# Chapter 6: Physical Database Design and Performance

Modern Database Management
8<sup>th</sup> Edition

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## Objectives

- Definition of terms
- Describe the physical database design process
- Choose storage formats for attributes
- Select appropriate file organizations
- Describe three types of file organization
- Describe indexes and their appropriate use
- Translate a database model into efficient structures
- Know when and how to use denormalization

## Physical Database Design

- Purpose translate the logical description of data into the technical specifications for storing and retrieving data
- Goal create a design for storing data that will provide adequate performance and insure database integrity, security, and recoverability

## Physical Design Process

Leads to

#### Inputs

- Normalized relations
- Data Volume estimates
- Frequency of using data
- Attribute definitions
- Response time expectations
- Data security needs
- Backup/recovery needs
- Integrity expectations
- DBMS technology used

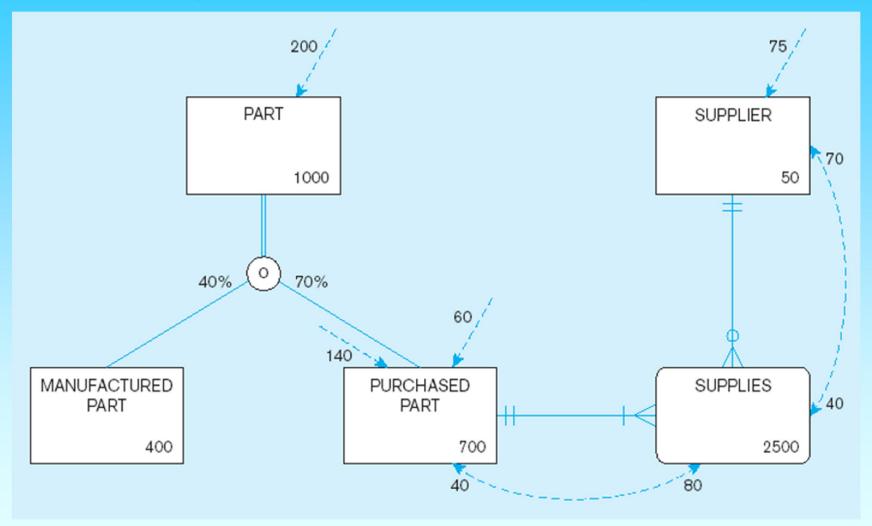
#### Decisions

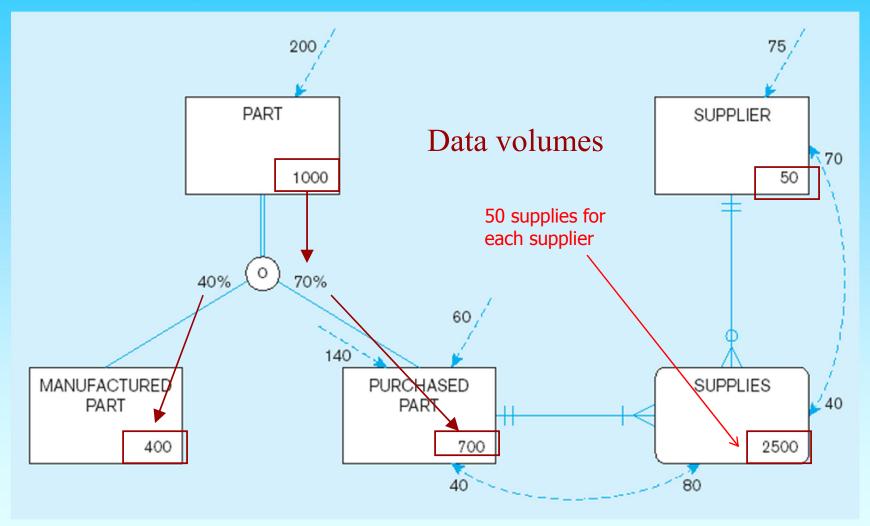


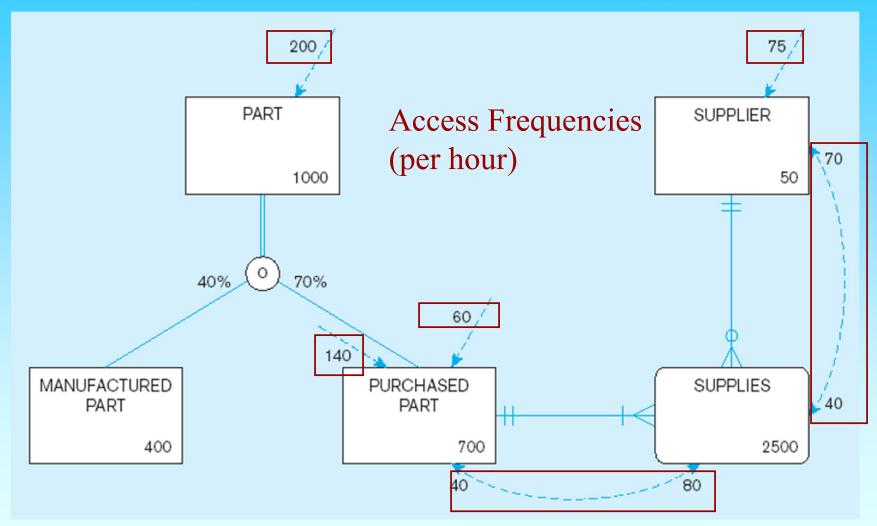
 Physical record descriptions (doesn't always match logical design)

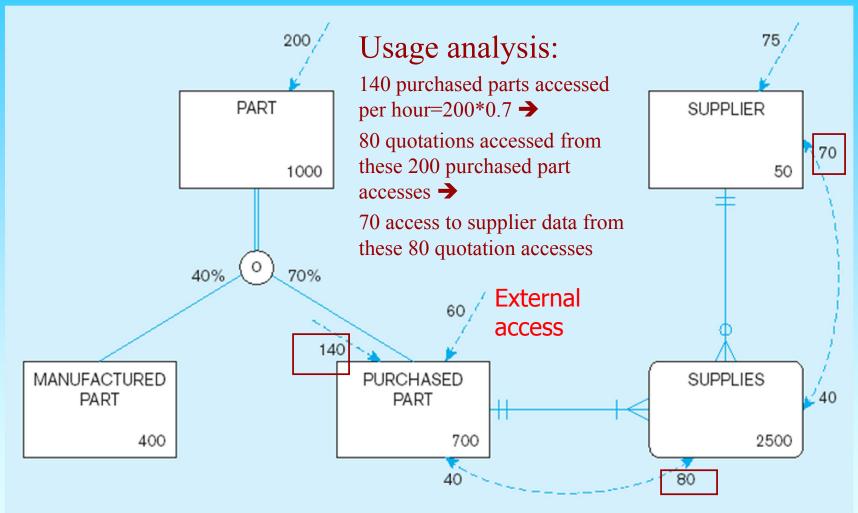


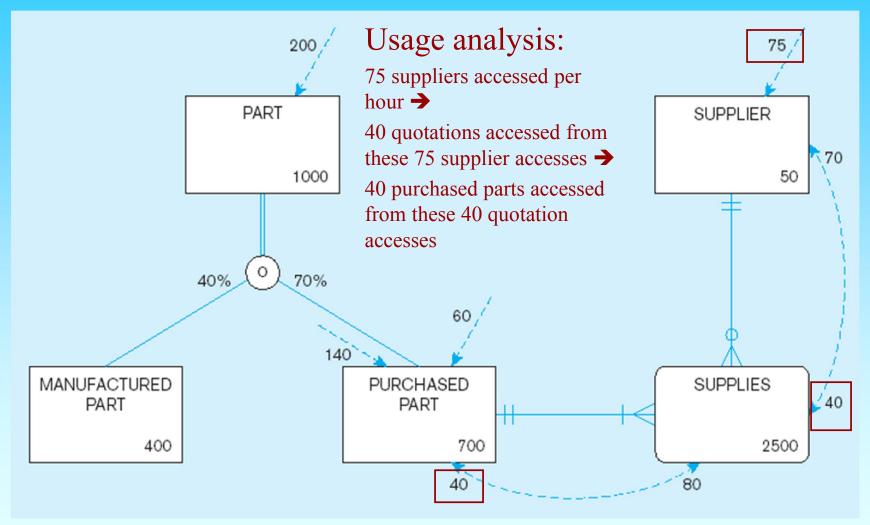
- Indexes and database architectures
- Query optimization











