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Form 990

## Return of Organization Exempt From Income Tax

2013

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Open to Public Inspection

- Do not enter Social Security numbers on this form as it may be made public By law, the IRS generally cannot redact the information on the form  
 ► Information about Form 990 and its instructions is at [www.IRS.gov/form990](http://www.IRS.gov/form990)

## A For the 2013 calendar year, or tax year beginning 07-01-2013, 2013, and ending 06-30-2014

B Check if applicable	C Name of organization Vanderbilt University	D Employer identification number 62-0476822
<input type="checkbox"/> Address change	Doing Business As	E Telephone number (615) 343-6601
<input type="checkbox"/> Name change	Number and street (or P O box if mail is not delivered to street address) PMB 406310 2301 Vanderbilt Place	F Gross receipts \$ 7,214,223,322
<input type="checkbox"/> Initial return	Room/suite	G Gross receipts \$ 7,214,223,322
<input type="checkbox"/> Terminated		
<input type="checkbox"/> Amended return	City or town, state or province, country, and ZIP or foreign postal code Nashville, TN 372406310	
<input type="checkbox"/> Application pending		
	F Name and address of principal officer Nicholas S Zeppos 211 Kirkland 2201 WestEnd Nashville, TN 37240	H(a) Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

I Tax-exempt status  501(c)(3)  501(c) ( ) (insert no.)  4947(a)(1) or  527

J Website: ► WWW.VANDERBILT.EDU

H(b) Are all subordinates included?  Yes  No

If "No," attach a list (see instructions)

H(c) Group exemption number ►

K Form of organization  Corporation  Trust  Association  Other ► L Year of formation 1873 M State of legal domicile TN

## Part I Summary

1 Briefly describe the organization's mission or most significant activities and Form 990, Part III, Line 1, Description of Organization Mission Vanderbilt University is a center for scholarly research, informed and creative teaching, and service to the community and society at large Vanderbilt will uphold the highest standards and be a leader in the quest for new knowledge through scholarship, dissemination of knowledge through teaching and outreach, and creative experimentation of ideas and concepts In pursuit of these goals, Vanderbilt values most highly the intellectual freedom that supports open inquiry and equality, compassion and excellence in all endeavors

2 Check this box ► if the organization discontinued its operations or disposed of more than 25% of its net assets

3 Number of voting members of the governing body (Part VI, line 1a)	3	32
4 Number of independent voting members of the governing body (Part VI, line 1b)	4	24
5 Total number of individuals employed in calendar year 2013 (Part V, line 2a)	5	35,723
6 Total number of volunteers (estimate if necessary)	6	8,737
7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	6,375,802
b Net unrelated business taxable income from Form 990-T, line 34	7b	-2,986,551

Revenue		Prior Year	Current Year
8 Contributions and grants (Part VIII, line 1h)		484,681,247	494,500,126
9 Program service revenue (Part VIII, line 2g)		3,210,342,170	3,456,017,624
10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)		385,968,260	59,237,923
11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)		58,071,592	19,224,237
12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)		4,139,063,269	4,028,979,910

Expenses		Prior Year	Current Year
13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)		348,561,537	348,108,514
14 Benefits paid to or for members (Part IX, column (A), line 4)		0	0
15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)		2,277,183,804	2,272,262,938
16a Professional fundraising fees (Part IX, column (A), line 11e)		610,091	609,513
b Total fundraising expenses (Part IX, column (D), line 25) ► 32,651,783			
17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)		1,282,370,441	1,363,277,544
18 Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)		3,908,725,873	3,984,258,509
19 Revenue less expenses Subtract line 18 from line 12		230,337,396	44,721,401

Net Assets or Fund Balances		Beginning of Current Year	End of Year
20 Total assets (Part X, line 16)		7,597,041,734	7,990,839,279
21 Total liabilities (Part X, line 26)		2,261,795,114	2,151,245,736
22 Net assets or fund balances Subtract line 21 from line 20		5,335,246,620	5,839,593,543

## Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here	Signature of officer  Brett Sweet CFO Type or print name and title	Date 2015-05-11
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Paid Preparer Use Only	Print/Type preparer's name Gwen Spencer	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed PTIN P00641463
	Firm's name ► PricewaterhouseCoopers LLP			Firm's EIN ► 13-4008324
	Firm's address ► 125 High Street Boston, MA 02110			Phone no (617) 530-5000

May the IRS discuss this return with the preparer shown above? (see instructions) . . . . .  Yes  No

**Part III Statement of Program Service Accomplishments**Check if Schedule O contains a response or note to any line in this Part III **1** Briefly describe the organization's mission  
See Schedule O**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?  Yes  No

If "Yes," describe these new services on Schedule O

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services?  Yes  No

If "Yes," describe these changes on Schedule O

**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported**4a** (Code ) (Expenses \$ 709,364,543 including grants of \$ 289,975,333 ) (Revenue \$ 478,318,234 )

See Schedule O - Education Vanderbilt University offers undergraduate programs in the Liberal Arts and Science, Engineering, Music, Education and Human Development, as well as a full range of graduate and professional degrees. Vanderbilt's ten schools and colleges include College of Arts and Science, Graduate School, Blair School of Music, Divinity School, School of Engineering, Law School, School of Medicine, School of Nursing, Owen Graduate School of Management, and Peabody College (of Education and Human Development). Vanderbilt University has approximately 6,850 undergraduate students, 5,900 graduate and professional students, and more than 4,100 faculty members. Vanderbilt University ranked 16th among the nation's best universities and ranked 11th in the "Great Schools at a Great Price" category in an annual survey conducted by the U.S. News & World Report. Vanderbilt's Peabody College of Education and Human Development was named the No. 2 education school in the nation by U.S. News & World Report. The special education program has been ranked at No. 1. Other Vanderbilt schools with notable rankings include School of Medicine, ranked 15th for research hospitals in the nation, Law School ranked 16th, and the Vanderbilt Owen Graduate School of Management ranked 25th. Expenses \$709,364,543 including grants of \$289,975,333 Revenue \$478,318,234 For more information regarding education at Vanderbilt University, visit <http://www.vanderbilt.edu>

**4b** (Code ) (Expenses \$ 434,008,728 including grants of \$ 47,751,749 ) (Revenue \$ 243,998,022 )

See Schedule O - Academic and Scientific Research Academic and Scientific Research Vanderbilt is an internationally recognized research university. A majority of Vanderbilt University's research funding is received from the federal government. Funding is also received from foundations, associations, corporations, and other sources. Vanderbilt University's researchers are at the forefront of posing innovative solutions to some of the most challenging questions facing the world today. Expenses \$434,008,728 including grants of \$47,751,749 Revenue \$243,998,022 For more information regarding research at Vanderbilt University, visit <http://www.research.vanderbilt.edu>

**4c** (Code ) (Expenses \$ 2,289,512,677 including grants of \$ 10,381,432 ) (Revenue \$ 2,608,474,777 )

See Schedule O - Patient Care Patient Care Vanderbilt University hospitals and clinics provide quality medical health care regardless of race, creed, sex, national origin, handicap, age, or ability to pay. Although reimbursement for services rendered is critical to the operation and stability of Vanderbilt University hospitals and clinics, it is recognized that not all individuals possess the ability to purchase essential medical services, and further that part of Vanderbilt's mission is to serve the community. Therefore, in keeping with Vanderbilt's commitment to serve all members of its community, free care and/or subsidized care, care provided to persons covered by governmental programs at below cost, and health activities and programs to support the community are provided where the need and/or an individual's inability to pay coexists. These activities include wellness programs, community education programs, special programs for the elderly, handicapped, medically underserved, and a variety of broad community support activities. Charity care is also provided through many reduced price services and free programs offered throughout the year based upon activities and services which Vanderbilt believes will serve a bona fide community health need. During the fiscal year, Vanderbilt serviced 59,122 inpatients and 1,953,446 emergency and outpatient clinic visits. Vanderbilt University Medical Center finished fiscal 2014 in the U.S. News and World Report annual rankings of America's Best Hospitals named, once again, among the nation's elite providers of health care services. For the third consecutive year, Vanderbilt University Medical Center was lauded as both the No. 1 hospital in Tennessee and No. 1 in the Metro Nashville area. Vanderbilt University Medical Center equaled an all-time best with 12 ranked specialties out of a possible 16 categories. Specialty programs ranking among the top 50 in their respective fields include, urology, nephrology, ear, nose and throat, pulmonology, gastroenterology, diabetes and endocrinology, geriatrics, cardiology and heart surgery, cancer, neurology and neurosurgery, orthopedics, and gynecology. In addition, the Monroe Carell Jr. Children's Hospital at Vanderbilt was included among the nation's leaders in pediatric health care in the U.S. News & World Report magazine's Best Children's Hospital ranking. The hospital achieved rankings in nine out of 10 specialties: urology, neonatology, cardiology and heart surgery, gastroenterology, diabetes and endocrinology, pulmonology, neurology and neurosurgery, and cancer. Along with the various national rankings, there are several Vanderbilt University Medical Center programs unique to the Middle Tennessee region, which include - Vanderbilt-Ingram Cancer Center, the only National Cancer Institute-designated Comprehensive Cancer Center in Tennessee that conducts research and cares for children and adults, also a member of the elite National Comprehensive Cancer Network, a group of the nation's top 21 clinical cancer institutes, - The Only Level 1 (highest level) trauma center in Middle Tennessee, - The Only Level 4 (highest level) Neonatal Intensive Care Unit, as well as a dedicated pediatric emergency department and pediatric trauma program, - The only comprehensive regional adult and pediatric burn center, - The only comprehensive solid organ transplant program in Tennessee, - Tennessee Poison Control Center, and - LifeFlight, an integrated air and ground emergency patient transport system. Expenses \$2,289,512,677 including grants of \$10,381,432 Revenue \$2,608,474,777 For more information regarding health care at Vanderbilt University, visit <http://www.mc.vanderbilt.edu>

(Code ) (Expenses \$ 296,589,893 including grants of \$ ) (Revenue \$ 129,509,736 )

Other program services include public service, academic support, institutional support, student services, room and board, and other auxiliary services. Vanderbilt University engages in a variety of public service projects, including, but not limited to supporting HIV care and treatment programs in rural Mozambique and Nigeria, Africa, developing training materials for current and future school personnel, formulating new approaches to increase health, safety, quality and outcomes, while decreasing total costs, and many other sponsored community health and educational programs.

**4d** Other program services (Describe in Schedule O )  
(Expenses \$ 296,589,893 including grants of \$ ) (Revenue \$ 129,509,736 )**4e** Total program service expenses ► 3,729,475,841

**Part IV Checklist of Required Schedules**

- 1** Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A
- 2** Is the organization required to complete Schedule B, *Schedule of Contributors* (see instructions)?
- 3** Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I
- 4** **Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II
- 5** Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III
- 6** Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I
- 7** Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II
- 8** Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III
- 9** Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV
- 10** Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V
- 11** If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable
  - a** Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI
  - b** Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII
  - c** Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII
  - d** Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX
  - e** Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X
  - f** Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part XI
- 12a** Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII
- 12b** Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional
- 13** Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E
- 14a** Did the organization maintain an office, employees, or agents outside of the United States?
  - b** Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV
- 15** Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV
- 16** Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV
- 17** Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)
- 18** Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II
- 19** Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III
- 20a** Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H
  - b** If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?

	Yes	No
<b>1</b>	Yes	
<b>2</b>		No
<b>3</b>		No
<b>4</b>	Yes	
<b>5</b>		No
<b>6</b>		No
<b>7</b>		No
<b>8</b>	Yes	
<b>9</b>	Yes	
<b>10</b>	Yes	
<b>11a</b>	Yes	
<b>11b</b>	Yes	
<b>11c</b>		No
<b>11d</b>		No
<b>11e</b>	Yes	
<b>11f</b>		No
<b>12a</b>		No
<b>12b</b>	Yes	
<b>13</b>	Yes	
<b>14a</b>	Yes	
<b>14b</b>	Yes	
<b>15</b>	Yes	
<b>16</b>		No
<b>17</b>	Yes	
<b>18</b>	Yes	
<b>19</b>		No
<b>20a</b>	Yes	
<b>20b</b>	Yes	

**Part IV Checklist of Required Schedules (continued)**

<b>21</b>	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II . . . . .	<b>21</b>	Yes	
<b>22</b>	Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III . . . . .	<b>22</b>	Yes	
<b>23</b>	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J . . . . .	<b>23</b>	Yes	
<b>24a</b>	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a . . . . .	<b>24a</b>	Yes	
<b>b</b>	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . . .	<b>24b</b>	No	
<b>c</b>	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .	<b>24c</b>	No	
<b>d</b>	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . . .	<b>24d</b>	No	
<b>25a</b>	<b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I . . . . .	<b>25a</b>	No	
<b>b</b>	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I . . . . .	<b>25b</b>	No	
<b>26</b>	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If so, complete Schedule L, Part II . . . . .	<b>26</b>	No	
<b>27</b>	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III . . . . .	<b>27</b>	Yes	
<b>28</b>	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
<b>a</b>	A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV . . . . .	<b>28a</b>	No	
<b>b</b>	A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV . . . . .	<b>28b</b>	Yes	
<b>c</b>	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV . . . . .	<b>28c</b>	Yes	
<b>29</b>	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M . . . . .	<b>29</b>	Yes	
<b>30</b>	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M . . . . .	<b>30</b>	Yes	
<b>31</b>	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I . . . . .	<b>31</b>	No	
<b>32</b>	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II . . . . .	<b>32</b>	No	
<b>33</b>	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I . . . . .	<b>33</b>	Yes	
<b>34</b>	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1 . . . . .	<b>34</b>	Yes	
<b>35a</b>	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	<b>35a</b>	Yes	
<b>b</b>	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 . . . . .	<b>35b</b>	Yes	
<b>36</b>	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2 . . . . .	<b>36</b>	Yes	
<b>37</b>	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI . . . . .	<b>37</b>	No	
<b>38</b>	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O . . . . .	<b>38</b>	Yes	

**Part V Statements Regarding Other IRS Filings and Tax Compliance**Check if Schedule O contains a response or note to any line in this Part V 

<b>1a</b> Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable . . .	<b>1a</b> 15,854	<b>Yes</b>	<b>No</b>
<b>b</b> Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	<b>1b</b> 0		
<b>c</b> Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? . . .	<b>1c</b> Yes		
<b>2a</b> Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return . . .	<b>2a</b> 35,723		
<b>b</b> If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	<b>2b</b> Yes		
<b>3a</b> Did the organization have unrelated business gross income of \$1,000 or more during the year? . . .	<b>3a</b> Yes		
<b>b</b> If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O . . .	<b>3b</b> Yes		
<b>4a</b> At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . . .	<b>4a</b> Yes		
<b>b</b> If "Yes," enter the name of the foreign country <b>MZ, MO, NI, RP, TW, SP, TH, UK, ZA, AE</b> See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts			
<b>5a</b> Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . .	<b>5a</b> No		
<b>b</b> Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	<b>5b</b> No		
<b>c</b> If "Yes," to line 5a or 5b, did the organization file Form 8886-T? . . .	<b>5c</b>		
<b>6a</b> Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? . . .	<b>6a</b> No		
<b>b</b> If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? . . .	<b>6b</b>		
<b>7 Organizations that may receive deductible contributions under section 170(c).</b>	<b>7a</b> Yes		
<b>a</b> Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? . . .	<b>7b</b> Yes		
<b>b</b> If "Yes," did the organization notify the donor of the value of the goods or services provided? . . .	<b>7c</b> No		
<b>c</b> Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? . . .	<b>7d</b>		
<b>e</b> Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . .	<b>7e</b> No		
<b>f</b> Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . .	<b>7f</b>		
<b>g</b> If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? . . .	<b>7g</b>		
<b>h</b> If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? . . .	<b>7h</b>		
<b>8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.</b> Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? . . .	<b>8</b>		
<b>9 Sponsoring organizations maintaining donor advised funds.</b>	<b>9a</b>		
<b>a</b> Did the organization make any taxable distributions under section 4966? . . .	<b>9b</b>		
<b>10 Section 501(c)(7) organizations.</b> Enter			
<b>a</b> Initiation fees and capital contributions included on Part VIII, line 12 . . .	<b>10a</b>		
<b>b</b> Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	<b>10b</b>		
<b>11 Section 501(c)(12) organizations.</b> Enter	<b>11a</b>		
<b>b</b> Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them) . . .	<b>11b</b>		
<b>12a Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041? . . .	<b>12a</b>		
<b>b</b> If "Yes," enter the amount of tax-exempt interest received or accrued during the year	<b>12b</b>		
<b>13 Section 501(c)(29) qualified nonprofit health insurance issuers.</b>	<b>13a</b>		
<b>a</b> Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> See the instructions for additional information the organization must report on Schedule O	<b>13b</b>		
<b>b</b> Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans . . .	<b>13c</b>		
<b>14a</b> Did the organization receive any payments for indoor tanning services during the tax year? . . .	<b>14a</b> No		
<b>b</b> If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O . . .	<b>14b</b>		

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

### Section A. Governing Body and Management

			Yes	No
<b>1a</b> Enter the number of voting members of the governing body at the end of the tax year	<b>1a</b>	32		
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O				
<b>b</b> Enter the number of voting members included in line 1a, above, who are independent	<b>1b</b>	24		
<b>2</b> Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	<b>2</b>	Yes		
<b>3</b> Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	<b>3</b>	No		
<b>4</b> Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	<b>4</b>	No		
<b>5</b> Did the organization become aware during the year of a significant diversion of the organization's assets?	<b>5</b>	No		
<b>6</b> Did the organization have members or stockholders?	<b>6</b>	No		
<b>7a</b> Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	<b>7a</b>	No		
<b>b</b> Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	<b>7b</b>	No		
<b>8</b> Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following	<b>8a</b>	Yes		
<b>a</b> The governing body?	<b>8b</b>	Yes		
<b>b</b> Each committee with authority to act on behalf of the governing body?	<b>9</b>	No		
<b>9</b> Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O				

### Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
<b>10a</b> Did the organization have local chapters, branches, or affiliates?	<b>10a</b>	No	
<b>b</b> If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	<b>10b</b>		
<b>11a</b> Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	<b>11a</b>	Yes	
<b>b</b> Describe in Schedule O the process, if any, used by the organization to review this Form 990			
<b>12a</b> Did the organization have a written conflict of interest policy? If "No," go to line 13	<b>12a</b>	Yes	
<b>b</b> Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	<b>12b</b>	Yes	
<b>c</b> Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	<b>12c</b>	Yes	
<b>13</b> Did the organization have a written whistleblower policy?	<b>13</b>	Yes	
<b>14</b> Did the organization have a written document retention and destruction policy?	<b>14</b>	Yes	
<b>15</b> Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?	<b>15a</b>	Yes	
<b>a</b> The organization's CEO, Executive Director, or top management official	<b>15b</b>	Yes	
<b>b</b> Other officers or key employees of the organization If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
<b>16a</b> Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	<b>16a</b>	Yes	
<b>b</b> If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	<b>16b</b>	Yes	

### Section C. Disclosure

- 17** List the States with which a copy of this Form 990 is required to be filed
- 18** Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply  
 Own website  Another's website  Upon request  Other (explain in Schedule O)
- 19** Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year
- 20** State the name, physical address, and telephone number of the person who possesses the books and records of the organization  
 ► Dalana Robertson 110 21st Avenue South Ste 900  
 Nashville, TN 37203 (615) 343-6601

## **Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII . . . . .

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
  - List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
  - List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
  - List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
  - List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

**Part VII** **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **►3,446**

	<b>Yes</b>	<b>No</b>
<b>3</b> Did the organization list any <b>former</b> officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	<b>3</b> Yes	
<b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	<b>4</b> Yes	
<b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .	<b>5</b>	No

## **Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
Brasfield & Gorrie 2636 Elm Hill Pike 200 Nashville TN 37214	Construction	44,333,719
American Contractors Inc 2900 Vanderbilt Place Nashville TN 37212	Construction	20,511,876
Turner Universal Construction Company 5300 Virginia Way Brentwood TN 37027	Construction	12,655,743
Air Methods Corporation 7211 South Peoria Englewood CO 80112	Aircraft Services	11,587,390
Hardaway Construction Corp 615 Main Street Nashville TN 37206	Construction	7,281,209

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ►322

**Part VIII Statement of Revenue**Check if Schedule O contains a response or note to any line in this Part VIII 

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1a</b> Federated campaigns . . . . .	<b>1a</b>				
	<b>b</b> Membership dues . . . . .	<b>1b</b>				
	<b>c</b> Fundraising events . . . . .	<b>1c</b> 527,760				
	<b>d</b> Related organizations . . . . .	<b>1d</b>				
	<b>e</b> Government grants (contributions)	<b>1e</b> 336,700,552				
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above	<b>1f</b> 157,271,814				
	<b>g</b> Noncash contributions included in lines 1a-1f \$	25,965,974				
	<b>h Total.</b> Add lines 1a-1f . . . . .		494,500,126			
<b>Program Service Revenue</b>		<b>Business Code</b>				
	<b>2a</b> Health Care Services	622110	2,608,409,821	2,602,792,427	5,617,394	
	<b>b</b> Tuition and Fees	611310	478,318,234	478,318,234		
	<b>c</b> Research & Other Contr	611310	201,041,391	201,041,391		
	<b>d</b> Room, Board & Auxiliar	611310	125,291,547	123,390,215	1,901,332	
	<b>e</b> Other	611310	42,956,631	42,956,631		
	<b>f</b> All other program service revenue					
	<b>g Total.</b> Add lines 2a-2f . . . . .		3,456,017,624			
<b>Other Revenue</b>	<b>3</b> Investment income (including dividends, interest, and other similar amounts) . . . . .		120,820,289		-1,229,887 122,050,176	
	<b>4</b> Income from investment of tax-exempt bond proceeds . . . . .		1		1	
	<b>5</b> Royalties . . . . .		6,225,863		6,225,863	
	<b>6a</b> Gross rents	(I) Real 9,979,792				
	<b>b</b> Less rental expenses	1,310,840				
	<b>c</b> Rental income or (loss)	8,668,952				
	<b>d</b> Net rental income or (loss) . . . . .		8,668,952			8,668,952
	<b>7a</b> Gross amount from sales of assets other than inventory	(I) Securities 3,122,143,802				
<b>b</b> Less cost or other basis and sales expenses	3,183,726,169					
<b>c</b> Gain or (loss)	-61,582,367					
<b>d</b> Net gain or (loss) . . . . .		-61,582,367			-61,582,367	
<b>8a</b> Gross income from fundraising events (not including \$ 527,760 of contributions reported on line 1c) See Part IV, line 18 . . . . .	a 252,680					
<b>b</b> Less direct expenses . . . . .	b 206,403					
<b>c</b> Net income or (loss) from fundraising events . . . . .		46,277			46,277	
<b>9a</b> Gross income from gaming activities See Part IV, line 19 . . . . .	a					
<b>b</b> Less direct expenses . . . . .	b					
<b>c</b> Net income or (loss) from gaming activities . . . . .						
<b>10a</b> Gross sales of inventory, less returns and allowances . . . . .	a					
<b>b</b> Less cost of goods sold . . . . .	b					
<b>c</b> Net income or (loss) from sales of inventory . . . . .						
Miscellaneous Revenue	<b>Business Code</b>					
<b>11a</b> Other	900099	4,283,145	4,196,182	86,963		
<b>b</b>						
<b>c</b>						
<b>d</b> All other revenue . . . . .						
<b>e Total.</b> Add lines 11a-11d . . . . .		4,283,145				
<b>12 Total revenue.</b> See Instructions . . . . .		4,028,979,910	3,452,695,080	6,375,802	75,408,902	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX 

<b>Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.</b>	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
<b>1</b> Grants and other assistance to governments and organizations in the United States See Part IV, line 21	41,330,063	41,330,063		
<b>2</b> Grants and other assistance to individuals in the United States See Part IV, line 22	289,975,333	289,975,333		
<b>3</b> Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16	16,803,118	16,803,118		
<b>4</b> Benefits paid to or for members				
<b>5</b> Compensation of current officers, directors, trustees, and key employees . . . . .	15,246,448	2,407,248	11,168,841	1,670,359
<b>6</b> Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .	1,045,476	914,671	130,805	
<b>7</b> Other salaries and wages	1,842,989,274	1,726,380,081	99,376,610	17,232,583
<b>8</b> Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) . . . . .	90,581,199	84,851,694	4,882,795	846,710
<b>9</b> Other employee benefits . . . . .	204,750,022	192,824,017	9,553,247	2,372,758
<b>10</b> Payroll taxes . . . . .	117,650,519	110,205,734	6,344,591	1,100,194
<b>11</b> Fees for services (non-employees)				
<b>a</b> Management . . . . .	2,282,701	1,121,489	1,161,212	
<b>b</b> Legal . . . . .	8,664,597		8,664,597	
<b>c</b> Accounting . . . . .	994,839		994,839	
<b>d</b> Lobbying . . . . .	174,140		174,140	
<b>e</b> Professional fundraising services See Part IV, line 17	609,513			609,513
<b>f</b> Investment management fees . . . . .	4,459,580		4,459,580	
<b>g</b> Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O) . . . . .	122,173,056	97,196,159	24,796,695	180,202
<b>12</b> Advertising and promotion . . . . .	18,673,132	17,517,777	1,077,271	78,084
<b>13</b> Office expenses . . . . .	115,907,194	105,218,003	8,533,129	2,156,062
<b>14</b> Information technology . . . . .	49,113,166	46,599,543	1,983,693	529,930
<b>15</b> Royalties . . . . .	2,489,704	2,489,704		
<b>16</b> Occupancy . . . . .	198,480,427	179,116,029	17,197,736	2,166,662
<b>17</b> Travel . . . . .	37,990,549	35,082,536	2,140,990	767,023
<b>18</b> Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . .				
<b>19</b> Conferences, conventions, and meetings . . . . .	13,465,759	12,571,183	815,558	79,018
<b>20</b> Interest . . . . .	64,937,858	64,936,576	1,282	
<b>21</b> Payments to affiliates . . . . .				
<b>22</b> Depreciation, depletion, and amortization . . . . .	175,499,837	164,277,093	9,784,489	1,438,255
<b>23</b> Insurance . . . . .	16,527,974	15,926,180	601,325	469
<b>24</b> Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O )	465,622,187	464,642,289	979,898	
<b>a</b> Other Medical				
<b>b</b> Other	27,490,480	24,337,287	2,913,283	239,910
<b>c</b> Other Programs	22,941,235	17,362,951	4,394,233	1,184,051
<b>d</b> Other Library	13,573,810	13,573,764	46	
<b>e</b> All other expenses	1,815,319	1,815,319		
<b>25 Total functional expenses.</b> Add lines 1 through 24e	3,984,258,509	3,729,475,841	222,130,885	32,651,783
<b>26 Joint costs.</b> Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ► if following SOP 98-2 (ASC 958-720)				

**Part X Balance Sheet**Check if Schedule O contains a response or note to any line in this Part X 

		<b>(A) Beginning of year</b>	<b>(B) End of year</b>
<b>Assets</b>			
<b>1</b>	Cash—non-interest-bearing . . . . .	9,763,929	<b>1</b> <span style="float: right;">26,417,004</span>
<b>2</b>	Savings and temporary cash investments . . . . .	835,473,927	<b>2</b> <span style="float: right;">1,217,954,960</span>
<b>3</b>	Pledges and grants receivable, net . . . . .	120,592,881	<b>3</b> <span style="float: right;">130,350,166</span>
<b>4</b>	Accounts receivable, net . . . . .	362,026,560	<b>4</b> <span style="float: right;">358,287,724</span>
<b>5</b>	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L . . . . .		<b>5</b>
<b>6</b>	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L . . . . .		<b>6</b>
<b>7</b>	Notes and loans receivable, net . . . . .	15,093,464	<b>7</b> <span style="float: right;">13,956,216</span>
<b>8</b>	Inventories for sale or use . . . . .	47,693,015	<b>8</b> <span style="float: right;">49,519,332</span>
<b>9</b>	Prepaid expenses and deferred charges . . . . .	32,545,169	<b>9</b> <span style="float: right;">35,413,374</span>
<b>10a</b>	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	<b>10a</b> <span style="float: right;">3,958,095,054</span>	
<b>b</b>	Less accumulated depreciation . . . . .	<b>10b</b> <span style="float: right;">2,208,106,457</span>	<b>10c</b> <span style="float: right;">1,749,988,597</span>
<b>11</b>	Investments—publicly traded securities . . . . .	1,815,545,416	<b>11</b> <span style="float: right;">2,053,714,052</span>
<b>12</b>	Investments—other securities See Part IV, line 11 . . . . .	2,518,096,969	<b>12</b> <span style="float: right;">2,299,770,603</span>
<b>13</b>	Investments—program-related See Part IV, line 11 . . . . .	69,813,272	<b>13</b> <span style="float: right;">50,491,696</span>
<b>14</b>	Intangible assets . . . . .		<b>14</b>
<b>15</b>	Other assets See Part IV, line 11 . . . . .	4,638,439	<b>15</b> <span style="float: right;">4,975,555</span>
<b>16</b>	<b>Total assets.</b> Add lines 1 through 15 (must equal line 34) . . . . .	7,597,041,734	<b>16</b> <span style="float: right;">7,990,839,279</span>
<b>Liabilities</b>			
<b>17</b>	Accounts payable and accrued expenses . . . . .	457,684,601	<b>17</b> <span style="float: right;">424,049,272</span>
<b>18</b>	Grants payable . . . . .	693,015	<b>18</b> <span style="float: right;">1,645,691</span>
<b>19</b>	Deferred revenue . . . . .	90,107,277	<b>19</b> <span style="float: right;">90,273,296</span>
<b>20</b>	Tax-exempt bond liabilities . . . . .	976,135,567	<b>20</b> <span style="float: right;">928,255,524</span>
<b>21</b>	Escrow or custodial account liability Complete Part IV of Schedule D . . . . .	1,494,329	<b>21</b> <span style="float: right;">1,957,319</span>
<b>22</b>	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L . . . . .		<b>22</b>
<b>23</b>	Secured mortgages and notes payable to unrelated third parties . . . . .	365,333,445	<b>23</b> <span style="float: right;">364,874,308</span>
<b>24</b>	Unsecured notes and loans payable to unrelated third parties . . . . .		<b>24</b>
<b>25</b>	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D . . . . .	370,346,880	<b>25</b> <span style="float: right;">340,190,326</span>
<b>26</b>	<b>Total liabilities.</b> Add lines 17 through 25 . . . . .	2,261,795,114	<b>26</b> <span style="float: right;">2,151,245,736</span>
<b>Net Assets or Fund Balances</b>			
	<b>Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.</b>		
<b>27</b>	Unrestricted net assets . . . . .	2,967,761,869	<b>27</b> <span style="float: right;">3,175,677,603</span>
<b>28</b>	Temporarily restricted net assets . . . . .	1,235,065,436	<b>28</b> <span style="float: right;">1,467,482,249</span>
<b>29</b>	Permanently restricted net assets . . . . .	1,132,419,315	<b>29</b> <span style="float: right;">1,196,433,691</span>
	<b>Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.</b>		
<b>30</b>	Capital stock or trust principal, or current funds . . . . .		<b>30</b>
<b>31</b>	Paid-in or capital surplus, or land, building or equipment fund . . . . .		<b>31</b>
<b>32</b>	Retained earnings, endowment, accumulated income, or other funds . . . . .		<b>32</b>
<b>33</b>	Total net assets or fund balances . . . . .	5,335,246,620	<b>33</b> <span style="float: right;">5,839,593,543</span>
<b>34</b>	Total liabilities and net assets/fund balances . . . . .	7,597,041,734	<b>34</b> <span style="float: right;">7,990,839,279</span>

**Part XI Reconciliation of Net Assets**Check if Schedule O contains a response or note to any line in this Part XI 

1 Total revenue (must equal Part VIII, column (A), line 12) . . . . .	1 4,028,979,910
2 Total expenses (must equal Part IX, column (A), line 25) . . . . .	2 3,984,258,509
3 Revenue less expenses Subtract line 2 from line 1 . . . . .	3 44,721,401
4 Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) . . . . .	4 5,335,246,620
5 Net unrealized gains (losses) on investments . . . . .	5 496,459,491
6 Donated services and use of facilities . . . . .	6
7 Investment expenses . . . . .	7
8 Prior period adjustments . . . . .	8
9 Other changes in net assets or fund balances (explain in Schedule O) . . . . .	9 -36,833,969
10 Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10 5,839,593,543

**Part XII Financial Statements and Reporting**Check if Schedule O contains a response or note to any line in this Part XII 

	<b>Yes</b>	<b>No</b>
1 Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a Were the organization's financial statements compiled or reviewed by an independent accountant?  If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both  <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	2a	No
2b Were the organization's financial statements audited by an independent accountant?  If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both  <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	2b	Yes
2c If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?  If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	2c	Yes
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	Yes
3b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b	Yes

## Additional Data

**Software ID:**  
**Software Version:**  
**EIN:** 62-0476822  
**Name:** Vanderbilt University

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)					(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee			
Michael L Ainslie Trustee	1 00	X					0	0	0
M Chandler Anthony Trustee	1 00	X					0	0	0
John D Arnold Trustee	1 00	X					0	0	0
Lee M Bass Trustee	1 00	X					0	0	0
Darryl D Berger Trustee	1 00	X					0	0	0
Camilla Dietz Bergeron Trustee	1 00	X					0	0	0
Dennis C Bottorff Trustee	1 00	X					0	0	0
Bill Ray Caldwell Trustee	1 00	X					0	0	0
Mark F Dalton Chairman	1 00	X					0	0	0
Claiborne P Deming Trustee	1 00	X					0	0	0
Charles H Esserman Trustee	1 00	X					0	0	0
Bruce R Evans Trustee	1 00	X					0	0	0
Joanne F Hayes Secretary	1 00	X					0	0	0
David W Head Trustee	1 00	X					1,596	0	0
John J Hindle Trustee	1 00	X					0	0	0
Edith Carell Johnson Trustee	1 00	X					0	0	0
Leslie C Labruto Trustee	1 00	X					0	0	0
Mark P Mays Trustee	1 00	X					0	0	0
Jackson W Moore Vice-Chairman	1 00	X					0	0	0
Courtney C Pastrick Trustee	1 00	X					0	0	0
David W Patterson Trustee	1 00	X					0	0	0
Nancy E Perot Vice-Chairman	1 00	X					0	0	0
H Ross Perot Jr Trustee	1 00	X					0	0	0
Robert C Schiff Trustee	1 00	X					0	0	0
Eugene B Shanks Jr Trustee	1 00	X					0	0	0

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

**SCHEDULE A**  
(Form 990 or 990EZ)**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

**2013****Open to Public  
Inspection**Department of the  
Treasury  
Internal Revenue Service

► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

► Information about Schedule A (Form 990 or 990-EZ) and its instructions is at  
[www.irs.gov/form990](http://www.irs.gov/form990).**Name of the organization**  
Vanderbilt University**Employer identification number**

62-0476822

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

- 1  A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.  
 2  A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E )  
 3  A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.  
 4  A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state \_\_\_\_\_  
 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II )  
 6  A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.  
 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II )  
 8  A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II )  
 9  An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2)**. (Complete Part III )  
 10  An organization organized and operated exclusively to test for public safety See **section 509(a)(4)**.  
 11  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h  
     a  Type I    b  Type II    c  Type III - Functionally integrated    d  Type III - Non-functionally integrated  
 e  By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)  
 f  If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box  
 g  Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?  
     (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?  
     (ii) A family member of a person described in (i) above?  
     (iii) A 35% controlled entity of a person described in (i) or (ii) above?  
 h  Provide the following information about the supported organization(s)

	<b>Yes</b>	<b>No</b>
<b>11g(i)</b>		
<b>11g(ii)</b>		
<b>11g(iii)</b>		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
<b>Total</b>									

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants")	465,045,250	504,015,837	476,594,262	484,681,246	494,500,126	2,424,836,721
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 <b>Total.</b> Add lines 1 through 3	465,045,250	504,015,837	476,594,262	484,681,246	494,500,126	2,424,836,721
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 <b>Public support.</b> Subtract line 5 from line 4						2,424,836,721

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4	465,045,250	504,015,837	476,594,262	484,681,246	494,500,126	2,424,836,721
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	56,000,233	110,844,994	65,659,926	196,756,446	138,255,832	567,517,431
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV )	23,477,087	30,380,900	26,648,887	36,202,593	4,535,825	121,245,292
11 <b>Total support</b> (Add lines 7 through 10)						3,113,599,444
12 Gross receipts from related activities, etc (see instructions)					12	15,960,631,602
13 <b>First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and <b>stop here</b> . . . . .						

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f))	14	77 880 %
15 Public support percentage for 2012 Schedule A, Part II, line 14	15	
16a <b>33 1/3% support test—2013.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization		►✓
b <b>33 1/3% support test—2012.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization		►□
17a <b>10%-facts-and-circumstances test—2013.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here</b> . Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		►□
b <b>10%-facts-and-circumstances test—2012.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here</b> . Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		►□
18 <b>Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions		►□

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

<b>Calendar year (or fiscal year beginning in) ►</b>	<b>(a) 2009</b>	<b>(b) 2010</b>	<b>(c) 2011</b>	<b>(d) 2012</b>	<b>(e) 2013</b>	<b>(f) Total</b>
<b>1</b> Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants")						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge						
<b>6 Total.</b> Add lines 1 through 5						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
<b>c</b> Add lines 7a and 7b						
<b>8 Public support.</b> (Subtract line 7c from line 6 )						

**Section B. Total Support**

<b>Calendar year (or fiscal year beginning in) ►</b>	<b>(a) 2009</b>	<b>(b) 2010</b>	<b>(c) 2011</b>	<b>(d) 2012</b>	<b>(e) 2013</b>	<b>(f) Total</b>
<b>9</b> Amounts from line 6						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
<b>c</b> Add lines 10a and 10b						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV )						
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12 )						
<b>14 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and <b>stop here</b>						

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	<b>15</b>
<b>16</b> Public support percentage from 2012 Schedule A, Part III, line 15	<b>16</b>

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f))	<b>17</b>
<b>18</b> Investment income percentage from 2012 Schedule A, Part III, line 17	<b>18</b>
<b>19a</b> <b>33 1/3% support tests—2013.</b> If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization	
<b>b</b> <b>33 1/3% support tests—2012.</b> If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization	
<b>20 Private foundation.</b> If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions	

**Part IV Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).**Facts And Circumstances Test**

## Return Reference

## Explanation

Part II Section B Line 10

The total amounts reported on Line 10 columns (a) through (e) are comprised of gross fundraising revenue and other miscellaneous operating revenue

**SCHEDULE C**  
(Form 990 or 990-EZ)**Political Campaign and Lobbying Activities****2013****For Organizations Exempt From Income Tax Under section 501(c) and section 527**

- Complete if the organization is described below. ► Attach to Form 990 or Form 990-EZ.
- See separate instructions. ► Information about Schedule C (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**Open to Public  
Inspection****If the organization answered "Yes" to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

**If the organization answered "Yes" to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

**If the organization answered "Yes" to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then**

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization Vanderbilt University	Employer identification number 62-0476822
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**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV
- 2 Political expenditures ► \$ \_\_\_\_\_
- 3 Volunteer hours \_\_\_\_\_

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ► \$ \_\_\_\_\_
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ► \$ \_\_\_\_\_
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year?  Yes  No
- 4a Was a correction made?  Yes  No
- b If "Yes," describe in Part IV

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ► \$ \_\_\_\_\_
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ► \$ \_\_\_\_\_
- 3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ► \$ \_\_\_\_\_
- 4 Did the filing organization file **Form 1120-POL** for this year?  Yes  No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

**Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

- A** Check  if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)
- B** Check  if the filing organization checked box A and "limited control" provisions apply

**Limits on Lobbying Expenditures**  
(The term "expenditures" means amounts paid or incurred.)

- 1a** Total lobbying expenditures to influence public opinion (grass roots lobbying)
- b** Total lobbying expenditures to influence a legislative body (direct lobbying)
- c** Total lobbying expenditures (add lines 1a and 1b)
- d** Other exempt purpose expenditures
- e** Total exempt purpose expenditures (add lines 1c and 1d)
- f** Lobbying nontaxable amount Enter the amount from the following table in both columns

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over \$500,000	20% of the amount on line 1e
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000
Over \$17,000,000	\$1,000,000

- g** Grassroots nontaxable amount (enter 25% of line 1f)
- h** Subtract line 1g from line 1a If zero or less, enter -0-
- i** Subtract line 1f from line 1c If zero or less, enter -0-
- j** If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?

