

# Ethereum VM illustrated

exploring some mental models and implementations

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WIP

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## NOTE

- Please refer to the official documents in detail.
- This information is current as of Mar, 2018.

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- Instruction set
- Message call
- Code generation
- Gas
- Atomicity and ordering
- WASM

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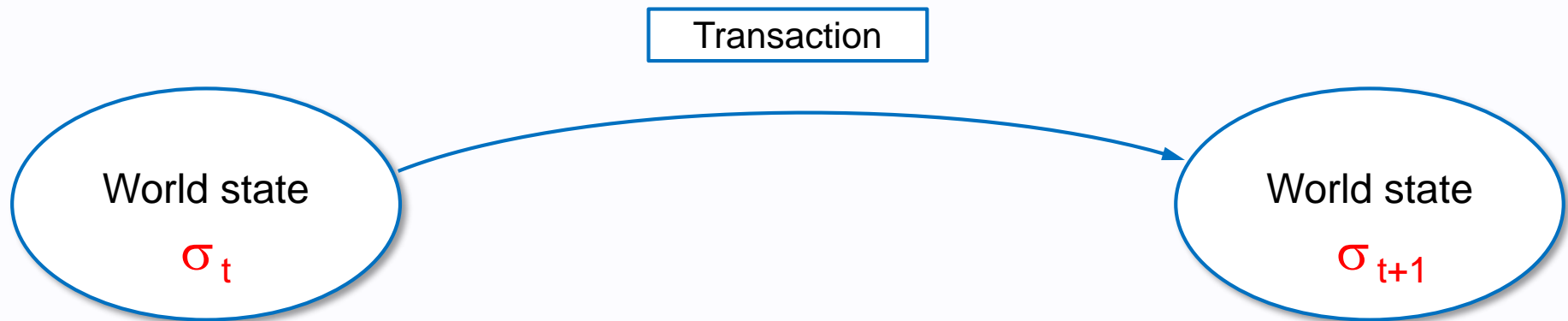
- Web3 API
- Geth, Remix, Metamask, Truffle
- Consensus

# 1. Introduction

# 1. Introduction

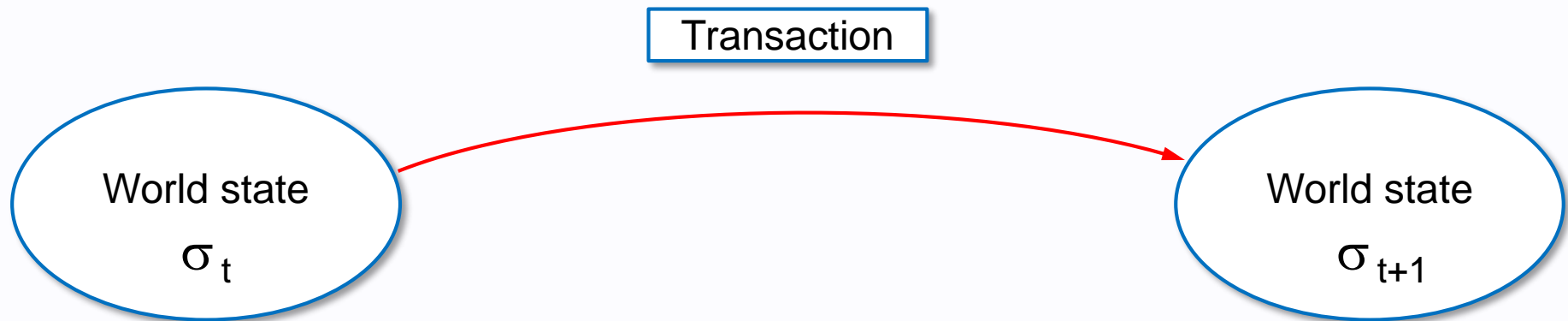
## Blockchain

# A transaction-based state machine



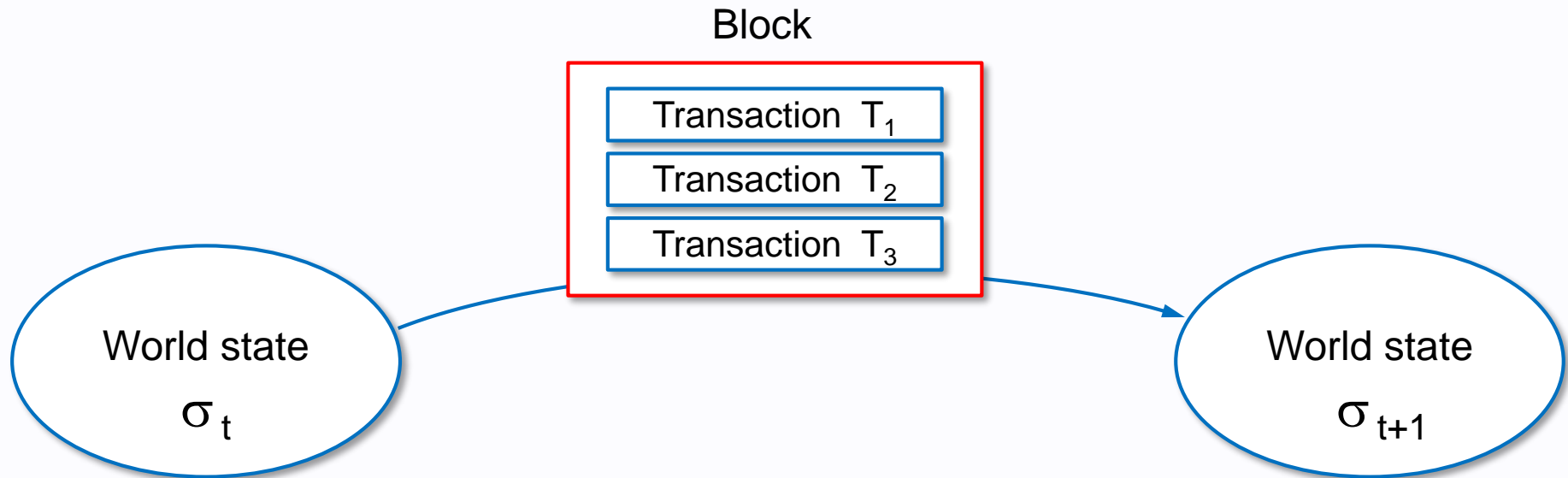
Ethereum can be viewed as a transaction-based state machine.

# A transaction-based state machine



A transaction represents a valid arc between two states.

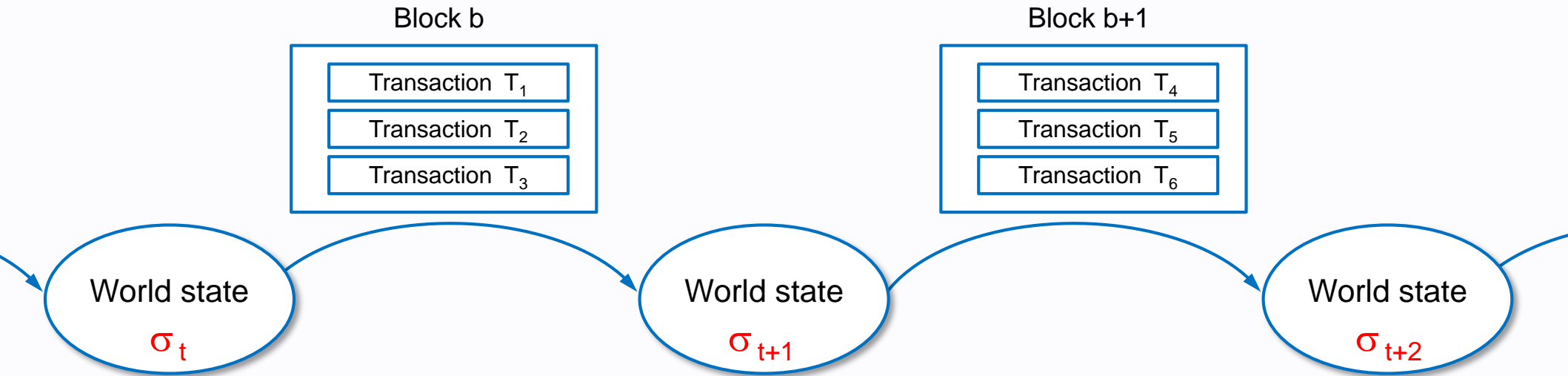
# Block and transactions



Transactions are collated into blocks.  
A block is a package of data.

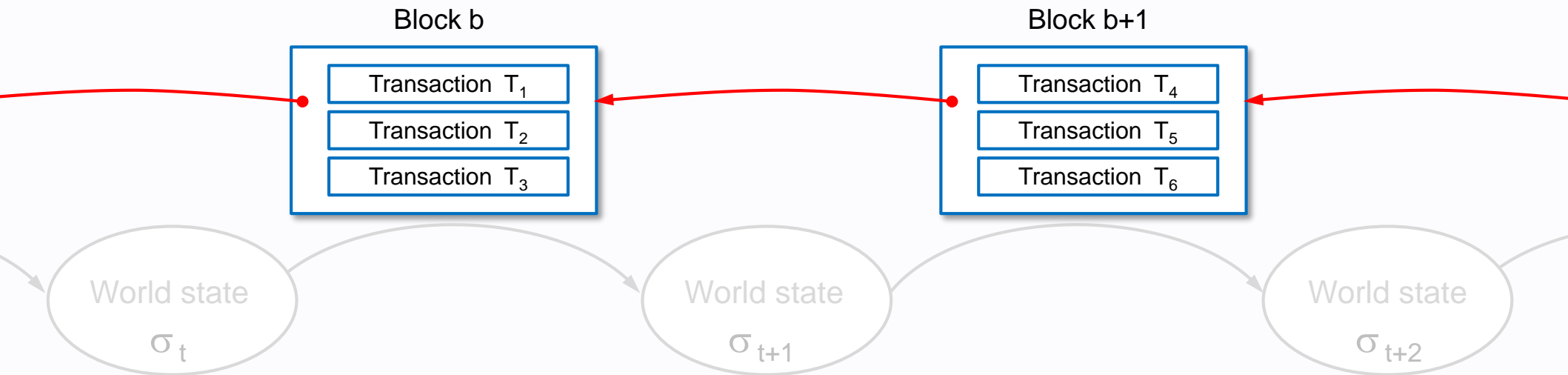


# Chain of states



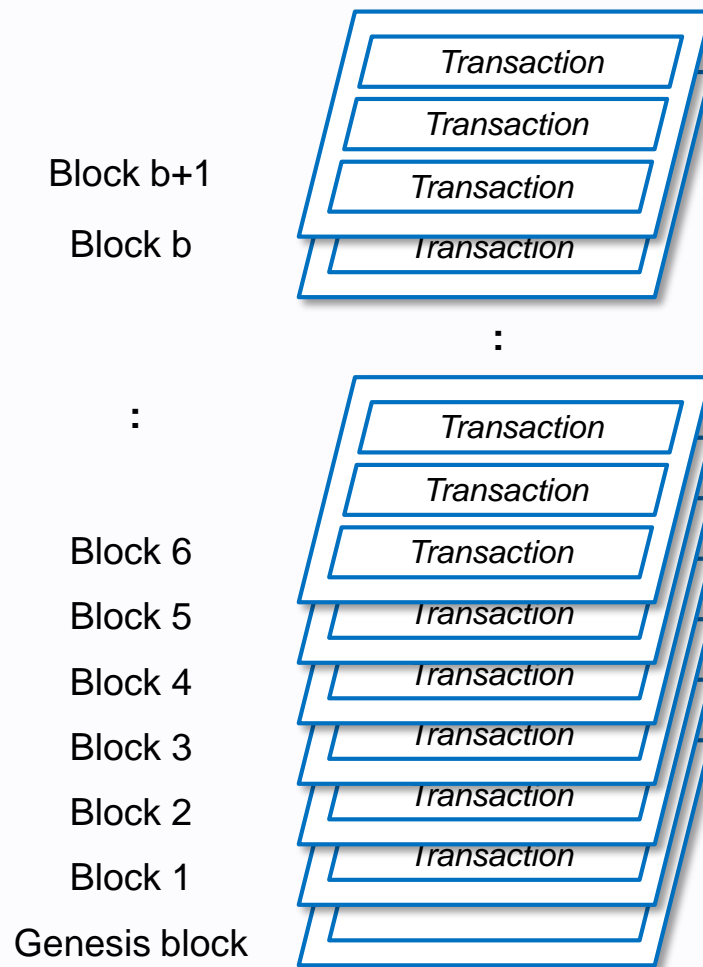
As states view,  
Ethereum can be viewed as a chain of states.

# Chain of blocks: Blockchain



As implementation view,  
Ethereum can be also viewed as a chain of blocks, thus `BLOCKCHAIN`.

