${\rm CS}652$ Smalltalk VM Operational Semantics

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$T \bowtie x$	Resolve x in scope T	
$o \in X$	o instance of X	
$o_{class} \in \mathtt{STMetaClassObject}$	Metaclass (type) of object o	
$o_{class_{class}} = o_{class}$	A metaclass object is its own type	
$o_{superclass} \in \mathtt{STMetaClassObject}$	Superclass (type) of object o	
o_{field_i}	The i^{th} field of object o	
$f_{literal_i}$	The i^{th} literal of method f	
$f_s^{block_i} \in exttt{BlockDescriptor}$	The i^{th} block of method f associated with instance self= s	
$f_s^{block_i}[_,_,_] \in exttt{BlockContext}$	The i^{th} block of method f invoked with self= s	
$f_s^{block_i}[{\scriptscriptstyle{-}},{\scriptscriptstyle{-}},{\scriptscriptstyle{-}}]^d\in {\mathtt{BlockContext}}$	The i^{th} block of method f invoked with self= s and having depth d counting from zero at the method block; e.g., f [x [y]] has a method block at depth 0 with x and a nested block at depth 1 with y	
$\gamma \in \texttt{MethodContext}^*$	Stack of method invocations growing to the right	
$\delta \in \mathtt{Object}^*$	Operand stack of objects growing to the right	
S	The state of the VM system dictionary	
(\mathbb{S},γ)	VM state is the system dictionary and a method invocation stack with zero or more elements	
$(\mathbb{S}, \gamma) \Rightarrow (\mathbb{S}', \gamma')$	VM state transition	
$(\mathbb{S}, \gamma) \Rightarrow^* (\mathbb{S}', \gamma')$	Zero-or-more state transitions	
$f_s[ip, l_0,l_{n-1}, \delta]$	Method invocation context that derived from sending message f to receiver s (self); $f \in$ MethodContext; l_i is local variable or argument, indexed from 0 and arguments first; δ is the operand stack; f can also represent a nested code block not just a method	
$f[ip, l_0, l_{n-1}, \delta]$	Same as previous but the receiver is unknown or irrelevant	
$f[ip, _, _]$	A method invitation context with "don't care" for locals and operand stack	

