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# Nature inspired optimization algorithms: a comprehensive overview

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## Abstract

Nature performs complex tasks in a simple yet efficient way. Natural processes may seem straightforward from outside but are composed of several inherently complicated sub-processes. Inspired from nature, several Nature Inspired Optimization Algorithms (NIOAs) have been developed in recent years. The family of NIOAs is expanding rapidly. Therefore, the set of NIOAs became quite large and selecting an appropriate NIOA is a tedious job. Since each one of the algorithms offers something novel, the similarities and differences among them are necessary to be established so that the selection of an algorithm for a particular problem becomes relatively easy. Moreover, a problem needs to be mapped in a NIOA, requiring understanding of fundamental components of NIOAs. Tuning parameters and algorithm operators another important concern in NIOAs that need be addressed carefully for better performance of the algorithm. Our work distinguishes NIOAs on the basis of various criteria and discusses the building blocks of various algorithms to achieve aforementioned objectives. The purpose of present study is to analyze major concepts related to NIOAs such as fundamentals of NIOAs, comparison among them, advancements, etc. In order to explain the usage of components of NIOA, an illustrative example is also presented.

**Keywords** Soft computing · Evolutionary computation · Optimization · Nature inspired algorithm

## 1 Introduction

Optimization has been an integral part of a decision-making process (Vercellis 2009). It is a process of finding the best possible solution of a given problem. Most of the real-world optimization problems such as Travelling Salesman Problem (TSP) are difficult to solve (Dorigo and Gambardella 1997). Exhaustive search can provide the optimum solution but is impractical in such scenarios (Woeginger 2003). Moreover, optimization problems are becoming more and more complex such as timetabling and scheduling problems due to increasing number of variables, dimensions, time complexity, space complexity, etc Lewis (2008), Rinnooy Kan (2012). These problems are considered NP-hard. The NP-hard problems cannot be solved through traditional methods and in such cases

NIOAs come into effect (Yang 2010). The application areas of NIOAs cover intricate domains such as image processing (Duarte et al. 2006; Hammouche et al. 2010; Jalaaladdin Mousavirad and Ebrahimpour-Komleh 2017; Cuevas and Sossa 2013; Jino Ramson et al. 2019), control systems and auto tuning (Angelov and Guthke 1997; Diogo Pereira Puchta et al. 2019; Serdar et al. 2021; Rodríguez-Molina et al. 2020; Pritesh et al. 2021), wireless sensor networks (Pinto Alex et al. 2014; Tsai et al. 2015; PraveenKumar et al. 2019; Gupta and Jha 2018; Kaur and Mahajan 2018), traffic management in transportation systems (Zhao et al. 2011; Mohammad Reza et al. 2018; DelSer et al. 2019), intrusion detection (Shafi and Abbass 2007; Costa et al. 2015; Behdad et al. 2012), data analytics (Cheng et al. 2013; Chou and Ngo 2016; Iqbal et al. 2018; Mohammadi et al. 2020), energy system (Verma et al. 2018; Gamarra and Guerrero 2015; Bo-Yang et al. 2018), healthcare systems (Sivakumar and Marcus 2012; Gálvez et al. 2018; Tsai et al. 2015), social media network assessment (Hussain and Cambria 2018; Gonzalez-Pardo et al. 2017; Bello-Orgaz et al. 2017), manufacturing industry automation (Wari and Zhu 2016; Gen et al. 2017; Diez-Olivan et al. 2019), cyber crime and malware detection (Choraś and Kozik 2018; Dilek et al. 2015; Afifi

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et al. 2016; Cui et al. 2018) and many more (Angelov and Buswell 2003; Casey and Damper 2010; Gogna and Tayal 2013; Yang and He 2016; Yang 2020).

Nature has always inspired human beings to long for the unreachable. The natural phenomenon inspires humans to solve problems as nature does in an efficient and systematic way. Therefore, humans mimic nature to develop problem solving techniques and apply them different domains. It has been seen in real-life situations that we are often interested in an early ‘good’ solution rather than a delayed ‘best’ solution. Keeping this observation in mind, many Nature Inspired Optimization Algorithms (NIOAs) are designed to provide the solution within acceptable time-limits. They are a subclass of metaheuristic algorithms that mimic natural processes such as natural selection, group movement, food foraging, physical laws, etc Yang (2014). These algorithms are of general purpose and do not require detailed knowledge of the problem to be solved. Algorithms inspired from nature provide a good framework to search good solutions when search direction is not clear (Talbi 2009).

There are a number of nature inspired optimization algorithms available in literature and are somewhat different from each other. Since they are large in number, studying and analyzing each one of them for an individual is difficult. Some algorithms employ biological concepts, other follow laws of physics and chemistry. Some algorithms are based on single solution and others require a set of solutions. Some algorithms are stochastic in nature while others are deterministic. However, there are some common concepts that are applicable to all such as encoding, tuning parameters, operators etc. Therefore, a researcher who is not very familiar with NIOAs is always in a dilemma which algorithm should be preferred.

There are a few notable works in the literature which present a classification of NIOAs. Most of them categorized NIOAs as evolutionary algorithm and swarm intelligence based algorithm. Some of the researchers grouped NIOAs based on the source of inspiration. Fister Jr et al. (2013) put the algorithms into four categories on the basis of source of inspiration such as swarm based, bio inspired but not swarm based, physics/chemistry based, and other algorithms. Siddique and Adeli (2015) went one step further and focussed on two aspects while making comparisons i.e. source of inspiration and nature of algorithms (deterministic and stochastic). Boussaid and others. Boussaid et al. (2013) divided NIOAs into single and population based methods to investigate the similarities and differences among them. A survey of metaheuristics for high-dimensional problems was presented in Mahdavi et al. (2015). Hussain et al. (2019) provided an exhaustive survey of metaheuristics considering research works of over three decades. A detailed assessment of biology based optimization algorithms, its present situation and future prospects are discussed in Del Ser et al.

(2019). Yang (2020) outlined a list of challenges and open issues in the domain of NIOA that are yet to be addressed.