# Stack of transactions : Ledger



As ledger view,

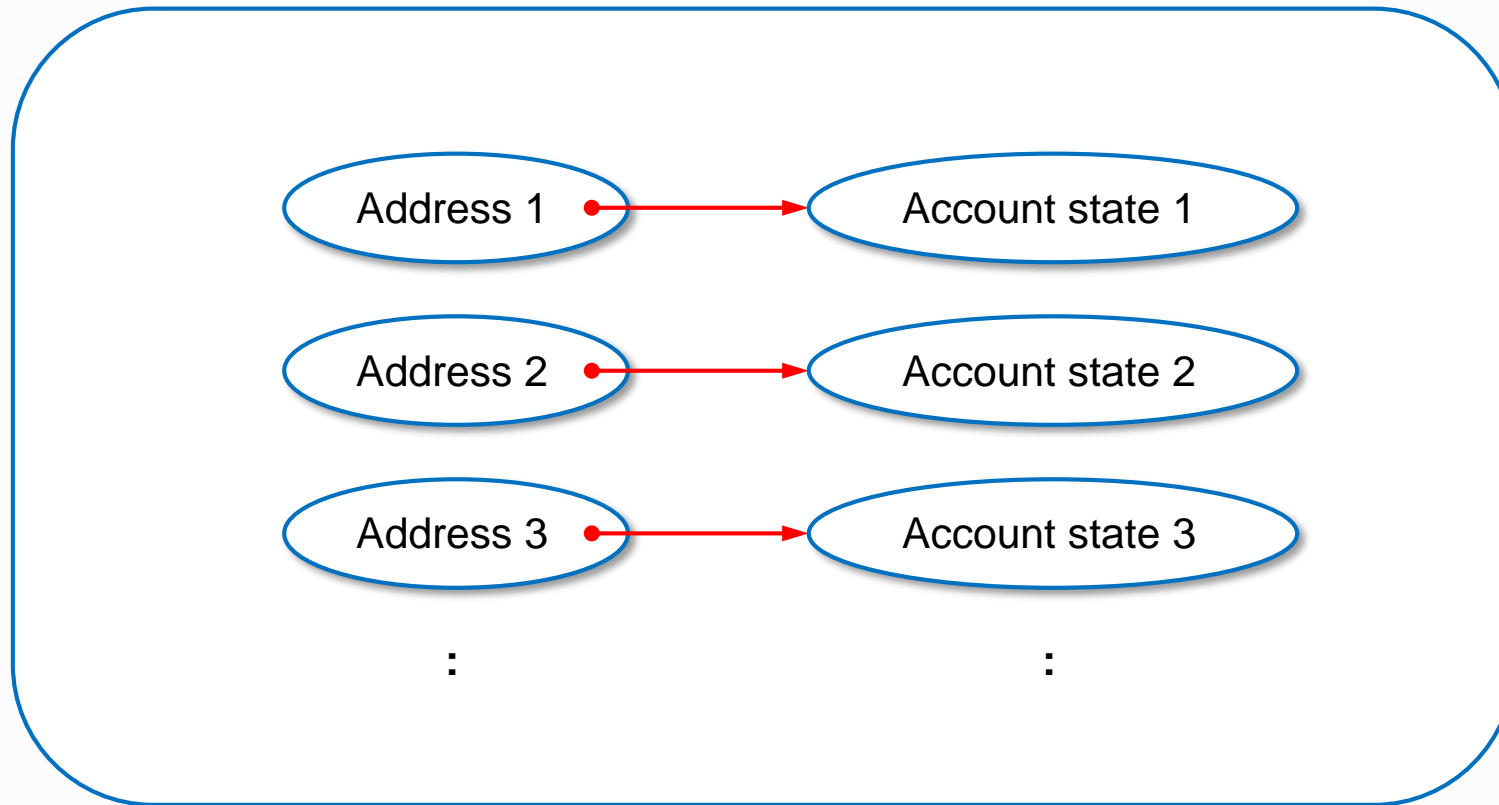
Ethereum can be also viewed as a transaction stack, thus `LEDGER`.

# 1. Introduction

World state

# World state

World state  $\sigma_t$

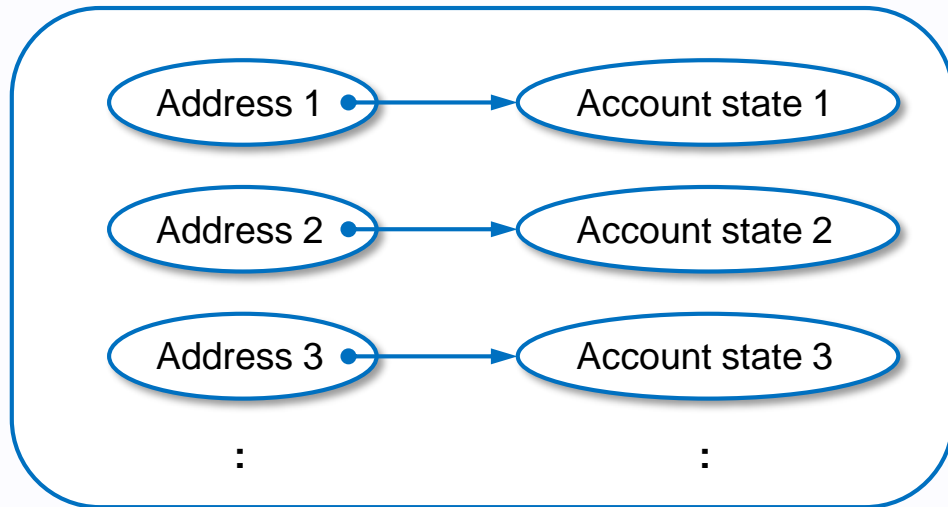


The world state is a mapping between address and account state.

# World state

## World state

### Mapping view



### Table view

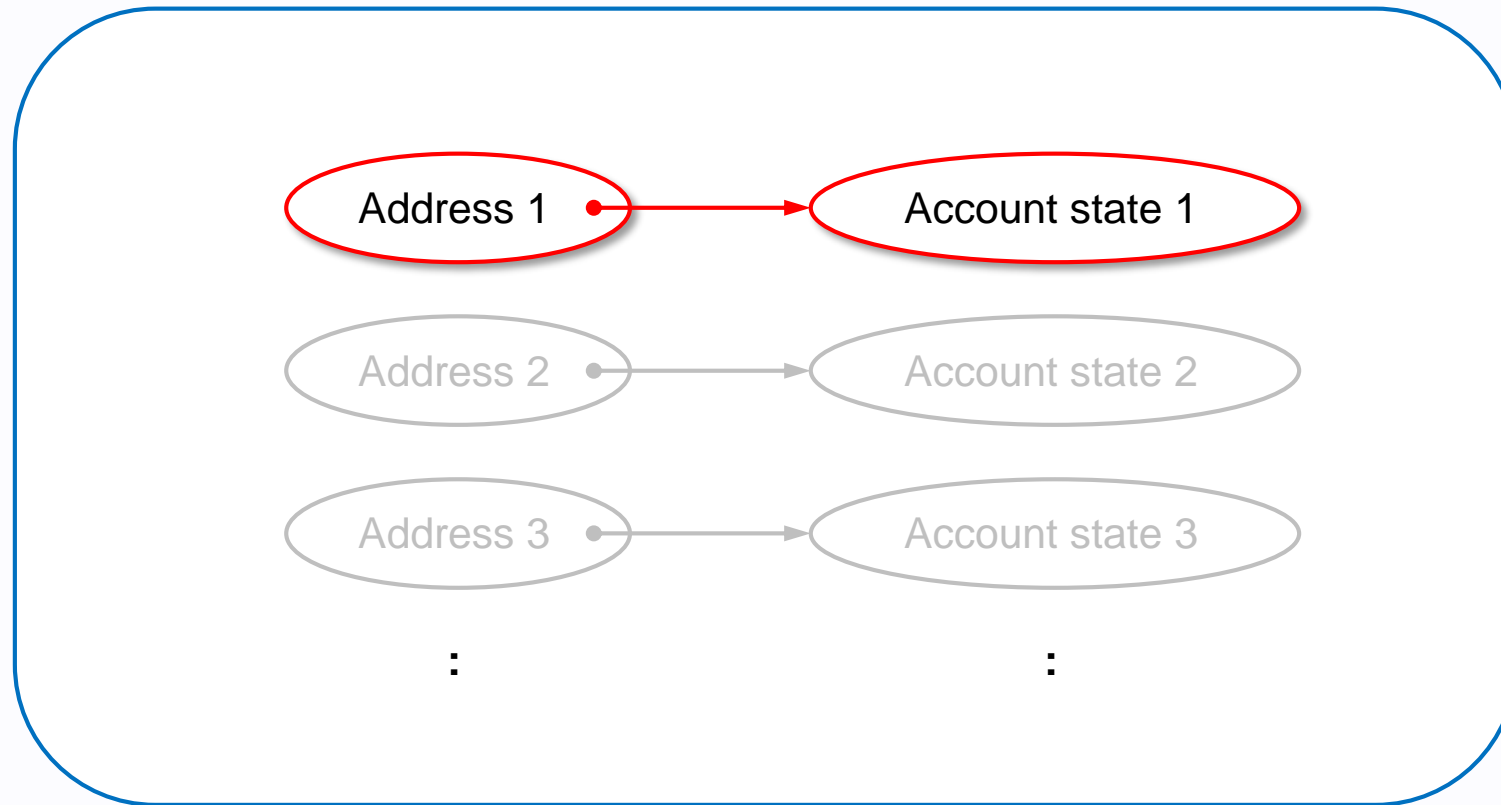
Address 1	Account state 1
Address 2	Account state 2
:	:
Address n	Account state n

## 1. Introduction

Account and contract

# Account

World state

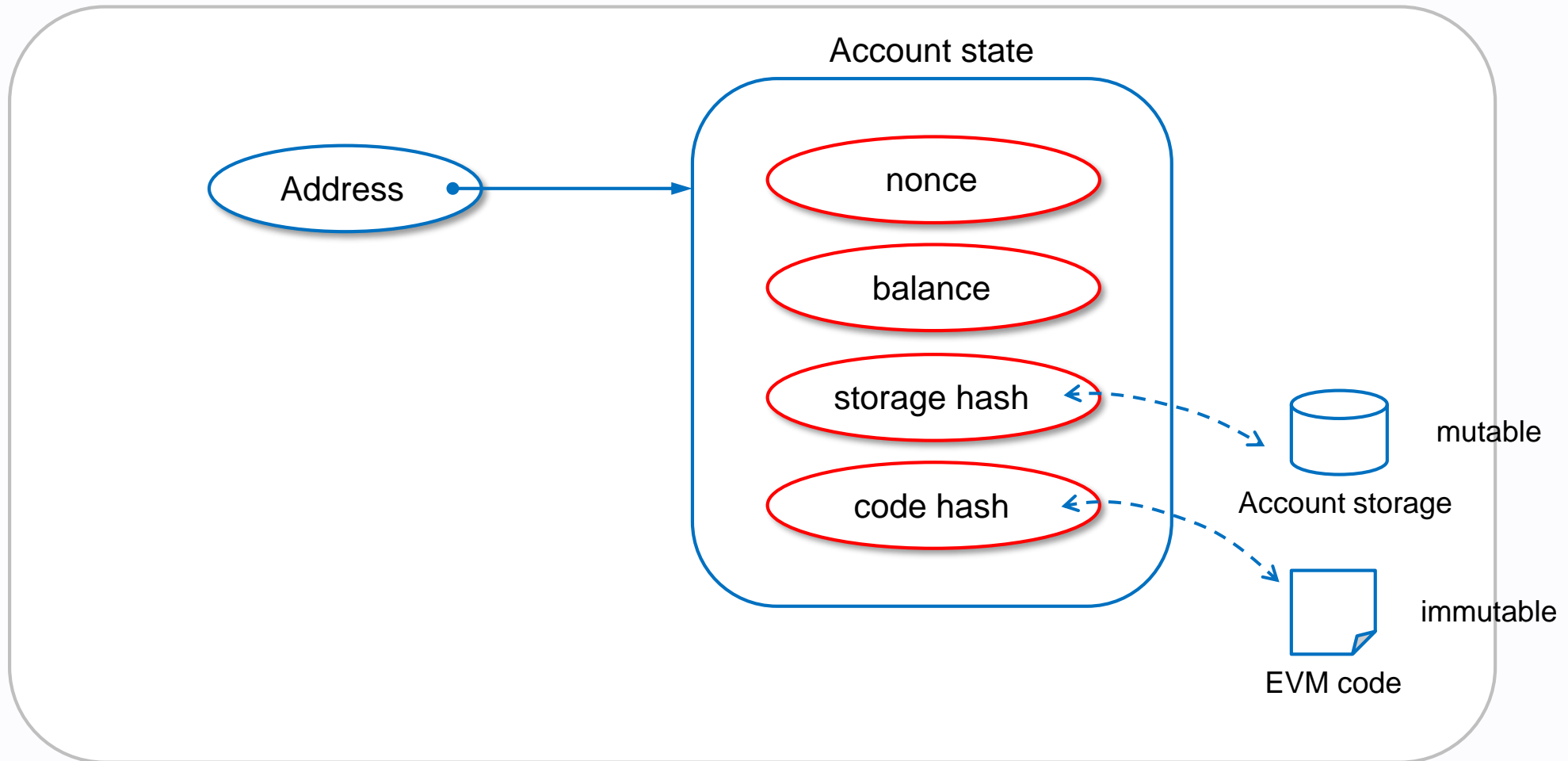


An account is an object in the world state.



