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Form 990

Return of Organization Exempt From Income Tax

OMB No 1545-0047

2016

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)
Do not enter social security numbers on this form as it may be made public
Information about Form 990 and its instructions is at [www.irs.gov/form990](#)

A For the 2016 calendar year, or tax year beginning 07-01-2016 , and ending 06-30-2017

B Check if applicable

☐ Address change

☐ Name change

☐ Initial return

☐ Final

☒ Return/terminated

☐ Amended return

☐ Application pending

C Name of organization

Vanderbilt University

Doing business as

Number and street (or P O box if mail is not delivered to street address)Room/suite

PMB 406310 2301 Vanderbilt Place

City or town, state or province, country, and ZIP or foreign postal code

Nashville, TN 372406310

F Name and address of principal officer

Nicholas S Zeppos

211 Kirkland 2201 Westend

Nashville, TN 37240

H(a) Is this a group return for subordinates?

☐ Yes ☒ No

H(b) Are all subordinates included?

☐ Yes ☐ No

If "No," attach a list (see instructions)

H(c) Group exemption number ▶

D Employer identification number

62-0476822

E Telephone number

(615) 343-6601

G Gross receipts \$ 9,675,774,941

I Tax-exempt status

☒ 501(c)(3) ☐ 501(c) () ◀(insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ www.vanderbilt.edu

K Form of organization

☒ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1941

M State of legal domicile TN

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities

See Schedule O

2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3 Number of voting members of the governing body (Part VI, line 1a)

29

4 Number of independent voting members of the governing body (Part VI, line 1b)

27

5 Total number of individuals employed in calendar year 2016 (Part V, line 2a)

14,117

6 Total number of volunteers (estimate if necessary)

16,000

7a Total unrelated business revenue from Part VIII, column (C), line 12

7,434,140

7b Net unrelated business taxable income from Form 990-T, line 34

-7,650,177

Revenue

8 Contributions and grants (Part VIII, line 1h)

326,470,970

9 Program service revenue (Part VIII, line 2g)

951,962,483

10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)

14,515,423

11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)

32,888,658

12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)

1,325,837,534

Expenses

13 Grants and similar amounts paid (Part IX, column (A), lines 1–3)

332,911,063

14 Benefits paid to or for members (Part IX, column (A), line 4)

0

15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)

640,516,918

16a Professional fundraising fees (Part IX, column (A), line 11e)

845,453

b Total fundraising expenses (Part IX, column (D), line 25) ▶27,058,922

17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)

487,048,468

18 Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)

1,461,321,902

19 Revenue less expenses Subtract line 18 from line 12

-135,484,368

Net Assets or Fund Balances

20 Total assets (Part X, line 16)

6,342,629,407

21 Total liabilities (Part X, line 26)

945,758,654

22 Net assets or fund balances Subtract line 21 from line 20

5,396,870,753

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

Signature of officer

2018-05-14

BRETT SWEET CFO

Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN

Firm's name ▶ PRICEWATERHOUSECOOPERS LLP

Firm's EIN ▶ 13-4008324

Firm's address ▶ 600 13TH ST NW STE 1000

Phone no (202) 414-1000

WASHINGTON, DC 200053014

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat No 11282Y

Form 990 (2016)

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III ☐

1 Briefly describe the organization's mission

See Schedule O

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a	(Code)	(Expenses \$ 1,118,103,087	including grants of \$ 309,348,011)	(Revenue \$ 996,472,632)
See Additional Data				


















4b	(Code)	(Expenses \$ 178,034,644	including grants of \$ 45,248,686)	(Revenue \$ 23,252,061)
See Additional Data				

4c	(Code)	(Expenses \$	including grants of \$	(Revenue \$)
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4d	Other program services (Describe in Schedule O)
(Expenses \$	including grants of \$) (Revenue \$)

4e	Total program service expenses ▶	1,296,137,731
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Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? 	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II 	4 Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6	No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8 Yes	
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9 Yes	
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10 Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI 	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 	11b Yes	
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 	11f Yes	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	12a	No
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional 	12b Yes	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E 	13 Yes	
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV 	14b Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV 	15 Yes	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV 	16 Yes	
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) 	17 Yes	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	No

Part IV Checklist of Required Schedules (continued)

	Yes	No
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	Yes	
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	Yes	
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	Yes	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>	Yes	
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		No
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	Yes	
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		No
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		No
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		No
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i>	Yes	
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>	Yes	
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)		
a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		No
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	Yes	
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		No
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	Yes	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	Yes	
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		No
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		No
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	Yes	
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	Yes	
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?	Yes	
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	Yes	
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	Yes	
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		No
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	Yes	

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☐

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	14,796	
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	0	
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	Yes	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.	14,117	
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	Yes	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	Yes	
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O.	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	Yes	
b	AS, BE, BC, BR, CA, CH, CO, EG, FR, GM, GH, IN, ID, IT, JA, KE, MY, MP, MX, MO, MZ, WA, NI, RP, PL If "Yes," enter the name of the foreign country: SN, SF, SW, SZ, TW, TZ, UG, AE, UK, ZA, ZI, KS		
5a	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	Yes	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	Yes	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		No
d	If "Yes," indicate the number of Forms 8282 filed during the year.	7d	
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	Yes	
8	Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?		
9a	Did the sponsoring organization make any taxable distributions under section 4966?		
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?		
10	Section 501(c)(7) organizations. Enter		
a	Initiation fees and capital contributions included on Part VIII, line 12.	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	10b	
11	Section 501(c)(12) organizations. Enter		
a	Gross income from members or shareholders.	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b	
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	13b	
c	Enter the amount of reserves on hand.	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year?		No
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	14b	

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI ☒

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year		
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O		
b	Enter the number of voting members included in line 1a, above, who are independent		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		No
6	Did the organization have members or stockholders?		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		No
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a	The governing body?	Yes	
b	Each committee with authority to act on behalf of the governing body?	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O.		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?		No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13.	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done.	Yes	
13	Did the organization have a written whistleblower policy?	Yes	
14	Did the organization have a written document retention and destruction policy?	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official.	Yes	
b	Other officers or key employees of the organization.	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	Yes	
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	Yes	

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed: _____

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records.
 ▶ Dalana Robertson 110 21st Avenue South Suite 900 Nashville, TN 37203 (615) 343-6601

Check if Schedule O contains a response or note to any line in this Part VII ☐

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

Form **990** (2016)

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
See Additional Data Table										
1b Sub-Total										
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)								26,810,172	0	2,817,263

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **1,181**

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3 Yes	
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4 Yes	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5 Yes	

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
Clark Parent a Joint Venture 7500 Old Georgetown Road Bethesda, MD 20814	Construction	41,513,287
Vanderbilt University Medical Center 1161 21st Ave S D-3300 MCN Nashville, TN 37232	Affiliation Services	24,977,060
Hogan Lovells US LLP 555 Thirteenth Street NW Washington, DC 20004	Legal Services	10,625,012
RC Matthews Contractor 300 Broadway PO Box 24687 Nashville, TN 37202	Construction Services	9,470,534
The Boston Consulting Group Inc One Beacon St 10th Floor Boston, MA 02108	Consulting	7,517,658

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **279**

Part VIII Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII ☒

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns . . .	1a				
	b Membership dues . . .	1b				
	c Fundraising events . . .	1c	108,127			
	d Related organizations	1d				
	e Government grants (contributions)	1e	203,364,635			
	f All other contributions, gifts, grants, and similar amounts not included above	1f	103,331,489			
	g Noncash contributions included in lines 1a-1f \$ _____		16,453,599			
	h Total. Add lines 1a-1f		306,804,251			
Program Service Revenue		Business Code				
	2a Tuition and Fees	611310	520,385,913	520,385,913		
	b Affiliated Entity Rev	611310	314,615,875	314,615,875		
	c Room, Board & Auxiliary	611310	145,583,362	143,286,808	2,296,554	
	d Research & Other Contr	611310	23,252,061	23,252,061		
	e Other Auxiliary	611310	4,744,033	4,659,970	84,063	
	f All other program service revenue		11,143,449	11,143,449	0	0
	g Total. Add lines 2a-2f		1,019,724,693			
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		24,985,371		4,584,354	20,401,017
	4 Income from investment of tax-exempt bond proceeds		5			5
	5 Royalties		13,093,896		111,883	12,982,013
	6a Gross rents	(i) Real (ii) Personal				
		9,658,855				
	b Less rental expenses	2,869,537				
	c Rental income or (loss)	6,789,318 0				
	d Net rental income or (loss)		6,789,318			6,789,318
	7a Gross amount from sales of assets other than inventory	(i) Securities (ii) Other				
		8,301,403,092				
	b Less cost or other basis and sales expenses	8,051,135,505				
	c Gain or (loss)	250,267,587 0				
	d Net gain or (loss)		250,267,587		357,286	249,910,301
	8a Gross income from fundraising events (not including \$ 108,127 of contributions reported on line 1c) See Part IV, line 18	a 104,778				
	b Less direct expenses	b 93,976				
	c Net income or (loss) from fundraising events		10,802			10,802
	9a Gross income from gaming activities See Part IV, line 19	a				
b Less direct expenses	b					
c Net income or (loss) from gaming activities						
10a Gross sales of inventory, less returns and allowances	a					
b Less cost of goods sold	b					
c Net income or (loss) from sales of inventory						
Miscellaneous Revenue	Business Code					
11a						
b						
c						
d All other revenue		0	0	0	0	
e Total. Add lines 11a-11d		0				
12 Total revenue. See Instructions		1,621,675,923	1,017,344,076	7,434,140	290,093,456	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐**Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.**

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21.	44,008,441	44,008,441		
2 Grants and other assistance to domestic individuals. See Part IV, line 22.	309,348,011	309,348,011		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, line 15 and 16.	1,240,245	1,240,245		
4 Benefits paid to or for members.				
5 Compensation of current officers, directors, trustees, and key employees.	16,896,330	3,444,525	11,729,368	1,722,437
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).	1,441,197	1,304,819	136,378	
7 Other salaries and wages.	509,889,289	456,671,297	39,989,313	13,228,679
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions).	27,785,757	24,885,711	2,179,166	720,880
9 Other employee benefits.	54,854,912	43,263,455	9,560,666	2,030,791
10 Payroll taxes.	31,075,110	27,831,749	2,437,142	806,219
11 Fees for services (non-employees):				
a Management.	424,159		424,159	
b Legal.	10,182,678		10,182,678	
c Accounting.	568,560		568,560	
d Lobbying.	0	0	0	0
e Professional fundraising services. See Part IV, line 17.	608,504			608,504
f Investment management fees.	28,393,868		28,393,868	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).	57,435,342	46,945,086	10,203,551	286,705
12 Advertising and promotion.	6,944,295	6,502,343	383,373	58,579
13 Office expenses.	73,367,231	66,327,993	5,435,860	1,603,378
14 Information technology.	35,947,839	31,243,886	4,324,863	379,090
15 Royalties.	5,019,638	25,036	4,994,602	
16 Occupancy.	77,023,926	61,536,414	13,472,037	2,015,475
17 Travel.	24,978,797	21,300,678	3,001,546	676,573
18 Payments of travel or entertainment expenses for any federal, state, or local public officials.				
19 Conferences, conventions, and meetings.	5,947,438	5,071,474	810,597	65,367
20 Interest.	14,146,292	14,146,292		
21 Payments to affiliates.				
22 Depreciation, depletion, and amortization.	80,671,700	70,063,879	9,145,127	1,462,694
23 Insurance.	6,205,335	5,616,538	588,325	472
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O):				
a Other program.	23,869,387	20,027,892	2,787,849	1,053,646
b Other library.	15,758,919	15,758,919		
c Other auxiliary.	6,552,544	6,552,544		
d Other defeasance.	6,058,864	0	6,058,864	
e All other expenses.	15,731,153	13,020,504	2,371,216	339,433
25 Total functional expenses. Add lines 1 through 24e.	1,492,375,761	1,296,137,731	169,179,108	27,058,922
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part IX ☐

				(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing		260,060,121	1	185,235,297
	2	Savings and temporary cash investments		701,177,557	2	748,426,909
	3	Pledges and grants receivable, net		116,496,785	3	104,627,980
	4	Accounts receivable, net		103,532,230	4	111,105,056
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L.		0	5	291,667
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L.			6	59,500
	7	Notes and loans receivable, net		110,661,280	7	96,499,891
	8	Inventories for sale or use		471,866	8	542,220
	9	Prepaid expenses and deferred charges		20,075,014	9	18,442,955
	10a	Land, buildings, and equipment—cost or other basis. Complete Part VI of Schedule D.	10a 2,013,942,227			
	b	Less: accumulated depreciation	10b 1,011,310,855	926,559,465	10c	1,002,631,372
	11	Investments—publicly traded securities		2,306,288,381	11	2,816,295,694
	12	Investments—other securities. See Part IV, line 11		1,766,518,028	12	1,700,990,650
	13	Investments—program-related. See Part IV, line 11		30,762,134	13	33,252,821
	14	Intangible assets			14	0
	15	Other assets. See Part IV, line 11		26,546	15	28,542
16	Total assets. Add lines 1 through 15 (must equal line 34)		6,342,629,407	16	6,818,430,554	
Liabilities	17	Accounts payable and accrued expenses		156,297,022	17	165,030,111
	18	Grants payable		3,143,764	18	3,018,905
	19	Deferred revenue		45,733,076	19	46,063,628
	20	Tax-exempt bond liabilities		223,754,711	20	114,179,800
	21	Escrow or custodial account liability. Complete Part IV of Schedule D.		1,957,958	21	427,358
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L			22	0
	23	Secured mortgages and notes payable to unrelated third parties		84,530,422	23	253,005,848
	24	Unsecured notes and loans payable to unrelated third parties			24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D.		430,341,701	25	469,537,698
	26	Total liabilities. Add lines 17 through 25		945,758,654	26	1,051,263,348
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.					
	27	Unrestricted net assets		2,889,169,250	27	3,076,277,103
	28	Temporarily restricted net assets		1,224,133,704	28	1,365,694,257
	29	Permanently restricted net assets		1,283,567,799	29	1,325,195,846
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.					
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		5,396,870,753	33	5,767,167,206
	34	Total liabilities and net assets/fund balances		6,342,629,407	34	6,818,430,554

