Unit V: Ajax and XML



5.1. Basics of AJAX

What is AJAX?

AJAX stands for Asynchronous JavaScript and XML. It is not a programming language but a technique for creating fast and dynamic web pages.

W Definition:

AJAX allows web pages to be updated asynchronously by exchanging small amounts of data with the server behind the scenes, without needing to reload the whole web page.

How AJAX Works (Step-by-Step Flow):

Let's look at the typical lifecycle of an AJAX request:

- 1. User triggers an event (e.g., clicks a button)
- 2. JavaScript creates an XMLHttpRequest object
- 3. It sends a request to the server (asynchronously)
- 4. Server processes the request and sends back a response
- 5. JavaScript updates part of the webpage with the new data

AJAX Workflow Diagram

```
[User Action]
[JavaScript Function]
[XMLHttpRequest Object]
[Send Request to Server]
[Server Processes Data]
[Send Response to JS] —
[DOM Updated Dynamically]
```

₹ Technologies Used in AJAX

Component	Role in AJAX
JavaScript	Creates request and handles response
XMLHttpRequest or Fetch API	Makes asynchronous calls
HTML/CSS	Frontend structure
Server-Side Scripting (e.g., PHP, Node.js)	Handles requests, fetches data
JSON/XML	Format of data sent/received

Though the "X" in AJAX stands for XML, JSON is now more commonly used because it's lightweight and easier for JavaScript to handle.

▼ Basic Syntax of AJAX (Using XMLHttpRequest)

```
// 1. Create a new XMLHttpRequest object
const xhttp = new XMLHttpRequest();

// 2. Define what happens when the response is ready
xhttp.onload = function() {
    // Handle the response (display it or process it)
    document.getElementById("elementID").innerHTML = this.responseText;
};

// 3. Prepare the request (method, URL, async?)
xhttp.open("GET", "filename.txt", true);

// 4. Send the request to the server
xhttp.send();
```

Important Points to Remember

Step	Line	What it Does
1	new XMLHttpRequest()	Creates the AJAX request object
2	onload = function()	Sets what should happen when data is returned
3	open("GET", "file", true)	Prepares the request (GET/POST, URL, async?)
4	send()	Sends the request to the server

Short Form to Memorize

```
const xhttp = new XMLHttpRequest();
xhttp.onload = function() {
   // do something with this.responseText
};
xhttp.open("GET", "data.txt", true);
xhttp.send();
```

✓ Optional Syntax to Know (for POST requests)

```
xhttp.open("POST", "server.php", true);
xhttp.setRequestHeader("Content-type", "application/x-www-form-urlencoded");
xhttp.send("name=value&another=value2");
```

Used when sending form data to the server.

Basic AJAX Example (Using XMLHttpRequest)

Let's write a simple example that fetches data from a server and displays it without reloading the page.

♦ HTML (index.html)

```
<!DOCTYPE html>
<html>
<head><title>AJAX Example</title></head>
<body>
<h2>Click to Load Message</h2>
<button onclick="loadMessage()">Click Me</button>
<div id="output"></div>
<script>
 function loadMessage() {
   const xhttp = new XMLHttpRequest();
   xhttp.onload = function() {
    document.getElementById("output").innerHTML = this.responseText;
  };
  xhttp.open("GET", "message.txt", true);
   xhttp.send();
 </script>
```

```
</body>
</html>
```

message.txt

Hello, this is your AJAX message!

Clicking the button fetches content from message.txt without reloading the page.

Step-by-Step Explanation

HTML Part (User Interface)

```
<h2>Click to Load Message</h2>
<br/>
<button onclick="loadMessage()">Click Me</button>
<div id="output"></div>
```

- <button onclick="loadMessage()">Click Me</button>
 - This is a button.
 - When the user **clicks it**, the **loadMessage()** function is called.
- <div id="output"></div>
 - This is an empty <div> where the fetched message will be displayed.
 - We will use JavaScript to insert content here.

JavaScript + AJAX Logic

function loadMessage() {

- This is the function that runs when you click the button.
- It contains all the logic to make the AJAX call.

```
const xhttp = new XMLHttpRequest();
```

- This line creates a new AJAX request object.
- XMLHttpRequest is a built-in JavaScript object used to send and receive data from a web server.

```
xhttp.onload = function() {
  document.getElementById("output").innerHTML = this.responseText;
};
```

- xhttp.onload is a function that runs automatically when the server responds successfully.
- Inside it:
 - this.responseText contains the text received from the server (in our case, the contents of message.txt).
 - o document.getElementById("output").innerHTML = ... sets the content of the <div id="output"> to the received text.
- So this is where the **text message appears** in the page.

```
xhttp.open("GET", "message.txt", true);
```

- This prepares the AJAX request.
- "GET" means we are **requesting** data (as opposed to sending data).
- "message.txt" is the file we want to fetch.
- true means the request is asynchronous (it won't block the page while waiting).

```
xhttp.send();
```

• This line sends the actual request to the server.