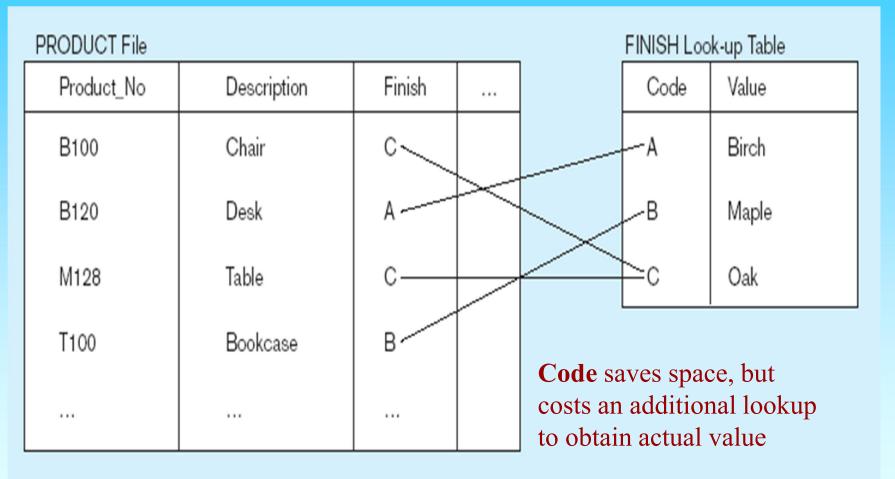
## Designing Fields

- Field: smallest unit of data in database
- Field design
  - Choosing data type
  - Coding, compression, encryption
  - Controlling data integrity

## **Choosing Data Types**

- CHAR—fixed-length character
- VARCHAR2—variable-length character (memo)
- LONG—large number
- NUMBER-positive/negative number
- INEGER—positive/negative whole number
- DATE—actual date
- BLOB—binary large object (good for graphics, sound clips, etc.)

## Figure 6-2 Example code look-up table (Pine Valley Furniture Company)



## Field Data Integrity

- Default value –assumed value if no explicit value
- Range control –allowable value limitations (constraints or validation rules)
- Null value control –allowing or prohibiting empty fields
- Referential integrity –range control (and null value allowances) for foreign-key to primarykey match-ups

Sarbanes-Oxley Act (SOX) legislates importance of financial data integrity

## Physical Records

- Physical Record: A group of fields stored in adjacent memory locations and retrieved together as a unit
- Page: The amount of data read or written by an Operating System in one I/O operation
- Blocking Factor: The number of physical records per page

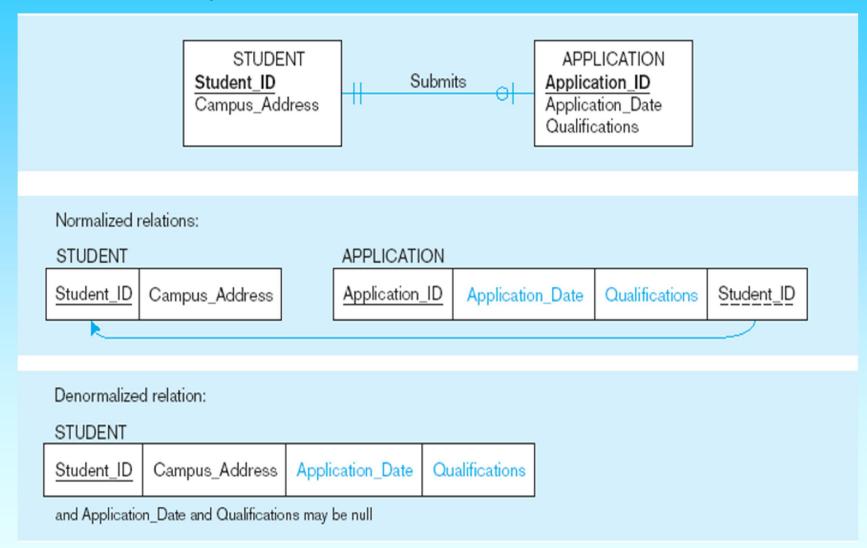
### Performance Issues

- Usually, not all attributes of a table are used in a query or report.
- Instead, attributes from different tables are accessed in a query or report.
- This makes a DBMS to consume many resources and spend considerable amount of time to execute a query based on multiple tables.

