

# **Deep Learning**

10 Building Blocks of CNNs

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#### **CNNs**



The Convolutional Neural Networks

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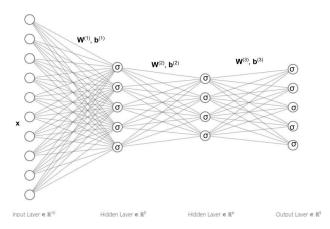


- The Convolutional Neural Networks
- Class of ANNs that are Shift/Space invariant
  - Makes CNNs very well suited for Signal Processing (Why?).

#### An MLP



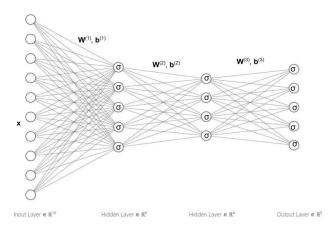
#### Input is a vector



#### An MLP



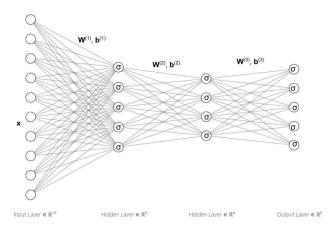
- Input is a vector
- Series of densely connected hidden layers



#### An MLP



- Input is a vector
- Series of densely connected hidden layers
- Neurons in each layer are independent!





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- $\bullet$  Vectorizing leads to  $200 \times 200 \times 3 \rightarrow 120 K$  neurons in the input layer



- $\bullet$  Say, we want to process a  $200\times200$  RGB image
- ullet Vectorizing leads to  $200 \times 200 \times 3 \rightarrow 120 K$  neurons in the input layer
- $\bullet$  A hidden layer of same size leads to  $\approx 1.44 e^{10}$  weights  $\rightarrow \thickapprox 58 GB$  :-(



• Full connectivity blows the number of weights  $\rightarrow$  hardware limits, overfitting, etc.



- ullet Full connectivity blows the number of weights o hardware limits, overfitting, etc.
- Flattening removes the structure

# **Large Signals**



Have invariance in translation

## **Large Signals**



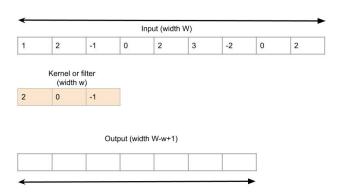
- Have invariance in translation
- Features may occur at different locations in the signal

### Large Signals

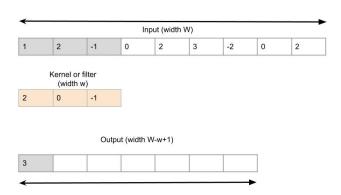


- Have invariance in translation
- Features may occur at different locations in the signal
- Convolution incorporates this idea: Applies same linear operation at all the locations and preserves the structure

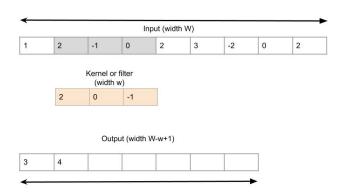




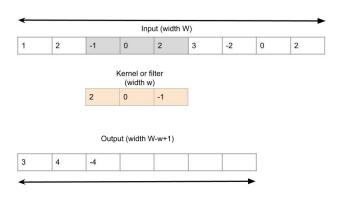




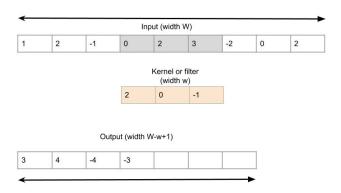




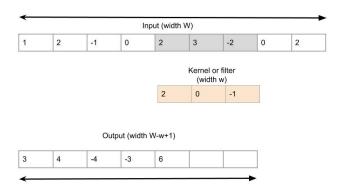




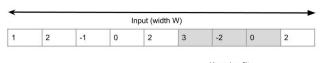










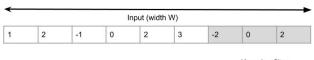




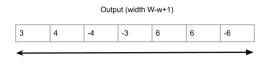














Preserves the structure



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  - $\bullet$  if the i/p is a 2D tensor  $\rightarrow$  o/p is also a 2D tensor



