#### EE 720: An Introduction to Number Theory and Cryptography (Spring 2019)

Lecture 9 — January 31, 2019

Instructor: Saravanan Vijayakumaran Scribe: Saravanan Vijayakumaran

### 1 Lecture Plan

- Complete the proof of the CPA-security of the encryption scheme presented in the last lecture.
- Define pseudorandom permutations
- Describe block cipher modes
- Describe the construction of DES

## 2 Recap

### **CPA-Secure Encryption from Pseudorandom Functions**

- ullet Let F be a pseudorandom function. Define a private-key encryption scheme for messages of length n as follows:
  - Gen: On input  $1^n$ , choose k uniformly from  $\{0,1\}^n$ .
  - Enc: Given  $k \in \{0,1\}^n$  and message  $m \in \{0,1\}^n$ , choose uniform  $r \in \{0,1\}^n$  and output the ciphertext

$$c := \langle r, F_k(r) \oplus m \rangle.$$

- Dec: Given  $k \in \{0,1\}^n$  and ciphertext  $c = \langle r,s \rangle$ , output the plaintext message

$$m := F_k(r) \oplus s$$
.

**Theorem** (Thereom 3.31 of KL). If F is a pseudorandom function, then the above construction is a CPA-secure private-key encryption scheme for messages of length n.

*Proof.* Done in class.  $\Box$ 

• What is a drawback of this construction?

#### 3 Pseudorandom Permutations

• In practice, constructions of pseudorandom permutations are used instead of pseudorandom functions.

- Let  $Perm_n$  be the set of all permutations (bijections) on  $\{0,1\}^n$ . An  $f \in Perm_n$  can be seen as a lookup table where any two distinct rows must be different.
- $|\text{Perm}_n| = (2^n)!$
- A function  $F: \{0,1\}^{l_{key}(n)} \times \{0,1\}^{l_{in}(n)} \to \{0,1\}^{l_{in}(n)}$  is called a keyed permutation if for all  $k \in \{0,1\}^{l_{key}}(n)$ ,  $F_k$  is a permutation.
- $l_{in}(n)$  is called the block length of F.
- F is length-preserving if  $l_{key}(n) = l_{in}(n) = n$ .
- F is said to be *efficient* if both  $F_k(x)$  and  $F_k^{-1}(y)$  have polynomial-time algorithms for all k, x, y.
- A pseudorandom permutation is a permutation which cannot be efficiently distinguished from a random permutation, i.e. a permutation uniformly chosen from  $Perm_n$ .
- When the blocklength is sufficiently long, a random permutation is indistinguishable from a random function (by birthday problem analysis).
- In practice, constructions of pseudorandom permutations are called *block ciphers*.

## 4 Block Cipher Modes of Operation

#### 4.1 Electronic Code Book (ECB) Mode

- Insecure and should not be used.
- Let  $m = m_1, m_2, \dots, m_l$  where  $m_i \in \{0, 1\}^n$ .
- Let F be a block cipher with block length n.
- $c := \langle F_k(m_1), F_k(m_2), \dots, F_k(m_l) \rangle$
- ECB is deterministic and cannot be CPA-secure.

#### 4.2 Cipher Block Chaining (CBC) Mode

- Let  $m = m_1, m_2, \dots, m_l$  where  $m_i \in \{0, 1\}^n$ .
- Let F be a length-preserving block cipher with block length n.
- A uniform initialization vector (IV) of length n is first chosen.
- $c_0 = IV$ . For  $i = 1, \ldots, l$ ,  $c_i := F_k(c_{i-1} \oplus m_i)$ .
- For  $i = 1, 2, ..., l, m_i := F_k^{-1}(c_i) \oplus c_i$ .
- $\bullet$  This mode has a ciphertext which is larger than the plaintext by n bits.
- Decryption is much faster than encryption.
- If F is a pseudorandom permutation, then the CBC-mode encryption is CPA-secure.

#### 4.3 Counter (CTR) Mode

- Let  $m = m_1, m_2, \dots, m_l$  where  $m_i \in \{0, 1\}^n$ .
- Let F be a length-preserving block cipher with block length n.
- $\bullet$  A uniform value ctr of length n is first chosen.
- $c_0 = \mathsf{ctr.}$  For  $i = 1, \ldots, l, c_i := F_k(\mathsf{ctr} + i) \oplus m_i$ .
- For  $i = 1, 2, \ldots, l, m_i := F_k(\mathsf{ctr} + i) \oplus c_{i-1}$ .
- $\bullet$  This mode has a ciphertext which is larger than the plaintext by n bits.
- Both encryption and decryption can be parallelized.
- The generated stream can be truncated to exactly the plaintext length.
- $\bullet$  F does not need to be a permutation.
- If F is a pseudorandom function, then the CTR-mode encryption is CPA-secure.

## 5 Data Encryption Standard (DES)

- DES was proposed by IBM in 1974 in response to a call for proposals from the US National Bureau of Standards (now NIST)
- Adopted as a US federal standard from 1979 to 2005
- In 2000, AES selected as successor to DES.
- DES considered insecure now but still interesting for historical reasons.

#### 5.1 Construction

- Based on the Feistel transform
- Let  $f: \{0,1\}^n \to \{0,1\}^n$  be any function. The Feistel transform of f is the function  $FSTL_f: \{0,1\}^{2n} \to \{0,1\}^{2n}$  is defined by

$$FSTL_f(L,R) = (R,f(R) \oplus L)$$

- Even if f is not a bijection,  $FSTL_f$  is a bijection.
- The inverse is given by

$$FSTL_f^{-1}(X,Y) = (Y \oplus f(X),X)$$

- DES has a key length of 56 bits and a block length of n = 64 bits. It consists of 16 rounds of a Feistel transform.
- The 56-bit key K is expanded to a sequence of 16 subkeys  $K_1, K_2, \ldots, K_{16}$  each of length 48 bits.

- Decryption use the same structure as encryption except for the fact that the subkeys are applied in reverse order.
- See pages 41–44 of Bellare-Rogaway notes for full description.

# 6 References and Additional Reading

- Section 3.5, 3.6 from Katz/Lindell
- Chapter 3 of *Introduction to Modern Cryptography* by Mihir Bellare, Phillip Rogaway, 2005. http://web.cs.ucdavis.edu/~rogaway/classes/227/spring05/book/main.pdf