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Main DESPOT Tutorial

# Tutorial on Using DESPOT

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# 1. Overview

DESPOT[1] is an anytime online POMDP planning algorithm. To use our solver package, the user first need to represent the POMDP in one of the following two ways:

- specify the POMDP in the POMDPX format as described in the POMDPX documentation, or
- specify a deterministic simulative model [1] for the POMDP in C++ according to the DSPOMDP interface included in the DESPOT solver package (Section 2).

Which type of model is better? A POMDPX model requires relatively less programming, and some domain-independent bounds are provided to guide the policy search in DESPOT. However, POMDPX can only be used to represent POMDPs which are not very large, and an exact representation of the POMDP is needed. A C++ model may require more programming, but it comes with the full flexibility of integrating user's domain knowledge into the policy search process. In addition, it can represent extremely large problems, and only a black-box simulator - rather than an exact representation of the POMDP - is needed. To enjoy the full power of DESPOT, a C++ model is encouraged.

In this tutorial, we will work with a very simple POMDP problem. We first introduce the simple POMDP problem and explain how DESPOT can solve a POMDP given its C++ model using this example for illustration (Section 2.1), and then explain how to code a C++ model for a POMDP (Section 2.2 and Section 2.3). Section 3 gives some pointers to other example problems.

# 2. Coding a C++ Model

We explain and illustrate how a deterministic simulative model of a POMDP can be specified according to the DSPOMDP interface. The ingredients are the following:

- representation of states, actions and observations,
- the deterministic simulative model,
- functions related to beliefs and starting states, such as constructing intial belief
- bound-related functions, and
- memory management functions.

We shall start with the minimal set of functions that need to be implemented in a C++ model (<u>Section 2.2</u>), and then explain how to implement additional functions which can be used to get better performance (<u>Section 2.3</u>).

## 2.1. Problem

We will use a simplified version of the *RockSample* problem [2] as our running example. You can read the complete C++ model of this example in examples/cpp\_models/simple\_rock\_sample. It models a rover on an exploration mission and it can achieve rewards by sampling rocks in its current area. Consider a map of size  $1 \times 3$  as shown in Figure 1, with one rock at the left end and the terminal state at the right end. The rover starts off at the center and its possible actions are  $A = \{West, East, Sample, Check\}$ .



**Figure 1.** The 1 x 3 *RockSample* problem world.

As with the original version of the problem, the rover knows exactly its own location and the rock's location, but is unknown about the status of the rock (good or bad). However, it can execute the *Check* action to get observations of the status ( $O = \{Good, Bad\}$ ), and its observation is correct with probability 1 if the rover is at the rock's location, 0.8 otherwise. The *Sample* action samples the rock at the rover's current location. If the rock is good, the rover receives a reward of 10 and the rock becomes bad. If the rock is bad, it receives a penalty of -10. Moving into the terminal area yields a reward of 10 and terminates the task. Moving off the grid and sampling in a grid where there is no rock result in a penalty of -100, and terminate the task. All other moves have no cost or reward.

# 2.1.1 Using C++ Models

DESPOT can be used to solve a POMDP specified in C++ according to the DSPOMDP interface in the solver package. Assume for

now that a C++ model for the *RockSample* problem has been implemented as a class called **SimpleRockSample**, then the following code snippet shows how to use DESPOT to solve it.

#### Listing 1. Code snippet for running simulations using DESPOT

```
class TUI: public SimpleTUI {//TUI: text user interface
public:
    TUI() {
    }

    DSPOMDP* InitializeModel(option::Option* options) {
        DSPOMDP* model = new SimpleRockSample();
        return model;
    }

    void InitializeDefaultParameters() {
    }
};

int main(int argc, char* argv[]) {
    return TUI().run(argc, argv);
}
```

The users only need to write something like the above code to run DESPOT to solve the *RockSample* problem. To solve other problems, say *Tiger* [3] problem, after you have implemented the Tiger DSPOMDP interface as a class called Tiger, you only need to change Line 7 in Listing 1 to:

```
DSPOMDP* model = new Tiger();
```

If you have parameters to set for the problem, you can specify them in the InitializeDefaultParameters() (Line 11 in Listing 1).

