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110 21ST AVENUE SOUTH STE 900

NASHVILLE, TN 37203

(615) 343-6601

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Se	ection A. Governing Body and Management								
	and the core in th		Yes	No					
1a	Enter the number of voting members of the governing body 1a 37	_							
b	Enter the number of voting members that are independent 1b 26								
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	Yes						
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	. 3		Νο					
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?	4	Yes						
5	Did the organization become aware during the year of a material diversion of the organization's assets?	5		Νο					
6	Does the organization have members or stockholders?	6		Νο					
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a		Νο					
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b		Νο					
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following								
а	The governing body?	8a	Yes						
b	Each committee with authority to act on behalf of the governing body?	8b	Yes						
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the								
	organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		Νo					
	ection B. Policies (This Section B requests information about policies not required by the Internal								
ке	venue Code.)		Yes	N-					
100	Does the organization have local chapters, branches, or affiliates?	10a	165	No No					
		104		NO					
,	b If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?								
11									
		11	Yes						
11A	Describe in Schedule O the process, if any, used by the organization to review the Form 990								
12a	Does the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes						
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes						
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes						
13	Does the organization have a written whistleblower policy?	13	Yes						
14	Does the organization have a written document retention and destruction policy?	14	Yes						
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?								
а	The organization's CEO, Executive Director, or top management official	15a	Yes						
ь	Other officers or key employees of the organization	15b	Yes						
	If "Yes" to line a or b, describe the process in Schedule O (See instructions)								
	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	Yes						
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the								
_	organization's exempt status with respect to such arrangements?	16b	Yes						
	Let the Ctates with which a convertible Form COO is required to be filed.								
17	List the States with which a copy of this Form 990 is required to be filed								
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you make these available. Check all that apply Own website. Another's website. Upon request								
19	Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of								
20	interest policy, and financial statements available to the public. See Additional Data Table. State the name, physical address, and telephone number of the person who possesses the books and records of the	ne oran	ınızatıor	ı ⊫ -					
20	BETTY L PRICE	ie orya							

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) A mount of cash grant	(f) Manner of cash disbursement	(g) A mount of non- cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia/Pacific	Med Training	12,020	Check	0	N/A	N/A
		East Asia/Pacific	Med Training	21,020	Check	0	N/A	N/A
		East Asia/Pacific	Med Training	29,740	Check	0	N/A	N/A
		East Asia/Pacific	Med Training	10,907	Check	0	N/A	N/A
		East Asia/Pacific	Med Training	31,940	Check	0	N/A	N/A
		East Asia/Pacific	Med Training	9,030	Check	0	N/A	N/A
		East Asia/Pacific	Med Training	36,423	Check	0	N/A	N/A
		Europe/Iceland/Greenland	Med Training	36,863	Check	0	N/A	N/A
_		South America	Med Training	25,341	Check	0	N/A	N/A
-		South Asia	Med Training	9,524	Check	0	N/A	N/A
		South America	Med Training	6,840	Check	0	N/A	N/A
		South Asia	Med Training	49,024	Check	0	N/A	N/A
		South Asia	Med Training	67,185	Check	0	N/A	N/A
_		South Asia	Med Training	17,494	Check	0	N/A	N/A
		South Asia	Med Training	25,857	Check	0	N/A	N/A
		Sub-Saharan Africa	Med Training	18,778	Check	0	N/A	N/A
		Sub-Saharan Africa	Med Training	89,149	Check	0	N/A	N/A
_		Sub-Saharan Africa	Med Training	34,360	Check	0	N/A	N/A
		Sub-Saharan Africa	Med Training	172,614	Check	0	N/A	N/A
		Sub-Saharan Africa	Med Training	134,661	Check	0	N/A	N/A
	I	1	ı		I	I	I	I

As Filed Data -

DLN: 93493133027371

SCHEDULE G (Form 990 or 990-EZ)

licensing

Supplemental Information Regarding Fundraising or Gaming Activities

OMB No. 1545-0047

2009

Department of the Treasury

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

Open to Public Inspection

ire not require			tion		Employer iden 62-0476822	tification number				
ire not require			tion		62-0476822					
ire not require			tion							
tion raised fund		plete thi			o Form 990, Part IV,	, line 17.				
tion raised fama	s through a	any of the	follo	wing activities Che	ck all that apply					
		е	<u> </u>	Solicitation of non-government grants						
b 🔽 Internet and e-mail solicitations				Solicitation of gov	ernment grants					
c Phone solicitations				Special fundraising	j events					
		_								
	(iii) fundrais	Form 990 Did er have	o-EŻ	filers are not requi	(v) A mount paid to					
(ii) Activity		custody or control of contributions?		, i	(or retained by) fundraiser listed in col (i)	(or retained by) organization				
	Yes	No								
		No		809,972	460,291	349,681				
				809,972	460,291	349,681				
	ritten or oral agr rm 990, Part VI Paid individuals of 5,000 by the ora	ritten or oral agreement wirm 990, Part VII) or entity and individuals or entities (5,000 by the organization (iii) Activity (iii) Activity (ontributy Yes	ritten or oral agreement with any indom 990, Part VII) or entity in connectand individuals or entities (fundraise 5,000 by the organization Form 990 (iii) Did fundraiser have custody or control of contributions? Yes No	ritten or oral agreement with any individurm 990, Part VII) or entity in connection and individuals or entities (fundraisers) p 5,000 by the organization Form 990-EZ (iii) Did fundraiser have custody or control of control of contributions? Yes No LL CENTER ST & STAFF No	g Special fundraising ritten or oral agreement with any individual (including officers rm 990, Part VII) or entity in connection with professional funding individuals or entities (fundraisers) pursuant to agreeme 5,000 by the organization Form 990-EZ filers are not required. (iii) Did fundraiser have custody or control of contributions? Yes No ELL CENTER 6T & STAFF No 809,972	g Special fundraising events Tritten or oral agreement with any individual (including officers, directors, trustees rm 990, Part VII) or entity in connection with professional fundraising activities? Taid individuals or entities (fundraisers) pursuant to agreements under which the funds, once the organization form 990-EZ filers are not required to complete this table. (iii) Did fundraiser have custody or control of contributions? Yes No LL CENTER ST & STAFF No 809,972 460,291				

AK,CT,GA,ID,IA,MN,MS,MO,MT,NV,NH,NM,NC,OK,TN,TX,WV

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000.												
			(a) Event #1 SEE SCHEDULE O (event type)	(b) Event #2 SEE SCHEDULE O (event type)	(c) O ther Events 10 (total number)	(d) Tot (Add col						
Ме	1	Gross receipts	121,859	87,362	255,961		46	5,182				
Reveilue	2	Less Charitable contributions	72,950	82,625	139,732		29	5,307				
	3	Gross income (line 1 minus line 2)	48,909	4,737	116,229		16	9,875				
	4	Cash prizes	0	0	0			0				
မွာ	5	Non-cash prizes	0	4,125	2,388			6,513				
Expenses	6	Rent/facility costs	12,004	0	9,251		2	1,255				
ğ	7	Food and beverages	15,750	1,590	33,000		5	0,340				
Direct	8	Entertainment	587	344	45,890		4	6,821				
Δ	9	Other direct expenses .	32,508	6,459	49,717		8	8,684				
	10	Direct expense summary Add lin	es 4 through 9 ın column	(d)	•		21	3,613				
11 Net income summary Combine lines 3, column d, and line 10												
Par	t III	Gaming. Complete if the or \$15,000 on Form 990-EZ, lir		'Yes" to Form 990, Pa	rt IV, line 19, or repo	rted mor	e thar	1				
Revenue	(a) Bingo (b) Pull tabs/Instant bingo/progressive bingo (A							ning rough				
		Gross revenue										
Expenses		·										
<u>8</u> 3		Non-cash prizes										
Direct	4	Rent/facility costs										
<u>ā</u>	5	Other direct expenses										
	6	Volunteer labor	Г Yes	Г Yes	│ Yes							
		Direct expense summary Add lines Net gaming income summary Com										
9 a b	Is t	er the state(s) in which the organiza the organization licensed to operate No," Explain				· 9a	Yes	No				
10a b												
11 12	Is t	es the organization operate gaming a the organization a grantor, beneficia ned to administer charitable gaming	ry or trustee of a trust or	a member of a partnersh	ıp or other entıty	11	F7\ 20					
					Januaria de (1011113		, _0					

		Yes	No						
13	Indicate the percentage of gaming activity operated in								
а	The organization's facility								
b	An outside facility								
14	Enter the name and address of the person who prepares the organization's gaming/special events books and records								
	Name 🟲								
	Address -								
15a	Does the organization have a contract with a third party from whom the organization receives gaming								
	revenue?	,							
b	If "Yes," enter the amount of gaming revenue received by the organization 🕨 \$ and the	+							
	amount of gaming revenue retained by the third party 🟲 \$								
c	If "Yes," enter name and address								
	Name 🟲								
	Address •								
16									
16	Gaming manager information								
	Name 🟲								
	Name F								
	Gaming manager compensation 🕨 \$								
	Description of services provided -								
	Director/officer Employee Independent contractor								
	I Director/officer I Employee I Independent contractor								
.7	Mandatory distributions								
а	Is the organization required under state law to make charitable distributions from the gaming proceeds to								
	retain the state gaming license?								
b	Enter the amount of distributions required under state law distributed to other exempt organizations or spent								
	in the organization's own exempt activities during the tax year ▶ \$	1							

OMB No 1545-0047

2009

Open to Public Inspection

SCHEDULE H (Form 990)

Department of the Treasury Internal Revenue Service

Complete if the organization answered "Yes" to Form 990, Part IV, question 20.
 ► Attach to Form 990.
 ► See separate instructions.

Hospitals

Name of the organization
Vanderbilt University

Employer identification number

						0476822			
P	art I Charity Care and	d Certain C	Other Com	munity Benefits a	t Cost				
								Yes	No
	Does the organization have a						1a	Yes	
ŀ	f "Yes," is it a written policy						1b	Yes	
2	If the organization has multip care policy to the various ho		ındıcate whic	th of the following best	describes application	of the charity			
	Applied uniformly to all he Generally tailored to indiv	-	als	Applied uniformly	to most hospitals				
_	·	•				6.11			
3	Answer the following based o organization's patients	n the charity	care eligibili	ty criteria that applies	to the largest number (ortne			
а	Does the organization use Fe income individuals? If "Yes,"						3a	Yes	
	Γ 100% Γ 150%	▽ 2	оо% Г	O ther					
b	Does the organization use FP			for providing <i>discounted</i>					
	_						3b	Yes	
	Г 200% ▼ 250%	J 3	00% Г	350% Г 40	00% Other_				
С	If the organization does not u determining eligibility for free test or other threshold, regar	or discounte	ed care Inclu	ide in the description w	hether the organization				
4	Does the organization's polic	v provide fre	e or discount	ed care to the "medica	llv indiaent"?		4	Yes	
5a							5a	Yes	
ь	If "Yes," did the organization	's charity ca	re expenses e	exceed the budgeted ar	mount?		5b	1	Νο
c If "Yes" to line 5b, as a result of budget considerations, was the organization unable to provide free or discounted care to a patient who was eligibile for free or discounted care?									
6a	Does the organization prepar	e an annual d	community be	nefit report?			6a	Yes	
6Ł	If "Yes," does the organization	on make it av	aılable to the	public?			6b	Yes	
	Complete the following table worksheets with the Schedul	-	rksheets prov	vided in the Schedule H	instructions Do not s	ubmit these			
7	Charity Care and Certain C	ther Commu	ınıty Benefits	at Cost					
	Charity Care and Means-Tested Government Programs	(a) Number of activities or programs (optional)		(c) Total community benefit expense	(d) Direct offsetting revenue	(e) Net community to expense	penefit	(f) Perototal ex	
а	Charity care at cost (from Worksheets 1 and 2)			92,142,764	0	92,14	12,764	2	770 %
Ь	Unreimbursed Medicaid (from Worksheet 3, column a)			265,247,599	219,465,925	45,78	31,674	1	370 %
С	Unreimbursed costs—other means-tested government programs (from Worksheet 3, column b)								
d	Total Charity Care and Means-Tested Government Programs			357,390,363	219,465,925	137,92	24,438	4	140 %
e	Other Benefits Community health improvement services and community benefit operations (from						·		
f	(Worksheet 4)			5,754,577	24.054.704	,	54,577		170 %
g	(from Worksheet 5) Subsidized health services		1	101,078,883	24,954,794	/6,12	24,089	2	280 %
	(from Worksheet 6)			21,929,685	18,129,195	<u> </u>	00,490		110 %
h	Research (from Worksheet 7) Cash and in-kind contributions to community groups (from Worksheet 8)			440,717,437	0	440,7	17,437	13	230 %
i	Total Other Benefits		+	569.480.582	43.083.989	526 39	96.593	15	790 %

k Total. Add lines 7d and 7j

262,549,914

926,870,945

664,321,031

Pa	rt II Community Buildin	ng Activitie	s Complete t	hıs table ıf the	organızatı	on co	nducted any commun	nty b	uıldın	g
	activities.	(a) Number of activities or programs (optional)	(b) Persons served (optional)	(c) Total commun building expense		rect offs revenue	- 1		(f) Pero total ex	
1	Physical improvements and housing									
2	Economic development									
3	Community support									
4	Environmental improvements									
5	Leadership development and training for community members									
	Coalition building									
7	Community health improvement advocacy									
8	Workforce development									
9	Other									
10	Total									
Par	t IIII Bad Debt, Medicar	e, & Collec	tion Practic	es						
Sect	ion A. Bad Debt Expense								Yes	No
1	Does the organization report b	ad debt expen	ise in accordan	ce with Heathcar	e Financial	Manag	ement Association		165	140
	Statement No 15?							1	Yes	
2	Enter the amount of the organi			•		2	33,215,255			
3	Enter the estimated amount of attributable to patients eligible					3	3,337,258			
4	Provide in Part VI the text of t In addition, describe the costi rationale for including other ba	he footnote to ng methodolog	the organization	on's financial stat rmining the amou			•			
Sect	ion B. Medicare									
5	Enter total revenue received fr	rom Medicare	(ıncludıng DSH	and IME)		5	308,397,200			
6	Enter Medicare allowable cost	s of care relat	ing to payments	s on line 5		6	360,789,120			
7 8	Subtract line 6 from line 5 The Describe in Part VI the extent Also describe in Part VI the concheck the box that describes	to which any osting method the method us	shortfall reporte ology or source ed	ed in line 7 shoul used to determi	d be treated ne the amou					
	Cost accounting system	I ° Co	st to charge ra	tio	Other					
Sect	ion C. Collection Practices									
9a	Does the organization have a							9a	Yes	
9b	If "Yes," does the organization patients who are known to qua							9b	Yes	
Pai	rt IV Management Com								_	_
	(a) Name of entity	(I	b) Description of pi activity of entit		(c) Organiz profit % o ownersh	r stock	(d) Officers, directors, trustees, or key employees' profit % or stock ownership%	pro	e) Physio ofit % or ownersh	stock
1 Su	pplemental Info									
2		<u> </u>								
3										
4										
5										
6										
7										
8 ——										
9										
10										
11										
12		1								
13										
14							1	1		

Part V Facility Information									r age 3
Part V Facility Information			Ι_		1			ı	Γ
Name and address	Licensed hospital	General medical & surgical	Children's hospital	Teaching hospital	Ortical access hospital	Research facility	ER-24 hours	ER-other	Other (Describe)
VANDERBILT UNIV HOSPITALS and Clinics 1211 22ND AVENUE SOUTH NASHVILLE,TN 37232	X	Х	Х	Х		Х	х		
VANDERBILT STALLWORTH REHAB HOSPITAL 2201 CHILDRENS WAY NASHVILLE,TN 37212	x								
VANDERBILT-GATEWAY CANCER CENTER GP 375 ALFRED THUN ROAD CLARKSVILLE,TN 37040									AMBULATORY SURGICAL CENTER
VANDERBILT-WILLIAMSON CANCER CENTER LLC 2107 EDWARD CURD LANE FRANKLIN,TN 37067									AMBULATORY SURGICAL CENTER

Part VI Supplemental Information

Complete this part to provide the following information

1 Provide the description required for Part I, line 3c, Part I, line 6a, Part I, line 7g, Part I, line 7, column (f), Part I, line 7, Part III, line 4, Part III, line 8, Part III, line 9b, and Part V See Instructions

See additional data

2 Needs assessment. Describe how the organization assesses the health care needs of the communities it serves

See additional data

3 Patient education of eligibility for assistance. Describe how the organization informs and educates patients and persons who may be billed for patient care about their eligibility for assistance under federal, state, or local government programs or under the organization's charity care policy

PART VÍ, LINE 3 PATIENTS ARE NOTIFIED OF POTENTIAL ASSISTANCE UNDER FEDERAL, STATE OR LOCAL GOVERNMENT PROGRAMS OR UNDER THE ORGANIZATION'S CHARITY CARE POLICY VIA SIGNAGE POSTED IN THE PATIENT CARE REGISTRATION POINTS, BROCHURES AVAILABLE AT REGISTRATION POINTS AND LANGUAGE INCLUDED ON ALL STATEMENTS MAILED TO THE PATIENTS

4 Community information. Describe the community the organization serves, taking into account the geographic area and demographic constituents it serves

PART VI, LINE 4 BECAUSE THE HOSPITAL IS A LEVEL I TRAUMA CENTER AND A REGIONAL TERTIARY CARE FACILITY, THE PATIENT POPULATION SERVED INCLUDES NOT ONLY THE MIDDLE TENNESSEE AREA BUT THE ENTIRE STATE OF TENNESSEE, SOUTHERN KENTUCKY, AND NORTHERN ALABAMA FOR MORE INFORMATION PLEASE SEE THE "DETAILED INFORMATION - 2009 FACT воок" FOUND ΟN THE WEBSITE, AS WELL AS READ THE "ABOUT US" SECTION HTTP //WWW MC VANDERBILT EDU/ABOUT/

- 5 Community building activities. Describe how the organization's community building activities, as reported in Part II, promote the health of the communities the organization serves
- 6 Provide any other information important to describing how the organization's hospitals or other health care facilities further its exempt purpose by promoting the health of the community (e.g., open medical staff, community board, use of surplus funds, etc.)

 See additional data
- 7 If the organization is part of an affiliated health care system, describe the respective roles of the organization and its affiliates in promoting the health of the communites served
- 8 If applicable, identify all states with which the organization, or a related organization, files a community benefit report

Additional Data

Software ID:

Software Version:

EIN: 62-0476822

Name: Vanderbilt University

Form 990 Schedule H, Part VI - Supplemental Information, Line 1

THE COSTING METHODOLOGY USED TO CALCULATE CHARITY CARE AND CERTAIN OTHER COMMUNITY BENEFIT COSTS REPORTED WAS BASED ON AN OVERALL COST-TO-CHARGE RATIO FOR ALL PATIENT POPULATIONS

THE AMOUNT OF BAD DEBT EXPENSE (BASED ON CHARGES) INCLUDED ON FORM 990, PART IX, LINE 25 COLUMN (A) BUT REMOVED FROM THE DENOMINATOR ON PART I, LINE 7, COLUMN (F) IS \$119,907,126 PART I, LINE 7 (K), COLUMN (F)- THE TOTAL COMMUNITY BENEFIT EXPENSE USING PART I, LINE 7, COLUMN (C) (BEFORE DIRECT OFFSETTING REVENUE) AS A PERCENTAGE OF TOTAL EXPENSES IS 27 82%

THE AUDITED FINANCIAL STATEMENTS OF VANDERBILT UNIVERSITY DISCLOSE THE CONSOLIDATED AMOUNT OF BAD DEBT EXPENSE, THE PREPONDERANT PORTION OF WHICH IS ATTRIBUTABLE TO ITS HOSPITALS AND CLINICS PART III, LINES 2 AND 3 THE COSTING METHODOLOGY USED TO CALCULATE BAD DEBT EXPENSE REPORTED IN PART III, LINES 2 AND 3 WAS BASED ON AN OVERALL COST-TO-CHARGE RATIO FOR ALL PATIENT POPULATIONS DISCOUNTS AND PAYMENTS ON ACCOUNTS CONSIDERED AS BAD DEBT OFFSET THE TOTAL BAD DEBT EXPENSE RECORDED THE APPLICABLE ACCOUNTS ARE ESTIMATED TO BE 20% OF THE TOTAL BAD DEBTS RECORDED

THE COSTING METHODOLOGY USED TO CALCULATE MEDICARE ALLOWABLE COSTS REPORTED IN PART III, LINE 6 WAS BASED ON AN OVERALL COST-TO-CHARGE RATIO FOR ALL PATIENT POPULATIONS ALTHOUGH SCHEDULE H DOES NOT ALLOW THE REPORTING OF MEDICARE SHORTFALLS AS A COMMUNITY BENEFIT ITEM, THE HOSPITAL BELIEVES THAT SHORTFALLS FROM GOVERNMENT PROGRAMS SUCH AS MEDICARE AND TRICARE (FEDERAL HEALTH PLAN FOR MILITARY AND THEIR FAMILIES) SHOULD BE INCLUDED IN THE COMMUNITY BENEFIT CALCULATION SIMILAR TO MEDICAID SHORTFALLS

IF A PATIENT QUALIFIES FOR A 100% CHARITY CARE WRITE OFF, THE ACCOUNT IS CLOSED AND NO FURTHER COLLECTION EFFORTS ARE MADE IF A PATIENT QUALIFIES FOR A PARTIAL CHARITY CARE WRITE OFF, THE ACCOUNT IS REDUCED FOR THE APPLICABLE CHARITY DISCOUNT AND NORMAL COLLECTION EFFORTS ARE MADE THE SAME COLLECTION EFFORT IS MADE FOR THESE PATIENTS AS IS MADE FOR ALL OTHER TYPES OF PATIENTS INCLUDING INSURED PATIENTS WHO OWE DEDUCTIBLES AND CO-INSURANCE

PART VI, LINE 2 THE HOSPITALS AND CLINICS PLAY AN ACTIVE PART IN ASSESSING THE HEALTH CARE NEEDS OF THE COMMUNITIES IT SERVES BY STRATEGICALLY TARGETING AND PROVIDING ESSENTIAL HEALTH CARE SERVICES THAT WOULD OTHERWISE NOT BE AVAILABLE TO THESE PATIENT POPULATIONS THESE SERVICES INCLUDE HIGH RISK OBSTETRICS, CHILDREN'S SPECIALTY SERVICES SUCH AS NEONATAL INTENSIVE CARE, PEDIATRIC INTENSIVE CARE, AND HEMOPHILIA CLINIC AS WELL AS AN ADULT AIDS PROGRAM THAT IS ADMINISTERED THROUGH THE DEPARTMENT OF INFECTIOUS DISEASE SERVICES HAVE ALSO BEEN STRATEGICALLY IMPLEMENTED FOR UNDERSERVED AND ECONOMICALLY DISADVANTAGED AREAS AND INCLUDE THE VINE HILL COMMUNITY CLINIC WHICH IS STAFFED BY NURSE PRACTITIONERS, CERTIFIED NURSE MIDWIVES, AND PSYCHIATRIC MENTAL HEALTH NURSE PRACTITIONERS FROM VANDERBILT UNIVERSITY SCHOOL OF NURSING AND THE SHADE TREE FAMILY CLINIC, A FREE HEALTH CLINIC RUN BY VANDERBILT MEDICAL STUDENTS

MANAGEMENT COMPANIES AND JOINT VENTURES FORM 990, SCHEDULE H, PART IV NAME AMBULATORY SURGERY CENTER OF COOL SPRINGS, LLC DESCRIPTION AMBULATORY SURGERY CENTER ORGANIZATION'S % 51 02% OFFICERS' % 0 00% PHYSICIANS' % 16 26% NAME VANDERBILT IMAGING SERVICES, LLC DESCRIPTION RADIOLOGY SERVICES ORGANIZATION'S % 66 67% OFFICERS' % 0 00% PHYSICIANS' % 33 33% NAME NEW LIGHT IMAGING, LLC DESCRIPTION OUTPATIENT DIAGNOSTIC IMAGING ORGANIZATION'S % 66 67% OFFICERS' % 0 00% PHYSICIANS' % 33 33% NAME ONE HUNDRED OAKS IMAGING, LLC DESCRIPTION OUTPATIENT DIAGNOSTIC IMAGING ORGANIZATION'S % 80 00% OFFICERS' % 0 00% PHYSICIANS' % 20 00% NAME VANDERBILT/ST THOMAS IMAGING, GP DESCRIPTION OUTPATIENT DIAGNOSTIC IMAGING ORGANIZATION'S % 34 00% OFFICERS' % 0 00% PHYSICIANS' % 17 00% NAME WILLIAMSON IMAGING, LLC DESCRIPTION OUTPATIENT DIAGNOSTIC IMAGING ORGANIZATION'S % 53 34% OFFICERS' % 0 00% PHYSICIANS' % 26 66% NAME VIP MIDSOUTH, LLC DESCRIPTION PEDIATRIC CLINICS ORGANIZATION'S % 50 71% OFFICERS' % 0 00% PHYSICIANS' % 10 00% PHYSICIANS' % 49 29% NAME SPRINGFIELD VIP REALTY, LLC DESCRIPTION OWN REAL ESTATE USED AS MEDICAL FACILITY ORGANIZATION'S % 49 00% OFFICERS' % 0 00% PHYSICIANS' % 51 00%

efile GRAPHIC print - DO NOT PROCESS | As Filed Data -

Schedule I

(Form 990)

Department of the Treasury

Internal Revenue Service

OMB No 1545-0047

DLN: 93493133027371

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22. ► Attach to Form 990

Inspection

Name of the organization						Employer Identification	on number
Vanderbilt University						62-0476822	
Part I General Information	on on Grants and	l Assistance					
Does the organization maintain the selection criteria used to av Describe in Part IV the organization	vard the grants or as	sistance?					√ Yes
Part II Grants and Other A Form 990, Part IV, In Part IV and Schedule	e 21 for any recip	ient that received n	nore than \$5,000. Ch	eck this box if no one	recipient receive	ed more than \$5,000.	Use
(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grad or assistance
See Additional Data Table							
2 Enter total number of section 50 3 Enter total number of other orga						<u>}</u>	199 35

Part III Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Use Schedule I-1 (Form 990) if additional space is needed.