- Preserves the structure
  - if the i/p is a 2D tensor  $\rightarrow$  o/p is also a 2D tensor
  - There exist a relation between the locations of i/p and o/p values



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ullet Let  ${f x}=(x_1,x_2,\ldots x_W)$  is the input,  ${f k}=(k_1,k_2,\ldots k_w)$  is the kernel



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- $\bullet$  The result  $(x\circledast k)$  of convolving  ${\bf x}$  with  ${\bf k}$  will be a 1D tensor of size W-w+1

$$(x \circledast k)_i = \sum_{j=1}^w x_{i-1+j} k_j$$
$$= (x_i, \dots x_{i+w-1}) \cdot \mathbf{k}$$



Powerful feature extractor



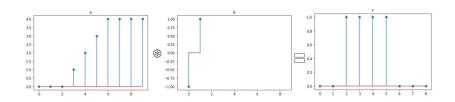
- Powerful feature extractor
- For instance, it can perform differential operation and look for interesting patterns in the input



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0

$$(0,0,0,1,2,3,4,4,4,4) \otimes (-1,1) = (0,0,1,1,1,1,0,0,0)$$

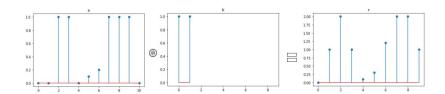




- Powerful feature extractor
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0

$$(0,0,1,1,0,0.1,0.2,1,1,1,0) \otimes (1,1) = (0,1,2,1,0.1,0.3,1.2,2,2,1)$$





Naturally generalizes to multiple dimensions

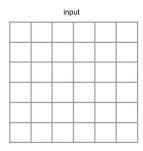


- Naturally generalizes to multiple dimensions
- ullet CNNs process 3D tensors of size C imes H imes W with kernels of size C imes h imes w and result in 2D tensors of size H h + 1 imes W w + 1



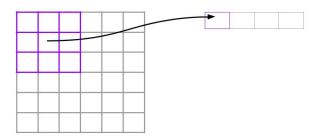
- Naturally generalizes to multiple dimensions
- CNNs process 3D tensors of size  $C \times H \times W$  with kernels of size  $C \times h \times w$  and result in 2D tensors of size  $H h + 1 \times W w + 1$
- Note that we generally refer to these inputs as 2D signal (despite having C channels) (Why?)



