

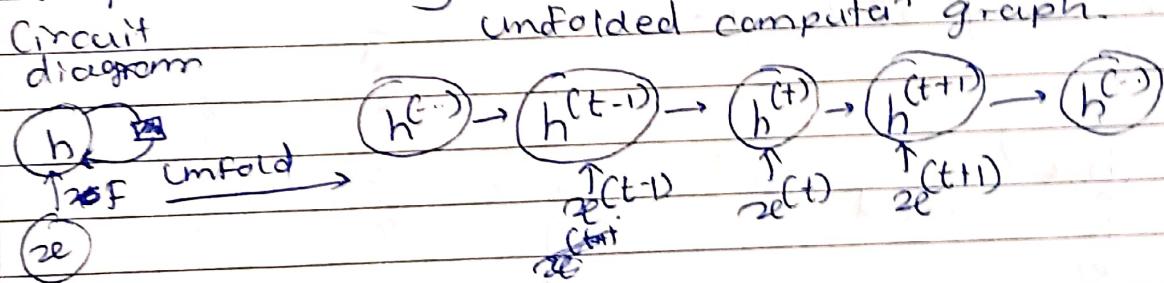
## \* Unfolding Computational graph -

1) Computational graph - A computational graph is a graphical representation of a series of maths operation or computation. It's commonly used in deep learning framework like tensorflow & pytorch to represent and optimize complex math expression.

2) Unfolding computational graph refers to expand it over time or across iteration. The graph is unrolled to visualize the sequence of operation across iteration.

### ) Example - Unfolding RNN -

Circuit diagram



$$\text{Equation } h^{(t)} = F(h^{(t-1)}, x_e^{(t)}; \theta)$$

We can represent unfolded recurrence after  $t$  steps with a function  $g^{(t)}$ :

$$\begin{aligned} h^{(t)} &= g^{(t)}(x_e^{(t)}, x_e^{(t-1)}, \dots, x_e^{(1)}, x_e^{(0)}) \\ &= F(h^{(t)}, x_e^{(t)}; \theta) \end{aligned}$$

Function  $g^{(t)}$  takes sequence of past inputs  $(x_e^{(t)}, x_e^{(t-1)}, \dots, x_e^{(1)}, x_e^{(0)})$  as input & produces the current state, but the unfolded recurrent structure allows us to factorize  $g^{(t)}$  into repeated application of a function  $F$ .

- 3) Advantages -
  - 1) Unfolding enables the use of same function at every step of the Sequence.
  - 2) Unfolding ensures that regardless of Sequence length learned model has consistent input size.
  - 3) Single model 'F' can operate on all time steps and Sequence lengths.

Teacher's Sign: \_\_\_\_\_

# A) RNN (Recurrent Neural Network) -

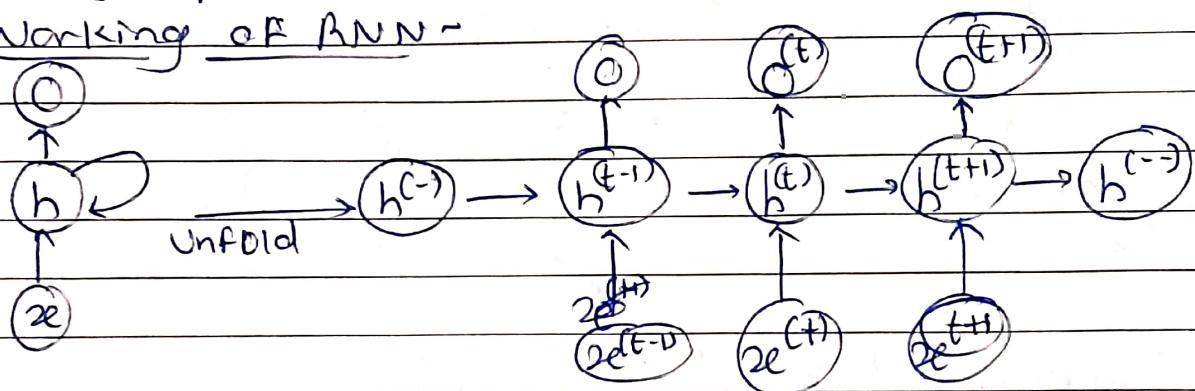


RNN is a type of Artificial Neural Network

that processes sequential data input and converts it into sequential data output. RNNs have internal memory in hidden layers which remembers previous state and make accurate predictions.