# Account state

World state



An account state could contain EVM code and storage.

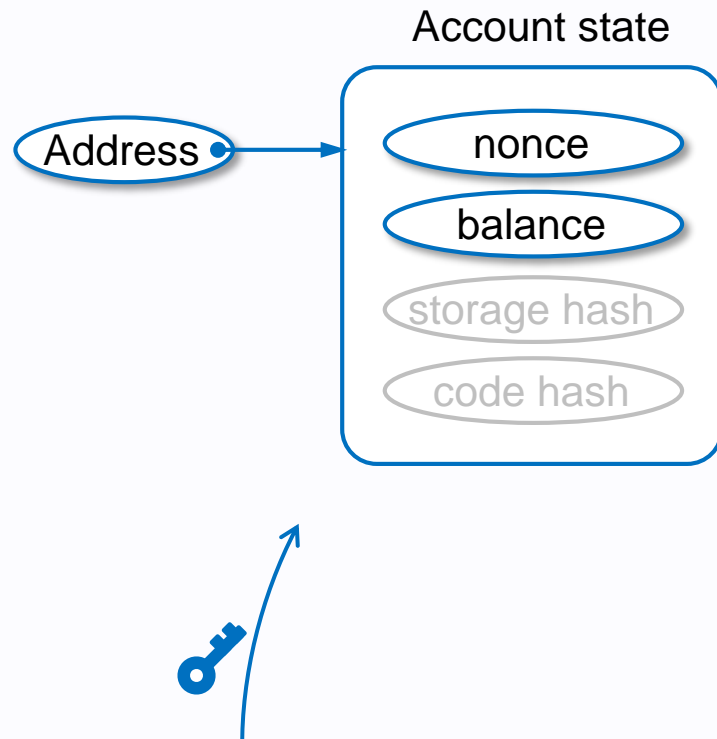
Serialized with RLP  
Maintain with modified Markle tree (trie)

References : [E1] Ch.4

# two type of account

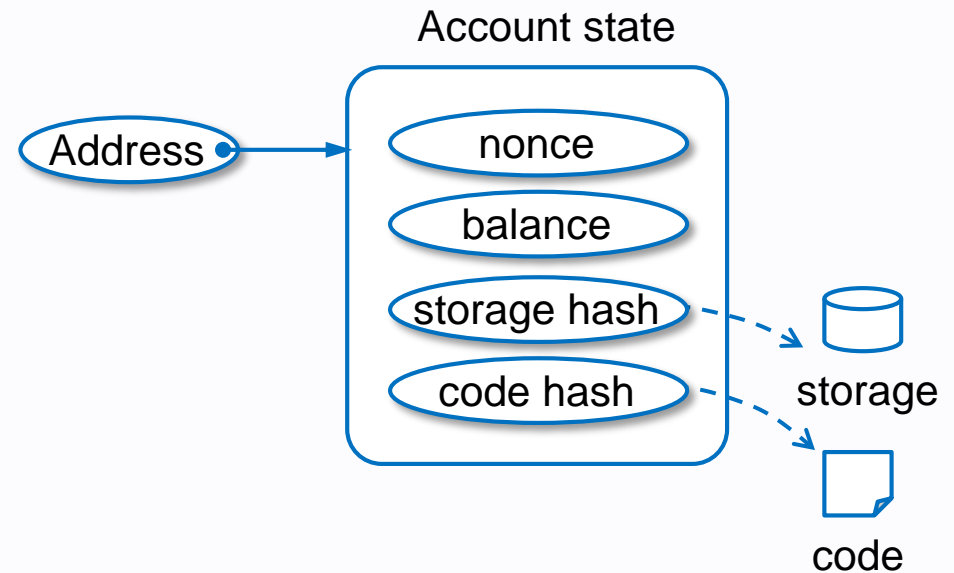
## Externally owned account (EOA)

(a simple account, non-contract account)



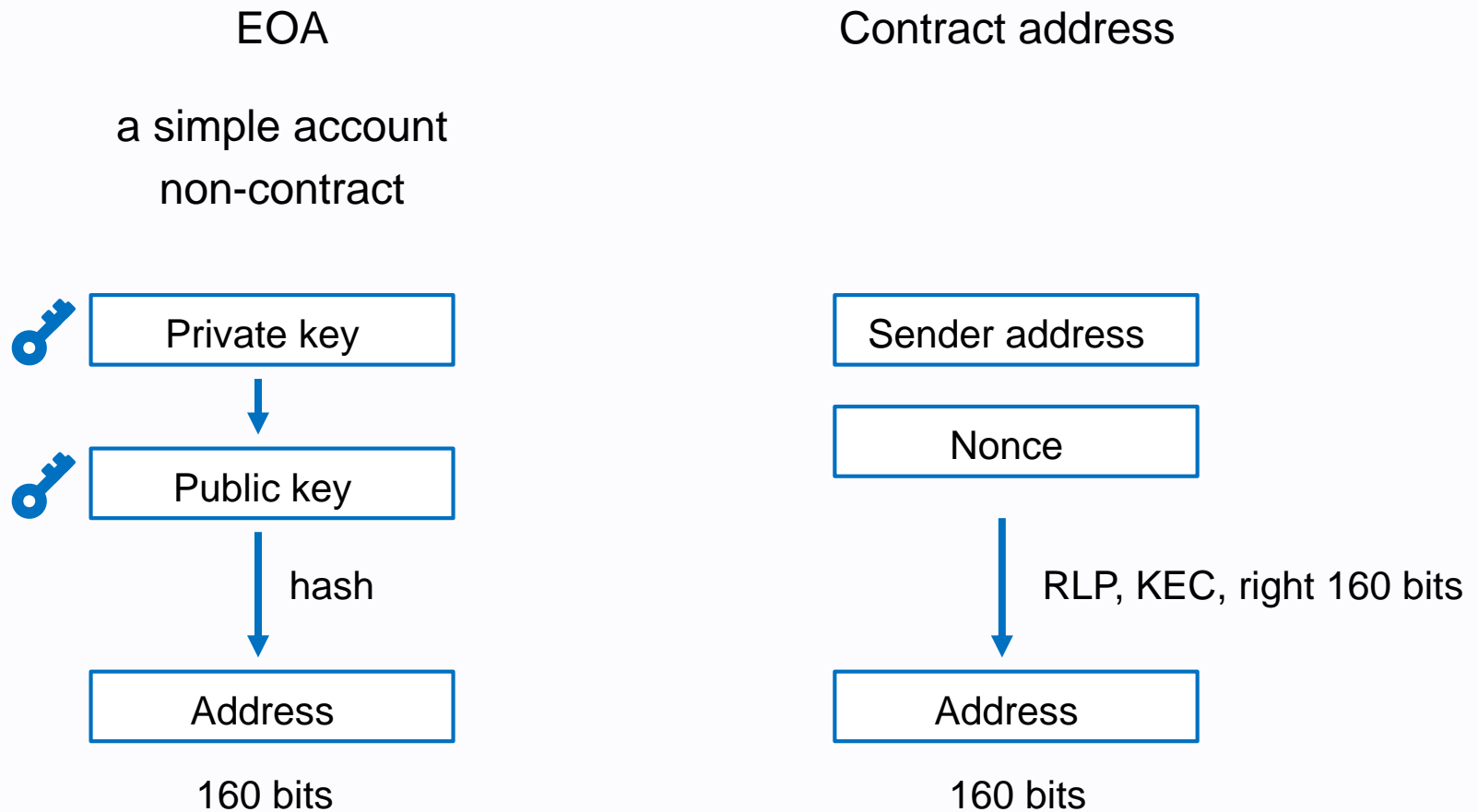
EOA is controlled by a private key.  
EOA cannot contain EVM code.

## Contract account



Contract contains EVM code.  
Contract is controlled by EVM code.

# Address of account

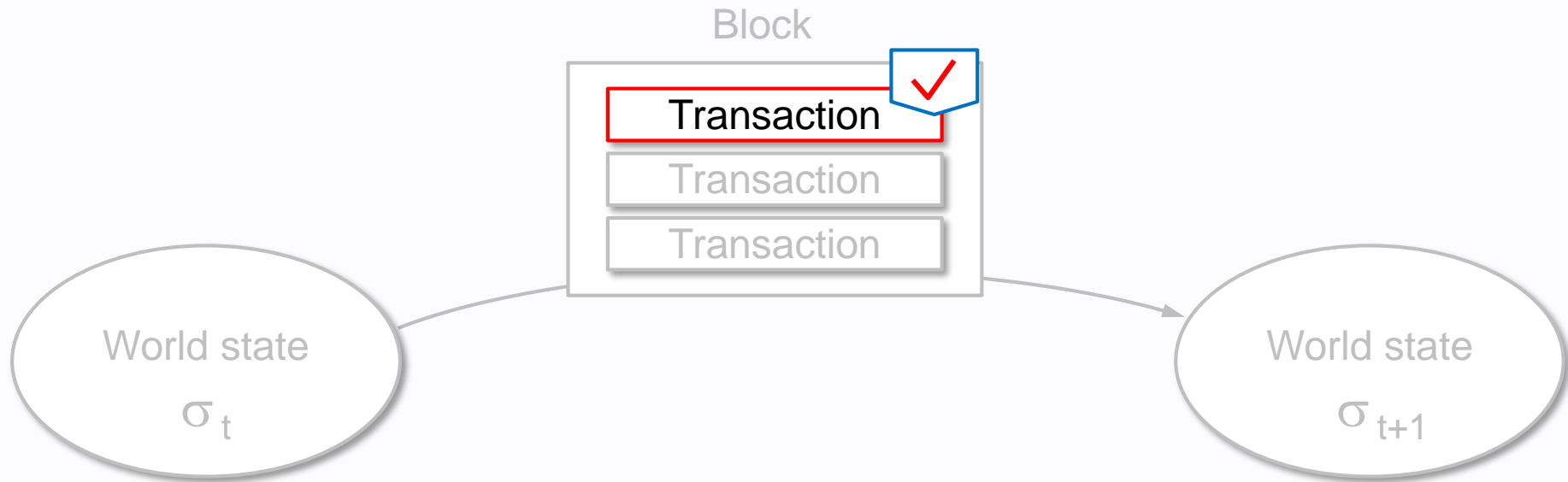


An address is essentially the representation of a public key.

# 1. Introduction

## Transaction

# A transaction

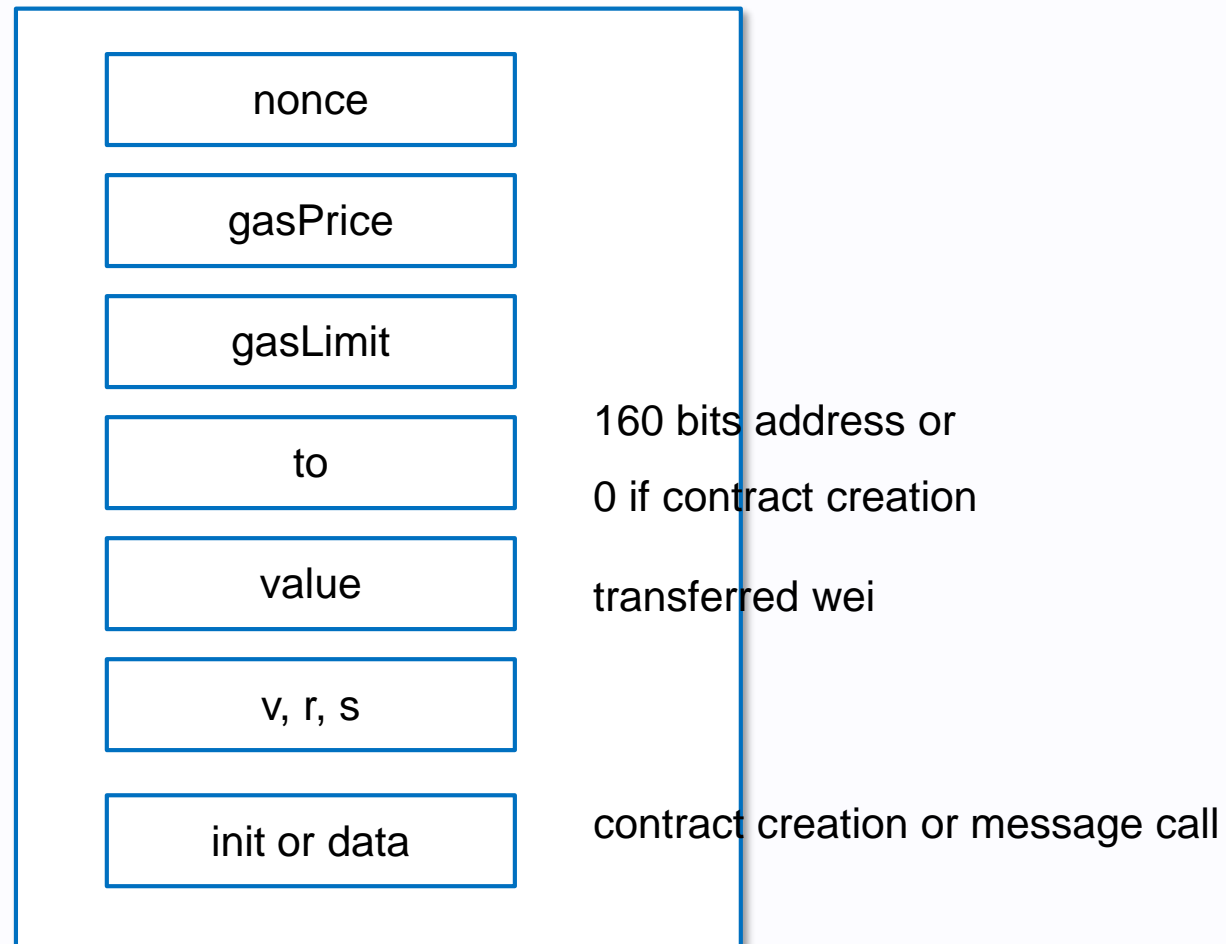


A transaction is a single cryptographically – signed instruction.