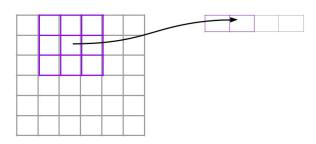




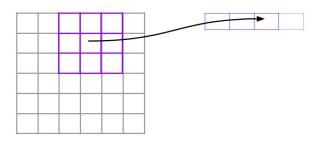




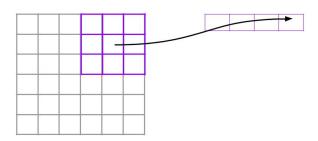




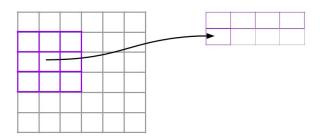




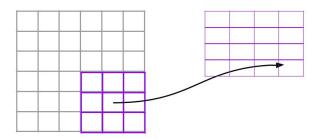




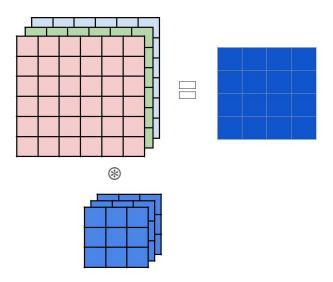




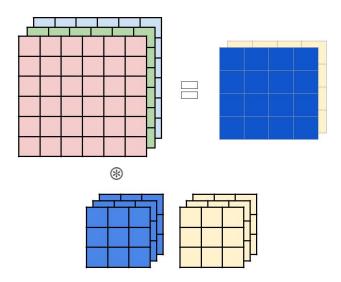




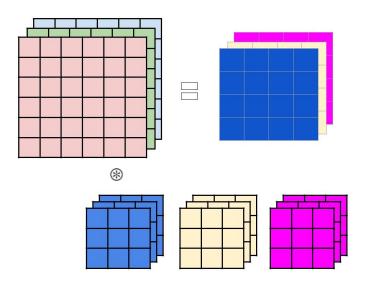


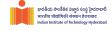


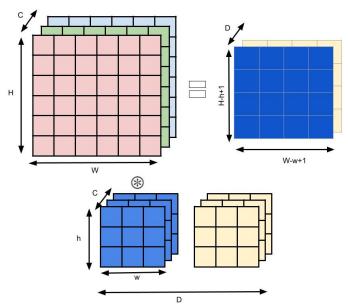










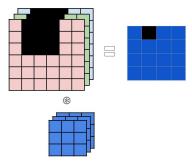




Kernel is not convolved in the channel dimension

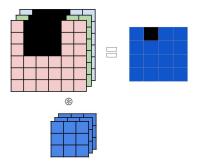


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- $\bullet$  Another way to interpret convolution is that an affine function is applied on an input block of size  $C\times h\times w$





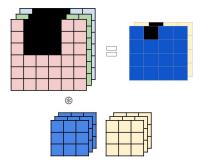
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Same affine function is applied on all such blocks in the input



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  - $\, \bullet \,$  1D signal outputs 1D signal, 2D signal outputs 2D signal



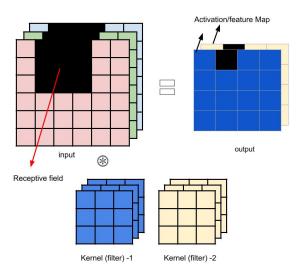
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- Preserves the input structure
  - 1D signal outputs 1D signal, 2D signal outputs 2D signal
  - $\, \bullet \,$  Adjacent components in o/p are influenced by adjacent parts in the i/p
- If the channel dimension has a metric meaning (e.g. time) 3D convolution can be employed (e.g. frames in a video)

### **Terminology in Convolution**







F.conv2d(input, weight, bias=None, stride=1, padding=0, dilation=1, groups=1)



- F.conv2d(input, weight, bias=None, stride=1, padding=0, dilation=1, groups=1)
- weight is  $D \times C \times h \times w$  dimensional kernels



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- F.conv2d(input, weight, bias=None, stride=1, padding=0, dilation=1, groups=1)
- ullet weight is D imes C imes h imes w dimensional kernels
- bias D dimensional
- ullet input is N imes C imes H imes W dimensional signal



- F.conv2d(input, weight, bias=None, stride=1, padding=0, dilation=1, groups=1)
- ullet weight is  $D \times C \times h \times w$  dimensional kernels
- bias D dimensional
- ullet input is  $N \times C \times H \times W$  dimensional signal
- Output is  $N \times D \times (H h + 1) \times (W w + 1)$  tensor

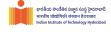


- F.conv2d(input, weight, bias=None, stride=1, padding=0, dilation=1, groups=1)
- ullet weight is  $D \times C \times h \times w$  dimensional kernels
- bias D dimensional
- ullet input is N imes C imes H imes W dimensional signal
- Output is  $N \times D \times (H h + 1) \times (W w + 1)$  tensor
- Autograd compliant



```
input = torch.empty(128, 3, 20, 20).normal_()
weight = torch.empty(5, 3, 5, 5).normal_()
bias = torch.empty(5).normal_()
output = F.conv2d(input, weight, bias)
output.size()
torch.Size([128, 5, 16, 16])
```

