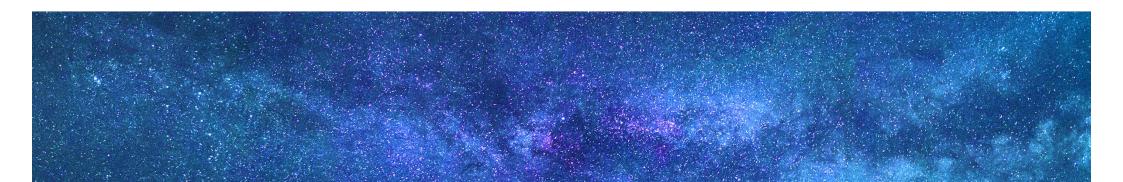


Operating System

Chapter 11: File System



Why file system is important?

- For most users, File System (FS) is the most visible aspect of an OS
- Provides mechanism to access data/programs on storage
- Any FS consists of two distinct parts
 - A collection of Files
 - A directory structure that organizes and provides information about all files in the system

What is a file?

- File: A contiguous logical address space, logical storage unit
- Types
 - Data
 - Numeric, text, data, photo, music, etc
 - Program
- Contents defined by file's creator, many types are
 - Text file
 - A sequence of characters
 - Source file
 - A sequence of functions
 - Executable file
 - A series of code sections that loader can bring into memory and execute

£11 - 41		formation	
file type	usual extension	function	
executable	exe, com, bin or none	ready-to-run machine- language program	
object	obj, o	compiled, machine language, not linked	
source code	c, cc, java, pas, asm, a	source code in various languages	
batch	bat, sh	commands to the command interpreter	
text	txt, doc	textual data, documents	
word processor	wp, tex, rtf, doc	various word-processor formats	
library	lib, a, so, dll	libraries of routines for programmers	
print or view	ps, pdf, jpg	ASCII or binary file in a format for printing or viewing	
archive	arc, zip, tar	related files grouped into one file, sometimes com- pressed, for archiving or storage	
multimedia	mpeg, mov, rm, mp3, avi	binary file containing audio or A/V information	

File attributes

- Name: only information kept in human-readable form
- Identifier: unique tag (number) identifies file within file system
- Type: needed for systems that support different types
- Location: pointer to file location on device
- Size: current file size
- Protection: controls who can do reading, writing, executing
- Time, date, and user identification: data for protection, security, and usage monitoring
- Information about files are kept in the directory structure, which is maintained on the disk
- Many variations, including extended file attributes such as file checksum

File operations

- File is an abstract data type
- Create
- Write: at write pointer location
- Read: at read pointer location
- Reposition within file: seek
- Delete
- Truncate
- Open(F_i)
 - Search the directory structure on disk for entry F_i , and move the content of entry to memory
- Close (F_i)
 - Move the content of entry F_i in memory to directory structure on disk

Open files

- Open (Fi): move the content of a file to memory
- Search the directory structure on disk for the file
- To avoid constant searching: open() system should be called before a file is first used
 - Open-file table: tracks all open files
 - Per-process table
 - System-wide table
- When the file is no longer being actively used, it is closed by the process, and OS removes its entry from open-file table using Open Count

Other information for an open file

- File pointer
 - Pointer to last read/write location, per process that has the file open
- File-open count
 - Counter of the number of times a file is open to allow removal of data from open-file table when last process closes it
- Disk location of the file
 - Moving data access information to memory
- Access rights
 - Per-process access mode information

Locking in open file

- File locks allows one process to lock a file, prevent other process from gaining access to it
- Similar to reader-writer locks
 - Shared lock similar to reader lock
 - Several processes can acquire concurrently

- Exclusive lock similar to writer lock
 - Only on process can acquire it

Other locking mechanisms

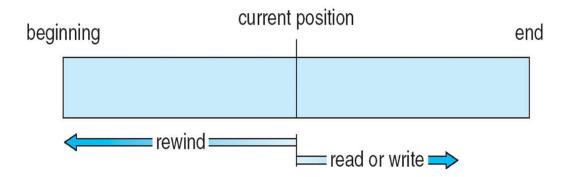
- Mandatory
 - OS will prevent access until the exclusive lock is released
 - Windows®
- Advisory
 - OS will not prevent applications from acquiring access to a file, and the application must be developed to manually acquire the lock before accessing the file
 - -UNIX®

File structure

- Files must conform to structures that are understood by OS
 - OS requires an executable file has a specific structure; it can determine where in memory to load the file, what the location of first instruction is
- Support of multiple file structures?
 - Size of OS could be big; it needs to contain codes to support these file structures
 - Severe problems may result if OS does not support some file structures

File access methods: 1) Sequential access

- Simplest and most common
- Based on tape model of a file
- Processing information in a file is in order: one record after the other
- A read operation, read_next()
 - Reads the next portion of the file and automatically advances a file pointer
- A write operation, write_next()
 - Appends to the end of the file and advances to the end of the newly written information



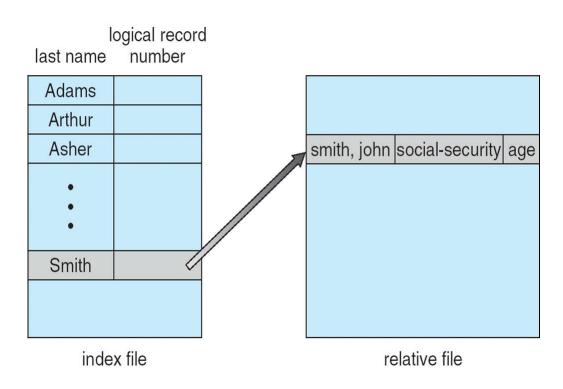
File access methods: 2) Direct access

- Based on a disk model of a file
- A file is made up of fixed-length logical records that allow programs to read and write records rapidly in no particular order
- Immediate access to large amount of information
 - Databases are often of this type
- read(n) rather than read_next()
 - n is block number
- write(n) rather than write_next()

sequential access	implementation for direct access		
reset	<i>cp</i> = 0;		
read next	read cp ; cp = cp + 1;		
write next	write cp ; $cp = cp + 1$;		

Other access methods

- Can be built on top of base methods
- Creation of an index for a file
 - Having pointers to various blocks
- Keep index in memory for fast determination of location of data



IBM's indexed sequential access method (ISAM)

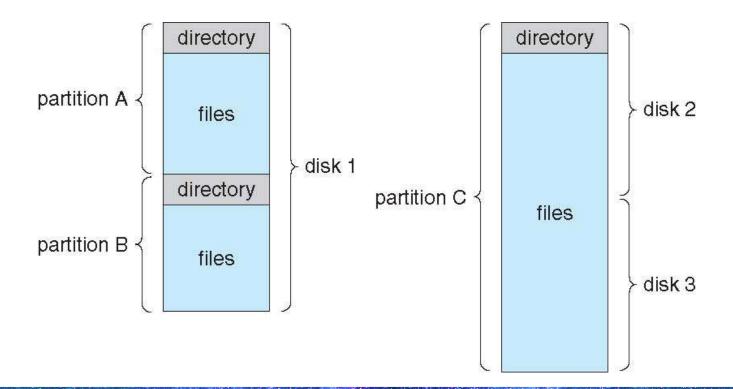
Directory & Disk Structure

Disk structure

- Disk can be subdivided into partitions
- Disks or partitions can be RAID protected against failure
- Disk or partition can be used raw (without a file system), or formatted
- Partitions also known as minidisks, slices
- Entity containing file system known as a volume
- Each volume contains information about the files in the system
 - This information is kept in entries in a device directory or volume table of contents
- The device directory, (known as the directory), records information such as name, location, size, and type for all files on that volume.