(A transaction is a digitally signed message. [E2])

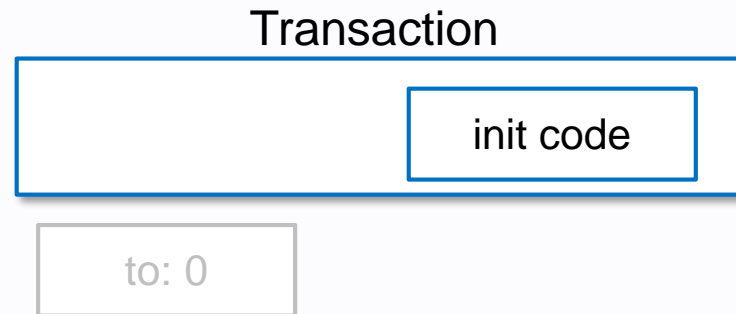
# Field of a transaction

## Transaction

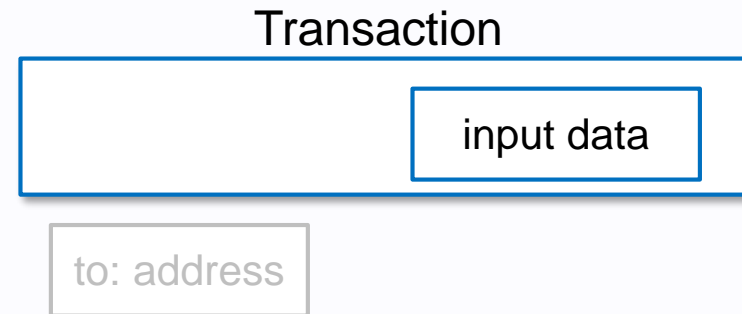


# Two types of transactions

Contract creation



Message call

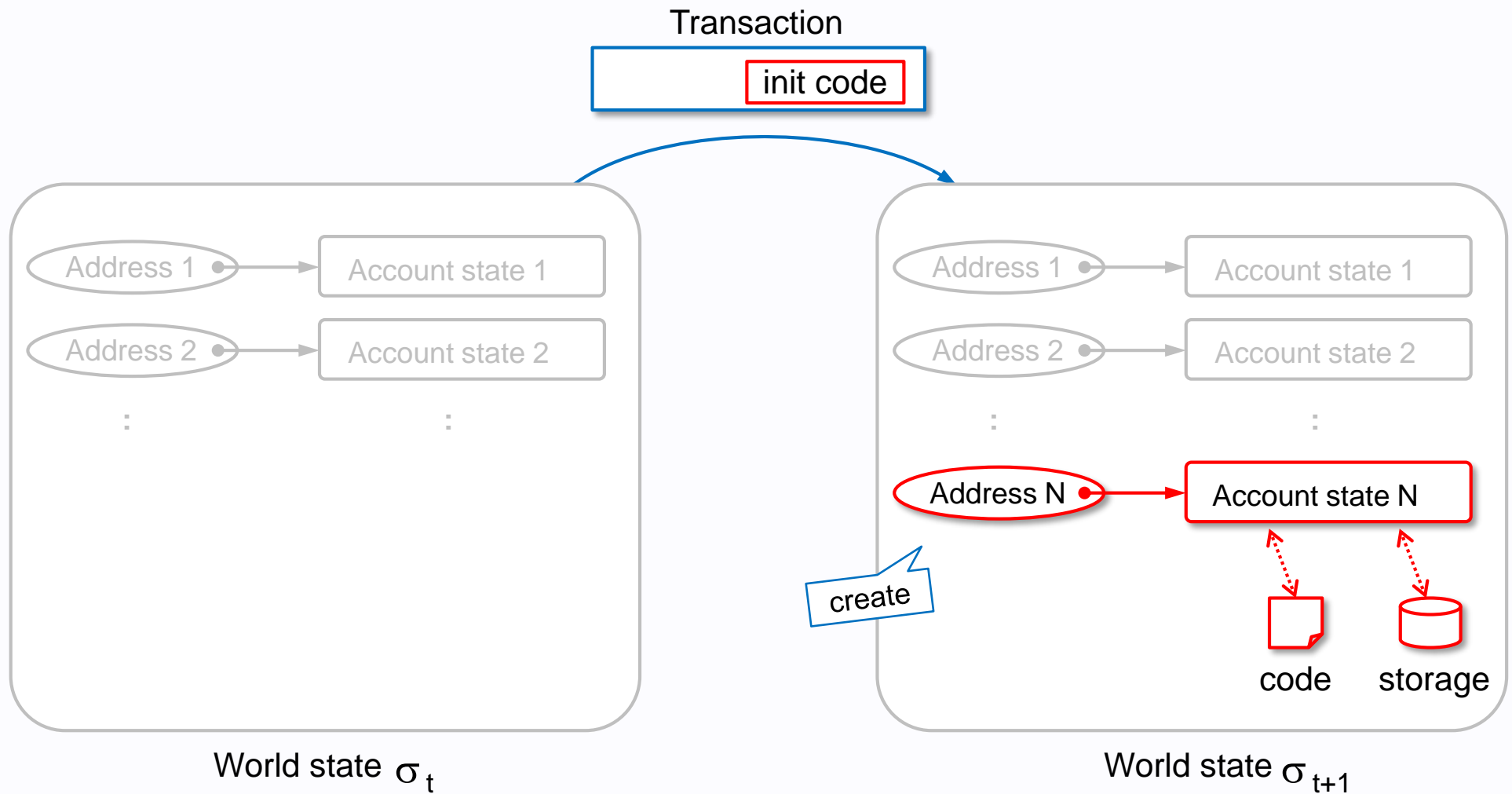


There are two types of transactions.

result in message calls

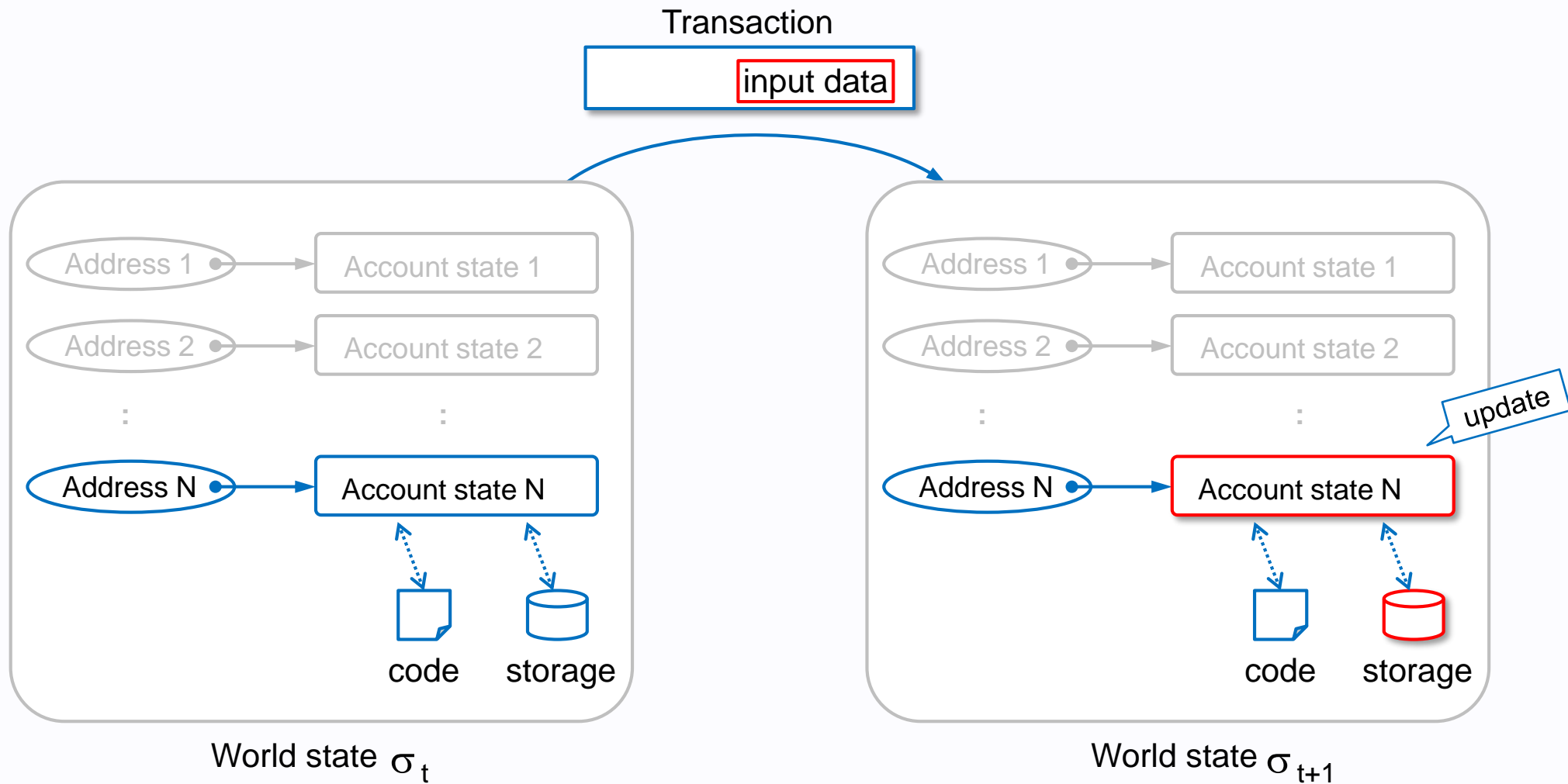
result in the creation of new accounts

# Contract creation





# Message call

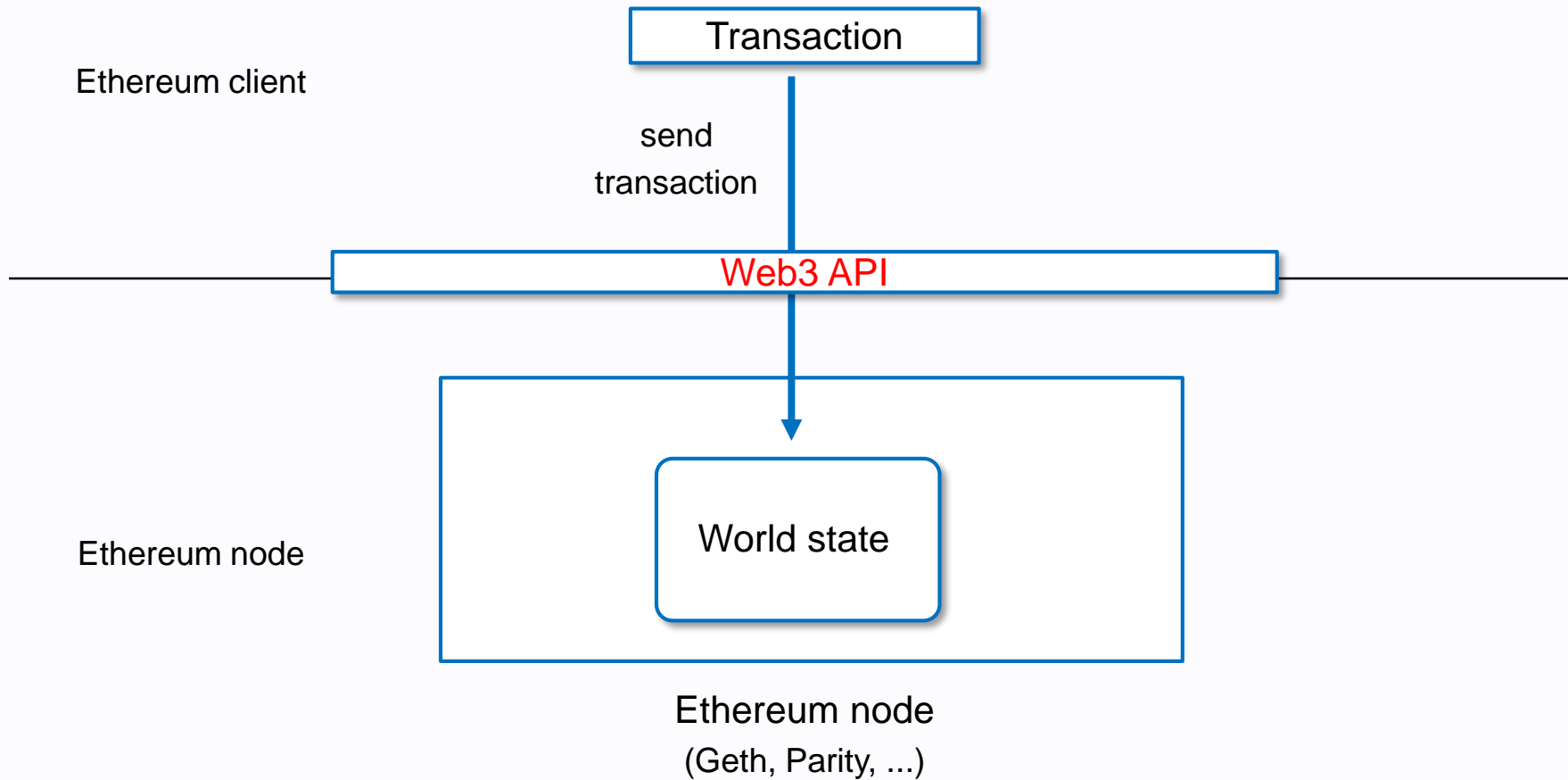


# Atomic

## Transaction

A transaction is atomic operation.  
That is, All (complete done) or Nothing (zero effect).

