

Correctness Triples

- A **correctness triple** (a.k.a. “Hoare triple,” after C.A.R. Hoare; or usually simplified to “**triple**”), written as $\{p\} S \{q\}$ is a program S plus its specification predicates p and q .
 - The **precondition** p (not “ $\{p\}$ ”) describes the collection of states that we want to execute S in.
 - The **postcondition** q (not “ $\{q\}$ ”) describes the collection of states we expect S terminates in.
 - *Informally*, a triple $\{p\} S \{q\}$ means “if program S runs in a state that satisfies p , then we expect the execution of S terminates in some state (or states) satisfies q ”.

Here are some examples of correctness triples:

- $\{x \leq 2\} x := x + 3 \{x < 6\}$
- $\{x \geq 0\} S \{y^2 \leq x < (y + 1)^2\}$

A tripe can “make no sense”: the execution of S in a state satisfying p can never ends in some state satisfying q . So here, let us understand the satisfaction and validity of a triple.

(Satisfaction and Validity under Total Correctness)

- The triple $\{p\} S \{q\}$ is **totally correct** in σ (or σ **satisfies the triple under total correctness**), written as $\sigma \models_{tot} \{p\} S \{q\}$, if and only if it is the case that “if σ satisfies p , then the execution of S in σ always terminates (without error) in states satisfying q ”.
 - In other words, $\sigma \models_{tot} \{p\} S \{q\} \Leftrightarrow (\sigma \neq \perp) \wedge ((\sigma \models p) \rightarrow (M(S, \sigma) \models q))$.

Without specification, while we analyze whether state σ satisfies triple $\{p\} S \{q\}$, we always assume that $\sigma \neq \perp$.

1. True or False.

- $\{x = -5\} \models_{tot} \{x > 0\} x := x + 1 \{x > 0\}$
True. Since $\{x = -5\}$ doesn't satisfy the precondition $x > 0$, so the triple satisfied.
- $\{y = 1\} \models_{tot} \{x > 0\} x := x + 1 \{x > 0\}$
True. Since $\{y = 1\}$ is not proper for the precondition $x > 0$ so it cannot satisfy the precondition, so the triple satisfied.
- $\{x = -1\} \models_{tot} \{x \leq 0\} x := x + 1 \{x \geq 0\}$
True. Since $\{x = -1\}$ satisfies the precondition $x \leq 0$, so we need to execute $x := x + 1$, and $M(x := x + 1, \{x = -1\}) = \{x = 0\}$, and it satisfies the postcondition $x \geq 0$.
- $\{x = -5\} \models_{tot} \{x \leq 0\} x := x + 1 \{x \geq 0\}$
False. Since $\{x = -5\}$ satisfies the precondition $x \leq 0$, so we need to execute $x := x + 1$, and $M(x := x + 1, \{x = -5\}) = \{x = -4\}$, and it doesn't satisfy the postcondition $x \geq 0$.
- $\{x = 0\} \models_{tot} \{x \leq 0\} x := 1/x \{x \geq 0\}$
False. Since $\{x = 0\}$ satisfies the precondition $x \leq 0$, so we need to execute $x := 1/x$, and $M(x := 1/x, \{x = 0\}) = \{\perp_e\}$, and it doesn't satisfy the postcondition $x \geq 0$.

- From the above examples, we can see that “ $\sigma \models_{tot} \{p\} S \{q\}$ ” might not give us much information about executing S in σ . But on the other hand, “ $\sigma \not\models_{tot} \{p\} S \{q\}$ ” shows that $\sigma \models p$ and the execution of S in σ doesn’t end in states satisfying q .
 - The triple $\{p\} S \{q\}$ is **totally correct** (or the triple is **valid under total correctness**) if and only if $\sigma \models_{tot} \{p\} S \{q\}$ for all $\sigma \in \Sigma$ (Recall that Σ is the set of well-formed states). We write $\models_{tot} \{p\} S \{q\}$.
 - $\models_{tot} \{p\} S \{q\}$ means $\forall \sigma. \sigma \models_{tot} \{p\} S \{q\}$.
 - $\not\models_{tot} \{p\} S \{q\}$ means the triple is invalid: $\exists \sigma. \sigma \not\models_{tot} \{p\} S \{q\}$.
2. True or False
- a. $\models_{tot} \{x > 0\} x := x + 1 \{x > 0\}$ True
 $\models_{tot} \{x > 0\} x := x - 1 \{x > 0\}$ False, we can find $\{x = 1\} \not\models \{x > 0\} x := x - 1 \{x > 0\}$

