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Form 990

Return of Organization Exempt From Income Tax

OMB No. 1545-0047

2018

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)
Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990 for instructions and the latest information.

A For the 2019 calendar year, or tax year beginning 07-01-2018 , and ending 06-30-2019

B Check if applicable:
☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization
Vanderbilt University
Doing business as
Number and street (or P.O. box if mail is not delivered to street address) Room/suite
PMB 406310 2301 Vanderbilt Place
City or town, state or province, country, and ZIP or foreign postal code
Nashville, TN 372406310

F Name and address of principal officer:
Susan Wente
211 Kirkland 2201 Westend
Nashville, TN 37240

D Employer identification number
62-0476822
E Telephone number
(615) 343-6601
G Gross receipts \$ 11,390,744,704

I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () (insert no.) ☐ 4947(a)(1) or ☐ 527

J Website: www.vanderbilt.edu

K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other

L Year of formation: 1873

M State of legal domicile: TN

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities:
See Schedule O

2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.

3 Number of voting members of the governing body (Part VI, line 1a) 25

4 Number of independent voting members of the governing body (Part VI, line 1b) 23

5 Total number of individuals employed in calendar year 2018 (Part V, line 2a) 13,302

6 Total number of volunteers (estimate if necessary) 11,900

7a Total unrelated business revenue from Part VIII, column (C), line 12 7,998,100

b Net unrelated business taxable income from Form 990-T, line 34 0

Revenue

8 Contributions and grants (Part VIII, line 1h) 316,042,655

9 Program service revenue (Part VIII, line 2g) 1,055,312,995

10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) 306,495,756

11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 25,905,322

12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) 1,703,756,728

13 Grants and similar amounts paid (Part IX, column (A), lines 1–3) 364,443,873

14 Benefits paid to or for members (Part IX, column (A), line 4) 0

15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10) 661,180,074

16a Professional fundraising fees (Part IX, column (A), line 11e) 546,790

b Total fundraising expenses (Part IX, column (D), line 25) 37,550,175

17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e) 472,919,485

18 Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25) 1,499,090,222

19 Revenue less expenses. Subtract line 18 from line 12 204,666,506

Net Assets or Fund Balances

20 Total assets (Part X, line 16) 7,199,658,829

21 Total liabilities (Part X, line 26) 922,407,562

22 Net assets or fund balances. Subtract line 21 from line 20 6,277,251,267

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer
BRETT SWEET CFO
Type or print name and title

2020-07-13
Date

Paid Preparer Use Only

Print/Type preparer's name Preparer's signature Date

Check ☐ if self-employed PTIN P00369623

Firm's name [PRICEWATERHOUSECOOPERS LLP](#) Firm's EIN [13-4008324](#)

Firm's address [600 13TH ST NW STE 1000](#) Phone no. (202) 414-1000

WASHINGTON, DC 200053014

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions. Cat. No. 11282Y Form 990 (2018)

Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☐**1** Briefly describe the organization's mission:

See Schedule O

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.**4a** (Code:) (Expenses \$ 1,129,729,246 including grants of \$ 325,986,237) (Revenue \$ 1,041,173,543)

See Additional Data

4b (Code:) (Expenses \$ 262,352,287 including grants of \$ 55,825,861) (Revenue \$ 52,915,752)

See Additional Data

4c (Code:) (Expenses \$ including grants of \$) (Revenue \$)**4d** Other program services (Describe in Schedule O.)
(Expenses \$ including grants of \$) (Revenue \$)**4e Total program service expenses** 1,392,081,533

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4 Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6	No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8 Yes	
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9 Yes	
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	10 Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b Yes	
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f Yes	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	12a	No
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b Yes	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13 Yes	
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15 Yes	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16 Yes	
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	17 Yes	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21 Yes	
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22 Yes	

Part IV Checklist of Required Schedules (continued)

		Yes	No
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23 Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>	24a Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b	No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c Yes	
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d	No
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a	No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b	No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i>	26 Yes	
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>	27 Yes	
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a	No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b Yes	
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c	No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29 Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30 Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31	No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32	No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33 Yes	
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	34 Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a Yes	
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35b Yes	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36	No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37	No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O.	38 Yes	

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☒

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	1a 18,983	
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b 0	
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c Yes	

2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return		2a	13,302	2b	Yes	
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)				2b	Yes	
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?				3a	Yes	
b If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O				3b	Yes	
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?				4a	Yes	
b HK, HU, IC, IN, AR, ID, IS, JA, KE, KS, MI, MY, AS, MP, MX, MO, WA, NZ, NI, NO, RP, PL, PO, SN, SI, SE, CE, WZ, SW, SZ, TW, TZ, TH, TS, TU, UG, AE, UK, VM, ZA, BD, BC, BR, CA, CI, CH, CO, IV, HR, IR, IS, IT, JP, KR, LB, LI, LU, LV, MT, NE, NL, NO, NZ, OM, PA, PE, PG, PH, PK, PR, PT, PY, QA, RO, RU, SA, SD, SG, SI, SK, SL, SM, SN, SO, SR, ST, SV, SW, SZ, TH, TJ, TM, TN, TR, TT, UA, UG, UZ, VC, VE, VI, VN, YU, ZA, ZW				4a	Yes	
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?				5a		No
b Did the organization have a prohibited tax shelter transaction with a disqualified person?				5b		No
c See instructions for filing requirements for Form 990-E, U.S. Income Tax Return for Corporations, S Corporations, and Partnerships, and for Form 990-B, U.S. Income Tax Return for Beneficial Owners of Foreign Entities.				5c		
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?				6a		No
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?				6b		
7 Organizations that may receive deductible contributions under section 170(c).						
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?				7a	Yes	
b If "Yes," did the organization notify the donor of the value of the goods or services provided?				7b	Yes	
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?				7c	Yes	
d If "Yes," indicate the number of Forms 8282 filed during the year				7d		
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?				7e		No
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?				7f		No
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?				7g		
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?				7h		
8 Sponsoring organizations maintaining donor advised funds.						
Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?				8		
9a Did the sponsoring organization make any taxable distributions under section 4966?				9a		
b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?				9b		
10 Section 501(c)(7) organizations. Enter:						
a Initiation fees and capital contributions included on Part VIII, line 12				10a		
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities				10b		
11 Section 501(c)(12) organizations. Enter:						
a Gross income from members or shareholders				11a		
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)				11b		
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?				12a		
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year.				12b		
13 Section 501(c)(29) qualified nonprofit health insurance issuers.						
a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.				13a		
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans				13b		
c Enter the amount of reserves on hand				13c		
14a Did the organization receive any payments for indoor tanning services during the tax year?				14a		No
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O				14b		
15 Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? If "Yes," see instructions and file Form 4720, Schedule N				15	Yes	
16 Is the organization an educational institution subject to the section 4968 excise tax on net investment income? If "Yes," complete Form 4720, Schedule O				16		No

Part VI

Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI ☒

Section A. Governing Body and Management

		Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year	1a 25		
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.			
b Enter the number of voting members included in line 1a, above, who are independent	1b 23		
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	Yes	
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3		No
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	Yes	
5 Did the organization become aware during the year of a significant diversion of the organization's assets?	5		No
6 Did the organization have members or stockholders?	6		No
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a		No
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b		No
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:			
a The governing body?	8a	Yes	
b Each committee with authority to act on behalf of the governing body?	8b	Yes	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?	10a	No
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	Yes
13 Did the organization have a written whistleblower policy?	13	Yes
14 Did the organization have a written document retention and destruction policy?	14	Yes
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	15a	Yes
b Other officers or key employees of the organization	15b	Yes
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	Yes
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	Yes

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ►

18 Section 6104 requires an organization to make its Form 1023 (or 1024-A if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records:
 ► Dalana Robertson 110 21st Avenue South Suite 900 Nashville, TN 37203 (615) 343-6601

Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII ☐

7

● List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

● List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."

• List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

• List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

• List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

[illegible]

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
See Additional Data Table										
1b Sub-Total										
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)								24,302,100	0	2,611,732

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ► 1,164

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3 Yes	
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4 Yes	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
RC Matthews Contractor 300 Broadway PO Box 24687 Nashville, TN 37201	Construction Services	39,008,058
Vanderbilt University Medical Center 1161 21st Ave S D-3300 MCN Nashville, TN 37232	Affiliation Services	38,530,913
DF Chase Inc 3001 Armory Drive Suite 200 Nashville, TN 37204	Construction Services	13,462,750
Layton Construction Company LLC 5409 Maryland Way Suite 320 Brentwood, TN 37027	Construction Sservices	12,747,905
Messer Construction Co 230 Great Circle Road Suite 218 Nashville, TN 37228	Construction Services	7,817,451

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ► 188

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII ☒

Contributions, Gifts, Grants
and Other Similar Amounts

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
1a	Federated campaigns . . .	1a				
b	Membership dues . . .	1b				
c	Fundraising events . . .	1c	72,606			
d	Related organizations	1d				
e	Government grants (contributions)	1e	182,181,440			
f	All other contributions, gifts, grants, and similar amounts not included above	1f	178,482,401			
g	Noncash contributions included in lines 1a - 1f:\$		28,544,077			
h Total.	Add lines 1a-1f		360,736,447			

Program Service Revenue

		Business Code				
2a	Tuition and Fees	611310	580,870,481	580,870,481		
b	Affiliated Entity Rev	611310	281,274,184	281,274,184		
c	Room, Board & Auxiliary	611310	160,256,635	158,263,256	1,993,379	
d	Research & Other Contr	611310	52,915,752	52,915,752		
e	Other Auxiliary	611310	6,033,081	5,934,595	98,486	
f	All other program service revenue.		12,739,162	12,739,162	0	0
g Total.	Add lines 2a-2f		1,094,089,295			

Other Revenue

3	Investment income (including dividends, interest, and other similar amounts)		19,434,316		-1,416,679	20,850,995
4	Income from investment of tax-exempt bond proceeds					
5	Royalties		44,070,231		655	44,069,576
6a	Gross rents	(i) Real (ii) Personal				
b	Less: rental expenses					
c	Rental income or (loss)					
d	Net rental income or (loss)		7,572,154			7,572,154
7a	Gross amount from sales of assets other than inventory	(i) Securities (ii) Other				
b	Less: cost or other basis and sales expenses					
c	Gain or (loss)					
d	Net gain or (loss)		266,540,454		7,322,259	259,218,195
8a	Gross income from fundraising events (not including \$ 72,606 of contributions reported on line 1c). See Part IV, line 18	a	86,147			
b	Less: direct expenses	b	120,365			
c	Net income or (loss) from fundraising events		-34,218			-34,218
9a	Gross income from gaming activities. See Part IV, line 19	a				
b	Less: direct expenses	b				
c	Net income or (loss) from gaming activities					
10a	Gross sales of inventory, less returns and allowances	a				
b	Less: cost of goods sold	b				
c	Net income or (loss) from sales of inventory					
	Miscellaneous Revenue	Business Code				
11a						
b						
c						
d	All other revenue		0	0	0	0
e Total.	Add lines 11a-11d		0			
12 Total revenue.	See Instructions.		1,792,408,679	1,091,997,430	7,998,100	331,676,702

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐**Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.**

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	54,852,505	54,852,505		
2 Grants and other assistance to domestic individuals. See Part IV, line 22	325,986,237	325,986,237		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, line 15 and 16.	973,356	973,356		
4 Benefits paid to or for members	0	0		
5 Compensation of current officers, directors, trustees, and key employees	18,797,457	4,641,408	11,490,079	2,665,970
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	637,301	450,465	186,836	
7 Other salaries and wages	548,736,627	492,659,794	38,256,360	17,820,473
8 Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions)	29,752,855	26,712,332	2,074,285	966,238
9 Other employee benefits	64,836,400	54,389,504	8,124,846	2,322,050
10 Payroll taxes	34,132,100	30,644,051	2,379,593	1,108,456
11 Fees for services (non-employees):				
a Management	359,213		359,213	
b Legal	6,803,490		6,803,490	
c Accounting	851,859		851,859	
d Lobbying				
e Professional fundraising services. See Part IV, line 17	781,285			781,285
f Investment management fees	34,526,609		34,526,609	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	63,440,381	56,507,417	6,024,964	908,000
12 Advertising and promotion	4,175,771	3,392,196	361,687	421,888
13 Office expenses	71,004,274	62,915,291	6,162,867	1,926,116
14 Information technology	38,767,301	36,099,148	2,111,913	556,240
15 Royalties	7,229,014	7,229,014		
16 Occupancy	90,580,246	70,985,143	17,122,221	2,472,882
17 Travel	27,834,703	25,379,615	1,116,774	1,338,314
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	4,865,553	4,448,735	385,196	31,622
20 Interest	16,404,831	16,404,831		
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	86,832,699	75,101,040	9,490,309	2,241,350
23 Insurance	10,039,719	9,913,014		126,705
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a Other program	10,077,639	10,077,639		
b Other library	16,389,042	16,374,546		14,496
c				
d				
e All other expenses	18,949,986	5,944,252	11,157,644	1,848,090
25 Total functional expenses. Add lines 1 through 24e	1,588,618,453	1,392,081,533	158,986,745	37,550,175
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part IX ☐