# Look/Access the filters



```
weight[0,0]
tensor([[-0.6974, 0.1342, -0.2632, -0.4672, 0.1827],
[-0.1184, -0.2164, 0.2772, -0.1099, 0.0103],
[-0.8272, 0.3580, 0.2398, -0.5795,-0.9472],
[-1.1734, -0.1019, 0.7394, 0.3342, 0.1699],
[ 1.9271, 0.1250, 0.4222, 0.2014, 1.1100]])
```



 Class torch.nn.Conv2d(in\_channels, out\_channels, kernel\_size, stride=1, padding=0, dilation=1, groups=1, bias=True)



- Class torch.nn.Conv2d(in\_channels, out\_channels, kernel\_size, stride=1, padding=0, dilation=1, groups=1, bias=True)
- kernel\_size can be either a pair (h, w) or a single value k
  interpreted as (k, k).



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- kernel\_size can be either a pair (h, w) or a single value k
  interpreted as (k, k).
- Encloses the convolution as a module
- Initializes the kernel parameters and biases as random



```
f = nn.Conv2d(in_channels = 3, out_channels = 5,
kernel_size = (2, 3))
for n, p in f.named_parameters():
    ...print(n, p.size())

>>weight torch.Size([5, 3, 2, 3])
>>bias torch.Size([5])
```





```
f = nn.Conv2d(in_channels = 3, out_channels = 5,
kernel_size = (2, 3)
for n, p in f.named_parameters():
...print(n, p.size())
>>weight torch.Size([5, 3, 2, 3])
>>bias torch.Size([5])
input = torch.empty(128, 3, 28, 28).normal_()
output = f(input)
output.size()
>>torch.Size([128, 5, 27, 26])
```



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Adds zeros around the input

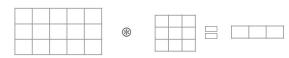


- Adds zeros around the input
- Takes cares of size reduction after convolution



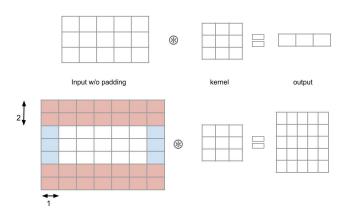
- Adds zeros around the input
- Takes cares of size reduction after convolution
- Instead of zeros, one may pad with signal values at the edges





# **Padding in Convolution**





#### Stride in Convolution



Specifies the step size taken while performing convolution

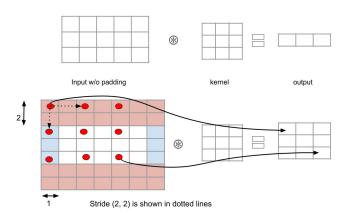
#### Stride in Convolution



- Specifies the step size taken while performing convolution
- Default value is 1, i.e., move the kernel across the signal densely (without skipping)

## **Padding and Stride in Convolution**





#### **Dilation in Convolution**



 Manipulates the size of the kernel via expanding its size without adding weights.

#### **Dilation in Convolution**



- Manipulates the size of the kernel via expanding its size without adding weights.
- In other words, it inserts 0s in between the kernel values

# Output size of the Convolution



• Input width - W, Kernel size - k, Padding - p, and stride - s

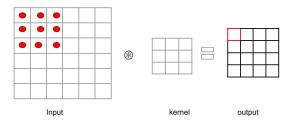
# **Output size of the Convolution**



- Input width W, Kernel size k, Padding p, and stride s
- Output width  $= \frac{W-k+2p}{s} + 1$  (similarly for the height)

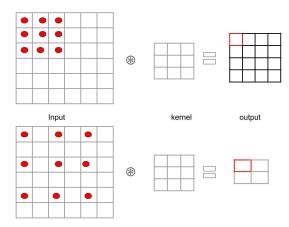
#### Without Dilation





# Dilation (2, 2)







Expands the kernel by adding rows and columns of zeros



- Expands the kernel by adding rows and columns of zeros
- Default value for dilation is 1, i.e., no zeros placed