> Need for RNN - Traditional feed forward network processes each input independently lacking the ability to capture dependencies or patterns in longer sequences. RNNs address these limitations by introducing connection to the previous states to retain information from previous inputs & context.

## > Working of RNN -



> Input - at each  $t$ th time step  $t$ , the RNN receives an input  $x^{(t)}$ , which could be single element of Sequence (eg. a word, a pixel etc).

> Hidden state - RNN maintains a hidden state  $h^{(t)}$  that captures information from previous time steps. This hidden state acts as memory of network, allowing to retain info from previous time step.

> Output - Based on the current input  $x_e^{(t)}$  and the previous hidden state  $h^{(t-1)}$ , the RNN computes the current hidden state  $h^{(t)}$  and produces output  $o^{(t)}$  at each time step.

$$\text{eqn} - h^{(t)} = F(W_{he} \cdot x_e^{(t)} + W_{hh} \cdot h^{(t-1)} + b_h)$$

$$o^{(t)} = g(W_{oh} \cdot h^{(t)} + b_o).$$

Where,  $W_{he}$ ,  $W_{hh}$ ,  $W_{oh}$  are weights,  $b_h$  &  $b_o$  are biases.

Teacher's Sign.: \_\_\_\_\_

## ★ LSTM (Long Short Term Memory)

Date \_\_\_\_\_  
Page \_\_\_\_\_

LSTM networks are a type of RNNs designed to address vanishing gradient problem and capture long term dependencies in sequential data.

- LSTM is the memory cell which is capable of storing info for long duration. This helps to capture information over long sequences.
- 2) LSTM incorporates 3 types of gates -
  - Forget gate - determines which info from previous cell state should be discarded.
  - Input gate - which new info should be stored.
  - Output gate - chooses which parts of the cell should be used to compute output.

### 4) Working of LSTM -

- At each step, the LSTM cell receives input  $x_t$  & previous hidden state  $h_{t-1}$ .
- 2) Forget gate determines which info from previous cell state ( $c_{t-1}$ ) should be discarded.
- 3) Input gate decides which new info should be stored.
- 4) The output gate determines the new hidden state ( $h_t$ ) based on current cell state ( $c_t$ ) and previous hidden state  $h_{t-1}$ .
- 5) The output layer produces an output based on  $h_t$ , reflecting sequence's context up to current timestep.
- 6) Iteration - Steps 1 to 5 are repeated for each element in the sequence.

## BiLSTM (Bidirectional LSTM).

Extension to LSTM, enhancing capability of LSTM in both direction of input sequence. This allows the model to capture info from past & future.

Working - Architecture -

1) Dual LSTM -

→ Forward - Left to right

→ backward - Right to Left.

2) Independent processing - Each LSTM layer operates independently using internal gates to manage info flow & capture long-dependencies.

3) Merging the knowledge -

Working -

1) BiLSTM receives an input sequence  $X = \{x_1, x_2, \dots, x_T\}$  where  $T$  is the length of sequence.

2) Forward pass - input sequence processed from beginning to end. computes hidden state based on current i/p

3) Backward pass - input sequence is processed from the end to the beginning.

4) Combining hidden states - Once forward & backward pass is completed, the hidden states from both directions are combined to capture bi-directional context.

$h_t = [h_t^{(F)}, h_t^{(B)}]$ ,  $[,]$  denotes concatenation.

5) Combined hidden state  $h_t$  represent output of the BiLSTM at each time step. These hidden state can be used for various task such as Sequence generation.

## A) How to Train RNN -

- 1) Prepare data
- 2) Design model - choose RNN architecture
- 3) Initialize parameters. - initialize weights & biases
- 4) forward pass - Input data sequentially, compute hidden state & output
- 5) Compute Loss -
- 6) Backpropagation -
- 7) Train - Use Backpropagation Through Time (BPTT) with gradient clipping
- 8) Compute loss
- 9) Backpropagate to update weights.
- 10) Evaluate.