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI ☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	1,621,675,923
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,492,375,761
3	Revenue less expenses Subtract line 2 from line 1	3	129,300,162
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	5,396,870,753
5	Net unrealized gains (losses) on investments	5	262,447,449
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-21,451,158
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	5,767,167,206

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII ☐

	Yes	No
1 Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
b Were the organization's financial statements audited by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
c If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

Additional Data

Software ID: 16000421
Software Version: 2016v3.0
EIN: 62-0476822
Name: Vanderbilt University

Form 990 (2016)

Form 990, Part III, Line 4a:

See Schedule O - Education

Form 990, Part III, Line 4b:

See Schedule O - Academic and Scientific Research

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Nicholas S Zeppos See Schedule O	50 0 0 0	X		X				4,003,936	0	318,456
Greg S Allen Trustee	1 0 0 0	X						0	0	0
Lee M Bass Trustee	1 0 0 0	X						0	0	0
Adolpho A Birch III Trustee	1 0 0 0	X						0	0	0
Shirley M Collado Secretary	1 0 0 0	X						0	0	0
Daniel M Crown Trustee	1 0 0 0	X						0	0	0
Mark F Dalton Chairman	1 0 0 0	X						0	0	0
Claiborne P Deming Trustee	1 0 0 0	X						0	0	0
Charles H Esserman Trustee	1 0 0 0	X						0	0	0
Bruce R Evans Trustee	1 0 0 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
David W Head	1 0									
Trustee 0 0	X						0	0	0
Jay C Hoag	1 0									
Trustee 0 0	X						0	0	0
John R Ingram	1 0									
Trustee 0 0	X						0	0	0
Kathleen E Justice-Moore	1 0									
Trustee 0 0	X						0	0	0
Carrol E Kimball	1 0									
Trustee 0 0	X						0	0	0
Steven H Madden Sr	1 0									
Trustee 0 0	X						0	0	0
Mark P Mays	1 0									
Trustee 0 0	X						0	0	0
Jackson W Moore	1 0									
Vice-Chairman 0 0	X						0	0	0
Courtney C Pastrick	1 0									
Trustee 0 0	X						0	0	0
David W Patterson	1 0									
Trustee 0 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
H Ross Perot Jr Trustee	1 0 0 0	X						0	0	0
Jeffrey J Rothschild Trustee	1 0 0 0	X						0	0	0
Sidanth Sapru Trustee	1 0 0 0	X						0	0	0
Robert C Schiff Jr Trustee	1 0 0 0	X						0	0	0
Alexander C Taylor Trustee	1 0 0 0	X						0	0	0
J Stephen Turner Trustee	1 0 0 0	X						0	0	0
Patricia E White Trustee	1 0 0 0	X						0	0	0
Mark Wilf Trustee	1 0 0 0	X						0	0	0
Jon Winkelried Vice-Chairman	1 0 0 0	X						0	0	0
Audrey J Anderson See Schedule O	50 0 0 1			X				1,077,271	0	127,777

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Steve Ertel See Schedule O	50 0 0 0			X				0	0	0
Beth A Fortune See Schedule O	50 0 0 0			X				455,063	0	23,940
Nathan Green See Schedule O	50 0 0 0			X				273,523	0	32,560
Anders W Hall See Schedule O	50 0 3 9			X				1,551,660	0	455,247
George C Hill See Schedule O	50 0 0 0			X				409,475	0	13,193
Eric C Kopstain See Schedule O	50 0 1 0			X				710,875	0	36,428
John M Lutz See Schedule O	50 0 0 0			X				1,150,391	0	126,387
Susie S Stalcup See Schedule O	50 0 0 0			X				690,948	0	20,971
Brett C Sweet See Schedule O	50 0 2 0			X				1,471,412	0	265,066
Susan Wente See Schedule O	50 0 0 4			X				1,329,039	0	47,179

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
David Williams II See Schedule O	50 0 0 8			X				1,097,434	0	50,779
Jeffrey Balser See Schedule O	50 0 0 0				X			607,616	0	21,659
Lauren Benton See Schedule O	50 0 0 0				X			706,503	0	102,027
Lawrence J Marnett See Schedule O	50 0 0 0				X			681,294	0	37,380
Timothy C Corbin See Schedule O	50 0 0 0					X		2,128,423	0	207,644
Bryce Drew See Schedule O	50 0 0 0					X		1,974,158	0	72,984
Derek Mason See Schedule O	50 0 0 0					X		2,671,927	0	140,596
Hunter McCrossin See Schedule O	50 0 2 0					X		975,976	0	317,510
Travis W Shore See Schedule O	50 0 6 4					X		989,804	0	284,515
Richard C McCarty See Schedule O	50 0 0 0						X	591,535	0	30,924

Compensated Employees, and Independent Contractors

(A) Compensated Employees, and Independent Contractors Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Mitch Edgeworth See Schedule O	50 0 0 0						X	183,755	0	16,935
Charles L Gregory See Schedule O	50 0 0 0						X	183,317	0	17,489
John F Manning Jr PhD MBA See Schedule O	50 0 0 0						X	224,591	0	15,994
Charles W Pinson MD MBA See Schedule O	50 0 0 0						X	434,296	0	17,431
David Posch See Schedule O	50 0 0 0						X	235,950	0	16,192

SCHEDULE A (Form 990 or 990-EZ)	Public Charity Status and Public Support Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust. ▶ Attach to Form 990 or Form 990-EZ. ▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990 .	OMB No 1545-0047 2016 Open to Public Inspection
	Department of the Treasury Internal Revenue Service Name of the organization Vanderbilt University	Employer identification number 62-0476822

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.
The organization is not a private foundation because it is (For lines 1 through 12, check only one box)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 ☒ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ))
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state _____
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 9 ☐ An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture See instructions Enter the name, city, and state of the college or university _____
- 10 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2)**. (Complete Part III)
- 11 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4)**.
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g
- a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**
- b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**
- c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**
- d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**
- e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization
- f Enter the number of supported organizations _____
- g Provide the following information about the supported organization(s) _____

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, 8, or 9 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►		(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
1	Gifts, grants, contributions, and membership fees received (Do not include any "unusual grant.")	484,681,246	494,500,126	469,664,344	488,603,616	306,804,251	2,244,253,583
2	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
3	The value of services or facilities furnished by a governmental unit to the organization without charge						0
4	Total. Add lines 1 through 3	484,681,246	494,500,126	469,664,344	488,603,616	306,804,251	2,244,253,583
5	The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						0
6	Public support. Subtract line 5 from line 4						2,244,253,583

Section B. Total Support

Calendar year (or fiscal year beginning in) ►		(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
7	Amounts from line 4	484,681,246	494,500,126	469,664,344	488,603,616	306,804,251	2,244,253,583
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	196,756,446	138,255,832	19,467,670	49,271,521	43,041,890	446,793,359
9	Net income from unrelated business activities, whether or not the business is regularly carried on						0
10	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)	36,202,593	4,535,825	30,824,998	404,224	104,778	72,072,418
11	Total support. Add lines 7 through 10						2,763,119,360
12	Gross receipts from related activities, etc. (see instructions)					12	14,884,123,993

13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ☐**Section C. Computation of Public Support Percentage**

14	Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f))	14	81.22 %
15	Public support percentage for 2015 Schedule A, Part II, line 14	15	80.95 %

16a 33 1/3% support test—2016. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ☒**b 33 1/3% support test—2015.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ☐**17a 10%-facts-and-circumstances test—2016.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ☐**b 10%-facts-and-circumstances test—2015.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ☐**18 Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ☐

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2015 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2016 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2015 Schedule A, Part III, line 17	18	

19a 33 1/3% support tests—2016. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

b 33 1/3% support tests—2015. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>	1	
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>	2	
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>	3a	
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>	3b	
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>	3c	
4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i>	4a	
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>	4b	
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>	4c	
5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>	5a	
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	5b	
c Substitutions only. Was the substitution the result of an event beyond the organization's control?	5c	
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>	6	
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>	7	
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>	8	
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>	9a	
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>	9b	
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>	9c	
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer line 10b below.</i>	10a	
b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>	10b	

Part IV Supporting Organizations (continued)

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b A family member of a person described in (a) above?		
c A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI</i>		
11a		
11b		
11c		

Section B. Type I Supporting Organizations

	Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
1		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i>		
2		

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		
1		

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
1		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
2		
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		
3		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions)		
a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).		
2 Activities Test Answer (a) and (b) below.		
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>		
2a		
b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
2b		
3 Parent of Supported Organizations Answer (a) and (b) below.		
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i>		
3a		
b Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>		
3b		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1** ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970. **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income

	(A) Prior Year	(B) Current Year (optional)
1 Net short-term capital gain	1	
2 Recoveries of prior-year distributions	2	
3 Other gross income (see instructions)	3	
4 Add lines 1 through 3	4	
5 Depreciation and depletion	5	
6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7 Other expenses (see instructions)	7	
8 Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8	

Section B - Minimum Asset Amount

	(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)	1	
a Average monthly value of securities	1a	
b Average monthly cash balances	1b	
c Fair market value of other non-exempt-use assets	1c	
d Total (add lines 1a, 1b, and 1c)	1d	
e Discount claimed for blockage or other factors (explain in detail in Part VI)		
2 Acquisition indebtedness applicable to non-exempt use assets	2	
3 Subtract line 2 from line 1d	3	
4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6 Multiply line 5 by .035	6	
7 Recoveries of prior-year distributions	7	
8 Minimum Asset Amount (add line 7 to line 6)	8	

Section C - Distributable Amount

		Current Year
1 Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2 Enter 85% of line 1	2	
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4 Enter greater of line 2 or line 3	4	
5 Income tax imposed in prior year	5	
6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7 <input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)		

Part V

Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI) See instructions	
7 Total annual distributions. Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions	
9 Distributable amount for 2016 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2016	(iii) Distributable Amount for 2016
1 Distributable amount for 2016 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2016 (reasonable cause required--see instructions)			
3 Excess distributions carryover, if any, to 2016			
a			
b			
c From 2013.			
d From 2014.			
e From 2015.			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2016 distributable amount			
i Carryover from 2011 not applied (see instructions)			
j Remainder Subtract lines 3g, 3h, and 3i from 3f			
4 Distributions for 2016 from Section D, line 7 \$			
a Applied to underdistributions of prior years			
b Applied to 2016 distributable amount			
c Remainder Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2016, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions)			
6 Remaining underdistributions for 2016 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)			
7 Excess distributions carryover to 2017. Add lines 3j and 4c			
8 Breakdown of line 7			
a			
b Excess from 2013.			
c Excess from 2014.			
d Excess from 2015.			
e Excess from 2016.			

Part VI Supplemental Information.

Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test**990 Schedule A, Supplemental Information**

Return Reference	Explanation
Schedule A, Part II, Line 10 Other Income	DESCRIPTION - GROSS FUNDRAISING REVENUE AND MISC OPERATING INCOME, COLUMN A - 36202593 0, COLUMN B - 4535825 0, COLUMN C - 30824998 0, COLUMN D - 404224 0, COLUMN E - 104778 0, CO LUMN F - 72072418 0,



SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527
▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**
▶ **Information about Schedule C (Form 990 or 990-EZ) and its instructions is at**
www.irs.gov/form990.

OMB No 1545-0047

2016

Open to Public Inspection

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization Vanderbilt University	Employer identification number 62-0476822
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Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV

2 Political expenditures ▶ \$

3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$

3 If the organization incurred a section 4955 tax, did it file Form 7202 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$

3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ \$

4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-
2				
3				
4				
5				
6				

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)
- B Check ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)															
b Total lobbying expenditures to influence a legislative body (direct lobbying)		280,327													
c Total lobbying expenditures (add lines 1a and 1b)		280,327													
d Other exempt purpose expenditures		1,477,018,459													
e Total exempt purpose expenditures (add lines 1c and 1d)		1,477,298,786													
f Lobbying nontaxable amount Enter the amount from the following table in both columns		1,000,000													
<table><tr><th>If the amount on line 1e, column (a) or (b) is:</th><th>The lobbying nontaxable amount is:</th></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g Grassroots nontaxable amount (enter 25% of line 1f)		250,000													
h Subtract line 1g from line 1a If zero or less, enter -0-		0													
i Subtract line 1f from line 1c If zero or less, enter -0-		0													
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?			<input type="checkbox"/> Yes <input type="checkbox"/> No												

4-Year Averaging Period Under section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) Total
2a Lobbying nontaxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
b Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
c Total lobbying expenditures	388,622	357,019	458,726	280,327	1,484,694
d Grassroots nontaxable amount	250,000	250,000	250,000	250,000	1,000,000
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
f Grassroots lobbying expenditures				0	0

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity

		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities?			
j	Total. Add lines 1c through 1i.			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation
------------------	-------------

