# SLC SAR Preprocessing — Complete Project Document

**Purpose:** This document defines an end-to-end, production-grade preprocessing pipeline for a single SLC (Single-Look Complex) SAR image intended to enable the highest-performance downstream maritime tasks: vessel detection, classification, dimension estimation, and direction (radial velocity) prediction. It assumes resources are available and documents tools, commands, parameters, outputs, quality checks, and advanced algorithmic extensions (DL/ML/hybrid physics-based methods).

#### **Table of Contents**

- 1. Mission & Goals
- 2. Resources & Infrastructure
- 3. Core Preprocessing Principles (do / don't)
- 4. Detailed Step-by-Step Preprocessing Pipeline
  - o Step 0: Metadata & Sanity Checks
  - o Step 1: Apply precise orbits & time corrections
  - o Step 2: TOPS split / Deburst (if needed)
  - o Step 3: Phase-preserving Radiometric Calibration
  - o Step 4: Thermal-noise handling (phase-preserving)
  - o Step 5: AOI subsetting / tiling
  - o Step 6: Precise Co-registration (multi-temporal)
  - Step 7: Two-stage Speckle Reduction (Refined-Lee/NL-SAR then DL denoiser)
  - o Step 8: Optional controlled multilooking
  - o Step 9: Preserve complex copy for phase-based cues

- o Step 10: Build ATI / coherence maps (if possible)
- o Step 11: Form detection feature stack (multi-channel)
- o Step 12: Terrain correction / precise geocoding
- o Step 13: QC, metrics, provenance
- 5. Tools & Commands (practical examples)
  - o SNAP (S1TBX) commands / snappy snippets
  - o ISCE / GAMMA notes
  - o Python toolchain snippets (rasterio, numpy, pyTorch starters)
- 6. DL / Advanced Algorithms for Preprocessing
  - o Self-supervised DL denoisers (SAR2SAR / Speckle2Void)
  - o Complex-domain / physics-aware networks
  - o Training data: simulation + augmentation
- 7. Parameter Recommendations & Tuning Guidelines
- 8. Outputs & File Naming Conventions
- 9. QA Checklist & Acceptance Tests
- 10. Provenance, Auditing & Legal Considerations
- 11. Performance & Scaling (onboard vs cloud)
- 12. Next steps: Hand-off to detection/classification

### 1. Mission & Goals

Create physics-preserved, calibrated, denoised, and geocoded SLC-derived products that maximize signal-to-noise for small/low-RCS vessel detection while preserving phase information for coherence/ATI-based motion cues. Deliver high-quality feature stacks for detectors and complete provenance for legal/audit needs.

#### 2. Resources & Infrastructure (recommended)

 Data: SLC (complex float32) + orbit precise ephemerides (POD/POE) + DEM (SRTM/ArcticDEM) + optional revisits (multi-temporal) + optional polarimetric channels.

#### • Environment / Software:

- o ESA SNAP (S1TBX) with snappy for Python integration
- o ISCE or GAMMA for interferometry / phase-critical ops
- o GDAL / Rasterio, NumPy, SciPy
- o rsgislib, Orfeo ToolBox (OTB)
- o PyTorch (GPU), TensorFlow optional
- o SAR2SAR / Speckle2Void repositories for DL denoising
- o Docker for reproducible dev environments
- Ancillary data: wind/wave models, tide models, AIS logs, operator-provided ground truth (if available)

## 3. Core Preprocessing Principles

- Preserve precision & phase. Keep complex SLC float32 for any phase-dependent processing (coherence, ATI). Never downcast to 8-bit for algorithmic work.
- Apply physics-first corrections. Calibration and orbit correction before statistical methods.
- Denoise conservatively then refine. Two-stage denoising: non-local/classical first, DL second.
- Avoid irreversible operations before archiving. Maintain raw calibrated complex copy for traceability.
- Do speckle filtering in slant-range where possible.
  Geocoding/resampling changes statistics.

### 4. Detailed Step-by-Step Preprocessing Pipeline

#### Step 0 — Metadata & Sanity Checks

- Verify SLC integrity, sensor mode (TOPS/IW/SM), polarization channels, orbit files, PRF, pixel spacing, burst structure.
- · Confirm acquisition geometry (incidence angles grid), noise LUT availability.

**Deliverable:** metadata.json (sensor, start/end time, polarizations, product type, pixel spacing, orbit ID)

#### Step 1 — Apply precise orbits & time corrections

- Use precise orbit (POD/POE) to improve geolocation and enable accurate interferometry.
- · Tool: SNAP 'Apply Orbit File' or ISCE/GAMMA equivalent.

Why: accurate geolocation and phase stability are required for coherence/ATI.

#### Step 2 — TOPS split / Deburst (if applicable)

- For TOPS-mode acquisitions (e.g., Sentinel-1 IW), split bursts, align burst overlap regions, and remove burst boundaries carefully.
- · Keep complex SLC format throughout.