What Happens When You Click the Button?

- 1. Browser calls the function loadMessage().
- 2. JavaScript creates an XMLHttpRequest object.
- 3. It prepares to GET message.txt asynchronously.
- 4. It sends the request to the server.
- 5. When the file is received, JavaScript inserts its content into the <div> with ID output.

Final Output on the Web Page:

After clicking the button, the user sees:

```
Click to Load Message [Click Me Button]
```

Hello, this is your AJAX message!

X Practice Problems

- ♦ Q1: Explain the steps involved in an AJAX request lifecycle.
- Q2: What are the advantages of using AJAX in web applications?
- Q3: Create an HTML page that uses AJAX to fetch a .txt file and display it in a div .

Advantages vs. Disadvantages of AJAX

Advantages	Disadvantages
No page reload for small updates	Requires JavaScript to be enabled
Faster and more responsive pages	Harder to debug than traditional pages
Less bandwidth used	Can be complex for large apps

Recap Table

Concept	Key Point
Full form of AJAX	Asynchronous JavaScript and XML
Purpose	Update parts of webpage without reloading
Main object used	XMLHttpRequest (or Fetch API)
Common data formats	JSON (preferred), XML
Typical use case	Dynamic updates, auto-suggestions, data fetch
Advantage	Improves speed and user experience
Limitation	Relies heavily on JavaScript

5.2 Introduction to XML and Its Applications

What is XML?

XML stands for eXtensible Markup Language. It is a markup language like HTML but is designed to store and transport data, not display it.

Key Purpose of XML

XML is used to structure, store, and exchange data between applications, especially when the systems are different in architecture or platform.

Q Basic Differences Between HTML and XML

Feature	HTML	XML
Purpose	Display data	Store and transport data
Tags	Predefined (e.g., , <div>)</div>	User-defined (e.g., <student>)</student>
Error Tolerance	Forgiving	Strict (Well-formed required)
Closing Tags	Optional in some cases	Mandatory

Example: Basic XML Document

***** Explanation:

- <students> is the root element.
- <student> is a **child element** that groups data about a student.
- Tags are **user-defined** and describe the **structure and meaning of the data**.

Where is XML Used?

Use Case	Description
Web Services (SOAP, REST)	Data exchange between servers
RSS Feeds	Delivering news/articles dynamically
Configuration Files	Settings for applications (e.g., config.xml)
Mobile Apps (Android)	Layout and settings (AndroidManifest.xml)
AJAX Communication	As a format for server responses
Data Storage & Portability	Platform-independent format

Why Use XML Instead of Plain Text?

- Data is **structured** and **self-descriptive**.
- · Can represent complex hierarchical data.
- Easily parsed by machines and still readable by humans.
- Can be validated against rules (DTD/XSD) for consistency.

X Practice Problems

- 1. Create an XML document representing 2 books with title, author, and price.
- 2. What are the main uses of XML in web development?
- 3. Compare HTML and XML in terms of:
 - Purpose
 - · Tag flexibility
 - Strictness of syntax

Recap Table

Concept	Description
Full Form	eXtensible Markup Language
Main Use	Storing and transporting data
Tags	User-defined and meaningful
Well-formed Rule	Requires proper nesting and closing
Common Applications	RSS, Web Services, Android, AJAX
Compared to HTML	XML is strict, not for displaying data
Self-Descriptive?	Yes – the tag names describe the data

5.3 Syntax Rules for Creating XML Document

Overview

XML is strictly rule-based. This ensures that the structure is well-formed, which is critical for machines to reliably read and process the data.

If any syntax rule is broken, the entire XML document is considered invalid.

🔽 Essential Syntax Rules in XML

Here are the rules you must always follow:

Rule #	Rule Description	Example
1.	Every XML document must have a root element.	<students></students>

2.	All tags must be properly closed.	<name>Ram</name> (< name>Ram ()
3.	Tags are case-sensitive.	<name> ≠ <name></name></name>
4.	Tags must be properly nested.	<student><name>Ram</name></student> <student><name>Ram</name></student>
5.	Attribute values must be in quotes.	<book title="XML Basics"></book>
6.	Document must begin with XML declaration (optional but recommended).	xml version="1.0" encoding="UTF-8"?
7.	There should be no overlapping tags.	<pre><i>Text</i></pre>

Example of a Well-Formed XML Document

What makes it correct?