		(A) Beginning of year		(B) End of year
Assets	1 Cash—non-interest-bearing	186,434,732	1	7,559,126
	2 Savings and temporary cash investments	413,599,658	2	817,148,295
	3 Pledges and grants receivable, net	133,441,916	3	155,567,199
	4 Accounts receivable, net	115,512,670	4	55,154,882
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L	191,667	5	91,667
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L	8,500	6	0
	7 Notes and loans receivable, net	97,669,167	7	1,065,966
	8 Inventories for sale or use	295,404	8	249,116
	9 Prepaid expenses and deferred charges	23,937,893	9	18,362,976
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 2,322,015,316		
	b Less: accumulated depreciation	10b 1,142,362,103	1,076,379,923	10c 1,179,653,213
	11 Investments—publicly traded securities	3,113,546,626	11	4,756,295,033
	12 Investments—other securities. See Part IV, line 11	2,012,626,534	12	2,194,428,423
	13 Investments—program-related. See Part IV, line 11	25,966,418	13	22,627,934
	14 Intangible assets	0	14	
	15 Other assets. See Part IV, line 11	47,721	15	0
16 Total assets. Add lines 1 through 15 (must equal line 34)	7,199,658,829	16	9,208,203,830	
Liabilities	17 Accounts payable and accrued expenses	172,401,336	17	196,476,051
	18 Grants payable	4,538,795	18	0
	19 Deferred revenue	49,527,358	19	1,372,783,062
	20 Tax-exempt bond liabilities	117,702,205	20	114,345,560
	21 Escrow or custodial account liability. Complete Part IV of Schedule D	130,390	21	29,981
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L	0	22	0
	23 Secured mortgages and notes payable to unrelated third parties	248,121,861	23	421,810,458
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D	329,985,617	25	445,589,299
	26 Total liabilities. Add lines 17 through 25	922,407,562	26	2,551,034,411
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	3,296,601,524	27	3,512,397,905
	28 Temporarily restricted net assets	1,584,261,088	28	1,658,840,341
	29 Permanently restricted net assets	1,396,388,655	29	1,485,931,173
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
33 Total net assets or fund balances	6,277,251,267	33	6,657,169,419	
34 Total liabilities and net assets/fund balances	7,199,658,829	34	9,208,203,830	

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI ☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	1,792,408,679
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,588,618,453
3	Revenue less expenses. Subtract line 2 from line 1	3	203,790,226
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	6,277,251,267
5	Net unrealized gains (losses) on investments	5	187,338,871
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-11,210,945
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	6,657,169,419

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII ☐

- 1** Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:
☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- b** Were the organization's financial statements audited by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:
☐ Separate basis ☒ Consolidated basis ☐ Both consolidated and separate basis
- c** If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

	Yes	No
2a		No
2b	Yes	
2c	Yes	
3a	Yes	
3b	Yes	

Additional Data

Software ID: 18007697
Software Version: 2018v3.1
EIN: 62-0476822
Name: Vanderbilt University

Form 990 (2018)

Form 990, Part III, Line 4a:

See Schedule O - Education

Form 990, Part III, Line 4b:

See Schedule O - Academic and Scientific Research

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Eric C Kopstain	50.0									
See Schedule O 2.0			X				570,292	0	50,154
John M Lutz	50.0									
See Schedule O 0.0			X				1,523,876	0	137,229
James Page Jr	50.0									
See Schedule O 0.0			X				240,585	0	13,016
Ruza Shellaway	50.0									
See Schedule O 2.5			X				290,347	0	48,643
Tina Smith	50.0									
See Schedule O 0.0			X				291,289	0	24,948
Susie S Stalcup	50.0									
See Schedule O 0.0			X				679,620	0	77,501
Brett C Sweet	50.0									
See Schedule O 5.2			X				1,356,399	0	113,609
Malcolm Turner	50.0									
See Schedule O 0.8			X				0	0	0
Susan Wente	50.0									
See Schedule O 0.0			X				846,846	0	102,619
David Williams II	50.0									
See Schedule O 0.0			X				1,077,534	0	31,884

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
John Geer	50.0									
See Schedule O 0.0				X			710,892	0	67,677
Lawrence J Marnett	50.0									
See Schedule O 0.0				X			601,045	0	49,621
Timothy C Corbin	50.0									
See Schedule O 0.0					X		1,162,175	0	197,020
Bryce Drew	50.0									
See Schedule O 0.0					X		1,903,539	0	88,758
Derek Mason	50.0									
See Schedule O 0.0					X		3,299,614	0	234,883
Hunter McCrossin	50.0									
See Schedule O 2.0					X		1,043,732	0	241,727
Travis W Shore	50.0									
See Schedule O 6.2					X		969,191	0	209,545
Lauren Benton	50.0									
See Schedule O 0.0						X	701,714	0	41,004
George C Hill	50.0									
See Schedule O 0.0						X	348,821	0	0
Richard C McCarty	50.0									
See Schedule O 0.0						X	445,983	0	20,109

SCHEDULE A

(Form 990 or 990EZ)

Department of the Treasury

Internal Revenue Service

Name of the organization

Vanderbilt University

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2018

Open to Public Inspection

Employer identification number

62-0476822

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2

☒

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: _____
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9

☐

An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university: _____
- 10

☐

An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11

☐

An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
- a

☐

Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
- b

☐

Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
- c

☐

Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
- d

☐

Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
- e

☐

Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
- f

Enter the number of supported organizations
- g

Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv), 170(b)(1)(A)(vi), and 170(b)(1)(A)(ix)

(Complete only if you checked the box on line 5, 7, 8, or 9 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support							
	Calendar year (or fiscal year beginning in) ►	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) 2018	(f) Total
1	Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grant.") . .	469,664,344	488,603,616	306,804,251	316,042,655	360,736,447	1,941,851,313
2	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
3	The value of services or facilities furnished by a governmental unit to the organization without charge..						0
4	Total. Add lines 1 through 3	469,664,344	488,603,616	306,804,251	316,042,655	360,736,447	1,941,851,313
5	The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f). .						0
6	Public support. Subtract line 5 from line 4.						1,941,851,313

Section B. Total Support							
Calendar year (or fiscal year beginning in) ►		(a)2014	(b)2015	(c)2016	(d)2017	(e)2018	(f)Total
7	Amounts from line 4. . .	469,664,344	488,603,616	306,804,251	316,042,655	360,736,447	1,941,851,313
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	23,460,120	49,271,521	43,041,890	51,331,677	76,947,114	244,052,322
9	Net income from unrelated business activities, whether or not the business is regularly carried on						0
10	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.). . .	30,824,998	404,224	104,778	99,457	86,147	31,519,604
11	Total support. Add lines 7 through 10						2,217,423,239
12	Gross receipts from related activities, etc. (see instructions)					12	10,352,837,266

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage			
14	Public support percentage for 2018 (line 6, column (f) divided by line 11, column (f))	14	87.57 %
15	Public support percentage for 2017 Schedule A, Part II, line 14	15	86.02 %
16a	33 1/3% support test—2018. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization <input checked="" type="checkbox"/>		
b	33 1/3% support test—2017. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
17a	10%-facts-and-circumstances test—2018. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
b	10%-facts-and-circumstances test—2017. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
18	Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions <input type="checkbox"/>		

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) 2018	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513 . . .						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf. . .						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year.						
c Add lines 7a and 7b. .						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) 2018	(f) Total
9 Amounts from line 6. . .						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources. .						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						
c Add lines 10a and 10b.						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on.						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . .						
13 Total support. (Add lines 9, 10c, 11, and 12.) . .						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here.** ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2018 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2017 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2018 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2017 Schedule A, Part III, line 17	18	

19a 33 1/3% support tests—2018. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ☐

b 33 1/3% support tests—2017. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
1		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
2		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>		
3a		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
3b		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
3c		
4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i>		
4a		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
4b		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
4c		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
5a		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
5b		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
5c		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
6		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ) .</i>		
7		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
8		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
9a		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
9b		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
9c		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer line 10b below.</i>		
10a		
b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).</i>		
10b		

Part IV

Supporting Organizations (continued)

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b A family member of a person described in (a) above?		
c A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.		

Section B. Type I Supporting Organizations

	Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
1		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.		
2		

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		
1		

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
1		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization (s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).		
2		
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.		
3		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):		
a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions)		
2 Activities Test. Answer (a) and (b) below.	Yes	No
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.		
2a		
b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.		
2b		
3 Parent of Supported Organizations. Answer (a) and (b) below.		
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.		
3a		
b Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.		
3b		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations			
<div>1</div> <div><input type="checkbox"/></div> <div>Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.</div>			
Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8	
Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):	1	
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	Total (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	Minimum Asset Amount (add line 7 to line 6)	8	
Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	<div><input type="checkbox"/></div> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)		

Part V

Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions	
7 Total annual distributions. Add lines 1 through 6.	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions	
9 Distributable amount for 2018 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2018	(iii) Distributable Amount for 2018
1 Distributable amount for 2018 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2018 (reasonable cause required-- explain in Part VI). See instructions.			
3 Excess distributions carryover, if any, to 2018:			
a From 2013.			
b From 2014.			
c From 2015.			
d From 2016.			
e From 2017.			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2018 distributable amount			
i Carryover from 2013 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2018 from Section D, line 7:			
\$			
a Applied to underdistributions of prior years			
b Applied to 2018 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2018, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, explain in Part VI. See instructions.			
6 Remaining underdistributions for 2018. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, explain in Part VI. See instructions.			
7 Excess distributions carryover to 2019. Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2014.			
b Excess from 2015.			
c Excess from 2016.			
d Excess from 2017.			
e Excess from 2018.			

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

990 Schedule A, Supplemental Information

Return Reference	Explanation
Schedule A, Part II, Line 10 Other Income	DESCRIPTION - GROSS FUNDRAISING REVENUE AND MISC. OPERATING INCOME, COLUMN A - 30824998.0, COLUMN B - 404224.0, COLUMN C - 104778.0, COLUMN D - 99457.0, COLUMN E - 86147.0, COLUMN F - 31519604.0;

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶Complete if the organization is described below. ▶Attach to Form 990 or Form 990-EZ.
▶Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2018

Open to Public Inspection

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of the organization Vanderbilt University	Employer identification number 62-0476822
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Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV (see instructions for definition of "political campaign activities")
- 2 Political campaign activity expenditures (see instructions) ▶ \$
- 3 Volunteer hours for political campaign activities (see instructions) ▶

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No
- 4a Was a correction made? ☐ Yes ☐ No
- b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b..... ▶ \$
- 4 Did the filing organization file Form 1120-POL for this year? ☐ Yes ☐ No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
1				
2				
3				
4				
5				
6				

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)															
b Total lobbying expenditures to influence a legislative body (direct lobbying)		118,576													
c Total lobbying expenditures (add lines 1a and 1b)		118,576													
d Other exempt purpose expenditures		1,571,975,798													
e Total exempt purpose expenditures (add lines 1c and 1d)		1,572,094,374													
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.		1,000,000	0												
<table border="1"> <thead> <tr> <th>If the amount on line 1e, column (a) or (b) is:</th> <th>The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e.														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.														
Over \$17,000,000	\$1,000,000.														
g Grassroots nontaxable amount (enter 25% of line 1f)		250,000													
h Subtract line 1g from line 1a. If zero or less, enter -0-		0													
i Subtract line 1f from line 1c. If zero or less, enter -0-		0													
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No													

4-Year Averaging Period Under section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) Total
2a Lobbying nontaxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
b Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
c Total lobbying expenditures	458,726	280,327	106,151	118,576	963,780
d Grassroots nontaxable amount	250,000	250,000	250,000	250,000	1,000,000
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
f Grassroots lobbying expenditures		0	0	0	0

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities?			
j	Total. Add lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues .	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation
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SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2018

Open to Public Inspection

Name of the organization
Vanderbilt University

Employer identification number
62-0476822

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?

☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?

☐ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

☐ Preservation of land for public use (e.g., recreation or education)

☐ Preservation of an historically important land area

☐ Protection of natural habitat

☐ Preservation of a certified historic structure

☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►

4 Number of states where property subject to conservation easement is located ►

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ►

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ► \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 ► \$

(ii) Assets included in Form 990, Part X ► \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included on Form 990, Part VIII, line 1 ► \$

b Assets included in Form 990, Part X ► \$

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a

☒ Public exhibition

b

☒ Scholarly research

c

☒ Preservation for future generations

d

☒ Loan or exchange programs

e

☒ Other Education

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? . . .

☒ Yes

☐ No

Part IV

Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☒ No

b

If "Yes," explain the arrangement in Part XIII and complete the following table:

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? . . .

☒ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

☒

Part V

Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a)Current year	(b)Prior year	(c)Two years back	(d)Three years back	(e)Four years back
1a Beginning of year balance	4,608,461,291	4,136,465,261	3,795,585,401	4,093,387,484	4,046,250,379
b Contributions	1,549,707,251	172,664,350	129,140,956	154,345,834	75,832,665
c Net investment earnings, gains, and losses	394,155,692	519,217,263	428,676,790	-172,420,504	148,787,412
d Grants or scholarships	118,763,645	115,304,268	109,451,788	103,887,979	75,364,887
e Other expenditures for facilities and programs	162,684,359	91,535,316	93,745,467	162,527,753	89,465,646
f Administrative expenses	0	13,045,999	13,740,631	13,311,681	12,652,439
g End of year balance	6,270,876,230	4,608,461,291	4,136,465,261	3,795,585,401	4,093,387,484

2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a

Board designated or quasi-endowment ▶ 53.62 %

b

Permanent endowment ▶ 21.49 %

c

Temporarily restricted endowment ▶ 24.89 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i)

unrelated organizations

(ii)

related organizations

b

If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?