(a)Type of grant or assistance	(b) Number of recipients	(c)A mount of cash grant	(d)A mount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
PATIENT ASSISTANCE	96	133,012	0	N/A	N/A
PATIENT ASSISTANCE	4856	0	26,708	FM∨	FOOD
SUBCONTRACT	6	74,878	0	N/A	N/A
STUDENT FINANCIAL AID	6225	246,100,859	o	N/A	N/A

	•	e this part to provide the information required in Part I, line 2, and any other additional information.
Ident if ier	Return Reference	Explanation
Procedures for Monitoring use of Grants	Form 990, Schedule I, Part I, Line 2	Subrecipient Grants - Vanderbilt University maintains a formal policy defining its procedures for monitoring the use of sponsored funds by subrecipients located within the United States who are performing a portion of a sponsored project externally awarded to Vanderbilt. The policy provides guidance to ensure that subrecipients conduct their portions of sponsored projects in compliance with laws, regulations, terms and conditions of awards and subawards, and that reimbursed costs incurred by subrecipients are allowed. The policy addresses the roles and responsibilities of central offices and academic departments of the university and describes the monitoring procedures for each area. The full text of Vanderbilt's Subrecipient monitoring guidelines are available online at the following web address. http://www.vanderbilt.edu/ocga/vupolicies/subrecipient/SubrecipientMonitor ingGuidelinesfinal pdf Other Assistance-Assistance offered from institutional funds by Vanderbilt to our students is awarded on the basis of merit and/or financial need. Such assistance helps students and their families support the cost of attendance which includes tuition, mandatory fees, room & board, books, other course materials/supplies, and allowances for personal/miscellaneous and travel expenses Merit-based awards are competitively awarded in recognition of academic achievement, leadership, commitment to community service, contributions to society, and other forms of accomplishment. Need-based assistance is awarded on the basis of documented financial need, resulting from a need analysis evaluation accomplished in accordance with relevant and applicable federal, state, and/or institutional programs, policies and eligibility requirements. Financial Aid- The units responsible for administering student financial aid at Vanderbilt regularly initiate system-generated reviews, as well as other cross checks on an ad hoc basis. Results from these reviews, along with recurring management reports are regularly evaluated. Subsequently, follow-up
-		

Software ID:

Software Version:

EIN: 62-0476822

Name: Vanderbilt University

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ADVENTURE SCIENCE CENTER800 FORT NEGLEY BLVD NASHVILLE,TN 37203	62-0479192	501 (C)(3)	11,500	0	N/A	N/A	SUBCONTRACT- INSTRUCT
ALIGNMENT NASHVILLE1 PUBLIC SQUARE NASHVILLE,TN 37201	45-0549393	501 (C) (3)	50,000	0	N/A	N/A	CONTRIBUTION
AMERICAN CANCER SOCIETY2000 CHARLOTTE AVENUE NASHVILLE,TN 37203	13-1788491	501 (C)(3)	7,500	0	N/A	N/A	CONTRIBUTION
AMERICAN HEART ASSOCIATION1818 PATTERSON STREET NASHVILLE,TN 37203	13-5613799	501 (C)(3)	16,500	0	N/A	N/A	CONTRIBUTION
ARIZONA STATE UNIVERSITYBOX 873503 TEMPE,AZ 85287	86-0196696	501 (C)(3)	87,726	0	N/A	N/A	SUBCONTRACT- RESEARCH
ASSOCIATION OF AMERICAN MEDICAL COLLEGES2450 N STREET NW WASHINGTON, DC 20037	36-2169124	501 (C)(3)	874,431	0	N/A	N/A	SUBCONTRACT- TRAINING
ASSOCIATION OF SCHOOLS OF PUBLIC1101 15TH ST NW WASHINGTON, DC 20005	56-0734192	501 (C)(3)	29,206	0	N/A	N/A	SUBCONTRACT- TRAINING
AUBURN UNIVERSITY301 SAMFORD HALL AUBURN,AL 36849	63-6000724	501 (C)(3)	39,198	0	N/A	N/A	SUBCONTRACT- RESEARCH
AUSTIN PEAY STATE UNIVERSITYPO BOX 4635 CLARKSVILLE,TN 37044	62-0646576	501 (C)(3)	7,342	0	N/A	N/A	SUBCONTRACT- INSTRUCT
BATTELLE505 KING AVE COLUMBUS,OH 43201	31-4379427	501 (C) (3)	64,063	0	N/A	N/A	SUBCONTRACT- RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States (a) Name and address of **(b)** EIN (c) IRC Code section (d) A mount of cash (e) A mount of non-(f) Method of (g) Description of (h) Purpose of grant organization ıf applıcable grant cash valuation non-cash assistance or assistance (book, FMV, appraisal, or government assistance other) 501 (C)(3) N/A N/A **BATTELLE FOR KIDS1160** 31-1781583 0 SUBCONTRACT-RESEARCH **DUBLIN RD** 93,000 COLUMBUS, OH 43215 BAYLOR COLLEGE OF 74-1613878 501 (C)(3) 0 N/A N/A SUBCONTR-MEDICINE1 BAYLOR RSCH/INSTR 128,995 PLAZA HOUSTON, TX 77030 62-0465076 501 (C)(3) 0 N/A N/A SUBCONTRACT-BELMONT UNIVERSITY 1900 BELMONT BLVD RESEARCH 22,839 NASHVILLE, TN 37203 **BRIGHAM & WOMENS** 04-2312909 501 (C)(3) 0 N/A N/A SUBCONTRACT-HOSPITAL75 FRANCIS ST RESEARCH 272,602 BOSTON, MA 02115 **BROOKINGS INSTITUTE** 53-0196577 501 (C)(3) 0 N/A N/A SUBCONTRACT-1775 MASS AVE NW RESEARCH 52,240 WASHINGTON, DC 20036 **BROWN UNIVERSITY164** 501 (C)(3) 0 05-0258809 N/A N/A SUBCONTRACT-RESEARCH ANGELL STREET 628,904 PROVIDENCE, RI 02912 0 CALIFORNIA INSTITUTE 95-1643307 501 (C)(3) N/A N/A SUBCONTRACT-OF TECHNOLOGY1200 RESEARCH 141,575 EAST CA BLVD PASADENA, CA 91125 N/A N/A CARNEGIE-MELLON 25-0969449 501 (C)(3) 0 SUBCONTRACT-UNIVERSITY5000 FORBES RESEARCH 175,526 AVE PITTSBURGH, PA 15213 CASE WESTERN RESERVE 34-1018992 501 (C)(3) 0 N/A N/A SUBCONTRACT-UNIVERSITY10900 EUCLID RSCH/TRN 401,630 AVENUE CLEVELAND, OH 44106 CATAHOULA PARISH 72-0838896 501 (C)(3) 0 N/A N/A SUBCONTRACT-**HOSPITAL SERVICE2801** RESEARCH 22,500 4TH ST JONESVILLE, LA 71343

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of (b) EIN (c) IRC Code section (d) Amount of cash (e) Amount of non- (f) Method of (g) Descr

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CENTERSTONE COMMUNITY MENTAL HEALTH720 NORTH MARR COLUMBUS,IN 47201	62-1674308	501 (C)(3)	28,243	0	N/A	N/A	SUBCONTR- RSCH/INSTR
CHILDREN'S HOSPITAL AND REGIONALDEPT 736 PO BOX 34935 SEATTLE, WA 98124	91-0564748	501 (C)(3)	282,093	0	N/A	N/A	SUBCONTRACT- RESEARCH
CHILDREN'S HOSPITAL MEDICAL CENTER3333 BURNET AVE CINCINNATI,OH 45229	31-0833936	501 (C)(3)	340,877	0	N/A	N/A	SUBCONTRACT- RSCH/TRN
CHILDREN'S HOSPITAL OF PHILADELPHIA 3615 CIVIC CTR BLVD PHILADELPHIA, PA 19104	23-1352166	501 (C)(3)	5,219	0	N/A	N/A	SUBCONTRACT- RESEARCH
CHILDREN'S RESEARCH INSTITUTE700 CHILDRENS DRIVE COLUMBUS,OH 43205	31-6056230	501 (C)(3)	214,193	0	N/A	N/A	SUBCONTRACT- RESEARCH
CLAREMONT GRADUATE UNIVERSITY150 E TENTH ST CLAREMONT, CA 91711	95-1664100	501 (C)(3)	434,848	0	N/A	N/A	SUBCONTR-PUBLIC SVC
CLARKSON UNIVERSITYPO BOX 5630 POSTDAM,NY 13699	15-0543659	501 (C) (3)	129,636	0	N/A	N/A	SUBCONTRACT- RESEARCH
CLEVELAND CLINIC FOUNDATION9500 EUCLID AVE CLEVELAND,OH 44195	34-0714585	501 (C)(3)	114,722	0	N/A	N/A	SUBCONTRACT- RESEARCH
CNA CORPORATION4825 MARK CENTER DRIVE ALEXANDRIA,VA 22311	05-4155882	501 (C)(3)	5,676	0	N/A	N/A	SUBCONTRACT- RESEARCH
COLD SPRING HARBOR LABORATORY1 BUNGTOWN RD COLD SPRING HARBOR, NY 11724	11-2013303	501 (C)(3)	76,954	0	N/A	N/A	SUBCONTR- RSCH/INSTR

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States (a) Name and address of (b) EIN (c) IRC Code section (d) A mount of cash (e) A mount of non-(f) Method of (g) Description of (h) Purpose of grant organization if applicable grant cash valuation non-cash assistance or assistance (book, FMV, appraisal, or government assistance other) 501 (C)(3) COLLEGE OF WILLIAM AND 54-6001718 N/A N/A SUBCONTRACT-0 RESEARCH MARY314 JAMESTOWN RD 44,097 WILLIAMSBURG, VA 23187 COLUMBIA UNIVERSITY 13-5598093 501 (C)(3) 0 N/A N/A SUBCONTRACT-2960 BROADWAY RSCH/TRN 368,704 NEW YORK, NY 10027 15-0532082 501 (C)(3) N/A N/A SUBCONTRACT-CORNELL UNIVERSITY120 DAY HALL RESEARCH 38,797 ITHACA, NY 14853 501 (C)(3) 0 N/A N/A CYSTIC FIBROSIS 13-6161105 CONTRIBUTION FOUNDATION4825 10,000 TROUSDALE DR NASHVILLE, TN 37220 04-2263040 N/A N/A DANA FARBER CANCER 501 (C)(3) 0 SUBCONTRACT-INSTITUTE44 BINNEY ST RESEARCH 477,885 BOSTON, MA 02115 N/A 501 (C)(3) 0 **DUKE UNIVERSITY324** 56-0532129 N/A SUBCONTRACT-BLACKWELL STREET RSCH/TRN 222,295 DURHAM, NC 27708 501 (C)(3) 0 N/A EAST TENNESSEE STATE 55-0788917 N/A SUBCONTR-OTHER PROJ UNIVERSITY2109 WEST 6,562 MARKET ST JOHNSON CITY, TN 37604 N/A N/A SUBCONTRACT-EMORY UNIVERSITY201 58-0566256 501 (C)(3) 0 DOWMAN DRIVE RSCH/TRN 387,211 ATLANTA, GA 30322 N/A FISK UNIVERSITY1000 62-0202000 501 (C)(3) 0 N/A SUB-PUB 17TH AVE NORTH SVC/RSCH/INST 77,885 NASHVILLE, TN 37208 59-1671640 501 (C)(3) N/A N/A SUBCONTRACT-FLORIDA COMMUNITY 0 HEALTH4450 S TIFFANY DR RESEARCH 38,024 W PALM BEACH, FL 33407

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization

(b) EIN

(c) IRC Code section (d) Amount of cash organization

(f) Method of valuation non-cash as

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FLORIDA STATE UNIVERSITY874 TRADITIONS WAY TALLAHASSEE,FL 32306	59-6001138	501 (C)(3)	151,651	0	N/A	N/A	SUB-PUBLIC SVC/RSCH
FOX CHASE CANCER CENTER333 COTTMAN AVE PHILADELPHIA, PA 19111	23-2003072	501 (C)(3)	110,400	0	N/A	N/A	SUBCONTRACT- RESEARCH
FRANKLIN PRIMARY HEALTH CENTER INC572 STANTON RD MOBILE, AL 36617	63-0695975	501 (C)(3)	25,350	0	N/A	N/A	SUBCONTRACT- RESEARCH
FRED HUTCHINSON CANCER RESEARCH CENTER1100 FAIRVIEW AVE N SEATTLE, WA 98109	23-7156071	501 (C)(3)	125,739	0	N/A	N/A	SUBCONTRACT- RESEARCH
GEORGE MASON UNIVERSITY4400 UNIVERSITY DR FAIRFAX,VA 22030	54-0836354	501 (C)(3)	47,465	0	N/A	N/A	SUBCONTRACT- RESEARCH
GEORGE WASHINGTON UNIVERSITY2121 I ST NW WASHINGTON, DC 20052	53-0196584	501 (C)(3)	158,610	0	N/A	N/A	SUBCONTRACT- RESEARCH
GEORGETOWN UNIVERSITY MEDICAL CENTER2121 WISCONSIN AVE NW WASHINGTON, DC 20007	53-0196603	501 (C)(3)	60,310	0	N/A	N/A	SUBCONTR-PUBLIC SVC
GEORGIA STATE UNIVERSITYPO BOX 3999 ATLANTA,GA 30302	58-1845423	501 (C)(3)	15,576	0	N/A	N/A	SUBCONTRACT- RESEARCH
GEORGIA TECH RESEARCH CORPORATION550 TENTH ST NW ATLANTA,GA 30332	58-0603146	501 (C)(3)	337,294	0	N/A	N/A	SUBCONTRACT- RESEARCH
H LEE MOFFITT CANCER CENTER12902 MAGNOLIA DR TAMPA,FL 33612	59-2451713	501 (C)(3)	385,627	0	N/A	N/A	SUBCONTRACT- RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HARVARD UNIVERSITY MASSACHUSETTS HALL CAMBRIDGE, MA 02138	04-2103580	501 (C)(3)	1,492,481	0	N/A	N/A	SUBCONTRACT- RSCH/TRN
HEALTH RESEARCH INC 150 BROADWAY SUITE 560 MENANDS,NY 12204	14-1402155	501 (C)(3)	165,630	0	N/A	N/A	SUBCONTRACT- RESEARCH
HENDERSON SETTLEMENT PO BOX 20516773 HWY 190 FRAKES,KY 40940	61-0674965	501 (C)(3)	8,001	0	N/A	N/A	SUBCONTR-OTHER PROJ
HERITAGE FOUNDATIONP O BOX 723 FRANKLIN,TN 37065	23-7042596	501 (C)(3)	5,500	0	N/A	N/A	CONTRIBUTION
HOSPITAL FOR SPECIAL SURGERY535 EAST 70TH ST NEW YORK, NY 10021	13-1624135	501 (C)(3)	15,683	0	N/A	N/A	SUBCONTRACT- RESEARCH
HOSPITAL HOSPITALITY HOUSE214 REIDHURST AVE NASHVILLE,TN 37203	62-0909363	501 (C)(3)	50,000	0	N/A	N/A	CONTRIBUTION
HOWARD UNIVERSITY576 W STREET NW WASHINGTON, DC 20059	53-0204707	501 (C) (3)	77,953	0	N/A	N/A	SUBCONTRACT- RESEARCH
ILLINOIS STATE UNIVERSITYCAMPUS BOX3040 NORMAL,IL 61790	37-6014070	501 (C)(3)	5,133	0	N/A	N/A	SUBCONTRACT- TRAINING
INDIANA UNIVERSITY107 S INDIANA AVE BLOOMINGTON,IN 47405	35-6001673	501 (C)(3)	161,329	0	N/A	N/A	SUBCONTRACT- RESEARCH
INTERNATIONAL TECHNOLOGY CENTERPO BOX 13740 RSCH TRIANGLE PK,NC 27709	56-2171281	501 (C)(3)	18,468	0	N/A	N/A	SUBCONTRACT- RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of the FIN (c) IPC Code section (d) Amount of each (a) Amount of the United States (d) Mathed of the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
INVERNESS RESEARCH ASSOCIATESPO BOX 313 INVERNESS,CA 94937	68-0303342	501 (C)(3)	50,004	0	N/A	N/A	SUBCONTRACT- RESEARCH
IO WA STATE UNIVERSITY 3607 ADMIN SVCS BLDG AMES,IA 50011	42-6004224	501 (C)(3)	40,841	0	N/A	N/A	SUBCONTRACT- RESEARCH
JACKSON LABORATORY 600 MAIN ST BAR HARBOR, ME 04609	01-0211513	501 (C) (3)	287,642	0	N/A	N/A	SUBCONTRACT- RESEARCH
JEFFERSON COUNTY COMMITTEE FOR ECONOMIC OPPORTUNIT 300 EIGTH AVE W BIRMINGHAM,AL 35204	63-0505899	501 (C)(3)	91,236	0	N/A	N/A	SUBCONTRACT- RESEARCH
JOHNS HOPKINS UNIVERSITY1101 EAST 33RD ST BALTIMORE, MD 21218	52-0595110	501 (C)(3)	510,140	0	N/A	N/A	SUBCONTRACT- RSCH/TRN
KAISER FOUNDATION RESEARCH INSTITUTE 1800 HARRISON ST 16TH FL OAKLAND, CA 94612	94-1105628	501 (C)(3)	45,951	0	N/A	N/A	SUBCONTRACT- RESEARCH
KANSAS STATE UNIVERSITY2 FAIRCHILD HALL MANHATTAN,KS 66506	48-0771751	501 (C)(3)	56,767	0	N/A	N/A	SUBCONTRACT- RESEARCH
KUMC RESEARCH INSTITUTE INC3901 RAINBOW BLVD KANSAS CITY, KS 66160	48-1108830	501 (C)(3)	415,122	0	N/A	N/A	SUBCONTRACT- RESEARCH
LEHIGH UNIVERSITY526 BRODHEAD AVE BETHLEHEM,PA 18015	24-0795445	501 (C)(3)	19,222	0	N/A	N/A	SUBCONTRACT- RESEARCH
LOUISIANA STATE UNIVERSITY117D DAVID BOYD HALL BATON ROUGE,LA 70803	72-6000848	501 (C)(3)	81,872	0	N/A	N/A	SUBCONTRACT- RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of (b) EIN (c) IRC Code section (d) Amount of cash (e) Amount of non- (f) Method of (g) Description of (h) Purpose of grant

