
    // Base case
    if (!*root)
        *root = newTrieNode();
    if (!*root)
        return;
    int i = 0;
    while (word[i] != '\0')
    {
        int charIndex = word[i] - 'a';
        if ((*root)->child[charIndex] == NULL)
            (*root)->child[charIndex] = newTrieNode();
        (*root) = (*root)->child[charIndex];
        i++;
    }
    (*root)->isEnd = 1;
    if ((*root)->head == NULL)
        (*root)->head = newIndexNode(index);
    else
    {
        struct IndexNode* temp = (*root)->head;
        while (temp->next != NULL)
            temp = temp->next;
        temp->next = newIndexNode(index);
    }
}
```



```

// Base case
if (*root == NULL)
    *root = newTrieNode();

if (*word != '\0')
    insert( &( (*root)->child[tolower(*word) - 'a'] ), word+1, ind)
else // If end of the word reached
{
    // Insert index of this word to end of index linked list
    if ((*root)->isEnd)
    {
        IndexNode* pCrawl = (*root)->head;
        while( pCrawl->next )
            pCrawl = pCrawl->next;
        pCrawl->next = newIndexNode(index);
    }
    else // If Index list is empty
    {
        (*root)->isEnd = 1;
        (*root)->head = newIndexNode(index);
    }
}
}
}

```

```

// This function traverses the built trie. When a leaf node is reached
// all words connected at that leaf node are anagrams. So it traverses
// the list at leaf node and uses stored index to print original words
void printAnagramsUtil(struct TrieNode* root, char *wordArr[])
{

```

```

    if (root == NULL)
        return;

    // If a leaf node is reached, print all anagrams using the indexes
    // stored in index linked list
    if (root->isEnd)
    {
        // traverse the list
        IndexNode* pCrawl = root->head;
        while (pCrawl != NULL)
        {
            printf( "%s \n", wordArr[ pCrawl->index ] );
            pCrawl = pCrawl->next;
        }
    }

    for (int i = 0; i < NO_OF_CHARS; ++i)
        printAnagramsUtil(root->child[i], wordArr);
}

```

```

// The main function that prints all anagrams together. wordArr[] is input
// sequence of words.

```

```

void printAnagramsTogether(char* wordArr[], int size)
{
    // Create an empty Trie
    struct TrieNode* root = NULL;

    // Iterate through all input words
    for (int i = 0; i < size; ++i)
    {
        // Create a buffer for this word and copy the word to buffer
        int len = strlen(wordArr[i]);
        char *buffer = new char[len+1];
        strcpy(buffer, wordArr[i]);
    }
}

```

```

    // Sort the buffer
    qsort( (void*)buffer, strlen(buffer), sizeof(char), compare );

    // Insert the sorted buffer and its original index to Trie
    insert(&root, buffer, i);
}

// Traverse the built Trie and print all anagrams together
printAnagramsUtil(root, wordArr);
}

// Driver program to test above functions
int main()
{
    char* wordArr[] = {"cat", "dog", "tac", "god", "act", "gdo"};
    int size = sizeof(wordArr) / sizeof(wordArr[0]);
    printAnagramsTogether(wordArr, size);
    return 0;
}

```

Output:

```

cat
tac
act
dog
god
gdo

```

Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.

## 10. Pattern Searching | Set 8 (Suffix Tree Introduction)

Given a text `txt[0..n-1]` and a pattern `pat[0..m-1]`, write a function `search(char pat[], char txt[])` that prints all occurrences of `pat[]` in `txt[]`. You may assume that  $n > m$ .

### Preprocess Pattern or Preprocess Text?

We have discussed the following algorithms in the previous posts:

[KMP Algorithm](#)

[Rabin Karp Algorithm](#)

[Finite Automata based Algorithm](#)

[Boyer Moore Algorithm](#)

All of the above algorithms preprocess the pattern to make the pattern searching faster.

The best time complexity that we could get by preprocessing pattern is  $O(n)$  where  $n$  is length of the text. In this post, we will discuss an approach that preprocesses the text. A suffix tree is built of the text. After preprocessing text (building suffix tree of text), we can search any pattern in  $O(m)$  time where  $m$  is length of the pattern.

Imagine you have stored complete work of **William Shakespeare** and preprocessed it. You can search any string in the complete work in time just proportional to length of the pattern. This is really a great improvement because length of pattern is generally much smaller than text.

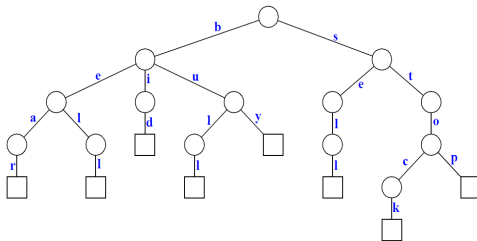
Preprocessing of text may become costly if the text changes frequently. It is good for fixed text or less frequently changing text though.

**A Suffix Tree for a given text is a compressed trie for all suffixes of the given text.**

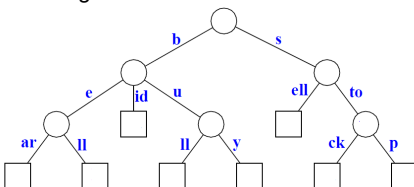
We have discussed **Standard Trie**. Let us understand **Compressed Trie** with the following array of words.

```
{bear, bell, bid, bull, buy, sell, stock, stop}
```

Following is standard trie for the above input set of words.



Following is the compressed trie. Compress Trie is obtained from standard trie by joining chains of single nodes. The nodes of a compressed trie can be stored by storing index ranges at the nodes.



## How to build a Suffix Tree for a given text?

As discussed above, Suffix Tree is compressed trie of all suffixes, so following are very abstract steps to build a suffix tree from given text.

- 1) Generate all suffixes of given text.
- 2) Consider all suffixes as individual words and build a compressed trie.

Let us consider an example text "banana\0" where '\0' is string termination character.

Following are all suffixes of "banana\0"

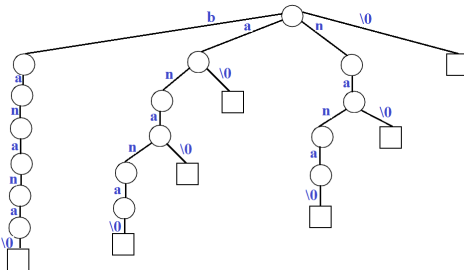
```
banana\0
```

```

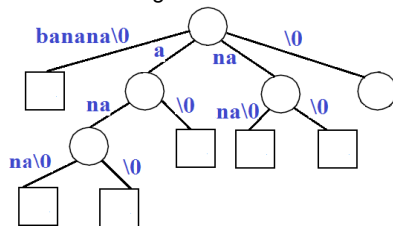
anana\0
nana\0
ana\0
na\0
a\0
\0

```

If we consider all of the above suffixes as individual words and build a trie, we get following.



If we join chains of single nodes, we get the following compressed trie, which is the Suffix Tree for given text "banana\0"



Please note that above steps are just to manually create a Suffix Tree. We will be discussing actual algorithm and implementation in a separate post.

### How to search a pattern in the built suffix tree?

We have discussed above how to build a Suffix Tree which is needed as a preprocessing step in pattern searching. Following are abstract steps to search a pattern in the built Suffix Tree.

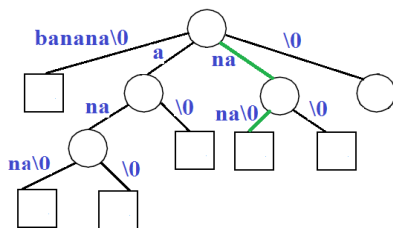
**1)** Starting from the first character of the pattern and root of Suffix Tree, do following for every character.

.....**a)** For the current character of pattern, if there is an edge from the current node of suffix tree, follow the edge.

.....**b)** If there is no edge, print "pattern doesn't exist in text" and return.

**2)** If all characters of pattern have been processed, i.e., there is a path from root for characters of the given pattern, then print "Pattern found".

Let us consider the example pattern as "nan" to see the searching process. Following diagram shows the path followed for searching "nan" or "nana".



## How does this work?

Every pattern that is present in text (or we can say every substring of text) must be a prefix of one of all possible suffixes. The statement seems complicated, but it is a simple statement. we just need to take an example to check validity of it.

## Applications of Suffix Tree

Suffix tree can be used for a wide range of problems. Following are some famous problems where Suffix Trees provide optimal time complexity solution.

- 1) Pattern Searching
- 2) Finding the longest repeated substring
- 3) Finding the longest common substring
- 4) Finding the longest palindrome in a string

There are many more applications. See [this](#) for more details.

Ukkonen's Suffix Tree Construction is discussed in following articles:

- Ukkonen's Suffix Tree Construction – Part 1
- Ukkonen's Suffix Tree Construction – Part 2
- Ukkonen's Suffix Tree Construction – Part 3
- Ukkonen's Suffix Tree Construction – Part 4
- Ukkonen's Suffix Tree Construction – Part 5
- Ukkonen's Suffix Tree Construction – Part 6

### References:

- <http://fbim.fh-regensburg.de/~saj39122/sal/skript/progr/pr45102/Tries.pdf>  
<http://www.cs.ucf.edu/~shzhang/Combio12/lec3.pdf>  
<http://www.allisons.org/ll/AlgDS/Tree/Suffix/>

## 11. Ternary Search Tree

A ternary search tree is a special trie data structure where the child nodes of a standard trie are ordered as a binary search tree.

### Representation of ternary search trees:

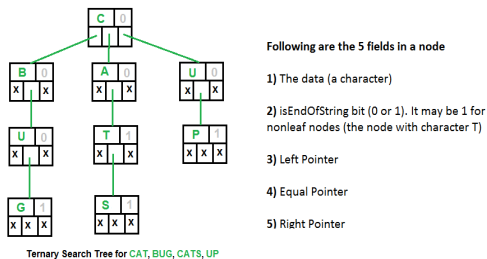
Unlike trie(standard) data structure where each node contains 26 pointers for its children, each node in a ternary search tree contains only 3 pointers:

1. The left pointer points to the node whose value is less than the value in the current node.
2. The equal pointer points to the node whose value is equal to the value in the current node.
3. The right pointer points to the node whose value is greater than the value in the current node.

Apart from above three pointers, each node has a field to indicate data(character in case of dictionary) and another field to mark end of a string.

So, more or less it is similar to BST which stores data based on some order. However, data in a ternary search tree is distributed over the nodes. e.g. It needs 4 nodes to store the word “Geek”.

Below figure shows how exactly the words in a ternary search tree are stored?



One of the advantage of using ternary search trees over tries is that ternary search trees are a more space efficient (involve only three pointers per node as compared to 26 in standard tries). Further, ternary search trees can be used any time a hashtable would be used to store strings.

Tries are suitable when there is a proper distribution of words over the alphabets so that spaces are utilized most efficiently. Otherwise ternary search trees are better. Ternary search trees are efficient to use(in terms of space) when the strings to be stored share a common prefix.

### Applications of ternary search trees:

1. Ternary search trees are efficient for queries like “Given a word, find the next word in dictionary(near-neighbor lookups)” or “Find all telephone numbers starting with 9342 or “typing few starting characters in a web browser displays all website names with this prefix”(Auto complete feature)”.
2. Used in spell checks: Ternary search trees can be used as a dictionary to store all the words. Once the word is typed in an editor, the word can be parallely searched in the ternary search tree to check for correct spelling.

### Implementation:

Following is C implementation of ternary search tree. The operations implemented are,

search, insert and traversal.

```
// C program to demonstrate Ternary Search Tree (TST) insert, traverse
// and search operations
#include <stdio.h>
#include <stdlib.h>
#define MAX 50

// A node of ternary search tree
struct Node
{
    char data;

    // True if this character is last character of one of the words
    unsigned isEndOfString: 1;

    struct Node *left, *eq, *right;
};

// A utility function to create a new ternary search tree node
struct Node* newNode(char data)
{
    struct Node* temp = (struct Node*) malloc(sizeof( struct Node ));
    temp->data = data;
    temp->isEndOfString = 0;
    temp->left = temp->eq = temp->right = NULL;
    return temp;
}

// Function to insert a new word in a Ternary Search Tree
void insert(struct Node** root, char *word)
{
    // Base Case: Tree is empty
    if (!(*root))
        *root = newNode(*word);

    // If current character of word is smaller than root's character,
    // then insert this word in left subtree of root
    if ((*word) < (*root)->data)
        insert(&( (*root)->left ), word);

    // If current character of word is greater than root's character,
    // then insert this word in right subtree of root
    else if ((*word) > (*root)->data)
        insert(&( (*root)->right ), word);

    // If current character of word is same as root's character,
    else
    {
        if (*(word+1))
            insert(&( (*root)->eq ), word+1);

        // the last character of the word
        else
            (*root)->isEndOfString = 1;
    }
}

// A recursive function to traverse Ternary Search Tree
void traverseTSTUtil(struct Node* root, char* buffer, int depth)
{
    if (root)
```

```

{
    // First traverse the left subtree
    traverseTSTUtil(root->left, buffer, depth);

    // Store the character of this node
    buffer[depth] = root->data;
    if (root->isEndOfString)
    {
        buffer[depth+1] = '\0';
        printf( "%s\n", buffer);
    }

    // Traverse the subtree using equal pointer (middle subtree)
    traverseTSTUtil(root->eq, buffer, depth + 1);

    // Finally Traverse the right subtree
    traverseTSTUtil(root->right, buffer, depth);
}

}

// The main function to traverse a Ternary Search Tree.
// It mainly uses traverseTSTUtil()
void traverseTST(struct Node* root)
{
    char buffer[MAX];
    traverseTSTUtil(root, buffer, 0);
}

// Function to search a given word in TST
int searchTST(struct Node *root, char *word)
{
    if (!root)
        return 0;

    if (*word < (root)->data)
        return searchTST(root->left, word);

    else if (*word > (root)->data)
        return searchTST(root->right, word);

    else
    {
        if (*(word+1) == '\0')
            return root->isEndOfString;

        return searchTST(root->eq, word+1);
    }
}

// Driver program to test above functions
int main()
{
    struct Node *root = NULL;

    insert(&root, "cat");
    insert(&root, "cats");
    insert(&root, "up");
    insert(&root, "bug");

    printf("Following is traversal of ternary search tree\n");
    traverseTST(root);

    printf("\nFollowing are search results for cats, bu and cat respec

```



```

        printf("\nFollowing are search results for cats, bu and cat respec
searchTST(root, "cats")? printf("Found\n"): printf("Not Found\n");
searchTST(root, "bu")? printf("Found\n"): printf("Not Found\n");
searchTST(root, "cat")? printf("Found\n"): printf("Not Found\n");

    return 0;
}

```

Output:

```

Following is traversal of ternary search tree
bug
cat
cats
up

Following are search results for cats, bu and cat respectively
Found
Not Found
Found

```

**Time Complexity:** The time complexity of the ternary search tree operations is similar to that of binary search tree. i.e. the insertion, deletion and search operations take time proportional to the height of the ternary search tree. The space is proportional to the length of the string to be stored.

#### Reference:

[http://en.wikipedia.org/wiki/Ternary\\_search\\_tree](http://en.wikipedia.org/wiki/Ternary_search_tree)

This article is compiled by **Aashish Barnwal** and reviewed by GeeksforGeeks team. Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.

## 12. Segment Tree | Set 1 (Sum of given range)

Let us consider the following problem to understand Segment Trees.

We have an array  $arr[0 \dots n-1]$ . We should be able to

- 1 Find the sum of elements from index  $l$  to  $r$  where  $0 \leq l \leq r \leq n-1$
- 2 Change value of a specified element of the array  $arr[i] = x$  where  $0 \leq i \leq n-1$ .

A **simple solution** is to run a loop from  $l$  to  $r$  and calculate sum of elements in given range. To update a value, simply do  $arr[i] = x$ . The first operation takes  $O(n)$  time and second operation takes  $O(1)$  time.

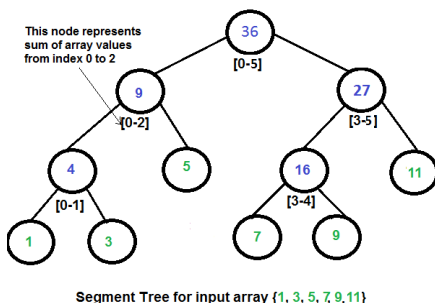
**Another solution** is to create another array and store sum from start to  $i$  at the  $i$ th index in this array. Sum of a given range can now be calculated in  $O(1)$  time, but update operation takes  $O(n)$  time now. This works well if the number of query operations are large and very few updates.

What if the number of query and updates are equal? **Can we perform both the operations in  $O(\log n)$  time once given the array?** We can use a Segment Tree to do both operations in  $O(\log n)$  time.

## Representation of Segment trees

1. Leaf Nodes are the elements of the input array.
2. Each internal node represents some merging of the leaf nodes. The merging may be different for different problems. For this problem, merging is sum of leaves under a node.

An array representation of tree is used to represent Segment Trees. For each node at index  $i$ , the left child is at index  $2*i+1$ , right child at  $2*i+2$  and the parent is at  $\lfloor (i-1)/2 \rfloor$ .



## Construction of Segment Tree from given array

We start with a segment  $arr[0 \dots n-1]$ . and every time we divide the current segment into two halves (if it has not yet become a segment of length 1), and then call the same procedure on both halves, and for each such segment we store the sum in corresponding node.

All levels of the constructed segment tree will be completely filled except the last level.

Also, the tree will be a **Full Binary Tree** because we always divide segments in two halves at every level. Since the constructed tree is always full binary tree with  $n$  leaves, there will be  $n-1$  internal nodes. So total number of nodes will be  $2*n - 1$ .

Height of the segment tree will be  $\lceil \log_2 n \rceil$ . Since the tree is represented using array and relation between parent and child indexes must be maintained, size of memory allocated for segment tree will be  $2 * 2^{\lceil \log_2 n \rceil} - 1$ .

## Query for Sum of given range

Once the tree is constructed, how to get the sum using the constructed segment tree. Following is algorithm to get the sum of elements.

```
int getSum(node, l, r)
```

```

{
    if range of node is within l and r
        return value in node
    else if range of node is completely outside l and r
        return 0
    else
        return getSum(node's left child, l, r) +
               getSum(node's right child, l, r)
}

```

## Update a value

Like tree construction and query operations, update can also be done recursively. We are given an index which needs to be updated. Let *diff* be the value to be added. We start from root of the segment tree, and add *diff* to all nodes which have given index in their range. If a node doesn't have given index in its range, we don't make any changes to that node.

## Implementation:

Following is implementation of segment tree. The program implements construction of segment tree for any given array. It also implements query and update operations.

```

// Program to show segment tree operations like construction, query and
#include <stdio.h>
#include <math.h>

// A utility function to get the middle index from corner indexes.
int getMid(int s, int e) { return s + (e - s)/2; }

/* A recursive function to get the sum of values in given range of the array.
The following are parameters for this function.

st    --> Pointer to segment tree
index --> Index of current node in the segment tree. Initially 0 is
        passed as root is always at index 0
ss & se --> Starting and ending indexes of the segment represented by
        current node, i.e., st[index]
qs & qe --> Starting and ending indexes of query range */
int getSumUtil(int *st, int ss, int se, int qs, int qe, int index)
{
    // If segment of this node is a part of given range, then return the
    // sum of the segment
    if (qs <= ss && qe >= se)
        return st[index];

    // If segment of this node is outside the given range
    if (se < qs || ss > qe)
        return 0;

    // If a part of this segment overlaps with the given range
    int mid = getMid(ss, se);
    return getSumUtil(st, ss, mid, qs, qe, 2*index+1) +
           getSumUtil(st, mid+1, se, qs, qe, 2*index+2);
}

/* A recursive function to update the nodes which have the given index
in their range. The following are parameters

```

```

// st, index, ss and se are same as getSumUtil()
i --> index of the element to be updated. This index is in input array
diff --> Value to be added to all nodes which have i in range */
void updateValueUtil(int *st, int ss, int se, int i, int diff, int ind)
{
    // Base Case: If the input index lies outside the range of this segment
    if (i < ss || i > se)
        return;

    // If the input index is in range of this node, then update the value
    // of the node and its children
    st[index] = st[index] + diff;
    if (se != ss)
    {
        int mid = getMid(ss, se);
        updateValueUtil(st, ss, mid, i, diff, 2*index + 1);
        updateValueUtil(st, mid+1, se, i, diff, 2*index + 2);
    }
}

// The function to update a value in input array and segment tree.
// It uses updateValueUtil() to update the value in segment tree
void updateValue(int arr[], int *st, int n, int i, int new_val)
{
    // Check for erroneous input index
    if (i < 0 || i > n-1)
    {
        printf("Invalid Input");
        return;
    }

    // Get the difference between new value and old value
    int diff = new_val - arr[i];

    // Update the value in array
    arr[i] = new_val;

    // Update the values of nodes in segment tree
    updateValueUtil(st, 0, n-1, i, diff, 0);
}

// Return sum of elements in range from index qs (query start) to
// qe (query end). It mainly uses getSumUtil()
int getSum(int *st, int n, int qs, int qe)
{
    // Check for erroneous input values
    if (qs < 0 || qe > n-1 || qs > qe)
    {
        printf("Invalid Input");
        return -1;
    }

    return getSumUtil(st, 0, n-1, qs, qe, 0);
}

// A recursive function that constructs Segment Tree for array[ss..se]
// si is index of current node in segment tree st
int constructSTUtil(int arr[], int ss, int se, int *st, int si)
{
    // If there is one element in array, store it in current node of
    // segment tree and return
    if (ss == se)

```

```

    {
        st[si] = arr[ss];
        return arr[ss];
    }

    // If there are more than one elements, then recur for left and
    // right subtrees and store the sum of values in this node
    int mid = getMid(ss, se);
    st[si] = constructSTUtil(arr, ss, mid, st, si*2+1) +
        constructSTUtil(arr, mid+1, se, st, si*2+2);
    return st[si];
}

/* Function to construct segment tree from given array. This function
allocates memory for segment tree and calls constructSTUtil() to
fill the allocated memory */
int *constructST(int arr[], int n)
{
    // Allocate memory for segment tree
    int x = (int)(ceil(log2(n))); //Height of segment tree
    int max_size = 2*(int)pow(2, x) - 1; //Maximum size of segment tree
    int *st = new int[max_size];

    // Fill the allocated memory st
    constructSTUtil(arr, 0, n-1, st, 0);