A typical file-system organization

- A file system can be created on each of these parts of the disk. Any entity containing a file system is generally known as a volume.
- Each volume that contains a file system must also contain information about the files in the system.
 - **✓** Device directory or volume table of contents.



Types of file systems

- Systems frequently have many file systems, some general- and some special- purpose
- Consider Solaris has
 - tmpfs memory-based volatile FS for fast, temporary I/O
 - objfs interface into kernel memory to get kernel symbols for debugging
 - ctfs contract file system for managing daemons
 - lofs loopback file system allows one FS to be accessed in place of another
 - procfs kernel interface to process structures
 - ufs, zfs general purpose file systems

Directory

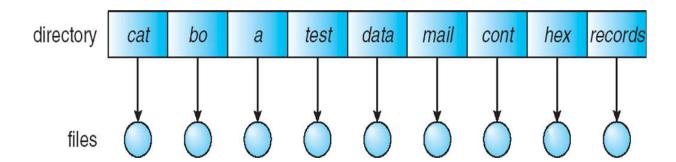
- Directory
 - Can be viewed as a symbol table that translates file names into their directory entries
- Both the directory structure and the files reside on disk
- Operations on directories
 - Search for a file
 - Create a file
 - Delete a file
 - List a directory
 - Rename a file
 - Traverse the file system

Directory organization

- 1) Single-level directories
- 2) Two-level directories
- 3) Tree-structure directories
- 4) Acyclic-graph directories
- 5) General graph directories

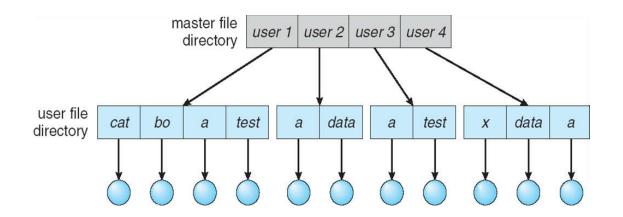
1) Single-level directory

- A single directory for all users
- Naming problem: they must have unique names
- Grouping problem



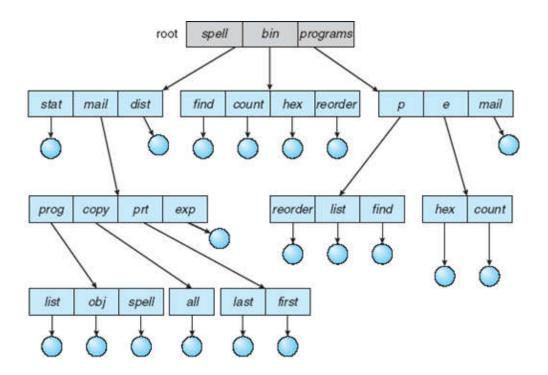
2) Two-level directory

- Separate directory for each user
- Can have the same file name for different users
- Efficient searching
- Path name: two level path, e.g., /userN/file.txt
- No grouping capability
- Sharing problem



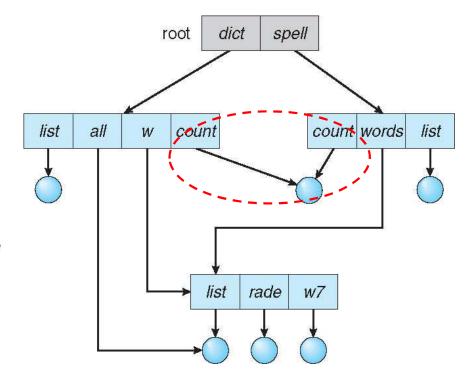
3) Tree-structured directories

- Efficient searching
- Grouping capability
- Two types of path names
 - Absolute path name
 - Begins at the root and follows a path down to the specified file
 - Relative path name
 - A path from the current directory
- Deleting a directory
 - 1. Not allowed if is not empty
 - 2. Have an option of delete internal nodes
- Sharing problem



4) Acyclic-graph directories

- Have shared subdirectories and files
 - Only one actual file exists, so any changes made by one person are immediately visible to the other
- Methods of shared files implementation
 - 1) Link
 - Another name (pointer) to an existing file
 - Resolve the link: follow pointer to locate the file
 - 2) Duplicate all information about the file
 - Both entries are identical and equal
 - Consistency problem (why?)



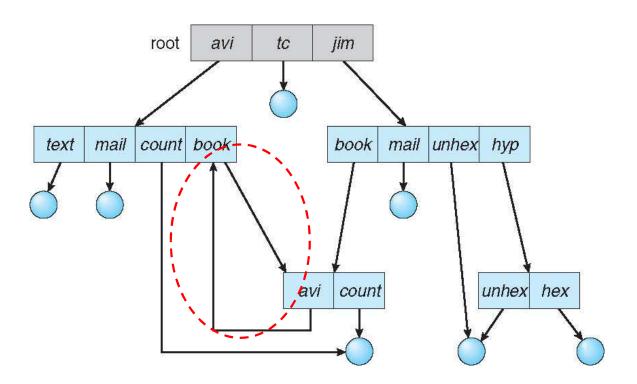
Deletion & Traversing problems (?)

Deletion possibilities?

