

09/01/19

$c = 0;$   $\star$

for ( $i=0; i < 1024; i = i * 2$ )

{

$c = c + 1;$  → say, takes a

const. time  $x$  on

}

a particular m/c.

printf ("%d", c);

so loop runs

inf. no. of times

$\star \Rightarrow$  if  $i=1$ , then  $c=9 \Rightarrow$  depends on how many times the loop is repeated

$2^1$

$2^2$

$2^3$

$2^x$

→ after  $x$  no. of iterations.

So,  $2^x < 1024$

$\Rightarrow x < (\log_2 1024)$

Particularly useful when its 1024 is large i.e. 30456 etc.

CO

- 1) Analyze & compare the efficiency of algo in terms of running time using asymptotic analysis
- 2) Understand how to write the proof of correctness of algo
- 3) Be familiar with different algorithmic strategies such as  
brute-force, greedy, divide-and-conquer, backtracking,  
branch-&-bound, heuristics-based, etc.
- 4) Describe major algorithms, such as graph & tree algorithms,  
randomized algo, pattern matching algo, etc & analyze  
the worst case running time of these algo using asymptotic analysis
- 5) Synthesize efficient algo in common engineering design situations.

## Slide 1: Why study Algo?

- Imp. for all other branches of CS
- Plays a key role in modern technological innovation
- "Everyone knows Moore's Law - a prediction made in 1965 by Intel . . . . . density of transistors in IC would continue to double every 1-2 yr."



Eg: All permutations of 100 numbers  $\Rightarrow 2^{100}$

Say, 1 ns for a computation

$$\text{So, } 2^{100} \text{ ns} = 111 \text{ hrs.}$$

Even after considering Moore's Law & speedy hardware, lot kind of probs can't be solved in efficient time

- Plays a key role in modern technological innovation
- Provides novel "lens" on processes outside of CS & techology
  - challenging
  - fun

Eg: Prime No. checking  $\rightarrow O(\sqrt{n})$

Eg: ~~Finding two prime factors of a no.~~  $\rightarrow$  Complexity?

At every step, the qs. should be put  $\Rightarrow$  "Can we do it better?"

## Integer Multiplication

Input: 2 n-digit numbers  $x$  &  $y$

Output: Product  $x * y$

"Primitive Operation" — add or multiply 2 single-digit numbers

(Efficient algo)  
This becomes essential when there are say, 20-digit numbers.

Eg:

$$\begin{array}{r} 5678 \\ \times 1234 \\ \hline 22712 \\ 17039 - \\ 11356 - - \\ 5678 - - \\ \hline 7006652 \end{array}$$

Roughly  $n$ -operations per row upto a const.

# of operations overall:  
 $\sim \text{const.} \cdot n^2$

## A Recursive Algo

$$x = 10^{n/2}a + b \quad \& \quad y = 10^{n/2}c + d$$

a, b, c, d are  $n/2$  digit no.

Eg:  $a = 56$   
 $b = 78$   
 $c = 12$   
 $d = 34$

$$\therefore x \cdot y = (10^{n/2}a + b)(10^{n/2}c + d)$$

$$= 10^n ac + 10^{n/2}(ad + bc) + bd \quad \text{--- ①}$$

(4 products)

Recursively compute  $ac, ad, bc, bd$  & then compute

① in the obvious way

$$5678 = 10^2 \cdot 56 + 78$$

After developing/designing the algo, we have to do analysis of the algo to know whether it is better than the prev.

11/01/19

## 1.1 Algorithms

Algo. <sup>any</sup> well-defined computational procedure that takes some value/a set of values, as i/p & produces some value, or set of values as o/p

or a method of solving a prob., using a sequence of well-defined steps

Eg: Sorting Problem

I/P: a seq of n nos.  $\langle a_1, a_2, \dots, a_n \rangle$

O/P: A permutation of the i/p sequence s.t.  
 $a'_1 \leq a'_2 \leq \dots \leq a'_n$

Every step should be as well-defined as, a child can understand & implement each step using his/her school-level maths

### Sorting Problem

→ choose one permutation out of all possible permutations of n nos.

Step 1 : Compute all permutations

Step 2 : For each permutation, P, check if P is sorted or not

if P is sorted, goto step 3

Step 3 : Print sorted list

Most of the sorting algo looks into the pattern of input.  
Say, i/p is partially ordered

- |                   |  |       |
|-------------------|--|-------|
| 1. Selection sort | Comparison based<br>solving a loop.                  | (CSA) |
| 2. Bubble "       |  |       |
| 3. Insertion "    |  |       |
| 4. Quick "        | Then: CSA - can't be a comparison<br>$< O(n \log n)$ |       |
| 5. Merge "        |  |       |
| 6. Heap "         |  |       |
| <hr/>             |  |       |
| 7. Counting "     |  |       |
| 8. Bucket "       |  |       |
| 9. Radix "        |  |       |

Cover slides:

Analysis of algo:

The theoretical study of computer-prog performance & resource

usage.

More imp than performance

- modularity → use of modules
- correctness → robustness
- maintainability →
- functionality →
- robustness →

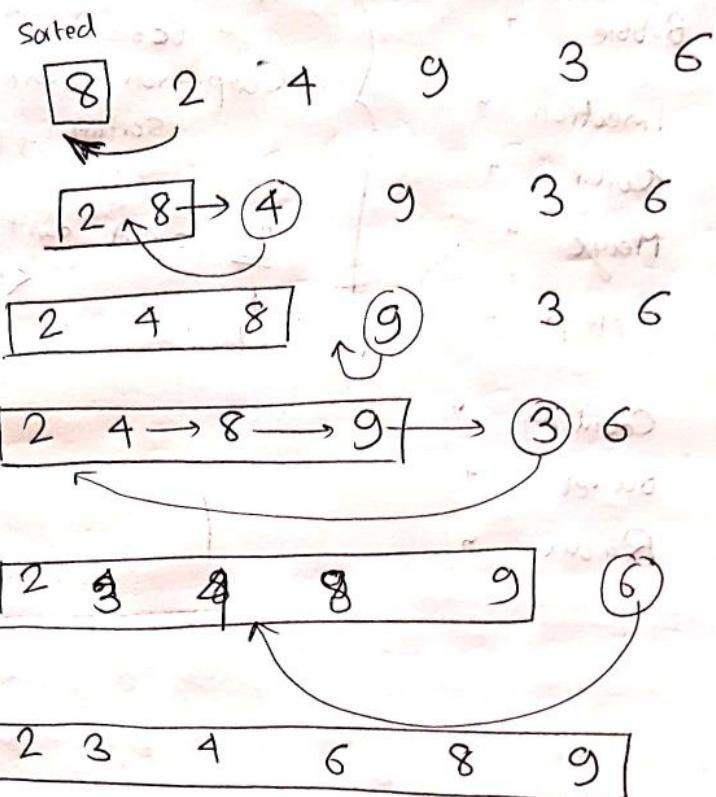
# Analysis of Sorting Algo

(CLS)  
slides

16/01/19

Insertion Sort: (algo)

INSERTION-SORT ( $A, n$ )



## Running Time

- Running time depends on i/p: an already sorted seq is easier to sort
- Parameterize the running time by the size of the i/p, since short sequences are easier to sort than long ones
- Generally we set upper bounds on running time, bcos everybody likes a guarantee

→ able to do basic computation

basic arithmetic operators (add%, looping, branching, etc)

## Kinds of Analysis

Worst case (usually)

$T(n) = \max$  time of algo on any i/p of size n

Avg case (sometimes)

$T(n) = \text{avg time of algo over all i/p of size } n.$

M/c independent time

Big Idea: ignore m/c dependent const

Look at growth of  $T(n)$  as  $n \rightarrow \infty$

« Asymptotic Analysis

for

$j \leftarrow 2$  to  $n$   
do insert  $A[j]$  into sorted  $A[1:j-1]$

$i \leftarrow j-1$

while  $i > 0$  and  $A[i] > \text{key}$

do  $A[i+1] \leftarrow A[i]$

$A[i+1] = \text{key}$

Cost      Time  
 $c_1$        $(n)$

$c_2$        $(n-1)$

$c_3$        $(n-1)$

$c_4$        $\sum_{j=2}^n t_j$

$c_5$        $\sum_{j=2}^n (t_j - i)$

$c_6$        $\sum_{j=2}^n (t_j - i)$

$\rightarrow C_1$  will be executed  $n-1 + 1$  times  
 false checking last trip

$\rightarrow$  for a particular  $j$ , inner loop executed  $t_j$  times

$$T(n) = C_1 n + C_2(n-1) + C_4(n-1)$$

$\downarrow$   
 total running time  
 depends on I/p  
 size  $n$

$$+ C_5 \sum_{j=2}^n t_j + C_6 \sum_{j=2}^n (t_j - 1)$$

$$+ C_7 \sum_{j=2}^n (t_j - 1) + C_8(n-1)$$


---

When best case occurs: (when i/p array is sorted)

Innermost loop will be executed only once

So,  $t_j = 1$  ( $\because$  need to check condition i.e.  $A[i] > key$   
 & it will be false)

$$\therefore T(n) = C_1 n + C_2(n-1) + C_4(n-1)$$

$$+ C_5(n-1) + C_8(n-1)$$

$$= an + b \Rightarrow \text{Linear in i/p size}$$

where  $a$  &  $b$  are constants

When worst case occurs: (when i/p is sorted in reverse order)

Here,  $t_j = j$  (In worst case, innermost loop will be executed  $j$  times)

$\Downarrow$   
 $j-1$  shifting operation

+  
 1 checking to make the loop false)

$$\therefore T(n) = c_1 n + c_2 (n-1) + c_4 (n-1) + c_5 \sum_{j=2}^n j + c_6 \sum_{j=2}^n (j-1)$$

$$\sum_{j=2}^n j = \frac{n(n+1)}{2} - 1$$

$$\sum_{j=2}^n (j-1) = \frac{n(n-1)}{2}$$

~~cancel~~

$$+ c_7 \sum_{j=2}^n (j-1) + c_8 (n-1)$$

$$= c_1 n + c_2 (n-1) + c_4 (n-1) + c_5 \left( \frac{n(n+1)}{2} - 1 \right)$$

$$+ c_6 \left( \frac{n(n-1)}{2} \right) + c_7 \left( \frac{n(n-1)}{2} \right) + c_8 (n-1)$$

$$= \left( \frac{c_5}{2} + \frac{c_6}{2} + \frac{c_7}{2} \right) n^2 + \left( c_1 + c_2 + c_4 + \frac{c_5}{2} - \frac{c_6}{2} - \frac{c_7}{2} + c_8 \right) n$$

$$- (c_2 + c_4 + c_5 + c_8)$$

$$= a n^2 + b n + c \quad (\text{quadratic in nature})$$

When avg case occurs?

depends on the distribution of all possible inputs

(XEROX)

## Average case analysis of Insertion Sort

21/01/19

The goal

Insertion

(CRAMER, 1750)

Assume: that every one of  $n!$  different permutations of the  $n$  items are equally likely as input

A permutation  $\pi = (\pi_1, \pi_2, \dots, \pi_n)$  of  $1 \dots n$  is simply a list of numbers between 1 and  $n$  in some order.