    // Return the constructed segment tree
    return st;
}

// Driver program to test above functions
int main()
{
    int arr[] = {1, 3, 5, 7, 9, 11};
    int n = sizeof(arr)/sizeof(arr[0]);

    // Build segment tree from given array
    int *st = constructST(arr, n);

    // Print sum of values in array from index 1 to 3
    printf("Sum of values in given range = %d\n", getSum(st, n, 1, 3))

    // Update: set arr[1] = 10 and update corresponding segment
    // tree nodes
    updateValue(arr, st, n, 1, 10);

    // Find sum after the value is updated
    printf("Updated sum of values in given range = %d\n",
        getSum(st, n, 1, 3))

    return 0;
}

```

Output:

```

Sum of values in given range = 15
Updated sum of values in given range = 22

```

**Time Complexity:**

Time Complexity for tree construction is  $O(n)$ . There are total  $2n-1$  nodes, and value of every node is calculated only once in tree construction.

Time complexity to query is  $O(\text{Log}n)$ . To query a sum, we process at most four nodes at every level and number of levels is  $O(\text{Log}n)$ .

The time complexity of update is also  $O(\text{Log}n)$ . To update a leaf value, we process one node at every level and number of levels is  $O(\text{Log}n)$ .

## Segment Tree | Set 2 (Range Minimum Query)

### References:

<http://www.cse.iitk.ac.in/users/aca/lop12/slides/06.pdf>

Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.

## 13. Segment Tree | Set 2 (Range Minimum Query)

We have introduced [segment tree with a simple example](#) in the previous post. In this post, [Range Minimum Query](#) problem is discussed as another example where Segment Tree can be used. Following is problem statement.

We have an array  $\text{arr}[0 \dots n-1]$ . We should be able to efficiently find the minimum value from index  $qs$  (query start) to  $qe$  (query end) where  $0 \leq qs \leq qe \leq n-1$ . The array is static (elements are not deleted and inserted during the series of queries).

A **simple solution** is to run a loop from  $qs$  to  $qe$  and find minimum element in given range. This solution takes  $O(n)$  time in worst case.

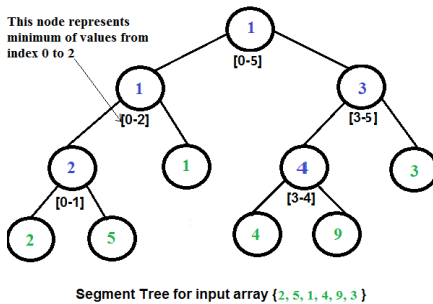
**Another solution** is to create a 2D array where an entry  $[i, j]$  stores the minimum value in range  $\text{arr}[i..j]$ . Minimum of a given range can now be calculated in  $O(1)$  time, but preprocessing takes  $O(n^2)$  time. Also, this approach needs  $O(n^2)$  extra space which may become huge for large input arrays.

**Segment tree** can be used to do preprocessing and query in moderate time. With segment tree, preprocessing time is  $O(n)$  and time to for range minimum query is  $O(\text{Log}n)$ . The extra space required is  $O(n)$  to store the segment tree.

Representation of Segment trees

1. Leaf Nodes are the elements of the input array.
2. Each internal node represents minimum of all leaves under it.

An array representation of tree is used to represent Segment Trees. For each node at index  $i$ , the left child is at index  $2*i+1$ , right child at  $2*i+2$  and the parent is at  $\lfloor (i-1)/2 \rfloor$ .



### Construction of Segment Tree from given array

We start with a segment arr[0 . . . n-1]. and every time we divide the current segment into two halves(if it has not yet become a segment of length 1), and then call the same procedure on both halves, and for each such segment, we store the minimum value in a segment tree node.

All levels of the constructed segment tree will be completely filled except the last level.

Also, the tree will be a **Full Binary Tree** because we always divide segments in two halves at every level. Since the constructed tree is always full binary tree with  $n$  leaves, there will be  $n-1$  internal nodes. So total number of nodes will be  $2*n - 1$ .

Height of the segment tree will be  $\lceil \log_2 n \rceil$ . Since the tree is represented using array and relation between parent and child indexes must be maintained, size of memory allocated for segment tree will be  $2 * 2^{\lceil \log_2 n \rceil} - 1$ .

### Query for minimum value of given range

Once the tree is constructed, how to do range minimum query using the constructed segment tree. Following is algorithm to get the minimum.

```
// qs --> query start index, qe --> query end index
int RMQ(node, qs, qe)
{
    if range of node is within qs and qe
        return value in node
    else if range of node is completely outside qs and qe
        return INFINITE
    else
        return min( RMQ(node's left child, qs, qe), RMQ(node's right child, qs, qe) )
}
```

### Implementation:

```
// Program for range minimum query using segment tree
#include <stdio.h>
#include <math.h>
#include <limits.h>
```

```

// A utility function to get minimum of two numbers
int minVal(int x, int y) { return (x < y)? x: y; }

// A utility function to get the middle index from corner indexes.
int getMid(int s, int e) { return s + (e -s)/2; }

/* A recursive function to get the minimum value in a given range of
indexes. The following are parameters for this function.

st    --> Pointer to segment tree
index --> Index of current node in the segment tree. Initially 0 is
        passed as root is always at index 0
ss & se --> Starting and ending indexes of the segment represented
        current node, i.e., st[index]
qs & qe --> Starting and ending indexes of query range */
int RMQUtil(int *st, int ss, int se, int qs, int qe, int index)
{
    // If segment of this node is a part of given range, then return the
    // min of the segment
    if (qs <= ss && qe >= se)
        return st[index];

    // If segment of this node is outside the given range
    if (se < qs || ss > qe)
        return INT_MAX;

    // If a part of this segment overlaps with the given range
    int mid = getMid(ss, se);
    return minVal(RMQUtil(st, ss, mid, qs, qe, 2*index+1),
                  RMQUtil(st, mid+1, se, qs, qe, 2*index+2));
}

// Return minimum of elements in range from index qs (query start) to
// qe (query end). It mainly uses RMQUtil()
int RMQ(int *st, int n, int qs, int qe)
{
    // Check for erroneous input values
    if (qs < 0 || qe > n-1 || qs > qe)
    {
        printf("Invalid Input");
        return -1;
    }

    return RMQUtil(st, 0, n-1, qs, qe, 0);
}

// A recursive function that constructs Segment Tree for array[ss..se]
// si is index of current node in segment tree st
int constructSTUtil(int arr[], int ss, int se, int *st, int si)
{
    // If there is one element in array, store it in current node of
    // segment tree and return
    if (ss == se)
    {
        st[si] = arr[ss];
        return arr[ss];
    }

    // If there are more than one elements, then recur for left and
    // right subtrees and store the minimum of two values in this node
    int mid = getMid(ss, se);
    st[si] = minVal(constructSTUtil(arr, ss, mid, st, 2*si+1),
                    constructSTUtil(arr, mid+1, se, st, 2*si+2));
}

```



```

        st[si] = minval(constructSTUtil(arr, ss, mid, st, si*2+1),
                        constructSTUtil(arr, mid+1, se, st, si*2+2));
    }
    return st[si];
}

/* Function to construct segment tree from given array. This function
allocates memory for segment tree and calls constructSTUtil() to
fill the allocated memory */
int *constructST(int arr[], int n)
{
    // Allocate memory for segment tree
    int x = (int)(ceil(log2(n))); //Height of segment tree
    int max_size = 2*(int)pow(2, x) - 1; //Maximum size of segment tree
    int *st = new int[max_size];

    // Fill the allocated memory st
    constructSTUtil(arr, 0, n-1, st, 0);

    // Return the constructed segment tree
    return st;
}

// Driver program to test above functions
int main()
{
    int arr[] = {1, 3, 2, 7, 9, 11};
    int n = sizeof(arr)/sizeof(arr[0]);

    // Build segment tree from given array
    int *st = constructST(arr, n);

    int qs = 1; // Starting index of query range
    int qe = 5; // Ending index of query range

    // Print minimum value in arr[qs..qe]
    printf("Minimum of values in range [%d, %d] is = %d\n",
           qs, qe, RMQ(st, n, qs, qe));

    return 0;
}

```

Output:

```
Minimum of values in range [1, 5] is = 2
```

### Time Complexity:

Time Complexity for tree construction is  $O(n)$ . There are total  $2n-1$  nodes, and value of every node is calculated only once in tree construction.

Time complexity to query is  $O(\log n)$ . To query a range minimum, we process at most two nodes at every level and number of levels is  $O(\log n)$ .

Please refer following links for more solutions to range minimum query problem.

[http://community.topcoder.com/tc?](http://community.topcoder.com/tc?module=Static&d1=tutorials&d2=lowestCommonAncestor#Range_Minimum_Query_(RMQ))

[module=Static&d1=tutorials&d2=lowestCommonAncestor#Range\\_Minimum\\_Query\\_\(RMQ\)](http://community.topcoder.com/tc?module=Static&d1=tutorials&d2=lowestCommonAncestor#Range_Minimum_Query_(RMQ))

[http://wcipeg.com/wiki/Range\\_minimum\\_query](http://wcipeg.com/wiki/Range_minimum_query)

Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.

## 14. Design an efficient data structure for given operations

Design a Data Structure for the following operations. The data structure should be efficient enough to accommodate the operations according to their frequency.

```
1) findMin() : Returns the minimum item.
   Frequency: Most frequent

2) findMax() : Returns the maximum item.
   Frequency: Most frequent

3) deleteMin() : Delete the minimum item.
   Frequency: Moderate frequent

4) deleteMax() : Delete the maximum item.
   Frequency: Moderate frequent

5) Insert() : Inserts an item.
   Frequency: Least frequent

6) Delete() : Deletes an item.
   Frequency: Least frequent.
```

A **simple solution** is to maintain a sorted array where smallest element is at first position and largest element is at last. The time complexity of findMin(), findMAX() and deleteMax() is  $O(1)$ . But time complexities of deleteMin(), insert() and delete() will be  $O(n)$ .

**Can we do the most frequent two operations in  $O(1)$  and other operations in  $O(\text{Log}n)$  time?.**

The idea is to use two binary heaps (one max and one min heap). The main challenge is, while deleting an item, we need to delete from both min-heap and max-heap. So, we need some kind of mutual data structure. In the following design, we have used doubly linked list as a mutual data structure. The doubly linked list contains all input items and indexes of corresponding min and max heap nodes. The nodes of min and max heaps store addresses of nodes of doubly linked list. The root node of min heap stores the address of minimum item in doubly linked list. Similarly, root of max heap stores address of maximum item in doubly linked list. Following are the details of operations.

**1) findMax():** We get the address of maximum value node from root of Max Heap. So this is a  $O(1)$  operation.

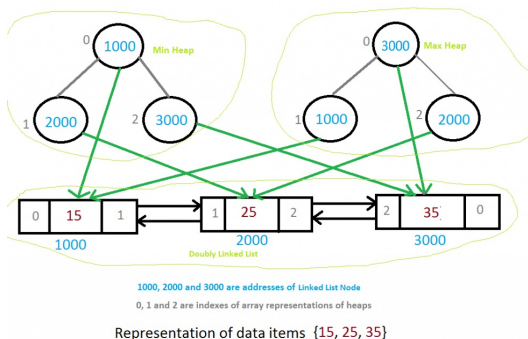
**1) findMin():** We get the address of minimum value node from root of Min Heap. So this is a  $O(1)$  operation.

**3) deleteMin():** We get the address of minimum value node from root of Min Heap. We use this address to find the node in doubly linked list. From the doubly linked list, we get node of Max Heap. We delete node from all three. We can delete a node from doubly linked list in  $O(1)$  time. delete() operations for max and min heaps take  $O(\text{Logn})$  time.

**4) deleteMax():** is similar to deleteMin()

**5) Insert()** We always insert at the beginning of linked list in  $O(1)$  time. Inserting the address in Max and Min Heaps take  $O(\text{Logn})$  time. So overall complexity is  $O(\text{Logn})$

**6) Delete()** We first search the item in Linked List. Once the item is found in  $O(n)$  time, we delete it from linked list. Then using the indexes stored in linked list, we delete it from Min Heap and Max Heaps in  $O(\text{Logn})$  time. *So overall complexity of this operation is  $O(n)$ . The Delete operation can be optimized to  $O(\text{Logn})$  by using a balanced binary search tree instead of doubly linked list as a mutual data structure. Use of balanced binary search will not effect time complexity of other operations as it will act as a mutual data structure like doubly Linked List.*



Following is C implementation of the above data structure.

```
// C program for efficient data structure
#include <stdio.h>
#include <stdlib.h>
#include <limits.h>

// A node of doubly linked list
struct LNode
{
    int data;
    int minHeapIndex;
    int maxHeapIndex;
    struct LNode *next, *prev;
};
```

```

// Structure for a doubly linked list
struct List
{
    struct LNode *head;
};

// Structure for min heap
struct MinHeap
{
    int size;
    int capacity;
    struct LNode* *array;
};

// Structure for max heap
struct MaxHeap
{
    int size;
    int capacity;
    struct LNode* *array;
};

// The required data structure
struct MyDS
{
    struct MinHeap* minHeap;
    struct MaxHeap* maxHeap;
    struct List* list;
};

// Function to swap two integers
void swapData(int* a, int* b)
{ int t = *a;  *a = *b;  *b = t; }

// Function to swap two List nodes
void swapLNode(struct LNode** a, struct LNode** b)
{ struct LNode* t = *a; *a = *b; *b = t; }

// A utility function to create a new List node
struct LNode* newLNode(int data)
{
    struct LNode* node =
        (struct LNode*) malloc(sizeof(struct LNode));
    node->minHeapIndex = node->maxHeapIndex = -1;
    node->data = data;
    node->prev = node->next = NULL;
    return node;
}

// Utility function to create a max heap of given capacity
struct MaxHeap* createMaxHeap(int capacity)
{
    struct MaxHeap* maxHeap =
        (struct MaxHeap*) malloc(sizeof(struct MaxHeap));
    maxHeap->size = 0;
    maxHeap->capacity = capacity;
    maxHeap->array =
        (struct LNode**) malloc(maxHeap->capacity * sizeof(struct LNode*))
    return maxHeap;
}

// Utility function to create a min heap of given capacity

```

```

struct MinHeap* createMinHeap(int capacity)
{
    struct MinHeap* minHeap =
        (struct MinHeap*) malloc(sizeof(struct MinHeap));
    minHeap->size = 0;
    minHeap->capacity = capacity;
    minHeap->array =
        (struct LNode**) malloc(minHeap->capacity * sizeof(struct LNode));
    return minHeap;
}

// Utility function to create a List
struct List* createList()
{
    struct List* list =
        (struct List*) malloc(sizeof(struct List));
    list->head = NULL;
    return list;
}

// Utility function to create the main data structure
// with given capacity
struct MyDS* createMyDS(int capacity)
{
    struct MyDS* myDS =
        (struct MyDS*) malloc(sizeof(struct MyDS));
    myDS->minHeap = createMinHeap(capacity);
    myDS->maxHeap = createMaxHeap(capacity);
    myDS->list = createList();
    return myDS;
}

// Some basic operations for heaps and List
int isMaxHeapEmpty(struct MaxHeap* heap)
{ return (heap->size == 0); }

int isMinHeapEmpty(struct MinHeap* heap)
{ return heap->size == 0; }

int isMaxHeapFull(struct MaxHeap* heap)
{ return heap->size == heap->capacity; }

int isMinHeapFull(struct MinHeap* heap)
{ return heap->size == heap->capacity; }

int isEmptyList(struct List* list)
{ return !list->head; }

int hasOnlyOneLNode(struct List* list)
{ return !list->head->next && !list->head->prev; }

// The standard minheapify function. The only thing it does extra
// is swapping indexes of heaps inside the List
void minHeapify(struct MinHeap* minHeap, int index)
{
    int smallest, left, right;
    smallest = index;
    left = 2 * index + 1;
    right = 2 * index + 2;

    if ( minHeap->array[left] &&
        left < minHeap->size &&

```

```

        left < minHeap->size &&
        minHeap->array[left]->data < minHeap->array[smallest]->data
    )
    smallest = left;

    if ( minHeap->array[right] &&
        right < minHeap->size &&
        minHeap->array[right]->data < minHeap->array[smallest]->data
    )
        smallest = right;

    if (smallest != index)
    {
        // First swap indexes inside the List using address
        // of List nodes
        swapData(&(minHeap->array[smallest]->minHeapIndex),
                &(minHeap->array[index]->minHeapIndex));

        // Now swap pointers to List nodes
        swapLNode(&minHeap->array[smallest],
                 &minHeap->array[index]);

        // Fix the heap downward
        minHeapify(minHeap, smallest);
    }
}

// The standard maxHeapify function. The only thing it does extra
// is swapping indexes of heaps inside the List
void maxHeapify(struct MaxHeap* maxHeap, int index)
{
    int largest, left, right;
    largest = index;
    left = 2 * index + 1;
    right = 2 * index + 2;

    if ( maxHeap->array[left] &&
        left < maxHeap->size &&
        maxHeap->array[left]->data > maxHeap->array[largest]->data
    )
        largest = left;

    if ( maxHeap->array[right] &&
        right < maxHeap->size &&
        maxHeap->array[right]->data > maxHeap->array[largest]->data
    )
        largest = right;

    if (largest != index)
    {
        // First swap indexes inside the List using address
        // of List nodes
        swapData(&maxHeap->array[largest]->maxHeapIndex,
                &maxHeap->array[index]->maxHeapIndex);

        // Now swap pointers to List nodes
        swapLNode(&maxHeap->array[largest],
                 &maxHeap->array[index]);

        // Fix the heap downward
        maxHeapify(maxHeap, largest);
    }
}

```

```

// Standard function to insert an item in Min Heap
void insertMinHeap(struct MinHeap* minHeap, struct LNode* temp)
{
    if (isMinHeapFull(minHeap))
        return;

    ++minHeap->size;
    int i = minHeap->size - 1;
    while (i && temp->data < minHeap->array[(i - 1) / 2]->data)
    {
        minHeap->array[i] = minHeap->array[(i - 1) / 2];
        minHeap->array[i]->minHeapIndex = i;
        i = (i - 1) / 2;
    }

    minHeap->array[i] = temp;
    minHeap->array[i]->minHeapIndex = i;
}

```

```

// Standard function to insert an item in Max Heap
void insertMaxHeap(struct MaxHeap* maxHeap, struct LNode* temp)
{
    if (isMaxHeapFull(maxHeap))
        return;

    ++maxHeap->size;
    int i = maxHeap->size - 1;
    while (i && temp->data > maxHeap->array[(i - 1) / 2]->data)
    {
        maxHeap->array[i] = maxHeap->array[(i - 1) / 2];
        maxHeap->array[i]->maxHeapIndex = i;
        i = (i - 1) / 2;
    }

    maxHeap->array[i] = temp;
    maxHeap->array[i]->maxHeapIndex = i;
}

```

```

// Function to find minimum value stored in the main data structure
int findMin(struct MyDS* myDS)
{
    if (isMinHeapEmpty(myDS->minHeap))
        return INT_MAX;

    return myDS->minHeap->array[0]->data;
}

// Function to find maximum value stored in the main data structure
int findMax(struct MyDS* myDS)
{
    if (isMaxHeapEmpty(myDS->maxHeap))
        return INT_MIN;

    return myDS->maxHeap->array[0]->data;
}

```

```

// A utility function to remove an item from linked list
void removeLNode(struct List* list, struct LNode** temp)
{
    if (hasOnlyOneLNode(list))

```

```

        list->head = NULL;

    else if (!(*temp)->prev) // first node
    {
        list->head = (*temp)->next;
        (*temp)->next->prev = NULL;
    }
    // any other node including last
    else
    {
        (*temp)->prev->next = (*temp)->next;
        // last node
        if ((*temp)->next)
            (*temp)->next->prev = (*temp)->prev;
    }
    free(*temp);
    *temp = NULL;
}

```

```

// Function to delete maximum value stored in the main data structure
void deleteMax(struct MyDS* myDS)
{
    MinHeap *minHeap = myDS->minHeap;
    MaxHeap *maxHeap = myDS->maxHeap;

    if (isMaxHeapEmpty(maxHeap))
        return;
    struct LNode* temp = maxHeap->array[0];

    // delete the maximum item from maxHeap
    maxHeap->array[0] =
        maxHeap->array[maxHeap->size - 1];
    --maxHeap->size;
    maxHeap->array[0]->maxHeapIndex = 0;
    maxHeapify(maxHeap, 0);

    // remove the item from minHeap
    minHeap->array[temp->minHeapIndex] = minHeap->array[minHeap->size
    --minHeap->size;
    minHeap->array[temp->minHeapIndex]->minHeapIndex = temp->minHeapIndex;
    minHeapify(minHeap, temp->minHeapIndex);

    // remove the node from List
    removeLNode(myDS->list, &temp);
}

```

```

// Function to delete minimum value stored in the main data structure
void deleteMin(struct MyDS* myDS)
{
    MinHeap *minHeap = myDS->minHeap;
    MaxHeap *maxHeap = myDS->maxHeap;

    if (isMinHeapEmpty(minHeap))
        return;
    struct LNode* temp = minHeap->array[0];

    // delete the minimum item from minHeap
    minHeap->array[0] = minHeap->array[minHeap->size - 1];
    --minHeap->size;
    minHeap->array[0]->minHeapIndex = 0;
    minHeapify(minHeap, 0);

    // remove the item from maxHeap

```



```

    // remove the item from maxHeap
    maxHeap->array[temp->maxHeapIndex] = maxHeap->array[maxHeap->size
--maxHeap->size;
    maxHeap->array[temp->maxHeapIndex]->maxHeapIndex = temp->maxHeapIn
    maxHeapify(maxHeap, temp->maxHeapIndex);

    // remove the node from List
    removeLNode(myDS->list, &temp);
}

// Function to enList an item to List
void insertAtHead(struct List* list, struct LNode* temp)
{
    if (isListEmpty(list))
        list->head = temp;

    else
    {
        temp->next = list->head;
        list->head->prev = temp;
        list->head = temp;
    }
}

// Function to delete an item from List. The function also
// removes item from min and max heaps
void Delete(struct MyDS* myDS, int item)
{
    MinHeap *minHeap = myDS->minHeap;
    MaxHeap *maxHeap = myDS->maxHeap;

    if (isListEmpty(myDS->list))
        return;

    // search the node in List
    struct LNode* temp = myDS->list->head;
    while (temp && temp->data != item)
        temp = temp->next;

    // if item not found
    if (!temp || temp && temp->data != item)
        return;