Though NIOAs faced criticism for exhibiting similar behavior (Sörensen 2015), their contribution in solving large set of problems can not be denied. Given the significance of NIOAs in the variety of domains, there is need of a study which provides a comprehensive overview of NIOAs and tries to cover all the major components related to the algorithm. Our work tries to fill this research gap and present a brief yet complete overview of NIOAs. Present work does not only compare NIOAs but also assembles necessary information such as building blocks of NIOAs, advancements in NIOAs and future enchantments at one place. Fundamental concepts that are instrumental in the working of NIOAs like exploration, exploitation, encoding, elitism, interpretation of results etc. are discussed. Apart from source of inspiration, two more criteria are employed to distinguish among NIOAs. One of them is the number of solutions an algorithm evaluates in each iteration. Third criterion is whether a given algorithm is deterministic or stochastic in nature. Each algorithm has a number of control parameters that decide its convergence rate or performance. Bad selection of these parameters results in sub-optimal solutions. Moreover, NIOA relies on a set of operators to reach to the optimum values. A list of tuning parameters and operators is also presented as each algorithm employ distinct ways to achieve solutions. New advancements in NIOAs such as parallelism and hybridization are also included in the study. Some open directions are also suggested for researchers to follow. 29 Popular and original (without considering the variations of algorithm) single objective NIOAs are considered in the present work. Abbreviations used in the study are presented in Table 1.

The rest of the paper is organized as follows. Section 2 contains the fundamental concepts of NIOAs. Section 3 describes the classification and structure of NIOAs. An illustration is presented in Sect. 4 to clarify how the components of a NIOA are used to solve a problem. Section 5 contains the further advancements of NIOAs. Few future directions are suggested in Sect. 6. Section 7 presents concluding remarks.

## 2 Components of nature inspired optimization algorithm

Though there are many NIOAs, they follow a set of broader concepts in their own ways. In this section, the common notions used in NIOAs are discussed.

### 2.1 Exploration

As the name suggests, exploration refers to look for unknown. The primary concern with solving the NP-Hard

**Table 1** Commonly used abbreviations and their full forms

Abbreviation	Full form	Abbreviation	Full form
ABC	Artificial Bee Colony	HS	Harmony Search
ACO	Ant Colony Optimization	KHA	Krill Herd Algorithm
BA	Bat Algorithm	LOA	Lion Optimization Algorithm
BBO	Biogeography Based Optimization	MSA	Monkey Search Algorithm
BFO	Bacterial Foraging Optimization	NIOA	Nature Inspired Optimization Algorithm
CFO	Central Force Optimization	NP	Non-deterministic Polynomial time
CLSA	Clonal Selection Algorithm	OIO	Optics Inspired Optimization
CRO	Chemical Reaction Optimization	PFA	Paddy Field Algorithm
CSA	Cuckoo Search Algorithm	PSO	Particle Swarm Optimization
DE	Differential Evolution	RFD	River Formation Dynamics
DPO	Dolphin Pod Optimization	SA	Simulated Annealing
EMO	Electromagnetism Optimization	SFLA	Shuffled Frog Leaping Algorithm
FA	Firefly Algorithm	SOA	Spiral Optimization Algorithm
FPA	Flower Pollination Algorithm	SSO	Social Spider Optimization
GA	Genetic Algorithm	TS	Tabu Search
GSA	Gravitational Search Algorithm		

optimization problems is the gigantic size of search space which leads to the unacceptable time complexity for any conventional algorithm. NIOAs offer probing only potential solution areas of search space thus reducing the time complexity. Therefore, exploration is seen as the process of reaching out to feasible unvisited area of search space (Yang et al. 2014). It also helps an algorithm to escape from sub-optimum solutions. Different NIOAs utilize different mechanisms to implement exploration that improves the quality of obtained solution. In fact, the performance of an algorithm is directly related with the amount of emphasis given to the exploration process. Well executed exploration, especially in the initial iterations, improves the performance of algorithm significantly.

## 2.2 Exploitation

Exploitation process is another very important building block of an NIOA and often seen in contrast with exploration. It refers to the ability of an algorithm to utilize the local knowledge to generate better solutions. Since solutions improve with each iteration, exploitation process tries to find better individuals confined in a certain region of search space with the help of existing best solutions (Yang et al. 2014). It can be seen as searching in the neighbourhood of already obtained solutions to find the maxima or minima within a small area. To reach to a global optimum a systematic coordination between exploration and exploitation strategies is needed. The efficiency of an NIOA depends heavily on the interplay of these two processes.

## 2.3 Encoding

NIOAs follow natural phenomenon that are not easy to be imitated. For an algorithm to work efficiently, a solution should be represented in a certain way. Encoding is the process of representing a solution into problem and algorithm specific form Yang (2014). Depending upon the requirement of problem, a solution could be encoded in the form of binary string, ordered set of numbers, unordered set of numbers, permutation of numbers etc Talbi (2009). For example, in genetic algorithm the set of individuals are often represented as binary strings. A list of commonly used encodings is presented in Table 2.

## 2.4 Generation of new solutions

Most algorithms produce new solutions in two ways, 1) randomly 2) using algorithmic operators. Random generation of solution(s) is often carried out in the initial stage of the algorithm. Algorithmic operators select existing solution(s) and update them in several stages of the optimization process. The new solutions with improved values are accepted and are used further to generate even better solutions in later stages. However, certain restrictions should be ensured during both processes such as, the produced solutions must follow the encoding technique of the algorithm and must reside in feasible search space.

## 2.5 Elitism

NIOAs are iterative in nature. New solutions, produced with each iteration, may be better than the existing ones or not.

**Table 2** Commonly used encodings of a solution in NIOAs

Encoding	Example	Remarks
Binary string	10011010	The binary string is first converted into equivalent real value to check the goodness of the solution. The same encoding may also represent presence (1) or absence of (0) of an attribute.
Set of integers	(34,70)	Here a solution consists of two variables which are integers
Set of real values	(5.78,0.223,9.01)	Example refers to a solution represented by a set of three real values. Each value represents individual variable of the problem.
Ordered set of integers	(7,4,9,1,5)	Represents a path in a graph, $V_7 \rightarrow V_4 \rightarrow V_9 \rightarrow V_1 \rightarrow V_5$
2D Matrix	$\begin{pmatrix} 1 & 0 & 2 & 1 \\ 2 & 1 & 1 & 0 \\ 2 & 0 & 1 & 1 \end{pmatrix}$	Represents a schedule. For example, rows may represent nurses of a hospital and columns may represent days of a week. Entries of the matrix may represent morning shift (1), evening shift (2) or off-day (0)

Elitism is the process of preserving high-quality solutions so that they are not lost over iterations. They are usually stored separately. Elite solutions can participate in the generation of new solutions or not depending upon the structure of the algorithm. The benefit of using elitist model is that the quality of the solution can only upgrade as the number of iterations increase.