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MANHATTAN INSTITUTE FOR POLICY RESEARCH INC52 VANDERBILT AVE NEW YORK, NY 10017	13-2912529	501 (C)(3)	49,500	0	N/A	N/A	SUBCONTRACT- RESEARCH
MANNA-FOOD SECURITY PARTNERS415 4TH AVE SOUTH NASHVILLE,TN 37201	51-0185425	501 (C)(3)	11,715	0	N/A	N/A	SUBCONTRACT- RESEARCH
MARCH OF DIMES1101 KERMIT DR 201 NASHVILLE,TN 37217	13-1846366	501 (C) (3)	13,000	0	N/A	N/A	CONTRIBUTION
MASSACHUSETTS GENERAL HOSPITAL55 FRUIT ST BOSTON,MA 02114	04-2697983	501 (C)(3)	614,181	0	N/A	N/A	SUBCONTRACT- RSCH/TRN
MASSACHUSETTS INSTITUTE OF TECHNOLOGY77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139	04-2103594	501 (C)(3)	39,726	0	N/A	N/A	SUBCONTR- RSCH/INSTR
MAYO MEDICAL CLINIC200 FIRST ST SW ROCHESTER,MN 55905	41-6011702	501 (C) (3)	237,712	0	N/A	N/A	SUBCONTRACT- RESEARCH
MEDICAL COLLEGE OF WISCONSIN9000 W WISCONSIN AVE MILWAUKEE, WI 53226	39-0806261	501 (C)(3)	245,424	0	N/A	N/A	SUBCONTRACT- RESEARCH
MEDICAL UNIVERSITY OF SOUTH CAROLINA 19 HAGOOD AVE STE 303 CHARLESTON, SC 29425	57-6000722	501 (C)(3)	94,513	0	N/A	N/A	SUBCONTRACT- RESEARCH
MEHARRY MEDICAL COLLEGE1005 DB TODD JR BLVD NASHVILLE,TN 37208	62-0488046	501 (C)(3)	2,412,567	0	N/A	N/A	SUB- RSCH/TRN/OTHER
MEMORIAL HOSPITAL2525 DESALES AVE CHATTANOOGA,TN 37404	61-1058466	501 (C) (3)	22,800	0	N/A	N/A	SUBCONTRACT- RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States (a) Name and address of **(b)** EIN (c) IRC Code section (d) A mount of cash (e) A mount of non-(f) Method of (q) Description of (h) Purpose of grant organization if applicable arant cash valuation non-cash assistance orassistance (book, FMV, appraisal, or government assistance other) 0 N/A SUBCONTR-OTHER MEMPHIS BIOWORKS 62-1858660 501 (C)(3) N/A PROJ FOUNDATION 20 S DULEY 103,560 **STE 900** MEMPHIS, TN 38103 58-2018687 501 (C)(3) 0 N/A N/A SUBCONTR-OTHER MENTAL HEALTH COOPERATIVE INC275 PROJ 16,722 CUMBERLAND BEND DR NASHVILLE, TN 37228 METROPOLITAN 62-0717138 501 (C)(3) 0 N/A N/A SUBCONTRACT-NASHVILLE BOARD OF RESEARCH 280,192 EDUCATION2601 **BRANSFORD AVE** NASHVILLE, TN 37204 0 METROPOLITAN STATE 84-0559160 501 (C)(3) N/A N/A SUBCONTRACT-COLLEGE OF DENVERPO RESEARCH 181,468 BOX 173362 DENVER, CO 17362 38-6005984 0 N/A N/A SUBCONTRACT-MICHIGAN STATE 501 (C)(3) UNIVERSITY301 ADMIN RESEARCH 137,593 BLDG EAST LANSING, MI 48824 MID-CONTINENT 43-0837728 501 (C)(3) 0 N/A N/A SUBCONTRACT-RESEARCH FOR RESEARCH 24,607 **EDUCATION AND** LEARNING4601 DTC BLVD DENVER, CO 80237 0 62-6005794 501 (C)(3) N/A N/A MIDDLE TENNESSEE STATE SUBCONTR-UNIVERSITY1301 E MAIN RSCH/INSTR 22,131 ST MURFREESBORO, TN 37132 MIRIAM HOSPITAL164 05-0258905 501 (C)(3) 0 N/A N/A SUBCONTRACT-SUMMIT AVE TRAINING 67,083 PROVIDENCE, RI 02906 MONTANA STATE 81-6010045 501 (C)(3) 0 N/A N/A SUBCONTRACT-UNIVERSITYPO BOX RESEARCH 107,577 172470 BOZEMAN, MT 59717 NASHVILLE CHILDREN'S 62-0637709 501 (C)(3) 0 N/A N/A CONTRIBUTION THEATRE25 MIDDLETON 10,000 STREET NASHVILLE, TN 37210

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States (a) Name and address of **(b)** EIN (c) IRC Code section (d) A mount of cash (e) A mount of non-(f) Method of (q) Description of (h) Purpose of grant organization ıf applıcable grant cash valuation non-cash assistance or assistance or government assistance (book, FMV, appraisal, other) NASHVILLE 02-0674431 501 (C)(3) 0 N/A N/A SUBCONTR-OTHER INTERNATIONAL CENTER PRO J 14,208 FOR EMPOWERMENT3221 NOLENSVILLE PIKE NASHVILLE, TN 37211 501 (C)(3) NASHVILLE PEACE & 62-1479668 O N/A N/A SUBCONTRACT-JUSTICE CENTER4732 RESEARCH 34,326 WEST LONGDALE DR NASHVILLE, TN 37211 62-1751832 501 (C)(3) 0 N/A N/A NASHVILLE PREDATORS CONTRIBUTION FOUNDATION501 7,900 BROADWAY NASHVILLE, TN 37203 SUBCONTR-PUBLIC NASHVILLE PUBLIC 62-1681766 501 (C)(3) 0 N/A N/A LIBRARY FOUNDATION615 SVC 13,440 CHURCH STREET NASHVILLE, TN 37219 0 NATIONAL BUREAU OF 13-1641075 501 (C)(3) N/A N/A SUBCONTRACT-RESEARCH ECONOMIC RESEARCH 1,011,593 1050 MASSACHUSETTS AVE CAMBRIDGE, MA 02138 53-0206027 501 (C)(3) 0 N/A N/A SUBCONTRACT-NATIONAL SCIENCES RESOURCE CENTER RESEARCH 16,171 (SMITHSONIAN)PO BOX 37012 WASHINGTON, DC 20013 13-5562308 501 (C)(3) N/A N/A SUBCONTRACT-**NEW YORK UNIVERSITY** SCHOOL OF MEDICINE550 RESEARCH 158,953 FIRST AVE NEW YORK, NY 10016 56-6000756 501 (C)(3) N/A N/A NORTH CAROLINA STATE SUBCONTRACT-UNIVERSITY CAMPUS BOX RESEARCH 91,468 7214 RALEIGH, NC 27695 NORTHERN CALIFORNIA 94-3084159 501 (C)(3) N/A N/A SUBCONTRACT-INSTITUTE FOR RESEARCH RESEARCH 10,947 & EDUCA4150 CLEMENT ST SAN FRANCISCO, CA 94121 0 NORTHWEST EVALUATION 93-0686108 501 (C)(3) N/A N/A SUBCONTRACT-ASSOCIATION5885 SW RESEARCH 222,092 MEADOWS RD LAKE OSWEGO, OR 97035

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States (a) Name and address of **(b)** EIN (c) IRC Code section (d) A mount of cash (e) A mount of non-(f) Method of (a) Description of (h) Purpose of grant organization ıf applicable arant cash valuation non-cash assistance or assistance or government assistance (book, FMV, appraisal, other) 0 N/A NORTHWESTERN 36-2167817 501 (C)(3) N/A SUBCONTRACT-UNIVERSITY619 CLARK TRAINING 33,512 STREET RM 217 EVANSTON, IL 60208 NURSES FOR NEWBORNS 43-1601329 501 (C)(3) 0 N/A N/A SUBCONTRACT-FOUNDATION 50 VANTAGE RESEARCH 7,960 WAY STE 101 NASHVILLE, TN 37288 0 OHIO UNIVERSITYCDL 31-6402113 501 (C)(3) N/A N/A SUBCONTRACT-RESEARCH CENTER 58,975 ATHENS, OH 45701 OREGON HEALTH & 93-1176109 501 (C)(3) 0 N/A N/A SUBCONTRACT-SCIENCE UNIVERSITY3181 RESEARCH 1,109,223 SW SAM JACKSON PORTLAND, OR 97239 501 (C)(3) 0 OREGON STATE 48-1278540 N/A N/A SUBCONTRACT-UNIVERSITY312 KERR RESEARCH 273,070 ADMIN BLDG CORVALLIS, OR 97331 PROGRESO COMMUNITY 26-0635611 501 (C)(3) 0 N/A N/A SUBCONTRACT-CENTER478 ALLIED DR STE RESEARCJ 17,126 107 NASHVILLE, TN 37211 **PURDUE UNIVERSITY610** 35-6002041 501 (C)(3) 0 N/A N/A SUBCONTRACT-**PURDUE MALL** RESEARCH 273,772 WEST LAFAYETTE, IN 47907 RAND CORPORATION 1776 0 N/A SUBCONTRACT-95-1958142 501 (C)(3) N/A MAIN ST RESEARCH 776,520 SANTA MONICA, CA 90407 REGENTS OF THE 95-6006142 501 (C)(3) 0 N/A N/A SUBCONTRACT-UNIVERSITY OF RSCH/TRN 967,943 CALIFORNIA1111 FRANKLIN ST OAKLAND, CA 94607 501 (C)(3) 94-6002123 0 N/A N/A SUBCONTRACT-REGENTS OF THE UNIVERSITY OF RESEARCH 687,624 CALIFORNIA BERKELEY 2150 SHATTUCK AVE BERKELEY, CA 94704

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States (a) Name and address of **(b)** EIN (c) IRC Code section (d) A mount of cash (e) A mount of non-(f) Method of (q) Description of (h) Purpose of grant organization ıf applıcable grant cash valuation non-cash assistance or assistance or government assistance (book, FMV, appraisal, other) 0 REGENTS OF THE 95-2226406 501 (C)(3) N/A N/A SUBCONTRACT-UNIVERSITY OF RESEARCH 109,432 CALIFORNIA IRVINE300 UNIVERSITY TOWER IRVINE, CA 92697 0 REGENTS OF THE 39-1481425 501 (C)(3) N/A N/A SUBCONTRACT-UNIVERSITY OF RESEARCH 10,299 COLORADODEPT 220 DENVER, CO 80291 REGENTS OF THE 38-6006309 501 (C)(3) 0 N/A N/A SUBCONTRACT-UNIVERSITY OF MICHIGAN RESEARCH 157,108 3003 S STATE ST ANN ARBOR, MI 48109 0 RESEARCH FOUNDATION 14-1410842 501 (C)(3) N/A N/A SUBCONTR-RSCH/OTHER FOR MENTAL HYGIENE150 201,601 **BROADWAY SUITE 301** ALBANY, NY 12204 RHODE ISLAND HOSPITAL 05-0258954 501 (C)(3) 0 N/A N/A SUBCONTRACT-593 EDDY ST RESEARCH 13,245 PROVIDENCE, RI 02903 RICE UNIVERSITYPO BOX 74-1109620 501 (C)(3) 0 N/A N/A SUBCONTRACT-RESEARCH 1892 7,570 HOUSTON, TX 77251 0 N/A CONTRIBUTION RONALD MCDONALD 62-1310717 501 (C)(3) N/A HOUSE CHARITIES OF 8,740 NASHVILLE2144 FAIRFAX AVENUE NASHVILLE, TN 37212 ROUND ROCK 74-6002018 0 N/A N/A SUBCONTRACT-501 (C)(3) INDEPENDENT SCHOOL RESEARCH 400,740 DISTRICT1311 ROUND **ROCK AVENUE** ROUND ROCK, TX 78681 RUTGERS UNIVERSITY3 22-6001086 0 N/A SUBCONTRACT-501 (C)(3) N/A RUTGERS PLAZA RESEARCH 1,189,482 NEW BRUNSWICK, NJ 08901 SALK INSTITUTE FOR 95-2160097 501 (C)(3) 0 N/A N/A SUBCONTRACT-**BIOLOGICAL STUDIESPO** RESEARCH 341,131 BOX 85800 SAN DIEGO, CA 92186

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of (b) EIN (c) IRC Code section (d) Amount of cash (e) Amount of non- (f) Method of (g) Descr

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SAN FRANCISCO STATE UNIVERSITY1600 HOLLOWAY AVE SAN FRANCISCO, CA 94132	94-1279802	501 (C)(3)	9,832	0	N/A	N/A	SUBCONTRACT- RESEARCH
SHENANDOAH VALLEY MEDICAL SYSTEM99 TAVERN RD BERKELEY, WV 25401	55-0563741	501 (C) (3)	38,024	0	N/A	N/A	SUBCONTRACT- RESEARCH
ST JUDE CHILDREN'S RESEARCH HOSPITAL262 DANNY THOMAS PLACE MEMPHIS,TN 38105	62-0646012	501 (C)(3)	42,809	0	N/A	N/A	SUBCONTRACT- RESEARCH
ST THOMAS RESEARCH INSTITUTE4230 HARDING RD NASHVILLE,TN 37205	62-0347580	501 (C)(3)	188,913	0	N/A	N/A	SUBCONTRACT- RESEARCH
STANFORD UNIVERSITY 450 SERRA MALL STANFORD,CA 94305	94-1156365	501 (C)(3)	824,279	0	N/A	N/A	SUBCONTRACT- RESEARCH
SUPPORT CENTER FOR DISPARITIES ELIMINATIONPO BOX 691 COVINGTON,TN 38019	45-0565291	501 (C)(3)	5,527	0	N/A	N/A	SUBCONTRACT- RESEARCH
T S U FOUNDATION3500 JOHN MERRITT BLVD NASHVILLE,TN 37209	23-7105693	501 (C)(3)	10,155	0	N/A	N/A	SUBCONTRACT- TRAINING
T J MARTELL FOUNDATION 72 SPRING ST NEW YORK, NY 10012	51-0180178	501 (C) (3)	64,200	0	N/A	N/A	CONTRIBUTION
TENNESSEE DISABILITY COALITION955 WOODLAND ST NASHVILLE,TN 37206	62-1447320	501 (C)(3)	9,688	0	N/A	N/A	SUBCONTRACT- TRAINING
TN EMERGENCY MEDICAL SRVCS FOR CHILDREN 5121 DRS OFC TOWER NASHVILLE,TN 37232	20-2802786	501 (C)(3)	7,000	0	N/A	N/A	CONTRIBUTION

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States (d) A mount of cash (a) Name and address of (b) EIN (c) IRC Code section (e) A mount of non-(f) Method of (a) Description of (h) Purpose of grant valuation organization if applicable arant cash non-cash assistance or assistance (book, FMV, appraisal, or government assistance other) TENNESSEE KIDNEY 62-0815931 501 (C)(3) 0 N/A N/A CONTRIBUTION FOUNDATION2120 8,000 **CRESTMOOR RD** NASHVILLE, TN 37215 TENNESSEE PERFORMING 0 58-1320590 501 (C)(3) N/A N/A CONTRIBUTION ARTS CENTERPO BOX 15,000 190660 NASHVILLE, TN 37219 O N/A N/A TENNESSEE STATE 62-0786119 501 (C)(3) SUB-UNIVERSITY3500 JOHN RSCH/TRNG/INSTR 83,667 MERRITT BLVD NASHVILLE, TN 37209 TENNESSEE 62-0646806 501 (C)(3) 0 N/A N/A SUB-TECHNOLOGICAL RSCH/INST/OTHER 93,568 UNIVERSITY1 WILLIAMS L JONES DR COOKEVILLE, TN 38505 58-1609026 501 (C)(3) 0 N/A N/A CONTRIBUTION THE CONSERVANCYPO BOX 196340 75,000 NASHVILLE, TN 37219 0 N/A N/A THE SCRIPPS RESEARCH 33-0435954 501 (C)(3) SUBCONTRACT-INSTITUTE10550 N RESEARCH 31,669 TORREY PINES RD LA JOLLA, CA 92037 0 N/A 23-1352651 501 (C)(3) N/A SUBCONTRACT-THOMAS JEFFERSON UNIVERSITY1020 WALNUT RESEARCH 67,132 ST PHILADELPHIA, PA 19107 0 N/A N/A TRANSIT ALLIANCE OF 27-1568117 501 (C)(3) CONTRIBUTION MIDDLE TENNESSEE511 100,000 UNION ST NASHVILLE, TN 37219 501 (C)(3) 0 N/A N/A SUBCONTRACT-TRUSTEES OF BOSTON 04-2103457 UNIVERSITYONE SIBLER RESEARCH 5,102 WAY 8TH FL BOSTON, MA 02215 0 TRUSTEES OF DARTMOUTH 02-0222111 501 (C)(3) N/A N/A SUBCONTRACT-COLLEGEDARTMOUTH RSCH/TRN 103,706 COLLEGE HANOVER, NH 03755

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States (a) Name and address of (b) EIN (c) IRC Code section (d) A mount of cash (e) A mount of non-(f) Method of (g) Description of (h) Purpose of grant organization if applicable grant cash valuation non-cash assistance or assistance (book, FMV, appraisal, or government assistance other) 501 (C)(3) N/A TRUSTEES OF TUFTS 04-2103634 0 N/A SUBCONTRACT-TRAINING UNIVERSITY136 46,637 HARRISON AVE BOSTON, MA 02111 N/A N/A TUFTS MEDICAL CENTER 04-3400617 501 (C)(3) 0 SUBCONTRACT-800 WASHINGTON ST TRAINING 132,330 BOSTON, MA 02111 UNIVERSITY OF 95-6006144 501 (C)(3) 0 N/A N/A SUBCONTRACT-CALIFORNIA-SAN DIEGO RESEARCH 62,693 9500 GILMAN DR LA JOLLA, CA 92093 62-1032792 501 (C)(3) N/A N/A UNITED NEIGHBORHOOD SUBCONTRACT-**HEALTH CENTERS617 S** RESEARCH 50,699 8TH STREET NASHVILLE, TN 37206 56-1010742 501 (C)(3) 0 N/A N/A CONTRIBUTION UNITED WAY OF THE MID SOUTH6775 LENOX CTR CT 7,000 MEMPHIS, TN 38115 N/A UNITED WAY OF 62-6049469 501 (C)(3) 0 N/A CONTRIBUTION WILLIAMSON COUNTY209 7,500 **GOTHIC COURT** FRANKLIN, TN 37067 501 (C)(3) 1,863,536 PUBLIC SVC/SUB-UNIVERSITY COMMUNITY 62-1438461 FMV MEDICAL SERVICES **HEALTH SERVICES618 S** TRNG 158,674 8TH STREET NASHVILLE, TN 37206 N/A UNIVERSITY OF ALABAMA 63-6005396 501 (C)(3) 0 N/A SUBCONTRACT-AT BIRMINGHAM701 20TH RSCH/TRN 395,289 ST SOUTH BIRMINGHAM, AL 35233 UNIVERSITY OF ARIZONAP 86-6004791 501 (C)(3) 0 N/A N/A SUBCONTRACT-O BOX 3308 RESEARCH 480,646 TUCSON, AZ 85722 501 (C)(3) 0 N/A N/A UNIVERSITY OF ARKANSAS 71-6003252 SUBCONTRACT-120 OZARK HALL RESEARCH 49,730

FAYETTEVILLE, AR 72701

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CALIFORNIA-MERCEDPO BOX 2039 MERCED,CA 95344	27-0093858	501 (C)(3)	32,006	0	N/A	N/A	SUBCONTRACT- RESEARCH
UNIVERSITY OF CHICAGO 1225 EAST 60TH STREET CHICAGO,IL 60637	36-2177139	501 (C)(3)	311,408	0	N/A	N/A	SUBCONTR- RSCH/OTHER
UNIVERSITY OF CINCINNATI2600 CLIFTON AVE CINCINNATI,OH 45221	31-6000989	501 (C)(3)	126,399	0	N/A	N/A	SUBCONTRACT- RESEARCH
UNIVERSITY OF COLORADO 3100 MARINE ST ROOM 479 BOULDER,CO 80309	84-6000555	501 (C)(3)	594,270	0	N/A	N/A	SUB-RSCH/PUBLIC SVC
UNIVERSITY OF CONNECTICUT HEALTH CENTER263 FARMINGTON AVE FARMINGTON, CT 06030	52-1725543	501 (C)(3)	38,756	0	N/A	N/A	SUBCONTRACT- RESEARCH
UNIVERSITY OF FLORIDA 219 GRINTER HALL GAINESVILLE,FL 32611	59-6002052	501 (C) (3)	282,893	0	N/A	N/A	SUBCONTRACT- RESEARCH
UNIVERSITY OF GEORGIA 475 NORTH LUMPKIN ST ATHENS, GA 30602	55-1353149	501 (C)(3)	49,459	0	N/A	N/A	SUBCONTRACT- TRAINING
UNIVERSITY OF HAWAII 2530 DOLE STREET HONOLULU,HI 96822	99-6000354	501 (C)(3)	51,659	0	N/A	N/A	SUBCONTRACT- RESEARCH
UNIVERSITY OF HOUSTON E CULLEN BUILDING HOUSTON,TX 77204	74-2539684	501 (C)(3)	13,391	0	N/A	N/A	SUBCONTRACT- RESEARCH
UNIVERSITY OF ILLINOIS 1901 S FIRST ST CHAMPAIGN,IL 61820	37-6000511	501 (C)(3)	131,104	0	N/A	N/A	SUBCONTR-PUBLIC SVC

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF IOWA 201 GILMORE HALL IOWA CITY, IA 52242	42-6004813	501 (C)(3)	5,013	0	N/A	N/A	SUBCONTRACT- RESEARCH
UNIVERSITY OF KENTUCKY RESEARCH201 KINKEAD HALL LEXINGTON,KY 40506	61-6033693	501 (C)(3)	157,605	0	N/A	N/A	SUBCONTRACT- RSCH/TRN
UNIVERSITY OF LOUISVILLE RESEARCH 2301 SOUTH THIRD ST LOUISVILLE, KY 40292	61-1029626	501 (C)(3)	9,108	0	N/A	N/A	SUBCONTRACT- RESEARCH
UNIVERSITY OF MARYLAND 1201 TURNER HALL COLLEGE PARK, MD 20742	52-6002033	501 (C) (3)	357,953	0	N/A	N/A	SUB- RSCH/TRNG/OTHER
UNIVERSITY OF MASSACHUSETTS225 FRANKLIN ST BOSTON,MA 02110	04-3167352	501 (C)(3)	1,427,062	0	N/A	N/A	SUBCONTRACT- RESEARCH
UNIVERSITY OF MEDICINE & DENTISTRY OF NJ335 GEORGE ST NEW BRUNSWICK, NJ 08903	22-1775306	501 (C)(3)	76,336	0	N/A	N/A	SUBCONTRACT- RESEARCH
UNIVERSITY OF MEMPHIS ADMINISTRATION BLDG MEMPHIS,TN 38152	62-0648618	501 (C)(3)	98,486	0	N/A	N/A	SUBCONTR- RSCH/INSTR
UNIVERSITY OF MIAMI 1200 CAMPO SANO AVE MIAMI,FL 33146	59-0624458	501 (C)(3)	1,176,992	0	N/A	N/A	SUBCONTRACT- RESEARCH
UNIVERSITY OF MINNESOTA200 OAK ST SE MINNEAPOLIS, MN 55455	41-6007513	501 (C)(3)	306,005	0	N/A	N/A	SUBCONTRACT- RESEARCH
UNIVERSITY OF MISSOURI- COLUMBIA310 JESSE HALL COLUMBIA,MO 65211	43-6003859	501 (C)(3)	151,191	0	N/A	N/A	SUBCONTRACT- RESEARCH

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AUSTIN, TX 78712

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of the United States (b) EDN (c) IRC Code section (d) Amount of cash (e) Amount of page (f) Method of (g) Desc