Yes  No

**4-Year Averaging Period Under Section 501(h)**

**(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)**

**Lobbying Expenditures During 4-Year Averaging Period**

Calendar year (or fiscal year beginning in)	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) Total
<b>2a</b> Lobbying nontaxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
<b>b</b> Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
<b>c</b> Total lobbying expenditures	101,442	137,299	382,544	388,622	1,009,907
<b>d</b> Grassroots nontaxable amount	250,000	250,000	250,000	250,000	1,000,000
<b>e</b> Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
<b>f</b> Grassroots lobbying expenditures					

**Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

For each "Yes" response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

	(a)		(b)
	Yes	No	Amount
<b>1</b> During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
<b>a</b> Volunteers?			
<b>b</b> Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
<b>c</b> Media advertisements?			
<b>d</b> Mailings to members, legislators, or the public?			
<b>e</b> Publications, or published or broadcast statements?			
<b>f</b> Grants to other organizations for lobbying purposes?			
<b>g</b> Direct contact with legislators, their staffs, government officials, or a legislative body?			
<b>h</b> Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
<b>i</b> Other activities?			
<b>j</b> Total Add lines 1c through 1i			
<b>2a</b> Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
<b>b</b> If "Yes," enter the amount of any tax incurred under section 4912			
<b>c</b> If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
<b>d</b> If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

**Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

	Yes	No
<b>1</b> Were substantially all (90% or more) dues received nondeductible by members?	1	
<b>2</b> Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
<b>3</b> Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

**Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."**

<b>1</b> Dues, assessments and similar amounts from members	1	
<b>2</b> Section 162(e) nondeductible lobbying and political expenditures ( <b>do not include amounts of political expenses for which the section 527(f) tax was paid</b> )	2a	
<b>a</b> Current year	2b	
<b>b</b> Carryover from last year	2c	
<b>c</b> Total	3	
<b>3</b> Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	4	
<b>4</b> If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	5	
<b>5</b> Taxable amount of lobbying and political expenditures (see instructions)		

**Part IV Supplemental Information**

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, line 2, and Part II-B, line 1. Also, complete this part for any additional information

Return Reference	Explanation

**Part IV**    **Supplemental Information (continued)**

Return Reference	Explanation

Schedule D (Form 990) 2013

**SCHEDULE D**  
(Form 990)**Supplemental Financial Statements**

OMB No 1545-0047

**2013**

► Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b  
 ► Attach to Form 990. ► See separate instructions. ► Information about Schedule D (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**Open to Public Inspection**Department of the Treasury  
Internal Revenue Service**Name of the organization**  
Vanderbilt University**Employer identification number**

62-0476822

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		

- 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?  Yes  No
- 6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?  Yes  No

**Part II Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)	<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education) <input type="checkbox"/> Preservation of an historically important land area
	<input type="checkbox"/> Protection of natural habitat <input type="checkbox"/> Preservation of a certified historic structure
	<input type="checkbox"/> Preservation of open space
2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year	
a Total number of conservation easements	<b>Held at the End of the Year</b>
b Total acreage restricted by conservation easements	2a
c Number of conservation easements on a certified historic structure included in (a)	2b
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2c
	2d

- 3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ► \_\_\_\_\_
- 4 Number of states where property subject to conservation easement is located ► \_\_\_\_\_
- 5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?  Yes  No
- 6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year  
 ► \_\_\_\_\_
- 7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year  
 ► \$ \_\_\_\_\_
- 8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?  Yes  No
- 9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**  
Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

- 1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items
- b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1	► \$ 931,816
(ii) Assets included in Form 990, Part X	► \$ 6,754,390
2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items	
a Revenues included in Form 990, Part VIII, line 1	► \$ _____
b Assets included in Form 990, Part X	► \$ _____

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)**

**3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

- |  |  |
|--|--|
| <b>a</b> <input checked="" type="checkbox"/> Public exhibition                   | <b>d</b> <input checked="" type="checkbox"/> Loan or exchange programs |
| <b>b</b> <input checked="" type="checkbox"/> Scholarly research                  | <b>e</b> <input checked="" type="checkbox"/> Other Education           |
| <b>c</b> <input checked="" type="checkbox"/> Preservation for future generations |  |

**4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

**5** During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

**1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No

**b** If "Yes," explain the arrangement in Part XIII and complete the following table

	<b>Amount</b>
<b>1c</b>	
<b>1d</b>	
<b>1e</b>	
<b>1f</b>	

**2a** Did the organization include an amount on Form 990, Part X, line 21?  Yes  No

**b** If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII . . . . .

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
<b>1a</b> Beginning of year balance . . . . .	3,635,343,038	3,360,035,514	3,375,152,628	3,007,607,383	2,833,614,014
<b>b</b> Contributions . . . . .	88,751,983	116,470,228	88,007,709	117,059,853	87,532,312
<b>c</b> Net investment earnings, gains, and losses . . . . .	491,337,590	319,370,422	52,851,982	408,026,551	246,815,111
<b>d</b> Grants or scholarships . . . . .	69,952,281	60,864,586	58,847,982	30,779,321	37,168,980
<b>e</b> Other expenditures for facilities and programs . . . . .	87,607,660	89,692,275	89,046,970	121,479,173	115,903,768
<b>f</b> Administrative expenses . . . . .	11,622,291	9,976,265	8,081,853	5,282,665	7,281,306
<b>g</b> End of year balance . . . . .	4,046,250,379	3,635,343,038	3,360,035,514	3,375,152,628	3,007,607,383

**2** Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

**a** Board designated or quasi-endowment ► 39 680 %

**b** Permanent endowment ► 26 700 %

**c** Temporarily restricted endowment ► 33 620 %

The percentages in lines 2a, 2b, and 2c should equal 100%

**3a** Are there endowment funds not in the possession of the organization that are held and administered for the organization by

	<b>Yes</b>	<b>No</b>
<b>3a(i)</b>		
<b>3a(ii)</b>		
<b>3b</b>		

**b** If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? . . . . .

**4** Describe in Part XIII the intended uses of the organization's endowment funds

**Part VI Land, Buildings, and Equipment.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

	Description of property	(a) Cost or other basis (Investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
<b>1a</b> Land . . . . .		60,627,732			60,627,732
<b>b</b> Buildings . . . . .		2,667,415,501	1,346,661,514		1,320,753,987
<b>c</b> Leasehold improvements . . . . .		77,938,945	28,258,945		49,680,000
<b>d</b> Equipment . . . . .		1,047,040,538	833,185,998		213,854,540
<b>e</b> Other . . . . .		105,072,338			105,072,338
<b>Total.</b> Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c).) . . . . .					1,749,988,597

**Part VII Investments—Other Securities.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

<b>(a) Description of security or category (including name of security)</b>	<b>(b) Book value</b>	<b>(c) Method of valuation Cost or end-of-year market value</b>
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A) Limited Partnerships	1,750,989,795	F
(B) Int in Trusts Held by Others	39,790,294	F
(C) Other Investments & Securities	353,332,856	F
(D) Closely-held Equity Interests	5,590,336	C
(E) Allocable to Minority Int	150,067,322	F
<b>Total. (Column (b) must equal Form 990, Part X, col (B) line 12 )</b>	<b>2,299,770,603</b>	

**Part VIII Investments—Program Related.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11c.  
See Form 990, Part X, line 12.

**Part IX Other Assets.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11d See Form 990, Part X, line 15

**Total.** (Column (b) must equal Form 990, Part X, col.(B) line 15.)

**Part X Other Liabilities.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

**2. Liability for uncertain tax positions** In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII.

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return** Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

<b>1</b>	Total revenue, gains, and other support per audited financial statements . . . . .	<b>1</b>	
<b>2</b>	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
<b>a</b>	Net unrealized gains on investments . . . . .	<b>2a</b>	
<b>b</b>	Donated services and use of facilities . . . . .	<b>2b</b>	
<b>c</b>	Recoveries of prior year grants . . . . .	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII ) . . . . .	<b>2d</b>	
<b>e</b>	Add lines <b>2a</b> through <b>2d</b> . . . . .	<b>2e</b>	
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b> . . . . .	<b>3</b>	
<b>4</b>	Amounts included on Form 990, Part VIII, line 12, but not on line <b>1</b>		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIII ) . . . . .	<b>4b</b>	
<b>c</b>	Add lines <b>4a</b> and <b>4b</b> . . . . .	<b>4c</b>	
<b>5</b>	Total revenue Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 12 ) . . . . .	<b>5</b>	

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

<b>1</b>	Total expenses and losses per audited financial statements . . . . .	<b>1</b>	
<b>2</b>	Amounts included on line 1 but not on Form 990, Part IX, line 25		
<b>a</b>	Donated services and use of facilities . . . . .	<b>2a</b>	
<b>b</b>	Prior year adjustments . . . . .	<b>2b</b>	
<b>c</b>	Other losses . . . . .	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII ) . . . . .	<b>2d</b>	
<b>e</b>	Add lines <b>2a</b> through <b>2d</b> . . . . .	<b>2e</b>	
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b> . . . . .	<b>3</b>	
<b>4</b>	Amounts included on Form 990, Part IX, line 25, but not on line <b>1</b> :		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIII ) . . . . .	<b>4b</b>	
<b>c</b>	Add lines <b>4a</b> and <b>4b</b> . . . . .	<b>4c</b>	
<b>5</b>	Total expenses Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18 ) . . . . .	<b>5</b>	

**Part XIII Supplemental Information**

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information

Return Reference	Explanation
Part III, Line 4	- Description of Collections Vanderbilt University maintains various collections of art, historical treasures, and other similar assets in departments across the University. Such collections include, but are not limited to, historical drawings, historical scientific instruments, historical furniture, paintings, photography, book collections, and other similar items and artifacts. All such collections further Vanderbilt's exempt purpose by providing historical materials for students and researchers, and by providing cultural, historical, and educational opportunities to Vanderbilt University students and the community at large through exhibits, displays, and loan or exchange programs. Part III, line 5 - Solicitation of Art, etc. Vanderbilt University solicited works of art to be sold at fundraising events in silent auctions. The fair market value of these items can range in value, and in FY14 the fair market value of these items did not meet the thresholds required for Form 8282 to be filed.
Part IV, Line 2b	- Agency funds Agency funds are held by Vanderbilt University, which serves as a custodian or fiscal agent for students, student groups, faculty, staff members, and other university related organizations.
Part V, Line 4	- Endowment funds Vanderbilt University's endowment funds, as related to Part V, are intended to be used for scholarships, fellowships, endowed academic chair support, and capital and operational support.

**Part XIII Supplemental Information (*continued*)**

Return Reference	Explanation

**Schools**

OMB No 1545-0047

**2013****Open to Public Inspection**Department of the Treasury  
Internal Revenue Service► Information about Schedule E (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).Name of the organization  
Vanderbilt University

Employer identification number

62-0476822

**Part I**

- 1** Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?
- 2** Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?
- 3** Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe. If "No," please explain. If you need more space use Part II

	YES	NO
<b>1</b>	Yes	
<b>2</b>	Yes	
<b>3</b>	Yes	
<b>4a</b>	Yes	
<b>4b</b>	Yes	
<b>4c</b>	Yes	
<b>4d</b>	Yes	
<b>5a</b>		No
<b>5b</b>		No
<b>5c</b>		No
<b>5d</b>		No
<b>5e</b>		No
<b>5f</b>		No
<b>5g</b>		No
<b>5h</b>		No
<b>6a</b>	Yes	
<b>6b</b>		No
<b>7</b>	Yes	

- 4** Does the organization maintain the following?

- a** Records indicating the racial composition of the student body, faculty, and administrative staff?
- b** Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?
- c** Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?
- d** Copies of all material used by the organization or on its behalf to solicit contributions?

If you answered "No" to any of the above, please explain. If you need more space, use Part II

- 5** Does the organization discriminate by race in any way with respect to

- a** Students' rights or privileges?
- b** Admissions policies?
- c** Employment of faculty or administrative staff?
- d** Scholarships or other financial assistance?
- e** Educational policies?
- f** Use of facilities?
- g** Athletic programs?
- h** Other extracurricular activities?

If you answered "Yes" to any of the above, please explain. If you need more space, use Part II

- 6a** Does the organization receive any financial aid or assistance from a governmental agency?

- b** Has the organization's right to such aid ever been revoked or suspended?

If you answered "Yes" to either line 6a or line 6b, explain on Part II

- 7** Does the organization certify that it has complied with the applicable requirements of sections 401 through 405 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No," explain on Part II

**Part II Supplemental Information.** Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also complete this part to provide any other additional information (see instructions)

Return Reference	Explanation
Schedule E, Part I, Line 3	Vanderbilt University's nondiscrimination policy is widely disseminated through various University-related websites, online application portals, orientation sessions, publication in catalogs, application materials and handbooks - See Part II for additional detail
Schedule E, line 3, Summary of Nondiscrimination Policy	In compliance with federal law, including the provisions of Title VII of the Civil Rights Act of 1964, Title IX of the Education Amendments of 1972, Sections 503 and 504 of the Rehabilitation Act of 1973, the Americans with Disabilities Act (ADA) of 1990, the ADA Amendments Act of 2008, Executive Order 11246, and the Uniformed Services Employment and Reemployment Rights Act, as amended, and the Genetic Information Nondiscrimination Act of 2008, Vanderbilt University does not discriminate against individuals on the basis of their race, sex, religion, color, national or ethnic origin, age, disability, or military service, or genetic information in its administration of educational policies, programs, or activities, admissions policies, scholarship and loan programs, athletic or other University-administered programs, or employment. In addition, the University does not discriminate against individuals on the basis of their sexual orientation, gender identity, or gender expression consistent with the University's nondiscrimination policy
Schedule E, line 6, Explanation of Government Financial Aid	Vanderbilt University participates in the following programs: Federal Pell Grants, Federal Supplemental Educational Opportunity Grants (FSEOG), Federal Direct Stafford Subsidized/Unsubsidized Loans, Federal Perkins Loans, Federal Direct Graduate Plus Loans, Federal Direct Parent Loan for Undergraduate Students (PLUS Loans), Federal Work Study Program, Tennessee Student Assistance Awards, and the Tennessee Education Lottery Scholarship Program. Vanderbilt University also receives various federal and state grants and contracts for academic and scientific research

2013

Open to Public  
Inspection**SCHEDULE F  
(Form 990)****Statement of Activities Outside the United States**

► Complete if the organization answered "Yes" to Form 990,  
Part IV, line 14b, 15, or 16.

► Attach to Form 990. ► See separate instructions.

► Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Department of the Treasury  
Internal Revenue ServiceName of the organization  
Vanderbilt University

Employer identification number

62-0476822

**Part I General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.
 Yes     No

- 1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .
- 2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.
- 3 Activites per Region** (The following Part I, line 3 table can be duplicated if additional space is needed )

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) See Add'l Data					
(2)					
(3)					
(4)					
(5)					
<b>3a Sub-total</b>	0	64			6,406,593
<b>b Total from continuation sheets to Part I</b>	6	423			1,635,002,671
<b>c Totals</b> (add lines 3a and 3b)	6	487			1,641,409,264

**Part II. Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1 <b>(a)</b> Name of organization	<b>(b)</b> IRS code section and EIN (if applicable)	<b>(c)</b> Region	<b>(d)</b> Purpose of grant	<b>(e)</b> Amount of cash grant	<b>(f)</b> Manner of cash disbursement	<b>(g)</b> Amount of non-cash assistance	<b>(h)</b> Description of non-cash assistance	<b>(i)</b> Method of valuation (book, FMV, appraisal, other)
(1) See Add'l Data								
(2)								
(3)								
(4)								
(5)								
(6)								
(7)								
(8)								
(9)								
(10)								
(11)								
(12)								
(13)								
(14)								
(15)								
(16)								

- 2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . ► 45
- 3 Enter total number of other organizations or entities . . . . . ► 38

**Part III Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16.  
 Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
( 1 )							
( 2 )							
( 3 )							
( 4 )							
( 5 )							
( 6 )							
( 7 )							
( 8 )							
( 9 )							
( 10 )							
( 11 )							
( 12 )							
( 13 )							
( 14 )							
( 15 )							
( 16 )							
( 17 )							
( 18 )							

**Part IV Foreign Forms**

- 1 Was the organization a U S transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, *Return by a U.S. Transferor of Property to a Foreign Corporation* (see Instructions for Form 926)  Yes  No
- 2 Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to file Form 3520, *Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts*, and/or Form 3520-A, *Annual Information Return of Foreign Trust With a U.S. Owner* (see Instructions for Forms 3520 and 3520-A)  Yes  No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, *Information Return of U.S. Persons with Respect to Certain Foreign Corporations*. (see Instructions for Form 5471)  Yes  No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, *Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund*. (see Instructions for Form 8621)  Yes  No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, *Return of U.S. Persons with Respect to Certain Foreign Partnerships*. (see Instructions for Form 8865)  Yes  No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to file Form 5713, *International Boycott Report* (see Instructions for Form 5713).  Yes  No

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

**990 Schedule F, Supplemental Information**

Return Reference	Explanation
Part I, Line 2	- Monitoring Use of Grant Funds Vanderbilt University maintains a formal policy defining its procedures for monitoring the use of sponsored funds by subrecipients located outside of the United States who are performing a portion of a sponsored project externally awarded to Vanderbilt. The policy provides guidance to ensure that subrecipients conduct their portions of sponsored projects in compliance with laws, regulations, terms and conditions of awards and subawards, and that reimbursed costs incurred by subrecipients are allowed. The policy addresses the roles and responsibilities of central offices and academic departments of the University and describes the monitoring procedures for each area. The full text of Vanderbilt's subrecipient monitoring guidelines are available online at the following web address <a href="http://www.vanderbilt.edu/ocga/vupolicies/subrecipient_subrecipientsmonitoringguidelinesfinal.pdf">http://www.vanderbilt.edu/ocga/vupolicies/subrecipient_subrecipientsmonitoringguidelinesfinal.pdf</a>

**990 Schedule F, Supplemental Information**

Return Reference	Explanation
Part I, line 3	- Accounting method for Reporting Expenses Expenses reported in Schedule F, Part I, Line 3 and Part II, Line 1 are derived from Vanderbilt's books and records, which are maintained on the accrual basis of accounting

## Additional Data

**Software ID:**  
**Software Version:**  
**EIN:** 62-0476822  
**Name:** Vanderbilt University

### Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe	0	0	Fundraising	N/A	5,247
South Asia	0	0	Fundraising	N/A	5,871
Central America/Caribbean	0	7	Grantmaking	N/A	633,588

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	11	Grantmaking	N/A	798,839
Europe	0	18	Grantmaking	N/A	1,900,631
Middle East and North Africa	0	2	Grantmaking	N/A	199,882

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America	0	11	Grantmaking	N/A	773,175
South America	0	15	Grantmaking	N/A	2,089,360
South Asia	0	2	Grantmaking	N/A	26,210

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	0	13	Grantmaking	N/A	10,381,433
Central America/Caribbean	0	0	Investments	N/A	1,180,321,691
East Asia and the Pacific	0	0	Investments	N/A	56,380,357

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe	0	0	Investments	N/A	248,512,491
North America	0	0	Investments	N/A	24,497,635
South America	0	0	Investments	N/A	11,888

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia	0	0	Investments	N/A	53,195,119
Sub-Saharan Africa	0	0	Investments	N/A	41,595,252
Central America/Caribbean	1	1	Program Services	Education, Health-Care, Research	1,228,103

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	0	Program Services	Education, Health-Care, Research	831,882
Europe	1	7	Program Services	Education, Health-Care, Research	3,624,547
Middle East and North Africa	1	1	Program Services	Education, Health-Care, Research	875,510

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America	0	0	Program Services	Education, Health-Care, Research	558,885
Russia/Independent States	0	0	Program Services	Education, Health-Care, Research	36,646
South America	0	3	Program Services	Education, Health-Care, Research	1,304,601

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia	0	16	Program Services	Education, Health-Care, Research	688,924
Sub-Saharan Africa	3	380	Program Services	Education, Health-Care, Research	10,114,393
Central America/Caribbean	0	0	Send Agents to Seminar	N/A	20,162

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	0	Send Agents to Seminar	N/A	173,819
Europe	0	0	Send Agents to Seminar	N/A	399,618
Middle East and North Africa	0	0	Send Agents to Seminar	N/A	12,485

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America	0	0	Send Agents to Seminar	N/A	152,478
South America	0	0	Send Agents to Seminar	N/A	28,315
South Asia	0	0	Send Agents to Seminar	N/A	13,556

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	0	0	Send Agents to Seminar	N/A	16,671

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Central America and the Caribbean	Subcontracts-Research	7,949	Wire		N/A	N/A
		Central America and the Caribbean	Subcontracts-Research	374,732	Wire		N/A	N/A
		Central America and the Caribbean	Subcontracts-Research	40,943	Wire		N/A	N/A
		Central America and the Caribbean	Subcontracts-Research	10,670	Wire		N/A	N/A

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(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Central America and the Caribbean	Subcontracts-Research	35,188	Wire		N/A	N/A
		Central America and the Caribbean	Subcontracts-Research	37,150	Wire		N/A	N/A
		East Asia and the Pacific	Subcontracts-Research	6,433	Wire		N/A	N/A
		East Asia and the Pacific	Subcontracts-Research	18,027	Wire		N/A	N/A

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(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	Subcontracts-Instruction	34,613	Wire		N/A	N/A
		Europe (Including Iceland and Greenland)	Subcontracts-Research	251,785	Wire		N/A	N/A
		Europe (Including Iceland and Greenland)	Subcontracts-Research	278,406	Wire		N/A	N/A
		Europe (Including Iceland and Greenland)	Subcontracts-Research	216,860	Wire		N/A	N/A

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		Europe (Including Iceland and Greenland)	Subcontracts-Research	177,020	Wire		N/A	N/A
		North America	Subcontracts-Research	258,268	Wire		N/A	N/A
		North America	Subcontracts-Research	49,462	Check		N/A	N/A
		North America	Subcontracts-Research	26,415	Check		N/A	N/A

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	Subcontracts-Research	93,192	Check & Wire		N/A	N/A
		South America	Subcontracts-Research	119,998	Wire		N/A	N/A
		South America	Subcontracts-Research	31,160	Wire		N/A	N/A
		South America	Subcontracts-Research	38,878	Wire		N/A	N/A

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	Subcontracts-Research	14,107	Wire		N/A	N/A
		South America	Subcontracts-Research	56,910	Wire		N/A	N/A
		South America	Subcontracts-Research	62,250	Wire		N/A	N/A
		South America	Subcontracts-Research	152,963	Wire		N/A	N/A

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Central America and the Caribbean	Subcontracts-Research	126,957	EFT		N/A	N/A
		East Asia and the Pacific	Subcontracts-Research	167,720	Check		N/A	N/A
		East Asia and the Pacific	Subcontracts-Research	16,383	Check		N/A	N/A
		East Asia and the Pacific	Subcontracts-Instruction	14,060	EFT		N/A	N/A

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	Subcontracts-Research	34,560	EFT		N/A	N/A
		East Asia and the Pacific	Subcontracts-Research	109,244	EFT		N/A	N/A
		East Asia and the Pacific	Subcontracts-Research	326,279	EFT		N/A	N/A
		East Asia and the Pacific	Subcontracts-Research	58,020	EFT		N/A	N/A

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	Subcontracts-Instruction	13,500	EFT		N/A	N/A
		Europe (Including Iceland and Greenland)	Subcontracts-Research	54,855	Check		N/A	N/A
		Europe (Including Iceland and Greenland)	Subcontracts-Research	115,087	Check		N/A	N/A
		Europe (Including Iceland and Greenland)	Subcontracts-Research	18,774	Check		N/A	N/A

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	Subcontracts-Research	53,832	Check		N/A	N/A
		Europe (Including Iceland and Greenland)	Subcontracts-Research	141,778	Check		N/A	N/A
		Europe (Including Iceland and Greenland)	Subcontracts-Research	31,605	EFT		N/A	N/A
		Europe (Including Iceland and Greenland)	Subcontracts-Research	59,560	EFT		N/A	N/A

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	Subcontracts-Research	60,176	EFT		N/A	N/A
		Europe (Including Iceland and Greenland)	Subcontracts-Research	42,526	EFT		N/A	N/A
		Europe (Including Iceland and Greenland)	Subcontracts-Instruction	11,534	EFT		N/A	N/A
		Europe (Including Iceland and Greenland)	Subcontracts-Research	309,602	EFT		N/A	N/A

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	Subcontracts-Research	66,988	EFT		N/A	N/A
		Europe (Including Iceland and Greenland)	Subcontracts-Research	10,242	EFT		N/A	N/A
		Middle East and North Africa	Subcontracts-Research	70,220	EFT		N/A	N/A
		Middle East and North Africa	Subcontracts-Research	129,662	EFT		N/A	N/A

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	Subcontracts-Research	69,491	Check		N/A	N/A
		North America	Subcontracts-Research	20,467	Check		N/A	N/A
		North America	Subcontracts-Research	56,269	Check		N/A	N/A
		North America	Subcontracts-Research	23,731	Check		N/A	N/A

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	Subcontracts-Research	95,178	Check		N/A	N/A
		North America	Subcontracts-Research	27,728	Check		N/A	N/A
		North America	Subcontracts-Research	52,976	EFT		N/A	N/A
		South America	Subcontracts-Instruction	29,225	EFT		N/A	N/A

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	Subcontracts-Research	327,832	EFT		N/A	N/A
		South America	Subcontracts-Research	155,400	EFT		N/A	N/A
		South America	Subcontracts-Research	618,601	EFT		N/A	N/A
		South America	Subcontracts-Research	18,612	EFT		N/A	N/A

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	Subcontracts-Research	116,942	EFT		N/A	N/A
		South America	Subcontracts-Research	195,361	EFT		N/A	N/A
		South America	Subcontracts-Research	121,422	EFT		N/A	N/A
		South America	Subcontracts-Research	29,700	EFT		N/A	N/A

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	Subcontracts-Instruction	17,766	EFT		N/A	N/A
		South Asia	Subcontracts-Instruction	8,444	EFT		N/A	N/A
		Sub-Saharan Africa	Subcontracts-Instruction	90,345	EFT		N/A	N/A
		Sub-Saharan Africa	Subcontracts-Research	32,576	EFT		N/A	N/A

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Subcontracts-Instruction	23,439	EFT		N/A	N/A
		Sub-Saharan Africa	Subcontracts-Patient Care	269,754	EFT		N/A	N/A
		Sub-Saharan Africa	Subcontracts-Instruction	10,151	EFT		N/A	N/A
		Sub-Saharan Africa	Subcontracts-Research	38,511	EFT		N/A	N/A

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Subcontracts-Research	5,835	EFT		N/A	N/A
		Sub-Saharan Africa	Subcontracts-Instruction	10,940	EFT		N/A	N/A
		Sub-Saharan Africa	Subcontracts-Research	50,823	EFT		N/A	N/A
		Sub-Saharan Africa	Subcontracts-Research	5,743	EFT		N/A	N/A

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Subcontracts-Instruction	52,170	EFT		N/A	N/A
		Sub-Saharan Africa	Subcontracts-Instruction	33,185	EFT		N/A	N/A
		Sub-Saharan Africa	Subcontracts-Research and Patient Care	9,637,588	Wire		N/A	N/A
		Sub-Saharan Africa	Subcontracts-Patient Care	7,961	Wire		N/A	N/A

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Subcontracts-General	25,776	Wire		N/A	N/A
		Sub-Saharan Africa	Subcontracts-General	55,907	Wire		N/A	N/A
		Sub-Saharan Africa	Subcontracts-General	22,025	Wire		N/A	N/A

2013

Open to Public  
Inspection**SCHEDULE G**  
**(Form 990 or 990-EZ)****Supplemental Information Regarding  
Fundraising or Gaming Activities**

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.  
 Attach to Form 990 or Form 990-EZ.  See separate instructions.

► Information about Schedule G (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).Department of the Treasury  
Internal Revenue ServiceName of the organization  
Vanderbilt University

Employer identification number

62-0476822

**Part I Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1** Indicate whether the organization raised funds through any of the following activities Check all that apply
- |   |  |
|---|--|
| <b>a</b> <input checked="" type="checkbox"/> Mail solicitations               | <b>e</b> <input checked="" type="checkbox"/> Solicitation of non-government grants |
| <b>b</b> <input checked="" type="checkbox"/> Internet and email solicitations | <b>f</b> <input checked="" type="checkbox"/> Solicitation of government grants     |
| <b>c</b> <input checked="" type="checkbox"/> Phone solicitations              | <b>g</b> <input checked="" type="checkbox"/> Special fundraising events            |
| <b>d</b> <input checked="" type="checkbox"/> In-person solicitations          |  |
- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?  Yes  No
- b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1 RuffaloCody LLC 65 Kirkwood North Road SW  Cedar Rapids, IA 52404	Call Center		No	1,108,776	460,253	648,523
2 True Sense Marketing 155 Commerce Drive  Freedom, PA 15042	Consulting Regarding Annual Giving Direct Appeals		No	121,585	35,433	86,152
3 Grenzebach Glier and Associates 401 N Michigan Avenue Suite 2800  Chicago, IL 60611	Consulting Services Related to Fundraising Programs		No	0	113,827	0
4						
5						
6						
7						
8						
9						
10						
<b>Total . . . . .</b>				1,230,361	609,513	734,675

- 3** List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing

**Part II Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1 <u>Rascal Flatts - Behind the Music</u> (event type)	(b) Event #2 <u>Music City Tennis Invitational</u> (event type)	(c) Other events <u>9</u> (total number)	(d) Total events (add col (a) through col (c))
Revenue	1 Gross receipts . . .	243,100	121,036	416,304	780,440
	2 Less Contributions . . .	176,000	93,037	258,723	527,760
	3 Gross income (line 1 minus line 2) . . .	67,100	27,999	157,581	252,680
Direct Expenses	4 Cash prizes . . .				
	5 Noncash prizes . . .	6,100	3,171	10,543	19,814
	6 Rent/facility costs . . .	27,755	3,556	6,884	38,195
	7 Food and beverages . . .	18,503	6,446	29,749	54,698
	8 Entertainment . . .	155	356	32,044	32,555
	9 Other direct expenses . . .	2,665	6,063	52,413	61,141
	10 Direct expense summary Add lines 4 through 9 in column (d) . . . . .				(206,403)
	11 Net income summary Subtract line 10 from line 3, column (d) . . . . .				46,277

**Part III Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1 Gross revenue . . . . .				
Direct Expenses	2 Cash prizes . . . . .				
	3 Non-cash prizes . . . . .				
	4 Rent/facility costs . . . . .				
	5 Other direct expenses . . . . .				
	6 Volunteer labor . . . . .	<input type="checkbox"/> Yes ..... % <input type="checkbox"/> No	<input type="checkbox"/> Yes ..... % <input type="checkbox"/> No	<input type="checkbox"/> Yes ..... % <input type="checkbox"/> No	
	7 Direct expense summary Add lines 2 through 5 in column (d) . . . . .				
	8 Net gaming income summary Subtract line 7 from line 1, column (d) . . . . .				

9 Enter the state(s) in which the organization operates gaming activities \_\_\_\_\_

a Is the organization licensed to operate gaming activities in each of these states? . . . . .  Yes  No

b If "No," explain \_\_\_\_\_  
\_\_\_\_\_

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? . . . . .  Yes  No

b If "Yes," explain \_\_\_\_\_  
\_\_\_\_\_

Does the organization operate gaming activities with nonmembers? . . . . .  Yes  No

**12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? . . . . .  Yes  No

**13** Indicate the percentage of gaming activity operated in

a The organization's facility . . . . .	<b>13a</b>	%
b An outside facility . . . . .	<b>13b</b>	%

**14** Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ►

Address ►

**15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? . . . . .  Yes  No

**b** If "Yes," enter the amount of gaming revenue received by the organization ► \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ► \$ \_\_\_\_\_

**c** If "Yes," enter name and address of the third party

Name ►

Address ►

**16** Gaming manager information

Name ►

Gaming manager compensation ► \$ \_\_\_\_\_

Description of services provided ►

Director/officer

Employee

Independent contractor

**17** Mandatory distributions

**a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? . . . . .  Yes  No

**b** Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$ \_\_\_\_\_

**Part IV Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation
Schedule G, Part I, Line 2b, Column (v)	- RuffaloCody The total amount paid to RuffaloCody for Fiscal Year 2014 was \$475,415 which includes professional fundraising fees of \$460,253 and fundraising expenses of \$15,162 The contract between Vanderbilt University and RuffaloCody calls for the reimbursement of fundraising expenses incurred by RuffaloCody, such as printing and postage, which are invoiced separately from telemarketing services fees Schedule G, Part I, Line 2b, Column (v) - True Sense Marketing The total amount paid to True Sense Marketing for Fiscal Year 2014 was \$46,063, which includes professional fundraising fees of \$35,433 and postage of \$10,630 The contract between Vanderbilt University and True Sense Marketing calls for the reimbursement of travel related fundraising expenses, printing and postage incurred by True Sense Marketing, which are invoiced as incurred Schedule G, Part I, Line 2b, Column (v) - Grenzebach Glier and Associates The total amount paid to Grenzebach Glier and Associates for Fiscal Year 2014 was \$121,156, which includes professional fundraising fees of \$113,827 and expenses of \$7,329 The contract between Vanderbilt University and Grenzebach Glier and Associates calls for the reimbursement of travel related fundraising expenses incurred by Grenzebach Glier and Associates, such as airfare and hotel, which are invoiced as incurred Grenzebach Glier and Associates provide consulting services for Vanderbilt University's overall fundraising program They do not raise funds for Vanderbilt or help raise funds for a specific purpose

**SCHEDULE H  
(Form 990)****Hospitals**

OMB No 1545-0047

Department of the Treasury  
Internal Revenue Service

- Complete if the organization answered "Yes" to Form 990, Part IV, question 20.  
 ► Attach to Form 990. ► See separate instructions.

► Information about Schedule H (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**2013****Open to Public  
Inspection****Name of the organization**  
Vanderbilt University**Employer identification number**

62-0476822

**Part I Financial Assistance and Certain Other Community Benefits at Cost**

		<b>Yes</b>	<b>No</b>
<b>1a</b>	Did the organization have a financial assistance policy during the tax year? If "No," skip to question 6a . . .	<b>1a</b> Yes	
<b>b</b>	If "Yes," was it a written policy? . . . . .	<b>1b</b> Yes	
<b>2</b>	If the organization had multiple hospital facilities, indicate which of the following best describes application of the financial assistance policy to its various hospital facilities during the tax year		
	<input type="checkbox"/> Applied uniformly to all hospital facilities <input checked="" type="checkbox"/> Applied uniformly to most hospital facilities		
	<input type="checkbox"/> Generally tailored to individual hospital facilities		
<b>3</b>	Answer the following based on the financial assistance eligibility criteria that applied to the largest number of the organization's patients during the tax year		
<b>a</b>	Did the organization use Federal Poverty Guidelines (FPG) as a factor in determining eligibility for providing <i>free</i> care? If "Yes," indicate which of the following was the FPG family income limit for eligibility for <i>free</i> care	<b>3a</b> Yes	
	<input type="checkbox"/> 100% <input type="checkbox"/> 150% <input checked="" type="checkbox"/> 200% <input type="checkbox"/> Other _____ %		
<b>b</b>	Did the organization use FPG as a factor in determining eligibility for providing <i>discounted</i> care? If "Yes," indicate which of the following was the family income limit for eligibility for discounted care	<b>3b</b> Yes	
	<input type="checkbox"/> 200% <input checked="" type="checkbox"/> 250% <input type="checkbox"/> 300% <input type="checkbox"/> 350% <input type="checkbox"/> 400% <input type="checkbox"/> Other _____ %		
<b>c</b>	If the organization used factors other than FPG in determining eligibility, describe in Part VI the income based criteria for determining eligibility for free or discounted care. Include in the description whether the organization used an asset test or other threshold, regardless of income, as a factor in determining eligibility for free or discounted care		
<b>4</b>	Did the organization's financial assistance policy that applied to the largest number of its patients during the tax year provide for free or discounted care to the "medically indigent"? . . . . .	<b>4</b> Yes	
<b>5a</b>	Did the organization budget amounts for free or discounted care provided under its financial assistance policy during the tax year? . . . . .	<b>5a</b> Yes	
<b>b</b>	If "Yes," did the organization's financial assistance expenses exceed the budgeted amount? . . . . .	<b>5b</b> Yes	
<b>c</b>	If "Yes" to line 5b, as a result of budget considerations, was the organization unable to provide free or discounted care to a patient who was eligible for free or discounted care? . . . . .	<b>5c</b>	No
<b>6a</b>	Did the organization prepare a community benefit report during the tax year? . . . . .	<b>6a</b> Yes	
<b>b</b>	If "Yes," did the organization make it available to the public? . . . . .	<b>6b</b> Yes	
Complete the following table using the worksheets provided in the Schedule H instructions. Do not submit these worksheets with the Schedule H			

**7 Financial Assistance and Certain Other Community Benefits at Cost**

<b>Financial Assistance and Means-Tested Government Programs</b>	<b>(a) Number of activities or programs (optional)</b>	<b>(b) Persons served (optional)</b>	<b>(c) Total community benefit expense</b>	<b>(d) Direct offsetting revenue</b>	<b>(e) Net community benefit expense</b>	<b>(f) Percent of total expense</b>
a Financial Assistance at cost (from Worksheet 1) . . .			127,044,688	0	127,044,688	3 180 %
b Medicaid (from Worksheet 3, column a) . . . . .			391,990,480	315,483,043	76,507,436	1 920 %
c Costs of other means-tested government programs (from Worksheet 3, column b) . . .			0	0		
d <b>Total</b> Financial Assistance and Means-Tested Government Programs . . .			519,035,168	315,483,043	203,552,124	5 100 %
<b>Other Benefits</b>						
e Community health improvement services and community benefit operations (from Worksheet 4) . . .			6,336,451	2,454,627	3,881,824	0 100 %
f Health professions education (from Worksheet 5) . . .			112,994,582	27,399,812	85,594,770	2 140 %
g Subsidized health services (from Worksheet 6) . . .			0	0		
h Research (from Worksheet 7) . . .			480,861,467	375,613,562	105,247,905	2 640 %
i Cash and in-kind contributions for community benefit (from Worksheet 8) . . .			0	0		
j <b>Total</b> Other Benefits . . .			600,192,500	405,468,001	194,724,499	4 880 %
k <b>Total</b> Add lines 7d and 7j			1,119,227,668	720,951,044	398,276,623	9 980 %

**Part II Community Building Activities** Complete this table if the organization conducted any community building activities during the tax year, and describe in Part VI how its community building activities promoted the health of the communities it serves.

