# Lecture 7: Beyond Classical search Neural Networks

Chapter 4, Chapter 18

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### Outline

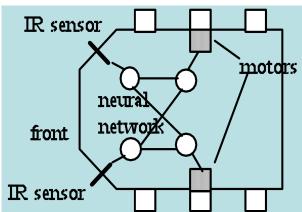
- Best-first search
- Greedy best-first search
- A\* search
- Heuristics
- Local search algorithms
- Hill-climbing search
- Simulated annealing search
- Local beam search
- Genetic algorithms
- Neural Networks
- Summary

# Case1: Evolving a neural controller for an

agent



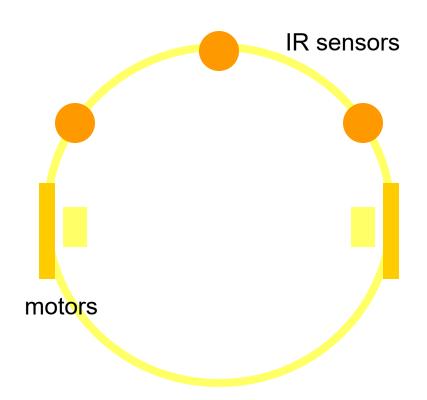




Didabot: simple robot for didactical purposes

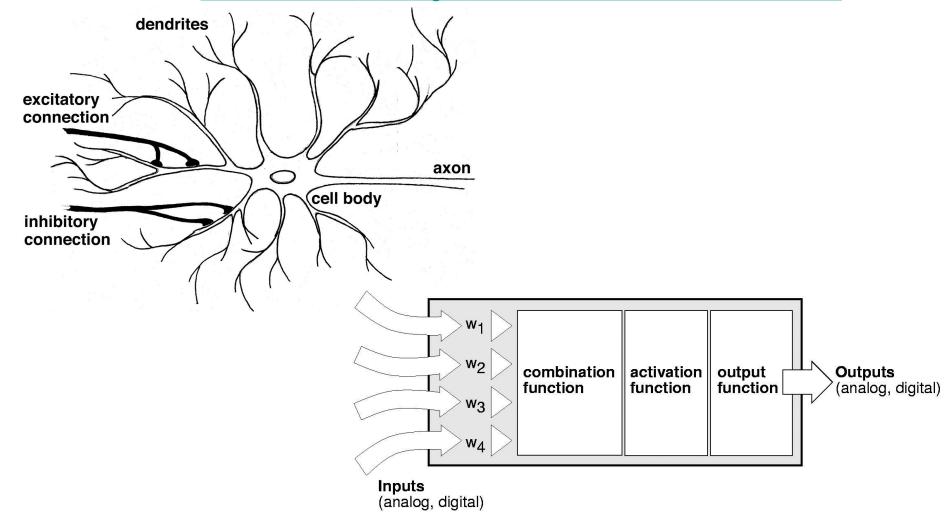
arena with Styrofoam cubes

# Evolving a neural controller for an agent

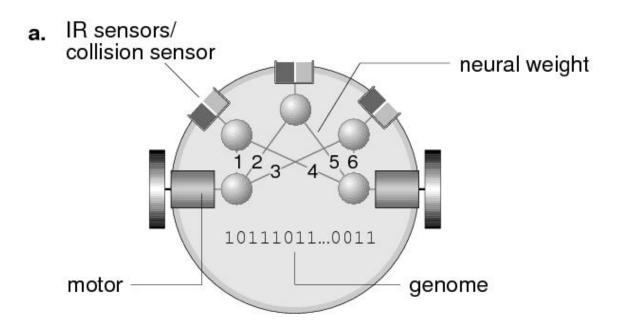


# Modeling a neuron - neural networks

motivation: abstraction from biological brains to artificial neural networks



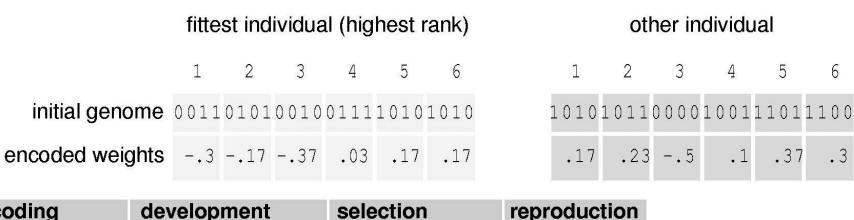
## Encoding in genome



b.	initial genome	1101	0110	0001	0011	1010	1100
	encodes weights (numbers)	1	2	3	4	5	6
c.	initial weights after "development"	.37	1	43	3	.16	.3