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UNIVERSITY OF TEXAS - SOUTHWESTERN5323 HARRY HINES BLVD DALLAS,TX 75390	75-6002868	501 (C)(3)	697,936	0	N/A	N/A	SUBCONTRACT- RESEARCH
UNIVERSITY OF TEXAS HEALTH SCIENCE7703 FLOYD CURL DRIVE SAN ANTONIO,TX 78284	74-1586031	501 (C)(3)	329,801	0	N/A	N/A	SUBCONTRACT- RESEARCH
UNIVERSITY OF TEXAS- PAN AMERICAN1201 WEST UNIVERSITY DR EDINBURG,TX 78541	74-6002942	501 (C)(3)	85,253	0	N/A	N/A	SUBCONTRACT- RESEARCH
UNIVERSITY OF UTAH201 PRESIDENTS CIR SALT LAKE CITY,UT 84112	87-6000525	501 (C) (3)	358,220	0	N/A	N/A	SUBCONTRACT- RESEARCH
UNIVERSITY OF VIRGINIA PO BOX 400195 CHARLOTTESVILLE, VA 22904	54-6001786	501 (C)(3)	67,269	0	N/A	N/A	SUBCONTRACT- RSCH/TRN
UNIVERSITY OF WASHINGTONGERBERDING HALL G80 SEATTLE, WA 98195	91-6001537	501 (C)(3)	1,171,612	0	N/A	N/A	SUBCONTRACT- RSCH/TRN
UNIVERSITY OF WISCONSIN-MADISON21 N PARK ST MADISON, WI 53715	39-6006492	501 (C)(3)	360,930	0	N/A	N/A	SUBCONTRACT- RESEARCH
US MATH RECOVERY COUNCIL4117 HILLSBORO PK NASHVILLE,TN 37215	05-0538927	501 (C)(3)	31,950	0	N/A	N/A	SUBCONTRACT- RESEARCH
VIRGINIA TECH1880 PRATT DR BLACKSBURG,VA 24060	54-6001805	501 (C) (3)	7,614	0	N/A	N/A	SUBCONTRACT- RESEARCH
WAKE FOREST UNIVERSITY 1834 WAKE FOREST RD WINSTONSALEM, NC 27106	56-0532138	501 (C)(3)	9,505	0	N/A	N/A	SUBCONTRACT- RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States (a) Name and address of **(b)** EIN (c) IRC Code section (d) A mount of cash (e) A mount of non-(f) Method of (g) Description of (h) Purpose of grant organization ıf applıcable grant cash valuation non-cash assistance or assistance (book, FMV, appraisal, or government assistance other) 501 (C)(3) N/A WASHINGTON UNIVERSITY 43-0653611 0 N/A SUBCONTRACT-ONE BROOKINGS DR RESEARCH 184,536 ST LOUIS, MO 63130 WEILL MEDICAL COLLEGE 13-1623978 501 (C)(3) 0 N/A N/A SUBCONTRACT-RSCH/TRN OF CORNELL1300 YORK 333,667 AVENEW YORK, NY 10065 56-6001440 501 (C)(3) 0 N/A N/A SUBCONTRACT-WESTERN CAROLINA UNIVERSITYCORDELIA RESEARCH 27,209 CAMP BLDG CULLOWHEE, NC 28723 61-0843731 501 (C)(3) 0 N/A N/A WHITE HOUSE CLINIC401 SUBCONTRACT-HIGHLAND PARK DR RESEARCH 22,500 RICHMOND, KY 40475 501 (C)(3) WOMEN'S ECONOMIC 62-1852542 0 N/A N/A CONTRIBUTION COUNCIL FOUNDATION 6,000 405 WESTLAND DR LEBANON, TN 37087 N/A 06-0646973 501 (C)(3) 0 N/A SUBCONTRACT-YALE UNIVERSITY47 **COLLEGE ST STE 203** RSCH/TRN 88,028 NEW HAVEN, CT 06520 YMCA OF MIDDLE 62-0476243 501 (C)(3) 0 N/A SUBCONTR-PUBLIC N/A TENNESSEE900 CHURCH SVC 129,750 STREET NASHVILLE, TN 37203 62-0475702 501 (C)(3) N/A N/A YWCA OF NASHVILLE & CONTRIBUTION MIDDLE TENNESSEE1608 5,500 WOODMONT BLVD NASHVILLE, TN 37215 52-1105189 0 N/A SUBCONTR-PUBLIC ZERO TO THREE2000 M ST 501 (C)(3) N/A NW SVC 101,007 WASHINGTON, DC 20036 N/A N/A FRANKLIN ROTARY CLUB 62-6075146 501 (C) (4) 0 CONTRIBUTION

5,450

AT NOON INCP O BOX 367

FRANKLIN, TN 37065

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States **(b)** EIN (c) IRC Code section (d) Amount of cash (a) Name and address of (e) A mount of non-(f) Method of (g) Description of ıfapplıcable organization grant cash valuation non-cash assistance (book, FMV, appraisal. or government assistance

RES TRI PK, NC 27709

or government				assistance	(book, FMV, appraisal, other)		
TENNESSEE EDUCATION ASSOCIATION801 SECOND AVENUE NORTH NASHVILLE,TN 37201	62-0380018	501 (C) (6)	12,047	0	N/A	N/A	SUBCONTR- RSCH/INSTR
ANATOMIC & CLINICAL LABORATORY2010 CHURCH ST NASHVILLE,TN 37203	62-1051717	N/A	20,338	0	N/A	N/A	SUBCONTRACT- RESEARCH
AVID RADIOPHARMACEUTICALS 3711 MARKET ST PHILADELPHIA,PA 19104	20-1811104	N/A	17,199	0	N/A	N/A	SUBCONTRACT- RESEARCH
BELL HELICOPTER TEXTRON INCPO BOX 482 DEPT 1M FT WORTH,TX 76101	05-0393946	N/A	54,802	0	N/A	N/A	SUBCONTRACT- RESEARCH
CFD RESEARCH CORPORATION601 GENOME WAY HUNTSVILLE,AL 35806	63-0944385	N/A	67,685	0	N/A	N/A	SUBCONTRACT- RESEARCH
CORPORATION FOR PUBLIC SCHOOL EDUCATION - K16 2413 CLOUD PEAK LANE ROUND ROCK,TX 78681	73-1663749	N/A	25,000	0	N/A	N/A	SUBCONTRACT- RESEARCH
GIBSON CONSULTING GROUP901 S MOPAC EXPWY AUSTIN,TX 78746	74-2898858	N/A	129,177	0	N/A	N/A	SUBCONTRACT- RESEARCH
HAMILTON SUNDSTRAND CORPORATION4747 HARRISON AVE ROCKFORD,IL 61125	06-1543584	N/A	29,167	0	N/A	N/A	SUBCONTRACT- RESEARCH
INFORMATICS CORPORATION OF AMERICA 1801 WEST END AVE NASHVILLE,TN 37203	20-2536307	N/A	735,600	0	N/A	N/A	SUBCONTR-OTHER PROJ
INTEGRATED LAB SYSTEMSP O BOX 13501	56-1453696	N/A	51,113	0	N/A	N/A	SUBCONTRACT- RESEARCH

(h) Purpose of grant

or assistance

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of (b) FIN (c) IRC Code section (d) Amount of cash (e) Amount of non- (f) Method of (g) Desc

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
INTERNATIONAL EPIDEMIOLOGY INSTITUTE INC1455 RSCH BLVD ROCKVILLE, MD 20850	52-1882011	N/A	3,399,694	0	N/A	N/A	SUBCONTRACT- RESEARCH
IONWERKS INC3401 LOUISIANA ST HOUSTON,TX 77002	76-0589694	N/A	49,081	0	N/A	N/A	SUBCONTRACT- RESEARCH
JONES CLINIC7710 WOLF RIVER CIR GERMANTOWN,TN 38138	62-1717770	N/A	15,370	0	N/A	N/A	SUBCONTR-OTHER PROJ
LEXINGTON-FAYETTE COUNTY HEALTH650 NEWTOWN PIKE LEXINGTON,KY 40508	61-0920825	N/A	25,350	0	N/A	N/A	SUBCONTRACT- RESEARCH
LYNGUENT INC700 W RSCH BLVD FAYETTEVILLE, AR 72701	93-1315313	N/A	49,500	0	N/A	N/A	SUBCONTRACT- RESEARCH
MAX MOBILITY LLC3301 COBBLE ST NASHVILLE,TN 37211	32-0163211	N/A	7,000	0	N/A	N/A	SUBCONTR-PUBLIC SVC
MEDELIS INC5870 FLOWERING SAGE CT RENO,NV 89511	20-0331456	N/A	197,069	0	N/A	N/A	SUBCONTR-OTHER PROJ
METRO PUBLIC HEALTH DEPARTMENTMETRO DIV OF ACCOUNTS NASHVILLE,TN 37201	62-0694743	N/A	32,657	0	N/A	N/A	SUBCONTRACT- RESEARCH
NORTHEAST BIOANALYTICAL LABORATORIES925 SHERMAN AVE HAMDEN,CT 06514	11-3674623	N/A	14,323	0	N/A	N/A	SUBCONTR-OTHER PROJ
PATHOLOGY ASSOCIATES OF ST THOMAS4220 HARDING PIKE NASHVILLE,TN 37205	62-1436754	N/A	17,173	0	N/A	N/A	SUBCONTRACT- RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States (d) A mount of cash (a) Name and address of **(b)** EIN (c) IRC Code section (e) A mount of non-(f) Method of (a) Description of (h) Purpose of grant ıf applıcable valuation organization arant cash non-cash assistance orassistance (book, FMV, appraisal, or government assistance other) PRECISE CLINICAL 20-1725871 N/A O N/A N/A SUBCONTR-OTHER RESEARCH INC1230 SW PROJ 36,270 HARVEY SUITE B TOPEKA, KS 66604 PROVIDENCE SERVICE 86-0845127 N/A N/A N/A SUBCONTRACT-CORPORATION5524 EAST RESEARCH 6,934 FORTH STREET TUCSON, AZ 85711 74-3112051 N/A O N/A SUBCONTR-OTHER PURCHASE CANCER N/A GROUP100 KIANA COURT PRO] 5,900 PADUCAH, KY 42001 N/A SUBCONTRACT-20-1240325 O N/A N/A RAMBISS LLC938 E SWAN CREEK RD TRAINING 84,390 FT WASHINGTON, MD 20744 REHABILITATION 59-3579939 N/A 0 N/A N/A SUBCONTRACT-ASSOCIATION OF NAPLES RESEARCH 6,367 1855 VETERANS PARK NAPLES, FL 34109 SUBCONTR-OTHER SARAH CANNON 20-1557751 N/A 0 N/A N/A RESEARCH INSTITUTEPO PROJ 8,515 BOX 277816 ATLANTA, GA 30384 90-0130454 N/A 0 N/A SUBCONTR-OTHER SERGEMD (FORMERLY N/A INFORMED MEDICAL PROJ 16,500 NETWORKS INC)6410 POPLAR AVE 800 MEMPHIS, TN 38119 35-6000158 N/A 0 N/A N/A STATE OF INDIANA SUBCONTRACT-DEPARTMENT OF RESEARCH 135,314 EDUCATIONROOM 229 STATE HOUSE INDIANAPOLIS, IN 46204 N/A SYMBOLENE SYSTEMS INC 58-2584962 0 N/A N/A SUBCONTRACT-2130 BOLD SPRINGS ROAD RESEARCH 50,827 MONROE, GA 30656 TENNESSEE VALLEY 62-0484828 N/A 0 N/A N/A SUBCONTRACT-HEALTHCARE SYSTEM1310 RESEARCH 13,814 24TH AVE SOUTH NASHVILLE, TN 37212

Form 990,Schedule I, Pa	rt II, Grants an	d Other Assistance	e to Governments	and Organizations	s in the United Sta	tes	
(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) A mount of cash grant	(e) A mount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TOPAZ CANYON GROUP LLC1330 WEBER ST ALAMEDA,CA 94501	20-2388726	N/A	58,927	0	N/A	N/A	SUBCONTR- RSCH/OTHER
US ARMY INSTITUTE OF SURGICAL RESEARCH3400 RAWLEY E CHMBRS FT SAM HSTN,TX 78234	30-0440374	N/A	70,643	0	N/A	N/A	SUBCONTRACT- RESEARCH
UT- BATTELLE LLC1201 OAK RIDGE TURNPIKE OAK RIDGE,TN 37830	62-1788235	N/A	63,228	0	N/A	N/A	SUBCONTRACT- RESEARCH
WESTAT INC1600 RESEARCH BLVD ROCKVILLE,MD 20850	84-0529566	N/A	194,443	0	N/A	N/A	SUBCONTR-OTHER PROJ

DLN: 93493133027371

Employer identification number

OMB No 1545-0047

Schedule J (Form 990)

Department of the Treasury

Name of the organization

Internal Revenue Service

For certain Officers, Directors, Trustees, Key Employees, and Highest **Compensated Employees**

► Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

Compensation Information

► Attach to Form 990. ► See separate instructions.

Open to Public Inspection

Van	derbilt University							
	Overtions Beauding Commencetion		62-0476822					
Ра	rt I Questions Regarding Compensation				V			
_			г		Yes	No		
1a	Check the appropriate box(es) if the organization provided 990, Part VII, Section A, line 1a Complete Part III to pr							
	First-class or charter travel	Housing allowance or residence for	personal use					
	Travel for companions	Payments for business use of pers						
		Health or social club dues or initiat						
	Discretionary spending account	Personal services (e.g., maid, chau	ffeur, chef)					
b	If any of the boxes in line 1a are checked, did the organiz reimbursement orprovision of all the expenses described			1b	Yes			
2	Did the organization require substantiation prior to reimbu							
	officers, directors, trustees, and the CEO/Executive Direc	ctor, regarding the items checked in iii	e la'	2	Yes			
3	Indicate which, if any, of the following the organization uso organization's CEO/Executive Director Check all that ap	•	e					
	Compensation committee	Written employment contract						
		Compensation survey or study						
		Approval by the board or compensa	ition committee					
4	During the year, did any person listed in Form 990, Part V or a related organization	/II, Section A , line 1a with respect to t	he filing organization					
а	Receive a severance payment or change-of-control paym	nent?		4a	Yes			
b	Participate in, or receive payment from, a supplemental n	onqualified retirement plan?		4b	Yes			
С	Participate in, or receive payment from, an equity-based	compensation arrangement?		4c		Νo		
	If "Yes" to any of lines 4a-c, list the persons and provide	the applicable amounts for each item	n Part III					
	Only 501(c)(3) and 501(c)(4) organizations only must co	mplete lines 5-9.						
5	For persons listed in form 990, Part VII, Section A, line 1 compensation contingent on the revenues of	La, did the organization pay or accrue a	ny					
а	The organization?			5a	Yes			
b	Any related organization?			5b		Νo		
	If "Yes," to line 5a or 5b, describe in Part III							
6	For persons listed in form 990, Part VII, Section A, line 1 compensation contingent on the net earnings of	La, did the organization pay or accrue a	ny					
а	The organization?			6a	Yes			
b	b Any related organization?							
	If "Yes," to line 6a or 6b, describe in Part III							
7	n-fixed	7	Yes					
8	Were any amounts reported in Form 990, Part VII, paid o subject to the initial contract exception described in Regs in Part III			8	Yes			

section 53 4958-6(c)?

If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations

Yes

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(1)-(111) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name (B) Breakdown of W-2 and/or 1099-MISC compensation (I) Base compensation (I) Davis compensation (II) Davis compensation (III) Other comp								
(i) Base incentive reportable compensation reportable compensation compensation reportable	(A) Name	(B) Breakdown of	W-2 and/or 1099-MI		(C) Retirement and		(E) Total of columns	
See Additional Data Table	_	(i) Base compensation	ıncentive	(iii) Other reportable compensation		benefits	(B)(ı)-(D)	Form 990 or
	See Additional Data Table							

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

Ident if ier	Return Reference	Explanation
Part I, Line 1a		First-class or charter travel - Travelers should fly via commercial airline and work to obtain the lowest fare possible. International trips that span over six time zones are pre-approved for business class travel. Additionally, for both domestic and international travel, if an airline provides business class or first class seating and the length of the flight is over four hours, the traveler may petition the Chancellor and with approval fly business or first class. In cases where it is not possible to fly commercial due to scheduling commitments, and the travel is of the highest importance, travelers may request that the Chancellor approve a charter flight, after weighing the benefits of the trip against the cost of the charter. The Board of Trust has approved the first class travel or charter for the Chancellor when necessitated by his schedule. Tax indemnification and gross-up payments. Vanderbilt University, in certain instances, will gross-up payments. All such gross-ups are included in the taxable income of the applicable individual. Housing allowance or residence for personal use. Vanderbilt University provided interim housing to Vice Chancellor for Development and Alumni Relations. Susie S. Stalcup from January 2009-September 2009. The value of such housing was treated as compensation and reported on Form W-2. Vice Chancellor Stalcup became an employee of Vanderbilt University in January 2009. Health or social club dues or initiation fees. Vanderbilt University pays for certain social club dues, all of which are corporate memberships, and serve a documented business purpose.
Part I, Line 4a		Former Officer (Vice Chancellor for Administration and Chief Financial Officer) Lauren J Brisky terminated employment with Vanderbilt University in February 2009, and received severance payments during 2009 totaling \$1,154,116
Part I, Line 4b		The following individuals participated in Vanderbilt University's Supplemental Executive Retirement Plan, a nonqualified retirement plan, during 2009 No distributions occurred during 2009 Accrued benefits reflected in Schedule J-1, Column (C) for each individual are as follows. Chancellor Nicholas S. Zeppos - \$724,642 Vice Chancellor for University Affairs and Athletics, General Counsel, and Secretary of the University David Williams II - \$1,339,968 Former Officer (Vice Chancellor for Health Affairs) Harry R. Jacobson, MD - \$2,817,340 The Supplemental Executive Retirement Plan (SERP) as approved by the Compensation Committee of the Board of Trust, is a nonqualified deferred compensation plan, the purpose of which is to provide supplemental retirement, disability, and death benefits for a select group of highly compensated employees as a retention strategy. As such, the participant (or his or her beneficiary in the case of death) will receive his or her accrued benefit based on a vesting and payment schedule as set forth in the plan agreement. If prior to a participant's payment date, disability, retirement date or death, a participant's employment with the university is terminated either involuntarily for cause or voluntarily other than for good reason, the participant and his or her beneficiaries will forfeit any kind of benefits accrued under the plan.
Part I, Line 5		Vice Chancellor for University Affairs and Athletics, General Counsel, and Secretary of the University David Williams II had an incentive plan based on exceeding external revenue goals in athletics. Total incentive compensation earned from this measure was \$14,664 and is included in his 2009 W-2.
Part I, Line 6		The following individuals had incentive plans based on net operating results of the organization. Total incentive compensation earned from this measure during 2009 for each individual follows, and is included in the respective 2009 W-2's. Chancellor Nicholas S. Zeppos- \$58,126 Provost and Vice Chancellor for Academic Affairs Richard C. McCarty- \$13,750 Vice Chancellor for University Affairs and Athletics, General Counsel, and Secretary of the University David Williams II- \$19,500 Associate Professor Lloyd G. King, MD had an incentive compensation plan based on 75% of his net practice contribution, which represents the amount by which the net income of Dr. King's practice exceeds his annual base salary and fringes. Net income consists of net revenue less expenses associated with services performed directly by Dr. King. Total incentive compensation earned from this measure during 2009 was \$274,777 and is included in his 2009 W-2.
Part I, Line 7		Chancellor Nicholas S Zeppos achieved various incentive goals where judgment is determined by the Board of Trust Total incentive compensation earned from these measures was \$188,908 and is included in his 2009 W-2. The following individuals achieved various incentive goals where judgment is determined by the Chancellor or the Board of Trust. Total incentive compensation earned from these measures during 2009 for each individual follows, and is included in the respective 2009 W-2's. Provost and Vice Chancellor for Academic Affairs Richard C. McCarty-\$71,500 Vice Chancellor for University Affairs and Athletics, General Counsel, and Secretary of the University David Williams II-\$107,318 Vice Chancellor for Development and Alumni Relations Susie S. Stalcup-\$35,000 Associate Professor Lloyd G. King, MD's 2009 W-2 compensation includes an amount equal to 3% of any cash distributions from the Ambulatory Surgery Center of Cool Springs, LLC, a related organization. Dr. King is also a direct owner of a 6.122% interest in Ambulatory Surgery Center of Cool Springs, LLC, and a Schedule K-1 that reports his distributive share of earnings. These amounts related to his personal ownership are not reflected on Vanderbilt's Form 990, Schedule J.
Part I, Lines 8 & 9		Vanderbilt University did rely on the initial contract exception related to the employment of Vice Chancellor for Development and Alumni Relations Susie S Stalcup and Vice Chancellor for Finance and Chief Financial Officer Brett C Sweet during 2009 Vanderbilt also views that the standards for meeting the rebuttable presumption procedure were met as follows. The compensation arrangements were approved by the Vanderbilt Board of Trust Compensation Committee, of which none of the participants had a conflict of interest with respect to the approval of the compensation arrangements. The Vanderbilt Board of Trust Compensation Committee did obtain and rely upon appropriate data in regard to comparable compensation. The Vanderbilt Board of Trust Compensation Committee did document the basis for its determination concurrent with making its determination.

Software ID: Software Version:

EIN: 62-0476822

Name: Vanderbilt University

Form 990, Schedule J,	Part	II - Officers, Dire	ctors, Trustees, K	ey Employees, and	Highest Compen	sated Employees		
(A) Name		(B) Breakdown o	f W-2 and/or 1099-MIS	SC compensation	(C) Deferred	(D) Nontaxable	(E) Total of columns	(F) Compensation reported in prior Form
_		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation	compensation	benefits	(B)(ı)-(D)	990 or Form 990-EZ
Nicholas S Zeppos JD	(I) (II)		247,034	21,522	877,370 0	71,346 0	1,890,274 0	0
Jeffrey R Balser MD PhD	(1) (11)		0	12,677 0	128,770 0	28,307 0	1,001,182	0
Jerry G Fife BS	(I) (II)		0	24,685 0	12,103	10,142	336,678 0	0
Beth A Fortune MA	(I) (II)		0	18,847 0	12,103	7,443	386,008 0	0
Richard C McCarty MS PhD	(I) (II)		129,250	19,022	212,103	17,368 0	920,219	0
Betty L Price MBA CPA	(I) (II)		0	12,141 0	12,103	9,933	469,885 0	0
Susie S Stalcup BA	(I) (II)		235,000	53,975 0	12,103	14,441	810,516 0	0
Brett C Sweet MBA	(1) (11)		300,000	11,367 0	11,233 0	12,310 0	582,909 0	0
David Williams II MA MBA JD L	(1) (11)		185,250 0	27,253 0	1,533,889 0	41 ,257 0	2,560,505 0	0
Matthew W Wright MBA	(1) (11)		292,500	13,133	70,603 0	21,446 0	779,404 0	0
Kevin B Churchwell MD	(1) (11)		0	11,790 0	12,103 0	19,324 0	624,611 0	0
Larry M Goldberg MHA	(I) (II)		0	44 ,980 0	12,103 0	12,299 0	690,090 0	0
John F Manning Jr PhD MBA	(I) (II)		0	11,988 0	62,103 0	15,820 0	496,303 0	0 0
Charles W Pinson MD MBA	(I) (II)		0	13,293 0	102,103 0	8,272 0	976,128 0	0
Martın P Sandler MD	(1) (11)		10,000	14,266 0	12,103 0	9,124 0	752,100 0	0
John W Brock III MD	(1) (11)	735,547 0	230,916 0	12,822	12,103 0	13,640 0	1,005,028 0	0 0
Robert A Johnson MA	(I) (II)		744,620 0	17,553 0	184,413 0	14,869 0	2,001,030 0	0 0
Lloyd G King MD PhD	(I) (II)		353,269 0	11,310 0	12,103 0	36,598 0	1,131,370 0	0 0
Joseph A Smith MD	(I) (II)		493,707 0	14,304	12,103 0	15,133 0	1,310,372	0 0
Kevin E Stallings MS	(1) (11)		177,663 0	46,537 0	130,935 0	26,478 0	1,922,671	0
Lauren J Brisky MBA	(I) (II)		0	1,167,405 0	2,493 0	731 0	1,220,452	0
Harry R Jacobson MD	(I) (II)		0	21,388 0	2,829,443 0	12,153 0	4,044,325 0	0
William T Spitz MBA	(1) (11)		0	11,467 0	12,103	2,017	297,388 0	0

DLN: 93493133027371

Open to Public

Inspection

OMB No 1545-0047

Schedule K (Form 990)

Supplemental Information on Tax Exempt Bonds ▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions,

explanations, and any additional information in Schedule O (Form 990).

