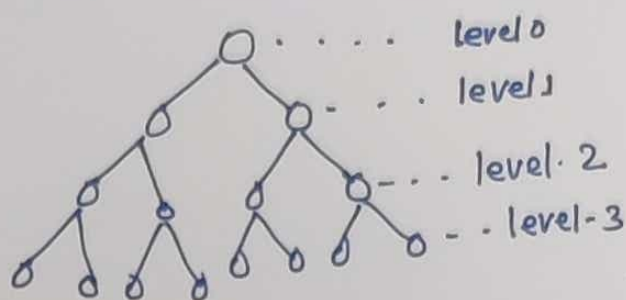


## Complete Binary tree.



- level  $i$  has  $2^i$  nodes
- In a tree of height  $h$ .
  - # leaves =  $2^h$
  - # of internal nodes = # leaves - 1  
 $= (1 + 2 + 2^2 + \dots + 2^{h-1}) = 2^h - 1$
  - Total # of nodes =  $2^{h+1} - 1 = n$

$\Rightarrow$  In a tree of nodes 'n'; # leaves  
 $\Rightarrow 2^h - 1 = n$   
 $\Rightarrow 2^h = (n+1)/2$   
 Height =  $\log_2 (\# \text{ leaves})$

## Binary tree :-

Binary tree of height "h"

- $\rightarrow$  at most  $2^i$  nodes per level
- $\rightarrow$  at most  $2^{h+1} - 1$  nodes.
- $\Rightarrow n \leq 2^{h+1} - 1$
- $h \geq \log_2 (n+1)/2$

## - Searching -

(2)

Search { Linear  
Binary

### Binary Search :-

$$\begin{aligned} \text{Low} &= 1, & \text{mid} &= \left\lfloor \frac{\text{low} + \text{high}}{2} \right\rfloor \\ \text{high} &= n \end{aligned}$$

$k = \text{key}(\text{mid})$ : Found  $k$

$k > \text{key}(\text{mid})$ : recursively search from  $\text{mid}+1$  to high

### Binary Search (A, k, low, high)

if  $\text{low} > \text{high}$   
| return Null  
~~end~~  
else

$$\text{mid} = \left\lfloor \frac{\text{low} + \text{mid}}{2} \right\rfloor$$

if  $k = A[\text{mid}]$   
return 'mid'

else if  $k > A[\text{mid}]$

Binary Search (A, k,  $\text{mid}+1$ , high)

else

Binary Search (A, k, low,  $\text{mid}-1$ )

$$T(n) = \begin{cases} c & , n \leq 2 \\ T(n/2) + c \end{cases}$$

$$\boxed{T(n) = O(\log n)}$$

## Why we prefer Binary Search Over Linear Search ??

Ex<sup>o</sup>

### Binary Search Trees (BSTs)

BST is built in such a fashion, that

- $x$  is node in a BST
- $y$  is in left subtree of  $x$
- $z$  — right —  $x$

$$x.key \geq y.key$$

$$z.key \geq x.key$$

Search( $x, u$ ) : looking for value  $x$ , with parent node index  $u$ .

if  $u = \text{nil}$  or  $x = u.key$  ] Base  
return  $u$

else if  $x < u.key$   
return Search( $x, u.l$ )

else  
return Search( $x, u.r$ )

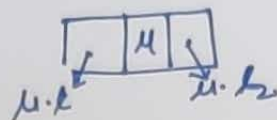
$$\underline{\underline{O(h)}}$$

# Tree Traversal

Inorder: LPR

Preorder: PLR

Postorder: LRP



Inorder(u):

if  $u \neq Nil$   
 [  $inorder(u.l)$   
 $Print(u.key)$   
 $inorder(u.r)$  ]

: initially  $u$  is root.

$O(n)$

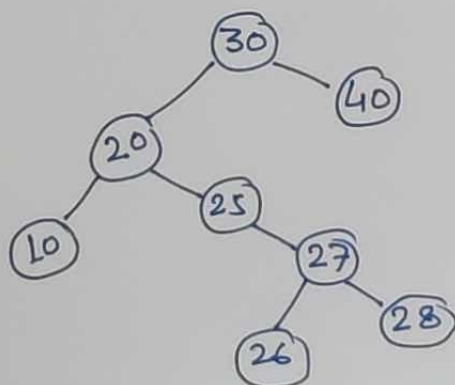
Preorder(u)

if  $u \neq Nil$   
 [  $Print(u.key)$   
 $Preorder(u.l)$   
 $Preorder(u.r)$  ]