	(a) Number of activities or programs (optional)	(b) Persons served (optional)	(c) Total community building expense	(d) Direct offsetting revenue	(e) Net community building expense	(f) Percent of total expense
<b>1</b> Physical improvements and housing						
<b>2</b> Economic development						
<b>3</b> Community support						
<b>4</b> Environmental improvements						
<b>5</b> Leadership development and training for community members						
<b>6</b> Coalition building						
<b>7</b> Community health improvement advocacy						
<b>8</b> Workforce development						
<b>9</b> Other						
<b>10 Total</b>						

**Part III Bad Debt, Medicare, & Collection Practices**

**Section A. Bad Debt Expense**

- |  | Yes      | No         |
|--|----------|------------|
| <b>1</b> Did the organization report bad debt expense in accordance with Healthcare Financial Management Association Statement No 15?  | <b>1</b> | Yes        |
| <b>2</b> Enter the amount of the organization's bad debt expense Explain in Part VI the methodology used by the organization to estimate this amount   | <b>2</b> | 30,282,804 |
| <b>3</b> Enter the estimated amount of the organization's bad debt expense attributable to patients eligible under the organization's financial assistance policy Explain in Part VI the methodology used by the organization to estimate this amount and the rationale, if any, for including this portion of bad debt as community benefit | <b>3</b> | 731,524    |
| <b>4</b> Provide in Part VI the text of the footnote to the organization's financial statements that describes bad debt expense or the page number on which this footnote is contained in the attached financial statements  |          |            |

**Section B. Medicare**

- |  |          |             |
|--|----------|-------------|
| <b>5</b> Enter total revenue received from Medicare (including DSH and IME)  | <b>5</b> | 319,061,680 |
| <b>6</b> Enter Medicare allowable costs of care relating to payments on line 5   | <b>6</b> | 412,302,404 |
| <b>7</b> Subtract line 6 from line 5 This is the surplus (or shortfall)  | <b>7</b> | -93,240,724 |
| <b>8</b> Describe in Part VI the extent to which any shortfall reported in line 7 should be treated as community benefit Also describe in Part VI the costing methodology or source used to determine the amount reported on line 6 Check the box that describes the method used |          |             |

Cost accounting system

Cost to charge ratio

Other

**Section C. Collection Practices**

- |  |           |     |
|--|-----------|-----|
| <b>9a</b> Did the organization have a written debt collection policy during the tax year?  | <b>9a</b> | Yes |
| <b>b</b> If "Yes," did the organization's collection policy that applied to the largest number of its patients during the tax year contain provisions on the collection practices to be followed for patients who are known to qualify for financial assistance? Describe in Part VI | <b>9b</b> | No  |

**Part IV Management Companies and Joint Ventures** (owned 10% or more by officers, directors, trustees, key employees, and physicians—see instructions)

	(a) Name of entity	(b) Description of primary activity of entity	(c) Organization's profit % or stock ownership %	(d) Officers, directors, trustees, or key employees' profit % or stock ownership %	(e) Physicians' profit % or stock ownership %
<b>1</b> Ambulatory Surgery Center of Cool Springs LLC	Ambulatory Surgery Center	51 020 %	0 %	13 260 %	
<b>2</b> Vanderbilt Imaging Services LLC	Radiology Services	66 670 %	0 %	33 330 %	
<b>3</b> New Light Imaging LLC	Outpatient Diagnostic Imaging	66 670 %	0 %	33 330 %	
<b>4</b> One Hundred Oaks Imaging LLC	Outpatient Diagnostic Imaging	80 000 %	0 %	20 000 %	
<b>5</b> Williamson Imaging LLC	Outpatient Diagnostic Imaging	53 340 %	0 %	26 660 %	
<b>6</b> VIP Midsouth LLC	Pediatric Clinics	45 690 %	0 %	54 310 %	
<b>7</b> Springfield VIP Realty LLC	Own Real Estate Used as Medical Facility	49 000 %	0 %	51 000 %	
<b>8</b>					
<b>9</b>					
<b>10</b>					
<b>11</b>					
<b>12</b>					
<b>13</b>					

## **Part V Facility Information**

## **Section A. Hospital Facilities**

(list in order of size from largest to smallest—see instructions)

How many hospital facilities did the organization operate during the tax year?

2

Name, address, primary website address,  
and state license number

**Part V Facility Information (continued)****Section B. Facility Policies and Practices**

(Complete a separate Section B for each of the hospital facilities or facility reporting groups listed in Part V, Section A)

Vanderbilt University Hospitals

**Name of hospital facility or facility reporting group** \_\_\_\_\_**If reporting on Part V, Section B for a single hospital facility only: line number of hospital facility (from Schedule H, Part V, Section A)** \_\_\_\_\_ 1 \_\_\_\_\_

	<b>Yes</b>	<b>No</b>
<b>Community Health Needs Assessment</b> (Lines 1 through 8c are optional for tax years beginning on or before March 23, 2012)		
1 During the tax year or either of the two immediately preceding tax years, did the hospital facility conduct a community health needs assessment (CHNA)? If "No," skip to line 9 . . . . . If "Yes," indicate what the CHNA report describes (check all that apply)	1 Yes	
a <input checked="" type="checkbox"/> A definition of the community served by the hospital facility		
b <input checked="" type="checkbox"/> Demographics of the community		
c <input checked="" type="checkbox"/> Existing health care facilities and resources within the community that are available to respond to the health needs of the community		
d <input checked="" type="checkbox"/> How data was obtained		
e <input checked="" type="checkbox"/> The health needs of the community		
f <input checked="" type="checkbox"/> Primary and chronic disease needs and other health issues of uninsured persons, low-income persons, and minority groups		
g <input checked="" type="checkbox"/> The process for identifying and prioritizing community health needs and services to meet the community health needs		
h <input checked="" type="checkbox"/> The process for consulting with persons representing the community's interests		
i <input type="checkbox"/> Information gaps that limit the hospital facility's ability to assess the community's health needs		
j <input type="checkbox"/> Other (describe in Part VI)		
2 Indicate the tax year the hospital facility last conducted a CHNA 20 12		
3 In conducting its most recent CHNA, did the hospital facility take into account input from persons who represent the broad interests of the community served by the hospital facility, including those with special knowledge of or expertise in public health? If "Yes," describe in Part VI how the hospital facility took into account input from persons who represent the community, and identify the persons the hospital facility consulted . . . . .	3 Yes	
4 Was the hospital facility's CHNA conducted with one or more other hospital facilities? If "Yes," list the other hospital facilities in Part VI . . . . .	4 Yes	
5 Did the hospital facility make its CHNA report widely available to the public? . . . . . If "Yes," indicate how the CHNA report was made widely available (check all that apply)	5 Yes	
a <input checked="" type="checkbox"/> Hospital facility's website (list url) <u>www.vanderbilthealth.com/main/38766</u>		
b <input type="checkbox"/> Other website (list url) _____		
c <input checked="" type="checkbox"/> Available upon request from the hospital facility		
d <input type="checkbox"/> Other (describe in Part VI)		
6 If the hospital facility addressed needs identified in its most recently conducted CHNA, indicate how (check all that apply as of the end of the tax year)		
a <input checked="" type="checkbox"/> Adoption of an implementation strategy that addresses each of the community health needs identified through the CHNA		
b <input checked="" type="checkbox"/> Execution of the implementation strategy		
c <input type="checkbox"/> Participation in the development of a community-wide plan		
d <input type="checkbox"/> Participation in the execution of a community-wide plan		
e <input type="checkbox"/> Inclusion of a community benefit section in operational plans		
f <input checked="" type="checkbox"/> Adoption of a budget for provision of services that address the needs identified in the CHNA		
g <input type="checkbox"/> Prioritization of health needs in its community		
h <input type="checkbox"/> Prioritization of services that the hospital facility will undertake to meet health needs in its community		
i <input checked="" type="checkbox"/> Other (describe in Part VI)		
7 Did the hospital facility address all of the needs identified in its most recently conducted CHNA? If "No," explain in Part VI which needs it has not addressed and the reasons why it has not addressed such needs . . . . .	7 Yes	
8a Did the organization incur an excise tax under section 4959 for the hospital facility's failure to conduct a CHNA as required by section 501(r)(3)? . . . . .	8a No	
b If "Yes" to line 8a, did the organization file Form 4720 to report the section 4959 excise tax? . . . . .	8b	
c If "Yes" to line 8b, what is the total amount of section 4959 excise tax the organization reported on Form 4720 for all of its hospital facilities? \$ _____		

**Part V Facility Information (continued)**

<b>Financial Assistance Policy</b>		<b>Yes</b>	<b>No</b>
<b>9</b>	Did the hospital facility have in place during the tax year a written financial assistance policy that explained eligibility criteria for financial assistance, and whether such assistance includes free or discounted care?	<b>9</b>	Yes
<b>10</b>	Used federal poverty guidelines (FPG) to determine eligibility for providing <i>free</i> care? . . . . . If "Yes," indicate the FPG family income limit for eligibility for free care <u>200 00000000000%</u> If "No," explain in Part VI the criteria the hospital facility used	<b>10</b>	Yes
<b>11</b>	Used FPG to determine eligibility for providing <i>discounted</i> care? . . . . . If "Yes," indicate the FPG family income limit for eligibility for discounted care <u>250 000000000000%</u> If "No," explain in Part VI the criteria the hospital facility used	<b>11</b>	Yes
<b>12</b>	Explained the basis for calculating amounts charged to patients? If "Yes," indicate the factors used in determining such amounts (check all that apply)  a <input checked="" type="checkbox"/> Income level b <input type="checkbox"/> Asset level c <input checked="" type="checkbox"/> Medical indigency d <input type="checkbox"/> Insurance status e <input checked="" type="checkbox"/> Uninsured discount f <input type="checkbox"/> Medicaid/Medicare g <input type="checkbox"/> State regulation h <input type="checkbox"/> Residency i <input type="checkbox"/> Other (describe in Part VI)	<b>12</b>	Yes
<b>13</b>	Explained the method for applying for financial assistance? . . . . .	<b>13</b>	Yes
<b>14</b>	Included measures to publicize the policy within the community served by the hospital facility? If "Yes," indicate how the hospital facility publicized the policy (check all that apply)  a <input checked="" type="checkbox"/> The policy was posted on the hospital facility's website b <input type="checkbox"/> The policy was attached to billing invoices c <input type="checkbox"/> The policy was posted in the hospital facility's emergency rooms or waiting rooms d <input type="checkbox"/> The policy was posted in the hospital facility's admissions offices e <input type="checkbox"/> The policy was provided, in writing, to patients on admission to the hospital facility f <input type="checkbox"/> The policy was available upon request g <input checked="" type="checkbox"/> Other (describe in Part VI)	<b>14</b>	Yes

**Billing and Collections**

<b>15</b>	Did the hospital facility have in place during the tax year a separate billing and collections policy, or a written financial assistance policy (FAP) that explained actions the hospital facility may take upon non-payment? . . . . .	<b>15</b>	Yes
<b>16</b>	Check all of the following actions against an individual that were permitted under the hospital facility's policies during the tax year before making reasonable efforts to determine the individual's eligibility under the facility's FAP  a <input type="checkbox"/> Reporting to credit agency b <input type="checkbox"/> Lawsuits c <input type="checkbox"/> Liens on residences d <input type="checkbox"/> Body attachments e <input type="checkbox"/> Other similar actions (describe in Section C)		
<b>17</b>	Did the hospital facility or an authorized third party perform any of the following actions during the tax year before making reasonable efforts to determine the individual's eligibility under the facility's FAP? . . . . . If "Yes," check all actions in which the hospital facility or a third party engaged  a <input type="checkbox"/> Reporting to credit agency b <input type="checkbox"/> Lawsuits c <input type="checkbox"/> Liens on residences d <input type="checkbox"/> Body attachments e <input type="checkbox"/> Other similar actions (describe in Section C)	<b>17</b>	No

**Part V Facility Information (continued)**

**18** Indicate which efforts the hospital facility made before initiating any of the actions listed in line 17 (check all that apply)

- a  Notified individuals of the financial assistance policy on admission
- b  Notified individuals of the financial assistance policy prior to discharge
- c  Notified individuals of the financial assistance policy in communications with the individuals regarding the individuals' bills
- d  Documented its determination of whether individuals were eligible for financial assistance under the hospital facility's financial assistance policy
- e  Other (describe in Section C)

**Policy Relating to Emergency Medical Care**

**19** Did the hospital facility have in place during the tax year a written policy relating to emergency medical care that requires the hospital facility to provide, without discrimination, care for emergency medical conditions to individuals regardless of their eligibility under the hospital facility's financial assistance policy? . . . . .

If "No," indicate why

- a  The hospital facility did not provide care for any emergency medical conditions
- b  The hospital facility's policy was not in writing
- c  The hospital facility limited who was eligible to receive care for emergency medical conditions (describe in Part VI)
- d  Other (describe in Part VI)

	Yes	No
<b>19</b> Yes		

**Charges to Individuals Eligible for Assistance under the FAP (FAP-Eligible Individuals)**

**20** Indicate how the hospital facility determined, during the tax year, the maximum amounts that can be charged to FAP-eligible individuals for emergency or other medically necessary care

- a  The hospital facility used its lowest negotiated commercial insurance rate when calculating the maximum amounts that can be charged
- b  The hospital facility used the average of its three lowest negotiated commercial insurance rates when calculating the maximum amounts that can be charged
- c  The hospital facility used the Medicare rates when calculating the maximum amounts that can be charged
- d  Other (describe in Part VI)

<b>21</b>		No

**21** During the tax year, did the hospital facility charge any FAP-eligible individual to whom the hospital facility provided emergency or other medically necessary services more than the amounts generally billed to individuals who had insurance covering such care? . . . . .

If "Yes," explain in Part VI

**22** During the tax year, did the hospital facility charge any FAP-eligible individual an amount equal to the gross charge for any service provided to that individual? . . . . .

If "Yes," explain in Part VI

<b>22</b>		No

**Part V Facility Information (continued)****Section B. Facility Policies and Practices**

(Complete a separate Section B for each of the hospital facilities or facility reporting groups listed in Part V, Section A)

Vanderbilt Stallworth Rehab Hospital

**Name of hospital facility or facility reporting group** \_\_\_\_\_**If reporting on Part V, Section B for a single hospital facility only: line number of hospital facility (from Schedule H, Part V, Section A)** \_\_\_\_\_ 2 \_\_\_\_\_

		<b>Yes</b>	<b>No</b>
<b>Community Health Needs Assessment</b> (Lines 1 through 8c are optional for tax years beginning on or before March 23, 2012)			
1 During the tax year or either of the two immediately preceding tax years, did the hospital facility conduct a community health needs assessment (CHNA)? If "No," skip to line 9 . . . . .	1	Yes	
If "Yes," indicate what the CHNA report describes (check all that apply)			
a <input checked="" type="checkbox"/> A definition of the community served by the hospital facility			
b <input checked="" type="checkbox"/> Demographics of the community			
c <input checked="" type="checkbox"/> Existing health care facilities and resources within the community that are available to respond to the health needs of the community			
d <input checked="" type="checkbox"/> How data was obtained			
e <input checked="" type="checkbox"/> The health needs of the community			
f <input checked="" type="checkbox"/> Primary and chronic disease needs and other health issues of uninsured persons, low-income persons, and minority groups			
g <input checked="" type="checkbox"/> The process for identifying and prioritizing community health needs and services to meet the community health needs			
h <input checked="" type="checkbox"/> The process for consulting with persons representing the community's interests			
i <input type="checkbox"/> Information gaps that limit the hospital facility's ability to assess the community's health needs			
j <input type="checkbox"/> Other (describe in Part VI)			
2 Indicate the tax year the hospital facility last conducted a CHNA 20 12	2		
3 In conducting its most recent CHNA, did the hospital facility take into account input from persons who represent the broad interests of the community served by the hospital facility, including those with special knowledge of or expertise in public health? If "Yes," describe in Part VI how the hospital facility took into account input from persons who represent the community, and identify the persons the hospital facility consulted. . . . .	3	Yes	
4 Was the hospital facility's CHNA conducted with one or more other hospital facilities? If "Yes," list the other hospital facilities in Part VI . . . . .	4	Yes	
5 Did the hospital facility make its CHNA report widely available to the public? . . . . .	5	Yes	
If "Yes," indicate how the CHNA report was made widely available (check all that apply)			
a <input checked="" type="checkbox"/> Hospital facility's website (list url) See Part V, Section C			
b <input checked="" type="checkbox"/> Other website (list url) See Part V, Section C			
c <input checked="" type="checkbox"/> Available upon request from the hospital facility			
d <input type="checkbox"/> Other (describe in Part VI)			
6 If the hospital facility addressed needs identified in its most recently conducted CHNA, indicate how (check all that apply as of the end of the tax year)			
a <input checked="" type="checkbox"/> Adoption of an implementation strategy that addresses each of the community health needs identified through the CHNA			
b <input checked="" type="checkbox"/> Execution of the implementation strategy			
c <input type="checkbox"/> Participation in the development of a community-wide plan			
d <input type="checkbox"/> Participation in the execution of a community-wide plan			
e <input type="checkbox"/> Inclusion of a community benefit section in operational plans			
f <input type="checkbox"/> Adoption of a budget for provision of services that address the needs identified in the CHNA			
g <input type="checkbox"/> Prioritization of health needs in its community			
h <input type="checkbox"/> Prioritization of services that the hospital facility will undertake to meet health needs in its community			
i <input checked="" type="checkbox"/> Other (describe in Part VI)			
7 Did the hospital facility address all of the needs identified in its most recently conducted CHNA? If "No," explain in Part VI which needs it has not addressed and the reasons why it has not addressed such needs . . . . .	7	Yes	
8a Did the organization incur an excise tax under section 4959 for the hospital facility's failure to conduct a CHNA as required by section 501(r)(3)? . . . . .	8a		No
b If "Yes" to line 8a, did the organization file Form 4720 to report the section 4959 excise tax? . . . . .	8b		
c If "Yes" to line 8b, what is the total amount of section 4959 excise tax the organization reported on Form 4720 for all of its hospital facilities? \$ _____			

**Part V Facility Information (continued)**

<b>Financial Assistance Policy</b>		<b>Yes</b>	<b>No</b>
<b>9</b>	Did the hospital facility have in place during the tax year a written financial assistance policy that explained eligibility criteria for financial assistance, and whether such assistance includes free or discounted care?	<b>9</b>	Yes
<b>10</b>	Used federal poverty guidelines (FPG) to determine eligibility for providing <i>free</i> care? . . . . . If "Yes," indicate the FPG family income limit for eligibility for free care <u>200 000000000000%</u> If "No," explain in Part VI the criteria the hospital facility used	<b>10</b>	Yes
<b>11</b>	Used FPG to determine eligibility for providing <i>discounted</i> care? . . . . . If "Yes," indicate the FPG family income limit for eligibility for discounted care <u>400 000000000000%</u> If "No," explain in Part VI the criteria the hospital facility used	<b>11</b>	Yes
<b>12</b>	Explained the basis for calculating amounts charged to patients? If "Yes," indicate the factors used in determining such amounts (check all that apply)	<b>12</b>	Yes
a	<input checked="" type="checkbox"/> Income level		
b	<input type="checkbox"/> Asset level		
c	<input checked="" type="checkbox"/> Medical indigency		
d	<input type="checkbox"/> Insurance status		
e	<input checked="" type="checkbox"/> Uninsured discount		
f	<input type="checkbox"/> Medicaid/Medicare		
g	<input type="checkbox"/> State regulation		
h	<input type="checkbox"/> Residency		
i	<input type="checkbox"/> Other (describe in Part VI)		
<b>13</b>	Explained the method for applying for financial assistance? . . . . .	<b>13</b>	Yes
<b>14</b>	Included measures to publicize the policy within the community served by the hospital facility? If "Yes," indicate how the hospital facility publicized the policy (check all that apply)	<b>14</b>	No
a	<input type="checkbox"/> The policy was posted on the hospital facility's website		
b	<input type="checkbox"/> The policy was attached to billing invoices		
c	<input type="checkbox"/> The policy was posted in the hospital facility's emergency rooms or waiting rooms		
d	<input type="checkbox"/> The policy was posted in the hospital facility's admissions offices		
e	<input type="checkbox"/> The policy was provided, in writing, to patients on admission to the hospital facility		
f	<input type="checkbox"/> The policy was available upon request		
g	<input type="checkbox"/> Other (describe in Part VI)		

**Billing and Collections**

<b>15</b>	Did the hospital facility have in place during the tax year a separate billing and collections policy, or a written financial assistance policy (FAP) that explained actions the hospital facility may take upon non-payment? . . . . .	<b>15</b>	Yes
<b>16</b>	Check all of the following actions against an individual that were permitted under the hospital facility's policies during the tax year before making reasonable efforts to determine the individual's eligibility under the facility's FAP		
a	<input type="checkbox"/> Reporting to credit agency		
b	<input type="checkbox"/> Lawsuits		
c	<input type="checkbox"/> Liens on residences		
d	<input type="checkbox"/> Body attachments		
e	<input type="checkbox"/> Other similar actions (describe in Section C)		
<b>17</b>	Did the hospital facility or an authorized third party perform any of the following actions during the tax year before making reasonable efforts to determine the individual's eligibility under the facility's FAP? . . . . . If "Yes," check all actions in which the hospital facility or a third party engaged	<b>17</b>	No
a	<input type="checkbox"/> Reporting to credit agency		
b	<input type="checkbox"/> Lawsuits		
c	<input type="checkbox"/> Liens on residences		
d	<input type="checkbox"/> Body attachments		
e	<input type="checkbox"/> Other similar actions (describe in Section C)		

**Part V Facility Information (continued)**

**18** Indicate which efforts the hospital facility made before initiating any of the actions listed in line 17 (check all that apply)

- a  Notified individuals of the financial assistance policy on admission
- b  Notified individuals of the financial assistance policy prior to discharge
- c  Notified individuals of the financial assistance policy in communications with the individuals regarding the individuals' bills
- d  Documented its determination of whether individuals were eligible for financial assistance under the hospital facility's financial assistance policy
- e  Other (describe in Section C)

**Policy Relating to Emergency Medical Care**

**19** Did the hospital facility have in place during the tax year a written policy relating to emergency medical care that requires the hospital facility to provide, without discrimination, care for emergency medical conditions to individuals regardless of their eligibility under the hospital facility's financial assistance policy? . . . . .

If "No," indicate why

- a  The hospital facility did not provide care for any emergency medical conditions
- b  The hospital facility's policy was not in writing
- c  The hospital facility limited who was eligible to receive care for emergency medical conditions (describe in Part VI)
- d  Other (describe in Part VI)

	Yes	No
<b>19</b> Yes		

**Charges to Individuals Eligible for Assistance under the FAP (FAP-Eligible Individuals)**

**20** Indicate how the hospital facility determined, during the tax year, the maximum amounts that can be charged to FAP-eligible individuals for emergency or other medically necessary care

- a  The hospital facility used its lowest negotiated commercial insurance rate when calculating the maximum amounts that can be charged
- b  The hospital facility used the average of its three lowest negotiated commercial insurance rates when calculating the maximum amounts that can be charged
- c  The hospital facility used the Medicare rates when calculating the maximum amounts that can be charged
- d  Other (describe in Part VI)

<b>21</b>		No

**21** During the tax year, did the hospital facility charge any FAP-eligible individual to whom the hospital facility provided emergency or other medically necessary services more than the amounts generally billed to individuals who had insurance covering such care? . . . . .

If "Yes," explain in Part VI

**22** During the tax year, did the hospital facility charge any FAP-eligible individual an amount equal to the gross charge for any service provided to that individual? . . . . .

If "Yes," explain in Part VI

<b>22</b>		No

**Part V Facility Information (continued)**

**Section C. Supplemental Information for Part V, Section B.** Provide descriptions required for Part V, Section B, lines 1j, 3, 4, 5d, 6l, 7, 10, 11, 12l, 14g, 16e, 17e, 18e, 19c, 19d, 20d, 21, and 22. If applicable, provide separate descriptions for each facility in a facility reporting group, designated by "Facility A," "Facility B," etc.

Form and Line Reference	Explanation
See Additional Data Table	

Schedule H (Form 990) 2013

**Part V Facility Information (continued)****Section D. Other Health Care Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility**

(list in order of size, from largest to smallest)

How many non-hospital health care facilities did the organization operate during the tax year? 10

Name and address		Type of Facility (describe)
<b>1</b>	Ambulatory Surgery Ctr of Cool Springs 2009 Mallory Lane Suite 100 Franklin, TN 37067	Ambulatory Surgery Treatment Center
<b>2</b>	SCA Nashville Surgery Center 1161 21st Ave South D-3300 Nashville, TN 37232	Ambulatory Surgery Treatment Center
<b>3</b>	Vanderbilt-Maury Radiation Oncology 1003 Reserve Boulevard Spring Hill, TN 37174	Ambulatory Surgery Treatment Center
<b>4</b>	Vanderbilt Williamson Cancer Ctr 2107 Edward Curd Lane Franklin, TN 37067	Ambulatory Surgery Treatment Center
<b>5</b>	One Hundred Oaks Imaging 719 Thompson Lane Nashville, TN 37204	Outpatient Diagnostic Center
<b>6</b>	Spring Hill Imaging Center 5421 Main Street Spring Hill, TN 37174	Outpatient Diagnostic Center
<b>7</b>	Cool Springs Imaging 2009 Mallory Lane Suite 150 Franklin, TN 37067	Outpatient Diagnostic Center
<b>8</b>	Vanderbilt Gateway Cancer Center 375 Alfred Thun Road Clarksville, TN 37040	Ambulatory Surgery Treatment Center
<b>9</b>	Belle Meade Imaging 4525 Harding Road Suite 102 Nashville, TN 37232	Outpatient Diagnostic Center
<b>10</b>	Hillsboro Imaging 1909 Acklen Avenue Nashville, TN 37212	Outpatient Diagnostic Center

**Part VI Supplemental Information**

Provide the following information

- 1 Required descriptions.** Provide the descriptions required for Part I, lines 3c, 6a, and 7, Part II and Part III, lines 2, 3, 4, 8 and 9b
- 2 Needs assessment.** Describe how the organization assesses the health care needs of the communities it serves, in addition to any CHNAs reported in Part V, Section B
- 3 Patient education of eligibility for assistance.** Describe how the organization informs and educates patients and persons who may be billed for patient care about their eligibility for assistance under federal, state, or local government programs or under the organization's financial assistance policy
- 4 Community information.** Describe the community the organization serves, taking into account the geographic area and demographic constituents it serves
- 5 Promotion of community health.** Provide any other information important to describing how the organization's hospital facilities or other health care facilities further its exempt purpose by promoting the health of the community (e.g., open medical staff, community board, use of surplus funds, etc.)
- 6 Affiliated health care system.** If the organization is part of an affiliated health care system, describe the respective roles of the organization and its affiliates in promoting the health of the communities served
- 7 State filing of community benefit report.** If applicable, identify all states with which the organization, or a related organization, files a community benefit report

Form and Line Reference	Explanation
Form 990, Schedule H, Part I, Line 7	Costing Methodology - Charity Care The costing methodology used to calculate Charity Care and certain other community benefit costs reported was based on an overall cost-to-charge ratio for all patient populations. The cost to charge ratio was calculated using IRS worksheet 2

Form and Line Reference	Explanation
Form 990, Schedule H, Part I, Line 7	Community Benefit Expense The tax year 2012 Form 990 instructions required the inclusion of research expenses without the direct offsetting grants revenue (including restricted grants) of those research activities (Line 7h). Thus, Vanderbilt reported all research expenses, including government research, without reporting the offsetting grants revenue (including restricted grants). This resulted in a total community benefit expense percentage of 19.62% in 2012. Beginning in tax year 2013, offsetting grants revenue must be included to calculate the net community benefit expense. This change resulted in a total community benefit expense percentage of 9.98% in 2013. The total community benefit expense percentage would have been 9.71% in tax year 2012 under the new guidance.

Form and Line Reference	Explanation
Form 990, Schedule H, Part I, Line 7 (k), Column (f)	Community Benefit Expense The total community benefit expense using Part I, Line 7, Column (c) (before direct offsetting revenue) as a percentage of total expenses is 28.02%

Form and Line Reference	Explanation
Form 990, Schedule H, Part III, Lines 2 & 3	Costing Methodology - Bad Debt Expense The costing methodology used to calculate bad debt expense reported in Part III, Lines 2 and 3 was based on an overall cost-to-charge ratio for all patient populations. Discounts and payments on accounts considered as bad debt offset the total bad debt expense recorded. Process to determine amount of bad debt attributable to individuals eligible for financial assistance The accounts that have not been paid are first reviewed under a presumptive charity policy. For those accounts that do not meet presumptive eligibility criteria, it is estimated that 3% of the balances are attributable to individuals who would qualify for financial assistance. This estimate is based on experience of patient accounting management as well as a methodical review of outstanding patient accounts.

Form and Line Reference	Explanation
Form 990, Schedule H, Part III, Line 4	Audited Financial Statements The audited financial statements of Vanderbilt University disclose the consolidated amount of bad debt expense, the preponderant portion of which is attributable to its hospitals and clinics. This information is contained in Footnote 3, Accounts Receivable, on page 22 of the audited financial statements.

Form and Line Reference	Explanation
Form 990, Schedule H, Part III, Line 8	Costing Methodology - Medicare Allowable Costs The methodology for determining Medicare allowable costs consisted of applying an overall hospital cost-to-charge ratio to gross Medicare charges from the hospital billing system. The resulting shortfall is entirely deemed as community benefit because the cost of providing related care consistently exceeds reimbursement from Medicare. The hospital accepts all Medicare patients with the knowledge that there may be shortfalls and operates to promote the health of the community. The organization believes the Medicare shortfall should be treated as a community benefit because Medicare does not fully compensate hospitals for the cost of providing hospital care to Medicare beneficiaries. In FY14, such shortfalls amounted to \$93,240,724.

Form and Line Reference	Explanation
Form 990, Schedule H, Part III, Line 9b	Collection Practices Although Vanderbilt University's policies do not contain provisions on the collection practices to be followed for patients who are known to qualify for financial assistance, in practice, if a patient qualifies for a 100% charity care write off, the account is closed and no further collection efforts are made. If a patient qualifies for a partial charity care write off, the account is reduced for the applicable charity discount and normal collection efforts are made. The extraordinary collection actions that may be taken, after reasonable efforts are made to ensure a patient is not eligible for financial assistance on the remaining balance, include - A Lien - Foreclosure on Real Property - Attachment or seizure of a bank account or other personal property - Commencement of a civil action against an individual - Actions that cause an individual's arrest - Actions that cause an individual to be subject to body attachment - Wage garnishment

Form and Line Reference	Explanation
Form 990, Schedule H, Part VI, Line 2	Needs Assessment The hospitals and clinics play an active part in assessing the health care needs of the communities it serves, by strategically targeting and proving essential health care services that would otherwise not be available to these patient populations. These services include high risk obstetrics, children's specialty services such as neonatal intensive care, pediatric intensive care, and hemophilia clinic as well as an adult AIDS program that is administered through the Department of Infectious Disease. Services have also been strategically implemented for underserved and economically disadvantaged areas and include the Vie Hill Community Clinic which is staffed by Nurse Practitioners, Certified Nurse Midwives, and Psychiatric Mental Health Nurse Practitioners from Vanderbilt University School of Nursing and the Shade Tree Family Clinic, a free health clinic run by Vanderbilt medical students.

Form and Line Reference	Explanation
Form 990, Schedule H, Part VI, Line 3	Patient Education of Eligibility for Assistance Patients are notified of potential assistance under Federal, State or local government programs or under the organization's charity care policy via signage posted in the patient care registration points including hospitals, emergency departments, and clinics, brochures available at registration points, and language included on all statements mailed to patients advising them we have a financial assistance program if they need help paying their medical bills Pre-Admitting, Registration, or Billing personnel may refer uninsured or low income patients to financial counseling personnel to discuss qualifications for free or discounted care A summary of the Vanderbilt Stallworth Rehabilitation Hospital policy is posted in the main elevator to the patient care units

Form and Line Reference	Explanation
Form 990, Schedule H, Part VI, Line 4, Facility 1	Community Information The Vanderbilt University Medical Center (VUMC), located in Nashville, Tennessee, serves Tennessee, northern Alabama and southern Kentucky. Three hospitals comprise the Vanderbilt Hospital System: the Vanderbilt University Hospital (adult care), the Monroe Carell, Jr Children's Hospital at Vanderbilt and the Vanderbilt Psychiatric Hospital. Annually, the Vanderbilt University Hospitals have approximately 59,112 discharges. Annually, VUMC also provides approximately 1.83 million outpatient visits, including 118,590 to the emergency departments of the three hospitals. Vanderbilt University Hospitals provide critical and often unique health care resources to the community and provide broad access to care. The majority of Vanderbilt's patients live in four Tennessee counties: Davidson, Williamson, Rutherford and Montgomery.

Form and Line Reference	Explanation
Form 990, Schedule H, Part VI, Line 4, Facility 2	Community Information The Vanderbilt Stallworth Rehabilitation Hospital (Stallworth), is located in Nashville, Tennessee, serves middle Tennessee, southern Kentucky and the northern parts of Mississippi, Alabama and Georgia. This 80-bed hospital is a joint venture between Vanderbilt University Medical Center and HealthSouth and offers comprehensive acute rehabilitation services. Annually, Stallworth has approximately 1,524 discharges. The majority of Stallworth's patients live in four Tennessee counties Davidson, Montgomery, Rutherford and Williamson.



## Additional Data

**Software ID:**

**Software Version:**

**EIN:** 62-0476822

**Name:** Vanderbilt University

**Section C. Supplemental Information for Part V, Section B.** Provide descriptions required for Part V, Section B, lines 1j, 3, 4, 5d, 6l, 7, 10, 11, 12l, 14g, 16e, 17e, 18e, 19c, 19d, 20d, 21, and 22. If applicable, provide separate descriptions for each facility in a facility reporting group, designated by "Facility A," "Facility B," etc.

Form and Line Reference	Explanation
Form 990, Schedule H, Part V, Section B, Line 3, Facility 1	Community Health Needs Assessment The following describes the methodologies Vanderbilt University Hospitals used to take into account input from persons who represent the community -Online and paper surveys were used to seek the views of health care consumers and community leaders to identify gaps in services and heal priorities in their communities. The survey was completed by 2,303 people -Fourteen focus groups were conducted across the four counties that primarily represent the community served In each county there was a focus group of community leaders from various sectors including education, government, healthcare, faith-based organizations, and business

**Section C. Supplemental Information for Part V, Section B.** Provide descriptions required for Part V, Section B, lines 1j, 3, 4, 5d, 6l, 7, 10, 11, 12l, 14g, 16e, 17e, 18e, 19c, 19d, 20d, 21, and 22. If applicable, provide separate descriptions for each facility in a facility reporting group, designated by "Facility A," "Facility B," etc.

Form and Line Reference	Explanation
Form 990, Schedule H, Part V, Section B, Line 4, Facility 1	Community Health Needs Assessment Vanderbilt University Hospitals and Clinics also conducted a health needs assessment in partnership with its affiliated hospital Vanderbilt Stallworth Rehabilitation Hospital

**Section C. Supplemental Information for Part V, Section B.** Provide descriptions required for Part V, Section B, lines 1j, 3, 4, 5d, 6l, 7, 10, 11, 12l, 14g, 16e, 17e, 18e, 19c, 19d, 20d, 21, and 22. If applicable, provide separate descriptions for each facility in a facility reporting group, designated by "Facility A," "Facility B," etc.

Form and Line Reference	Explanation
Form 990, Schedule H, Part V, Section B, Line 6(i), Facility 1	Community Health Needs Assessment The Vanderbilt University Hospitals implementation plan states that "Vanderbilt is situated in a region that has many health and health care stakeholders including public health, community healthcare providers, schools, social service providers, governmental agencies, and other entities To achieve success in any of the three strategic areas, collaboration with these organizations is critical To move from planning to implementation over the next three years, Vanderbilt will actively engage key community stakeholders to further refine these plans and work collaboratively to improve the health of our community "

**Section C. Supplemental Information for Part V, Section B.** Provide descriptions required for Part V, Section B, lines 1j, 3, 4, 5d, 6l, 7, 10, 11, 12l, 14g, 16e, 17e, 18e, 19c, 19d, 20d, 21, and 22. If applicable, provide separate descriptions for each facility in a facility reporting group, designated by "Facility A," "Facility B," etc.

Form and Line Reference	Explanation
Form 990, Schedule H, Part V, Section B, Line 14, Facility 1	Financial Assistance Policy Patients are notified of potential assistance under Federal, State or local government programs or under the organization's charity care policy via signage posted in the patient care registration points including hospitals, emergency departments, and clinics, brochures available at registration points, and language included on all statements mailed to patients advising them we have a financial assistance program if they need help paying their medical bills Pre-Admitting, Registration, or Billing personnel may refer uninsured or low income patients to financial counseling personnel to discuss qualifications for free or discounted care

**Section C. Supplemental Information for Part V, Section B.** Provide descriptions required for Part V, Section B, lines 1j, 3, 4, 5d, 6l, 7, 10, 11, 12l, 14g, 16e, 17e, 18e, 19c, 19d, 20d, 21, and 22. If applicable, provide separate descriptions for each facility in a facility reporting group, designated by "Facility A," "Facility B," etc.