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93493134021908	
<div>SCHEDULE D (Form 990)</div> <div>Department of the Treasury Internal Revenue Service</div>		<div>Supplemental Financial Statements</div> <div>► Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. ► Attach to Form 990.</div> <div>Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.</div>			<div>OMB No 1545-0047</div> <div>2016</div> <div>Open to Public Inspection</div>
Name of the organization Vanderbilt University				Employer identification number 62-0476822	
Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" on Form 990, Part IV, line 6.					
		(a) Donor advised funds		(b) Funds and other accounts	
1	Total number at end of year				
2	Aggregate value of contributions to (during year)				
3	Aggregate value of grants from (during year)				
4	Aggregate value at end of year				
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?				<input type="checkbox"/> Yes <input type="checkbox"/> No
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?				<input type="checkbox"/> Yes <input type="checkbox"/> No
Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.					
1	Purpose(s) of conservation easements held by the organization (check all that apply) <input type="checkbox"/> Preservation of land for public use (e g , recreation or education) <input type="checkbox"/> Preservation of an historically important land area <input type="checkbox"/> Protection of natural habitat <input type="checkbox"/> Preservation of a certified historic structure <input type="checkbox"/> Preservation of open space				
2	Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year				
a	Total number of conservation easements	Held at the End of the Year			
b	Total acreage restricted by conservation easements	2a			
c	Number of conservation easements on a certified historic structure included in (a)	2b			
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2c			
		2d			
3	Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►				
4	Number of states where property subject to conservation easement is located ►				
5	Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?				<input type="checkbox"/> Yes <input type="checkbox"/> No
6	Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ►				
7	Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ► \$				
8	Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?				<input type="checkbox"/> Yes <input type="checkbox"/> No
9	In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements				
Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" on Form 990, Part IV, line 8.					
1a	If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items				
b	If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items				
(i) Revenue included on Form 990, Part VIII, line 1		► \$ 0			
(ii) Assets included in Form 990, Part X		► \$ 4,890,996			
2	If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items				
a	Revenue included on Form 990, Part VIII, line 1				► \$
b	Assets included in Form 990, Part X				► \$
For Paperwork Reduction Act Notice, see the Instructions for Form 990.					
			Cat No 52283D	Schedule D (Form 990) 2016	

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☒ Public exhibition

b

☒ Scholarly research

c

☒ Preservation for future generations

d

☒ Loan or exchange programs

e

☒ Other Education

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☒ Yes

☐ No

Part IV

Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☒ No

b

If "Yes," explain the arrangement in Part XIII and complete the following table

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

☒ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII

☒

Part V

Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a)Current year	(b)Prior year	(c)Two years back	(d)Three years back	(e)Four years back
1a Beginning of year balance	3,795,585,401	4,093,387,484	4,046,250,379	3,635,343,038	3,360,035,514
b Contributions	129,140,956	154,345,834	75,832,665	88,751,983	116,470,228
c Net investment earnings, gains, and losses	428,676,790	-172,420,504	148,787,412	491,337,590	319,370,422
d Grants or scholarships	109,451,788	103,887,979	75,364,887	69,952,281	60,864,586
e Other expenditures for facilities and programs	93,745,467	162,527,753	89,465,646	87,607,660	89,692,275
f Administrative expenses	13,740,631	13,311,681	12,652,439	11,622,291	9,976,265
g End of year balance	4,136,465,261	3,795,585,401	4,093,387,484	4,046,250,379	3,635,343,038

2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a

Board designated or quasi-endowment

38 83 %

b

Permanent endowment

29 82 %

c

Temporarily restricted endowment

31 35 %

The percentages on lines 2a, 2b, and 2c should equal 100%

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations

3a(i)

No

(ii) related organizations

3a(ii)

No

b

If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?

3b

4

Describe in Part XIII the intended uses of the organization's endowment funds

Part VI

Land, Buildings, and Equipment.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		85,289,630		85,289,630
b Buildings		1,464,959,890	757,853,062	707,106,828
c Leasehold improvements		15,300,299		15,300,299
d Equipment		343,718,993	253,457,793	90,261,200
e Other		104,673,415		104,673,415
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c))				1,002,631,372

Schedule D (Form 990) 2016

Part VII

Investments—Other Securities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11b.
See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests	2,368,221	C
(3) Other _____ (A) Limited Partnerships	1,425,248,830	F
(B) Interests in trusts held by others	28,576,891	F
(C) Other Investments & Securities	183,191,782	F
(D) Minority Interest	61,604,926	F
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col (B) line 12) ▶	1,700,990,650	

Part VIII

Investments—Program Related. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c.
See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col (B) line 13) ▶		

Part IX

Other Assets. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d See Form 990, Part X, line 15

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 15) ▶	

Part X

Other Liabilities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f.
See Form 990, Part X, line 25.

(a) Description of liability	(b) Book value
(1) Federal income taxes	
Actuarial Liability for Annuities Payable	30,397,262
Actuarial Liability for Self Insurance	6,624,066
Fair Value of Interest Rate Exchange Agreements Liability	54,783,583
Government Advances for Student Loans	24,411,152
Fair Value of Securities Sold Short	353,025,201
State and Local Taxes Payable	296,434
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 25) ▶	469,537,698

2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII

☒

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains (losses) on investments	2a	
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII)	2d	
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII)	4b	
c	Add lines 4a and 4b	4c	
5	Total revenue Add lines 3 and 4c . (This must equal Form 990, Part I, line 12)	5	

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII)	2d	
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1 :		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII)	4b	
c	Add lines 4a and 4b	4c	
5	Total expenses Add lines 3 and 4c . (This must equal Form 990, Part I, line 18)	5	

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
See Additional Data Table	

Part XIII Supplemental Information *(continued)*

Return Reference	Explanation

Additional Data

Software ID: 16000421
Software Version: 2016v3.0
EIN: 62-0476822
Name: Vanderbilt University

Supplemental Information

Return Reference	Explanation
Schedule D, Part III, Line 5 Solicitation of Art, etc	Vanderbilt University solicited works of art to be sold at fundraising events in silent au ctions The fair market value of these items can range in value, and in FY17 the fair mark et value of these items did not meet the thresholds required for Form 8282 to be filed

Supplemental Information

Return Reference	Explanation
Schedule D, Part III, Line 4 Collections of art - description of collections	Vanderbilt University maintains various collections of art, historical treasures and other similar assets in departments across the University. Such collections include, but are not limited to, historical drawings, historical scientific instruments, historical furniture, paintings, photography, book collections, and other similar items and artifacts. All such collections further Vanderbilt's exempt purpose by providing historical materials for students and researchers, and by providing cultural, historical and educational opportunities to Vanderbilt University students and the community at large through exhibits, displays, and loan or exchange programs.

Supplemental Information	
Return Reference	Explanation
Schedule D, Part IV, Line 2b Explanation of escrow agreement	Agency funds are held by Vanderbilt university, which serves as a custodian or fiscal agent for student groups and other university related organizations

Supplemental Information	
Return Reference	Explanation
Schedule D, Part V, Line 4 Intended uses of endowment funds	Vanderbilt University's endowment funds, as related to Part V, are intended to be used for scholarships, fellowships, endowed academic chair support, and capital and operational support

Supplemental Information

Return Reference	Explanation
Schedule D, Part X, Line 2 FIN 48 (ASC 740) footnote	Vanderbilt is a tax-exempt organization as described in Section 501(c)(3) of the Internal Revenue Code (the Code), and generally is exempt from federal income taxes on related income pursuant to Section 501(a) of the code. Vanderbilt is, however, subject to federal and state income tax on unrelated business income, and provision for such taxes is included in the consolidated financial statements. Vanderbilt regularly evaluates its tax position and does not believe it has any material uncertain tax positions that require disclosure or adjustment to the consolidated financial statements.

SCHEDULE E (Form 990 or 990-EZ)	<div>Schools</div> <div>▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.</div> <div>▶ Attach to Form 990 or Form 990-EZ.</div> <div>▶ Information about Schedule E (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.</div>	OMB No 1545-0047
		2016
	Department of the Treasury Name of the organization Vanderbilt University	Employer identification number 62-0476822

Part I		YES	NO
1	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	Yes	
2	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	Yes	
3	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II	Yes	
4	Does the organization maintain the following?		
a	Records indicating the racial composition of the student body, faculty, and administrative staff?	Yes	
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	Yes	
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	Yes	
d	Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain If you need more space, use Part II	Yes	
5	Does the organization discriminate by race in any way with respect to		
a	Students' rights or privileges?		No
b	Admissions policies?		No
c	Employment of faculty or administrative staff?		No
d	Scholarships or other financial assistance?		No
e	Educational policies?		No
f	Use of facilities?		No
g	Athletic programs?		No
h	Other extracurricular activities? If you answered "Yes" to any of the above, please explain If you need more space, use Part II		No
6a	Does the organization receive any financial aid or assistance from a governmental agency?	Yes	
b	Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either line 6a or line 6b, explain on Part II		No
7	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," explain on Part II	Yes	

Part II Supplemental Information. Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also provide any other additional information (see instructions).

Return Reference	Explanation
Schedule E, Part I, Line 3 RACIALLY NONDISCRIMINATORY POLICY	Vanderbilt University's nondiscrimination policy is widely disseminated through newspapers or broadcast media, various university-related websites, online application portals, orientation sessions, publication in catalogs, application materials and handbooks. In compliance with federal law, including the provisions of Title VII of the Civil Rights Act of 1964, Title IX of the Education Amendments of 1972, Sections 503 and 504 of the Rehabilitation Act of 1973, the Americans with Disabilities Act (ADA) of 1990, the ADA Amendments Act of 2008, Executive Order 11246, and the Uniformed Services Employment and Reemployment Rights Act, as amended, and the Genetic Information Nondiscrimination Act of 2008, Vanderbilt University does not discriminate against individuals on the basis of their race, sex, religion, color, national or ethnic origin, age, disability, or military service, or genetic information in its administration of educational policies, programs, or activities, admissions policies, scholarship and loan programs, athletic or other university-administered programs, or employment. In addition, the university does not discriminate against individuals on the basis of their sexual orientation, gender identity, or gender expression consistent with the university's nondiscrimination policy.
Schedule E, Part I, Line 6(a) FINANCIAL AID OR ASSISTANCE FROM A GOVERNMENT	Vanderbilt University participates in the following programs: Federal Pell Grants, Federal Supplemental Educational Opportunity Grants (FSEOG), Federal Direct Stafford Subsidized/Unsubsidized Loans, Federal Perkins Loans, Federal Direct Graduate Plus Loans, Federal Direct Parent Loan for Undergraduate Students (PLUS Loans), Federal Work Study Program, Tennessee Student Assistance Awards, and the Tennessee Education Lottery Scholarship Program. Vanderbilt University also receives various federal and state grants and contracts for academic and scientific research.

**SCHEDULE F
(Form 990)**

Department of the Treasury
Internal Revenue Service

Name of the organization
Vanderbilt University

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990,
Part IV, line 14b, 15, or 16.

► Attach to Form 990. ► See separate instructions.

► Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

**Open to Public
Inspection**

Employer identification number

62-0476822

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ **Yes** ☐ **No**

2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States

3 Activities per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
See Add'l Data					
3a Sub-total	0	22			792,190,690
b Total from continuation sheets to Part I	2	18			4,993,202
c Totals (add lines 3a and 3b)	2	40			797,183,892

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

[illegible]

- | | | | |
|---|--|---|----|
| 2 | Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter | ▶ | 10 |
| 3 | Enter total number of other organizations or entities | ▶ | 9 |

Part III	Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16.
-----------------	---

Part III can be duplicated if additional space is needed.

[illegible]

Part IV Foreign Forms

- 1 Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U S Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ☒ Yes ☐ No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U S Owner (see Instructions for Forms 3520 and 3520-A)* ☐ Yes ☒ No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U S Persons with Respect to Certain Foreign Corporations (see Instructions for Form 5471)* ☒ Yes ☐ No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* ☒ Yes ☐ No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U S Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* ☒ Yes ☐ No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713)* ☒ Yes ☐ No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation
Schedule F, Part I, Line 2 Procedures for monitoring use of grant funds	Vanderbilt University maintains a formal policy defining its procedures for monitoring the use of sponsored funds by subrecipients located outside the United States who are performing a portion of a sponsored project externally awarded to Vanderbilt. The policy provides guidance to ensure that subrecipients conduct their portions of the sponsored projects in compliance with laws, regulations and terms and conditions of awards and subawards and that reimbursed costs incurred by subrecipients are allowed. The policy addresses the roles and responsibilities of the central offices and academic departments of the university and describes the monitoring procedures for each area. The full text of Vanderbilt's Subrecipient Monitoring Guidelines is available online at the following web address: HTTP://WWW.VANDERBILT.EDU/OCGA/DOCS/VUPOLICIES/SUBRECIPIENT/REVISEDVUSUBRECIPIENTMONITORINGGUIDELINES_EFFECTIVE12_26_2014.PDF

Return Reference	Explanation
Schedule F, Part I, Line 2 PROCEDURES FOR MONITORING USE OF GRANT FUNDS	<p>Vanderbilt University maintains a formal policy defining its procedures for monitoring the use of sponsored funds by subrecipients located outside the United States who are performing a portion of a sponsored project externally awarded to Vanderbilt. The policy provides guidance to ensure that subrecipients conduct their portions of the sponsored projects in compliance with laws, regulations and terms and conditions of awards and subawards and that reimbursed costs incurred by subrecipients are allowed. The policy addresses the roles and responsibilities of the central offices and academic departments of the university and describes the monitoring procedures for each area. The full text of Vanderbilt's Subrecipient Monitoring Guidelines is available online at the following web address</p> <p>HTTP //WWW VANDERBILT EDU/OCGA/DOCS/VUPOLICIES/SUBRECIPIENT/REVISEDVUSUBRECIPIENTMONITORINGGUIDELINES_EFFECTIVE12_26_2014 PDF</p>

Additional Data

Software ID: 16000421

Software Version: 2016v3.0

EIN: 62-0476822

Name: Vanderbilt University

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean	0	0	Fundraising		801
East Asia and the Pacific	0	0	Fundraising		1,978
Europe (Including Iceland and Greenland)	0	0	Fundraising		9,894

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Russia and Neighboring States	0	0	Fundraising		448
South America	0	0	Fundraising		8,870
Central America and the Caribbean	0	0	Investments		415,899,619

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	0	Investments		128,082,742
Europe (Including Iceland and Greenland)	0	0	Investments		200,365,467
North America (Canada & Mexico only)	0	0	Investments		16,783,904

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America	0	0	Investments		1,767,093
Sub-Saharan Africa	0	0	Investments		28,109,798
Central America and the Caribbean	0	8	Grantmaking		255,274

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	3	Grantmaking		128,033
Europe (Including Iceland and Greenland)	0	4	Grantmaking		224,718
North America (Canada & Mexico only)	0	2	Grantmaking		363,633