- 1) Remove the file content whenever anyone deletes it
 - Dangling pointers: pointing to the nonexistent file
 - What if the remaining file pointers contain actual disk addresses?
 - Easy with soft-links (symbolic links)
- 2) Preserve the file until all references to it are deleted
 - Hard links
 - Counting number of references

4) General graph directories

- Remove problem of no cycles
- How do we guarantee no cycles?
 - 1) Allow only links to file not subdirectories
 - 2) Garbage collection
 - 3) Every time a new link is added use a cycle detection algorithm to determine whether it is OK

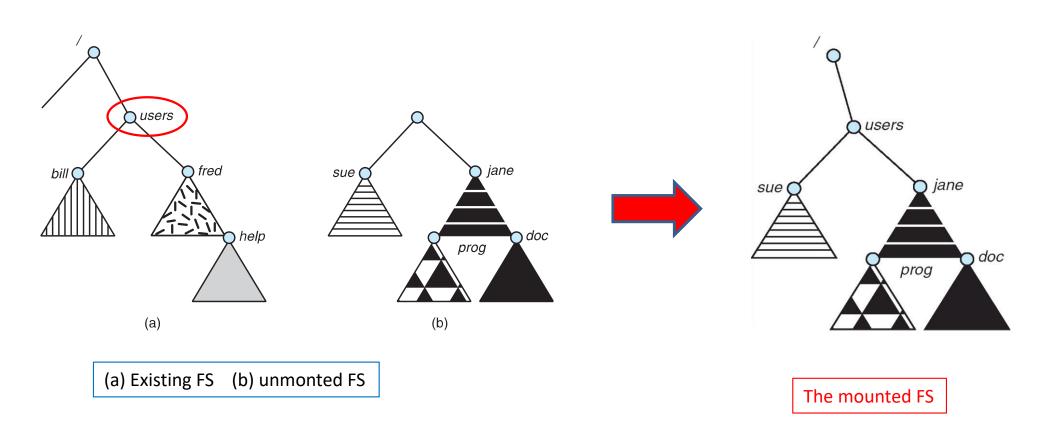


File System Mounting

File system mounting

- A file system must be mounted before it can be accessed
- A unmounted file system is mounted at a mount point
- Mount point
 - The location within the file structure where the file system is to be attached

File system mounting & mount point



mount -t type device dir

mount -t ext4 /device/dsk /home/users

File Sharing & Protection

File sharing

- Sharing of files on multi-user systems is desirable
- Sharing may be done through a protection scheme
- On distributed systems, files may be shared across a network
- Network File System (NFS) is a common distributed file-sharing method
- If multi-user system
 - User IDs identify users, allowing permissions and protections to be per-user
 - Group IDs allow users to be in groups, permitting group access rights
 - Owner of a file/directory
 - Group of a file/directory

File sharing – Remote file system

- Uses networking to allow file system access between systems
 - Manually via programs like FTP
 - Automatically, seamlessly using distributed file systems
 - Semi automatically via the world wide web
- Client-server model allows clients to mount remote file systems from servers
 - Server can serve multiple clients
 - Client and user-on-client identification is insecure or complicated
 - NFS is standard UNIX client-server file sharing protocol
 - CIFS (Common Internet FS) is standard Windows protocol
 - Standard OS file calls are translated into remote calls
- Distributed Information Systems (distributed naming services) such as LDAP, DNS, NIS, Active Directory implement unified access to information needed for remote computing

File sharing – Failure modes

- All file systems have failure modes
 - For example corruption of directory structures or other non-user data (metadata)
- Remote file systems add new failure modes, due to network failure, server failure
- Recovery from failure can involve state information about status of each remote request
- Stateless protocols such as NFS v3 include all information in each request, allowing easy recovery but less security

File sharing – Consistency semantics

- Specify how multiple users are to access a shared file simultaneously
 - Similar to process synchronization algorithms
 - Tend to be less complex due to disk I/O and network latency (for remote file systems)
 - Andrew File System (AFS)
 - Implemented complex remote file sharing semantics
 - Unix file system (UFS)
 - Writes to an open file visible immediately to other users of the same open file
 - Sharing file pointer to allow multiple users to read and write concurrently
 - AFS has session semantics
 - Writes only visible to sessions starting after the file is closed

Protection

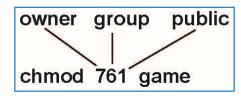
- File owner/creator should be able to control
 - What can be done
 - By whom
- Types of access
 - Read
 - Write
 - Execute
 - Append
 - Delete
 - List

Access lists and groups

- Mode of access: read, write, execute
- Three classes of users on Unix/Linux

```
a) owner access 7 \Rightarrow 111 RWX
b) group access 6 \Rightarrow 110 RWX
c) public access 1 \Rightarrow 001
```

- Ask manager to create a group (unique name), say G, and add some users to the group
- For a particular file (say game) or subdirectory, define an appropriate access



Attach a group to a file chgrp

G game

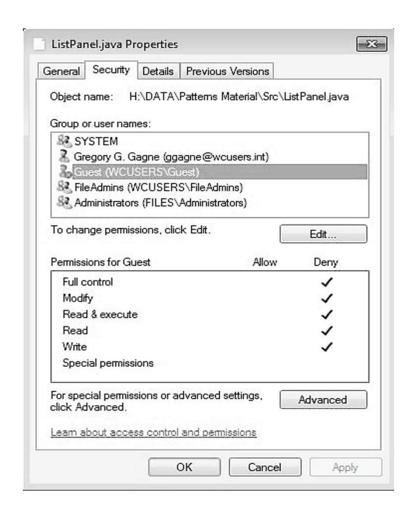
A sample UNIX directory listing

Associated with each subdirectory are three fields—owner, group, and universe—each consisting of the three bits rwx.

-rw-rw-r	1 pbg	staff	31200	Sep 3 08:30	intro.ps
drwx	5 pbg	staff	512	Jul 8 09.33	private/
drwxrwxr-x	2 pbg	staff	512	Jul 8 09:35	doc/
drwxrwx	2 pbg	student	512	Aug 3 14:13	student-proj/
-rw-rr	1 pbg	staff	9423	Feb 24 2003	program.c
-rwxr-xr-x	1 pbg	staff	20471	Feb 24 2003	program
drwxxx	4 pbg	faculty	512	Jul 31 10:31	lib/
drwx	3 pbg	staff	1024	Aug 29 06:52	mail/
drwxrwxrwx	3 pbg	staff	512	Jul 8 09:35	test/

Windows 7 access control list management

Windows users typically manage access-control lists via the GUI.



implementation

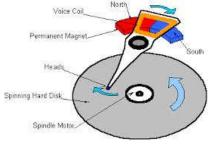
I/O & Storage Layers

Operations, Entities and Interface Application / Service streams High Level I/O handles Low Level I/O registers Syscall file_open, file_read, ... on struct file * & void * File System descriptors we are here ... I/O Driver Commands and Data Transfers Disks, Flash, Controllers, DMA











C Low level I/O

- Operations on File Descriptors as OS object representing the state of a file
 - User has a "handle" on the descriptor

```
#include <fcntl.h>
#include <unistd.h>
#include <sys/types.h>

int open (const char *filename, int flags [, mode_t mode])
int create (const char *filename, mode_t mode)
int close (int filedes)
```

Bit vector of:

- Access modes (Rd, Wr, ...)
- Open Flags (Create, ...)
- Operating modes (Appends, ...)