	Yes	No
3a(i)		No
3a(ii)		No
3b		

4

Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI

Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		114,620,038		114,620,038
b Buildings		1,647,221,974	860,299,382	786,922,592
c Leasehold improvements		15,356,844	6,051,124	9,305,720
d Equipment		387,691,715	259,080,125	128,611,590
e Other		157,124,745	16,931,472	140,193,273
Total. Add lines 1a through 1e.(Column (d) must equal Form 990, Part X, column (B), line 10(c).) . . . ▶				1,179,653,213

Part VII

Investments—Other Securities. Complete if the organization answered "Yes" on Form 990, Part IV, line 11b.
See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests	16,766,464	C
(3) Other _____ (A) Limited Partnerships	2,083,634,478	F
(B) Interests in trusts held by others	30,692,920	F
(C) Other Investments & Securities	25,228,847	F
(D) Minority Interest	38,105,714	F
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) ▶	2,194,428,423	

Part VIII

Investments—Program Related.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col.(B) line 13.) ▶		

Part IX

Other Assets. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 15.) ▶	

Part X

Other Liabilities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f.
See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
Actuarial Liability for Annuities Payable	26,937,613
Actuarial Liability for Self Insurance	6,606,196
Fair Value of Interest Rate Exchange Agreements Liability	22,742,040
Government Advances for Student Loans	26,994,450
Fair Value of Securities Sold Short	362,309,000
State and Local Taxes Payable	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 25.) ▶	445,589,299

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☒

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains (losses) on investments	2a		
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII.)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1 :			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b		4c	
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.)		5	

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII.)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1 :			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b		4c	
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.)		5	

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
See Additional Data Table	

Part XIII Supplemental Information *(continued)*

Return Reference	Explanation

Additional Data

Software ID: 18007697
Software Version: 2018v3.1
EIN: 62-0476822
Name: Vanderbilt University

Supplemental Information

Return Reference	Explanation
Schedule D, Part III, Line 5 Solicitation of Art, etc.	Vanderbilt University solicited works of art to be sold at fundraising events in silent auctions. The fair market value of these items can range in value, and in FY19 the fair market value of these items did not meet the thresholds required for Form 8282 to be filed.

Supplemental Information

Return Reference	Explanation
Schedule D, Part III, Line 1a Collections of art - financial statement footnote	Purchases for the library collection are not included in the amounts above as Vanderbilt e xpenses such items at the time of purchase. As of June 30, 2019, the estimated replacement cost for library collections, including processing costs to properly identify, catalog, a nd shelve materials, totaled \$432.6 million.

Supplemental Information	
Return Reference	Explanation
Schedule D, Part III, Line 4 Collections of art - description of collections	Vanderbilt University maintains various collections of art, historical treasures and other similar assets in departments across the University. Such collections include, but are not limited to, historical drawings; historical scientific instruments; historical furniture; paintings; photography; book collections; and other similar items and artifacts. All such collections further Vanderbilt's exempt purpose by providing historical materials for students and researchers, and by providing cultural, historical and educational opportunities to Vanderbilt University students and the community at large through exhibits, displays, and loan or exchange programs.

Supplemental Information	
Return Reference	Explanation
Schedule D, Part IV, Line 2b Explanation of escrow agreement	Agency funds are held by Vanderbilt university, which serves as a custodian or fiscal agent, for student groups and other university related organizations.

Supplemental Information	
Return Reference	Explanation
Schedule D, Part V, Line 4 Intended uses of endowment funds	Vanderbilt University's endowment funds, as related to Part V, are intended to be used for scholarships, fellowships, endowed academic chair support, and capital and operational support.

Supplemental Information

Return Reference	Explanation
Schedule D, Part X, Line 2 FIN 48 (ASC 740) footnote	<p>Vanderbilt is a tax-exempt organization as described in Section 501(c)(3) of the Internal Revenue Code (the Code), and generally is exempt from federal income taxes on related income pursuant to Section 501(a) of the code. Vanderbilt is, however, subject to federal and state income tax on unrelated business income, and provision for such taxes is included in the consolidated financial statements. Vanderbilt regularly evaluates its tax position and does not believe it has any material uncertain tax positions that require disclosure or adjustment to the consolidated financial statements.</p>

SCHEDULE E
(Form 990 or 990-EZ)

Department of the Treasury
Name of the organization
Vanderbilt University

Schools

► Complete if the organization answered "Yes" on Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.
► Attach to Form 990 or Form 990-EZ.
► Go to www.irs.gov/Form990EZ for the latest instructions.

OMB No. 1545-0047

2018

Open to Public Inspection

Employer identification number
62-0476822

Part I

	YES	NO
1 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	1 Yes	
2 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	2 Yes	
3 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe. If "No," please explain. If you need more space use Part II.	3 Yes	
4 Does the organization maintain the following?		
a Records indicating the racial composition of the student body, faculty, and administrative staff?	4a Yes	
b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	4b Yes	
c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	4c Yes	
d Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain. If you need more space, use Part II.	4d Yes	
5 Does the organization discriminate by race in any way with respect to:		
a Students' rights or privileges?	5a	No
b Admissions policies?	5b	No
c Employment of faculty or administrative staff?	5c	No
d Scholarships or other financial assistance?	5d	No
e Educational policies?	5e	No
f Use of facilities?	5f	No
g Athletic programs?	5g	No
h Other extracurricular activities? If you answered "Yes" to any of the above, please explain. If you need more space, use Part II.	5h	No
6a Does the organization receive any financial aid or assistance from a governmental agency?	6a Yes	
b Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either line 6a or line 6b, explain on Part II.	6b	No
7 Does the organization certify that it has complied with the applicable requirements of sections 4.01 through 4.05 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No," explain on Part II.	7 Yes	

Part II Supplemental Information. Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also provide any other additional information (see instructions).

Return Reference	Explanation
Schedule E, Part I, Line 3 RACIALLY NONDISCRIMINATORY POLICY	<p>Vanderbilt University meets the criteria established by Section 4.0 of IRS Revenue Procedure 75-50. Vanderbilt University draws a substantial percentage of its students from around the nation and the world, enrolling students of racial minority groups in meaningful numbers, and follows a racially nondiscriminatory policy as to students. Vanderbilt University satisfies the publicity requirement by including a statement of the University's racially nondiscriminatory policy as to students in all its brochures and catalogs dealing with student admissions, programs, and scholarships. Vanderbilt University also includes a reference to its racially nondiscriminatory policy on its website and in other written advertising that the University uses as a means of informing prospective students of its programs. Vanderbilt University's racially nondiscriminatory policy is as follows: In compliance with federal law, including the provisions of Title VI and Title VII of the Civil Rights Act of 1964, Title IX of the Education Amendments of 1972, Sections 503 and 504 of the Rehabilitation Act of 1973, the Americans with Disabilities Act (ADA) of 1990, the ADA Amendments Act of 2008, Executive Order 11246, and the Vietnam Era Veterans Readjustment Assistance Act of 1974 as amended by the Jobs for Veterans Act, and the Uniformed Services Employment and Reemployment Rights Act, as amended, and the Genetic Information Nondiscrimination Act of 2008, Vanderbilt University does not discriminate against individuals on the basis of their race, sex, sexual orientation, gender identity, religion, color, national or ethnic origin, age, disability, military service, covered veterans status, or genetic information in its administration of educational policies, programs, or activities, admissions policies, scholarship and loan programs, athletic or other University-administered programs, or employment. In addition, the university does not discriminate against individuals on the basis of their gender expression consistent with the university's nondiscrimination policy.</p>
Schedule E, Part I, Line 6(a) FINANCIAL AID OR ASSISTANCE FROM A GOVERNMENT	<p>Vanderbilt University participates in the following programs: Federal Pell Grants, Federal Supplemental Educational Opportunity Grants (FSEOG), Federal Direct Stafford Subsidized/Unsubsidized Loans, Federal Direct Graduate Plus Loans, Federal Direct Parent Loan for Undergraduate Students (PLUS Loans), Federal Work Study Program, Tennessee Student Assistance Awards, and the Tennessee Education Lottery Scholarship Program. Vanderbilt University also receives various federal and state grants and contracts for academic and scientific research.</p>

**SCHEDULE F
(Form 990)**

Department of the Treasury
Internal Revenue Service

Name of the organization
Vanderbilt University

Statement of Activities Outside the United States

- Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2018

**Open to Public
Inspection**

Employer identification number

62-0476822

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

- 1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ **Yes** ☐ **No**
- 2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.
- 3** Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
See Add'l Data					
3a Sub-total	0	29			834,951,617
b Total from continuation sheets to Part I					5,113,687
c Totals (add lines 3a and 3b)	2	2,015			840,065,304

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

[illegible]

2. Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter	15
---	----

3	Enter total number of other organizations or entities	8
---	---	---

Part III	Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16.
-----------------	---

Part III can be duplicated if additional space is needed.

[illegible]

Part IV Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ☒ Yes ☐ No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)* ☐ Yes ☒ No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)* ☒ Yes ☐ No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* . ☒ Yes ☐ No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* ☒ Yes ☐ No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990).* ☐ Yes ☒ No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

990 Schedule F, Supplemental Information

Return Reference	Explanation
Schedule F, Part I, Line 2 Procedures for monitoring use of grant funds	Vanderbilt University maintains a formal policy defining its procedures for monitoring the use of sponsored funds by subrecipients located outside the United States who are performing a portion of a sponsored project externally awarded to Vanderbilt. The policy provides guidance to ensure that subrecipients conduct their portions of the sponsored projects in compliance with laws, regulations and terms and conditions of awards and subawards and that reimbursed costs incurred by subrecipients are allowed. The policy addresses the roles and responsibilities of the central offices and academic departments of the university and describes the monitoring procedures for each area. The full text of Vanderbilt's Subrecipient Monitoring Guidelines is available online at the following web address: https://www.vanderbilt.edu/ocga/docs/vupolicies/subrecipient/VU_Subrecipient_Monitoring_Guidelines_Effective_Dec_26_2014.pdf

990 Schedule F, Supplemental Information

Return Reference	Explanation
Schedule F, Part I, Line 2 PROCEDURES FOR MONITORING USE OF GRANT FUNDS	<p>Vanderbilt University maintains a formal policy defining its procedures for monitoring the use of sponsored funds by subrecipients located outside the United States who are performing a portion of a sponsored project externally awarded to Vanderbilt. The policy provides guidance to ensure that subrecipients conduct their portions of the sponsored projects in compliance with laws, regulations and terms and conditions of awards and subawards and that reimbursed costs incurred by subrecipients are allowed. The policy addresses the roles and responsibilities of the central offices and academic departments of the university and describes the monitoring procedures for each area. The full text of Vanderbilt's Subrecipient Monitoring Guidelines is available online at the following web address:</p> <p>https://www.vanderbilt.edu/ocga/docs/vupolicies/subrecipient/VU_Subrecipient_Monitoring_Guidelines_Effective_Dec_26_2014.pdf</p>

Additional Data

Software ID: 18007697

Software Version: 2018v3.1

EIN: 62-0476822

Name: Vanderbilt University

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Antarctica	0	0	Fundraising		126
Central America and the Caribbean	0	0	Fundraising		814

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	0	Fundraising		10,697
Europe (Including Iceland and Greenland)	0	0	Fundraising		3,515

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America	0	0	Fundraising		2,932
Central America and the Caribbean	0	0	Investments		684,622,219

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	3	Investments		7,511,067
Europe (Including Iceland and Greenland)	0	2	Investments		86,144,802

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America (Canada & Mexico only)	0	0	Investments		5,753,346
South America	0	1	Investments		1,766,795

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	0	0	Investments		48,259,803
Central America and the Caribbean	0	7	Grantmaking		247,359

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	5	Grantmaking		307,718
Europe (Including Iceland and Greenland)	0	4	Grantmaking		100,050

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America (Canada & Mexico only)	0	2	Grantmaking		116,667
South America	0	3	Grantmaking		103,707

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	0	2	Grantmaking		97,855
Central America and the Caribbean	0	11	,Send Agents to Attend and Speak at Seminars and Conferences		6,754

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	62	,Send Agents to Attend and Speak at Seminars and Conferences		108,663
Europe (Including Iceland and Greenland)	0	255	,Send Agents to Attend and Speak at Seminars and Conferences		428,724

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Middle East and North Africa	0	15	,Send Agents to Attend and Speak at Seminars and Conferences		21,008
North America (Canada & Mexico only)	0	168	,Send Agents to Attend and Speak at Seminars and Conferences		158,181

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Russia and Neighboring States	0	4	,Send Agents to Attend and Speak at Seminars and Conferences		1,397
South America	0	15	,Send Agents to Attend and Speak at Seminars and Conferences		26,791

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia	0	12	,Send Agents to Attend and Speak at Seminars and Conferences		29,294
Sub-Saharan Africa	0	6	,Send Agents to Attend and Speak at Seminars and Conferences		6,305

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean	0	64	Program Services	Education and Research	361,582
East Asia and the Pacific	0	188	Program Services	Education and Research	642,196

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)	2	633	Program Services	Education and Research	1,778,715
Middle East and North Africa	0	59	Program Services	Education and Research	271,307

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America (Canada & Mexico only)	0	306	Program Services	Education and Research	365,158
Russia and Neighboring States	0	16	Program Services	Education and Research	37,496

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America	0	87	Program Services	Education and Research	334,533
South Asia	0	34	Program Services	Education and Research	101,098

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	0	51	Program Services	Education and Research	336,630

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Central America and the Caribbean	Research	35,020	Wire		Not applicable	Not applicable
		Central America and the Caribbean	Research	33,784	Wire		Not applicable	Not applicable

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Central America and the Caribbean	Research	48,671	Wire		Not applicable	Not applicable
		Central America and the Caribbean	Research	34,445	Wire		Not applicable	Not applicable

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Central America and the Caribbean	Research	38,346	Wire		Not applicable	Not applicable
		Central America and the Caribbean	Research	8,532	Wire		Not applicable	Not applicable

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Central America and the Caribbean	Research	37,981	Wire		Not applicable	Not applicable
		East Asia and the Pacific	Research	39,023	Wire		Not applicable	Not applicable

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	Research	84,862	Wire		Not applicable	Not applicable
		East Asia and the Pacific	Research	70,857	Wire		Not applicable	Not applicable

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	Research	62,906	Wire		Not applicable	Not applicable
		East Asia and the Pacific	Research	50,070	Wire		Not applicable	Not applicable

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	Research	33,277	Wire		Not applicable	Not applicable
		Europe (Including Iceland and Greenland)	Research	53,957	Wire		Not applicable	Not applicable

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe (Including Iceland and Greenland)	Research	5,749	Wire		Not applicable	Not applicable
		Europe (Including Iceland and Greenland)	Research	7,068	Wire		Not applicable	Not applicable

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America (Canada & Mexico only)	Research	61,667	Wire		Not applicable	Not applicable
		North America (Canada & Mexico only)	Research	55,000	Wire		Not applicable	Not applicable

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	Research	49,950	Wire		Not applicable	Not applicable
		South America	Research	8,699	Wire		Not applicable	Not applicable

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	Research	45,058	Wire		Not applicable	Not applicable
		Sub-Saharan Africa	Research	50,110	Wire		Not applicable	Not applicable

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Research	47,746	Wire		Not applicable	Not applicable

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As Filed Data -

DLN: 93493195030530

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding
Fundraising or Gaming Activities

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2018

Open to Public Inspection

Name of the organization
Vanderbilt University

Employer identification number
62-0476822

Part I

Fundraising Activities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 17.
Form 990-EZ filers are not required to complete this part.