## 2.6 Stopping criteria

Since optimum solution is unknown in real world problems, a large number of iterations are performed to reach to it. Therefore, an obvious need of defining the stopping criteria is there after reaching to convergence. Various techniques have been suggested in literature to deal with the issue. Commonly used criteria are: setting the number of maximum iterations and amount of change in best solution over iterations. More sophisticated standards are also suggested. One has to be careful while selecting a particular criterion as it can affect the performance of the algorithm (Fernández-Vargas et al. 2016).

Apart from the above-mentioned criteria to stop the execution of algorithm, researchers also use other rules depending upon the optimization problem at hand. Rather than maximum number of generations, maximum CPU time in seconds or maximum number of objective function evaluations can also be mentioned to stall the execution. These rules are referred as exhaustion-based criteria (Zielinski and Laur 2008). If the best solution is already known as in the case of benchmark functions, error-based stopping rules can be used, given as the variation between the best result generated by algorithm and the already known value of global optimum. An algorithm can also be stopped if the quality of newly generated solutions is insignificant i.e. a tolerance limit is specified and relative change in the best solution is monitored. If the change is less than the specified limit for

a given number of generations or given amount of time, the algorithm is forced to stop.

The criteria discussed so far are used very often in NIOA literature but more complex rules are also there (Gogna and Tayal 2013). The movement of solutions can be considered as a potential metric to stall the algorithm. In such scenarios, the movement of decision variables and/or objective function values is monitored and if their shift is marginal over iterations/time, the algorithm is stopped. It has been observed that the solutions in population-based NIOA tend to converge around global optimum. Therefore, the distribution of the solutions should be confined to a small region over iterations/time and hence, can be used as a stopping criteria. More than one rules can also be combined to set a stopping criteria.

## 2.7 Interpretation of result

A large number of NIOAs are stochastic in nature i.e. they randomly pick the solution from search space. The result may fall in local optima as different algorithms follow different search paths to find results even for the same set of parameters. Therefore, the results obtained through them must be interpreted correctly. If the optimum solution of the given problem is unknown, one can never guarantee the obtained result from NIOA as best since the algorithm does not consider the whole search space. One popular way to deal with this issue is to run the algorithm many times, compare the results of all instances and select the best out of them.

## 2.8 Objective function

Objective function represents the function to be optimized. When a solution is produced, it is essential for the algorithm to check how good or bad it is. The goodness of a solution is given by its corresponding objective function value. It

could be in the form of a function or not, depending upon the problem under consideration. It helps the algorithm in decision making such as which solution should be carried forward into the next iteration or whether a particular operator should be applied on it.

With this discussion it is clear that NIOAs are similar and try to achieve the above-mentioned concepts using various phenomenon. The flowchart of the generic steps of an NIOA are indicated in Fig. 1.

### 3 Classification and structure of NIOAs

In the section, various categorization criteria are discussed, and structure of individual algorithm is highlighted with the help of corresponding control parameters and operators. Classification of NIOAs is presented graphically in Fig. 2.

#### 3.1 Classification criteria

A study of 29 NIOAs was carried out to discern the similarities and differences among them. From that, three criteria

are identified for categorization and the same are discussed below.

##### 3.1.1 Source of inspiration

Grouping on the basis of inspiration-source has been done elsewhere as well. It indicates a particular domain a NIOA imitates. Commonly followed domains are:

- **Biology:** Most of the algorithms are inspired from biological process. The algorithms such as are genetic algorithm, ant colony optimization, particle swarm optimization, firefly algorithm, cuckoo search algorithm, bat algorithm, differential evolution, dolphin pod optimization, flower pollination, etc are bio-inspired.
- **Physics:** Some algorithms mimic Physics related phenomenon. Algorithms which fall in this category are gravitational search algorithm, simulated annealing, central force optimization, river formation dynamics, electro-magnetism optimization, etc.
- **Others:** In our study, there is one chemistry-based algorithm which is chemical reaction optimization, one algorithm has taken inspiration from music i.e. harmony search and tabu search is based on social rituals.

##### 3.1.2 Size of solution set

An algorithm evaluates either one solution or a set of solutions in one iteration. On the criteria, Based on the number of solutions used algorithms are divided into two types:

- **Single solution-based:** As discussed above, an NIOA that considers only one solution in each iteration fall in this group. Such algorithm moves through a trajectory to reach optimal solution.
- **Multiple solution-based:** An algorithm that operates on a set of potential solutions to move towards optimal goals simultaneously is assigned in this category. Such an algorithm is also called population based algorithm. Most of NIOAs belong to this class.

##### 3.1.3 Nature of algorithm

The nature of algorithm can be understood as the process of calculating new solution. It can broadly be divided into two:

- **Stochastic:** If a NIOA involves any random component in its overall calculation to produce new solutions, it is termed as stochastic or randomized NIOA. One of the characteristic of stochastic algorithm is that given a set of input parameters, the intermediate calculations and final output are difficult to predict beforehand. Therefore, results yielded in one run of the algorithm can

