

# Function approximation using Cellular Automata and Genetic algorithms

\*University of New Mexico Complex Adaptive Systems. Code available at: <https://github.com/AbubakarKasule/CS523-Final-Project>

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**Abstract**—In this paper, we attempt to find ways to use cellular automata to perform basic arithmetic. To achieve this end, we employed the concept function approximation as well as genetic algorithms to help us find cellular automata configurations that allowed us to perform a specific arithmetic task within a range of values. We converted cellular automata into functions by limiting their cell states to a range of values determined by the numerical base system selected for the automata (i.e, for  $base_3$  we have the set of states  $\{0, 1, 2\}$ ). We also used the automata's initial population to represent any given input number in the given numerical base system for this automata. Correspondingly, the automata's final population states represented the 'output' of the automata. The automata class we developed in python for this project was flexible enough to allow for a user to define an automata for any base between 2 and 16, with their choice of what constitutes a neighborhood in this automata. In the end, we found that, at least while limited to a single iteration (rule is only applied once), cellular automata's require a the use of what we call 'perfect memory neighborhoods' to be able to consistently approximate a given function. We would like to note however, that this approach is more analogous to an encoding technique where we are, in essence, simply looking for a rule, base system, data dimension, and neighborhood construction that encodes all the information we need. We remain hopeful about the possibility of pure computational solutions which incorporate more iterations to allow for simpler neighborhoods.

**Index Terms**—cellular automaton, function approximation, non von Neumann machines, genetic algorithms

## I. INTRODUCTION

Cellular Automata as a concept were first imagined in the 1940s by Hungarian Mathematician John von Neuman. Cellular Automata's were initially conceived as a potential alternative to the standard CPU and RAM based model of computation. Since their discovery, many researchers have managed to find ways to make automata display a variety of different behaviors such as cellular automata where a particular pattern of cell states are capable of self-replication.

Stephen Wolfram is one of the most prominent researchers to studied the nature of cellular automata [1]. In his exploration of the space, Wolfram limited himself to the studying the elementary cellular automata and its corresponding 256 rules. Wolfram defined these elementary automata as 1-Dimensional automata with only two states where every cell is only

neighbored by the cells directly to its left and right. Wolfram's survey of the Elementary automata rule space led him to create 4 unique classifications for cellular automata rules: (1) evolves to stable state quickly, (2) transitions between chaotic and stable states, (3) nearly complete random behavior, (4) evolves to create complex patterns. Since Wolfram's survey, other researchers, such as Norman H. Packard and Melanie Mitchell, have employed the use of genetic algorithms to find rules with certain defined behaviours. Melanie Mitchell and her team were able to identify an automata configuration that was capable to performing a majority classification task with 100% accuracy.

In this paper, we seek to continue Norman H. Packard and Melanie Mitchell's genetic algorithm based cellular automata research. We use our own custom multidimensional cellular automata module to develop a cellular automata genetic algorithm (CAGA) that could evolve cellular automata rules until a rule was found that could approximate a given target function up to a certain threshold. We primarily focused on an approach that we termed 'perfect neighborhoods'. We define a cellular automata as using the perfect neighborhood approach when the definition of the neighborhood of a given cell contains more cells than there are in the cellular automata's cell population.

## II. METHODS

### *Multi-Dimensional Cellular Automata Class*

We implemented the Multi-Dimensional Cellular Automata class to be able to represent a multitude of different cellular automata configurations in order to maximize the search space for our genetic algorithm. To maximize our search space, we tried to think about what variables could be altered to increase the number of rules available to a given automata configuration. To achieve this, we went back to wolfram's elementary automata model and derived the following generalized formula for determine the total number of rules for a given configuration:

$$\begin{aligned} \text{length of rule} &= \text{base}^{\text{neighborhood size}} \\ \text{total number of rules} &= \text{base}^{\text{length of rule}} \end{aligned}$$

Another important observation we made while re-examining the elementary automata was uncovering the logic behind the ordering of neighborhoods in the figure illustrating the transitions between neighborhoods and states as seen in Fig. 1. If we take the leftmost neighborhood that transitions into the most significant bit of rule 30 and we treat it as a binary number, we get '111' or as we know it in  $\text{base}_{10}$  '7'. 7 also happens to be the largest whole number less than the rule length for elementary automata, which is 8. If we applied this same process to each neighborhood transition, we would get values in the range [6,0]. Using this knowledge, we create a transition dictionary for a given automata configuration by reversing this process while looping through the range (length of rule, 0].

