

# Set Theory notes

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## 1 Zermelo-Frenkel and Choice Set Theory (ZFC) Formulation

**Axiom 1.1** Extension: For every set  $A$  and every set  $B$ ,  $A = B$  if and only if for every set  $x$ ,  $x \in A$  if and only if  $x \in B$ .

**Definition 1.1** A set  $A$  is disjoint from a set  $B$  if no element of  $A$  is an element of  $B$  and no element of  $B$  is an element of  $A$ .

**Axiom 1.2** Regularity: Every non-empty set  $A$  contains an element  $x$  such that  $A$  and  $x$  are disjoint sets.

**Theorem 1.1** No set is an element of itself.

**Axiom 1.3** Empty set: There exists a set  $\emptyset$  such that for every set  $x$ ,  $x \notin \emptyset$ . We call this set the empty set.

**Definition 1.2** A set that is not the empty set is called nonempty.

**Axiom 1.4** Specification: If  $A$  is a set and  $P(x)$  is a formula of first order logic, then there exists a set  $B$  containing precisely each  $x \in A$  such that  $P(x)$  is true.

**Axiom 1.5** Pairing: If  $A$  and  $B$  are sets, then there exists a set containing precisely  $A$  and  $B$ .

**Axiom 1.6** Unions: Let  $\mathcal{A}$  be a collection of sets. Then there exists a set  $\bigcup_{A \in \mathcal{A}} A$  such that  $x \in \bigcup_{A \in \mathcal{A}} A$  if and only if there exists an  $A \in \mathcal{A}$  such that  $x \in A$ .

**Definition 1.3** The union of two sets,  $A$  and  $B$ , is usually denoted by  $A \cup B = \bigcup_{X \in \{A, B\}} X$ .

**Definition 1.4** A set  $A$  is said to be a subset of a set  $B$  if for every set  $x$ ,  $x \in A$  implies  $x \in B$ . When this is the case, we write  $A \subseteq B$ . If  $A \subseteq B$  and  $A \neq B$ , we write  $A \subset B$ , and say  $A$  is a proper subset of  $B$ .

**Theorem 1.2**  $A = B$  if and only if  $A \subset B$  and  $B \subset A$ .

**Theorem 1.3** For every set  $A$ ,  $\emptyset \subseteq A$ .

**Definition 1.5** Let  $\mathcal{A}$  be a collection of sets. Let  $X \in \mathcal{A}$ . Then the intersection of  $\mathcal{A}$  is the set  $\bigcap_{A \in \mathcal{A}} A = \{x \in X \mid x \in A \text{ for every } A \in \mathcal{A}\}$ .

**Definition 1.6** Let  $A$  and  $B$  be sets. The difference of  $A$  and  $B$  is the set  $A \setminus B = \{x \in A \mid x \notin B\}$ .

**Definition 1.7** Let  $a$  and  $b$  be sets. The ordered pair  $(a, b)$  is the set  $(\{\{a\}, \{a, b\}\})$ .

**Theorem 1.4**  $(a, b) = (c, d)$  if and only if  $a = c$  and  $b = d$ .

**Axiom 1.7** Replacement: Let  $A$  be a set and let  $\phi(a, b)$  be a formula of first-order logic such that for each  $a \in A$  there is a unique  $b$  for which  $\phi(a, b)$  is true. Then there exists a set  $B$  consisting of all  $b$  for which some  $a \in A$  satisfies  $\phi(a, b)$ .

**Axiom 1.8** Infinity: There exists a set  $X$  such that  $\emptyset \in X$  and whenever  $w \in X$ , then  $\{w \cup \{w\}\} \in X$ .

**Axiom 1.9** Powers: For every set  $X$ , there exists a set  $\mathcal{P}(X)$  such that  $A \in \mathcal{P}(X)$  if and only if  $A \subset X$ .

Remarks: The above axioms form the ZF part of ZFC. The final axiom, or the axiom of choice, is deferred to the next section. The axiom of pairing follows from the axioms of replacement, powers, and infinity. The axiom of the empty set can be inferred from the axiom of specification when at least one set is known to exist. In the semantics of first-order logic, at least one set exists since the domain of discourse is nonempty. Hence some set must exist, and we can use this to construct the empty set. In a free logic, where the domain of discourd could be empty, the axiom of infinity can be modified to also imply the axiom of the empty set but we do not do this for simplicity and convenience. Nevertheless, the above axioms as a whole are not minimal, and are listed for the sake of completeness.

## 2 Functions

**Definition 2.1** Let  $A$  and  $B$  be given sets. The Cartesian product of  $A$  and  $B$  is  $A \times B = \{z \in \mathcal{P}(\mathcal{P}(A \cup B)) \mid z = (a, b) \text{ for some } a \in A, b \in B\}$

**Definition 2.2** Let  $A$  and  $B$  be sets. A relation  $R$  from  $A$  to  $B$  is a subset of  $A \times B$ . If  $(a, b) \in R$ , we write  $aRb$ . The domain of  $R$  is the set  $\text{dom}(R) = \{a \in A \mid \exists b \in B \text{ s.t. } aRb\}$ . The range of  $R$  is the set  $\text{ran}(R) = \{b \in B \mid \exists a \in A \text{ s.t. } aRb\}$ . If  $R$  is a relation from  $A$  to  $A$ , we say  $R$  is a relation in  $A$ .