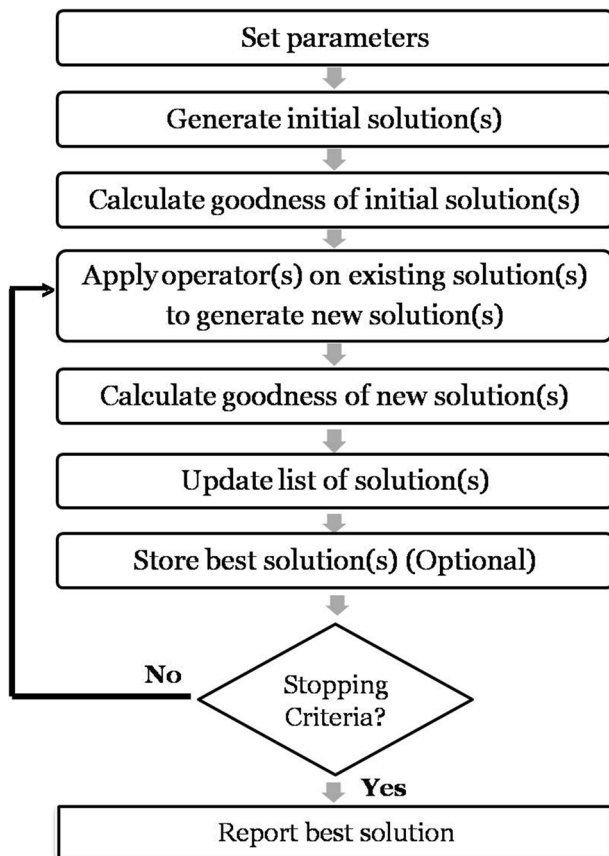
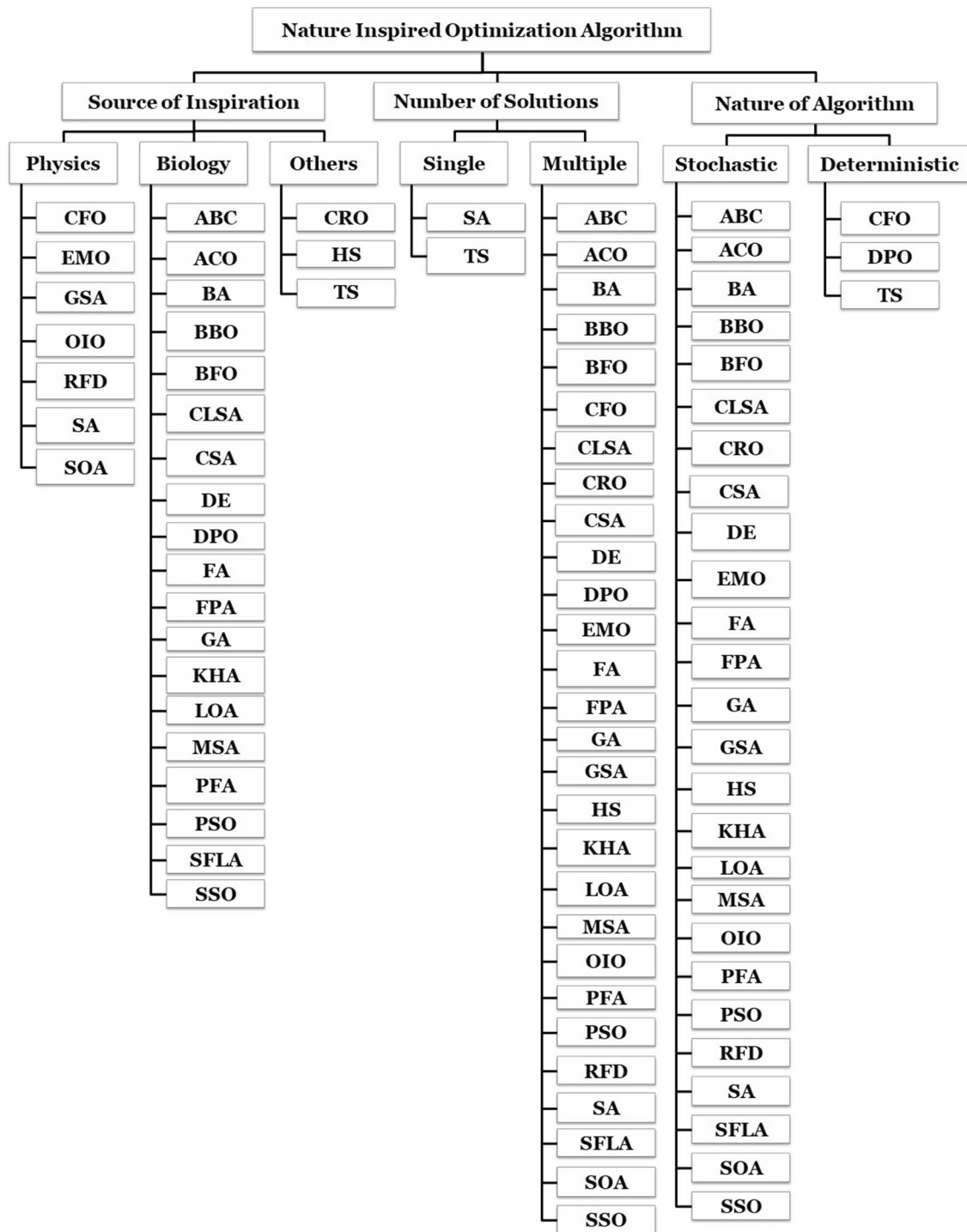


Fig. 1 Generic steps in a nature inspired optimization algorithm





**Fig. 2** Classification hierarchy of nature inspired optimization algorithms

be different from another run. Most of nature-inspired algorithms are stochastic.

- **Deterministic:** In contrast to stochastic NIOAs, we will call those NIOAs deterministic that do not use random numbers for generating further solutions. Therefore,

intermediate results and end solution are relatively easy to estimate.

Based on above-mentioned factors, NIOAs are grouped, and the results are presented in Table 3.