### Types of RNN -

#### 1) One to one RNN -

This type of neural net is understood bcoz the vanilla Neural Net

Used for general machine learning problem

single IIP

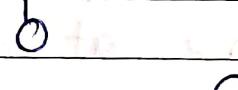


single OLP



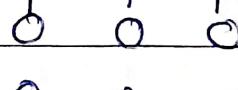
#### 2) One to Many RNN -

(Used in caption image caption generation)



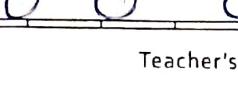
#### 3) Many to one RNN -

example - Sentiment analysis



#### 4) Many to Many

Ex - Machine Translation.



Teacher's Sign.: \_\_\_\_\_

\* Variants of RNN -

> Basic RNN (vanilla RNN)

2) LSTM

3) GRU - has gating mechanism, combining the forget gate & input gates into single update gate.

4) Bidirectional RNN -

5) Deep RNN.

\* Encoder-Decoder Seq2Seq Architecture -

> Encoder -> Multiple RNN cells can be stacked together to form encoder. RNN reads inputs sequentially.  
> For at each time step (input)  $x_t$ , hidden state  $h$  is updated according to the input at that timestep  $x_t$ .

> After all time step inputs are ready by encoder model, final hidden state of model represents context / summary of whole input sequence.

> Example - Consider input sentence "Cat sat on mat." to be encoded. There will be 5 timestamps. At each timestamp  $t$ , at each time stamp step, the hidden state ' $h$ ' will be updated using previous hidden state.

> hidden state computed using eqn.

$$h_t = f(W_h h_{t-1} + W_x x_t)$$

## 2) Encoder, vector -

- > Final hidden state produced by encoder RNN  
it is a compressed representation that captures the meaning & context of entire input sequence.
- > Provides essential info for the decoder to generate the output sequence accurately. Like a starting point for the decoder.

## 3) Decoder -

- > Another RNN that takes context vector from the encoder as its initial state.
- > Generates the output sequence one element at a time.
- > Predicts the next element in the output sequence based on context vector and previously generated output.
- > We use softmax activation function at the output layer. used to produce probability distribution from a vector of values.

## \* Bi RNN vs Recursive NN.

### Bi RNN

### Bi RNN - RNN

- |                                                                    |                                                    |
|--------------------------------------------------------------------|----------------------------------------------------|
| 1) Networks having hierarchical structure                          | chain like structure known as sequential structure |
| 2) Processes hierarchical data                                     | sequential data                                    |
| 3) Limited context handling                                        | Captures context through Sequential memory         |
| 4) Network requires specific Tree traversal algorithm for training | Involves training backpropagation through time     |
| 5) Vanishing gradient problem                                      | Computational complex.                             |
| 6) Internal computationally complex.                               | End vanishing gradient.                            |
| 7) Internal memory                                                 | Limited memory.                                    |

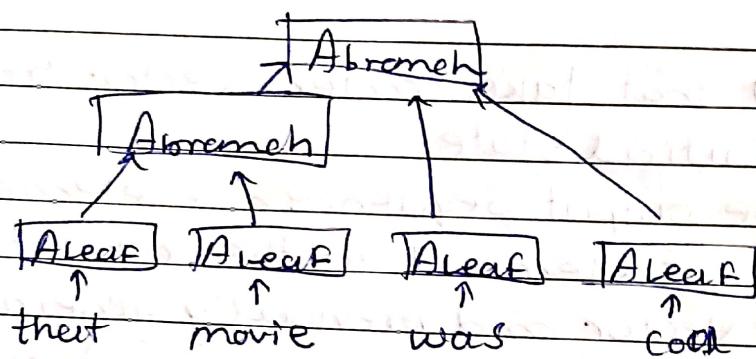
Teacher's Sign.: \_\_\_\_\_

## ★ Recursive Neural Network (RNN) -

RNN are specialized type of deep learning architecture designed to handle data with hierarchical structures. RNN focuses on understanding nested relationships within data.

Characteristics -

- 1) Hierarchical data - RNN are built to process data organized in a tree-like fashion.



- 2) Recursive processing - They operate by recursively breaking down the data structure. They process smaller parts (leaf nodes) and then combine result as they ascend the hierarchy.