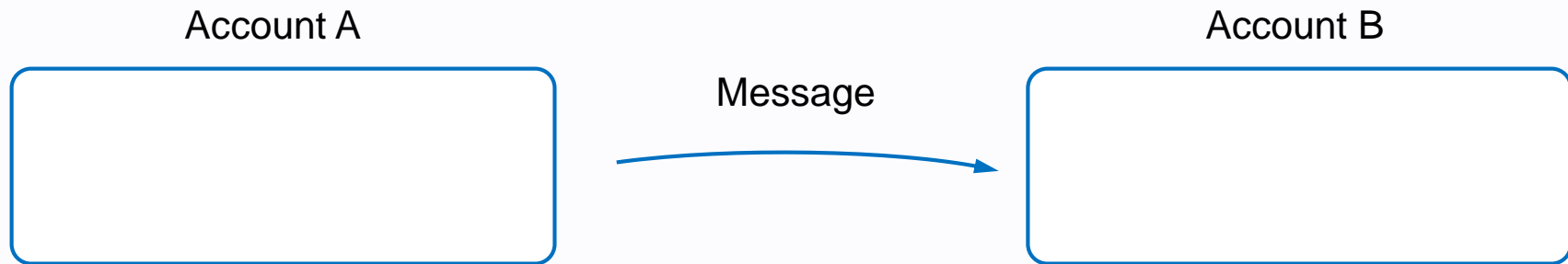
# A transaction to a node



# 1. Introduction

Message

# Message



“Transaction” and “messages” in Ethereum are different.

A sort of “virtual transaction” sent by EVM code from one account to another.

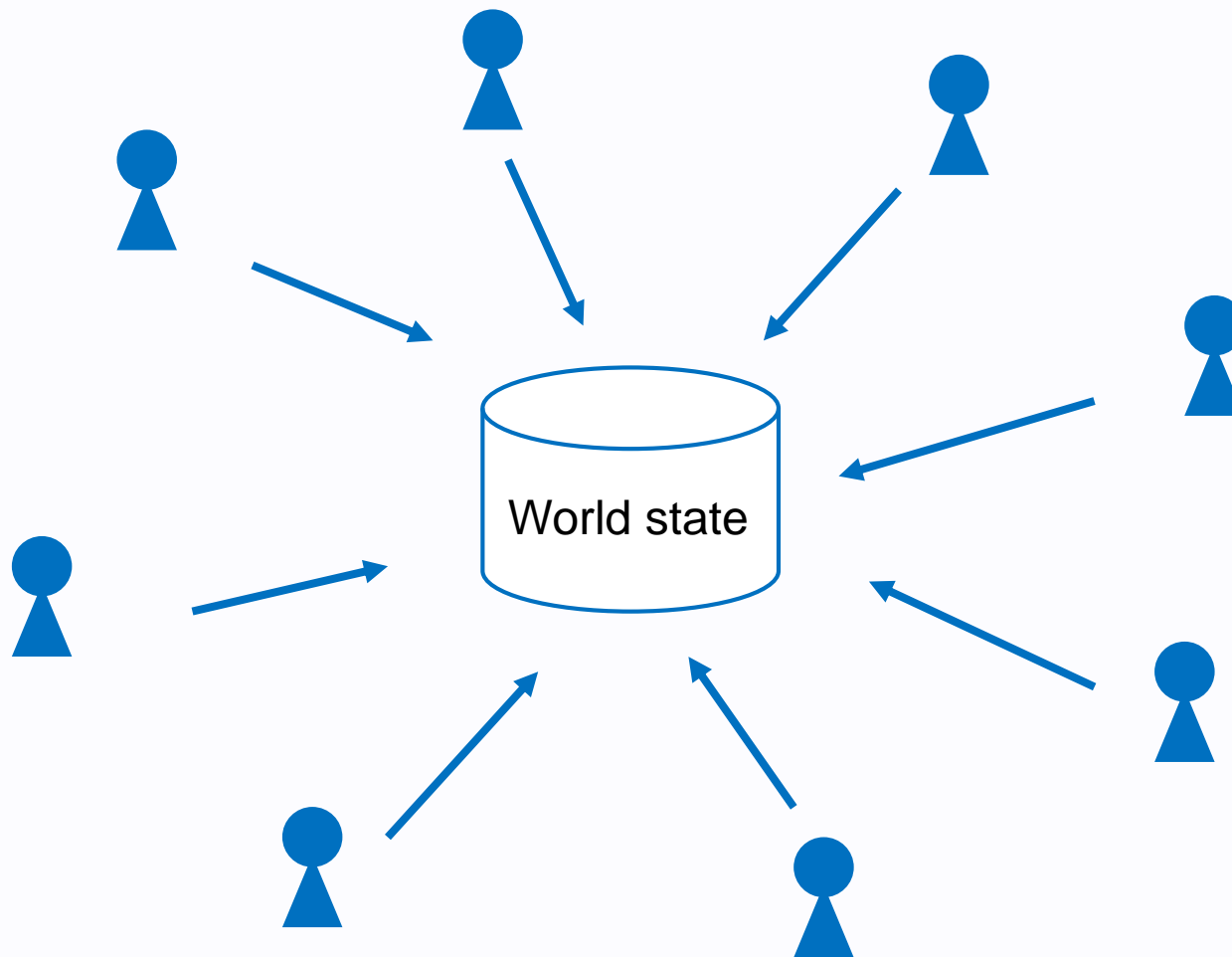
Every transaction triggers an associated message.

Messages can also be sent by EVM code.

# 1. Introduction

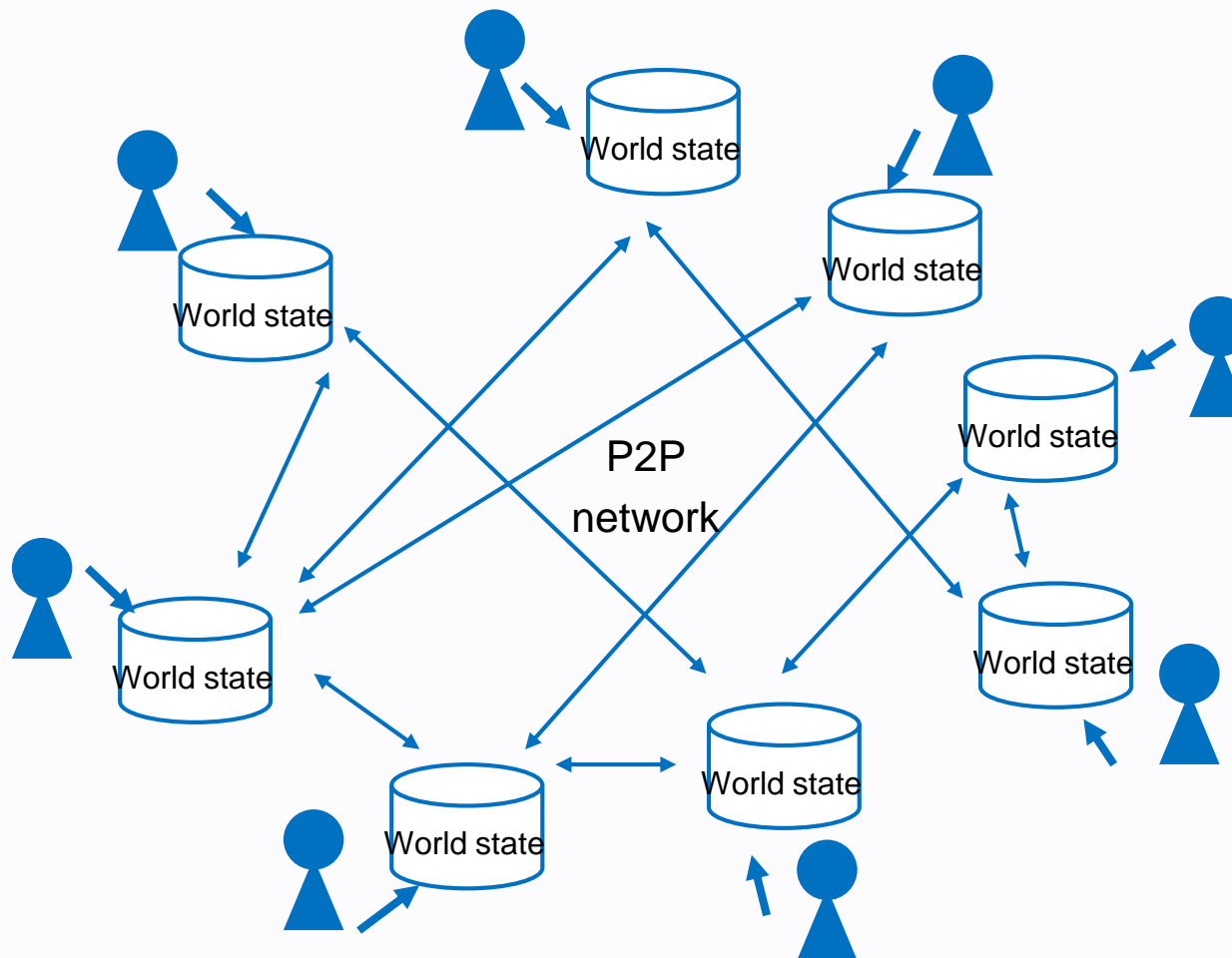
**Decentralised database**

# Globally shared, transactional database



A blockchain is a globally shared, transactional database.