## 2.2. Essential functions

The following code snippet shows the essential functions in the DSPOMDP interface. It also shows some displaying functions, which are used for debugging and are not required by the solver to work correctly. We will only discuss the essential functions.

#### Listing 2. Essential functions in the DSPOMDP interface

```
class DSPOMDP {
       public:
             /* ====== Essential Functions: ======*/
             /* Returns total number of actions.*/
             virtual int NumActions() const
             /* Deterministic simulative model.*/
             virtual bool Step (State& state, double random num, int action,
                  double& reward, OBS_TYPE& obs) const = 0;
10
11
            /* Functions related to beliefs and starting states.*/
virtual double ObsProb(OBS_TYPE obs, const State& state, int action) const = 0;
virtual Belief* InitialBelief(const State* start, string type = "DEFAULT") const
virtual State* CreateStartState(string type = "DEFAULT") const = 0;
13
14
15
16
17
             /* Bound-related functions.*
             virtual double GetMaxReward() const = 0;
virtual ValuedAction GetMinRewardAction() const = 0;
19
20
21
             /* Memory management.*,
             virtual State* Allocate(int state_id, double weight) const = 0;
virtual State* Copy(const State* particle) const = 0;
virtual void Free(State* particle) const = 0;
22
23
24
            /* The following pure virtual functions are also required to be implemented. However, they are not required by the solver to work correctly. Those functions only output some information for debugging. Hence we won't discuss them in this tutorial*/
28
29
             /* ====== Display Functions: ======*/
32
             /* Prints a state. */
34
35
             virtual void PrintState(const State& state, std::ostream& out = std::cout) const = 0;
                  Prints an observation.
             virtual void PrintObs(const State& state, OBS_TYPE obs, std::ostream& out = std::cout) const = 0;
                 Prints an action.
38
             virtual void PrintAction(int action, std::ostream& out = std::cout) const = 0;
39
                 Prints a belief.
             virtual void PrintBelief(const Belief& belief, std::ostream& out = std::cout) const = 0;
40
41
                 Returns number of allocated particles.
42
43
             virtual int NumActiveParticles() const = 0;
```

The following declaration of the SimpleRockSample class implements the above DSPOMDP interface. It is the same as the DSPOMDP interface except that the functions are no longer pure virtual, and a MemoryPool object is declared for memory management (which we will discuss very soon). We explain the functions and their implementation in detail in the following paragraphs.

## Listing 3. Declaration of the SimpleRockSample class

```
double GetMaxReward() const;

ValuedAction GetMinRewardAction() const;

/* Memory management.*/
State* Allocate(int state_id, double weight) const;
State* Copy(const State* particle) const;
void Free(State* particle) const;
int NumActiveParticles() const;

private:
mutable MemoryPool<SimpleState> memory_pool_;
};
```

## 2.2.1. States, Actions and Observations

As the state, action and observation spaces are three basic components of a POMDP model, DSPOMDP interface needs to contain them. In this subsection, we will introduce their implementation one by one.

A state is required to be represented as an instance of the State class or its subclass. The generic state class inherits MemoryObject for memory management, as will be discussed more later. It has two member variables: state\_id and weight. The former is useful when dealing with simple discrete POMDPs, and the latter is used when using the State object to represent a weighted particle.

### Listing 4. The generic state class

```
class State : public MemoryObject {
public:
    int state_id;
    double weight;

State(int_state_id = -1, double_weight = 0.0) :
    state_id(_state_id),
    weight(_weight) {
    }

virtual ~State() {
    }
};
```

For SimpleRockSample, we can actually use the generic state class to represent its states by mapping each state to an integer, but we define customized state class so as to illustrate how this can be done.