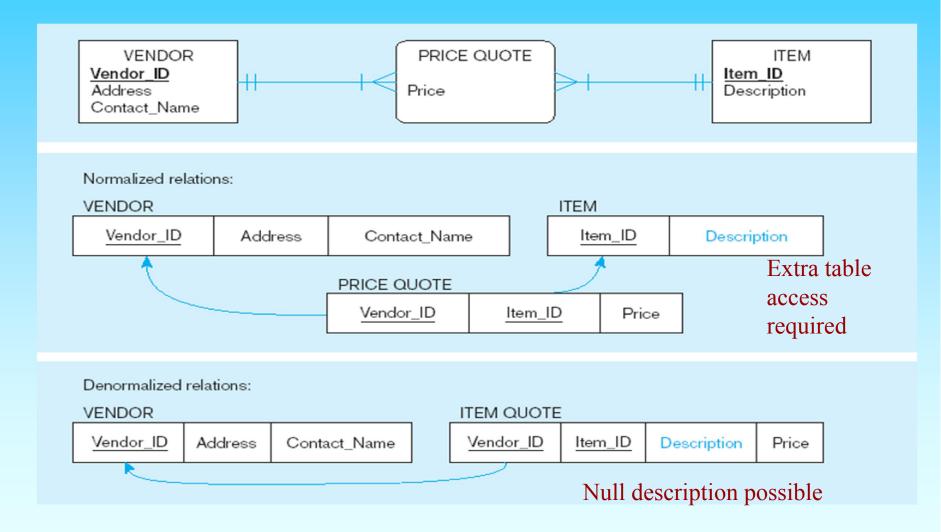
### Denormalization

- Transforming *normalized* relations into *unnormalized* physical record specifications
- Benefits:
  - Can improve performance (speed) by reducing number of table lookups (i.e. reduce number of necessary join queries)
- Costs (due to data duplication)
  - Wasted storage space
  - Data integrity/consistency threats (data maintenance anomalies)
- Common denormalization opportunities
  - One-to-one relationship (Fig. 6-3)
  - Many-to-many relationship with attributes (Fig. 6-4)
  - Reference data (1:N relationship where 1-side has data not used in any other relationship) (Fig. 6-5)

## Figure 6-3 A possible denormalization situation: two entities with one-to-one relationship

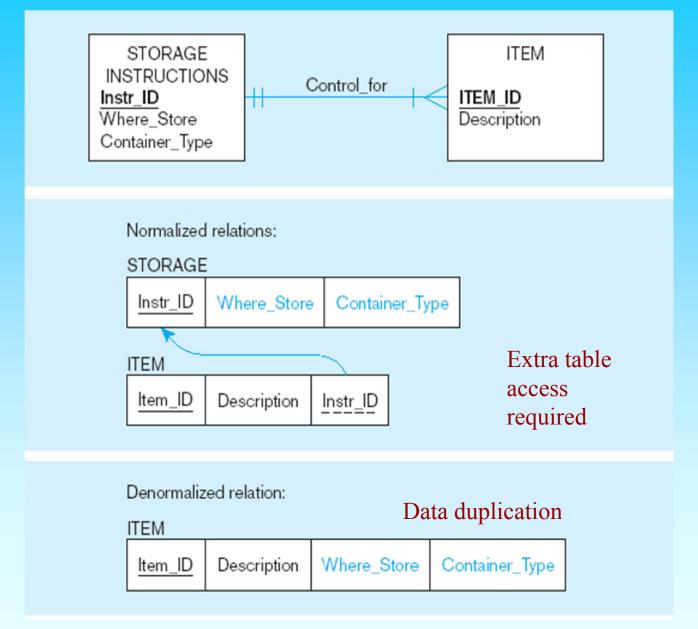


## Figure 6-4 A possible denormalization situation: a many-to-many relationship with nonkey attributes



## Figure 6-5 A possible denormalization situation:

reference data



## **Partitioning**

- Horizontal Partitioning: Distributing the rows of a table into several separate files
  - Useful for situations where different users need access to different rows (grouping of data as 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup> year students or Department)
  - It improves both security and performance.
- Vertical Partitioning: Distributing the columns of a table into several separate relations
  - Useful for situations where different users need access to different columns
  - The primary key must be repeated in each file
- Combinations of Horizontal and Vertical