Form and Line Reference	Explanation
Form 990, Schedule H, Part V, Section B, Line 20(d), Facility 1	Charges to Individuals Eligible for Assistance Under the FAP Individuals without insurance are extended a 50% discount off gross charges as required by Tennessee Code Annotated 68-11-262 Limit of Amount of Charges for Services to an Uninsured Patient In addition, based on income level, a discount is offered to individuals who meet eligibility criteria for financial assistance Gross charges are reduced by 100%, 80% or 60% depending on the individual's income level as a percent of the federal poverty guidelines

**Section C. Supplemental Information for Part V, Section B.** Provide descriptions required for Part V, Section B, lines 1j, 3, 4, 5d, 6l, 7, 10, 11, 12l, 14g, 16e, 17e, 18e, 19c, 19d, 20d, 21, and 22. If applicable, provide separate descriptions for each facility in a facility reporting group, designated by "Facility A," "Facility B," etc.

Form and Line Reference	Explanation
Form 990, Schedule H, Part V, Section B, Line 3, Facility 2	Community Health Needs Assessment The following describes the methodologies Vanderbilt Stallworth Rehabilitation Hospital used to take into account input from persons who represent the community - Online and paper surveys were used to seek the views of health care consumers and community leaders to identify gaps in services and health priorities in their communities. The survey was completed by 2,303 people - Fourteen focus groups were conducted across the four counties that primarily represent the community served. In each county there was a focus group of community leaders from various sectors including education, government, healthcare, faith-based organizations, and business

**Section C. Supplemental Information for Part V, Section B.** Provide descriptions required for Part V, Section B, lines 1j, 3, 4, 5d, 6l, 7, 10, 11, 12l, 14g, 16e, 17e, 18e, 19c, 19d, 20d, 21, and 22. If applicable, provide separate descriptions for each facility in a facility reporting group, designated by "Facility A," "Facility B," etc.

Form and Line Reference	Explanation
Form 990, Schedule H, Part V, Section B, Line 4, Facility 2	Community Health Needs Assessment Vanderbilt Stallworth Rehabilitation Hospital conducted a health needs assessment in partnership with its affiliated Vanderbilt University Hospitals

**Section C. Supplemental Information for Part V, Section B.** Provide descriptions required for Part V, Section B, lines 1j, 3, 4, 5d, 6l, 7, 10, 11, 12l, 14g, 16e, 17e, 18e, 19c, 19d, 20d, 21, and 22. If applicable, provide separate descriptions for each facility in a facility reporting group, designated by "Facility A," "Facility B," etc.

Form and Line Reference	Explanation
Form 990, Schedule H, Part V, Section B, Line 5(a) & (b), Facility 2	Community Health Needs Assessment - Web AddressThe Vanderbilt Stallworth Rehabilitation Hospital Community Health Needs Assessment is accessible to the public via the following web address <a href="http://www.vanderbiltstallworthrehab.com/en/our-approach/committed-to-qualityAnd">http://www.vanderbiltstallworthrehab.com/en/our-approach/committed-to-qualityAnd</a> <a href="http://vanderbilthealth.com/main/38766">http://vanderbilthealth.com/main/38766</a>

**Section C. Supplemental Information for Part V, Section B.** Provide descriptions required for Part V, Section B, lines 1j, 3, 4, 5d, 6l, 7, 10, 11, 12l, 14g, 16e, 17e, 18e, 19c, 19d, 20d, 21, and 22. If applicable, provide separate descriptions for each facility in a facility reporting group, designated by "Facility A," "Facility B," etc.

Form and Line Reference	Explanation
Form 990, Schedule H, Part V, Section B, Line 6(i), Facility 2	Community Health Needs Assessment The Vanderbilt Stallworth Rehabilitation Hospital implementation plan states that "Vanderbilt is situated in a region that has many health and health care stakeholders including public health, community healthcare providers, schools, social service providers, governmental agencies, and other entities To achieve success in any of the three strategic areas, collaboration with these organizations is critical To move from planning to implementation over the next three years, Vanderbilt will actively engage key community stakeholders to further refine these plans and work collaboratively to improve the health of our community "

**Section C. Supplemental Information for Part V, Section B.** Provide descriptions required for Part V, Section B, lines 1j, 3, 4, 5d, 6l, 7, 10, 11, 12l, 14g, 16e, 17e, 18e, 19c, 19d, 20d, 21, and 22. If applicable, provide separate descriptions for each facility in a facility reporting group, designated by "Facility A," "Facility B," etc.

Form and Line Reference	Explanation
Form 990, Schedule H, Part V, Section B, Line 14, Facility 2	Financial Assistance Policy Although Vanderbilt Stallworth Rehabilitation Hospital's policies do not contain measures to publicize the policy, Stallworth did make the policy available to its community. A summary of the policy is posted in the main elevator to the patient care units. Pre-Admitting, Registration, or Billing personnel may refer uninsured or low income patients to financial personnel to discuss qualifications for free or discounted care.

**Section C. Supplemental Information for Part V, Section B.** Provide descriptions required for Part V, Section B, lines 1j, 3, 4, 5d, 6l, 7, 10, 11, 12l, 14g, 16e, 17e, 18e, 19c, 19d, 20d, 21, and 22. If applicable, provide separate descriptions for each facility in a facility reporting group, designated by "Facility A," "Facility B," etc.

Form and Line Reference	Explanation
Form 990, Schedule H, Part V, Section B, Line 20(d), Facility 2	Charges to Individuals Eligible for Assistance Under the FAP Individuals without insurance are extended a 40% discount off gross charges which extends the discount required by Tennessee Code Annotated 68-11-262 Limit of Amount of Charges for Services to an Uninsured Patient In addition, based on income level, a discount is offered to individuals who meet eligibility criteria for financial assistance Gross charges are reduced by 100%, 75% or 50% depending on the individual's income level

**Form 990 Schedule H, Part V Section D. Other Facilities That Are Not Licensed, Registered, or Similarly  
> Recognized as a Hospital Facility**

**Section D. Other Health Care Facilities That Are Not Licensed, Registered, or Similarly Recognized as a  
Hospital Facility**

(list in order of size, from largest to smallest)

How many non-hospital health care facilities did the organization operate during the tax year?

Name and address	Type of Facility (describe)
Ambulatory Surgery Ctr of Cool Springs 2009 Mallory Lane Suite 100 Franklin, TN 37067	Ambulatory Surgery Treatment Center
SCA Nashville Surgery Center 1161 21st Ave South D-3300 Nashville, TN 37232	Ambulatory Surgery Treatment Center
Vanderbilt-Maury Radiation Oncology 1003 Reserve Boulevard Spring Hill, TN 37174	Ambulatory Surgery Treatment Center
Vanderbilt Williamson Cancer Ctr 2107 Edward Curd Lane Franklin, TN 37067	Ambulatory Surgery Treatment Center
One Hundred Oaks Imaging 719 Thompson Lane Nashville, TN 37204	Ambulatory Surgery Treatment Center
Spring Hill Imaging Center 5421 Main Street Spring Hill, TN 37174	Ambulatory Surgery Treatment Center
Cool Springs Imaging 2009 Mallory Lane Suite 150 Franklin, TN 37067	Ambulatory Surgery Treatment Center
Vanderbilt Gateway Cancer Center 375 Alfred Thun Road Clarksville, TN 37040	Ambulatory Surgery Treatment Center
Belle Meade Imaging 4525 Harding Road Suite 102 Nashville, TN 37232	Ambulatory Surgery Treatment Center
Hillsboro Imaging 1909 Acklen Avenue Nashville, TN 37212	Ambulatory Surgery Treatment Center

## Schedule I (Form 990)

## **Grants and Other Assistance to Organizations, Governments and Individuals in the United States**

**Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.**

► Attach to Form 990

► Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Open to Public  
Inspection

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

Name of the organization  
Vanderbilt University

**Employer identification number**

62-0476822

## **Part I General Information on Grants and Assistance**

- 1** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .  Yes  No

**2** Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

**Part II Grants and Other Assistance to Governments and Organizations in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

- 2** Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . **242**

**3** Enter total number of other organizations listed in the line 1 table . . . . . **49**

**Part III Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22.  
 Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
(1) Patient Assistance	154	212,605		N/A	N/A
(2) Patient Assistance	5449		29,970	FMV	Food
(3) Patient Assistance	345		97,214	FMV	Medical Supplies
(4) Patient Assistance	425		81,108	FMV	Outreach Programs
(5) Student Financial Assistance	6768	289,554,436		N/A	N/A

**Part IV Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	Explanation
Part I, Line 2	Subrecipient grants - Vanderbilt University maintains a formal policy defining its procedures for monitoring the use of sponsored funds by subrecipients who are performing a portion of a sponsored project externally awarded to Vanderbilt. The policy provides guidance to ensure that subrecipients conduct their portions of sponsored projects in compliance with laws, regulations, terms and conditions of awards and subawards, and that reimbursed costs incurred by subrecipients are allowed. The policy addresses the roles and responsibilities of central offices and academic departments of the University and describes the monitoring procedures for each area. The full text of Vanderbilt's subrecipient policy is available online at the following web address <a href="http://www.vanderbilt.edu/ocga/vupolicies/subrecipient/SubrecipientMonitoringGuidelinesfinal.pdf">http://www.vanderbilt.edu/ocga/vupolicies/subrecipient/SubrecipientMonitoringGuidelinesfinal.pdf</a> . Other Assistance - Assistance offered from institutional funds by Vanderbilt to our students is awarded on the basis of merit and/or financial need. Such assistance helps students and their families support the cost of attendance which includes tuition, mandatory fees, room & board, books, other course materials/supplies, and allowances for personal/miscellaneous and travel expenses. Merit-based awards are competitively awarded in recognition of academic achievement, leadership, commitment to community service, contributions to society, and other forms of accomplishment. Need-based assistance is awarded on the basis of documented financial need, resulting from a need analysis evaluation accomplished in accordance with relevant and applicable federal, state, and/or institutional programs, policies, and eligibility requirements. Financial Aid - The units responsible for administering student financial aid at Vanderbilt regularly initiate system-generated reviews, as well as other cross-checks on an ad hoc basis. Results from these reviews, along with recurring management reports, are regularly evaluated. Subsequently, follow-up corrective actions are taken when appropriate and potentially include award revisions or cancellations. These provisions are designed to help ensure that student assistance funds are awarded to eligible recipients, in accordance with applicable federal, state, institutional, and other program legislation, as well as relevant internal University policies and operational guidelines/criteria.

**Additional Data**

**Software ID:**  
**Software Version:**  
**EIN:** 62-0476822  
**Name:** Vanderbilt University

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ABT Associates Inc 4550 Montgomery Avenue Suite 800N Bethesda, MD 208145341	42-3476430	N/A	304,497		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Adventure Science Center 800 Fort Negley Bld Nashville, TN 37203	62-0479192	501(c)(3)	5,160		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Albert Einstein College of Medicine 1300 Morris Park Ave Bronx, NY 10461	13-1624225	501(c)(3)	220,573		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Allegheny Singer Research Institute 2 Allegheny Center Pittsburgh, PA 15212	25-1320493	501(c)(3)	34,523		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
American Diabetes Association 220 Great Circle Rd 134 Nashville, TN 37228	13-1623888	501(c)(3)	17,500		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
American Heart Association 1101 Northchase Parkway Suite 1 Marietta, GA 30067	13-5613799	501(c)(3)	165,000		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Anatomic & Clinical Lab Assoc PC 2010 Church St Suite 615 Nashville, TN 37203	62-1051717	N/A	12,171		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a) Name and address of organization or government</b>	<b>(b) EIN</b>	<b>(c) IRC Code section if applicable</b>	<b>(d) Amount of cash grant</b>	<b>(e) Amount of non-cash assistance</b>	<b>(f) Method of valuation (book, FMV, appraisal, other)</b>	<b>(g) Description of non-cash assistance</b>	<b>(h) Purpose of grant or assistance</b>
Appalachian State University PO Box 32608 Boone, NC 286082068	56-1176030	501(c)(3)	16,217		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Arizona State University Box 873503 Tempe, AZ 852873503	86-0196696	N/A	472,993		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
ARUP Laboratories P O Box 27964 Salt Lake City, UT 841270964	87-0403206	N/A	25,000		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Association of Academic Psychiatrists 7250 Parkway Drive Ste 130 Hanover, MD 21076	31-1145375	501(c)(3)	30,000		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Auburn University 208 M White Smith Hall Auburn University, AL 368495110	63-6000724	501(c)(3)	73,123		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a) Name and address of organization or government</b>	<b>(b) EIN</b>	<b>(c) IRC Code section if applicable</b>	<b>(d) Amount of cash grant</b>	<b>(e) Amount of non-cash assistance</b>	<b>(f) Method of valuation (book, FMV, appraisal, other)</b>	<b>(g) Description of non-cash assistance</b>	<b>(h) Purpose of grant or assistance</b>
Austin Peay University PO Box 4635 Clarksville, TN 37044-4635	62-0646576	N/A	29,413		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Baylor College of Medicine P O Box 301207 Dallas, TX 753031207	74-1613878	501(c)(3)	138,883		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Baylor Research Institute PO Box 846275 Dallas, TX 75284	75-1921898	501(c)(3)	141,510		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Baystate Medical Center Inc 280 Chestnut Street Springfield, MA 01199	42-7903110	501(c)(3)	15,033		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Beech Creek Ministries Inc 3101 Curtis Street Nashville, TN 37218	36-4651466	501(c)(3)	21,500		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Belmont University 1900 Belmont Blvd Nashville, TN 37212	62-0465076	501(c)(3)	6,919		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Beth Israel Deaconess Medical Center 330 Brookline Avenue Boston, MA 022155450	13-5564934	501(c)(3)	149,709		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Bethlehem Centers of Nashville 1417 Charlotte Avenue Nashville, TN 37203	62-0843073	501(c)(3)	16,250		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Blood Center of Wisconsin Inc Box 78961 Milwaukee, WI 532780961	39-0807235	501(c)(3)	59,269		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Board of Regents of Univ of Wisconsin Drawer 538 Milwaukee, WI 532780538	39-6006492	N/A	14,428		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Boeing IDS P O Box 3707 Seattle, WA 981242207	91-0425694	N/A	464,281		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Boston University Post Office Box 55058 Boston, MA 02205	42-1035470	501(c)(3)	11,290		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Brain Plasticity Inc 77 Geary Street Suite 303 San Francisco, CA 94108	27-0600336	N/A	13,166		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Brandeis University P O Box 549110 Waltham, MA 02454	42-1035550	501(c)(3)	50,467		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Brigham & Womens Hospital Inc PO Box 3887 Boston, MA 022413887	42-3129090	501(c)(3)	197,522		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Brigham Young University A-261 ASB Provo, UT 84602	87-0217280	501(c)(3)	28,146		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a) Name and address of organization or government</b>	<b>(b) EIN</b>	<b>(c) IRC Code section if applicable</b>	<b>(d) Amount of cash grant</b>	<b>(e) Amount of non-cash assistance</b>	<b>(f) Method of valuation (book, FMV, appraisal, other)</b>	<b>(g) Description of non-cash assistance</b>	<b>(h) Purpose of grant or assistance</b>
Carnegie-Mellon University 5000 Forbes Avenue Pittsburgh, PA 15213-3890	25-0969449	501(c)(3)	202,846		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Case Western Reserve University 10900 Euclid Avenue Suite 600 Cleveland, OH 441067037	34-1018992	501(c)(3)	580,351		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Catholic Charities Social Services 30 White Bridge Road Nashville, TN 37205	62-0679520	N/A	10,280		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Cedars Sinai Health System 6500 Wilshire Boulevard Suite 1150 Los Angeles, CA 90048	95-1644600	501(c)(3)	5,619		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Center for Courageous Kids 1501 Burnley Rd Scottsville, KY 42164	32-0327501	501(c)(3)	12,000		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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CFD Research Corporation 601 Genome Way Suite 2301 Huntsville, AL 35806	63-9044385	501(c)(3)	392,460		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Cherokee Health Systems Inc 6350 West Andrew Johnson Hwy Talbott, TN 37877	62-0637925	N/A	6,288		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Children's Healthcare of Atlanta 1687 Tullie Circle NE Atlanta, GA 30329	58-0572412	N/A	18,487		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Childrens Hospital Colorado 13123 E 16th Ave Suite B148 Aurora, CO 80045	84-6000555	501(c)(3)	18,468		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Childrens Hospital Corporation PO Box 414413 Boston, MA 022414413	42-7744410	501(c)(3)	168,582		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Children's Hospital of Boston Post Box 414413 Boston, MA 022414413	42-7744410	501(c)(3)	94,451		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Childrens Hospital of Los Angeles 4650 Sunset Boulevard Los Angeles, CA 90027	95-1690977	501(c)(3)	294,730		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Childrens Hospital of Philadelphia P O Box 8500 Philadelphia, PA 191781457	23-1352166	501(c)(3)	567,108		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Childrens Research Institute 111 Michigan Avenue Northwest Washington, DC 20010	31-6056230	N/A	34,501		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Christian Brothers University 650 East Parkway South Memphis, TN 38104	62-0476666	501(c)(3)	15,381		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Claremont Graduate University 150 East Tenth Street Harper Hall 152 Claremont, CA 91711	95-1664100	501(c)(3)	769,222		Not applicable	Not applicable	Public Service, Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Cleveland Clinic Foundation P O Box 931562 Cleveland, OH 441935012	34-0714585	501(c)(3)	221,947		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Cleveland Clinic Lerner College of Case Western Reserve University 9500 Euclid Avenue JJJN5-01 Cleveland, OH 44195	34-0714585	501(c)(3)	208,515		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
College of William and Mary 314 Jamestown Road Williamsburg, VA 23186	54-6001718	N/A	8,848		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a) Name and address of organization or government</b>	<b>(b) EIN</b>	<b>(c) IRC Code section if applicable</b>	<b>(d) Amount of cash grant</b>	<b>(e) Amount of non-cash assistance</b>	<b>(f) Method of valuation (book, FMV, appraisal, other)</b>	<b>(g) Description of non-cash assistance</b>	<b>(h) Purpose of grant or assistance</b>
Columbia University Post Office Box 29789 New York, NY 100879789	13-5598093	501(c)(3)	818,558		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Cooper Health System 3 Executive Campus Suite 310 Cherry Hill, NJ 80020	21-0634462	501(c)(3)	17,107		Not applicable	Not applicable	Public Service

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Cornell University 575 Lexington Ave 9th Floor New York, NY 10022	15-0523082	501(c)(3)	137,288		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Cystic Fibrosis Foundation 4825 Trousdale Drive Nashville, TN 37220	13-1930701	501(c)(3)	7,500		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Dana Farber Cancer Institute Inc 450 Brookline Avenue Boston, MA 022155450	42-2663040	501(c)(3)	394,385		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Dartmouth College 11 Rope Ferry Road Unit 6210 Hanover, NH 37550	20-2221110	501(c)(3)	20,887		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
David Lipscomb University One University Park Drive Nashville, TN 37204	62-0485733	501(c)(3)	115,767		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Denver Health and Hospital Authority Post Office Box 17093 Denver, CO 802170093	84-1343242	N/A	28,447		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Dimagi Inc 585 Massachusetts Ave Suite 3 Cambridge, MA 02139	83-0343298	N/A	6,282		Not applicable	Not applicable	Public Service

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
DRB Group LLC 102 Kingsfield Dr Mars, PA 16046	20-0346767	N/A	5,100		not applicable	not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Duke University P O Box 602651 Charlotte, NC 28260	56-0532129	501(c)(3)	190,075		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
East Tennessee University ORSP Box 70565 Ada Earnest House Johnson City, TN 376141707	62-6021046	N/A	23,637		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Education Development Center Inc 55 Chapel Street Newton, MA 02458	42-2417180	501(c)(3)	1,071,313		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a) Name and address of organization or government</b>	<b>(b) EIN</b>	<b>(c) IRC Code section if applicable</b>	<b>(d) Amount of cash grant</b>	<b>(e) Amount of non-cash assistance</b>	<b>(f) Method of valuation (book, FMV, appraisal, other)</b>	<b>(g) Description of non-cash assistance</b>	<b>(h) Purpose of grant or assistance</b>
Emory University Post Office Box 935084 Atlanta, GA 311935084	58-0566256	501(c)(3)	785,090		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Essentia Institute of Rural Health 502 East Second Street Duluth, MN 55805	27-1291124	501(c)(3)	8,870		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Expaticore Services LLC 485C Route 1 South Suite 330 Iselin, NJ 08830	30-0126318	N/A	742,198		Not applicable	Not applicable	Public Service

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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FACET Innovations LLC 1314 NE 43rd Street Suite 207 Seattle, WA 98105	20-0155627	N/A	95,195		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Filament Games LLC 2010 Eastwood Drive Suite 104 Madison, WI 53704	41-2188923	N/A	473,125		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a) Name and address of organization or government</b>	<b>(b) EIN</b>	<b>(c) IRC Code section if applicable</b>	<b>(d) Amount of cash grant</b>	<b>(e) Amount of non-cash assistance</b>	<b>(f) Method of valuation (book, FMV, appraisal, other)</b>	<b>(g) Description of non-cash assistance</b>	<b>(h) Purpose of grant or assistance</b>
Fisk University 1000 17th Avenue North Nashville, TN 372083051	62-0202000	501(c)(3)	110,925		Not applicable	Not applicable	Public Service, Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a) Name and address of organization or government</b>	<b>(b) EIN</b>	<b>(c) IRC Code section if applicable</b>	<b>(d) Amount of cash grant</b>	<b>(e) Amount of non-cash assistance</b>	<b>(f) Method of valuation (book, FMV, appraisal, other)</b>	<b>(g) Description of non-cash assistance</b>	<b>(h) Purpose of grant or assistance</b>
Florida Atlantic University P O Box 198660 Atlanta, GA 303848660	65-0385507	501(c)(3)	169,333		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Florida International University 11200 SW 8th Street MARC 430 Miami, FL 331990001	65-0177616	N/A	19,722		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Florida State University PO Box 3064166 Tallahassee, FL 323064166	59-6001138	501(c)(3)	187,611		Not applicable	Not applicable	Public Service, Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Fred Hutchinson Cancer Research Center PO Box 19024 Seattle, WA 981091024	23-7156071	501(c)(3)	91,587		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a) Name and address of organization or government</b>	<b>(b) EIN</b>	<b>(c) IRC Code section if applicable</b>	<b>(d) Amount of cash grant</b>	<b>(e) Amount of non-cash assistance</b>	<b>(f) Method of valuation (book, FMV, appraisal, other)</b>	<b>(g) Description of non-cash assistance</b>	<b>(h) Purpose of grant or assistance</b>
General Hospital Corp 101 Merrimac St Suite 720 Boston, MA 021144719	42-6979830	N/A	40,133		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Georgia Institute of Technology 505 Tenth Street NW Atlanta, GA 303320420	58-0603146	501(c)(3)	579,804		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Georgia State University PO Box 3999 Atlanta, GA 303023999	58-6033185	501(c)(3)	167,700		Not applicable	Not applicable	Research

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Georgia Tech Research Corporation 550 Tenth Street NW Atlanta, GA 303320250	58-0603146	501(c)(3)	636,942		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Gilda's Club Nashville 1707 Division Street Nashville, TN 37203	62-1614190	501(c)(3)	13,000		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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H Lee Moffitt Cancer Center & Research 12902 Magnolia Drive Tampa, FL 33612	59-3238634	501(c)(3)	255,102		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Harvard Pilgrim Healthcare PO Box 3672 Boston, MA 022413672	42-4526000	501(c)(3)	55,724		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Harvard University P O Box 415649 Boston, MA 021383800	42-1035800	501(c)(3)	1,390,430		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Haskins Laboratories 300 George Street New Haven, CT 06511-6695	13-1628174	501(c)(3)	42,111		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Heritage Foundation PO Box 723 Franklin, TN 37065	23-7042596	501(c)(3)	27,250		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Hospital For Special Surgery 535 East 70th Street New York, NY 10021	13-1624135	501(c)(3)	5,418		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Hospital Hospitality House 214 Reidhurst Ave Nashville, TN 37203	62-0909363	501(c)(3)	25,000		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Howard University 576 W Street NW Washington, DC 20059	53-0204707	501(c)(3)	54,980		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Hugo W Moser Research Institute at Kennedy Krieger 707 North Broadway Baltimore, MD 212051832	52-1524967	501(c)(3)	8,573		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Humanities Tennessee 306 Gay St Ste 306 Nashville, TN 37201	62-0933337	501(c)(3)	10,000		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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IBEX Preclinical Research Inc 1072 RSI Drive Logan, UT 84321	20-0756707	N/A	22,316		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Indiana Pathology Institute P O Box 66057 Indianapolis, IN 46266	26-2043689	501(c)(3)	6,615		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Indiana University P O Box 66057 Indianapolis, IN 462666057	35-6001673	501(c)(3)	307,198		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Institute for Broadening Participation PO Box 607 281 Main Street Damariscotta, ME 45430	20-1891162	501(c)(3)	34,656		Not applicable	Not applicable	Public Service

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Institute for Cancer Research 604 Cottman Avenue Cheltenham, PA 19012	23-6296135	501(c)(3)	53,310		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Intelligent Action Inc 11 Sundance Way Natick, MA 17600	30-0737960	N/A	70,441		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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International Epidemiology Institute 1455 Research Boulevard Suite 550 Rockville, MD 20850	52-1882011	N/A	2,845,250		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Jackson Laboratory 610 Main Street Bar Harbor, ME 046091500	10-2115130	501(c)(3)	383,085		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Jackson Madison County General Hospital 620 Skyline Drive Jackson, TN 383013956	62-6010402	501(c)(3)	15,820		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Joan and Sanford I Weill Medical College 1300 York Box 89 New York, NY 10065	13-1623978	N/A	156,023		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Johns Hopkins University 12529 Collections Center Dr Chicago, IL 60693	52-0595110	501(c)(3)	357,311		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Joslin Diabetes Center One Joslin Place Boston, MA 022155450	42-2030360	501(c)(3)	26,516		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Junior League of Nashville 2202 Crestmoor Road Nashville, TN 37215	62-0476815	501(c)(3)	20,000		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Juvenile Diabetes Research Foundation 105 Westpark Drive Suite 415 Brentwood, TN 37027	23-1907729	501(c)(3)	14,500		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a) Name and address of organization or government</b>	<b>(b) EIN</b>	<b>(c) IRC Code section if applicable</b>	<b>(d) Amount of cash grant</b>	<b>(e) Amount of non-cash assistance</b>	<b>(f) Method of valuation (book, FMV, appraisal, other)</b>	<b>(g) Description of non-cash assistance</b>	<b>(h) Purpose of grant or assistance</b>
Kaiser Foundation Hospital 1800 Harrison St 16th Fl Oakland, CA 946123433	94-1105628	501(c)(3)	42,113		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Kaiser Foundation Research Institute 1800 Harrison Street 16th Floor Oakland, CA 94612	94-1105628	501(c)(3)	175,755		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Kansas University Medical Center Mail Stop 1039 3901 Rainbow Boulevard Kansas City, KS 661607702	48-1108830	501(c)(3)	84,097		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Kestrel Institute 3260 Hillview Avenue Palo Alto, CA 943041220	94-2750021	501(c)(3)	74,638		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Lehigh University 526 Brodhead Avenue Bethlehem, PA 180153046	24-0795445	501(c)(3)	7,747		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Leland Stanford Junior University 651 Serra Street Room 260 Stanford, CA 943054125	94-1156365	501(c)(3)	114,256		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Leukemia & Lymphoma Society 404 Bna Dr Nashville Nashville, TN 37217	13-5644916	501(c)(3)	7,500		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Life Science Tennessee 217 5th Avenue North Suite 200 Nashville, TN 37219	62-1776913	501(c)(3)	10,000		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Louisiana State University 433 Bolivar Street New Orleans, LA 70112	72-6000848	501(c)(3)	89,650		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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LSU Health Sciences Center 433 Bolivar Street New Orleans, LA 70112	72-6087770	N/A	92,052		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Ludwig Institute for Cancer Research Ltd PO Box 12385 La Jolla, CA 920392385	23-7121131	501(c)(3)	39,305		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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LUNGevity Foundation 218 S Wabash Avenue Suite 540 Chicago, IL 60604	36-4433410	501(c)(3)	7,500		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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March of Dimes 98 North Star Drive Suite D Jackson, TN 38305	13-1846366	501(c)(3)	10,000		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Marquette University PO Box 1881 Milwaukee, WI 532011881	39-0806251	501(c)(3)	36,029		Not applicable	Not applicable	Research

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Marshfield Clinic 1000 North Oak Avenue Marshfield, WI 54449	39-0452970	501(c)(3)	54,394		Not applicable	Not applicable	Research

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Massachusetts General Hospital Bank of America P O Box 3829 Boston, MA 022413829	42-6979830	501(c)(3)	792,422		Not applicable	Not applicable	Research

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Massachusetts Institute of Technology 77 Massachusetts Avenue Cambridge, MA 021394307	42-1035940	501(c)(3)	739,441		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Mathematica Policy Research Inc 1100 1st Street NE 12th Floor Washington, DC 200024221	22-2112296	501(c)(3)	65,174		Not applicable	Not applicable	Research

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Matthew Walker Comprehensive Health 1035 14th Avenue North Nashville, TN 37208	62-1035426	501(c)(3)	28,183		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Maury Regional Medical Center 1224 Trotwood Avenue Columbia, TN 38401	62-6002623	501(c)(3)	212,125		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Mayfield Clinic 506 Oak Street Cincinnati, OH 45219	31-0588183	N/A	7,352		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Mayo Clinic Rochester PO Box 860334 Minneapolis, MN 554860334	41-6011702	501(c)(3)	242,180		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Medical College of Wisconsin Inc P O Box 26509 Milwaukee, WI 532260509	39-0806261	501(c)(3)	261,488		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Medical University of South Carolina 19 Hagood Ave MSC 804 Suite 303 Charleston, SC 294258040	57-6000722	N/A	45,603		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Medtronic Sofamor Danek USA Inc 2600 Sofamor Danek Drive Memphis, TN 38132	62-1483635	N/A	142,794		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Meharry Medical College 1005 DB Todd Blvd Nashville, TN 372083599	62-0488046	501(c)(3)	2,029,856		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Mental Health America of MD TN 295 Plus Park Blvd Nashville, TN 37217	62-0637710	501(c)(3)	9,450		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Mercer University 1400 Coleman Ave Macon, GA 31207	58-0566167	501(c)(3)	14,684		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Metro Board of Parks & Recreation 511 Oman Street Centennial Park Office Nashville, TN 37203	62-0694743	Metro Nashville Govt	298,642		Not applicable	Not applicable	Instruction

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Metro Public Health Department 222 3rd Avenue North Suite 750 Nashville, TN 372031503	62-0694743	Metro Nashville Govt	131,894		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Metropolitan Government of Nashville & 222 Third Avenue North Suite 750 Nashville, TN 37201	62-0694743	Metro Nashville Govt	14,288		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Michigan State University CGA 301 Administration Bldg East Lansing, MI 488241046	38-6005984	501(c)(3)	43,223		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Middle Tennessee Research Institute 1310 24th Avenue South RM F201 Nashville, TN 37212	62-1387860	501(c)(3)	78,653		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Middle Tennessee State University ORSP 1301 East Main Street MGB Bldg Room 104 Murfreesboro, TN 371320001	62-6005794	501(c)(3)	49,751		Not applicable	Not applicable	Research, Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Moi University 1152 15th Street NW 7th Floor Washington, DC 20005	90-0216081	N/A	16,364		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Montefiore Medical Center GPO 29581 New York, NY 10087	20-1615393	501(c)(3)	26,556		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Mount Sinai School of Medicine 1 Gustave L Levy Place Box 3500 New York, NY 100296574	13-1624096	501(c)(3)	35,509		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Nashville Downtown Partnership Inc 150 4th Ave North Ste G- 150 Nashville, TN 37219	62-1774641	N/A	7,375		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Nashville Public Library Foundation 615 Church Street Nashville, TN 37219	62-1681766	501(c)(3)	133,222		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Nashville Sounds Baseball Club 534 Chestnut St Nashville Nashville, TN 37203	26-3639329	N/A	17,500		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Nashville Symphony 1 Symphony Place Nashville, TN 37201	62-0550979	501(c)(3)	25,000		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
National Alliance on Mental Illness Davidson County 1101 Kermit Drive Nashville, TN 37217	45-3016459	501(c)(3)	7,200		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
National Disease Research Interchange 1628 John F Kennedy Blvd Philadelphia, PA 19103	23-2213205	501(c)(3)	110,619		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
National Jewish Health 1400 Jackson Street Room M213 Denver, CO 80206	74-2044647	501(c)(3)	29,420		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
National Opinion Research Center 55 E Monroe - 20th Floor Chicago, IL 60603	36-2167808	501(c)(3)	219,567		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
New York University 665 Broadway Suite 801 New York, NY 10012	13-5562308	501(c)(3)	16,702		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
New York University School of Medicine P O Box 415026 Boston, MA 022415026	13-5562308	501(c)(3)	449,653		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
North Carolina State University Campus Box 7214 Raleigh, NC 27695-7214	56-6000756	N/A	47,472		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Northern Illinois University 301 Lowden Hall Dekalb, IL 60115	36-6008480	N/A	45,237		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a) Name and address of organization or government</b>	<b>(b) EIN</b>	<b>(c) IRC Code section if applicable</b>	<b>(d) Amount of cash grant</b>	<b>(e) Amount of non-cash assistance</b>	<b>(f) Method of valuation (book, FMV, appraisal, other)</b>	<b>(g) Description of non-cash assistance</b>	<b>(h) Purpose of grant or assistance</b>
Northwestern University 633 Clark St Room G547 Evanston, IL 602081112	36-2167817	501(c)(3)	290,265		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Oakland University 529 Wilson Hall Rochester, MI 48309	38-1714400	N/A	33,841		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Object Computing Inc 12140 Woodcrest Executive Parkway Suite 230 St Louis, MO 631410347	43-1695110	N/A	69,806		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Ohio State University 1960 Kenny Road Columbus, OH 432101063	31-6401599	501(c)(3)	118,542		Not applicable	Not applicable	Public Service

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Ohio University West Union Street Office Center Athens, OH 45701	31-6402113	501(c)(3)	18,437		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Oregon Health & Science University 0690 Southwest Bancroft Street Portland, OR 97239	93-1176109	501(c)(3)	797,255		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Oregon State University P O Box 1086 Corvallis, OR 973391086	48-1278540	501(c)(3)	311,173		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Palo Alto Research Center Inc 3333 Coyote Hill Road Palo Alto, CA 943041314	61-5681820	N/A	1,469,364		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Palo Alto Veterans Institute for 3801 Miranda Avenue Palo Alto, CA 94304	77-0207331	501(c)(3)	100,254		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Pathfinder Therapeutics Inc 2969 Armory Drive Suite 100A Nashville, TN 37204	20-1380333	N/A	29,331		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Pencil Foundation 421 Great Circle Road Suite 100 Nashville, TN 37228	58-1475675	501(c)(3)	17,750		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Pennington Biomedical Research Center 6400 Perkins Road Baton Rouge, LA 708084124	58-1767810	501(c)(3)	8,140		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Pennsylvania State University 227 West Beaver Ave Suite 401 State College, PA 168014819	24-6000376	501(c)(3)	745,436		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Piedmont Respiratory Research Foundation 520 North Elam Avenue Greensboro, NC 27401	52-2394160	501(c)(3)	34,836		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a) Name and address of organization or government</b>	<b>(b) EIN</b>	<b>(c) IRC Code section if applicable</b>	<b>(d) Amount of cash grant</b>	<b>(e) Amount of non-cash assistance</b>	<b>(f) Method of valuation (book, FMV, appraisal, other)</b>	<b>(g) Description of non-cash assistance</b>	<b>(h) Purpose of grant or assistance</b>
Purdue University 23510 Network Place Chicago, IL 606731235	35-6002041	501(c)(3)	156,685		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Rambiss LLC 14407 Lusty Ridge Road Accokeek, MD 20607	20-1240325	N/A	21,827		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Rand Corporation 1776 Main Street PO Box 2138 Los Angeles, CA 900743174	95-1958142	501(c)(3)	157,168		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Regents of the University of California 405 Hilgard Avenue Los Angeles, CA 900959000	95-6006143	501(c)(3)	36,872		Not applicable	Not applicable	Public Service

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Regents of the University of California Accounting Office - EMF Box 0815 San Francisco, CA 94143-0815	94-6036494	501(c)(3)	115,041		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Regents of the University of California 9500 Gilman Drive La Jolla, CA 920930009	95-6006144	501(c)(3)	526,673		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Regents of the University of California Berkeley 2150 Shattuck Ave Ste 313 Berkeley, CA 947045940	94-6002123	501(c)(3)	236,044		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Regents of the University of Colorado Boulder SPA 3100 Marine Street - 4th Floor 574 UCB Boulder, CO 80309	84-6000555	501(c)(3)	8,570		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Regents of the University of Michigan Box 223131 Pittsburgh, PA 152512131	38-6006309	501(c)(3)	27,390		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Regents of the University of Minnesota P O Box 1450 Minneapolis, MN 554855957	41-6007513	501(c)(3)	125,762		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Research Foundation for 150 Broadway Suite 301 Albany, NY 12204	14-1410842	501(c)(3)	8,446		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Research Institute at Nationwide Children's Research P O Box 715245 Columbus, OH 432715245	31-6056230	N/A	115,481		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Research Triangle Institute P O Box 900002 Raleigh, NC 276759000	56-0686338	501(c)(3)	149,909		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Rhode Island Hospital 593 Eddy Street Aldrich 3 Providence, RI 29030	50-2589540	501(c)(3)	64,350		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Risk Assessment Corporation 417 Till Road Neeses, SC 29107	57-0701628	501(c)(3)	405,806		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Rural Health Association 304 Uptown Sq Murfreesboro, TN 37129	62-1613239	501(c)(3)	5,400		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Rush University Medical Center 1700 West Van Buren Room 277 Chicago, IL 606123244	36-2174823	501(c)(3)	269,122		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a) Name and address of organization or government</b>	<b>(b) EIN</b>	<b>(c) IRC Code section if applicable</b>	<b>(d) Amount of cash grant</b>	<b>(e) Amount of non-cash assistance</b>	<b>(f) Method of valuation (book, FMV, appraisal, other)</b>	<b>(g) Description of non-cash assistance</b>	<b>(h) Purpose of grant or assistance</b>
Rutgers University 65 Davidson Rd Piscataway, NJ 088545602	22-6001086	501(c)(3)	923,885		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Saffire Systems PO Box 40295 Indianapolis, IN 46240	35-2187086	N/A	17,225		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Seattle Children's Hospital P O Box 24728 Seattle, WA 981240728	91-0564748	501(c)(3)	83,762		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Shepherd Center Inc 2020 Peachtree Road NW Atlanta, GA 30309	51-0141601	501(c)(3)	100,000		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Sloan Kettering Institute for Cancer Research 633 Third Avenue 3rd Floor New York, NY 10017	13-1624182	N/A	190,892		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Southern Illinois University Carbondale 1202 Douglas Dr E Mail Code 6812 Carbondale, IL 62901	37-6005961	501(c)(3)	46,155		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Springfield College 263 Alden St Business Office Springfield, MA 01109	42-1043290	501(c)(3)	45,953		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a) Name and address of organization or government</b>	<b>(b) EIN</b>	<b>(c) IRC Code section if applicable</b>	<b>(d) Amount of cash grant</b>	<b>(e) Amount of non-cash assistance</b>	<b>(f) Method of valuation (book, FMV, appraisal, other)</b>	<b>(g) Description of non-cash assistance</b>	<b>(h) Purpose of grant or assistance</b>
SRI International 333 Ravenswood Avenue Menlo Park, CA 94025	94-1160950	501(c)(3)	174,306		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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St Judes Childrens Research Hospital P O Box 1000 Department 949 Memphis, TN 381480949	62-0646012	501(c)(3)	59,967		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Stanford University P O Box 44253 San Francisco, CA 941444253	94-1156365	501(c)(3)	529,988		Not applicable	Not applicable	Research