Postorder

if  $u \neq Nil$   
 $Postorder(u.l)$   
 $Postorder(u.r)$   
 $Print(u)$

Ex:-



Inorder: gives sorted

- : 10, 20, 25, 26, 27, 28, 30, 40
- : 30, 20, 10, 25, 22, 27, 26, 28, 40
- : 10, 22, 26, 28, 27, 25, 20, 40, 30

Finding MinimumMin(u)Recursive

```

if u.r = Nil
  return u
else
  return Min(u.l)

```

 $O(h)$ 

find

Iterative Min(u)

```

if u = Nil
  return "empty tree"
while (u.l != Nil)
  u ← u.l
return u

```

Max(u)

```

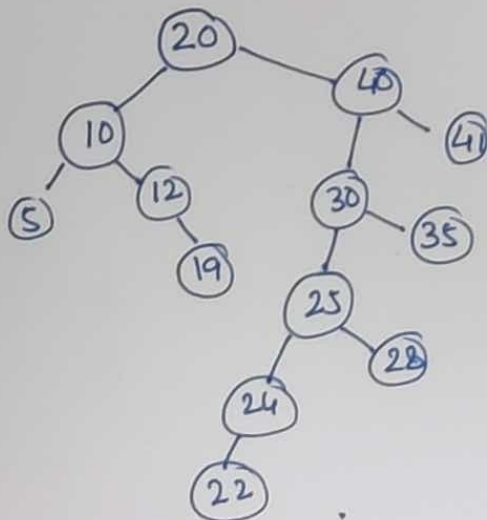
if u.r = Nil
  return u
else
  return Max(u.r)

```

Successor: successor of u is a next element which will occur after u if we sort the numbers.

$$\text{Succ}(19) = 20$$

$$\text{Succ}(20) = \underline{\underline{22}}$$





Successor (u)

if  $u.r \neq \text{Nil}$  } Case-1  
 return  $\min(u.r)$

Case-2

$v \leftarrow u.p$   
 While  $v \neq \text{Nil} \ \& \ u \neq v.l$   
      $u \leftarrow v$   
      $v \leftarrow v.p$   
 return  $v$

 $O(h)$ 

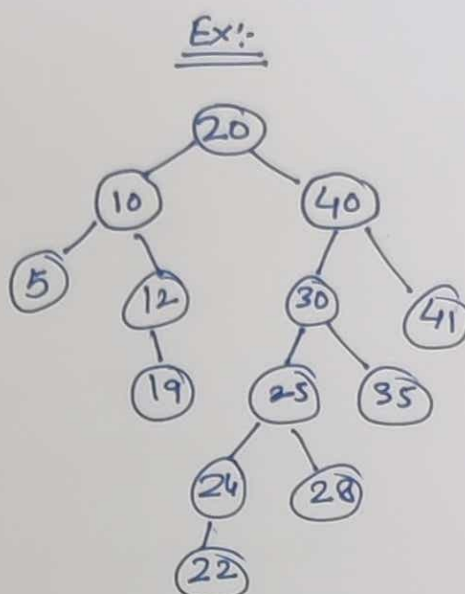
Case-1 ∴ right subtree of  $u$  is non-empty, then  
 successor of " $u$ " is the left most element of  
 right subtree.

Case-2 ∴ When ~~right~~ <sup>right</sup> subtree <sup>of  $u$</sup>  is empty, then we  
 need to find out the ancestor of  $u$  whose left  
 child was  $u$ .

# Predecessor

Def<sup>n</sup>:- Predecessor( $\mu$ ) is the biggest key ~~in left subtree~~ of ~~( $\mu$ )~~ ~~that is~~, say  
 $P_r(\mu) = v$ . means.  
 $v$ . Key the biggest key  $< \mu$ . Key.

Ex<sup>1</sup>:-  
 $Pred(20) = 19$   
 $Pred(12) = 10$   
 $Pred(22) = 20$



Case-1:-  $\mu.l$  is non-empty

$Pred(\mu) = \text{Maximum}(\mu.l)$

Case-2:-  $\mu.l$  is empty

- \* go up the tree until  $\mu$  is right child,  $Pred(\mu)$  is the parent of current node.
- \* if we can't go further  $\mu$  is the smallest element.