Partitions often correspond with User Schemas (user views)

## Partitioning (cont.)

#### Advantages of Partitioning:

- Efficiency: Records used together are grouped together
- Local optimization: Each partition can be optimized for performance
- Security, recovery
- Load balancing: Partitions stored on different disks, reduces contention
- Take advantage of parallel processing capability

#### Disadvantages of Partitioning:

- Inconsistent access speed: Slow retrievals across partitions
- Complexity: Non-transparent partitioning
- Extra space or update time: Duplicate data; access from multiple partitions

## **Data Replication**

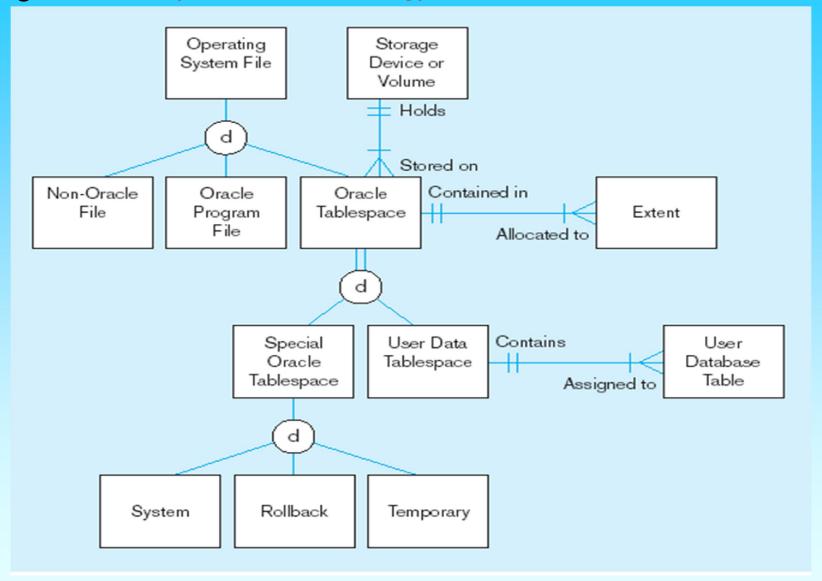
- Purposely storing the same data in multiple locations of the database
- Improves performance by allowing multiple users to access the same data at the same time with minimum contention
- Sacrifices data integrity due to data duplication
- Best for data that is not updated often

## Designing Physical Files

#### Physical File:

- A named portion of secondary memory allocated for the purpose of storing physical records
- Tablespace named set of disk storage elements in which physical files for database tables can be stored
- Extent contiguous section of disk space
- Constructs to link two pieces of data:
  - Sequential storage
  - Pointers field of data that can be used to locate related fields or records