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America	0	4	Grantmaking		188,418
Sub-Saharan Africa	0	1	Grantmaking		80,170
Central America and the Caribbean	0	0	,Send Agents to Attend and Speak at Seminars and Conferences		14,489

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	0	,Send Agents to Attend and Speak at Seminars and Conferences		141,368
Europe (Including Iceland and Greenland)	0	0	,Send Agents to Attend and Speak at Seminars and Conferences		638,041
Middle East and North Africa	0	0	,Send Agents to Attend and Speak at Seminars and Conferences		12,385

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America (Canada & Mexico only)	0	0	,Send Agents to Attend and Speak at Seminars and Conferences		99,716
Russia and Neighboring States	0	0	,Send Agents to Attend and Speak at Seminars and Conferences		4,107
South America	0	0	,Send Agents to Attend and Speak at Seminars and Conferences		53,042

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia	0	0	,Send Agents to Attend and Speak at Seminars and Conferences		51,372
Sub-Saharan Africa	0	0	,Send Agents to Attend and Speak at Seminars and Conferences		18,832
Central America and the Caribbean	0	3	Program Services	Education, Research	549,037

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	0	Program Services	Education, Research	514,188
Europe (Including Iceland and Greenland)	2	4	Program Services	Education, Research	1,531,306
Middle East and North Africa	0	0	Program Services	Education, Research	287,830

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America (Canada & Mexico only)	0	2	Program Services	Education, Research	204,401
Russia and Neighboring States	0	0	Program Services	Education, Research	33,422
South America	0	2	Program Services	Education, Research	341,443

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia	0	7	Program Services	Education, Research	187,452
Sub-Saharan Africa	0	0	Program Services	Education, Research	230,601

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Central America and the Caribbean	Research	15,020	Wire		N/A	N/A
		Central America and the Caribbean	Research	62,934	Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Central America and the Caribbean	Research	49,670	Wire & Check		N/A	N/A
		Central America and the Caribbean	Research	35,590	Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Central America and the Caribbean	Research	27,040	Wire		N/A	N/A
		Central America and the Caribbean	Research	41,290	Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	Research	12,590	Wire		N/A	N/A
		East Asia and the Pacific	Research	57,158	Wire & Check		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	Instruction	58,285	Wire		N/A	N/A
		Europe (Including Iceland and Greenland)	Research	136,847	Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	Research	6,439	Wire		N/A	N/A
		Europe (Including Iceland and Greenland)	Research	8,029	Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	Research	73,403	Wire		N/A	N/A
		North America (Canada & Mexico only)	Research	36,934	Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America (Canada & Mexico only)	Research	326,699	Wire & Check		N/A	N/A
		South America	Research	47,210	Wire & Check		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	Research	38,290	Wire		N/A	N/A
		South America	Research	82,146	Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Instruction	80,170	Wire		N/A	N/A

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SCHEDULE G (Form 990 or 990-EZ)		Supplemental Information Regarding Fundraising or Gaming Activities Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a ▶ Attach to Form 990 or Form 990-EZ. ▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990 .			OMB No 1545-0047 2016 Open to Public Inspection
Department of the Treasury Internal Revenue Service				Name of the organization Vanderbilt University	
				Employer identification number 62-0476822	

Part I Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- | | |
|---|--|
| a <input checked="" type="checkbox"/> Mail solicitations | e <input checked="" type="checkbox"/> Solicitation of non-government grants |
| b <input checked="" type="checkbox"/> Internet and email solicitations | f <input checked="" type="checkbox"/> Solicitation of government grants |
| c <input checked="" type="checkbox"/> Phone solicitations | g <input checked="" type="checkbox"/> Special fundraising events |
| d <input checked="" type="checkbox"/> In-person solicitations | |
- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☒ Yes ☐ No
- b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
Ruffalo Cody 65 Kirkwood North Rd SW Cedar Rapids, IA 52404	Call Center		No	1,227,177	477,219	749,958
Grenzebach Glier & Associates 401 N Michigan Ave Suite 2800 Chicago, IL 60611	Consulting		No		95,085	-95,085
Washburn & McGoldrick LLC 24 N Bryn Mawr Ave 252 Bryn Mawr, PA 19010	Consulting		No		36,200	-36,200
Total ▶				1,227,177	608,504	618,673

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

Revenue		(a) Event #1 <u>Vandy Golf Day</u> (event type)	(b) Event #2 <u>Baseball Banquet</u> (event type)	(c) Other events <u>3</u> (total number)	(d) Total events (add col (a) through col (c))
	1 Gross receipts	83,631	42,262	87,012	212,905
	2 Less Contributions	54,114	8,736	45,277	108,127
	3 Gross income (line 1 minus line 2)	29,517	33,526	41,735	104,778
Direct Expenses	4 Cash prizes	0	0	0	0
	5 Noncash prizes	0	3,836	8,227	12,063
	6 Rent/facility costs	0	0	0	0
	7 Food and beverages	0	19,131	9,390	28,521
	8 Entertainment	24,499	0	650	25,149
	9 Other direct expenses	25,117	0	3,126	28,243
	10 Direct expense summary Add lines 4 through 9 in column (d) ▶				93,976
	11 Net income summary Subtract line 10 from line 3, column (d) ▶				10,802

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

Revenue		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	7 Direct expense summary Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization conducts gaming activities _____

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain _____

11 Does the organization conduct gaming activities with nonmembers?	<input type="checkbox"/> Yes <input type="checkbox"/> No						
12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?	<input type="checkbox"/> Yes <input type="checkbox"/> No						
13 Indicate the percentage of gaming activity conducted in:							
a The organization's facility	<table border="1" style="display: inline-table;"><tr><td style="width: 10%;">13a</td><td style="width: 80%;"></td><td style="width: 10%; text-align: right;">%</td></tr><tr><td>13b</td><td></td><td style="text-align: right;">%</td></tr></table>	13a		%	13b		%
13a		%					
13b		%					
b An outside facility							
14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:							
Name ▶ _____							
Address ▶ _____							
15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?	<input type="checkbox"/> Yes <input type="checkbox"/> No						
b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____							
c If "Yes," enter name and address of the third party:							
Name ▶ _____							
Address ▶ _____							
16 Gaming manager information:							
Name ▶ _____							
Gaming manager compensation ▶ \$ _____							
Description of services provided ▶ _____							
<input type="checkbox"/> Director/officer <input type="checkbox"/> Employee <input type="checkbox"/> Independent contractor							
17 Mandatory distributions:							
a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?							
<input type="checkbox"/> Yes <input type="checkbox"/> No							
b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____							

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation
Schedule G, Part I, Line 2b(v) payment of fees or payment of expenses	RUFFALO CODY-THE TOTAL AMOUNT PAID TO RUFFALOCODY FOR FISCAL YEAR 2017 WAS \$478,314 WHICH INCLUDES PROFESSIONAL FUNDRAISING FEES OF \$477,219 AND FUNDRAISING EXPENSES OF \$1,095 THE CONTRACT BETWEEN VANDERBILT UNIVERSITY AND RUFFALOCODY CALLS FOR THE REIMBURSEMENT OF FUNDRAISING EXPENSES INCURRED BY RUFFALOCODY, SUCH AS PRINTING AND POSTAGE, WHICH ARE INVOICED SEPARATE FROM TELEMARKETING SERVICE FEES ,GRENZEBACH, GLIER & ASSOCIATES-THE TOTAL AMOUNT PAID TO GG+A FOR FISCAL YEAR 2017 WAS \$104,851, WHICH INCLUDES PROFESSIONAL FUNDRAISING FEES OF \$95,085 AND EXPENSES OF \$9,766 THE CONTRACT BETWEEN VANDERBILT UNIVERSITY AND GG+A CALLS FOR THE REIMBURSEMENT OF TRAVEL RELATED FUNDRAISING EXPENSES INCURRED BY GG+A, SUCH AS AIRFARE AND HOTEL, WHICH ARE INVOICED AS INCURRED GG+A PROVIDE CONSULTING SERVICES FOR VANDERBILT UNIVERSITY'S OVERALL FUNDRAISING PROGRAM GG+A DO NOT RAISE FUNDS FOR VANDERBILT OR HELP RAISE FUNDS FOR A SPECIFIC PURPOSE ,WASHBURN & MCGOLDRICK, LLC-THE TOTAL AMOUNT PAID TO WASHBURN AND MCGOLDRICK FOR FISCAL YEAR 2017 WAS \$39,815, WHICH INCLUDES PROFESSIONAL FUNDRAISING FEES OF \$36,200 AND EXPENSES OF \$3,615 THE CONTRACT BETWEEN VANDERBILT UNIVERSITY AND WASHBURN AND MCGOLDRICK CALLS FOR THE REIMBURSEMENT OF TRAVEL RELATED FUNDRAISING EXPENSES INCURRED BY WASHBURN AND MCGOLDRICK, SUCH AS AIRFARE AND HOTEL, WHICH ARE INVOICED AS INCURRED WASHBURN AND MCGOLDRICK PROVIDE CONSULTING SERVICES FOR VANDERBILT UNIVERSITY'S OVERALL FUNDRAISING PROGRAM WASHBURN AND MCGOLDRICK DO NOT RAISE FUNDS FOR VANDERBILT OR HELP RAISE FUNDS FOR A SPECIFIC PURPOSE ,

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As Filed Data -

DLN: 93493134021908

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
Vanderbilt University

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Open to Public Inspection

Employer identification number
62-0476822

Part I

General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table 120

3 Enter total number of other organizations listed in the line 1 table 6

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22

Part III can be duplicated if additional space is needed

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
(1) Leadership Awards	22	19,750	0	N/A	N/A
(2) Entrepreneurial Grants	7	55,000	0	N/A	N/A
(3) Student Financial Assistance	6774	309,273,261	0	N/A	N/A
(4)					
(5)					
(6)					
(7)					

Part IV Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	Explanation
Schedule I, Part I, Line 2 Procedures for monitoring use of grant funds	<p>subrecipient grants Vanderbilt University maintains a formal policy defining its procedures for monitoring the use of sponsored funds by subrecipients who are performing a portion of a sponsored project externally awarded to Vanderbilt. The policy provides guidance to ensure that subrecipients conduct their portions of sponsored projects in compliance with laws, regulations, terms and conditions of awards and subawards, and that reimbursed costs incurred by subrecipients are allowed. The policy addresses the roles and responsibilities of central offices and academic departments of the university and describes the monitoring procedures for each area. The full text of Vanderbilt's subrecipient policy is available online at the following web address: https://www.vanderbilt.edu/ocga/docs/vupolicies/subrecipient/RevisedVUSubrecipientMonitoringGuidelines_effective12_26_2014.pdf</p> <p>Other Assistance Assistance offered from institutional funds by Vanderbilt to our students is awarded on the basis of merit and/or financial need. Such assistance helps students and their families support the cost of attendance which includes tuition, mandatory fees, room & board, books, other course materials/supplies, and allowances for personal/miscellaneous and travel expenses. Merit-based awards are competitively awarded in recognition of academic achievement, leadership, commitment to community service, contributions to society, and other forms of accomplishment. Need-based assistance is awarded on the basis of documented financial need, resulting from a need analysis evaluation accomplished in accordance with relevant and applicable federal, state, and/or institutional programs, policies, and eligibility requirements.</p> <p>Financial Aid The units responsible for administering student financial aid at Vanderbilt regularly initiate system-generated reviews, as well as other cross-checks on an ad hoc basis. Results from these reviews, along with recurring management reports, are regularly evaluated. Subsequently, follow-up corrective actions are taken when appropriate and potentially include award revisions or cancellations. These provisions are designed to help ensure that student assistance funds are awarded to eligible recipients, in accordance with applicable federal, state, institutional, and other program legislation, as well as relevant internal University policies and operational guidelines/criteria.</p>

Additional Data

Software ID: 16000421
Software Version: 2016v3.0
EIN: 62-0476822
Name: Vanderbilt University

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Adventure Science Center 800 Fort Negley Blvd Nashville, TN 37203	62-0479192	501(c)(3)	6,240	0	N/A	N/A	Instruction
Arizona State University Box 873503 Tempe, AZ 852873503	86-0196696	GOVT	35,952	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Auburn University 301 Samford Hall Auburn University, AL 36849	63-6000724	GOVT	30,400	0	N/A	N/A	Research
Base Pair Biotechnologies Inc 9307 W Broadway Pearland, TX 77584	45-4702942	N/A	40,000	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Baylor College of Medicine 1 Baylor Plaza Houston, TX 77030	74-1613878	501(c)(3)	236,740	0	N/A	N/A	Research
Brigham and Women's Hospital Inc 101 Huntington Ave Boston, MA 02199	04-2312909	501(c)(3)	288,148	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Cal Poly Pomono Foundation Inc 3801 West Temple Avenue Pomona, CA 91768	95-2417645	501(c)(3)	7,762	0	N/A	N/A	Research
California Institute of Technology MC 201-15 Pasadena, CA 91125	95-1643307	501(c)(3)	390,079	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Carnegie-Mellon University 5000 Forbes Avenue Pittsburgh, PA 15213	25-0969449	501(c)(3)	542,598	0	N/A	N/A	Research
Case Western University 10900 Euclid Avenue Cleveland, OH 44106	34-1018992	501(c)(3)	422,616	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Children's Hospital of Los Angeles 4650 Sunset Blvd Los Angeles, CA 90027	95-1690977	501(c)(3)	589,025	0	N/A	N/A	Research
Christian Brothers University 650 East Parkway South Memphis, TN 38104	62-0476666	501(c)(3)	17,052	0	N/A	N/A	Instruction