Bit vector of Permission Bits:

User|Group|Other X R|W|X

http://www.gnu.org/software/libc/manual/html_node/Opening-and-Closing-Files.html

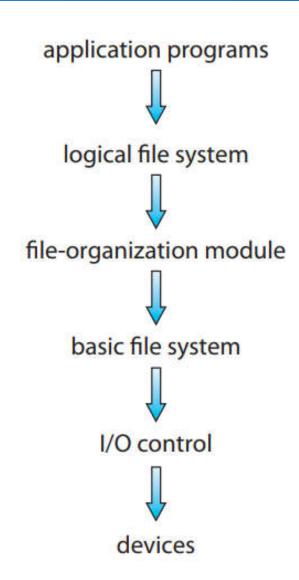
C Low Level Operations

```
ssize_t read (int filedes, void *buffer, size_t maxsize)
  - returns bytes read, 0 => EOF, -1 => error
ssize_t write (int filedes, const void *buffer, size_t size)
  - returns bytes written
off_t lseek (int filedes, off_t offset, int whence)
  - set the file offset
    * if whence == SEEK_SET: set file offset to "offset"
    * if whence == SEEK_CRT: set file offset to crt location + "offset"
    * if whence == SEEK_END: set file offset to file size + "offset"
int fsync (int fildes)
    - wait for i/o of filedes to finish and commit to disk
void sync (void) - wait for ALL to finish and commit to disk
```

 When write returns, data is on its way to disk and can be read, but it may not actually be permanent!

File-System Structure

- The I/O control level consists of device drivers and interrupt handlers to transfer information between the main memory and the disk system.
- The basic file system needs only to issue generic commands to the appropriate device driver to read and write physical blocks on the disk.
- The file-organization module knows about files and their logical blocks, as well as physical blocks.
- The logical file system manages metadata information. Metadata includes all of the file-system structure except the actual data.

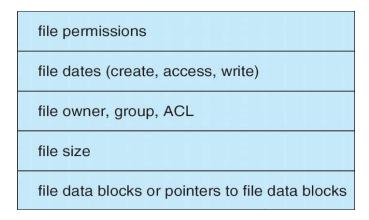


Building a File System

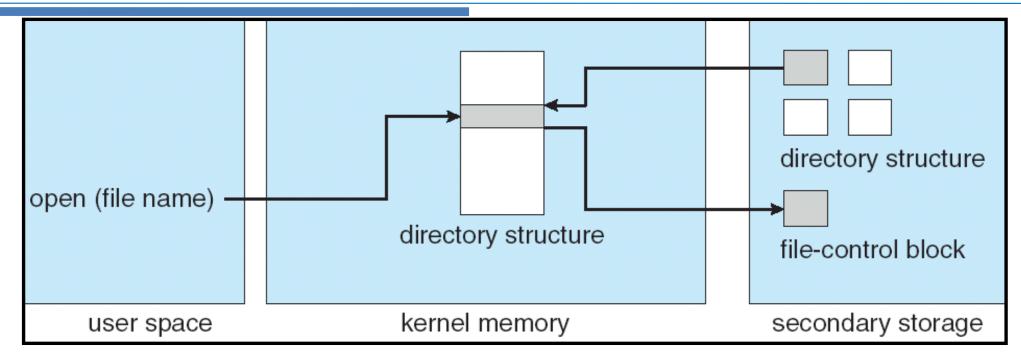
- File System: Layer of OS that transforms block interface of disks (or other block devices) into Files, Directories, etc.
- File System Components
 - Naming: Interface to find files by name, not by blocks
 - Disk Management: collecting disk blocks into files
 - Protection: Layers to keep data secure
 - Reliability/Durability: Keeping of files durable despite crashes, media failures, attacks, etc.

File-System Implementation

- We have system calls at the API level, but how do we implement their functions?
 - On-disk and in-memory structures
- Boot control block contains info needed by system to boot OS from that volume
 - Needed if volume contains OS, usually first block of volume
- Volume control block (superblock, master file table) contains volume details
 - Total # of blocks, # of free blocks, block size, free block pointers or array
- Directory structure organizes the files
 - Names and inode numbers, master file table
- Per-file File Control Block (FCB) contains many details about the file
 - inode number, permissions, size, dates
 - NFTS stores into in master file table using relational DB structures



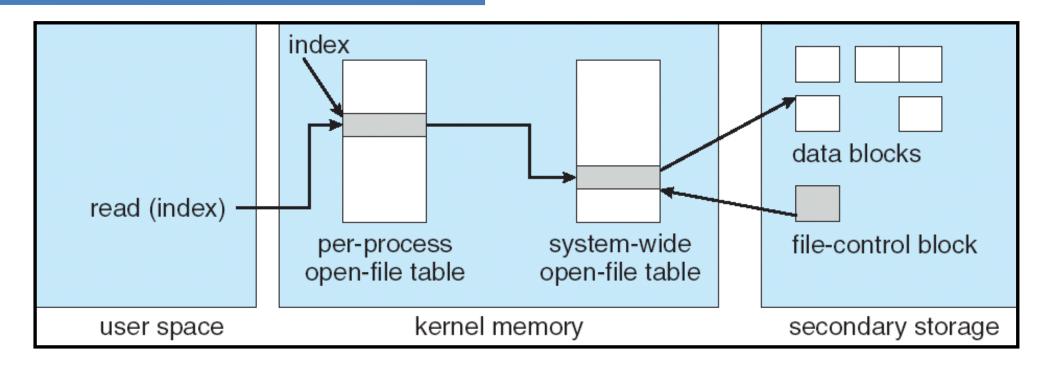
In-Memory File System Structures



Open system call:

- Resolves file name, finds file control block (inode)
- Makes entries in per-process and system-wide tables
- Returns index (called "file handle") in open-file table