# Decentralised database



A blockchain is a globally shared, **decentralised**, transactional database.

copy, p2p

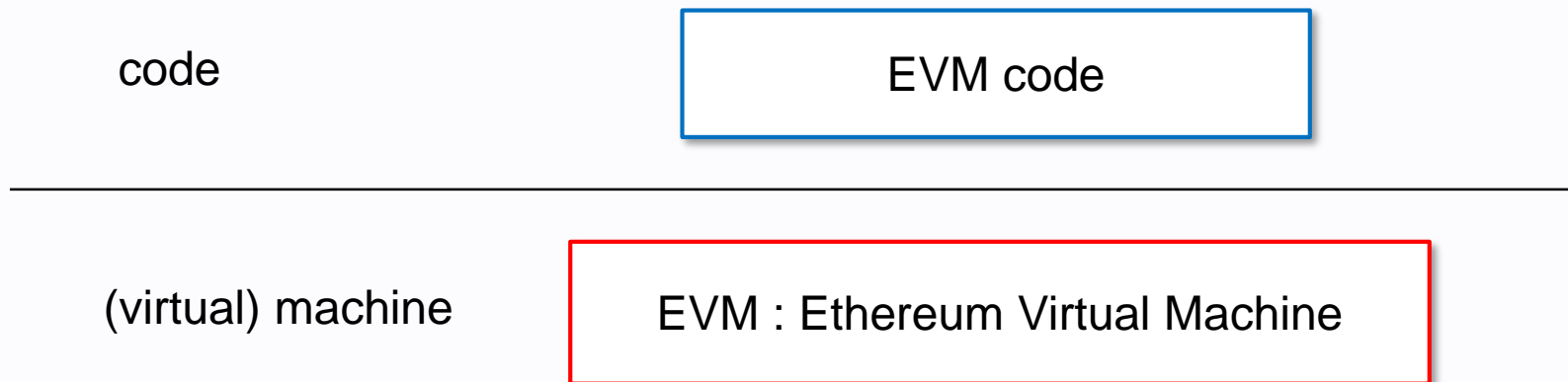


## 2. Virtual machine

## 2. Virtual machine

Ethereum virtual machine (EVM)

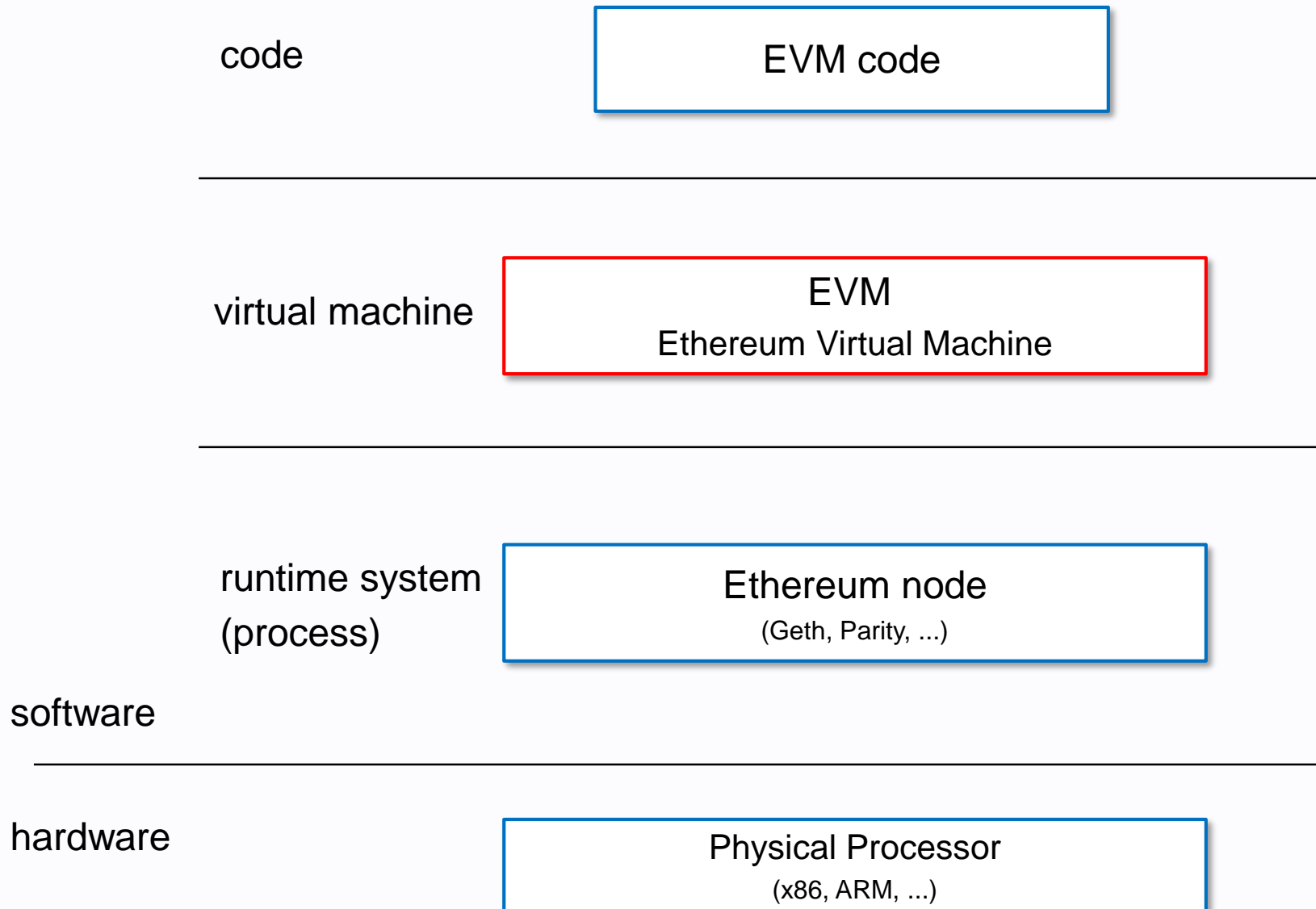
# Ethereum virtual machine



The Ethereum Virtual Machine (EVM) is the runtime environment for smart contracts in Ethereum.

EVM has no access to network, filesystem or other processes.

# Ethereum virtual machine layer

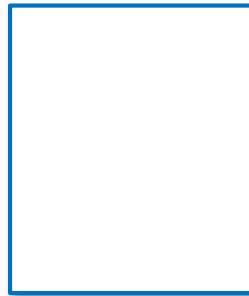


# Machine resources of EVM

Registers



Stack



stack memory

256 bits x 1024 elements

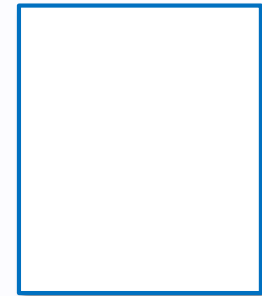
Memory



volatile memory

byte addressing  
linear memory

(Account) storage

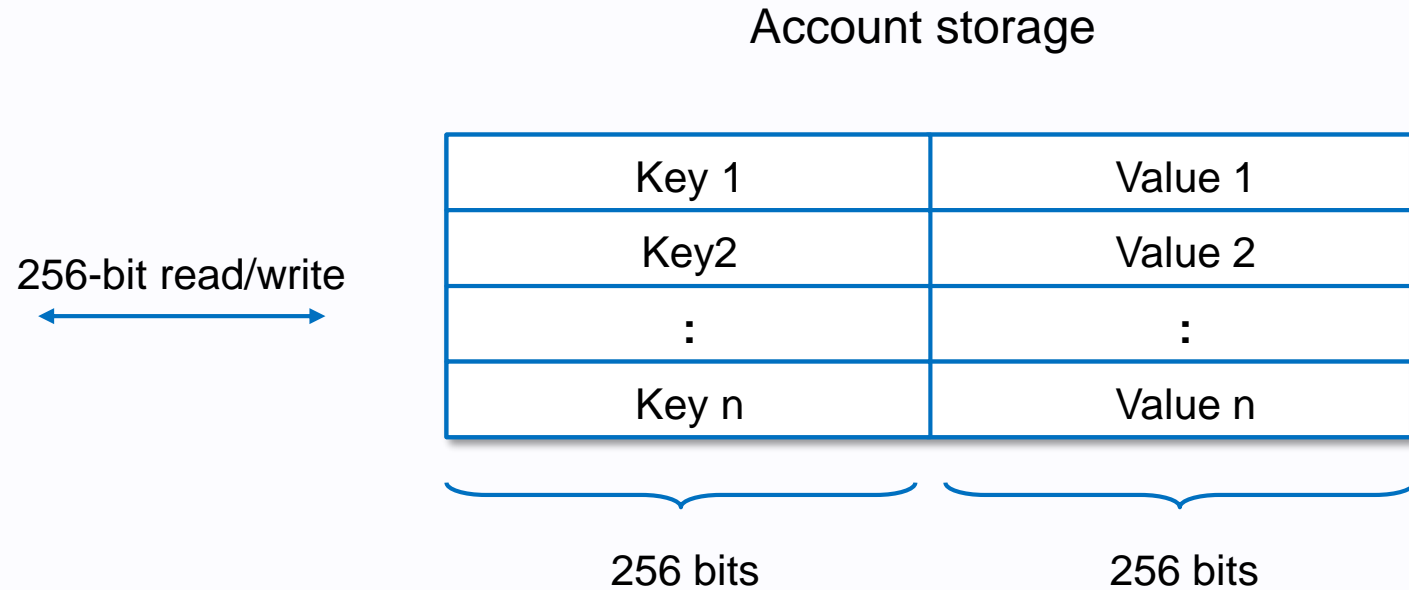


persistent memory

256 bits to 256 bits  
key-value store

EVM is stack based architecture.

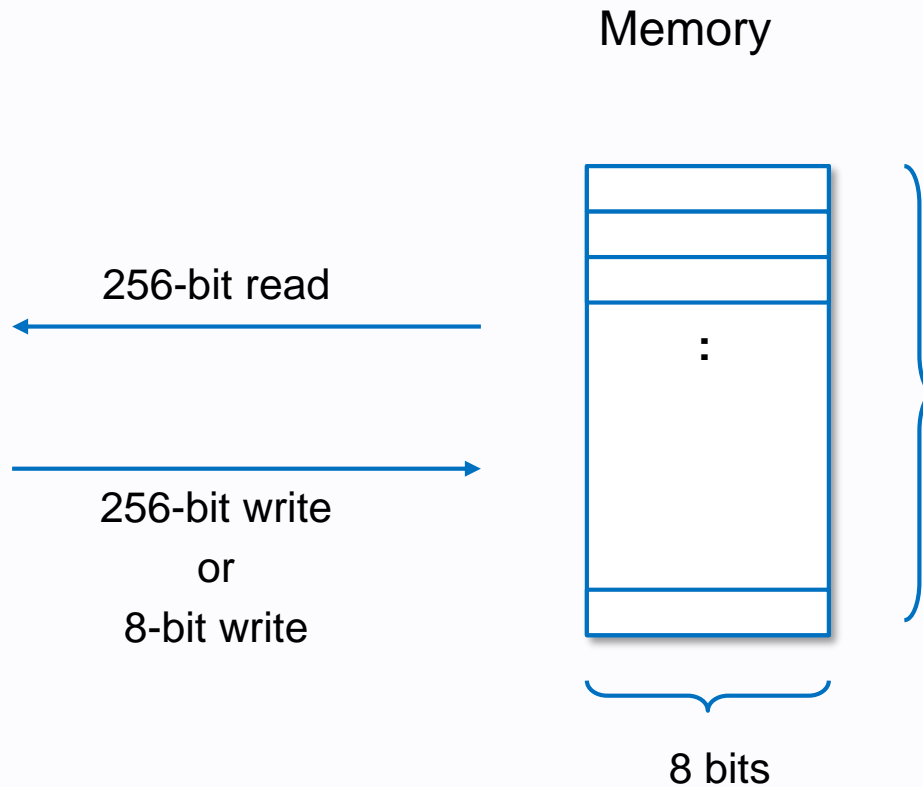
# Account storage



Storage is a key-value store that maps 256-bit words to 256-bit words.

Access with SSTORE/SLOAD instructions.

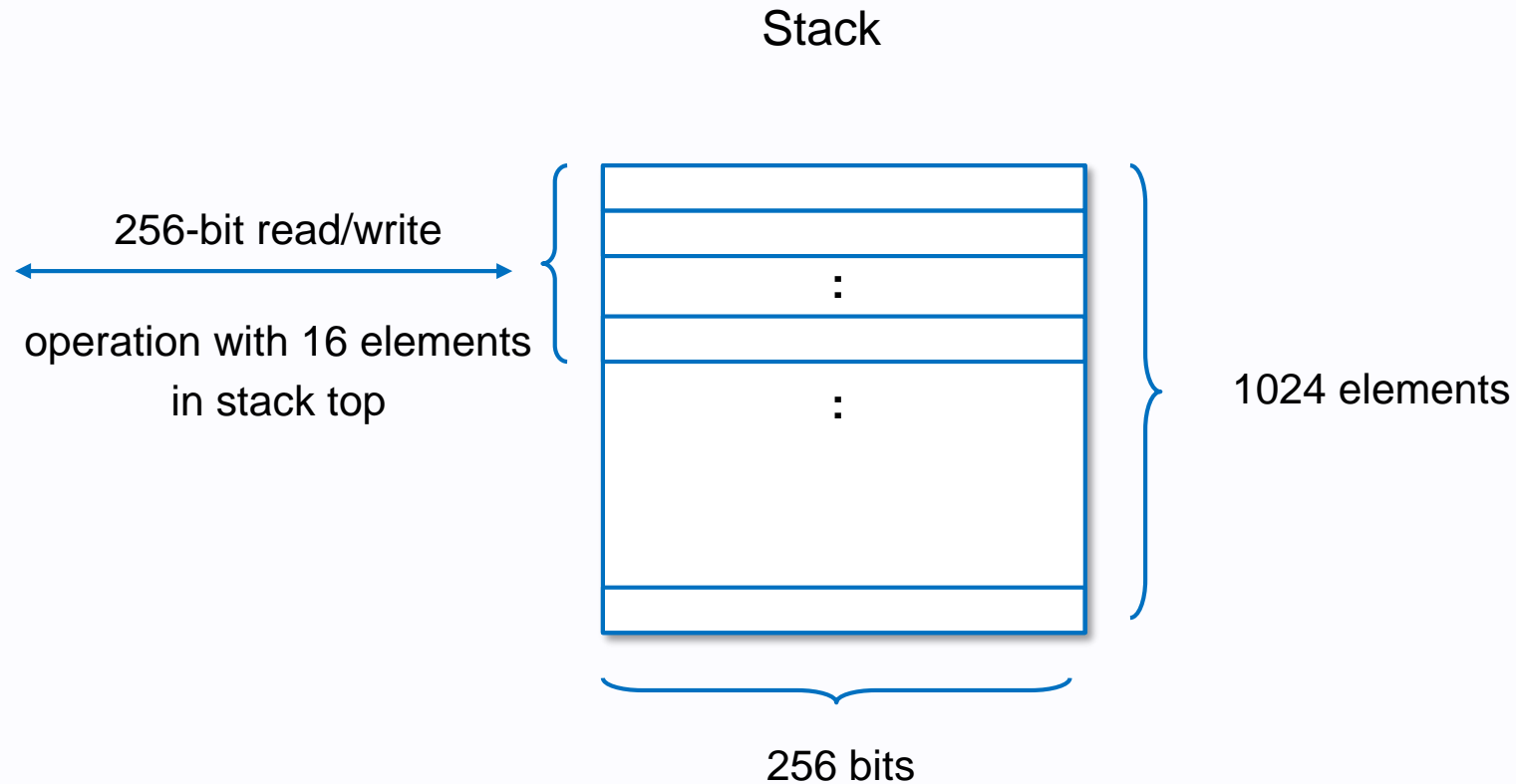
# Memory



Memory is linear and can be addressed at byte level.