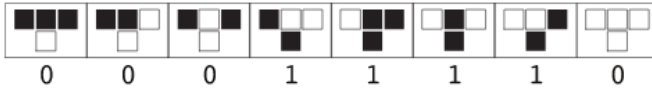


Fig. 1. Rule 30 transition map for elementary cellular automata

Finally, we stored the population of cells in our Multi-Dimensional Cellular Automata (MDCA) class in a regular 1 dimensional array. The manner in which we achieve higher dimensional cellular automata is by allowing the user to define their own neighborhoods. These two features are interconnected as the only time the automata interacts with the data is when it is looking for a cell's neighbors. Both these features were implemented by having users of the automata provide the automata with a custom neighborhood function that takes an index of a cell and returns a list containing all the indices of its neighbors. Users need to consider how they want to represent their higher dimensional data as an array. Accordingly, they should consider how this representation will determine the indices returned by their neighborhood function. The MDCA class also has a `generate_media` function that produces visual representations of 1 & 2D automata.

#### Genetic Algorithm Structure

For our genetic algorithm, we sought to select for Cellular Automata rules that approximate a given function well. Due to limitations to the computational power available to us, every individual in one of our genetic algorithms will have the exact same configuration except for their rule. Writing a genetic algorithm that considered more features than just rules would have been computationally expensive. In other words, cellular automata rules function as the 'DNA' of this system with x-its getting swapped in and out when an individual mutates

Term	Definition
Dimensions	Refers to the shape of the grid that contain the automata's cells
Neighborhood	The list of cells considered to be "next to" a given cell
Neighborhood size	The number of cells in a given neighborhood
Transition Dictionary	Dictionary that takes a neighborhood as a key and returns the appropriate transition state.
Base	Base system used to represent our numbers. Also refers to the number of cell states.

TABLE I  
DEFINITIONS TABLE.

a particular transition. Crossover was also implemented in the `create_children` function by first randomly selecting a size for the crossover region that is less than the rule length and secondly selecting an appropriate start index for the crossover region. The crossover regions of two parent configurations are then swapped to create their children. Because each pair of rules creates two new rules, the lower performing half of the previous generation is removed from the population prior to the remaining configurations being randomly paired up. To help us converge on rules with our desired behaviour, automata configuration whose fitness falls below a certain threshold are terminated and replaced with a new randomly generated configuration.

#### The Perfect Memory Neighborhood

For all of our experiments, we only allowed for one iteration of each rule to be applied. This means that a candidate rule must contain the properties to produce the correct output after only being applied once. This is a pretty challenging task, especially for small neighborhood definitions which do not really provide the automaton with much context. With simple neighborhoods (less than 5 neighbors), we struggled to find a rule that was more than 10% accurate in a reasonably sized testing range. In order to get past this barrier, we devised 'perfect memory neighborhoods'. We decided to name them this because we defined them to be neighborhoods that contain more cells than there are cells in the automata itself (implying repeat entries). The logic behind why perfect neighborhoods were effective at boosting our accuracy is that a 'perfect neighborhood' could store all the information an automata could need to make a decision on what to flip a particular xit in a particular number into in order to correctly approximate the target function. Because of this, running a genetic algorithm along side the use of perfect neighborhoods is analogous to finding the rule with the highest number of correct transitions.

Designing perfect neighborhood comes with its challenges. For every neighbor added, the search space is increased by a considerable amount. For this reason, the genetic algorithms we made ran much slower when working with perfect neighborhoods. Minimizing size is not the only difficult task associated with designing a perfect neighborhood. While there may

be other more effective approaches, the perfect neighborhoods we designed were meant to convey the number in question and the index of the cell/bit in question. With those two pieces of information, we just have to find a rule with the correct transition in that location. So far, we have not been able to design a perfect memory neighborhood that could convey those two pieces of information with no conflicts over the entire range of real numbers although we have gotten fairly close at creating some that function within a fixed range of values.