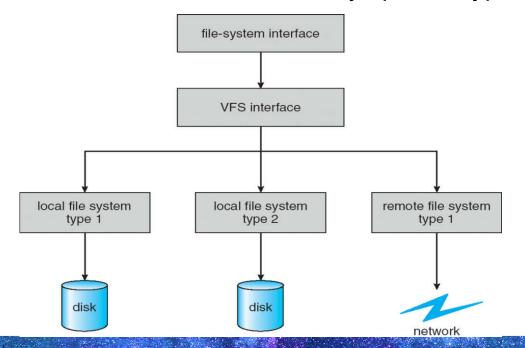
In-Memory File System Structures

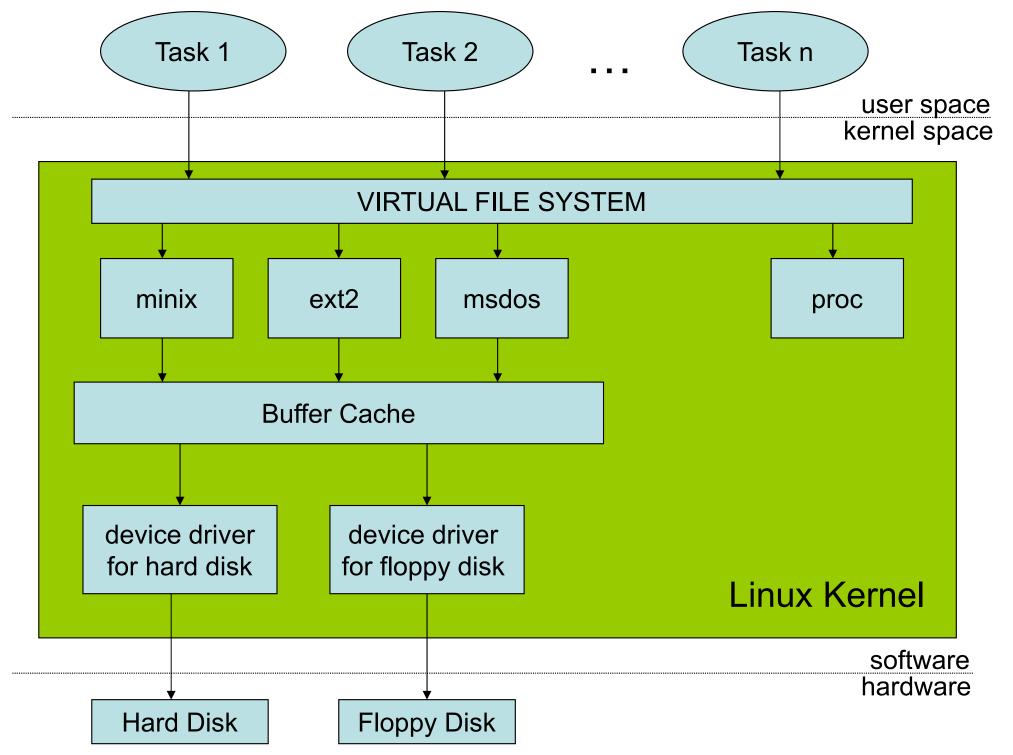


- Read/write system calls:
 - Use file handle to locate inode
 - Perform appropriate reads or writes

Virtual File Systems

- Virtual File Systems (VFS) on Unix provide an object-oriented way of implementing file systems
- VFS allows the same system call interface (the API) to be used for different types of file systems
 - Separates file-system generic operations from implementation details
 - Implementation can be one of many file systems types, or network file system
 - Implements vnodes which hold inodes or network file details
 - Then dispatches operation to appropriate file system implementation routines
- The API is to the VFS interface, rather than any specific type of file system





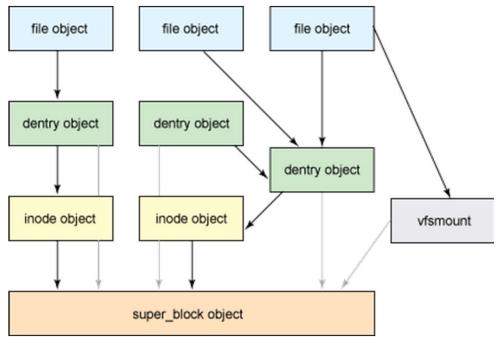
Virtual File System Example

- Linux defines four VFS object types:
 - superblock: defines the file system type, size, status, and other metadata
 - inode: contains metadata about a file (location, access mode, owners...)
 - dentry: associates names to inodes, and the directory layout
 - file: actual data of the file
- VFS defines set of operations on the objects that must be implemented
 - the set of operations is saved in a function table

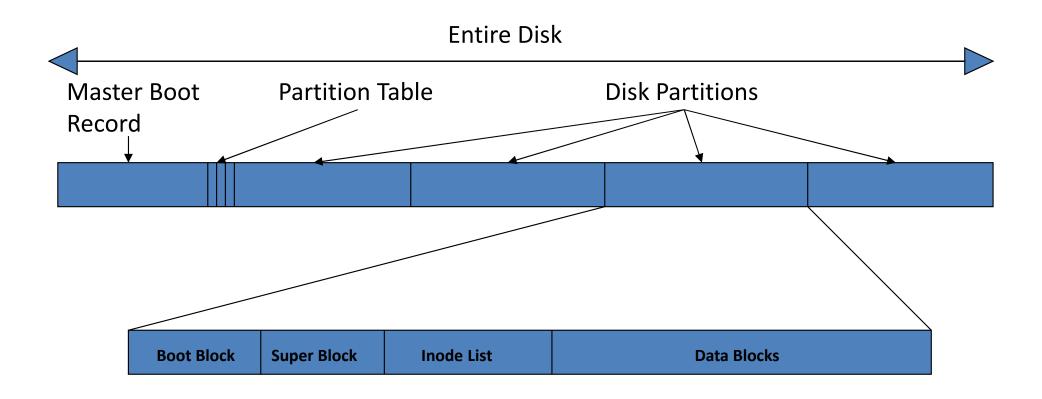
```
struct file_operations {
    int (*lseek) (struct inode *, struct file *, off_t, int);
    int (*read) (struct inode *, struct file *, char *, int);
    int (*write) (struct inode *, struct file *, const char *, int);
    int (*readdir) (struct inode *, struct file *, void *, filldir_t);
    int (*select) (struct inode *, struct file *, int, select_table *);
    int (*ioctl) (struct inode *, struct file *, unsigned int, unsigned long);
    int (*mmap) (struct inode *, struct file *, struct vm_area_struct *);
    int (*open) (struct inode *, struct file *);
    void (*release) (struct inode *, struct file *);
    int (*fsync) (struct inode *, struct file *);
    int (*fasync) (struct inode *, struct file *, int);
    int (*check_media_change) (kdev_t dev);
    int (*revalidate) (kdev_t dev);
};
```

Relationships of major objects in the VFS

- An Inode is a data structure on a Unix / Linux file system. An inode stores meta data about a regular file, directory, or other file system object.
- Dentry uses to keep track of the hierarchy of files in directories. Each dentry maps an inode number to a file name and a parent directory.
- The **superblock** is the container for high-level metadata about a file system.



file system Layout



A Possible File System Instance Layout

Directory Implementation

- Linear list of file names with pointer to the data blocks
 - Simple to program
 - Time-consuming to execute
 - Linear search time
 - Could keep ordered alphabetically via linked list or use B+ tree
- Hash Table linear list with hash data structure
 - Decreases directory search time
 - Collisions situations where two file names hash to the same location
 - Only good if entries are fixed size, or use chainedoverflow method

Disk Block Allocation

Disk Block Allocation

- Files need to be allocated with disk blocks to store data
 - different allocation strategies have different complexity and performance
- Many allocation strategies:
 - contiguous
 - linked
 - indexed

— ...