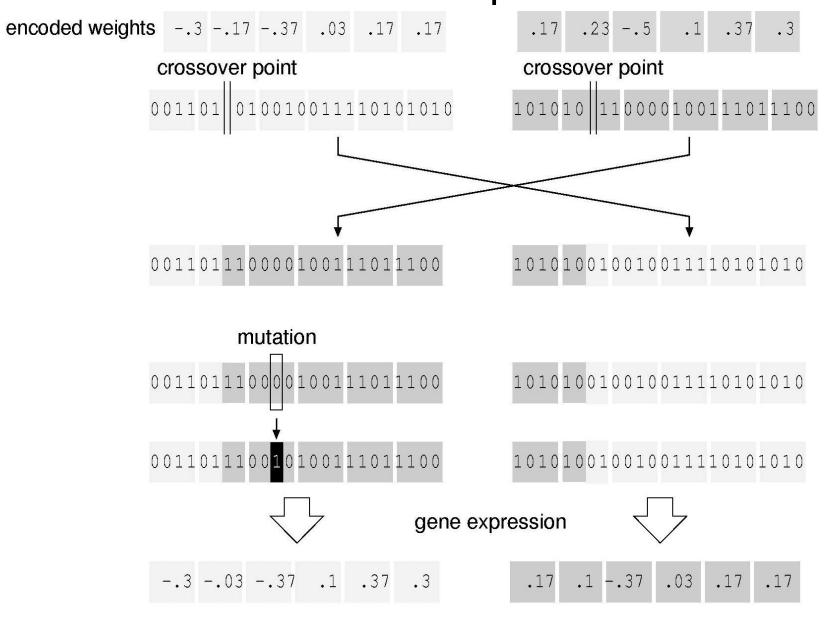
# Encoding in genome "development"

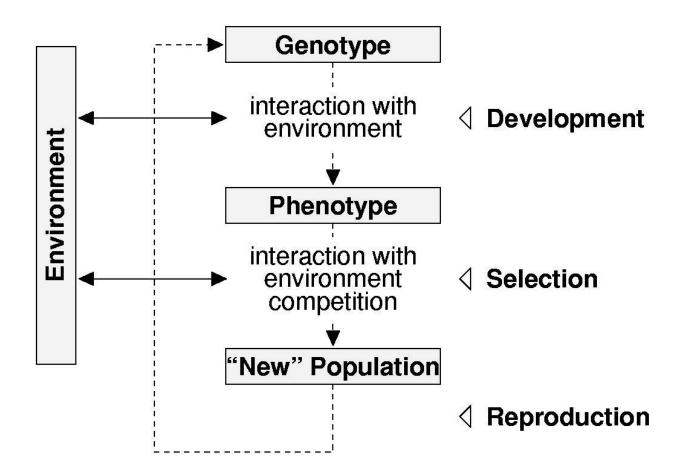
- 1. take the one single individual with the highest fitness
- 2. choose another individual from the population at random, irrespective of fitness, for sexual reproduction
- 3. add the fittest individual to the new population



#### encoding development binary no development "roulette wheel" mutation (phenotype = many-character elitism crossover genotype) real-valued rank selection development with tournament and without truncation interaction with the steady-state environment

# Reproduction: Crossover and mutation "development"





"Grand" evolutionary scheme

encoding	development	selection	reproduction
<ul><li>binary</li><li>many-character</li><li>real-valued</li></ul>	<ul> <li>no development (phenotype = genotype)</li> <li>development with and without interaction with the</li> </ul>	<ul> <li>"roulette wheel"</li> <li>elitism</li> <li>rank selection</li> <li>tournament</li> <li>truncation</li> </ul>	<ul><li>mutation</li><li>crossover</li></ul>
	interaction with the environment	<ul><li>truncation</li><li>steady-state</li></ul>	

## Summary

- Informed Search Algorithms
- Beyond classical search algorithms
  - Local search algorithms
  - Simulated annealing (SA)
  - Genetic algorithms (GA)
- Still challenging
  - Neural Networks (NN, ANN)
  - EA (GA, EP, ES, GP)
  - Deep Learning

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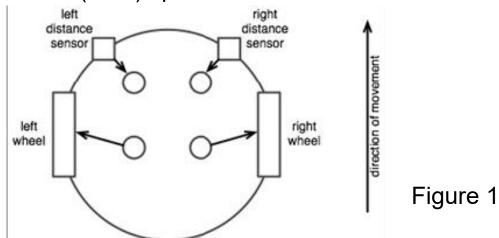
## Assignment

- Readings:
  - Chap 4
  - additional slides about "Braitenberg vehicle",
     "SWISS ROBOTS"
- Exercises
  - Chap 4: exercise 4.1
  - 2 Additional exercises

\*Handed in next Tuesday

## Assignment (Additional)

**1.** The Braitenberg vehicle in figure 1 implement its controllers with a simple neural network which has two layers of neurons; starting from the top sides, the first layer receives inputs from the sensors and sends its outputs to the second layer, while the neurons in the second layer drive the motors of the robot. The picture shows a schematic representation of a mobile robot. Assume that it is moving at a default (slow) speed.