1

Indicate whether the organization raised funds through any of the following activities. Check all that apply.

a

☒ Mail solicitations

e

☒ Solicitation of non-government grants

b

☒ Internet and email solicitations

f

☒ Solicitation of government grants

c

☒ Phone solicitations

g

☒ Special fundraising events

d

☒ In-person solicitations

2a

Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?

☒ Yes

☐ No

b

If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
Ruffalo Noel Levitz LLC 65 Kirkwood North Rd SW Cedar Rapids, IA 52404	Call Center		No	620,357	445,425	174,932
Washburn & McGoldrick LLC 24 N Bryn Mawr Ave 252 Bryn Mawr, PA 19010	Consulting		No		51,700	-51,700
Crabtree Lane LLC 26 Walsh Ave Barrington, RI 02806	Consulting		No		19,950	-19,950
Pentera LLC 8650 Commerce Park Place Building A Suite G Indianapolis, IN 46268	Consulting		No		61,299	-61,299
SteegeThomson Communications Inc 230 S Broad St Ste 200 Philadelphia, PA 19102	Consulting		No		202,911	-202,911
Total				620,357	781,285	-160,928

3

List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Cat. No. 50083H

Schedule G (Form 990 or 990-EZ) 2018

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

Revenue		(a) Event #1 <u>Vandy Golf Day</u> (event type)	(b) Event #2 <u>Silent Auction</u> (event type)	(c) Other events <u>3</u> (total number)	(d) Total events (add col. (a) through col. (c))
Revenue	1 Gross receipts	63,736	28,334	66,683	158,753
	2 Less: Contributions	40,607	10,864	21,135	72,606
	3 Gross income (line 1 minus line 2)	23,129	17,470	45,548	86,147
Direct Expenses	4 Cash prizes	0	0	0	0
	5 Noncash prizes	5,315	3,633	867	9,815
	6 Rent/facility costs	38,621	0	7,768	46,389
	7 Food and beverages	1,634	1,397	43,768	46,799
	8 Entertainment	0	1,647	0	1,647
	9 Other direct expenses	12,616	1,251	1,848	15,715
	10 Direct expense summary. Add lines 4 through 9 in column (d) ▶				120,365
	11 Net income summary. Subtract line 10 from line 3, column (d) ▶				-34,218

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

Revenue		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col.(a) through col.(c))
Direct Expenses	1 Gross revenue				
	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
Direct Expenses	6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	7 Direct expense summary. Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary. Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization conducts gaming activities: _____

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain: _____

11 Does the organization conduct gaming activities with nonmembers? ☐ Yes ☐ No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No

13 Indicate the percentage of gaming activity conducted in:

a The organization's facility	13a	%
b An outside facility	13b	%

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶

Address ▶

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____.

c If "Yes," enter name and address of the third party:

Name ▶

Address ▶

16 Gaming manager information:

Name ▶

Gaming manager compensation ▶ \$

Description of services provided ▶

☐ Director/officer ☐ Employee ☐ Independent contractor

17 Mandatory distributions:

- a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No
- b** Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.

Return Reference	Explanation
Schedule G, Part I, Line 2b(v) payment of fees or payment of expenses	RUFFALO NOEL LEVITZ LLC-THE TOTAL AMOUNT PAID TO RUFFALO NOEL LEVITZ FOR FISCAL YEAR 2019 WAS \$460,217.58 WHICH INCLUDES PROFESSIONAL FUNDRAISING FEES OF \$445,424.78 AND FUNDRAISING EXPENSES OF \$14,792.80. THE CONTRACT BETWEEN VANDERBILT UNIVERSITY AND RUFFALO NOEL LEVITZ CALLS FOR THE REIMBURSEMENT OF FUNDRAISING EXPENSES INCURRED BY RUFFALO NOEL LEVITZ, SUCH AS PRINTING AND POSTAGE, WHICH ARE INVOICED SEPARATELY FROM PROFESSIONAL SERVICE FEES.;WASHBURN & MCGOLDRICK, LLC-THE TOTAL AMOUNT PAID TO WASHBURN & MCGOLDRICK FOR FISCAL YEAR 2019 WAS \$56,587.15 WHICH INCLUDES PROFESSIONAL FUNDRAISING FEES OF \$51,700 AND FUNDRAISING EXPENSES OF \$4,887.15. THE CONTRACT BETWEEN VANDERBILT UNIVERSITY AND WASHBURN & MCGOLDRICK CALLS FOR THE REIMBURSEMENT OF FUNDRAISING EXPENSES INCURRED BY WASHBURN & MCGOLDRICK, SUCH AS PRINTING AND POSTAGE, WHICH ARE INVOICED SEPARATELY FROM PROFESSIONAL SERVICE FEES.;CRABTREE LANE LLC-THE TOTAL AMOUNT PAID TO CRABTREE LANE LLC FOR FISCAL YEAR 2019 WAS \$19,950 WHICH INCLUDES PROFESSIONAL FUNDRAISING FEES OF \$19,950 AND FUNDRAISING EXPENSES OF -0-. THE CONTRACT BETWEEN VANDERBILT UNIVERSITY AND CRABTREE LANE LLC CALLS FOR THE REIMBURSEMENT OF FUNDRAISING EXPENSES INCURRED BY CRABTREE LANE LLC, SUCH AS PRINTING AND POSTAGE, WHICH ARE INVOICED SEPARATELY FROM PROFESSIONAL SERVICE FEES.;PENTERA LLC-THE TOTAL AMOUNT PAID TO PENTERA LLC FOR FISCAL YEAR 2019 WAS \$65,032.78 WHICH INCLUDES PROFESSIONAL FUNDRAISING FEES OF \$61,299.00 AND FUNDRAISING EXPENSES OF \$3,733.78. THE CONTRACT BETWEEN VANDERBILT UNIVERSITY AND PENTERA LLC CALLS FOR THE REIMBURSEMENT OF FUNDRAISING EXPENSES INCURRED BY PENTERA LLC, SUCH AS PRINTING AND POSTAGE, WHICH ARE INVOICED SEPARATELY FROM PROFESSIONAL SERVICE FEES.;STEEGETHOMSON COMMUNICATIONS INC-THE TOTAL AMOUNT PAID TO STEEGETHOMSON COMMUNICATIONS INC FOR FISCAL YEAR 2019 WAS \$214,711.21 WHICH INCLUDES PROFESSIONAL FUNDRAISING FEES OF \$202,911.25 AND FUNDRAISING EXPENSES OF \$11,799.96. THE CONTRACT BETWEEN VANDERBILT UNIVERSITY AND STEEGETHOMSON COMMUNICATIONS INC CALLS FOR THE REIMBURSEMENT OF EXPENSES INCURRED BY STEEGETHOMSON COMMUNICATIONS INC, SUCH AS TRAVEL AND MORE, WHICH ARE NOT INVOICED SEPARATE FROM PROFESSIONAL SERVICE FEES.;

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
Vanderbilt University

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2018

Open to Public Inspection

Employer identification number
62-0476822

Part I

General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II

Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) See Additional Data							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table 144

3 Enter total number of other organizations listed in the line 1 table 2

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
(1) Student Financial Assistance	10491	325,986,237	0	N/A	N/A
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

Part IV Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference	Explanation
Schedule I, Part I, Line 2 Procedures for monitoring use of grant funds.	<p>subrecipient grants: Vanderbilt University maintains a formal policy defining its procedures for monitoring the use of sponsored funds by subrecipients who are performing a portion of a sponsored project externally awarded to Vanderbilt. The policy provides guidance to ensure that subrecipients conduct their portions of sponsored projects in compliance with laws, regulations, terms and conditions of awards and subawards, and that reimbursed costs incurred by subrecipients are allowed. The policy addresses the roles and responsibilities of central offices and academic departments of the university and describes the monitoring procedures for each area. The full text of Vanderbilt's subrecipient policy is available online at the following web address: https://www.vanderbilt.edu/ocga/docs/vupolicies/subrecipient/RevisedVUSubrecipientMonitoringGuidelines_effective12_26_2014.pdf</p> <p>Financial Aid: Assistance offered from institutional funds by Vanderbilt to our students is awarded on the basis of merit and/or financial need. Such assistance helps students and their families support the cost of attendance which includes tuition, mandatory fees, room & board, books, other course materials/supplies, and allowances for personal/miscellaneous and travel expenses. Merit-based awards are competitively awarded in recognition of academic achievement, leadership, and commitment to community service, contributions to society, and other forms of accomplishment. Need-based assistance is awarded on the basis of documented financial need, resulting from a need analysis evaluation accomplished in accordance with relevant and applicable federal, state, and/or institutional programs, policies, and eligibility requirements. The units responsible for administering student financial aid at Vanderbilt regularly initiate system-generated reviews, as well as other cross-checks on an ad hoc basis. Results from these reviews, along with recurring management reports, are regularly evaluated. Subsequently, follow-up corrective actions are taken when appropriate and potentially include award revisions or cancellations. These provisions are designed to help ensure that student assistance funds are awarded to eligible recipients, in accordance with applicable federal, state, institutional, and other program legislation, as well as relevant internal University policies and operational guidelines/criteria.</p>

Additional Data

Software ID: 18007697
Software Version: 2018v3.1
EIN: 62-0476822
Name: Vanderbilt University

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Aerospace Corporation 2310 East El Segundo Blvd El Segundo, CA 902454691	95-2102389	501(c)(3)	10,038	0	N/A	N/A	Research
AI Biomed 114 Haley Street Suite K Santa Barbara, CA 93101	46-3748521	N/A	330,713	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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American Education Research Assoc 1430 K Street NW Ste 1200 Washington DC, DC 20005	23-7003537	501(c)(3)	15,000	0	N/A	N/A	Contribution
Arts & Business Council of Greater Nashville 1900 Belmont Blvd Nashville, TN 37212	20-3255129	501(c)(3)	12,850	0	N/A	N/A	Research, Contribution

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Austin Peay State University Finance Administration PO Box 4635 Clarksville, TN 370444635	62-0646576	GOVT	70,198	0	N/A	N/A	Instruction
Baylor College of Medicine One Baylor Plaza BCM 206 Houston, TX 77030	74-1613878	501(c)(3)	399,954	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Berea College Grant Services and Compliance CPO 2204 101 Chestnut Street Berea, KY 40404	61-0444650	501(c)(3)	214,617	0	N/A	N/A	Research
Beth Israel Deaconess Medical Center Inc 330 Brookline Avenue Boston, MA 02215	04-2103881	501(c)(3)	5,990	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Boston University 881 Commonwealth Avenue Boston, MA 022151300	04-2103547	501(c)(3)	8,596	0	N/A	N/A	Research
Brigham & Womens Hospital Inc Partners Healthcare Research Mgmt 101 Huntington Ave Suite 300 Boston, MA 02199	04-2312909	501(c)(3)	241,799	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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California Institute of Technology Ws 31b Financial Services MC 201-15 Pasadena, CA 91125	95-1643307	501(c)(3)	254,045	0	N/A	N/A	Research
Carnegie Mellon University Sponsored Projects Acctg 5000 Forbes Ave Pittsburgh, PA 152133890	25-0969449	501(c)(3)	472,250	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Carolinas Healthcare System 4828 Airport Center Parkway Building E Charlotte, NC 282085886	56-6060481	501(c)(3)	14,014	0	N/A	N/A	Research
Case Western Reserve University Sears Library Room 669 10900 Euclid Avenue Cleveland, OH 441067015	34-1018992	501(c)(3)	64,529	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Center for Nonprofit Management Inc 37 Peabody St Suite 201 Nashville, TN 37210	58-2000064	501(c)(3)	30,000	0	N/A	N/A	Contribution
Childrens Hospital of Los Angeles 4650 Sunset Blvd MS 97 Los Angeles, CA 900276062	95-1690977	501(c)(3)	13,868	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Christian Brothers University 650 East Parkway South Memphis, TN 38104	62-0476666	501(c)(3)	24,260	0	N/A	N/A	Instruction
Claremont Graduate University 150 East Tenth Street Harper Hall 152 Claremont, CA 91711	95-1664100	501(c)(3)	75,710	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Clemson University Business Affairs Box 345307 Clemson, SC 296345307	57-6000254	501(c)(3)	14,518	0	N/A	N/A	Research
Cleveland State University 2121 Euclid Avenue Cleveland, OH 441152214	62-0762876	501(c)(3)	11,503	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Conexion Americas 2195 Nolensville Pike Nashville, TN 37211	62-1715618	501(c)(3)	10,000	0	N/A	N/A	Contribution
Conservancy for the Parthenon and Centennial Park PO Box 196340 Nashville, TN 37219	58-1609026	501(c)(3)	10,000	0	N/A	N/A	Contribution

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Cornell University Office of Sponsored Programs 120 Day Hall Ithaca, NY 148532801	15-0532082	501(c)(3)	20,278	0	N/A	N/A	Research
Country Music Foundation Inc 222 Fifth Avenue South Nashville, TN 37203	62-0753887	501(c)(3)	14,429	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Dana Farber Cancer Institute Inc 450 Brookline Avenue Boston, MA 022155450	04-2263040	501(c)(3)	347,070	0	N/A	N/A	Research
Dismas Inc 1513 16th Ave S Nashville, TN 37212	27-7376100	501(c)(3)	20,000	0	N/A	N/A	Contribution