► Attach to Form 990. ► See separate instructions.

Internal Revenue Service Name of the organization Vanderbilt University

Department of the Treasury

Employer identification number

62-0476822

										62-0	476822			
Pa	art I Bond Issues	· · · · · · · · · · · · · · · · · · ·												
	(a) Issuer Name	(b) Issuer EIN	(c) CUSIP #	(d) D	ate Issued	(e) Iss	ue Price	(f) De	escription of	Purpose	(g) Def	feased	Beh	On alf of suer
											Yes	No	Yes	No
A	HEFB OF METRO NASHVILLE & DAVIDSON CO TN-2009 A&B	62-6139016	592041RJB	04-0	01-2009	32	8,850,840	SEE SCHE	EDULE O			x		x
В	HEFB OF METRO NASHVILLE & DAVIDSON CO TN-2008 A&B	62-6139016	592041QB6	04-3	23-2008	27	4,405,009	SEE SCHE	EDULE O			х		х
c	HEFB OF METRO NASHVILLE & DAVIDSON CO TN-2005 A&B	62-6139016	592041NW3	01-	20-2005	41.	3,607,549	SEE SCHE	EDULE O			×		Х
D	HEFB OF METRO NASHVILLE & DAVIDSON CO TN-2003 A	62-6139016	592041MZ7	05-	29-2003	41	0,155,000	SEE SCHE	EDULE O			х		х
E	HEFB OF METRO NASHVILLE & DAVIDSON CO TN-CP5	62-6139016		03-	30-2010	17	7,740,000	SEE SCHE	EDULE O			×		х
Pa	rt III Proceeds		•					-1						
	-			i	A	В	3	(С)		E	
1	Total proceeds of issue			32	28,896,688	27	5,052,312	41	9,396,776	4	0,155,000		177,7	40,000
2	Gross proceeds in reserve funds													
3	Proceeds in refunding or defeasar	nce escrows												
4	Other unspent proceeds				8,226,353									
5	Issuance costs from proceeds				2,249,781		1,789,239		4,871,019		351,832			
6	Working capital expenditures fron	n proceeds												
7	Capital expenditures from procee	ds		9	2,147,784			18	5,943,365				25,5	35,000
8	Year of substantial completion			20	11			20	07			•		
				Yes	No	Yes	No	Yes	No	Yes	No	Yes	.	No
9	Were the bonds issued as part of	a current refunding is	ssue?	Χ		Х		Х		Χ		х		
10	Were the bonds issued as part of	an advance refunding	j issue?		Х		Х		Х		Х			Х
11	Has the final allocation of proceed	ds been made?		Х		Х		Х		Х		Х		
12	Does the organization maintain active the final allocation of proceeds?	dequate books and re	ecords to support	Х		Х		Х		Х		х		
Pa	11111 Private Business Use													
					A		В		C		D		E	
				Yes	No No	Yes	No	Yes	No	Yes	No	Ye	s	No

Χ

Χ

which owned property financed by tax-exempt bonds?

which may result in private business use?

Was the organization a partner in a partnership, or a member of an LLC,

Are there any lease arrangements with respect to the financed property

Χ

Χ

Χ

Χ

Χ

Χ

Х

Χ

Schedule K (Form 990) 2009

Part III Private Business Use (Continued)

	•		4	E	3	С		D		E	
		Yes	No								
3a	Are there any management or service contracts with respect to the financed property which may result in private business use?	Х		Х		Х			х		Х
3b	Are there any research agreements with respect to the financed property which may result in private business use?	Х		Х		Х			x	х	
3с	Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property?	X		Х		Х		Х		х	
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government		0 %		0 %		0 %		0 %		0 %
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 %		0 %		0 %			0 %		0 %
6	Total of lines 4 and 5		0 %		0 %		0 %		0 %		0 %
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?	Х		Х		Х		Х		Х	

Part IV Arbitrage

			4	ı	3		С	I	D		E
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1	Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?		x		×		X		×		Х
2	Is the bond issue a variable rate issue?		Х		Х	Х		Х		Х	
3a	Has the organization or the governmental issuer identified a hedge with respect to the bond issue on its books and records?		Х		Х	х			х		х
ь	N1 6 1	GOLDMAN: FXP	SACHS-			GOLDMAN FXP	SACHS-				
С С	Term of hedge		35 83				35 83				
4a	Were gross proceeds invested in a GIC?		Х		Х		Х		Х		×
ь	Name of provider										
С	Term of GIC										
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?										
5	Were any gross proceeds invested beyond an available temporary period?		х		Х		х		х		х
6	Did the bond issue qualify for an exception to rebate?	х		Х		х		Х		Х	
			·						Schedule	e K (Form 990	0) 2009

efile GRAPHIC print - DO NOT PROCESS | As Filed Data -

DLN: 93493133027371

OMB No 1545-0047

Open to Public Inspection

Schedule L

(Form 990 or 990-EZ)

Department of the Treasury

Transactions with Interested Persons

► Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V lines 38a or 40b. ► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

Internal Revenue Service Name of the organization

Employer identification number

Vanderbilt University							6	2-04768	22		
Part I Excess Benefit Tran Complete if the organization							organı	zations	only).	o 40h	
			res on Forms	990, F					rait V, iiii		rrected?
1 (a) Name of disqu	ланте о	person			(b) Desc	ription	of trans	action		Yes	No
2 Enter the amount of tax Impose section 4958	ed on th	ne organ	_		disqualified pers			ear unde	r • ¢	-	
3 Enter the amount of tax, If any,	on line	2, abo							* \$		
Part II Loans to and/or F Complete if the organiz					Part IV June 26	or For	m 990-1	=7 Part V	lino 38a		
Complete if the organiz		oan to	1 1 1 2 3 3 1 1 3 1 1	11 990	, Fait IV, illie 20	, 01 1 01	111 9 9 0 - 1	(f)			
(a) Name of interested person and purpose		m the	(c)O rigina principal amo		(d)Balance due	(e) defau		Approv by boar	d or	(g)Writte agreemer	
- purpose	То	From	om			Yes	No	Yes	No	Yes	No
							-				
							+				
otal				\$					ı		
Part IIII Grants or Assistan Complete if the orga						/ lına	27				
(a) Name of interested person					en interested per			nount of a	rant or typ	e of accie	tance
SEE SCHEDULE O			and t	he org	ganization		(C)AII	lount or g	Tant or typ		
SEE SCHEDULE O											
Part IV Business Transact Complete if the orga						/ line	282 28	h or 28	<u> </u>		
(a) Name of interested persor		(b) betv	Relationship veen interested erson and the		(c) A mount of				transactio	n organi	naring of zation's nues?
			organization							Yes	No
EE SCHEDULE O				\perp							

OMB No 1545-0047

Department of the Treasury Internal Revenue Service

SCHEDULE M

(Form 990)

▶Complete if the organization answered "Yes" on Form 990, Part IV, lines 29 or 30. ► Attach to Form 990.

NonCash Contributions

Open to Public Inspection

Employer identification number Name of the organization Vanderbilt University

62-0476822 Types of Property (a) (b) (c) (d) Check Number of Contributions Revenues reported on Method of determining Form 990, Part VIII, line ıf revenues applicable 1 g Χ 32 41,118 COST 1 Art-Works of art . . 2 Art—Historical treasures 3 Art-Fractional interests 1,324,424 VARIOUS 4 Books and publications Χ Clothing and household 45,120 VARIOUS Х goods Cars and other vehicles Boats and planes . . Intellectual property Securities—Publicly traded . 197 27,877,252 FMV Х 10 Securities—Closely held stock 11 Securities—Partnership, LLC, or trust interests 12 Securities—Miscellaneous . . Oualified conservation contribution—Historic structures 14 Qualified conservation contribution—Other . . Real estate—Residential . Real estate—Commercial . 17 Real estate—Other . . 18 Collectibles . . . Χ 113 66,715 VARIOUS 19 Food inventory . . . 20 Drugs and medical supplies Χ 53 34,347 COST **21** Taxidermy 22 Historical artifacts . 23 Scientific specimens . . 24 Archeological artifacts . 2,572,822 COST 25 Other ► (SOFTWARE) Х 1 Other ► (SEE PART II) Χ 342 113,732 VARIOUS 26 27 Other ►(_ **28** Other ► (Number of Forms 8283 received by the organization during the tax year for contributions 29 0 for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . Yes No 30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? No 30a **b** If "Yes," describe the arrangement in Part II 31 Yes Does the organization have a gift acceptance policy that requires the review of any non-standard contributions? 32a Does the organization hire or use third parties or related organizations to solicit, process, or sell non-cash contributions? 32a Yes b If "Yes," describe in Part II If the organization did not report revenues in column (c) for a type of property for which column (a) is checked,

Part II

Supplemental Information. Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

Ident if ier	Ret urn Reference	Explanation
NUMBER OF CONTRIBUTIONS	FORM 990, SCHEDULE M, PART I, COLUMN (B)	VANDERBILT UNIVERSITY REPORTS THE NUMBER OF CONTRIBUTIONS RECEIVED THROUGHOUT THE YEAR FOR EACH TYPE OF PROPERTY LISTED
OTHER TYPES OF PROPERTY	FORM 990, SCHEDULE M, PART I, LINE 26	THIS LINE INCLUDES DONOR OUT-OF-POCKET EXPENSES FOR HOSTING VANDERBILT EVENTS, AND DONATIONS FOR VARIOUS FUNDRAISING EFFORTS AND SILENT AUCTIONS, SUCH AS DINING GIFTCARDS, AND TICKETS TO PERFORMANCES AND ATHLETIC EVENTS
THIRD PARTY USAGE	FORM 990, SCHEDULE M, PART I, LINE 32A	VANDERBILT UNIVERSITY HIRES RUFFALOCODY, A TELEMARKETING FIRM, FOR CALL CENTER FUNCTIONS OF FUNDRAISING EFFORTS

Schedule M (Form 990) 2009

Additional Data Software ID:

Software Version: **EIN:** 62-0476822 Name: Vanderbilt University

efile GRAPHIC print - DO NOT PROCESS As Filed Data -**Supplemental Information to Form 990** Complete to provide information for responses to specific questions on

DLN: 93493133027371

OMB No 1545-0047

Employer identification number 62-0476822

Department of the Treasury Form 990 or to provide any additional information. Internal Revenue Service ► Attach to Form 990. Name of the organization Vanderbilt University

SCHEDULE 0

(Form 990)

PROGRAM

SERVICE

ACTIVITY -

SCIENTIFIC **RESEARCH**

Identifier

PROGRAM

SERVICE

ACTIVITY-

Identifier

PROGRAM

SERVICE

ACTIVITY-OTHER

PROGRAM SERVICES

FINANCIAL

ACCOUNTS IN

Identifier

MEMBERS OF

GOVERNING

Identifier

FAMILY AND

RELATIONSHIPS

Identifier

SIGNIFICANT CHANGES

TO ORGANIZATIONAL

DOCUMENTS

Identifier

REVIEW OF

FORM 990

Identifier

CONFLICT

INTEREST

Identifier

COMPENSATION

DETERMINATION

Identifier

DISCLOSURE OF

GOV DOCUMENTS,

CONFLICT OF INT

POLICY, AND FIN

Identifier

INVESTMENT

FEES

MANA GEMENT

Identifier

NONDISCRIMINATION

Identifier

FINANCIAL AID OR

ASSISTANCE FROM

A GOVERNMENTAL

Identifier

AMOUNT PAID

TO FUNDRAISER

Identifier

SOLICITATIONS

Identifier

DESCRIPTION

Identifier

OFFICERS.

DIRECTORS.

EMP TITLES

TRUSTEES, KEY

EMP, & HIGHEST

COMPENSATED

Identifier

FULL ISSUER

Identifier

DESCRIPTION

OF PURPOSE

Identifier

PRIVATE

BUSINESS

Identifier

GRANTS OR

ASSISTANCE

BENEFITTING INTERESTED

Identifier

TRANSACTIONS INVOLVING

Identifier

ADDRESS, AND EIN

ORGANIZATIONS

Identifier

FULL NAME AND

ORGANIZATIONS

ADDRESS OF

Identifier

AMOUNT

INVOLVED

RELATED

FULL NAME,

OF RELATED

Return

Reference

FORM 990.

SCHEDULES

R AND R-1,

Return Reference

SCHEDULE R-

FORM 990,

1, PART IV

Return

Reference

PART V, COLUMN

FORM 990,

(C)

SCHEDULE R,

PART III

PERSONS

BUSINESS

INTERESTED

PERSONS

USE

NAME

Return Reference

MEDICAL TRANSPORT HELICOPTERS

FORM 990, SCHEDULE K, PART I,

COLUMN A, ALL ROWS

Return

Reference

SCHEDULE K, PART I,

FORM 990,

COLUMN F

Return

Reference

SCHEDULE K.

Return

Reference

FORM 990,

PART III

Return

Reference FORM 990,

SCHEDULE

L, PART IV

SCHEDULE L,

PART III

EVENT

AGENCY

POLICY

PUBLIC

STMTS

Return

Reference

FORM 990,

PART VI,

LINE 15

POLICY

OF

BUSINESS

THE

BODY

Identifier

FOREIGN COUNTRIES

Return

Reference

PART III, LINE

Return

Reference

FORM 990,

Return

Reference

Return

Reference

FORM 990,

PART VI,

LINE 4

Return

Reference

PART VI, LINE

FORM 990,

Return

Reference

FORM 990,

PART VI.

LINE 12C

A BUSINESS RELATIONSHIP

EXPERTISE

FOR THESE DISCLOSURES

SESSION

Return

Reference

FORM 990,

PART VI,

LINE 19

Return Reference

FORM 990.

11F

PART IX, LINE

Return

Reference

FORM 990,

SCHEDULE

3

E, QUESTION

Return Reference

FORM 990.

Return Reference

FORM 990,

COLUMN V

Return Reference

FORM 990,

SCHEDULE G.

PART I, LINE 3

Return Reference

SCHEDULE G, PART

II, COLUMNS A & B

Return

Reference FORM 990.

SCHEDULE

J-2

FORM 990,

SCHEDULE G, PART I, LINE 2B,

SCHEDULE E,

QUESTION 6A

FORM 990,

PART VI,

LINE 2

4B

Return

Reference

FORM 990,

PART VI,

LINE 1A

PART V, LINE

FORM 990,

PATIENT

CARE

ACADEMIC AND

FORM 990,

4B

Return

Reference

FORM 990,

PART III,

LINE 4C

PART III, LINE

Identifier Return **Explanation** Reference

VANDERBILT UNIVERSITY OFFERS UNDERGRADUATE PROGRAMS IN THE LIBERAL ARTS AND SCIENCES,

PROGRAM FORM 990, **SERVICE** PART III, ACTIVITY-LINE 4A **EDUCATION**

RANKED 17TH AMONG THE NATION'S BEST UNIVERSITIES, AND RANKED 11TH AMONG NATIONAL

ENGINEERING, MUSIC, EDUCATION AND HUMAN DEVELOPMENT, AS WELL AS A FULL RANGE OF GRADUATE AND PROFESSIONAL DEGREES VANDERBILT UNIVERSITY'S TEN SCHOOLS AND COLLEGES INCLUDE COLLEGE OF ARTS AND SCIENCE, GRADUATE SCHOOL, BLAIR SCHOOL OF MUSIC, DIVINITY SCHOOL, SCHOOL OF MANAGEMENT, AND PEABODY COLLEGE (OF EDUCATION AND HUMAN DEVELOPMENT)

AND PROFESSIONAL STUDENTS, AND MORE THAN 3,700 FACULTY MEMBERS VANDERBILT UNIVERSITY U.S. NEWS & WORLD REPORT VANDERBILT'S PEABODY COLLEGE OF EDUCATION AND HUMAN

HTTP //RESEARCH VANDERBILT EDU

HTTP //WWW MC VANDERBILT EDU

SCHOOL OF ENGINEERING, LAW SCHOOL, SCHOOL OF MEDICINE, SCHOOL OF NURSING, OWEN GRADUATE

DEVELOPMENT IS RANKED AS THE BEST GRADUATE SCHOOL OF EDUCATION IN THE NATION BY U.S. NEWS & WORLD REPORT FOR THE SECOND CONSECUTIVE YEAR OTHER VANDERBILT SCHOOLS WITH NOTABLE RANKINGS INCLUDE SCHOOL OF MEDICINE, RANKED 15TH FOR RESEARCH SCHOOLS IN THE NATION, AND LAW SCHOOL RANKED 17TH VANDERBILT WAS RANKED 51ST IN THE WORLD BY THE TIMES HIGHER EDUCATION WORLD UNIVERSITY RANKINGS THESE RANKINGS HAVE BEEN WIDELY CONSIDERED AS A GLOBAL AUTHORITY FOR HIGHER EDUCATION PERFORMANCE FOR MORE INFORMATION REGARDING EDUCATION AT VANDERBILT UNIVERSITY, VISIT HTTP //WWW VANDERBILT EDU **Identifier** Return **Explanation** Reference

VANDERBILT UNIVERSITY IS AN INTERNATIONALLY RECOGNIZED RESEARCH UNIVERSITY A MAJORITY

OF VANDERBILT UNIVERSITY'S RESEARCH FUNDING IS RECEIVED FROM THE FEDERAL GOVERNMENT

FUNDING IS ALSO RECEIVED FROM FOUNDATIONS, ASSOCIATIONS, CORPORATIONS, AND OTHER

SOURCES VANDERBILT UNIVERSITY'S RESEARCHERS ARE AT THE FOREFRONT OF POSING INNOVATIVE SOLUTIONS TO SOME OF THE MOST CHALLENGING QUESTIONS FACING THE WORLD

TODAY FOR MORE INFORMATION REGARDING RESEARCH AT VANDERBILT UNIVERSITY, VISIT

Explanation

VANDERBILT UNIVERSITY HOSPITALS AND CLINICS PROVIDE QUALITY MEDICAL HEALTH CARE REGARDLESS

OF RACE, CREED, SEX, NATIONAL ORIGIN, HANDICAP, AGE, OR ABILITY TO PAY ALTHOUGH REIMBURSEMENT

HOSPITALS AND CLINICS, IT IS RECOGNIZED THAT NOT ALL INDIVIDUALS POSSESS THE ABILITY TO PURCHASE

ESSENTIAL MEDICAL SERVICES, AND FURTHER, THAT VANDERBILT'S MISSION IS TO SERVE THE COMMUNITY WITH RESPECT TO PROVIDING HEALTH CARE SERVICES AND HEALTH CARE EDUCATION THEREFORE, IN KEEPING WITH THESE COMMITMENTS TO SERVE ALL MEMBERS OF ITS COMMUNITY, FREE CARE AND/OR SUBSIDIZED CARE, CARE PROVIDED TO PERSONS COVERED BY GOVERNMENTAL PROGRAMS AT BELOW COST, AND HEALTH ACTIVITIES AND PROGRAMS TO SUPPORT THE COMMUNITY WILL BE CONSIDERED WHERE

PROGRAMS, COMMUNITY EDUCATION PROGRAMS, SPECIAL PROGRAMS FOR THE ELDERLY, HANDICA PPED, MEDICALLY UNDERSERVED, AND A VARIETY OF BROAD COMMUNITY SUPPORT ACTIVITIES CHARITY CARE IS ALSO PROVIDED THROUGH MANY REDUCED PRICE SERVICES AND FREE PROGRAMS OFFERED THROUGHOUT THE YEAR BASED UPON ACTIVITIES AND SERVICES WHICH VANDERBILT HOSPITALS AND CLINICS BELIEVES WILL SERVE A BONA FIDE COMMUNITY HEALTH NEED DURING THE FISCAL YEAR, VANDERBILT SERVICED 51,874 INPATIENTS, PERFORMED 45,492 SURGERIES, AND INCURRED 1,558,594 EMERGENCY AND OUTPATIENT CLINIC VISITS VANDERBILT UNIVERSITY MEDICAL CENTER (VUMC) IS RANKED 16TH IN THE "BEST HOSPITALS" CATEGORY BY THE U.S. NEWS & WORLD REPORT VUMC HAS TEN SPECIALTIES RANKED IN THE TOP 50 FOR THEIR RESPECTIVE FIELDS THE MONROE CARELL JR CHILDREN'S HOSPITAL AT VANDERBILT WAS RANKED AMONG THE TOP 30 IN THE UNITED STATES IN FIVE PEDIATRIC SPECIALTY CATEGORIES BY U.S. NEWS & WORLD REPORT FOR MORE INFORMATION REGARDING HEALTH CARE AT VANDERBILT UNIVERSITY, VISIT

Explanation

OTHER PROGRAM SERVICES INCLUDE PUBLIC SERVICE, ACADEMIC SUPPORT, INSTITUTIONAL SUPPORT,

STUDENT SERVICES, ROOM AND BOARD, AND OTHER AUXILIARY SERVICES VANDERBILT UNIVERSITY ENGAGES IN A VARIETY OF PUBLIC SERVICE PROJECTS, INCLUDING, BUT NOT LIMITED TO SUPPORTING