    // remove item from min heap
    minHeap->array[temp->minHeapIndex] = minHeap->array[minHeap->size
--minHeap->size;
    minHeap->array[temp->minHeapIndex]->minHeapIndex = temp->minHeapIn
    minHeapify(minHeap, temp->minHeapIndex);

    // remove item from max heap
    maxHeap->array[temp->maxHeapIndex] = maxHeap->array[maxHeap->size
--maxHeap->size;
    maxHeap->array[temp->maxHeapIndex]->maxHeapIndex = temp->maxHeapIn
    maxHeapify(maxHeap, temp->maxHeapIndex);

    // remove node from List
    removeLNode(myDS->list, &temp);
}

// insert operation for main data structure
void Insert(struct MyDS* myDS, int data)
{
    struct LNode* temp = newLNode(data);

```

```

    // insert the item in List
    insertAtHead(myDS->list, temp);

    // insert the item in min heap
    insertMinHeap(myDS->minHeap, temp);

    // insert the item in max heap
    insertMaxHeap(myDS->maxHeap, temp);
}

// Driver program to test above functions
int main()
{
    struct MyDS *myDS = createMyDS(10);
    // Test Case #1
    /*Insert(myDS, 10);
    Insert(myDS, 2);
    Insert(myDS, 32);
    Insert(myDS, 40);
    Insert(myDS, 5);*/

    // Test Case #2
    Insert(myDS, 10);
    Insert(myDS, 20);
    Insert(myDS, 30);
    Insert(myDS, 40);
    Insert(myDS, 50);

    printf("Maximum = %d \n", findMax(myDS));
    printf("Minimum = %d \n\n", findMin(myDS));

    deleteMax(myDS); // 50 is deleted
    printf("After deleteMax()\n");
    printf("Maximum = %d \n", findMax(myDS));
    printf("Minimum = %d \n\n", findMin(myDS));

    deleteMin(myDS); // 10 is deleted
    printf("After deleteMin()\n");
    printf("Maximum = %d \n", findMax(myDS));
    printf("Minimum = %d \n\n", findMin(myDS));

    Delete(myDS, 40); // 40 is deleted
    printf("After Delete()\n");
    printf("Maximum = %d \n", findMax(myDS));
    printf("Minimum = %d \n", findMin(myDS));

    return 0;
}

```

Output:

```

Maximum = 50
Minimum = 10

After deleteMax()
Maximum = 40
Minimum = 10

```

```
After deleteMin()
```

```
Maximum = 40
```

```
Minimum = 20
```

```
After Delete()
```

```
Maximum = 30
```

```
Minimum = 20
```

This article is compiled by [Aashish Barnwal](#) and reviewed by GeeksforGeeks team. Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above

## 15. B-Tree | Set 1 (Introduction)

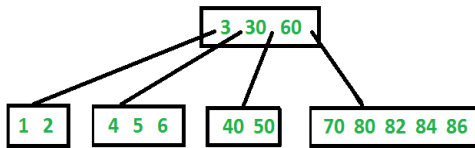
B-Tree is a self-balancing search tree. In most of the other self-balancing search trees (like [AVL](#) and Red Black Trees), it is assumed that everything is in main memory. To understand use of B-Trees, we must think of huge amount of data that cannot fit in main memory. When the number of keys is high, the data is read from disk in the form of blocks. Disk access time is very high compared to main memory access time. The main idea of using B-Trees is to reduce the number of disk accesses. Most of the tree operations (search, insert, delete, max, min, ..etc ) require  $O(h)$  disk accesses where  $h$  is height of the tree. B-tree is a fat tree. Height of B-Trees is kept low by putting maximum possible keys in a B-Tree node. Generally, a B-Tree node size is kept equal to the disk block size. Since  $h$  is low for B-Tree, total disk accesses for most of the operations are reduced significantly compared to balanced Binary Search Trees like AVL Tree, Red Black Tree, ..etc.

### Properties of B-Tree

- 1) All leaves are at same level.
- 2) A B-Tree is defined by the term *minimum degree* 't'. The value of  $t$  depends upon disk block size.
- 3) Every node except root must contain at least  $t-1$  keys. Root may contain minimum 1 key.
- 4) All nodes (including root) may contain at most  $2t - 1$  keys.
- 5) Number of children of a node is equal to the number of keys in it plus 1.
- 6) All keys of a node are sorted in increasing order. The child between two keys  $k_1$  and  $k_2$  contains all keys in range from  $k_1$  and  $k_2$ .
- 7) B-Tree grows and shrinks from root which is unlike Binary Search Tree. Binary Search Trees grow downward and also shrink from downward.
- 8) Like other balanced Binary Search Trees, time complexity to search, insert and delete

is  $O(\log n)$ .

Following is an example B-Tree of minimum degree 3. Note that in practical B-Trees, the value of minimum degree is much more than 3.



## Search

Search is similar to search in Binary Search Tree. Let the key to be searched be  $k$ . We start from root and recursively traverse down. For every visited non-leaf node, if the node has key, we simply return the node. Otherwise we recur down to the appropriate child (The child which is just before the first greater key) of the node. If we reach a leaf node and don't find  $k$  in the leaf node, we return NULL.

## Traverse

Traversal is also similar to Inorder traversal of Binary Tree. We start from the leftmost child, recursively print the leftmost child, then repeat the same process for remaining children and keys. In the end, recursively print the rightmost child.

```
// C++ implementation of search() and traverse() methods
#include<iostream>
using namespace std;

// A BTree node
class BTreeNode
{
    int *keys; // An array of keys
    int t; // Minimum degree (defines the range for number of keys)
    BTreeNode **C; // An array of child pointers
    int n; // Current number of keys
    bool leaf; // Is true when node is leaf. Otherwise false
public:
    BTreeNode(int _t, bool _leaf); // Constructor

    // A function to traverse all nodes in a subtree rooted with this node
    void traverse();

    // A function to search a key in subtree rooted with this node.
    BTreeNode *search(int k); // returns NULL if k is not present.
};

// Make BTree friend of this so that we can access private members of
// class in BTree functions
friend class BTree;

// A BTree
class BTree
{
    BTreeNode *root; // Pointer to root node
    int t; // Minimum degree
public:
    // Constructor (Initializes tree as empty)
```

```

// ----- \----- \----- \----- \----- \----- //
BTree(int _t)
{ root = NULL; t = _t; }

// function to traverse the tree
void traverse()
{ if (root != NULL) root->traverse(); }

// function to search a key in this tree
BTreeNode* search(int k)
{ return (root == NULL)? NULL : root->search(k); }
};

// Constructor for BTreeNode class
BTreeNode::BTreeNode(int _t, bool _leaf)
{
    // Copy the given minimum degree and leaf property
    t = _t;
    leaf = _leaf;

    // Allocate memory for maximum number of possible keys
    // and child pointers
    keys = new int[2*t-1];
    C = new BTreeNode *[2*t];

    // Initialize the number of keys as 0
    n = 0;
}

// Function to traverse all nodes in a subtree rooted with this node
void BTreeNode::traverse()
{
    // There are n keys and n+1 children, travers through n keys
    // and first n children
    int i;
    for (i = 0; i < n; i++)
    {
        // If this is not leaf, then before printing key[i],
        // traverse the subtree rooted with child C[i].
        if (leaf == false)
            C[i]->traverse();
        cout << " " << keys[i];
    }

    // Print the subtree rooted with last child
    if (leaf == false)
        C[i]->traverse();
}

// Function to search key k in subtree rooted with this node
BTreeNode *BTreeNode::search(int k)
{
    // Find the first key greater than or equal to k
    int i = 0;
    while (i < n && k > keys[i])
        i++;

    // If the found key is equal to k, return this node
    if (keys[i] == k)
        return this;

    // If key is not found here and this is a leaf node
    if (leaf == true)

```

```
        return NULL;

    // Go to the appropriate child
    return C[i]->search(k);
}
```

The above code doesn't contain driver program. We will be covering the complete program in our next post on B-Tree Insertion.

There are two conventions to define a B-Tree, one is to define by minimum degree (followed in [Cormen book](#)), second is define by order. We have followed the minimum degree convention and will be following same in coming posts on B-Tree. The variable names used in the above program are also kept same as Cormen book for better readability.

## Insertion and Deletion

[B-Tree Insertion](#)

[B-Tree Deletion](#)

## References:

[Introduction to Algorithms 3rd Edition by Clifford Stein, Thomas H. Cormen, Charles E. Leiserson, Ronald L. Rivest](#)

Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.

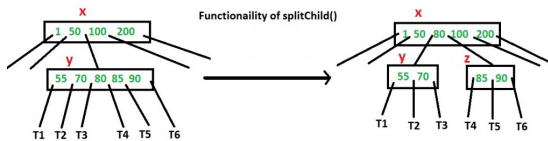
## 16. B-Tree | Set 2 (Insert)

In the [previous post](#), we introduced B-Tree. We also discussed `search()` and `traverse()` functions.

In this post, `insert()` operation is discussed. A new key is always inserted at leaf node. Let the key to be inserted be  $k$ . Like BST, we start from root and traverse down till we reach a leaf node. Once we reach a leaf node, we insert the key in that leaf node. Unlike BSTs, we have a predefined range on number of keys that a node can contain. So before inserting a key to node, we make sure that the node has extra space.

*How to make sure that a node has space available for key before the key is inserted?*

We use an operation called `splitChild()` that is used to split a child of a node. See the following diagram to understand split. In the following diagram, child  $y$  of  $x$  is being split into two nodes  $y$  and  $z$ . Note that the `splitChild` operation moves a key up and this is the reason B-Trees grow up unlike BSTs which grow down.



As discussed above, to insert a new key, we go down from root to leaf. Before traversing down to a node, we first check if the node is full. If the node is full, we split it to create space. Following is complete algorithm.

## Insertion

- 1) Initialize x as root.
- 2) While x is not leaf, do following
  - ..a) Find the child of x that is going to be traversed next. Let the child be y.
  - ..b) If y is not full, change x to point to y.
  - ..c) If y is full, split it and change x to point to one of the two parts of y. If k is smaller than mid key in y, then set x as first part of y. Else second part of y. When we split y, we move a key from y to its parent x.
- 3) The loop in step 2 stops when x is leaf. x must have space for 1 extra key as we have been splitting all nodes in advance. So simply insert k to x.

Note that the algorithm follows the Cormen book. It is actually a proactive insertion algorithm where before going down to a node, we split it if it is full. The advantage of splitting before is, we never traverse a node twice. If we don't split a node before going down to it and split it only if new key is inserted (reactive), we may end up traversing all nodes again from leaf to root. This happens in cases when all nodes on the path from root to leaf are full. So when we come to the leaf node, we split it and move a key up. Moving a key up will cause a split in parent node (because parent was already full). This cascading effect never happens in this proactive insertion algorithm. There is a disadvantage of this proactive insertion though, we may do unnecessary splits.

Let us understand the algorithm with an example tree of minimum degree 't' as 3 and a sequence of integers 10, 20, 30, 40, 50, 60, 70, 80 and 90 in an initially empty B-Tree.

Initially root is NULL. Let us first insert 10.

Insert 10

10

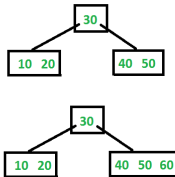
Let us now insert 20, 30, 40 and 50. They all will be inserted in root because maximum number of keys a node can accommodate is  $2 \cdot t - 1$  which is 5.

Insert 20, 30, 40 and 50

10 20 30 40 50

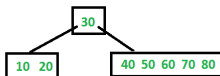
Let us now insert 60. Since root node is full, it will first split into two, then 60 will be inserted into the appropriate child.

Insert 60



Let us now insert 70 and 80. These new keys will be inserted into the appropriate leaf without any split.

Insert 70 and 80



Let us now insert 90. This insertion will cause a split. The middle key will go up to the parent.

Insert 90



See [this](#) for more examples.

Following is C++ implementation of the above proactive algorithm.

```
// C++ program for B-Tree insertion
#include<iostream>
using namespace std;

// A BTree node
class BTreeNode
{
    int *keys; // An array of keys
    int t; // Minimum degree (defines the range for number of keys)
    BTreeNode **C; // An array of child pointers
    int n; // Current number of keys
    bool leaf; // Is true when node is leaf. Otherwise false
public:
    BTreeNode(int _t, bool _leaf); // Constructor

    // A utility function to insert a new key in the subtree rooted with
    // this node. The assumption is, the node must be non-full when the
    // function is called
    void insertNonFull(int k);

    // A utility function to split the child y of this node. i is index
    // of child array C[]. The Child y must be full when this function is
    void splitChild(int i, BTreeNode *y);

    // A function to traverse all nodes in a subtree rooted with this node
    void traverse();

    // A function to search a key in subtree rooted with this node.
    BTreeNode *search(int k); // returns NULL if k is not present.
};

// Make BTree friend of this so that we can access private members of
// class in BTree functions
```



```

friend class BTree;
};

// A BTree
class BTree
{
    BTreeNode *root; // Pointer to root node
    int t; // Minimum degree
public:
    // Constructor (Initializes tree as empty)
    BTree(int _t)
    { root = NULL; t = _t; }

    // function to traverse the tree
    void traverse()
    { if (root != NULL) root->traverse(); }

    // function to search a key in this tree
    BTreeNode* search(int k)
    { return (root == NULL)? NULL : root->search(k); }

    // The main function that inserts a new key in this B-Tree
    void insert(int k);
};

// Constructor for BTreeNode class
BTreeNode::BTreeNode(int t1, bool leaf1)
{
    // Copy the given minimum degree and leaf property
    t = t1;
    leaf = leaf1;

    // Allocate memory for maximum number of possible keys
    // and child pointers
    keys = new int[2*t-1];
    C = new BTreeNode *[2*t];

    // Initialize the number of keys as 0
    n = 0;
}

// Function to traverse all nodes in a subtree rooted with this node
void BTreeNode::traverse()
{
    // There are n keys and n+1 children, travers through n keys
    // and first n children
    int i;
    for (i = 0; i < n; i++)
    {
        // If this is not leaf, then before printing key[i],
        // traverse the subtree rooted with child C[i].
        if (leaf == false)
            C[i]->traverse();
        cout << " " << keys[i];
    }

    // Print the subtree rooted with last child
    if (leaf == false)
        C[i]->traverse();
}

// Function to search key k in subtree rooted with this node

```

```

BTreeNode *BTreeNode::search(int k)
{
    // Find the first key greater than or equal to k
    int i = 0;
    while (i < n && k > keys[i])
        i++;

    // If the found key is equal to k, return this node
    if (keys[i] == k)
        return this;

    // If key is not found here and this is a leaf node
    if (leaf == true)
        return NULL;

    // Go to the appropriate child
    return C[i]->search(k);
}

```

```

// The main function that inserts a new key in this B-Tree
void BTree::insert(int k)
{
    // If tree is empty
    if (root == NULL)
    {
        // Allocate memory for root
        root = new BTreeNode(t, true);
        root->keys[0] = k; // Insert key
        root->n = 1; // Update number of keys in root
    }
    else // If tree is not empty
    {
        // If root is full, then tree grows in height
        if (root->n == 2*t-1)
        {
            // Allocate memory for new root
            BTreeNode *s = new BTreeNode(t, false);

            // Make old root as child of new root
            s->C[0] = root;

            // Split the old root and move 1 key to the new root
            s->splitChild(0, root);

            // New root has two children now. Decide which of the
            // two children is going to have new key
            int i = 0;
            if (s->keys[0] < k)
                i++;
            s->C[i]->insertNonFull(k);

            // Change root
            root = s;
        }
        else // If root is not full, call insertNonFull for root
            root->insertNonFull(k);
    }
}

```

```

// A utility function to insert a new key in this node
// The assumption is, the node must be non-full when this
// function is called
void BTreeNode::insertNonFull(int k)

```

```

void BTreeNode::insertFromLeft(int k)
{
    // Initialize index as index of rightmost element
    int i = n-1;

    // If this is a leaf node
    if (leaf == true)
    {
        // The following loop does two things
        // a) Finds the location of new key to be inserted
        // b) Moves all greater keys to one place ahead
        while (i >= 0 && keys[i] > k)
        {
            keys[i+1] = keys[i];
            i--;
        }

        // Insert the new key at found location
        keys[i+1] = k;
        n = n+1;
    }
    else // If this node is not leaf
    {
        // Find the child which is going to have the new key
        while (i >= 0 && keys[i] > k)
            i--;

        // See if the found child is full
        if (C[i+1]->n == 2*t-1)
        {
            // If the child is full, then split it
            splitChild(i+1, C[i+1]);

            // After split, the middle key of C[i] goes up and
            // C[i] is splitted into two. See which of the two
            // is going to have the new key
            if (keys[i+1] < k)
                i++;
        }
        C[i+1]->insertNonFull(k);
    }
}

// A utility function to split the child y of this node
// Note that y must be full when this function is called
void BTreeNode::splitChild(int i, BTreeNode *y)
{
    // Create a new node which is going to store (t-1) keys
    // of y
    BTreeNode *z = new BTreeNode(y->t, y->leaf);
    z->n = t - 1;

    // Copy the last (t-1) keys of y to z
    for (int j = 0; j < t-1; j++)
        z->keys[j] = y->keys[j+t];

    // Copy the last t children of y to z
    if (y->leaf == false)
    {
        for (int j = 0; j < t; j++)
            z->C[j] = y->C[j+t];
    }
}

```

```

// Reduce the number of keys in y
y->n = t - 1;

// Since this node is going to have a new child,
// create space of new child
for (int j = n; j >= i+1; j--)
    C[j+1] = C[j];

// Link the new child to this node
C[i+1] = z;

// A key of y will move to this node. Find location of
// new key and move all greater keys one space ahead
for (int j = n-1; j >= i; j--)
    keys[j+1] = keys[j];

// Copy the middle key of y to this node
keys[i] = y->keys[t-1];

// Increment count of keys in this node
n = n + 1;
}

```

```

// Driver program to test above functions
int main()
{
    BTree t(3); // A B-Tree with minium degree 3
    t.insert(10);
    t.insert(20);
    t.insert(5);
    t.insert(6);
    t.insert(12);
    t.insert(30);
    t.insert(7);
    t.insert(17);

    cout << "Traversal of the constucted tree is ";
    t.traverse();

    int k = 6;
    (t.search(k) != NULL)? cout << "\nPresent" : cout << "\nNot Present";

    k = 15;
    (t.search(k) != NULL)? cout << "\nPresent" : cout << "\nNot Present";

    return 0;
}

```

Output:

```

Traversal of the constucted tree is  5 6 7 10 12 17 20 30
Present
Not Present

```

### References:

Introduction to Algorithms 3rd Edition by Clifford Stein, Thomas H. Cormen, Charles E. Leiserson, Ronald L. Rivest  
<http://www.cs.utexas.edu/users/djimenez/utsa/cs3343/lecture17.html>

Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.

## 17. Longest prefix matching – A Trie based solution in Java

Given a dictionary of words and an input string, find the longest prefix of the string which is also a word in dictionary.

### Examples:

Let the dictionary contains the following words:  
{are, area, base, cat, cater, children, basement}

Below are some input/output examples:

Input String	Output
caterer	cater
basemexy	base
child	< Empty >

### Solution

We build a Trie of all dictionary words. Once the Trie is built, traverse through it using characters of input string. If prefix matches a dictionary word, store current length and look for a longer match. Finally, return the longest match.

Following is Java implementation of the above solution based.

