OSN Assignment 4 - xv6(RISC-V)

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Running xv6:

- Run make qemu on the terminal.
- To set the scheduler, use the command, make qemu SCHEDULER_SCHEDULER_NAME, where scheduler name can be one of RR, FCFS, LBS, PBS or MLFQ.
- To set the number of CPUs to be used, use the command, make qemu CPUS=NUMCPUS, where NUMCPUS >= 1. Hence, MLFQ scheduler can be run as make qemu SCHEDULER=MLFQ CPUS=1.
- Our implementation runs make clean everytime we exit the program for easier changing of scheduler schemes without having to run make clean whenever desired. This can be avoided by removing line 196, make clean of the Makefile.

Adding a new system call:

- The system call number of the new system call is set according to the availability, with the maximum being 32 in the kernel/syscall.h file. The naming of the system call must be sys function_name and must be followed throughout this process.
- In the kernel/syscall.c file, the function prototype is added by adding the line extern uint64 sys_function_name(void);. The system call number of the function defined in kernel/syscall.h is mapped to the prototype of it defined previously. This is done in the syscalls array by adding the appropriate entry as [syscall_number] sys_function_name. Similarly entries are added to the syscall_names array which keeps track of the names of the system calls which can be done as [syscall_number] "function_name" and finally another entry is added to the syscalls_argent array, which keeps track of the number of arguments of each system call. An entry for this array is created as [syscall_number] number_of_arguments, where number_of_arguments is a non-negative integer.
- To make these system calls available to user-programs, entries of these system calls have to be created in user/usys.pl as entry("function_name"); and in user/user.h as the actual prototype of the function including return type and argument type as return_type function_name(argument types);

Adding a new user program:

• After creating filename.c file, the object file dependency is included as \$U/_filename in the UPROGS section of the Makefile.

Specification 1: System calls

trace(system call) and strace(user program):

- The trace system call is assigned system call number of 22 in the kernel/syscall.h file.
- Add an integer named syscall_tracebits is added to the proc struct in kernel/proc.h file to keep track of the system call to be printed by getting the number of the system call that is called which can be obtained by getting the value in the register a7.
- Set the number of arguments required for the trace system call to be 1, the bit of the system call to be traced and add the entry to the syscall_argent array of the kernel/syscall.c file.
- The implementation the trace system call in the kernel/sysproc.c file sets the syscall_tracebits variable using the argint function. Then, in the syscall function in the kernel/syscall.c file, we check for the appropriate system call to be traced by using the condition if((p->syscall_tracebits) & (1 << num)), which evaluates to true only if syscall_tracebits variable is set (strace function is called) and the expression evaluates to a valid system call number. Else, it is printed that there is an unknown system call. If the expression evaluates to a valid system call, then the pid is printed through p->pid. The system call name is accessed through the syscall number which is stored in the a7 register of the process trapframe. The number of arguments of the system call are known and so, the decimal value of the arguments is obtained through the argint function by passing the appropriate index of the argument.
- The strace function in the user/strace.c file calls the trace system call and the function executes as intended.

sigalarm and sigreturn:

- The sigalarm and sigreturn system calls are assigned system call numbers of 23 and 24 respectively in the kernel/syscall.h file.
- The number of arguments for sigalarm and sigreturn is set to 2 and 0 respectively.
- A struct named sigalarm_struct is created which is defined in the kernel/proc.h file and consists of currticks, an int variable which stores the number of ticks the process has been running for upto that point of time, nticks and handlerfn are the arguments provided when a function calls sigalarm(n, fn), where nticks = n and handlerfn = fn, where nticks is an int variable and handlerfn points to the function provided as an argument to the sigalarm function and trapframe_cpy, which keeps a copy of the trapframe of the present process.
- A variable named alarmdata of the type struct sigalarm_struct has been added to the proc struct in the kernel/proc.h file.
- The sigalarm system call first sets the value of the nticks variable based on the function call to sigalarm as sigalarm(n, fn) using argint function and the handlerfn variable is set to fn using the argaddr function. For every nticks number of ticks, we copy the present state of the process trapframe into trapframe_cpy. We check if this is 0 (NULL) or not, so as to check if the copy exists or not. This ensures that there is no re-entrant code.
- The sigreturn system call restores the value of the trapframe of the process before calling the sigalarm function so as to enable the process to start where it left off before calling sigalarm. The values of currticks and trapframe_cpy are set to 0 before returning from the sigreturn function.