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STEM Innovations 6355 Daleview Road Cincinnati, OH 45247	40-5131052	N/A	144,058		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Susan G Komen West End Avenue Nashville, TN 37203	84-1689067	501(c)(3)	10,000		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Syriac Institute 954 River Road Piscataway, NJ 88540	22-3785056	N/A	17,284		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
T J Martell Foundation 15 Music Square West Suite C Nashville, TN 37203	51-0180178	501(c)(3)	65,000		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Temple University 1852 N 10th Street 083-11 Philadelphia, PA 19122	23-1365971	501(c)(3)	201,634		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Tennessee Disability Coalition 955 Woodland Street Nashville, TN 37206	62-1447320	501(c)(3)	22,348		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Tennessee Emergency Medical Services for Children 2007 Terrace PI Nashville, TN 37203	20-2802786	501(c)(3)	11,500		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Tennessee Medical Foundation 216 Centerview Drive Suite 304 Brentwood, TN 37027	62-0541813	501(c)(3)	7,500		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Tennessee Performing Arts Center PO Box 190660 Nashville, TN 37219	58-1320590	501(c)(3)	15,000		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Tennessee State University 3500 John A Merritt Blvd Nashville, TN 372091561	62-0786119	501(c)(3)	219,537		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Tennessee Technological University ORGs Box 5012 Cookeville, TN 385050001	62-0646806	501(c)(3)	13,441		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Tennessee Valley Healthcare System 1310 24th Avenue South Nashville, TN 372122637		Veterans Affairs	5,018		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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The Community Fdn of Middle Tn Inc 3833 Cleghorn Ave Ste 400 Nashville, TN 37215	62-1471789	501(c)(3)	7,000		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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The Conservancy For The Parthenon And Centennial Park PO Box 196340 Nashville, TN 37219	58-1609026	501(c)(3)	10,000		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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The Governor's Foundation for Health 511 Union St 720 Nashville, TN 37219	45-3635908	501(c)(3)	24,999		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a) Name and address of organization or government</b>	<b>(b) EIN</b>	<b>(c) IRC Code section if applicable</b>	<b>(d) Amount of cash grant</b>	<b>(e) Amount of non-cash assistance</b>	<b>(f) Method of valuation (book, FMV, appraisal, other)</b>	<b>(g) Description of non-cash assistance</b>	<b>(h) Purpose of grant or assistance</b>
Third Sector New England 89 South Street Suite 700 Boston, MA 021112680	42-2611090	501(c)(3)	8,395		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a) Name and address of organization or government</b>	<b>(b) EIN</b>	<b>(c) IRC Code section if applicable</b>	<b>(d) Amount of cash grant</b>	<b>(e) Amount of non-cash assistance</b>	<b>(f) Method of valuation (book, FMV, appraisal, other)</b>	<b>(g) Description of non-cash assistance</b>	<b>(h) Purpose of grant or assistance</b>
Trevecca Nazarene University 333 Murfreesboro Road Nashville, TN 37210	62-0497990	501(c)(3)	33,115		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Trustees of Dartmouth College 11 Rope Ferry Road Unit 6210 Hanover, NH 37550	20-2221110	501(c)(3)	226,717		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Trustees of Indiana University 980 Indiana Avenue Room 2232 Indianapolis, IN 462022915	60-3007902	N/A	28,493		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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Trustees of the University of Pennsylvania P O Box 785541 Philadelphia, PA 191785541	23-1352685	501(c)(3)	577,917		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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U T Southwestern Medical Center P O Box 841765 Dallas, TX 75284	75-6002868	N/A	278,602		Not applicable	Not applicable	Research

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US Army Institute of Surgical Research MCMR-SRR-P 3400 Rawley E Chamber Ave Building 3611 Ft Sam Houston, TX 782346315	30-0440374	N/A	92,899		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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UK Research Foundation PO Box 931113 Cleveland, OH 44193	61-6033693	501(c)(3)	22,566		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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United Way of Williamson County 209 Gothic Court Suite 107 Franklin, TN 37006	62-6049469	501(c)(3)	7,500		Not applicable	Not applicable	Contribution

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University of Alabama at Birmingham 1720 2nd Avenue South AB 990 Birmingham, AL 35294	63-6005396	501(c)(3)	69,277		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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University of Arizona 1303 East University Blvd Box 3 Tucson, AZ 857190521	86-6004791	501(c)(3)	253,550		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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University of Arkansas 4301 West Markham Street Little Rock, AR 72205	71-0236904	501(c)(3)	49,512		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

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University of California - Los Angeles Box 951406 11000 Kinross Bldg Ste 102 Los Angeles, CA 90095	95-6006143	501(c)(3)	378,469		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of California - San Francisco 3333 California Street Suite 315 San Francisco, CA 94143-0962	94-6036493	501(c)(3)	6,000		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of California Hastings College of Law 200 McAllister Street San Francisco, CA 94102	94-2581680	501(c)(3)	31,621		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of California Irvine 1400 Biological Sciences III Irvine, CA 92697	95-2226406	501(c)(3)	61,307		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of California San Diego 9500 Gilman Dr MC 0009 La Jolla, CA 92093	95-6006144	501(c)(3)	90,573		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of California San Francisco EMF Box 0897 San Francisco, CA 941430897	94-6036493	501(c)(3)	94,538		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Chicago 6054 S Drexel Ave Suite 300 Chicago, IL 60637	36-2177139	501(c)(3)	148,792		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Colorado Denver P O Box 910238 Denver, CO 802910238	84-6000555	501(c)(3)	1,036,381		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Connecticut 438 Whitney Road Extension Unit 1133 Storrs Mansfield, CT 62690	60-7721600	501(c)(3)	64,009		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Delaware 209 Hullihen Hall Newark, DE 19716	51-3000297	501(c)(3)	37,374		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Florida 1149 S Newell Dr Gainesville, FL 32611	59-6002052	501(c)(3)	385,978		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Hawaii 2440 Campus Rd Box 368 Honolulu, HI 96822	99-6000354	501(c)(3)	189,497		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Houston E Cullen Building Room 316 Houston, TX 77204	74-6001399	501(c)(3)	22,566		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Illinois P O Box 20787 Springfield, IL 62708	37-6000511	501(c)(3)	181,043		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Iowa 12306500 B5 Jessup Hall Iowa City, IA 52242	42-6004813	501(c)(3)	22,734		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Kentucky Research P O Box 931113 Cleveland, OH 44193	61-6033693	501(c)(3)	96,608		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Maryland OCGA 4101 Chesapeake Building 3112 Lee Building College Park, MD 207423141	52-6002033	501(c)(3)	104,060		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Maryland at College Park 4101 Chesapeake Building College Park, MD 207423141	52-6002033	501(c)(3)	793,148		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Maryland Baltimore Financial Service Baltimore, MD 212036428	52-1362793	501(c)(3)	31,023		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Massachusetts 140 Hicks Way Amherst, MA 010039277	43-1673520	501(c)(3)	196,278		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Massachusetts Medical School 55 Lake Avenue North Worcester, MA 01655	43-1673520	501(c)(3)	908,680		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Medicine & Dentistry of NJ 335 George Street 4th Floor New Brunswick, NJ 08903	22-1775306	501(c)(3)	6,083		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Memphis Administration Bldg Room 315 Memphis, TN 38152	62-0648618	501(c)(3)	61,561		Not applicable	Not applicable	Instruction, Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Miami P O Box 405803 Atlanta, GA 303845803	59-0624458	501(c)(3)	242,773		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Michigan 2226 SAB 515 E Jefferson Ann Arbor, MI 481091316	38-6006309	501(c)(3)	10,299		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Minnesota 200 Oak Street SE Suite 450 Minneapolis, MN 554552070	41-6007513	501(c)(3)	316,004		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Missouri-Columbia 310 Jesse Hall Columbia, MO 65211	43-6003859	501(c)(3)	129,137		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Norte Dame Office of Research 511 Main Building Notre Dame, IN 46556	35-0868188	501(c)(3)	342,569		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of North Carolina-Chapel Hill 104 Airport Dr Ste 2200 CB 1350 Chapel Hill, NC 275991350	56-6001393	501(c)(3)	865,721		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of North Carolina-Charlotte 9201 University City Blvd Charlotte, NC 28223	56-0791228	501(c)(3)	53,927		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a) Name and address of organization or government</b>	<b>(b) EIN</b>	<b>(c) IRC Code section if applicable</b>	<b>(d) Amount of cash grant</b>	<b>(e) Amount of non-cash assistance</b>	<b>(f) Method of valuation (book, FMV, appraisal, other)</b>	<b>(g) Description of non-cash assistance</b>	<b>(h) Purpose of grant or assistance</b>
University of North Florida 1 UNF Drive Jacksonville, FL 32224	59-2976169	N/A	47,709		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Oklahoma P O Box 26901 Oklahoma City, OK 731260901	73-6017987	501(c)(3)	19,783		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Oxford 1152 15th Street NW 7th Floor Washington, DC 20005	98-6001062	501(c)(3)	44,485		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Pennsylvania P O Box 785541 Philadelphia, PA 191785541	23-1352685	501(c)(3)	224,168		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a) Name and address of organization or government</b>	<b>(b) EIN</b>	<b>(c) IRC Code section if applicable</b>	<b>(d) Amount of cash grant</b>	<b>(e) Amount of non-cash assistance</b>	<b>(f) Method of valuation (book, FMV, appraisal, other)</b>	<b>(g) Description of non-cash assistance</b>	<b>(h) Purpose of grant or assistance</b>
University of Pittsburgh PO Box 371220 Pittsburgh, PA 152517220	25-0965591	501(c)(3)	759,092		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Rochester 910 Genesee St Brooks Landing Center Suite 200 Rochester, NY 146113847	16-0743209	501(c)(3)	10,511		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of South Alabama 307 University Boulevard AD 362 Mobile, AL 36688	63-0477348	501(c)(3)	9,293		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of South Carolina 1600 Hampton St Suite 612 Columbia, SC 29208	57-6001153	501(c)(3)	196,888		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of South Florida P O Box 864568 Orlando, FL 328864568	59-6001874	501(c)(3)	452,855		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Southern California 3500 South Figueroa Street Suite 102 Los Angeles, CA 90074	95-1642394	501(c)(3)	198,819		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Tennessee at Chattanooga OGPR 615 McCallie Avenue Chattanooga, TN 374032598	62-6001636	501(c)(3)	15,286		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Tennessee Health Science South Dunlap Street Suite 300 Memphis, TN 38163	62-6001636	501(c)(3)	212,725		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Tennessee Knoxville 201 Andy Holt Tower Knoxville, TN 379960100	62-6001636	501(c)(3)	411,737		Not applicable	Not applicable	Instruction, Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Tennessee Memphis 62 S Dunlap Street Room 300 Memphis, TN 38163	62-6001636	501(c)(3)	269,724		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Tennessee Space Institute 201 Andy Holt Tower Knoxville, TN 379960100	62-6001636	501(c)(3)	17,528		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Texas 800 West Campbell Rd Houston, TX 772104390	74-6001118	501(c)(3)	72,005		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Texas Dallas 800 West Campbell Road AD37 Richardson, TX 750803021	75-1305566	501(c)(3)	123,747		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Texas Health Science P O Box 301418 Dallas, TX 753031418	74-1586031	501(c)(3)	14,872		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Texas Medical Branch PO Box 660120 Dept 750 Dallas, TX 75266	74-6000949	501(c)(3)	382,500		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Texas Southwestern Medical Post Office Box 841765 Dallas, TX 752841765	75-6002868	501(c)(3)	492,576		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a) Name and address of organization or government</b>	<b>(b) EIN</b>	<b>(c) IRC Code section if applicable</b>	<b>(d) Amount of cash grant</b>	<b>(e) Amount of non-cash assistance</b>	<b>(f) Method of valuation (book, FMV, appraisal, other)</b>	<b>(g) Description of non-cash assistance</b>	<b>(h) Purpose of grant or assistance</b>
University of Utah 201 South Presidents Circle Room 406 Salt Lake City, UT 841129020	87-6000525	501(c)(3)	132,036		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Vermont 217 Waterman Building 85 South Prospect Street Burlington, VT 054050160	30-1794400	501(c)(3)	13,940		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Virginia PO Box 400195 Charlottesville, VA 229044195	54-6001796	501(c)(3)	133,893		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Washington OSP Box 359472 Seattle, WA 98195	91-6001537	501(c)(3)	142,515		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Wisconsin Madison GAR Prg Drawer 538 Milwaukee, WI 532780538	39-1805963	501(c)(3)	180,184		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
University of Wisconsin-Madison Research Sponsored Programs Madison, WI 53715	39-6006492	501(c)(3)	969,144		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Urban Housing Solutions Inc 822 Woodland Street Nashville, TN 37206	62-1466422	501(c)(3)	34,555		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Vaccine and Gene Therapy Institute of 9801 SW Discovery Way Port Saint Lucie, FL 34987	36-4631835	501(c)(3)	85,091		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a) Name and address of organization or government</b>	<b>(b) EIN</b>	<b>(c) IRC Code section if applicable</b>	<b>(d) Amount of cash grant</b>	<b>(e) Amount of non-cash assistance</b>	<b>(f) Method of valuation (book, FMV, appraisal, other)</b>	<b>(g) Description of non-cash assistance</b>	<b>(h) Purpose of grant or assistance</b>
Virginia Commonwealth University 800 East Leigh Street Suite 3100 Richmond, VA 232191551	54-6001758	501(c)(3)	35,719		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Virginia Polytechnic Institute 460 Turner Street Suite 360 Blacksburg, VA 24060	54-6001805	501(c)(3)	358,892		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Wake Forest University Medical Center Boulevard Winston Salem, NC 27157	56-0532138	501(c)(3)	21,072		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Wake Forest University Health Sciences Medical Center Boulevard Winston Salem, NC 27157	22-3849199	501(c)(3)	207,391		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a) Name and address of organization or government</b>	<b>(b) EIN</b>	<b>(c) IRC Code section if applicable</b>	<b>(d) Amount of cash grant</b>	<b>(e) Amount of non-cash assistance</b>	<b>(f) Method of valuation (book, FMV, appraisal, other)</b>	<b>(g) Description of non-cash assistance</b>	<b>(h) Purpose of grant or assistance</b>
Washington State University P O Box 641039 342 Pullman, WA 991641039	91-6001108	501(c)(3)	72,869		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Washington University 700 Rosedale Avenue Campus Box 1034 Saint Louis, MO 631121408	43-0653611	501(c)(3)	746,237		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Weill Medical College 575 Lexington Avenue 9th Floor New York, NY 10022	13-1623978	501(c)(3)	236,884		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
West Tennessee Healthcare Foundation 620 Skyline Drive Jackson, TN 383013956	58-1671241	501(c)(3)	8,025		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
West Virginia University 886 Chestnut Ridge Rd 2nd Floor Room 202 Morgantown, WV 26506	55-6000842	501(c)(3)	5,900		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
West Virginia University Research Corp 886 Chestnut Ridge Rd Second Floor Room 202 Morgantown, WV 26506	55-0665758	501(c)(3)	5,200		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Williamson County-Franklin Chamber of Commerce 1007 Mooreland Blvd Brentwood, TN 37027	81-0644815	501(c)(3)	7,500		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Williamson Medical Center 4321 Carothers Parkway Attn Carol Lewis Franklin, TN 37067	62-1501534	501(c)(3)	65,256		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Women Survivors Alliance PO Box 222 Brentwood, TN 37204	45-4592126	501(c)(3)	10,000		Not applicable	Not applicable	Contribution

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Y M C A Of Middle Tennessee 1021 Russell Street Nashville, TN 37206	62-0476243	501(c)(3)	28,500		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
Y W C A of Nashville & Middle Tennessee 1608 Woodmont Boulevard Nashville, TN 37215	62-0475702	501(c)(3)	13,500		Not applicable	Not applicable	Instruction

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Yale University P O Box 1873 New Haven, CT 065081873	60-6469730	501(c)(3)	125,867		Not applicable	Not applicable	Research

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC Code section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
York County Community College 112 College Drive Wells, ME 04073	10-4160150	501(c)(3)	19,803		Not applicable	Not applicable	Instruction

**Schedule J  
(Form 990)****Compensation Information**

OMB No 1545-0047

**2013****Open to Public  
Inspection**Department of the Treasury  
Internal Revenue ServiceFor certain Officers, Directors, Trustees, Key Employees, and Highest  
Compensated Employees

► Complete if the organization answered "Yes" to Form 990, Part IV, line 23.

► Attach to Form 990. ► See separate instructions.

► Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).Name of the organization  
Vanderbilt University

Employer identification number

62-0476822

**Part I Questions Regarding Compensation****1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> First-class or charter travel             | <input checked="" type="checkbox"/> Housing allowance or residence for personal use |
| <input checked="" type="checkbox"/> Travel for companions                     | <input type="checkbox"/> Payments for business use of personal residence            |
| <input checked="" type="checkbox"/> Tax indemnification and gross-up payments | <input checked="" type="checkbox"/> Health or social club dues or initiation fees   |
| <input type="checkbox"/> Discretionary spending account                       | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)            |

**b** If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?**3** Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee              | <input type="checkbox"/> Written employment contract                                |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study                    |
| <input checked="" type="checkbox"/> Form 990 of other organizations     | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

**4** During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization**a** Receive a severance payment or change-of-control payment?**b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?**c** Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III

**Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.****5** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of**a** The organization?**b** Any related organization?

If "Yes," to line 5a or 5b, describe in Part III

**6** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of**a** The organization?**b** Any related organization?

If "Yes," to line 6a or 6b, describe in Part III

**7** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III**8** Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III**9** If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
<b>1b</b>	Yes	
<b>2</b>	Yes	
<b>4a</b>	Yes	
<b>4b</b>	Yes	
<b>4c</b>		No
<b>5a</b>		No
<b>5b</b>		No
<b>6a</b>		No
<b>6b</b>		No
<b>7</b>	Yes	
<b>8</b>	Yes	
<b>9</b>	Yes	

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table							

**Part III Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II  
Also complete this part for any additional information

Return Reference	Explanation
Part I, Line 1a	<p>Vanderbilt excludes the benefits from taxable income when a documented business purpose is served First-class or charter travel - Travelers should fly via commercial airline and work to obtain the lowest fare possible If an airline provides business class or first class seating and the length of an individual flight segment is more than four hours, the traveler may petition his/her vice Chancellor and with this written approval purchase a business or first class ticket In cases where it is not possible to fly commercial due to scheduling commitments, and the travel is of the highest importance, travelers may request that the Chancellor approve a charter flight, after weighing the benefits of the trip against the cost of the charter The Board of trust has approved the first class travel or charter for the Chancellor when necessitated by his schedule Per Vanderbilt's athletics travel policy, family members are allowed, with the approval of the Vice Chancellor for University Affairs and Athletics, to accompany the team on charter flights when there are extra seats, without having to reimburse the university since the charter fee is not determined by the number of actual passengers Five officers and two highest compensated employees received first-class or charter travel benefits in accordance with Vanderbilt's travel policy that were not included in taxable compensation Travel for companion - One officer and one highest compensated employee received travel for companion benefits in accordance with Vanderbilt's travel policy that were not included in taxable compensation Tax indemnification and gross-up payments - One officer and one former officer received tax indemnification and/or gross-up payment benefits that were included in taxable compensation Housing allowance or residence for personal use - One officer received a housing allowance or a residence for personal use that was included in taxable compensation Health or social club dues or initiation fees - One officer received health or social club dues or initiation fees benefits that were included in taxable compensation One officer and one key employee received health or social club dues or initiation fees benefits that were not included in taxable compensation</p>
Part I, Lines 4a-b	<p>Line 4a Former Officer (Vice Chancellor for Investments) Matthew W Wright, MBA terminated employment with Vanderbilt University in January 2013 He received a severance payment totaling \$942,000, which was included in his 2013 W-2 and is included in the amount reported in Schedule J, Part II, Column b(iii) Line 4b Certain individuals listed in Form 990, Schedule J, Part II, participate in nonqualified deferred compensation plans Amounts contributed to the plans include fixed %s of annual bonus payments, and fixed dollar amounts Contributions to the plan vest when individuals complete their contract term or continue employment to a designated date Current year accruals of compensation associated with these plans are included in the amounts reported for such individuals in Schedule J, Part II, Column (C) The payout of these amounts in future years will be included in the amounts reported in Schedule J, Part II, Column (B)(iii) for such individuals Amounts accrued in prior years and previously reported in Schedule J, Part II, Column (C) will be reported in Schedule J, Part II, Column (F) Two payouts were made under these plans to participating individuals in 2013 - David Williams - \$1,000,000 - Nicholas Zeppos - \$ 938,320</p>
Part I, Line 7	<p>Chancellor Nicholas S Zeppos achieved various incentive goals where judgment was determined by the Board of Trust Total incentive compensation earned from these measures was \$80,784 and is included in his 2013 W-2 The following individuals achieved various incentive goals where judgment was determined by the Chancellor or the Board of Trust Total incentive compensation earned from these measures during 2013 for each individual follows, and is included in their respective 2013 W-2s Vice Chancellor for Health Affairs, Dean, School of Medicine, Provost and Vice Chancellor for Academic Affairs, Professor of Psychology Richard C McCarty - \$17,166, Vice Chancellor for Finance and Chief Financial Officer, and Former Interim Vice Chancellor for Investment Brett C Sweet - \$21,000, Vice Chancellor for Athletics and University Affairs and Athletics Director, Former General Counsel and Former Secretary of the University David Williams, II - \$24,345, Vice Chancellor for Public Affairs Beth A Fortune - \$11,808, Vice Chancellor for Administration Jerry Fife - \$12,797, Vice Chancellor for Development and Alumni Relations Susie Stalcup - \$15,720</p>
Part I, Line 8	<p>Certain individuals are, from time to time, serving under the provisions of their initial contract to serve as officers of the university, which principally establishes their compensation, responsibilities and duties</p>

**Additional Data**

**Software ID:**  
**Software Version:**  
**EIN:** 62-0476822  
**Name:** Vanderbilt University

**Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

(A) Name	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
	(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
Nicholas S Zeppos JD See Schedule O	(i) 904,469 (ii) 0	242,352 0	970,152 0	112,286 0	30,479 0	2,259,738 0	739,488 0
Audrey Jane Anderson See Schedule O	(i) 462,105 (ii) 0	150,000 0	24,732 0	50,507 0	22,634 0	709,978 0	0 0
Jeffrey R Balser MD PHD See Schedule O	(i) 1,292,568 (ii) 0	0 0	15,129 0	560,401 0	61,385 0	1,929,483 0	0 0
Jerry G Fife BS See Schedule O	(i) 429,796 (ii) 0	36,258 0	13,691 0	30,097 0	10,466 0	520,308 0	0 0
Beth A Fortune MA See Schedule O	(i) 354,893 (ii) 0	23,616 0	11,507 0	29,097 0	10,408 0	429,521 0	0 0
Anders W Hall See Schedule O	(i) 229,296 (ii) 0	150,000 0	10,680 0	405,583 0	4,935 0	800,494 0	0 0
John M Lutz See Schedule O	(i) 489,046 (ii) 0	300,000 0	11,432 0	77,113 0	23,133 0	900,724 0	0 0
Richard C McCarty MS PHD See Schedule O	(i) 569,728 (ii) 0	102,996 0	25,168 0	30,097 0	21,338 0	749,327 0	0 0
Susie S Stalcup BA See Schedule O	(i) 526,443 (ii) 0	47,160 0	14,399 0	12,597 0	13,403 0	614,002 0	0 0
Brett C Sweet MBA See Schedule O	(i) 1,030,419 (ii) 0	140,000 0	11,219 0	138,708 0	32,868 0	1,353,214 0	0 0
David Williams II MA MBA JD LLM See Schedule O	(i) 812,424 (ii) 0	184,616 0	1,031,338 0	28,143 0	37,978 0	2,094,499 0	909,090 0
Charles L Gregory MA MBA MHA See Schedule O	(i) 512,504 (ii) 0	33,307 0	12,822 0	30,097 0	33,189 0	621,919 0	0 0
John F Manning Jr PHD MBA See Schedule O	(i) 608,792 (ii) 0	69,542 0	12,822 0	112,597 0	22,556 0	826,309 0	0 0
Charles W Pinson MD MBA See Schedule O	(i) 1,093,459 (ii) 0	70,431 0	14,064 0	209,920 0	12,315 0	1,400,189 0	0 0
David R Posch See Schedule O	(i) 638,939 (ii) 0	6,528 0	14,064 0	12,597 0	17,729 0	689,857 0	0 0
James G Franklin See Schedule O	(i) 3,592,611 (ii) 0	395,000 0	11,374 0	30,097 0	26,137 0	4,055,219 0	0 0
John W Klekamp MD See Schedule O	(i) 913,611 (ii) 0	383,576 0	11,310 0	29,920 0	28,730 0	1,367,147 0	0 0
Michael J McNamara See Schedule O	(i) 878,391 (ii) 0	472,328 0	12,822 0	12,420 0	25,893 0	1,401,854 0	0 0
Kevin E Stallings MS See Schedule O	(i) 1,171,006 (ii) 0	831,942 0	11,302 0	84,126 0	40,269 0	2,138,645 0	0 0
Paul A Thomas MD See Schedule O	(i) 1,489,784 (ii) 0	237,863 0	12,822 0	12,420 0	25,893 0	1,778,782 0	0 0

**Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

(A) Name	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
	(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
Matthew W Wright MBA See Schedule O	(i) 50,755 (ii) 0	0 0	1,073,883 0	3,636 0	18,583 0	1,146,857 0	0 0
Martin P Sandler MD See Schedule O	(i) 600,283 (ii) 0	24,000 0	14,064 0	24,420 0	11,600 0	674,367 0	0 0

**Schedule K  
(Form 990)****Supplemental Information on Tax Exempt Bonds****2013****Open to Public  
Inspection**Department of the Treasury  
Internal Revenue Service

- Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
- Attach to Form 990. ► See separate instructions.
- Information about Schedule K (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization  
Vanderbilt UniversityEmployer identification number  
62-0476822**Part I Bond Issues**

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	HEFB Vanderbilt Univ Series 2012D&E	62-6139016	VariousNu	11-29-2012	169,603,023	See Part VI		X		X		X
B	HEFB Vanderbilt Univ Series 2012AB&C	62-6139016	VariousNu	03-29-2012	180,230,953	See Part VI		X		X		X
C	HEFB Vanderbilt Univ Series 2009A&B	62-6139016	VariousNu	04-01-2009	328,850,840	See Part VI		X		X		X
D	HEFB Vanderbilt Univ Series 2008A&B	62-6139016	VariousNu	04-23-2008	274,405,009	See Part VI		X		X		X

**Part II Proceeds**

		A	B	C	D
1	Amount of bonds retired	5,735,000	23,695,000		68,265,000
2	Amount of bonds legally defeased				
3	Total proceeds of issue	169,603,023	180,231,110	328,896,899	275,052,312
4	Gross proceeds in reserve funds				
5	Capitalized interest from proceeds				
6	Proceeds in refunding escrows				
7	Issuance costs from proceeds			2,245,733	1,774,403
8	Credit enhancement from proceeds				
9	Working capital expenditures from proceeds				
10	Capital expenditures from proceeds			100,365,419	
11	Other spent proceeds	169,603,023	180,231,110	226,285,747	273,277,909
12	Other unspent proceeds				
13	Year of substantial completion			2011	
14	Were the bonds issued as part of a current refunding issue?	X	X	X	X
15	Were the bonds issued as part of an advance refunding issue?		X		X
16	Has the final allocation of proceeds been made?	X	X	X	X
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X	X	X	X

**Part III Private Business Use**

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X		X	

**Part III Private Business Use (Continued)**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>3a</b> Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X		X	
<b>b</b> If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X		X		X		X	
<b>c</b> Are there any research agreements that may result in private business use of bond-financed property?	X		X		X		X	
<b>d</b> If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X		X		X		X	
<b>4</b> Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ►	0 190 %		0 130 %		0 030 %		0 060 %	
<b>5</b> Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ►	0 %		0 %		0 %		0 010 %	
<b>6</b> Total of lines 4 and 5	0 190 %		0 130 %		0 030 %		0 070 %	
<b>7</b> Does the bond issue meet the private security or payment test?		X		X		X		X
<b>8a</b> Has there been a sale or disposition of any of the bond financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?	X		X		X			X
<b>b</b> If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of	0 020 %		0 010 %		0 040 %			
<b>c</b> If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1 141-12 and 1 145-2?		X		X		X		
<b>9</b> Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2?	X		X		X		X	

**Part IV Arbitrage**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>1</b> Has the issuer filed Form 8038-T?		X		X		X		X
<b>2</b> If "No" to line 1, did the following apply?								
<b>a</b> Rebate not due yet?	X		X			X		X
<b>b</b> Exception to rebate?	X		X		X		X	
<b>c</b> No rebate due?		X		X		X		X
If you checked "No rebate due" in line 2c, provide in Part VI the date the rebate computation was performed								
<b>3</b> Is the bond issue a variable rate issue?		X	X			X		X
<b>4a</b> Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
<b>b</b> Name of provider								
<b>c</b> Term of hedge								
<b>d</b> Was the hedge superintegrated?								
<b>e</b> Was the hedge terminated?								

**Part IV Arbitrage (Continued)**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b Name of provider								
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7 Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

**Part V Procedures To Undertake Corrective Action**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

**Part VI Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions).

Return Reference	Explanation
Form 990, Schedule K, Part I, Column (a), All Rows	Full Issuer Name The Health and Educational Facilities Board of Metropolitan Government of Nashville and Davidson County, TN (HEFB)

Return Reference	Explanation
Form 990, Schedule K, Part I, Column (f)	<p>Description of Purpose 1st group (Series 2012D&amp;E, 2012A,B&amp;C, 2009A&amp;B &amp; 2008A&amp;B) Row A - To refund a portion of series 2000A bonds (issued on January 20, 2005), a portion of the series 2005A bonds (issued on January 20, 2005), and a portion of tax-exempt commercial paper Row B - To refund series 2000B bonds (issued on May 16, 2000), series 2003A bonds (issued on May 29, 2003), a portion of the series 2005A bonds (issued on January 20, 2005), and the series 2001A&amp;B bonds (both issued January 1, 2001) Row C - To refund tax-exempt commercial paper (issued on June 28, 2007) used to finance various capital projects, refund series 2005 B-1 &amp; B-2 bonds (both issued on January 20, 2005), finance various capital improvements, to pay for costs associated with issuance of bonds Row D - To refund tax-exempt commercial paper (issued on June 28, 2007) used to finance capital projects of the University and Hospitals, to pay for costs associated with issuance of bonds 2nd group (CP Program 5, Series 2005 A-1 &amp; A-2) Row A - To refund series 2005B bonds (issued on January 20, 2005 and June 28, 2007), to finance various capital projects and improvements Row B - Issued as a private placement to fund purchase of medical transport helicopters</p>

Return Reference	Explanation
Form 990, Schedule K, Part II, Line 3,	Proceeds Differences between Part I, Column (e) and Part II, Line 3 is investment earnings

Return Reference	Explanation
Form 990, Schedule K, Part III, Lines 4, 5, & 6	Private Business Use Vanderbilt University is reporting private business use percentages on a net basis Reporting on a net basis means that private business use has been allocated to the equity portion of any debt-financed buildings and equipment where private business use has occurred In Vanderbilt's case, equity has substantially exceeded relatively minor private business use, resulting in zero reported amounts on a net basis

Return Reference	Explanation
Form 990, Schedule K, Part III, Line 8a	Sale or Disposition of Bond-Financed Property Vanderbilt University calculates the percentage of sale or disposition of bond-financed property by using all disposed property in the numerator without considering if the property was sold to a private organization or disposed of in the regular course of business. This provides for a more conservative calculation. The assets that have been disposed of consist of small equipment. No bond-financed buildings or large equipment have been sold. It is not Vanderbilt's policy to sell any bond-financed assets to a nongovernmental person other than a 501(c)(3) organization.

Return Reference	Explanation
Form 990, Schedule K, Part III, Line 8b	<p>Percentage of Bond-Financed Property 1st group (Series 2012D&amp;E, 2012A,B&amp;C, 2009A&amp;B) Column A - Since a portion of series 2012D&amp;E bonds refinanced series 2005A&amp;B bonds, the portion of series 2005A&amp;B bonds that have been disposed of is allocated to series 2012D&amp;E bonds Column B - Since a portion of series 2012A,B&amp;C bonds refinanced series 2005A&amp;B bonds, the portion of series 2005A&amp;B bonds that have been disposed of is allocated to series 2012A,B&amp;C bonds Column C - Since a portion of series 2009A&amp;B bonds refinanced series 2005A&amp;B bonds, the portion of series 2005A&amp;B bonds that have been disposed of is allocated to series 2009A&amp;B bonds 2nd group (CP Program 5) Column A - Since a portion of the CP Program 5 bonds refinanced the series 2005A&amp;B bonds, the portion of series 2005A&amp;B bonds that have been disposed of is allocated to the CP Program 5 bonds The cost of the disposed asset was such that the calculation rounded to 0.04% In addition, there were other assets financed by the CP Program 5 bonds that were disposed of which resulted in a calculation that rounded to 0.02% This results in a total calculation of 0.06%</p>

Return Reference	Explanation
Form 990, Schedule K, Part IV, Lines 2c	No Rebate Due 2nd group (Series 2005 A-1 & A-2) Column B - No rebate is due as calculated by our rebate monitor in the report dated March 12, 2014

**Schedule K  
(Form 990)****Supplemental Information on Tax Exempt Bonds****2013**

► Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.  
 ► Attach to Form 990. ► See separate instructions.  
 ► Information about Schedule K (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**Open to Public  
Inspection**Department of the Treasury  
Internal Revenue ServiceName of the organization  
Vanderbilt UniversityEmployer identification number  
62-0476822**Part I Bond Issues**

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	HEFB Vanderbilt Univ CP Program 5	62-6139016	VariousNu	03-29-2010	177,740,000	See Part VI		X		X		X
B	HEFB Vanderbilt Univ Series 2005A-1 & A-2	62-6139016	VariousNu	02-15-2005	16,440,000	See Part VI		X		X		X

**Part II Proceeds**

							A		B		C		D	
							Yes	No	Yes	No	Yes	No	Yes	No
1	Amount of bonds retired						82,740,000		8,460,000					
2	Amount of bonds legally defeased													
3	Total proceeds of issue						177,740,000		16,440,000					
4	Gross proceeds in reserve funds													
5	Capitalized interest from proceeds													
6	Proceeds in refunding escrows													
7	Issuance costs from proceeds								64,961					
8	Credit enhancement from proceeds													
9	Working capital expenditures from proceeds													
10	Capital expenditures from proceeds								16,375,039					
11	Other spent proceeds						177,740,000							
12	Other unspent proceeds													
13	Year of substantial completion								2005					
14	Were the bonds issued as part of a current refunding issue?						Yes	No	Yes	No	Yes	No	Yes	No
15	Were the bonds issued as part of an advance refunding issue?							X			X			
16	Has the final allocation of proceeds been made?						X		X					
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?						X		X					

**Part III Private Business Use**

				A		B		C		D	
				Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?				X		X		X		
2	Are there any lease arrangements that may result in private business use of bond-financed property?			X				X			

**Part III Private Business Use (Continued)**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>3a</b> Are there any management or service contracts that may result in private business use of bond-financed property?	X			X				
<b>b</b> If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X							
<b>c</b> Are there any research agreements that may result in private business use of bond-financed property?		X		X				
<b>d</b> If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
<b>4</b> Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ►		0 %		0 %				
<b>5</b> Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ►		0 %		0 %				
<b>6</b> Total of lines 4 and 5		0 %		0 %				
<b>7</b> Does the bond issue meet the private security or payment test?		X		X				
<b>8a</b> Has there been a sale or disposition of any of the bond financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?	X			X				
<b>b</b> If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of		0 060 %						
<b>c</b> If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1 141-12 and 1 145-2?		X						
<b>9</b> Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2?	X		X					

**Part IV Arbitrage**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>1</b> Has the issuer filed Form 8038-T?		X		X				
<b>2</b> If "No" to line 1, did the following apply?								
<b>a</b> Rebate not due yet?		X		X				
<b>b</b> Exception to rebate?	X			X				
<b>c</b> No rebate due?  If you checked "No rebate due" in line 2c, provide in Part VI the date the rebate computation was performed		X	X					
<b>3</b> Is the bond issue a variable rate issue?	X		X					
<b>4a</b> Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X				
<b>b</b> Name of provider								
<b>c</b> Term of hedge								
<b>d</b> Was the hedge superintegrated?								
<b>e</b> Was the hedge terminated?								

**Part IV Arbitrage (Continued)**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X				
b Name of provider								
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X				
7 Has the organization established written procedures to monitor the requirements of section 148?	X		X					

**Part V Procedures To Undertake Corrective Action**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X					

**Part VI Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions).

**Schedule L**  
(Form 990 or 990-EZ)

## **Transactions with Interested Persons**

► Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.

► Attach to Form 990 or Form 990-EZ. ► See separate instructions.  
► Information about Schedule L (Form 990 or 990-EZ) and its instructions is at  
[www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2013

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

Name of the organization  
Vanderbilt University

**Employer identification number**

62-0476822

**Part I Excess Benefit Transactions** (section 501(c)(3) and section 501(c)(4) organizations only)

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction		(d) Corrected?	
			Yes	No		

**2** Enter the amount of tax incurred by organization managers or disqualified persons during the year under section 4958.  \$

**3** Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . . . ► \$

## **Part II      Loans to and/or From Interested Persons.**

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22.