**Table 3** Classification of nature inspired optimization algorithms based on three criteria

S. no.	Algorithm	Source of inspiration			Number of solutions		Nature of algorithm	
		Biology	Physics	Others	Single	Multiple	Stochastic	Deterministic
1.	ABC Karaboga and Basturk (2007)	✓	✗	✗	✗	✓	✓	✗
2.	ACO Dorigo et al. (2006)	✓	✗	✗	✗	✓	✓	✗
3.	BA Yang and Gandomi (2012)	✓	✗	✗	✗	✓	✓	✗
4.	BBO Simon (2008)	✓	✗	✗	✗	✓	✓	✗
5.	BFO Das et al. (2009)	✓	✗	✗	✗	✓	✓	✗
6.	CFO Formato (2008)	✗	✓	✗	✗	✓	✗	✓
7.	CLSA De Castro and Von Zuben (2000)	✓	✗	✗	✗	✓	✓	✗
8.	CRO Lam and Li (2012)	✗	✗	✓	✗	✓	✓	✗
9.	CSA Xin-She and Suash (2009)	✓	✗	✗	✗	✓	✓	✗
10.	DE Storn and Price (1997)	✓	✗	✗	✗	✓	✓	✗
11.	DPO Serani and Diez (2017)	✓	✗	✗	✗	✓	✗	✓
12.	EMO İlker and Shu-Chering (2003)	✗	✓	✗	✗	✓	✓	✗
13.	FA Yang et al. (2008)	✓	✗	✗	✗	✓	✓	✗
14.	FPA Yang (2012)	✓	✗	✗	✗	✓	✓	✗
15.	GA Goldberg (2006)	✓	✗	✗	✗	✓	✓	✗
16.	GSA Rashedi et al. (2009)	✗	✓	✗	✗	✓	✓	✗
17.	HS ZongWoo et al. (2001)	✗	✗	✓	✗	✓	✓	✗
18.	KHA Amir and Amir (2012)	✓	✗	✗	✗	✓	✓	✗
19.	LOA Yazdani and Jolai (2016)	✓	✗	✗	✗	✓	✓	✗
20.	MSA Mucherino and Seref (2007)	✓	✗	✗	✗	✓	✓	✗
21.	OIO AliHusseinzadeh (2015)	✗	✓	✗	✗	✓	✓	✗
22.	PFA Premaratne et al. (2009)	✓	✗	✗	✗	✓	✓	✗
23.	PSO Kennedy and Eberhart (1995)	✓	✗	✗	✗	✓	✓	✗
24.	RFD Rabanal et al. (2007)	✗	✓	✗	✗	✓	✓	✗
25.	SA Kirkpatrick (1983)	✗	✓	✗	✓	✗	✓	✗
26.	SFLA Eusuff et al. (2006)	✓	✗	✗	✗	✓	✓	✗
27.	SOA Tamura and Yasuda (2011)	✗	✓	✗	✗	✓	✓	✗
28.	SSO James and Li (2015)	✓	✗	✗	✗	✓	✓	✗
29.	TS Glover and Laguna (1998)	✓	✗	✗	✓	✗	✗	✓

### 3.2 Structure of an NIOA

As we discussed earlier, NIOAs are similar and try to achieve a balanced mix of exploration and exploitation processes. However, the way in which one algorithm achieves the same may be different from another NIOA. Parameters and operators are the main factors that govern the structure of an NIOA and its ability to find the optimum solution. A list of NIOAs with corresponding parameters and operators is prepared and presented in Table 4.

#### 3.2.1 Tuning parameters

Each NIOA has parameters the values of which are initialized before starting the optimization process. Values of these parameters are fixed at initial stage but may or may not vary while

proceeding to further stages. The amount of change, if any, is usually minimal. Initial setting of parameters has tremendous effect on the performance of the algorithm (Pellegrini and Birattari 2006). In fact, if the algorithm does not give desired results, the values of parameters are modified and algorithm is run again. Usually, a NIOA having few parameters is preferred.

#### 3.2.2 Primary operators

As discussed in earlier sections, new solutions are generated from existing ones by applying algorithmic operators. A NIOA can have many such operations. An operator tries to find improved results in the neighbourhood of existing solution and helps the algorithm to converge to the optimal solution. In fact, they are the realization of exploitation process. A good operator has a positive impact on the efficiency of the algorithm.



**Table 4** List of tuning parameters and operators of NIOAs

S. no.	Algorithm	Tuning parameters	Operators
1.	ABC	limit or abandonment criteria	food source selection
		number of employed, onlooker and scout bees	food source position update
2.	ACO	amount of pheromone	pheromone updation
		pheromone evaporation rate	ant path construction
3.	BA	pulse of loudness	frequency update
		pulse rate	velocity update
			position update
4.	BBO	immigration rate	habitat modification
		emigration rate	mutation
		maximum mutation rate	
5.	BBO	chemotactic step	chemotaxis
		swimming length	swarming
		reproduction step	reproduction
		elimination-dispersal event	elimination-dispersal
		elimination-dispersal probability	
		size of the step for tumble	
6.	CFO	gravitational constant	probe acceleration update
		two free parameters $\alpha$ and $\beta$	ant path construction
7.	CLSA	number of best antibodies	selection
		number of replaced antibodies	cloning
			hypermuation
8.	CRO	kinetic energy loss rate	on-wall ineffective collision
		initial kinetic energy	decomposition
		molecular collision number	inter-molecular ineffective collision
		central energy buffer	synthesis
		two free parameters $\alpha$ and $\beta$	
9.	CSA	nest abandon fraction	cuckoo updation through levy flight
		levy flight step size	
10.	DE	mutation coefficient	mutation
		crossover probability	crossover
			selection
11.	DPO	weight for the attraction force	dolphin velocity update
		integration time step	dolphin position update
		pod dynamics parameter	
		food attraction force tuning	
12.	EMO	local search iteration number	local search operation
		local search parameter	point movement operation
13.	FA	absorption coefficient	position update of the firefly
		randomization parameter	
		attractiveness constant	
14.	FPA	strength of the pollination	global flower pollination
		switch probability	local flower pollination
15.	GA	crossover rate	selection
		crossover rate	crossover
			mutation
16.	GSA	gravitational constant	acceleration calculation
		a free parameter	agent velocity update
			agent position update
17.	HS	harmony memory considering rate	harmony improvisation
		pitch adjusting rate	harmony pitch adjustment

**Table 4** (continued)

S. no.	Algorithm	Tuning parameters	Operators
18.	KHA	maximum induced speed maximum diffusion speed inertia weights crossover probability mutation probability	motion induced by other krill foraging motion physical diffusion crossover mutation
19.	LOA	number of prides sex rate percent of nomad lions mating probability roaming percent immigration rate mutation probability	hunting movement towards safe place roaming mating defence migration
20.	MSA	step length of climb process eyesight of monkey somersault interval	climb process watch-jump process somersault process
21.	OIO	number of changes a free parameter K	mirror type determination correction of spherical aberration new image generation aggregation of images
22.	PFA	maximum seeds per plant dispersion spread neighborhood function radius	selection seeding pollination dispersion
23.	PSO	inertia weight cognitive acceleration coefficient social acceleration coefficient	particle velocity update particle position update
24.	RFD	erosion parameter block drop parameter not climb factor two free parameters $\omega$ and $\delta$	drop movement erosion depositing sediments
25.	SA	temperature	annealing process
26.	SFLA	number of memplexes number of frogs in a memplex number of frogs in a submemplex maximum number of steps in one memplex maximum step size adopted by an infected frog	construction of memplex and submemplex improving the position of worst frog upgradation the memplex shuffling of memplex
27.	SOA	composite rotation matrix step rate	search point updation center updation
28.	SSO	attenuation rate probability of changing mask probability of value in dimension mask to be one	vibration generation mask changing random walk calculation
29.	TS	size of tabu list(s)	generation of list of new solutions computation of next best solution updation of tabu list updation of aspiration criteria

In the present study, only main parameters and operators are considered. For example, some parameters are common to each algorithm such as size of solution set (or population size), dimensionality of a solution and number of iterations. Similarly, operator like goodness/fitness calculation is implemented in each NIOA. We did not consider such parameters and operators.