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Claremont Graduate University 150 East Tenth Street Claremont, CA 91711	95-1664100	501(c)(3)	424,452	0	N/A	N/A	Research
Cleveland Clinic Lerner College of Case Western Reserve University 9500 Euclid Ave Cleveland, OH 44195	34-0714585	501(c)(3)	75,423	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Cleveland State Community College 3535 Adkisson Drive Cleveland, TN 37320	62-0762876	GOVT	30,817	0	N/A	N/A	Public Service
Columbia State Community College 1665 Hampshire Pike Columbia, TN 38401	23-7106327	501(c)(3)	91,124	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Conexion Americas 2195 Nolensville Pike Nashville, TN 37211	62-1715618	501(c)(3)	10,000	0	N/A	N/A	Contribution
Cornell University 120 Day Hall Ithaca, NY 14853	15-0532082	501(c)(3)	59,985	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Dana Farber Cancer Institute Inc 450 Brookline Avenue Boston, MA 02215	04-2263040	501(c)(3)	143,739	0	N/A	N/A	Research
Duke University Box 104135 Durham, NC 277080077	56-0532129	501(c)(3)	75,078	0	N/A	N/A	Research

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Dynamic Object Language Labs Inc 2 Parsonage Hill Road Haverhill, MA 01832	10-0142007	N/A	337,197	0	N/A	N/A	Research
East Tennessee University Box 70565 Johnson City, TN 376141707	62-6021046	GOVT	9,304	0	N/A	N/A	Instruction

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Education Development Center Inc 55 Chapel Street Newton, MA 02458	04-2241718	501(c)(3)	47,620	0	N/A	N/A	Research
ETR Associates 100 Enterprise Way Scotts Valley, CA 95066	92-2760764	501(c)(3)	15,806	0	N/A	N/A	Research

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Fisk University 1000 17th Avenue North Nashville, TN 37208	62-0202000	501(c)(3)	229,774	0	N/A	N/A	Research, Instruction
Flocel Inc 4415 Euclid Avenue Cleveland, OH 44103	20-1826225	N/A	74,920	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Florida Atlantic University 777 Glades Road Boca Raton, FL 33431	65-0385507	GOVT	367,687	0	N/A	N/A	Research
Florida College 119 N Glen Arven Ave Temple Terrace, FL 33617	59-0737882	501(c)(3)	5,485	0	N/A	N/A	Contribution

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Florida State University 874 Traditions Way Tallahassee, FL 32306	59-6001138	GOVT	400,619	0	N/A	N/A	Research
GE Global Research One Research Circle Niksayuna, NY 12309	14-0689340	N/A	108,661	0	N/A	N/A	Research

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Georgetown University Medical Center 3307 M Street NW Washington, DC 20007	53-0196603	501(c)(3)	31,579	0	N/A	N/A	Research
Georgia State University PO Box 3999 Atlanta, GA 30302	58-1845423	501(c)(3)	48,043	0	N/A	N/A	Research

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Georgia Tech Research Corporation 550 Tenth Street NW Atlanta, GA 30332	58-0603146	501(c)(3)	112,111	0	N/A	N/A	Research
H Lee Moffitt Cancer Center and Research Institute 12902 Magnolia Drive Tampa, FL 33612	59-2451713	501(c)(3)	125,982	0	N/A	N/A	Research

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Howard University 576 W Street NW Washington, DC 20059	53-0204707	501(c)(3)	59,588	0	N/A	N/A	Research
Humanities Tennessee 306 Gay St Ste 306 Nashville, TN 37201	62-0933337	501(c)(3)	10,000	0	N/A	N/A	Contribution

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IBEX Preclinical Research Inc 1072 RSI Drive Logan, UT 84321	20-0756707	N/A	29,691	0	N/A	N/A	Research
Indiana University PO Box 1847 Bloomington, IN 474021847	35-6001673	501(c)(3)	26,428	0	N/A	N/A	Research

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Johns Hopkins University 3400 N Charles Street Baltimore, MD 21218	52-0595110	501(c)(3)	183,376	0	N/A	N/A	Research
Leland Stanford Junior University 651 Serra Street Room 260 Stanford, CA 94305	94-1156365	501(c)(3)	219,969	0	N/A	N/A	Research

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Lipscomb University One University Park Drive Nashville, TN 37204	62-0485733	501(c)(3)	14,910	0	N/A	N/A	Research
Massachusetts Institute of Technology 77 Massachusetts Avenue Cambridge, MA 02139	04-2103594	501(c)(3)	87,517	0	N/A	N/A	Research

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Mayo Clinic Research PO Box 860334 Minneapolis, MN 55486	41-6011702	501(c)(3)	14,390	0	N/A	N/A	Research
Meharry Medical College 1005 DB Todd Blvd Nashville, TN 37208	62-0488046	501(c)(3)	64,866	0	N/A	N/A	Research, Instruction

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Metro Nashville Public Schools (MNPS) 2601 Bransford Ave Nashville, TN 37204	62-0717138	GOVT	5,000	3,500	FMV	School supplies	Contribution
Metropolitan Interdenominational Church PO Box 280779 Nashville, TN 372280779	62-1100022	501(c)(3)	81,694	0	N/A	N/A	Research

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Michigan State University 301 Administration Bldg Est Lansing, MI 488241046	38-6005984	501(c)(3)	22,375	0	N/A	N/A	Research
Middle Tennessee State University 1301 East Main Street Murfreesboro, TN 37132	62-6005794	GOVT	101,075	0	N/A	N/A	Research, Instruction

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Moss Rose Education Foundation PO Box 158781 Nashville, TN 37215	45-3123397	501(c)(3)	10,000	0	N/A	N/A	Contribution
Nashville Downtown Partnership 150 4th Ave N Suite G-150 Nashville, TN 37219	62-1774641	501(c)(3)	10,750	0	N/A	N/A	Contribution

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Nashville Public Library Foundation 615 Church St Nashville, TN 37219	62-1681766	501(c)(3)	57,000	0	N/A	N/A	Contribution
North Carolina State Board of Education 6302 Mail Service Center Raleigh, NC 27699	56-1492826	GOVT	84,441	0	N/A	N/A	Research

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North Carolina State University Campus Box 7214 Raleigh, NC 27695	56-6000756	GOVT	144,231	0	N/A	N/A	Research
Northeast State Community College 2425 Highway 75 Blountville, TN 37617	62-1033870	GOVT	35,362	0	N/A	N/A	Public Service

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Northwest Arkansas Education Service Cooperative 4 N Double Spring Rd Farmington, AR 72730	71-0615858	GOVT	50,260	0	N/A	N/A	Research
Northwestern University 619 Clark Street Evanston, IL 602081112	36-2167817	501(c)(3)	345,663	0	N/A	N/A	Research

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Ohio State University Research Foundation 1960 Kenny Road Columbus, OH 432101063	31-6401599	501(c)(3)	27,182	0	N/A	N/A	Research
Oregon Health & Science University 2525 SW 1st Ave Portland, OR 97201	23-7083114	501(c)(3)	846,792	0	N/A	N/A	Research

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Oregon State University 312 Kerr Administration Bldg Corvallis, OR 973312140	48-1278540	GOVT	52,614	0	N/A	N/A	Research
Pellissippi State Community College 10915 Hardin Valley Road Knoxville, TN 37933	62-0938734	GOVT	35,146	0	N/A	N/A	Public Service

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Project One Four PO Box 330915 Murfreesboro, TN 37133	26-3333542	501(c)(3)	9,200	0	N/A	N/A	Contribution
Purdue University 302 Wood Street West Lafayette, IN 479072040	35-6002041	501(c)(3)	95,847	0	N/A	N/A	Research

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Rand Corporation 1776 Main Street Santa Monica, CA 904072138	95-1958142	501(c)(3)	311,000	0	N/A	N/A	Research
Regents of the University of California Berkeley 2150 Shattuck Ave Ste 313 Berkeley, CA 94704	94-6002123	501(c)(3)	134,429	0	N/A	N/A	Research

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Regents of the University of Colorado Boulder 3100 Marine Street - 4th Floor Boulder, CO 80309	84-6000555	501(c)(3)	250,125	0	N/A	N/A	Research
Regents of the University of Minnesota 200 Oak Street Minneapolis, MN 554552070	41-6007513	GOVT	117,979	0	N/A	N/A	Instruction

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Research Foundation for Mental Hygiene Inc 150 Broadway Suite 301 Menands, NY 12204	14-1410842	501(c)(3)	112,254	0	N/A	N/A	Research
Research Institute at Nationwide Children's Research 700 Childrens Drive Columbus, OH 43205	31-6056230	501(c)(3)	92,722	0	N/A	N/A	Research

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Roane State Community College 276 Patton Lane Harriman, TN 377488615	62-0819102	GOVT	39,039	0	N/A	N/A	Public Service
Rutgers University 3 Rutgers Plaza New Brunswick, NJ 08901	23-7318742	501(c)(3)	510,150	0	N/A	N/A	Research

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Securborator Inc 1050 West NASA Blvd Melbourne, FL 32901	59-3729686	N/A	65,053	0	N/A	N/A	Research
Southern California Institute for Research and Education 5901 ESeventh Street Long Beach, CA 90822	33-1331855	501(c)(3)	12,115	0	N/A	N/A	Research

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Southern Methodist University PO Box 750259 Dallas, TX 752750259	75-0800689	501(c)(3)	580,113	0	N/A	N/A	Research, Instruction
SRI International 333 Ravenwood Avenue Menlo Park, CA 94025	94-1160950	501(c)(3)	71,337	0	N/A	N/A	Research

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Syriac Institute 954 River Road Piscataway, NJ 08854	22-3785056	501(c)(3)	7,196	0	N/A	N/A	Research
Tampa VA Research and Education Foundation 5620 E Fowler Ave Temple Terrace, FL 33617	59-3444354	501(c)(3)	55,779	0	N/A	N/A	Research

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Tennessee Performing Arts Center 505 Deadrick St Nashville, TN 37243	58-1320590	501(c)(3)	15,000	0	N/A	N/A	Contribution
Tennessee State University 3500 John Merritt Blvd Nashville, TN 37209	62-0786119	GOVT	158,061	0	N/A	N/A	Research, Instruction

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Tennessee Technological University Box 5012 Cookeville, TN 385050001	62-0646806	GOVT	15,397	0	N/A	N/A	Instruction
The Conservancy For The Parthenon And Centennial Park PO Box 196340 Nashville, TN 37219	58-1609026	501(c)(3)	10,000	0	N/A	N/A	Contribution

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Thistle Farms 5122 Charlotte Pike Nashville, TN 37209	58-2050089	501(c)(3)	6,033	0	N/A	N/A	Contribution
Tougaloo College 500 West County Line Road Tougaloo, MS 39174	64-0303093	501(c)(3)	176,150	0	N/A	N/A	Research

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US Army Institute of Surgical Research 3698 Chambers Pass San Antonio, TX 782346315	30-0440374	GOVT	278,787	0	N/A	N/A	Research
University of Alabama Box 870104 Tuscaloosa, AL 358470104	63-6001138	501(c)(3)	25,898	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Arizona P O Box 3308 Tucson, AZ 85722	86-6004791	GOVT	19,536	0	N/A	N/A	Research
University of California - Los Angeles 11000 Kinross Bldg Los Angeles, CA 90095	95-6006143	501(c)(3)	197,195	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of California - Riverside 200 University Office Building Riverside, CA 92521	95-6006142	501(c)(3)	208,993	0	N/A	N/A	Research
University of California - San Francisco 3333 California Street San Francisco, CA 941430962	94-1550853	501(c)(3)	87,348	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of California - Santa Barbara 3227 Cheadle Hall Santa Barbara, CA 931062050	95-6006145	501(c)(3)	497,849	0	N/A	N/A	Research
University of California San Diego 9500 Gilman Drive La Jolla, CA 920930934	95-6006144	501(c)(3)	11,013	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Chicago 1225 East 60th Street Chicago, IL 606372801	36-2177139	501(c)(3)	331,705	0	N/A	N/A	Research
University of Cincinnati PO Box 210386 Cincinnati, OH 452210386	31-6000989	GOVT	40,118	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Connecticut 438 Whitney Road Extension Storrs Mansfield, CT 062691133	06-0772160	GOVT	247,099	0	N/A	N/A	Instruction
University of Florida 219 Grinter Hall Gainesville, FL 326115500	59-6002052	GOVT	171,574	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Hawaii 2440 Campus Road Box 368 Honolulu, HI 96822	99-6000354	GOVT	73,149	0	N/A	N/A	Research
University of Illinois at Chicago 809 South Marshfield Ave Chicago, IL 606127205	37-6000511	501(c)(3)	137,785	0	N/A	N/A	Research, Instruction

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Kentucky Research Foundation 1000 South Limestone Street Lexington, KY 405360293	61-6033693	501(c)(3)	58,664	0	N/A	N/A	Research
University of Maryland 4101 Chesapeake Building College Park, MD 20742	52-6002033	GOVT	238,487	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Massachusetts Boston 100 Morrissey Blvd Boston, MA 021253393	04-3167352	GOVT	22,706	0	N/A	N/A	Research
University of Memphis Administration Bldg Room 315 Memphis, TN 38152	62-0648618	GOVT	8,560	0	N/A	N/A	Instruction

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Miami 1320 South Dixie Highway Coral Gables, FL 33146	59-0624458	501(c)(3)	51,503	0	N/A	N/A	Research
University of Michigan 3089 Wolverine Tower Ann Arbor, MI 481091287	38-6006309	501(c)(3)	63,402	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Minnesota 200 Oak Street SE Minneapolis, MN 554552070	41-6007513	GOVT	532,364	0	N/A	N/A	Research
University of Missouri-Columbia 310 Jesse Hall Columbia, MO 65211	43-6003859	GOVT	28,510	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Nebraska Medical Center 42nd Dewey Ave Omaha, NE 68198	47-0049123	501(c)(3)	189,526	0	N/A	N/A	Research
University of Notre Dame 511 Main Building Notre Dame, IN 46556	35-0868188	501(c)(3)	8,815	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of North Carolina-Chapel Hill 104 Airport Dr Chapel Hill, NC 275991350	56-6001393	501(c)(3)	617,437	0	N/A	N/A	Research
University of North Dakota 264 Centennial Drive Grand Forks, ND 582027306	45-6002491	GOVT	9,303	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of North Florida 1 UNF Drive Jacksonville, FL 32224	59-2976169	GOVT	80,333	0	N/A	N/A	Research
University of Pennsylvania 3440 Market Street Philadelphia, PA 191043325	23-1352685	501(c)(3)	152,110	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Pittsburgh 3130 Cathedral of Learning Pittsburgh, PA 15620	25-0965591	501(c)(3)	674,056	0	N/A	N/A	Research
University of South Florida 4202 E Fowler Ave Tampa, FL 336209951	59-0879015	501(c)(3)	127,133	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Tennessee 201 Andy Holt Tower Knoxville, TN 379960100	62-6001636	GOVT	273,252	0	N/A	N/A	Research, Instruction
University of Texas at Austin PO Box 8029 Austin, TX 787138029	74-6000203	GOVT	149,108	0	N/A	N/A	Research , Instruction