- First, the NON_PREEMPT macro is defined, which is used in the usertrap function in kernel/trap.c file to disable preemption. If this macro is not defined, preemption by the CPU is enabled.
- The variables ctime, rtime and etime have been added to the proc struct in the kernel/proc.h file, which denote the creation time of the process, number of ticks for which it executed and time when it exited respectively.
- ctime is set to the variable ticks in allocproc function and rtime and etime are set to 0. rtime is incremented by 1 in the update_time function if the process is in RUNNING state. etime is set to the variable ticks in the exit function in the kernel/proc.c file.
- For, FCFS, PBS and MLFQ scheduling algorithms, the NON_PREEMPT macro is defined as a compiler flag in CFLAGS using \$SCHED_FLAGS. Otherwise, only the scheduling algorithm is defined (in the case of LBS and the default RR scheduling algorithms). The NON_PREEMPT macro is defined in the case of FCFS and PBS as they are non-preemptive and it is defined in the case of MLFQ as the preemption method in the case of MLFQ is different.

First Come First Serve (FCFS) Scheduling:

- Out of all the RUNNABLE processes in the proc array, select the process which has the least creation time (checked using the ctime variable in the proc struct) stored in the variable next_process of type struct proc *, initially set to 0.
- If a valid process has been selected, i.e., next_process is non-zero, CPU is alloocated to the process pointed to by next_process by using the swtch function to perform context switch.
- This implementation naturally guarantees that the process with the least creation time is allocated CPU time first and thus the principle of FCFS is followed.

<u>Lottery Based Scheduler (LBS):</u>

- In the case of LBS scheduling algorithm, another int variable named tickets is added to the proc struct in the kernel/proc. h file to keep track of the number of tickets the process possesses.
- The value of tickets is set to 1 by default in the allocproc function in the kernel/proc.c file. The number of tickets a process has can be modified by the settickets system call.
- The settickets system call is assigned the system call number of 26 in the kernel/syscall.h file.
- The settickets system call sets the tickets variable of the process to the argument provided to it, where settickets is called as int settickets(int tickets), where p->tickets is set to tickets using the argint function.
- In the scheduling algorithm, the process is randomly chosen but in a biased manner with bias towards processes that have higher number of tickets. For this, the code of rand function in the user/grind.c file is used.
- To choose the process, the concept of Stochastic simulation is used. The total number of tickets across all RUNNABLE processes are calculated and stored in the total_tickets variable of type int, initially set to 0. Then, a number between 0 and total_tickets 1 is randomly generated and stored in the int variable randominteger and then, the process which has cumulative tickets greater than or equal to randominteger is selected. The cumulative tickets are stored in the int variable preftickets. The locks of the processes with cumulative tickets less than randominteger and those process which are not the very first process having cumulative tickets

- greater than or equal to randominteger are released. The selcted process is allotted CPU time accordingly.
- To ensure that every child process receives the same number of tickets as its parent, the fork
 function in kernel/proc.c is modified such that the tickets of the child are set to the same value
 as that of its parent.

Priority Based Scheduler (PBS):

- The fields static_priority, ntimesscheduled, nsleeping and nrunning are added to the proc struct of the kernel/proc.h file, each of which represent the static priority of the process, the number of times the process has been scheduled, the number of ticks for which the process has been in SLEEPING state and the number of ticks for which the process has been in RUNNING state, which are initially set to 60, 0, 0 and 0 in the allocproc function of the kernel/proc.c file
- Every time the update_time function is called, nsleeping and nrunning are incremented if the process is in SLEEPING and RUNNING state respectively.
- The calc_niceness function in the kernel/proc.c file calculates which returns the niceness of the process provided to it as a paramter and is calculated according to the given formula.
- Then, after iterating over all the RUNNABLE processes the process with the maximum dynamic priority is selected. The selected process is stored in the variable selected of type struct proc * and the corresponding maximum dynamic priority is stored in the variable selected_DP of type int. The dynamic priority of each process is stored in the variable DP of type int. Dynamic priority is also calculated according to the given formula and ties in selecting the process with the highest priority are followed accordingly as guided. In the case where tie-breaking is done on the basis of creation times, the process which is created recently is selected.
- The selected process is then allocated CPU time accordingly and thus the process with the highest priority is selected.
- The set_priority system call is assigned the system call number of 27 in the kernel/syscall.h file
- If the system call is called as followed int set_priority(int new_priority, int pid), then
 -1 is returned in the case of invalid value of pid or invalid value of new_priority, i.e., < 0 or >
 100. Else, after finding the process in the proc with its pid equal to pid, we set its
 static_priority to new_priority. The presently running process is yielded and the scheduler
 function is called whenever the priority of the process increases due to the set_priority function.