HIV CARE AND TREATMENT PROGRAMS IN RURAL MOZAMBIQUE AND NIGERIA, AFRICA, DEVELOPING

APPROACHES TO INCREASE HEALTH, SAFETY, QUALITY AND OUTCOMES, WHILE DECREASING TOTAL

Explanation

FOREIGN COUNTRIES WHERE VANDERBILT HAS AN INTEREST IN, OR A SIGNATURE OR OTHER

OR OTHER FINANCIAL ACCOUNT) CAYMAN ISLANDS, FRANCE, GERMANY, LUXEMBOURG,

Explanation

PER BY LAWS IN EFFECT AT JUNE 30, 2010 THE EXECUTIVE COMMITTEE SHALL BE ELECTED BY THE BOARD

OF TRUST AND BE COMPOSED OF THE CHAIRMAN OF THE BOARD, WHO SHALL SERVE AS CHAIR, THE VICE-

CHAIRMEN OF THE BOARD, THE SECRETARY OF THE BOARD, THE CHANCELLOR, WHO SHALL SERVE AS

ACT UPON ALL QUESTIONS AND TRANSACT BUSINESS OF EVERY KIND WHEN THE BOARD IS NOT IN SESSION, AND ITS ACTION SHALL BE FINAL PROVIDED IT SHALL BE WITHOUT AUTHORITY TO ALTER, MODIFY, OR RESCIND ANY AFFIRMATIVE ACTION OR POLICY TAKEN OR APPROVED BY THE BOARD ALL ACTIONS TAKEN BY THE COMMITTEE SHALL BE REPORTED TO THE BOARD AT ITS NEXT REGULAR MEETING,

Explanation

- BOARD CHAIRMAN MARTHA R INGRAM AND TRUSTEES JOHN R INGRAM AND ORRIN H INGRAM

HAVE FAMILY AND BUSINESS RELATIONSHIPS - TRUSTEES JACKSON W MOORE AND REBECCA W

WILSON HAVE A FAMILY RELATIONSHIP - BOARD CHAIRMAN MARTHA R INGRAM, TRUSTEES DENNIS C BOTTORFF, JOHN R INGRAM, ORRIN H INGRAM, AND FORMER VICE-CHANCELLOR FOR HEALTH AFFAIRS HARRY R JACOBSON, MD HAVE A BUSINESS RELATIONSHIP - TRUSTEES CECIL D CONLEE AND J HICKS LANIER HAVE A BUSINESS RELATIONSHIP - TRUSTEES JOE L ROBY AND MARK F DALTON HAVE A BUSINESS RELATIONSHIP - TRUSTEES JOANNE F HAYES AND J HICKS LANIER HAVE

Explanation

IN FISCAL YEAR 2010, VANDERBILT UNIVERSITY MADE THE FOLLOWING CHANGES TO ITS

INDIVIDUALS NOT MEMBERS OF THE BOARD WHO ARE CHOSEN FOR THEIR INVESTMENT

Explanation

VANDERBILT UNIVERSITY PROVIDES A DRAFT COPY OF THE FORM 990 AND ALL REQUIRED SCHEDULES FOR

REVIEW TO ALL GENERAL OFFICERS, WHICH INCLUDES THE CHANCELLOR AND CHIEF FINANCIAL OFFICER

ONCE THIS REVIEW PROCESS IS COMPLETE, THE FULL BOARD OF TRUST AND ITS AUDIT COMMITTEE ARE PROVIDED A HARD COPY OF THE DRAFT FORM 990 AND ALL REQUIRED SCHEDULES FOR REVIEW THE FINAL FORM 990 AND ALL REQUIRED SCHEDULES ARE MADE AVAILABLE TO THE FULL BOARD OF TRUST FOR REVIEW VIA VANDERBILT'S SECURE BOARD OF TRUST WEBSITE PRIOR TO THE FILING OF THE RETURN

Explanation

CONFLICT OF INTEREST AND COMMITMENT MANAGEMENT OFFICE OR VANDERBILT UNIVERSITY MEDICAL CENTER

VANDERBILT UNIVERSITY REQUIRES THAT FOR ANY FACULTY OR STAFF MEMBER DISCLOSING A POTENTIAL CONFLICT OF INTEREST, THE CONFLICT BE REVIEWED BY THE INDIVIDUAL'S MANAGER, AS WELL AS BY THE

FACULTY AFFAIRS OFFICE, AND THE REPORTED CONFLICT BE MANAGED, REDUCED, OR ELIMINATED THE MANAGER IS REQUIRED TO RESPOND THAT ANY RECOMMENDED MANAGEMENT PLAN HAS BEEN IMPLEMENTED OR THAT THE REPORTED CONFLICT NO LONGER EXISTS FOR THOSE CONFLICTS IN WHICH VANDERBILT MAY HAVE AN INSTITUTIONAL INTEREST, THOSE IN WHICH HUMAN SUBJECT RESEARCH IS PERFORMED, OR THOSE DEEMED UNMANAGEABLE, THE UNIVERSITY CONFLICTS COMMITTEE REVIEWS AND DETERMINES APPROPRIATE ACTIONS THE UNIVERSITY CONFLICTS COMMITTEE MEMBERS ARE APPOINTED BY THE CHANCELLOR AND ARE MADE UP OF A FACULTY MEMBER REPRESENTING EACH OF THE UNIVERSITY SCHOOLS, THE VICE CHANCELLOR FOR ADMINISTRATION, THE DIRECTOR OF TECHNOLOGY TRANSFER, THE DIRECTOR OF THE INSTITUTIONAL REVIEW BOARD, THE ASSISTANT VICE CHANCELLOR OF INTERNAL AUDIT, AND THE HEADS OF RESEARCH FOR BOTH THE UNIVERSITY AND MEDICAL CENTER THE UNIVERSITY CONFLICTS COMMITTEE IS CHAIRED BY THE VICE CHANCELLOR FOR UNIVERSITY AFFAIRS AND ATHLETICS, GENERAL COUNSEL, AND SECRETARY OF THE UNIVERSITY THE UNIVERSITY CONFLICTS COMMITTEE REPORTS BI-ANNUALLY TO THE AUDIT COMMITTEE OF THE BOARD OF TRUST THE MATTERS BROUGHT BEFORE THE COMMITTEE AND THE RESULTING ACTIONS BOARD

OF TRUST MEMBERS AND SENIOR EXECUTIVE MANAGEMENT OF THE UNIVERSITY ALSO MUST COMPLETE ANNUAL CONFLICT OF INTEREST DISCLOSURES AND MANAGEMENT PLANS ARE DEVELOPED TO MANAGE, REDUCE, OR ELIMINATE ANY POTENTIAL CONFLICTS OF INTEREST TRUSTEES ARE NOTIFIED OF THEIR PLANS AND THE PLANS ARE THOROUGHLY DISCUSSED WITH THEM TO ENSURE COMPLIANCE THOSE WITH DISCLOSED POTENTIAL CONFLICTS OF INTEREST ARE PRESENTED TO THE AUDIT COMMITTEE OF THE BOARD OF TRUST, ALONG WITH THEIR RESPECTIVE MANAGEMENT ACTION PLANS, WHERE APPLICABLE MANAGEMENT PLANS MAY INCLUDE RESTRICTIONS ON MEMBERS SUCH AS RECUSING THEMSELVES DURING DELIBERATIONS AND DECISIONS IN WHICH A POTENTIAL CONFLICT MAY EXIST, WITH THE MINUTES OF THE MEETING REFLECTING THEIR RECUSAL ADDITIONALLY, ALL MEMBERS OF THE VANDERBILT COMMUNITY ARE REQUIRED TO DISCLOSE POTENTIAL CONFLICTS AS THEY ARISE THROUGHOUT THE YEAR THE SAME PROCESSES NOTED ABOVE OCCUR

Explanation

TO ENSURE THAT VANDERBILT IS PAYING REASONABLE TOTAL COMPENSATION, IS NOT VIOLATING THE

PRIVATE INUREMENT PROHIBITION, WHICH REQUIRES THAT NONE OF THE ORGANIZATION'S INCOME OR

ASSETS UNREASONABLY BENEFIT ANY OF ITS TRUSTEES, OFFICERS, OR KEY EMPLOYEES, AND IS IN

COMPLIANCE WITH THE INTERMEDIATE SANCTIONS PROVISIONS UNDER INTERNAL REVENUE CODE SECTION 4958 WITH RESPECT TO THE GENERAL OFFICERS, VANDERBILT'S BOARD OF TRUST HAS DESIGNATED A COMPENSATION COMMITTEE MADE UP OF OUTSIDE, INDEPENDENT, BOARD MEMBERS TO REVIEW AND RECOMMEND TO THE EXECUTIVE COMMITTEE OF THE BOARD OF TRUST THE TOTAL COMPENSATION ANNUALLY FOR THE GENERAL OFFICERS THE COMMITTEE UTILIZES AN OUTSIDE CONSULTING FIRM TO PROVIDE EXPERT INFORMATION REGARDING INDUSTRY-WIDE COMPENSATION NORMS AND COMPLIANCE WITH ALL INTERNAL REVENUE SERVICE RULES CONCERNING EXECUTIVE COMPENSATION, INCLUDING INTERNAL REVENUE CODE PROVISIONS RELATED TO INTERMEDIATE SANCTIONS, DEFERRED COMPENSATION, AND PRIVATE INUREMENT THE COMPENSATION COMMITTEE REVIEWS THE EXECUTIVE COMPENSATION PHILOSOPHY AND AFFIRMS THAT IT IS IN LINE WITH THE BOARD'S EXPECTATION THE COMPENSATION OF THE GENERAL OFFICERS IS DISCLOSED IN THE ANNUAL FORM 990, WHICH IS AVAILABLE TO THE PUBLIC IN ACCORDANCE WITH REGULATIONS SECTIONS 301 6104(D)-1 THROUGH 3 EACH YEAR, THE TOTAL COMPENSATION REVIEW AND RECOMMENDATIONS ARE RECORDED IN THE MINUTES OF THE COMPENSATION COMMITTEE MEETINGS THE APPROVAL OF THE

RECOMMENDATIONS IS RECORDED IN THE EXECUTIVE COMMITTEE MEETINGS THE FULL BOARD IS INFORMED ANNUALLY OF THE TOTAL COMPENSATION OF THE GENERAL OFFICERS DURING PRIVATE

Explanation

- VANDERBILT UNIVERSITY MAKES ITS GOVERNING DOCUMENTS AVAILABLE TO THE PUBLIC THROUGH

ITS BOARD OF TRUST WEBSITE LOCATED AT HTTP //WWW VANDERBILT EDU/BOARDOFTRUST -

THROUGH ITS COMPLIANCE PROGRAM WEBSITE LOCATED AT

HTTP //FINANCIALREPORT VANDERBILT EDU

UNIVERSITY'S NONDISCRIMINATION POLICY

SCIENTIFIC RESEARCH

MISSISSIPPI, WEST VIRGINIA, AND TEXAS

OFFICE OF COMPLIANCE AND CORPORATE INTEGRITY WEBSITE LOCATED AT

STATEMENTS AVAILABLE TO THE PUBLIC THROUGH ITS WEBSITE LOCATED AT

INVESTMENT MANAGEMENT FEES IN ITS AUDITED FINANCIAL STATEMENTS

VANDERBILT UNIVERSITY MAKES ITS CONFLICT OF INTEREST POLICY AVAILABLE TO THE PUBLIC

HTTP //WWW VANDERBILT EDU/COMPLIANCE, AND THE VANDERBILT UNIVERSITY MEDICAL CENTER'S

HTTP //WWW MC VANDERBILT EDU/COMPLIANCE - VANDERBILT UNIVERSITY MAKES ITS FINANCIAL

Explanation

REPRESENT FEES PAID DIRECTLY TO INVESTMENT MANAGERS (IE, SEPARATELY MANAGED ACCOUNTS PROCESSED THROUGH THE UNIVERSITY'S ASSET CUSTODIAN) VANDERBILT ALSO INCURS INDIRECT

INVESTMENT MANAGEMENT FEES, WHICH ARE DEDUCTED BY THE INVESTMENT FUND MANAGER PRIOR TO REPORTING THE INVESTMENT'S NET ASSET VALUE THROUGH THE UNIVERSITY'S ASSET CUSTODIAN AND THUS ARE NOT DIRECTLY INVOICED TO THE UNIVERSITY AS A RESULT, VANDERBILT INCLUDES SUCH FEES AS PART OF THE NET UNREALIZED GAINS AND LOSSES ON INVESTMENTS, WHICH ARE REPORTED IN SCHEDULE D, PART XI, LINE 4 THIS METHODOLOGY IS CONSISTENT WITH VANDERBILT'S REPORTING OF

Explanation

VANDERBILT UNIVERSITY'S NONDISCRIMINATION POLICY IS WIDELY DISSEMINATED THROUGH

SESSIONS, AND PUBLICATION IN CATALOGS, APPLICATION MATERIALS, AND HANDBOOKS A

EMPLOYMENT AND REEMPLOYMENT RIGHTS ACT, AS AMENDED, AND THE GENETIC INFORMATION NONDISCRIMINATION ACT OF 2008, VANDERBILT UNIVERSITY DOES NOT DISCRIMINATE AGAINST INDIVIDUALS ON THE BASIS OF THEIR RACE, SEX, RELIGION, COLOR, NATIONAL OR ETHNIC ORIGIN, AGE, DISABILITY, OR MILITARY SERVICE, OR GENETIC INFORMATION IN ITS ADMINISTRATION OF EDUCATIONAL POLICIES, PROGRAMS, OR ACTIVITIES, ADMISSIONS POLICIES, SCHOLARSHIP AND LOAN PROGRAMS, ATHLETIC OR OTHER UNIVERSITY-ADMINISTERED PROGRAMS, OR EMPLOYMENT IN ADDITION, THE UNIVERSITY DOES NOT DISCRIMINATE AGAINST INDIVIDUALS ON THE BASIS OF THEIR SEXUAL ORIENTATION, GENDER IDENTITY, OR GENDER EXPRESSION CONSISTENT WITH THE

Explanation

VANDERBILT UNIVERSITY PARTICIPATES IN THE FOLLOWING PROGRAMS FEDERAL PELL GRANTS,

SUBSIDIZED/UNSUBSIDIZED LOANS, FEDERAL PERKINS LOANS, FEDERAL DIRECT GRADUATE PLUS LOANS, FEDERAL DIRECT PARENT LOAN FOR UNDERGRADUATE STUDENTS (PLUS LOANS), FEDERAL WORK STUDY PROGRAM, TENNESSEE STUDENT ASSISTANCE AWARDS, AND THE TENNESSEE EDUCATION LOTTERY SCHOLARSHIP PROGRAM VANDERBILT UNIVERSITY ALSO RECEIVES VARIOUS FEDERAL AND STATE GRANTS AND CONTRACTS FOR ACADEMIC AND

Explanation

\$14,436 THE CONTRACT BETWEEN VANDERBILT UNIVERSITY AND RUFFALOCODY CALLS FOR THE

REIMBURSEMENT OF FUNDRAISING EXPENSES INCURRED BY RUFFALOCODY, SUCH AS PRINTING

Explanation

AND POSTAGE, WHICH ARE INVOICED SEPARATE FROM TELEMARKETING SERVICE FEES

FOR SOLICITATION OF CHARITABLE GIFTS FROM THE GENERAL PUBLIC, THE STATE OF TENNESSEE,

BECAUSE THE INSTITUTION MEETS THE STATUTORY DEFINITION OF A "SCHOOL, COLLEGE, OR

HAMPSHIRE, GEORGIA, MONTANA, OKLAHOMA, NEW MEXICO, NORTH CAROLINA, TENNESSEE,

WHERE VANDERBILT UNIVERSITY IS LOCATED, GRANTS AN EXPRESS EXCEPTION FROM REGISTRATION

UNIVERSITY "OUTSIDE TENNESSEE, THE INSTITUTION MAY ISSUE CHARITABLE GIFT ANNUITIES (CGAS) CERTAIN STATES REQUIRE REGISTRATION OF SOME DEGREE TO ISSUE SUCH CGAS WHILE OTHERS PROVIDE AN EXEMPTION THOSE STATES IN WHICH THE INSTITUTION HAS REGISTERED TO ISSUE CGAS INCLUDE THE FOLLOWING ALASKA, CONNECTICUT, IDAHO, IOWA, MINNESOTA, MISSOURI, NEVADA, NEW

Explanation

A EVENT#1 VANDERBILT LIFEFLIGHT GOLDEN HOUR GALA - ANNUAL DINNER EVENT BENEFITTING

THE DEVELOPMENT OF PROGRAMS IN THE VANDERBILT UNIVERSITY MEDICAL CENTER DIVISION OF

TRAUMA, LIFEFLIGHT, AND THE TRAUMA SURVIVORS' NETWORK B EVENT #2 VANDERBILT GOLF DAY FUNDRAISER- ANNUAL GOLF OUTING BENEFITTING THE MEN'S AND WOMEN'S GOLF TEAMS

Explanation

CHANCELLOR FOR ADMINISTRATION - BETH A FORTUNE MA- VICE CHANCELLOR FOR PUBLIC AFFAIRS -

PROFESSOR OF PSYCHOLOGY - BETTY L PRICE, MBA, CPA- INTERIM VICE CHANCELLOR FOR FINANCE

UNIVERSITY IN FEBRUARY 2009 - HARRY R JACOBSON, MD- FORMER OFFICER (VICE CHANCELLOR FOR HEALTH AFFAIRS) TERMINATED HIS STATUS AS AN OFFICER IN JUNE 2009 - WILLIAM T SPITZ, MBA-FORMER OFFICER (VICE CHANCELLOR FOR INVESTMENTS AND TREASURER) ON SABBATICAL FROM JANUARY- MAY 2009 TERMINATED EMPLOYMENT WITH VANDERBILT UNIVERSITY IN MAY 2009

GOVERNMENT OF NASHVILLE AND DAVIDSON COUNTY, TN

Explanation

ROW A- TO REFUND TAX-EXEMPT COMMERCIAL PAPER (ISSUED ON JUNE 28, 2007) USED TO FINANCE

BONDS ROW B- TO REFUND TAX-EXEMPT COMMERCIAL PAPER (ISSUED ON JUNE 28, 2007) USED TO FINANCE CAPITAL PROJECTS OF THE UNIVERSITY AND HOSPITALS, TO PAY FOR COSTS ASSOCIATED WITH ISSUANCE OF BONDS ROW C- TO PAY COSTS OF UNIVERSITY PROJECTS AND HOSPITAL PROJECTS, REFUND SERIES 2000 C BONDS (ISSUED ON MAY 16, 2000), REFUND SERIES 2002 B BONDS (ISSUED ON JUNE 20, 2002), REFUND SERIES 1985 A BONDS (ISSUED ON JUNE 23, 2004), TO PAY FOR COSTS

ROW D- TO REFUND SERIES 1993 A BONDS (ISSUED ON SEPTEMBER 14, 2003), TO PAY FOR COSTS

VARIOUS CAPITAL PROJECTS, REFUND SERIES 2005 B-1 & B-2 BONDS (BOTH ISSUED ON JANUARY 20,

2005), FINANCE VARIOUS CAPITAL IMPROVEMENTS, TO PAY FOR COSTS ASSOCIATED WITH ISSUANCE OF

ASSOCIATED WITH ISSUANCE OF BONDS, TO PAY FOR COSTS ASSOCIATED WITH CREDIT ENHANCEMENTS

ASSOCIATED WITH ISSUANCE OF BONDS ROW E- TO REFUND SERIES 2005 B BONDS (ISSUED ON JANUARY 20, 2005 AND JUNE 28,2007), TO FINANCE VARIOUS CAPITAL PROJECTS AND IMPROVEMENTS ROW F-SERIES 2004 A THROUGH D BONDS ISSUED AS A PRIVATE PLACEMENT TO FUND HOSPITAL EQUIPMENT ROW G- SERIES 2005 A-1 & A-2 BONDS ISSUED AS A PRIVATE PLACEMENT TO FUND PURCHASE OF

Explanation

REPORTING ON A NET BASIS MEANS THAT PRIVATE BUSINESS USE HAS BEEN ALLOCATED TO THE EQUITY

OCCURRED IN VANDERBILT'S CASE, EQUITY HAS SUBSTANTIALLY EXCEEDED RELATIVELY MINOR PRIVATE

Explanation

\$184,715- AGGREGATE OF EDUCATIONAL ASSISTANCE PROVIDED TO NINE INTERESTED PERSONS

\$45,942- AGGREGATE OF NEED-BASED GRANTS, SCHOLARSHIPS AND ASSISTANCE PROVIDED TO

UNDER THE TUITION BENEFIT PROGRAM, WHICH IS AVAILABLE TO QUALIFYING DEPENDENTS OF

ALL ELIGIBLE EMPLOYEES (E.G. THOSE MEETING MINIMUM PERIOD OF SERVICE REQUIREMENTS)

ONE INTERESTED PERSON \$19,256- AGGREGATE OF MERIT-BASED SCHOLARSHIPS AND

Explanation

(A) SUMMIT PARTNERS (B) TRUSTEE BRUCE R EVANS IS A MANAGING DIRECTOR OF SUMMIT PARTNERS,

INVESTOR IN EIGHT SUMMIT PARTNERS LIMITED PARTNERSHIPS (C) \$1,909,688 (D) VANDERBILT'S NET

CAPITAL CONTRIBUTION TO THE EIGHT LIMITED PARTNERSHIPS DURING FY 10 (E) NO REVENUE SHARING

(A) SUNTRUST BANK (B) TRUSTEES JOANNE F HAYES AND J HICKS LANIER SERVE ON THE BOARD OF DIRECTORS OF SUNTRUST BANK, WHICH CONDUCTS BUSINESS WITH VANDERBILT UNIVERSITY (C) \$225,897 (D) VANDERBILT'S NET PAYMENTS FOR SERVICES DURING FY10 (E) NO REVENUE SHARING (A) INGRAM INDUSTRIES, INC (B) BOARD CHAIRMAN MARTHA R INGRAM, TRUSTEES DENNIS C BOTTORFF, JOHN R INGRAM, ORRIN H INGRAM, AND FORMER VICE CHANCELLOR HARRY R JACOBSON, MD SERVE

REVENUE SHARING (A) HARDING HOLDINGS (B) HARDING HOLDINGS, A WHOLLY-OWNED SUBSIDIARY OF INGRAM INDUSTRIES, INC., OF WHICH BOARD CHAIRMAN MARTHA R INGRAM, TRUSTEES DENNIS C BOTTORFF, JOHN R INGRAM, ORRIN H INGRAM, AND FORMER VICE CHANCELLOR HARRY R JACOBSON, MD ARE DIRECTORS, HAS AN OWNERSHIP INTEREST IN A LIMITED PARTNERSHIP IN WHICH VANDERBILT UNIVERSITY'S ENDOWMENT IS ALSO AN INVESTOR (C) \$7,885,936 (D) VANDERBILT'S NET CAPITAL DISTRIBUTION FROM THE LIMITED PARTNERSHIP DURING FY 10 (E) NO REVENUE SHARING (A) COCA-COLA ENTERPRISES (B) TRUSTEE ORRIN H INGRAM SERVES ON THE BOARD OF DIRECTORS FOR COCA-COLA