## 4 An illustrative example

In order to explain the usage of components of NIOA, an example problem is taken and Gravitational Search Algorithm (GSA) is applied on it.

### 4.1 GSA

As mentioned in the previous section, GSA is a population-based stochastic algorithm and is inspired from the laws of gravitation. It starts by assigning initial random positions to search agents. Each agent is characterized by its position and mass (active gravitational mass, passive gravitational mass or inertial mass). The mass of agent is calculated by the objective function to be optimized and changes with each iteration. Change in mass affects the gravitational force  $F$  that affects the acceleration applied on the agent. Acceleration decides the new velocity of the agent, leading to update in its position. This cycle continues till some stopping criteria is met. Next, basic GSA is outlined and description of each step is given:

**Step I: Initialization**  $N$  agents are assigned random position initially. The position of  $i$ th agent in  $n$  dimensional space is defined as:

$$X_i = (x_i^1, x_i^2, \dots, x_i^d, \dots, x_i^n) \quad (1)$$

where  $x_i^d$  signifies  $d$ th dimension of  $i$ th agent.

**Step II: Fitness evaluation** Calculate fitness of each agent according to its position vector and based on the optimization function under consideration.

**Step III: Best and worst fitness computation** The next step is to calculate best and worst fitness among all agents at current iteration  $t$  depending upon type of optimization function.

**Maximization problem:**

$$best(t) = \max_{j \in \{1, \dots, N\}} fit_j(t) \quad (2)$$

$$worst(t) = \min_{j \in \{1, \dots, N\}} fit_j(t) \quad (3)$$

**Minimization problem:**

$$best(t) = \min_{j \in \{1, \dots, N\}} fit_j(t) \quad (4)$$

$$worst(t) = \max_{j \in \{1, \dots, N\}} fit_j(t) \quad (5)$$

where  $best(t)$  and  $worst(t)$  denote the best and worst fitness among all agents at time  $t$  and  $fit_j(t)$  represents the fitness of  $j$ th agent at current iteration  $t$ .

**Step IV: Calculation of gravitational constant** The gravitational constant has the initial value  $G_0$  and will decay with each iteration to control the search accuracy i.e.  $G$  is a function of  $G_0$  and time  $t$ :

$$G(t) = h(G_0, t) \quad (6)$$

**Step V: Calculation of agents' Masses** From computational point of view:

$$M_{ai} = M_{pi} = M_{ii} = M_i, \quad i = 1, 2, \dots, N, \quad (7)$$

And value of  $M_i$  is calculated as follows:

$$m_i(t) = \frac{fit_i(t) - worst(t)}{best(t) - worst(t)} \quad (8)$$

$$M_i(t) = \frac{m_i(t)}{\sum_{j=1}^N m_j(t)} \quad (9)$$

where  $M_{ai}$ ,  $M_{pi}$  and  $M_{ii}$  denote  $i$ th agent's active gravitational mass, passive gravitational mass and inertial mass respectively.

**Step VI: Calculation of force in different directions** The magnitude of force acting on mass  $i$  from mass  $j$  in the direction of  $d$  at time  $t$  is defined as:

$$F_{ij}^d(t) = G(t) \frac{M_{pi}(t) \times M_{aj}(t)}{R_{ij}(t) + \epsilon} (x_j^d(t) - x_i^d(t)) \quad (10)$$

where  $\epsilon$  is a small constant and distance  $R_{ij}$  is the euclidian distance between agents  $i$  and  $j$ ,

$$R_{ij}(t) = \|X_i(t), X_j(t)\|_2 \quad (11)$$

The total force exerted from other agents on agent  $i$  in a dimension  $d$  is given by:

$$F_i^d(t) = \sum_{j=1, j \neq i}^N rand_j F_{ij}^d(t) \quad (12)$$

where  $rand_j$  is a random number in the interval  $[0, 1]$  and provides stochastic characteristic to GSA. To improve the performance of algorithm, instead of

calculating forces by all the agents, we can restrict only to the  $Kbest$  agents and Eq. (12) can be modified as:

$$F_i^d(t) = \sum_{j \in Kbest, j \neq i}^N rand_j F_{ij}^d(t) \quad (13)$$

$Kbest$  is a function of time and has initial value  $K_0$  which decreases with time. Therefore, at the beginning, all agents will exert the force and as the number of iterations increase,  $Kbest$  decreases linearly. Subsequently, there will be only one agent in the end applying force to the other agents.

**Step VII: Calculation of acceleration** Acceleration of  $i$ th agent in  $d$ th dimension at iteration  $t$  is computed as follows:

$$a_i^d(t) = \frac{F_i^d(t)}{M_i(t)} \quad (14)$$

**Step VIII: Updation of velocity and position** Since velocity of an agent at next iteration is equal to the sum of the fraction of its current velocity and acceleration, position and velocity of corresponding agent is calculated for  $(t + 1)$  iteration as:

$$v_i^d(t + 1) = rand_i \times v_i^d(t) + a_i^d(t) \quad (15)$$

$$x_i^d(t + 1) = x_i^d(t) + v_i^d(t + 1) \quad (16)$$

where  $rand_i$  is a random number in the interval  $[0, 1]$

**Step IX: Stopping criteria** Repeat steps II to VIII until the stopping criteria is met.

Apart from agent population ‘N’ and number of iterations ‘T’, the algorithm uses two tunable parameters: the gravitational constant ‘G’ (mentioned in Step:IV) and a free parameter. A good set of these value decides the performance of the algorithm and may vary from one problem to the another.

## 4.2 Mapping of problem into GSA framework

Each problem is unique and need to be mapped into algorithmic framework before it can be solved. In this section, an example problem is mapped into GSA structure.

1. **Objective function:** Let us say, we want to minimize the function  $f(x) = x_1^2 + x_2^2$ , where  $x_1, x_2 \in [-50, 50]$ . Here,  $f(x)$  is called the objective function that is to be optimized. Sometimes the optimization problem at hand is theoretical in nature. In such cases, an optimization function need to be designed that can capture the essence of the problem in a mathematical form.