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Duke University OSP Box 104135 Durham, NC 277080077	56-0532129	501(c)(3)	62,331	0	N/A	N/A	Research
Dynamic Object Language Labs Inc 2 Parsonage Hill Road Haverhill, MA 01832	10-0142007	501(c)(3)	395,183	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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East Tennessee State University ORSP Box 70565 Ada Earnest House Johnson City, TN 376141707	62-6021046	501(c)(3)	31,740	0	N/A	N/A	Instruction
ETR Associates 100 Enterprise Way Suite G300 Scotts Valley, CA 950663248	92-2760764	501(c)(3)	73,229	0	N/A	N/A	Research

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Vanderbilt University Sponsored Programs 1000 17th Avenue North Nashville, TN 372083051	62-0202000	501(c)(3)	404,128	0	N/A	N/A	Research
Florida International University 11200 SW 8th Street MARC 430 Miami, FL 331990001	23-7047106	501(c)(3)	24,532	0	N/A	N/A	Research

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Florida State University 874 Traditions Way 3rd Floor SSB PO Box 3064166 Tallahassee, FL 323064166	59-6001138	501(c)(3)	466,127	0	N/A	N/A	Research
George Mason University OSP 4400 University Drive MS 4C6 Fairfax, VA 220304422	54-0836354	501(c)(3)	119,019	0	N/A	N/A	Research

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Georgetown University 2121 Wisconsin Ave NW Suite 400 Washington DC, DC 200072258	53-0196603	501(c)(3)	29,068	0	N/A	N/A	Research
Georgia Institute of Technology Office of Sponsored Programs 505 Tenth Street NW Atlanta, GA 303320420	58-6002023	GOVT	380,422	0	N/A	N/A	Research

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Global Action Platform Cumberland Center Inc 8 City Blvd Ste 402 Nashville, TN 37209	20-8691236	501(c)(3)	20,000	0	N/A	N/A	Contribution
Greenways for Nashville PO Box 196340 Nashville, TN 37219	62-1570596	501(c)(3)	28,500	0	N/A	N/A	Contribution

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H Lee Moffitt Cancer Center and Research Institute 12902 Magnolia Drive MBC-OGCA Tampa, FL 336129497	59-2451713	501(c)(3)	238,045	0	N/A	N/A	Research
Howard Live Oak LLC 1800 Peter Pan Street Norman, OK 73072	27-5308663	501(c)(3)	18,783	0	N/A	N/A	Research

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Howard University 576 W Street NW Washington DC, DC 20059	53-0204707	501(c)(3)	56,385	0	N/A	N/A	Research
Humanities Tennessee 807 Main Street Suite B Nashville, TN 37206	62-0933337	501(c)(3)	10,000	0	N/A	N/A	Contribution

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Institute for Broadening Participation PO Box 607 281 Main Street Damariscotta, ME 04543	20-1891162	501(c)(3)	71,392	0	N/A	N/A	Research
KUMC Research Institute Inc Mailstop 1039 3901 Rainbow Boulevard Kansas City, KS 661032937	48-1108830	501(c)(3)	22,025	0	N/A	N/A	Research

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Le Vote International LLC 1050 Crown Pointe Parkway Suite 500 Atlanta, GA 30338	30-0796594	501(c)(3)	83,640	0	N/A	N/A	Research
Lipscomb University One University Park Drive Nashville, TN 372043951	62-0485733	501(c)(3)	60,484	0	N/A	N/A	Research

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Loyola University Chicago Sponsored Program Accounting 820 N Michigan Ave Chicago, IL 60611	36-1408475	501(c)(3)	23,071	0	N/A	N/A	Research
Mannheimer Foundation Inc 20255 SW 360th St Homestead, FL 33034	22-1851590	501(c)(3)	38,371	0	N/A	N/A	Research

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Massachusetts Institute of Technology 77 Massachusetts Avenue Room E19-604 Cambridge, MA 021394307	04-2103594	501(c)(3)	123,272	0	N/A	N/A	Research
Mayo Clinic Rochester PO Box 860334 Minneapolis, MN 554860334	41-6011702	501(c)(3)	181,366	0	N/A	N/A	Research

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Medtronic Sofamor Danek USA Inc 2600 Sofamor Danek Drive Memphis, TN 38132	81-2307516	501(c)(3)	312,500	0	N/A	N/A	Research
Meharry Medical College Grants and Contracts 1005 DB Todd Blvd Nashville, TN 37208	62-0488046	501(c)(3)	65,582	0	N/A	N/A	Research

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Metropolitan Nashville Public Schools 2601 Bransford Avenue Nashville, TN 372042811	62-0717138	GOVT	148,387	0	N/A	N/A	Research, Contribution
Middle Tennessee State University ORSP 1301 East Main Street MGB Bldg Room 104 Murfreesboro, TN 371320001	62-6005794	GOVT	84,496	0	N/A	N/A	Research, Instruction, Contribution

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Nashville Adult Literacy Council 4805 Park Ave Ste 305 Nashville, TN 37209	58-1488230	501(c)(3)	11,000	0	N/A	N/A	Contribution
Nashville Ballet 3630 Redmon St Nashville, TN 37209	58-1440788	501(c)(3)	7,500	0	N/A	N/A	Contribution

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Nashville Civic Design Center 138 Second Ave N Ste 106 Nashville, TN 37201	31-1743508	501(c)(3)	36,000	0	N/A	N/A	Contribution
Nashville Downtown Partnership 50 4th Ave N Ste g-150 Nashville, TN 37219	62-1774641	501(c)(3)	5,475	0	N/A	N/A	Contribution

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Nashville Opera Association 3622 Redmon Street Nashville, TN 37209	62-1119830	501(c)(3)	21,000	0	N/A	N/A	Contribution
Nashville Public Education Foundation 1201 18th Avenue South Nashville, TN 37212	48-1266314	501(c)(3)	10,000	0	N/A	N/A	Contribution

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Nashville Public Library Foundation 615 Church St Nashville, TN 37219	62-1681766	501(c)(3)	25,000	0	N/A	N/A	Contribution
NC Dept of Public Instruction 6302 Mail Service Center Raleigh, NC 276996302	56-1492826	501(c)(3)	38,649	0	N/A	N/A	Instruction

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New Mexico Institute of Mining and Technology 801 Leroy Place Socorro, NM 878014681	85-6000411	GOVT	40,031	0	N/A	N/A	Research
New York University 665 Broadway Suite 801 New York City, NY 10012	13-5562308	501(c)(3)	24,075	0	N/A	N/A	Research

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Nissan Technical Center North America 39001 Sunrise Drive Farmington Hills, MI 48331	95-2108010	N/A	186,738	0	N/A	N/A	Research
North Carolina State University Contracts and Grants Campus Box 7214 Raleigh, NC 276957214	56-6000756	GOVT	279,918	0	N/A	N/A	Research

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Northwestern University ASRSP 619 Clark Street Room 217 Evanston, IL 602081112	36-2167817	501(c)(3)	629,738	0	N/A	N/A	Research
Oasis Center Inc 1221 16th Avenue South Nashville, TN 37212	62-0968273	501(c)(3)	119,849	0	N/A	N/A	Research

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Ohio State Univ Research Foundation Financial Services Department 1960 Kenny Road Columbus, OH 432101063	31-6401599	501(c)(3)	406,391	0	N/A	N/A	Research
Oregon Health & Science University Research Support 2525 SW 1st Ave Suite 125 Portland, OR 97201	23-7083114	501(c)(3)	342,525	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Oregon State University Office of Sponsored Programs 312 Kerr Administration Bldg Corvallis, OR 973312140	48-1278540	GOVT	218,478	0	N/A	N/A	Research
Purdue University Young Hall Sponsored Program Admin 302 Wood Street West Lafayette, IN 479072040	35-6002041	GOVT	35,512	0	N/A	N/A	Research, Instruction

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Rand Corporation 1776 Main Street PO Box 2138 Santa Monica, CA 904072138	95-1958142	501(c)(3)	264,501	0	N/A	N/A	Research
Regents of the University of California at Los Angeles Box 951406 11000 Kinross Bldg Ste 102 Los Angeles, CA 900951364	95-6006143	GOVT	279,219	0	N/A	N/A	Research

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Research Foundation for Mental Hygiene Inc 150 Broadway Suite 301 Menands, NY 12204	14-1410842	501(c)(3)	105,677	0	N/A	N/A	Research
Research Institute at Nationwide Childrens Hospital 700 Childrens Drive Columbus, OH 43205	31-6056230	501(c)(3)	63,026	0	N/A	N/A	Research

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Rutgers University ABS III 3 Rutgers Plaza New Brunswick, NJ 08901	23-7318742	501(c)(3)	381,102	0	N/A	N/A	Research
Salem State University 352 Lafayette Street Salem, MA 01970	04-2325342	GOVT	17,755	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Second Harvest Food Bank of Middle Tennessee 331 Great Circle Road Nashville, TN 37228	62-1049447	501(c)(3)	11,003	0	N/A	N/A	Contribution
Southern Methodist University PO Box 752059 Dallas, TX 752750259	75-0800689	501(c)(3)	448,113	0	N/A	N/A	Research, Instruction

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SRI International 333 Ravenswood Avenue Menlo Park, CA 94025	94-1160950	501(c)(3)	246,026	0	N/A	N/A	Research
Stanford University OSR 651 Serra Street Room 260 MC 4125 Stanford, CA 943054125	94-1156365	501(c)(3)	435,191	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Tampa VA Research and Education Foundation Inc 5620 E Fowler Ave Suite B Temple Terrace, FL 336172373	59-3444354	501(c)(3)	67,294	0	N/A	N/A	Research
Tennessee Chamber of Commerce 414 Union Street Suite 107 Nashville, TN 37219	62-1236022	501(c)(3)	9,000	0	N/A	N/A	Contribution

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Tennessee Immigrant and Refugee Rights Coalition 2195 Nolensville Pike Nashville, TN 37211	20-0121100	501(c)(3)	6,700	0	N/A	N/A	Contribution
Tennessee Performing Arts Center 505 Deadrick St Nashville, TN 37243	58-1320590	501(c)(3)	15,000	0	N/A	N/A	Contribution

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Tennessee Sports Hall of Fame 501 Broadway Nashville, TN 37203	23-7036422	501(c)(3)	6,000	0	N/A	N/A	Contribution
Tennessee State Museum Foundation 505 Deaderick Street Nashville, TN 37243	51-0200584	501(c)(3)	6,000	0	N/A	N/A	Contribution

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Tennessee State University 3500 John Merritt Blvd Admin Bldg Suite 102 Nashville, TN 372091561	62-0786119	GOVT	82,865	0	N/A	N/A	Research
Tennessee Technological University ORGS Box 5012 Cookeville, TN 385050001	62-0646806	GOVT	44,868	0	N/A	N/A	Instruction

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Texas A&M Engineering Experiment Station 400 Harvey Mitchel Pkwy Suite 300 College Station, TX 778454375	75-6001353	501(c)(3)	122,692	0	N/A	N/A	Research
Texas A&M University 400 Harvey Mitchell Pkwy S Ste 300 College Station, TX 77845	74-6000531	GOVT	178,062	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
The Community Fdn of Middle TN Inc 3833 Cleghorn Ave Ste 400 Nashville, TN 37215	62-1471789	501(c)(3)	5,400	0	N/A	N/A	Contribution
The Conservancy For The Parthenon And Centennial Park PO Box 196340 Nashville, TN 37219	58-1609026	501(c)(3)	10,000	0	N/A	N/A	Contribution

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
The Equity Alliance PO Box 331821 Nashville, TN 37203	81-5394158	501(c)(3)	7,500	0	N/A	N/A	Contribution
The Pennsylvania State University 227 W Beaver Ave Ste 401 State College, PA 168014819	24-6000376	501(c)(3)	65,894	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Tougaloo College Sponsored Programs and Research 500 West County Line Road Tougaloo, MS 39174	64-0303093	501(c)(3)	30,826	0	N/A	N/A	Research
University of Alabama Box 870104 Tuscaloosa, AL 358470104	63-6001138	501(c)(3)	65,660	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Arizona Sponsored Projects Services P O Box 3308 Tucson, AZ 857223308	86-6004791	501(c)(3)	198,920	0	N/A	N/A	Research
University of Arkansas for Medical Sciences 4301 West Markham Little Rock, AR 72205	71-6046242	501(c)(3)	7,592	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of California Berkeley 2150 Shattuck Ave Ste 313 Berkeley, CA 947045940	95-2226406	501(c)(3)	104,835	0	N/A	N/A	Research
University of California Davis 1850 Research Park Drive Ste 300 Davis, CA 95618	94-6036494	501(c)(3)	48,365	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of California San Diego 9500 Gilman Drive Mail Code 0934 La Jolla, CA 920930934	95-6006144	501(c)(3)	38,130	0	N/A	N/A	Research
University of California San Francisco 3333 California Street Suite 315 San Francisco, CA 941430962	94-6036493	501(c)(3)	200,644	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of California Santa Barbara 3227 Cheadle Hall Santa Barbara, CA 931062050	95-6006145	501(c)(3)	349,979	0	N/A	N/A	Research
University of Central Florida Office of Sponsored Research 4000 Central Florida Blvd ADM 243 Orlando, FL 32816	59-2924021	501(c)(3)	12,468	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Chicago Post Award Admin 1225 East 60th Street Chicago, IL 606372801	36-2177139	501(c)(3)	81,144	0	N/A	N/A	Research
University of Connecticut 438 Whitney Road Ext Unit-1133 Storrs, CT 062691133	06-0772160	GOVT	240,784	0	N/A	N/A	Research, Instruction

