## Cyclic Codes

Saravanan Vijayakumaran sarva@ee.iitb.ac.in

Department of Electrical Engineering Indian Institute of Technology Bombay

August 18, 2015

## Cyclic Codes

#### Definition

A cyclic shift of a vector  $\begin{bmatrix} v_0 & v_1 & \cdots & v_{n-2} & v_{n-1} \end{bmatrix}$  is the vector  $\begin{bmatrix} v_{n-1} & v_0 & v_1 & \cdots & v_{n-3} & v_{n-2} \end{bmatrix}$ .

#### Definition

An (n, k) linear block code C is a cyclic code if every cyclic shift of a codeword in C is also a codeword.

#### Example

Consider the (7,4) code C with generator matrix

$$G = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{bmatrix}$$

## Polynomial Representation of Vectors

For every vector  $\mathbf{v} = \begin{bmatrix} v_0 & v_1 & \cdots & v_{n-2} & v_{n-1} \end{bmatrix}$  there is a polynomial

$$\mathbf{v}(X) = v_0 + v_1 X + v_2 X^2 + \dots + v_{n-1} X^{n-1}$$

Let  $\mathbf{v}^{(i)}$  be the vector resulting from i cyclic shifts on  $\mathbf{v}$ 

$$\mathbf{v}^{(i)}(X) = v_{n-i} + v_{n-i+1}X + \dots + v_{n-1}X^{i-1} + v_0X^i + \dots + v_{n-i-1}X^{n-1}$$

#### Example

## Polynomial Representation of Vectors

• Consider  $\mathbf{v}(X)$  and  $\mathbf{v}^{(1)}(X)$ 

$$\mathbf{v}(X) = v_0 + v_1 X + v_2 X^2 + \dots + v_{n-1} X^{n-1}$$

$$\mathbf{v}^{(1)}(X) = v_{n-1} + v_0 X + v_1 X^2 + v_2 X^3 + \dots + v_{n-2} X^{n-1}$$

$$= v_{n-1} + X \left[ v_0 + v_1 X + v_2 X^2 + \dots + v_{n-2} X^{n-2} \right]$$

$$= v_{n-1} (1 + X^n) + X \left[ v_0 + \dots + v_{n-2} X^{n-2} + v_{n-1} X^{n-1} \right]$$

$$= v_{n-1} (1 + X^n) + X \mathbf{v}(X)$$

• In general,  $\mathbf{v}(X)$  and  $\mathbf{v}^{(i)}(X)$  are related by

$$X^{i}\mathbf{v}(X)=\mathbf{v}^{(i)}(X)+\mathbf{q}(X)(X^{n}+1)$$

where 
$$\mathbf{q}(X) = v_{n-i} + v_{n-i+1}X + \cdots + v_{n-1}X^{i-1}$$

•  $\mathbf{v}^{(i)}(X)$  is the remainder when  $X^i\mathbf{v}(X)$  is divided by  $X^n+1$ 

# Hamming Code of Length 7

Codeword	Code Polynomial
0000000	0
1000110	$1 + X^4 + X^5$
0100011	$X + X^5 + X^6$
1100101	$1 + X + X^4 + X^6$
0010111	$X^2 + X^4 + X^5 + X^6$
1010001	$1 + X^2 + X^6$
0110100	$X+X^2+X^4$
1110010	$1 + X + X^2 + X^5$
0001101	$X^3 + X^4 + X^6$
1001011	$1 + X^3 + X^5 + X^6$
0101110	$X + X^3 + X^4 + X^5$
1101000	$1 + X + X^3$
0011010	$X^2 + X^3 + X^5$
1011100	$1 + X^2 + X^3 + X^4$
0111001	$X + X^2 + X^3 + X^6$
1111111	$1 + X + X^2 + X^3 + X^4 + X^5 + X^6$

## Properties of Cyclic Codes (1)

#### **Theorem**

The nonzero code polynomial of minimum degree in a linear block code is unique.

#### Proof.

Suppose there are two code polynomials g(X) and g'(X) of minimum degree r.

What is the degree of their sum?

## Properties of Cyclic Codes (2)

Let  $\mathbf{g}(X) = g_0 + g_1 X + \cdots + g_{r-1} X^{r-1} + X^r$  be the nonzero code polynomial of minimum degree in an (n, k) binary cyclic code C.

#### **Theorem**

The constant term  $g_0$  is equal to 1.

#### Proof.

Suppose  $g_0 = 0$ .

Then  $g_1X + g_2X^2 + \cdots + X^r$  is a code polynomial.

What happens when we left shift the corresponding codeword?

## Properties of Cyclic Codes (3)

Let  $\mathbf{g}(X) = g_0 + g_1 X + \cdots + g_{r-1} X^{r-1} + X^r$  be the nonzero code polynomial of minimum degree in an (n, k) binary cyclic code C.

#### **Theorem**

A binary polynomial of degree n-1 or less is a code polynomial if and only if it is a multiple of  $\mathbf{g}(X)$ .

