Documentation CHECK-LIST

Type of check	Acceptable documentation								
Proof of Right to	Foreign Workers	Non-citizen Indians/Person of Indian origin	Indian citizens						
Work (PORTW)	Passport with Validated Work Visa or Employment Pass	 Passport (with embedded photograph) with valid Employment Visa PIO (Person of Indian Origin) card Work Permit (with embedded photograph) 	□ Passport (with embedded photograph) □ Work Permit (with embedded photograph) □ PAN Card (with embedded photograph) □ Voters' ID Card (with embedded photograph) □ Aadhaar card, or □ Birth Certificate (with Name)						
Proof of Identity (POI)	 □ Passport (with embedded photograph) □ Driving License (with embedded photograph, provisional or temporary documents is NA) □ PAN Card (with embedded photograph) □ Voters' ID Card (with embedded photograph) □ Aadhaar card □ Birth Certificate (With Name) 								
Proof of Residency (POR)	 □ Passport □ Driving License (with embedded photograph, provisional or temporary documents is NA) □ Aadhaar card □ Voters' ID Card □ Utilities Bill (Gas/Electricity/Water/Fixed line broadband/Fixed line telephone only – not mobile) □ Bank/Credit Card Statement □ Bank Loan document (personal/housing/education/consumer durables only) □ Insurance Policy or Correspondence □ Tenancy Agreement (NA for out-stationed candidates) or Hostel Receipt or Hostel Confirmation Letter □ Society Maintenance Bill or Confirmation Letter □ Vehicle Registration Document □ Valid Proof of Address Card issued by the Govt of India, Dept of Posts □ In absence of a valid proof, Residence Declaration Form to be filled for Physical Verification (Page Number – XXX) □ If Candidate is unable to present one of the above mentioned documents as they live with their parents or Spouse, the following evidence may obtained: ○ If living with parents; PORTW acceptable document that reflects the candidate's full name and Parents' full name + a Valid POR acceptable document in Parents' full name. ○ If living with husband/wife; Marriage certificate that shows employees full name and husband/wife's full name AND POR acceptable document in husband/wife's full name. □ Applicable to 'Freshers' only: If students have been living in temporary accommodation during their studies, their permanent address needs to be evidenced. 								
Proof of Activity	•	ents establishing activities to cover parture employment required)	st 2 years						
(POA)	Work Experience	Any gap in activity during la	st 2 years for more than 3 months						
	□ Professional Referer Employment Check directly verified with and/or previous emp □ Experience or Servic Certificate □ Offer or Appointmen □ Latest Salary Revision Letter	Evidence of redundancy or by Current Coloyer(s) For gap due to marriage: Note to letter Con Control For gap due to childcare as For gap due to medical reason	r retrenchment is and correspondence (including declines) employment agency						
	Relieving Letter or a Acceptance of Resig documents Confirmation of Emp Letter Last 3 months Salar	For gap to pursue education Provisional Certificate/Deg slip/Letter/Statement/Matri University/College/Profess	on: Online transcript/Education Mark sheet/Passing ree Certificate/Receipts/Registration culation Card – issued by the						
Proof of Education	Acceptance of Resign documents Confirmation of Empletter Last 3 months Salar	For gap to pursue education Provisional Certificate/Deg slip/Letter/Statement/Matric University/College/Profess	on: Online transcript/Education Mark sheet/Passing ree Certificate/Receipts/Registration culation Card – issued by the						

Additional screening checks may be included in order to establish an individual's integrity and financial standing; e.g. Criminal, Bankruptcy and Regulatory checks, Global Dataset check. The inclusion of additional checks is dependant upon the legislative and regulatory frameworks applicable for the role.



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FADV Reference No.	Applicant ID / SR No.	

