



# **GIPS® FIRM PRESENTATION**

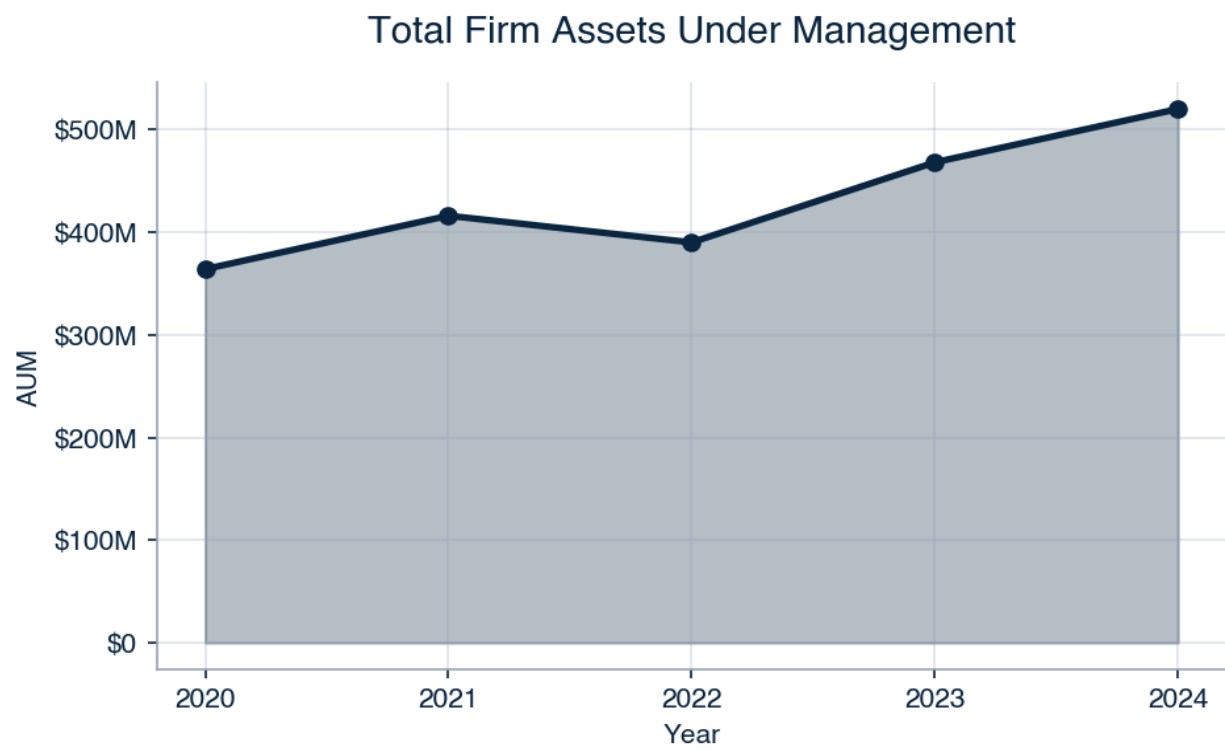
CapX100 Investment Management



Report Date: January 20, 2026  
Total Firm AUM: \$520,000,000

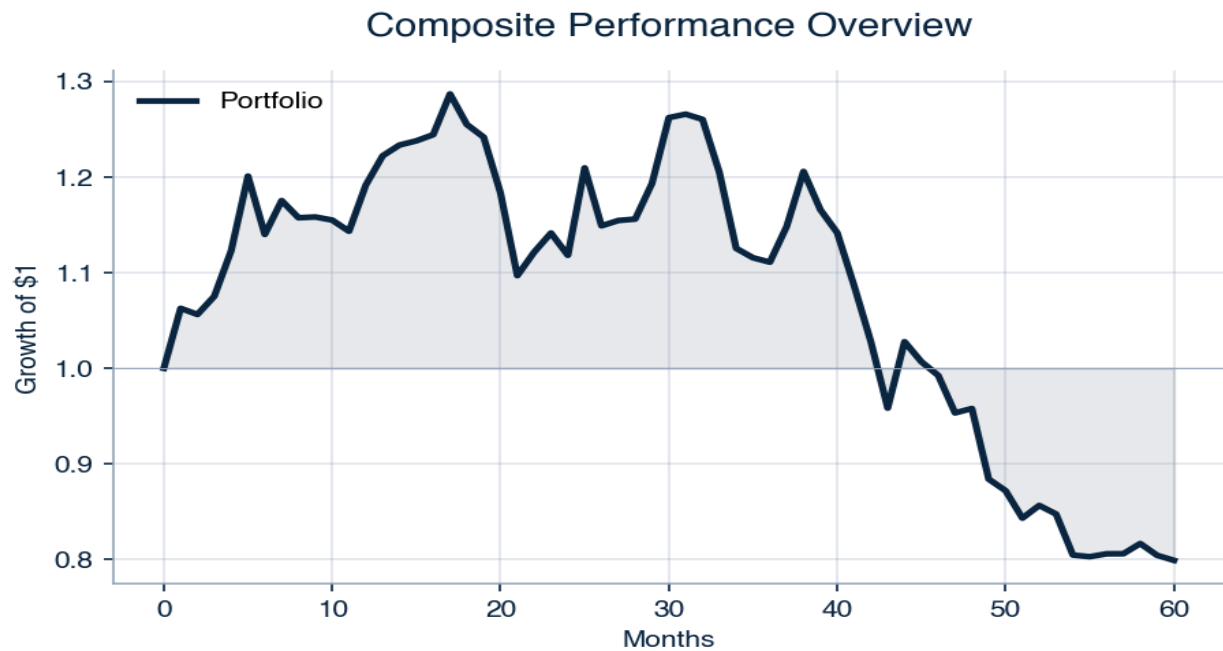
Claims compliance with the Global Investment Performance Standards (GIPS®)

# 1. FIRM SUMMARY



Firm Name	CapX100 Investment Management
Firm Type	Registered Investment Advisor
GIPS Compliance Date	January 1, 2020
Total AUM	\$520,000,000
Number of Composites	5
Verification Status	Self-Claimed Compliance

## 2. ALL COMPOSITES PERFORMANCE



Composite	Strategy	AUM	1-Yr Return	3-Yr Return	5-Yr Return
Large Cap Growth	US Equity	\$208,000,000	12.5%	10.2%	11.8%
Core Bond	Fixed Income	\$130,000,000	4.2%	3.8%	4.5%
Balanced	Multi-Asset	\$104,000,000	8.5%	7.2%	8.0%
Small Cap Value	US Equity	\$52,000,000	15.2%	11.5%	10.8%
International	Non-US Equity	\$26,000,000	9.8%	6.5%	7.2%

### **3. GIPS POLICIES DOCUMENT**

#### **Firm Definition**

CapX100 Investment Management is defined as all discretionary, fee-paying portfolios managed by the investment management division. The firm excludes non-discretionary assets and wrap-fee portfolios from firm assets.

#### **Composite Construction**

Composites are defined by investment strategy and include all discretionary, fee-paying portfolios managed according to that strategy. Portfolios are included beginning with the first full month under management.