$(\pi_i, \pi_j)$  is called inversion if  $i < j$ , but

$$\pi_i > \pi_j$$

one of the inversion

Eg:  $\pi = [3 \ 5 \ 1 \ 4 \ 2]$

has inversion  $(1, 3), (1, 5), (2, 3), (2, 4), (2, 5), (4, 5)$

Say for eg,

$\pi$  is already in ascending order.

Eg:  $\pi = [1, 2, \dots, 5] \Rightarrow$  it has no inversion

Total

for eg,  $\pi$  is in reverse order

$$\pi = [5, 4, 3, 2, 1]$$

It has 10 inversions  
max no. of inversions  $\left(\begin{array}{c} n \\ 2 \end{array}\right)$

The goal of sorting is to remove inversion.

(Our objective is to go from max. no. of inversion to 0 no. of inversions)

For  $\pi = (5 \ 4 \ 3 \ 2 \ 1)$

if 4 is 3

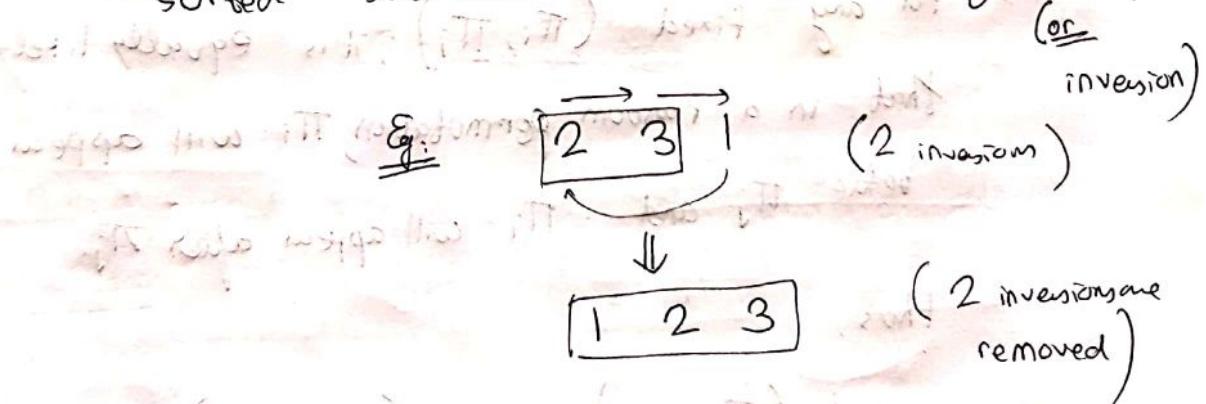
& 4 is swapped, one iteration is used.

We have,  $\pi = (5 \ 3 \ 4 \ 2 \ 1)$ .

So, Swapping a part of Insertion sort an adjacent pair of positions that are out of order, decreases the no. of inversions by exactly 1.

But for analysis of our algo we assume that,

Shifting right & inserting an element into the sorted sub-list is actually removing swaps.



Total no. of swaps performed by Insertion sort

= no. of inversions present in the input

So,  $I_{i,j} = \begin{cases} 1 & \text{if } (\pi_i, \pi_j) \text{ is an inversion} \\ & (\text{means } i < j \wedge \pi_i > \pi_j) \\ 0 & \text{if not inversion} \end{cases}$

a Boolean variable

Total no. of inversions / swaps,

$$I = \sum_{\substack{i < j \\ \pi_i > \pi_j}} I_{i,j}$$

Now, the ques is, what is the expected no. of inversion for any possible i/p randomly chosen from  $n!$  different permutations of  $n$  items?

$E(I)$  → Expected value of  $I$

$$= E\left(\left[\sum_{i < j} I_{i,j}\right]\right), \forall i, \forall j$$

$$= \sum_{i < j} E(I_{i,j}), \forall i, \forall j \quad \text{★}$$

Next ques, What is the value of  $E(I_{i,j})$ ?

→ For any fixed  $(\pi_i, \pi_j)$ , it is equally likely that, in a random permutation,  $\pi_i$  will appear before  $\pi_j$  and  $\pi_j$  will appear after  $\pi_i$ .

Thus,

$$\text{prob}(I_{i,j} = 1) = \text{prob}(I_{i,j} = 0) = \frac{1}{2}$$

Eg:

1, 2, 3

→ 3 nos  
6 possible permutat

1, 2, 3
1, 3, 2
2, 1, 3
2, 3, 1
3, 1, 2
3, 2, 1

$$\text{prob}(I_{1,2} = 1) = 3/6$$

$$\text{prob}(I_{1,2} = 0) = 3/6$$

$$\text{So prob.} = 1/2$$

So,  
 $E(I)$

So, from

If

To

∴ The

ha

Import

D

So,

$$\begin{aligned}
 E(I_{i,j}) &= 1 \cdot \text{prob}(I_{i,j} = 1) + 0 \cdot \text{prob}(I_{i,j} = 0) \\
 &= 1 \cdot \frac{1}{2} + 0 \cdot \frac{1}{2} \\
 &= \frac{1}{2}
 \end{aligned}$$

So, from ~~(\*)~~,

$$\begin{aligned}
 E &= \sum_{\substack{i \neq j \\ i < j}} E(I_{i,j}) \\
 &= \sum_{\substack{i \neq j \\ i < j}} \frac{1}{2} = \frac{1}{2} \cdot \sum_{\substack{i \neq j \\ i < j}} 1 \\
 &= \frac{n(n-1)}{4}
 \end{aligned}$$

If the i/p is sorted in reverse order.

$$\text{Total no. of inversions} = \binom{n}{2} = \frac{n(n-1)}{2}$$

~~The avg. case running time of insertion sort  
half of the worst case running time~~

### Algorithm Design :

Important issue is the Programmer's Tool Box

↓  
try tools available in our tool box to  
solve the problem.

## Important Paradigms

- ① → Divide & Conquer
- ② → Greedy Approach
- ③ → Dynamic Programming Approach
- ④ → Approximate Algorithms

(Solved later)

Summarizing, we map elements to a divide-and-conquer solution way.

- Divide (the problem into a smaller no. of pieces)
- Conquer (solve each piece by applying divide-and-conquer recursively to it)
- Combine (the pieces together into a global solution)



## Recurrence relations

## Merge Sort

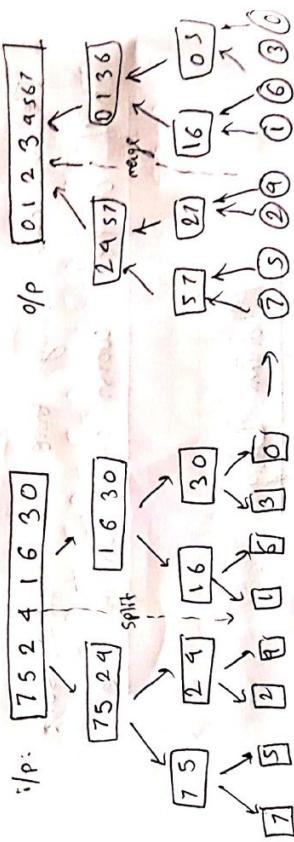


Figure 4: MergeSort

↙ arrow is necessary  
but its actually a  
Reactive cell

In each recursive call, input size is divided by 2.

~~Merge Sort~~ (array A, int p, int r) {  
    if ( $p < r$ ) {  
        divide      q =  $(p+r)/2$       c<sub>1</sub>  
        conquer  
    }  
}

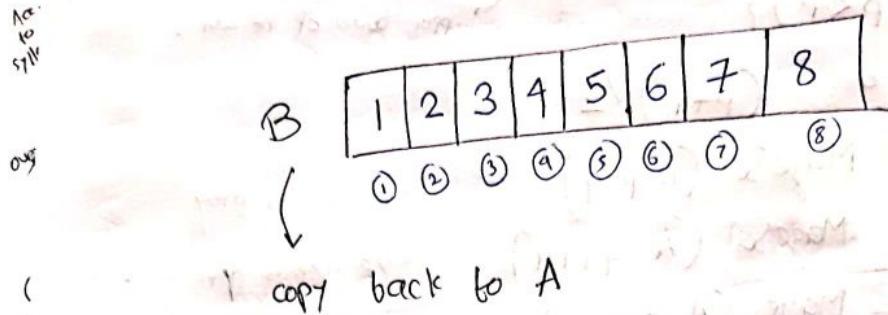
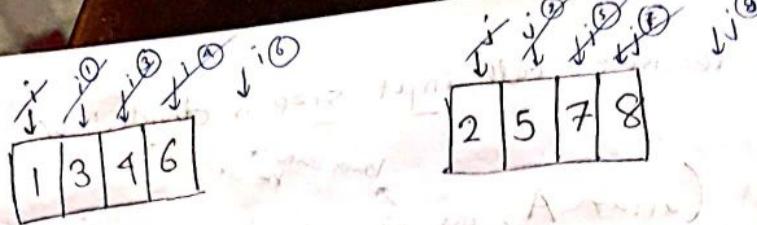
$T\left(\frac{n}{2}\right)$       MergeSort(A, p, q)       $\rightarrow$  if size is prop  
                    {  
            conquer      MergeSort(A, q+1, r)       $\rightarrow$  if size is prop + 1 to r  
                    }  
                    combine      Merge(A, p, q, r)       $\rightarrow$  k n  
                    {  
            divide      q =  $(p+r)/2$       c<sub>2</sub>  
            conquer  
            combine  
                    }  
    }  
}

$\sum \left\{ \frac{n}{2} T\left(\frac{n}{2}\right) + C_1 + C_2 \right\}$   
 $\max^n$  running time is needed for this procedure  
 $= O(n^2) + O(n) + O(n) = O(n^2)$

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Merge (array A, int p, int q, int r) {  
    if (A[p:r] != A[q:r]) {  
        merge B[p:r] + A[q:r] + C[r:p] = A[p:r]  
    }

$i = p$        $j = q$        $k = r$       c<sub>1</sub>  
    while ( $i < q$  and  $j < r$ ) {  
        if (A[i] <= A[j]) B[k++] = A[i++]  
        else B[k++] = A[j++]  
    }  
    c<sub>2</sub>  
    c<sub>3</sub>  
3 while loops together can execute at most n-times where



Supposing the 3 while-loops execute  $n_1, n_2 times,$

$$T(n) = c_1 + c_2 + c_3 n_1 + (n_1 - 1)c_4 + c_5 n_2 \\ + c_6 n_3 + c_7 n$$

$$= c_1 + c_2 + c_3 n_1 + c_4 n_1 - c_4 + c_5 n_2 + c_6 n_3 \\ + c_7 n$$

$$T(n) = (c_3 n_1 + c_4 n_1 + c_5 n_2 + c_6 n_3) \\ + c_7 n + c_1 + c_2 - c_4$$

$$\leq (c_3 n + c_4 n + c_5 n) + c_7 n + c_1 + c_2 - c_4$$

$$\leq (c_3 + c_4 + c_5)n + c_1 + c_2 - c_4 \quad (\because n_1 + n_2 + n_3 = n)$$

$$\leq an + b$$

So the upper bound of this merge procedure is linear in i/p size.