Candidate Privacy Notice

For the purposes of recruitment RBSF¹ needs to collect and process information about candidates. RBS will be the data controller in respect of the processing of this information. This notice is intended to provide you with information about the processing of your information for recruitment purposes only. (We have a separate notice for Employee Information)

1. WHAT INFORMATION DO WE COLLECT?

In our candidate selection process we collect and use information that you volunteer, for example information you include in your CV or may post on jobseekers websites and job boards. In considering your application, we will only collect and use the information that we need in order to process your application. The types of information RBS collects and processes in relation to candidates may include (subject to local requirements) the following:

- Name
- Contact details
- Candidate status
- Work history/job data
- · Education and qualifications
- Compensation
- Employer feedback/references
- Nationality/visa/right to work permit information
- Administrative and national identifying numbers (e.g. passport, driving licence, National Insurance numbers)
- Results of screening checks (e.g. credit history, criminal records checks where permitted under local law) see Section 2 below for more information about screening checks collectively known as "Candidate Information")

Some categories of Candidate Information warrant greater protection ("Sensitive Candidate Information"), which include information relating to a person's:

- Physical or mental health or condition (including genetic data)
- Sexual orientation
- Trade union membership
- Racial or ethnic origin
- Political opinions
- Religious or philosophical beliefs
- Criminal history (including commission or alleged commission of a criminal offence)

RBS only collects this information: (i) where permitted by local law (e.g. for the purposes of equal opportunities monitoring); and (ii) where necessary for recruitment for the role you are applying for. If required by local law, your consent may be requested for the processing of this information.

2. SCREENING CHECKS

As part of the Selection process, RBS performs a number of screening checks, where permitted by local law. These checks are only performed on candidates who have been selected for a role. Your consent will be requested before screening checks are performed.

2.1. Criminal records checks

Given the nature of our business, we have legal and regulatory obligations to ensure that the people we employ can be relied upon to handle client money and information responsibly. We therefore ask questions about any prior civil or criminal proceedings you may have been subject to and may also conduct criminal record checks.

2.2. Credit reference agencies

We will undertake searches about you at credit reference agencies who will supply us with information, including information from the electoral register, in support of our recruitment decision. The agencies will record details of the search but will not make them available for use by lenders to assess your ability to obtain credit. We may use scoring methods to assess this application and to verify your identity.

You have the right of access to your personal records held by credit reference agencies. We will supply their names and addresses upon request.

2.3. Fraud prevention agencies

To prevent or detect fraud, or assist in verifying your identity, we may now and periodically make searches of Group records and at fraud prevention agencies. Should our investigations identify fraud or the commission of any other criminal offence by you (on your part) when applying for, or during the course of your employment with us, we will record details on this on fraud prevention databases. This information may

¹ For the purposes of this document RBS means all entities within the RBS Group (including entities in which an RBS entity has a ≥50% interest or a controlling interest).

be accessed from the UK and other countries and used by law enforcement agencies and by us and other employers (and potential employers) to prevent fraud. Please contact us if you want to receive details of the relevant fraud prevention databases through which we share information.

2.4. Regulatory screening

In order to comply with our legal and regulatory obligations in relation to anti-money laundering and sanctions restrictions, we will screen your name against global sanctions lists. The screening will simply involve searching our internal and third party databases to ensure you are not on a sanctioned list. We are not able to employ anyone on a sanctions list.

In addition, in order to comply with our legal obligations relating to anti-bribery and corruption, we will also perform searches and ask questions to assess whether there is a potential bribery or corruption risk to the role based on your personal and political associations. If there is a risk we will look to assess what additional internal controls we need to put in place to reduce that risk.

3. HOW WILL YOUR INFORMATION BE USED?

This information will only be processed in relation to considering your application for a role with RBS, as described below, and in accordance with applicable local laws.

3.1. Information Collection

RBS may use external service providers to collect, process and/or validate Candidate Information. RBS requires that any such external service provider will ensure adequate protection for Candidate Information and complies with local legal requirements.

RBS may collect candidate feedback and opinions periodically (e.g. surveys) for business purposes, such as improving processes. You are free to choose whether to respond to these surveys or not to respond and will not suffer reprisals for your decision.