- One root element: library>
- All tags closed and nested properly
- Attribute values in quotes
- No illegal characters or overlap

X Example of an Invalid (Not Well-Formed) XML

```
<students>
<student>
<name>Ram</name>
<roll>5</roll>
<!-- Missing closing tag for student →
</students>
```

This XML is invalid because:

- The second <student> tag is not closed.
- The comment is hanging and incorrectly placed.

Tip: XML is like Math — Precision is mandatory.

Character Rules

You must avoid illegal characters like:

- and inside text (unless escaped):
 - Use &It; for <
 - Use & for &

Example:

```
<note>Use &It;tag&gt; syntax in XML</note>
```

Practice Problems

- 1. Write an XML document to represent a list of 3 employees with name, id, and department.
- 2. Identify the errors in the following XML:

```
<course>
<name>Web Tech</name>
<credit>3
</course>
```

3. Write a well-formed XML for a music playlist with multiple <song> elements.

Recap Table

Rule	Explanation	
One root element	All XML must be wrapped in a single root	
Tags must be closed	No unclosed or self-closing without /	
Tags are case-sensitive	<title> ≠ <title></td></tr><tr><td>Proper nesting required</td><td colspan=2>No overlapping</td></tr><tr><td>Attributes in quotes</td><td>key="value"</td></tr><tr><td>XML declaration recommended</td><td><?xml version="1.0" encoding="UTF-8"?></td></tr><tr><td>Escape special characters</td><td>Use ⁢ , & , etc.</td></tr></tbody></table></title>	

5.4 XML Elements, XML Attributes, and XML Tree

A. XML Elements

An XML element is the basic building block of any XML document.

✓ Syntax

```
<tagname>Content</tagname>
```

Example:

```
<name>Ram</name>
<price>300</price>
```

Points to Remember:

- Elements **must be closed** (either </tagname> or self-closing with /).
- Elements can contain text, attributes, other elements, or all three.

B. XML Attributes

An attribute provides additional information about an element, similar to HTML attributes.

✓ Syntax:

```
<book title="XML Basics" author="John Smith" />
```

Alternative (using elements instead of attributes):

```
<book>
<title>XML Basics</title>
<author>John Smith</author>
</book>
```

Attributes vs. Elements

Criteria	Attributes	Elements
Structure	Name-value pairs in start tag	Nested tags
Use case	Metadata / properties	Data content or structure
Readability	Less readable for complex data	More readable
Best Practice	Use attributes for identifiers or metadata	Use elements for main data

Example with Both Elements and Attributes:

```
<book isbn="978-1234567890">
<title>Learning XML</title>
<author>Jane Doe</author>
<price>400</price>
</book>
```

- isbn is an attribute
- title, author, and price are elements

C. XML Tree Structure

XML documents form a tree-like hierarchy.

Example XML:

```
library>
 <book id="1">
  <title>XML Basics</title>
  <author>John Smith</author>
 </book>
</library>
```

Tree Representation:

```
library
└── book (id="1")
  — title → XML Basics
  — author → John Smith
```

Practice Problems

- 1. Write XML using attributes to describe 2 mobile phones (brand, model, price).
- 2. Convert the following attributes into elements:

```
<car brand="Toyota" model="Corolla"/>
```

3. Draw a tree diagram for:

```
<company>
<employee id="101">
 <name>Alex</name>
  <dept>IT</dept>
```

```
</employee>
</company>
```

Recap Table

Concept	Key Point
XML Element	Stores actual data using tags
XML Attribute	Holds metadata inside opening tag
Use of Elements	For structured, complex, or repeated data
Use of Attributes	For IDs, flags, or extra info
Tree Structure	Root → Branches → Leaves (elements inside elements)

5.5 XML Namespace

Why Do We Need Namespaces in XML?

Sometimes, we want to **combine XML data** from **different sources**, and they may use **the same tag names**. This can cause **conflicts or confusion** about what the tags mean.

▼ Example of Conflict Without Namespace:

```
<info>
  Steel
  Furniture
</info>
```

• Which <able> is a metal table? Which is a piece of furniture?

What is an XML Namespace?

An **XML** namespace is a way to uniquely identify elements and attributes by using a **URI** (Uniform Resource Identifier) as a prefix.

Think of a namespace like a surname that helps identify which "family" a tag belongs to.

XML Namespace Syntax

```
<element xmlns:prefix="URI">
  </prefix:tagname> value</prefix:tagname>
</element>
```

- xmlns:prefix="URI": This declares the namespace with a prefix.
- prefix:tagname: This uses the prefix to distinguish the tag.

🗸 Real Example: Two XML Sources Merged

```
<info xmlns:metal="http://example.com/metal"
    xmlns:furniture="http://example.com/furniture">
    <metal:table>Steel</metal:table>
    <furniture:table>Dining Table</furniture:table>
</info>
```

Here:

- metal:table means the element belongs to the metal context.
- furniture:table belongs to the furniture context.