2. **Encoding:** For this problem, a potential solution can be encoded as an ordered set of values  $(x_i, x_j)$ . For different values of  $(x_i, x_j)$ , the corresponding values of objective function  $f$  can be calculated, referred as fitness/goodness values for a given set  $(x_i, x_j)$ . These fitness values help GSA calculate algorithmic metaphors such as mass, force and acceleration.
3. **New solutions:** The Eqs. (15) and (16) help the algorithm generate new improved solutions at each iteration once mass, force and acceleration values are calculated for existing solutions. GSA may produce new solution vectors that are beyond the range  $[-50, 50]$ . In such cases, those solutions are brought back in the specified range (Cantú et al. 2021).
4. **Stopping criteria:** Step-IX of GSA does not mention any stopping criteria to stop the algorithm. For the given problem, simple halting rules such as maximum number of iterations or less variation in best goodness value can be used. We have taken maximum number of iterations as the stopping criterion (mentioned in the next section).
5. **Elitism:** GSA is elitist in nature by default because the algorithm keeps track of the best solution generated after each iteration (Step-III) and report it in the end.
6. **Exploration and exploitation:** As discussed in earlier sections, exploration refers to the ability of an algorithm to explore the unexplored regions of the problem search space. To achieve the purpose, a component of randomness is often added in the algorithm (Blum and Roli 2003). In the case of GSA also, random numbers are introduced in Eqs. (12) and (15). The value of random number determines the area of search space to be explored by the algorithm. On the other hand, exploitation means using the information at hand about the current good solutions i.e. to look for better solutions in the neighbourhood of already known solutions. The Eq. (16) helps GSA search the local space around solutions found so far.

## 4.3 Hands-on calculations

To understand how the mapped problem is solved by GSA, the results of various steps of the algorithm are given below for one iteration.

**Optimization problem:** Minimize:  $f(x) = x_1^2 + x_2^2$  where  $x_1, x_2 \in [-50, 50]$

**Setting parameters:**  $N = 5$  (Population size),  $T = 100$  (Max. number of iterations) and  $G = G_0 e^{-at/T}$  where  $G_0 = 100$  and  $\alpha = 20$

**Initialization with five agents randomly:**  $A_1 = (-5, 0)$ ,  $A_2 = (0, 2)$ ,  $A_3 = (-2, 3)$ ,  $A_4 = (5, 5)$  and  $A_5 = (0, 4)$

**Initial fitness calculation:** using the given optimization function, the fitness values are calculated as  $f_{A1}(1) = 25$ ,  $f_{A2}(1) = 4$ ,  $f_{A3}(1) = 13$ ,  $f_{A4}(1) = 50$  and  $f_{A5}(1) = 16$

Therefore,  $Best(1) = 4$  and  $Worst(1) = 50$ .

**Calculating gravitational constant:** Since,  $G = G_0 e^{-at/T}$   
 $G = 100 \times e^{-20 \times 1/100}$ ,  $t = 1$  as it is the first iteration  
 $G = 83.33$

**Calculating mass:** Now,  $m_{A1}(1) = \frac{f_{A1}(1) - Worst(1)}{Best(1) - Worst(1)}$

$$m_{A1} = (25 - 50)/(4 - 50) = 0.543$$

Similarly,  $m_{A2}(1) = 1$ ,  $m_{A3}(1) = 0.80$ ,  $m_{A4}(1) = 0$  and  $m_{A5}(1) = 0.76$

Using Eq. (9),  $M_{A1}(1) = 0.176$ ,  $M_{A2}(1) = 0.325$ ,  $M_{A3}(1) = 0.260$ ,  $M_{A4}(1) = 0$  and  $M_{A5}(1) = 0.237$

**Calculating force:** Using Eq. (10),  
 $F_{A1A2}^1(1) = \frac{83 \times 0.176 \times 0.325}{5.3 + 0.1} \times (0 + 5) = \frac{23.738}{5.4} = 4.39$

Here, 5.3 is the Euclidean distance between  $A_1$  and  $A_2$ .

Similarly,  $F_{A1A3}^1(1) = 0.268$ ,  $F_{A1A4}^1(1) = 0$  and  $F_{A1A5}^1(1) = 2.70$

Now, the force acting on the agent  $i$  due to all agents (Eq. 12),

$$F_{A1}^1(1) = \sum_{j=1, j \neq i}^N rand_j F_{A1A_j}^1(1)$$

$$F_{A1}^1(1) = 0.5 \times (4.39 + 2.68 + 0 + 2.70) = 4.885$$

**Calculating acceleration:** Using Eq. (14),

$$a_{A1}^1(1) = \frac{F_{A1}^1(1)}{M_{A1}(1)}$$

$$a_{A1}^1(1) = \frac{4.885}{0.176} = 27.75$$

**Calculating updated velocity:** updated velocity in  $(t+1)$ th iteration (Eq. 15),

$$v_{A1}^1(2) = rand \times v_{A1}^1(1) + a_{A1}^1(1)$$

$$v_{A1}^1(2) = 0.5 \times 0 + 27.75 = 27.75$$

**Calculating updated position:** using Eq. (16),

$$X_{A1}^1(2) = X_{A1}^1(1) + V_{A1}^1(2)$$

$$X_{A1}^1(2) = -5 + 27.75 = 22.75$$

Similarly, the second dimension of agent  $A_1$  ( $X_{A1}^2(2)$ ) and other updated agent positions such as ( $X_{A2}^1(2)$ ,  $X_{A2}^2(2)$ ), ( $X_{A3}^1(2)$ ,  $X_{A3}^2(2)$ ), ( $X_{A4}^1(2)$ ,  $X_{A4}^2(2)$ ) and ( $X_{A5}^1(2)$ ,  $X_{A5}^2(2)$ ) can be calculated.

## 5 Advancements

Over time, new ideas made its way into NIOA literature and became integral part of it. They enhanced the problem-solving power of algorithms. In this section, two such concepts are discussed.