**Part III Grants or Assistance Benefitting Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) See Part V					No

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions)

Return Reference	Explanation
Schedule L, Part III	(a) Name of interested person Education assistance provided to eleven interested persons(b) Relationship between interested person and the organization Employees, dependents, family members(c) Amount of assistance \$384,052(d) Type of assistance Merit based scholarships and awards(e) Purpose of assistance Scholarships and awards to assist individuals fund education costs(a) Name of interested person Tuition assistance provided to five interested persons(b) Relationship between interested person and the organization Qualifying dependents of eligible employees(c) Amount of assistance \$71,121(d) Type of assistance Tuition assistance(e) Purpose of assistance Tuition to assist individuals fund education costs
Schedule L, Part IV	(a) Name of Person Stacey McCarty(b) Relationship between interested person and Organization Family member of current officer Richard McCarty(c) Amount of transaction \$57,439(d) Description of transaction Employment at Vanderbilt(e) Sharing of Organization revenues? = No(a) Name of Person Barbara E Wilson-Engelhardt, MD(b) Relationship between interested person and Organization Family member of trustee William Wilson(c) Amount of transaction \$100,910(d) Description of transaction Employment at Vanderbilt(e) Sharing of Organization revenues? = No(a) Name of Person Hava Fife, APRN-BC(b) Relationship between interested person and Organization Family member of current officer Jerry Fife(c) Amount of transaction \$115,768(d) Description of transaction Employment at Vanderbilt(e) Sharing of Organization revenues? = No(a) Name of Person Gail P Carr-Williams, JD(b) Relationship between interested person and Organization Family member of current officer David Williams, II(c) Amount of transaction \$130,805(d) Description of transaction Employment at Vanderbilt(e) Sharing of Organization revenues? = No(a) Name of Person Glynnis Sacks-Sandler, M D (b) Relationship between interested person and Organization Family member of former key employee Martin Sandler(c) Amount of transaction \$461,707(d) Description of transaction Employment at Vanderbilt(e) Sharing of Organization revenues? = No(a) Name of Person Kim Sandler(b) Relationship between interested person and Organization Family member of former key employee Martin Sandler(c) Amount of transaction \$123,237(d) Description of transaction Employment at Vanderbilt(e) Sharing of Organization revenues? = No(a) Name of Person Carla Sandler-Wilson(b) Relationship between interested person and Organization Family member of former key employee Martin Sandler(c) Amount of transaction \$54,150(d) Description of transaction Employment at Vanderbilt(e) Sharing of Organization revenues? = No(a) Name of Person Dell, Inc (b) Relationship between interested person and Organization Trustee Nancy E Perot's brother and Trustee H Ross Perot, Jr serve on the board of Dell, Inc (c) Amount of transaction \$3,557,024(d) Description of transaction Purchases of computer hardware, software, accessories and services(e) Sharing of Organization revenues? = No(a) Name of Person Summit Partners(b) Relationship between interested person and Organization Trustee Bruce Evans is an owner/investor and on the board of Summit Partners(c) Amount of transaction \$2,348,058(d) Description of transaction Management fees of \$375,245 and carried interest of \$1,972,813(e) Sharing of Organization revenues? = No(a) Name of Person Vanderbilt Legends Club(b) Relationship between interested person and Organization Current Officer David Williams serves on the board of Vanderbilt Legends Club(c) Amount of transaction \$742,074(d) Description of transaction Interest, lease payments, reimbursed expenses, and noncash contributions totaling \$742,074 (e) Sharing of Organization revenues? = No

SCHEDULE M  
(Form 990)**Noncash Contributions**

OMB No 1545-0047

**2013****Open to Public  
Inspection**Department of the Treasury  
Internal Revenue Service►Complete if the organizations answered "Yes" on Form  
990, Part IV, lines 29 or 30.

► Attach to Form 990.

►Information about Schedule M (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).Name of the organization  
Vanderbilt University

Employer identification number

62-0476822

**Part I Types of Property**

	<b>(a) Check if applicable</b>	<b>(b) Number of contributions or items contributed</b>	<b>(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g</b>	<b>(d) Method of determining noncash contribution amounts</b>
1 Art—Works of art . . . . .	X	20	157,338	FMV
2 Art—Historical treasures . . . . .				
3 Art—Fractional interests . . . . .				
4 Books and publications . . . . .	X		54,031	Various
5 Clothing and household goods . . . . .	X		56,191	Selling Price
6 Cars and other vehicles . . . . .				
7 Boats and planes . . . . .				
8 Intellectual property . . . . .				
9 Securities—Publicly traded . . . . .	X	499	21,839,072	Market Quote
10 Securities—Closely held stock . . . . .				
11 Securities—Partnership, LLC, or trust interests . . . . .				
12 Securities—Miscellaneous . . . . .				
13 Qualified conservation contribution—Historic structures . . . . .				
14 Qualified conservation contribution—Other . . . . .				
15 Real estate—Residential . . . . .				
16 Real estate—Commercial . . . . .				
17 Real estate—Other . . . . .				
18 Collectibles . . . . .	X	39	58,817	Various
19 Food inventory . . . . .	X	80	17,150	Cost
20 Drugs and medical supplies . . . . .	X	67	98,896	Cost
21 Taxidermy . . . . .				
22 Historical artifacts . . . . .				
23 Scientific specimens . . . . .				
24 Archeological artifacts . . . . .				
25 Other ► (Software) . . . . .	X	2	2,687,708	FMV
26 Other ► (Sasser Papers) . . . . .	X	2	897,816	FMV
27 Other ► (Other & Aucti) . . . . .	X	175	63,876	Cost
28 Other ► (Donor Paid Ex) . . . . .	X	24	35,079	Cost

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . . .

29

4

Yes No

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? . . . . .

30a Yes No

b If "Yes," describe the arrangement in Part II

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

31 Yes

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? . . . . .

32a Yes No

b If "Yes," describe in Part II

33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II

**Part II Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
Part I, Column (b)	- Number of contributions or items contributed Vanderbilt University reports the number of contributions received throughout the year for each type of property listed Schedule M, Part I, Line 26 - Types of property, other This line includes the personal papers of Senator Jim Sasser Schedule M, Part I, Line 27 - Types of property, other This line includes other donations for various fundraising events to Vanderbilt and silent auctions items, such as giftcards and tickets to performances and athletic events Schedule M, Part I, Line 28 - Types of property, other This line includes donor out-of-pocket expenses for hosting Vanderbilt events

**SCHEDULE O**  
**(Form 990 or 990-EZ)**Department of the Treasury  
Internal Revenue Service**Supplemental Information to Form 990 or 990-EZ****Complete to provide information for responses to specific questions on  
Form 990 or to provide any additional information.****► Attach to Form 990 or 990-EZ.****► Information about Schedule O (Form 990 or 990-EZ) and its instructions is at  
[www.irs.gov/form990](http://www.irs.gov/form990).****2013****Open to Public  
Inspection**Name of the organization  
Vanderbilt University**Employer identification number**

62-0476822

Return Reference	Explanation
Form 990, Part VI, Section A, line 2	- Family/Business Relationships Trustees Jackson W Moore and Rebecca W Wilson have a family relationship Trustees Nancy E Perot and H Ross Perot, Jr have family and business relationships Trustees Dennis C Bottorff and John R Ingram have a business relationship

Return Reference	Explanation
Form 990, Part VI, Section B, line 11	- Complete Copy of Form 990 to Governing Body. The Form 990 is prepared by Vanderbilt University and provided to PricewaterhouseCoopers, Vanderbilt University's independent accounting firm for review. After review by PricewaterhouseCoopers, Vanderbilt University provides a draft copy of the Form 990 and all required schedules for review to all General Officers, which includes the Chancellor and Chief Financial Officer. Once this review process is complete, all trustees are provided electronic access to the draft Form 990 and all required schedules for review. The final Form 990 and all required schedules are made available to the full Board of Trust for review via Boardbooks prior to the filing of the return.

Return Reference	Explanation
Form 990, Part VI, Section B, line 12c	<p>- Conflict of Interest Vanderbilt University requires that for any faculty or staff member disclosing a potential conflict of interest, the conflict be reviewed by the individual's manager, as well as by the conflict of interest and commitment management office or Vanderbilt University Medical Center faculty affairs office, and the reported conflict be managed, reduced, or eliminated. The manager is required to respond that any recommended management plan has been implemented or that the reported conflict no longer exists. For those conflicts in which Vanderbilt may have an institutional interest, those in which human subject research is performed, or those deemed unmanageable, the University Conflicts Committee reviews and determines appropriate actions. The University Conflicts Committee members are appointed by the chancellor and are made up of a faculty member representing each of the university schools, the vice chancellor for administration, the director of technology transfer, the director of the institutional review board, the assistant vice chancellor of internal audit, and the heads of research for both the university and medical center. The University Conflicts Committee is chaired by the vice chancellor for university affairs and athletics, general counsel, and secretary of the university. The University Conflicts Committee reports bi-annually to the Audit Committee of the Board of Trust. The matters brought before the committee and the resulting actions. Board of Trust members and senior executive management of the university also must complete annual conflict of interest disclosures and management plans are developed to manage, reduce, or eliminate any potential conflicts of interest. Trustees are notified of their plans and the plans are thoroughly discussed with them to ensure compliance. Those with disclosed potential conflict of interest are presented to the Audit Committee of the board of trust, along with their respective management action plans, where applicable. Management plans may include restrictions on members such as recusing themselves during deliberations and decisions in which a potential conflict may exist, with the minutes of the meeting reflecting their recusal. Additionally, all members of the Vanderbilt community are required to disclose potential conflicts as they arise throughout the year. The same process noted above occurs for these disclosures.</p>

Return Reference	Explanation
Form 990, Part VI, Section B, line 15	<p>- Determining Compensation To ensure that Vanderbilt is paying reasonable total compensation, is not violating the private inurement prohibition, which requires that none of the organization's income or assets unreasonably benefit any of its trustees, officers, or key employees, and is in compliance with the intermediate sanctions provisions with respect to the general officers, Vanderbilt's Board of Trust has designated a Compensation Committee made up of outside, independent, board members to review and recommend to the Executive Committee of the Board of Trust the total compensation annually for the general officers. The committee utilizes an outside consulting firm to provide expert information regarding industry-wide compensation norms and compliance with all Internal Revenue Service rules concerning executive compensation, including the Internal Revenue Code provision related to intermediate sanctions, deferred compensation, and private inurement. The Compensation Committee reviews the executive compensation philosophy and affirms that it is in line with the Board's expectation. The compensation of the general officers is disclosed in the annual Form 990, which is available to the public in accordance with regulations sections 301.6104(d)-1 through 3. Each year the total compensation review and recommendations are recorded in the minutes of the Compensation Committee meetings. The approval of the recommendations is recorded in the Executive Committee meetings. The full Board is informed annually of the total compensation of the general officers during private session.</p>

Return Reference	Explanation
Form 990, Part VI, Section C, line 19	<p>- Policies Available to Public - Vanderbilt University makes its governing documents available to the public through its Board of Trust website located at <a href="http://www.vanderbilt.edu/boardoftrust">http://www.vanderbilt.edu/boardoftrust</a> - Vanderbilt University makes its conflict of interest policy available to the public through its Compliance Program website located at <a href="http://www.vanderbilt.edu/compliance">http://www.vanderbilt.edu/compliance</a> and Vanderbilt University Medical Center's Office of Compliance and Corporate Integrity website located at <a href="http://www.mc.vanderbilt.edu/compliance">http://www.mc.vanderbilt.edu/compliance</a> - Vanderbilt University makes its financial statements available to the public through its website located at <a href="http://financialreport.vanderbilt.edu">http://financialreport.vanderbilt.edu</a></p>

Return Reference	Explanation
Form 990, Part VII, Section A, Column (A)	<p>Continuation of Titles - Nicholas S Zeppos, JD - Chancellor - Audrey Jane Anderson - Vice Chancellor, General Counsel and University Secretary - Jeffrey R Balser, MD, PHD - Vice Chancellor for Health Affairs, Dean, School of Medicine, Associate Vice Chancellor for Research - Jerry G Fife, BS - Vice Chancellor for Administration - Beth A Fortune, MA - Vice Chancellor for Public Affairs - Anders W Hall - Vice Chancellor for Investments and Chief Investment Officer (effective September 3, 2013) - John M Lutz - Vice Chancellor for Information Technology - Richard C McCarty, MS, PHD - Provost and Vice Chancellor for Academic Affairs, Professor of Psychology - Susie S Stalcup, BA - Vice Chancellor for Development and Alumni Relations - Brett C Sweet, MBA - Vice Chancellor for Finance and Chief Financial Officer and Former Interim Vice Chancellor for Investments (effective September 2, 2013) - David Williams, II, MA, MBA, JD, LLM - Vice Chancellor for Athletics and University Affairs and Athletics Director, Former General Counsel and Former Secretary of the University (effective March 11, 2013) - Charles L Gregory, MA, MBA, MHA - Assistant Vice Chancellor and CEO, Monroe Carell, Jr Children's Hospital at Vanderbilt, Chief Business Development Officer - John F Manning, Jr , PHD, MBA - Associate Vice Chancellor for Health Affairs and Chief Administrative Officer, Vanderbilt University Medical Center, Senior Associate Dean for Operations and Administration, School of Medicine - Charles W Pinson, MD, MBA - Deputy Vice Chancellor for Health Affairs, Senior Associate Dean for Clinical Affairs - David Posch - CEO, Vanderbilt University Hospital - James G Franklin - Head Football Coach - John W Klekamp, MD - Assistant Professor for Clinical Orthopaedic Surgery and Rehabilitation - Michael J McNamara, MD - Associate Professor of Clinical Orthopaedic Surgery and Rehabilitation - Kevin E Stallings, MS - Head Men's Basketball Coach - Paul A Thomas, MD - Associate Professor of Clinical Orthopaedic Surgery - Matthew W Wright, MBA - Former Vice Chancellor for Investments (effective January 31, 2013) - Martin P Sandler, MD - Former Key Employee (Associate Vice Chancellor for Hospital Affairs), Terminated status as a key employee in June 2009, Professor of Radiology and Radiological Sciences</p>

Return Reference	Explanation
Form 990, Part IX, Line 24e, All Other Functional Expenses	Bad Debts Program service expenses 1,815,319 Management and general expenses 0 Fundraising expenses 0 Total expenses 1,815,319 Total Other Expenses of Form 990, Part IX, Line 24e Col A 1,815,319

Return Reference	Explanation
Form 990, Part XI, line 9	Change in net assets related to noncontrolling interests -36,833,969

**SCHEDULE R  
(Form 990)****Related Organizations and Unrelated Partnerships**

OMB No 1545-0047

**2013**

► Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

► Attach to Form 990. ► See separate instructions.

► Information about Schedule R (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**Open to Public  
Inspection**Department of the Treasury  
Internal Revenue ServiceName of the organization  
Vanderbilt University**Employer identification number**

62-0476822

**Part I Identification of Disregarded Entities** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
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See Additional Data Table

**Part II Identification of Related Tax-Exempt Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) VU Real Estate Holdings Inc  2100 West End Ste 750  Nashville, TN 37203 58-2018307	Title Holding	TN	501(C)(2)	N/A	VU	Yes	
(2) Vanderbilt Student Communications Inc  2301 Vanderbilt Place  Nashville, TN 37235 23-7030713	Support Org	TN	501(c)(3)	11-A-I	VU	Yes	
(3) Ingram Charitable Fund Inc  4400 Harding Road 9th Floor  Nashville, TN 37203 58-1916504	Support Org	TN	501(c)(3)	11D-III-O	N/A		No
(4) Vanderbilt Home Care Services Inc  2120 Belcourt Avenue  Nashville, TN 37212 62-1404948	Home Health	TN	501(c)(3)	9	VHS	Yes	
(5) Vanderbilt Global Inc  2100 West End Ste 750  Nashville, TN 37203 46-0888454	Support Org	DE	501(c)(3)	11-A-I	VGH	Yes	

**Part III Identification of Related Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

**Part IV Identification of Related Organizations Taxable as a Corporation or Trust** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

**Part V Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

- a** Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity
- b** Gift, grant, or capital contribution to related organization(s)
- c** Gift, grant, or capital contribution from related organization(s)
- d** Loans or loan guarantees to or for related organization(s)
- e** Loans or loan guarantees by related organization(s)
  
- f** Dividends from related organization(s)
- g** Sale of assets to related organization(s)
- h** Purchase of assets from related organization(s)
- i** Exchange of assets with related organization(s)
- j** Lease of facilities, equipment, or other assets to related organization(s)
  
- k** Lease of facilities, equipment, or other assets from related organization(s)
- l** Performance of services or membership or fundraising solicitations for related organization(s)
- m** Performance of services or membership or fundraising solicitations by related organization(s)
- n** Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)
- o** Sharing of paid employees with related organization(s)
  
- p** Reimbursement paid to related organization(s) for expenses
- q** Reimbursement paid by related organization(s) for expenses
  
- r** Other transfer of cash or property to related organization(s)
- s** Other transfer of cash or property from related organization(s)

	Yes	No
1a	Yes	
1b	Yes	
1c	Yes	
1d	Yes	
1e		No
1f		No
1g		No
1h		No
1i		No
1j	Yes	
1k	Yes	
1l	Yes	
1m	Yes	
1n		No
1o	Yes	
1p	Yes	
1q	Yes	
1r	Yes	
1s	Yes	

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
See Additional Data Table			

**Part VI** **Unrelated Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

**Part VII Supplemental Information**

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference	Explanation
Form 990, Schedule R, Part V, Column C	The amounts reported are derived from the books and records of Vanderbilt University, which are maintained on an accrual basis in accordance with U.S. Generally Accepted Accounting Principles. Valuation of the amounts reported in Schedule R, Part V, Column C is consistent with the valuation reflected in the University's consolidated audited financial statements.

## Additional Data

**Software ID:**  
**Software Version:**  
**EIN:** 62-0476822  
**Name:** Vanderbilt University

### Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income	(e) End-of-year assets	(f) Direct Controlling Entity
(1) Dore Capital LLC 2100 West End Ave Suite 1000 Nashville, TN 37203 26-4337602	Investment	DE	0	0	VU
(1) Dore Capital Real Estate LLC 2100 West End Ave Suite 1000 Nashville, TN 37203 26-4581498	Investment	DE	0	0	VU
(2) Vanderbilt Global Holdings LLC 2100 West End Ave Suite 750 Nashville, TN 37203 46-3504785	Holding Company	TN	190	493,115	VU
(3) Vanderbilt Health Services LLC 2100 West End Ave Suite 750 Nashville, TN 37203 62-1176354	Holding Company	TN	688,045	6,109,790	VU
(4) Friends in Global Health LLC 2100 West End Ave Suite 750 Nashville, TN 37203 26-0170070	Public Health	TN	9,639,873	27,423	VHS
(5) Vanderbilt-Wilson Radiation Oncology LLC 2100 West End Ave Suite 750 Nashville, TN 37203 26-1241612	Inactive	TN	0	0	VHS
(6) Vanderbilt Health Affiliated Network LLC 2100 West End Ave Suite 750 Nashville, TN 37203 46-1571024	Clinical Network	TN	2,104,349	1,030,776	VHS

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership												
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in Box 20 of K-1 (Form 1065)	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
Alternative Fixed Income Fund LP  1250 E Hallendale Beach Blvd PH-A Hallendale, FL 33009 65-0769632	Investments	FL	Ztips Inc	Excluded	-110	-56		No			No	0 240 %
Dore Capital LP  2100 West End Ste 1000 Nashville, TN 37203 26-4337679	Investments	DE	VU	Excluded	-6,996,155	189,854,739	Yes			Yes		59 070 %
Dore Capital Real Estate LP  2100 West End Ste 1000 Nashville, TN 37203 26-4581574	Investments	DE	VU	Unrelated	-5,330,914	70,841,148	Yes			Yes		69 460 %
Ambulatory Surgery Center of Cool Springs LLC  40 Burton Hills Blvd Ste 500 Nashville, TN 37215 62-1809227	Ambulatory Surgery	TN	VHS	Related	2,276,188	2,754,013		No			No	51 020 %
New Light Imaging LLC  4525 Harding Road Suite 102 Nashville, TN 37205 14-1895171	Management Services	TN	VHS	Related	700,263	325,708		No			No	66 670 %
One Hundred Oaks Imaging LLC  4525 Harding Road Suite 102 Nashville, TN 37205 26-3762022	Diagnostic Imaging	TN	VIS	Related	1,773,078	1,885,051		No			No	80 000 %
Springfield VIP Realty LLC  3319 West End Ave Ste 700 Nashville, TN 37203 26-1237360	Real Estate	TN	VU	Excluded	11,615	447,503		No		Yes		49 000 %
Vanderbilt Gateway Cancer Center GP  3319 West End Ave Ste 700 Nashville, TN 37203 20-3844791	Oncology Services	DE	VHS	Related	508,685	1,860,001		No			No	50 000 %
Vanderbilt Imaging Services LLC (VIS)  1909 Acklen Avenue Nashville, TN 37212 62-1787098	Radiology Services	TN	VHS	Related	5,462,535	2,406,629		No			No	66 670 %
Vanderbilt Stallworth Rehabilitation Hospital LP  3660 Grandview Parkway Ste 200 Birmingham, AL 35243 63-1077470	Rehab Services	TN	VHS	Related	1,194,818	7,709,321		No			No	50 000 %
Williamson Imaging LLC  2009 Mallory Lane Suite 150 Franklin, TN 37067 62-1855535	Diag Imaging	TN	VIS	Related	2,193,314	1,214,691		No			No	53 340 %
Third Eye Capital Credit Opportunities Fund - Insight Fund  19 rue de Bitbourg Luxemburg L-1273 LU 98-0691142	Investments	LU	VU	Excluded	5,860,078	33,550,502		No			No	48 260 %
Investec Institutional Pan African Fund LLC  666 5th Avenue 15th Floor New York, NY 10103 26-2188279	Investments	DE	VU	Excluded	4,885,309	46,514,583		No			No	54 750 %
Vanderbilt - Maury Radiation Oncology LLC  1031 22nd Ave South Suite B-1034 Nashville, TN 37232 46-0757412	Oncology Services	TN	VHS	Related	-1,089,814	2,263,111		No		Yes		40 000 %
Vanderbilt Health and Williamson Medical Center Clinics and Services  3319 West End Ave Ste 700 Nashville, TN 37203 62-1864145	Walk-in Clinics	TN	VHS	Related	1,023,758	2,780,780		No			No	51 000 %

**Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust**

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b) (13) controlled entity?	
								Yes	No
Vanderbilt Legends Club Inc 1500 Legends Club Lane Franklin, TN 37069 62-1429615	Golf Club	TN	VU	C	6,737,667	13,446,979	100 000 %	Yes	
ZTIPS Inc (ZTIPS) 2100 West End Ave Suite 750 Nashville, TN 37203 62-1865562	Investments	DE	VU	C		-56	100 000 %	Yes	
Commodore Scottish Patron II LP 50 Lothian Road Festival Square Edinburgh, Scotland UK	Investments	UK	VU	C	51,007	8,562,930	100 000 %	Yes	
Callao Partners LTD Appleby Trust (Cayman) LTD Clifton House 75 Fort Street PO George Town KY1-1108 CJ	Investments	CJ	VU	C	4,476,126	92,434,764	5 100 %	Yes	
Emergent Pro Alia Fund Sub Fund Emergent African Land Fund 20 Boulevard Emmanuel Servais L-2535 LU 98-0600835	Investments	LU	VU	C		5,000,000	79 600 %	Yes	
Vanderbilt Integrated Providers (VIP) 3319 West End Ave Suite 700 Nashville, TN 37203 62-1650124	Practices	TN	VHS	C	2,648,837	2,597,214	100 000 %	Yes	
Pacific Harbor Special Holdings Ltd PO Box 957 Offshore Incorporations Tortola, British Virgin Is VI	Investments	VI	VU	C	4,941,360	78,065,793	100 000 %	Yes	
Vision Borda Da Serra Fund Intertrust Corporate Svcs Cayman George Town KY1-9005 CJ	Investments	CJ	VU	C				Yes	
Vision Sao Domingos Fund Intertrust Corporate Svcs Cayman George Town KY1-9005 CJ	Investments	CJ	VU	C		13,605,581	52 230 %	Yes	
									No
Charitable Remainder Trust (77)	Charitable Trust	TN	VU	T				Yes	
Perpetual Trusts (6)	Charitable Trust	TN	VU	T				Yes	
Charitable Lead Trusts (1)	Charitable Trust	TN	VU	T				Yes	

**Form 990, Schedule R, Part V - Transactions With Related Organizations**

(a) Name of other organization	(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
One Hundred Oaks Imaging LLC	A	2,371	Fair Market Value
VU Real Estate Holdings Inc	A	404,293	Fair Market Value
Vanderbilt Home Care Services Inc	A	110,749	Fair Market Value
Vanderbilt Legends Club Inc	A	546,556	Fair Market Value
One Hundred Oaks Imaging LLC	A	173,509	Fair Market Value
Vanderbilt Home Care Services Inc	A	86,108	Fair Market Value
Vanderbilt Integrated Providers	A	32,122	Fair Market Value
Vanderbilt Student Communications Inc	A	52,763	Fair Market Value
Lead Trust (1)	C	86,890	Fair Market Value
One Hundred Oaks Imaging LLC	D	106,177	Fair Market Value
VU Real Estate Holdings Inc	D	5,675,321	Fair Market Value
Vanderbilt Home Care Services Inc	J	88,552	Fair Market Value
Vanderbilt Legends Club Inc	C	89,922	Fair Market Value
Vanderbilt Legends Club Inc	D	8,217,675	Fair Market Value
Vanderbilt Stallworth Rehabilitation Hospital LP	A	135,000	Fair Market Value
VU Real Estate Holdings Inc	K	501,560	Fair Market Value
Vanderbilt Stallworth Rehabilitation Hospital LP	J	54,224	Fair Market Value
Vanderbilt Gateway Cancer Center GP	L	1,000,951	Fair Market Value
Vanderbilt Imaging Services LLC	O	416,121	Fair Market Value
Vanderbilt Integrated Providers	L	380,455	Fair Market Value
Vanderbilt Home Care Services Inc	L	357,554	Fair Market Value
New Light Imaging LLC	O	265,880	Fair Market Value
Vanderbilt Home Care Services Inc	M	1,824,543	Fair Market Value
Vanderbilt Stallworth Rehabilitation Hospital LP	K	400,999	Fair Market Value
Cool Springs Imaging LLC	Q	54,689	Fair Market Value

**Form 990, Schedule R, Part V - Transactions With Related Organizations**

(a) Name of other organization	(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
New Light Imaging LLC	Q	234,925	Fair Market Value
New Light Imaging LLC	S	600,268	Fair Market Value
Spring Hill Imaging LLC	B	73,500	Fair Market Value
One Hundred Oaks Imaging LLC	Q	303,880	Fair Market Value
VU Real Estate Holdings Inc	Q	415,442	Fair Market Value
Vanderbilt Home Care Services Inc	O	85,086	Fair Market Value
Vanderbilt Imaging Services LLC	P	69,103	Fair Market Value
Vanderbilt Imaging Services LLC	S	4,865,555	Fair Market Value
Vanderbilt Integrated Providers	Q	269,994	Fair Market Value
Vanderbilt Legends Club Inc	P	85,094	Fair Market Value
Vanderbilt Stallworth Rehabilitation Hospital LP	Q	2,386,579	Fair Market Value
Charitable Remainder Trust (7)	R	4,000,457	Fair Market Value
Perpetual Trusts (6)	R	732,755	Fair Market Value
VU Real Estate Holdings Inc	S	2,264,564	Fair Market Value
Vanderbilt Gateway Cancer Center GP	S	594,814	Fair Market Value
Vanderbilt Gateway Cancer Center GP	O	51,401	Fair Market Value
Vanderbilt Gateway Cancer Center GP	Q	93,100	Fair Market Value
Vanderbilt Stallworth Rehabilitation Hospital LP	S	1,601,593	Fair Market Value
One Hundred Oaks Imaging LLC	S	699,829	Fair Market Value
Vanderbilt Home Care Services Inc	S	496,031	Fair Market Value
Vanderbilt Health and Williamson Medical Center Clinics and Services LLC	A	336,608	Fair Market Value
Vanderbilt Health and Williamson Medical Center Clinics and Services LLC	B	710,940	Fair Market Value
Vanderbilt Health and Williamson Medical Center Clinics and Services LLC	O	4,534,420	Fair Market Value
Vanderbilt Health and Williamson Medical Center Clinics and Services LLC	Q	1,583,099	Fair Market Value
Vanderbilt Maury Radiation Oncology LLC	B	140,000	Fair Market Value

**Form 990, Schedule R, Part V - Transactions With Related Organizations**

(a) Name of other organization	(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
Vanderbilt Maury Radiation Oncology LLC	L	142,286	Fair Market Value
Vanderbilt Maury Radiation Oncology LLC	Q	70,402	Fair Market Value
Vanderbilt Global Inc	B	350,000	Fair Market Value



## Consolidated Financial Statements



## **Independent Auditor's Report**

Board of Trust  
Vanderbilt University

We have audited the accompanying consolidated financial statements of Vanderbilt University (the "University"), which comprise the consolidated statements of financial position as of June 30, 2014 and 2013, and the related consolidated statements of activities and cash flows for the years then ended.

### ***Management's Responsibility for the Consolidated Financial Statements***

Management is responsible for the preparation and fair presentation of the consolidated financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of consolidated financial statements that are free from material misstatement, whether due to fraud or error.

### ***Auditor's Responsibility***

Our responsibility is to express an opinion on the consolidated financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the consolidated financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the consolidated financial statements. The procedures selected depend on our judgment, including the assessment of the risks of material misstatement of the consolidated financial statements, whether due to fraud or error. In making those risk assessments, we consider internal control relevant to the preparation and fair presentation of the consolidated financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the consolidated financial statements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### ***Opinion***

In our opinion, the consolidated financial statements referred to above present fairly, in all material respects, the financial position of Vanderbilt University at June 30, 2014 and 2013, and the changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

*PricewaterhouseCoopers LLP*

October 23, 2014

# Vanderbilt University

## Consolidated Statements of Financial Position

*As of June 30, 2014 and 2013 (in thousands)*

	<b>2014</b>	<b>2013</b>
<b>ASSETS</b>		
Cash and cash equivalents	\$ 1,244,720	\$ 845,472
Accounts receivable, net	414,565	413,172
Prepaid expenses and other assets	89,192	85,675
Contributions receivable, net	74,820	70,302
Student loans and other notes receivable, net	40,251	43,582
Investments	4,179,606	4,141,408
Investments allocable to noncontrolling interests	150,067	186,901
Property, plant, and equipment, net	1,765,244	1,781,293
Interests in trusts held by others	39,790	38,091
<b>Total assets</b>	<b>\$ 7,998,255</b>	<b>\$ 7,605,896</b>
<b>LIABILITIES</b>		
Accounts payable and accrued liabilities	\$ 212,167	\$ 226,643
Accrued compensation and withholdings	216,117	235,169
Deferred revenue	92,985	93,029
Actuarial liability for self-insurance	113,626	107,514
Actuarial liability for split-interest agreements	35,667	33,968
Government advances for student loans	22,366	22,052
Commercial paper	209,845	214,011
Long-term debt and capital leases	1,083,285	1,127,458
Fair value of interest rate exchange agreements	168,451	206,733
<b>Total liabilities</b>	<b>2,154,509</b>	<b>2,266,577</b>
<b>NET ASSETS</b>		
Unrestricted net assets controlled by Vanderbilt	3,029,763	2,784,933
Unrestricted net assets related to noncontrolling interests	150,067	186,901
Total unrestricted net assets	3,179,830	2,971,834
Temporarily restricted net assets	1,467,482	1,235,066
Permanently restricted net assets	1,196,434	1,132,419
<b>Total net assets</b>	<b>5,843,746</b>	<b>5,339,319</b>
<b>Total liabilities and net assets</b>	<b>\$ 7,998,255</b>	<b>\$ 7,605,896</b>

*The accompanying notes are an integral part of the consolidated financial statements*

# Vanderbilt University

## Consolidated Statement of Activities

Year Ended June 30, 2014 (in thousands)

	2014			
	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
<b>REVENUES</b>				
Tuition and educational fees	\$ 478,320	\$ -	\$ -	\$ 478,320
Less student financial aid	(213,543)			(213,543)
Tuition and educational fees, net	264,777	-	-	264,777
Grants and contracts				
Government sponsors	358,632	-	-	358,632
Private sponsors	69,466	-	-	69,466
Facilities and administrative costs recovery	140,051	-	-	140,051
Total grants and contracts	568,149	-	-	568,149
Contributions	38,182	23,980	55,551	117,713
Endowment distributions	149,905	6,520	1,135	157,560
Investment income	18,264	3,268	6,655	28,187
Health care services	2,608,475	-	-	2,608,475
Room, board, and other auxiliary services, net	111,925	-	-	111,925
Other sources	63,483	-	-	63,483
Net assets released from restrictions	10,202	(10,202)	-	-
<b>Total revenues and other support</b>	<b>3,833,362</b>	<b>23,566</b>	<b>63,341</b>	<b>3,920,269</b>
<b>EXPENSES</b>				
Instruction	495,824	-	-	495,824
Research	434,009	-	-	434,009
Health care services	2,389,431	-	-	2,389,431
Public service	41,298	-	-	41,298
Academic support	139,026	-	-	139,026
Student services	49,828	-	-	49,828
Institutional support	61,778	-	-	61,778
Room, board, and other auxiliary services	143,287	-	-	143,287
<b>Total expenses</b>	<b>3,754,481</b>	<b>-</b>	<b>-</b>	<b>3,754,481</b>
<b>Change in unrestricted net assets from operating activity</b>	<b>78,881</b>			
<b>OTHER CHANGES IN NET ASSETS</b>				
Change in appreciation of endowment, net of distributions	128,449	193,706	-	322,155
Change in appreciation of self-insurance assets	10,049	-	-	10,049
Change in appreciation of other investments	27,237	-	-	27,237
Change in appreciation of interest rate exchange agreements	6,352	-	-	6,352
Contributions for plant	3,235	6,445	-	9,680
Net assets released from restrictions for plant	6,405	(6,405)	-	-
Nonoperating net asset reclassifications	(15,778)	15,104	674	-
<b>Total other changes in net assets</b>	<b>165,949</b>	<b>208,850</b>	<b>674</b>	<b>375,473</b>
<b>Increase in net assets controlled by Vanderbilt</b>	<b>244,830</b>	<b>232,416</b>	<b>64,015</b>	<b>541,261</b>
<b>Decrease in net assets related to noncontrolling interests</b>	<b>(36,834)</b>	<b>-</b>	<b>-</b>	<b>(36,834)</b>
<b>Total increase in net assets</b>	<b>\$ 207,996</b>	<b>\$ 232,416</b>	<b>\$ 64,015</b>	<b>\$ 504,427</b>
<b>Net assets, June 30, 2013</b>	<b>\$ 2,971,834</b>	<b>\$ 1,235,066</b>	<b>\$ 1,132,419</b>	<b>\$ 5,339,319</b>
<b>Net assets, June 30, 2014</b>	<b>\$ 3,179,830</b>	<b>\$ 1,467,482</b>	<b>\$ 1,196,434</b>	<b>\$ 5,843,746</b>

The accompanying notes are an integral part of the consolidated financial statements.