#### Figure 6-4 Physical file terminology in an Oracle environment

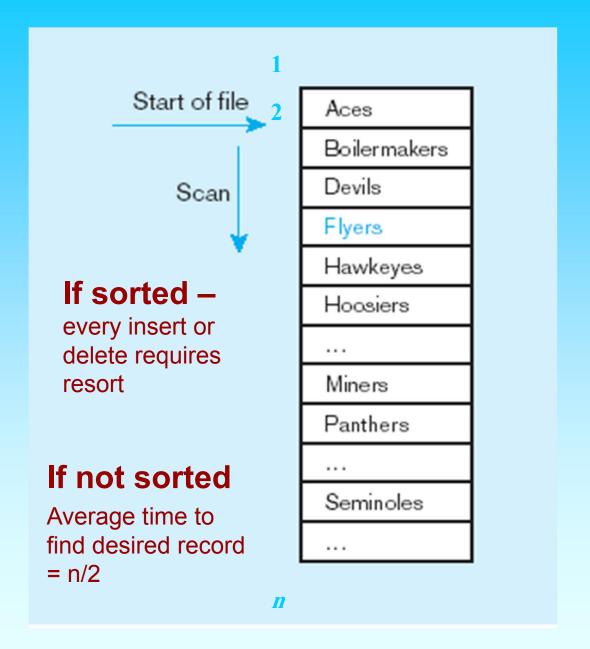


### File Organizations

- Technique for physically arranging records of a file on secondary storage devices.
- Factors for selecting file organization:
  - Fast data retrieval
  - High throughput انتاجیة for processing data input
  - Efficient storage space utilization
  - Protection from failure and data loss
  - Minimizing need for reorganization
  - Accommodating growth
  - Security from unauthorized use
- Types of file organizations
  - Sequential
  - Indexed
  - Hashed

## Figure 6-7a Sequential file organization

Records of the file are stored in sequence by the primary key field values



## **Indexed File Organizations**

- Index a separate table that used to determine the location of records in a file for quick retrieval
- Primary keys are automatically indexed
- Oracle has a CREATE INDEX operation, and MS ACCESS allows indexes to be created for most field types
- Indexing approaches:
  - B-tree , Balanced Tree index, Fig. 6-7b
  - Bitmap index, Fig. 6-8
  - Hash Index, Fig. 6-7c
  - Join Index, Fig 6-9

## Figure 6-7b B-tree index (Balanced Tree) Hierarchical Index

When the index file is also too large, it can be indexed, (index of an index)

Leaves of the tree are all at same level →

consistent access time

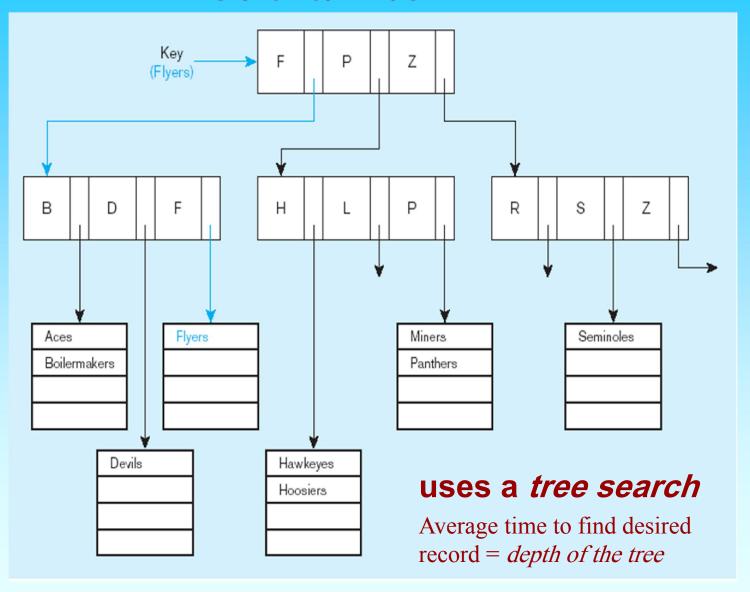
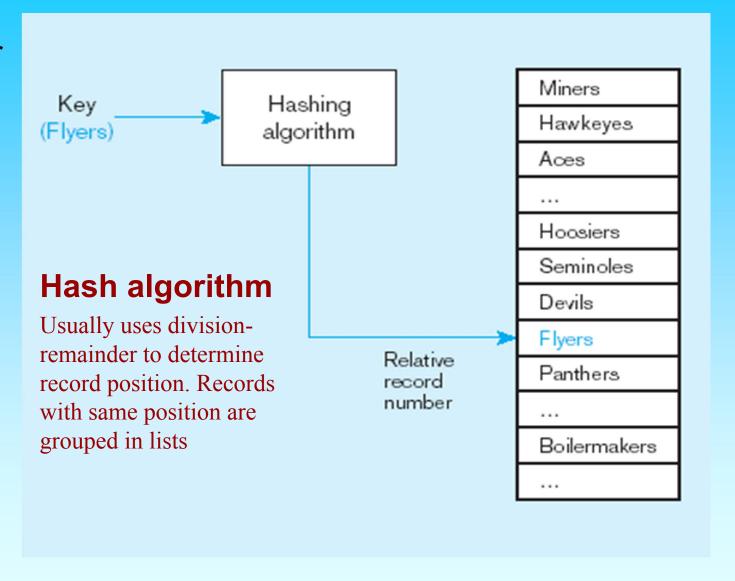