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Florida 219 Grinter Hall Gainesville, FL 326115500	59-6002052	501(c)(3)	39,475	0	N/A	N/A	Research
University of Hawaii 2440 Campus Road Box 368 Honolulu, HI 96822	99-6000354	501(c)(3)	35,915	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Houston Research Financial Services E Cullen Building Room 316 Houston, TX 77204	74-6001399	GOVT	33,337	0	N/A	N/A	Research
University of Illinois GCO 1901 S First St Ste A MC685 Champaign, IL 61820	37-6000511	501(c)(3)	255,685	0	N/A	N/A	Research, Instruction

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Kentucky 1000 South Limestone Street Room A00115 Lexington, KY 405060057	61-6001218	GOVT	50,392	0	N/A	N/A	Research
University of Louisville Research Foundation Inc 300 E Market St Suite 300 Louisville, KY 402021959	61-1029626	501(c)(3)	23,945	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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University of Memphis Office of Research Support Services Administration Bldg Room 315 Memphis, TN 38152	62-0648618	GOVT	43,617	0	N/A	N/A	Instruction
University of Miami 1320 South Dixie Highway Gables One Tower Suite 650 Coral Gables, FL 33146	59-0624458	501(c)(3)	58,463	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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University of Michigan Spons Progr 3003 South State Street 3089 Wolverine Tower Ann Arbor, MI 481091287	38-6006309	GOVT	264,342	0	N/A	N/A	Research
University of Minnesota Regents Sponsored Financial Reporting 200 Oak Street SE Suite 450 Minneapolis, MN 554552070	41-6007513	GOVT	304,949	0	N/A	N/A	Research, Instruction

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Missouri Sponsored Programs Admin 310 Jesse Hall Columbia, MO 65211	43-6003859	GOVT	12,242	0	N/A	N/A	Research
University of Nebraska Lincoln 2200 Vine St 151 Prem S Paul Research Center Lincoln, NE 685830861	47-0049123	501(c)(3)	9,956	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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University of Nebraska Medical Center 985100 Nebraska Medical Center Omaha, NE 681985100	47-0049123	501(c)(3)	102,607	0	N/A	N/A	Research
University of North Carolina Chapel Hill Office of Research 104 Airport Dr Ste 2200 CB 1350 Chapel Hill, NC 275991350	56-6001393	GOVT	137,075	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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University of Notre Dame Office of Research 511 Main Building Notre Dame, IN 46556	35-0868188	501(c)(3)	29,032	0	N/A	N/A	Research
University of Oklahoma Health Sciences Center 865 Research Parkway Suite 490 Oklahoma City, OK 731043609	73-1563627	501(c)(3)	25,455	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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University of Oregon 5219 University of Oregon Eugene, OR 974035219	46-4727800	501(c)(3)	25,047	0	N/A	N/A	Research
University of Pennsylvania 3440 Market Street Suite 560 Philadelphia, PA 191043325	23-1352685	501(c)(3)	125,229	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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University of Pittsburgh Research/Cost Acctg 3130 Cathedral of Learning Pittsburgh, PA 15620	25-0965591	501(c)(3)	696,481	0	N/A	N/A	Research
University of South Dakota 414 E Clark Street Vermillion, SD 570692390	46-6000364	501(c)(3)	21,030	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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University of South Florida Research Financial Mgmt 4202 E Fowler Ave BEH 253 Tampa, FL 336209951	59-0879015	501(c)(3)	227,611	0	N/A	N/A	Research
University of Tennessee Controllers Office 201 Andy Holt Tower Knoxville, TN 379960100	62-6001636	501(c)(3)	186,589	0	N/A	N/A	Research, Instruction

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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University of Tennessee at Chattanooga OGPR 615 McCallie Avenue Chattanooga, TN 374032598	62-6001636	501(c)(3)	103,792	0	N/A	N/A	Research, Instruction
University of Texas at Arlington 219 West Main Street Box 19136 Arlington, TX 760190136	75-6000121	501(c)(3)	118,548	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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University of Texas at Austin ARL Admin Group PO Box 8029 Austin, TX 787138029	74-6000203	501(c)(3)	1,362,972	0	N/A	N/A	Research, Instruction
University of Utah Accounting 201 S Presidents Circle Room 408 Salt Lake City, UT 841129012	87-6000525	GOVT	94,171	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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University of Virginia OSP P O Box 400195 Charlottesville, VA 229044195	54-6001796	501(c)(3)	677,839	0	N/A	N/A	Research
University of Washington OSP Box 359472 Seattle, WA 98195	91-6001537	501(c)(3)	481,947	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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University of Wisconsin Research Sponsored Programs 21 North Park Street Suite 6401 Madison, WI 53715	39-6006492	501(c)(3)	548,650	0	N/A	N/A	Research
Vanderbilt University Medical Center Contracts and Grants 3319 West End Ave Suite 800 Nashville, TN 37203	35-2528741	501(c)(3)	31,412,648	0	N/A	N/A	Research, Instruction

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Virginia Commonwealth University Sponsored Project Administration 800 East Leigh Street Suite 113 Richmond, VA 23219	54-6001758	501(c)(3)	138,905	0	N/A	N/A	Instruction
Wake Forest University Health Sciences Office of Sponsored Programs Medical Center Blvd Winston Salem, NC 27157	22-3849199	501(c)(3)	334,326	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Washington State University Sponsored Projects 240 French Administration Bldg Pullman, WA 991641025	91-6001108	501(c)(3)	166,857	0	N/A	N/A	Research
Washington University One Brookings Drive Campus Box 1054 St Louis, MO 631304899	43-0653611	501(c)(3)	41,310	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Williamson Inc 5005 Meridian Blvd Franklin, TN 37067	36-4720381	501(c)(3)	7,500	0	N/A	N/A	Contribution
Worcester Polytechnic Institute 100 Institute Road PO Box 15507 Worcester, MA 016150507	04-2121659	501(c)(3)	21,633	0	N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
YWCA of Nashville & Middle TN 1608 Woodmont Blvd Nashville, TN 37215	62-0475702	501(c)(3)	14,000	0	N/A	N/A	Contribution
100 Black Men of Middle Tennessee PO Box 140789 Nashville, TN 37214	58-1984750	501(c)(3)	10,000	0	N/A	N/A	Contribution

Schedule J (Form 990)	Compensation Information	OMB No. 1545-0047
		2018
		Open to Public Inspection
Department of the Treasury Internal Revenue Service	For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23. ▶ Attach to Form 990. ▶ Go to www.irs.gov/Form990 for instructions and the latest information.	
Name of the organization Vanderbilt University		Employer identification number 62-0476822

Part I Questions Regarding Compensation		Yes	No
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.			
<input checked="" type="checkbox"/> First-class or charter travel	<input type="checkbox"/> Housing allowance or residence for personal use		
<input checked="" type="checkbox"/> Travel for companions	<input type="checkbox"/> Payments for business use of personal residence		
<input type="checkbox"/> Tax indemnification and gross-up payments	<input checked="" type="checkbox"/> Health or social club dues or initiation fees		
<input type="checkbox"/> Discretionary spending account	<input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)		
b If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain		1b Yes	
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?		2 Yes	
3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.			
<input checked="" type="checkbox"/> Compensation committee	<input checked="" type="checkbox"/> Written employment contract		
<input checked="" type="checkbox"/> Independent compensation consultant	<input checked="" type="checkbox"/> Compensation survey or study		
<input checked="" type="checkbox"/> Form 990 of other organizations	<input checked="" type="checkbox"/> Approval by the board or compensation committee		
4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:			
a Receive a severance payment or change-of-control payment?		4a Yes	
b Participate in, or receive payment from, a supplemental nonqualified retirement plan?		4b Yes	
c Participate in, or receive payment from, an equity-based compensation arrangement?		4c	No
If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:			
a The organization?		5a	No
b Any related organization?		5b	No
If "Yes," on line 5a or 5b, describe in Part III.			
6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:			
a The organization?		6a	No
b Any related organization?		6b	No
If "Yes," on line 6a or 6b, describe in Part III.			
7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III.		7 Yes	
8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.		8 Yes	
9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?		9 Yes	

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

[illegible]

Part III **Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
Schedule J, Part I, Line 1a First-class or charter travel	Vanderbilt excludes first-class and charter travel from taxable income when a documented business purpose is served. First-class or charter travel - Travelers should fly via commercial airline and work to obtain the lowest fare possible. Business class or first class seating may only be used if the traveler's Vice Chancellor provides approval in writing in advance. This approval is only provided for limited circumstances as provided in Vanderbilt's Travel and Business Expense Policy. In cases where it is not possible to fly commercial due to scheduling commitments, and the travel is of the highest importance, travelers may request that the Chancellor approve a charter flight. After weighing the benefits of the trip against the cost of the charter, the chancellor may approve a charter flight. The Board of Trust has approved first class and charter travel for the Chancellor when necessitated by his schedule. Per Vanderbilt's athletics travel policy, the Vice Chancellor for University Affairs and Athletics can approve non-student travel on team charter flights, when there are extra seats, without requiring reimbursement to the university since the charter fee is not determined by the number of actual passengers. 5 officers, 3 highest compensated employees, 2 trustees and 1 key employee were provided first-class or charter travel that was not included in taxable compensation.

Return Reference	Explanation
Schedule J, Part I, Line 1a Travel for companions	1 officer was provided companion travel that was included in taxable compensation. 1 highest compensated employee, 1 trustee and 2 officers were provided companion travel that was not included in taxable compensation.

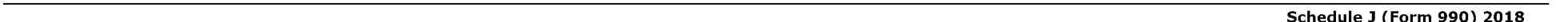
Return Reference	Explanation
Schedule J, Part I, Line 1a Health or social club dues or initiation fees	1 officer was provided health or social club dues or initiation fees that was not included in taxable compensation.

Return Reference	Explanation
Schedule J, Part I, Line 4a Severance or change-of-control payment	Certain Individuals Listed in Form 990, Schedule J, Part II received a severance payment in connection to their termination of status as an officer. 2 payouts were made under a severance agreement: Audrey Anderson: \$1,037,900 George Hill: \$291,350

Return Reference	Explanation
Schedule J, Part I, Line 4b Supplemental nonqualified retirement plan	Certain individuals listed in Form 990. Schedule J, Part II, participate in nonqualified deferred compensation plans. Amounts contributed to the plans include fixed % of annual bonus payments, and fixed dollar amounts. Contributions to the plan vest when individuals complete their contract term or continue employment to a designated date. Current year accruals of compensation associated with these plans are included in the amounts reported for such individuals in Schedule J, Part II, Column (C). The payout of these amounts in future years will be included in the amounts reported in Schedule J, Part II, Column (B)(ii), and will also be reported in Schedule J, Part II, Column (F). 5 payouts were made under these plans to participating individuals in calendar year 2018. Audrey Anderson: \$350,000 Anders Hall: \$463,121 John Lutz: \$500,000 Hunter McCrossin: \$473,665 Travis Shore: \$436,782

Return Reference	Explanation
Schedule J, Part I, Line 7 Non-fixed payments	Certain individuals listed in Schedule J, Part II received variable incentive compensation based on the achievement of pre-established goals, where judgement was determined either by the Board of Trust or the Chancellor. Incentive payments are shown in Schedule J, Part II, Column B(ii).

Return Reference	Explanation
Schedule J, Part I, Line 8 Payments on contract that is subject to the initial contract exception	Certain individuals are, from time to time, serving under the provisions of their initial contract to serve as officers of the university, which principally establishes their compensation, responsibilities and duties.



Additional Data

Software ID: 18007697
Software Version: 2018v3.1
EIN: 62-0476822
Name: Vanderbilt University

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
Nicholas S Zeppos	(i)	1,152,236	690,000	56,222	356,442	23,581	2,278,481	0
See Schedule O	(ii)	0	0	0	0	0	0	0
Audrey J Anderson	(i)	398,906	476,832	1,039,255	8,250	16,075	1,939,318	316,667
See Schedule O	(ii)	0	0	0	0	0	0	0
Steve Ertel	(i)	314,279	25,600	330	8,192	31,147	379,548	0
See Schedule O	(ii)	0	0	0	0	0	0	0
Nathan Green	(i)	307,498	31,000	732	8,250	27,963	375,443	0
See Schedule O	(ii)	0	0	0	0	0	0	0
Anders W Hall	(i)	774,118	952,288	19,310	365,925	15,960	2,127,601	391,397
See Schedule O	(ii)	0	0	0	0	0	0	0
Eric C Kopstain	(i)	508,104	42,883	19,305	38,284	11,870	620,446	0
See Schedule O	(ii)	0	0	0	0	0	0	0
John M Lutz	(i)	807,889	690,779	25,208	48,585	88,644	1,661,105	451,613
See Schedule O	(ii)	0	0	0	0	0	0	0
James Page Jr	(i)	139,769	100,000	816	6,257	6,759	253,601	0
See Schedule O	(ii)	0	0	0	0	0	0	0
Ruza Shellaway	(i)	290,114	0	233	12,055	36,588	338,990	0
See Schedule O	(ii)	0	0	0	0	0	0	0
Tina Smith	(i)	270,880	20,000	409	13,585	11,363	316,237	0
See Schedule O	(ii)	0	0	0	0	0	0	0
Susie S Stalcup	(i)	634,220	41,836	3,564	66,085	11,416	757,121	0
See Schedule O	(ii)	0	0	0	0	0	0	0
Brett C Sweet	(i)	908,204	429,385	18,810	93,585	20,024	1,470,008	0
See Schedule O	(ii)	0	0	0	0	0	0	0
Susan Wente	(i)	700,187	115,337	31,322	63,585	39,034	949,465	0
See Schedule O	(ii)	0	0	0	0	0	0	0
David Williams II	(i)	912,614	122,812	42,108	13,585	18,299	1,109,418	0
See Schedule O	(ii)	0	0	0	0	0	0	0
John Geer	(i)	528,014	150,000	32,878	43,585	24,092	778,569	0
See Schedule O	(ii)	0	0	0	0	0	0	0
Lawrence J Marnett	(i)	567,719	0	33,326	33,585	16,036	650,666	0
See Schedule O	(ii)	0	0	0	0	0	0	0
Timothy C Corbin	(i)	949,643	201,750	10,782	177,842	19,178	1,359,195	0
See Schedule O	(ii)	0	0	0	0	0	0	0
Bryce Drew	(i)	1,848,094	30,000	25,445	65,491	23,267	1,992,297	0
See Schedule O	(ii)	0	0	0	0	0	0	0
Derek Mason	(i)	3,090,304	187,500	21,810	196,918	37,965	3,534,497	0
See Schedule O	(ii)	0	0	0	0	0	0	0
Hunter McCrossin	(i)	359,114	665,714	18,904	211,853	29,874	1,285,459	283,491
See Schedule O	(ii)	0	0	0	0	0	0	0