Predecessor ( $\mu$ )

if  $\mu.l \neq \text{nil}$

return maximum( $\mu$ )

else

$v \leftarrow \mu.p$

while  $v \neq \text{nil}$  &  $\mu = v.l$  ! go up

$\mu \leftarrow v$

$v \leftarrow v.p$

return  $v$

$O(h)$

# Insertion of a node:-

## Recursive Procedure:-

Insert( $\mu, v$ )

```

if  $\mu = \text{Nil}$ 
|
|  $\mu.\text{Key} \leftarrow v.\text{Key}$  } Base case
else
|
| if  $v.\text{Key} < \mu.\text{Key}$ 
| |
| | return Insert( $\mu.l, v$ )
| |
| | else
| | |
| | | return insert( $\mu.r, v$ )
|

```

$O(h)$

\* It is like searching

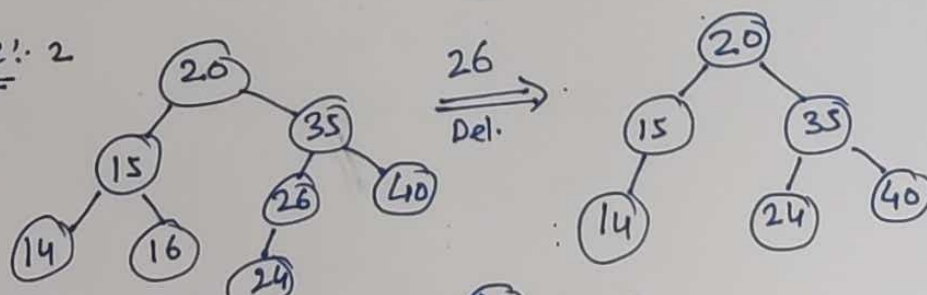
## Deletion of node

Case-1. Node to be deleted has no child.  
Delete node and replace it by nil.

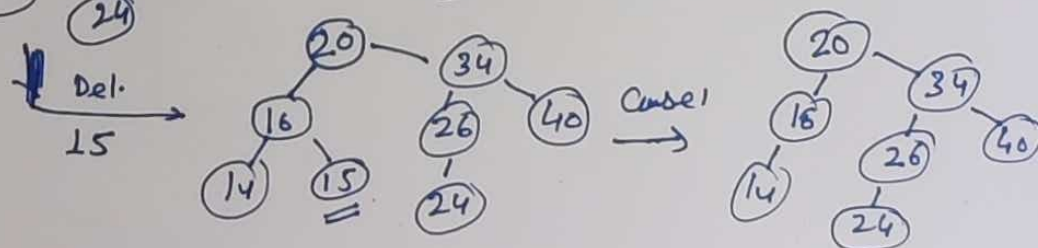
Case-2. node has only one child. find immediate ancestor and attach child with ancestor.  
(Predecessor)

Case-3. Two chil.  
find out its successor, which has no left child and exchange it.

Case-2

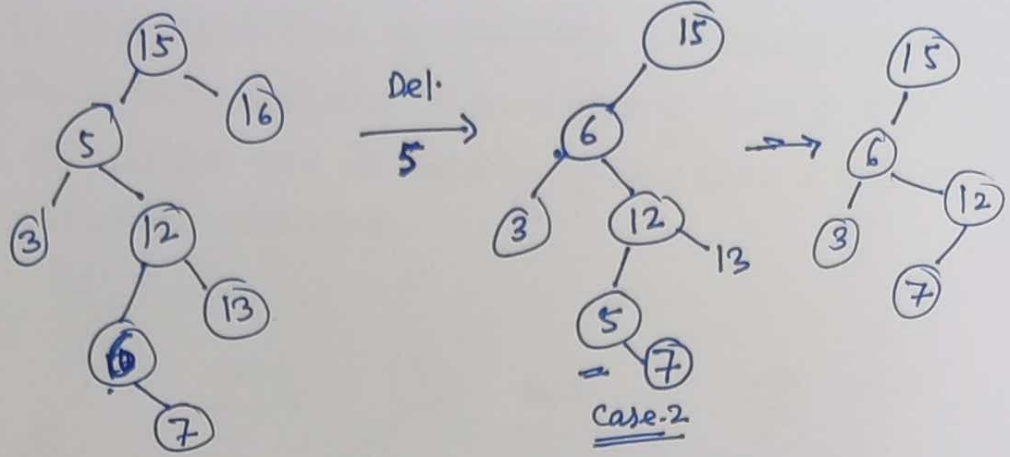


Case-3





Ex:-



Delete (u)