```
import java.util.HashMap;

// Trie Node, which stores a character and the children in a HashMap
class TrieNode {
    public TrieNode(char ch) {
        value = ch;
        children = new HashMap<>();
        bIsEnd = false;
    }
    public HashMap<Character,TrieNode> getChildren() { return children; }
    public char getValue() { return value; }
    public void setIsEnd(boolean val) { bIsEnd = val; }
    public boolean isEnd() { return bIsEnd; }

    private char value;
```

```

private HashMap<Character,TrieNode> children;
private boolean bIsEnd;
}

// Implements the actual Trie
class Trie {
    // Constructor
    public Trie()    {        root = new TrieNode((char)0);        }

    // Method to insert a new word to Trie
    public void insert(String word)  {

        // Find length of the given word
        int length = word.length();
        TrieNode crawl = root;

        // Traverse through all characters of given word
        for( int level = 0; level < length; level++)
        {
            HashMap<Character,TrieNode> child = crawl.getChildren();
            char ch = word.charAt(level);

            // If there is already a child for current character of given word
            if( child.containsKey(ch))
                crawl = child.get(ch);
            else    // Else create a child
            {
                TrieNode temp = new TrieNode(ch);
                child.put( ch, temp );
                crawl = temp;
            }
        }

        // Set bIsEnd true for last character
        crawl.setIsEnd(true);
    }

    // The main method that finds out the longest string 'input'
    public String getMatchingPrefix(String input)  {
        String result = ""; // Initialize resultant string
        int length = input.length(); // Find length of the input string

        // Initialize reference to traverse through Trie
        TrieNode crawl = root;
    }
}

```

```

// Iterate through all characters of input string 'str' and traverse
// down the Trie
int level, prevMatch = 0;
for( level = 0 ; level < length; level++ )
{
    // Find current character of str
    char ch = input.charAt(level);

    // HashMap of current Trie node to traverse down
    HashMap<Character,TrieNode> child = crawl.getChildren();

    // See if there is a Trie edge for the current character
    if( child.containsKey(ch) )
    {
        result += ch;          //Update result
        crawl = child.get(ch); //Update crawl to move down in Trie

        // If this is end of a word, then update prevMatch
        if( crawl.isEnd() )
            prevMatch = level + 1;
    }
    else break;
}

// If the last processed character did not match end of a word,
// return the previously matching prefix
if( !crawl.isEnd() )
    return result.substring(0, prevMatch);

else return result;
}

private TrieNode root;
}

// Testing class
public class Test {
    public static void main(String[] args) {
        Trie dict = new Trie();
        dict.insert("are");
        dict.insert("area");
        dict.insert("base");
        dict.insert("cat");
        dict.insert("cater");
    }
}

```

```

dict.insert("basement");

String input = "caterer";
System.out.print(input + ":  ");
System.out.println(dict.getMatchingPrefix(input));

input = "basement";
System.out.print(input + ":  ");
System.out.println(dict.getMatchingPrefix(input));

input = "are";
System.out.print(input + ":  ");
System.out.println(dict.getMatchingPrefix(input));

input = "arex";
System.out.print(input + ":  ");
System.out.println(dict.getMatchingPrefix(input));

input = "basemexz";
System.out.print(input + ":  ");
System.out.println(dict.getMatchingPrefix(input));

input = "xyz";
System.out.print(input + ":  ");
System.out.println(dict.getMatchingPrefix(input));
}
}

```

### Output:

```

caterer:  cater
basement:  basement
are:  are
arex:  are
basemexz:  base
xyz:

```

**Time Complexity:** Time complexity of finding the longest prefix is  $O(n)$  where  $n$  is length of the input string. Refer [this](#) for time complexity of building the Trie.

This article is compiled by **Ravi Chandra Enaganti**. Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above



## 18. B-Tree | Set 3 (Delete)

It is recommended to refer following posts as prerequisite of this post.

[B-Tree | Set 1 \(Introduction\)](#)

[B-Tree | Set 2 \(Insert\)](#)

B-Tree is a type of a multi-way search tree. So, if you are not familiar with multi-way search trees in general, it is better to take a look at [this video lecture from IIT-Delhi](#), before proceeding further. Once you get the basics of a multi-way search tree clear, B-Tree operations will be easier to understand.

Source of the following explanation and algorithm is [Introduction to Algorithms 3rd Edition](#) by Clifford Stein, Thomas H. Cormen, Charles E. Leiserson, Ronald L. Rivest

### Deletion process:

Deletion from a B-tree is more complicated than insertion, because we can delete a key from any node—not just a leaf—and when we delete a key from an internal node, we will have to rearrange the node's children.

As in insertion, we must make sure the deletion doesn't violate the [B-tree properties](#). Just as we had to ensure that a node didn't get too big due to insertion, we must ensure that a node doesn't get too small during deletion (except that the root is allowed to have fewer than the minimum number  $t-1$  of keys). Just as a simple insertion algorithm might have to back up if a node on the path to where the key was to be inserted was full, a simple approach to deletion might have to back up if a node (other than the root) along the path to where the key is to be deleted has the minimum number of keys.

The deletion procedure deletes the key  $k$  from the subtree rooted at  $x$ . This procedure guarantees that whenever it calls itself recursively on a node  $x$ , the number of keys in  $x$  is at least the minimum degree  $t$ . Note that this condition requires one more key than the minimum required by the usual B-tree conditions, so that sometimes a key may have to be moved into a child node before recursion descends to that child. This strengthened condition allows us to delete a key from the tree in one downward pass without having to “back up” (with one exception, which we'll explain). You should interpret the following specification for deletion from a B-tree with the understanding that if the root node  $x$  ever becomes an internal node having no keys (this situation can occur in cases 2c and 3b then we delete  $x$ , and  $x$ 's only child  $x.c_1$  becomes the new root of the tree, decreasing the height of the tree by one and preserving the property that the root of the tree contains at least one key (unless the tree is empty).

We sketch how deletion works with various cases of deleting keys from a B-tree.

1. If the key  $k$  is in node  $x$  and  $x$  is a leaf, delete the key  $k$  from  $x$ .
2. If the key  $k$  is in node  $x$  and  $x$  is an internal node, do the following.

**a)** If the child  $y$  that precedes  $k$  in node  $x$  has at least  $t$  keys, then find the predecessor  $k_0$  of  $k$  in the sub-tree rooted at  $y$ . Recursively delete  $k_0$ , and replace  $k$  by  $k_0$  in  $x$ . (We can find  $k_0$  and delete it in a single downward pass.)

**b)** If  $y$  has fewer than  $t$  keys, then, symmetrically, examine the child  $z$  that follows  $k$  in node  $x$ . If  $z$  has at least  $t$  keys, then find the successor  $k_0$  of  $k$  in the subtree rooted at  $z$ . Recursively delete  $k_0$ , and replace  $k$  by  $k_0$  in  $x$ . (We can find  $k_0$  and delete it in a single downward pass.)

**c)** Otherwise, if both  $y$  and  $z$  have only  $t-1$  keys, merge  $k$  and all of  $z$  into  $y$ , so that  $x$  loses both  $k$  and the pointer to  $z$ , and  $y$  now contains  $2t-1$  keys. Then free  $z$  and recursively delete  $k$  from  $y$ .

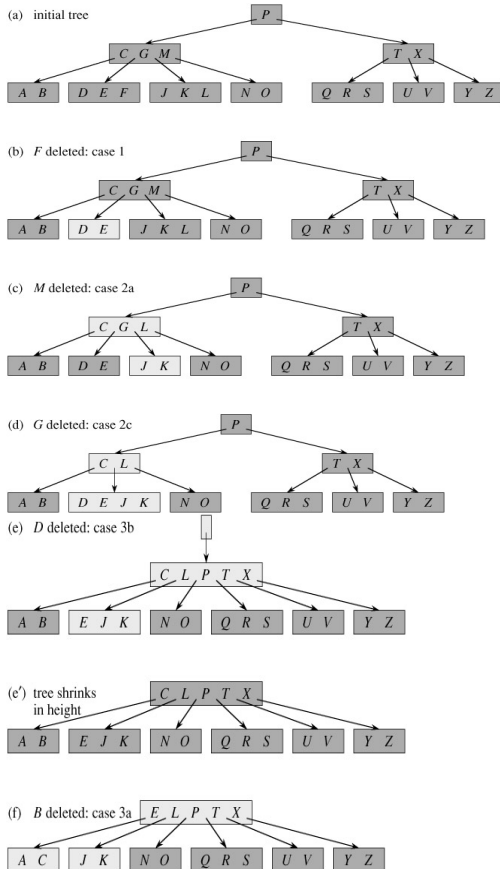
**3.** If the key  $k$  is not present in internal node  $x$ , determine the root  $x.c(i)$  of the appropriate subtree that must contain  $k$ , if  $k$  is in the tree at all. If  $x.c(i)$  has only  $t-1$  keys, execute step 3a or 3b as necessary to guarantee that we descend to a node containing at least  $t$  keys. Then finish by recursing on the appropriate child of  $x$ .

**a)** If  $x.c(i)$  has only  $t-1$  keys but has an immediate sibling with at least  $t$  keys, give  $x.c(i)$  an extra key by moving a key from  $x$  down into  $x.c(i)$ , moving a key from  $x.c(i)$ 's immediate left or right sibling up into  $x$ , and moving the appropriate child pointer from the sibling into  $x.c(i)$ .

**b)** If  $x.c(i)$  and both of  $x.c(i)$ 's immediate siblings have  $t-1$  keys, merge  $x.c(i)$  with one sibling, which involves moving a key from  $x$  down into the new merged node to become the median key for that node.

Since most of the keys in a B-tree are in the leaves, deletion operations are most often used to delete keys from leaves. The recursive delete procedure then acts in one downward pass through the tree, without having to back up. When deleting a key in an internal node, however, the procedure makes a downward pass through the tree but may have to return to the node from which the key was deleted to replace the key with its predecessor or successor (cases 2a and 2b).

The following figures from [CLRS book](#) explain the deletion process.



## Implementation:

Following is C++ implementation of deletion process.

/\* The following program performs deletion on a B-Tree. It contains functions specific for deletion along with all the other functions provided in previous articles on B-Trees. See <http://www.geeksforgeeks.org/b-tree/> for previous article.

The deletion function has been compartmentalized into 8 functions for better understanding and clarity

The following functions are exclusive for deletion

In class BTreeNode:

- 1) remove
- 2) removeFromLeaf
- 3) removeFromNonLeaf
- 4) getPred
- 5) getSucc
- 6) borrowFromPrev
- 7) borrowFromNext
- 8) merge
- 9) findKey

In class BTree:

1) remove

The removal of a key from a B-Tree is a fairly complicated process. It involves all the 6 different cases that might arise while removing a key.

Testing: The code has been tested using the B-Tree provided in the C++ code (in the main function ) along with other cases.

Reference: CLRS3 - Chapter 18 - (499-502)

It is advised to read the material in CLRS before taking a look at the code.

```
#include<iostream>
using namespace std;

// A BTree node
class BTreeNode
{
    int *keys; // An array of keys
    int t; // Minimum degree (defines the range for number of keys)
    BTreeNode **C; // An array of child pointers
    int n; // Current number of keys
    bool leaf; // Is true when node is leaf. Otherwise false

public:
    BTreeNode(int _t, bool _leaf); // Constructor

    // A function to traverse all nodes in a subtree rooted with this node
    void traverse();

    // A function to search a key in subtree rooted with this node.
    BTreeNode *search(int k); // returns NULL if k is not present.

    // A function that returns the index of the first key that is greater
    // or equal to k
    int findKey(int k);

    // A utility function to insert a new key in the subtree rooted with
    // this node. The assumption is, the node must be non-full when the
    // function is called
    void insertNonFull(int k);

    // A utility function to split the child y of this node. i is index
    // of y in child array C[]. The Child y must be full when this
    // function is called
    void splitChild(int i, BTreeNode *y);

    // A wrapper function to remove the key k in subtree rooted with
    // this node.
    void remove(int k);

    // A function to remove the key present in idx-th position in
    // this node which is a leaf
    void removeFromLeaf(int idx);

    // A function to remove the key present in idx-th position in
    // this node which is a non-leaf node
    void removeFromNonLeaf(int idx);

    // A function to get the predecessor of the key- where the key
    // is present in the idx-th position in the node
    int getPredecessor(int idx);
};
```

```

    int getPred(int idx);

    // A function to get the successor of the key- where the key
    // is present in the idx-th position in the node
    int getSucc(int idx);

    // A function to fill up the child node present in the idx-th
    // position in the C[] array if that child has less than t-1 keys
    void fill(int idx);

    // A function to borrow a key from the C[idx-1]-th node and place
    // it in C[idx]th node
    void borrowFromPrev(int idx);

    // A function to borrow a key from the C[idx+1]-th node and place
    // in C[idx]th node
    void borrowFromNext(int idx);

    // A function to merge idx-th child of the node with (idx+1)th child
    // the node
    void merge(int idx);

    // Make BTree friend of this so that we can access private members
    // this class in BTree functions
    friend class BTree;
};

class BTree
{
    BTreeNode *root; // Pointer to root node
    int t; // Minimum degree
public:

    // Constructor (Initializes tree as empty)
    BTree(int _t)
    {
        root = NULL;
        t = _t;
    }

    void traverse()
    {
        if (root != NULL) root->traverse();
    }

    // function to search a key in this tree
    BTreeNode* search(int k)
    {
        return (root == NULL)? NULL : root->search(k);
    }

    // The main function that inserts a new key in this B-Tree
    void insert(int k);

    // The main function that removes a new key in this B-Tree
    void remove(int k);
};

BTreeNode::BTreeNode(int t1, bool leaf1)
{
    // Copy the given minimum degree and leaf property
    t = t1;
    leaf = leaf1;
}

```

```

    t = t-1,
    leaf = leaf1;

    // Allocate memory for maximum number of possible keys
    // and child pointers
    keys = new int[2*t-1];
    C = new BTreeNode *[2*t];

    // Initialize the number of keys as 0
    n = 0;
}

// A utility function that returns the index of the first key that is
// greater than or equal to k
int BTreeNode::findKey(int k)
{
    int idx=0;
    while (idx<n && keys[idx] < k)
        ++idx;
    return idx;
}

// A function to remove the key k from the sub-tree rooted with this node
void BTreeNode::remove(int k)
{
    int idx = findKey(k);

    // The key to be removed is present in this node
    if (idx < n && keys[idx] == k)
    {
        // If the node is a leaf node - removeFromLeaf is called
        // Otherwise, removeFromNonLeaf function is called
        if (leaf)
            removeFromLeaf(idx);
        else
            removeFromNonLeaf(idx);
    }
    else
    {
        // If this node is a leaf node, then the key is not present in
        if (leaf)
        {
            cout << "The key "<< k << " is does not exist in the tree\n";
            return;
        }

        // The key to be removed is present in the sub-tree rooted with
        // The flag indicates whether the key is present in the sub-tree
        // with the last child of this node
        bool flag = ( (idx==n)? true : false );

        // If the child where the key is supposed to exist has less than t
        // we fill that child
        if (C[idx]->n < t)
            fill(idx);

        // If the last child has been merged, it must have merged with
        // child and so we recurse on the (idx-1)th child. Else, we recurse
        // (idx)th child which now has atleast t keys
        if (flag && idx > n)
            C[idx-1]->remove(k);
    }
}

```

```

        else
            C[idx]->remove(k);
    }
    return;
}

// A function to remove the idx-th key from this node - which is a leaf
void BTreeNode::removeFromLeaf (int idx)
{
    // Move all the keys after the idx-th pos one place backward
    for (int i=idx+1; i<n; ++i)
        keys[i-1] = keys[i];

    // Reduce the count of keys
    n--;

    return;
}

// A function to remove the idx-th key from this node - which is a non
void BTreeNode::removeFromNonLeaf(int idx)
{
    int k = keys[idx];

    // If the child that precedes k (C[idx]) has atleast t keys,
    // find the predecessor 'pred' of k in the subtree rooted at
    // C[idx]. Replace k by pred. Recursively delete pred
    // in C[idx]
    if (C[idx]->n >= t)
    {
        int pred = getPred(idx);
        keys[idx] = pred;
        C[idx]->remove(pred);
    }

    // If the child C[idx] has less than t keys, examine C[idx+1].
    // If C[idx+1] has atleast t keys, find the successor 'succ' of k :
    // the subtree rooted at C[idx+1]
    // Replace k by succ
    // Recursively delete succ in C[idx+1]
    else if (C[idx+1]->n >= t)
    {
        int succ = getSucc(idx);
        keys[idx] = succ;
        C[idx+1]->remove(succ);
    }

    // If both C[idx] and C[idx+1] has less than t keys, merge k and all
    // into C[idx]
    // Now C[idx] contains 2t-1 keys
    // Free C[idx+1] and recursively delete k from C[idx]
    else
    {
        merge(idx);
        C[idx]->remove(k);
    }
    return;
}

// A function to get predecessor of keys[idx]

```

```

int BTreeNode::getPred(int idx)
{
    // Keep moving to the right most node until we reach a leaf
    BTreeNode *cur=C[idx];
    while (!cur->leaf)
        cur = cur->C[cur->n];

    // Return the last key of the leaf
    return cur->keys[cur->n-1];
}

int BTreeNode::getSucc(int idx)
{
    // Keep moving the left most node starting from C[idx+1] until we reach a leaf
    BTreeNode *cur = C[idx+1];
    while (!cur->leaf)
        cur = cur->C[0];

    // Return the first key of the leaf
    return cur->keys[0];
}

// A function to fill child C[idx] which has less than t-1 keys
void BTreeNode::fill(int idx)
{
    // If the previous child(C[idx-1]) has more than t-1 keys, borrow a key
    // from that child
    if (idx!=0 && C[idx-1]->n>=t)
        borrowFromPrev(idx);

    // If the next child(C[idx+1]) has more than t-1 keys, borrow a key
    // from that child
    else if (idx!=n && C[idx+1]->n>=t)
        borrowFromNext(idx);

    // Merge C[idx] with its sibling
    // If C[idx] is the last child, merge it with its previous sibling
    // Otherwise merge it with its next sibling
    else
    {
        if (idx != n)
            merge(idx);
        else
            merge(idx-1);
    }
    return;
}

// A function to borrow a key from C[idx-1] and insert it
// into C[idx]
void BTreeNode::borrowFromPrev(int idx)
{
    BTreeNode *child=C[idx];
    BTreeNode *sibling=C[idx-1];

    // The last key from C[idx-1] goes up to the parent and key[idx-1]
    // from parent is inserted as the first key in C[idx]. Thus, the
    // sibling loses one key and child gains one key

    // Moving all keys in C[idx] one step ahead

```



```

// moving all key in C[idx] one step ahead
for (int i=child->n-1; i>=0; --i)
    child->keys[i+1] = child->keys[i];

// If C[idx] is not a leaf, move all its child pointers one step al
if (!child->leaf)
{
    for(int i=child->n; i>=0; --i)
        child->C[i+1] = child->C[i];
}

// Setting child's first key equal to keys[idx-1] from the current
child->keys[0] = keys[idx-1];

// Moving sibling's last child as C[idx]'s first child
if (!leaf)
    child->C[0] = sibling->C[sibling->n];

// Moving the key from the sibling to the parent
// This reduces the number of keys in the sibling
keys[idx-1] = sibling->keys[sibling->n-1];

child->n += 1;
sibling->n -= 1;

return;
}

// A function to borrow a key from the C[idx+1] and place
// it in C[idx]
void BTreeNode::borrowFromNext(int idx)
{
    BTreeNode *child=C[idx];
    BTreeNode *sibling=C[idx+1];

    // keys[idx] is inserted as the last key in C[idx]
    child->keys[(child->n)] = keys[idx];

    // Sibling's first child is inserted as the last child
    // into C[idx]
    if (!(child->leaf))
        child->C[(child->n)+1] = sibling->C[0];

    //The first key from sibling is inserted into keys[idx]
    keys[idx] = sibling->keys[0];

    // Moving all keys in sibling one step behind
    for (int i=1; i<sibling->n; ++i)
        sibling->keys[i-1] = sibling->keys[i];

    // Moving the child pointers one step behind
    if (!sibling->leaf)
    {
        for(int i=1; i<=sibling->n; ++i)
            sibling->C[i-1] = sibling->C[i];
    }

    // Increasing and decreasing the key count of C[idx] and C[idx+1]
    // respectively
    child->n += 1;
    sibling->n -= 1;
}

```

```

    return;
}

// A function to merge C[idx] with C[idx+1]
// C[idx+1] is freed after merging
void BTreeNode::merge(int idx)
{
    BTreeNode *child = C[idx];
    BTreeNode *sibling = C[idx+1];

    // Pulling a key from the current node and inserting it into (t-1)
    // position of C[idx]
    child->keys[t-1] = keys[idx];

    // Copying the keys from C[idx+1] to C[idx] at the end
    for (int i=0; i<sibling->n; ++i)
        child->keys[i+t] = sibling->keys[i];

    // Copying the child pointers from C[idx+1] to C[idx]
    if (!child->leaf)
    {
        for(int i=0; i<=sibling->n; ++i)
            child->C[i+t] = sibling->C[i];
    }

    // Moving all keys after idx in the current node one step before -
    // to fill the gap created by moving keys[idx] to C[idx]
    for (int i=idx+1; i<n; ++i)
        keys[i-1] = keys[i];

    // Moving the child pointers after (idx+1) in the current node one
    // step before
    for (int i=idx+2; i<=n; ++i)
        C[i-1] = C[i];

    // Updating the key count of child and the current node
    child->n += sibling->n+1;
    n--;

    // Freeing the memory occupied by sibling
    delete(sibling);
    return;
}

// The main function that inserts a new key in this B-Tree
void BTree::insert(int k)
{
    // If tree is empty
    if (root == NULL)
    {
        // Allocate memory for root
        root = new BTreeNode(t, true);
        root->keys[0] = k; // Insert key
        root->n = 1; // Update number of keys in root
    }
    else // If tree is not empty
    {
        // If root is full, then tree grows in height
        if (root->n == 2*t-1)
        {
            // Allocate memory for new root
            BTreeNode *s = new BTreeNode(t, false);

```

```

        // Make old root as child of new root
        s->C[0] = root;

        // Split the old root and move 1 key to the new root
        s->splitChild(0, root);

        // New root has two children now. Decide which of the
        // two children is going to have new key
        int i = 0;
        if (s->keys[0] < k)
            i++;
        s->C[i]->insertNonFull(k);

        // Change root
        root = s;
    }
    else // If root is not full, call insertNonFull for root
        root->insertNonFull(k);
}

// A utility function to insert a new key in this node
// The assumption is, the node must be non-full when this
// function is called
void BTreeNode::insertNonFull(int k)
{
    // Initialize index as index of rightmost element
    int i = n-1;

    // If this is a leaf node
    if (leaf == true)
    {
        // The following loop does two things
        // a) Finds the location of new key to be inserted
        // b) Moves all greater keys to one place ahead
        while (i >= 0 && keys[i] > k)
        {
            keys[i+1] = keys[i];
            i--;
        }

        // Insert the new key at found location
        keys[i+1] = k;
        n = n+1;
    }
    else // If this node is not leaf
    {
        // Find the child which is going to have the new key
        while (i >= 0 && keys[i] > k)
            i--;

        // See if the found child is full
        if (C[i+1]->n == 2*t-1)
        {
            // If the child is full, then split it
            splitChild(i+1, C[i+1]);

            // After split, the middle key of C[i] goes up and
            // C[i] is splitted into two. See which of the two
            // is going to have the new key
            if (keys[i+1] < k)
                i++;
        }
    }
}

```

```

        ...
    }
    C[i+1]->insertNonFull(k);
}

// A utility function to split the child y of this node
// Note that y must be full when this function is called
void BTreeNode::splitChild(int i, BTreeNode *y)
{
    // Create a new node which is going to store (t-1) keys
    // of y
    BTreeNode *z = new BTreeNode(y->t, y->leaf);
    z->n = t - 1;

    // Copy the last (t-1) keys of y to z
    for (int j = 0; j < t-1; j++)
        z->keys[j] = y->keys[j+t];

    // Copy the last t children of y to z
    if (y->leaf == false)
    {
        for (int j = 0; j < t; j++)
            z->C[j] = y->C[j+t];
    }

    // Reduce the number of keys in y
    y->n = t - 1;

    // Since this node is going to have a new child,
    // create space of new child
    for (int j = n; j >= i+1; j--)
        C[j+1] = C[j];

    // Link the new child to this node
    C[i+1] = z;

    // A key of y will move to this node. Find location of
    // new key and move all greater keys one space ahead
    for (int j = n-1; j >= i; j--)
        keys[j+1] = keys[j];

    // Copy the middle key of y to this node
    keys[i] = y->keys[t-1];

    // Increment count of keys in this node
    n = n + 1;
}

// Function to traverse all nodes in a subtree rooted with this node
void BTreeNode::traverse()
{
    // There are n keys and n+1 children, travers through n keys
    // and first n children
    int i;
    for (i = 0; i < n; i++)
    {
        // If this is not leaf, then before printing key[i],
        // traverse the subtree rooted with child C[i].
        if (leaf == false)
            C[i]->traverse();
        cout << " " << keys[i];
    }
}

```

```

// Print the subtree rooted with last child
if (leaf == false)
    C[i]->traverse();
}

// Function to search key k in subtree rooted with this node
BTreeNode *BTreeNode::search(int k)
{
    // Find the first key greater than or equal to k
    int i = 0;
    while (i < n && k > keys[i])
        i++;

    // If the found key is equal to k, return this node
    if (keys[i] == k)
        return this;