## Listing 5. The state class for SimpleRockSample

```
class SimpleState : public State {
public:
    int rover_position; // takes value 0, 1, 2 starting from the leftmost grid
    int rock_status; // indicates whether the rock is good

SimpleState() {
    }

SimpleState(int _rover_position, int _rock_status) :
    rover_position( rover_position),
    rock_status(_rock_status) {
    }

**SimpleState() {
    }

**SimpleState() {
    }
}
```

Actions are represented as consecutive integers of int type starting from 0, and the user is required to implement the NumActions() function which returns the total number of actions.

## Listing 6. Implementation of NumActions() for SimpleRockSample.

```
1 | int SimpleRockSample::NumActions() const {
2     return 4;
3  }
```

For the sake of readability, we shall use an  $\frac{1}{2}$  enum to represent actions for  $\frac{1}{2}$ 

## Listing 7. Action enum for SimpleRockSample

Observations are represented as integers of type uint64\_t, which is also named as OBS\_TYPE using typedef. Unlike the actions, the set of observations need not be consecutive integers. For SimpleRockSample, we use an enum to represent the observations as well.

## Listing 8. Observation enum for SimpleRockSample

```
1 enum {
2 O_BAD = 0,
3 O_GOOD = 1,
4 }:
```

## 2.2.2. Deterministic Simulative Model

A deterministic simulative model for a POMDP is a function  $g(s, a, r) = \langle s', o \rangle$  such that when random number r is randomly distributed in [0,1],  $\langle s', o \rangle$  is distributed according to  $P(s', o \mid s, a)$ . The deterministic simulative model is implemented in the Step function. Step function takes a state s and an action a as the inputs, and simulates the real execution of action a on state s, then outputs the resulting state s', the corresponding reward and observation. The argument names are self-explanatory,

but note that:

- there is a single State object which is used to represent both s and s',
- the function returns true if and only if executing a on s results in a terminal state.

#### Listing 9. A deterministic simulative model for SimpleRockSample

```
bool SimpleRockSample::Step(State& state, double rand num, int action,
               double& reward, OBS_TYPE& obs) const {
SimpleState& simple state = statio_cast < SimpleState& >(state);
int& rover_position = simple_state.rover_position;
                int& rock status = simple state.rock status;
                if (rover_position == LEFT) {
   if (action == A_SAMPLE) {
      reward = (rock_status == R_GOOD) ? 10 : -10;
}
                      reward = (rock status == R_GOOD) ? 10 : -10;
obs = O_GOOD;
rock status = R_BAD;
} else if (action == A_CHECK) {
  reward = 0;
  // when the rover at LEFT, its observation is correct with probability 1
  obs = (rock_status == R_GOOD) ? O_GOOD : O_BAD;
} else if (action == A_WEST) {
10
12
13
14
15
16
17
                              reward = -100;
                              // moving does not incur observation, setting a default observation // note that we can also set the default observation to O_BAD, as long
18
                              // as it is consistent.

obs = O GOOD;

return true; // Moving off the grid terminates the task.
19
20
21
22
23
                      } else { // moving EAST
  reward = 0;
                              ^{\prime\prime}/ moving does not incur observation, setting a default observation obs = 0_GOOD;
24
25
                              rover_position = MIDDLE;
               } else if (rover position == MIDDLE) {
  if (action == A SAMPLE) {
    reward = -100;
}
30
                      return true; // sampling in the grid where there is no rock terminates the task

else if (action == A_CHECK) {
   reward = 0;
   // when '.'
33
34
35
36
37
                       // when the rover is at MIDDLE, its observation is correct with probability 0.8
obs = (rand_num > 0.20) ? rock_status : (1 - rock_status);
} else if (action == A_WEST) {
3.8
39
                              reward = 0;
                              // moving does not incur observation, setting a default observation obs = 0\_GOOD;
40
41
                      rover_position = LEFT;
} else { //moving EAST to exit reward = 10;
obs = O_GOOD;
42
43
44
45
46
                              rover\_position = RIGHT;
                       }
48
49
                if(rover position == RIGHT) return true;
50
51
                else return false;
```