No more confusion!

Types of Namespaces

Туре	Example	Description
Default Namespace	xmlns="http://example.com"	Applies to all tags without a prefix
Prefixed Namespace	xmlns:book="http://books.com"	Used with book: prefix
Local Namespace	Declared inside a specific element	Affects only that element and its children

Best Practices

- Always use namespaces when integrating XML from multiple sources.
- Prefer prefixed namespaces for clarity.
- The URI is not a link, it's just an identifier.

X Practice Questions

- 1. What is the purpose of XML namespaces?
- 2. Declare two different tags one for books and one for electronics using namespaces.
- 3. Explain the difference between default and prefixed namespaces with an example.

Recap Table

Concept	Description	
XML Namespace	Avoids name conflict by uniquely qualifying element names	
Declared using	xmlns:prefix="URI"	

Used with	prefix:elementName	
Default Namespace	Affects all unprefixed tags	
URI Role	Acts as a unique identifier (not a link)	
Common Use Case	Merging data from different XML vocabularies	



Document Type Definition (DTD)

★ What is DTD?

A Document Type Definition (DTD) defines the structure and the legal elements and attributes of an XML document. It acts like a blueprint that ensures the XML file follows a specific format.

You can define a DTD:

- Internally: Embedded inside the XML document.
- Externally: Stored in a separate file and referenced.

Overview Diagram of DTD Structure

```
XML Document

    DOCTYPE Declaration

   Internal DTD (within the XML)
   External DTD (referenced from outside)

    Elements

   Leaf Elements (no children)

    Parent Elements (contain child elements)

   - Attributes
```

No.

1. DOCTYPE Declaration

```
<!DOCTYPE root-element SYSTEM "filename.dtd"> <!-- External →
<!DOCTYPE root-element [ ... ]> \qquad <!-- Internal \rightarrow
```

2. **ELEMENT Declaration**

<!ELEMENT element-name content-type>

Content types:

- #PCDATA Parsed Character Data (text)
- EMPTY No content allowed
- ANY Any content is allowed
- Child elements Structured content

Examples:

```
<!ELEMENT title (#PCDATA)>
<!ELEMENT book (title, author)>
<!ELEMENT emptyTag EMPTY>
```

3. ATTLIST Declaration (Optional)

-used to define attributes for your xml elements.

```
<!ATTLIST element-name attribute-name attribute-type default-value>
```

Attribute types: CDATA, ID, IDREF, etc.

Default values:

- #REQUIRED Must be provided
- #IMPLIED Optional
- #FIXED Fixed value

Example:

```
<!ATTLIST book id ID #REQUIRED>
```

Example: Internal DTD

```
<author>John Doe</author>
</book>
</library>
```

Example: External DTD

```
File: library.dtd
```

```
<!ELEMENT library (book+)>
<!ELEMENT book (title, author)>
<!ELEMENT title (#PCDATA)>
<!ELEMENT author (#PCDATA)>
<!ATTLIST book id ID #REQUIRED>
```

XML File:

Practice Problems

Problem 1: Create a DTD (Internal) for the following XML:

```
<student>
<name>Ram</name>
<roll>101</roll>
</student>

<!DOCTYPE student [
<!ELEMENT student (name, roll)>
<!ELEMENT name (#PCDATA)>
<!ELEMENT roll (#PCDATA)>
]>
```

Problem 2: Add an attribute grade to <student> that is optional.

<!ATTLIST student grade CDATA #IMPLIED>

Recap Table

Feature	Description/Example	
Purpose	Defines valid structure and elements in XML	
Types of DTD	Internal, External	
ELEMENT declaration	ELEMENT tag-name content-type	
Content Types	#PCDATA , EMPTY , ANY , child elements	
ATTLIST declaration	ATTLIST tag-name attr-name type default	
Attribute types	CDATA, ID, IDREF, etc.	
Default Attribute	#REQUIRED , #IMPLIED , #FIXED	
Internal DTD	Defined within []	
External DTD	root SYSTEM "file.dtd"	

Topic: XML Schema Definition (XSD)

What is XSD?

XML Schema Definition (XSD) is a more powerful and expressive way to define the structure, constraints, and data types of an XML document compared to DTD.

XSD is itself written in XML, which means it is both machine-readable and human-readable.

File Structure Diagram – Where XSD fits

XML Document	
XSD Schema File (.xsd)	

Key Features of XSD over DTD

Feature	DTD	XSD
Syntax	Not XML-based	XML-based

Data Type Support	Limited (no int, date)	Strongly typed (int, date, etc.)	
Namespaces	Not supported	Supported	
Reusability	Low	High (using <xs:complextype> , etc.)</xs:complextype>	
Validation Accuracy	Lower	Higher	

XSD Basic Syntax Example

Let's write a simple XSD and corresponding XML.