    // If key is not found here and this is a leaf node
    if (leaf == true)
        return NULL;

    // Go to the appropriate child
    return C[i]->search(k);
}

void BTree::remove(int k)
{
    if (!root)
    {
        cout << "The tree is empty\n";
        return;
    }

    // Call the remove function for root
    root->remove(k);

    // If the root node has 0 keys, make its first child as the new root
    // if it has a child, otherwise set root as NULL
    if (root->n==0)
    {
        BTreeNode *tmp = root;
        if (root->leaf)
            root = NULL;
        else
            root = root->C[0];

        // Free the old root
        delete tmp;
    }
    return;
}

// Driver program to test above functions
int main()
{
    BTree t(3); // A B-Tree with minium degree 3

    t.insert(1);
    t.insert(3);
    t.insert(7);
    t.insert(10);
}

```

```

t.insert(11);
t.insert(13);
t.insert(14);
t.insert(15);
t.insert(18);
t.insert(16);
t.insert(19);
t.insert(24);
t.insert(25);
t.insert(26);
t.insert(21);
t.insert(4);
t.insert(5);
t.insert(20);
t.insert(22);
t.insert(2);
t.insert(17);
t.insert(12);
t.insert(6);

cout << "Traversal of tree constructed is\n";
t.traverse();
cout << endl;

t.remove(6);
cout << "Traversal of tree after removing 6\n";
t.traverse();
cout << endl;

t.remove(13);
cout << "Traversal of tree after removing 13\n";
t.traverse();
cout << endl;

t.remove(7);
cout << "Traversal of tree after removing 7\n";
t.traverse();
cout << endl;

t.remove(4);
cout << "Traversal of tree after removing 4\n";
t.traverse();
cout << endl;

t.remove(2);
cout << "Traversal of tree after removing 2\n";
t.traverse();
cout << endl;

t.remove(16);
cout << "Traversal of tree after removing 16\n";
t.traverse();
cout << endl;

return 0;
}

```

Output:

```

Traversal of tree constructed is
1 2 3 4 5 6 7 10 11 12 13 14 15 16 17 18 19 20 21 22 24 25 26

```

```

Traversal of tree after removing 6
1 2 3 4 5 7 10 11 12 13 14 15 16 17 18 19 20 21 22 24 25 26
Traversal of tree after removing 13
1 2 3 4 5 7 10 11 12 14 15 16 17 18 19 20 21 22 24 25 26
Traversal of tree after removing 7
1 2 3 4 5 10 11 12 14 15 16 17 18 19 20 21 22 24 25 26
Traversal of tree after removing 4
1 2 3 5 10 11 12 14 15 16 17 18 19 20 21 22 24 25 26
Traversal of tree after removing 2
1 3 5 10 11 12 14 15 16 17 18 19 20 21 22 24 25 26
Traversal of tree after removing 16
1 3 5 10 11 12 14 15 17 18 19 20 21 22 24 25 26

```

This article is contributed by **Balasubramanian.N** . Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.

## 19. Splay Tree | Set 1 (Search)

The worst case time complexity of Binary Search Tree (BST) operations like search, delete, insert is  $O(n)$ . The worst case occurs when the tree is skewed. We can get the worst case time complexity as  $O(\log n)$  with AVL and Red-Black Trees.

### Can we do better than AVL or Red-Black trees in practical situations?

Like AVL and Red-Black Trees, Splay tree is also **self-balancing BST**. The main idea of splay tree is to bring the recently accessed item to root of the tree, this makes the recently searched item to be accessible in  $O(1)$  time if accessed again. The idea is to use locality of reference (In a typical application, 80% of the access are to 20% of the items). Imagine a situation where we have millions or billions of keys and only few of them are accessed frequently, which is very likely in many practical applications.

All splay tree operations run in  $O(\log n)$  time on average, where  $n$  is the number of entries in the tree. Any single operation can take  $\Theta(n)$  time in the worst case.

### Search Operation

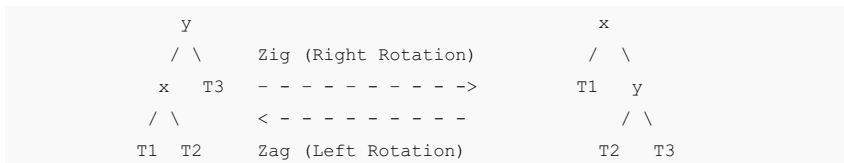
The search operation in Splay tree does the standard BST search, in addition to search, it also splays (move a node to the root). If the search is successful, then the node that is found is splayed and becomes the new root. Else the last node accessed prior to reaching the NULL is splayed and becomes the new root.

There are following cases for the node being accessed.

**1) Node is root** We simply return the root, don't do anything else as the accessed node

is already root.

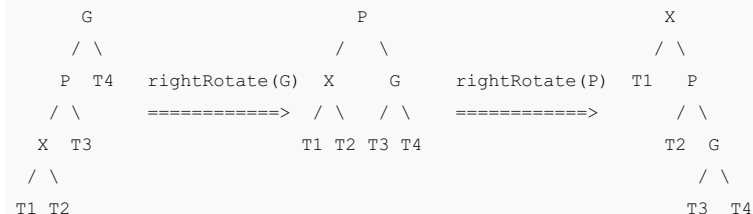
**2) Zig: Node is child of root** (the node has no grandparent). Node is either a left child of root (we do a right rotation) or node is a right child of its parent (we do a left rotation). T1, T2 and T3 are subtrees of the tree rooted with y (on left side) or x (on right side)



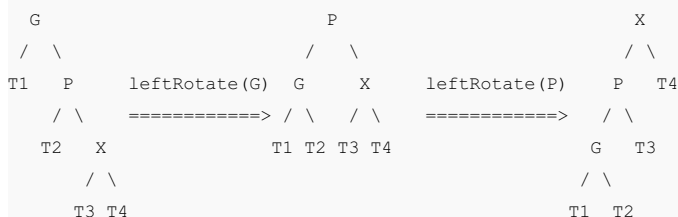
**3) Node has both parent and grandparent.** There can be following subcases.

.....**3.a) Zig-Zig and Zag-Zag** Node is left child of parent and parent is also left child of grand parent (Two right rotations) OR node is right child of its parent and parent is also right child of grand parent (Two Left Rotations).

Zig-Zig (Left Left Case):

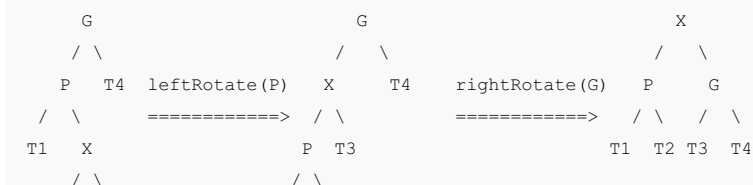


Zag-Zag (Right Right Case):

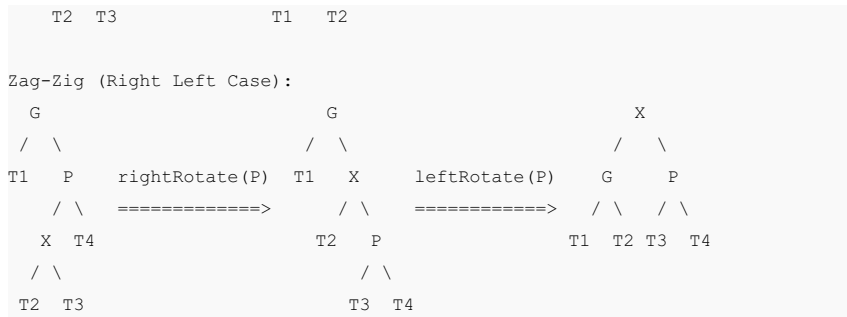


.....**3.b) Zig-Zag and Zag-Zig** Node is left child of parent and parent is right child of grand parent (Left Rotation followed by right rotation) OR node is right child of its parent and parent is left child of grand parent (Right Rotation followed by left rotation).

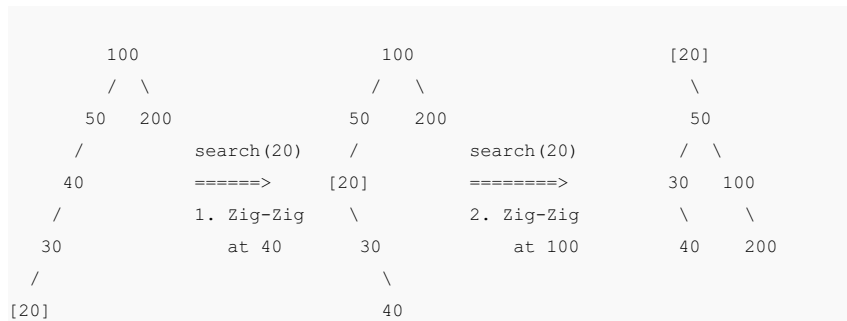
Zig-Zag (Left Right Case):







### Example:



The important thing to note is, the search or splay operation not only brings the searched key to root, but also balances the BST. For example in above case, height of BST is reduced by 1.

### Implementation:

```

// The code is adopted from http://goo.gl/SDH9hH
#include<stdio.h>
#include<stdlib.h>

// An AVL tree node
struct node
{
    int key;
    struct node *left, *right;
};

/* Helper function that allocates a new node with the given key and
   NULL left and right pointers. */
struct node* newNode(int key)
{
    struct node* node = (struct node*)malloc(sizeof(struct node));
    node->key = key;
    node->left = node->right = NULL;
    return (node);
}

// A utility function to right rotate subtree rooted with y
// See the diagram given above.

```

```

struct node *rightRotate(struct node *x)
{
    struct node *y = x->left;
    x->left = y->right;
    y->right = x;
    return y;
}

// A utility function to left rotate subtree rooted with x
// See the diagram given above.
struct node *leftRotate(struct node *x)
{
    struct node *y = x->right;
    x->right = y->left;
    y->left = x;
    return y;
}

// This function brings the key at root if key is present in tree.
// If key is not present, then it brings the last accessed item at
// root. This function modifies the tree and returns the new root
struct node *splay(struct node *root, int key)
{
    // Base cases: root is NULL or key is present at root
    if (root == NULL || root->key == key)
        return root;

    // Key lies in left subtree
    if (root->key > key)
    {
        // Key is not in tree, we are done
        if (root->left == NULL) return root;

        // Zig-Zig (Left Left)
        if (root->left->key > key)
        {
            // First recursively bring the key as root of left-left
            root->left->left = splay(root->left->left, key);

            // Do first rotation for root, second rotation is done after
            root = rightRotate(root);
        }
        else if (root->left->key < key) // Zig-Zag (Left Right)
        {
            // First recursively bring the key as root of left-right
            root->left->right = splay(root->left->right, key);

            // Do first rotation for root->left
            if (root->left->right != NULL)
                root->left = leftRotate(root->left);
        }

        // Do second rotation for root
        return (root->left == NULL)? root: rightRotate(root);
    }
    else // Key lies in right subtree
    {
        // Key is not in tree, we are done
        if (root->right == NULL) return root;

        // Zag-Zig (Right Left)
        if (root->right->key > key)
        {

```

```

        // Bring the key as root of right-left
        root->right->left = splay(root->right->left, key);

        // Do first rotation for root->right
        if (root->right->left != NULL)
            root->right = rightRotate(root->right);
    }
    else if (root->right->key < key) // Zag-Zag (Right Right)
    {
        // Bring the key as root of right-right and do first rotation
        root->right->right = splay(root->right->right, key);
        root = leftRotate(root);
    }

    // Do second rotation for root
    return (root->right == NULL)? root: leftRotate(root);
}
}

// The search function for Splay tree. Note that this function
// returns the new root of Splay Tree. If key is present in tree
// then, it is moved to root.
struct node *search(struct node *root, int key)
{
    return splay(root, key);
}

// A utility function to print preorder traversal of the tree.
// The function also prints height of every node
void preOrder(struct node *root)
{
    if (root != NULL)
    {
        printf("%d ", root->key);
        preOrder(root->left);
        preOrder(root->right);
    }
}

/* Driver program to test above function*/
int main()
{
    struct node *root = newNode(100);
    root->left = newNode(50);
    root->right = newNode(200);
    root->left->left = newNode(40);
    root->left->left->left = newNode(30);
    root->left->left->left->left = newNode(20);

    root = search(root, 20);
    printf("Preorder traversal of the modified Splay tree is \n");
    preOrder(root);
    return 0;
}

```

Output:

```

Preorder traversal of the modified Splay tree is
20 50 30 40 100 200

```

## Summary

- 1) Splay trees have excellent locality properties. Frequently accessed items are easy to find. Infrequent items are out of way.
- 2) All splay tree operations take  $O(\text{Log}n)$  time on average. Splay trees can be rigorously shown to run in  $O(\log n)$  average time per operation, over any sequence of operations (assuming we start from an empty tree)
- 3) Splay trees are simpler compared to **AVL** and Red-Black Trees as no extra field is required in every tree node.
- 4) Unlike **AVL tree**, a splay tree can change even with read-only operations like search.

## Applications of Splay Trees

Splay trees have become the most widely used basic data structure invented in the last 30 years, because they're the fastest type of balanced search tree for many applications.

Splay trees are used in Windows NT (in the virtual memory, networking, and file system code), the gcc compiler and GNU C++ library, the sed string editor, Fore Systems network routers, the most popular implementation of Unix malloc, Linux loadable kernel modules, and in much other software (Source:

<http://www.cs.berkeley.edu/~jrs/61b/lec/36>)

We will soon be discussing insert and delete operations on splay trees.

## References:

<http://www.cs.berkeley.edu/~jrs/61b/lec/36>

<http://www.cs.cornell.edu/courses/cs3110/2009fa/recitations/rec-splay.html>

<http://courses.cs.washington.edu/courses/cse326/01au/lectures/SplayTrees.ppt>

Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above

## 20. Splay Tree | Set 2 (Insert)

It is recommended to refer following post as prerequisite of this post.

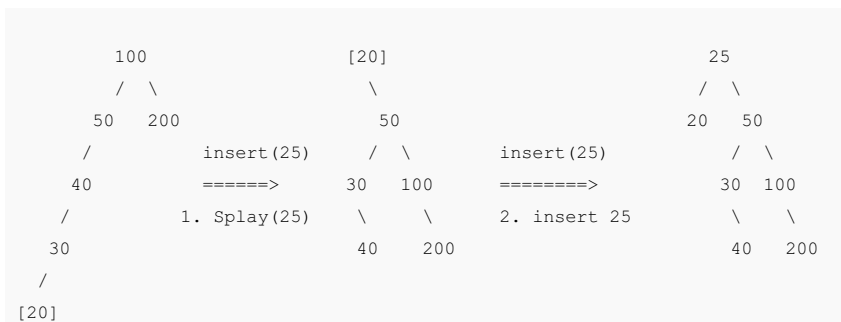
### [Splay Tree | Set 1 \(Search\)](#)

As discussed in the [previous post](#), Splay tree is a self-balancing data structure where the last accessed key is always at root. The insert operation is similar to Binary Search Tree insert with additional steps to make sure that the newly inserted key becomes the new root.

Following are different cases to insert a key k in splay tree.

- 1) Root is NULL: We simply allocate a new node and return it as root.
- 2) **Splay** the given key k. If k is already present, then it becomes the new root. If not present, then last accessed leaf node becomes the new root.
- 3) If new root's key is same as k, don't do anything as k is already present.
- 4) Else allocate memory for new node and compare root's key with k.
  - .....4.a) If k is smaller than root's key, make root as right child of new node, copy left child of root as left child of new node and make left child of root as NULL.
  - .....4.b) If k is greater than root's key, make root as left child of new node, copy right child of root as right child of new node and make right child of root as NULL.
- 5) Return new node as new root of tree.

**Example:**



```

// This code is adopted from http://algs4.cs.princeton.edu/33balanced/
#include<stdio.h>
#include<stdlib.h>

// An AVL tree node
struct node
{
    int key;
    struct node *left, *right;
};

/* Helper function that allocates a new node with the given key and
   NULL left and right pointers. */
struct node* newNode(int key)
{
    struct node* node = (struct node*)malloc(sizeof(struct node));
    node->key = key;
    node->left = node->right = NULL;
    return (node);
}

// A utility function to right rotate subtree rooted with y
// See the diagram given above.
struct node *rightRotate(struct node *x)
  
```

```

{
    struct node *y = x->left;
    x->left = y->right;
    y->right = x;
    return y;
}

// A utility function to left rotate subtree rooted with x
// See the diagram given above.
struct node *leftRotate(struct node *x)
{
    struct node *y = x->right;
    x->right = y->left;
    y->left = x;
    return y;
}

// This function brings the key at root if key is present in tree.
// If key is not present, then it brings the last accessed item at
// root. This function modifies the tree and returns the new root
struct node *splay(struct node *root, int key)
{
    // Base cases: root is NULL or key is present at root
    if (root == NULL || root->key == key)
        return root;

    // Key lies in left subtree
    if (root->key > key)
    {
        // Key is not in tree, we are done
        if (root->left == NULL) return root;

        // Zig-Zig (Left Left)
        if (root->left->key > key)
        {
            // First recursively bring the key as root of left-left
            root->left->left = splay(root->left->left, key);

            // Do first rotation for root, second rotation is done after
            root = rightRotate(root);
        }
        else if (root->left->key < key) // Zig-Zag (Left Right)
        {
            // First recursively bring the key as root of left-right
            root->left->right = splay(root->left->right, key);

            // Do first rotation for root->left
            if (root->left->right != NULL)
                root->left = leftRotate(root->left);
        }

        // Do second rotation for root
        return (root->left == NULL)? root: rightRotate(root);
    }
    else // Key lies in right subtree
    {
        // Key is not in tree, we are done
        if (root->right == NULL) return root;

        // Zig-Zag (Right Left)
        if (root->right->key > key)
        {
            // Bring the key as root of right-left

```

```

        // Bring the key as root of right-left
        root->right->left = splay(root->right->left, key);

        // Do first rotation for root->right
        if (root->right->left != NULL)
            root->right = rightRotate(root->right);
    }
    else if (root->right->key < key) // Zag-Zag (Right Right)
    {
        // Bring the key as root of right-right and do first rotation
        root->right->right = splay(root->right->right, key);
        root = leftRotate(root);
    }

    // Do second rotation for root
    return (root->right == NULL)? root: leftRotate(root);
}
}

```

```

// Function to insert a new key k in splay tree with given root
struct node *insert(struct node *root, int k)
{
    // Simple Case: If tree is empty
    if (root == NULL) return newNode(k);

    // Bring the closest leaf node to root
    root = splay(root, k);

    // If key is already present, then return
    if (root->key == k) return root;

    // Otherwise allocate memory for new node
    struct node *newnode = newNode(k);

    // If root's key is greater, make root as right child
    // of newnode and copy the left child of root to newnode
    if (root->key > k)
    {
        newnode->right = root;
        newnode->left = root->left;
        root->left = NULL;
    }

    // If root's key is smaller, make root as left child
    // of newnode and copy the right child of root to newnode
    else
    {
        newnode->left = root;
        newnode->right = root->right;
        root->right = NULL;
    }

    return newnode; // newnode becomes new root
}

```

```

// A utility function to print preorder traversal of the tree.
// The function also prints height of every node
void preOrder(struct node *root)
{
    if (root != NULL)
    {
        printf("%d ", root->key);
        preOrder(root->left);
    }
}

```

```

        preOrder(root->right);
    }
}

/* Driver program to test above function*/
int main()
{
    struct node *root = newNode(100);
    root->left = newNode(50);
    root->right = newNode(200);
    root->left->left = newNode(40);
    root->left->left->left = newNode(30);
    root->left->left->left->left = newNode(20);
    root = insert(root, 25);
    printf("Preorder traversal of the modified Splay tree is \n");
    preOrder(root);
    return 0;
}

```

Output:

```

Preorder traversal of the modified Splay tree is
25 20 50 30 40 100 200

```

This article is compiled by **Abhay Rathi**. Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above

## 21. Suffix Array | Set 1 (Introduction)

We strongly recommend to read following post on suffix trees as a pre-requisite for this post.

[Pattern Searching | Set 8 \(Suffix Tree Introduction\)](#)

**A suffix array is a sorted array of all suffixes of a given string.** The definition is similar to [Suffix Tree which is compressed trie of all suffixes of the given text](#). Any suffix tree based algorithm can be replaced with an algorithm that uses a suffix array enhanced with additional information and solves the same problem in the same time complexity (Source: [Wiki](#)).

A suffix array can be constructed from Suffix tree by doing a DFS traversal of the suffix tree. In fact Suffix array and suffix tree both can be constructed from each other in linear time.

Advantages of suffix arrays over suffix trees include improved space requirements, simpler linear time construction algorithms (e.g., compared to Ukkonen's algorithm) and improved cache locality (Source: [Wiki](#))

**Example:**



Let the given string be "banana".

0	banana		5	a
1	anana	Sort the Suffixes	3	ana
2	nana	----->	1	anana
3	ana	alphabetically	0	banana
4	na		4	na
5	a		2	nana

So the suffix array for "banana" is {5, 3, 1, 0, 4, 2}

### **Naive method to build Suffix Array**

A simple method to construct suffix array is to make an array of all suffixes and then sort the array. Following is implementation of simple method.

```
// Naive algorithm for building suffix array of a given text
#include <iostream>
#include <cstring>
#include <algorithm>
using namespace std;

// Structure to store information of a suffix
struct suffix
{
    int index;
    char *suff;
};

// A comparison function used by sort() to compare two suffixes
int cmp(struct suffix a, struct suffix b)
{
    return strcmp(a.suff, b.suff) < 0? 1 : 0;
}

// This is the main function that takes a string 'txt' of size n as an
// argument, builds and return the suffix array for the given string
int *buildSuffixArray(char *txt, int n)
{
    // A structure to store suffixes and their indexes
    struct suffix suffixes[n];

    // Store suffixes and their indexes in an array of structures.
    // The structure is needed to sort the suffixes alphabetically
    // and maintain their old indexes while sorting
    for (int i = 0; i < n; i++)
    {
        suffixes[i].index = i;
        suffixes[i].suff = (txt+i);
    }

    // Sort the suffixes using the comparison function
    // defined above.
    sort(suffixes, suffixes+n, cmp);

    // Store indexes of all sorted suffixes in the suffix array
    int *suffixArr = new int[n];
    for (int i = 0; i < n; i++)
        suffixArr[i] = suffixes[i].index;
```

```

        SUFFIXARR[1] = SUFFIXES[1].index;

    // Return the suffix array
    return suffixArr;
}

// A utility function to print an array of given size
void printArr(int arr[], int n)
{
    for(int i = 0; i < n; i++)
        cout << arr[i] << " ";
    cout << endl;
}

// Driver program to test above functions
int main()
{
    char txt[] = "banana";
    int n = strlen(txt);
    int *suffixArr = buildSuffixArray(txt, n);
    cout << "Following is suffix array for " << txt << endl;
    printArr(suffixArr, n);
    return 0;
}

```

Output:

```

Following is suffix array for banana
5 3 1 0 4 2

```

The time complexity of above method to build suffix array is  $O(n^2 \log n)$  if we consider a  $O(n \log n)$  algorithm used for sorting. The sorting step itself takes  $O(n^2 \log n)$  time as every comparison is a comparison of two strings and the comparison takes  $O(n)$  time. There are many efficient algorithms to build suffix array. We will soon be covering them as separate posts.

### ***Search a pattern using the built Suffix Array***

To search a pattern in a text, we preprocess the text and build a suffix array of the text. Since we have a sorted array of all suffixes, **Binary Search** can be used to search. Following is the search function. Note that the function doesn't report all occurrences of pattern, it only report one of them.