They recursively apply some neural network operation at each level of the tree.

- 3) Examples - include parsing, sentiment analysis, hierarchical document classification.

\* optimizer for long term dependencies -



> Long term dependencies pose a significant challenge in training RNN. The vanishing gradient problem can hinder the network's ability to learn relationships between elements.

Here are some optimizing techniques

> Gradient Clipping - During backpropagation, gradients can become very large or very small, leading to unstable training. Clipping prevents these extremes by setting max threshold for gradient.

> Truncated Backpropagation Through Time (BPTT) - limits the number of time steps used for propagation reducing the impact of vanishing gradients but potentially sacrificing accuracy.

\* Explicit Memory - Introduce separate memory component to store info for extended periods

> External Memory Network -

Introduce an external memory storage unit alongside the RNN. The network can access this memory using read & write operations

> Neural Tuning Machines - A specialized type of external memory network with multiple read/write heads for accessing different parts of the memory.

> Differentiable Neural Dictionaries (DNDs) - Newer approach using dictionary as memory component.

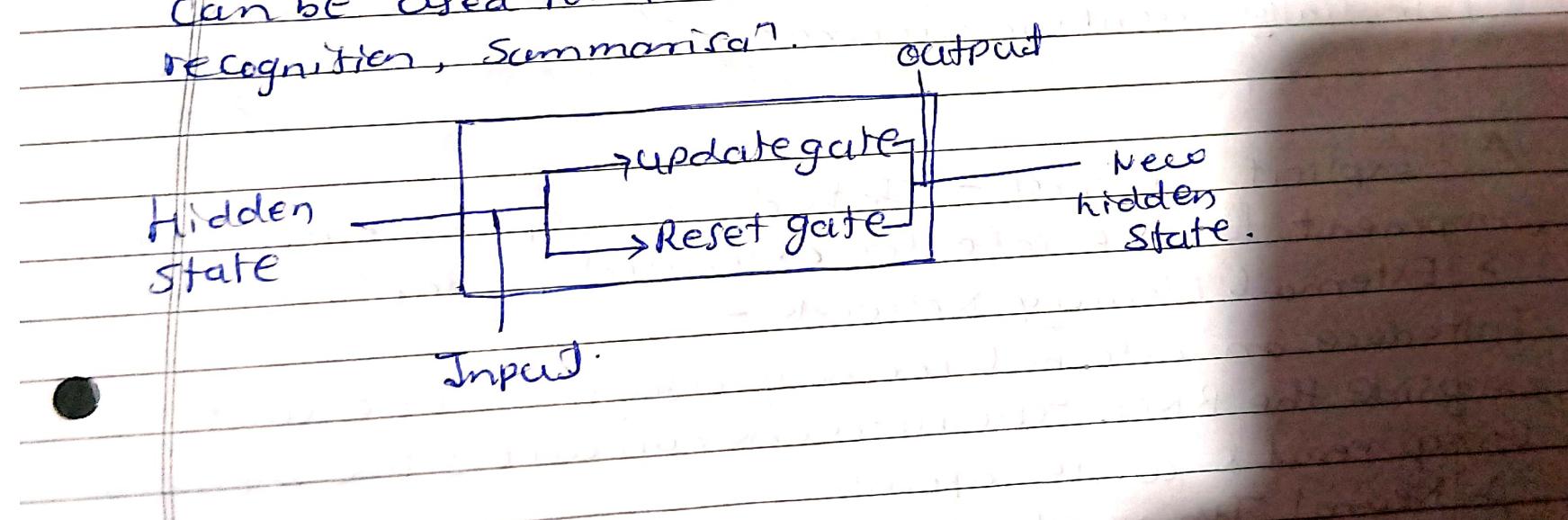
Stores key-value pairs allowing network to learn association b/w inputs & corresponding outputs.

## ❖ Gated Recurrent UNIT (GRU) -



A type of RNN designed to address the vanishing gradient problem.