For convenience of computation, we say,

$$T(n) = k \cdot n$$

also a constant.

So, our org func<sup>Y</sup>  $\star$

running time for Merge Sort

$T(n) \cdot (\text{where } n \text{ is the i/p size})$

recurrence relation

$$T(n) = c_1 + c_2$$

$$+ T(\lfloor \frac{n}{2} \rfloor) + T(\lceil \frac{n}{2} \rceil) + kn$$

if  $n=1$ ,

$$T(1) = 1$$

$$T(n) \leq T(\lceil \frac{n}{2} \rceil) + T(\lceil \frac{n}{2} \rceil) + cn$$

$$\leq 2T(\lceil \frac{n}{2} \rceil) + cn$$

we are taking ceiling always, so can simply remove it

$$\leq 2(2T(\lceil \frac{n}{4} \rceil) + cn) + cn$$

$$\leq 2^2 T(\lceil \frac{n}{4} \rceil) + cn + cn$$

$$\leq 2^2 T(\lceil \frac{n}{4} \rceil) + 2cn$$

At step  $x$ ,

$$T(n) \leq 2^x \cdot T\left(\frac{n}{2^x}\right) + x \cdot cn$$

$\star_2$

Say at step  $x$ ,  $T(\lceil \frac{n}{2^x} \rceil) = T(1)$  ~~is~~

$$\Rightarrow \frac{n}{2^x} = 1$$

$$\Rightarrow x = \log_2 n$$

$\star_3$

from  $\star_1$  &  $\star_2$

$$T(n) \leq 2^{\log_2 n} T(1) + c n \log_2 n$$

$$\leq n + c n \log_2 n$$

(upper bound of the running time of the procedure)

So,

Insertion Sort

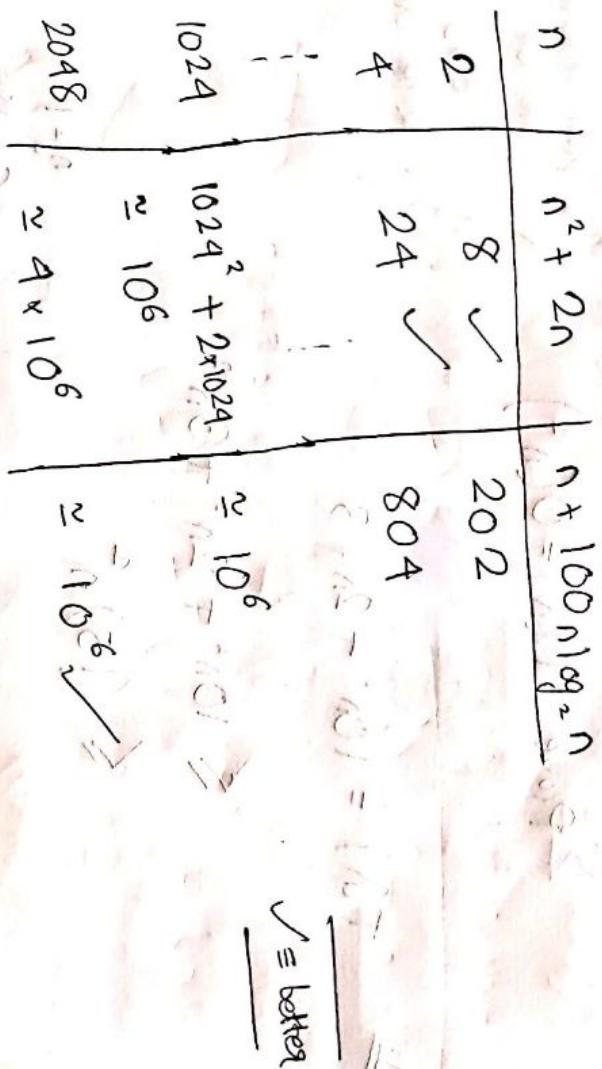
$$T(n) = an^2 + bn + c$$

Merge Sort

$$T(n) = n + c n \log_2 n$$

value of  $a, b, c$  depends on what type of computer, this algo is actually run

Suppose :



We are actually doing the asymptotic analysis of the algo.

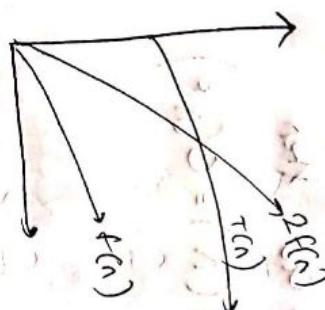
### ↓ Big-Oh: Formal Def'.

Formally:  $T(n) = \Theta(f(n))$  if and only if there exist constants

$C, n_0 > 0$  s.t.

$$T(n) \leq C \cdot f(n)$$

for all  $n \geq n_0$



Warning:  $C$ , no const depend on  $n$

$$\text{Ex: } T(n) = 10n^2 + 2n + 3$$

$$\text{Prove } T(n) = O(n^2)$$

to prove we have  
find some  $n_0$ .

$C > 0$ ,  
& the const.

$$T(n) = 10n^2 + 2n + 3$$

$$\leq 10n^2 + 2n^2 + 3n^2$$

$$\leq (15)n^2$$

$$\begin{cases} n_0 = 1 \\ C = 15 \end{cases}$$

T

Ex #1

Claim: if  $T(n) = a_k n^k + \dots + a_1 n + a_0$  then

$$T(n) = O(n^k)$$

Proof:

Choose  $n_0 = 1$  &

$$c = |a_k| + |a_{k-1}| + |a_{k-2}| + \dots + |a_0|$$

Need to show that:  $\forall n \geq 1, T(n) \leq c \cdot n^k$

We have, for every  $n \geq 1$

$$T(n) \leq |a_k|n^k + \dots + |a_1|n + |a_0|$$

$$\leq |a_k|n^k + \dots + |a_1|n^k + |a_0|n^k$$

$$\leq c \cdot n^k$$

NOTE: Ignore the lower Order term, take the most dominating term (highest order term)

$$T(n) = O(n^2) = O(n^3) = O(n^4)$$

Eg:  $T(n) = 10n^2 + 2n + 3$

$$\leq 10n^3 + 2n^3 + 3n^3$$

$$\leq 15n^3$$

$$= O(n^3) \quad \text{also true}$$

But we do

$$\leq 10n^2 + 2n^2 + 3n^2$$

$$\leq 15n^2$$

$$= O(n^2)$$

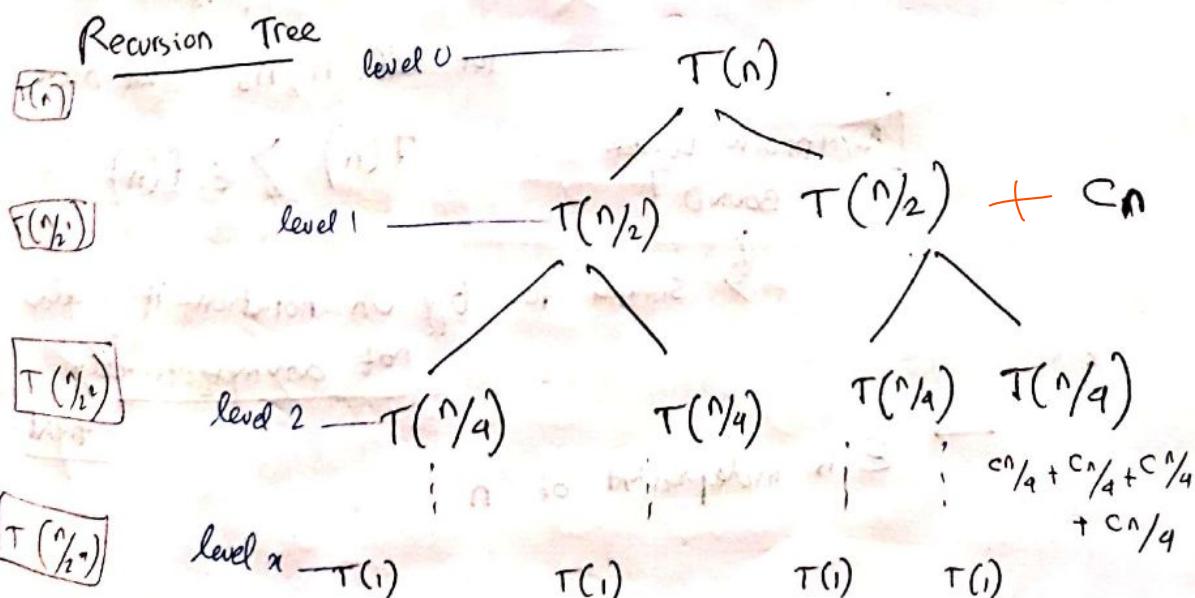
Tight Upper Bound

Whereas we compute Big-O notation  
we'll try to compute

$$T(n) \leq 2T(n/2) + Cn$$

01/02/19

$$T(1) = 1$$



Finally,

$$T(n) = \sum_{i=1}^x cn$$

$$\text{i.e. } cn + cn + \dots + cn \quad (x - \text{times})$$

$n$  is reducing by 2 in each step

$$\frac{n}{2^x} = 1$$

$$\Rightarrow x = \log_2 n$$

So,  $= cn \log_2 n$

### Asymptotic Order

(we compare 2 algos  
by this notation)

Omega  
Notation

:  $\Omega$

Defn:  $T(n) = \Omega(f(n))$  if there exist constants  $c > 0$

and  $n_0 > 0$ , so that

for all  $n > n_0$ , we have

[ASYMPTOTIC LOWER  
BOUND]

$$T(n) \geq c \cdot f(n)$$

Similar to Big-Oh notation, it is also  
not asymptotically tight.