### 5.1 Parallelism

Real life optimization problems are computation and memory intensive. Even NIOAs require much time to produce satisfactory results in such cases which is unacceptable in many real-time applications. With the advancements in technology, multi-processor and multi-core platforms came into existence that leads us to the development of parallel computing. Any algorithm is composed of many steps that may not depend on each other. In parallel computation, the independent components of an algorithm are calculated on different processors and their results are combined afterwards, making it faster than sequential processing (Alba et al. 2013; Krömer et al. 2014). This approach is particularly helpful in searching large search space and producing improved quality of solutions in relatively less time (Narasimhan 2009). There are various ways in which parallelism can be achieved in NIOAs. In the simplest form, one problem can be solved independently by many NIOAs on different processors and the best result can be taken after comparing individual results. In a more complex setting, NIOAs can share information with each other on the occurrence of specific event such as after completion of  $n$  iterations. Independent components of single NIOA can also be distributed on processors. For example, population based NIOA requires calculation of fitness values of a given population in each iteration. Such population can be equally distributed among processors to simultaneously compute their fitness values. Apart from that, operators of an NIOA may be unrelated with each other such as crossover and mutation operators of GA and thus can be parallelized. Sometimes computation of objective and constraint functions is time consuming and can be divided into partial sets which are then assigned to available processors. There are numerous other ways in which simultaneous execution of the components of a single NIOA or multiple NIOAs can be planned (Alba et al. 2005). It is worth noticing that parallelism can alter the behaviour of NIOAs and a careful analysis of distribution of responsibilities among processors is necessary.

### 5.2 Hybridization

Hybridization is a popular concept in science and engineering that amalgamates two or more individual methods into one. The degree of amalgamation may depend on many criteria. Hybrid NIOA is a result of combining an NIOA with another NIOA, Mathematical Programming, Machine Learning or other related fields (Christian et al. 2011). The field

of hybridization in NIOA is very vast because algorithms can be combined in enormous ways. Uniting two methods could either be done for the overall problem-solving process or induced midway on the occurrence of specific event. For example, the initial solution of a single solution NIOA can be generated using a population based NIOA since the performance of single solution NIOA depends on the initial solution (Li et al. 2002). Similarly, the best solution obtained through a population based NIOA can be enhanced further if it is supplied as the initial solution in a single solution NIOA. Another very important concern in NIOA is the appropriate selection of tuning parameters which can be resolved with help of hybridization. These parameters can be modified as the iterations increase and the amount of change can be calculated with the help of machine learning techniques (Birattari and Kacprzyk 2009). Components of an NIOA can also be optimized using this approach. For example, simulated annealing can be used to effectively perform crossover and mutation operations in GA (Adler 1993). In some cases, data is given rather than the objective function. To calculate the fitness of a solution in such scenarios, supervised learning techniques are incorporated with NIOA. Sometimes, large search space is partitioned into distinct groups using unsupervised learning techniques and each sub-space is solved separately using NIOAs (Kennedy 2000). With the abovementioned discussion, it is clear that hybridization has enormous applications in optimization using NIOA.

## 6 Future directions

Though optimization algorithms inspired from nature are efficient, a lot more is yet to be achieved. Many open research areas have been suggested in literature (Yang 2020; Del Ser et al. 2019; Boussaïd et al. 2013; Mahdavi et al. 2015). More efficient and less complex NIOAs are always welcome. An algorithm should give better results preferably in less computational time. Complexity also refers to the ease of problem mapping into corresponding NIOA. An algorithm with lesser number of operators and tunable parameters is considered less sophisticated. Selecting appropriate NIOA for a given problem is a tedious task and the domain of hyper-heuristics has emerged to deal with the issue. Hyper-heuristics refers to the techniques to pick the most suitable algorithm out of many for a problem (EdmundK et al. 2013). A detailed categorization of NIOAs would be helpful such as which algorithms are more suitable for integer programming or mixed integer programming problems. Classification can also be done on the basis of appropriateness of NIOAs for particular

applications. For example, ACO and RFD were primarily designed to find the shortest path in a complex graph (Dorigo and Di Caro 1999; Rabanal et al. 2007). Such groupings will definitely ease up the job of researchers. In addition, the impact of control parameters of an NIOA can be studied thoroughly and new ways of getting their suitable values can be suggested. Future studies can also focus on the convergence proofs of NIOAs. They help us analyze an algorithm mathematically and provide its theoretical foundations. They also give insights regarding convergence, best and worst-case scenarios, significance of individual parameters etc. Only a few such studies are carried out so far in this area (Eiben et al. 1990; Vincent et al. 1994; Tamura and Yasuda 2017). It has been observed that NIOAs yield promising results while solving low dimensional optimization problems. Their performance degrades drastically when dimensionality of a problem becomes large, requiring special attention of researchers on scalability (Mahdavi et al. 2015). One issue with NIOAs is the generation of duplicate solutions. As iterations increase, a few solutions are repeated more often than others and unseen region of search space is not explored. Alam et al. (2016) suggested an algorithm which tries to reduce the number of duplicate solutions. Future works can focus on developing similar algorithms or on integrating such components in existing NIOAs. Moreover, hybridization and parallel models have opened a vast ocean of research directions.

The above-mentioned discussion primarily focus on new directions of research in the domain of NIOA. However, there is still room for improvement in the existing algorithms and their components. The literature of NIOA is almost empty with the theoretical and mathematical proofs and foundations of the algorithm. Such analysis help us understand how exactly an algorithm works and gives insights regarding its convergence criterion, scalability and robustness. Each algorithm has parameters that need to be set to optimal values to yield good results. Usually, the parameter space is significantly large and researchers rely on previous studies or rule-of-thumbs to fix their values such as 0.8 is a well accepted value of crossover probability in GA. Improved parameter tuning methods with proper justifications form another promising research area. Designing new benchmark problems and functions for different categories of optimization problems is also required so that novel and existing algorithms can be thoroughly tested on them to identify their strengths and weaknesses. Developing new performance measures to assess the effectiveness of an algorithm is needed as well. Application oriented algorithms to deal with large-scale real-life scenarios are also welcome.



## 7 Conclusion

NIOA provides a simple and effective tool to solve complex real-world optimization problems within acceptable time limits. Selecting an algorithm requires a thorough understanding of its fundamentals, control parameters, operators and the problem at hand. Application of an algorithm is a multi-step process. Each sub-process needs to be carefully designed and adopted. Present work tried to address these issues and discussed relevant concepts related to NIOAs such as components, classification, structure and advancements. Some open research areas are also suggested. NIOAs are difficult to compare (Wolpert and Macready 1997) and hence categorize, yet better classification is essential. Apart from that, new algorithms are appreciated. Hopefully, researchers will ponder more on nature's phenomenon and we will witness more powerful and less complex algorithms in future studies.

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