3.2. Disclosure Within RBS

To operate as a global business, it may be necessary for us to share Candidate Information between RBS businesses (e.g. as some of our processes are centralised). This may involve transferring Candidate Information outside your country of residence, and potentially to countries which are not regarded as providing an adequate level of protection for personal data by your local legislation. Processing and/or transfer of Candidate Information and release of information within RBS and/or to third parties outside your country of residence is subject to local law and, where required, will be subject to your consent, and we will ensure that adequate security measures and other local legal requirements are met.

3.3. Disclosure to Third Parties

RBS may be obliged to disclose certain Candidate Information to third parties such as Government Authorities.

It may be necessary to disclose certain Candidate Information to a supplier or contractor organization supplying services on RBS' behalf which require the use and/or creation of Candidate Information.

It may also be necessary to disclose Candidate Information so as to protect the legal interests and other rights of RBS (for example, recording your information on an external fraud database if the information you have provided is fraudulent).

4. HOW DO WE PROTECT YOUR INFORMATION?

The RBS Group has policies and procedures in place, including a mandatory Information Security policy, which require that Candidate Information is protected with physical, technical and procedural safeguards that are proportionate to the sensitivity of the personal information which we store. These safeguards are designed to protect your personal information from loss and unauthorized access, copying, use, modification or disclosure. RBS will ensure that third parties who process your information on our behalf also have equivalent or greater safeguards in place.

The RBS Group also has a mandatory Records Management policy which requires that data is kept for no longer than is required and is then securely destroyed.

5. YOUR RIGHTS

Under your local law you may have the right to access the information that RBS holds about you (subject to certain legal limitations). To do this you should send a request in writing to your RBS recruitment contact. Similarly, if you are concerned with the accuracy or completeness of any Candidate Information, and you wish to rectify it, please notify your RBS recruitment contact

You are encouraged to ensure that the Candidate Information you provide to RBS is kept up to date and amend any information which is inaccurate or out of date.

APPLICATION FORM

INSTRUCTIONS:

- 1. Please complete all sections within this document in CAPITAL letters.
- 2. The information you provide must be complete and correct and shall be treated in strict confidence and used for pre-employment screening (PES) purposes.
- 3. All details furnished in this form must completely tally with the copy of resume provided
- 4. All fields are mandatory to fill
- 5. Please do not use short forms / abbreviations

Please attach recent passport size photograph

5. Please do not use shor	t forms / abbre	viations					
A. PERSONAL/ CONTACT DETAILS This section requests information used for company records and to administer your benefits							
A1. Full Name (first, middle Please expand initials in y	e, last) our name and	mention as per your ld pro	oof As the sa	me would be used	in our Emplo	oyment records	
Title (Mr./Mrs./Ms.)					Last Name		
(
Other Known Names (pleas	se list/declare	ALL known names, if applical	ole)				
Carron Famou (p.ss.		Table	,				
Date of Birth: (dd/mm/yyyy)				Nationality:			
Gender: Male Fe	emale			L			
Marital Status: □ Single □ N	∕arried □ Wid	owed Divorced		PAN Number:			
A2. Contact Details (menti	on the STD co	ode)					
Mobile Number:		Home Telephone Number	:	Personal Email Ad	ddress:		
A3. Alternate Contact Deta	nils						
Name:				Relationship:			
Address:							
Contact No:		(Work)		(Home)	(Mol	bile)	
Email:							
B. PRE-EMPLOYMENT SC This section requests info		e-employment screening p	urposes				
B1. Proof of Right To Worl	k						
Do you have the legal right t In case of Non- Indian Cand provided		? onfirm details including any V	Vork Permit/Visa	a details and dates l	have been	□ Yes □ No	
B2. Proof of Residency							
Please detail in th	e space below	your full address history (incl	uding postcode	s) for the last two ye	ears including I	ndia and International stay.	
This must include	any temporary	or student addresses.					
Please note any o	missions or ga	ps may result in a delay to th	e process.				
Current Address Details		Address proof submitted '' Yes '' No	Permanent A	Address Details	□ Tick if same	as current address	
Block No. & Unit No:			Block No. & U	Jnit No.:			
Street name:			Street name:				
City/State:			City/State:				
Country:	Country: Zip/Postal Code:						
Period of Stay at Current	Address			y at Permanent Ac	ddress	_	
From (date/month/year)		To (date/month/year)	From (date/month/y	/ear)		To (date/month/year)	
1			ĺ				