```
// This code only contains search() and main. To make it a complete run
// above code or see http://ideone.com/1Io9eN
```

```
// A suffix array based search function to search a given pattern
// 'pat' in given text 'txt' using suffix array suffArr[]
void search(char *pat, char *txt, int *suffArr, int n)
{
    int m = strlen(pat); // get length of pattern, needed for strncmp

    // Do simple binary search for the pat in txt using the
    // built suffix array
    int l = 0, r = n-1; // Initilize left and right indexes
    while (l <= r)
    {
        // See if 'pat' is prefix of middle suffix in suffix array
        int mid = l + (r - l)/2;
        int res = strncmp(pat, txt+suffArr[mid], m);

        // If match found at the middle, print it and return
        if (res == 0)
        {
            cout << "Pattern found at index " << suffArr[mid];
            return;
        }

        // Move to left half if pattern is alphabetically less than
        // the mid suffix
        if (res < 0) r = mid - 1;

        // Otherwise move to right half
        else l = mid + 1;
    }

    // We reach here if return statement in loop is not executed
    cout << "Pattern not found";
}
```

```
// Driver program to test above function
int main()
{
    char txt[] = "banana"; // text
    char pat[] = "nan"; // pattern to be searched in text

    // Build suffix array
    int n = strlen(txt);
    int *suffArr = buildSuffixArray(txt, n);

    // search pat in txt using the built suffix array
    search(pat, txt, suffArr, n);

    return 0;
}
```

Output:

```
Pattern found at index 2
```

The time complexity of the above search function is  $O(m \log n)$ . There are more efficient algorithms to search pattern once the suffix array is built. In fact there is a  $O(m)$  suffix array based algorithm to search a pattern. We will soon be discussing efficient algorithm

for search.

### Applications of Suffix Array

Suffix array is an extremely useful data structure, it can be used for a wide range of problems. Following are some famous problems where Suffix array can be used.

- 1) Pattern Searching
- 2) Finding the longest repeated substring
- 3) Finding the longest common substring
- 4) Finding the longest palindrome in a string

See [this](#) for more problems where Suffix arrays can be used.

This post is a simple introduction. There is a lot to cover in Suffix arrays. We have discussed a  $O(n \log n)$  algorithm for Suffix Array construction [here](#). We will soon be discussing more efficient suffix array algorithms.

### References:

<http://www.stanford.edu/class/cs97si/suffix-array.pdf>

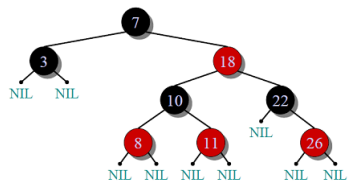
[http://en.wikipedia.org/wiki/Suffix\\_array](http://en.wikipedia.org/wiki/Suffix_array)

Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above

## 22. Red-Black Tree | Set 1 (Introduction)

Red-Black Tree is a self-balancing Binary Search Tree (BST) where every node follows following rules.

- 1) Every node has a color either red or black.
- 2) Root of tree is always black.
- 3) There are no two adjacent red nodes (A red node cannot have a red parent or red child).
- 4) Every path from root to a NULL node has same number of black nodes.



### Why Red-Black Trees?

Most of the BST operations (e.g., search, max, min, insert, delete.. etc) take  $O(h)$  time where  $h$  is the height of the BST. The cost of these operations may become  $O(n)$  for a skewed Binary tree. If we make sure that height of the tree remains  $O(\log n)$  after every insertion and deletion, then we can guarantee an upper bound of  $O(\log n)$  for all these operations. The height of a Red Black tree is always  $O(\log n)$  where  $n$  is the number of

nodes in the tree.

### Comparison with AVL Tree

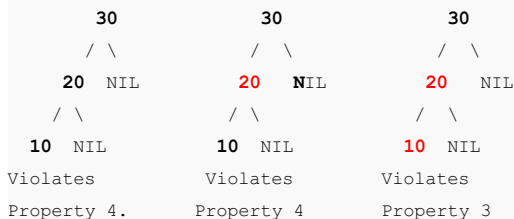
The AVL trees are more balanced compared to Red Black Trees, but they may cause more rotations during insertion and deletion. So if your application involves many frequent insertions and deletions, then Red Black trees should be preferred. And if the insertions and deletions are less frequent and search is more frequent operation, then AVL tree should be preferred over Red Black Tree.

### How does a Red-Black Tree ensure balance?

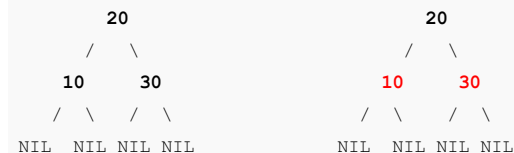
A simple example to understand balancing is, a chain of 3 nodes is not possible in red black tree. We can try any combination of colors and see all of them violate Red-Black tree property.

A chain of 3 nodes is nodes is not possible in Red-Black Trees.

Following are **NOT** Red-Black Trees



Following are different possible Red-Black Trees with above 3 keys



From the above examples, we get some idea how Red-Black trees ensure balance.

Following is an important fact about balancing in Red-Black Trees.

**Every Red Black Tree with  $n$  nodes has height  $\leq 2 \log_2(n + 1)$**

This can be proved using following facts:

- 1) For a general Binary Tree, let  $k$  be the minimum number of nodes on all root to NULL paths, then  $n \geq 2^k - 1$  (Ex. If  $k$  is 3, then  $n$  is atleast 7). This expression can also be written as  $k \leq 2 \log_2(n + 1)$
- 2) From property 4 of Red-Black trees and above claim, we can say in a Red-Black Tree with  $n$  nodes, there is a root to leaf path with at-most  $\log_2(n + 1)$  black nodes.
- 3) From property 3 of Red-Black trees, we can claim that the number black nodes in a Red-Black tree is at least  $\lfloor n/2 \rfloor$  where  $n$  is total number of nodes.

From above 2 points, we can conclude the fact that Red Black Tree with  $n$  nodes has height  $\leq 2 \log_2(n + 1)$

In this post, we introduced Red-Black trees and discussed how balance is ensured. The hard part is to maintain balance when keys are added and removed. We will soon be discussing insertion and deletion operations in coming posts on Red-Black tree.

### Exercise:

- 1) Is it possible to have all black nodes in a Red-Black tree?
- 2) Draw a Red-Black Tree that is not an AVL tree structure wise?

### Insertion and Deletion

Red Black Tree Insertion

Red-Black Tree Deletion

### References:

Introduction to Algorithms 3rd Edition by Clifford Stein, Thomas H. Cormen, Charles E. Leiserson, Ronald L. Rivest

[http://en.wikipedia.org/wiki/Red%E2%80%93black\\_tree](http://en.wikipedia.org/wiki/Red%E2%80%93black_tree)

Video Lecture on Red-Black Tree by Tim Roughgarden

MIT Video Lecture on Red-Black Tree

MIT Lecture Notes on Red Black Tree

Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.

## 23. Red-Black Tree | Set 2 (Insert)

In the [previous post](#), we discussed introduction to Red-Black Trees. In this post, insertion is discussed.

In [AVL tree insertion](#), we used rotation as a tool to do balancing after insertion caused imbalance. In Red-Black tree, we use two tools to do balancing.

- 1) Recoloring
- 2) Rotation

We try recoloring first, if recoloring doesn't work, then we go for rotation. Following is detailed algorithm. The algorithm has mainly two cases depending upon the color of uncle. If uncle is red, we do recoloring. If uncle is black, we do rotations and/or recoloring.

Color of a NULL node is considered as BLACK.

Let x be the newly inserted node.

1) Perform **standard BST insertion** and make the color of newly inserted nodes as RED.

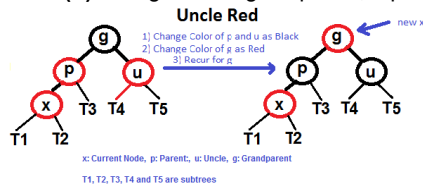
2) Do following if color of x's parent is not BLACK or x is not root.

....a) If x's uncle is **RED** (Grand parent must have been black from **property 4**)

.....(i) Change color of parent and uncle as BLACK.

.....(ii) color of grand parent as RED.

.....(iii) Change x = x's grandparent, repeat steps 2 and 3 for new x.



....b) If x's uncle is **BLACK**, then there can be four configurations for x, x's parent (p) and x's grandparent (g) (This is similar to **AVL Tree**)

.....i) Left Left Case (p is left child of g and x is left child of p)

.....ii) Left Right Case (p is left child of g and x is right child of p)

.....iii) Right Right Case (Mirror of case a)

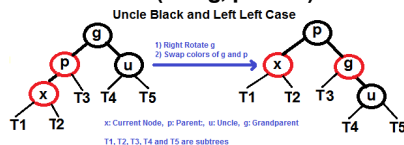
.....iv) Right Left Case (Mirror of case c)

3) If x is root, change color of x as BLACK (Black height of complete tree increases by 1).

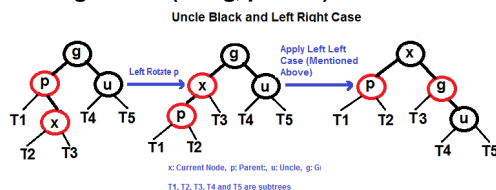
Following are operations to be performed in four subcases when uncle is BLACK.

## ~~Uncle~~ BLACK

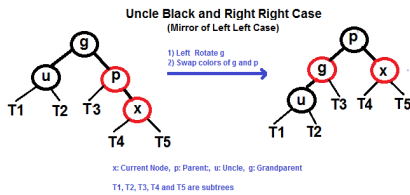
**Left Left Case (See g, p and x)**



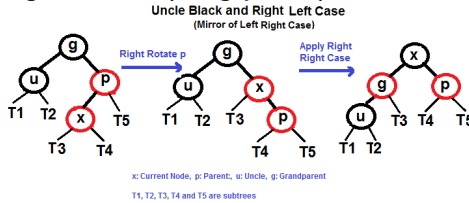
**Left Right Case (See g, p and x)**



**Right Right Case (See g, p and x)**

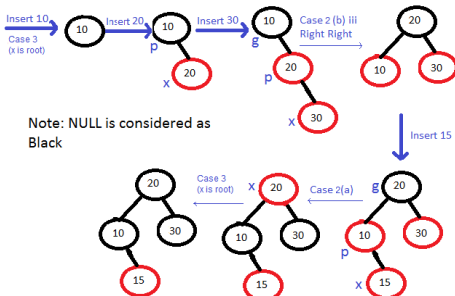


## Right Left Case (See g, p and x)



## Example

Insert 10, 20, 30 and 15 in an empty tree



Please refer [C Program for Red Black Tree Insertion](#) for complete implementation of above algorithm.

Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.

## 24. Interval Tree

Consider a situation where we have a set of intervals and we need following operations to be implemented efficiently.

- 1) Add an interval
- 2) Remove an interval



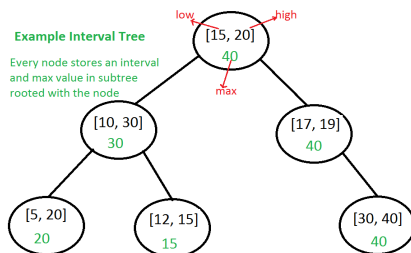
3) Given an interval  $x$ , find if  $x$  overlaps with any of the existing intervals.

**Interval Tree:** The idea is to augment a self-balancing Binary Search Tree (BST) like **Red Black Tree**, **AVL Tree**, etc to maintain set of intervals so that all operations can be done in  $O(\text{Log}n)$  time.

Every node of Interval Tree stores following information.

- a) **i:** An interval which is represented as a pair  $[low, high]$
- b) **max:** Maximum *high* value in subtree rooted with this node.

The low value of an interval is used as key to maintain order in BST. The insert and delete operations are same as insert and delete in self-balancing BST used.



The main operation is to search for an overlapping interval. Following is algorithm for searching an overlapping interval  $x$  in an Interval tree rooted with *root*.

```
Interval overlappingIntervalSearch(root, x)
```

- 1) If  $x$  overlaps with *root*'s interval, return the *root*'s interval.
- 2) If left child of *root* is not empty and the *max* in left child is greater than  $x$ 's low value, recur for left child
- 3) Else recur for right child.

### **How does the above algorithm work?**

Let the interval to be searched be  $x$ . We need to prove this in for following two cases.

**Case 1:** When we go to right subtree, one of the following must be true.

- a) There is an overlap in right subtree: This is fine as we need to return one overlapping interval.
- b) There is no overlap in either subtree: We go to right subtree only when either left is NULL or maximum value in left is smaller than  $x$ .low. So the interval cannot be present in left subtree.

**Case 2:** When we go to left subtree, one of the following must be true.

- a) There is an overlap in left subtree: This is fine as we need to return one overlapping interval.
- b) There is no overlap in either subtree: This is the most important part. We need to consider following facts.

... We went to left subtree because  $x.low \leq max$  in left subtree  
 .... max in left subtree is a high of one of the intervals let us say  $[a, max]$  in left subtree.  
 .... Since x doesn't overlap with any node in left subtree  $x.low$  must be smaller than 'a'.  
 .... All nodes in BST are ordered by low value, so all nodes in right subtree must have low value greater than 'a'.  
 .... From above two facts, we can say all intervals in right subtree have low value greater than  $x.low$ . So x cannot overlap with any interval in right subtree.

### Implementation of Interval Tree:

Following is C++ implementation of Interval Tree. The implementation uses basic **insert operation of BST** to keep things simple. Ideally it should be **insertion of AVL Tree** or **insertion of Red-Black Tree**. **Deletion from BST** is left as an exercise.

```
#include <iostream>
using namespace std;

// Structure to represent an interval
struct Interval
{
    int low, high;
};

// Structure to represent a node in Interval Search Tree
struct ITNode
{
    Interval *i; // 'i' could also be a normal variable
    int max;
    ITNode *left, *right;
};

// A utility function to create a new Interval Search Tree Node
ITNode * newNode(Interval i)
{
    ITNode *temp = new ITNode;
    temp->i = new Interval(i);
    temp->max = i.high;
    temp->left = temp->right = NULL;
};

// A utility function to insert a new Interval Search Tree Node
// This is similar to BST Insert. Here the low value of interval
// is used to maintain BST property
ITNode *insert(ITNode *root, Interval i)
{
    // Base case: Tree is empty, new node becomes root
    if (root == NULL)
        return newNode(i);

    // Get low value of interval at root
    int l = root->i->low;

    // If root's low value is smaller, then new interval goes to
    // left subtree
    if (i.low < l)
        root->left = insert(root->left, i);

    // Else, new node goes to right subtree.
    else
```

```

        root->right = insert(root->right, i);

        // Update the max value of this ancestor if needed
        if (root->max < i.high)
            root->max = i.high;

        return root;
    }

    // A utility function to check if given two intervals overlap
    bool doOverlap(Interval i1, Interval i2)
    {
        if (i1.low <= i2.high && i2.low <= i1.high)
            return true;
        return false;
    }

    // The main function that searches a given interval i in a given
    // Interval Tree.
    Interval *overlapSearch(ITNode *root, Interval i)
    {
        // Base Case, tree is empty
        if (root == NULL) return NULL;

        // If given interval overlaps with root
        if (doOverlap(*(root->i), i))
            return root->i;

        // If left child of root is present and max of left child is
        // greater than or equal to given interval, then i may
        // overlap with an interval in left subtree
        if (root->left != NULL && root->left->max >= i.low)
            return overlapSearch(root->left, i);

        // Else interval can only overlap with right subtree
        return overlapSearch(root->right, i);
    }
}

```

```

void inorder(ITNode *root)
{
    if (root == NULL) return;

    inorder(root->left);

    cout << "[" << root->i->low << ", " << root->i->high << "]"
        << " max = " << root->max << endl;

    inorder(root->right);
}

// Driver program to test above functions
int main()
{
    // Let us create interval tree shown in above figure
    Interval ints[] = {{15, 20}, {10, 30}, {17, 19},
                      {5, 20}, {12, 15}, {30, 40}};
    int n = sizeof(ints)/sizeof(ints[0]);
    ITNode *root = NULL;
    for (int i = 0; i < n; i++)
        root = insert(root, ints[i]);

    cout << "Inorder traversal of constructed Interval Tree is\n";
}

```

```

cout << "Inorder traversal of constructed Interval Tree is\n";
inorder(root);

Interval x = {6, 7};

cout << "\nSearching for interval [" << x.low << ", " << x.high <<
Interval *res = overlapSearch(root, x);
if (res == NULL)
    cout << "\nNo Overlapping Interval";
else
    cout << "\nOverlaps with [" << res->low << ", " << res->high <
return 0;
}

```

Output:

```

Inorder traversal of constructed Interval Tree is
[5, 20] max = 20
[10, 30] max = 30
[12, 15] max = 15
[15, 20] max = 40
[17, 19] max = 40
[30, 40] max = 40

Searching for interval [6,7]
Overlaps with [5, 20]

```

### Applications of Interval Tree:

Interval tree is mainly a geometric data structure and often used for windowing queries, for instance, to find all roads on a computerized map inside a rectangular viewport, or to find all visible elements inside a three-dimensional scene (Source [Wiki](#)).

### Interval Tree vs Segment Tree

Both segment and interval trees store intervals. Segment tree is mainly optimized for queries for a given point, and interval trees are mainly optimized for overlapping queries for a given interval.

### Exercise:

- 1) Implement delete operation for interval tree.
- 2) Extend the intervalSearch() to print all overlapping intervals instead of just one.

[http://en.wikipedia.org/wiki/Interval\\_tree](http://en.wikipedia.org/wiki/Interval_tree)

<http://www.cse.unr.edu/~mgunes/cs302/IntervalTrees.pptx>

Introduction to Algorithms 3rd Edition by Clifford Stein, Thomas H. Cormen, Charles E. Leiserson, Ronald L. Rivest

<https://www.youtube.com/watch?v=dQF0zyaym8A>

Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above

## 25. Red-Black Tree | Set 3 (Delete)

We have discussed following topics on Red-Black tree in previous posts. We strongly recommend to refer following post as prerequisite of this post.

[Red-Black Tree Introduction](#)

[Red Black Tree Insert](#)

### Insertion Vs Deletion:

Like Insertion, recoloring and rotations are used to maintain the Red-Black properties.

In insert operation, we check color of uncle to decide the appropriate case. In delete operation, ***we check color of sibling*** to decide the appropriate case.

The main property that violates after insertion is two consecutive reds. In delete, the main violated property is, change of black height in subtrees as deletion of a black node may cause reduced black height in one root to leaf path.

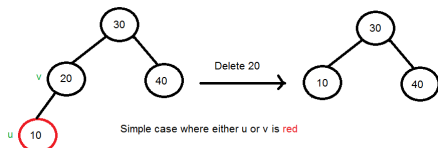
Deletion is fairly complex process. To understand deletion, notion of double black is used. When a black node is deleted and replaced by a black child, the child is marked as ***double black***. The main task now becomes to convert this double black to single black.

### Deletion Steps

Following are detailed steps for deletion.

1) Perform **standard BST delete**. When we perform standard delete operation in BST, we always end up deleting a node which is either leaf or has only one child (For an internal node, we copy the successor and then recursively call delete for successor, successor is always a leaf node or a node with one child). So we only need to handle cases where a node is leaf or has one child. Let  $v$  be the node deleted and  $u$  be the child that replaces  $v$ .

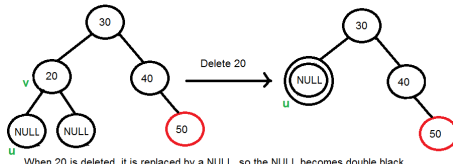
2) **Simple Case: If either  $u$  or  $v$  is red**, we mark the replaced child as black (No change in black height). Note that both  $u$  and  $v$  cannot be red as  $v$  is parent of  $u$  and two consecutive reds are not allowed in red-black tree.



### 3) If Both $u$ and $v$ are Black.

3.1) Color  $u$  as double black. Now our task reduces to convert this double black to single black. Note that if  $v$  is leaf, then  $u$  is NULL and color of NULL is considered as

black. So the deletion of a black leaf also causes a double black.



When 20 is deleted, it is replaced by a NULL, so the NULL becomes double black.

Note that deletion is not done yet, this double black must become single black.

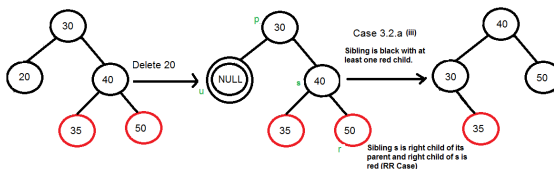
**3.2)** Do following while the current node  $u$  is double black or it is not root. Let sibling of node be  $s$ .

....**(a): If sibling  $s$  is black and at least one of sibling's children is red**, perform rotation(s). Let the red child of  $s$  be  $r$ . This case can be divided in four subcases depending upon positions of  $s$  and  $r$ .

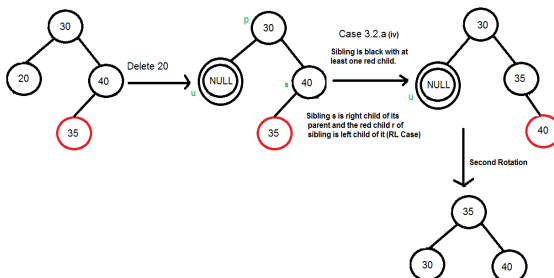
.....**(i) Left Left Case** ( $s$  is left child of its parent and  $r$  is left child of  $s$  or both children of  $s$  are red). This is mirror of right right case shown in below diagram.

.....**(ii) Left Right Case** ( $s$  is left child of its parent and  $r$  is right child). This is mirror of right left case shown in below diagram.

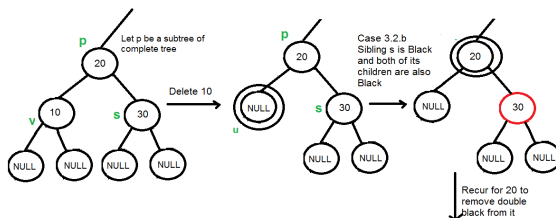
.....**(iii) Right Right Case** ( $s$  is right child of its parent and  $r$  is right child of  $s$  or both children of  $s$  are red)



.....**(iv) Right Left Case** ( $s$  is right child of its parent and  $r$  is left child of  $s$ )



....**(b): If sibling is black and its both children are black**, perform recoloring, and recur for the parent if parent is black.

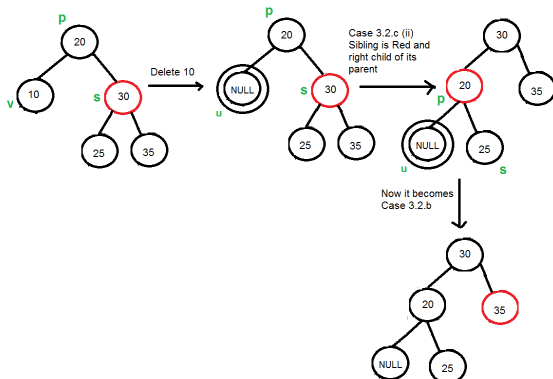


In this case, if parent was red, then we didn't need to recur for parent, we can simply make it black (red + double black = single black)

.....(c): If sibling is red, perform a rotation to move old sibling up, recolor the old sibling and parent. The new sibling is always black (See the below diagram). This mainly converts the tree to black sibling case (by rotation) and leads to case (a) or (b). This case can be divided in two subcases.

.....(i) Left Case (s is left child of its parent). This is mirror of right right case shown in below diagram. We right rotate the parent p.

.....(iii) Right Case (s is right child of its parent). We left rotate the parent p.



**3.3)** If u is root, make it single black and return (Black height of complete tree reduces by 1).

## References:

<https://www.cs.purdue.edu/homes/ayg/CS251/slides/chap13c.pdf>

Introduction to Algorithms 3rd Edition by Clifford Stein, Thomas H. Cormen, Charles E. Leiserson, Ronald L. Rivest

Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above

**A suffix array is a sorted array of all suffixes of a given string.** The definition is similar to **Suffix Tree** which is compressed trie of all suffixes of the given text.