Multi Level Feedback Queue (MLFQ) Scheduler:

- A queue data structure is created of type struct Queue in kernel/proc.h having fields front and back of type struct proc * and no_of_processes of type int, which represent the processes in the front and back of the queue and the number of processes in the queue respectively.
- The queues are NQUEUES(5) in number defined in kernel/proc.c and are initialised using the queue_init function in kernel/main.c file implemented in kernel/proc.c by setting all of the fields of the Queue struct to 0.
- In the proc struct in kernel/proc.h, the following fields are added: isQueued of type int to keep track if a process is present in any queue or not, Queue_Num of type int to keep track of the queue in which the process is present, int array slices_used[NQUEUES] to keep track of the number of time slices the process spent in each of the NQUEUE number of queues, ctime_queue of type int to keep track of the time at which it has been inserted into the queue which it presently is in,

- wtime_queue of type int to keep track of the number of ticks for which it has been waiting in the queue which it presently is in and queue_next and queue_prev of type struct proc * to keep track of the processes which are after and before it in the present queue respectively.
- The queue function prototypes of add_to_queue and remove_from_queue are defined in kernel/proc.h file and implemented in kernel/queue.c file. Accordingly, the object file dependency for the kernel/queue.c file has been added to the Makefile as \$K/queue.o
- Now, all the processes in the proc array of kernel/proc.c file are iterated and those processes which are not in any queue and are RUNNABLE are added to the queue according to their Queue_Num value using the add_to_queue function.
- Then, we iterate over all the queues starting from the 0 queue till we find a non-empty queue and select the queue and then allocate it CPU time.
- With every clock interrupt, the aging function implemented in kernel/proc.c is called which puts the process in the queue with priority p->Queue_Num 1 (only for processes not in the 0th queue). Also, the present process is downgraded if it has used up all of its time slice in the present queue by placing it in the queue with priority p->Queue_Num + 1 (only for processes not in the NQUEUEth queue). After that, all the queues with priority higher (queues with number less than p->Queue_Num) than that of the present process are iterated and if there are processes in them, we preempt the presently running process and reschedule again.

Specification 3: Copy-on-write (COW) fork

- In the uvmcopy function, the address space of the child is pointed to the same address space
 provided to the parent. Then, the permissions of the page table entries pointed to by the physical
 address are updated by removing write permissions and adding an extra PTE_COW bit to help identify
 that the process is allocated address based on the principle of Copy-on-write fork. This bit is used
 later to identify if page-fault exceptions have occurred due to COW. If yes, then these addresses are
 changed and different addresses are allocated to child and parent processes with write permissions.
- After every clock tick the value of r_scause (supervisor trap cause) is checked if it is a page-fault exception. If yes, then we have values of either 13 or 15 of r_scause (load/store page faults) after which the COW_handler function is called.
- In the COW_handler function, first, it is checked if the page-fault exception is due to accessing of memory not allocated to write to, i.e., out of bounds memory access, in which case an error is returned and the process is killed using the setkilled function. Else, the address of the pagetable entry is obtained and the corresponding physical address allocated. Then, the permissions to access the address space of the parent is updated by removing the PTE_COW bit and adding the PTE_W bit. Here, essentially the PTE_COW bit is used to identify page-fault exceptions that have appeared due to COW and resolve them by allocating physical address space to the child process and update the permissions of both the child and parent processes to be able to write to that address space. After this, the process executes normally.

Performance Comparision:

• The comparision between the implemented scheduling algorithms, viz, FCFS, LBS, PBS, MLFQ and the default scheduling algorithm, RR was done using the schedulertest.c user program. All the schedulers were run

• As given in the assignment document, MLFQ scheduling algorithm was run on 1 CPU while others (LBS, PBS, FCFS and RR) were run on 3 CPUS.

Scheduler	Average run time	Average wait time
RR	49	39
FCFS	28	22
LBS	62	49
PBS	55	21
MLFQ	54	186

MLFQ Performance Analysis