## 2.2.3. Beliefs and Starting States

Our solver package supports arbitrary belief representation: The user can implement their own belief representation by implementing the Belief interface, which is only required to support sampling of particles, and belief update.

```
class Belief {
public:
    Belief(const DSPOMDP* model);

virtual vector<State*> Sample(int num) const = 0;
virtual void Update(int action, OBS_TYPE obs) = 0;
};
```

You can customize your own belief representation by implementing your belief class inheriting from the abstract Belief class (see <u>2.3.1 Custom Belief</u>). Alternatively, you can use the ParticleBelief class already implemented in the solver package.

ParticleBelief class implements SIR (<u>sequential importance resampling</u>) particle filter, and inherits from Belief class. It is used as the default belief. To use ParticleBelief class, the only thing you need to do is to implement the ObsProb function. The ObsProb function is required in ParticleBelief for belief update. It implements the observation function in a POMDP, that is, it computes the probability of observing obs given current state state resulting from executing an action action in previous state.

## Listing 10. Observation function for SimpleRockSample

```
double SimpleRockSample::ObsProb(OBS_TYPE obs, const State& state,
   int action) const {
   if (action == A_CHECK) {
      const SimpleState& simple state = static_cast < const SimpleState& >(state);
      int rover_position = simple_state.rover_position;
      int rock_status = simple_state.rock_status;

   if (rover_position == LEFT) {
        // when the rover at LEFT, its observation is correct with probability 1
        return obs == rock status;
   } else if (rover_position == MIDDLE) {
        // when the rover at MIDDLE, its observation is correct with probability 0.8
        return (obs == rock_status) ? 0.8 : 0.2;
   }
}

// when the actions are not A_CHECK, the rover does not receive any observations.
// assume it receives a default observation with probability 1.
return obs == 0_GOOD;
// assume it receives a default observation with probability 1.
```

The following code shows how the initial belief for SimpleRockSample can be represented by ParticleBelief. This example

does not use the parameter start, but in general, one can use start to pass partial information about the starting state to the initial belief, and use type to select different types of initial beliefs (such as uniform belief, or skewed belief), where type is specified using the command line option --belief or -b, with a value of "DEFAULT" if left unspecified.

## Listing 11. Initial belief for SimpleRockSample

```
Belief* SimpleRockSample::InitialBelief(const State* start, string type) const {
    vector<State*> particles;

    if (type == "DEFAULT" || type == "PARTICLE") {
        //Allocate() function allocates some space for creating new state;
        simpleState* good rock = static_cast<SimpleState*>(Allocate(-1, 0.5));
        good_rock->rover_position = MIDDLE;
        good rock->rock status = O_GOOD;
        particles.push_back(good_rock);

        SimpleState* bad_rock = static_cast<SimpleState*>(Allocate(-1, 0.5));
        bad_rock->rover_position = MIDDLE;
        bad_rock->rock_status = O_GOOD;
        particles.push_back(good_rock);

        bad_rock->rock_status = O_BAD;
        particles.push_back(bad_rock);

        return new ParticleBelief(particles, this);

        } else {
            cerr << "Unsupported belief type: " << type << endl;
            exit(1);
        }
}
```

The CreateStartState function is used to sample starting states in simulations. The starting state is generally sampled from the initial belief, but it may be sampled from a different distribution in some problems. Users may use the argument type to choose how the starting state is sampled.

#### Listing 12. Sample a starting state from the initial belief for SimpleRockSample

```
State* SimpleRockSample::CreateStartState(string type) const {
    return new SimpleState(MIDDLE, Random::RANDOM.NextInt(2)));
}
```

#### 2.2.4. Bound-related Functions

The heuristic search in DESPOT needed to be guided by upper and lower bounds on the infinite-horizon value that can be obtained on a set of scenarios. The DSPOMDP interface requires implementing the GetMinRewardAction function and the GetMaxReward function to construct the simplest such bounds (uninformative bounds).