1 { if  $u.l = \text{nil}$  or  $u.r = \text{nil}$   
     |  $v \leftarrow u$   
     | else  
     |  $v \leftarrow \text{successor}(u)$

2 { if  $v.l \neq \text{Nil}$   
     |  $\tau \leftarrow v.l$   
     | else  
     |  $\tau \leftarrow v.r$

3 { if  $\tau \neq \text{Nil}$   
     |  $\tau.p \leftarrow v.p$

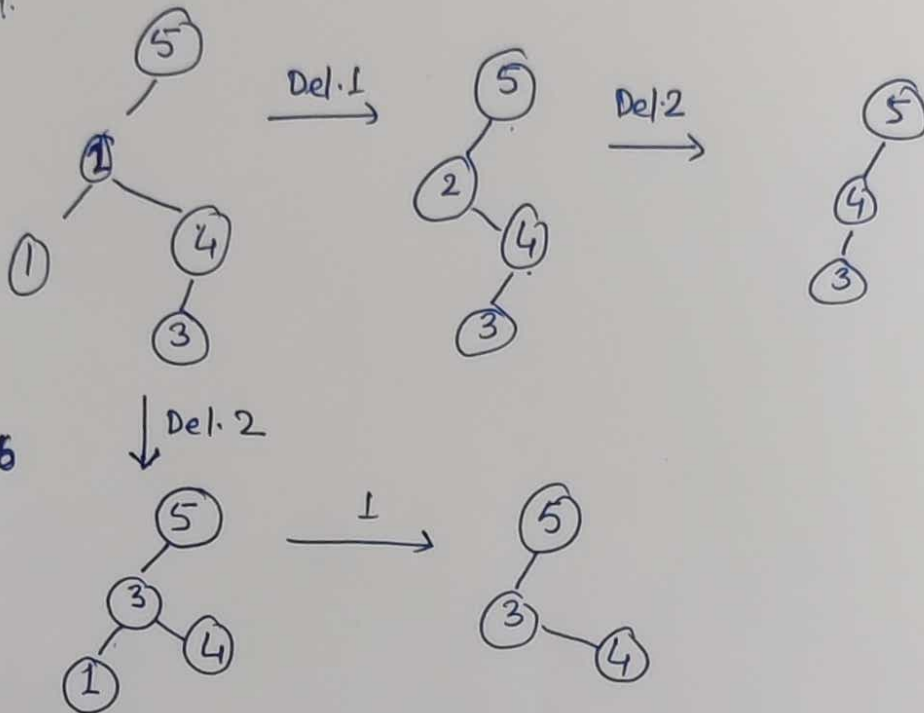
4 { if  $v.p = \text{Nil}$   
     |  $T.\text{root} \leftarrow \tau$   
     | else if  $v \leftarrow (v.p).l$   
     |  $(v.p).l \leftarrow \tau$   
     | else  
     |  $(v.p).r \leftarrow \tau$

$O(h)$

if  $v \neq u$   
     |  $u.\text{key} \leftarrow v.\text{key}$   
     | return v

4

Quest:- Is the operation of deletion is "commutative" such that deleting 'x' and then y from a BST leaves the same tree as deleting y and then x? Give counter example.

$$\underline{\underline{1.5 \times 10^5}}$$


AVL Tree : Self-balancing (dynamically balanced)

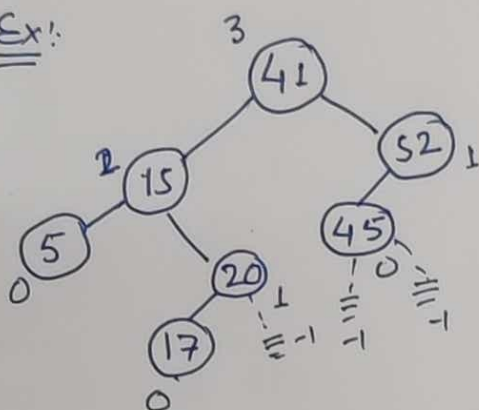
BST.

- Named after: Adelson, Velsky - Landis (1962)  
(Soviet Mathematician)

Height of a node :-

Length of longest path from that node down to a leaf.

Ex:



=  $\max(\text{height of left child, right child}) + 1$

CONCEPT OF AVL TREE :-

- for each node  $u$ , the height of left subtree & right subtree of  $u$  is differ by at most 1.

$$|h_l - h_r| \leq 1$$

Height of AVL Tree! : worst case:- when right subtree has height one more than left subtree.