$\epsilon$  is independent of  $n$

Q Check whether  $T(n) = pn^2 + qn + r = \Omega(n^2)$  ?

$$\therefore T(n) = pn^2 + qn + r$$

$$> pn^2$$

(if  $p, q, r$  are all ~~pos~~ constants)

If  $n_0 = 1$ ,  $\forall n > n_0$  this cond' holds.

So according to def' of  $\Omega$ ,

$$= \Omega(n^2)$$

$$\epsilon = p$$

Theta Notation

$\Theta$

This is asymptotically tight bound

(both from below & upper we hv same kind of func')

Def'n:  $T(n) = \Theta(f(n))$  if

$T(n)$  is both  $O(f(n))$  and also

$\Omega(f(n))$

Eg:  $T(n) = pn^2 + qn + r$

Here,  $T(n) = O(n^2)$  and also

$T(n) = \Omega(n^2)$

$\therefore T(n) = \Theta(n^2)$

Actual

Idea

$T(n)$  grows exactly like  $f(n)$  to within a constant factor.

Show that:  $(\ln n)^k = O(n^\alpha)$

A. HV to use LIMIT METHOD

Limit Method

Def' of  
Big-Oh  
using Limit  
Method

$T(n) = O(f(n))$  if

$$\lim_{n \rightarrow \infty} \left( \frac{T(n)}{f(n)} \right) = c < \infty$$



including the  
case when  
 $c = 0$

Intuition:  $T(n)$  becomes insignificant relative to  $f(n)$

or this ratio converges to positive const. as  
 $n \rightarrow \infty$

~~Ques.~~ Say given,  $T(n) = 4n^3 + 10n^2 + 5n + 1$

Show that,

$$T(n) = O(n^4)$$

$$f(n) = n^4$$

$$\lim_{n \rightarrow \infty} \left( \frac{4n^3 + 10n^2 + 5n + 1}{n^4} \right) = \lim_{n \rightarrow \infty} \left( \frac{4}{n} + \frac{10}{n^2} + \frac{5}{n^3} + \frac{1}{n^4} \right)$$

$$= 0 \quad (\text{satisfies } \star)$$

Hence, proved that  $T(n) = O(n^4)$

Def'N of  
Big- $\Omega$   
using Limit  
Method

$T(n) = \Omega(f(n))$  if

$$\lim_{n \rightarrow \infty} \left( \frac{T(n)}{f(n)} \right) = c > 0$$

including the case,  
where limit is  $\infty$

Intuition:  $T(n)$  becomes arbitrarily large relative to  $f(n)$  or this ratio converges to a positive constant as  $n$  goes to  $\infty$  (infinity)

Def'N of  
 $\Theta$   
using Limit  
Method

:  $T(n) = \Theta(f(n))$  if

$$\lim_{n \rightarrow \infty} \left( \frac{T(n)}{f(n)} \right) = c$$

where  $c$  is some constant such that

$$0 < c < \infty \quad (\text{not } 0, \text{ not } \infty)$$

Intuition: The ratio of  $T(n)$  and  $f(n)$  converges to a positive constant as  $n \rightarrow \infty$

So, if  $T(n) = \Theta(f(n))$

$$\Rightarrow \begin{cases} T(n) \leq c_1 f(n) \\ T(n) \geq c_2 f(n) \end{cases}$$

Definitely  $c_1 > c_2$

$$\text{bcos } c_2 f(n) \leq T(n) \leq c_1 f(n)$$

$$c_2 \leq \frac{T(n)}{f(n)} \leq c_1$$

The ratio of  $T(n)$  &  $f(n)$  lies betw/  $c_1$  &  $c_2$

$\Rightarrow$  so always a r/w const betw  $0$  &  $\infty$

Eg:  $T(n) = \cancel{O(n^3)} 4n^3 + 10n^2 + 5n + 1$

$$f(n) = n^2$$

Show that :  $T(n) = \Omega(f(n))$

$$\lim_{n \rightarrow \infty} \left( \frac{4n^3 + 10n^2 + 5n + 1}{n^2} \right) = \infty$$

Hence,  $T(n) = \Omega(n^2)$

Eg:  $T(n) = Pn^2 + qn + r$   $T(n) = O(n^2)$

A.  $\lim_{n \rightarrow \infty} \left( \frac{T(n)}{f(n)} \right)$

$$= \lim_{n \rightarrow \infty} \frac{Pn^2 + qn + r}{n^2}$$

$$= \lim_{n \rightarrow \infty} \left( P + \frac{q}{n} + \frac{r}{n^2} \right)$$

$$= P$$

Hence proved that,

$$T(n) = O(n^2)$$

Relation betw'  $O$ ,  $\Omega$ ,  $\Theta$  (represented by Venn diag)

Little  
-Oh

:  $T(n) = o(f(n))$  if

$$\lim_{n \rightarrow \infty} \left( \frac{T(n)}{f(n)} \right) = 0$$

Little  
Omega

:  $T(n) = \omega(f(n))$  if

$$\lim_{n \rightarrow \infty} \frac{T(n)}{f(n)} = \infty$$

Q ~~to prove~~ For all  $k > 0$ ,  
~~show that~~ show that  $(\ln n)^k = O(n^\alpha)$

A:  $\lim_{n \rightarrow \infty} \frac{(\ln n)^k}{n^\alpha}$

Using L'Hospital rule,

$$\left[ \lim_{n \rightarrow \infty} \left( \frac{T(n)}{f(n)} \right) = \lim_{n \rightarrow \infty} \frac{T'(n)}{f'(n)} \right]$$

H.W.

→ Always look for algebraic simplifications

→ You must give rigorous proof

→ Using limit method (if possible) is the best

→ Apply L'Hospital's rule if need be

→ Give as simple (& tight) expressions as possible

## Divide & Conquer Algo.

Master Theorem

$$T(n) = aT\left(\frac{n}{b}\right) + O(n^d)$$

for some constants  $a \geq 1, b \geq 1$

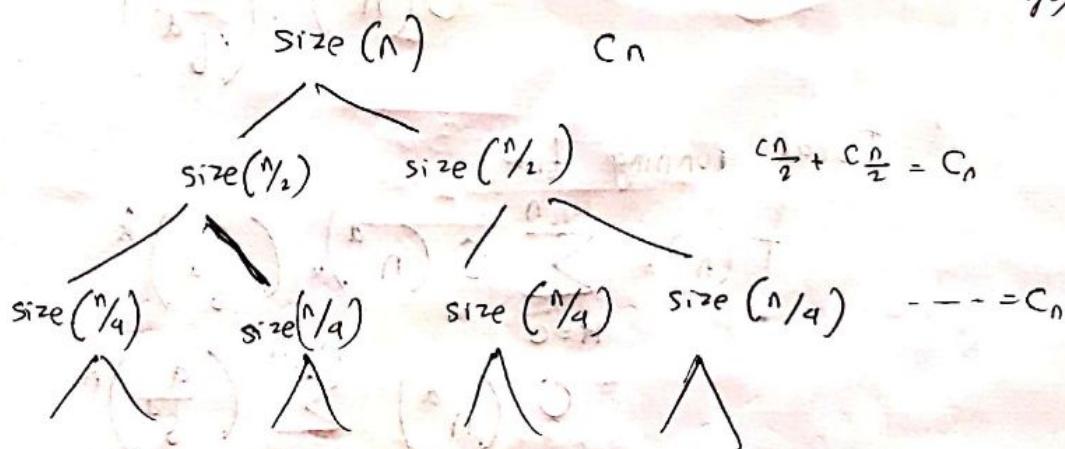
and  $d \geq 0$

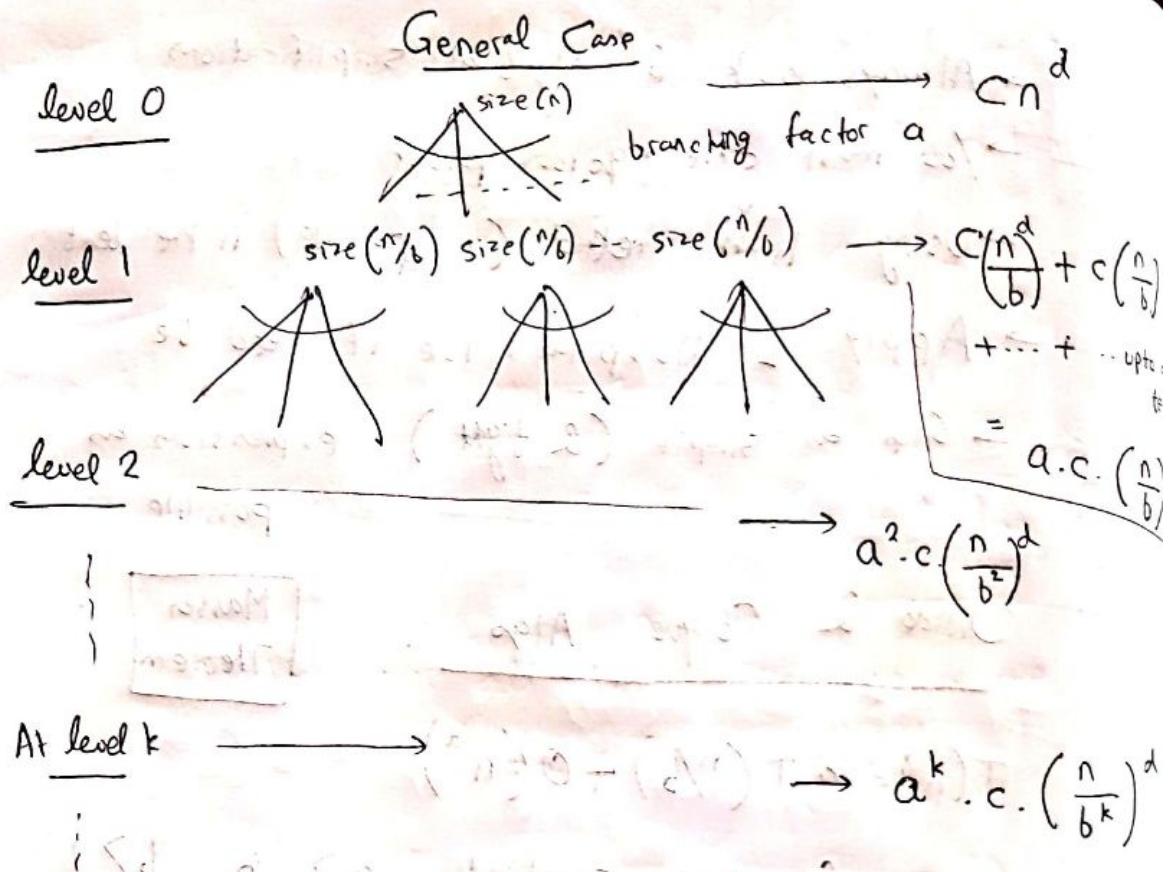
$$T(n) = \begin{cases} O(n^d) & \text{if } d > \log_b a \\ O(n^{\log_b a}) & \text{if } d = \log_b a \\ O(n^{\log_b a}) & \text{if } d < \log_b a \end{cases}$$

how to check  
relationship  
betw  
d, b, a

Eg:  $a=2, b=2, d=1$  i.e.  $T(n) = 2T\left(\frac{n}{2}\right) + O(n)$

(recurrence eqn. for Merge Sort algo)