STRICTLY CONFIDENTIAL WHEN COMPLETE						
past 2 years	2) Other Address Details in	past 2 years				
	Block No. & Unit No.:					
	Street name:					
	City/State:					
Country: Zip/Postal Code:		Zip/Postal Code:				
	Contact Number:	Contact Number:				
address	Period of Stay at the above	Period of Stay at the above address				
To (date/month/year)	From (date/month/year)	To (date/month/year)				
past 2 years	4) Other Address Details in	4) Other Address Details in past 2 years				
	Block No. & Unit No.:	Block No. & Unit No.:				
	Street name:					
	City/State:	City/State:				
	Zip/Postal Code: To (date/month/year)	Dast 2 years 2) Other Address Details in Block No. & Unit No.: Street name: City/State: Country: Contact Number: Period of Stay at the above (date/month/year) Dast 2 years 4) Other Address Details in Block No. & Unit No.: Street name:				

3) Other Address Details in	past 2 years	4) Other Address Details in	4) Other Address Details in past 2 years			
Block No. & Unit No:		Block No. & Unit No.:				
Street name:		Street name:				
City/State:		City/State:	City/State:			
Country:	Zip/Postal Code:	Country:	Zip/Postal Code:			
Contact Number:		Contact Number:	Contact Number:			
Period of Stay at the above	address	Period of Stay at the above	Period of Stay at the above address			
From (date/month/year)	To (date/month/year)	From (date/month/year)	To (date/month/year)			

B. PRE-EMPLOYMENT SCREENING (Cont'd)

B3. Proof of Activity - Employment History

- Please provide details of your previous employment history covering the last 2 years. Please list the current or most recent employer first. We require a full record of your activity for the past 2 years and will ask you to provide evidence to support this.
- Ensure that you are descriptive wherever necessary e.g. If your previous company is closed down, please do mention it. Telephone Number with specific location code, Employee Code/ ID/ Number is mandatory. If your previous employer did not provide the Employee ID, please mention and state reasons for the same.
- Employment details should be of the company you are on payrolls of, not of a company you are deputed to on an assignment with. In case you are showing employments experience in a skill enabling or professional Training institute the same should only be shown if you were on the payrolls of such an institute.
- Any gaps in employment must be explained and supporting evidence must be provided.
- Please use **Section D** if you require more space.
- If more than 3 previous employments, please attach details on Section D

CURRENT EMPLOYMEN	T Note:	Verification of your Current Em	nployment would be obtained or	n or after joining RBS.
Employed via Agency ☐ Yes ☐ No	Agency (if applicable) Name			
Company / Client Name (If employed via agency)		Company Address		
Contact Number (Board Line Number)		Employee Code or Personnel No. or Social Security No. (Mandatory if worked in USA)		
(1) Designation (2) Department		Reason for leaving		Please tick if employed overseas
Employment Type	□Permanent □ Contract	Employment Period	Start Date (DD/MM/YY)	End Date (DD/MM/YY)
Reporting Details	Manager Name	Manager's Designation & Department	Manager Contact Number	Manager Email Id
Reporting Details				