CORPORATION OF AMERICA (B) VICE CHANCELLOR BRETT C SWEET AND ASSOCIATE VICE CHANCELLOR

A PRIVATE EQUITY AND VENTURE CAPITAL FIRM VANDERBILT UNIVERSITY'S ENDOWMENT IS AN

ON THE BOARD OF DIRECTORS OF INGRAM INDUSTRIES, INC, WHICH CONDUCTS BUSINESS WITH VANDERBILT UNIVERSITY (C) \$216,384 (D) VANDERBILT'S NET PURCHASES DURING FY10 (E) NO

ENTERPRISES, WHICH CONDUCTS BUSINESS WITH VANDERBILT UNIVERSITY (C) \$1,047,844 (D) VANDERBILT'S NET PURCHASES DURING FY 10 (E) NO REVENUE SHARING (A) INFORMATICS

JOHN F MANNING, JR SERVE ON THE BOARD OF DIRECTORS OF INFORMATICS CORPORATION OF AMERICA (ICA), WHICH CONDUCTS BUSINESS WITH VANDERBILT UNIVERSITY (C) \$735,600 (D) VANDERBILT'S NET PAYMENTS FOR SERVICES DURING FY10 (E) NO REVENUE SHARING (A) KINETIC CONCEPTS, INC (B) FORMER VICE CHANCELLOR HARRY R JACOBSON, MD SERVES ON THE BOARD OF DIRECTORS FOR KINETIC CONCEPTS, INC, A MEDICAL SUPPLY COMPANY, WHOSE SUBSIDIARIES KCI USA, INC. AND LIFECELL CORPORATION CONDUCT BUSINESS WITH VANDERBILT UNIVERSITY (C) \$5,152,426 (D) VANDERBILT'S NET PURCHASES DURING FY 10 (E) NO REVENUE SHARING (A) MASSMUTUAL FINANCIAL GROUP (B) FORMER VICE CHANCELLOR WILLIAM T SPITZ SERVES ON THE BOARD OF DIRECTORS FOR MASSMUTUAL FINANCIAL GROUP, WHICH CONDUCTS BUSINESS WITH VANDERBILT UNIVERSITY (C) \$172,963 (D) VANDERBILT'S NET PAYMENTS DURING FY10 (E) NO REVENUE SHARING (A) JULIA FESMIRE, PHD (B) FAMILY MEMBER OF KAREN T FESMIRE, CURRENT TRUSTEE (C) \$42,125 (D) EMPLOYMENT AT VANDERBILT (E) NO REVENUE SHARING (A) BARBARA ENGELHARDT, MD (B) FAMILY MEMBER OF WILLIAM M WILSON, CURRENT TRUSTEE (C) \$100,630 (D) EMPLOYMENT AT VANDERBILT (E) NO REVENUE SHARING (A) HAVA FIFE, APRN-BC (B) FAMILY MEMBER OF JERRY G FIFE, CURRENT OFFICER (C) \$91,892 (D) EMPLOYMENT AT VANDERBILT (E) NO REVENUE SHARING (A) TAYLOR FIFE, APRN-BC (B) FAMILY MEMBER OF JERRY G FIFE, CURRENT OFFICER (C) \$31,250 (D) EMPLOYMENT AT VANDERBILT (E) NO REVENUE SHARING (A) RYAN L MCCARTY (B) FAMILY MEMBER OF RICHARD C MCCARTY, CURRENT OFFICER (C) \$62,171 (D) EMPLOYMENT AT VANDERBILT (E) NO REVENUE SHARING (A) GAIL P CARR-

WILLIAMS, JD (B) FAMILY MEMBER OF DAVID WILLIAMS II, CURRENT OFFICER (C) \$108,759 (D)

EMPLOYMENT AT VANDERBILT (E) NO REVENUE SHARING

FRANKLIN, TN 37067

AUDITED FINANCIAL STATEMENTS

EMPLOYMENT AT VANDERBILT (E) NO REVENUE SHARING (A) ANDRE L CHURCHWELL, MD (B) FAMILY MEMBER OF KEVIN B CHURCHWELL, MD, CURRENT KEY EMPLOYEE (C) \$615,741 (D) EMPLOYMENT AT VANDERBILT (E) NO REVENUE SHARING (A) KEITH B CHURCHWELL, MD (B) FAMILY MEMBER OF KEV IN B CHURCHWELL, MD, CURRENT KEY EMPLOYEE (C) \$576,722 (D) EMPLOYMENT AT VANDERBILT (E) NO REVENUE SHARING (A) GLYNIS A SANDLER, MD (B) FAMILY MEMBER OF MARTIN P SANDLER, MD, CURRENT KEY EMPLOYEE (C) \$425,912 (D) EMPLOYMENT AT VANDERBILT (E) NO REVENUE SHARING (A) KIM SANDLER (B) FAMILY MEMBER OF MARTIN P SANDLER, MD, CURRENT KEY EMPLOYEE (C) \$46,294 (D)

Explanation

HALLENDALE, FL 33009 ATHENA REAL ESTATE PARTNERS ILB L P 42-1667787 712 FIFTH AVE, 8TH

ALTERNATIVE FIXED INCOME FUND, L P 65-0769632 1250 E HALLENDALE BEACH BLVD PH-A,

NASHVILLE, TN 37203 AMBULATORY SURGERY CENTER OF COOL SPRINGS, LLC 62-1809227 40 BURTON HILLS BLVD, STE 500, NASHVILLE, TN 37215 NEW LIGHT IMAGING, LLC 14-1895171 4525 HARDING ROAD, STE 102, NASHVILLE, TN 37205 ONE HUNDRED OAKS IMAGING, LLC 26-3762022 4525 HARDING ROAD, STE 102, NASHVILLE, TN 37205 SPRING HILL IMAGING CENTER, LLC 20-2652836 4525 HARDING ROAD, STE 102, NASHVILLE, TN 37205 SPRINGFIELD VIP REALTY, LLC 26-1237360 3319 WEST END, STE 700, NASHVILLE, TN 37203 VANDERBILT GATEWAY CANCER CENTER, G P 20-3844791 3319 WEST END, STE 700, NASHVILLE, TN 37203 VANDERBILT IMAGING SERVICES, LLC (VIS) 62-1787098 1909 ACKLEN AVE, NASHVILLE, TN 37212 VANDERBILT ST THOMAS IMAGING, G P 20-

FLOOR, NEW YORK, NY 10019 DORE CAPITAL, L P 26-4337679 2100 WEST END, STE 1000, NASHVILLE, TN 37203 DORE CAPITAL REAL ESTATE, L P 26-4581574 2100 WEST END, STE 1000,

4803261 4525 HARDING ROAD, STE 102, NASHVILLE, TN 37205 VANDERBILT STALLWORTH REHABILITATION HOSPITAL, LP 63-1077470 3660 GRANDVIEW PARKWAY, STE 200, BIRMINGHAM,

AL 35243 VIP MIDSOUTH, LLC 62-1654580 3319 WEST END, STE 700, NASHVILLE, TN 37203

DEERFIELD, IL 60015 WILLIAMSON IMAGING, LLC 62-1855535 2009 MALLORY LANE, STE 150,

WALGREENS INFUSION AND RESPIRATORY SERVICES, LLC 26-4310438 300 WILMOT ROAD, MS 3301,

Explanation

VANDERBILT LEGENDS CLUB, INC 1500 LEGENDS CLUB LANE, FRANKLIN, TN 37069 ZTIPS, INC

MICROARRAYS, INC 601 GENOME WAY, STE 3300, HUNTSVILLE, AL 35806

(ZTIPS) 2100 WEST END, STE 750, NASHVILLE, TN 37203 COMMODORE SCOTTISH PATRON II LP 50

LOTHIAN ROAD, FESTIVAL SQUARE, EDINBURGH, SCOTLAND PROMETHEAN II OFFSHORE, LP 90 FORT

STREET, BOX 32021, SMB, GRAND CAYMAN, CAYMAN ISLANDS CALLAO PARTNERS, LTD APPLEBY TRUST (CAYMAN) LTD CLIFTON HOUSE, 75 FORT STREET, PO BOX 1350, GEORGE TOWN, CAYMAN ISLANDS KY1-1108 EMERGENT PRO ALIA FUND, SUB FUND EMERGENT AFRICAN LAND FUND 20, BOULEVARD EMMANUEL SERVAIS, L-2535, LUXEMBOURG VISION EMERGING ASSET-BACKED FUND FOUR, SPC SEGREGATED PORT 5 WALKER HOUSE, PO BOX 908, MARY STREET, GEORGE TOWN, CAYMAN ISLANDS KY1-9002 HEALTH 1-2-3, INC 7100 COMMERCE WAY, STE 285, BRENTWOOD, TN 37027 VANDERBILT INTEGRATED PROVIDERS (VIP) 3319 WEST END, STE 700, NASHVILLE, TN 37203

Explanation

UNIVERSITY, WHICH ARE MAINTAINED ON AN ACCRUAL BASIS IN ACCORDANCE WITH U.S. GENERALLY

ACCEPTED ACCOUNTING PRINCIPLES VALUATION OF THE AMOUNTS REPORTED IN SCHEDULE R, PART V, COLUMN (C) IS CONSISTENT WITH THE VALUATION REFLECTED IN THE UNIVERSITY'S CONSOLIDATED

THE AMOUNTS REPORTED ARE DERIVED FROM THE BOOKS AND RECORDS OF VANDERBILT

VANDERBILT UNIVERSITY IS REPORTING PRIVATE BUSINESS USE PERCENTAGES ON A NET BASIS

BUSINESS USE, RESULTING IN ZERO REPORTED AMOUNTS ON A NET BASIS

ASSISTANCE PROVIDED TO TWO INTERESTED PERSONS

PORTION OF ANY DEBT-FINANCED BUILDINGS AND EQUIPMENT WHERE PRIVATE BUSINESS USE HAS

Explanation

THE HEALTH AND EDUCATIONAL FACILITIES BOARD OF METROPOLITAN

- NICHOLAS S ZEPPOS, JD- CHANCELLOR - JEFFREY R BALSER, MD, PHD- VICE CHANCELLOR FOR HEALTH AFFAIRS, EFFECTIVE JUNE 2009, DEAN, SCHOOL OF MEDICINE - JERRY G FIFE, BS- VICE

RICHARD C MCCARTY, MS, PHD- PROVOST AND VICE CHANCELLOR FOR ACADEMIC AFFAIRS,

AND CFO, EFFECTIVE FEBRUARY 2009 THROUGH AUGUST 2009 - SUSIE'S STALCUP, BA-VICE CHANCELLOR FOR DEVELOPMENT AND ALUMNI RELATIONS, EFFECTIVE JANUARY 2009 - BRETT C SWEET, MBA- VICE CHANCELLOR FOR FINANCE AND CHIEF FINANCIAL OFFICER, EFFECTIVE AUGUST 2009 - DAVID WILLIAMS II, MA, MBA, JD, LLM- VICE CHANCELLOR FOR UNIVERSITY AFFAIRS AND ATHLETICS, GENERAL COUNSEL, SECRETARY OF THE UNIVERSITY, PROFESSOR OF LAW - MATTHEW W WRIGHT, MBA- VICE CHANCELLOR FOR INVESTMENTS - KEVIN B CHURCHWELL, MD- CEO AND EXECUTIVE DIRECTOR, MONROE CARELL JR CHILDREN'S HOSPITAL AT VANDERBILT - LARRY M GOLDBERG, MHA-CEO, VANDERBILT UNIVERSITY HOSPITAL - JOHN F MANNING, JR , PHD, MBA- ASSOCIATE VICE CHANCELLOR FOR HEALTH AFFAIRS AND CHIEF ADMINISTRATIVE OFFICER, VANDERBILT UNIVERSITY MEDICAL CENTER, SENIOR ASSOCIATE DEAN FOR OPERATIONS AND ADMINISTRATION, SCHOOL OF MEDICINE - CHARLES W PINSON, MD, MBA- DEPUTY VICE CHANCELLOR FOR HEALTH AFFAIRS, CEO OF THE VANDERBILT HEALTH SYSTEM, SENIOR ASSOCIATE DEAN FOR CLINICAL AFFAIRS - MARTIN P SANDLER, MD- ASSOCIATE VICE CHANCELLOR FOR HOSPITAL AFFAIRS, THROUGH JUNE 2009, PROFESSOR OF RADIOLOGY AND RADIOLOGICAL SCIENCES - JOHN W BROCK III, MD- PROFESSOR, UROLOGIC SURGERY, DIRECTOR, PEDIATRIC UROLOGY, SURGEON-IN-CHIEF, MONROE CARELL JR CHILDREN'S HOSPITAL AT VANDERBILT - ROBERT A JOHNSON, MA- HEAD FOOTBALL COACH - LLOYD G KING, MD, PHD- ASSOCIATE PROFESSOR, CLINICAL GASTROENTEROLOGY - JOSEPH A SMITH, MD-PROFESSOR AND CHAIRMAN, UROLOGY DEPARTMENT - KEVIN E STALLINGS, MS- HEAD MEN'S BASKETBALL COACH - LAUREN J BRISKY, MBA - FORMER OFFICER (VICE CHANCELLOR FOR ADMINISTRATION AND CHIEF FINANCIAL OFFICER) TERMINATED EMPLOYMENT WITH VANDERBILT

THE TOTAL AMOUNT PAID TO RUFFALOCODY FOR FISCAL YEAR 2010 WAS \$474,727, WHICH INCLUDES PROFESSIONAL FUNDRAISING FEES OF \$460,291 AND FUNDRAISING EXPENSES OF

FEDERAL ACADEMIC COMPETITIVENESS GRANTS (ACG), FEDERAL NATIONAL SCIENCE AND

MATHEMATICS ACCESS TO RETAIN TALENT GRANTS (NATIONAL SMART GRANTS), FEDERAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITY GRANTS (FSEOG), FEDERAL DIRECT STAFFORD

SUMMARY OF VANDERBILT UNIVERSITY'S NONDISCRIMINATION POLICY IS AS FOLLOWS IN COMPLIANCE WITH FEDERAL LAW, INCLUDING THE PROVISIONS OF TITLE VII OF THE CIVIL RIGHTS ACT OF 1964, TITLE IX OF THE EDUCATION AMENDMENTS OF 1972, SECTIONS 503 AND 504 OF THE REHABILITATION ACT OF 1973, THE AMERICANS WITH DISABILITIES ACT (ADA) OF 1990, THE ADA

AMENDMENTS ACT OF 2008, EXECUTIVE ORDER 11246, AND THE UNIFORMED SERVICES

VARIOUS UNIVERSITY-RELATED WEBSITES, ONLINE APPLICATION PORTALS, ORIENTATION

THE INVESTMENT MANAGEMENT FEES OF \$7,927,724 REPORTED IN FORM 990, PART IX, LINE 11F

BYLAWS - COMPOSITION OF THE BOARD OF TRUST WAS UPDATED FROM "A MAXIMUM OF 46

REGULAR MEMBERS" TO "A MINIMUM OF 3 AND A MAXIMUM OF 46 MEMBERS WHO ARE ENTITLED TO VOTE" - FOR COMMITTEES OF THE BOARD OF TRUST, COMMITTEE MEMBER TERMS WERE CHANGED FROM "RENEWABLE ONE-YEAR TERMS, FOR A MAXIMUM OF FIVE TERMS" TO "RENEWABLE THREE-YEAR TERMS FOR A MAXIMUM OF TWO TERMS" - THE INVESTMENT

COMMITTEE COMPOSITION WAS UPDATED TO INCLUDE "UP TO" RATHER THAN "AT LEAST" THREE

OR THROUGH THE DISTRIBUTION OF MINUTES OF THE EXECUTIVE COMMITTEE MEETINGS

SECRETARY, THE CHAIRMAN OF THE AUDIT COMMITTEE, THE CHAIRMAN OF THE BUDGET COMMITTEE, THE CHAIRMAN OF THE COMPENSATION COMMITTEE, THE CHAIRMAN OF THE GOVERNANCE AND BOARD AFFAIRS COMMITTEE, THE CHAIRMAN OF THE INVESTMENT COMMITTEE, THE CHAIRMAN OF THE MEDICAL CENTER AFFAIRS COMMITTEE, THE CHAIRMAN OF ANY SPECIAL UNIVERSITY-WIDE FUNDRAISING CAMPAIGN, THE SENIOR YOUNG ALUMNI TRUSTEE ELECTED TO THE BOARD UNDER THE PROVISIONS OF CHAPTER I, PARAGRAPH 5, SUBPARAGRAPH D, WITH THE LONGER TERM OF SERVICE, THE SENIOR ALUMNI TRUSTEE ELECTED UNDER CHAPTER I, PARAGRAPH 5, SUBPARAGRAPH C, WITH THE LONGER TERM OF SERVICE, AND UP TO EIGHT ADDITIONAL MEMBERS OF THE BOARD AT LEAST TWO OF THE MEMBERS OF THE EXECUTIVE COMMITTEE SHALL RESIDE OUTSIDE OF NASHVILLE THE EXECUTIVE COMMITTEE SHALL BE EMPOWERED TO

MOZAMBIQUE, NIGERIA, SPAIN, UNITED KINGDOM

AUTHORITY OVER A FINANCIAL ACCOUNT (SUCH AS A BANK ACCOUNT, SECURITIES ACCOUNT,

TRAINING MATERIALS FOR CURRENT AND FUTURE SCHOOL PERSONNEL, FORMULATING NEW

COSTS, AND MANY OTHER SPONSORED COMMUNITY HEALTH AND EDUCATIONAL PROGRAMS

FOR SERVICES RENDERED IS CRITICAL TO THE OPERATION AND STABILITY OF VANDERBILT UNIVERSITY

THE NEED AND/OR AN INDIVIDUAL'S INABILITY TO PAY COEXISTS THESE ACTIVITIES INCLUDE WELL

VANDERBILT UNIVERSITY HAS APPROXIMATELY 6,800 UNDERGRADUATE STUDENTS, AND 5,700 GRADUATE UNIVERSITIES IN THE "GREAT SCHOOLS, GREAT PRICES" CATEGORY IN AN ANNUAL SURVEY CONDUCTED BY

DLN: 93493133027371

SCHEDULE R (Form 990)

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37. ► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Name of the organization Vanderbilt University

Employer identification number

62-0476822

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" on Form 990, Part IV, line 33.)									
(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity				
VANDERBILT-WILLIAMSON CANCER CENTER LLC 2107 EDWARD CURD LANE FRANKLIN, TN 37067 62-1864145	ONCOLOGY SVCS	TN	5,258,763	6,197,600	NA				
VUCH DONATION LLC 2100 WEST END STE 750 NASHVILLE, TN 37203 62-0476822	INVESTMENT	TN	0	1	NA				
DORE CAPITAL LLC 2100 WEST END STE 1000 NASHVILLE, TN 37203 26-4337602	INVESTMENT	DE	0	0	NA				
DORE CAPITAL REAL ESTATE LLC 2100 WEST END STE 1000 NASHVILLE, TN 37203 26-4581498	INVESTMENT	DE	0	0	NA				
				1					

Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

or more related tax-exempt organizations during the tax year.)										
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public chanty status (if section 501(c)(3))	(f) Direct controlling entity					
MEHARRY MEDICAL COLLEGE-VANDERBILT UNIV										
1900 CHURCH STREET	SUPPORT ORG	TN	501(C)(3)	11B-II	NA					
NASHVILLE, TN 37203 _31-1703876										
INGRAM CHARITABLE FUND INC										
4400 HARDING RD 9TH FL	SUPPORT ORG	TN	501(C)(3)	11D-III-O	NA					
NASHVILLE, TN 37205 58-1916504										
VANDERBILT ASTHMA SINUS ALLERGY PRGM INC										
3319 WEST END STE 700	INACTIVE	TN	501(C)(3)	9	VHS					
NASHVILLE, TN 37203 62-1686333										
VANDERBILT HEALTH SERVICES INC (VHS)										
2100 WEST END STE 750	SUPPORT ORG	TN	501(C)(3)	11B-II	NA					
NASHVILLE, TN 37203 62-1176354										
VANDERBILT HOME CARE SERVICES INC										
2120 BELCOURT AVE	HOME HEALTH	TN	501(C)(3)	9	VHS					
NASHVILLE, TN 37212 62-1404948										
VANDERBILT STUDENT COMMUNICATIONS INC										
2301 VANDERBILT PLACE	SUPPORT ORG	TN	501(C)(3)	11A-I	NA					
NASHVILLE, TN 37235 _23-7030713										
VU REAL ESTATE HOLDINGS INC										
2100 WEST END STE 750	TITLE HOLDING	TN	501(C)(2)	N/A	NA					
NASHVILLE, TN 37203 58-2018307										
For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990. Cat No 50135Y Schedule R (Form 990) 2009										

Part III Identification because it	ation of Related t had one or more	Organiz related o	z ations Taxable a organizations treate	s a Partnership (C d as a partnership d	omplete if the org uring the tax year	janization ans ·.)	wered "	'Yes" o	on For	m 990, F	Part IV, line	34	
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end asset	-of-year	(h Disprop allocat	rtionate	Code amount ir Sched	i) V—UBI box 20 of ule K-1 1065)	(j Gener mana partr	al or ging
								Yes	No			Yes	No
See Additional Data Table								-				<u> </u>	_
						+							
				s a Corporation or s treated as a corpor			ar.)		ed "Y	es" on Fo	orm 990, Pa	art IV,	
(a) Name, address, and EIN of	f related organization	(b) Primary a		(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share o Incol	f total	Sh end-	(g) nare of -of-year issets	(h) Percentage ownership		
See Additional Data Table													

(6)

Part V Transactions \	With Related Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, li	ne 34, 35, or 36.)				
Note. Complete line 1 if ar	ny entity is listed in Parts II, III or IV			Yes	No	
1 During the tax year, did the o	orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV	?				
a Receipt of (i) interest (ii)	annuities (iii) royalties (iv) rent from a controlled entity		1a	Yes		
b Gift, grant, or capital cont	ribution to other organization(s)		1b	Yes		
c Gıft, grant, or capıtal cont	ribution from other organization(s)		1c	Yes		
d Loans or loan guarantees	to or for other organization(s)		1d	Yes		
e Loans or loan guarantees	by other organization(s)		1e		No	
f Sale of assets to other or	ganization(s)		1 f	Yes		
g Purchase of assets from other organization(s)						
h Exchange of assets			1h		No	
i Lease of facilities, equipm	ent, or other assets to other organization(s)		1 i	Yes		
					<u> </u>	
j Lease of facilities, equipment, or other assets from other organization(s)						
k Performance of services or membership or fundraising solicitations for other organization(s)						
I Performance of services or membership or fundraising solicitations by other organization(s)						
m Sharing of facilities, equip	ment, mailing lists, or other assets		1m		No	
n Sharing of paid employees			1 n	Yes		
 Reimbursement paid to ot 	her organization for expenses		10	Yes		
p Reimbursement paid by of	ther organization for expenses		1 p	Yes		
q Other transfer of cash or p	property to other organization(s)		1 q	Yes		
r Other transfer of cash or p	property from other organization(s)		1r	Yes		
2 If the answer to any of the	above is "Yes," see the instructions for information on who must complete this line, including covered relationships	and transaction thresh	nolds			
	(a)	(b) Transaction		(c)		
	Name of other organization	type(a-r)	Amoun	t involv	ed	
(1) See Additional Data Table (2)						
(2)						
(3)						
`,						
(4)						
(5)						
	,	1	1			

