

Heap

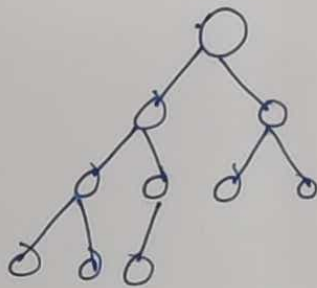
①

* A heap is a binary tree 'T' that satisfies two additional property -

- relational Property
- structural Property

Structural Prop:

It is a complete binary tree; → completely filled at each level, except possibly lower level.
 → Tree is filled from left to right



How to map tree in an array?? [Relational Property]

Array index starts from -1.

$A[i]$

for a node at index ' i '

$l(i)$ = left child of i

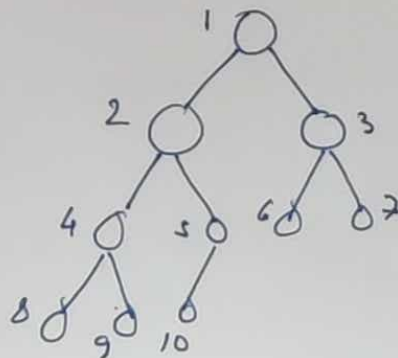
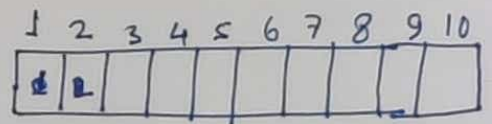
$r(i)$ = Right

$p(i)$ = Parent

$$l(i) = 2i$$

$$r(i) = 2i + 1$$

$$p(i) = \lfloor i/2 \rfloor$$



Max-Heap : for node i $A[p(i)] \geq A[i]$

Min-Heap : ——— $A[p(i)] \leq A[i]$

* No ordering among siblings.

Height of Heap:

Claim: $\log(n+1) - 1 \leq h \leq \log n$

Proof:

$$\sum_{i=0}^{h-1} 2^i + 1 \leq n \leq \sum_{i=0}^h 2^i$$

$$\boxed{\log(n+1) - 1 \leq h \leq \log n}$$

Height always = $\Theta(\log n)$

Maintaining the Heap Property:-

downheap(i)

$\text{max} \leftarrow i$

↗ last element of array.

if $l(i) \leq \text{heap-size}[A]$ & $A[\text{max}] < A[l(i)]$

$\text{max} \leftarrow l(i)$

if $r(i) \leq \text{heap-size}[A]$ & $A[\text{max}] < A[r(i)]$

$\text{max} \leftarrow r(i)$

if $\text{max} \neq i$

$A[i] \leftarrow A[\text{max}]$

downheap(max)

$\boxed{O(\log n)}$

Building a heap

Buildheap(n)

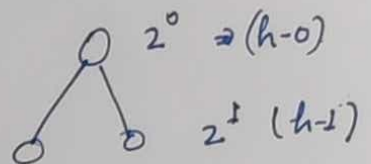
for $i \leftarrow n$ down to 1
 \downarrow
 downheap(i)

$O(n \log n)$

Correct analysis:

$$\sum_{i=0}^h i \cdot 2^i = (h+1) 2^{h+1} - 2^{h+2} + 2$$

We 2^i nodes which had
 gone through height $(h-i)$
 in ~~the~~ worst case.



Total complexity

$$\sum_{i=0}^h 2^i O(h-i)$$

$$= O\left(h \sum_{i=0}^h 2^i - \sum_{i=0}^h i \cdot 2^i\right)$$

$$= O\left((h \cdot 2^{h+1} - h) - (h+1) 2^{h+1} + 2^{h+2} - 2\right)$$

$$= O\left(2^{h+2} - 2^{h+1} - h - 2\right)$$

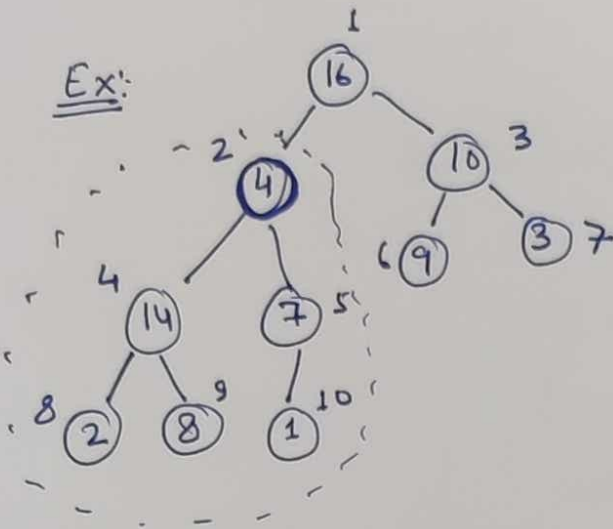
$$= O\left(2^{h+2} - h - 2\right)$$

$$= O\left(2^h\right)$$

$$\boxed{T(n) = O(n)}$$

Maintaining the heap Property:

Ex:



downheap(2) or
Max-Heapify(2)

$i=2$

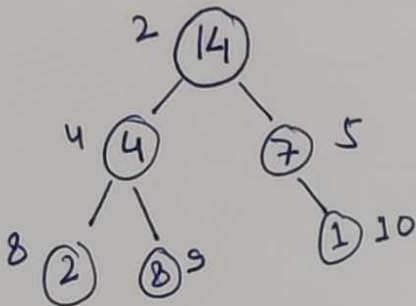
Pseudocode: downheap(i)