PREVIOUS EMPLOYMEN	IT		30 22.12	
Employed via Agency ☐ Yes ☐ No	Agency (if applicable) Name			
Company / Client Name (If employed via agency)		Company Address		
Contact Number (Board Line Number)		Employee Code or Personnel No. or Social Security No. (Mandatory if worked in USA)		
(1) Designation (2) Department		Reason for leaving		Please tick if employed overseas □
Employment Type	□Permanent □ Contract	Employment Period	Start Date (DD/MM/YY)	End Date (DD/MM/YY)
Reporting Details	Manager Name	Manager's Designation & Department	Manager Contact Number	Manager Email Id
PREVIOUS EMPLOYMEN	IT			
Employed via Agency Yes No	Agency (if applicable) Name	O		
Company / Client Name (If employed via agency)		Company Address		
Contact Number (Board Line Number)		Employee Code or Personnel No. or Social Security No. (Mandatory if worked in USA)		
(1) Designation (2) Department		Reason for leaving		Please tick if employed overseas
Employment Type	□Permanent □ Contract	Employment Period	Start Date (DD/MM/YY)	End Date (DD/MM/YY)
Reported to:	Manager Name	Manager's Designation & Department	Manager Contact Number	Manager Email Id
PREVIOUS EMPLOYMEN	JT			
Employed via Agency Yes No	Agency (if applicable) Name			
Company / Client Name (If employed via agency)		Company Address		
Contact Number (Board Line Number)		Emp Code / Personnel No. / Social Security No.(Mandatory if worked in USA		
(1) Designation (2) Department		Reason for leaving		Please tick if employed overseas
(=) = opartinont				omproyed overseas
Employment Type	□Permanent □ Contract	Employment Period	Start Date (DD/MM/YY)	End Date (DD/MM/YY)
	Manager Name	Manager's Designation & Department	Manager Contact Number	Manager Email Id
Reported to:				

B. PRE-EMPLOYMENT SCREENING (cont'd)											
			one a,								
	ctivity (cont'd)			0							
				3 months o	Reas		rears with document proof				
Start (mm/yyy	у)	End (mr	il/yyyy)		Reas	OII					
	Check (and Rel	evant Pro	ofessional	Qualificatio	ns)						
IMPORTANT:	1)	A copy	of mark sh	eet and deg	ree ce	rtificate MU	ST be attached & data should be	correct			
	2) Name of		nention yo	ur academi			the reverse chronological order		to Lowes		se mark if
Qualification Obtained	School/College University Attended		Start End (MM/ YYYY) (MM/ YY		YY)	ID/ Roll number	Program	Percen Mar Obtai	ks	qua obta	alification alined from abroad
	Attenueu		<u> </u>	,	,		□ Full time □ Distance Learning				_
		(MM	/ YYYY)	(MM/ YYY	Y)		□ Part time □ Lateral Entry				
							□ Full time □ Distance Learning				
		(MM	/ YYYY)	(MM/ YYY	(Y)		□ Part time □ Lateral Entry				
		(MM	/ YYYY)	(MM/ YYY	Y)		□ Full time □ Distance Learning □ Part time □ Lateral Entry				
							□ Full time □ Distance Learning				
		(MM	/ YYYY)	(MM/ YYY	Y)		□ Part time □ Lateral Entry				
							□ Full time □ Distance Learning				
		(MM	/ YYYY)	(MM/ YYY	Y)		□ Part time □ Lateral Entry				
Please comple	ete this section	only if inf	ormation is	s relevant to	the r	ole you have	e applied for		U.		
Type of Training	ng/Professional	Course		Institut	ion		Qualification		Year Attained		
C. OTHER INF		leclare to	the questi	ons below a	as they	y might have	an impact on your suitability fo	r the role.			
For any answe	ers marked with	"YES", p	lease spec	ify the expl	anatio	n in the Sec	tion D.				
C1. Conflict of	Interest										
RBS)? If Yes		in the spa	ce bèlow (i.	e. name of t			s) working in a financial institution (on which he/she is working in, his/l		□ Yes		□ No
, ,	nse is "Yes" to Q isons why there v	,	,	, ,			nterest? Please explain briefly in the	e space	□ Yes		□ No
3. Are there any past engagements by yourself that may arise/are ongoing that if the bank were made aware of may have a bearing on your ability or suitability for this role? If Yes, please provide details.						ve a	□ Yes		□ No		
4. Are you currently engaged in any other business either as a sole proprietor, partner, offer, director, trustee, employee, agent or otherwise?					□ Yes		□ No				
C2. Previous E	Employment										
5. Have you ever been dismissed, suspended or asked to resign from any employment? If Yes, please specify							□ Yes		□ No		
6. Have you been employed in RBS before? If Yes, please specify in he space below (i.e. position, function, department and location of work).						t and	□ Yes		□ No		
C3. Violation o	of law										
	er been convicte y laws? If Yes, pl			n India or in	any otł	her country o	r contravened or abetted another p	erson in	□ Yes		□ No
	3. Have you ever been declared a bankrupt, or is a discharged bankrupt or entered into a compromise scheme of arrangement with your creditors because of financial difficulties?						□ No				