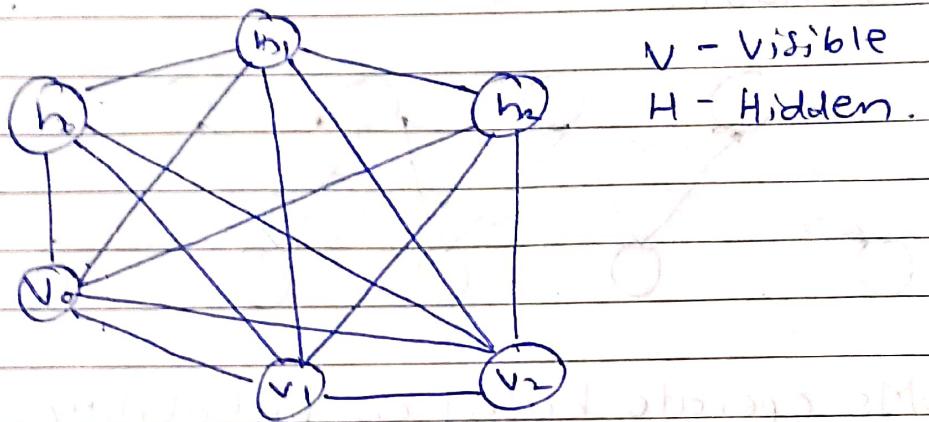
- > Similar to LSTM but simpler with fewer gates.
  - 1> Reset gate - Decides how much of the previous hidden state to forget.
  - 2> Update gate - controls how much new information to incorporate from current input.
- > Like LSTMs GRU are adept at processing sequential data such as text, speech, time series.
- > They can learn long term dependencies within sequences.
- > It has simpler structure, faster to train  
can be used for tasks like machine translation, speech recognition, summarisation.



New hidden state.

(BM)

**Boltzman Machine** — It is an unsupervised DL model in which every node is connected to every other node. Boltzman machines are undirected. It is not a deterministic DL model but a stochastic or generative DL model. There are 2 types of nodes visible nodes & hidden nodes connected to each other.

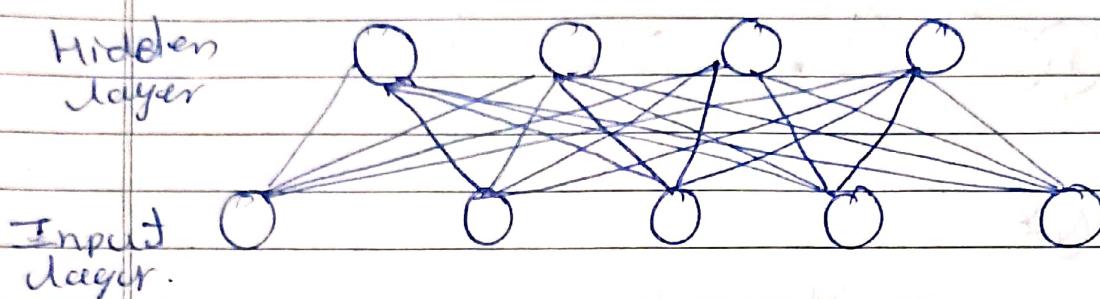


- > BM is an energy based model where each configuration is associated with energy value. The probability distribution over configuration is determined by using Boltzman distribution, which assigns higher probability value with lower energy.
- > Training Boltzman Machine involves adjusting weights between units to minimize energy of observed data configuration & increase the likelihood of generating similar data configuration.
- > Every node is connected to all other nodes irrespective of whether they are input or hidden nodes. This enables them to share info among themselves and self-generate subsequent data. After input is provided BM is able to capture pattern and understand parameters and correlation among the data.

## \* Types of Boltzmann Machine -

Date \_\_\_\_\_  
Page \_\_\_\_\_

- 1) Restricted Boltzmann Machine. - Type of stochastic generative model used for unsupervised learning.
- 2) RBMs consist of 2 layers Input & Hidden Layer  
Connection exist between every pair of visible & hidden units, but there are no connections within same layer. This restriction simplifies model making learning more tractable.



- 3) RBMs operate based on probability distribution. Each unit in hidden layer has a certain activation probability based on the state of visible layer units it's connected to.
- 4) The probability distribution over configuration is determined by the Boltzmann distribution which assigns higher probability to lower energy.
- 5) Training RBMs involves adjusting weights between visible and hidden units to minimize the energy of observed data configuration and increase likelihood of generating similar data configuration.