- a) Implement a simple neural network by connecting the neurons (small circles) in order to implement the obstacle avoidance behavior (i.e., while moving in the environment, the robot avoids the obstacle that it senses by means of the IR sensors). You do not need to specify the weights of the connections; just say whether each connection is excitatory (+) or inhibitory (–). There are several ways to achieve this, just come up with ONE solution.
- b) Are there any inspirations for you to design an intelligent robot?

## Assignment (Additional)

- 2. Braitenberg Vehicles: In his book "Vehicles: Experiments in Synthetic Psychology", Braitenberg describes, among other things the following vehicles:
- a) The "love" vehicle likes to stay as close to a light source as possible.
- b) The "aggression" vehicle tries to destroy the light sources by colliding with them.
- c) The "fear" vehicle flees away from any light source.
- d) The "explorer" vehicle slows down at each light source and then goes to the next one.

As shown in the following figure 2, each robot possesses two light sensors as well as either positive or negative connections to the motors. All connections have the same absolute weight values. The signs of the weights are given in the head of the corresponding arrows. The signal amplitude of the light sensors is here proportional to the intensity of detected light.

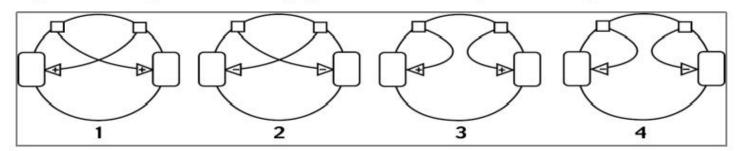
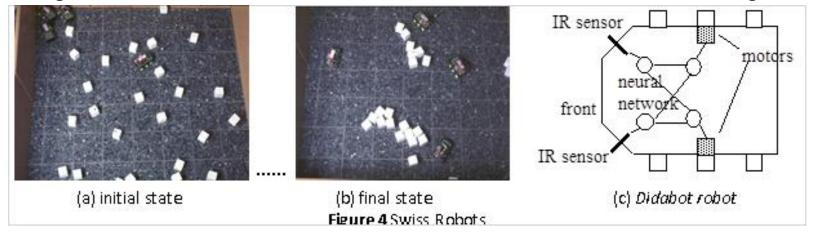


Figure 2

- (1) The task is to assign to each robot schema as in figure 2 the correct behavior (a, b, c or d) write the respective character next to the number.
- (2) What happens if you keep the same connections but you move the position of the right sensor to the left side of the robot (just next to the left sensor) in figure 2?
- (3) What conclusions can you derive from this experiment?

## Assignment (Additional)

**3.** "SWISS ROBOTS" are a set of simple robots, each robot as shown in Figure 1 was equipped with two motors, one on the left and one on the right, and two infrared sensors, one front left and one front right.



- a) What intelligent behaviors do you think emerged from the "Swiss Robots" after you watched the video illustration as in figure 1?
- b) What are the robots really doing when we think in agent's perspective –situated perspective?
- c) Are there any inspirations for you to design an intelligent robot?

# Lecture 8: Beyond Classical search

Neural networks

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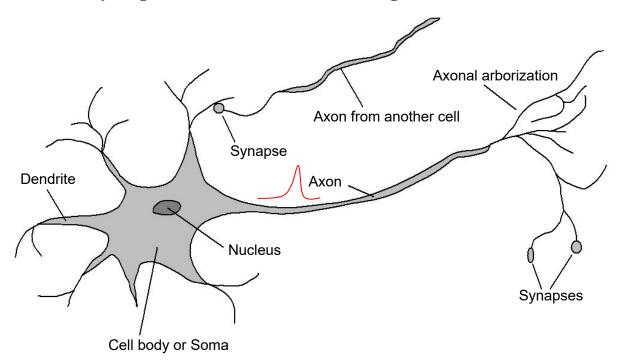
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### Outline

- Brains
- Neural networks
- Perceptrons
- Multi-layer Perceptrons
- Applications of neural networks
- Evolving a neuro controller for robots
- Summary

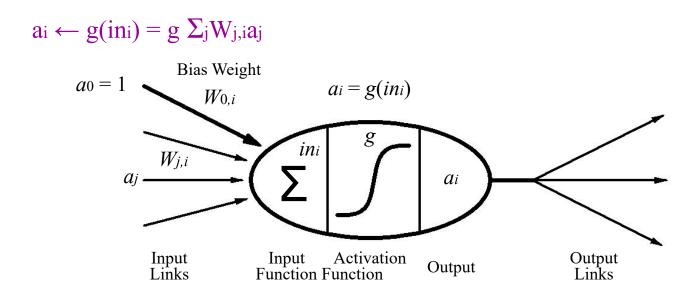
#### Brains

 $10^{11}$  neurons of > 20 types,  $10^{14}$  synapses, 1ms–10ms cycle time Signals are noisy "spike trains" of electrical potential