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees								
(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
Travis W Shore	(i)	336,557	614,264	18,370	192,997	16,548	1,178,736	261,415
See Schedule O	(ii)	0	0	0	0	0	0	0
Lauren Benton	(i)	568,146	0	133,568	13,585	27,419	742,718	0
See Schedule O	(ii)	0	0	0	0	0	0	0
George C Hill	(i)	0	39,000	309,821	0	0	348,821	0
See Schedule O	(ii)	0	0	0	0	0	0	0
Richard C McCarty	(i)	413,347	0	32,636	13,706	6,403	466,092	0
See Schedule O	(ii)	0	0	0	0	0	0	0

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
Vanderbilt University

Supplemental Information on Tax-Exempt Bonds

► Complete if the organization answered "Yes" to Form 990, Part VI, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2018

Open to Public Inspection

Employer identification number

62-0476822

Part I Bond Issues											
(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A HEFB Vanderbilt Univ Series 2012D&E	62-6139016	592041UV7	11-29-2012	169,603,023	SEE Part VI OF THIS SCHEDULE	X			X		X
B HEFB Vanderbilt Univ Series 2009A&B	62-6139016	592041WV5	04-01-2009	328,850,840	SEE Part VI OF THIS SCHEDULE	X			X		X

Part II	Proceeds								
		A		B		C		D	
1	Amount of bonds retired	17,855,000		17,080,000					
2	Amount of bonds legally defeased	27,370,000		310,560,000					
3	Total proceeds of issue	169,603,023		328,896,899					
4	Gross proceeds in reserve funds	0		0					
5	Capitalized interest from proceeds	0		0					
6	Proceeds in refunding escrows	0		0					
7	Issuance costs from proceeds	0		2,245,733					
8	Credit enhancement from proceeds	0		0					
9	Working capital expenditures from proceeds	0		0					
10	Capital expenditures from proceeds	0		100,365,419					
11	Other spent proceeds	169,603,023		226,285,747					
12	Other unspent proceeds	0		0					
13	Year of substantial completion	2005		2011					
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?	X		X					
15	Were the bonds issued as part of an advance refunding issue?		X		X				
16	Has the final allocation of proceeds been made?	X		X					
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X					

Part III Private Business Use												
					A		B		C		D	
					Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?					X		X				
2	Are there any lease arrangements that may result in private business use of bond-financed property?				X		X					

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?		X		X				
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?								
c	Are there any research agreements that may result in private business use of bond-financed property?	X		X					
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X		X					
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶	0.92 %		0.2 %					
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶	0 %		0 %					
6	Total of lines 4 and 5	0.92 %		0.2 %					
7	Does the bond issue meet the private security or payment test? . . .		X		X				
8a	Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?	X		X					
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of. . .	0.07 %		0.11 %					
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?		X		X				
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X					

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate? . . .		X		X				
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X		X				
b	Exception to rebate?	X		X					
c	No rebate due?		X		X				
	If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X		X				
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X				
b	Name of provider								
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV Arbitrage (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X				
b Name of provider								
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X				
7 Has the organization established written procedures to monitor the requirements of section 148?	X		X					

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X					

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Return Reference	Explanation
Schedule K, Part I, Column (a) All Rows	THE HEALTH AND EDUCATIONAL FACILITIES BOARD OF METROPOLITAN GOVERNMENT OF NASHVILLE AND DAVIDSON COUNTY, TN (HEFB).

Return Reference	Explanation
Schedule K, Part I, Column (f) Description of Purpose	ROW A - 2012D&E - TO REFUND A PORTION OF SERIES 2000A BONDS (ISSUED ON JANUARY 20, 2005); A PORTION OF THE SERIES 2005A BONDS (ISSUED JANUARY 20, 2005); AND A PORTION OF TAX-EXEMPT COMMERCIAL PAPER. ROW B - 2009A&B - TO REFUND TAX-EXEMPT COMMERCIAL PAPER (ISSUED ON JUNE 28, 2007) USED TO FINANCE VARIOUS CAPITAL PROJECTS; REFUND SERIES 2005 B-1 & B-2 BONDS (BOTH ISSUED ON JANUARY 20, 2005); FINANCE VARIOUS CAPITAL IMPROVEMENTS; TO PAY FOR COSTS ASSOCIATED WITH ISSUANCE OF BONDS.

Return Reference	Explanation
Schedule K, Part II, Line 3 Proceeds	DIFFERENCES BETWEEN PART I, COLUMN (E) AND PART II, LINE 3 IS INVESTMENT EARNINGS.

Return Reference	Explanation
Schedule K, Part III, Line 4 Private Business Use	VANDERBILT UNIVERSITY IS REPORTING PRIVATE BUSINESS USE PERCENTAGES ON A NET BASIS. REPORTING ON A NET BASIS MEANS THAT PRIVATE BUSINESS USE HAS BEEN ALLOCATED TO THE EQUITY PORTION OF ANY DEBT-FINANCED BUILDINGS AND EQUIPMENT WHERE PRIVATE BUSINESS USE HAS OCCURRED. IN VANDERBILT'S CASE, EQUITY HAS SUBSTANTIALLY EXCEEDED RELATIVELY MINOR PRIVATE BUSINESS USE, RESULTING IN ZERO REPORTED AMOUNTS ON A NET BASIS.

Return Reference	Explanation
Schedule K, Part III, Line 8a Sale or Disposition of Bond-financed Property	VANDERBILT UNIVERSITY CALCULATES THE PERCENTAGE OF SALE OR DISPOSITION OF BOND-FINANCED PROPERTY BY USING ALL DISPOSED PROPERTY IN THE NUMERATOR WITHOUT CONSIDERING IF THE PROPERTY WAS SOLD TO A PRIVATE ORGANIZATION OR DISPOSED OF IN THE REGULAR COURSE OF BUSINESS. THIS PROVIDES FOR A MORE CONSERVATIVE CALCULATION. THE ASSETS THAT HAVE BEEN DISPOSED OF CONSIST OF SMALL EQUIPMENT AND NO BOND-FINANCED BUILDINGS OR LARGE EQUIPMENT HAVE BEEN SOLD. IT IS NOT VANDERBILT'S POLICY TO SELL ANY BOND-FINANCED ASSETS TO A NONGOVERNMENTAL PERSON OTHER THAN A 501(C)(3) ORGANIZATION.

Return Reference	Explanation
Schedule K, Part III, Line 8b Sale or Disposition of Bond- financed Property	COLUMN A - 2012D&E - SINCE A PORTION OF SERIES 2012D&E REFINANCED SERIES 2005A&B, THE PORTION OF 2005A&B THAT HAS BEEN DISPOSED OF IS ALLOCATED TO 2012D&E. COLUMN B - 2009A&B - SINCE A PORTION OF SERIES 2009A&B REFINANCED SERIES 2005A&B, THE PORTION OF 2005A&B THAT HAS BEEN DISPOSED OF IS ALLOCATED TO 2009A&B.

Schedule L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions with Interested Persons

▶ Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ.
▶Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2018

Open to Public Inspection

Name of the organization
Vanderbilt University

Employer identification number

62-0476822

Part I Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and 501(c)(29) organizations only).
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2 Enter the amount of tax incurred by organization managers or disqualified persons during the year under section 4958. \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization. \$

Part II Loans to and/or From Interested Persons.
Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
(1) Lauren Benton	Former Key Employee	Housing Loan		X	500,000	91,667		No		No	Yes	
Total						91,667						

Part III Grants or Assistance Benefiting Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance
(1)		5,484	Merit-based Scholarship	Scholarship to assist individuals to fund education costs
(2)		17,010	Tuition assistance	Tuition benefit to assist individuals to fund education costs.

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) Gail Carr-Williams	Family member of current officer David Williams, II	186,836	Employment at Vanderbilt		No
(2) Peter Lake	Family member of former key employee Lauren Benton	292,331	Employment at Vanderbilt		No
(3) Stacey McCarty	Family member of former officer Richard McCarty	67,794	Employment at Vanderbilt		No
(4) Sandra Solomon	Family member of former key employee Lauren Benton	85,423	Employment at Vanderbilt		No

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions).

Return Reference	Explanation
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SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

►Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
► Attach to Form 990.
►Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2018

Open to Public Inspection

Name of the organization
Vanderbilt University

Employer identification number
62-0476822

Part I

Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art	X	7	334,542	Market value
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications	X		148	Market value
5 Clothing and household goods	X		1,223	Market value
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	358	22,653,019	Market value
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other	X	3	2,306,000	Market value
18 Collectibles				
19 Food inventory	X	13	1,579	Market value
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► (Donor paid expenses)	X	6	24,690	Market value
26 Other ► (Miscellaneous)	X	28	9,347	Market value
27 Other ► (Software)	X	1	3,197,920	Market value
28 Other ► (Misc. Equipment)	X	4	15,609	Market value

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

5

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

30a

Yes

No

b If "Yes," describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?

31

Yes

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

32a

Yes

b If "Yes," describe in Part II.

33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.

Part II **Supplemental Information.**

Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
Schedule M, Part I Reporting Method for Number of Contributions	Vanderbilt University reports the number of contributions received throughout the year for each type of property listed.
Schedule M, Part I, Line 32b Third parties used to solicit, process, or sell noncash contributions	Vanderbilt University has utilized the assistance of professional real estate agents to sell the charitable contributions of real estate property.

SCHEDULE O
(Form 990 or 990-EZ)**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ.

► Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2018**Open to Public Inspection**

Department of the Treasury

Internal Revenue Service

Name of the organization
Vanderbilt University**Employer identification number**

62-0476822

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part I, Line 1 Description of Organization Mission	Vanderbilt University is a center for scholarly research, informed and creative teaching, and service to the community and society at large. Vanderbilt will uphold the highest standards and be a leader in the quest for new knowledge through scholarship, dissemination of knowledge through teaching and outreach, and creative experimentation of ideas and concepts. In pursuit of these goals, Vanderbilt values most highly the intellectual freedom that supports open inquiry and equality, compassion and excellence in all endeavors.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 1 Description of Organization Mission	See Disclosure Above.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4a Education	<p>Top-ranked in both academics and financial aid, Vanderbilt University ("Vanderbilt") offer s an immersive living-learning undergraduate experience, with programs in the liberal arts and sciences, engineering, music, education and human development. Vanderbilt also is hom e to nationally and internationally recognized graduate schools of law, education, busines s, medicine, nursing and divinity, and offers robust graduate-degree programs across a ran ge of academic disciplines. Vanderbilt's ten schools and colleges include: College of Arts and Science, Blair School of Music, Divinity School, School of Engineering, Graduate Scho ol, Law School, School of Medicine, School of Nursing, Owen Graduate School of Management, and Peabody College (of education and human development). Vanderbilt has approximately 6, 900 undergraduate students, 6,200 graduate and professional students, and more than 4,700 faculty members including Vanderbilt University Medical Center faculty (Vanderbilt Univers ity Medical Center faculty are appointed by Vanderbilt but are employed by Vanderbilt Univ ersity Medical Center). Vanderbilt ranked 15th among the nation's best universities in an annual survey conducted by U.S. News & World Report. Vanderbilt's Peabody College of educa tion and human development was named the No. 6 graduate school of education in the nation by U.S. News & World Report. Vanderbilt's School of Nursing ranked 8th among the nation's top graduate nursing schools. The Doctor of Nursing Practice program was ranked 5th. Nursi ng programs ranking in the top 5 in their respective fields include: Psychiatric Mental He alth Nurse Practitioner, Adult Gerontology Acute Care Nurse Practitioner, Pediatric Nurse Practitioner-Primary Care, Nursing Informatics, Family Nurse Practitioner, Adult Gerontolo gy-Primary Care Nurse Practitioner. Vanderbilt's School of Medicine ranked 16th for resear ch schools of medicine in the nation, the Law School ranked 18th, the Vanderbilt School of Engineering ranked 39th, and the Owen Graduate School of Management ranked 29th for gradu ate business schools. For more information regarding education at Vanderbilt, visit http://www.vanderbilt.edu.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part III, Line 4b Academic and Scientific Research	<p>Vanderbilt University ("Vanderbilt") is an internationally recognized research university. A majority of Vanderbilt's research funding is received from the federal government. Funding is also received from foundations, associations, corporations, and other sources. Vanderbilt's researchers are at the forefront of posing innovative solutions to some of the most challenging questions facing the world today. For more information regarding research at Vanderbilt, visit http://research.vanderbilt.edu</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 2 Family/business relationships amongst interested persons	Brett Sweet and David Williams - Business relationship, John R. Ingram and Mark Wilf - Business relationship, Steve Ertel, Eric Kopstain and Brett Sweet - Business relationship