9. Have you ever been arrested, charged, or held by any law enforcement agency for violation of any law? (Include all court-martials while in military service if applicable. Driving offences are excluded). If Yes, please list all charges in the space below, even if they were dismissed.	□ Yes	□ No
10. Are you being subject to any legal/civil proceedings, garnishments, judgements, tax investigations, bad debts or investigation or censureship by any regulators? If Yes, please give particulars in the space below.	□ Yes	□ No
11. Are you willing to travel within India or overseas if required?	□ Yes	□ No
12. Are you willing to work in shifts?	□ Yes	□ No

Section	Additional Information	

E. DECLARATION AND AUTHORISATION

Giving your consent (Please read the following statements carefully and sign below)

I understand and agree that any appointment is conditional on the verification, to The Royal Bank of Scotland's (RBS) satisfaction, of the information I have provided and that this information is true and complete to the best of my knowledge.

I can confirm that I did not resign or was not dismissed from my previous employment/appointment as a result of any allegation or a conviction involving fraud, dishonesty or violence or for any connection with a breach (or alleged breach) of any of the regulatory organisation rules.

I hereby authorise RBS, or an agent acting on its behalf, to verify information presented, and to the extent permitted by law, to procure investigative and credit history reports for that purpose here, and if appropriate, overseas.

I hereby give my permission for RBS or its subsidiary companies or their appointed agents:

- To carry out such searches as may be necessary, including searches of credit reference agencies, fraud prevention agencies and Global Sanctions Lists, in order to verify the information presented in this application.
- . To apply for any reference relating to any previous education or qualification, employer or employment as detailed in this form
- To apply to the relevant body for confirmation of my period(s) of unemployment and details of any benefit(s) paid where applicable.
- To apply to my accountant/solicitor for a reference and to confirm I have been self employed, employed by a family member
 or a company of which I am the owner/significant shareholder.
- To perform a criminal record check to verify the details I provided.
- To transfer/process my information outside of my country of residence

I consent to these details being held in confidence by RBS, for the purposes of processing my application, ongoing personnel administration (where applicable) and any other purposes detailed in this form.

I will promptly notify RBS of any material changes in the information which I have provided and confirm that I will inform RBSin writing of the details of such changes and any other relevant/material information of which I may become aware at any time after the date of this declaration.

Declaration

Signature of Applicant

I declare that I have read and understood the explanation as to how the personal information I have provided on this form will be processed. By signing this form, I consent to the processing in the manner described.

I confirm that the information I have given is correct and to the best of my knowledge. I understand that the subsequent discovery of any false or misleading information may lead to the withdrawal of any offer or, if so established after commencement of employment, disciplinary action up to and including dismissal. I note that to attempt to gain employment by deception is a criminal offence.

I confirm my understanding and acceptance of all statements in this declaration.

•	<u>-</u>	
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RC Code	Department	

Date - (DD/MM/ YYYY)