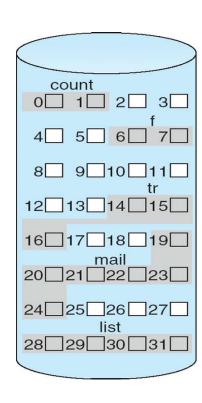
Contiguous Allocation

- Contiguous allocation: each file occupies set of contiguous blocks
 - best performance in most cases
 - simple to implement: only starting location and length are required
- Contiguous allocation is not flexible
 - how to increase/decrease file size?
 - need to know file size at the file creation?
 - external fragmentation
 - how to compact files offline or online to reduce external fragmentation
 - need for compaction off-line (downtime) or on-line
- appropriate for sequential disks like tape
- Some file systems use extent-based contiguous allocation
 - extent is a set of contiguous blocks
 - a file consists of extents, extents are not necessarily adjacent to each other

Contiguous Allocation

Mapping from logical to physical

Block to be accessed = Q + starting address Displacement into block = R



directory

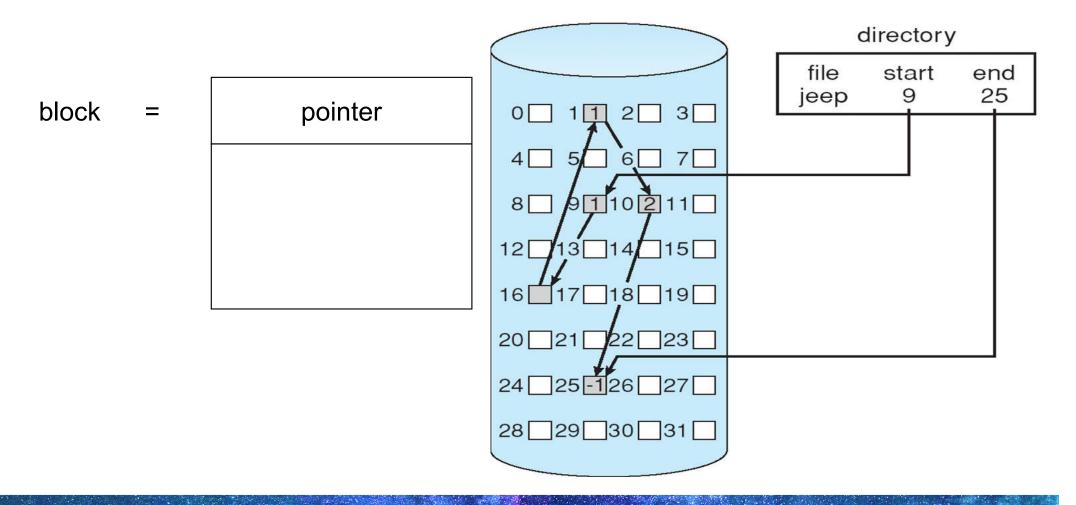
file	start	length
count	0	2
tr	14	3
mail	19	6
list	28	4
f	6	2

Linked Allocation

- Linked allocation: each file is a linked list of disk blocks
 - each block contains pointer to next block, file ends at null pointer
 - blocks may be scattered anywhere on the disk (no external fragmentation, no compaction)
 - Disadvantages
 - locating a file block can take many I/Os and disk seeks
 - Pointer size: 4 of 512 bytes are used for pointer 0.78% space is wasted
 - Reliability: what about the pointer has corrupted!
- Improvements: cluster the blocks like 4 blocks
 - however, has internal fragmentation

Linked Allocation

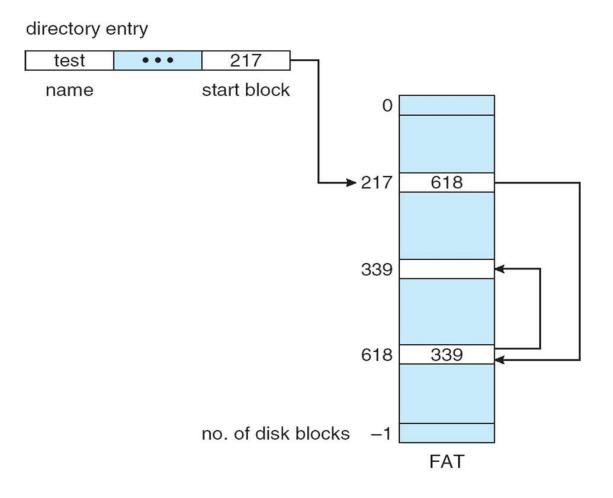
 Each file is a linked list of disk blocks: blocks may be scattered anywhere on the disk



File-Allocation Table (FAT): MS-DOS

FAT (File Allocation Table) uses linked allocation

- A section of disk at the beginning of each volume is set aside to contain the table.
- The table has one entry for each disk block and is indexed by block number.



FAT (File Allocation Table)

- Assume (for now) we have a way to translate a path to a "file number"
 - i.e., a directory structure
- Disk Storage is a collection of Blocks
 - Just hold file data (offset o = < B, x >)
- Example: file_read 31, < 2, x >
 - Index into FAT with file number
 - Follow linked list to block
 - Read the block from disk into memory

Disk Blocks FAT 0: 0: 31: File 31, Block 0 File 31, Block 1 File 31, Block 2 N-1: N-1:

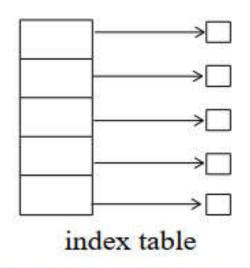
memory

FAT Properties

FAT Disk Blocks File is collection of disk blocks 0: FAT is linked list 1-1 with blocks File number File Number is index of root File 31, Block 0 of block list for the file File 31, Block 1 File offset (o = < B, x >) Follow list to get block # Unused blocks ⇔ Marked free (no free ordering, must scan to find) File 31, Block 2 N-1: N-1: memory

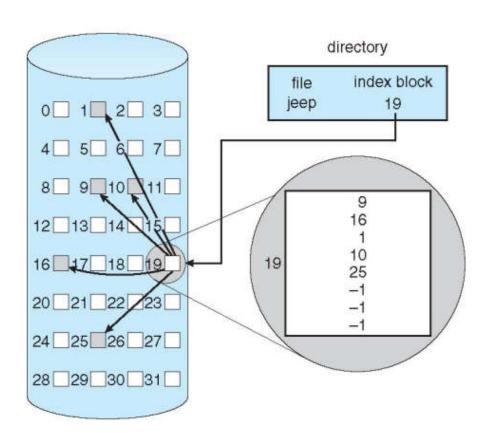
Indexed Allocation

- Indexed allocation: each file has its own index blocks of pointers to its data blocks
 - index table provides random access to file data blocks
 - no external fragmentation, but overhead of index blocks
 - allows holes in the file
 - Index block needs space waste for small files