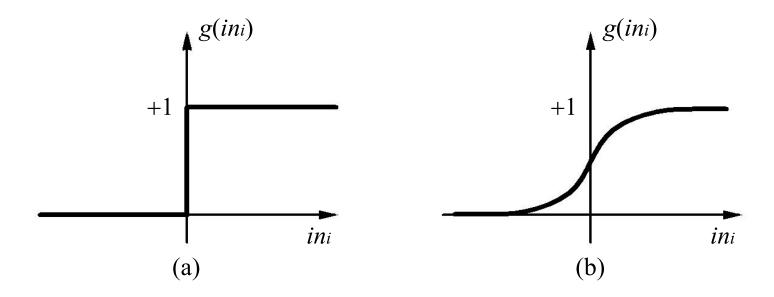
#### McCulloch-Pitts "unit": M-P model of neuron

Output is a "squashed" linear function of the inputs:



A gross oversimplification of real neurons, but its purpose is to develop understanding of what networks of simple units can do

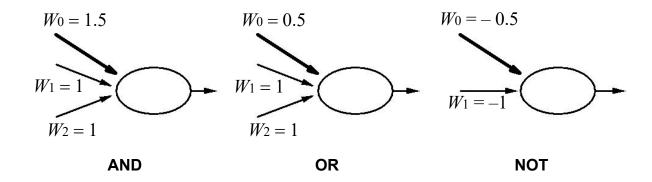
#### Activation functions



- (a) is a step function or threshold function
- (b) is a sigmoid function such as  $1/(1 + e^{-x})$ ,  $(1-e^{-x})/(1 + e^{-x})$

Changing the bias weight Wo,i moves the threshold location

#### Implementing logical functions



McCulloch and Pitts: every Boolean function can be implemented

#### Network structures

#### Feed-forward networks:

- single-layer perceptrons
- multi-layer perceptrons

Feed-forward networks implement functions, have no internal state

# y, .... y, .... x<sub>N</sub>

Figure 1 one MLP

#### Recurrent networks:

- Hopfield networks have symmetric weights ( $W_{i,j} = W_{j,i}$ ) g(x) = sign(x),  $a_i = \pm 1$ ; holographic associative memory
- Boltzmann machines use stochastic activation functions,
   ≈ MCMC in Bayes nets
- recurrent neural nets have directed cycles with delays
  - ⇒ have internal state (like flip-flops), can oscillate etc.

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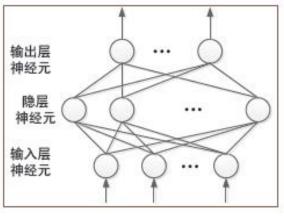
Figure 2 one RNN

#### **Deep learning networks:**

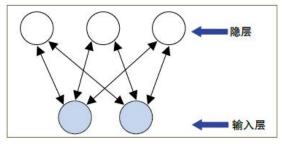
- Boltzmann machines
- Deep Believe Networks
- Convolutional NN

### DBNN: Deep learning

- RBM
- BP



(a) BPN



(b) RBM

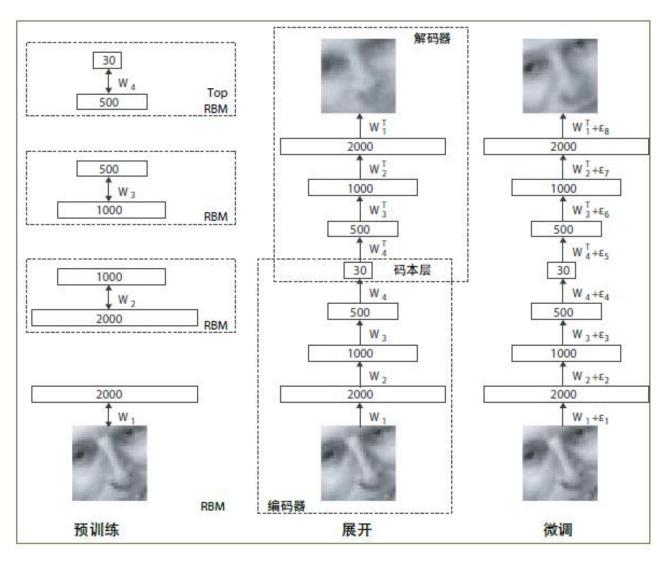
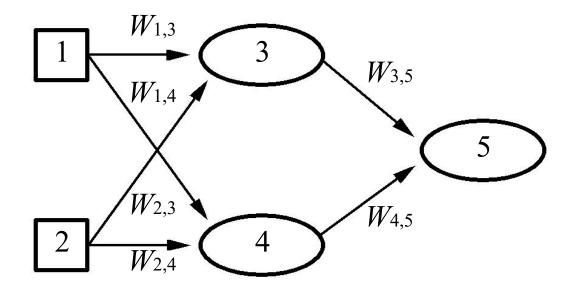