XML Document (student.xml)

Explanation:

- The XML document starts with a declaration.
- The root element <student> has two namespace attributes:
 - xmlns:xsi: Defines the XML Schema Instance namespace.
 - xsi:noNamespaceSchemaLocation: Points to the external schema file student.xsd for validation.
- Inside <student> , two child elements:
 - o <name> contains a string.
 - <age> contains an integer.
- This document will be validated against the structure and data types defined in the schema.

✓ XSD Schema (student.xsd)

```
</xs:element>
</xs:schema>
```

Explanation:

- The schema is wrapped in <xs:schema>, using the xs prefix for XML Schema tags.
- The main element <student> is declared with a complex type since it contains multiple child elements.
- Inside the <xs:sequence>, two child elements are defined:
 - <name> is of type xs:string.
 - o <age> is of type xs:integer.
- <xs:sequence> ensures the order of elements in XML must be exactly name, then age.

Summary

Component	Purpose	
xsi:noNamespaceSchemaLocation	Links XML file to its XSD schema.	
xs:schema	Root element of an XSD file.	
xs:element	Declares XML elements and their data types.	
xs:complexType	Used when an element has nested child elements.	
xs:sequence	Child elements must appear in the exact order defined.	
xs:string , xs:integer	Data types provided by XML Schema (part of simple types, covered next).	

Practice Problems

Problem 1:

Create an XSD that validates the following XML:

```
<br/>
<book>
<title>XML Fundamentals</title>
<author>Jane Smith</author>
<price>299.99</price>
</book>
```

Try to define:

- title and author as xs:string
- price as xs:decimal

Problem 2:

Modify the student.xsd to:

- Add a gender element of type xs:string
- Add a dob element of type xs:date

▼ Recap Table: XML Schema Definition (XSD)

Key Point	Description	
What is XSD?	An XML-based language used to define the structure and data types of XML	
Syntax Base	XML itself	
Main Tags	<xs:schema> , <xs:element> , <xs:complextype> , <xs:sequence></xs:sequence></xs:complextype></xs:element></xs:schema>	
Data Type Support	Strong: includes xs:string , xs:integer , xs:date , etc.	
Referencing in XML	xsi:noNamespaceSchemaLocation="file.xsd"	
Element Nesting	Use <xs:complextype> and <xs:sequence> for nested elements</xs:sequence></xs:complextype>	
External Schema File	Written separately with .xsd extension	

Sub-topic: XSD Simple Types

What are XSD Simple Types?

In XSD, **Simple Types** are used to define elements or attributes that **contain only text (no child elements or attributes)**.

They specify what kind of text is allowed—like a string, integer, date, etc.

▼ Categories of Simple Types

Category	Examples	Description
Built-in	xs:string , xs:integer , xs:boolean	Predefined by the XML Schema specification
User-defined	Custom types using restrictions (facets)	You define rules based on built-in types

♦ Common Built-in Simple Types

Туре	Description	Example Value
xs:string	Any string of characters	"hello"
xs:integer	Whole numbers	42
xs:decimal	Decimal numbers	99.99
xs:boolean	Boolean values	true , false
xs:date	Date (YYYY-MM-DD)	2023-05-10
xs:time	Time (HH:MM:SS)	14:30:00

Example: Using Built-in Simple Types

XML Document

```
<employee>
<name>Alex</name>
<age>30</age>
<salary>40000.50</salary>
<isPermanent>true</isPermanent>
<joinedDate>2022-01-15</joinedDate>
</employee>
```

XSD Schema

```
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema">

<xs:element name="employee">
    <xs:complexType>
    <xs:sequence>
        <xs:element name="name" type="xs:string"/>
        <xs:element name="age" type="xs:integer"/>
        <xs:element name="salary" type="xs:decimal"/>
        <xs:element name="isPermanent" type="xs:boolean"/>
        <xs:element name="joinedDate" type="xs:date"/>
        </xs:sequence>
        </xs:complexType>
        </xs:element>

</xs:schema>
```

△ Defining Custom Simple Types (User-Defined)

Sometimes you want more control (e.g., limit a string to specific values or restrict a number's range).

That's where facets come in!