At level  $\alpha$ , say,  $\frac{n}{\alpha} = 1 = \frac{b}{b}$

$$x = \log_6 n$$

$\therefore$  At level  $k$ ,  $a^k \cdot c \cdot \left(\frac{n}{b^k}\right)^d$

$$= a^k \cdot O\left(\left(\frac{n}{b^k}\right)^d\right)$$

$$= O(n^d) \cdot \left(\frac{a}{b^d}\right)^k$$

Total running time

$$T(n) = \sum_{k=0}^{\log_b n} O(n^d) \cdot \left(\frac{a}{b^d}\right)^k$$

$$= O(n^d) \cdot \sum_{k=0}^{\log_b n} \left(\frac{a}{b^\alpha}\right)^k$$

$$= O(n^d) \cdot \sum_{r=0}^{\log_b n} r^k$$

$$= O(n^d) \cdot \sum_{r=0}^{\log_b n} r^k \quad \text{where } r = \left(\frac{a}{b}\right)^k$$

Since we are doing asymptotic analysis, we have to get either the highest or lowest term of the sequence,

based on the value of  $r = \left(\frac{a}{b^d}\right)$

Case  
①

ratio is  $\leq 1$  ( $r \leq 1$ )

{ based on = 1  
< 1  
> 1

Then the series is decreasing

and its sum is just given by the first term ( $\therefore$  highest term)

$$\text{first term} = \left(\frac{a}{b^d}\right)^0 = 1$$

$$T(n) = O(n^d) \cdot 1 = O(n^d)$$

Now,  $r < 1$

$$\Rightarrow \frac{a}{b^d} < 1 \Rightarrow d > \log_b a$$

Case  
②

the ratio is  $> 1$  ( $r > 1$ ) :

$$\Rightarrow \frac{a}{b^d} > 1 \Rightarrow d < \log_b a$$

The series is increasing and its sum is given by the last term

$$\therefore T(n) = O\left(n^d \left(\frac{a}{b^d}\right)^{\log_b n}\right)$$

$$= n^d \left(\frac{a^{\log_b n}}{(b^{\log_b n})^d}\right)$$

$$= n^d \left(\frac{a^{\log_b n}}{n^{d \log_b n}}\right) = \cancel{n^d}^{\log_b a} a^{\log_b n}$$

$$\begin{aligned}
 & (\log_b n) \cdot (\log_b a) \\
 & = a \\
 & \quad \log_b a \\
 & = n
 \end{aligned}
 \quad \left| \begin{array}{l} \log_b n \\ = \log_b n \times \log_b a \end{array} \right.$$

Case (2)

The ratio = 1 ( $r=1$ )

$$\frac{a}{b^d} = 1 \Rightarrow d = \log_b a$$

In this case, all terms of the series are

equal to  $O(n^d)$

$$\begin{aligned}
 \therefore T(n) &= O(n^d \log_b n) \\
 &= O(n^d \log n)
 \end{aligned}$$

$$\because \log_b n = \frac{\log n}{\log b}$$

$$\Rightarrow O(\log_b n) = O(\log n)$$

### Integer Multiplication

$$\begin{array}{r}
 \overline{12} \\
 \times \overline{13} \\
 \hline
 36 \\
 12 \times \\
 \hline
 \end{array}$$

$O(n^2)$

~~mult / additions bcs~~  
1 mult for  
each digit

$$\therefore T(n) = O(n^2)$$

on multiplying 2 n-digit no.

Say we hv 2 nos.  $x, y$

$$x = (\overbrace{x_1, \dots, x_0}^{\frac{n}{2}}, \underbrace{x_1, \dots, x_0}_{\frac{n}{2}})$$

$$y = (\overbrace{y_1, \dots, y_0}^{\frac{n}{2}}, \underbrace{y_1, \dots, y_0}_{\frac{n}{2}})$$

$$\therefore x = x_1 \cdot 2^{\frac{n}{2}} + x_0$$

$$y = y_1 \cdot 2^{\frac{n}{2}} + y_0$$

Both  $x$  &  $y$   
are represented in  
binary form

$$\begin{aligned} \therefore xy &= x_1 y_1 \cdot 2^{\frac{n}{2}} + (x_1 y_0 + x_0 y_1) \cdot 2^{\frac{n}{2}} \\ &\quad + x_0 y_0 \end{aligned}$$

order of (n) ( $\because$  we hv to shift  $n$  times)

bring in n 0's to the right

$T(n)$

( $\because$  prob size  
becoming half)

$$\begin{aligned} &\text{Eg: } 4567 = 45 \times 10^2 + 67 \\ &9835 = 98 \times 10^2 \\ &\quad + 35 \end{aligned}$$

Thus combining soln takes  $O(n)$

$$\therefore T(n) \leq 4T\left(\frac{n}{2}\right) + O(n)$$

By Master  
Thm

$$d=1 < \log_b a = \log_2 9 = 2 \quad (3^{\text{rd}} \text{ case})$$

$$\therefore T(n) = O(n^{\log_b a}) = O(n^2)$$

No gain then  
original  $\star$

Another  
modification

Recursive-multiply ( $x, y$ ):

$$\text{write } x = x_1 \cdot 2^{n/2} + x_0$$

$$y = y_1 \cdot 2^{n/2} + y_0$$

Compute  $x_1 + x_0$  and  $y_1 + y_0$ .

$P = \text{recursive-multiply}(x_1 + x_0, y_1 + y_0)$

$x_1, y_1 = \text{Recursive-multiply}(x_1, y_1)$

$x_0, y_0 = \text{Recursive-multiply}(x_0, y_0)$

Return

$$x_1, y_1 \cdot 2^n + (P - x_1, y_1 - x_0, y_0) \cdot 2^{n/2}$$
$$+ x_0, y_0$$

$$\therefore T(n) \leq 3T(n/2) + O(n)$$

By M.T.

Case 3

$$\log_2 3 > 1$$

$$= O(n^{\log_2 3})$$
$$= O(n^{1.59})$$

Tom Karpinski  
Slide

Quick Sort :  
(Overview)

08/02/19

→ "greatest hit" algorithm

→  $O(n \log n)$  time "on average" works in place  
i.e. minimal extra memory needed

## The Sorting Problem

I/p: array of  $n$  numbers, unsorted

3 8 2 5 1 9 7 6

O/p: Some nos. sorted in Incr. order.

Assumption: all nos. are distinct.

### Partitioning Around a Pnt

Key Idea: Partition array around a pivot elem  
• → Pick elem of array

Rearrange array so that:

left of pivot  $\Rightarrow$  less than pivot  
right " " "  $\Rightarrow$  greater " "

$\therefore$  puts pivot in its "rightful pos"



$\rightarrow$  Linear  $O(n)$  time, no extra memory

$\rightarrow$  Reduces prob size (Divide & Conquer)

Randomized Q.S  $\Rightarrow$  randomly choose one of the elements as pivot

Then what will be the complexity?

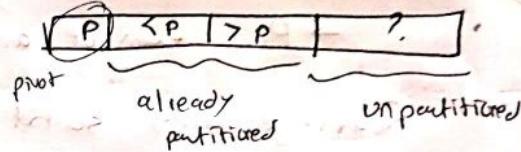
③ Since 2

### In-place Implementation

Assume: pivot = 1<sup>st</sup> elem of array

(if not, swap (pivot, 1<sup>st</sup> elem) as preprocessing step)

High Level Idea:



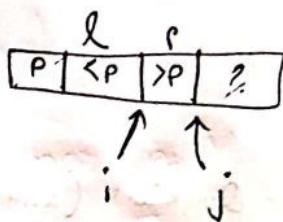
- Single scan thru array

[Invariant]: Everything looked at so far is partitioned

### Partition Eg:

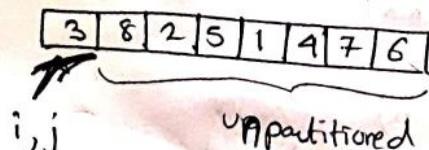
j: points to first elem of unpartitioned

i: points to middle of left & right partition

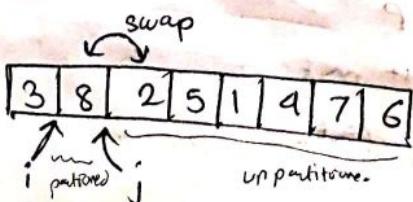


Initially

①



②



8 should belong to r.  
So we don't increment i, we incr j

Complexity of this:  
 $O(n)$

So now, no elem in l  
but 1 elem in r.

③ Since 2 should be in l,  
we swap 2 & 8, while we incr i.

3	2	8	5	1	4	7	6
---	---	---	---	---	---	---	---

i  
↓  
j

unpartitioned

partitioned

swap

①

3	2	8	5	1	4	7	6
---	---	---	---	---	---	---	---

i  
↓  
j

swap

j will always be  
incr.  
whether i will be  
incr, will  
depend on  
swap

swap to update l  
& bring 8 in r

last step:

3	2	1	5	8	4	7	6
---	---	---	---	---	---	---	---

finally

1	2	3	5	8	4	7	6
---	---	---	---	---	---	---	---

(l & r taken as IP  
bcoz it chng in  
different recursive  
calls)

### Pseudocode for Part Y

complexity:  
 $O(n)$

Partition (A, l, r) → A [l.....r]

$p = A[l]$

$i = l + 1$

for  $j = l + 1$  to  $r$

if  $A[j] < p$

swap  $A[j]$  and  $A[i]$

$i = i + 1$

swap  $A[l]$  and  $A[i - 1]$

complexity  
of fns:  
 $O(n)$

one by one  
expt. ro  
exam

## Correctness of the algo

Analysis of  
QuickSort  
Algo

→ The performance of quick sort depends on which element is chosen as pivot.

→ Assume that we choose an element as the pivot element.