(Satisfaction and Validity under Partial Correctness)

- The triple $\{p\} S \{q\}$ is **partially correct in σ** (or σ **satisfies the triple under partial correctness**), written as $\sigma \models \{p\} S \{q\}$, if and only if it is the case that “if σ satisfies p , then if the execution of S in σ can terminate without an error, it terminates in states satisfying q ”.
 - In other words, $\sigma \models \{p\} S \{q\} \Leftrightarrow (\sigma \neq \perp) \wedge ((\sigma \models p) \rightarrow \forall \tau \in M(S, \sigma). \tau \neq \perp \rightarrow \tau \models q)$;
 or equivalently, $\sigma \models \{p\} S \{q\} \Leftrightarrow (\sigma \neq \perp) \wedge ((\sigma \models p) \rightarrow M(S, \sigma) \models q)$.
 - The triple $\{p\} S \{q\}$ is **partially correct** (or the triple is **valid under partial correctness**) if and only if $\sigma \models \{p\} S \{q\}$ for all $\sigma \in \Sigma$. We write $\models \{p\} S \{q\}$.
3. True or False.
- a. $\{x = -5\} \models \{x > 0\} x := x + 1 \{x > 0\}$ True.
 b. $\{x = -1\} \models \{x \leq 0\} x := x + 1 \{x \geq 0\}$ True.
 c. $\{x = -5\} \models \{x \leq 0\} x := x + 1 \{x \geq 0\}$ False.
 d. $\{x = 0\} \models \{x \leq 0\} x := 1/x \{x \geq 0\}$
 True. Since $\{x = 0\}$ satisfies the precondition $x \leq 0$, so we need to execute $x := 1/x$, and $M(x := 1/x, \{x = 0\}) \models \perp = \emptyset$, and it satisfies the postcondition $x \geq 0$.
4. If $\sigma \models p$ and $M(S, \sigma) = \{\perp\}$, then:
- a. Does $\sigma \models_{tot} \{p\} S \{q\}$? No
 b. Does $\sigma \models \{p\} S \{q\}$? Yes
- The difference between two correctness is whether we accept that executing S in σ ends with \perp . We can say:
 $\sigma \models_{tot} \{p\} S \{q\} \Leftrightarrow (\sigma \models \{p\} S \{q\}) \wedge \perp \notin M(S, \sigma)$.
5. True or False:
- a. $\models_{tot} \{F\} S \{q\}$ True, nothing can satisfy the precondition.
 b. $\models_{tot} \{p\} S \{T\}$ False, it is not true for some $\sigma \models p$ such that $\perp \in M(S, \sigma)$
 c. $\models \{F\} S \{q\}$ True, nothing can satisfy the precondition.
 d. $\models \{p\} S \{T\}$ True, for any state $\sigma \models p, \forall \tau \in M(S, \sigma). \tau = \perp \vee \tau \models T$
6. Let $W \equiv \text{while } k \neq 0 \text{ do } k := k - 1 \text{ od}$. Decide true or false.
- a. $\models_{tot} \{k \geq 0\} W \{k = 0\}$ True.
 b. $\models_{tot} \{k = -1\} W \{k = 0\}$ False. W will diverge in a state with $k = -1$.

- c. $\models \{k = -1\} W \{k = 0\}$ True.
- d. $\models \{T\} W \{k = 0\}$ True. If $k < 0$ then W diverges or else W ends with $k = 0$.
- e. $\models_{tot} \{T\} W \{k = 0\}$ False.

7. Finish the following semantic equalities (remind that, we assume that $\sigma \neq \perp$).

- a. $\sigma \models_{tot} \{p\} S \{q\} \Leftrightarrow (\sigma \models p) \rightarrow (M(S, \sigma) \models q)$
 $\Leftrightarrow (\sigma \not\models p) \vee (M(S, \sigma) \models q)$
 $\Leftrightarrow (\sigma \not\models p) \vee \forall \tau \in M(S, \sigma). \tau \models q$
- b. $\sigma \models \{p\} S \{q\} \Leftrightarrow (\sigma \models p) \rightarrow (M(S, \sigma) - \perp \models q)$
 $\Leftrightarrow (\sigma \not\models p) \vee (M(S, \sigma) - \perp \models q)$
 $\Leftrightarrow (\sigma \not\models p) \vee \forall \tau \in M(S, \sigma). \tau = \perp \vee \tau \models q$
- c. $\sigma \not\models_{tot} \{p\} S \{q\} \Leftrightarrow (\sigma \models p) \wedge (M(S, \sigma) \not\models q)$
 $\Leftrightarrow (\sigma \models p) \wedge \exists \tau \in M(S, \sigma). \tau = \perp \vee \tau \models \neg q$
- d. $\sigma \not\models \{p\} S \{q\} \Leftrightarrow (\sigma \models p) \wedge (M(S, \sigma) - \perp \not\models q)$
 $\Leftrightarrow (\sigma \models p) \wedge \exists \tau \in M(S, \sigma). \tau \neq \perp \wedge \tau \not\models q$