#### **Calculation Methodology**

Time-Weighted Returns are calculated using the Modified Dietz method for sub-periods less than one month. Monthly returns are geometrically linked to calculate longer-period returns. All returns include realized and unrealized gains plus income.

#### **Valuation**

Portfolios are valued using fair market values. Publicly traded securities are valued using closing prices. Fixed income securities are valued using matrix pricing from independent pricing services.

#### **Significant Cash Flow**

A significant cash flow is defined as any external cash flow that exceeds 10% of the portfolio market value. Portfolios are removed from the composite during months with significant cash flows.

## 4. VERIFICATION READINESS REPORT

Requirement	Status
Firm Definition Documented	✓ Complete
Composite Policies Written	✓ Complete
Calculation Methodology Documented	✓ Complete
Error Correction Policies	✓ Complete
Significant Cash Flow Policy	✓ Complete
Composite Descriptions	✓ Complete
Fee Schedules Available	✓ Complete
Historical Records Available	✓ Complete
Benchmark Disclosures Complete	✓ Complete
Third-Party Verification	■ Pending

**Verification Readiness Score: 90% - READY FOR VERIFICATION**



# **CERTIFICATE OF GIPS® FIRM COMPLIANCE**

This certifies that

**CapX100 Investment Management**

claims compliance with the Global Investment Performance Standards (GIPS®)

Certificate Date: January 20, 2026



GIPS® is a registered trademark of CFA Institute.