Figure 3 one DLNN

#### Feed-forward example

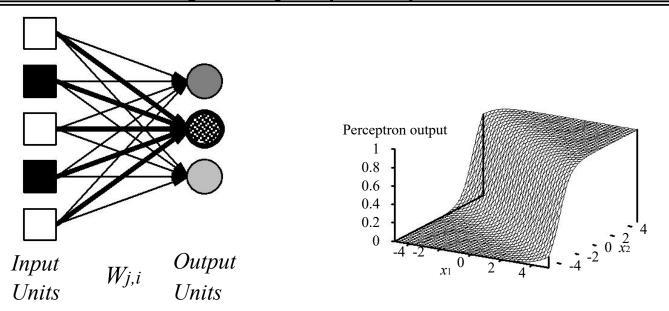


Feed-forward network = a parameterized family of nonlinear functions:

$$a_5 = g(W_{3,5} \cdot a_3 + W_{4,5} \cdot a_4)$$
  
=  $g(W_{3,5} \cdot g(W_{1,3} \cdot a_1 + W_{2,3} \cdot a_2) + W_{4,5} \cdot g(W_{1,4} \cdot a_1 + W_{2,4} \cdot a_2))$ 

Adjusting weights changes the function: do learning this way!

#### Single-layer perceptrons



Output units all operate separately—no shared weights

Adjusting weights moves the location, orientation, and steepness of cliff

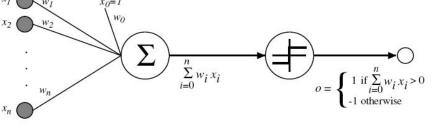
#### Expressiveness of perceptrons

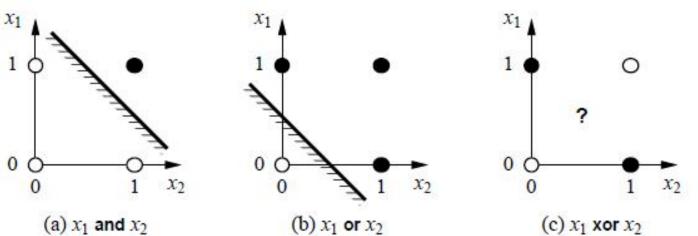
Consider a perceptron with g = step function (Rosenblatt, 1957, 1960)

Can represent AND, OR, NOT, majority, etc., but not XOR

Represents a linear separator in input space:

$$\sum_j W_j x_j > 0$$
 or  $W \cdot x > 0$ 





Minsky & Papert (1969) pricked the neural network balloon because of *Perceptrons* 

Table 2-1 "AND"

$x_{l}$	$x_2$	$x_1 x_2$	$Y=w_1\cdot x_1+w_2\cdot x_2-b=0$	conditions
0	0	0	$Y=w_1\cdot 0+w_2\cdot 0-b<0$	b>0
0	1	0	$Y=w_1\cdot 0+w_2\cdot 1-b<0$	b>w <sub>2</sub>
. 1	0	0	$Y=w_1\cdot 1+w_2\cdot 0-b<0$	$b>w_1$
1	1	1	$Y=w_1\cdot 1+w_2\cdot 1-b\geq 0$	$b \leqslant w_1 + w_2$

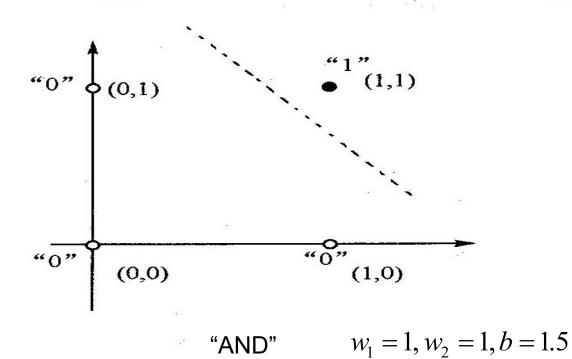
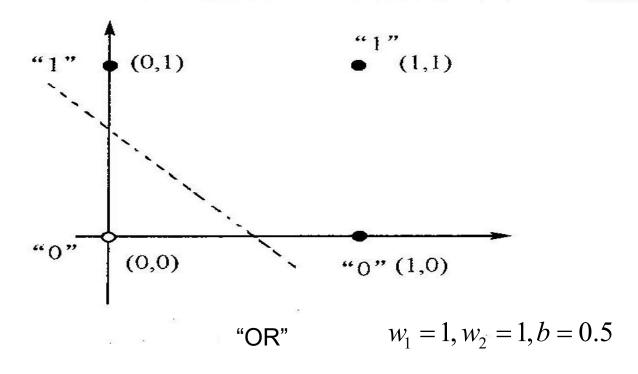