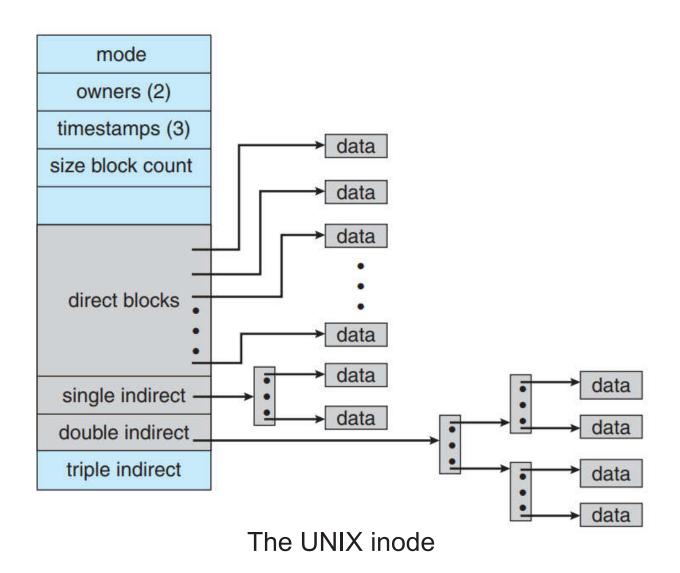
Indexed Allocation

- Need a method to allocate index blocks - cannot too big or too small
 - ✓ linked index blocks: link index blocks to support huge file
 - ✓ multiple-level index blocks (e.g., 2-level)
 - √ combined scheme
 - First 15 pointers are in inode
 - Direct block: first 12 pointers
 - Indirect block: next 3 pointers



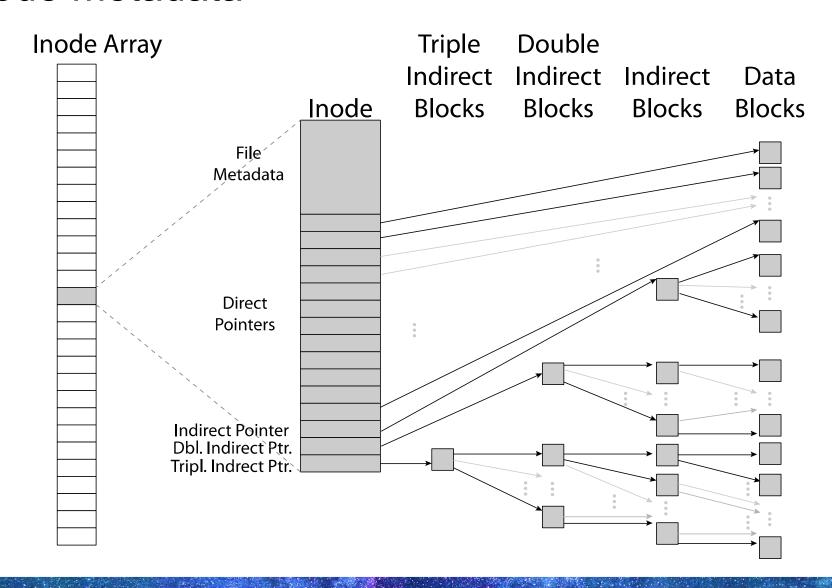
The UNIX inode

- The first 12 of these pointers point to direct blocks
- The next three pointers point to indirect blocks.
 - a single indirect block
 - double indirect block
 - triple indirect block



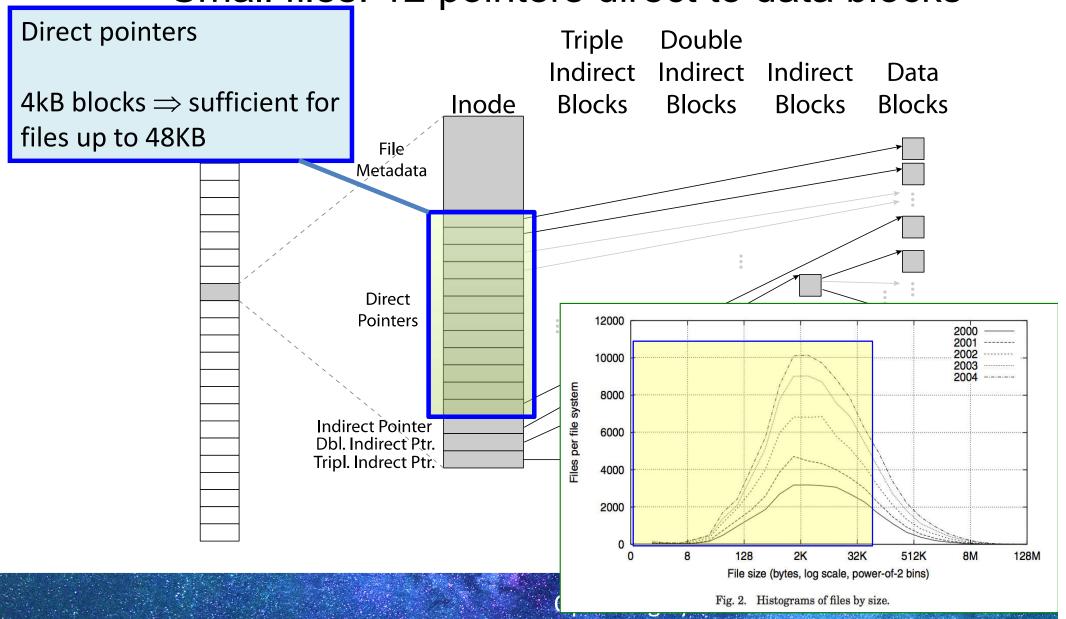
Inode Structure

inode metadata



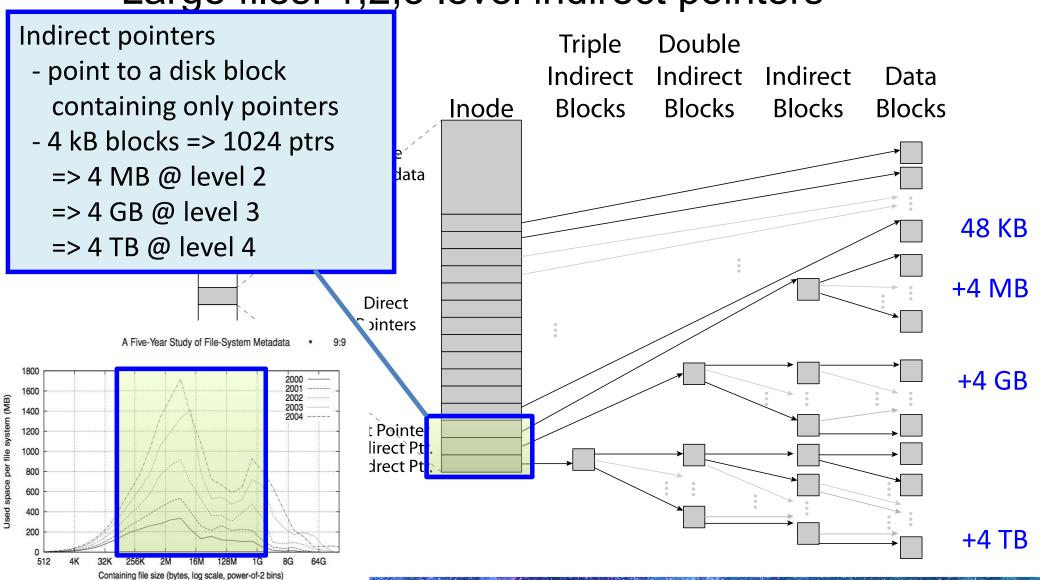
Data Storage

Small files: 12 pointers direct to data blocks



Data Storage

Large files: 1,2,3 level indirect pointers



Allocation Methods

- Best allocation method depends on file access type
 - contiguous is great for sequential and random
 - linked is good for sequential, not random
 - indexed (combined) is more complex
 - ✓ single block access may require 2 index block reads then data block read
 - ✓ clustering can help improve throughput, reduce CPU overhead
 - √ Disk I/O is slow, reduce as many disk I/Os as possible

Free-Space Management

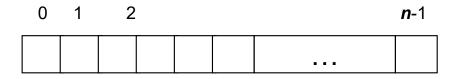
Free-Space Management

- File system maintains free-space list to track available blocks/clusters
 - The space of deleted files should be reclaimed
- Many allocation methods:
 - bit vector or bit map
 - linked free space

— ...