Software ID:

Software Version:

EIN: 62-0476822

Name: Vanderbilt University

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Exempt Code section	(e) Public charity status (if 501(c)(3))	(f) Direct Controlling Entity
MEHARRY MEDICAL COLLEGE-VANDERBILT UNIV 1900 CHURCH STREET NASHVILLE, TN37203 31-1703876	SUPPORT ORG	TN	501(C)(3)	11B-II	NA
INGRAM CHARITABLE FUND INC 4400 HARDING RD 9TH FL NASHVILLE, TN37205 58-1916504	SUPPORT ORG	TN	501(C)(3)	11D-III-O	NA
VANDERBILT ASTHMA SINUS ALLERGY PRGM INC 3319 WEST END STE 700 NASHVILLE, TN37203 62-1686333	INACTIVE	TN	501(C)(3)	9	VHS
VANDERBILT HEALTH SERVICES INC (VHS) 2100 WEST END STE 750 NASHVILLE, TN37203 62-1176354	SUPPORT ORG	TN	501(C)(3)	11B-II	NA
VANDERBILT HOME CARE SERVICES INC 2120 BELCOURT AVE NASHVILLE, TN37212 62-1404948	HOME HEALTH	TN	501(C)(3)	9	VHS
VANDERBILT STUDENT COMMUNICATIONS INC 2301 VANDERBILT PLACE NASHVILLE, TN37235 23-7030713	SUPPORT ORG	TN	501(C)(3)	11A-I	NA
VU REAL ESTATE HOLDINGS INC 2100 WEST END STE 750 NASHVILLE, TN37203 58-2018307	TITLE HOLDING	TN	501(C)(2)	N/A	NA

Form 990, Schedule R, Part IV - Ider (a) Name, address, and EIN of related organization VANDERBILT LEGENDS CLUB INC 62-1429615	(b) Primary activity GOLF CLUB	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Type of entity (C corp, S corp, or trust)	on or Trust (f) Share of total income (\$) 6,836,165	(g) Share of end-of-year assets (\$) 15,006,319	(h) Percentage ownership
ZTIPS INC (ZTIPS) 62-1865562 COMMODORE SCOTTISH PATRON II PROMETHEAN II OFFSHORE LP	INVESTMENTS INVESTMENTS INVESTMENTS	DE UK CJ	NA NA	C C	-639,635 9,178,144	306 8,748,746 32,651,975	100 000 %
98-0511244 CALLAO PARTNERS LTD APPLE EMERGENT PRO ALIA FUND SUB F 98-0600835	INVESTMENTS	C J	N A N A	С	12,001,719 993,527	145,920,551	48 019 %
HEALTH 1-2-3 INC 62-1571078 VANDERBILT INTEGRATED (VIP)	COMMERCIAL HMO PHYS PRACTICE	BR TN TN	VHS VHS	С	2,804,445 29,876 2,419,799	2,050,408 2,343,578	100 000 %
62-1650124 MICROARRAYS INC 62-1845634 The JHA & BJA Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN 37 203 62-6384867 The BJ & PA Vand Univ Char Uni Tst	MICROARRAY SVC Charitable Trust	TN	NA NA	C Trust	819,042	343,331 35,678	52 000 %
The BJ & PA Vand Univ Char Uni Tst 2100 West End Ave Ste 1000 Nashville, TN 37 203 62-6297970 The DEB Char Rem Ann Tst 2100 West End Ave Ste 1000 Nashville, TN 37 203 56-6593489 The DNB MD Char Rem Uni Tst 2100 West End Ave Ste 1000	Charitable Trust Charitable Trust Charitable Trust	TN TN	N A N A	Trust Trust	0	377,218 77,236 692,797	58 000 %
Nashville, TN 37203 62-6344093 The KDC & MJC Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN 37203 20-6690809 The RHE MD Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN 37203	Charitable Trust Charitable Trust	TN	N A	Trust	0	387,480 135,395	
62-6235703 The LHL Char Rem Ann Tst 2100 West End Ave Ste 1000 Nashville, TN37203 62-6284010 The FWL MD & DML Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN37203 62-6290216	Charitable Trust	TN	N A	Trust	0	174,755	77 000 %
The CTL Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN 37 203 62-6063506 The CTL Char Rem Uni tst 2100 West End Ave Ste 1000 Nashville, TN 37 203 62-6097702 The CTL Char Rem Uni Tst 2100 West End Ave Ste 1000	Charitable Trust Charitable Trust Charitable Trust	TN	N A N A	Trust Trust	0	187,519	79 000 %
Nashville, TN37203 62-6098030 The TMM III Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN37203 62-6258182 The DWFP & FP Char Rem Ann Tst 2100 West End Ave Ste 1000 Nashville, TN37203	Charitable Trust Charitable Trust	TN	N A	Trust	0	42,680 39,510	
62-6225172 The DWFP & FP Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN37203 62-6225173 The AJP Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN37203 62-6281016 The JGR Char Rem Uni Tst	Charitable Trust Charitable Trust	TN	NA NA	Trust Trust	0	230,857	61 000 %
The JGR Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN 37 203 62-6341250 The MMR Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN 37 203 62-6169332 The ECS Char Rem Uni Tst 2100 West End Ave Ste 1000	Charitable Trust Charitable Trust Charitable Trust	TN	NA NA	Trust	0	186,822 528,050 210,240	60 000 %
Nashville, TN37203 36-4585350 The JGS Char Rem Ann Tst 2100 West End Ave Ste 1000 Nashville, TN37203 62-6099796 The JGS Char Rem Ann Tst 2100 West End Ave Ste 1000 Nashville, TN37203 62-6099795	Charitable Trust Charitable Trust	TN	N A	Trust	0	2,801,141 1,587,849	
The JCT Jr Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN 37 203 62-6264774 The RDW Char Rem Ann Tst 2100 West End Ave Ste 1000 Nashville, TN 37 203 62-6183898 The LSB & BB Char Rem Uni Tst	Charitable Trust Charitable Trust Charitable Trust	TN TN	N A N A	Trust Trust	0	144,371 41,786 168,650	76 000 %
2100 West End Ave Ste 1000 Nashville, TN37203 62-6290669 The JSD Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN37203 62-6271364 The GBF Char Rem Uni Tst 2100 West End Ave Ste 1000	Charitable Trust	TN	NA NA	Trust	0	69,680	64 000 %
Nashville, TN 37203 62-6276742 The Dr TPJ III Char Rem Ann Tst 2100 West End Ave Ste 1000 Nashville, TN 37203 62-6247965 The Dr TPJ III Char Rem Ann Tst 2100 West End Ave Ste 1000 Nashville, TN 37203 62-6264812	Charitable Trust Charitable Trust	TN	N A	Trust	0	54,138 113,551	
•	Charitable Trust Charitable Trust Charitable Trust		NA NA	Trust Trust	0	102,487	66 000 %
2100 West End Ave Ste 1000 Nashville, TN 37203 62-6207107 The TJW Jr Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN 37203 62-6262599 The MOB Char Rem Uni Tst 2100 West End Ave Ste 1000	Charitable Trust Charitable Trust Charitable Trust	TN	N A N A	Trust Trust	0	143,976 29,648 1,479,372	70 000 %
Nashville, TN 37203 52-1342522 The MOB Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN 37203 62-6268993 The RO & LH O Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN 37203	Charitable Trust Charitable Trust	TN	N A	Trust	0	2,838,826 1,619,743	
62-0887185 The MRTB Char Rem Ann Tst 2100 West End Ave Ste 1000 Nashville, TN 37203 62-4764230 The WRC & JAC 1000 Char Rem Uni Ts 2100 West End Ave Ste 1000 Nashville, TN 37203 65-6321703	Charitable Trust Charitable Trust Charitable Trust		N A N A	Trust Trust	0	209,380	51 000 %
The RVD Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN37203 62-6299911 The EAE Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN37203 62-6223393 The JTF Jr & CRF Char Uni Tst 2100 West End Ave Ste 1000	Charitable Trust Charitable Trust Charitable Trust	TN TN	NA NA	Trust Trust	0	159,005 25,031 45,878	56 000 %
Nashville, TN 37203 26-6058566 The JHF Char Rem Ann Tst 2100 West End Ave Ste 1000 Nashville, TN 37203 20-7440869 The JHF Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN 37203	Charitable Trust Charitable Trust	TN	N A	Trust	0	1,242,107	
20-7440837 The SCG Jr & ESG Char Rem Ann Ts 2100 West End Ave Ste 1000 Nashville, TN 37203 62-6289834 The SCG Jr & ESG Char Rem Ann Ts 2100 West End Ave Ste 1000 Nashville, TN 37203 62-6308529 The FGH Char Rem Uni Tst	Charitable Trust Charitable Trust Charitable Trust	TN TN	NA NA	Trust Trust	0	181,903 3,574,296	80 000 %
The FGH Char Rem Uni Tst 2100 West End A ve Ste 1000 Nashville, TN 37 203 62-6087516 The MDJ Char Rem Uni Tst 2100 West End A ve Ste 1000 Nashville, TN 37 203 62-6287235 The MK Char Rem Uni Tst 2100 West End A ve Ste 1000	Charitable Trust Charitable Trust Charitable Trust	TN TN	NA NA	Trust Trust	0	3,574,296 61,949 29,167	54 000 %
2100 West End Ave Ste 1000 Nashville, TN37203 62-6279939 The SL Char Rem Ann Tst 2100 West End Ave Ste 1000 Nashville, TN37203 62-6184612 The CSL Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN37203	Charitable Trust	TN	NA NA	Trust	0	235,264	73000%
62-6151611 The JO & ACM Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN 37 203 26-6055939 The WMM Jr & FDBM Char Rem Uni 2100 West End Ave Ste 1000 Nashville, TN 37 203 62-6252034	Charitable Trust Charitable Trust	TN	N A	Trust	0	138,582	62 000 %
The PES Sr Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN 37 203 62-6143017 The WCW & EPW Char Rem Ann Tst 2100 West End Ave Ste 1000 Nashville, TN 37 203 62-6316591 The DKW Char Rem Uni Tst	Charitable Trust Charitable Trust Charitable Trust	TN TN	NA NA	Trust Trust	0	984,024 321,778 1,082,819	70 000 %
The DKW Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN 37 203 62-6242681 The DKW Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN 37 203 62-6297641 The JAW & LSW Char Rem Ann Tst 2100 West End Ave Ste 1000 Nashville, TN 37 203	Charitable Trust Charitable Trust Charitable Trust	TN	NA NA	Trust Trust	0	752,210 433,690	61 000 %
Nashville, TN 37203 20-6735621 The WD Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN 37203 62-1553921 The SCG Jr & ESG Char Rem Ann Ts 2100 West End Ave Ste 1000 Nashville, TN 37203 62-6266109	Charitable Trust Charitable Trust	TN	NA NA	Trust	0	108,801	
The BLH Char Rem Uni Tst 2100 West End A ve Ste 1000 Nashville, TN 37 203 62-6315121 The TMH Char Rem Uni Tst 2100 West End A ve Ste 1000 Nashville, TN 37 203 62-6155292 The GL Jr Char Rem Uni Tst	Charitable Trust Charitable Trust Charitable Trust	TN TN	NA NA	Trust Trust	0	76,972 65,324 163,028	53 000 %
2100 West End Ave Ste 1000 Nashville, TN37203 62-6151910 The DLL Char Rem Ann Tst Tst 2100 West End Ave Ste 1000 Nashville, TN37203 62-6255609 The H & FGM Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN37203	Charitable Trust	TN	NA NA	Trust	0	1,189,291	71 000 %
62-6352898 The JHM Char Rem Uni Tst 2100 West End A ve Ste 1000 Nashville, TN37203 62-6155898 The MLS Char Rem Uni Tst 2100 West End A ve Ste 1000 Nashville, TN37203 62-6314959	Charitable Trust		NA NA	Trust	0	2,128,425	66 000 %
The TBW Jr Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN 37 203 62-6249096 The JAW Jr & CBW Char Rem Uni Ts 2100 West End Ave Ste 1000 Nashville, TN 37 203 62-6205958 The DKW Char Rem Uni Tst 2100 West End Ave Ste 1000	Charitable Trust Charitable Trust Charitable Trust	TN TN	NA NA	Trust Trust	0	1,188,056 357,985 538,244	71 000 %
Nashville, TN 37203 56-6593876 The DKW Char Rem Uni Tst 2100 West End Ave Ste 1000 Nashville, TN 37203 20-6618401 The IGY Char Rem Ann Tst 2100 West End Ave Ste 1000 Nashville, TN 37203	Charitable Trust Charitable Trust	TN	N A	Trust	0	613,926 254,520	
62-629516 The TLY Char Rem Uni Tst 2100 West End A ve Ste 1000 Nashville, TN 37203 62-6275369 The WF D Tst 315 Deadrick St 5th Floor Nashville, TN 37237 The JSL Char Rem Uni Tst	Charitable Trust Charitable Trust Charitable Trust	TN TN	NA NA	Trust Trust	0	1,380,003	100 000 %
PO Box 1506 Pennington, NJ08534 The FWL Char Rem Uni Tst 4400 Harding Road NASHVILLE, TN37205 The ARL Char Fd 218 Royal Pam Way Palm Beach, FL33480 The ARL Char Fd	Charitable Trust Charitable Trust Charitable Trust	TN FL	NA NA	Trust Trust	0	1,213,711 1,68,097	100 000 %
32 Commerce St Montgomery, AL36104 The WDLR Char Rem Uni Tst 701 Market St Chattanooga, TN37402 The JSR Char Rem Uni Tst 2 PNC Plaxa 620 Liberty Ave Pittsburgh, PA15222 The JSR Char Rem Uni 2 PNC Plaxa 620 Liberty Ave	Charitable Trust Charitable Trust Charitable Trust	TN PA	NA NA	Trust Trust	0	609,813 86,488 959,380	100 000 %
	Charitable Trust Charitable Trust Charitable Trust	AL	NA NA	Trust Trust	0	183,779 668,720	100 000 %
	Charitable Trust Charitable Trust Charitable Trust	TN	NA NA	Trust Trust	0	1,670,807 127,207 198,872	60 000 %
	Charitable Trust Charitable Trust Charitable Trust	TN	NA NA	Trust Trust	0	180,575 19,467	100 000 %
Palm Beach, FL33480 The HWS Char Rem Uni Tst POB 305110 Nashville, TN37230 The JGS Char Uni Tst One Chase Square Rochester, NY14643 The GV Ann Tst 114 West 47th St	Charitable Trust Charitable Trust Charitable Trust	NY	NA NA	Trust Trust Trust	0	1,191,440 231,412 71,671	100 000 %
NEW YORK, NY10036 The JC & LFTst 77 Monroe Center NW POB 1707 Grand Rapids, MI49501 The CWK Tst POB 305110 Nashville, TN37230 The Mrs JMK Tst POB 305110	Charitable Trust Charitable Trust Charitable Trust	TN	NA NA	Trust Trust Trust	0	5,144,206 1,485,183 491,511	100 000 %
Nashville, TN37230 The JML Tst POB 305110 Nashville, TN37230 The JCW Flwshp Fd 505 South Gay Street Knoxville, TN37902 The C Schl Lead Uni Tst 101 N Phillips Ave POB 5953	Charitable Trust Charitable Trust Charitable Trust	TN	NA NA	Trust Trust	0	4,968,415 539,379 744,242	100 000 %
Sioux Falls, SD57117 Form 990, Schedule R, Part V - Trans (1) VANDERBILT HEALTH SERVICES II (2) MICROARRAYS INC (3) VANDERBILT HOME CARE SERVICES	Name o	Related Organi (a) fother organization	izations			(b) Transaction type(a-r) A(i) A(i) A(i)	(c) A mount Involved (\$) 47,357 15,806
 (3) VANDERBILT HOME CARE SERVICE (4) ONE HUNDRED OAKS IMAGING LLC (5) VU REAL ESTATE HOLDINGS INC (6) VANDERBILT LEGENDS CLUB INC (7) VANDERBILT HOME CARE SERVICE 	C					A (1) A (1) A (1) A (1) A (1)	108,444 28,947 492,288 556,830 84,829
(8) VANDERBILT INTEGRATED PROVIDED (9) VANDERBILT HEALTH SERVICES IN (10) VANDERBILT IMAGING SERVICES (11) WILLIAMSON IMAGING LLC	DERS NC 5 LLC					A (IV) A (IV) A (IV)	31,025 673,227 4,340 246
(12) ONE HUNDRED OAKS IMAGING LI (13) VANDERBILT STALLWORTH REHA (14) VANDERBILT STUDENT COMMUN (15) VANDERBILT HEALTH SERVICES (16) COMMODORE SCOTTISH PATRON	BILITATION HO	SPITAL				A (IV) A (IV) B B	133,520 135,000 48,896 9,129,334 1,683,463
(16) COMMODORE SCOTTISH PATRON II LP (17) CALLAO PARTNERS LTD APPLEBY TRUST (CAYMAN) (18) INGRAM CHARITABLE FUND INC (19) THE C SCHL LEAD UNI TST (20) VANDERBILT HEALTH SERVICES INC (21) VANDERBILT HOME CARE SERVICES INC							1,683,463 1,046,634 16,665,350 84,711 1,974,400 5,562,563 386,398
(22) ONE HUNDRED OAKS IMAGING LI (23) VU REAL ESTATE HOLDINGS INC (24) VANDERBILT LEGENDS CLUB INC (25) MICROARRAYS INC (26) VANDERBILT STALLWORTH REHA (27) VANDERBILT STALLWORTH REHA (28) VU REAL ESTATE HOLDINGS INC	D D F I J	386,398 6,861,446 8,566,612 142,867 77,304 251,473 613,032					
(29) VIP MIDSOUTH LLC (30) VANDERBILT HOME CARE SERVICE (31) VANDERBILT GATEWAY CANCER (32) VANDERBILT ST THOMAS IMAGIN (33) VANDERBILT IMAGING SERVICES (34) WILLIAMSON IMAGING LLC (35) ONE HUNDRED OAKS IMAGING L		К L К К	63,740 811,634 983,100 232,408 1,809,509 1,799,212 550,061				
(35) ONE HUNDRED OAKS IMAGING LI (36) VANDERBILT INTEGRATED PROV (37) VANDERBILT HOME CARE SERVIC (38) NEW LIGHT IMAGING LLC (39) VANDERBILT STALLWORTH REHA (40) VIP MIDSOUTH LLC (41) VANDERBILT INTEGRATED PROV	K N N N N P P	550,061 171,931 176,650 207,578 760,477 177,008					
(42) VANDERBILT HOME CARE SERVICES (43) VANDERBILT HEALTH SERVICES (44) ONE HUNDRED OAKS IMAGING LI (45) VANDERBILT STALLWORTH REHA (46) VU REAL ESTATE HOLDINGS INC (47) VANDERBILT LEGENDS CLUB INC	CES INC INC LC BILITATION HO	SPITAL				P P P P	356,072 7,776,500 56,946 1,586,472 324,014 163,610
(48) VANDERBILT HEALTH SERVICES (49) VANDERBILT STUDENT COMMUN (50) DORE CAPITAL LP (51) DORE CAPITAL REAL ESTATE LP (52) VANDERBILT HEALTH SERVICES (53) VANDERBILT GATEWAY CANCER (54) VANDERBILT STALLWORTH REHA	ICATIONS INC INC CENTER GP	SPITAL				Q Q Q R R R R	165,863 424,919 301,366,386 75,695,694 9,337,897 573,906
(55) VU REAL ESTATE HOLDINGS INC (56) DORE CAPITAL LP (57) DORE CAPITAL REAL ESTATE LP (58) PROMETHEAN II OFFSHORE LP (59) THE GV ANN TST (60) THE JC & LF TST						R R R R R R	1,451,832 9,179,418 956,725 26,245,117 63,500
(60) THE JC & LF TST (61) THE CWK TST (62) THE JML TST						R R R	194,376 70,286 115,692

SCHEDULE K (Form 990)

Supplemental Information on Tax-Exempt Bonds

OMB No 1545-0047 Open to Public

Inspection

Department of the Treasury Internal Revenue Service

► Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information on Schedule O (Form 990).

► Attach to Form 990. See separate instructions.

Name of the organization

Employer identification number VANDERBILT UNIVERSITY 62-0476822 **Bond Issues** (h) On (a) Issuer name (c) CUSIP# (b) Issuer EIN (d) Date issued (e) Issue price (f) Description of purpose (g) Defeased behalf of issuer No Yes No A HEFE FINETE Nº H ILLE " Dº ID Nº TN-1004 2-D 017017_004 B HEFE FINETE NA HILLE W DA ID NO THELOWS ALAL 017017 005 EE HEDULE **Proceeds** Part II Α В C F 40,000,000. 16,440,000. 3 Proceeds in refunding or defeasance escrows 464,441. 65,001. 6 Working capital expenditures from proceeds 39,535,569. 16,374,999. 2005 2005 Yes No Yes No Yes Yes Yes No No Nο Χ Χ **9** Were the bonds issued as part of a current refunding issue? 10 Were the bonds issued as part of an advance Χ Χ Χ Χ Does the organization maintain adequate books and Χ Χ records to support the final allocation of proceeds? Part III **Private Business Use** Α В С D Ε 1 Was the organization a partner in a partnership, or a Yes No Yes No Yes No Yes Yes No No member of an LLC, which owned property financed by Χ Χ 2 Are there any lease arrangements with respect to the Χ financed property which may result in private business use? Χ

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990

Schedule K (Form 990) 2009

Schedule K (Form 990) 2009

Part III Private Business Use (Continued)

		Α		В		С		D		E
3a Are there any management or service contracts with respect to the financed property which may result in	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
private business use?		Х		X						
b Are there any research agreements with respect to the financed property which may result in private business use?		Х		Х						
c Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property?	X		X							
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government		0.0000%		0.0000%		%		%		%
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government		0.0000%		0.0000%		%		%		%
6 Total of lines 4 and 5		0.0000%		0.0000%		%		%		%
7 Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?	Х		Х							
Part IV Arbitrage										
		Α		В	į	С		D		E
Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
with respect to the bond issue?		Х		X						
2 Is the bond issue a variable rate issue?	Х		Х							
3a Has the organization or the governmental issuer identified a hedge with respect to the bond issue on its books and records?		Х		X						
b Name of provider		·								
c Term of hedge										
4a Were gross proceeds invested in a GIC?		Х		X						
b Name of provider										
c Term of GIC										
d Was the regulatory safe harbor for establishing the fair										
market value of the GIC satisfied?										
Were any gross proceeds invested beyond an										
available temporary period?		X		X						
6 Did the bond issue qualify for an exception to rebate?	Х		X							

Schedule K (Form 990) 2009