# Vanderbilt University

## Consolidated Statement of Activities

Year Ended June 30, 2013 (in thousands)

	2013			
	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
<b>REVENUES</b>				
Tuition and educational fees	\$ 482,528	\$ -	\$ -	\$ 482,528
Less student financial aid	(209,940)			(209,940)
Tuition and educational fees, net	272,588	-	-	272,588
Grants and contracts				
Government sponsors	377,839	-	-	377,839
Private sponsors	61,714	-	-	61,714
Facilities and administrative costs recovery	142,609	-	-	142,609
Total grants and contracts	582,162	-	-	582,162
Contributions	37,940	12,388	60,340	110,668
Endowment distributions	144,801	4,476	1,279	150,556
Investment income	19,675	854	1,337	21,866
Health care services	2,393,980	-	-	2,393,980
Room, board, and other auxiliary services, net	106,664	-	-	106,664
Other sources	53,285	-	-	53,285
Net assets released from restrictions	14,322	(14,322)	-	-
<b>Total revenues and other support</b>	<b>3,625,417</b>	<b>3,396</b>	<b>62,956</b>	<b>3,691,769</b>
<b>EXPENSES</b>				
Instruction	490,044	-	-	490,044
Research	448,983	-	-	448,983
Health care services	2,281,608	-	-	2,281,608
Public service	32,199	-	-	32,199
Academic support	156,250	-	-	156,250
Student services	49,082	-	-	49,082
Institutional support	64,025	-	-	64,025
Room, board, and other auxiliary services	146,909	-	-	146,909
<b>Total expenses</b>	<b>3,669,100</b>	<b>-</b>	<b>-</b>	<b>3,669,100</b>
<b>Change in unrestricted net assets from operating activity</b>	<b>(43,683)</b>			
<b>OTHER CHANGES IN NET ASSETS</b>				
Change in appreciation of endowment, net of distributions	73,019	96,231	-	169,250
Change in appreciation of self-insurance assets	5,232	-	-	5,232
Change in appreciation of other investments	23,149	-	-	23,149
Change in appreciation of interest rate exchange agreements	108,844	-	-	108,844
Contributions for plant	3,757	1,779	-	5,536
Net assets released from restrictions for plant	49,262	(49,262)	-	-
Nonoperating net asset reclassifications	5,975	(8,294)	2,319	-
Other	(424)	-	-	(424)
<b>Total other changes in net assets</b>	<b>268,814</b>	<b>40,454</b>	<b>2,319</b>	<b>311,587</b>
<b>Increase in net assets controlled by Vanderbilt</b>	<b>225,131</b>	<b>43,850</b>	<b>65,275</b>	<b>334,256</b>
<b>Decrease in net assets related to noncontrolling interests</b>	<b>(14,485)</b>	<b>-</b>	<b>-</b>	<b>(14,485)</b>
<b>Total increase in net assets</b>	<b>\$ 210,646</b>	<b>\$ 43,850</b>	<b>\$ 65,275</b>	<b>\$ 319,771</b>
<b>Net assets, June 30, 2012</b>	<b>\$ 2,761,188</b>	<b>\$ 1,191,216</b>	<b>\$ 1,067,144</b>	<b>\$ 5,019,548</b>
<b>Net assets, June 30, 2013</b>	<b>\$ 2,971,834</b>	<b>\$ 1,235,066</b>	<b>\$ 1,132,419</b>	<b>\$ 5,339,319</b>

The accompanying notes are an integral part of the consolidated financial statements

# Vanderbilt University

## Consolidated Statements of Cash Flows

Years Ended June 30, 2014 and 2013 (in thousands)

	<b>2014</b>	<b>2013</b>
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Change in total net assets	\$ 504,427	\$ 319,771
Adjustments to reconcile change in total net assets to net cash provided by operating activities:		
Change in net assets related to noncontrolling interests	36,834	14,485
Net realized losses (gains) on investments	63,811	(212,662)
Net change in unrealized appreciation on investments	(490,108)	16,352
Contributions for plant and endowment	(75,606)	(72,180)
Contributions of securities other than for plant	(20,717)	(14,577)
Proceeds from sale of donated securities	2,773	1,497
Depreciation and amortization	175,779	174,330
Amortization of bond discounts and premiums	(5,210)	(4,922)
Payments to terminate interest rate exchange agreements	31,930	-
Net change in fair value of interest rate exchange agreements	(38,282)	(108,844)
Change in		
Accounts receivable, net of accrued investment income	(1,271)	105,463
Prepaid expenses and other assets	(3,517)	(3,508)
Contributions receivable	(4,518)	2,032
Interests in trusts held by others	(908)	(151)
Change in		
Accounts payable and accrued liabilities, net of nonoperating items	(23,014)	(9,807)
Accrued compensation and withholdings	(19,052)	(10,690)
Deferred revenue	(44)	(25,797)
Actuarial liability for self-insurance	6,112	1,971
Actuarial liability for split-interest agreements	1,699	(203)
<b>Net cash provided by operating activities</b>	<b>141,118</b>	<b>172,560</b>
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>		
Purchases of investments	(2,734,836)	(3,784,742)
Proceeds from sales of investments	3,122,144	3,712,975
Purchases of investments allocable to noncontrolling interests	(4,004)	(16,398)
Proceeds from sales of investments allocable to noncontrolling interests	70,668	65,208
Change in accrued investment income	(122)	(69)
Payments to terminate interest rate exchange agreements	(31,930)	-
Acquisitions of property, plant, and equipment	(152,862)	(223,968)
Loss from disposals of property, plant, and equipment	1,670	3,984
Student loans and other notes receivable disbursed	(2,439)	(3,747)
Principal collected on student loans and other notes receivable	5,770	5,574
<b>Net cash provided by (used in) investing activities</b>	<b>274,059</b>	<b>(241,183)</b>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Contributions for plant and endowment	75,606	72,180
Change in government advances for student loans	314	(61)
Proceeds from debt issuances	-	169,603
Payments to retire or defease debt	(43,129)	(204,316)
Proceeds from sale of donated securities restricted for endowment	17,944	13,080
Proceeds from noncontrolling interests in investment partnerships	4,004	16,398
Payments to noncontrolling interests in investment partnerships	(70,668)	(65,208)
<b>Net cash (used in) provided by financing activities</b>	<b>(15,929)</b>	<b>1,676</b>
<b>Net change in cash and cash equivalents</b>	<b>\$ 399,248</b>	<b>\$ (66,947)</b>
<b>Cash and cash equivalents at beginning of year</b>	<b>\$ 845,472</b>	<b>\$ 912,419</b>
<b>Cash and cash equivalents at end of year</b>	<b>\$ 1,244,720</b>	<b>\$ 845,472</b>
Supplemental disclosure of cash flow information		
Cash paid for interest	71,657	71,475
Donated securities	20,717	14,577

The accompanying notes are an integral part of the consolidated financial statements.

# Vanderbilt University

## Notes to the Consolidated Financial Statements

### 1. Organization

The Vanderbilt University (Vanderbilt) is a private, coeducational, not-for-profit, nonsectarian institution located in Nashville, Tennessee. Founded in 1873, Vanderbilt owns and operates educational, research, and health care facilities as part of its mission to be a leading center for informed and creative teaching, scholarly research, and public service. Vanderbilt provides educational services to approximately 6,800 undergraduate and 5,900 graduate and professional students enrolled in its 10 schools and colleges.

The consolidated financial statements include the accounts of all entities in which Vanderbilt has a significant financial interest and over which Vanderbilt has control. The patient care enterprise in-

cludes Vanderbilt University Hospitals and Clinics (the Hospital), Vanderbilt Medical Group, a physician practice plan, and Vanderbilt Health Services, Inc (VHS), which includes wholly owned and joint ventured businesses primarily consisting of community physician practices, imaging services, outpatient surgery centers, radiation oncology centers, a home health care agency, a home infusion and respiratory service, an affiliated health network, and a rehabilitation hospital.

Vanderbilt eliminates all material intercompany accounts and transactions in consolidation.

### 2. Summary of Significant Accounting Policies

#### Basis of Presentation

The consolidated financial statements of Vanderbilt have been prepared on the accrual basis in accordance with U.S. generally accepted accounting principles (GAAP). Based on the existence or absence of donor-imposed restrictions, Vanderbilt classifies resources into three categories: unrestricted, temporarily restricted, and permanently restricted net assets.

**Unrestricted net assets** are free of donor-imposed restrictions. This classification includes all revenues, gains, and losses not temporarily or permanently restricted by donors. Vanderbilt reports all expenditures in the unrestricted class of net assets, since the use of restricted contributions in accordance with donors' stipulations results in the release of the restriction.

**Temporarily restricted net assets** contain donor-imposed stipulations that expire with the passage of time or that can be satisfied by action of Vanderbilt. These net assets may include unconditional pledges, split-interest agreements, interests in trusts held by others, and accumulated appreciation on donor-restricted endowments not yet appropriated by the Board of Trust for distribution.

**Permanently restricted net assets** are amounts held in perpetuity as requested by donors. These net assets may include unconditional pledges, donor-restricted endowments (at historical value), split-interest agreements, and interests in trusts held by others. Generally, the donors of these assets permit Vanderbilt to use a portion of the income earned on related investments for specific purposes.

Vanderbilt reports expirations of temporary restrictions on net assets, i.e., the passage of time and/or fulfilling donor-imposed stipulations, as net assets released from restrictions between the applicable classes of net assets in the consolidated statements of activities.

#### Cash and Cash Equivalents

Cash and cash equivalents are liquid assets with minimal interest rate risk and maturities of three months or less when purchased. Such assets, reported at fair value, primarily consist of depository account balances, money market funds, and short-term U.S. Treasury securities.

#### Prepaid Expenses and Other Assets

Prepaid expenses and other assets primarily represent inventories, prepaid expenses, and other segregated investment-related assets managed by third parties related to a legacy deferred compensation program that are earmarked to ultimately settle certain liabilities. Vanderbilt excludes this latter group of assets, reported at fair value, from the investments category since it will not directly benefit from the investment return.

#### Fair Value Measurements

Fair value measurements represent the price received to sell an asset or price paid to transfer a liability in an orderly transaction between market participants at the measurement date. GAAP establishes a three-level hierarchy for fair value measurements based on the observable inputs to the valuation of an asset or liability at the measurement date. Inputs to the valuation techniques used are prioritized to measure fair value by giving the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to measurements involving significant unobservable inputs (Level 3 measurements).

Vanderbilt gives consideration to certain investment funds that do not have readily determinable fair values including private investments, hedge funds, real estate, and other funds. Vanderbilt uses net asset value per share or its equivalent in estimating the fair value of interests in investment companies for which a readily determinable fair value is not available.

#### Investments

Vanderbilt reports investments at fair value using the three-level hierarchy established under GAAP. After review and evaluation, Vanderbilt utilizes estimates provided by fund managers for certain alternative investments, mainly investments in limited partnerships where a ready market for the investments does not exist.

Vanderbilt has exposure to a number of risks including liquidity, interest rate, counterparty, basis, tax, regulatory, market, and credit risks for both marketable and nonmarketable securities. Due to the level of risk exposure, it is possible that near-term valuation changes for investment securities may occur to an extent that could materially affect the amounts reported in Vanderbilt's financial statements.

# Vanderbilt University

Vanderbilt sometimes uses derivatives to manage investment market risks and exposure. The consolidated financial statements contain derivatives, which consist of both internally managed transactions and those entered into through external investment managers, at fair value. The most common instruments utilized are futures contracts and hedges against currency risk for investments denominated in other than U.S. dollars. For internally managed transactions, Vanderbilt utilizes futures contracts with durations of less than three months.

Vanderbilt records purchases and sales of securities on the trade dates, and realized gains and losses are determined based on the average historical cost of the securities sold. Vanderbilt includes net receivables and payables arising from unsettled trades as a component of investments.

Unless donor-restricted endowment gift agreements require a separate investment, Vanderbilt manages all endowment investments as an investment pool.

## **Investments Allocable to Noncontrolling Interests and Net Assets Related to Noncontrolling Interests**

Vanderbilt reports the respective assets for entities in which other organizations are minority equity participants at fair value as investments allocable to noncontrolling interests on the consolidated statements of financial position.

The balance representing such organizations' minority or noncontrolling interests is recorded based on contractual provisions, which represent an estimate of a settlement value assuming the entity was liquidated in an orderly fashion as of the report date.

## **Split-Interest Agreements and Interests in Trusts Held by Others**

Vanderbilt's split-interest agreements with donors consist primarily of irrevocable charitable remainder trusts, charitable gift annuities, and life income funds for which Vanderbilt serves as trustee. Vanderbilt includes assets held in these trusts in investments at fair value. Vanderbilt recognizes contribution revenue at the dates the trusts are established, net of the liabilities for the present value of the estimated future payments to the donors and/or other beneficiaries. Annually, Vanderbilt records the change in fair value of split-interest agreements based on the assets that are associated with each trust and recalculates the liability for the present value of the estimated future payments to the donors and/or other beneficiaries.

Vanderbilt is also the beneficiary of certain trusts held and administered by others. Vanderbilt records its share of these trust assets at fair value as interests in trusts held by others with any resulting gains or losses reported as investment income.

## **Property, Plant, and Equipment**

Purchased property, plant, and equipment, recorded at cost, includes, where appropriate, capitalized interest on construction financing net of income earned on unspent proceeds. Vanderbilt records donated assets at fair value on the date of donation, expenses repairs and maintenance costs as incurred, and expenses additions to the library collection at the time of purchase.

Vanderbilt calculates depreciation using the straight-line method to allocate the cost of various classes of assets over their estimated useful lives. Vanderbilt removes property, plant, and equipment from the accounting records at the time of disposal.

Conditional asset retirement obligations related to legal requirements to perform certain future activities associated with the retirement, disposal, or abandonment of assets are accrued utilizing site-

specific surveys to estimate the net present value for applicable future costs, e.g., asbestos abatement or removal.

Vanderbilt reviews long-lived assets for impairment whenever events or changes in circumstances indicate that the carrying amount of an asset may not be recoverable. Vanderbilt recognizes an impairment charge when the fair value of the asset or group of assets is less than the carrying value.

## **Debt Portfolio Financial Instruments**

Vanderbilt reports long-term debt and capital leases at carrying value. The carrying value of Vanderbilt's debt is the par amount adjusted for the net unamortized amount of bond premiums and discounts. Vanderbilt employs derivatives, primarily interest rate exchange agreements, to help manage interest rate risks associated with variable-rate debt. The consolidated statements of activities include any gain or loss resulting from recording the fair value of derivative financial instruments as a nonoperating item. In addition to the credit risk of the counterparty owing a balance, Vanderbilt calculates the fair value of interest rate exchange agreements based on the present value sum of future net cash settlements that reflect market yields as of the measurement date and reports periodic net cash settlement amounts with counterparties as adjustments to interest expense on the related debt.

Parties to interest rate exchange agreements are subject to risk for changes in interest rates as well as risk of credit loss in the event of nonperformance by the counterparty. Vanderbilt deals only with high-quality counterparties that meet rating criteria for financial stability and credit worthiness. Additionally, the agreements require the posting of collateral when amounts subject to credit risk under the contracts exceed specified levels.

## **Revenue Recognition**

Vanderbilt's revenue recognition policies are:

**Tuition and educational fees, net**—Vanderbilt recognizes student tuition and educational fees as revenues in the year the related academic services occur and defers amounts received in advance of services not yet rendered. Vanderbilt reflects financial aid provided for tuition and educational fees as a reduction of tuition and educational fees. Financial aid does not include payments made to students for services provided to Vanderbilt.

**Grants and contracts**—Vanderbilt recognizes revenues from grants and contracts when allowable expenditures under such agreements occur.

**Facilities and administrative (F&A) costs recovery**—Vanderbilt recognizes F&A costs recovery as revenue. This activity represents reimbursement, primarily from the federal government, of F&A costs on sponsored activities. Vanderbilt's federal F&A costs recovery rate for on-campus research was 56.0% in both fiscal 2014 and 2013. Vanderbilt's federal F&A costs recovery rate for off-campus research was 28.5% in both fiscal 2014 and 2013.

**Health care services**—Vanderbilt reports health care services revenue at established rates, net of contractual adjustments, charity care, and provision for bad debt. Vanderbilt accrues third party contractual revenue adjustments under governmental reimbursement programs on an estimated basis in the period the related services occur. Vanderbilt adjusts the estimated amounts for Medicare based on final settlements determined by Vanderbilt's Medicare Administrative Contractor (MAC).

### **Contributions**

Vanderbilt recognizes unconditional promises to give (pledges) as contribution revenue upon receipt of a commitment from the donor. Vanderbilt records pledges with payments due in future periods as increases in temporarily restricted or permanently restricted net assets at the estimated present value of future cash flows, net of an allowance for estimated uncollectible promises. Vanderbilt calculates an allowance for uncollectible contributions receivable based upon an analysis of past collection experience and other judgmental factors.

Vanderbilt records contributions with donor-imposed restrictions as unrestricted revenue if the university meets the restrictions and receives the contribution in the same reporting period. Otherwise, Vanderbilt records contributions with donor-imposed restrictions as increases in temporarily restricted or permanently restricted net assets, depending on the nature of the restriction.

After meeting donor stipulations, Vanderbilt releases contributions recorded as temporarily restricted net assets from restrictions and recognizes these contributions as unrestricted net assets. Vanderbilt releases from restrictions contributions for plant facilities and recognizes these contributions as a nonoperating item only after incurring expenses for the applicable plant facilities or when asset is placed in service based on donor intent.

In contrast to unconditional promises as described above, Vanderbilt does not record conditional promises (primarily bequest intentions) until the university substantially meets donor contingencies.

### **Unrestricted Operating Results**

Unrestricted operating results (change in unrestricted net assets from operating activity) in the consolidated statements of activities reflect all transactions that change unrestricted net assets, except for nonoperating activity related to endowment and other investments, changes in the fair value of derivative financial instruments, contributions for plant facilities, and certain other nonrecurring items.

Endowment distributions reported as operating revenue consist of endowment return (regardless of when such income arose) distributed to support current operational needs. Vanderbilt's Board of Trust approves the distribution amount from the endowment pool on an annual basis, determined by applying a spending rate to an average of the previous three calendar year-end market values. The primary objective of the endowment distribution methodology is to reduce the impact of capital market fluctuations on operational programs.

Operating investment income consists of dividends, interest, and gains and losses on unrestricted, nonendowed investments directly related to core operating activities. Such income includes

investment returns on Vanderbilt's working capital assets. For working capital assets invested in long-term pooled investments managed in conjunction with endowment funds, the amount resulting from pre-established distributions from pooled investments is deemed operating investment income, the difference between total returns for these pooled investments and the aforementioned pre-established distributions is reported as nonoperating activity. Operating investment income also excludes investment returns on segregated gift funds and funds set aside for nonoperating purposes such as segregated assets for self-insurance relative to malpractice and professional liability and assets on deposit with trustees.

Vanderbilt allocates management and administrative support costs attributable to divisions that primarily provide health care or auxiliary services based upon institutional budgets. Thus, institutional support expense separately reported in the consolidated statements of activities relates to Vanderbilt's other primary programs such as instruction, research, and public service.

Vanderbilt allocates costs related to the operation and maintenance of physical plant, including depreciation of plant assets, to operating programs and supporting activities based upon facility usage. Additionally, the university allocates interest expense to the activities that have benefited most directly from the debt proceeds.

### **Income Taxes**

Vanderbilt is a tax-exempt organization as described in Section 501(c)(3) of the Internal Revenue Code (the Code), and generally is exempt from federal income taxes on related income pursuant to Section 501(a) of the Code. Vanderbilt is, however, subject to federal and state income tax on unrelated business income, and provision for such taxes is included in the accompanying consolidated financial statements.

### **Use of Estimates**

The preparation of financial statements requires the use of estimates and assumptions that affect the reported amounts of assets, liabilities, revenues, and expenses during the reporting period as well as the disclosure of contingent assets and liabilities. Actual results ultimately could differ from management's estimates.

### **Reclassifications**

Vanderbilt made certain reclassifications within tuition revenue, health care services revenue, and functional expense categories to prior year amounts to conform to the current year presentation.

### **Subsequent Events**

Vanderbilt evaluated events subsequent to June 30, 2014, and through the date of issuance of the consolidated financial statements, October 23, 2014. Vanderbilt did not identify any material subsequent events for recognition or disclosure.

### 3. Accounts Receivable

Accounts receivable as of June 30 were as follows (*in thousands*)

<b>2014</b>	<b>Gross Receivable</b>	<b>Bad Debt Allowance</b>	<b>Net Receivable</b>
Patient care	\$ 392,035	\$ (76,286)	\$ 315,749
Tuition fees, grants, other	98,679	(2,064)	96,615
Accrued investment income	2,201	-	2,201
<b>Accounts receivable and related allowance</b>	<b>\$ 492,915</b>	<b>\$ (78,350)</b>	<b>\$ 414,565</b>
<i>Days receivable</i>			39.5

<b>2013</b>	<b>Gross Receivable</b>	<b>Bad Debt Allowance</b>	<b>Net Receivable</b>
Patient care	\$ 374,526	\$ (56,548)	\$ 317,978
Tuition fees, grants, other	95,191	(2,076)	93,115
Accrued investment income	2,079	-	2,079
<b>Accounts receivable and related allowance</b>	<b>\$ 471,796</b>	<b>\$ (58,624)</b>	<b>\$ 413,172</b>
<i>Days receivable</i>			41.6

Patient care receivables represented 79.5% and 79.4% of total accounts receivables as of June 30, 2014 and 2013, respectively. The largest portion of patient care receivables relates to the Hospital and in turn the largest component of the Hospital's receivables was from third party payers.

The Hospital provides services to patients in advance of receiving payment and generally does not require collateral or other security for those services. However, the Hospital routinely obtains assignment of (or is otherwise entitled to receive) patients' benefits payable under their health insurance programs, plans, or policies (e.g.,

Medicare, Medicaid, TennCare, Blue Cross, health maintenance organizations, or other commercial insurance policies).

During fiscal 2013, due to a noted trend of an increasing balance of aggregate patient care net receivables, along with corresponding cash collections not being realized, Vanderbilt performed an extensive analysis of its patient care net revenue accounting and estimation processes and systems, including in-depth hindsight liquidation analysis. This analysis resulted in the university recording an unfavorable \$121 million change in estimate of the net realizable value of patient receivables during fiscal 2013. Vanderbilt reported this change in estimate as a reduction of health care services revenue in the accompanying consolidated statements of activities for the year ended June 30, 2013.

As of June 30, the Hospital had receivables, net of related contractual allowances, including estimated amounts for cost reports and other settlements with government payers, from the following payers (*in thousands*):

	<b>2014</b>	<b>2013</b>
Medicare	\$ 39,473	\$ 54,221
TennCare Medicaid	29,693	40,480
Blue Cross	68,630	64,910
Cigna	19,134	15,324
Aetna	14,334	9,166
United	9,622	8,595
Other commercial carriers	60,405	51,622
Patient responsibility	30,964	30,941
<b>Total Hospital receivables, net</b>	<b>\$ 272,255</b>	<b>\$ 275,259</b>

Patient care bad debt charges, reported as a reduction to health care services revenue on the consolidated statements of activities, totaled \$96.6 million and \$65.3 million as of June 30, 2014 and 2013, respectively (both recorded at gross charge level).

### 4. Contributions Receivable

Contributions receivable as of June 30 were as follows (*in thousands*)

	<b>2014</b>	<b>2013</b>
Unconditional promises expected to be collected		
in one year or less	\$ 33,929	\$ 32,285
between one year and five years	51,461	48,229
in more than five years	841	1,122
Contributions receivable	86,231	81,636
Less Discount	1,404	1,065
Less Allowance for uncollectible promises	10,007	10,269
<b>Contributions receivable, net</b>	<b>\$ 74,820</b>	<b>\$ 70,302</b>

Vanderbilt discounts contributions receivable at a rate commensurate with the scheduled timing of receipt. Vanderbilt applied discount rates ranging from 0.5% to 1.5% to amounts outstanding as of June 30, 2014, and June 30, 2013.

Vanderbilt's methodology for calculating an allowance for uncollectible promises consists of analyzing write-offs as a percentage of gross pledges receivable along with assessing the age and activity of outstanding pledges.

In addition to pledges reported as contributions receivable, Vanderbilt had cumulative bequest intentions of approximately \$233.8 million and \$230.2 million as of June 30, 2014 and 2013, respectively. Due to their conditional nature, Vanderbilt does not recognize intentions to give as assets.

Contributions receivable, net as of June 30 were as follows (*in thousands*)

	<b>2014</b>	<b>2013</b>
<b>Contributions receivable, net:</b>		
Temporarily restricted	\$ 32,859	\$ 26,555
Permanently restricted	41,961	43,747
<b>Total</b>	<b>\$ 74,820</b>	<b>\$ 70,302</b>

## 5. Student Loans and Other Notes Receivable

Student loans and other notes receivable as of June 30 were as follows (*in thousands*)

<b>2014</b>	<b>Gross Receivable</b>	<b>Bad Debt Allowance</b>	<b>Net Receivable</b>
Federal loans	\$ 20,077	\$ (1,995)	\$ 18,082
Institutional loans	19,238	(2,820)	16,418
Faculty mortgages	5,751	-	5,751
<b>Student loans, other notes receivable and related allowance</b>	<b>\$ 45,066</b>	<b>\$ (4,815)</b>	<b>\$ 40,251</b>

  

<b>2013</b>	<b>Gross Receivable</b>	<b>Bad Debt Allowance</b>	<b>Net Receivable</b>
Federal loans	\$ 19,988	\$ (1,765)	\$ 18,223
Institutional loans	21,128	(2,752)	18,376
Faculty mortgages	6,983	-	6,983
<b>Student loans, other notes receivable and related allowance</b>	<b>\$ 48,099</b>	<b>\$ (4,517)</b>	<b>\$ 43,582</b>

Vanderbilt remains committed to "no loans" for its undergraduate students, meaning that the university is meeting full demonstrated financial need with scholarship and grant assistance. Vanderbilt carries loans to students at cost, which, based on secondary market information, approximates the fair value of education loans with similar interest rates and payment terms. For other groups (e.g., professional school students), participation in several federal revolving loan programs, including the Perkins, Nursing, and Health Professionals Student Loan programs, has continued. The availability of funds for new loans under these programs is dependent on

reimbursements to the pool from repayments on outstanding loans. Vanderbilt assigns loans receivable from students under governmental loan programs, also carried at cost, to the federal government or its designees. Vanderbilt classifies refundable advances from the federal government as liabilities in the statements of financial position. Outstanding loans cancelled under a governmental program result in a reduction of the funds available for loan and a decrease in the university's liability to the government.

Included in institutional loans as of June 30, 2014, is an outstanding note receivable of \$3.6 million from McKendree Village, LLC, an affiliate of Vanderbilt that sold all of its operations and is in the process of dissolving. Because it is unlikely McKendree Village, LLC will repay this debt, it has been fully reserved in the consolidated financial statements.

Vanderbilt establishes allowances for doubtful accounts based on prior collection experience and current economic factors which, in management's judgment, could influence the ability of loan recipients to repay amounts due. When deemed to be uncollectible, Vanderbilt writes off institutional loan balances.

As part of Vanderbilt's efforts to attract and retain a world-class faculty, Vanderbilt provides various incentives and historically provided home mortgage financing assistance in select situations. Notes receivable amounting to \$5.8 million were outstanding at June 30, 2014. Deeds of trust on properties concentrated in the surrounding region collateralize these notes. Vanderbilt has not recorded an allowance for doubtful accounts against these loans based on their collateralization and prior collection history.

## 6. Investments

Investments consist of the following as of June 30 (*in thousands*)

	<b>2014</b>	<b>2013</b>
Derivative contract collateral and short-term securities <sup>1</sup>	\$ 77,839	\$ 93,632
Global equities <sup>2</sup>	1,198,266	1,099,032
Fixed income <sup>1</sup>	177,867	233,782
Hedged strategies	745,508	953,350
Private capital <sup>3</sup>	1,479,241	1,282,557
Real estate <sup>4</sup>	279,042	292,746
Natural resources <sup>4</sup>	340,126	341,942
Equity method securities	15,782	15,716
Trusts <sup>4</sup>	4,652	4,137
Other investments <sup>4</sup>	11,350	11,415
<b>Total value</b>	<b>\$ 4,329,673</b>	<b>\$ 4,328,309</b>
<b>Total cost</b>	<b>\$ 3,381,099</b>	<b>\$ 3,849,347</b>

<sup>1</sup> Quoted prices in active markets primarily determine fair value.

<sup>2</sup> Fund managers provide the net asset value per share of the specific investments to establish fair value.

<sup>3</sup> Fund managers provide the net asset value of Vanderbilt's ownership interests at the fund level to establish fair value.

<sup>4</sup> Carrying value provides a reasonable estimate of fair value for certain components.

Included in the amounts reported in the table above are investments allocable to noncontrolling interests (i.e., minority limited partners) reported at fair value. During fiscal 2014, the minority limited partners funded capital commitments totaling \$4.0 million. Additionally, Vanderbilt made payments to the minority limited partners of \$70.7 million reflecting a distribution of earnings and returned capital from the underlying private fund assets. For the year ended June

30, 2014, the minority limited partners' interests in the results of the underlying returns from the private fund assets were \$240.8 million. The balance of unrestricted net assets related to noncontrolling interests, calculated in accordance with the partnership agreements, was \$150.1 million as of June 30, 2014.

Investments, along with cash and cash equivalents, provide liquidity support for Vanderbilt's operations. Of these combined amounts, based on prevailing market conditions as of June 30, 2014, \$1,133.0 million was available on a same-day basis and an additional \$1,025.0 million was available within 30 days.

Excluding derivative instruments held by investment managers as part of their respective investment strategies, Vanderbilt held financial futures derivative contracts with notional values of \$345.3 million and \$278.7 million as of June 30, 2014 and 2013, respectively. Counterparties settle the fair market value of such contracts daily.

**Derivative contract collateral and short-term securities** are composed primarily of amounts posted as collateral in accordance with interest rate exchange agreements and unspent bond proceeds with trustees.

**Global equities** consist of investment funds globally diversified across public markets including U.S. markets, other developed markets, and emerging and frontier markets. Fund managers of these investments have the ability to shift investments from value to growth strategies, from small to large capitalization stocks, and from a net long position to a net short position.

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**Fixed income** includes investments directed towards capital preservation and predictable yield as well as more opportunistic strategies focused on generating return on price appreciation. These investments are primarily public investments such as investment-grade corporate bonds, high-yield corporate bonds, bank debt, commercial mortgage-backed securities, residential non-agency mortgage-backed securities, asset-backed securities, direct lending, and below-investment-grade developed and emerging market sovereign debt. Vanderbilt may make investments through commingled vehicles, separately managed accounts, synthetic transactions, and limited partnership interests.

**Hedged strategies** investments reflect multiple strategies such as event driven, relative value, and equity funds to diversify risks and reduce volatility in the portfolio generally in hedge fund structures. These strategies also include investments in both long and short primarily credit-oriented securities. Investments may include mortgage-backed securities, trade finance, debt and asset-backed securities, repurchase agreements, senior loans, and bank loans.

**Private capital** consists of investments that participate in early-stage, high-potential, high-risk, growth startup companies. Private investments include buyouts, distressed debt, mezzanine debt, growth equity, and venture capital. Vanderbilt may make investments through commingled vehicles, separately managed accounts, synthetic transactions, limited partnership interests, and direct investments.

**Real estate** comprises illiquid investments in residential and commercial real estate assets, projects, or land held directly or in commingled limited partnership funds. The nature of the investments in this category is such that distributions generally reflect liquidation of the underlying assets of the funds.

**Natural resources** include illiquid investments in timber, oil and gas production, mining, energy, and related services businesses held directly or in commingled limited partnership funds.

**Equity method securities and trusts** are investments in joint ventures accounted for under the equity method of accounting and Vanderbilt's split-interest agreements with donors.

## 7. Investment Return

A summary of investment return, including endowment distributions, by net asset category for the fiscal years ended June 30 follows (*in thousands*)

	<b>2014</b>	<b>2013</b>
<b>OPERATING</b>		
<i>Unrestricted</i>		
Endowment distributions	\$ 149,905	\$ 144,801
Investment income	18,264	19,675
<b>Total operating return</b>	<b>168,169</b>	<b>164,476</b>
 <b>NONOPERATING</b>		
<i>Unrestricted</i>		
Change in appreciation of institutional endowments, net of distributions	128,449	73,019
Change in appreciation of self-insurance assets	10,049	5,232
Investment income	27,237	23,149
 <i>Temporarily restricted.</i>		
Endowment distributions	6,520	4,476
Investment income	3,268	854
Change in appreciation of donor-restricted endowments, net of distributions	193,706	96,231
 <i>Permanently restricted.</i>		
Endowment distributions	1,135	1,279
Investment income	6,655	1,337
<b>Total nonoperating return</b>	<b>377,019</b>	<b>205,577</b>
<b>Total investment return</b>	<b>\$ 545,188</b>	<b>\$ 370,053</b>

The components of total investment return for the fiscal years ended June 30 were as follows (*in thousands*)

	<b>2014</b>	<b>2013</b>
Net interest, dividend, and partnership income	\$ 118,891	\$ 173,743
Net realized (losses) gains	(63,811)	212,662
Change in unrealized appreciation	490,108	(16,352)
<b>Total investment return</b>	<b>\$ 545,188</b>	<b>\$ 370,053</b>

In addition to a core group of investment professionals dedicated to the management of Vanderbilt's endowment, Vanderbilt employs external investment managers. Particularly for alternative investments such as hedge funds, investment manager fee structures frequently have a base component along with a performance component relative to the entire life of the investments. Under these arrangements, management fees are frequently subject to substantial adjustments based on cumulative future returns for a number of years hence.

Vanderbilt reports investment returns net of returns attributed to limited partners on investments allocable to noncontrolling interests.

Vanderbilt incurred internal endowment management costs of \$11.9 million in fiscal 2014 and \$10.4 million in fiscal 2013. The consolidated statements of activities reflect these costs as institutional support expense. Vanderbilt reported the funding of these costs as other sources of revenue in fiscal 2014 and change in appreciation of endowment, net of distributions in fiscal 2013. On a prospective basis, Vanderbilt will record this funding as other sources of revenue. Fees paid directly to external investment managers (i.e., segregated investment account fees) totaled \$4.5 million and \$9.6 million in fiscal 2014 and 2013, respectively. Vanderbilt reported investment returns net of these external manager fees.

## 8. Endowment

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Endowment-related assets include donor-restricted endowments and institutional endowments (quasi-endowments). Vanderbilt's endowment does not include gift annuities, interests in trusts held by others, contributions pending donor designation, and contributions receivable.

The Board of Trust's interpretation of its fiduciary responsibilities for donor-restricted endowments under the Uniform Prudent Management of Institutional Funds Act (UPMIFA) requirements, barring the existence of any donor-specific provisions, is to preserve intergenerational equity. Under this broad guideline, future endowment beneficiaries should receive at least the same level of real economic support as the current generation. The overarching objective is to preserve and enhance the real (inflation-adjusted) purchasing power of the endowment in perpetuity. Vanderbilt invests assets to provide a relatively predictable and stable stream of earnings to meet spending needs and attain long-term return objectives without the assumption of undue risks.

UPMIFA specifies that unless stated otherwise in a gift instrument, donor-restricted assets in an endowment fund are restricted assets until appropriated for expenditure. Barring the existence of specific instructions in gift agreements for donor-restricted endowments, Vanderbilt reports the historical value for such endowments as permanently restricted net assets and the net accumulated appreciation.

as temporarily restricted net assets. In this context, historical value represents the original value of initial contributions restricted as permanent endowments plus the original value of subsequent contributions and, if applicable, the value of accumulations made in accordance with the direction of specific donor gift agreements.

Specific appropriation for expenditure of Vanderbilt's endowment funds occurs each spring when the Board of Trust approves the university's operating budget for the ensuing fiscal year. For fiscal years 2014 and 2013, Vanderbilt's Board of Trust approved endowment distributions based on 4.5% of the average of the previous three calendar year-end market values. Vanderbilt reinvests actual realized endowment return earned in excess of distributions. For years when the endowment return is less than the distribution, the endowment pool's cumulative returns from prior years cover the shortfall.

Vanderbilt may not fully expend board-appropriated endowment distributions in a particular fiscal year. In some cases, Vanderbilt will approve endowment distributions for reinvestment into the endowment.

A summary of Vanderbilt's endowment for the fiscal years ended June 30 follows (*in thousands*).

### 2014

	<b>Unrestricted</b>	<b>Temporarily Restricted</b>	<b>Permanently Restricted</b>	<b>Total</b>
Donor-restricted endowments at historical value	\$ -	\$ 24,785	\$ 1,080,443	\$ 1,105,228
Accumulated net appreciation of donor-restricted endowments	-	1,329,499	-	1,329,499
Reinvested distributions of donor-restricted endowments				
At historical value	94,224	3,783	-	98,007
Accumulated net appreciation	108,417	2,239	-	110,656
Institutional endowments				
At historical value	335,875	-	-	335,875
Accumulated net appreciation	1,066,985	-	-	1,066,985
<b>Endowment net assets as of June 30, 2014</b>	<b>\$ 1,605,501</b>	<b>\$ 1,360,306</b>	<b>\$ 1,080,443</b>	<b>\$ 4,046,250</b>

### 2013

	<b>Unrestricted</b>	<b>Temporarily Restricted</b>	<b>Permanently Restricted</b>	<b>Total</b>
Donor-restricted endowments at historical value	\$ -	\$ 23,454	\$ 1,021,892	\$ 1,045,346
Accumulated net appreciation of donor-restricted endowments	-	1,136,106	-	1,136,106
Reinvested distributions of donor-restricted endowments				
At historical value	94,118	1,643	-	95,761
Accumulated net appreciation	92,017	1,926	-	93,943
Institutional endowments				
At historical value	309,251	-	-	309,251
Accumulated net appreciation	954,936	-	-	954,936
<b>Endowment net assets as of June 30, 2013</b>	<b>\$ 1,450,322</b>	<b>\$ 1,163,129</b>	<b>\$ 1,021,892</b>	<b>\$ 3,635,343</b>

The components of the life-to-date accumulated net appreciation of pooled endowments as of June 30 were as follows (*in thousands*)

	<b>2014</b>	<b>2013</b>
Net realized appreciation less endowment distributions	\$ 1,708,468	\$ 1,838,135
Net unrealized appreciation	798,672	346,850
<b>Total</b>	<b>\$ 2,507,140</b>	<b>\$ 2,184,985</b>

In striving to meet the overarching objectives for the endowment, over the past 20 years the university has experienced an 11% annualized standard deviation in its returns. This level of risk is consistent with that accepted by peer institutions. Currently, the en-

dowment portfolio consists of three primary components designed to serve a specific role in establishing the right balance between risk and return. These three components are global, public, and private equity investments. Vanderbilt expects these three investments, including private capital and many hedge funds, to produce favorable returns in environments of accelerated growth and economic expansion. Vanderbilt expects hedged strategies and fixed income investments to generate stable returns and preserve capital during periods of poor equity performance. Vanderbilt uses real estate and natural resources allocations to provide an inflation hedge.