### III. RESULTS

$$f(x) = 2 \times x$$

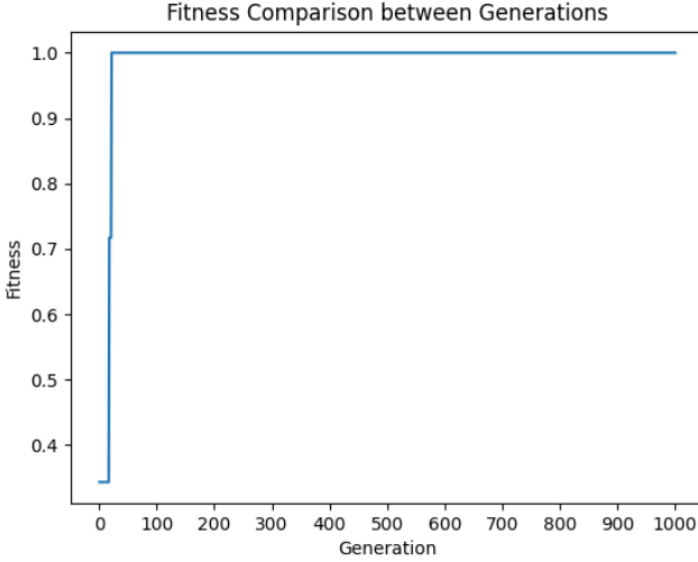


Fig. 2. Max Fitness - Generation graph for the genetic algorithm that produced rule 170

The first target function we chose to train for was a relatively easy one. We were already aware of the fact that shifting a binary number to the left effectively doubles it. After some careful observation of Fig. 1, we noticed that, if we took each cell on the right of each neighborhood, we could construct a rule that would shift any given input to the left effectively doubling its value. The rule that we had constructed was rule 170. Surely enough, our genetic algorithm was very quickly able to find rule 170, which had a 100% accuracy as shown in Fig. 2. Interestingly enough, a subsequent run in an arbitrary dimension space unveiled another rule that effectively had the same behaviour of rule 170. Both these rules are listed at the end of this report.

$$f(x) = x + 3$$

$f(x) = 2 \times x$  was a fairly trivial task as we were already aware of the existence of an ideal solution within the contained search space of the elementary automata. Despite the ease we encountered while working with  $f(x) = 2 \times x$ ,  $f(x) = x + 3$  was surprisingly difficult to find a rule for and is the reason behind our motivation to create perfect

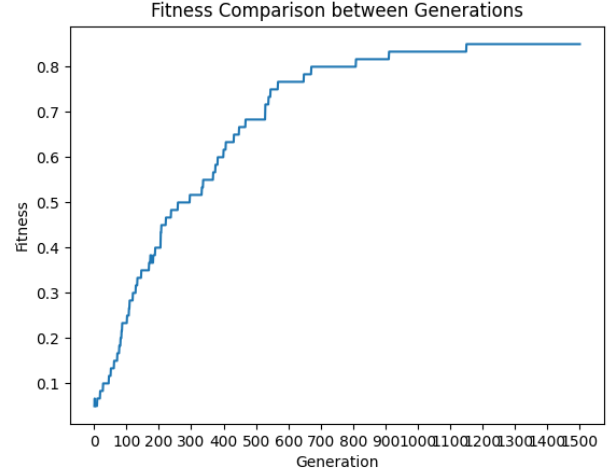


Fig. 3. Max Fitness - Generation graph for the genetic algorithm that produced rule number 3 in the rule table at the end of this report