Figure 1: Smalltalk VM Bytecode Specification Notation

Bytecode Instruction	Transition
initial state	$state_0 = (\mathbb{S}[\mathtt{nil},\mathtt{true},\mathtt{false},\mathtt{Transcript}],\mathtt{main}_m[0,\epsilon,\epsilon])$
	for $m \in \text{MainClass}$; program terminates if $\exists state_0 \Rightarrow^* (S', \epsilon)$
nil	$(\mathbb{S}, \gamma f[ip, _, \delta]) \ \Rightarrow \ (\mathbb{S}, \gamma f[ip+1, _, \delta \mathtt{nil}])$
self	$(\mathbb{S}, \gamma f_s[ip, -, \delta]) \Rightarrow (\mathbb{S}, \gamma f_s[ip + 1, -, \delta s])$
true	$(\mathbb{S}, \gamma f[ip, \underline{\ }, \delta]) \ \Rightarrow \ (\mathbb{S}, \gamma f[ip+1, \underline{\ }, \delta \mathtt{true}])$
false	$(\mathbb{S}, \gamma f[ip, \underline{\ }, \delta]) \ \Rightarrow \ (\mathbb{S}, \gamma f[ip+1, \underline{\ }, \delta \mathtt{false}])$
${\sf push_char}\;c$	$(\mathbb{S}, \gamma f[ip, \underline{\ }, \delta]) \ \Rightarrow \ (\mathbb{S}, \gamma f[ip+3, \underline{\ }, \delta c])]$
${\tt push_int}\ i$	$(\mathbb{S}, \gamma f[ip, \underline{\ }, \delta]) \ \Rightarrow \ (\mathbb{S}, \gamma f[ip+5, \underline{\ }, \delta i])$
${\tt push_float}\; i$	$(\mathbb{S}, \gamma f[ip, \underline{\ }, \delta]) \ \Rightarrow \ (\mathbb{S}, \gamma f[ip + 5, \underline{\ }, \delta \ intBitsToFloat(i)])$
${\tt push_field}\; i$	$(\mathbb{S}, \gamma f_s[ip, \neg, \delta]) \Rightarrow (\mathbb{S}, \gamma f_s[ip + 3, \neg, \delta s_{field_i}])$
${\tt push_local}\ 0, i$	$(\mathbb{S}, \gamma f[ip,l_i, \delta]) \Rightarrow (\mathbb{S}, \gamma f[ip + 5,l_i, \delta l_i])$
${\tt push_local}\ n>0, i$	$\begin{array}{l} (\mathbb{S}, \gamma g^{block}[_, \boldsymbol{l}_i, _]^{d-n} g^{block'}[ip, _, _]^{d-1} g^{block''}[ip, _, \delta]^d) \ \Rightarrow \\ (\mathbb{S}, \gamma g^{block''}[ip + 5, _, \delta \boldsymbol{l}_i]^d) \end{array}$
${\tt push_literal}\ i$	$(\mathbb{S}, \gamma f[ip, \underline{\ }, \delta]) \Rightarrow (\mathbb{S}, \gamma f[ip+3, \underline{\ }, \delta f_{literal_i}])$
${\tt push_global} \ i$	$(\mathbb{S}, \gamma f[ip, \underline{\ }, \delta]) \Rightarrow (\mathbb{S}, \gamma f[ip+3, \underline{\ }, \delta \mathbb{S}[f_{literal_i}]])$
${\tt push_array}\ n$	$(\mathbb{S}, \gamma f[ip, \underline{\ }, \delta a_1a_n]) \Rightarrow (\mathbb{S}, \gamma f[ip+3, \underline{\ }, \delta A]) \text{ where } A = Array(a_1a_n)$
${ t store_field} \; i$	$(\mathbb{S}, \gamma f_s[ip, \neg, \delta \mathbf{v}]) \Rightarrow (\mathbb{S}[s_{field_i} = \mathbf{v}], \gamma f_s[ip + 3, \neg, \delta \mathbf{v}])$
${\tt store_local}\ n, i$	$(\mathbb{S}, \gamma f[ip,l_i, \delta \mathbf{v}]) \Rightarrow (\mathbb{S}, \gamma f[ip + 5,l_{i-1}\mathbf{v} l_{i+1}, \delta \mathbf{v}])$
pop	$(\mathbb{S}, \gamma f[ip, \underline{\ }, \delta v]) \ \Rightarrow \ (\mathbb{S}, \gamma f[ip+1, \underline{\ }, \delta])$
$\operatorname{send}\ n,i$	$(\mathbb{S}, \gamma f[ip, \underline{\ }, \delta r p_1p_n]) \Rightarrow (\mathbb{S}, \gamma f[ip + 5, \underline{\ }, \delta] \ (r_{class} \bowtie f_{literal_i})_r[0, p_1p_n, \epsilon])$
$\verb"send_super"\ n,i$	$(\mathbb{S}, \gamma f[ip, \underline{\ }, \delta r p_1 p_n]) \Rightarrow (\mathbb{S}, \gamma f[ip + 5, \underline{\ }, \delta] (r_{superclass} \bowtie f_{literal_i})_r [0, p_1 p_n, \epsilon])$
block i	$(\mathbb{S}, \gamma f[ip, \underline{\ }, \delta]) \Rightarrow (\mathbb{S}, \gamma f[ip+3, \underline{\ }, \delta f_s^{block_i}])$
block_return	$(\mathbb{S}, \gamma f[ip, \underline{\ }, \delta] \ g^{block}[\underline{\ }, \underline{\ }, \delta' \mathbf{v}]) \ \Rightarrow \ (\mathbb{S}, \gamma f[ip, \underline{\ }, \delta \mathbf{v}])$
(local method) return	$(\mathbb{S}, \gamma f[ip, \underline{\ }, \delta] \ g[\underline{\ }, \underline{\ }, \delta' \mathbf{v}]) \ \Rightarrow \ (\mathbb{S}, \gamma f[ip, \underline{\ }, \delta \mathbf{v}])$
(nonlocal method) return	$(\mathbb{S}, \gamma f[ip, _, \delta] \ g_s[_, _, _] \ \dots \ h[_, _, _] \ g_s^{block}[_, _, \delta' \mathbf{v}]) \ \Rightarrow \ (\mathbb{S}, \gamma f[ip, _, \delta \mathbf{v}])$
$\verb"dbg"i,loc"$	$(\mathbb{S}, \gamma f[ip, _, _]) \Rightarrow (\mathbb{S}[file=f_{literal_i}, line=loc[31:8], col=loc[7:0]], \gamma f[ip+7, _, _])$ Set VM current filename to $f_{literal_i}$ and split loc into char position (indexed from 0) from lower 8 bits and line number from the upper 24 bits.

Figure 2: Smalltalk VM State Transition Rules

Smalltalk fragment	Visitor method result	Side-effects
ϵ	$\epsilon \; (ext{object Code.None})$	
class T : S []	ϵ	
main	main self	
f <primitive:#primitive-name></primitive:#primitive-name>	return ϵ	
f [body]	ϵ	$egin{aligned} & extbf{f}_{code} = & & & & & & & & & & & & & & & & & & $
operator [body]	ϵ	$egin{aligned} coperator_{code} = \ body \ ext{self} \ ext{return} \end{aligned}$
a:x b:y c:z [body]	ϵ	$a:b:c:_{code} = \\ body \\ self \\ return$
$\underbrace{[args \ locals \]}_{\mathbf{f}^{block_i}}$	block i	$egin{aligned} extsf{f}_{block_i} = & & & & & & & & & & & & & & & & & & $
$\underbrace{ \left[\begin{array}{c} body \end{array}\right]}_{\mathbf{f}^{block}i}$	block i	$\mathtt{f}_{block_i} = egin{array}{c} body \ block_return \end{array}$

Figure 3: Smalltalk Compilation Rules