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- Default value for dilation is 1, i.e., no zeros placed
- Any higher value of dilation makes the kernel sparse
- Dilation increases the receptive field
- It is referred to as 'atrous' convolution





• Groups multiple activations and replaces by a representative one



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- $\bullet$  Reduces the dimensionality of the signal progressively  $\to$  considers non-overlapping stride



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- ullet Reduces the dimensionality of the signal progressively o considers non-overlapping stride
- Also called sub-sampling layer



- Groups multiple activations and replaces by a representative one
- ullet Reduces the dimensionality of the signal progressively o considers non-overlapping stride
- Also called sub-sampling layer
- Generally found between two convolution layers (and parameter free)

## **Max Pooling**



Standard in CNNs

## **Max Pooling**



- Standard in CNNs
- Computes maximum value over a non-overlapping blocks in the input





# **Average Pooling**



Computes the average of the receptive field





#### Pooling in 2D



Same as 1D, but the receptive field is 2D and non-overlapping

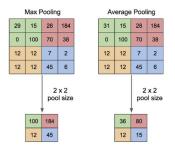


Figure credits: Preston Hoang and Quora

#### Pooling in 2D

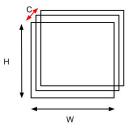


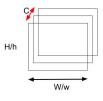
Contrary to Convolution, Pooling applies channel wise

## Pooling in 2D



- Contrary to Convolution, Pooling applies channel wise
- No reduction in number of channels, only spatial size reduction





# Pooling provides weak invariance



Operation is invariant to any permutation within the block

# Pooling provides weak invariance



- Operation is invariant to any permutation within the block
- Withstands deformations caused by local translations



```
F.max_pool2d(input, kernel_size, stride=None, padding=0,
dilation=1, ceil_mode=False, return_indices=False)
```

Applies max pooling on each of the channels separately



F.max\_pool2d(input, kernel\_size, stride=None, padding=0,
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- Applies max pooling on each of the channels separately
- ullet input is  $N \times C \times H \times W$  tensor



F.max\_pool2d(input, kernel\_size, stride=None, padding=0,
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- Applies max pooling on each of the channels separately
- ullet input is N imes C imes H imes W tensor
- kernel\_size is (h, w) or k



F.max\_pool2d(input, kernel\_size, stride=None, padding=0,
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- Applies max pooling on each of the channels separately
- ullet input is N imes C imes H imes W tensor
- kernel\_size is (h, w) or k
- Result would be a tensor of size  $N \times C \times \lfloor H/h \rfloor \times \lfloor W/w \rfloor$

# **Pooling in PyTorch**



Default stride is the kernel size (for convolution, it is 1)

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- But, it can be modulated if required

## Pooling in PyTorch



- Default stride is the kernel size (for convolution, it is 1)
- But, it can be modulated if required
- Default padding is zero

# Pooling Layer in PyTorch

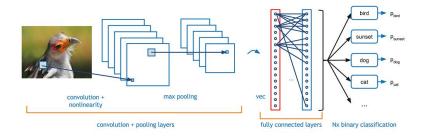


```
class torch.nn.MaxPool2d(kernel_size, stride=None,
padding=0, dilation=1, return_indices=False,
ceil mode=False)
```

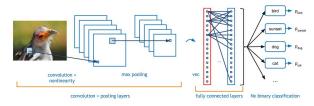


# Putting it all together



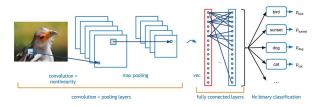






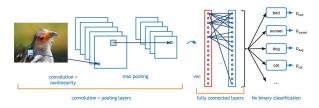
Initially Conv layer with nonlinearity





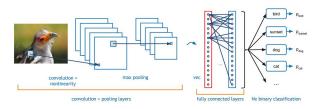
- Initially Conv layer with nonlinearity
- Followed by a few Conv + Nonlinearity layers