$max \leftarrow i$

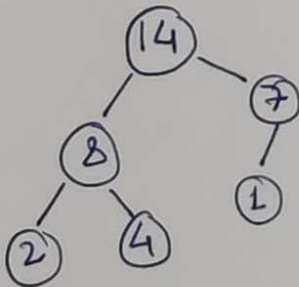
if $l(i) \leq \text{heapsize}[A]$ &
 $A[max] < A[l(i)]$
 $max \leftarrow l(i)$

if $r(i) \leq \text{heapsize}[A]$ &
 $A[max] < A[r(i)]$
 $max \leftarrow r(i)$

if $max \neq i$ (if any of above condⁿ true)
 $A[i] \leftrightarrow A[max]$
 downheap(max)



Now; $i=4$



Heap Sort :

Heap Sort (A)

Heap Size [A] = n : Given

Build-heap (A)

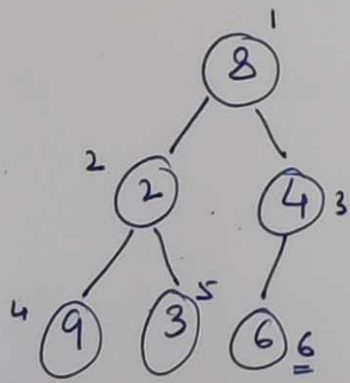
for $i \leftarrow n$ down to 2

$A[1] \leftarrow A[i]$
 $\text{heap-size}[A] \leftarrow i-1$
 $\text{downheap}(1)$

Complexity : $O(n \log n)$

Ex:-

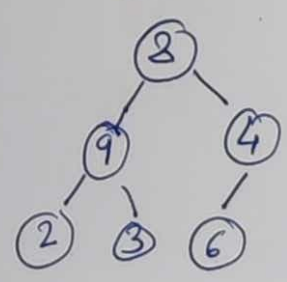
1	2	3	4	5	6
8	2	4	9	3	6



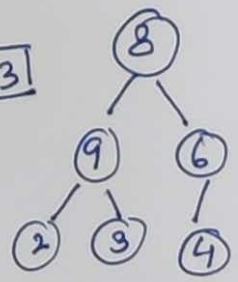
Now, call down heap (i).

$i=6$, OK
 $i=5$, OK
 $i=4$, OK

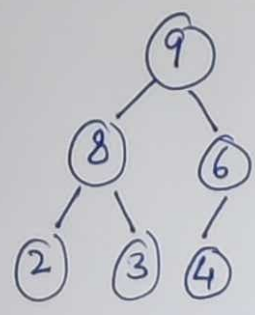
$i=2$



$i=3$



$i=1$



Application of Heap

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① Heap Maximum

Heap-maximum(A)] $\Theta(1)$
return A[1]

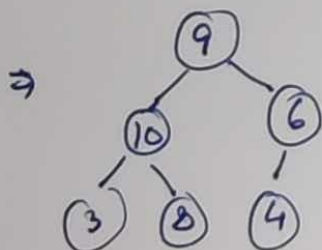
② Heap-Extract-Max ! Remove max element of heap and again heapify

Heap-extract-Max(A) Heap-size[A] = n

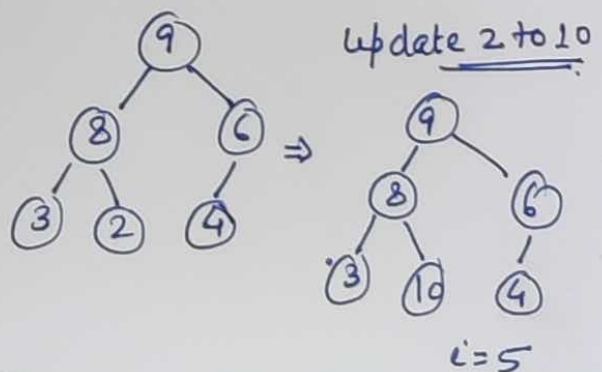
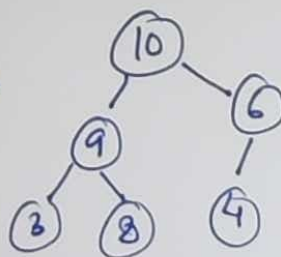
max \leftarrow A[1]
A[1] \leftarrow A[n]
n \leftarrow n-1
downheap(1)] $O(\log n)$

③ Heap-Increase-Key (A, i, Key) : Increase the value of A[i] to Key, where $A[i] < \text{Key}$

$O(\log n)$]
A[i] \leftarrow Key
While $i > 1$ & $A[p(i)] < A[i]$
A[i] \leftrightarrow A[p(i)]
i \leftarrow p(i)



i=2 \Rightarrow



④ Max-Heap-Insert (A, Key)

$n \leftarrow n+1$
 $\text{downheap}(n)$

$O(\log n)$

⑤

Max-Heap-Delete(A, ~~key~~ⁱ)

if $i = n$
 $A[i] \leftarrow \text{Nil}$
 else
 $A[i] \leftarrow A[n]$
 $A[n] \leftarrow \text{Nil}$
 $n \leftarrow n-1$
 $\text{downheap}(i)$

$O(\log n)$

If ~~key~~ ^{last} i is leaf node,
 or
 else exchange in with
 last leaf and Heapify