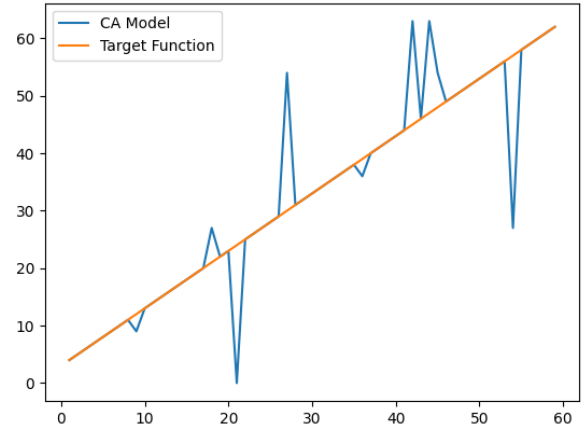


Fig. 4. Approximation graph comparing the Automata's results to the true outcomes to their respective target function  $f(x) = x + 3$

memory neighborhoods. After the inclusion of perfect memory neighborhoods, the runtime of our genetic algorithm increased exponentially, but luckily, so did our accuracy. The rules we were finding went from having an accuracy of about 10% to the maximum accuracy we achieved of 85% when testing with numbers in the range between 0 and 60 (due to 63 being the biggest number our automata could represent). Fig. 4 indicates that our approach is not really terribly invested in the nature of the function it is trying to copy. In fact, we believe that the wild fluctuations in error are due to the fact that our approach only cares about finding and storing optimal transitions. If an incorrect transition causing an error happens to fall on a significant bit, the magnitude of that error is increased greatly. This indicates to us that our approach is a bit 'all or nothing' in how it approximates function value.

$$f(x) = x^2$$

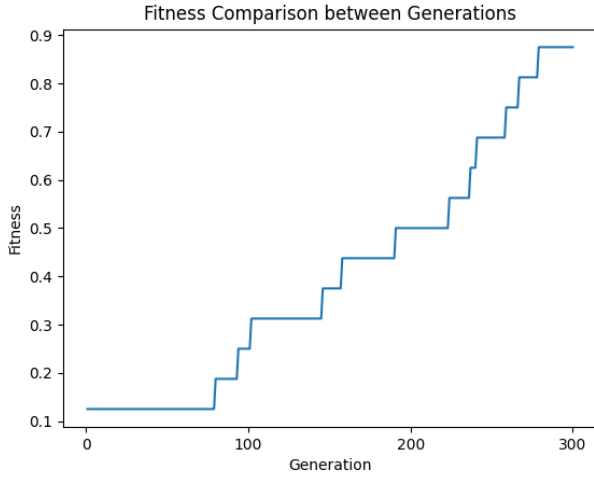


Fig. 5. Max Fitness - Generation graph for the genetic algorithm that produced rule number 4 in the rule table at the end of this report

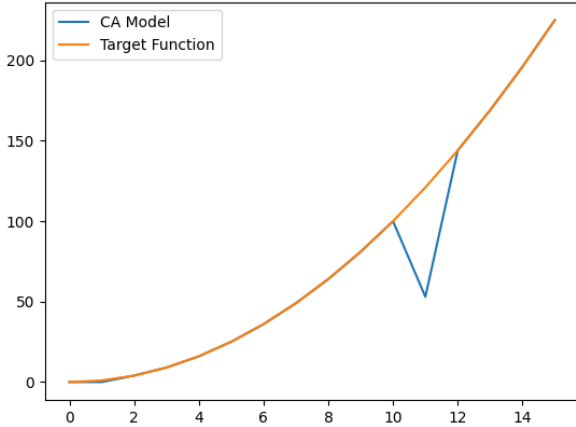


Fig. 6. Approximation graph comparing the Automata's results to the true outcomes to their respective target function  $f(x) = x^2$

$f(x) = x^2$  was the most complex function we studied. However, due to computation limitations, we could only search for rules that functioned in the fairly limited range of  $[0, 15]$ . After several hours of processing, we were able to identify a rule that was around 87% accurate in the given range as shown in figures 5 & 6. We also utilized perfect neighborhoods for this target function which further increased runtime.