**Tool:** SNAP TOPSAR-Split / Deburst operations.

#### Step 3 — Phase-preserving Radiometric Calibration

- · Convert SLC counts to calibrated backscatter (sigma0 or gamma0). Prefer multiplicative operations applied to complex samples to preserve phase.
- · Verify algorithm preserves complex samples.

**Tool:** SNAP Calibration (check 'output as amplitude, sigma0' vs 'complex-preserving' behavior). ISCE/GAMMA if strict phase control needed.

#### Step 4 — Thermal-noise handling (phase-aware)

- If phase/ATI will be used: perform thermal noise correction in complex domain or postpone until phase calculations are complete. Avoid SNAP intensity-only noise operators that may alter phase unexpectedly.
- If phase is not needed: intensity-only thermal-noise correction is acceptable.

**Why:** thermal noise biases intensity and impacts small-target detection; but some tools handle it in intensity-only ways that break complex-phase information.

#### Step 5 — AOI Subset / Tiling

· Crop to area-of-interest to improve processing speed and GPU memory usage. Use overlapping tiles (10–20% overlap) for DL tiling safety.

**Deliverable:** tiled SLCs in complex float32.

#### Step 6 — Precise Co-registration (multi-temporal only)

- For multi-temporal stacks: co-register SLCs to sub-pixel accuracy using complex cross-correlation. Required for: coherence, multi-temporal denoising, speckle2self strategies.
- Tools: ISCE co-registration routines or SNAP + custom complex-domain registration.

#### Step 7 — Two-stage Speckle Reduction (the heart of preprocessing)

#### Stage A — Conservative classical filter (non-local / Refined-Lee):

- Purpose: reduce variance while preserving point scatterers. Use small-to-medium windows to prevent blurring of tiny ships.
- · Candidate filters: Refined-Lee, NL-SAR (non-local), SAR-BM3D.
- · Suggested starting params (sensor dependent):

o Refined-Lee window: 7×7

o NL-SAR patch: 7×7, search window: 21×21

#### Stage B — SAR-aware deep denoiser (fine cleanup):

- · Use self / semi-supervised models: SAR2SAR, Speckle2Void, Noise2Noise variants adapted to multiplicative noise with log-domain training.
- Training sources: multi-temporal stacks (noisy pairs), simulated clean-from-forward-model data, any available labeled clean patches.

**Why two-stage:** classical filters remove bulk speckle without hallucination; DL stage learns and cleans residuals while preserving scattering centers.

#### Step 8 — Optional controlled multilooking

· If SNR is extremely poor, apply minimal multi-look (e.g., 2× in range) but avoid heavy multi-looking when small vessel size estimation is required.

**Note:** multi-looking reduces speckle at cost of resolution — choose only if necessary.

#### Step 9 — Preserve complex copy for phase-based cues

 Keep a copy of the calibrated complex SLC (pre-denoising or minimally denoised in complex-preserving way) for coherence/ATI and velocity estimation. This is the canonical archive for forensic work.

**Deliverable:** SLC\_calibrated\_complex\_v1 (archive)

## Step 10 — Compute ATI / Coherence maps (if temporally adjacent SLCs exist)

- Compute complex cross-correlation between temporally close SLCs to produce coherence maps.
- · Compute ATI interferograms / radial velocity estimates if along-track baselines or dual-antenna data available.

Tools: ISCE, GAMMA; SNAP with phase-aware operators (if validated).

#### Step 11 — Build detection feature stack (multi-channel)

Produce a multi-band stack (per tile) containing:

- denoised log-amplitude (primary detection input)
- 2. original calibrated log-amplitude (for comparisons)
- 3. coherence map (if available)
- 4. ATI magnitude/phase (if available)
- 5. polarimetric decompositions (Pauli, Cloude-Pottier) if pol data present
- 6. incidence-angle band and look-direction band
- 7. texture features: local mean, local variance, wavelet bands, GLCM-based local contrast

Format: float32 GeoTIFF multi-band or HDF5/Numpy .npz stacks for DL.

#### Step 12 — Terrain correction / precise geocoding

- Geocode the denoised intensity and feature stack to map coordinates using a DEM and the precise orbit.
- Perform speckle filtering *before* geocoding where possible (in slant-range) to preserve speckle statistics.
- Use high-precision resampling (e.g., cubic convolution) but be aware of resampling effects on small targets.

**Deliverable:** geocoded tile datasets with retained float precision and per-pixel incidence angle.

#### Step 13 — QC, metrics & provenance

 Generate QC metrics: ENL (Equivalent Number of Looks) pre/post, local SNR improvement, edge preservation score (e.g., SSIM vs minimally denoised copy), phase residual histograms, tiled visual QA images. • Save provenance: chain of operations, parameters, timestamps, software versions, and operator notes in provenance.json.