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Texas Health Science Center at San Antonio 7703 Floyd Curl Drive San Antonio, TX 782293900	74-1586031	GOVT	36,673	0	N/A	N/A	Research
University of Texas Medical Branch at Galveston PO Box 660120 Dallas, TX 75266	74-6000949	GOVT	9,190	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Virginia PO Box 400195 Charlottesville, VA 229044195	54-6001796	501(c)(3)	189,482	0	N/A	N/A	Research
University of Washington Box 359472 Seattle, WA 98195	91-6001537	GOVT	344,915	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Wisconsin-Madison 21 North Park Street Madison, WI 53715	39-6006492	GOVT	407,442	0	N/A	N/A	Research
Vanderbilt University Medical Center 1161 21st Ave S Nashville, TN 37232	35-2528741	501(c)(3)	24,769,746	0	N/A	N/A	Research, Instruction

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Virginia Commonwealth University 800 East Leigh Street Richmond, VA 23219	54-6001758	GOVT	45,046	0	N/A	N/A	Instruction
Virginia Polytechnic Institute 460 Turner Street Blacksburg, VA 24060	54-6001805	GOVT	8,909	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Washington State University Post Office Box 641025 Pullman, WA 991641025	91-6001108	501(c)(3)	158,718	0	N/A	N/A	Research
Weill Medical College of Cornell University 1300 York Ave New York, NY 10065	13-6094042	501(c)(3)	42,062	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Yale University 47 College Street New Haven, CT 065208047	06-0646973	501(c)(3)	98,565	0	N/A	N/A	Research
York County Community College 112 College Drive Wells, ME 04073	01-0416015	GOVT	7,868	0	N/A	N/A	Instruction

Schedule J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
▶ Attach to Form 990.
▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization Vanderbilt University	Employer identification number 62-0476822
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Part I

Questions Regarding Compensation

	Yes	No
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. <div><div><input type="checkbox"/> First-class or charter travel</div><div><input type="checkbox"/> Travel for companions</div><div><input type="checkbox"/> Tax idemnification and gross-up payments</div><div><input type="checkbox"/> Discretionary spending account</div><div><input type="checkbox"/> Housing allowance or residence for personal use</div><div><input type="checkbox"/> Payments for business use of personal residence</div><div><input type="checkbox"/> Health or social club dues or initiation fees</div><div><input type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div>		
b If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	Yes	
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?	Yes	
3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. <div><div><input type="checkbox"/> Compensation committee</div><div><input type="checkbox"/> Independent compensation consultant</div><div><input type="checkbox"/> Form 990 of other organizations</div><div><input type="checkbox"/> Written employment contract</div><div><input type="checkbox"/> Compensation survey or study</div><div><input type="checkbox"/> Approval by the board or compensation committee</div></div>		
4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization: a Receive a severance payment or change-of-control payment?		No
b Participate in, or receive payment from, a supplemental nonqualified retirement plan?	Yes	
c Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.		No
Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.		
5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of: a The organization?		No
b Any related organization? If "Yes," on line 5a or 5b, describe in Part III.		No
6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of: a The organization?		No
b Any related organization? If "Yes," on line 6a or 6b, describe in Part III.		No
7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III.	Yes	
8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.	Yes	
9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	Yes	

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column(B) reported as deferred on prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table							

Part III **Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
See Additional Data	

Additional Data

Software ID: 16000421
Software Version: 2016v3.0
EIN: 62-0476822
Name: Vanderbilt University

Part III, Supplemental Information

Return Reference	Explanation
Schedule J, Part II Vanderbilt/VUMC Reorganization Compensation Reporting	<p>PRIOR TO APRIL 29, 2016, VANDERBILT UNIVERSITY ("VANDERBILT") (FEIN 62-0476822) OPERATED VANDERBILT UNIVERSITY MEDICAL CENTER (THE "MEDICAL CENTER"), AN ACADEMIC MEDICAL CENTER, AS AN OPERATING UNIT WITHIN VANDERBILT THE MEDICAL CENTER HOUSED VANDERBILT'S CLINICALLY-RELATED CHARITABLE, ACADEMIC AND HEALTH CARE ACTIVITIES AND ASSETS, INCLUDING THREE TEACHING HOSPITALS, OUTPATIENT CLINICS, THE FACULTY PRACTICE PLAN, OTHER HEALTH CARE SUBSIDIARIES AND JOINT VENTURES, AND CLINICAL RESEARCH AND POST-GRADUATE (RESIDENCY) TRAINING PROGRAMS ON APRIL 29, 2016, VANDERBILT TRANSFERRED CLINICAL SERVICES OPERATIONS, POSTGRADUATE TRAINING PROGRAMS, AND CLINICAL DEPARTMENT RESEARCH ACTIVITIES, ALONG WITH THE RELATED ASSETS AND LIABILITIES, TO VANDERBILT UNIVERSITY MEDICAL CENTER ("VUMC") (FEIN 35-2528741), A NEWLY INCORPORATED TENNESSEE NON-PROFIT CORPORATION, IN EXCHANGE FOR CONSIDERATION AS A SEPARATE LEGAL ENTITY, VUMC IS NOT AND WILL NOT BE UNDER COMMON GOVERNANCE WITH OR CONTROLLED BY VANDERBILT NOR WILL VANDERBILT BE FINANCIALLY RESPONSIBLE FOR VUMC INDEBTEDNESS FOLLOWING THE TRANSACTION, VANDERBILT HAS AN ON-GOING RELATIONSHIP WITH VUMC EMBODIED IN A NUMBER OF WRITTEN AGREEMENTS, INCLUDING AN ACADEMIC AFFILIATION AGREEMENT, A TRADEMARK LICENSING AGREEMENT AND A GROUND LEASE IN ADDITION, VANDERBILT AND VUMC PROVIDE SPECIFIED SERVICES TO ONE ANOTHER FOR AGREED-UPON CONSIDERATION PURSUANT TO A RECIPROCAL MASTER SERVICES AGREEMENT AS A RESULT OF THE TRANSFER OF ASSETS AND LIABILITIES FROM VANDERBILT UNIVERSITY ("VANDERBILT") TO VANDERBILT UNIVERSTY MEDICAL CENTER ("VUMC") ON APRIL 29, 2016, CERTAIN VANDERBILT OFFICERS AND EMPLOYEES TRANSITIONED TO AND BECAME EMPLOYEES OF VUMC BEGINNING APRIL 30, 2016 AS A RESULT, VANDERBILT'S SCHEDULE J REPORTABLE COMPENSATION FOR THOSE INDIVIDUALS ONLY COVERS THE PERIOD JANUARY 1, 2016 THROUGH APRIL 29, 2016 FEDERAL FORM W-2S FOR THE PERIOD JANUARY 1, 2016 - DECEMBER 31, 2016 WERE ISSUED BY VUMC THOSE INDIVIDUALS ARE JEFFREY BALSER, MITCH EDGEWORTH, CHARLES L GREGORY, JOHN F MANNING JR , CHARLES W PINSON, AND DAVID POSCH</p>

Part III, Supplemental Information

Return Reference	Explanation
Schedule J, Part I, Line 1a First-class or charter travel	Vanderbilt excludes the benefits from taxable income when a documented business purpose is served First-class or charter travel - Travelers should fly via commercial airline and work to obtain the lowest fare possible Business class or first class seating may only be used if the traveler's Vice Chancellor provides approval in writing in advance This approval is only provided for limited circumstances as provided in Vanderbilt's Travel and Business Expense Policy In cases where it is not possible to fly commercial due to scheduling commitments, and the travel is of the highest importance, travelers may request that the Chancellor approve a charter flight After weighing the benefits of the trip against the cost of the charter, the chancellor may approve a charter flight The Board of Trust has approved the first class travel or charter for the Chancellor when necessitated by his schedule Per Vanderbilt's athletics travel policy, the Vice Chancellor for University Affairs and Athletics can approve non-student travel on team charter flights, when there are extra seats, without requiring reimbursement to the university since the charter fee is not determined by the number of actual passengers 3 officers, 3 highest compensated employees, 1 key employee and 1 trustee were provided first-class or charter travel that was not included in taxable compensation

Part III, Supplemental Information

Return Reference	Explanation
Schedule J, Part I, Line 1a Travel for companions	1 officer was provided companion travel that was included in taxable compensation 1 highest compensated employee was provided companion travel that was not included in taxable compensation

Part III, Supplemental Information

Return Reference	Explanation
Schedule J, Part I, Line 1a Health or social club dues or initiation fees	1 officer was provided health or social club dues or initiation fees that were not included in taxable compensation

Part III, Supplemental Information

Return Reference	Explanation
Schedule J, Part I, Line 4b Supplemental nonqualified retirement plan	Certain individuals listed in Form 990 Schedule J, Part II, participate in nonqualified deferred compensation plans. Amounts contributed to the plans include fixed %s of annual bonus payments, and fixed dollar amounts. Contributions to the plan vest when individuals complete their contract term or continue employment to a designated date. Current year accruals of compensation associated with these plans are included in the amounts reported for such individuals in Schedule J, Part II, Column (C). The payout of these amounts in future years will be included in the amounts reported in Schedule J, Part II, Column (B)(iii) for such individuals. Amounts accrued in prior years and previously reported in Schedule J, Part II, Column (C) will be reported in Schedule J, Part II, Column (F). No payouts were made under these plans to participating individuals in 2016.

Part III, Supplemental Information

Return Reference	Explanation
Schedule J, Part I, Line 7 Non-fixed payments	Certain individuals listed in Schedule J, Part II received variable incentive compensation based on the achievement of pre-established goals, where judgement was determined either by the Board of Trust or the Chancellor Incentive payments are shown in Schedule J, Part II, Column B(ii)

Part III, Supplemental Information

Return Reference	Explanation
Schedule J, Part I, Line 8 Payments on contract that is subject to the initial contract exception	Certain individuals are, from time to time, serving under the provisions of their initial contract to serve as officers of the university, which principally establishes their compensation, responsibilities and duties

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1Nicholas S Zeppos See Schedule O	(i)	1,046,315	2,899,175	58,446	296,424	22,032	4,322,392	0
	(ii)	0	0	0	0	0	0	0
1Audrey J Anderson See Schedule O	(i)	653,156	412,373	11,742	100,807	26,970	1,205,048	0
	(ii)	0	0	0	0	0	0	0
2Beth A Fortune See Schedule O	(i)	359,482	66,292	29,289	13,091	10,849	479,003	0
	(ii)	0	0	0	0	0	0	0
3Nathan Green See Schedule O	(i)	262,624	0	10,899	7,950	24,610	306,083	0
	(ii)	0	0	0	0	0	0	0
4Anders W Hall See Schedule O	(i)	722,367	800,753	28,540	441,107	14,140	2,006,907	0
	(ii)	0	0	0	0	0	0	0
5George C Hill See Schedule O	(i)	396,939	0	12,536	13,091	102	422,668	0
	(ii)	0	0	0	0	0	0	0
6Eric C Kopstain See Schedule O	(i)	446,107	253,540	11,228	13,091	23,337	747,303	0
	(ii)	0	0	0	0	0	0	0
7John M Lutz See Schedule O	(i)	752,105	386,544	11,742	109,865	16,522	1,276,778	0
	(ii)	0	0	0	0	0	0	0
8Susie S Stalcup See Schedule O	(i)	577,148	99,736	14,064	13,091	7,880	711,919	0
	(ii)	0	0	0	0	0	0	0
9Brett C Sweet See Schedule O	(i)	826,892	615,210	29,310	224,202	40,864	1,736,478	0
	(ii)	0	0	0	0	0	0	0
10Susan Wente See Schedule O	(i)	611,377	687,920	29,742	13,091	34,088	1,376,218	0
	(ii)	0	0	0	0	0	0	0
11David Williams II See Schedule O	(i)	846,335	214,809	36,290	13,091	37,688	1,148,213	0
	(ii)	0	0	0	0	0	0	0
12Jeffrey Balser See Schedule O	(i)	572,702	0	34,914	13,091	8,568	629,275	0
	(ii)	0	0	0	0	0	0	0
13Lauren Benton See Schedule O	(i)	539,714	35,000	131,789	73,091	28,936	808,530	0
	(ii)	0	0	0	0	0	0	0
14Lawrence J Marnett See Schedule O	(i)	509,468	138,983	32,843	23,091	14,289	718,674	0
	(ii)	0	0	0	0	0	0	0
15Timothy C Corbin See Schedule O	(i)	879,229	1,247,749	1,445	190,841	16,803	2,336,067	0
	(ii)	0	0	0	0	0	0	0
16Bryce Drew See Schedule O	(i)	1,212,990	750,000	11,168	57,950	15,034	2,047,142	0
	(ii)	0	0	0	0	0	0	0
17Derek Mason See Schedule O	(i)	2,480,117	162,500	29,310	113,091	27,505	2,812,523	0
	(ii)	0	0	0	0	0	0	0
18Hunter McCrossin See Schedule O	(i)	348,599	609,024	18,353	291,526	25,984	1,293,486	0
	(ii)	0	0	0	0	0	0	0
19Travis W Shore See Schedule O	(i)	325,119	646,328	18,357	269,845	14,670	1,274,319	0
	(ii)	0	0	0	0	0	0	0