### 6) Applications -

- 1) Feature extraction.
- 2) Recommendation System.
- 3) Pretraining of Deep networks

Teacher's Sign: \_\_\_\_\_

## \* Deep Belief Network (DBN)

- > DBNs ~~const~~ consists of multiple layers of RBMs stacked on top of each other. Each layer serves as hidden layer for the RBM above it. It's such that the outputs of the first RBM are the inputs of the subsequent RBM. Connection within individual layers are undirected, while connection between layers are directed.
- > DBNs work in 2 phases - pretraining & finetuning.
- > Pretraining phase, the network learns to represent input data layer by layer. Each layer trained independently as an RBM which allows network to learn data probability distribution of the inputs.
- > In finetuning phase, the DBN adjusts its parameters for specific tasks. This is typically done using backpropagation, errors are used to update network's parameters.

## \* Generative Adversarial Network (GANs) -

- > GANs are a class of ML models that excel at generating new data. Unlike traditional model, GAN consists of 2 neural nets a generator & discriminator.
- > During training, the generator & discriminator are trained simultaneously. The generator tries to generate realistic samples to fool discriminator, while discriminator tries to distinguish b/w real and fake samples.
- > The generator creates new data samples. The discriminator receives both <sup>real</sup> ~~real~~ data samples and generated one. It tries to classify them as real or fake.
- > Based on discriminator's feedback, the generator refines its approach to produce more realistic data in next iteration.

Teacher's Sign.: \_\_\_\_\_

## Generative Model

## Discriminator

- 1) Generate fresh data & to understand the probability distribution of input. Data classification model
- 2) Large amount of data required to learn from data. Labeled input data are necessary in order to classify data.
- 3) Generated data and original data are similar. An output label from discriminative models identifies the kind of input.
- 4) Generative models are complex to construct than discriminative models. Discriminative models are easier to create since they simply need to understand category.
- 5) Usage of generative model is common in voice & picture recognition. In classification tasks like voice and picture classification.
- 6) Unlabeled data can be analyzed. Labeled data are necessary

## \* Deep Generative model -



- > Deep generative models are powerful class of algos in DL that is specialize in creating entirely new data.
- > All types of generative models aim at learning the true data distribn to generate new data with some variations.
- > Two most commonly used efficient approaches are variational Autoencoder (VAE) and GANs.  
● VAE aims at maximizing the lower bound of the data log. and GAN aims at achieving an equlli equilibrium betn Generator & discriminator.
- > Autoregressive models works on conditional probability distribn of each element in data sequence. They generated data sequentially one at a time based on probability distribn.

## ● Application -

- 1) Image genera<sup>n</sup>
- 2) Text to text image genera<sup>n</sup>
- 3) Audio genera<sup>n</sup>.

## Unit Reinforcement Learning



> Deep Reinforcement learning (DRL) -  
DRL is a powerful subfield of ML that combines  
the strengths of Deep learning & reinforcement learning.  
It allows agents to learn complex behaviours and  
make optimal decisions through trial and error,  
interacting with an environment & receiving rewards.

> Reinforcement Learning focuses on training agents  
to make optimal choices in an environment by  
trial & error. Agents receive rewards for actions.

> DRL leverages power of deep learning enables  
to learn intricate pattern & relationships from data.

> Key components.

Agent - Entity interacts with environment.

Environment - External System with which agent interacts

Action -

Reward.

> DRL Algorithms -

There are various DRL Algos -

Deep Q Network (DQN), Proximal Policy optimization.

Soft Actor-Critic.

> Application -

> Robotics

2) Game playing

3) Recommender sys.

## \* challenges in Reinforcement Learning :-

### 1) Exploration vs Exploitation -

Balancing exploration - trying new actions to discover better strategies, & exploitation - focusing on actions known to yield high rewards is crucial.

2) Sample efficiency - Training RL agent can be computationally expensive, especially for complex tasks requiring many interactions.

3) High variance - RL algos experience high variance due to their stochastic nature. This can make it difficult to evaluate the true performance of agent.

4) Credit Assignment problem - In complex environment with delayed rewards, it can be difficult for agent to determine which past action contributed to a particular reward. Hinders Agent's ability to learn long-term dependencies.