Example: Limit age to 18–60

```
<xs:simpleType name="ageType">
<xs:restriction base="xs:integer">
<xs:minInclusive value="18"/>
<xs:maxInclusive value="60"/>
</xs:restriction>
</xs:simpleType>
```

Then use it like this:

```
<xs:element name="age" type="ageType"/>
```

Common Restriction Facets

Facet	Description	Example
minInclusive	Minimum allowed value (inclusive)	<xs:mininclusive value="1"></xs:mininclusive>
maxInclusive	Maximum allowed value (inclusive)	<xs:maxinclusive value="100"></xs:maxinclusive>
length	Exact length of string	<xs:length value="4"></xs:length>
minLength	Minimum string length	<xs:minlength value="2"></xs:minlength>
maxLength	Maximum string length	<xs:maxlength value="10"></xs:maxlength>
pattern	Regex pattern	<xs:pattern value="[A-Z]{3}[0-9]{3}"></xs:pattern>
enumeration	Allowed fixed values	<xs:enumeration value="Male"></xs:enumeration>

Practice Problems

Problem 1:

Define an XSD for a <user> element with the following:

- username must be a string between 4–12 characters.
- age must be between 13 and 99.
- gender must be either "Male", "Female", or "Other".

Problem 2:

Write an XSD that ensures:

- email must match a basic pattern like "abc@xyz.com"
- score must be a decimal between 0.0 and 100.0

Recap Table: XSD Simple Types

Key Point	Description	
Simple Type	Text-only content (no attributes or child elements)	
Built-in Types	xs:string , xs:integer , xs:boolean , xs:date , etc.	
User-defined Types	Created using <xs:simpletype> With <xs:restriction></xs:restriction></xs:simpletype>	
Restriction Facets	minInclusive , maxInclusive , length , pattern , enumeration , etc.	
Usage	Apply as type="xs:type" or link custom types in schema	

Sub-topic: XSD Attributes

What are Attributes in XML?

In XML, attributes provide additional information about elements.

They are always placed **inside the start tag** of an element like this:

```
<book title="XML Fundamentals" price="299.99"/>
```

Unlike elements, attributes don't contain child elements or text nodes.

XSD allows you to define, type-check, and restrict these attributes.

Basic Syntax of Attributes in XSD

```
<xs:attribute name="attributeName" type="xs:type" use="optional/required"/>
```

Attributes of xs:attribute:

- name: Name of the attribute.
- type: Simple type (like xs:string, xs:integer, etc.).
- use: Specifies if the attribute is optional or required (default is optional).

Example: Attribute in XML + XSD

XML Document

```
<book title="XML Basics" price="299.99"/>
```

XSD Schema

Explanation:

- The book element has no child elements, just two attributes: title and price.
- The type of title is a string, and price is a decimal.
- Both attributes are marked required, so they **must** appear in every **book** element.

Attribute with Restriction (Custom Type)

Example: Gender attribute with limited values

```
<xs:attribute name="gender">
  <xs:simpleType>
  <xs:restriction base="xs:string">
    <xs:enumeration value="Male"/>
    <xs:enumeration value="Female"/>
    <xs:enumeration value="Other"/>
    <xs:enumeration value="Other"/>
    </xs:restriction>
  </xs:simpleType>
</xs:attribute>
```

Use in an element:

```
<xs:element name="person">
  <xs:complexType>
  <xs:attribute name="gender">...</xs:attribute>
  </xs:complexType>
  </xs:element>
```

Practice Problems

Problem 1:

Write an XSD for this XML:

```
<student name="Ram" roll="5"/>
```

Constraints:

- name: string
- roll: integer, required

Problem 2:

Write an XSD for:

```
<car model="Civic" fuel="Petrol"/>
```

• Limit fuel to only: Petrol, Diesel, Electric

Recap Table: XSD Attributes

Feature	Description	
Syntax	<xs:attribute name="" type="" use=""></xs:attribute>	
use options	optional (default), required , prohibited	
Typed attributes	Use built-in types like xs:string , xs:integer , etc.	
Restrictions	Use <xs:simpletype> + <xs:restriction> for custom rules</xs:restriction></xs:simpletype>	
Placement	Always inside a <xs:complextype></xs:complextype>	

Sub-topic: XSD Complex Types

What Are Complex Types?

In XML Schema, a complex type is used when an element:

- · Has child elements, or
- Has attributes, or
- Has both

Basically, anything more than just text makes an element a complex type.

Ways to Define Complex Types

There are two main ways to define complex types:

Туре	Description	Example Use Case
Named complex types	Defined once, can be reused by multiple elements	Reusable person or address type
Anonymous complex types	Defined directly inside an element declaration	One-off use for a specific element

Structure Patterns of Complex Types

Here are three typical structures:

1. With Child Elements Only

```
<xs:element name="person">
  <xs:complexType>
   <xs:sequence>
    <xs:element name="name" type="xs:string"/>
    <xs:element name="age" type="xs:integer"/>
    </xs:sequence>
   </xs:complexType>
</xs:element>
```

- The <person> element contains two child elements: <name> and <age>.
- Uses <xs:sequence> to enforce order.