Recursive Definition! :

$n_h$  : min # nodes of height  $h$ .

$N_{h-1}$  : # of nodes in Tree of ht  $h-1$

Basecase! :  $N_1 = 1$



$N_2 = 2$

$$N_h = 1 + N_{h-1} + N_{h-2}, \quad h \geq 3$$

$$> 1 + 2N_{h-2}$$

$$> 2N_{h-2}$$

$$N_h > 2 N_{h-2} \quad - (1)$$

$N_h$  at least doubles at each time  $h$  increases by 2.  
means  $N_h$  grows exponentially

$$N_h > 2^{\frac{h}{2} - 1}$$

$$\log_2 N_h > \frac{h}{2} - 1$$

$$h < 2 + 2 \log N_h$$

$$h = O(\log n)$$

$$N_h = n$$

Illustration

$$N_3 = 2 N_1 = 2 \times 2^{\frac{3}{2} - 1}$$

$$N_4 = 2 N_2 = 2 \times 2^{\frac{4}{2} - 2}$$

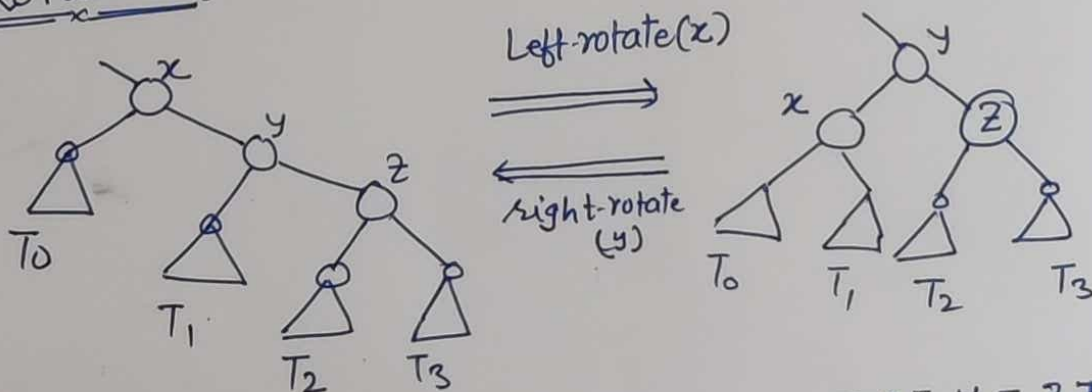
$$N_5 = 2 N_3 = 2 \times 2^{\frac{5}{2} - 2}$$

$$N_6 = 2 \times N_4 = 2 \times 2^{\frac{6}{2} - 2} = 8$$

Insertion :

- Insert like BST
- ~~But~~ Maintain AVL Properties.