- Initially Conv layer with nonlinearity
- Followed by a few Conv + Nonlinearity layers
- $\bullet$  Have Pooling layers in between Conv layers  $\to$  reduce the feature map size sufficiently

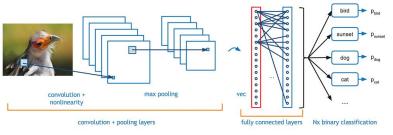




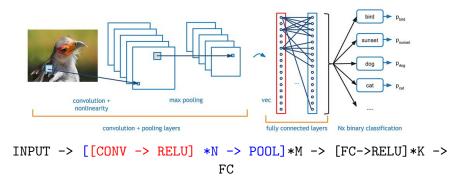
- Initially Conv layer with nonlinearity
- Followed by a few Conv + Nonlinearity layers
- ullet Have Pooling layers in between Conv layers o reduce the feature map size sufficiently
- Vectorize and and fully connected layers



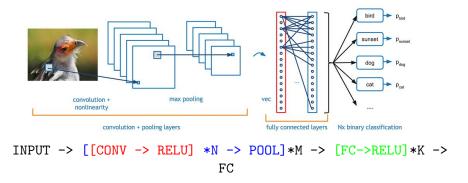
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input size/ layer information	output size	# parameters	# products
$1 \times 28 \times 28$			
nn.Conv2d(1, 32, kernel_size=5)			



input size/ layer information	output size	# parameters	# products
$1 \times 28 \times 28$	$32 \times 24 \times 24$		
nn.Conv2d(1, 32, kernel_size=5)			



input size/ layer information	output size	# parameters	# products
$1 \times 28 \times 28$	$32 \times 24 \times 24$	$32.(5^2+1)$	
nn.Conv2d(1, 32, kernel_size=5)		= 832	



input size/ layer information	output size	# parameters	# products
$1 \times 28 \times 28$	$32 \times 24 \times 24$	$32.(5^2+1)$	$32.24^2.5^2$
nn.Conv2d(1, 32, kernel_size=5)		= 832	=460800
$32 \times 24 \times 24$			
<pre>F.max_pool2d(., kernel_size=3)</pre>			



input size/ layer information	output size	# parameters	# products
$1 \times 28 \times 28$	$32 \times 24 \times 24$	$32.(5^2+1)$	$32.24^2.5^2$
nn.Conv2d(1, 32, kernel_size=5)		= 832	=460800
$32 \times 24 \times 24$			
<pre>F.max_pool2d(., kernel_size=3)</pre>	$32 \times 8 \times 8$	0	0



input size/ layer information	output size	# parameters	# products
$1 \times 28 \times 28$	$32 \times 24 \times 24$	$32.(5^2+1)$	$32.24^2.5^2$
nn.Conv2d(1, 32, kernel_size=5)		= 832	=460800
$32 \times 24 \times 24$			
<pre>F.max_pool2d(., kernel_size=3)</pre>	$32 \times 8 \times 8$	0	0
$32 \times 8 \times 8 / \text{F.relu(.)}$	$32 \times 8 \times 8$	0	0



input size/ layer information	output size	# parameters	# products
$1 \times 28 \times 28$	$32 \times 24 \times 24$	$32.(5^2+1)$	$32.24^2.5^2$
nn.Conv2d(1, 32, kernel_size=5)		= 832	=460800
$32 \times 24 \times 24$			
<pre>F.max_pool2d(., kernel_size=3)</pre>	$32 \times 8 \times 8$	0	0
$32 \times 8 \times 8 / \text{F.relu(.)}$	$32 \times 8 \times 8$	0	0
$32 \times 8 \times 8$			
nn.conv2d(32, 64, kernel_size=5)			