Figure 6-7c

Hashed file or index organization



## Figure 6-8 **Bitmap** index index organization

#### Bitmap saves on space requirements

Rows - possible values of the attribute

Columns - table rows

Bit indicates whether the attribute of a row has the values

	Product Table Row Numbers									
Price	1	2	3	4	5	6	7	8	9	10
100	0	0	1	0	1	0	0	0	0	0
200	1	0	0	0	0	0	0	0	0	0
300	0	1	0	0	0	0	1	0	0	1
400	0	0	0	1	0	1	0	1	1	0

Products 3 and 5 have Price \$100

Product 1 has Price \$200

Products 2, 7, and 10 have Price \$300

Products 4, 6, 8, and 9 have Price \$400

#### Figure 6-9 Join Indexes—speeds up join operations

Customer	_	a married	tore	77.0	
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RowID	Cust#	CustName	City	State
10001	C2027	Hadley	Dayton	Ohio
10002	C1026	Baines	Columbus	Ohio
10003	C0042	Ruskin	Columbus	Ohio
10004	C3961	Davies	Toledo	Ohio

#### Store

RowID	Store#	City	Size	Manager
20001	S4266	Dayton	K2	E2166
20002	S2654	Columbus	КЗ	E0245
20003	S3789	Dayton	K4	E3330
20004	S1941	Toledo	K1	E0874
			-	

#### Join Index

CustRowID	StoreRowID	Common Value*
10001	20001	Dayton
10001	20003	Dayton
10002	20002	Columbus
10003	20002	Columbus
10004	20004	Toledo

#### Order

O5532		
00002	10/01/2001	C3861
O3478	10/01/2001	C1062
O8734	10/02/2001	C1062
O9845	10/02/2001	C2027
	O8734	O8734 10/02/2001

#### Customer

RowID	Cust#(PK)	CustName	City	State
10001	C2027	Hadley	Dayton	Ohio
10002	C1026	Baines	Columbus	Ohio
10003	C0042	Ruskin	Columbus	Ohio
10004	C3861	Davies	Toledo	Ohio
	- 3			

#### Join Index

30004	C2027
30002	C1062
30003	C1062
30001	C3861
	30002 30003

Table 6-3 Comparative Features of Different File Organizations

	File Organization				
Factor	Sequential	Indexed	Hashed		
Storage Space	No wasted space	No wasted space for data, but extra space for index	Extra space may be needed to allow for addition and deletion of records after initial set of records is loaded		
Sequential Retrieval on Primary Key	Very fast	Moderately fast	Impractical, unless use hash index		
Random Retrieval on Primary Key	Impractical	Moderately fast	Very fast		
Multiple Key Retrieval	Possible, but requires scanning whole file	Very fast with multiple indexes	Not possible, unless use hash index		
Deleting Records	Can create wasted space or require reorganizing	If space can be dynamically allocated, this is easy, but requires maintenance of indexes	Very easy		
Adding New Records	Requires rewriting file	If space can be dynamically allocated, this is easy, but requires maintenance of indexes	Very easy, except multiple keys with same address require extra work		
Updating Records	Usually requires rewriting file	Easy, but requires maintenance of indexes	Very easy		

## Clustering Files

- In some relational DBMSs, related records from different tables can be stored together in the same disk area
- Useful for improving performance of join operations
- Primary key records of the main table are stored adjacent to associated foreign key records of the dependent table
- e.g. Oracle has a CREATE CLUSTER command

## Rules for Using Indexes

- 1. Use on larger tables
- 2. Index the primary key of each table
- 3. Index search fields (fields frequently in WHERE clause)
- 4. Fields in SQL ORDER BY and GROUP BY commands
- 5. When there are >100 values but not when there are <30 values

## Rules for Using Indexes (cont.)

- Avoid use of indexes for fields with long values; perhaps compress values first
- DBMS may have limit on number of indexes per table and number of bytes per indexed field(s)
- Null values will not be referenced from an index
- Use indexes heavily for non-volatile databases; limit the use of indexes for volatile databases

Why? Because modifications (e.g. inserts, deletes) require updates to occur in index files