2. With Attributes Only

```
<xs:element name="book">
  <xs:complexType>
  <xs:attribute name="title" type="xs:string" use="required"/>
  <xs:attribute name="price" type="xs:decimal"/>
  </xs:complexType>
</xs:element>
```

- The <book> element has no child elements, only attributes.
- Still uses <xs:complexType> because simple types cannot have attributes.

3. With Child Elements + Attributes

```
<xs:element name="employee">
  <xs:complexType>
  <xs:sequence>
    <xs:element name="name" type="xs:string"/>
    <xs:element name="position" type="xs:string"/>
    </xs:sequence>
    <xs:attribute name="id" type="xs:integer" use="required"/>
    </xs:complexType>
</xs:element>
```

- · Element has both child elements and attributes.
- <employee> has two child elements and an attribute id.

Named Complex Type (Reusable)

Define once, use many times:

```
<xs:complexType name="AddressType">
  <xs:sequence>
  <xs:element name="street" type="xs:string"/>
  <xs:element name="city" type="xs:string"/>
  </xs:sequence>
  </xs:complexType>

<xs:element name="billingAddress" type="AddressType"/>
  <xs:element name="shippingAddress" type="AddressType"/>
```

- Reuses AddressType in multiple elements.
- Promotes cleaner, DRY-style schema design.

Sequence vs. Choice

When defining child elements in a complex type, you can control their order and occurrence:

Tag	Description	Example Use Case
<xs:sequence></xs:sequence>	Child elements must appear in specified order	Most common
<xs:all></xs:all>	All child elements must appear once, any order	When order doesn't matter
<xs:choice></xs:choice>	Only one of the child elements can appear	Alternative values (e.g., phone OR email)

★ Example: Choice

```
<xs:element name="contact">
  <xs:complexType>
  <xs:choice>
    <xs:element name="email" type="xs:string"/>
    <xs:element name="phone" type="xs:string"/>
    </xs:choice>
  </xs:complexType>
</xs:element>
```

• The <contact> element must have either <email> or <phone> , not both.

Practice Problems

Problem 1:

Write an XSD schema for an element <student> that contains:

- name (string), age (integer), gender (Male/Female)
- An attribute rollno (required, integer)

Problem 2:

Define a reusable complex type ContactType With email, phone, and use it for both guardian and student.

▼ Recap Table: XSD Complex Types

Feature	Description
Complex Type	Used when an element has children and/or attributes
Anonymous Type	Defined directly inside an element declaration
Named Type	Defined with name="", used in multiple elements
xs:sequence	Ordered child elements
xs:all	All elements appear once, in any order
xs:choice	Only one of the listed child elements appears
Attributes	Defined inside <xs:complextype></xs:complextype>

Topic: XML Style Sheets

What Is an XML Style Sheet?

An XML Style Sheet is a way to control how XML data is presented to users.

Since raw XML is just structured data with no visual formatting, style sheets let us **transform** or **style** the XML for display in browsers or applications.

There are mainly two types of XML style sheets:

Style Sheet Type	Full Form	Used For
css	Cascading Style Sheets	Basic visual styling (color, font)
XSL	Extensible Stylesheet Language	Advanced transformation to HTML, etc.

Let's now move on to the more powerful and flexible way of styling and transforming XML: **XSL** (Extensible Stylesheet Language).

Topic: XSL and XSLT (Transforming XML)

What is XSL?

XSL (Extensible Stylesheet Language) is a family of languages used to transform and present XML documents. The main component you'll work with is:

XSLT - XSL Transformations

It allows you to **transform XML data** into **HTML**, **another XML format**, **plain text**, or any other text-based format.

→ Why Use XSLT?

Use Case	Description
Convert XML → HTML	To display XML as a formatted webpage
Convert XML → Another XML structure	To restructure or filter data
Extract parts of XML	To retrieve only selected information
XML to plain text or CSV	For simpler outputs or integration

Structure of an XSLT File

Here's the basic structure:

```
<xsl:stylesheet version="1.0"
    xmlns:xsl="http://www.w3.org/1999/XSL/Transform">

<xsl:template match="/">
    <!-- output structure goes here ->
    </xsl:template>
</xsl:stylesheet>
```

- xmlns:xsl="...": Defines the namespace for XSL elements.
- <xsl:template match="/">: Template rule to match the root node and start processing.