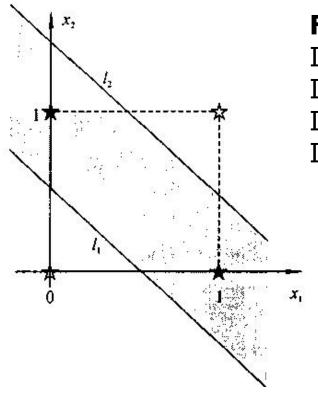
Table 2-2 "OR"

$x_1$	$\mathbf{x}_2$	$x_1 x_2$	$Y=w_1\cdot x_1+w_2\cdot x_2-b=0$	conditions
0	0	0	$Y=w_1\cdot 0+w_2\cdot 0-b<0$	b>0
0	1	1	$Y=w_1\cdot 0+w_2\cdot 1-b\geq 0$	$b \leqslant w_2$
1	0	1	$Y=w_1\cdot 1+w_2\cdot 0-b\geqslant 0$	$b \leq w_1$
1	1	1	$Y=w_1\cdot 1+w_2\cdot 1-b\ge 0$	$b \leq w_1 + w_2$



#### **XOR** based on Perceptrons

#### Ideas!



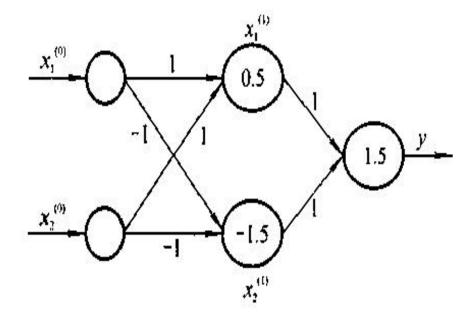
#### Four rules expressed for XOR:

IF 
$$x_1=0$$
 AND  $x_2=0$  THEN  $y=0$ 

IF 
$$x_1=0$$
 AND  $x_2=1$  THEN  $y=1$ 

IF 
$$x_1=1$$
 AND  $x_2=0$  THEN  $y=1$ 

IF 
$$x_1=1$$
 AND  $x_2=1$  THEN  $y=0$ 



#### Perceptron learning

Learn by adjusting weights to reduce error on training set

The squared error for an example with input x and true output y is

$$E = \frac{1}{2} E r r^2 = \frac{1}{2} y - h_{w(x)}^2,$$

Perform optimization search by *gradient descent*:

$$\frac{\partial E}{\partial W_j} = \text{Err} \times \frac{\partial Err}{\partial W_j} = \text{Err} \times \frac{\partial}{\partial W_j} (y - g(\sum_{j=0}^n W_j X_j))$$
$$= -\text{Err} \times g'(\text{in}) \times X_j$$

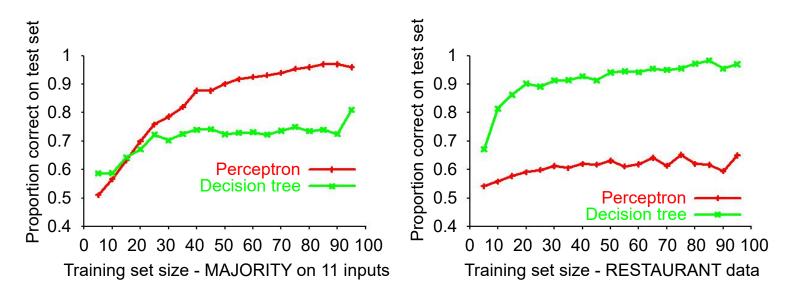
Simple weight update rule:

$$W_j \leftarrow W_j + \alpha \times Err \times g'(in) \times x_j$$

E.g., +ve error ⇒ increase network output ⇒ increase weights on +ve inputs, decrease on -ve inputs

#### Perceptron learning contd.

Perceptron learning rule converges to a consistent function for any linearly separable data set

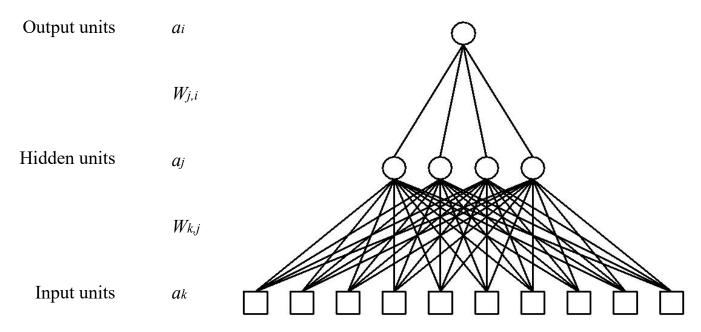


Perceptron learns majority function easily, DTL is hopeless

DTL learns restaurant function easily, perceptron cannot represent it Why?