**Definition 2.3** A function (or mapping)  $f$  from  $A$  to  $B$  is a relation from  $A$  to  $B$  with the following property: For every  $a \in A$ , there exists a unique  $b \in B$  such that  $(a, b) \in f$ . Given  $a \in A$ , we write  $f(a)$  for the unique element of  $B$  such that  $(a, f(a)) \in f$ . If a function  $f$  is from  $A$  to  $B$ , we write  $f : A \rightarrow B$ .  $A$  is said to be the domain of  $f$  and  $B$  the codomain. Typically, when a function  $f$  is defined, its domain and codomain are implicitly assumed to be non-empty.

**Theorem 2.1** Two functions  $f : S \rightarrow T$  and  $g : S \rightarrow T$  are equal if and only if  $f(x) = g(x) \forall x \in S$ .

### 2.1 The axiom of choice

**Axiom 2.1** Choice: If  $X$  is a collection of nonempty sets, then there exists a function  $f : X \rightarrow \bigcup X$  satisfying  $f(A) \in A$  for all  $A \in X$ .

### 2.2 Inverse mappings

**Definition 2.4** Let  $f : A \rightarrow B$ , and  $A' \subset A$ . The restriction of  $f$  to  $A'$  is the function  $f|_{A'}$ , which maps from  $A'$  to  $B$ , and is defined on as  $f|_{A'}(x) = f(x)$  for all  $x \in A'$ .

**Definition 2.5** A family of sets is a function  $A$  with domain  $I$ . When  $A$  is a family over the set  $I$ , we write  $\{A_i\}_{i \in I}$ , we write  $A_i$  for  $A(i)$ .

**Definition 2.6** Let  $S \neq \emptyset$  be a set. Then the function  $f : S \rightarrow S$  defined as  $f(x) = x$  for all  $x \in S$  is called the identity function on  $S$  and often denoted as  $I_S$ .

**Definition 2.7** Composition of two functions: Let  $f : S \rightarrow T$  and  $g : R \rightarrow S$  be functions such that the domain of  $f$  is the same as the codomain of  $g$ . Then the composite of  $f$  and  $g$  is defined as  $f \circ g = \{(x, z) \in R \times T : \exists y \in S : (x, y) \in g \wedge (y, z) \in f\}$

**Theorem 2.2** The compositon of two functions  $f : S \rightarrow T$  and  $g : R \rightarrow S$  is a function from  $R$  to  $T$ .

**Theorem 2.3** Composition of functions is associative, i.e. if  $f_1 : S_1 \rightarrow S_2$ ,  $f_2 : S_2 \rightarrow S_3$  and  $f_3 : S_3 \rightarrow S_4$ , then  $(f_3 \circ f_2) \circ f_1 = f_3 \circ (f_2 \circ f_1)$

**Definition 2.8** Let  $S, T$  be sets where  $S \neq \emptyset$ , and let  $f : S \rightarrow T$  be a function. If  $g : T \rightarrow S$  is a function such that  $g \circ f = I_S$ , then  $g : T \rightarrow S$  is called a left inverse of  $f$ .

**Definition 2.9** Let  $S, T$  be sets where  $S \neq \emptyset$ , and let  $f : S \rightarrow T$  be a function. If  $g : T \rightarrow S$  is a function such that  $f \circ g = I_T$ , then  $g : T \rightarrow S$  is called a right inverse of  $f$ .

**Definition 2.10** Let  $S, T$  be sets where  $S \neq \emptyset$ , and let  $f : S \rightarrow T$  be a function. If  $g : T \rightarrow S$  is a function such that  $g \circ f = I_S$ , then  $g : T \rightarrow S$  is called a left inverse of  $f$ .

**Definition 2.11** Let  $f : S \rightarrow T$ .  $f$  is said to be injective if  $f(x) = f(y)$  implies  $x = y$  for all  $x, y \in S$ .  $f$  is said to be surjective if for every  $y \in T$ , there exists at least one  $x \in A$  such that  $f(x) = y$ .  $f$  is said to be bijective (or invertible) if  $f$  is both injective and surjective.

**Theorem 2.4** For any nonempty set  $S$ , the identity function  $I_S$  is both an injection and a surjection.

**Theorem 2.5** Let  $f, g$  be functions such that  $g \circ f$  is an injection. Then  $f$  is an injection.

**Theorem 2.6** Let  $f, g$  be functions such that  $g \circ f$  is a surjection. Then  $g$  is a surjection.

**Theorem 2.7** The function  $f : S \rightarrow T$  is an injection if and only if it has a left inverse.

**Theorem 2.8** The function  $f : S \rightarrow T$  is a surjection if and only if it has a right inverse.

**Definition 2.12** If  $f : S \rightarrow T$  is a function, then  $f(S) = \{y \in T \mid f(x) = y \text{ for some } x \in S\}$  is called the range of  $f$ .

**Theorem 2.9** The function  $f : S \rightarrow T$  is a surjection if and only if  $T = f(S)$ .

**Theorem 2.10** The function  $f : S \rightarrow T$  be a bijection. Then it has a unique left inverse, and a unique right inverse. Moreover, these two inverses are one and the same function, and are denoted by  $f^{-1}$ , which is called the inverse of  $f$ .

**Theorem 2.11** For any function  $f$ , its inverse  $f^{-1}$  is also a bijection. Moreover,  $(f^{-1})^{-1} = f$ .

### 3 The natural numbers $\mathbb{N}$

**Definition 3.1** Let  $X$  be a collection of sets, and let  $\phi$  be a formula of first order logic. Then a said  $S$  is smallest with respect to the formula  $\phi$  if  $S \in X$ ,  $\phi(S)$  is true, and  $S_2 \subseteq S$  whenever  $S_2 \in X$  and  $\phi(S_2)$  is true.