$k^{\text{th}}$  smallest element

can consider:  
① 1st elem is definitely some  $k^{\text{th}}$  smallest elem.  
OR ② swap  $k^{\text{th}}$  smallest elem (from)

$|L| = \text{size of the left array} = k-1$

$|R| = \text{size of the right array} = n-k$

→ Total Running time:  $T(n) \leq T(k-1) + T(n-k)$

(How to write these times in analysis)

- We have designed the choose-pivot condition in such a way that it picks pivot,

(It's possible bcos, there exists an algorithm to find median in linear time)

Then,

$$T(n) \leq O(n) + 2T(n/2)$$

and  $T(n) = O(n \log n)$  (as per Master Theorem)

## Worst Case Analysis:

This particular situation occurs when array is sorted in ascending order.

Then, T

supplying

Random:

→ T

int

→ items  
→ Pe

## Analysis of QuickSort Algo

→ The performance of quick sort depends on which element is chosen as pivot.

→ Assume that we choose  $k^{\text{th}}$  smallest element as the pivot element. →

can consider:  
① 1<sup>st</sup> elem is definitely some  
or ② swap  $k^{\text{th}}$  smallest elem (found by arr. with 1<sup>st</sup> elem)

$|L| = \text{size of the left array}$

$$= k - 1$$

$|R| = \text{size of the right array} = n - k$

→ Total Running time:  $T(n) \leq T(k-1) + T(n-k)$

• We have designed the choose-pivot condition in such a way that it picks pivot,

(It's possible bcos, where  $k = n/2$   
median in linear time)

Then,

$$T(n) \leq O(n) + 2T(n/2)$$

and  $T(n) = O(n \log n)$  (as per ~~Master~~ Master Theorem)

## Worst Case Analysis:

This particular situation occurs when array is sorted in ascending order.

Then,  $T(n) \leq O(n) + T(n-1)$

everytime right array  
is very longer  
than left array

$$\begin{aligned} \Rightarrow T(n) &\leq cn + T(n-1) \\ &\leq c(n+n-1) + T(n-2) \\ &\leq c(n+n-1+n-2+\dots+T(1)) \\ &= O(n^2) \end{aligned}$$

One of the drawbacks of Q.S. is that, worst-case  
STAY is very bad

But it's less likely to occur bcos when we are  
supplying an array to be sorted, it is less possible for it  
to be sorted beforehand.

### Average Case Analysis of

13/02/19

#### QuickSort Algorithm :

Randomized version of QuickSort Algo,  $= O(n^2)$

→ Try to compute the expected running time of an  
i/p sequence. (for this we modify the partition func' as

int Rpartition (A, l, r)  
a randomized partition algo

P = RANDOM (l, r)

swap (l, p) // swap p<sup>th</sup> element with lowest elem

return partition (A, l, r)

(prev part' algo)

in elem is the

We are choosing  $m$  randomly  
 $\rightarrow$  it is uniformly distributed

$\therefore$  The probability of each  $m \in [0, n-1]$  is  $\frac{1}{n}$ .

Say, the avg case running time / expected running time of Quicksort algo for an input instance of size  $n$ :

$$\therefore T(n) = O(n) + \left( \frac{1}{n} \sum_{m=0}^{n-1} (T(m) + T(n-m-1)) \right)$$

(∴ prob of occurrence of one such event  
 $= \frac{1}{n}$ )

$$\leq cn + \frac{1}{n} \sum_{m=0}^{n-1} (T(m) + T(n-m-1))$$

So expected value  
 For const of dev. we can  $c=1$

$$\leq n + \frac{1}{n} \sum_{m=0}^{n-1} (T(m) + T(n-m-1))$$

$\therefore$  The upper bound of  $T(n)$ :

$$T(n) = n + \frac{1}{n} \sum_{m=0}^{n-1} (T(m) + T(n-m-1))$$

$$n \cdot T(n) = n^2 + 2 \sum_{i=0}^{n-1} T(i) \quad \text{--- (1)}$$

$$\Rightarrow (n-1) T(n-1) = (n-1)^2 + 2 \sum_{i=0}^{n-2} T(i)$$

$(\because T(0) + T(1) + \dots + T(n-1) + T(n-2) + \dots + \frac{2 \sum_{i=0}^{n-1} T(i)}{n})$

--- (2)

Subtracting (2) from (1),

$$n \cdot T(n) - (n-1) \cdot T(n-1) = n^2 + 2 \sum_{i=0}^{n-1} T(i) - \left[ (n-1)^2 + 2 \sum_{i=0}^{n-2} T(i) \right]$$

$$= n^2 + 2 \cdot T(n-1) + 2 \sum_{i=0}^{n-2} T(i) - n^2 + 2n - 1$$

$$- 2 \sum_{i=0}^{n-2} T(i)$$

$$= 2T(n-1) + 2n - 1$$

$$\Rightarrow \cancel{n} T(n) = \cancel{(n+1)} T(n-1) + 2n - 1$$

Dividing both sides by  $(n+1)$ :

$$\frac{n \cdot T(n)}{n+1} = T(n-1) + \frac{2n-1}{n+1}$$

Dividing by  $n$ ,

$$\frac{T(n)}{n+1} = \frac{T(n-1)}{n} + \frac{2n-1}{n(n+1)}$$

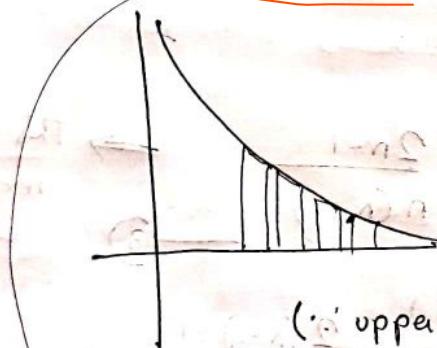
⇒ This is the recurrence eqn  
③

$$= \sum_{i=1}^n \frac{2i}{(i+1)^2} - \sum_{i=1}^n \frac{1}{i(i+1)}$$

$$= 2 \sum_{i=1}^n \frac{1}{i+1} - \sum_{i=1}^n \frac{1}{i(i+1)}$$

Now,

$$\sum_{i=1}^{n+1} \frac{1}{i+1} < \int_1^{n+1} \frac{dx}{x}$$



(∴ upper bounded by that curve)

$$= \ln(n+1)$$

$$\begin{aligned} \sum_{i=1}^n \frac{1}{i(i+1)} &= \sum_{i=1}^n \left( \frac{1}{i} - \frac{1}{i+1} \right) \\ &= \frac{1}{1} - \frac{1}{n+1} \\ &= 1 - \frac{1}{n+1} \end{aligned}$$

$$\therefore \frac{T(n)}{n+1} < 2 \ln(n+1) \rightarrow \left[ 1 - \frac{1}{n+1} \right]$$

$$\Rightarrow T(n) < 2(n+1) \ln(n+1) \quad (\because \text{tve, so ignore})$$

$$\therefore T(n) < 2(n+1) \ln(n+1) \quad \checkmark$$

$$\cancel{\text{Time}} = \frac{2}{\log_2 e} (n+1) \log_2 (n+1) \quad \checkmark$$

$$= \underline{1.386.. (n+1) \log_2 (n+1)}$$

{ ∵ Average case performance is approximately  
38% slower than the best  
case performance of  
quicksort alg}

So randomized version of Q.S is actually implemented,  
for any implementation of Q.S

Graph Search Using  
BFS

20/02/19

[all nodes initially unexplored]

- Mark S as explored

Let  $Q$  = queue data structure  
(FIFO)

initialized with S

④ while ( $Q \neq \emptyset$ )

→  $O(n)$  (∴ it will run  
(no. of vertices) no. of  
times)

- remove the first node of  $Q$ , call it V

- For each edge  $(v, w)$

- if  $w$  unexplored

- Mark  $w$  as explored

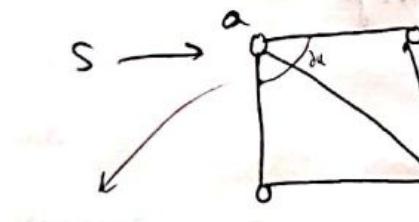
- add  $w$  to  $Q$  (at the end)

④

In total it runs:  
 $\sum d_i$   
 $= 2m$   
 $= O(m)$

irrespective  
of how  
many times  
while loop  
executes

Eg: (for a connected graph)



$Q: [a]$

Say  $d_a$   
= degree of a

Node a will contribute  $d_a$   
no. of runs of ④.

$Q: [x | b | c | d |]$

Roughly every node will contribute  $d_i$  p. of times. So ④

: Total running time  
 $= O(mn)$

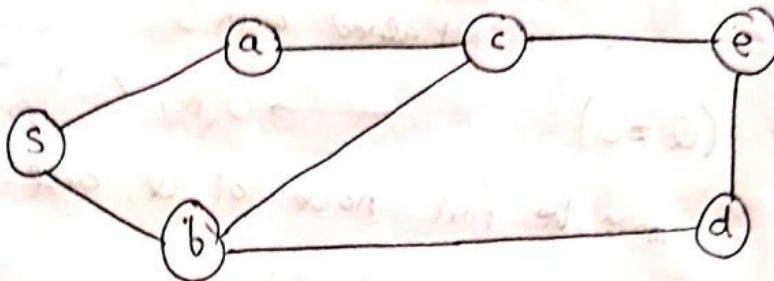
Eg.

line 1 →  $O(n)$   
 line 2 →  $O(m)$   
 line 3 →  $O(n)$

Without using queue:  $O(mn)$

Q Given a graph:

find the shortest path from a source to all possible nodes in the graph.



Brute-Force Algo:

Compute all possible solns & choose the optimal

{ → Compute all possible paths from a source to the destination.  
Apply minimum finding algorithm to choose the shortest path.