#### Multilayer perceptrons

Layers are usually fully connected; numbers of hidden units typically chosen by hand



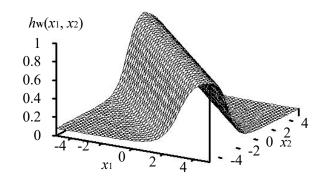
#### Expressiveness of MLPs

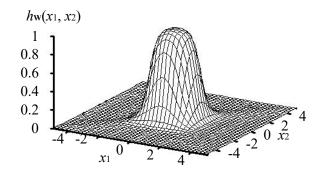
#### Structure and decision region for Perceptrons

结构	<b>决策区域类型</b>	区域形状	异或问题		
无際屋	由一起平面分成两个		B (1)		
***	开凸区域或闭凸区域				
<b>***</b>	任歌形状(其复杂度 · 由单元数目确定)	•			

#### Expressiveness of MLPs

All continuous functions w/ 2 layers, all functions w/ 3 layers





Combine two opposite-facing threshold functions to make a ridge
Combine two perpendicular ridges to make a bump
Add bumps of various sizes and locations to fit any surface
Proof requires exponentially many hidden units (cf DTL proof)

#### Back-propagation learning

Output layer: same as for single-layer perceptron,

$$W_{j,i} \leftarrow W_{j,i} + \alpha \times a_j \times \Delta_i$$

where 
$$\Delta_i = Err_i \times g'$$
 (ini)

Hidden layer: back-propagate the error from the output layer:

$$\Delta j = g'(inj)\sum_i W_{ii}\Delta_i$$

Update rule for weights in hidden layer:

$$W_{k,j} \leftarrow W_{k,j} + \alpha \times a_k \times \Delta_j$$
.

(Most neuroscientists deny that back-propagation occurs in the brain)

#### Back-propagation derivation

The squared error on a single example is defined as

$$E = \frac{1}{2}\sum_{i}(y_i - a_i)^2,$$

where the sum is over the nodes in the output layer.

$$\frac{\partial E}{\partial W_{j,i}} = -(y_i - a_i) \frac{\partial a_i}{\partial W_{j,i}} = -(y_i - a_i) \frac{\partial g(in_i)}{\partial W_{j,i}}$$

$$= -(y_i - a_i)g'(in_i) \frac{\partial in_i}{\partial W_{j,i}} = -(y_i - a_i)g'(in_i) \frac{\partial}{\partial W_{j,i}} (\sum_j W_{j,i} a_j)$$

$$= -(y_i - a_i)g'(in_i)a_j = -a_j \Delta_i$$

#### Back-propagation derivation contd.

$$\frac{\partial E}{\partial W_{k,j}} = -\sum_{i} (y_{i} - a_{i}) \frac{\partial a_{i}}{\partial W_{k,j}} = -\sum_{i} (y_{i} - a_{i}) \frac{\partial g(in_{i})}{\partial W_{k,j}}$$

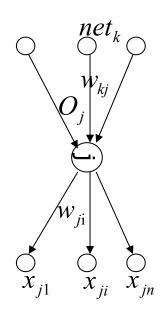
$$= -\sum_{i} (y_{i} - a_{i})g(in_{i}) \frac{\partial in_{i}}{\partial W_{k,j}} = -\sum_{i} \Delta_{i} \frac{\partial}{\partial W_{k,j}} \left( \sum_{j} W_{j,i} a_{j} \right)$$

$$= -\sum_{i} \Delta_{i} W_{j,i} \frac{\partial a_{j}}{\partial W_{k,j}} = -\sum_{i} \Delta_{i} W_{j,i} \frac{\partial g(in_{j})}{\partial W_{k,j}}$$

$$= -\sum_{i} \Delta_{i} W_{j,i} g'(in_{j}) \frac{\partial in_{j}}{\partial W_{k,j}}$$

$$= -\sum_{i} \Delta_{i} W_{j,i} g'(in_{j}) \frac{\partial}{\partial W_{k,j}} \left( \sum_{k} W_{k,j} a_{k} \right)$$

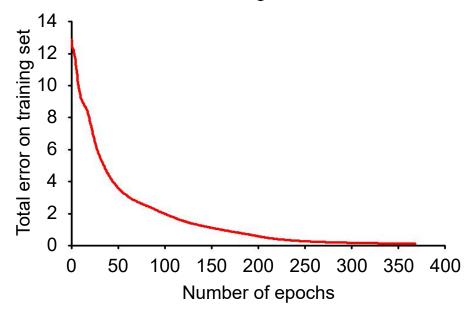
$$= -\sum_{i} \Delta_{i} W_{j,i} g'(in_{j}) a_{k} = -a_{k} \Delta_{j}$$



#### Back-propagation learning contd.

At each epoch, sum gradient updates for all examples and apply

Training curve for 100 restaurant examples: finds exact fit



Typical problems: slow convergence, local minima

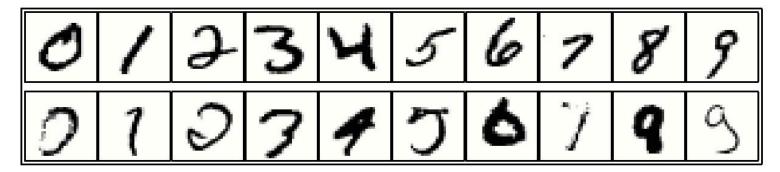
#### Back-propagation learning contd.