Free-Space Management

- File system maintains free-space list to track available blocks/clusters
 - (Using term "block" for simplicity)
- Bit vector or bit map (n blocks)



$$bit[i] = \begin{cases} 1 \Rightarrow block[i] \text{ free} \\ 0 \Rightarrow block[i] \text{ occupied} \end{cases}$$

CPUs have instructions to return offset within word of first "1" bit

- Bit map requires extra space
 - Example:

block size =
$$4KB = 2^{12}$$
 bytes
disk size = 2^{40} bytes (1 terabyte)
 $n = 2^{40}/2^{12} = 2^{28}$ bits (or 32MB)
if clusters of 4 blocks -> 8MB of memory

Easy to get contiguous files

bit map example:

Block number calculation

(number of bits per word) * (number of 0-value words) + offset of first 1 bit

a bitmap of 16 bits as: 0000111000000110

- Finding the first free block is efficient.
- It requires scanning the words (a group of 8 bits) in a bitmap for a non-zero word. (A 0-valued word has all bits 0).
- The first free block is then found by scanning for the first 1 bit in the non-zero word.

the first free block number = 8*0+5 = 5

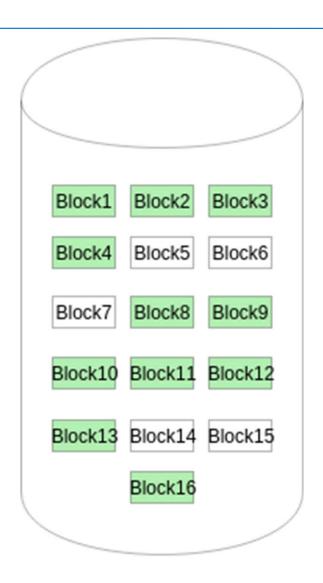


Figure - 1

Linked Free Space List on Disk

Linked list (free list)

Cannot get contiguous

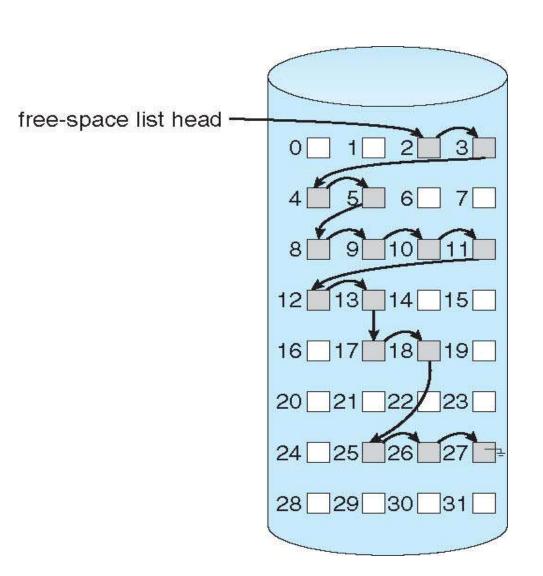
space easily

No waste of space

No need to traverse the

entire list (if # free

blocks recorded)



Free-Space Management (Cont.)

Grouping

 Modify linked list to store address of next n-1 free blocks in first free block, plus a pointer to next block that contains free-block-pointers (like this one)

Counting

- Because space is frequently contiguously used and freed, with contiguous-allocation allocation, extents, or clustering
 - Keep address of first free block and count of following free blocks
 - Free space list then has entries containing addresses and counts

Efficiency and Performance

Efficiency dependent on

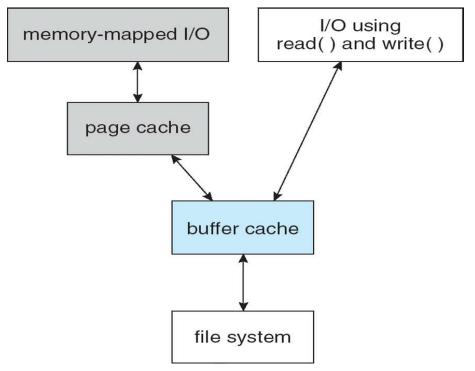
- Disk allocation and directory algorithms
- Types of data kept in file's directory entry
- Pre-allocation or as-needed allocation of metadata structures
- Fixed-size or varying-size data structures

Performance

- Keeping data and metadata close together
- Buffer cache separate section of main memory for frequently used blocks
- Synchronous writes sometimes requested by apps or needed by OS
 - No buffering / caching writes must hit disk before acknowledgement
 - Asynchronous writes more common, buffer-able, faster
- Free-behind and read-ahead techniques to optimize sequential access
- Reads frequently slower than writes

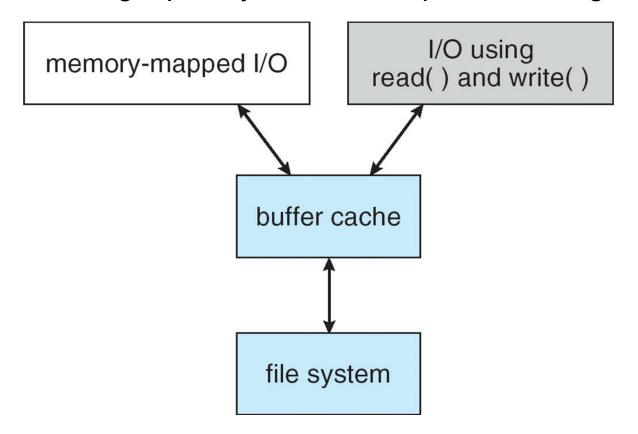
Page Cache

- A page cache caches pages rather than disk blocks using virtual memory techniques and addresses
- Memory-mapped I/O uses a page cache
- Routine I/O through the file system uses the buffer (disk) cache



Unified Buffer Cache

- A unified buffer cache uses the same page cache to cache both memory-mapped pages and ordinary file system I/O to avoid double caching
- But which caches get priority, and what replacement algorithms to use?



Recovery

- File system needs consistency checking to ensure consistency
 - compares data in directory with some metadata on disk for consistency
 - fs recovery an be slow and sometimes fails
- File system recovery methods
 - backup
 - log-structured file system

Questions?