(Creating Valid Triples)

- When we have some valid triple(s) given to us, can we use them to create more valid triple(s)? **The validity here can be under either correctness.**

8. If we are given valid two triples, can we join them?

- a. We have valid triples $\{x = k\} S_1 \{x = m\}$, and $\{x = m\} S_2 \{x = n\}$, what can be a postcondition for $\{x = k\} S_1; S_2 \{q\}$?

It is quite easy to see that $\{x = k\} S_1; S_2 \{x = n\}$ can be a valid triple.

- **[Sequence Rule]** If we have valid triples $\{p\} S_1 \{q\}$ and $\{q\} S_2 \{r\}$, then we have valid triple $\{p\} S_1; S_2 \{r\}$.
- b. What if we have triples $\{x = k\} S_1 \{x \geq m\}$ and $\{x \geq m - 1\} S_2 \{x = n\}$, can we still combine these two triples into $\{x = k\} S_1; S_2 \{x = n\}$?
Yes, since after executing S_1 we will end up some state(s) $\tau \models x \geq m$, so τ also satisfies the precondition of S_2 .
- **[Extended Sequence Rule]** If we have valid triples $\{p\} S_1 \{q\}$ and $\{q'\} S_2 \{r\}$, and $q \Rightarrow q'$, then we have valid triple $\{p\} S_1; S_2 \{r\}$.

9. Let $\{x \geq 0\} S \{y < 0\}$ be a valid triple.

- a. Is $\{x \geq 5\} S \{y < 0\}$ valid?

Yes. $x \geq 5$ is a subcollection of $x \geq 0$, if S works “well” on all states satisfying $x \geq 0$ then it also works well on a state satisfying $x \geq 5$.

- **[Strengthening Precondition]** Strengthening the precondition of valid triple doesn't affect its validity.

- b. Is $\{x \geq -5\} S \{y < 0\}$ valid?

We cannot decide, since we don't know anything about the execution of S in a state σ with $-5 \leq \sigma(x) < 0$. Weakening the precondition of a valid triple can affect its validity.

c. Is $\{x \geq 0\} S \{y \leq 0\}$ valid?

Yes. $y < 0$ is a subcollection of $y \leq 0$. If S terminates in states satisfying $y < 0$ then those states also satisfying $y \leq 0$.

- **[Weakening Postcondition]** Weakening the postcondition of valid triple doesn't affect its validity.

d. Is $\{x \geq 0\} S \{y < -5\}$ valid?

We cannot decide, since we only know the execution of S terminate in states satisfying $y < 0$, but we don't know whether those states satisfy $y < -5$. Strengthening the postcondition of a valid triple can affect its validity.

e. Among $\{x \geq 0\} S \{y < 0\}$, $\{x \geq 5\} S \{y < 0\}$, and $\{x \geq 0\} S \{y \leq 0\}$, which valid triple gives us the most information?

- Compare $\{x \geq 0\} S \{y < 0\}$ and $\{x \geq 5\} S \{y < 0\}$. The previous one tells us that S can work well whenever $x \geq 0$; the later says S can work well ONLY when $x \geq 5$. The previous one contains more information.
- Compare $\{x \geq 0\} S \{y < 0\}$ and $\{x \geq 0\} S \{y \leq 0\}$. The previous one tells us that S can provide us an outcome with $y < 0$; the later one says S can provide us a not-so-accurate outcome with $y < 0$ or $y = 0$. The previous one contains more information.

- In general, weakening the postcondition or strengthening the prediction makes a valid triple to *lose information* and become less useful. On the other hand, weakening the prediction or strengthening the postcondition might affect the validity of a triple. Thus, it is quite important to find ***the weakest precondition*** and/or ***the strongest postcondition*** (and maintaining the validity at the same time), to create the "good" triples.