#### Proof.

- $(\Leftarrow)$  A multiple of  $\mathbf{g}(X)$  of degree n-1 or less is a linear combination of shifts of  $\mathbf{g}(X)$ .
- $(\Rightarrow)$  Consider the remainder when a code polynomial is divided by  $\mathbf{g}(X)$ .
- g(X) is called the generator polynomial of the cyclic code.

## Properties of Cyclic Codes (4)

#### **Theorem**

The degree of the generator polynomial of an (n, k) binary cyclic code is n - k.

#### Proof.

If the degree of  $\mathbf{g}(X)$  is r, how many distinct multiples of  $\mathbf{g}(X)$  of degree of n-1 or less exist?

## Properties of Cyclic Codes (5)

#### **Theorem**

The generator polynomial of an (n, k) binary cyclic code is a factor of  $X^n + 1$ .

#### Proof.

 $\mathbf{g}(X)$  has degree n-k.

What is the remainder when  $X^k \mathbf{g}(X)$  is divided by  $X^n + 1$ ?

## Properties of Cyclic Codes (6)

#### **Theorem**

If  $\mathbf{g}(X)$  is a polynomial of degree n-k and is a factor of  $X^n+1$ , then  $\mathbf{g}(X)$  generates an (n,k) cyclic code.

#### Proof.

Multiples of  $\mathbf{g}(X)$  of degree n-1 or less generate a (n,k) linear block code.

We need to show that the generated code is cyclic.

For a code polynomial  $\mathbf{v}(X)$  consider the following equation

$$X\mathbf{v}(X) = v_{n-1}(X^n + 1) + \mathbf{v}^{(1)}(X)$$

What can we say about  $\mathbf{v}^{(1)}(X)$ ?

## Systematic Encoding of Cyclic Codes

• To encode a k-bit message  $\begin{bmatrix} u_0 & u_1 & \cdots & u_{k-1} \end{bmatrix}$  construct the message polynomial

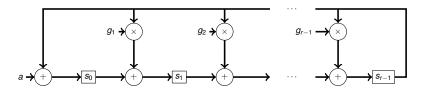
$$\mathbf{u}(X) = u_0 + u_1 X + \cdots + u_{k-1} X^{k-1}.$$

- Given a generator polynomial g(X) of an (n, k) cyclic code, the corresponding codeword is u(X)g(X). This is not a systematic encoding.
- A systematic encoding of the message can be obtained as follows
  - Divide  $X^{n-k}\mathbf{u}(X)$  by  $\mathbf{g}(X)$  to obtain remainder  $\mathbf{b}(X)$
  - The code polynomial is given by  $\mathbf{b}(X) + X^{n-k}\mathbf{u}(X)$

# Circuits for Cyclic Code Encoding

## A Shift Register Circuit

Let 
$$\mathbf{g}(X) = 1 + g_1 X + g_2 X^2 + \dots + g_{r-1} X^{r-1} + X^r$$



 $\mathbf{s}(X) = s_0 + s_1 X + \cdots + s_{r-1} X^{r-1}$  is the current state polynomial The next state polynomial  $\mathbf{s}'(X)$  is given by

$$\mathbf{s}'(X) = [a + X\mathbf{s}(X)] \mod \mathbf{g}(X)$$

Can we use this circuit to build an encoder for a cyclic code with generator polynomial g(X)?

## Circuit for Systematic Encoding

• If the initial state polynomial is zero and the input is a sequence of bits  $a_{m-1}, a_{m-2}, \ldots, a_1, a_0$ , the final state polynomial is

$$\mathbf{a}(X) \bmod \mathbf{g}(X) = \left[\sum_{i=0}^{m-1} a_i X^i\right] \bmod \mathbf{g}(X)$$

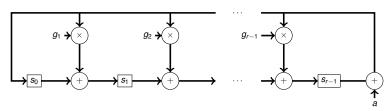
For systematic encoding we need X<sup>n-k</sup>u(X) mod g(X) which corresponds to input bit sequence

$$u_{k-1}, u_{k-2}, \ldots, u_1, u_0, \underbrace{0, 0, \ldots, 0, 0}_{p-k}$$

• Is there a way to avoid the delay of n - k clock ticks?

## Another Shift Register Circuit

Let 
$$\mathbf{g}(X) = 1 + g_1 X + g_2 X^2 + \dots + g_{r-1} X^{r-1} + X^r$$



 $\mathbf{s}(X) = s_0 + s_1 X + \cdots + s_{r-1} X^{r-1}$  is the current state polynomial The next state polynomial  $\mathbf{s}'(X)$  is given by

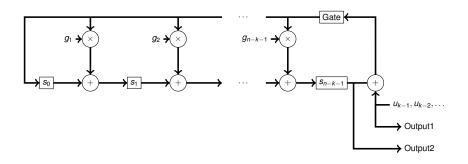
$$\mathbf{s}'(X) = [aX^r + X\mathbf{s}(X)] \mod \mathbf{g}(X)$$