input size/ layer information	output size	# parameters	# products
$1 \times 28 \times 28$	$32 \times 24 \times 24$	$32.(5^2+1)$	$32.24^2.5^2$
nn.Conv2d(1, 32, kernel_size=5)		= 832	=460800
$32 \times 24 \times 24$			
<pre>F.max_pool2d(., kernel_size=3)</pre>	$32 \times 8 \times 8$	0	0
$32 \times 8 \times 8 / \text{F.relu(.)}$	$32 \times 8 \times 8$	0	0
$32 \times 8 \times 8$			
nn.conv2d(32, 64, kernel_size=5)	$64 \times 4 \times 4$		



input size/ layer information	output size	# parameters	# products
$1 \times 28 \times 28$	$32 \times 24 \times 24$	$32.(5^2+1)$	$32.24^2.5^2$
nn.Conv2d(1, 32, kernel_size=5)		= 832	=460800
$32 \times 24 \times 24$			
<pre>F.max_pool2d(., kernel_size=3)</pre>	$32 \times 8 \times 8$	0	0
$32 \times 8 \times 8 / \text{F.relu(.)}$	$32 \times 8 \times 8$	0	0
$32 \times 8 \times 8$		$64.(32.5^2+1)$	
nn.conv2d(32, 64, kernel_size=5)	$64 \times 4 \times 4$	=51264	



input size/ layer information	output size	# parameters	# products
$1 \times 28 \times 28$	$32 \times 24 \times 24$	$32.(5^2+1)$	$32.24^2.5^2$
nn.Conv2d(1, 32, kernel_size=5)		= 832	=460800
$32 \times 24 \times 24$			
<pre>F.max_pool2d(., kernel_size=3)</pre>	$32 \times 8 \times 8$	0	0
$32 \times 8 \times 8 / \text{F.relu(.)}$	$32 \times 8 \times 8$	0	0
$32 \times 8 \times 8$		$64.(32.5^2+1)$	$64.32.4^2.5^2$
nn.conv2d(32, 64, kernel_size=5)	$64 \times 4 \times 4$	=51264	= 819200



input size/ layer information	output size	# parameters	# products
$1 \times 28 \times 28$	$32 \times 24 \times 24$	$32.(5^2+1)$	$32.24^2.5^2$
nn.Conv2d(1, 32, kernel_size=5)		= 832	=460800
$32 \times 24 \times 24$			
<pre>F.max_pool2d(., kernel_size=3)</pre>	$32 \times 8 \times 8$	0	0
$32 \times 8 \times 8 / \text{F.relu(.)}$	$32 \times 8 \times 8$	0	0
$32 \times 8 \times 8$		$64.(32.5^2+1)$	$64.32.4^2.5^2$
nn.conv2d(32, 64, kernel_size=5)	$64 \times 4 \times 4$	=51264	= 819200
$64 \times 4 \times 4$			
<pre>F.max_pool2d(., kernel_size=2)</pre>	$64 \times 2 \times 2$	0	0



input size/ layer information	output size	# parameters	# products
$1 \times 28 \times 28$	$32 \times 24 \times 24$	$32.(5^2+1)$	$32.24^2.5^2$
nn.Conv2d(1, 32, kernel_size=5)		= 832	=460800
$32 \times 24 \times 24$			
<pre>F.max_pool2d(., kernel_size=3)</pre>	$32 \times 8 \times 8$	0	0
$32 \times 8 \times 8 / \text{F.relu(.)}$	$32 \times 8 \times 8$	0	0
$32 \times 8 \times 8$		$64.(32.5^2+1)$	$64.32.4^2.5^2$
nn.conv2d(32, 64, kernel_size=5)	$64 \times 4 \times 4$	=51264	= 819200
$64 \times 4 \times 4$			
<pre>F.max_pool2d(., kernel_size=2)</pre>	$64 \times 2 \times 2$	0	0
$64 \times 2 \times 2$ / F.relu(.)	$64 \times 2 \times 2$	0	0