→ say you are taking a very large graph

So to compute all possible paths will be computationally expensive

for a path,  
each node can  
either be present  
in it / not  
present

Eg. a b c d  
→ 0 0 0 0 x  
      0 0 0 1 x  
      0 0 1 0 x

⋮  
⋮

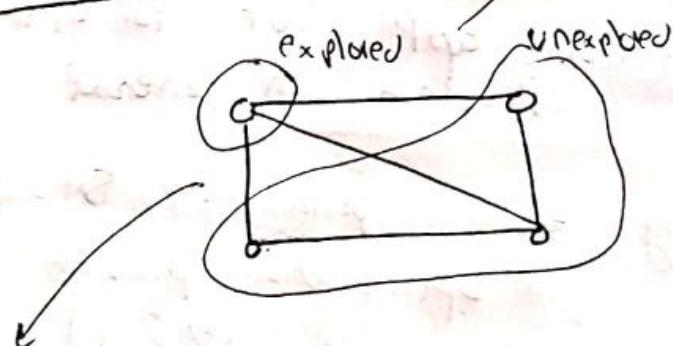
$2^n$  paths

(out of these some  
valid some not  
(source dest)  
Eg: a & d  
must be 1  
on the path)

$\therefore O(2^n) \Rightarrow$  exponential

Computationally intractable problem

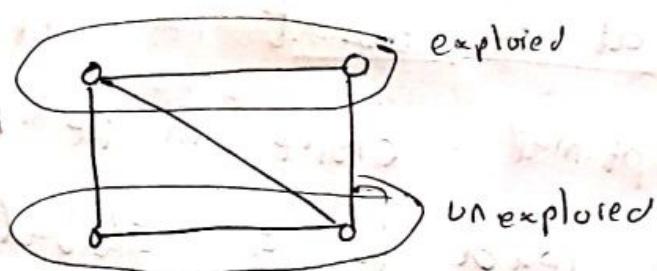
Using the BFS algo



3 routes across the river

say river goes in betw the 2 banks

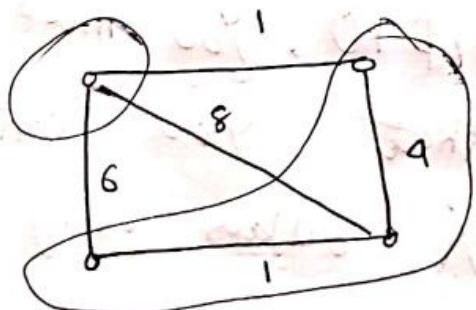
In each iteration, 2 partitions of the graph  
↓  
explored & unexplored



But this is only applicable when the graph is unweighted.

In practical apply, graphs are seldom unweighted.

If graph is weighted, BFS algo of no use



BFS only checks no. of paths that cross the river.

Dijkstra's SHORTEST PATH

ALGO.

(Greedy algo)

more algo

## Greedy Algo:

→ more applicable for optimization problem in general

→ finding shortest path from S to D<sub>1,2</sub> in an optimization problem.  
(path with min<sup>Y</sup> cost)

→ A greedy algorithm always makes the choice that looks best at the moment, i.e. it makes a locally optimal choice in the hope that this choice will lead to a global optimal solution.

## Eg: Coin Changing Problem

In a certain country, the coin denominations are \$1, \$2, \$5 and \$10. You have to design an algorithm such that you can make change of any X dollars using the fewest no. of coins

~~Brute Force Soln~~

### Brute-Force Soln

C <sub>1</sub>	C <sub>2</sub>	C <sub>3</sub>	C <sub>4</sub>
0	0	0	0
0	0	0	1
0	0	1	0

→ check whether = sum  
→ " " "  
→ " " "

Set of  
Find which are equal  
to sum?  
Amongst them  
which use lowest  
no. of coins?  
(i.e. smallest no  
of 1)

So, O(2<sup>n</sup>)

for any kind of greedy algo, there's an evaluation func<sup>n</sup>  
(optimisation objective) based on that we'll decide which is your best

Eg: maximize total ~~calorie~~/  
minimize total calorie

Greedy Sol<sup>n</sup>:

1. Create an empty bag

2. while ( $x > 0$ )

{ find the largest coin  $c$   
at most  $x$ 's

put  $c$  in the bag;

Set  $x = x - c$ ;

}

} Return coins in the bag

(after end of loop, bag will contain fewest no. of coins)

Eg: say  $x=8$ , optimal sol<sup>n</sup>  $\{ \$5 \$2 \$1 \}$

But greedy soln may not give correct sol<sup>n</sup> everytime

Eg:  $\$1, \$4, \$5, \$10$

greedy gives  $\rightarrow \{ \$10, \$1 \}$

But actual ans. should have been:  $\{ \$4, \$4 \}$

NOTE: Brute force always gives 100% correct sol<sup>n</sup>/

Greedy  $\rightarrow$  good sol<sup>n</sup>  $\rightarrow$  but may not give correct result all times

→ We'll apply greedy only if we don't have better options known.

But there are some algo for which greedy is always correct

2 algo to be discussed in syll

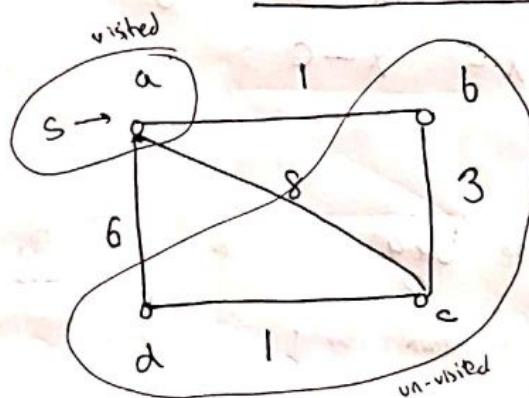
① Dijkstra's shortest path algo

(if graph is connected & undirected & all edges are non-negative)

②

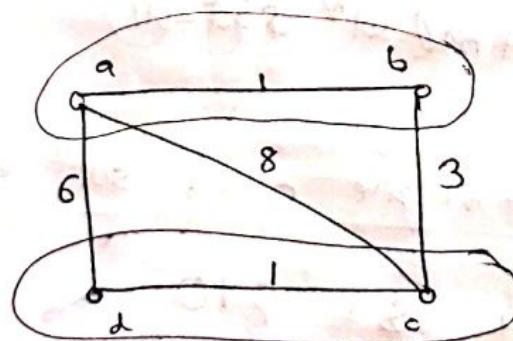
Rim's  
MST  
finding

### Dijkstra's SHORTEST PATH ALGO :

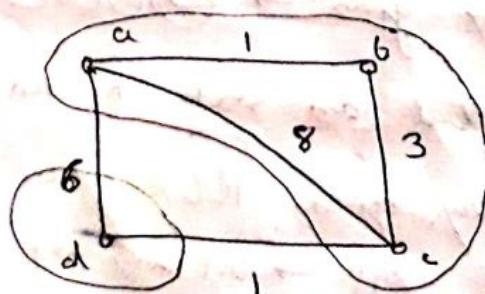


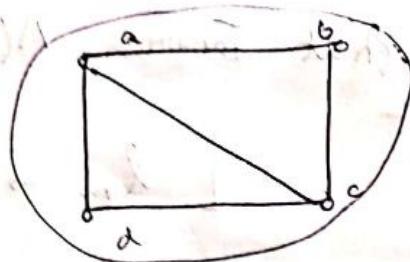
You have to cross the river through the bridges. When bridge you will choose?

'ab' here  
So now a & b will belong to 1 partition



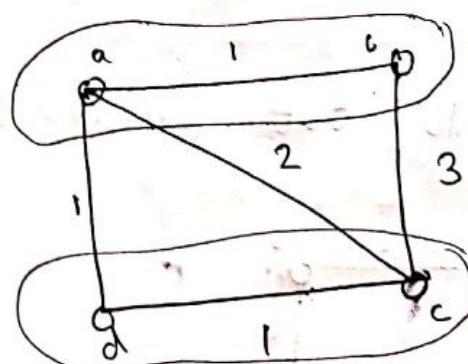
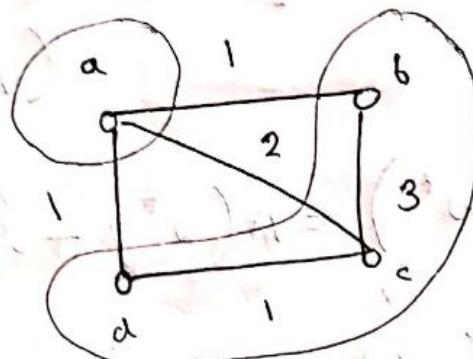
① Everything you are maintaining the order of visited vertices





$a \rightarrow b \rightarrow c \rightarrow d$   
 $1 + 3 + 1 = 5$

g.



$a \rightarrow b$

Now choose a c

Dijkstra's Shortest Path

Algo

CTI ( till BFS )  
 ( today )

22/02/19

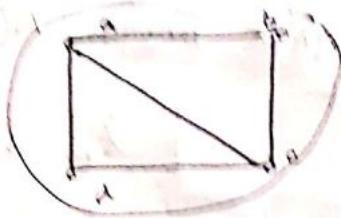
Outline ( this does not use any spcl. data str )

Initialization:

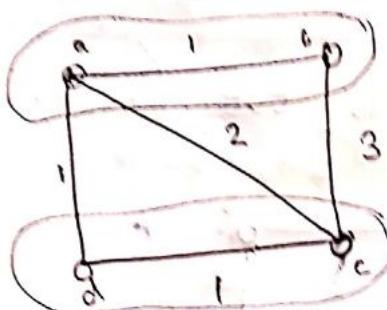
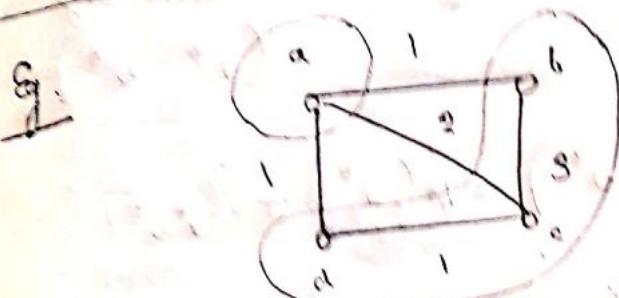
$X = \{s\}$  [ vertices processed so far ]

$A[s] = 0$  [ Computed shortest path distances ]

$B[s] = \text{empty}$  } Computed shortest path upto  $\leq 7$



$a \rightarrow b \rightarrow c \rightarrow d$   
 $1 + 3 + 1 = 5$



$a \rightarrow b$

Now choose  $a \leftarrow c$

## Dijkstra's Shortest Path Algo

CTI ( till BFS )  
 ( Today )

22/02/19

Outline ( this does not use any spec. data str )

Initialization:

$X = \{s\}$  [vertices processed so far]

$A[s] = 0$  [Computed shortest path distances]

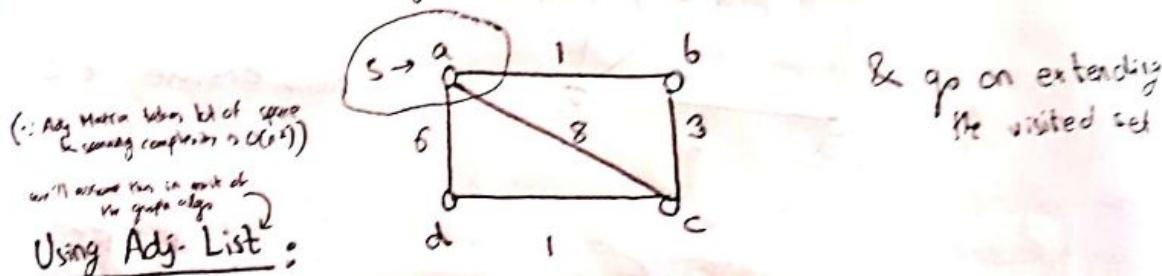
$B[s] = \text{empty path}$  [Computed shortest path upto s]

while  $|X| \neq |V|$  . . . while loop in total runs  $O(n)$  times

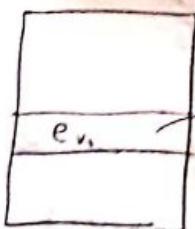
- ① — among all edges  $(v, w) \in E$   
 with  $v \in X$ ,  $w \notin X$