```
Let the given string be "banana".

0 banana                                5 a
1 anana      Sort the Suffixes          3 ana
2 nana       ----->                   1 anana
3 ana        alphabetically             0 banana
4 na                                                4 na
5 a                                                2 nana

The suffix array for "banana" is {5, 3, 1, 0, 4, 2}
```

We have discussed **Naive algorithm** for construction of suffix array. The Naive algorithm is to consider all suffixes, sort them using a  $O(n\text{Log}n)$  sorting algorithm and while sorting, maintain original indexes. Time complexity of the Naive algorithm is  $O(n^2\text{Log}n)$  where  $n$  is the number of characters in the input string.

In this post, a  **$O(n\text{Log}n)$  algorithm** for suffix array construction is discussed. Let us first discuss a  $O(n * \text{Log}n * \text{Log}n)$  algorithm for simplicity. The idea is to use the fact that strings that are to be sorted are suffixes of a single string. We first sort all suffixes according to first character, then according to first 2 characters, then first 4 characters and so on while the number of characters to be considered is smaller than  $2n$ . The important point is, if we have sorted suffixes according to first  $2^i$  characters, then we can sort suffixes according to first  $2^{i+1}$  characters in  $O(n\text{Log}n)$  time using a  $n\text{Log}n$  sorting algorithm like Merge Sort. This is possible as two suffixes can be compared in  $O(1)$  time (we need to compare only two values, see the below example and code). The sort function is called  $O(\text{Log}n)$  times (Note that we increase number of characters to be considered in powers of 2). Therefore overall time complexity becomes  $O(n\text{Log}n\text{Log}n)$ . See <http://www.stanford.edu/class/cs97si/suffix-array.pdf> for more details.

Let us build suffix array the example string “banana” using above algorithm.

**Sort according to first two characters** Assign a rank to all suffixes using ASCII value of first character. A simple way to assign rank is to do “str[i] – ‘a’” for ith suffix of strp[]

Index	Suffix	Rank
0	banana	1
1	anana	0
2	nana	13
3	ana	0
4	na	13



5	a	0
---	---	---

For every character, we also store rank of next adjacent character, i.e., the rank of character at  $\text{str}[i + 1]$  (This is needed to sort the suffixes according to first 2 characters). If a character is last character, we store next rank as -1

Index	Suffix	Rank	Next Rank
0	banana	1	0
1	anana	0	13
2	nana	13	0
3	ana	0	13
4	na	13	0
5	a	0	-1

Sort all Suffixes according to rank and adjacent rank. Rank is considered as first digit or MSD, and adjacent rank is considered as second digit.

Index	Suffix	Rank	Next Rank
5	a	0	-1
1	anana	0	13
3	ana	0	13
0	banana	1	0
2	nana	13	0
4	na	13	0

### Sort according to first four character

Assign new ranks to all suffixes. To assign new ranks, we consider the sorted suffixes one by one. Assign 0 as new rank to first suffix. For assigning ranks to remaining suffixes, we consider rank pair of suffix just before the current suffix. If previous rank pair of a suffix is same as previous rank of suffix just before it, then assign it same rank. Otherwise assign rank of previous suffix plus one.

Index	Suffix	Rank	
5	a	0	[Assign 0 to first]
1	anana	1	(0, 13) is different from previous
3	ana	1	(0, 13) is same as previous
0	banana	2	(1, 0) is different from previous
2	nana	3	(13, 0) is different from previous
4	na	3	(13, 0) is same as previous

For every suffix  $\text{str}[i]$ , also store rank of next suffix at  $\text{str}[i + 2]$ . If there is no next suffix at  $i + 2$ , we store next rank as -1

Index	Suffix	Rank	Next Rank
5	a	0	-1
1	anana	1	1
3	ana	1	0

0	banana	2	3
2	nana	3	3
4	na	3	-1

Sort all Suffixes according to rank and next rank.

Index	Suffix	Rank	Next Rank
5	a	0	-1
3	ana	1	0
1	anana	1	1
0	banana	2	3
4	na	3	-1
2	nana	3	3

```
// C++ program for building suffix array of a given text
#include <iostream>
#include <cstring>
#include <algorithm>
using namespace std;

// Structure to store information of a suffix
struct suffix
{
    int index; // To store original index
    int rank[2]; // To store ranks and next rank pair
};

// A comparison function used by sort() to compare two suffixes
// Compares two pairs, returns 1 if first pair is smaller
int cmp(struct suffix a, struct suffix b)
{
    return (a.rank[0] == b.rank[0])? (a.rank[1] < b.rank[1] ?1: 0):
        (a.rank[0] < b.rank[0] ?1: 0);
}

// This is the main function that takes a string 'txt' of size n as an
// argument, builds and return the suffix array for the given string
int *buildSuffixArray(char *txt, int n)
{
    // A structure to store suffixes and their indexes
    struct suffix suffixes[n];

    // Store suffixes and their indexes in an array of structures.
    // The structure is needed to sort the suffixes alphabetically
    // and maintain their old indexes while sorting
    for (int i = 0; i < n; i++)
    {
        suffixes[i].index = i;
        suffixes[i].rank[0] = txt[i] - 'a';
        suffixes[i].rank[1] = ((i+1) < n)? (txt[i + 1] - 'a'): -1;
    }

    // Sort the suffixes using the comparison function
    // defined above.
    sort(suffixes, suffixes+n, cmp);

    // At this point, all suffixes are sorted according to first
    // 2 characters. Let us sort suffixes according to first 4
    // characters, then first 8 and so on
```

```

// characters, then first 0 and so on
int ind[n]; // This array is needed to get the index in suffixes[]
            // from original index. This mapping is needed to get
            // next suffix.
for (int k = 4; k < 2*n; k = k*2)
{
    // Assigning rank and index values to first suffix
    int rank = 0;
    int prev_rank = suffixes[0].rank[0];
    suffixes[0].rank[0] = rank;
    ind[suffixes[0].index] = 0;

    // Assigning rank to suffixes
    for (int i = 1; i < n; i++)
    {
        // If first rank and next ranks are same as that of previous
        // suffix in array, assign the same new rank to this suffix
        if (suffixes[i].rank[0] == prev_rank &&
            suffixes[i].rank[1] == suffixes[i-1].rank[1])
        {
            prev_rank = suffixes[i].rank[0];
            suffixes[i].rank[0] = rank;
        }
        else // Otherwise increment rank and assign
        {
            prev_rank = suffixes[i].rank[0];
            suffixes[i].rank[0] = ++rank;
        }
        ind[suffixes[i].index] = i;
    }

    // Assign next rank to every suffix
    for (int i = 0; i < n; i++)
    {
        int nextindex = suffixes[i].index + k/2;
        suffixes[i].rank[1] = (nextindex < n)?
                               suffixes[ind[nextindex]].rank[0]: -1;
    }

    // Sort the suffixes according to first k characters
    sort(suffixes, suffixes+n, cmp);
}

// Store indexes of all sorted suffixes in the suffix array
int *suffixArr = new int[n];
for (int i = 0; i < n; i++)
    suffixArr[i] = suffixes[i].index;

// Return the suffix array
return suffixArr;
}

// A utility function to print an array of given size
void printArr(int arr[], int n)
{
    for (int i = 0; i < n; i++)
        cout << arr[i] << " ";
    cout << endl;
}

// Driver program to test above functions
int main()
{

```

```

char txt[] = "banana";
int n = strlen(txt);
int *suffixArr = buildSuffixArray(txt, n);
cout << "Following is suffix array for " << txt << endl;
printArr(suffixArr, n);
return 0;
}

```

Output:

```

Following is suffix array for banana
5 3 1 0 4 2

```

Note that the above algorithm uses standard sort function and therefore time complexity is  $O(n \log n \log n)$ . We can use **Radix Sort** here to reduce the time complexity to  $O(n \log n)$ .

Please note that suffix arrays can be constructed in  $O(n)$  time also. We will soon be discussing  $O(n)$  algorithms.

### References:

<http://www.stanford.edu/class/cs97si/suffix-array.pdf>

<http://www.cbc.b.umd.edu/confcour/Fall2012/lec14b.pdf>

Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.

## 27. Data Structure for Dictionary and Spell Checker?

### Which data structure can be used for efficiently building a word dictionary and Spell Checker?

The answer depends upon the functionalities required in Spell Checker and availability of memory. For example following are few possibilities.

**Hashing** is one simple option for this. We can put all words in a hash table. Refer [this](#) paper which compares hashing with self-balancing Binary Search Trees and Skip List, and shows that hashing performs better.

Hashing doesn't support operations like prefix search. Prefix search is something where a user types a prefix and your dictionary shows all words starting with that prefix. Hashing also doesn't support efficient printing of all words in dictionary in alphabetical order and nearest neighbor search.

If we want both operations, look up and prefix search, **Trie** is suited. With Trie, we can

support all operations like insert, search, delete in  $O(n)$  time where  $n$  is length of the word to be processed. Another advantage of Trie is, we can print all words in alphabetical order which is not possible with hashing.

The disadvantage of Trie is, it requires lots of space. If space is concern, then **Ternary Search Tree** can be preferred. In Ternary Search Tree, time complexity of search operation is  $O(h)$  where  $h$  is height of the tree. Ternary Search Trees also supports other operations supported by Trie like prefix search, alphabetical order printing and nearest neighbor search.

If we want to support suggestions, like google shows “*did you mean ...*”, then we need to find the closest word in dictionary. The closest word can be defined as the word that can be obtained with minimum number of character transformations (add, delete, replace). A Naive way is to take the given word and generate all words which are 1 distance (1 edit or 1 delete or 1 replace) away and one by one look them in dictionary. If nothing found, then look for all words which are 2 distant and so on. There are many complex algorithms for this. As per [the wiki page](#), The most successful algorithm to date is Andrew Golding and Dan Roth’s Window-based spelling correction algorithm.

See [this](#) for a simple spell checker implementation.

This article is compiled by **Piyush**. Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.

## 28. K Dimensional Tree

A K-D Tree(also called as K-Dimensional Tree) is a binary search tree where data in each node is a K-Dimensional point in space. In short, it is a space partitioning(details below) data structure for organizing points in a K-Dimensional space.

A non-leaf node in K-D tree divides the space into two parts, called as half-spaces.

Points to the left of this space are represented by the left subtree of that node and points to the right of the space are represented by the right subtree. We will soon be explaining the concept on how the space is divided and tree is formed.

For the sake of simplicity, let us understand a 2-D Tree with an example.

The root would have an x-aligned plane, the root’s children would both have y-aligned planes, the root’s grandchildren would all have x-aligned planes, and the root’s great-grandchildren would all have y-aligned planes and so on.

### Generalization:

Let us number the planes as 0, 1, 2, ...( $K - 1$ ). From the above example, it is quite clear

that a point (node) at depth D will have A aligned plane where A is calculated as:

$$A = D \bmod K$$

### How to determine if a point will lie in the left subtree or in right subtree?

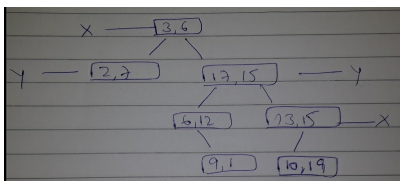
If the root node is aligned in plane A, then the left subtree will contain all points whose coordinates in that plane are smaller than that of root node. Similarly, the right subtree will contain all points whose coordinates in that plane are greater-equal to that of root node.

### Creation of a 2-D Tree:

Consider following points in a 2-D plane:

(3, 6), (17, 15), (13, 15), (6, 12), (9, 1), (2, 7), (10, 19)

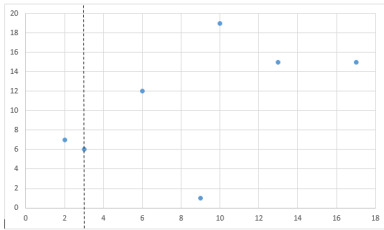
1. Insert (3, 6): Since tree is empty, make it the root node.
2. Insert (17, 15): Compare it with root node point. Since root node is X-aligned, the X-coordinate value will be compared to determine if it lies in the right subtree or in the left subtree. This point will be Y-aligned.
3. Insert (13, 15): X-value of this point is greater than X-value of point in root node. So, this will lie in the right subtree of (3, 6). Again Compare Y-value of this point with the Y-value of point (17, 15) (Why?). Since, they are equal, this point will lie in the right subtree of (17, 15). This point will be X-aligned.
4. Insert (6, 12): X-value of this point is greater than X-value of point in root node. So, this will lie in the right subtree of (3, 6). Again Compare Y-value of this point with the Y-value of point (17, 15) (Why?). Since,  $12 < 15$ , this point will lie in the left subtree of (17, 15). This point will be X-aligned.
5. Insert (9, 1): Similarly, this point will lie in the right of (6, 12).
6. Insert (2, 7): Similarly, this point will lie in the left of (3, 6).
7. Insert (10, 19): Similarly, this point will lie in the left of (13, 15).



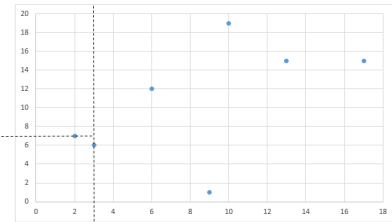
### How is space partitioned?

All 7 points will be plotted in the X-Y plane as follows:

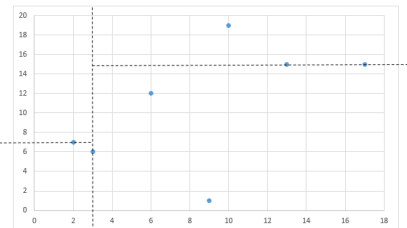
1. Point (3, 6) will divide the space into two parts: Draw line  $X = 3$ .



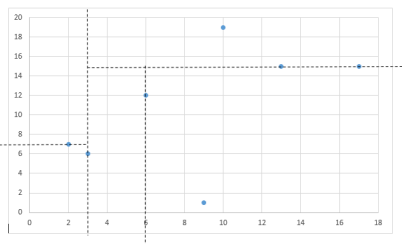
2. Point (2, 7) will divide the space to the left of line  $X = 3$  into two parts horizontally.  
Draw line  $Y = 7$  to the left of line  $X = 3$ .



3. Point (17, 15) will divide the space to the right of line  $X = 3$  into two parts horizontally.  
Draw line  $Y = 15$  to the right of line  $X = 3$ .

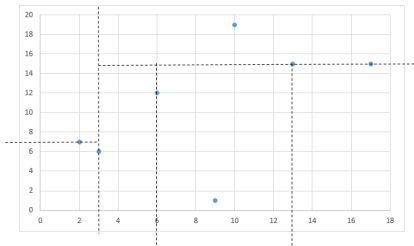


- Point (6, 12) will divide the space below line  $Y = 15$  and to the right of line  $X = 3$  into two parts.  
Draw line  $X = 6$  to the right of line  $X = 3$  and below line  $Y = 15$ .



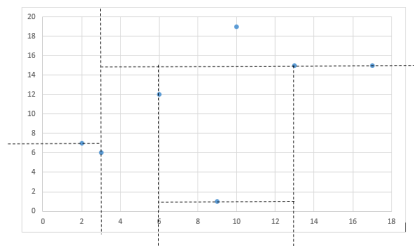
- Point (13, 15) will divide the space below line  $Y = 15$  and to the right of line  $X = 6$  into two parts.

Draw line  $X = 13$  to the right of line  $X = 6$  and below line  $Y = 15$ .



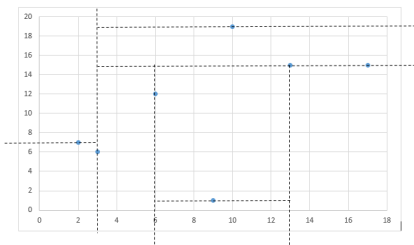
- Point (9, 1) will divide the space between lines  $X = 3$ ,  $X = 6$  and  $Y = 15$  into two parts.

Draw line  $Y = 1$  between lines  $X = 3$  and  $X = 6$ .



- Point (10, 19) will divide the space to the right of line  $X = 3$  and above line  $Y = 15$  into two parts.

Draw line  $Y = 19$  to the right of line  $X = 3$  and above line  $Y = 15$ .



Following is C++ implementation of KD Tree basic operations like search, insert and delete.

```
// A C++ program to demonstrate operations of KD tree
#include <iostream>
#include <cstdio>
#include <cassert>
#include <cstdlib>
using namespace std;

// A structure to represent a point in K dimensional space
// k: K dimensional space
// coord: An array to represent position of point
struct Point
```



```

// A structure to represent the Input
// n: Number of points in space
// pointArray: An array to keep information of each point
struct Input
{
    // n --> NUMBER OF POINTS
    unsigned n;
    Point* *pointArray;
};

// A structure to represent node of 2 dimensional tree
struct Node
{
    Point point;
    Node *left, *right;
};

// Creates and return a Point structure
Point* CreatePoint(unsigned k)
{
    Point* point = new Point;

    // Memory allocation failure
    assert(NULL != point);

    point->k = k;
    point->coord = new int[k];

    // Memory allocation failure
    assert(NULL != point->coord);

    return point;
}

// Creates and returns an Input structure
struct Input* CreateInput(unsigned k, unsigned n)
{
    struct Input* input = new Input;

    // Memory allocation failure
    assert(NULL != input);

    input->n = n;
    input->pointArray = new Point*[n];

    // Memory allocation failure
    assert(NULL != input->pointArray);

    return input;
}

// A method to create a node of K D tree
struct Node* CreateNode(struct Point* point)
{
    struct Node* tempNode = new Node;

    // Memory allocation failure

```

```

    assert(NULL != tempNode);

    // Avoid shallow copy [We could have directly use
    // the below assignment, But didn't, why?]
    /*tempNode->point = point;*/
    (tempNode->point).k = point->k;
    (tempNode->point).coord = new int[point->k];

    // Copy coordinate values
    for (int i=0; i<(tempNode->point).k; ++i)
        (tempNode->point).coord[i] = point->coord[i];

    tempNode->left = tempNode->right = NULL;
    return tempNode;
}

// Root is passed as pointer to pointer so that
// The parameter depth is used to decide axis of comparison
void InsertKDTreeUtil(Node * * root, Node* newNode, unsigned depth)
{
    // Tree is empty?
    if (!*root)
    {
        *root = newNode;
        return;
    }

    // Calculate axis of comparison to determine left/right
    unsigned axisOfComparison = depth % (newNode->point).k;

    // Compare the new point with root and decide the left or
    // right subtree
    if ((newNode->point).coord[axisOfComparison] <
        ((*root)->point).coord[axisOfComparison])
        InsertKDTreeUtil(&((*root)->left), newNode, depth + 1);
    else
        InsertKDTreeUtil(&((*root)->right), newNode, depth + 1);
}

// Function to insert a new point in KD Tree. It mainly uses
// above recursive function "InsertKDTreeUtil()"
void InsertKDTree(Node* *root, Point* point)
{
    Node* newNode = CreateNode(point);
    unsigned zeroDepth = 0;
    InsertKDTreeUtil(root, newNode, zeroDepth);
}

// A utility method to determine if two Points are same
// in K Dimensional space
int ArePointsSame(Point firstPoint, Point secondPoint)
{
    if (firstPoint.k != secondPoint.k)
        return 0;

    // Compare individual coordinate values
    for (int i = 0; i < firstPoint.k; ++i)
        if (firstPoint.coord[i] != secondPoint.coord[i])
            return 0;

    return 1;
}

```

```

// Searches a Point in the K D tree. The parameter depth is used
// to determine current axis.
int SearchKDTreeUtil(Node* root, Point point, unsigned depth)
{
    if (!root)
        return 0;

    if (ArePointsSame(root->point, point))
        return 1;

    unsigned axisOfComparison = depth % point.k;

    if (point.coord[axisOfComparison] <
        (root->point).coord[axisOfComparison])
        return SearchKDTreeUtil(root->left, point, depth + 1);

    return SearchKDTreeUtil(root->right, point, depth + 1);
}

// Searches a Point in the K D tree. It mainly uses
// SearchKDTreeUtil()
int SearchKDTree(Node* root, Point point)
{
    unsigned zeroDepth = 0;
    return SearchKDTreeUtil(root, point, zeroDepth);
}