Access with MSTORE/MLOAD instructions.

# Stack

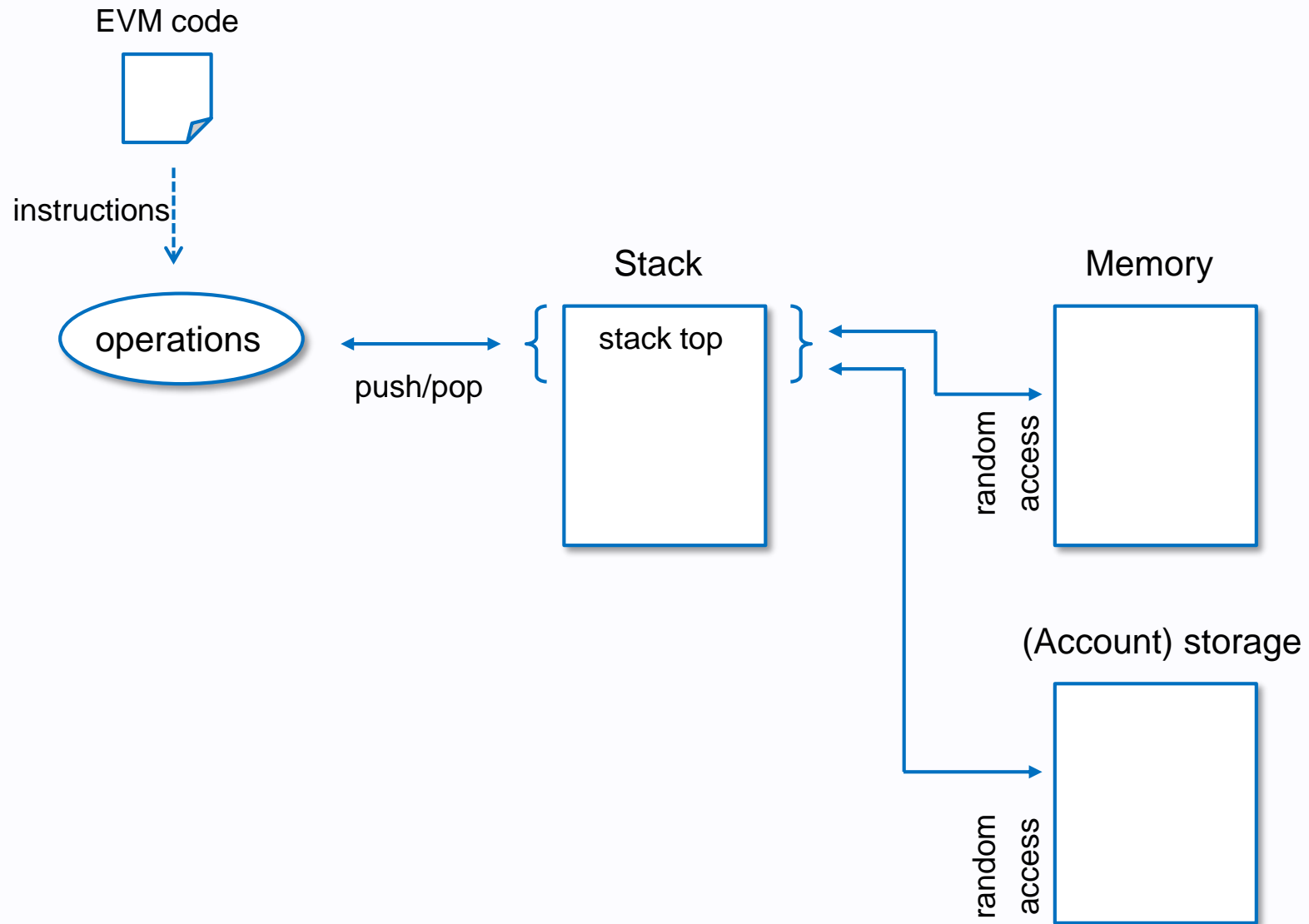


All operation are performed on the stack.

Access with many instructions such as PUS/POP/COPY/SWAP, ...



# Execution model



## 2. Virtual machine

**Instruction set**

# Instruction set

Basically, 256-bit operation.

Create contract

Self-destruct

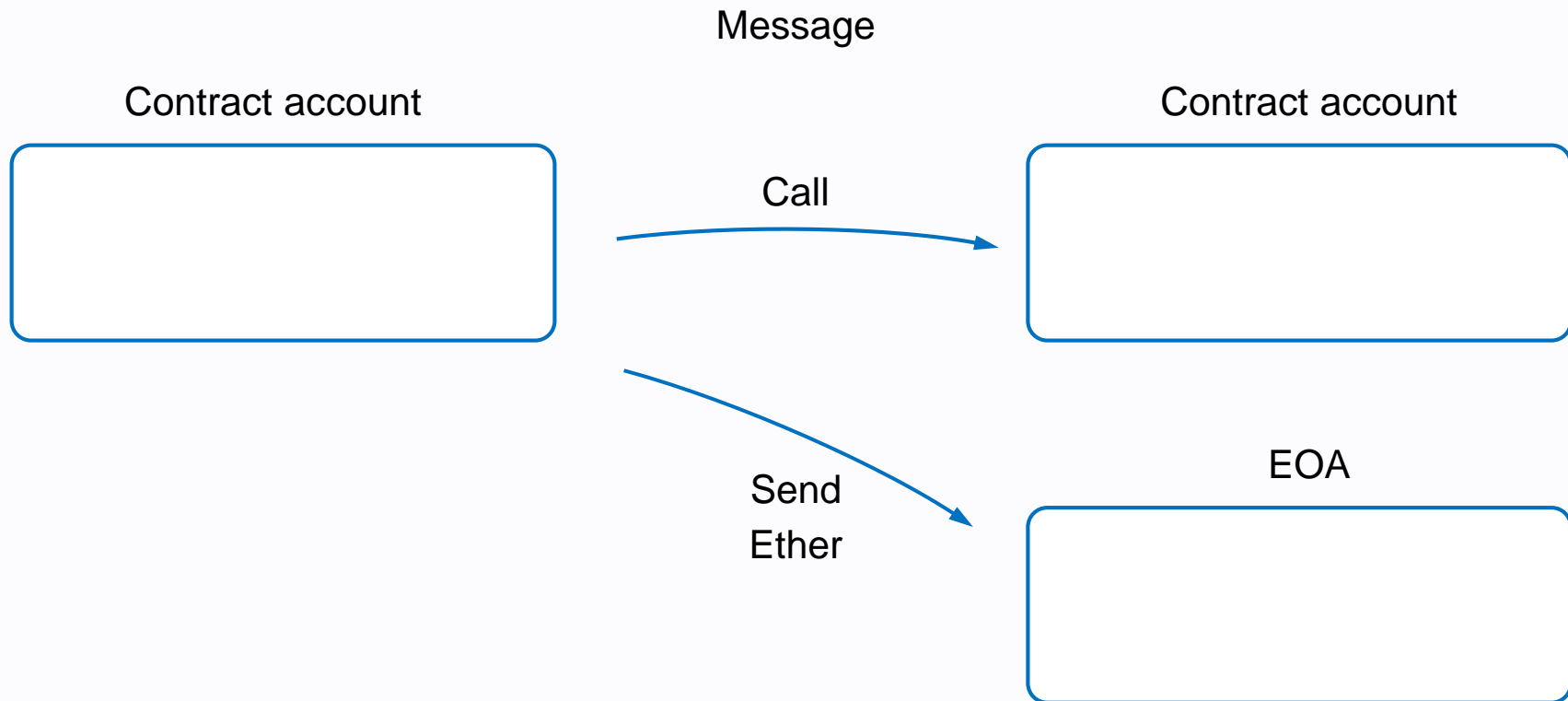
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References : [C14], [C6], [2], [C17], [8], [S15], [S16], [S11]