input size/ layer information	output size	# parameters	# products
$1 \times 28 \times 28$	$32 \times 24 \times 24$	$32.(5^2+1)$	$32.24^2.5^2$
nn.Conv2d(1, 32, kernel_size=5)		= 832	=460800
$32 \times 24 \times 24$			
<pre>F.max_pool2d(., kernel_size=3)</pre>	$32 \times 8 \times 8$	0	0
$32 \times 8 \times 8 / \text{F.relu(.)}$	$32 \times 8 \times 8$	0	0
$32 \times 8 \times 8$		$64.(32.5^2+1)$	$64.32.4^2.5^2$
nn.conv2d(32, 64, kernel_size=5)	$64 \times 4 \times 4$	=51264	= 819200
$64 \times 4 \times 4$			
<pre>F.max_pool2d(., kernel_size=2)</pre>	$64 \times 2 \times 2$	0	0
$64 \times 2 \times 2 / \text{F.relu(.)}$	$64 \times 2 \times 2$	0	0
$64 \times 2 \times 2$	256	0	0
x.view(-1,256)			
256			
nn.Linear(256,200)	200		



input size/ layer information	output size	# parameters	# products
$1 \times 28 \times 28$	$32 \times 24 \times 24$	$32.(5^2+1)$	$32.24^2.5^2$
nn.Conv2d(1, 32, kernel_size=5)		= 832	=460800
$32 \times 24 \times 24$			
<pre>F.max_pool2d(., kernel_size=3)</pre>	$32 \times 8 \times 8$	0	0
$32 \times 8 \times 8 / \text{F.relu(.)}$	$32 \times 8 \times 8$	0	0
$32 \times 8 \times 8$		$64.(32.5^2+1)$	$64.32.4^2.5^2$
nn.conv2d(32, 64, kernel_size=5)	$64 \times 4 \times 4$	=51264	= 819200
$64 \times 4 \times 4$			
<pre>F.max_pool2d(., kernel_size=2)</pre>	$64 \times 2 \times 2$	0	0
$64 \times 2 \times 2 / \text{F.relu(.)}$	$64 \times 2 \times 2$	0	0
$64 \times 2 \times 2$	256	0	0
x.view(-1,256)			
256			
nn.Linear(256,200)	200	200(256+1)=51400	200.256=51200



input size/ layer information	output size	# parameters	# products
$1 \times 28 \times 28$	$32 \times 24 \times 24$	$32.(5^2+1)$	$32.24^2.5^2$
nn.Conv2d(1, 32, kernel_size=5)		= 832	=460800
<pre>F.max_pool2d(., kernel_size=3)</pre>	$32 \times 8 \times 8$	0	0
$32 \times 8 \times 8 / \text{F.relu(.)}$	$32 \times 8 \times 8$	0	0
$32 \times 8 \times 8$		$64.(32.5^2+1)$	$64.32.4^2.5^2$
nn.conv2d(32, 64, kernel_size=5)	$64 \times 4 \times 4$	=51264	= 819200
$64 \times 4 \times 4$			
<pre>F.max_pool2d(., kernel_size=2)</pre>	$64 \times 2 \times 2$	0	0
$64 \times 2 \times 2 / \text{F.relu(.)}$	$64 \times 2 \times 2$	0	0
$64 \times 2 \times 2$	256	0	0
x.view(-1,256)			
256	0	0	0
nn.Linear(256,200)	200	200(256+1)=51400	200.256=51200
200 / F.relu(.)	200	0	0
200	0	0	0
nn.Linear(200,10)	10	10(200+1)=2010	10.200=2000



 Note that LeNet is a classical architecture and does not reflect the recent CNNs in complexity



- Note that LeNet is a classical architecture and does not reflect the recent CNNs in complexity
- Recent CNN architectures are far more sophisticated [Contents of the next lecture(s)]
  - More depth
  - Machinery to handle the depth