Learning curve for MLP with 4 hidden units:



MLPs are quite good for complex pattern recognition tasks, but resulting hypotheses cannot be understood easily

#### Handwritten digit recognition



3-nearest-neighbor = 2.4% error

400-300-10 unit MLP = 1.6% error

LeNet: 768-192-30-10 unit MLP = 0.9% error

Current best (kernel machines, vision algorithms)  $\approx 0.6\%$  error

#### Summary

Most brains have lots of neurons; each neuron  $\approx$  linear—threshold unit (?)

Perceptrons (one-layer networks) insufficiently expressive

Multi-layer networks are sufficiently expressive; can be trained by gradient descent, i.e., error *back-propagation* 

Many applications: speech, driving, handwriting, fraud detection, etc.

Engineering, cognitive modelling, and neural system modelling subfields have largely diverged

Back-propagation Algorithm: Pros and Cons

Pros: good learning algorithm for MLP

Cons: local optima, time consuming

## Assignment

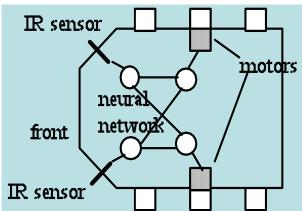
- Readings: Chap 18.6, 18.7
- Exercises
  - Exercises: 18.19
  - Additional exercises:
    - Exercise 1: Compute a NN to realize function XOR based on Perceptrons.
    - Exercise 2: Prove the formula of BP Algorithm based.
    - Exercise 3\*: training a neuro controller for the robot "Braitenberg vehicle" mentioned in our course, and give one answer
  - \*Handed in next Tuesday

# Case1: Evolving a neural controller for an

agent



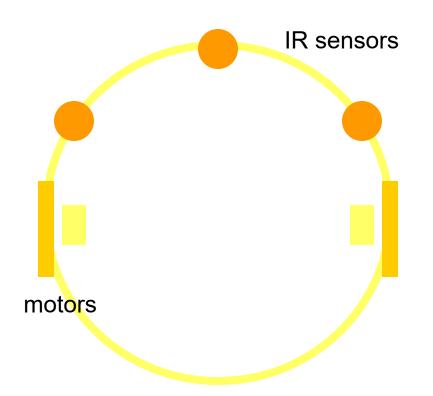




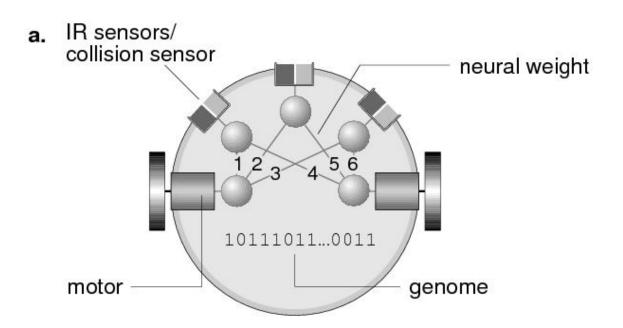
Didabot: simple robot for didactical purposes

arena with Styrofoam cubes

# Case 2: Evolving a neural controller for an agent with 3 IR sensors



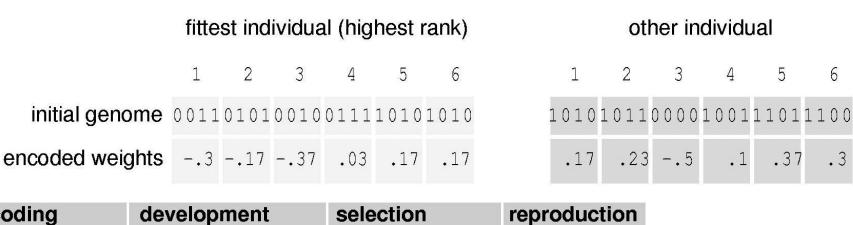
## Encoding in genome



b.	initial genome	1101	0110	0001	0011	1010	1100
	encodes weights (numbers)	1	2	3	4	5	6
c.	initial weights after "development"	.37	1	43	3	.16	.3

# Encoding in genome "development"

- 1. take the one single individual with the highest fitness
- 2. choose another individual from the population at random, irrespective of fitness, for sexual reproduction
- 3. add the fittest individual to the new population



#### encoding binary no development "roulette wheel" mutation (phenotype = many-character elitism crossover genotype) real-valued rank selection development with tournament and without truncation interaction with the steady-state environment

# Reproduction: Crossover and mutation "development"