If the initial state polynomial is zero and the input is a sequence of bits  $a_{m-1}, a_{m-2}, \dots, a_1, a_0$ , the final state polynomial is

$$X^r$$
**a** $(X) \mod \mathbf{g}(X) = \left[\sum_{i=0}^{m-1} a_i X^{r+i}\right] \mod \mathbf{g}(X)$ 

# Systematic Encoding Circuit for Cyclic Codes

Let 
$$\mathbf{g}(X) = 1 + g_1 X + g_2 X^2 + \dots + g_{n-k-1} X^{n-k-1} + X^{n-k}$$

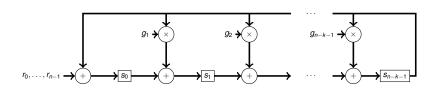


- Turn on the gate. Shift the message bits  $u_{k-1}, u_{k-2}, \ldots, u_0$  into the circuit and channel simultaneously. Only Output1 is fed to the channel.
- Turn off the gate and shift the contents of the shift register into the channel. Only Output2 is fed to the channel.

Error Detection using Cyclic Codes

## **Syndrome Computation**

- Errors are detected when the received vector is not a codeword
- For linear block codes, **r** is a codeword  $\iff$  **rH**<sup>T</sup> = **0**
- $\mathbf{s} = \mathbf{r}\mathbf{H}^T$  is called the syndrome vector
- For cyclic codes, the received polynomial r(X) is a code polynomial ← r(X) mod g(X) = 0
- $\mathbf{s}(X) = \mathbf{r}(X) \mod \mathbf{g}(X)$  is called the syndrome polynomial
- The following circuit computes the syndrome polynomial



## **Detecting Odd Weight Error Patterns**

 For received polynomial r(X) = v(X) + e(X) where v(X) is a code polynomial

$$\mathbf{r}(X) \bmod \mathbf{g}(X) = \mathbf{e}(X) \bmod \mathbf{g}(X)$$

- Error  $\mathbf{e}(X) \neq 0$  is undetected if  $\mathbf{e}(X) \mod \mathbf{g}(X) = 0$
- If an odd weight error pattern occurs, then

$$\mathbf{e}(X) = X^{i_1} + X^{i_2} + \cdots + X^{i_m}$$

where m is odd and  $0 \le i_i \le n-1$ 

- If X + 1 is a factor of g(X), all odd weight error patterns are detected
- If  $\mathbf{g}(X) = (X+1)\mathbf{a}(X)$ , then  $\mathbf{e}(X) \mod \mathbf{g}(X) = 0 \implies \mathbf{e}(X) = \mathbf{g}(X)\mathbf{b}(X) = (X+1)\mathbf{a}(X)\mathbf{b}(X)$

## **Detecting Double Bit Errors**

- A double bit error pattern is of the form  $\mathbf{e}(X) = X^i + X^j$  where  $i \neq j$  and  $0 \leq i, j \leq n-1$
- A polynomial over F<sub>2</sub> is said to be irreducible over F<sub>2</sub> if it
  has no factors other than 1 and itself
  - Examples:  $X, X + 1, X^2 + X + 1, X^3 + X + 1, X^3 + X^2 + 1$
- A degree m irreducible polynomial is primitive if the smallest value of N for which it divides  $X^N + 1$  is  $2^m 1$ 
  - Examples:  $X + 1, X^2 + X + 1$
- If  $\mathbf{g}(X)$  is a primitive polynomial of degree m and the code length  $n = 2^m 1$ , then all double bit errors are detected

$$X^{i} + X^{j} = X^{i}(1 + X^{j-i})$$

In practice, g(X) is chosen to be (X + 1)p(X) where p(X) is a primitive polynomial

#### Example: CRC-16

The generator polynomial of CRC-16 is given by

$$\mathbf{g}(X) = X^{16} + X^{15} + X^2 + 1 = (X+1)(X^{15} + X + 1)$$

CRC = Cyclic Redundancy Check

- All odd weight error patterns are detected
- If the code length is  $2^{15} 1 = 32767$ , then all double bit errors are detected
- A burst error of length m occurs if the error locations are confined to a block of length m

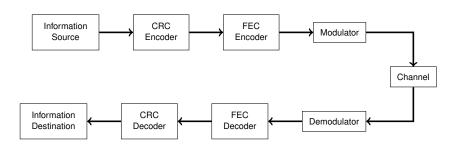
$$e(X) = X^{i} + e_{i+1}X^{i+1} + e_{i+2}X^{i+2} + \dots + e_{i+m-2}X^{i+m-2} + X^{i+m-1}$$

 The CRC-16 code can detect all burst errors of length 16 or less, 99.997% of 17-length bursts, 99.998% of 18-length bursts...

#### **Burst Error Detection**

- An (n, k) cyclic code can detect burst errors of length n − k or less, including end-around bursts
- The fraction of undetectable bursts of length n k + 1 is  $2^{-(n-k-1)}$
- For m > n k + 1, the fraction of undetectable bursts of length m is  $2^{-(n-k)}$

#### **CRC** in Context



CRC is used along with Automatic Repeat reQuest (ARQ) to enable reliable communication

Questions? Takeaways?