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
21Richard C McCarty See Schedule O	(i)	558,698	0	32,837	13,091	17,833	622,459	0
	(ii)	0	0	0	0	- 0	- 0	0
1Mitch Edgeworth See Schedule O	(i)	174,658	0	9,097	8,810	8,125	200,690	0
	(ii)	0	0	0	0	- 0	- 0	0
2Charles L Gregory See Schedule O	(i)	165,536	0	17,781	8,737	8,752	200,806	0
	(ii)	0	0	0	0	- 0	- 0	0
3John F Manning Jr PhD MBA See Schedule O	(i)	213,317	0	11,274	10,703	5,291	240,585	0
	(ii)	0	0	0	0	- 0	- 0	0
4Charles W Pinson MD MBA See Schedule O	(i)	404,608	0	29,688	12,907	4,524	451,727	0
	(ii)	0	0	0	0	- 0	- 0	0
5David Posch See Schedule O	(i)	223,164	0	12,786	11,115	5,077	252,142	0
	(ii)	0	0	0	0	- 0	- 0	0

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service
Name of the organization
Vanderbilt University

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
▶ Attach to Form 990.
▶ Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Open to Public Inspection

Employer identification number
62-0476822

Part I Bond Issues											
(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A HEFB Vanderbilt Univ Series 2012D&E	62-6139016	592041UV7	11-29-2012	169,603,023	SEE Part VI OF THIS SCHEDULE	X			X		X
B HEFB Vanderbilt Univ Series 2009A&B	62-6139016	592041WV5	04-01-2009	328,850,840	SEE Part VI OF THIS SCHEDULE	X			X		X

Part II		Proceeds							
		A		B		C		D	
1	Amount of bonds retired	17,855,000		12,360,000					
2	Amount of bonds legally defeased	27,370,000		310,560,000					
3	Total proceeds of issue	169,603,023		328,896,899					
4	Gross proceeds in reserve funds	0		0					
5	Capitalized interest from proceeds	0		0					
6	Proceeds in refunding escrows	0		0					
7	Issuance costs from proceeds	0		2,245,733					
8	Credit enhancement from proceeds	0		0					
9	Working capital expenditures from proceeds	0		0					
10	Capital expenditures from proceeds	0		100,365,419					
11	Other spent proceeds	169,603,023		226,285,747					
12	Other unspent proceeds	0		0					
13	Year of substantial completion	2005		2011					
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?	X		X					
15	Were the bonds issued as part of an advance refunding issue?		X		X				
16	Has the final allocation of proceeds been made?	X		X					
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X					

Part III Private Business Use									
		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X				
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X			X				

Part III

Private Business Use (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
3a Are there any management or service contracts that may result in private business use of bond-financed property?		X		X				
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?								
c Are there any research agreements that may result in private business use of bond-financed property?	X		X					
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X		X					
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶	0 %		0 2 %					
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶	0 %		0 %					
6 Total of lines 4 and 5	0 %		0 2 %					
7 Does the bond issue meet the private security or payment test? . . .		X		X				
8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?	X		X					
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of . .	0 %		0 %					
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1 141-12 and 1 145-2?		X		X				
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2?	X		X					

Part IV

Arbitrage

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate? . . .		X		X				
2 If "No" to line 1, did the following apply?								
a Rebate not due yet?	X			X				
b Exception to rebate?	X		X					
c No rebate due?		X		X				
If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3 Is the bond issue a variable rate issue?		X		X				
4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X				
b Name of provider								
c Term of hedge								
d Was the hedge superintegrated?								
e Was the hedge terminated?								

Part IV Arbitrage (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X				
b Name of provider								
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X				
7 Has the organization established written procedures to monitor the requirements of section 148?	X		X					

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X					

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Return Reference	Explanation
Schedule K, Part I, Column (a) All Rows	THE HEALTH AND EDUCATIONAL FACILITIES BOARD OF METROPOLITAN GOVERNMENT OF NASHVILLE AND DAVIDSON COUNTY, TN (HEFB)

Return Reference	Explanation
Schedule K, Part I, Column (f) Description of Purpose	ROW A - 2012D&E - TO REFUND A PORTION OF SERIES 2000A BONDS (ISSUED ON JANUARY 20, 2005), A PORTION OF THE SERIES 2005A BONDS (ISSUED JANUARY 20, 2005), AND A PORTION OF TAX-EXEMPT COMMERCIAL PAPER ROW B - 2009A&B - TO REFUND TAX-EXEMPT COMMERCIAL PAPER (ISSUED ON JUNE 28, 2007) USED TO FINANCE VARIOUS CAPITAL PROJECTS, REFUND SERIES 2005 B-1 & B-2 BONDS (BOTH ISSUED ON JANUARY 20, 2005), FINANCE VARIOUS CAPITAL IMPROVEMENTS, TO PAY FOR COSTS ASSOCIATED WITH ISSUANCE OF BONDS

Return Reference	Explanation
Schedule K, Part II, Line 3 Proceeds	DIFFERENCES BETWEEN PART I, COLUMN (E) AND PART II, LINE 3 IS INVESTMENT EARNINGS

Return Reference	Explanation
Schedule K, Part III, Line 4 Private Business Use	VANDERBILT UNIVERSITY IS REPORTING PRIVATE BUSINESS USE PERCENTAGES ON A NET BASIS REPORTING ON A NET BASIS MEANS THAT PRIVATE BUSINESS USE HAS BEEN ALLOCATED TO THE EQUITY PORTION OF ANY DEBT-FINANCED BUILDINGS AND EQUIPMENT WHERE PRIVATE BUSINESS USE HAS OCCURRED IN VANDERBILT'S CASE, EQUITY HAS SUBSTANTIALLY EXCEEDED RELATIVELY MINOR PRIVATE BUSINESS USE, RESULTING IN ZERO REPORTED AMOUNTS ON A NET BASIS

Return Reference	Explanation
Schedule K, Part III, Line 8a Sale or Disposition of Bond-financed Property	VANDERBILT UNIVERSITY CALCULATES THE PERCENTAGE OF SALE OR DISPOSITION OF BOND-FINANCED PROPERTY BY USING ALL DISPOSED PROPERTY IN THE NUMERATOR WITHOUT CONSIDERING IF THE PROPERTY WAS SOLD TO A PRIVATE ORGANIZATION OR DISPOSED OF IN THE REGULAR COURSE OF BUSINESS THIS PROVIDES FOR A MORE CONSERVATIVE CALCULATION THE ASSETS THAT HAVE BEEN DISPOSED OF CONSIST OF SMALL EQUIPMENT AND NO BOND-FINANCED BUILDINGS OR LARGE EQUIPMENT HAVE BEEN SOLD IT IS NOT VANDERBILT'S POLICY TO SELL ANY BOND-FINANCED ASSETS TO A NONGOVERNMENTAL PERSON OTHER THAN A 501(C)(3) ORGANIZATION

Return Reference	Explanation
Schedule K, Part III, Line 8b Sale or Disposition of Bond-financed Property	COLUMN A - 2012D&E - SINCE A PORTION OF SERIES 2012D&E REFINANCED SERIES 2005A&B, THE PORTION OF 2005A&B THAT HAS BEEN DISPOSED OF IS ALLOCATED TO 2012D&E COLUMN B - 2009A&B - SINCE A PORTION OF SERIES 2009A&B REFINANCED SERIES 2005A&B, THE PORTION OF 2005A&B THAT HAS BEEN DISPOSED OF IS ALLOCATED TO 2009A&B

Schedule L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions with Interested Persons

► Complete if the organization answered
"Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c,
or Form 990-EZ, Part V, line 38a or 40b.
► Attach to Form 990 or Form 990-EZ.
► Information about Schedule L (Form 990 or 990-EZ) and its instructions is at
www.irs.gov/form990.

OMB No 1545-0047

2016

Open to Public
Inspection

Name of the organization
Vanderbilt University

Employer identification number
62-0476822

Part I

Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and 501(c)(29) organizations only)
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2

Enter the amount of tax incurred by organization managers or disqualified persons during the year under section 4958

3

Enter the amount of tax, if any, on line 2, above, reimbursed by the organization

\$

\$

Part II

Loans to and/or From Interested Persons.
Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
(1) Lauren Benton	Key Employee	Housing Loan		X	500,000	291,667		No		No	Yes	
(2) Peter G Lake	Family Member of Key Employee	Housing Loan		X	510,000	59,500		No		No	Yes	
Total						\$ 351,167						

Part III

Grants or Assistance Benefiting Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance
(1) Education assistance provided to one interested person	Grant Selection Committee Member	42,642	Merit-based scholarship	Scholarship to assist individuals fund education costs
(2) Tuition assistance provided to three interested persons	Qualifying dependents of interested persons	71,341	Tuition assistance	Tuition to assist individuals fund education costs

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions)

Return Reference	Explanation
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Additional Data

Software ID: 16000421
Software Version: 2016v3.0
EIN: 62-0476822
Name: Vanderbilt University

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
Gail Carr-Williams	Family member of current officer David Williams, II	136,378	Employment at Vanderbilt		No
Gary Robert Kimball III	Family member of trustee Carroll E Kimball	174,728	Employment at Vanderbilt		No

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons					
(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
Peter Lake	Family member of current key employee Lauren Benton	354,800	Employment at Vanderbilt		No
Stacey McCarty	Family member of former officer Richard McCarty	62,363	Employment at Vanderbilt		No

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons					
(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
Sandra Solomon	Family member of current key employee Lauren Benton	80,760	Employment at Vanderbilt		No

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As Filed Data -

DLN: 93493134021908

SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

►Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
►Attach to Form 990.
►Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
Vanderbilt University

Employer identification number
62-0476822

Part I	Types of Property	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1	Art—Works of art	X	15	120,705	Market value
2	Art—Historical treasures				
3	Art—Fractional interests				
4	Books and publications	X		138,734	Market value
5	Clothing and household goods	X		743	Market value
6	Cars and other vehicles				
7	Boats and planes	X	1	5,495	Market value
8	Intellectual property				
9	Securities—Publicly traded	X	267	12,845,143	Market value
10	Securities—Closely held stock				
11	Securities—Partnership, LLC, or trust interests				
12	Securities—Miscellaneous				
13	Qualified conservation contribution—Historic structures				
14	Qualified conservation contribution—Other				
15	Real estate—Residential				
16	Real estate—Commercial				
17	Real estate—Other				
18	Collectibles	X	8	1,422	Market value
19	Food inventory	X	55	5,756	Market value
20	Drugs and medical supplies				
21	Taxidermy				
22	Historical artifacts				
23	Scientific specimens				
24	Archeological artifacts				
25	Other ► (Silent auction and other non-categorized items)	X	13	1,296	Market value
26	Other ► (Software)	X	1	3,154,470	Market value
27	Other ► (Equipment)	X	9	179,835	Market value
28	Other ► ()				
29	Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement	29			7
30a	During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?	30a		No	
b	If "Yes," describe the arrangement in Part II				
31	Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	31	Yes		
32a	Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	32a		No	
b	If "Yes," describe in Part II				
33	If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II				

Part II **Supplemental Information.**

Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
Schedule M, Part I Reporting Method for Number of Contributions	Vanderbilt University reports the number of contributions received throughout the year for each type of property listed

SCHEDULE O (Form 990 or 990-EZ) <small>Department of the Treasury Internal Revenue Service</small>	Supplemental Information to Form 990 or 990-EZ Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information. ▶ Attach to Form 990 or 990-EZ. ▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990 .	<small>OMB No 1545-0047</small> 2016 Open to Public Inspection
Name of the organization Vanderbilt University		Employer identification number 62-0476822

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part I, Line 1 Description of Organization Mission	Vanderbilt University is a center for scholarly research, informed and creative teaching, and service to the community and society at large. Vanderbilt will uphold the highest standards and be a leader in the quest for new knowledge through scholarship, dissemination of knowledge through teaching and outreach, and creative experimentation of ideas and concepts. In pursuit of these goals, Vanderbilt values most highly the intellectual freedom that supports open inquiry and equality, compassion and excellence in all endeavors.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 1 Description of Organization Mission	See Disclosure Above

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4a Education	<p>Vanderbilt University ("Vanderbilt") offers undergraduate programs in the liberal arts and science, engineering, music, education and human development, as well as a full range of graduate and professional degrees. Vanderbilt's ten schools and colleges include College of Arts and Science, Blair School of Music, Divinity School, School of Engineering, Graduate School, Law School, School of Medicine, School of Nursing, Owen Graduate School of Management, and Peabody College (of education and human development). Vanderbilt has approximately 6,900 undergraduate students, 5,700 graduate and professional students, and more than 4,700 faculty members including Vanderbilt University Medical Center faculty (Vanderbilt University Medical Center faculty are appointed by Vanderbilt but are employed by Vanderbilt University Medical Center). Vanderbilt ranked 14th among the nation's best universities in an annual survey conducted by U.S. News & World Report. Vanderbilt's Peabody College of education and human development was named the No. 7 graduate school of education in the nation by U.S. News & World Report. Peabody programs also received top ten rankings in administration and supervision, special education, education policy, elementary education, curriculum and instruction, higher education administration, educational psychology and secondary education. The administration and supervision program and special education program ranked No. 1. Vanderbilt's School of Nursing ranked 15th among the nation's top graduate nursing schools. The Doctor of Nursing Practice program was ranked 11th. Nursing programs ranking in the top 10 in their respective fields include informatics, adult/gerontology acute and primary care, psychiatric and mental health care, pediatric primary care, and family care. Vanderbilt's School of Medicine ranked 14th for research schools of medicine in the nation, the Law School ranked 17th, the Vanderbilt School of Engineering ranked 36th, and the Owen Graduate School of Management ranked 25th for graduate business schools. For more information regarding education at Vanderbilt, visit http://www.vanderbilt.edu</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4b Academic and Scientific Research	<p>Vanderbilt University ("Vanderbilt") is an internationally recognized research university. A majority of Vanderbilt's research funding is received from the federal government. Funding is also received from foundations, associations, corporations, and other sources. Vanderbilt's researchers are at the forefront of posing innovative solutions to some of the most challenging questions facing the world today. For more information regarding research at Vanderbilt, visit http://research.vanderbilt.edu.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 2 Family/business relationships amongst interested persons	Brett Sweet and David Williams - Business relationship