// Creates a KD tree from given input points. It mainly
// uses InsertKDTree
Node* CreateKDTree(Input* input)
{
    Node* root = NULL;
    for (int i = 0; i < input->n; ++i)
        InsertKDTree(&root, input->pointArray[i]);
    return root;
}

// A utility function to print an array
void PrintArray(int* array, unsigned size)
{
    for (unsigned i = 0; i < size; ++i)
        cout << array[i];
    cout << endl;
}

// A utility function to do inorder tree traversal
void inorderKD(Node* root)
{
    if (root)
    {
        inorderKD(root->left);
        PrintArray((root->point).coord, (root->point).k);
        inorderKD(root->right);
    }
}

// Driver program to test above functions
int main()
{
    // 2 Dimensional tree [For the sake of simplicity]
    unsigned k = 2;

```

```

// Total number of Points is 7
unsigned n = 7;
Input* input = CreateInput(k, n);

// itc --> ITERATOR for coord
// itp --> ITERATOR for POINTS
for (int itp = 0; itp < n; ++itp)
{
    input->pointArray[itp] = CreatePoint(k);

    for (int itc = 0; itc < k; ++itc)
        input->pointArray[itp]->coord[itc] = rand() % 20;

    PrintArray(input->pointArray[itp]->coord, k);
}

Node* root = CreateKDTree(input);

cout << "Inorder traversal of K-D Tree created is:\n";
inorderKD(root);

return 0;
}

```

Output:

```

17
140
94
1818
24
55
17
Inorder traversal of K-D Tree created is:
17
140
24
17
55
94
1818

```

This article is compiled by **Aashish Barnwal**. Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above.

## 29. Binomial Heap

The main application of **Binary Heap** is as implement priority queue. Binomial Heap is an extension of **Binary Heap** that provides faster union or merge operation together with other operations provided by Binary Heap.

*A Binomial Heap is a collection of Binomial Trees*

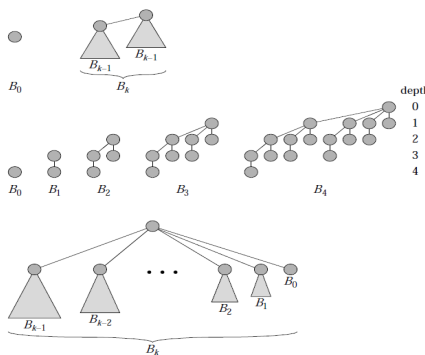
### What is a Binomial Tree?

A Binomial Tree of order 0 has 1 node. A Binomial Tree of order  $k$  can be constructed by taking two binomial trees of order  $k-1$ , and making one as leftmost child of other.

A Binomial Tree of order  $k$  has following properties.

- It has exactly  $2^k$  nodes.
- It has depth as  $k$ .
- There are exactly  $kC_i$  nodes at depth  $i$  for  $i = 0, 1, \dots, k$ .
- The root has degree  $k$  and children of root are themselves Binomial Trees with order  $k-1, k-2, \dots, 0$  from left to right.

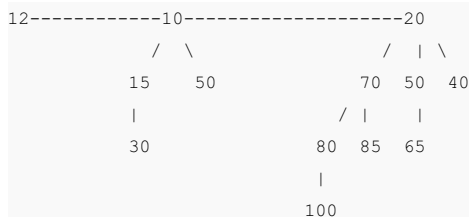
The following diagram is taken from 2nd Edition of **CLRS book**.



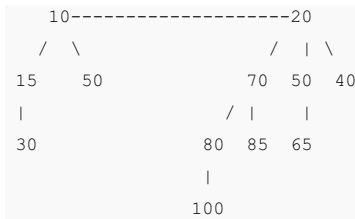
### Binomial Heap:

A Binomial Heap is a set of Binomial Trees where each Binomial Tree follows Min Heap property. And there can be at-most one Binomial Tree of any degree.

### Examples Binomial Heap:



A Binomial Heap with 13 nodes. It is a collection of 3 Binomial Trees of orders 0, 2 and 3 from left to right.



A Binomial Heap with 12 nodes. It is a collection of 2 Binomial Trees of orders 2 and 3 from left to right.

### Binary Representation of a number and Binomial Heaps

A Binomial Heap with  $n$  nodes has number of Binomial Trees equal to the number of set bits in Binary representation of  $n$ . For example let  $n$  be 13, there 3 set bits in binary representation of  $n$  (00001101), hence 3 Binomial Trees. We can also relate degree of these Binomial Trees with positions of set bits. With this relation we can conclude that there are  $O(\text{Logn})$  Binomial Trees in a Binomial Heap with ' $n$ ' nodes.

### Operations of Binomial Heap:

The main operation in Binomial Heap is `union()`, all other operations mainly use this operation. The `union()` operation is to combine two Binomial Heaps into one. Let us first discuss other operations, we will discuss union later.

- 1) `insert(H, k)`: Inserts a key ' $k$ ' to Binomial Heap ' $H$ '. This operation first creates a Binomial Heap with single key ' $k$ ', then calls `union` on  $H$  and the new Binomial heap.
- 2) `getMin(H)`: A simple way to `getMin()` is to traverse the list of root of Binomial Trees and return the minimum key. This implementation requires  $O(\text{Logn})$  time. It can be optimized to  $O(1)$  by maintaining a pointer to minimum key root.
- 3) `extractMin(H)`: This operation also uses `union()`. We first call `getMin()` to find the minimum key Binomial Tree, then we remove the node and create a new Binomial Heap by connecting all subtrees of the removed minimum node. Finally we call `union()` on  $H$  and the newly created Binomial Heap. This operation requires  $O(\text{Logn})$  time.
- 4) `delete(H)`: Like Binary Heap, delete operation first reduces the key to minus infinite, then calls `extractMin()`.
- 5) `decreaseKey(H)`: `decreaseKey()` is also similar to Binary Heap. We compare the decreases key with it parent and if parent's key is less, we swap keys and recur for parent. We stop when we either reach a node whose parent has smaller key or we hit the root node. Time complexity of `decreaseKey()` is  $O(\text{Logn})$ .

### Union operation in Binomial Heap:

Given two Binomial Heaps  $H1$  and  $H2$ , `union(H1, H2)` creates a single Binomial Heap.

- 1) The first step is to simply merge the two Heaps in non-decreasing order of degrees. In the following diagram, figure(b) shows the result after merging.

2) After the simple merge, we need to make sure that there is at-most one Binomial Tree of any order. To do this, we need to combine Binomial Trees of same order. We traverse the list of merged roots, we keep track of three pointers, prev, x and next-x. There can be following 4 cases when we traverse the list of roots.

—Case 1: Orders of x and next-x are not same, we simply move ahead.

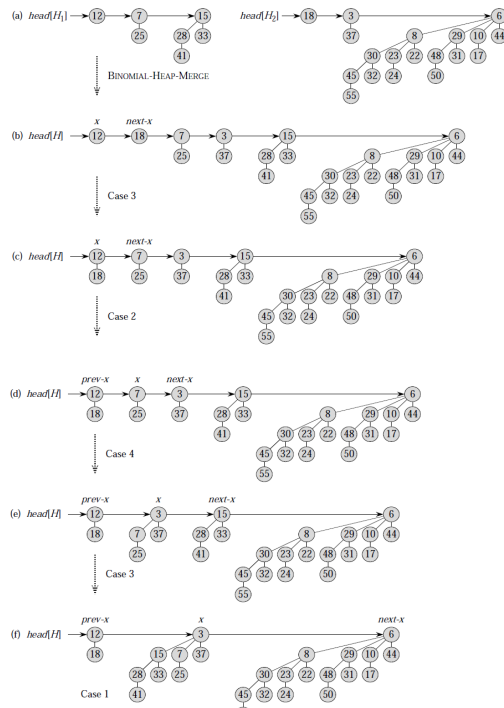
In following 3 cases orders of x and next-x are same.

—Case 2: If order of next-next-x is also same, move ahead.

—Case 3: If key of x is smaller than or equal to key of next-x, then make next-x as a child of x by linking it with x.

—Case 4: If key of x is greater, then make x as child of next.

The following diagram is taken from 2nd Edition of **CLRS** book.



## How to represent Binomial Heap?

A Binomial Heap is a set of Binomial Trees. A Binomial Tree must be represented in a way that allows sequential access to all siblings, starting from the leftmost sibling (We need this in `extractMin()` and `delete()`). The idea is to represent Binomial Trees as leftmost child and right-sibling representation, i.e., every node stores two pointers, one to the leftmost child and other to the right sibling.

We will soon be discussing implementation of Binomial Heap.

## Sources:

Introduction to Algorithms by Clifford Stein, Thomas H. Cormen, Charles E. Leiserson,

Ronald L.

This article is contributed by **Shivam**. Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above

## 30. How to Implement Reverse DNS Look Up Cache?

Reverse DNS look up is using an internet IP address to find a domain name. For example, if you type 74.125.200.106 in browser, it automatically redirects to google.in.

How to implement Reverse DNS Look Up cache? Following are the operations needed from cache.

- 1) Add a IP address to URL Mapping in cache.
- 2) Find URL for a given IP address.

One solution is to use **Hashing**.

In this post, a **Trie** based solution is discussed. One advantage of Trie based solutions is, worst case upper bound is  $O(1)$  for Trie, for hashing, the best possible average case time complexity is  $O(1)$ . Also, with Trie we can implement prefix search (finding all urls for a common prefix of IP addresses).

The general disadvantage of Trie is large amount of memory requirement, this is not a major problem here as the alphabet size is only 11 here. Ten characters are needed for digits from '0' to '9' and one for dot ('.').

The idea is to store IP addresses in Trie nodes and in the last node we store the corresponding domain name. Following is C style implementation in C++.

```
// C based program to implement reverse DNS lookup
#include<stdio.h>
#include<stdlib.h>
#include<string.h>

// There are atmost 11 different chars in a valid IP address
#define CHARS 11

// Maximum length of a valid IP address
#define MAX 50

// A utility function to find index of child for a given character 'c'
int getIndex(char c) { return (c == '.')? 10: (c - '0'); }

// A utility function to find character for a given child index.
char getCharFromIndex(int i) { return (i== 10)? '.' : ('0' + i); }

// Trie Node.
struct trieNode
{
    bool isLeaf;
    char *URI ;
```



```

        struct trieNode *child[CHARS];
    };

// Function to create a new trie node.
struct trieNode *newTrieNode(void)
{
    struct trieNode *newNode = new trieNode;
    newNode->isLeaf = false;
    newNode->URL = NULL;
    for (int i=0; i<CHARS; i++)
        newNode->child[i] = NULL;
    return newNode;
}

// This method inserts an ip address and the corresponding
// domain name in the trie. The last node in Trie contains the URL.
void insert(struct trieNode *root, char *ipAdd, char *URL)
{
    // Length of the ip address
    int len = strlen(ipAdd);
    struct trieNode *pCrawl = root;

    // Traversing over the length of the ip address.
    for (int level=0; level<len; level++)
    {
        // Get index of child node from current character
        // in ipAdd[]. Index must be from 0 to 10 where
        // 0 to 9 is used for digits and 10 for dot
        int index = getIndex(ipAdd[level]);

        // Create a new child if not exist already
        if (!pCrawl->child[index])
            pCrawl->child[index] = newTrieNode();

        // Move to the child
        pCrawl = pCrawl->child[index];
    }

    //Below needs to be carried out for the last node.
    //Save the corresponding URL of the ip address in the
    //last node of trie.
    pCrawl->isLeaf = true;
    pCrawl->URL = new char[strlen(URL) + 1];
    strcpy(pCrawl->URL, URL);
}

// This function returns URL if given IP address is present in DNS cache
// Else returns NULL
char *searchDNSCache(struct trieNode *root, char *ipAdd)
{
    // Root node of trie.
    struct trieNode *pCrawl = root;
    int len = strlen(ipAdd);

    // Traversal over the length of ip address.
    for (int level=0; level<len; level++)
    {
        int index = getIndex(ipAdd[level]);
        if (!pCrawl->child[index])
            return NULL;
        pCrawl = pCrawl->child[index];
    }
}

```

```

    // If we find the last node for a given ip address, print the URL.
    if (pCrawl!=NULL && pCrawl->isLeaf)
        return pCrawl->URL;

    return NULL;
}

//Driver function.
int main()
{
    /* Change third ipAddress for validation */
    char ipAdd[][MAX] = {"107.108.11.123", "107.109.123.255",
                        "74.125.200.106"};
    char URL[][50] = {"www.samsung.com", "www.samsung.net",
                    "www.google.in"};
    int n = sizeof(ipAdd)/sizeof(ipAdd[0]);
    struct trieNode *root = newTrieNode();

    // Inserts all the ip address and their corresponding
    // domain name after ip address validation.
    for (int i=0; i<n; i++)
        insert(root,ipAdd[i],URL[i]);

    // If reverse DNS look up succeeds print the domain
    // name along with DNS resolved.
    char ip[] = "107.108.11.123";
    char *res_url = searchDNSCache(root, ip);
    if (res_url != NULL)
        printf("Reverse DNS look up resolved in cache:\n%s --> %s",
            ip, res_url);
    else
        printf("Reverse DNS look up not resolved in cache ");
    return 0;
}

```

Output:

```

Reverse DNS look up resolved in cache:
107.108.11.123 --> www.samsung.com

```

Note that the above implementation of Trie assumes that the given IP address does not contain characters other than {'0', '1', ..... '9', '.'}. What if a user gives an invalid IP address that contains some other characters? This problem can be resolved by [validating the input IP address](#) before inserting it into Trie. We can use the approach discussed [here](#) for IP address validation.

This article is contributed by **Kumar Gautam**. Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above

## 31. Binary Indexed Tree or Fenwick tree

Let us consider the following problem to understand Binary Indexed Tree.

We have an array  $arr[0 \dots n-1]$ . We should be able to

- 1 Find the sum of first  $i$  elements.
- 2 Change value of a specified element of the array  $arr[i] = x$  where  $0 \leq i \leq n-1$ .

A **simple solution** is to run a loop from 0 to  $i-1$  and calculate sum of elements. To update a value, simply do  $arr[i] = x$ . The first operation takes  $O(n)$  time and second operation takes  $O(1)$  time. Another simple solution is to create another array and store sum from start to  $i$  at the  $i$ 'th index in this array. Sum of a given range can now be calculated in  $O(1)$  time, but update operation takes  $O(n)$  time now. This works well if the number of query operations are large and very few updates.

### Can we perform both the operations in $O(\log n)$ time once given the array?

One Efficient Solution is to use **Segment Tree** that does both operations in  $O(\log n)$  time.

*Using Binary Indexed Tree, we can do both tasks in  $O(\log n)$  time. The advantages of Binary Indexed Tree over Segment are, requires less space and very easy to implement..*

### Representation

Binary Indexed Tree is represented as an array. Let the array be  $BITree[]$ . Each node of Binary Indexed Tree stores sum of some elements of given array. Size of Binary Indexed Tree is equal to  $n$  where  $n$  is size of input array. In the below code, we have used size as  $n+1$  for ease of implementation.

### Construction

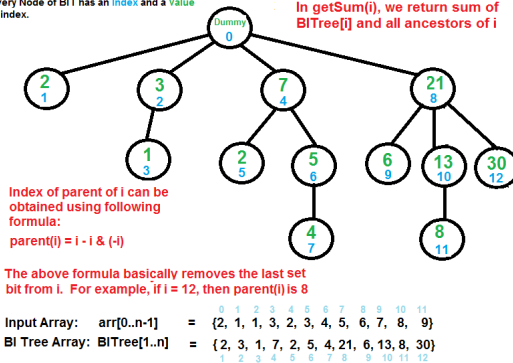
We construct the Binary Indexed Tree by first initializing all values in  $BITree[]$  as 0. Then we call `update()` operation for all indexes to store actual sums, update is discussed below.

### Operations

```
getSum(index): Returns sum of arr[0..index]
// Returns sum of arr[0..index] using BITree[0..n]. It assumes that
// BITree[] is constructed for given array arr[0..n-1]
1) Initialize sum as 0 and index as index+1.
2) Do following while index is greater than 0.
...a) Add BITree[index] to sum
...b) Go to parent of BITree[index]. Parent can be obtained by removing
    the last set bit from index, i.e., index = index - (index & (-index))
3) Return sum.
```

Every Node of BIT has an Index and a Value at index.

In `getSum(i)`, we return sum of `BITree[i]` and all ancestors of `i`



View of Binary Indexed Tree to understand `getSum()` operation

The above diagram demonstrates working of `getSum()`. Following are some important observations.

Node at index 0 is a dummy node.

A node at index `y` is parent of a node at index `x`, iff `y` can be obtained by removing last set bit from binary representation of `x`.

A child `x` of a node `y` stores sum of elements from of `y`(exclusive `y`) and of `x`(inclusive `x`).

**`update(index, val)`: Updates BIT for operation `arr[index] += val`**

// Note that `arr[]` is not changed here. It changes

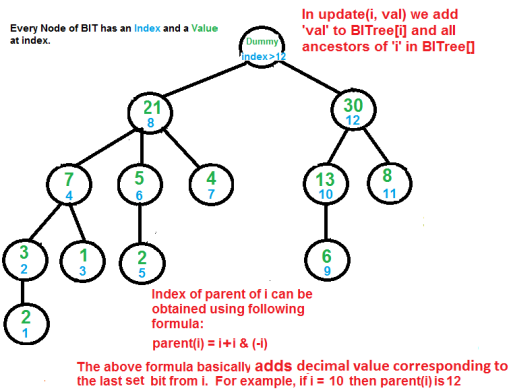
// only BI Tree for the already made change in `arr[]`.

1) Initialize `index` as `index+1`.

2) Do following while `index` is smaller than or equal to `n`.

...a) Add value to `BITree[index]`

...b) Go to parent of `BITree[index]`. Parent can be obtained by removing the last set bit from `index`, i.e., `index = index - (index & (-index))`



Contents of arr[] and BITree[] are same as above diagram for getSum()

View of Binary Indexed Tree to understand update() operation

The update process needs to make sure that all BITree nodes that have arr[i] as part of the section they cover must be updated. We get all such nodes of BITree by repeatedly adding the decimal number corresponding to the last set bit.

## How does Binary Indexed Tree work?

The idea is based on the fact that all positive integers can be represented as sum of powers of 2. For example 19 can be represented as  $16 + 2 + 1$ . Every node of BI Tree stores sum of n elements where n is a power of 2. For example, in the above first diagram for getSum(), sum of first 12 elements can be obtained by sum of last 4 elements (from 9 to 12) plus sum of 8 elements (from 1 to 8). The number of set bits in binary representation of a number n is  $O(\text{Log}n)$ . Therefore, we traverse at-most  $O(\text{Log}n)$  nodes in both getSum() and update() operations. Time complexity of construction is  $O(n\text{Log}n)$  as it calls update() for all n elements.

## Implementation:

Following is C++ implementation of Binary Indexed Tree.

```
// C++ code to demonstrate operations of Binary Index Tree
#include <iostream>
using namespace std;

/*      n --> No. of elements present in input array.
    BITree[0..n] --> Array that represents Binary Indexed Tree.
    arr[0..n-1] --> Input array for whic prefix sum is evaluated. */

// Returns sum of arr[0..index]. This function assumes
// that the array is preprocessed and partial sums of
// array elements are stored in BITree[].
int getSum(int BITree[], int n, int index)
{
    int sum = 0; // Initialize result

    // index in BITree[] is 1 more than the index in arr[]
    index = index + 1;
```

```

    index = index / 2;

    // Traverse ancestors of BITree[index]
    while (index>0)
    {
        // Add current element of BITree to sum
        sum += BITree[index];

        // Move index to parent node
        index -= index & (-index);
    }
    return sum;
}

// Updates a node in Binary Index Tree (BITree) at given index
// in BITree. The given value 'val' is added to BITree[i] and
// all of its ancestors in tree.
void updateBIT(int *BITree, int n, int index, int val)
{
    // index in BITree[] is 1 more than the index in arr[]
    index = index + 1;

    // Traverse all ancestors and add 'val'
    while (index <= n)
    {
        // Add 'val' to current node of BI Tree
        BITree[index] += val;

        // Update index to that of parent
        index += index & (-index);
    }
}

```

```

// Constructs and returns a Binary Indexed Tree for given
// array of size n.
int *constructBITree(int arr[], int n)
{
    // Create and initialize BITree[] as 0
    int *BITree = new int[n+1];
    for (int i=1; i<=n; i++)
        BITree[i] = 0;

    // Store the actual values in BITree[] using update()
    for (int i=0; i<n; i++)
        updateBIT(BITree, n, i, arr[i]);

    // Uncomment below lines to see contents of BITree[]
    //for (int i=1; i<=n; i++)
    //    cout << BITree[i] << " ";

    return BITree;
}

```

```

// Driver program to test above functions
int main()
{
    int freq[] = {2, 1, 1, 3, 2, 3, 4, 5, 6, 7, 8, 9};
    int n = sizeof(freq)/sizeof(freq[0]);
    int *BITree = constructBITree(freq, n);
    cout << "Sum of elements in arr[0..5] is "
         << getSum(BITree, n, 5);
}

```

```

// Let use test the update operation
freq[3] += 6;
updateBIT(BITree, n, 3, 6); //Update BIT for above change in arr[]

cout << "\nSum of elements in arr[0..5] after update is "
      << getSum(BITree, n, 5);

return 0;
}

```

Output:

```

Sum of elements in arr[0..5] is 12
Sum of elements in arr[0..5] after update is 18

```

### Can we extend the Binary Indexed Tree for range Sum in Logn time?

This is simple to answer. The rangeSum(l, r) can be obtained as  $\text{getSum}(r) - \text{getSum}(l-1)$ .

### Applications:

Used to implement the arithmetic coding algorithm. Development of operations it supports were primarily motivated by use in that case. See [this](#) for more details.

### References:

[http://en.wikipedia.org/wiki/Fenwick\\_tree](http://en.wikipedia.org/wiki/Fenwick_tree)

<http://community.topcoder.com/tc?module=Static&d1=tutorials&d2=binaryIndexedTrees>

Please write comments if you find anything incorrect, or you want to share more information about the topic discussed above