- { pick  $w^*$  s.t. ~~min edge~~  
is  $A(w) + l_{v^*w^*}$
- [  $l_{v^*w^*} \rightarrow$  weight of  
edge  $(v^*, w^*)$  ]
- { add  $w^*$  to  $X$  [ if no selected edge :  
 $(v^*, w^*)$  ]
- { set  $A(w^*) = A(v^*) + l_{v^*w^*}$
- { set  $B(w^*) = B(v^*) + l_{v^*w^*}$

partitioning/  
We are dividing the graph into cut (visited & un-visited)



Say -  $X = \{s\}$   $\rightarrow$  u need to scan the row in the adjacency list to know how many ~~edges~~ edges are there (hence to find min amongst them)



no. of entries in row corresponding to  $s$  = no. of edges attached to it

So for line  $X = \{s\}$

① takes  $O(e_{v,i}) + O(e_{v,i}) = O(e_{v,i})$

to scan all edges      to find min

$$\rightarrow X = \{v_1\}$$

$$O(e_{v,i})$$

$$\rightarrow X = \{v_1, v_2\} \quad O(e_{v,i} + e_{v,j})$$

$$\rightarrow |x| = n-1$$

$$O(e_{v_1} + e_{v_2} + \dots + e_{v_{n-1}})$$

$$\therefore \text{Total running time} = O(n) \quad \begin{matrix} \rightarrow \text{while loop runs} \\ O(n) \text{ times} \end{matrix}$$

$$+ O(e_{v_1}) + O(e_{v_1} + e_{v_2})$$

$$+ \dots + O(e_{v_1} + \dots + e_{v_{n-1}})$$

$$= O(m)$$

$$\therefore \sum t_i = O(m)$$

$$\begin{aligned} \therefore \text{Total running time} &\leq O(n) + O(m) + O(m) + \dots + O(m) \\ &\leq O(n) + O(mn) \\ &= O(mn) \end{aligned}$$

Problem is: if it is a densely connected graph  
then  $m = \frac{n(n-1)}{2} \Rightarrow O(n^3)$

if loosely connected graph,  $m = n-1 \Rightarrow O(n^2)$

So we'll try to improve this, with some concepts

but using:

- ① Linear Queue (slight improvement)
- ② Priority Queue (large improvement)

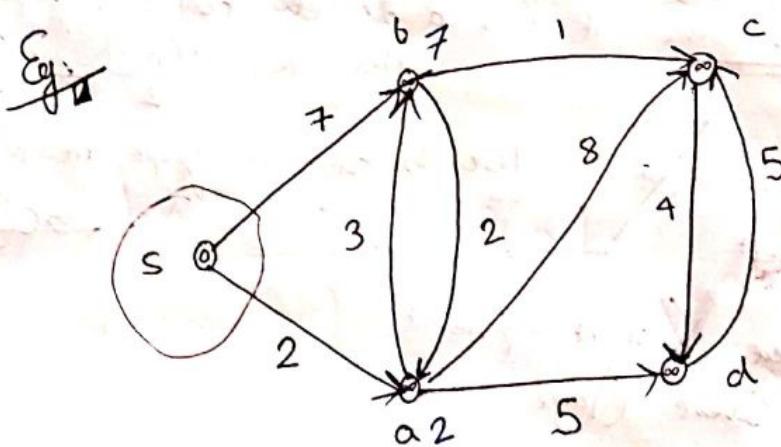
### Using Queue

(also computes: shortest dist. from source to all possible dest's)

Dijkstra ( $G, W, S$ )

$O(n)$  { for (each  $u \in V$ ) {  
 { } } }  $d(u) = \infty$  (initially all nodes are at infinity)  
 color [u] = white

$d[S] = \infty$        $\text{pred}[S] = \text{nil}$        $\rightarrow$  storing prev. node  
 for linear  $O(c)$   
 $Q = (\text{queue with vertices})$  [either linear or priority queue]  
 while (non-empty ( $Q$ ))  
 {
  $u = \text{Extract-min} (Q)$        $\rightarrow$  for linear  $O(n^2)$   
 for (each  $v \in \text{adj}(u)$ )  
 {
 if  $(d[u] + \omega(u,v)) < d[v]$   
 {
  $d[v] = d[u] + \omega(u,v)$   
 decrease-key ( $Q, v, d[v]$ )  
 $\text{pred}[v] = u$ 
}
}
evaluating this portion, irrespective of the while-loop  
This will be executed  $O(m)$  times.  
So,  $O(m) \times$  (comparison + assignment + insertion into  $Q$ )  
for linear  $O(m)$  for priority queue  $O(n \log n)$  for linear  $O(m \log n)$ 
}



This is a quick decision b/c  
something is visible to you  
something not visible to you

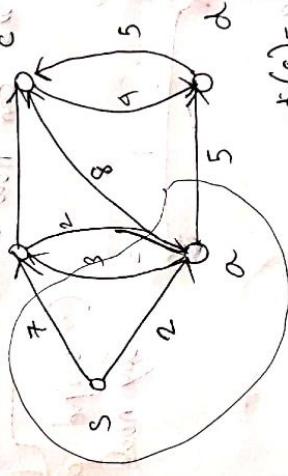
$v$	$s$	$a$	$b$	$c$	$d$
$d[v]$	0	2	7	$\infty$	$\infty$
$\text{pred}[v]$	nil	s	s	nil	nil
$\text{color}[v]$	B	W	W	W	W

Queue:

$v$	$a$	$b$	$c$	$d$
$d[v]$	2	7	$\infty$	$\infty$

(lets assume linear queue)

a should be chosen now & removed from queue

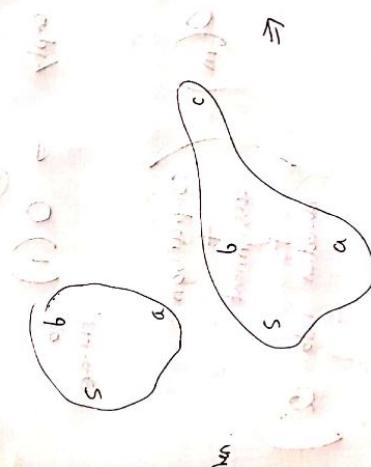


$$adj(a) = \{b, c, d\}$$

V	s	a	b	c	d
d[v]	0	2	5	10	7
pred[v]	n/a	s	a	a	a
color[v]	B	B	W	W	W

V	s	b	c	d
d[v]	0	2	5	10
pred[v]	n/a	s	a	a
color[v]	B	B	W	W

Now, b should be chosen.



Then

V	b	c	d
d[v]	0	2	5
pred[v]	n/a	s	a
color[v]	B	W	W

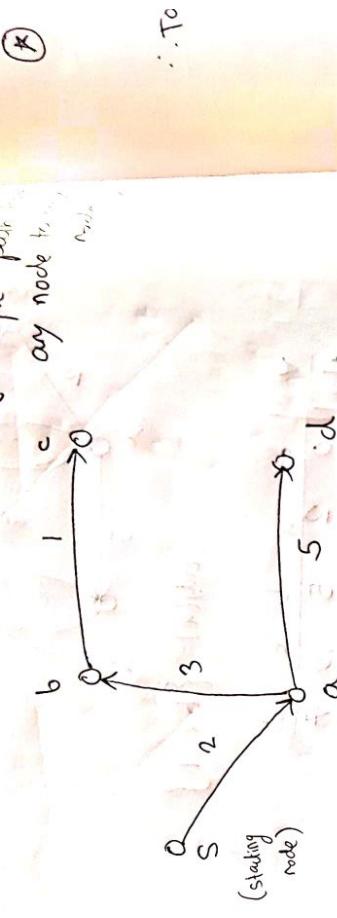
Finally

V	s	a	b	c	d
d[v]	0	2	5	6	10
pred[v]	n/a	s	a	b	a
color[v]	B	B	B	B	B

queue : Q = 0

Using the  $\text{pred}[v]$ , we can now draw the shortest path tree.

Using  $P_i$



hash with  $\text{pred}[v]$   
to get next vertex

If go

Analysis of  $O(m)$  (from size of  $\alpha[\rho]$ )  
 $\star O(n^2)$   
Initially  $Q$  is empty  $\Rightarrow O(1)$  to traverse

If

Inner for-loop:  
(for linear queue)  
 $O(m) \times$  (comparison + assignment + insertion into  $Q$ )  
 $= O(m)$

for linear queue  
 $O(1)$

$\therefore$  Total running time for linear queue  $\rightarrow$  Extract-min ( $a$ )

$$= O(m) + O(n^2)$$
$$= O(n^2 + m)$$

## Using Priority Queue :

\* requires building heap now  
So  $O(n \log n)$

$\therefore$  Total running time

$$\begin{aligned} & \leq O(n) + O(n \log n) \\ & \quad + O(n) + O(m \log n) \\ & \leq O(n) + O(n \log n) + O(m \log n) \\ & = O((m+n) \log n) \end{aligned}$$

If graph is weakly connected,  $m = n-1$

$$O(n \log n)$$

If graph is strongly connected :  $O(n^2 \log n)$

## Spanning trees



total weight  
= 94

Brute-force approach: Generate all possible spanning trees & find the one with the min' weight.

~~while Q is not empty~~

Prim (G, w, s)

for (each u ∈ V)

```

 $\left\{ \begin{array}{l} d[u] = \infty \\ \text{color}[u] = \text{white} \\ x = \{s\} \\ d[s] = 0 \\ \text{pred}[s] = \text{NIL} \end{array} \right.$ 
Q = (queue with vertices)
while (non-empty Q)
    u = extract-min(Q)
    for (each v ∈ adj(u))
        if (v is white)
            pred[v] = u
            color[v] = gray
            d[v] = w[v, u]

```

we don't take length from source  
if  $(w(u,v)) < d[v] \& v \in X$  ( $v$  is in heap)

```
{   d[v] = w(u,v)
    insert(Q, v, d[v])
    pred[v] = u
}
color[u] = black
X = {x | u}
```

The time complexity of this algo will be similar to the prev version

2 types of analysis :  
① using linear queue  
② using priority queue