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 11b Review of form 990 by governing body	The Form 990 is prepared by Vanderbilt University and provided to PricewaterhouseCoopers, Vanderbilt University's independent accounting firm for review. After review by PricewaterhouseCoopers, Vanderbilt University provides a draft copy of the Form 990 and all required schedules for review to all General Officers, which includes the chancellor and Chief Financial Officer. Once this review process is complete, all trustees are provided electronic access to the draft Form 990 and all required schedules for review. The final Form 990 and all required schedules are made available to the full Board of Trust for review via Boardbooks prior to the filing of the return.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 12c Conflict of interest policy	<p>Vanderbilt University requires that for any faculty or staff member disclosing a potential conflict of interest, the conflict be reviewed by the individual's manager, as well as by the conflict of interest and commitment management office, and the reported conflict be managed, reduced, or eliminated. The manager is required to respond that any recommended management plan has been implemented or that the reported conflict no longer exists. For those conflicts in which Vanderbilt may have an institutional interest, those in which human subject research is performed, or those deemed unmanageable, the university conflicts committee reviews and determines appropriate actions. The university conflicts committee members are appointed by the chancellor and are made up of a faculty member representing each of the university schools, the vice chancellor for administration, the assistant vice chancellor of technology transfer, the director of the human research and protection program, the associate vice chancellor of audit, risk and advisory services and the head of research for the university. The university conflicts committee is chaired by the vice chancellor, general counsel and secretary of the university. The university conflicts committee reports bi-annually to the audit committee of the Board of Trust the matters brought before the committee and the resulting actions. Board of Trust members and senior executive management of the university also must complete annual conflict of interest disclosures and management plans are developed to manage, reduce, or eliminate any potential conflicts of interest. Trustees are notified of their plans and the plans are thoroughly discussed with them to ensure compliance. Those with disclosed potential conflict of interest are presented to the audit committee of the board of trust, along with their respective management action plans, where applicable. Management plans may include restrictions on members such as recusing themselves during deliberations and decisions in which a potential conflict may exist, with the minutes of the meeting reflecting their recusal. Additionally, all members of the Vanderbilt community are required to disclose potential conflicts as they arise throughout the year. The same processes noted above occur for these disclosures.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 15a Process to establish compensation of top management official	<p>To ensure that Vanderbilt is paying reasonable total compensation, is not violating the private inurement prohibition, which requires that none of the organization's income or assets unreasonably benefit any of its trustees, officers, or key employees, and is in compliance with intermediate sanctions provisions with respect to the general officers, Vanderbilt's Board of Trust has designated a Compensation Committee made up of outside, independent, board members to review and recommend to the Executive Committee of the Board of Trust the total compensation annually for the general officers. The committee utilizes appropriate, nationally-recognized consulting firms to conduct market compensation analyses, provide expert information, and issue reasonableness opinions regarding comparative compensation norms and to ensure compliance with all Internal Revenue Service rules concerning executive compensation, including the Internal Revenue Code provision related to intermediate sanctions deferred compensation, and private inurement. The Compensation Committee reviews the executive compensation philosophy and affirms that it is in line with the Board's expectations. The compensation for the general officers is disclosed in the annual Form 990, which is available to the public in accordance with regulations section 301.6104(d)-1 through 3. Each year, the total compensation review and recommendations are recorded in the minutes of the Compensation Committee meetings. The approval of the recommendations is recorded in the Executive Committee meetings. The full Board is informed annually of the total compensation of the general officers during private session.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 15b Process to establish compensation of other employees	Vanderbilt uses the same procedures as described in response to Part VI, Line 15a

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 19 Required documents available to the public	Vanderbilt University makes its governing documents available to the public through its Board of Trust website located at http //www vanderbilt edu/boardoftrust Vanderbilt University makes its conflict of interest policy available to the public through its Compliance Program website located at http //www vanderbilt edu/compliance Vanderbilt University makes its financial statements available to the public through its website located at http / /finance vanderbilt edu/report/

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VII, Section A, Line 1a, Column (A) Continuation of Titles	<p>- Nicholas S Zeppos - Chancellor - Audrey Jane Anderson - Vice Chancellor, General Counsel, and University Secretary - Steve Ertel, Vice Chancellor for Communications - Beth Fortune - Vice Chancellor for Public Affairs, Terminated status as an officer effective February 28th, 2017 - Nathan Green - Interim Vice Chancellor for Public Affairs (effective March 1, 2017) - Anders W Hall - Vice Chancellor for Investments and Chief Investment Officer - George C Hill - Chief Diversity Officer and Vice Chancellor for Diversity, Equity and Inclusion - Eric C Kopstain - Vice Chancellor for Administration - John M Lutz - Vice Chancellor for Information Technology - Susie S Stalcup - Vice Chancellor for Development and Alumni Relations - Brett C Sweet - Vice Chancellor for Finance and Chief Financial Officer - Susan Wente - Provost and Vice Chancellor for Academic Affairs, Professor of Cell and Development Biology - David Williams, II - Vice Chancellor for Athletics and University Affairs and Athletics Director - Jeffrey Balser - Vice Chancellor for Health Affairs, Dean, School of Medicine - Lauren Benton - Dean of College of Arts and Science - Lawrence J Maunett - Dean of Basic Sciences - Timothy C Corbin - Head Baseball Coach - Bryce Drew - Head Men's Basketball Coach - Derek Mason - Head Football Coach - Hunter McCrossin - Managing Director, Office of Investments - Travis W Shore - Managing Director, Office of Investments - Richard C McCarty - Former Officer (Provost and Vice Chancellor for Academic Affairs), Terminated status as an officer effective July 1, 2014, Current Professor of Psychology - Mitchell Edgeworth - Former Key Employee (CEO, Vanderbilt University Adult Hospital and Clinics), Terminated status as a key employee effective April 29, 2016 - Charles L Gregory - Former Key Employee (Assistant Vice Chancellor and CEO, Monroe Carell, Jr Children's Hospital at Vanderbilt, Chief Business Development Officer), Terminated status as a key employee effective April 29, 2016 - John F Manning, Jr , - Former Key Employee (Associate Vice Chancellor for Health Affairs and Chief Administrative Officer, Vanderbilt University Medical Center, Senior Associate Dean for Operations and Administration, School of Medicine), Terminated status as a key employee effective April 29, 2016 - Charles W Pinson - Former Key Employee (Deputy Vice Chancellor for Health Affairs, Senior Associate Dean for Clinical Affairs), Terminated status as a key employee effective April 29, 2016 - David Posch - Former Key Employee (CEO, Vanderbilt University Hospital), Terminated status as a key employee effective June 30, 2015</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VIII, Line 2f Other Program Service Revenue	All other program service revenue - Total Revenue 11143449, Related or Exempt Function Re venue 11143449, Unrelated Business Revenue , Revenue Excluded from Tax Under Sections 51 2, 513, or 514 ,

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part XI, Line 9 Other changes in net assets or fund balances	Change in minority interest - -21451158,

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

▶ Attach to Form 990.▶ Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Open to Public Inspection

Name of the organization
Vanderbilt University

Employer identification number
62-0476822

Part I Identification of Disregarded Entities Complete if the organization answered "Yes" on Form 990, Part IV, line 33.					
(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) Dore Capital LLC 2100 West End Ave Suite 1000 Nashville, TN 37203 26-4337602	Investment	DE	0	0	VU
(2) Dore Capital Real Estate LLC 2100 West End Ave Suite 1000 Nashville, TN 37203 26-4581498	Investment	DE	0	0	VU
(3) Vanderbilt Global Holdings LLC 2100 West End Ave Suite 750 Nashville, TN 37203 46-3504785	Holding Company	TN	380	241,755	VU
(4) Star V Partners LLC 2100 West End Ave Suite 1000 Nashville, TN 37203	Investment	TN	33,915,117	693,692,736	VU

Part II Identification of Related Tax-Exempt Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.							
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1)Vanderbilt Student Communications Inc 2301 Vanderbilt Place Nashville, TN 37235 23-7030713	Support Org	TN	501(c)(3)	Type I	VU	Yes	
(2)Ingram Chantable Fund Inc 4400 Harding Road 9th Floor Nashville, TN 37203 58-1916504	Support Org	TN	501(c)(3)	Type III-O	NA		No
(3)Vanderbilt Global Inc 2100 West End Ste 750 Nashville, TN 37203 46-0888454	Support Org	DE	501(c)(3)	Type I	VGH	Yes	

Part III Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end- of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) Dore Capital LP 2100 West End Ste 1000 Nashville, TN 37203 26-4337679	Investments	DE	VU	Excluded	15,923,592	72,201,243	Yes		360,537	Yes		
(2) Dore Capital Real Estate LP 2100 West End Ste 1000 Nashville, TN 37203 26-4581574	Investments	DE	VU	Excluded	4,949,229	50,976,598	Yes		-935,670	Yes		

Part IV Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

See Additional Data Table

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of- year assets	(h) Percentage ownership	(i) Section 512(b) (13) controlled entity?	
								Yes	No

Part V Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a	Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	Yes	
b	Gift, grant, or capital contribution to related organization(s)	1b	Yes	
c	Gift, grant, or capital contribution from related organization(s)	1c	Yes	
d	Loans or loan guarantees to or for related organization(s)	1d	Yes	
e	Loans or loan guarantees by related organization(s)	1e		No
f	Dividends from related organization(s)	1f		No
g	Sale of assets to related organization(s)	1g		No
h	Purchase of assets from related organization(s)	1h		No
i	Exchange of assets with related organization(s)	1i		No
j	Lease of facilities, equipment, or other assets to related organization(s)	1j		No
k	Lease of facilities, equipment, or other assets from related organization(s)	1k		No
l	Performance of services or membership or fundraising solicitations for related organization(s)	1l	Yes	
m	Performance of services or membership or fundraising solicitations by related organization(s)	1m		No
n	Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n		No
o	Sharing of paid employees with related organization(s)	1o		No
p	Reimbursement paid to related organization(s) for expenses	1p	Yes	
q	Reimbursement paid by related organization(s) for expenses	1q		No
r	Other transfer of cash or property to related organization(s)	1r	Yes	
s	Other transfer of cash or property from related organization(s)	1s	Yes	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

See Additional Data Table

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII **Supplemental Information**

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference	Explanation
Schedule R, Part V, Line 2(c)	The amounts reported are derived from the books and records of Vanderbilt University, which are maintained on an accrual basis in accordance with U S Generally Accepted Accounting Principles. Valuation of the amounts reported in Schedule R, Part V, Column C is consistent with the valuation reflected in the University's consolidated audited financial statements.



Additional Data

Software ID: 16000421
Software Version: 2016v3.0
EIN: 62-0476822
Name: Vanderbilt University

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No
(1) Vanderbilt Legends Club Inc 1500 Legends Club Lane Franklin, TN 37069 62-1429615	Golf Club	TN	VU	C Corporation	4,115,613	19,641,518	100 %	Yes	
(1) Commodore Scottish Patron II LP 50 Lothian Road Festival Square Edinburgh, Scotland UK	Investments	UK	VU	C Corporation	0	8,050,414	100 %	Yes	
(2) Emergent Pro Alia Fund Sub Fund Emergent African Land Fund 20 Boulevard Emmanuel Servais LU 98-0600835	Investments	LU	VU	C Corporation	0	0	79 6 %	Yes	
(3) Pacific Harbor Special Holdings Ltd PO Box 957 Offshore Incorporations Centre Road Town, Tortola VI	Investments	VI	VU	C Corporation	-17,960	69,972,995	100 %	Yes	
(4) Vision Borda Da Serra Fund Intertrust Corp Svcs Cayman Ltd 190 Elgin Avenue George Town KY19005 CJ	Investments	CJ	VU	C Corporation	0	0	65 25 %	Yes	
(5) Vision Sao Domingos Fund Intertrust Corp Svcs Cayman Ltd 190 Elgin Avenue George Town KY19005 CJ	investments	CJ	VU	C Corporation	0	0	52 23 %	Yes	
(6) Charitable Remainder Trust (75)	Charitable Trust	TN	VU	Trust				Yes	
(7) Perpetual Trust (6)	Charitable Trust	TN	VU	Trust				Yes	
(8) Charitable Lead Trusts (4)	Charitable Trust	TN	VU	Trust				Yes	

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of related organization		(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
(1)	Vanderbilt Legends Club Inc	A	574,452	FMV
(1)	Vanderbilt Student Communications Inc	A	55,828	FMV
(2)	Dore Capital Real Estate LP	B	942,000	FMV
(3)	Dore Capital LP	B	1,530,000	FMV
(4)	Lead Trust (4)	C	319,596	FMV
(5)	Vanderbilt Legends Club Inc	C	139,257	FMV
(6)	Vanderbilt Legends Club Inc	D	7,024,287	FMV
(7)	Vanderbilt Global Inc	L	140,000	FMV
(8)	Vanderbilt Legends Club Inc	P	103,990	FMV
(9)	Vanderbilt Student Communications Inc	R	509,450	FMV
(10)	Charitable Remainder Trust (9)	S	4,764,484	FMV
(11)	Commodore Scottish Patron II LP	S	553,997	FMV
(12)	Dore Capital Real Estate LP	S	11,400,000	FMV
(13)	Dore Capital LP	S	28,518,000	FMV
(14)	Pacific Harbor	S	57,493	FMV
(15)	Perpetual Trusts (6)	S	834,944	FMV
(16)	Vanderbilt Global Inc	S	4,025,000	FMV
(17)	Vision Borda da Serra	S	357,188	FMV
(18)	Vision Sao Domingos	S	985,083	FMV