From time to time, the fair value of assets associated with an endowed fund may fall below the level that a donor or UPMIFA re-

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quires in terms of maintenance of perpetual duration endowments As of June 30, 2014 and 2013, Vanderbilt had deficiencies of this nature of approximately \$1 million consisting of 50 endowments and \$6 million consisting of 139 endowments, respectively These deficiencies resulted from unfavorable market declines that occurred after the investment of recent permanently restricted contri-

butions Vanderbilt believes these declines are modest in relation to the total market value for donor-restricted endowments and that these deficiencies will be relatively short-term in nature

Changes in endowment net assets for the fiscal years ended June 30 were as follows (*in thousands*)

## 2014

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
Endowment net assets as of June 30, 2013	\$ 1,450,322	\$ 1,163,129	\$ 1,021,892	\$ 3,635,343
Endowment investment return				
Investment income, net of fees	49,319	74,375	-	123,694
Net appreciation (realized and unrealized)	146,586	221,057	-	367,643
Total endowment investment return	195,905	295,432	-	491,337
Gifts and additions to endowment, net	26,730	3,471	58,551	88,752
Endowment distributions	(62,822)	(94,738)	-	(157,560)
Transfers for internal management costs	(4,754)	(7,170)	-	(11,924)
Other	120	182	-	302
<b>Endowment net assets as of June 30, 2014</b>	<b>\$ 1,605,501</b>	<b>\$ 1,360,306</b>	<b>\$ 1,080,443</b>	<b>\$ 4,046,250</b>

## 2013

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
Endowment net assets as of June 30, 2012	\$ 1,326,907	\$ 1,070,333	\$ 962,796	\$ 3,360,036
Endowment investment return				
Investment income, net of fees	63,894	98,211	-	162,105
Net appreciation (realized and unrealized)	61,987	95,278	-	157,265
Total endowment investment return	125,881	193,489	-	319,370
Gifts and additions to endowment, net	60,809	(3,435)	59,096	116,470
Endowment distributions	(59,342)	(91,214)	-	(150,556)
Transfers for internal management costs	(4,104)	(6,309)	-	(10,413)
Other	171	265	-	436
<b>Endowment net assets as of June 30, 2013</b>	<b>\$ 1,450,322</b>	<b>\$ 1,163,129</b>	<b>\$ 1,021,892</b>	<b>\$ 3,635,343</b>

## 9. Property, Plant, and Equipment

Vanderbilt reports property, plant, and equipment at cost or, if a gift, at fair value as of the date of the gift, net of accumulated depreciation Vanderbilt computes depreciation using the straight-line method over the estimated useful lives of the assets

Property, plant, and equipment as of June 30 were as follows (*in thousands*)

	2014	2013
Land	\$ 73,897	\$ 73,897
Buildings and improvements	2,902,231	2,714,757
Moveable equipment	937,059	892,230
Construction in progress	67,483	160,893
Property, plant, and equipment	3,980,670	3,841,777
Less Accumulated depreciation	2,215,426	2,060,484
<b>Property, plant, and equipment, net</b>	<b>\$ 1,765,244</b>	<b>\$ 1,781,293</b>

Purchases for the library collection are not included in the amounts above since Vanderbilt expenses them at the time of purchase As of June 30, 2014, the estimated replacement cost for library collections, including processing costs to properly identify, catalog, and shelf materials, totaled \$376 million

Vanderbilt did not capitalize interest in either fiscal 2014 or fiscal 2013 due to immateriality

Vanderbilt capitalized internal-use software development costs of \$6.5 million and \$5.4 million in fiscal 2014 and 2013, respectively, primarily for work associated with the student information system

Vanderbilt reviews property, plant, and equipment for recoverability whenever events or changes in circumstances indicate that the carrying amount may not be recoverable The university recognizes an impairment loss only if the carrying amount of a long-lived asset is not recoverable and exceeds its fair value The carrying amount of a long-lived asset is not recoverable if it exceeds the sum of the undiscounted cash flows expected to result from the use and eventual disposition of the asset During 2014, Vanderbilt booked impairment losses of \$1.3 million related to property, plant, and equipment

Vanderbilt identified conditional asset retirement obligations, primarily for the costs of asbestos removal and disposal, resulting in liabilities of \$16.5 million and \$19.9 million as of June 30, 2014 and 2013, respectively These liability estimates, included in accounts payable and accrued liabilities in the consolidated statements of financial position, use an inflation rate of 4.0% and a discount rate of 5.0% based on relevant factors at origination

## 10. Long-Term Debt, Capital Leases, and Commercial Paper

Long-term debt consists of bonds and notes payable with scheduled final maturity dates at least one year after the original issuance date Outstanding long-term debt, capital leases, and commercial paper

(CP) obligations reflected in the financial statements at carrying value as of June 30 were as follows (*in thousands*)

	Years to Nominal Maturity	Outstanding Fixed Coupon Interest Rates as of June 30, 2014	Fiscal 2014 Effective Interest Rate <sup>2</sup>	Outstanding Principal 2014	Outstanding Principal 2013
<b>FIXED-RATE DEBT</b>					
Series 2008A	5	5.00%	4.0%	\$ 109,600	\$ 117,600
Series 2008B <sup>1</sup>	5	4.00%-5.00%	3.9%	78,185	95,660
Series 2009A	26	4.00%-5.50%	4.9%	97,100	97,100
Series 2009B <sup>1</sup>	26	5.00%-5.50%	5.0%	232,900	232,900
Series 2009A Taxable	5	5.25%	5.3%	250,000	250,000
Series 2012C	4	3.00%-5.00%	1.3%	18,620	25,875
Series 2012D	24	3.00%-5.00%	3.2%	106,230	106,230
Series 2012E	6	2.00%-5.00%	0.8%	39,490	45,225
<b>Fixed-rate debt</b>			4.4%	<b>932,125</b>	<b>970,590</b>
<b>VARIABLE-RATE DEBT</b>					
Series 2012A	25		0.5%	67,000	67,000
Series 2012B	25		0.7%	67,000	67,000
<b>Variable-rate debt</b>			0.6%	<b>134,000</b>	<b>134,000</b>
Par amount of long-term debt			3.9%	1,066,125	1,104,590
Net unamortized premium			-	17,131	22,341
Total long-term debt			3.9%	1,083,256	1,126,931
Capital leases	1		10.7%	29	527
<b>Total long-term debt and capital leases</b>			3.9%	<b>1,083,285</b>	<b>1,127,458</b>
Tax-exempt commercial paper	1		0.2%	95,000	99,205
Taxable commercial paper	1		0.3%	114,845	114,806
<b>Total commercial paper</b>			0.2%	<b>209,845</b>	<b>214,011</b>
<b>Total long-term debt, capital leases, and commercial paper</b>			3.3%	<b>\$ 1,293,130</b>	<b>\$ 1,341,469</b>

<sup>1</sup> Issued under Master Trust Indenture structure

<sup>2</sup> Exclusive of interest rate exchange agreements. Inclusive of these agreements, the overall portfolio effective interest rate was 5.1%.

The preceding table reflects fixed variable allocations before the effects of interest rate exchange agreements. A successive note discusses these agreements in more detail.

The Health and Educational Facilities Board of The Metropolitan Government of Nashville and Davidson County, Tennessee (HEFB) issued Vanderbilt's tax-exempt CP and all of the aforementioned bonds, with the exception of the Series 2009A Taxable notes. As a conduit issuer, the HEFB loans the debt proceeds to Vanderbilt Pursuant to loan agreements, Vanderbilt's debt service requirements under these loan agreements coincide with required debt service of the actual HEFB bonds.

All debt instruments are general obligations of Vanderbilt. Vanderbilt did not pledge any of its assets as collateral for this debt.

Included in the foregoing table are the Hospital's bonds, with a \$311.1 million principal balance outstanding as of June 30, 2014, that were issued under a Master Trust Indenture (MTI) structure. The MTI provides the flexibility for multiple parties to participate in debt issuances as part of an obligated group. Presently, Vanderbilt's hospitals and clinics have no other members participating in the obligated group. Bonds issued under the MTI are payable from hospital revenues. A Vanderbilt debt service guarantee supplements all outstanding MTI bonds.

Trust indentures for certain bond issues contain covenants and restrictions involving the issuance of additional debt, maintenance of a specified debt service coverage ratio, and the maintenance of credit facilities for liquidity purposes. Vanderbilt was in compliance with such covenants and restrictions as of June 30, 2014.

The components of interest for total long-term debt, capital leases, CP, and interest rate exchange agreements follows (*in thousands*)

	2014	2013
Payments for interest costs	\$ 71,657	\$ 71,475
Accrued interest expense	\$ 65,478	\$ 68,108

Payments for interest costs, including amounts capitalized, occur on varying scheduled payment dates for debt, maturity dates for CP, and settlement dates for interest rate exchange agreements. Vanderbilt calculates accrued interest expense for its debt, CP, and interest rate exchange agreements based on applicable interest rates for the respective fiscal year.

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Principal retirements and scheduled sinking fund requirements based on nominal maturity schedules for long-term debt due in subsequent fiscal years ending June 30 are as follows (*in thousands*)

2015	\$ 40,240
2016	54,245
2017	44,875
2018	47,070
2019	342,300
Thereafter	537,395
<b>Total long-term debt principal retirements</b>	<b>\$ 1,066,125</b>

In addition to scheduled principal and interest payments on long-term debt obligations, Vanderbilt's capital lease agreements outstanding as of June 30, 2014, required payments of \$0.4 million during fiscal 2014. The remaining capital lease obligation will be paid during fiscal 2015. Furthermore, requirements in earlier years in the preceding table could be greater if Vanderbilt must purchase either a portion or all of its floating-rate notes or CP in the event of failed remarketing, on mandatory tender dates, or scheduled maturities as described in the following paragraphs.

Vanderbilt had \$134.0 million of variable-rate bonds outstanding as of June 30, 2014, consisting entirely of floating-rate notes with mandatory tender dates of October 1, 2015 and 2017.

As of June 30, 2014, Vanderbilt had \$95.0 million of tax-exempt CP outstanding and \$114.8 million of taxable CP outstanding. Vanderbilt can issue up to a combined \$675.0 million under its tax-exempt and taxable CP programs. However, issuance of incremental taxable CP beyond that outstanding as of June 30, 2014, would require approval by Vanderbilt's Board of Trust and the HEFB as conduit issuer.

The weighted average duration of Vanderbilt's CP portfolio totaled 97 days as of June 30, 2014, and 106 days as of June 30, 2013.

Debt liquidity support with short-term remarketing periods (CP totaling \$209.8 million) is provided by Vanderbilt's self-liquidity. As of June 30, 2014, Vanderbilt estimates that \$1,133.0 million of liquid assets were available on a same-day basis and an additional \$1,025.0 million was available within 30 days.

A second tier of debt liquidity support consists of two revolving credit facilities with maximum available commitments totaling \$400 million as of June 30, 2014, dedicated to Vanderbilt's debt

portfolio liquidity support. One of these lines totaling \$200 million includes a general use provision. These commitments expire in March 2016 and April 2017. The maximum repayment period, which may extend beyond the expiration date, ranges from 90 days to three years. Vanderbilt has never borrowed against revolving credit agreements to support redemptions of debt.

Vanderbilt has entered into an agreement with one bank to provide a general use line of credit with a maximum available commitment totaling \$100.0 million as of June 30, 2014. This line of credit expires in October 2014. Vanderbilt had no outstanding draws against these credit facilities as of June 30, 2014, or June 30, 2013.

Vanderbilt reports long-term debt at carrying value, which is the par amount adjusted for the net unamortized amount of bond premiums and discounts. The carrying value and estimated fair value of Vanderbilt's long-term debt as of June 30 were as follows (*in thousands*)

	2014	2013
Carrying value of long-term debt	\$ 1,083,256	\$ 1,126,931
Fair value of long-term debt	\$ 1,175,327	\$ 1,196,940

Vanderbilt bases estimated fair value of long-term debt on market conditions prevailing at fiscal year-end reporting dates. Besides potentially volatile market conditions, fair value estimates typically also reflect limited secondary market trading. Vanderbilt reports capital leases and commercial paper at carrying value, which closely approximates fair value for those liabilities.

None of Vanderbilt's fixed-rate debt has a mandatory tender date preceding the respective final maturity date. The Series 2008A and 2008B bonds include amortizing principal amounts each year but these bonds are noncallable before their October 2018 final maturity date. The Series 2009A and 2009B bonds include amortizing principal amounts each year beginning fiscal 2016 and a call feature at par beginning October 2019. The Series 2009A Taxable notes do not amortize and include a call feature before the April 2019 maturity date only if Vanderbilt pays a make-whole call provision to the bondholders. The Series 2012C bonds include annual amortizing principal amounts each year, excluding October 2015, until their final maturity in October 2017. The Series 2012D bonds include amortizing principal amounts each year beginning in fiscal 2021 and a call feature at par beginning October 2023. The Series 2012E bonds include annual amortizing principal amounts beginning October 2013, until their final maturity in October 2019.

## 11. Interest Rate Exchange Agreements

Vanderbilt entered into interest rate exchange agreements as part of its debt portfolio management strategy. These agreements result in periodic net cash settlements paid to, or received from, counterparties. Adjustments to interest expense for net settlements due to counterparties totaled \$23.0 million and \$25.8 million in fiscal 2014 and 2013, respectively.

Vanderbilt estimates the fair value of interest rate exchange agreements by calculating the present value sum of future net cash settlements that reflect market yields as of the measurement date and estimated amounts that Vanderbilt would pay, or receive, to terminate the contracts as of the report date. Vanderbilt considers current interest rates and current creditworthiness of the interest rate exchange counterparties when estimating termination settlements. The estimated fair value of Vanderbilt's outstanding interest rate exchange agreements was a liability of \$168.4 million and a liability of \$206.7 million as of June 30, 2014 and 2013, respectively.

Vanderbilt did not enter into any new interest rate exchange agreements during fiscal 2014 or 2013. During fiscal 2014, Vanderbilt novated \$99.6 million of fixed-payer interest rate exchange agreements in order to diversify counterparty risk and reduce the university's aggregate collateral posting requirements. Also during fiscal 2014, Vanderbilt terminated \$169.8 million notional of fixed-rate payer interest rate exchange agreements at a cost of \$31.9 million to reduce collateral exposure and eliminate ongoing settlement costs. Following the novation, terminations, and scheduled amortizations, Vanderbilt had \$544.8 million of aggregate fixed-payer interest rate exchange agreements outstanding for which the university receives

68.2% of one-month LIBOR and pays a weighted average fixed rate of 3.8%.

Gains and losses from changes in the fair value of interest rate exchange agreements, reported in the nonoperating section of the consolidated statements of activities, resulted in net gains of \$6.4 million in fiscal 2014 and \$108.9 million in fiscal 2013, respectively. The \$6.4 million of net unrealized gains on the interest rate exchange agreements includes a \$13.4 million unrealized gain to adjust the discount rate to reflect counterparty credit risk partially offset by a \$7.0 million unrealized loss due to a long-term LIBOR rate change. LIBOR decreased to 3.3% as of June 30, 2014, from 3.4% as of June 30, 2013.

The interest rate exchange agreements include collateral pledging requirements based on the fair value of the contracts. Collateral held by counterparties as of June 30, 2014 and 2013, totaled \$75.8 million and \$95.1 million, respectively. Vanderbilt estimates that a decline in long-term LIBOR rates to approximately 1% would result in the fair value of the portfolio being a liability of approximately \$420 million and correspondingly increase Vanderbilt's collateral pledging requirements to approximately \$280 million. As of June 30, 2014, 30-year LIBOR was 3.3%.

As of June 30, 2014, Vanderbilt's adjusted debt portfolio, after taking into account outstanding fixed-payer interest rate exchange agreements, was fully hedged.

The notional amounts of Vanderbilt's outstanding interest rate exchange agreements as of June 30 were as follows (*in thousands*):

Description	Rate Paid	Rate Received	Maturity	2014	2013
Fixed-payer interest rate exchange agreements	Avg fixed rate of 3.83%	Avg of 68.2% of one-month LIBOR <sup>1</sup>	17 to 30 years	\$ 544,800	\$ 718,200
Basis interest rate exchange agreements	SIFMA <sup>2</sup>	Avg of 81.5% of one-month LIBOR <sup>1</sup>	21 to 22 years	\$ 500,000	\$ 500,000

<sup>1</sup> LIBOR (London Interbank Offered Rate) is a reference rate based on interest rates at which global banks borrow funds from other banks in the London interbank lending market.

<sup>2</sup> SIFMA (Securities Industry and Financial Markets Association) is a seven-day high-grade market index rate based upon tax-exempt variable rate debt obligations.

## 12. Net Assets

**Unrestricted net assets** consist of the following internally designated groups:

*Designated for operations* represents cumulative operating activity. These net assets also reflect the realized losses of derivative financing activities.

*Designated gifts and grants* include gift and grant funds.

*Designated for student loans* represents Vanderbilt funds set aside to serve as revolving loan funds for students.

*Designated for plant facilities* represents (a) Vanderbilt's investment in property, plant, and equipment, net of accumulated depreciation, as well as (b) funds designated for active construction projects and retirement of capital-related debt, offset by (c) Vanderbilt's conditional asset retirement obligation.

*Reinvested distributions of donor-restricted endowments at historical value* are amounts related to donor-restricted endowments reinvested in the endowment in accordance with donor requests.

*Accumulated net appreciation of reinvested distributions* represents cumulative appreciation on reinvestments of donor-restricted endowments.

*Institutional endowments (quasi-endowments) at historical value* are amounts set aside by Vanderbilt to generate income in perpetuity to support operating needs.

*Accumulated net appreciation of institutional endowments* represents cumulative appreciation on institutional endowments.

*Fair value of interest rate exchange agreements, net* represents the mark-to-market valuation for interest rate exchange contracts.

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*Net assets related to noncontrolling interests* represents minority partners' share of the equity in two partnerships (endowment private equity and real estate partnerships) formed to acquire, hold, and manage private fund assets

Based on the foregoing designations, **unrestricted net assets** as of June 30 were composed of the following (*in thousands*)

	<b>2014</b>	<b>2013</b>
Designated for operations	\$ 791,540	\$ 688,845
Designated gifts and grants	78,893	103,438
Designated for student loans	22,227	23,096
Designated for plant facilities	700,053	725,965
Reinvested distributions of donor-restricted endowments at historical value	94,224	94,118
Accumulated net appreciation of reinvested distributions	108,417	92,017
Institutional endowments at historical value	335,875	309,251
Accumulated net appreciation of institutional endowments	1,066,985	954,936
Fair value of interest rate exchange agreements, net	(168,451)	(206,733)
Net assets related to noncontrolling interests	150,067	186,901
<b>Total unrestricted net assets</b>	<b>\$ 3,179,830</b>	<b>\$ 2,971,834</b>

**Temporarily restricted net assets** as of June 30 were composed of the following (*in thousands*)

	<b>2014</b>	<b>2013</b>
Donor-restricted endowments at historical value	\$ 24,785	\$ 23,454
Accumulated net appreciation of donor-restricted endowments	1,329,499	1,136,106
Reinvested distributions of donor-restricted endowments at historical value	3,783	1,643
Accumulated net appreciation of reinvested distributions	2,239	1,926
Contributions	86,153	52,335
Interests in trusts held by others	5,769	6,233
Life income and gift annuities	15,254	13,369
<b>Total temporarily restricted net assets</b>	<b>\$ 1,467,482</b>	<b>\$ 1,235,066</b>

### 13. Fair Value Measurement

Vanderbilt utilizes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value into three levels

**Level 1** consist of quoted prices (unadjusted) in active markets for identical assets or liabilities accessible at the measurement date

**Level 2** include inputs other than quoted prices in Level 1 directly or indirectly observable for the assets or liabilities

**Level 3** are unobservable inputs for the assets or liabilities

The level in the fair value hierarchy within which a fair value measurement in its entirety is classified depends on the lowest level input that is significant to the fair value measurement

Vanderbilt designated temporarily restricted net assets for the following purposes as of June 30 (*in thousands*)

	<b>2014</b>	<b>2013</b>
Student scholarships	\$ 469,118	\$ 391,674
Endowed chairs	384,130	332,416
Operations	294,966	258,606
Program support	107,802	90,481
Capital improvements	15,954	11,505
Subsequent period operations and other	195,512	150,384
<b>Total temporarily restricted net assets</b>	<b>\$ 1,467,482</b>	<b>\$ 1,235,066</b>

**Permanently restricted net assets** as of June 30 were composed of the following (*in thousands*)

	<b>2014</b>	<b>2013</b>
Donor-restricted endowments at historical value	\$ 1,080,443	\$ 1,021,892
Contributions	41,961	44,255
Interests in trusts held by others	34,021	31,859
Life income and gift annuities	40,009	34,413
<b>Total permanently restricted net assets</b>	<b>\$ 1,196,434</b>	<b>\$ 1,132,419</b>

Based on relative fair values as of June 30, donor-restricted endowments supported the following

	<b>2014</b>	<b>2013</b>
Financial aid	35%	34%
Endowed chairs	29%	29%
Operations	21%	22%
Program support	8%	8%
Research, lectureships, fellowships, and other	7%	7%
<b>Total support</b>	<b>100%</b>	<b>100%</b>

The significance of the unobservable inputs to the overall fair value measurement determines the classification of a financial instrument within level 3

The consolidated statements of activities reflect all net realized and unrealized gains and losses on level 3 investments as changes in endowment appreciation or changes in appreciation of other investments, gains and losses on investments allocable to noncontrolling interests as a component of net endowment appreciation, and net realized and unrealized gains and losses on interests in trusts held by others as changes in appreciation of other investments

Rollforwards of amounts for level 3 financial instruments for the fiscal years ended June 30 follow (*in thousands*)

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										Change in unrealized gains (losses) for investments still held at June 30, 2014
	June 30, 2013	Realized and unrealized gains (losses)	Purchases	Sales	Transfers into and (out) of level 3		June 30, 2014			June 30, 2014
<b>LEVEL 3 ASSETS</b>										
Fixed income	\$ 19,040	\$ (603)	\$ 2,397	\$ (847)	\$ -	\$ 19,987	\$ (608)			
Global equities	159,836	20,543	2,163	(78,402)	-	104,140	2,826			
Hedge strategies	578,047	(116,611)	16,150	(243,553)	100,988	335,021	(80,931)			
Private capital	1,281,709	360,122	217,407	(382,353)	-	1,476,885	(51,735)			
Real estate	292,746	21,900	35,044	(70,648)	-	279,042	30,588			
Natural resources	341,942	9,347	44,087	(55,250)	-	340,126	(16,552)			
Trusts	4,137	2,303	1,710	(3,498)	-	4,652	689			
Other investments	11,176	(38)	216	(130)	-	11,224	-			
Interests in trusts held by others	38,091	1,699	-	-	-	39,790	2,108			
<b>Total Level 3</b>	<b>\$ 2,726,724</b>	<b>\$ 298,662</b>	<b>\$ 319,174</b>	<b>\$ (834,681)</b>	<b>\$ 100,988</b>	<b>\$ 2,610,867</b>	<b>\$ (113,615)</b>			
	June 30, 2012	Realized and unrealized gains (losses)	Purchases	Sales	Transfers into and (out) of level 3		June 30, 2013			Change in unrealized gains (losses) for investments still held at June 30, 2013
<b>LEVEL 3 ASSETS</b>										
Fixed income	\$ 19,754	\$ (652)	\$ 1,503	\$ (2,339)	\$ 774	\$ 19,040	\$ 2,898			
Global equities	282,248	3,908	92,968	(219,288)	-	159,836	(95,324)			
Hedge strategies	558,295	50,313	308,663	(339,224)	-	578,047	46,681			
Private capital	1,210,866	142,701	182,356	(254,214)	-	1,281,709	46,273			
Real estate	290,432	13,162	33,858	(44,154)	(552)	292,746	(17,865)			
Natural resources	274,183	2,951	170,477	(105,669)	-	341,942	(1,763)			
Trusts	3,481	669	-	(13)	-	4,137	4,921			
Other investments	12,309	(1,193)	60	-	-	11,176	5			
Interests in trusts held by others	39,257	(1,166)	-	-	-	38,091	(1,061)			
<b>Total Level 3</b>	<b>\$ 2,690,825</b>	<b>\$ 210,693</b>	<b>\$ 789,885</b>	<b>\$ (964,901)</b>	<b>\$ 222</b>	<b>\$ 2,726,724</b>	<b>\$ (15,235)</b>			

The tables on the following pages present the amounts within each valuation hierarchy level for those assets and liabilities carried at fair value: cash and cash equivalents, investments, investments allocable to noncontrolling interests (in Vanderbilt-controlled real estate and other partnerships), interests in trusts held by others, and the fair value of interest rate exchange agreements.

Noted in the tables on the following page, as a measure of liquidity, are the redemption or liquidation frequencies of investments, along with the numbers of days notice required to liquidate these investments.

As of June 30, 2014, 91% of cash and cash equivalents were available on a same-day basis.

Most investments classified as levels 2 and 3 consist of shares or units in investment funds as opposed to direct interests in the funds' underlying holdings. Vanderbilt uses the net asset value reported by the fund as a practical expedient to estimate the fair value of interest therein for the majority of these assets. Vanderbilt's ability to redeem its interest at or near the financial statement date determines the net assets classification as level 2 or level 3. Vanderbilt defines near-term as within 90 days of the financial statement date.

During fiscal 2014, Vanderbilt determined it was probable certain investments, for which the university historically used net asset

value as a practical expedient to estimate fair value, would sell for an amount other than net asset value. These assets have a fair value of \$60 million and are all level 3 assets within the fair value hierarchy. Vanderbilt has begun actively marketing the assets for liquidation.

Derivative contract collateral and short-term securities are primarily composed of amounts posted as collateral in accordance with interest rate exchange agreements and unspent bond proceeds with trustees. Vanderbilt deems a redemption or liquidation frequency for these amounts as nonapplicable.

Global equities and fixed income provide varying levels of liquidity as defined in the following tables. As of June 30, 2014, 54% and 83% of global equities and fixed income value, respectively, were available for daily redemption requests with liquidity within 30 days.

Hedged strategies include daily, quarterly, and annual redemption frequencies. These strategies allow Vanderbilt to provide notice to the fund managers to exit from the respective funds in the time periods noted. As of June 30, 2014, 46% of hedged strategies investments include hedge funds in "hard lockup" periods of up to 36 months. These funds do not allow redemptions or liquidations during that time per terms of the respective agreements with fund managers. Additionally, 22% of hedged strategies investments were in

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“soft lockup” periods of up to nine months, during which redemptions or liquidations may occur but are subject to withdrawal penalties of up to 5%.

The total asset values for private capital, real estate, natural resources, and other investments are illiquid as of June 30, 2014. These amounts predominantly consist of limited partnerships. Under the terms of these limited partnership agreements, Vanderbilt is obligated to remit additional funding periodically as capital calls are exercised by the general partner. These partnerships have a limited existence and the agreements may provide for annual extensions relative to the timing for disposing portfolio positions and returning

capital to investors. Depending on market conditions, the ability or inability of a fund to execute its strategy, and other factors, the general partner may extend the terms or request an extension of terms of a fund beyond its originally anticipated existence or may liquidate the fund prematurely. Unforeseen events prevent Vanderbilt from anticipating such changes. As a result, the timing and amount of future capital calls or distributions in any particular year are uncertain and the related asset values are illiquid.

The following tables summarize the fair value measurements and terms for redemptions or liquidations for those assets and liabilities carried at fair value as of June 30 (*in thousands*).

### **2014**

	Level 1	Fair Value Measurements			Total	Group %	Redemption or Liquidation Frequency	Days Notice
		Level 1	Level 2	Level 3				
<b>ASSETS REPORTED AT FAIR VALUE</b>								
Cash and cash equivalents	\$ 1,244,720	\$ -	\$ -	\$ 1,244,720		91% 9%	Daily Daily	same-day 2-90 days
Derivative contract collateral and short-term securities	77,839	-	-	77,839	100%	n/a	n/a	
Global equities	1,091,512	2,614	104,140	1,198,266	54% 23% 3% 9% 4% 6% 1%	Daily Quarterly-Lockup Monthly Daily Annually-Lockup Quarterly n/a	2-30 days >30 days >30 days >30 days >30 days >30 days n/a	
Fixed income	157,880	-	19,987	177,867	83% 17%	Daily Daily	2-30 days >30 days	
Private capital	2,356	-	1,476,885	1,479,241	100%	n/a	n/a	
Hedged strategies	316,367	94,120	335,021	745,508	9% 20% 10% 50% 11%	Annually-Lockup Daily Monthly-Lockup Quarterly-Lockup n/a	>30 days 2-30 days >30 days >30 days n/a	
Natural resources	-	-	340,126	340,126	100%	n/a	n/a	
Real estate	-	-	279,042	279,042	100%	n/a	n/a	
Trusts	-	-	4,652	4,652	100%	n/a	n/a	
Other investments	126	-	11,224	11,350	100%	n/a	n/a	
Interests in trusts held by others	-	-	39,790	39,790	100%	n/a	n/a	
<b>Total assets reported at fair value</b>	<b>\$ 2,890,800</b>	<b>\$ 96,734</b>	<b>\$ 2,610,867</b>	<b>\$ 5,598,401</b>				
<b>LIABILITIES REPORTED AT FAIR VALUE</b>								
Interest rate exchange agreements,	\$ -	\$ 168,451	\$ -	\$ 168,451				

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2013

	Fair Value Measurements				Total	Group %	Redemption or Liquidation Frequency	Days Notice
	Level 1	Level 2	Level 3					
<b>ASSETS REPORTED AT FAIR VALUE</b>								
Cash and cash equivalents	\$ 845,472	\$ -	\$ -	\$ 845,472	86% 14%	Daily Daily	same-day 2-90 days	
Derivative contract collateral and short-term securities	93,632	-	-	93,632	100%	n/a	n/a	
Global equities	934,463	4,733	159,836	1,099,032	65% 9% 9% 4% 9% 4%	Daily Daily Daily Monthly Quarterly-Lockup Quarterly	2-30 days >30 days next day >30 days >30 days >30 days	
Fixed income	214,742	-	19,040	233,782	13% 87%	Daily Daily	>30 days next day	
Private capital	848	-	1,281,709	1,282,557	100%	n/a	n/a	
Hedged strategies	59,915	315,388	578,047	953,350	42% 13% 12% 16% 12% 5%	Quarterly Quarterly-Lockup Annually-Lockup Annually n/a Monthly	>30 days >30 days >30 days >30 days >30 days >30 days	
Natural resources	-	-	341,942	341,942	81% 19%	n/a Quarterly	n/a >30 days	
Real estate	-	-	292,746	292,746	100%	>1yr	n/a	
Trusts	-	-	4,137	4,137	100%	n/a	n/a	
Other investments	239	-	11,176	11,415	100%	>1yr	n/a	
Interests in trusts held by others	-	-	38,091	38,091	100%	n/a	n/a	
<b>Total assets reported at fair value</b>	<b>\$ 2,149,311</b>	<b>\$ 320,121</b>	<b>\$ 2,726,724</b>	<b>\$ 5,196,156</b>				
<b>LIABILITIES REPORTED AT FAIR VALUE</b>								
Interest rate exchange agreements,	\$ -	\$ 206,733	\$ -	\$ 206,733				

## 14. Retirement Plans

Vanderbilt's full-time faculty and staff members participate in defined contribution retirement plans administered by third-party investment and insurance firms. For eligible employees with one year of continuous service, these plans require employee and matching employer contributions. The employee immediately vests in these contributions.

Vanderbilt funds the obligations under these plans through monthly transfers to the respective retirement plan administrators with the corresponding expenses recognized in the year incurred. Vanderbilt's retirement plan contributions for fiscal 2014 and 2013 were \$63.1 million and \$63.0 million, respectively.

## 15. Student Financial Aid

Vanderbilt provides financial aid to students based upon need and merit. Institutional resources, contributions, endowment distributions, and externally sponsored programs fund this financial assistance.

For the fiscal years ended June 30, financial aid for tuition and education fees was as follows (*in thousands*)

	2014	2013
Tuition and educational fees, gross	\$ 478,320	\$ 482,528
Less Financial aid for tuition and educational fees	(213,543)	(209,940)
<b>Tuition and educational fees, net</b>	<b>\$ 264,777</b>	<b>\$ 272,588</b>

For the fiscal years ended June 30, financial aid for room and board was as follows (*in thousands*)

	2014	2013
Room and board, gross	\$ 70,809	\$ 69,035
Less Financial aid for room and board	(30,305)	(29,228)
<b>Room and board, net</b>	<b>\$ 40,504</b>	<b>\$ 39,807</b>

## 16. Natural Classification of Expenses and Allocations

For the fiscal years ended June 30, operating expenses incurred were as follows (*in thousands*)

	2014	2013
Salaries, wages, and benefits	\$ 2,271,831	\$ 2,277,192
Services	224,158	208,796
General expenses and supplies	843,934	780,529
Depreciation and amortization	175,779	174,330
Interest	65,478	68,108
Utilities, operating leases, and other	173,301	160,145
<b>Total operating expenses</b>	<b>\$ 3,754,481</b>	<b>\$ 3,669,100</b>

Natural expense classifications include certain allocations of institutional and other support costs to Vanderbilt's primary programs. Based on the functional uses of space on its campus, Vanderbilt allocated depreciation and interest on indebtedness to the functional operating expense categories shown below (*in thousands*)

2014		
	Depreciation	Interest
Instruction	\$ 20,997	\$ 3,448
Research	23,792	6,189
Health care services	79,652	40,672
Academic support	8,314	1,122
Student services	1,856	368
Institutional support	11,724	1,107
Room, board, and other auxiliary services	29,444	12,572
<b>Total</b>	<b>\$ 175,779</b>	<b>\$ 65,478</b>

2013		
	Depreciation	Interest
Instruction	\$ 20,116	\$ 3,090
Research	27,348	5,105
Health care services	78,134	41,951
Public service	89	23
Academic support	9,559	1,460
Student services	1,659	777
Institutional support	13,509	1,405
Room, board, and other auxiliary services	23,916	14,297
<b>Total</b>	<b>\$ 174,330</b>	<b>\$ 68,108</b>

## 17. Charity Care Assistance and Community Benefits

VUMC (including hospitals, clinics, and physician practice units) maintains a policy which sets forth the criteria to provide without expectation of payment or at a reduced payment rate health care services to patients who have minimal financial resources to pay for medical care. VUMC does not report these charity care services as revenue.

The medical center maintains records to identify and monitor the level of charity care it provides, and these records include the amount of gross charges and patient deductibles, co-insurance and co-payments forgone for services furnished under its charity care policy, and the estimated cost of those services. Charity care utilizes

a tiered grid to determine the level of assistance based on Federal poverty guidelines. State of Tennessee law mandates uninsured patient eligibility for a discount from billed charges for medically necessary services, in addition to charity care assistance. VUMC provides additional discounts based on the income level of the patient household using a sliding scale for those patients with a major catastrophic medical event that do not qualify for full charity assistance.

The total cost of uncompensated care (charity care and bad debt) was \$157.1 million and \$137.8 million for fiscal 2014 and 2013,

respectively. Of the total uncompensated care, charity care represented 80.8% and 85.3% in fiscal 2014 and 2013, respectively.

In addition to the charity care services described above, the medical center provides a number of other services to benefit the economically disadvantaged for which the medical center receives little or no payment. TennCare Medicaid and state indigent programs do not cover the full cost of providing care to beneficiaries of those programs. As a result, in addition to direct charity care

costs, the medical center provided services related to TennCare Medicaid and state indigent programs substantially below the cost of rendering such services.

The medical center also provides public health education and training for new health professionals and provides, without charge, services to the community at large, together with support groups for many patients with special needs.

## 18. Related Parties

Intermittently, members of Vanderbilt's Board of Trust or Vanderbilt employees may be directly or indirectly associated with companies engaged in business activities with the university. Accordingly, Vanderbilt has a written conflict of interest policy that requires, among other things, that members of the university community (including trustees) may not review, approve, or administratively control contracts or business relationships when (a) the contract or business relationship is between Vanderbilt and a business in which the individual or a family member has a material financial interest or (b) the individual or a family member is an employee of the business and is directly involved with activities pertaining to Vanderbilt.

Furthermore, Vanderbilt's conflict of interest policy extends beyond the foregoing business activities in that disclosure is required for any situation in which an applicable individual's financial, professional, or other personal activities may directly or indirectly affect, or have the appearance of affecting, an individual's professional

judgment in exercising any university duty or responsibility, including the conduct or reporting of research.

The policy extends to all members of the university community (including trustees, university officials, and faculty and staff and their immediate family members). Each applicable person is required to certify compliance with the conflict of interest policy on an annual basis. This certification includes specifically disclosing whether Vanderbilt conducts business with an entity in which he or she (or an immediate family member) has a material financial interest as well as any other situation that could appear to present a conflict with Vanderbilt's best interests.

When situations exist relative to the conflict of interest policy, Vanderbilt takes active measures to manage appropriately the actual or perceived conflict in the best interests of the university, including periodic reporting of the measures taken to the Board of Trust Audit Committee.

## 19. Lease Obligations

Vanderbilt primarily classifies certain equipment and real property leases as operating leases with lease terms of up to 15 years. Total operating lease expense in fiscal 2014 and 2013 was \$70.8 million and \$64.7 million, respectively.

As of June 30, 2014, future committed minimum rentals by fiscal year on significant noncancelable operating leases with initial terms in excess of one year were as follows (*in thousands*):

2015	\$ 53,484
2016	45,935
2017	37,176
2018	29,844
2019	21,751
Thereafter	37,377
<b>Total future minimum rentals</b>	<b>\$ 225,567</b>

Detail of significant noncancelable operating leases by type

	% of Minimum Rentals	Minimum Rentals
Property leases (123 leases)	67%	\$ 151,614
Equipment leases (124 leases)	33%	73,953
<b>Total future minimum rentals</b>	<b>100%</b>	<b>\$ 225,567</b>

Property leases for 2525 West End Avenue (14%) and One Hundred Oaks (14%) account for approximately 28% of the total future minimum rentals.

## 20. Commitments and Contingencies

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(A) *Construction* As of June 30, 2014, Vanderbilt had contractual commitments for approximately \$650 million of projects under construction and equipment purchases. The largest components of these commitments were for the campus power plant coal-to-gas conversion project (\$200 million), structural repair and mechanical updates for Vanderbilt University Hospital (\$150 million), and the Warren College and Moore College Residential Complex (\$107 million).

(B) *Litigation* Vanderbilt is a defendant in several legal actions. One such legal action is a qui tam civil action related to billing and government reimbursement for certain professional health care services provided by the Vanderbilt University Medical Center. The lawsuit was unsealed in the fall of 2013, and the government has decided not to intervene in the litigation at this time. Accordingly, the litigation is currently in the discovery phase with private plaintiffs. Vanderbilt believes that the outcome of these actions will not have a significant effect on its consolidated financial position.

(C) *Regulations* Vanderbilt's compliance with regulations and laws is subject to future government reviews and interpretations, as well as regulatory actions unknown at this time. Vanderbilt believes that the liability, if any, from such reviews will not have a significant effect on Vanderbilt's consolidated financial position.

(D) *Medical Malpractice Liability Insurance* Vanderbilt is self-insured for the first level of medical malpractice claims. The current self-insured retention is \$5.5 million per occurrence, not to exceed an annual aggregate of \$430 million. Vanderbilt segregates investments for this self-insured retention. An independent actuarial firm performs studies to determine the funding for these segregated assets. Excess malpractice and professional liability coverage has been obtained from commercial insurance carriers on a claims-made basis for claims above the retained self-insurance risk levels.

(E) *Employee Health and Workers Compensation Insurance* Vanderbilt is self-insured for employee health insurance and workers compensation coverage. Vanderbilt bases estimated liabilities upon studies conducted by independent actuarial firms.

(F) *Federal and State Contracts and Other Requirements* Expenditures related to federal and state grants and contracts are subject to adjustment based upon review by the granting agencies. Amounts of expenditures that granting agencies might disallow cannot be determined at this time. These amounts affect government grants and contract revenue as well as facilities and administrative cost recovery. Vanderbilt would not expect these costs to influence the consolidated financial position significantly.

(G) *Health Care Services* Revenue from health care services includes amounts paid under reimbursement agreements with certain third-party payers and is subject to examination and retroactive adjustments. Vanderbilt reports any differences between estimated year-end settlements and actual final settlements at the time of final settlement. The financial statements include substantially all final settlements through the year ended June 30, 2010. Vanderbilt expects to receive final settlements relative to periods through June 30, 2011, during fiscal 2015.

(H) *HIPAA Compliance* Under the Health Insurance Portability and Accountability Act of 1996 (HIPAA), the federal government has authority to complete fraud and abuse investigations. HIPAA has established substantial fines and penalties for offenders. Vanderbilt maintains policies, procedures, and organizational structures to enforce and monitor compliance with HIPAA, as well as other applicable local, state, and federal statutes and regulations.

(I) *Partnership Investment Commitments* There were \$530.3 million of commitments to venture capital, real estate, and private equity investments as of June 30, 2014. At the request of the general partners, Vanderbilt may draw down funds over the next several years. Vanderbilt expects to finance these commitments with available cash and expected proceeds from the sales of securities. In addition, Vanderbilt is a secondary guarantor for \$174 million of commitments for certain investment vehicles where minority limited partners in subsidiaries that Vanderbilt controls have the primary obligations.