#### IV. DISCUSSION & CONCLUSION

While we enjoyed searching this expanded space of cellular automata rules, we did learn a lot about their limitations and trade-offs. Our first major observation is that computation with cellular automata will require the incorporation of multiple iterations. In our project, we only ever gave each rule one

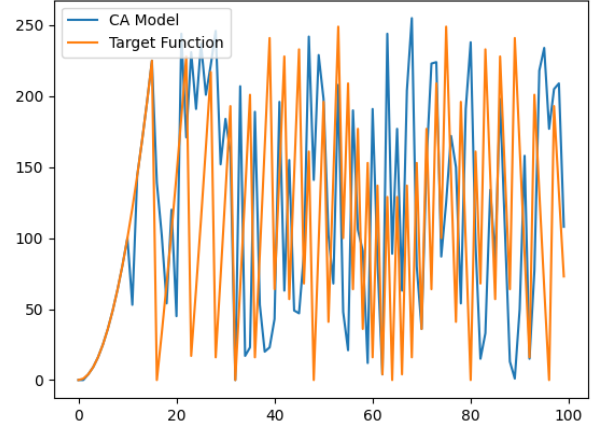


Fig. 7. Approximation graph identical to Fig. 6 but for a much larger range. y values have been modded by 256 as that is the integer overflow limit of the automata used here.

iteration to solve a problem. We observed that, without using perfect neighborhoods to essentially memorize the correct answers, finding rules that can perform even basic arithmetic over a wide range of numbers in one iteration is difficult if not just simply impossible. Fig. 7 is a testament to this fact. The rule that we had found for  $f(x) = x^2$  completely fell apart when tested on numbers outside the range of values it was trained on. This is further evidence that the perfect neighborhood approach looks more like encoding the answers to a given target problem than actual computation.

#### V. REFERENCE & CONTRIBUTION

Abubakar Kasule: Wrote the code for the genetic algorithm and authored this report.

#### VI. RULES

##### Mapping

Function	Rules
$f(x) = 2 \times x$	1 & 2
$f(x) = x + 3$	3
$f(x) = x^2$	4

TABLE II  
RULES TABLE.

1

170

Neighborhood: elementary neighborhood  
Neighborhood size: 3  
Dimensions: (8,)  
Base: 2

2

173794200776016493578319819358345308345793242  
847256373025703935056742348913715725963142844  
663479739863037044054492961090414250445728265  
3928380633937662372

Neighborhood: elementary neighborhood

Neighborhood size: 3

Dimensions: (2, 10)

Base: 2

3

848637738250156742683518739735687334552097540  
160551227103550133341631541255058378719611970  
699960006487221740649979507286165159777196762  
954567221094675667417960943932809090159213803  
606880226172337122645658179860360141423498134  
465485736412021259871139801428859978822431452  
170113511767788149181532934622882608805936711  
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165205115614323644813577654343171344132703454  
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016342479798247805936017497614305993038879433  
525553440265930764295991584185477039537412105  
333293330787856981583219342209000208409175324  
719410622380601144124441575034677205613400890  
318723136163198312022684867340489577728557204  
242701346479943576

Neighborhood: perfect neighborhood

Neighborhood size: 12

Dimensions: (6,)

Base: 2

4

228517284526081819071897842917071291636737363  
082469312429637153310726064791312223050375030  
244975413356115058363621181802486479508766962  
020329532496486857660388713340779555017078821  
623996144721392483411722634154301726046703514  
794891726142485012425619633091521032342370253  
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057882078336708816467270861610584894470234183  
181737393194966910742610495272217632768756454  
591753363655749528471960419748563725807960941

925150201760129738479822274748819611788623123  
742679133325687905017733299118199368424071033  
249025015318699739191266905588421594620454244  
512453574517781093495137932458187587711163411  
515358865408632922231596165776863115820346098  
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854650018499737868256111129494463539608014932  
770411798218905881229819380999125426037061633  
422046333798330465544202074066670565703104169  
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555370172222123271145617595112371830218742942  
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005435889018237425374929707957218610677814004  
730514881622338487077896307722528778782611163  
561725919701927230711490936062673810285952990  
216688740333185570446261883514018481632194425  
366958002511399662779979873112658434222605607  
412453389423101259289111148698794866302099948  
656238151537435103605706484025869320291539264  
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776969894212047429845907008440090753219829949  
041301148289458803524802089177175796874330282  
720474015538134902449520245760320273916202767  
664610202.....not even half of this number

Neighborhood: perfect neighborhood

Neighborhood size: 12

Dimensions: (8,)

Base: 2

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