The GetMinRewardAction function returns (a, v), where a is an action with largest minimum reward when it is executed, and v is its minimum reward. The minimum infinite-horizon value that can be obtained on a set of scenarios with total weight W is then bounded below by Wv / (1 - y), where y is the discount factor.

# Listing 13. Implementation of GetMinRewardAction for SimpleRockSample

```
ValuedAction SimpleRockSample::GetMinRewardAction() const {
    return ValuedAction(A_EAST, 0);
}
```

The GetMaxReward function returns the maximum possible reward  $R_{max}$  in a step, and the maximum infinite-horizon value that can be obtained on a set of scenarios with total weight W is then bounded above by  $WR_{max}/(1 - \gamma)$ , where  $\gamma$  is the discount factor.

## Listing 14. Implementation of GetMaxReward for SimpleRockSample

```
double SimpleRockSample::GetMaxReward() const {
    return 10;
}
```

# 2.2.5 Memory Management

DESPOT requires the creation of many State objects during the search. The creation and destruction of these objects are expensive, so they are done using the Allocate, Copy, and Free functions to allow users to provide their own memory management mechanisms to make these operations less expensive. We provide a solution based on the memory management technique in David Silver's implementation of the POMCP algorithm. The idea is to create new State objects in chunks (instead of one at a time), and put objects in a free list for recycling when they are no longer needed (instead of deleting them). The following code serves as a template of how this can be done. We have implemented the memory management class. To use it, you only need to implement the following three functions.

## Listing 15. Memory management functions for SimpleRockSample.

```
State* SimpleRockSample::Allocate(int state_id, double weight) const {
   SimpleState* state = memory_pool_.Allocate();
   state->state_id = state_id;
   state->weight = weight;
   return state;
}

State* SimpleRockSample::Copy(const State* particle) const {
   SimpleState* state = memory_pool_.Allocate();
   *state = *static_cast<const SimpleState*>(particle);
   state->SetAllocated();
   return state;
}

void SimpleRockSample::Free(State* particle) const {
   memory_pool_.Free(static_cast<SimpleState*>(particle));
}
```

# 2.3. Optional Functions

Accurate belief tracking and good bounds are important for getting good performance. An important feature of the DESPOT software package is the flexibility that it provides for defining custom beliefs and custom bounds. This will be briefly explained below.

### 2.3.1 Custom Belief

The solver package can work with any belief representation implementing the abstract <code>Belief</code> interface. A concrete belief class need to implement two functions: the <code>Sample</code> function returns a number of particles sampled from the belief, and the <code>Update</code> function updates the belief after executing an action and receiving an observation. To allow the solver to use a custom belief, create it using the <code>InitialBelief</code> function in the <code>DSPOMDP</code> class. See examples/cpp\_models/ for examples.

```
class Belief {
public:
    Belief(const DSPOMDP* model);

virtual vector<State*> Sample(int num) const = 0;
virtual void Update(int action, OBS_TYPE obs) = 0;
};
```

### 2.3.2 Custom Bounds

The lower and upper bounds mentioned in Section 2.2.4 are non-informative and generally only works for simple problems. This section gives a brief explanation on how users can create their own bounds. We shall focus on the lower bounds. Creating an upper bound is similar, and examples can be found in the code in examples/cpp\_models directory. Note that only GetMaxReward() and GetMinRewardAction() functions are required to be implemented if you don't want to use your own custom bounds. However, it is highly recommended that you build the bounds based on the domain knowledge because it often improves the performance.

A new type of lower bound is defined as a child class of the ScenarioLowerBound class shown in Listing 16. A ScenarioLowerBound object computes a lower bound for the infinite-horizon value of a set of weighted scenarios (as determined by the particles and the random number streams) given the action-observation history. The first action that need to be executed in order to achieve the lower bound value is also returned together with the value, using a ValuedAction object. The random numbers used in the scenarios are reprensented using a RandomStreams object.