**Deliverable:** QC\_report.pdf, provenance.json, and all intermediate product copies.

## Deep Learning & Advanced Algorithms for SAR Preprocessing

(for small/low-RCS vessel detection, classification, dimension estimation, direction prediction)

## 1. Self-Supervised / Unsupervised Denoising

These methods learn to reduce speckle **without clean ground truth**, which is critical in SAR.

#### SAR2SAR

- Paper: Dalsasso et al., IEEE TGRS 2021
- **Idea**: Train on pairs of SAR images from the *same scene* with independent noise realizations (multi-temporal or split-look).
- Why good: Keeps fine vessel scatterers intact while lowering variance.
- **Implementation**: Residual U-Net, log-domain training, gamma-likelihood loss.
- **Tip**: Ensure multi-temporal registration < 0.5 px.

#### Speckle2Void

- **Idea**: Adaptation of Noise2Void mask random pixels and predict from surroundings.
- Why good: Needs only single noisy images, no clean target.

- Implementation: Blind-spot CNN with masked convolutions.
- **Tip**: Combine with augmentations to prevent overfitting to texture.

#### Noise2Noise (SAR variant)

- **Idea**: Train with two noisy versions of same scene network learns clean mapping implicitly.
- Note: Works best if temporal decorrelation is minimal.

## 2. Complex-Domain / Physics-Aware Networks

Go beyond magnitude-only and handle real + imaginary SAR data directly.

#### **Complex-Valued CNNs**

- **Approach**: Use complex convolutions, activations, and batch norms.
- Benefit: Preserve phase information for downstream interferometry/ATI.
- Tools: complexPyTorch, custom layers.
- **Tip**: Useful if you later do motion detection or coherence-based vessel velocity.

#### Magnitude-Phase Dual-Branch Networks

- Approach: Split complex SLC into magnitude & phase channels  $\rightarrow$  process in parallel  $\rightarrow$  fuse.
- **Benefit**: Lets magnitude branch handle amplitude denoising and phase branch handle coherence stability.

#### **Hybrid Physics-DL Models**

- Embed SAR imaging equations (e.g., speckle model, system PSF) into loss or network layers.
- Example: Learnable despeckle filter with multiplicative noise prior in network.

## 3. Hybrid Classical + DL Filtering

Use **physics-based filtering first**, then DL for refinement.

#### **Workflow Example:**

- 1. Refined-Lee  $\rightarrow$
- 2. DL residual denoiser (U-Net/ResNet)  $\rightarrow$
- 3. Edge-preserving loss to avoid blurring vessels.

#### Why good:

- Classical stage ensures physics preservation.
- DL removes residual speckle without damaging point scatterers.

## 4. Domain Adaptation & Transfer Learning

Bridge gap between optical DL pretraining and SAR.

#### **Domain-Adversarial Training (DANN)**

• Train with adversarial loss so SAR features match optical pretraining features.

#### Adaptive Batch Normalization (AdaBN)

• Re-estimate BN statistics on SAR domain before fine-tuning.

#### **Style Transfer Preprocessing**

 Convert SAR texture stats toward source domain model was trained on caution: may distort physics.

## 5. Synthetic Data Simulation & Augmentation

Boost training data diversity.

#### **Simulation**

- EM scattering simulation for ships (e.g., Xpatch, FEKO).
- Simulate wakes with hydrodynamic models.
- Inject into real sea clutter from actual SAR.

#### **Augmentation**

- Rotation, flip, scale (preserving aspect ratio).
- Incidence angle variation (gamma correction in log-domain).
- Noise injection: controlled speckle variance changes.
- ullet Weather simulation: wind speed maps o adjust clutter texture.
- Occlusion: partial wakes or partial vessel returns.

## 6. Super-Resolution Enhancement

Increase apparent resolution while preserving physics.

#### **ESRGAN-SAR**

Adapt ESRGAN with speckle-aware loss.

• Train on high-res TerraSAR-X as target, low-res Sentinel-1 as input.

#### **Deep Back Projection Networks**

• Reconstruct higher-res images using SAR forward model.

## 7. Multi-Task Preprocessing Networks

Train one network to **denoise**, **super-resolve**, **and normalize incidence angle** in a single pass.

- Architecture: U-Net with multiple output heads.
- **Loss**: Multi-task weighted sum (denoising loss + SR loss + angle normalization loss).
- Benefit: One inference pass gives all enhanced products.

## 8. Loss Functions for SAR Preprocessing

Specialized losses to match SAR noise/statistics.

- Gamma-Likelihood Loss (matches speckle statistics).
- Log-Domain MSE (stabilizes multiplicative noise).
- Perceptual Loss (from VGG/ResNet trained on SAR patches).
- Edge Loss (Sobel/Laplacian edge map MSE).
- Physics Regularizer: penalize outputs that violate known SAR scattering models.