### 1. Normal Form

- An expression containing no possible beta reductions is said to be in normal form. A normal form expression is one containing no redexes (reducible expressions), that is, one with no subexpressions of the form (\lambda x.f) g.
- Examples of normal form expressions:
  - o x where x is a variable.
  - $\circ$  x e where x is a variable and e is a normal form expression.
  - o  $\lambda x.e$  where x is a variable and e is a normal form expression.
- The expression  $(\lambda \times . \times \times)$   $(\lambda \times . \times \times)$  does not have a normal form because the entire expression is a redex that always evaluates to itself. We can think of this expression as a representation for an infinite loop.

# 2. Reduction Strategies

- A reduction strategy specifies the order in which beta reductions for a lambda expression are made.
- We say a redex is to the left of another redex if its lambda appears further left.
- The leftmost outermost redex is the leftmost redex not contained in any other redex.
- The leftmost innermost redex is the leftmost redex not containing any other redex.
- Some reduction orders for a lambda expression may yield a normal form while other orders may not. For example, consider the given expression

```
(\lambda x.1) ((\lambda x.x x) (\lambda x.x x))
```

This expression has two redexes:

- 1. The entire expression is a redex in which we can apply the function  $(\lambda \times .1)$  to the argument  $((\lambda \times .\times \times)(\lambda \times .\times \times))$  to yield the normal form 1. This redex is the leftmost outermost redex in the given expression.
- 2. The subexpression  $((\lambda x. x x) (\lambda x. x))$  is also a redex in which we can apply the function  $(\lambda x. x x)$  to the argument  $(\lambda x. x x)$ . Note that this redex is the leftmost innermost redex in the given expression. But if we evaluate this redex we get same subexpression:  $(\lambda x. x x) (\lambda x. x x) \rightarrow (\lambda x. x x) (\lambda x. x x)$ . Thus, continuing to evaluate the leftmost innermost redex will not terminate and no normal form will result.

• As a second example, consider the expression

```
(\lambda x. \lambda y. y) ((\lambda z.z z) (\lambda z.z z))
```

This expression has two redexes:

- 0. The entire expression is a redex in which we apply the function  $(\lambda x. \lambda y. y)$  to the argument  $((\lambda z. z. z) (\lambda z. z. z))$  to yield the normal form  $(\lambda y. y)$ . This redex is the leftmost outermost redex in the given expression.
- 1. The subexpression  $((\lambda z.z z)(\lambda z.z z))$  is also a redex in which we can apply the function  $(\lambda z.z z)$  to the argument  $(\lambda z.z z)$ . Note that this redex is the leftmost innermost redex in the given expression. But if we evaluate this redex we get same subexpression:  $((\lambda z.z z)(\lambda z.z z)) \rightarrow ((\lambda z.z z)(\lambda z.z z))$ . Thus, continuing to evaluate the leftmost innermost redex will not terminate and no normal form will result.
- There are two common reduction orders for lambda expressions: normal order evaluation and applicative order evaluation.

#### Normal order evaluation

- In normal order evaluation we always reduce the leftmost outermost redex at each step.
- The first reduction order in each of the two examples above is a normal order evaluation.
- A remarkable property of lambda calculus is that every lambda expression has a unique normal form if one exists. Moreover, if an expression has a normal form, then normal order evaluation will always find it.

## **Applicative order evaluation**

- o In applicative order evaluation we always reduce the leftmost innermost redex at each step.
- Applicative order evaluates the arguments of a function before evaluating the function itself.
- The second reduction order in each of the two examples above is an applicative order evaluation.
- o Thus, even though an expression may have a normal form, applicative order evaluation may fail to find it.

### 3. The Church-Rosser Theorems

- A remarkable property of lambda calculus is that every expression has a unique normal form if one exists.
- Church-Rosser Theorem I: If  $e \rightarrow * f$  and  $e \rightarrow * g$  by any two reduction orders, then there always exists a lambda expression h such that  $f \rightarrow * h$  and  $g \rightarrow * h$ .
  - o A corollary of this theorem is that no lambda expression can be reduced to two distinct normal forms. To see this, suppose f and g are in normal form. The Church-Rosser theorem says there must be an expression h such that f and g are each reducible to h. Since f and g are in normal form, they cannot have any redexes so f = g = h.
  - o This corollary says that all reduction sequences that terminate will always yield the same result and that result must be a normal form.
  - The term *confluent* is often applied to a rewriting system that has the Church-Rosser property.
- Church-Rosser Theorem II: If  $e \to f$  and f is in normal form, then there exists a normal order reduction sequence from e to f.

## 4. The Y Combinator

- The Y combinator (sometimes called the paradoxical combinator) is a function that takes a function G as an argument and returns G(YG). With repeated applications we can get G(G(YG)), G(G(G(YG))),...
- We can implement recursive functions using the Y combinator.
- y is defined as follows:

```
(\lambda f.(\lambda x.f(x x))(\lambda x.f(x x)))
```

• Let us evaluate YG where G is any expression:

```
(\lambda f.(\lambda x.f(x x))(\lambda x'.f(x' x'))) G

\rightarrow (\lambda x.G(x x))(\lambda x'.G(x' x'))

\rightarrow G((\lambda x'.G(x' x'))(\lambda x'.G(x' x')))

\leftrightarrow G((\lambda f.(\lambda x.f(x x))(\lambda x.f(x x)))G)

= G(YG)
```

- Thus,  $YG \rightarrow^* G(YG)$ ; that is, YG reduces to a call of G on (YG).
- We will use y to implement recursive functions.
- y is an example of a fixed-point combinator.

# 5. Implementing Factorial using the Y Combinator

• If we could name lambda abstractions, we could define the factorial function with the following recursive definition:

```
FAC = (\lambda n.IF (= n \ 0) \ 1 (* n (FAC (- n \ 1)))) where IF is a conditional function.
```

- However, functions in lambda calculus cannot be named; they are anonymous.
- But we can express recursion as the fixed-point of a function G. To do this, let us simplify the essence of the problem. We begin with a skeletal recursive definition:

```
FAC = \lambda n. (... FAC ...)
```

• By performing beta abstraction on FAC, we can transform its definition to:

Beta abstraction is just the reverse of beta reduction.

• The equation

```
FAC = G FAC
```

says that when the function g is applied to FAC, the result is FAC. That is, FAC is a fixed-point of g.

• We can use the Y combinator to implement FAC:

```
FAC = Y G
```

• As an example, let compute FAC 1:

```
FAC 1 = Y G 1

= G (Y G) 1

= \lambda f.\lambda n.IF (= n 0) 1 (* n (f (- n 1 ))))(Y G) 1

\rightarrow \lambda n.IF (= n 0) 1 (* n ((Y G) (- n 1 ))))1

\rightarrow IF (= n 0) 1 (* n ((Y G) (- 1 1 )))

\rightarrow * 1 (Y G 0)

= * 1 (G(Y G) 0)

= * 1 ((\lambda f.\lambda n.IF (= n 0) 1 (* n (f (- n 1 ))))(Y G) 0)

\rightarrow * 1 ((\lambda n.IF (= n 0) 1 (* n ((Y G) (- n 1 ))))0

\rightarrow * 1 (IF (= 0 0) 1 (* 0 ((Y G) (- 0 1 )))

\rightarrow * 1 1
```