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 4 Significant changes to organizational documents	A Code of By-Laws amendment modified responsibilities pertaining to the Compensation Committee.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 11b Review of form 990 by governing body	The Form 990 is prepared by Vanderbilt University and provided to PricewaterhouseCoopers, Vanderbilt University's independent accounting firm for review. After review by PricewaterhouseCoopers, Vanderbilt University provides a draft copy of the Form 990 and all required schedules for review to all General Officers, which includes the Chancellor and Chief Financial Officer. Once this review process is complete, all trustees are provided electronic access to the draft Form 990 and all required schedules for review. The final Form 990 and all required schedules are made available to the full Board of Trust for review via Boardbooks prior to the filing of the return.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 12c Conflict of interest policy	<p>Vanderbilt University requires that for any faculty or staff member disclosing a potential conflict of interest, the conflict be reviewed by the individual's manager, as well as by the conflict of interest and commitment management office, and the reported conflict be managed, reduced, or eliminated. The manager is required to respond that any recommended management plan has been implemented or that the reported conflict no longer exists. For those conflicts in which Vanderbilt may have an institutional interest, those in which human subject research is performed, or those deemed unmanageable, the university conflicts committee reviews and determines appropriate actions. The university conflicts committee members are appointed by the chancellor and are made up of representatives from relevant areas across the University including faculty, audit, research, legal, administrative, and compliance. At least one representative on the Committee is a person from outside the University community. The University's General Counsel serves as the chair of the Committee. The university conflicts committee reports bi-annually to the audit committee of the Board of Trust the matters brought before the committee and the resulting actions. Board of Trust members and senior executive management of the university also must complete annual conflict of interest disclosures and management plans are developed to manage, reduce, or eliminate any potential conflicts of interest. Trustees are notified of their plans and the plans are thoroughly discussed with them to ensure compliance. Those with disclosed potential conflict of interest are presented to the audit committee of the board of trust, along with their respective management action plans, where applicable. Management plans may include restrictions on members such as recusing themselves during deliberations and decisions in which a potential conflict may exist, with the minutes of the meeting reflecting their recusal. Additionally, all members of the Vanderbilt community are required to disclose potential conflicts as they arise throughout the year. The same processes noted above occur for these disclosures.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 15a Process to establish compensation of top management official	<p>To ensure that Vanderbilt is paying reasonable total compensation, is not violating the private inurement prohibition, which requires that none of the organization's income or assets unreasonably benefit any of its trustees, officers, or key employees, and is in compliance with intermediate sanctions provisions with respect to the general officers, Vanderbilt's Board of Trust has designated a Compensation Committee made up of outside, independent, board members to review and recommend to the Executive Committee of the Board of Trust the total compensation annually for the general officers. The committee utilizes appropriate, nationally-recognized consulting firms to conduct market compensation analyses, provide expert information, and issue reasonable opinions regarding comparative compensation norms, and to ensure compliance with all Internal Revenue Service rules concerning executive compensation, including the Internal Revenue Code provision related to intermediate sanctions, deferred compensation, and private inurement. The Compensation Committee reviews the executive compensation philosophy and affirms that it is in line with the Board's expectations. The compensation of the general officers is disclosed in the annual Form 990, which is available to the public in accordance with regulations section 301.6104(d)-1 through 3. Each year, the total compensation review and recommendations are recorded in the minutes of the Compensation Committee meetings. The approval of the recommendations is recorded in the Executive Committee meetings. The full Board is informed annually of the total compensation of the general officers during private session.</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 15b Process to establish compensation of other employees	Vanderbilt uses the same procedures as described in response to Part VI, Line 15a.

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 19 Required documents available to the public	Vanderbilt University makes its governing documents available to the public through its Board of Trust website located at: http://www.vanderbilt.edu/boardoftrust . Vanderbilt University makes its conflict of interest policy available to the public through its Compliance Program website located at http://www.vanderbilt.edu/compliance . Vanderbilt University makes its financial statements available to the public through its website located at: https://finance.vanderbilt.edu/accounting/report/ .

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VII, Section A, Line 1a, Column (A) Continuation of Titles	<p>- Nicholas S. Zeppos - Chancellor - Audrey Jane Anderson - Vice Chancellor, General Counsel, and University Secretary; TERMINATED STATUS AS AN OFFICER EFFECTIVE JULY 31ST, 2018 -Andre L. Churchwell, Interim Chief Diversity Officer and Vice Chancellor for Equity, Diversity, and Inclusion (effective June 8th, 2019) - Steve Ertel, Vice Chancellor for Communications - Nathan Green - Vice Chancellor for Government and Community Relations - Anders W. Hall - Vice Chancellor for Investments and Chief Investment Officer - Eric C. Kopstain - Vice Chancellor for Administration - John M. Lutz - Vice Chancellor for Information Technology -James Page - Vice Chancellor for Equity, Diversity, and Inclusion; Served as an officer effective August 17, 2018 and terminated his status on June 7th, 2019 -Ruby Z. Shellaway - Vice Chancellor, General Counsel, and University Secretary (effective August 1st, 2018) - Tina Smith - Interim Vice Chancellor for Equity, Diversity, and Inclusion; TERMINATED STATUS AS AN OFFICER EFFECTIVE AUGUST 16th, 2018 - Susie S. Stalcup - Vice Chancellor for Development and Alumni Relations - Brett C. Sweet - Vice Chancellor for Finance and Chief Financial Officer -Malcolm Turner - Vice Chancellor for Athletics and University Affairs and Athletics Director (effective February 1, 2019) - Susan Wentz - Provost and Vice Chancellor for Academic Affairs - David Williams, II - Vice Chancellor for Athletics and University Affairs and Athletics Director; TERMINATED STATUS AS AN OFFICER EFFECTIVE JANUARY 31ST, 2019 - John Geer - Dean of College of Arts and Science - Lawrence J. Marnett - Dean of Basic Sciences - Timothy C. Corbin - Head Baseball Coach - Bryce Drew - Head Men's Basketball Coach - Derek Mason - Head Football Coach - Hunter McCrossin - Managing Director, Office of Investments - Travis W. Shore - Managing Director, Office of Investments - Richard C. McCarty - Former Officer (Provost and Vice Chancellor for Academic Affairs); Terminated status as an officer effective July 1, 2014; Current Professor of Psychology - George C. Hill - Former Chief Diversity Officer and Vice Chancellor for Equity, Diversity, and Inclusion. Terminated status as an officer effective June 30, 2017. - Lauren Benton - Former Dean of College of Arts and Science and Key Employee; TERMINATED STATUS AS A KEY EMPLOYEE EFFECTIVE December 31, 2017</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VIII, Line 2f Other Program Service Revenue	All other program service revenue - Total Revenue: 12739162, Related or Exempt Function Re venue: 12739162, Unrelated Business Revenue: , Revenue Excluded from Tax Under Sections 51 2, 513, or 514: ;

990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part XI, Line 9 Other changes in net assets or fund balances	Change in minority interest - -11311045; Deconsolidated Distribution - 100100;

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

► Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2018

Open to Public Inspection

Name of the organization
Vanderbilt University

Employer identification number
62-0476822

Part I Identification of Disregarded Entities Complete if the organization answered "Yes" on Form 990, Part IV, line 33.					
(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) Dore Capital LLC 2100 West End Ave Suite 1000 Nashville, TN 37203 26-4337602	Investment	DE	0	0	VU
(2) Dore Capital Real Estate LLC 2100 West End Ave Suite 1000 Nashville, TN 37203 26-4581498	Investment	DE	0	0	VU
(3) Star V Partners LLC 2100 West End Ave Suite 1000 Nashville, TN 37203	Investment	TN	57,562,743	918,679,859	VU
(4) West Trace LLC 2201 W End Ave Ste 421 Nashville, TN 372400001 83-4051968	Hospitality Operations	TN	0	0	VU
(5) Vanderbilt Endowment Trust 400 Howard Street San Francisco, CA 94105 30-6559362	Investment	CA	1,311,142	109,218,771	VU

Part II Identification of Related Tax-Exempt Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.							
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1)Vanderbilt Student Communications Inc 2301 Vanderbilt Place Nashville, TN 37235 23-7030713	Support Org	TN	501(c)(3)	Type I	VU	Yes	
(2)Ingram Charitable Fund Inc 4400 Harding Road 9th Floor Nashville, TN 37203 58-1916504	Support Org	TN	501(c)(3)	Type III-O	NA		No

Part III Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end- of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1) Dore Capital LP 2100 West End Ste 1000 Nashville, TN 37203 26-4337679	Investments	DE	VU	Excluded	-2,847,923	43,285,529	Yes		-150,745	Yes		
(2) Dore Capital Real Estate LP 2100 West End Ste 1000 Nashville, TN 37203 26-4581574	Investments	DE	VU	Unrelated	2,563,681	25,190,689	Yes		1,423,921	Yes		
(3) Altos Hybrid 2 V LLC 2882 Sand Hill Road Suite 100 Menlo Park, CA 94025 82-2083773	Investments	DE	VU	Excluded	9,747	8,062,702		No			No	
(4) Valar Co-Invest 7 LP 915 Broadway Suite 1101 New York, NY 10010	Investment	DE	VU	Excluded	0	0		No			No	
(5) Valar Velocity Holdings LP 915 Broadway Suite 1101 New York, NY 10010	Investment	DE	VU	Excluded	0	0		No			No	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b) (13) controlled entity?	
								Yes	No
(1) Vanderbilt Legends Club Inc 1500 Legends Club Lane Franklin, TN 37069 62-1429615	Golf Club	TN	VU	C Corporation	8,547,480	21,032,406		Yes	
(2) Commodore Scottish Patron II LP 50 Lothian Road Festival Square Edinburgh, Scotland UK	Investments	UK	VU	C Corporation	-54,770	6,500,587		Yes	
(3) Emergent Pro Alia Fund Sub Fund Emergent African Land Fund 98-0600835	Investments	LU	VU	C Corporation	0	0		Yes	
(4) Pacific Harbor Special Holdings Ltd PO Box 957 Offshore Incorporations Centre Road Town, Tortola VI	Investments	VI	VU	C Corporation	0	0		Yes	
(5) Charitable Remainder Trusts (118)	Charitable Trust	TN	VU	Trust				Yes	
(6) Perpetual Trusts (5)	Charitable Trust	TN	VU	Trust				Yes	
(7) Charitable Lead Trusts (2)	Charitable Trust	TN	VU	Trust				Yes	

Part V Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity

b Gift, grant, or capital contribution to related organization(s)

c Gift, grant, or capital contribution from related organization(s)

d Loans or loan guarantees to or for related organization(s)

e Loans or loan guarantees by related organization(s)

f Dividends from related organization(s)

g Sale of assets to related organization(s)

h Purchase of assets from related organization(s)

i Exchange of assets with related organization(s)

j Lease of facilities, equipment, or other assets to related organization(s)

k Lease of facilities, equipment, or other assets from related organization(s)

l Performance of services or membership or fundraising solicitations for related organization(s)

m Performance of services or membership or fundraising solicitations by related organization(s)

n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

o Sharing of paid employees with related organization(s)

p Reimbursement paid to related organization(s) for expenses

q Reimbursement paid by related organization(s) for expenses

r Other transfer of cash or property to related organization(s)

s Other transfer of cash or property from related organization(s)

Yes

No

1a Yes

1b Yes

1c Yes

1d Yes

1e

1f Yes

1g

1h

1i

1j

1k Yes

1l Yes

1m

1n

1o Yes

1p Yes

1q Yes

1r Yes

1s Yes

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

See Additional Data Table

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved

Schedule R (Form 990) 2018

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII **Supplemental Information**

Provide additional information for responses to questions on Schedule R (see instructions).

Return Reference	Explanation
Schedule R, Part V, Line 2(c)	The amounts reported are derived from the books and records of Vanderbilt University, which are maintained on an accrual basis in accordance with U.S. Generally Accepted Accounting Principles. Valuation of the amounts reported in Schedule R, Part V, Column C is consistent with the valuation reflected in the University's consolidated audited financial statements.

Additional Data

Software ID: 18007697
Software Version: 2018v3.1
EIN: 62-0476822
Name: Vanderbilt University

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No
(1) Vanderbilt Legends Club Inc 1500 Legends Club Lane Franklin, TN 37069 62-1429615	Golf Club	TN	VU	C Corporation	8,547,480	21,032,406		Yes	
(1) Commodore Scottish Patron II LP 50 Lothian Road Festival Square Edinburgh, Scotland UK	Investments	UK	VU	C Corporation	-54,770	6,500,587		Yes	
(2) Emergent Pro Alia Fund Sub Fund Emergent African Land Fund 98-0600835	Investments	LU	VU	C Corporation	0	0		Yes	
(3) Pacific Harbor Special Holdings Ltd PO Box 957 Offshore Incorporations Centre Road Town, Tortola VI	Investments	VI	VU	C Corporation	0	0		Yes	
(4) Charitable Remainder Trusts (118)	Charitable Trust	TN	VU	Trust				Yes	
(5) Perpetual Trusts (5)	Charitable Trust	TN	VU	Trust				Yes	
(6) Charitable Lead Trusts (2)	Charitable Trust	TN	VU	Trust				Yes	

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of related organization		(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
(1)	Vanderbilt Legends Club	A	509,573	FMV
(1)	Vanderbilt Student Communications Inc	A	60,965	FMV
(2)	Altos Hybrid 2 V LLC	B	6,936,295	FMV
(3)	Dore Capital LP	B	180,000	FMV
(4)	Valar Co-Invest 7 LP	B	5,634,646	FMV
(5)	Valar Velocity Holdings LP	B	22,483,277	FMV
(6)	Charitable Lead Trust (1)	C	87,618	FMV
(7)	Charitable Remainder Trust (7)	C	8,900,192	FMV
(8)	Vanderbilt Legends Club	D	6,089,546	FMV
(9)	Vanderbilt Legends Club	K	100,000	FMV
(10)	Vanderbilt Student Communications Inc	O	534,769	FMV
(11)	Vanderbilt Legends Club	P	97,101	FMV
(12)	Vanderbilt Student Communications Inc	R	556,332	FMV
(13)	Charitable Lead Trust (1)	S	219,295	FMV
(14)	Commodore Scottish Patron II LP	S	804,027	FMV
(15)	Dore Capital Real Estate LP	S	4,530,000	FMV
(16)	Dore Capital LP	S	12,645,000	FMV
(17)	Perpetual Trusts (5)	S	895,234	FMV