5) Reward Engineering - Defining clear & well structured reward signals that guides agent towards the desired behaviour is critical for successful RL implementation.

## \* Learning =

Markov decision Process (MDP) - MDP is a mathematical framework that models decision making in situations where outcomes are both random and under control of decision maker.

MDPs are built on the Markov chain a stochastic model that describes a sequence of events where probability of each event depends only on the previous event.

> Key components of an MDP -

> States - Represent all the possible situations the agent can be in within the environment.

> Action (A) - Set of choices the agent can make in each state.

> Transition probability (P) - Defines probability of transitioning from one state to another after taking specific action.

> Reward Function (R) - Represents immediate reward received when transitioning from state.

> Decision Making process -

1) Observe current state.

2) Select an action based on current state.

3) Transition to a new state based on Action.

4) Receive reward based on transition.

5) repeat Step 1 to 4.

(i)  $P(S_{n+1} | S_1, S_2, \dots, S_n) = p(S_{n+1} | S_n)$

eqn represents probability of transitioning to state  $S_{n+1}$  considering the entire history state  $S_1, S_2, \dots, S_n$ .

❖ Q Learning - Imagine an agent navigating maze learning optimal path through trial & error. This is the essence of Q learning.

> The agent interacts with environment (the maze) taking action (moving directions) and observing the resulting rewards (reaching the goal).

> It maintains Q-value table or map that stores the estimated Q-value (expected future reward) for each state-action pair.

> After taking action, the Q-value for that state-pair is updated based on the received reward & estimated future rewards from new state.

Teacher's Sign.: \_\_\_\_\_

> Q-Learning doesn't require a pre-built model of environment, making it adaptable to various situations.

> Q-learning can learn from past experiences.

> Limitations -

> Q-table size grows exponentially with no. of states & actions.

> Exploration vs Exploitation - Balancing exploration (trying new actions) & exploitation (focusing on known action) can be challenging.

## \* Deep Q-learning - (DQN) -

DQN address the limitation of Q-learning by leveraging DNN -

> Instead of Q-table, DQN use DNN extends Q-learning by employing DNN. It DQN stores approximates Q-value Function using DNN.

> It follows all the steps like Q-learning additionally including buffer to store state, action, reward, & next state, in a m

> Random batches of experiences are sampled from memory buffer and used to train Q-network. The network adjusts its weights to minimize the difference between the predicted Q-value & actual Q-value.

> The agent continues to interact with environment, update Q-value network based on experience for improve its decision making -

## > Challenges in Deep Q Network -

- 1) Training complexity
- 2) Exploration vs Exploitation
- 3) Sample efficiency

## \* Dynamic programming (DP) for RL -

> DP algos excel at finding policies (action strategies) in scenarios where the agent has a complete model of the environment, it includes -

? Transition probabilities - The probability of transitioning from one state to another after taking specific action

> Reward function - Reward assigned to each state transition caused by action.

> Popular DP algos -

1) Policy iteration - Iteratively updates the value function (computes the Value function  $V_T$ ) for given policy  $\pi$  by solving Bellman eqn iteratively until convergence.

Greedily updates the policy based on  $V_T$  Selecting actions maximizing expected rewards.

2) Value iteration - An iterative algo directly computing optimal value function  $V^*$ . Updates  $V^*$  by selecting actions maximizing expected rewards according to current value estimates.

Continues iterating until  $V^*$  converges

## \* Simple RL For Tic Tac Toe

- > Environment - Tic Tac Toe board of  $3 \times 3$  size  
The agent (player) interacts with environment by selecting moves. (X or O)
- > State Repr - State of the game board represents current configuration of X's and O's, corresponding to unique arrangement of 'X's 'O'
- > Action Space - consists of all possible moves that the agent can make on the board.
- > Reward - Agent receives reward based on outcome  $\rightarrow +1$  for winning the game  
 $-1$  for losing the game.
- > Learning Process
  - > Initialization
  - 2) Exploration
  - 3) Reward
  - 4) Learning from rewards
  - 5) Improvement.
- > Challenges -
  - 1) Exploration vs Exploitation
  - 2) Limited Rewards.