Example: XML to HTML with XSLT

books.xml

```
<?xml-stylesheet type="text/xsl" href="books.xsl"?>
library>
  <book>
    <title>XML Basics</title>
        <author>John Doe</author>
        </book>
        <book>
        <title>Advanced XML</title>
        <author>Jane Smith</author>
        </book>
        </book>

        //book>

        //library>
```

books.xsl

```
<xsl:stylesheet version="1.0"
 xmlns:xsl="http://www.w3.org/1999/XSL/Transform">
<xsl:template match="/">
 <html>
  <body>
   <h2>Book List</h2>
   Title
     Author
    <xsl:for-each select="library/book">
     <xsl:value-of select="title"/>
      <xsl:value-of select="author"/>
     </xsl:for-each>
   </body>
 </html>
 </xsl:template>
</xsl:stylesheet>
```

Explanation:

- <?xml-stylesheet ...?> : Links the XML file to the XSL file.
- <xsl:template match="/"> : Starts the transformation from the root.
- <xsl:for-each select="library/book"> : Loops over each <book> .
- <xsl:value-of select="..."/> : Outputs values from XML.

Common XSLT Elements

Tag	Purpose
<xsl:template match=""></xsl:template>	Defines a transformation rule
<xsl:value-of select=""></xsl:value-of>	Outputs a value
<xsl:for-each select=""></xsl:for-each>	Loops through nodes
<xsl:if test=""></xsl:if>	Conditional logic
<xsl:choose> / <xsl:when> / <xsl:otherwise></xsl:otherwise></xsl:when></xsl:choose>	Switch-case logic

Sample Conditional Logic

```
<xsl:if test="price &gt; 500">
  <strong>Expensive</strong>
</xsl:if>
```

Practice Problems

Problem 1:

Given this XML:

```
<students>
<student>
<name>Ram</name>
<grade>A</grade>
</student>
<student>
<name>Shyam</name>
<grade>B</grade>
</student>
</student>
</student>
</student>
```

Write an XSLT to display the list of students in a table format.

Problem 2:

Transform the above XML into plain text like:

```
Ram - Grade A
Shyam - Grade B
```

Recap Table: XSL / XSLT

Concept	Description
XSLT	Language to transform XML to HTML, XML, or text
xsl:template	Defines how to match and transform XML nodes
xsl:for-each	Loops through elements
xsl:value-of	Extracts and outputs a value
xsl:if , xsl:choose	Conditional logic
Transformation Target	HTML, XML, plain text, etc.

Topic: XQuery (XML Query Language)

What is XQuery?

XQuery stands for XML Query Language. It is designed to:

- Query XML data (just like SQL queries databases).
- Extract, filter, transform, and generate XML content.
- Work with data stored in XML databases, or regular XML files.

Think of it as **SQL for XML** — but more powerful for hierarchical data.

Key Features

Feature	Description
Query XML data	Select specific parts of an XML document
Restructure XML	Change the layout or structure of XML
Construct new XML	Create new XML content based on conditions
Supports FLWOR	For-Let-Where-Order by-Return – similar to SQL clauses

Basic XQuery Syntax (FLWOR Expression)

for \$x in doc("books.xml")/library/book where \$x/price > 500 order by \$x/title return \$x/title

Explanation:

Part	Role
for	Loops through XML nodes
let	Binds variables (optional)
where	Applies conditions (like WHERE in SQL)
order by	Sorts the results
return	Outputs the desired result

Example: Querying Book Titles Over Rs. 500

books.xml

library> <book>

```
<title>XML Mastery</title>
<price>450</price>
</book>
<book>
<title>XQuery Guide</title>
<price>600</price>
</book>
</library>
```

query.xq

for \$b in doc("books.xml")/library/book where \$b/price > 500 return <expensiveBook>{\$b/title}</expensiveBook>

Output:

<expensiveBook>XQuery Guide</expensiveBook>

Other XQuery Capabilities

Feature	Example/Description	
String functions	contains(\$title, "XML") , substring() , etc.	
Arithmetic operations	\$b/price * 1.1	
Nested queries	Return elements with nested results	
Returning HTML/XML	Create HTML pages or new XML from existing data	

Difference: XQuery vs XSLT

Feature	XSLT	XQuery
Туре	Declarative transformation	Declarative querying
Output Style	Templates and matching	Expressions and conditions
Best For	Formatting/Transforming XML to HTML	Filtering/searching large XML data
Syntax	XML-based	SQL-like with XML tags

Practice Problems

Problem 1:

Given XML of students:

```
<students>
<student>
<name>Ram</name>
<marks>85</marks>
</student>
<student>
<name>Shyam</name>
<marks>40</marks>
</student>
</student>
</student>
</student>
```

Write an XQuery to return only students scoring above 50.

Problem 2:

Transform the student data into:

```
<passed>Ram</passed>
```

▼ Recap Table: XQuery

Concept	Description
XQuery	XML-based query language
FLWOR	For, Let, Where, Order by, Return
doc("file.xml")	Loads an XML file
contains() , substring()	String functions for filtering
Output Format	Can generate XML, HTML, or plain text