Rotation :-

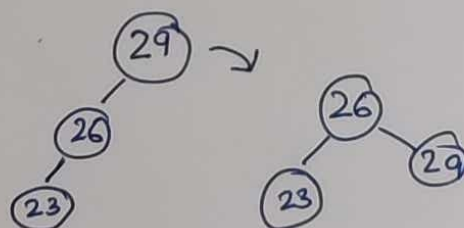


Inorder Traversal:  $T_0 \ x \ T_1 \ y \ T_2 \ z \ T_3$

$T_0 \ x \ T_1 \ y \ T_2 \ z \ T_3$

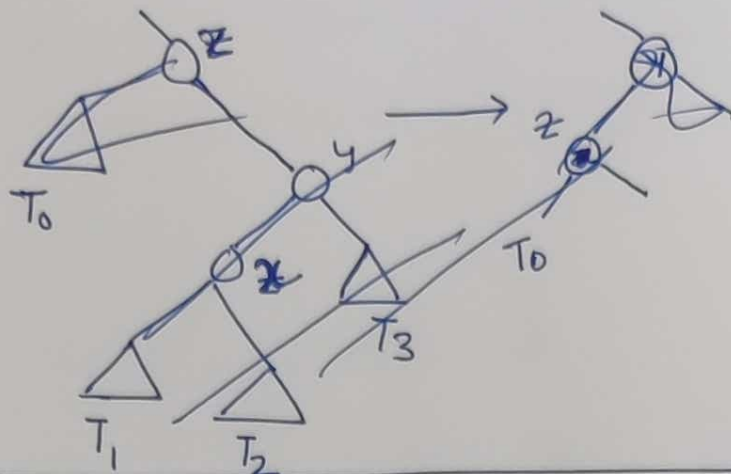
BST Prop. Maintained

Ex:-

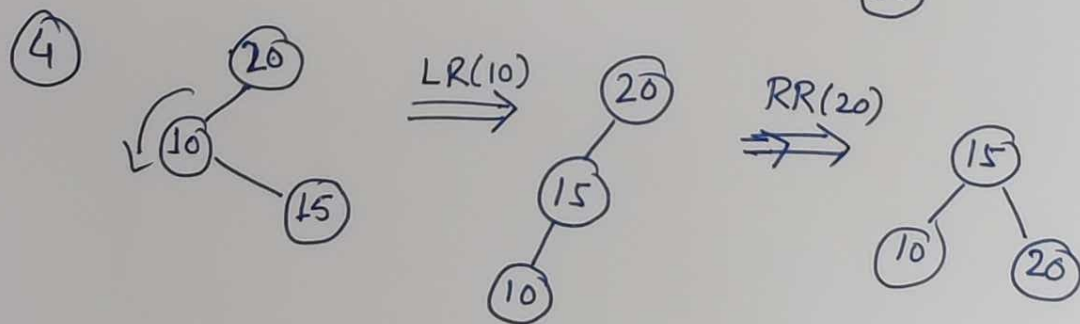
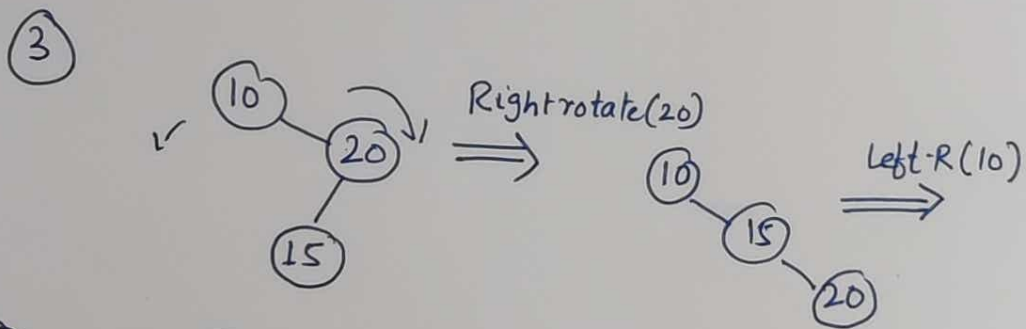
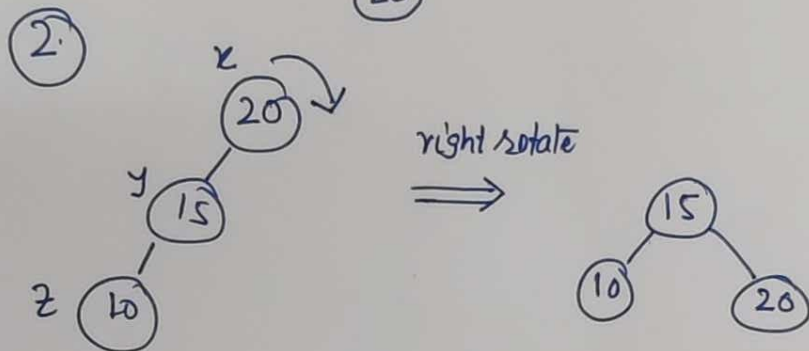
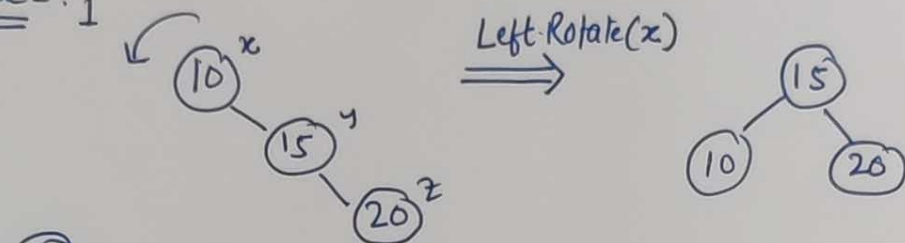




# Double Rotate



## Cases:





# AVL TREE Example

Insert 'n' items -  $\theta(n \log n)$

In order traversal -  $\theta(n)$

Ex:-

4, 10, 2, 10, 0, -3