### Listing 16. The ScenarioLowerBound interface

```
class ScenarioLowerBound {
  protected:
    const DSPOMDP* model_;

public:
    ScenarioLowerBound(const DSPOMDP* model);

/**
    * Returns a lower bound to the maximum total discounted reward over an
    * infinite horizon for the weighted scenarios.

*/
virtual ValuedAction Value(const vector<State*>& particles,
    RandomStreams& streams, History& history) const = 0;
};
```

You can customize your own lower bound by implementing your lower bound class inheriting from the abstract ScenarioLowerBound class. Alternatively, you can use ParticleLowerBound or Policy that already implemented in the solver package.

ParticleLowerBound and Policy are two types of lower bounds inheriting from ScenarioLowerBound class. A

ParticleLowerBound simply ignores the random numbers in the scenarios, and computes a lower bound for the infinitehorizon value of a set of weighted particles given the action-observation history. A Policy defines a policy mapping from the
scenarios/history to an action, and runs this policy on the scenarios to obtain a lower bound. The random number streams only
has finite length, and a Policy uses a ParticleLowerBound to estimate a lower bound on the scenarios when all the random
numbers have been consumed.

Listing 17 shows the interface of ParticleLowerBound. To use ParticleLowerBound, you need to implement Value function shown below.

## Listing 17. The ParticleLowerBound interface

```
class ParticleLowerBound : public ScenarioLowerBound {
public:
    ParticleLowerBound(const DSPOMDP* model);

/**

* Returns a lower bound to the maximum total discounted reward over an
    * infinite horizon for the weighted particles.

*/

virtual ValuedAction Value(const vector<State>& particles) const = 0;
}
```

Listing 18 shows the interface of Policy. To use Policy, you need to implement Action function shown below.

## Listing 18. Code snippet from the Policy class.

As an example of a Policy, the following code implements a simple fixed-action policy for SimpleRockSample.

### Listing 19. A simple fixed-action policy for SimpleRockSample.

You can read the code in examples/cpp\_models directory for more lower bound classes implemented for other tasks, for example, PocmanSmartPolicy, the policy implemented for Pocman [4] task.

After you have implemented the lower bound class, you need to add your lower bound to the solver. The DSPOMDP interface allows user-defined lower bounds to be easily added by overriding the CreateScenarioLowerBound function in the DSPOMDP interface. The default implementation of CreateScenarioLowerBound only supports the creation of the TrivialParticleLowerBound, which returns the lower bound as generated using GetMinRewardAction.

## Listing 20. DSPOMDP code related to supporting user-defined lower bounds.

```
class DSPOMDP {
public:
    virtual ScenarioLowerBound* CreateScenarioLowerBound(string name = "DEFAULT",
    string particle_bound_name = "DEFAULT") {
        if (name == "TRIVIAL" || name == "DEFAULT") {
            scenario_lower_bound_ = new TrivialParticleLowerBound(this);
        } else {
            cerr << "Unsupported scenario lower bound: " << name << endl;
        exit(0);
    }
}
</pre>
```

The following code adds this lower bound to SimpleRockSample and sets it as the default scenario lower bound.

#### Listing 21. Adding SimpleRockSampleEastPolicy.

Once a lower bound is added, and the package is recompiled, then users can choose to use it by setting the -I option when running the package. For example, both of the following commands use SimpleRockSampleEastPolicy(assume the name of the task package is simple\_rs). You can refer to /doc/Usage.txt file for the usage of command line options.

```
1 ./simple_rs --runs 100
2 ./simple_rs --runs 100 -1 EAST
```

# 3. Other Examples

See examples/cpp\_models for more model examples. We implemented the cpp models for Tiger [3], Rock Sample [2], Pocman [4], Tag [5], and many other tasks in examples/cpp\_models. It is highly recommended that you read from those example models to get a better understanding on how to implement them.

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