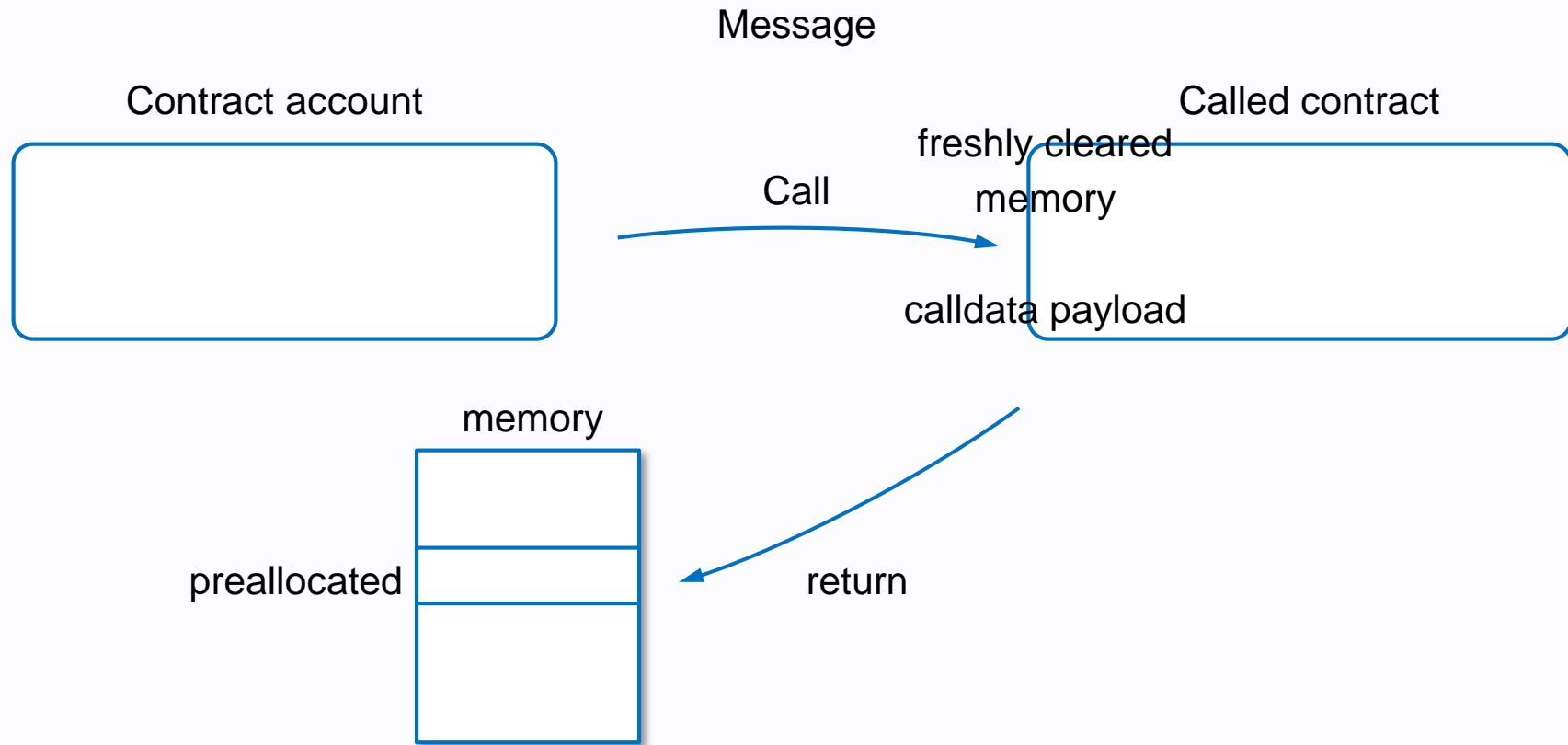
## 2. Virtual machine

Message call

# Message call



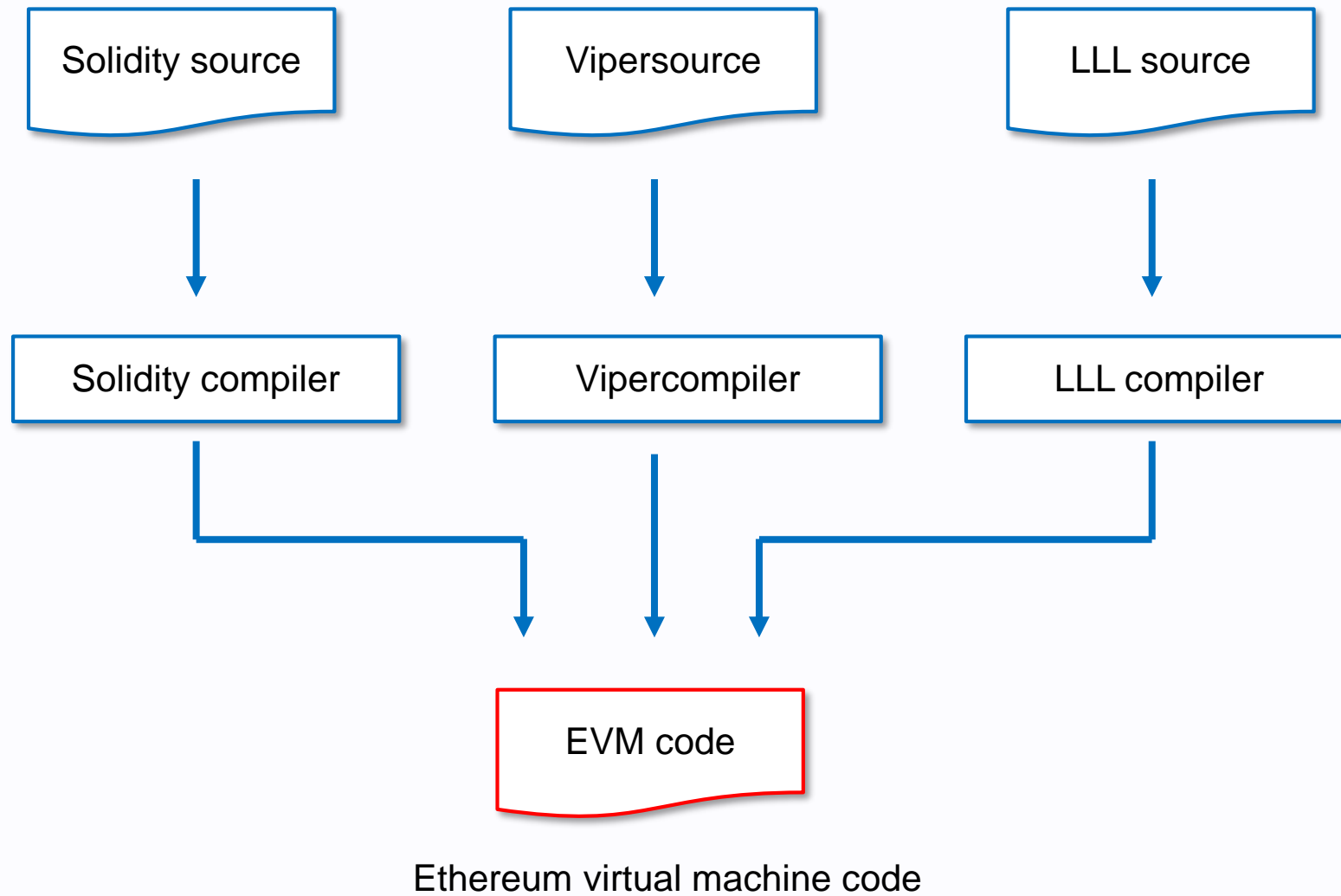
# Message call



## 2. Virtual machine

Code generation

# EVM code generation





## 2. Virtual machine

Gas

# Gas

All programmable computation is subject to fees.  
Programmable computation has cost in terms of gas.

- creating contracts
- making message calls
- utilizing and accessing storage
- executing operation on the VM

a measurement roughly equivalent to computational steps. [E2]

## 2. Virtual machine

WASM

# WASM

WASM  
next generation VM

### 3. References

# References

- [E1] Ethereum Yellow Paper  
ETHEREUM: A SECURE DECENTRALISED GENERALISED TRANSACTION LEDGER  
<https://ethereum.github.io/yellowpaper/paper.pdf>
  
- [E2] Glossary  
<https://github.com/ethereum/wiki/wiki/Glossary>
  
- [E3] White Paper  
A Next-Generation Smart Contract and Decentralized Application Platform  
<https://github.com/ethereum/wiki/wiki/White-Paper>
  
- [E4] Design Rationale  
<https://github.com/ethereum/wiki/wiki/Design-Rationale>
  
- [E5] Ethereum Development Tutorial  
<https://github.com/ethereum/wiki/wiki/Ethereum-Development-Tutorial>
  
- [E6] Ethereum Introduction  
<https://github.com/ethereum/wiki/wiki/Ethereum-introduction>
  
- [E7] Solidity Documentation  
<https://media.readthedocs.org/pdf/solidity/develop/solidity.pdf>  
<https://solidity.readthedocs.io/en/develop/>
  
- [E8] Web3 JavaScript app API for 0.2x.x  
<https://github.com/ethereum/wiki/wiki/JavaScript-API>

# References

- [W1] Awesome Ethereum Virtual Machine  
<https://github.com/pirapira/awesome-ethereum-virtual-machine>
- [W2] Diving Into The Ethereum VM  
<https://blog.qtum.org/diving-into-the-ethereum-vm-6e8d5d2f3c30>
- [W3] Stack Exchange: Ethereum block architecture  
<https://ethereum.stackexchange.com/questions/268/ethereum-block-architecture/6413#6413>

# References

- [C1] Go Ethereum  
<https://github.com/ethereum/go-ethereum>

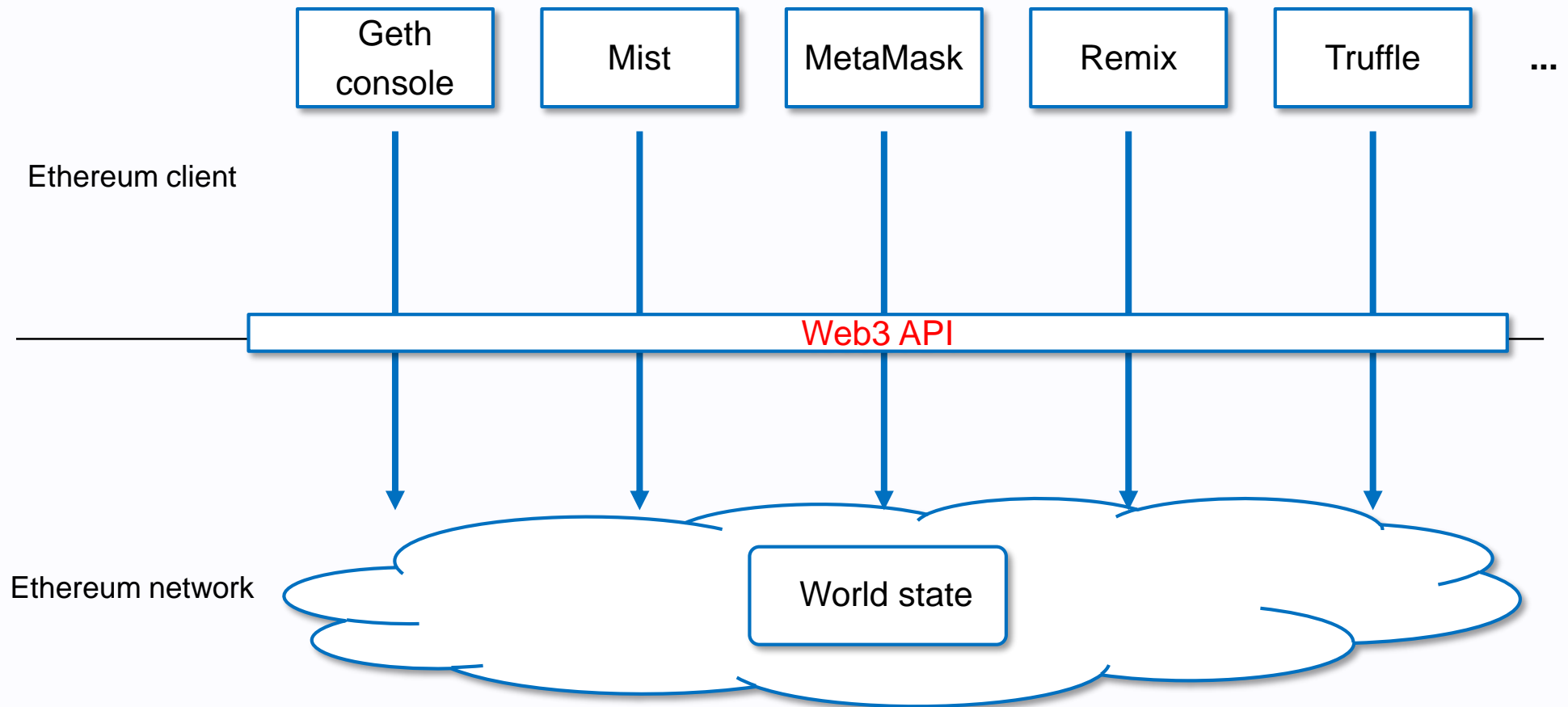


## Appendix A

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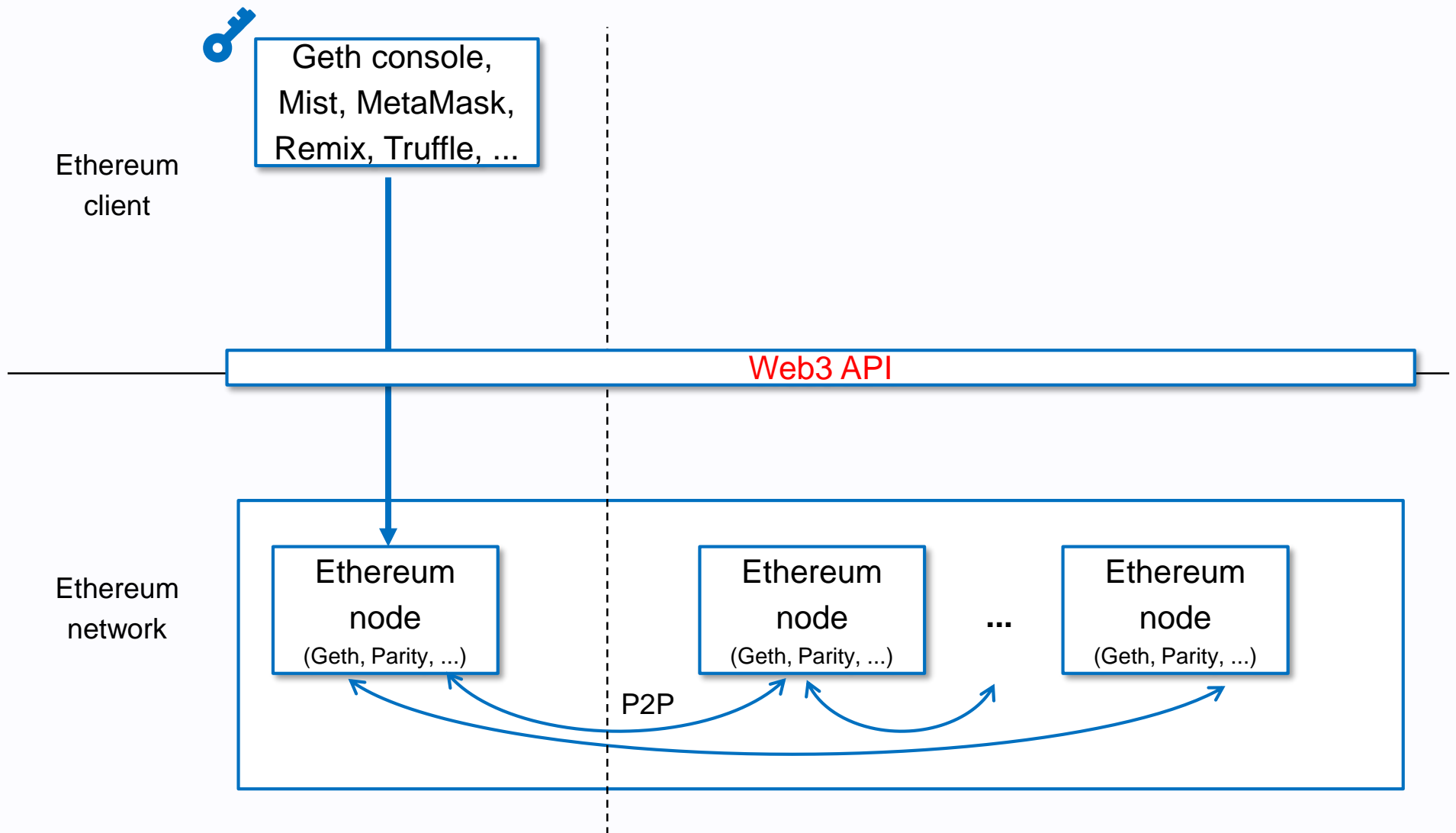
### Web3 API

# Web3 API and client



Ethereum clients access to Ethereum network via Web3 API.

# Web3 API and client

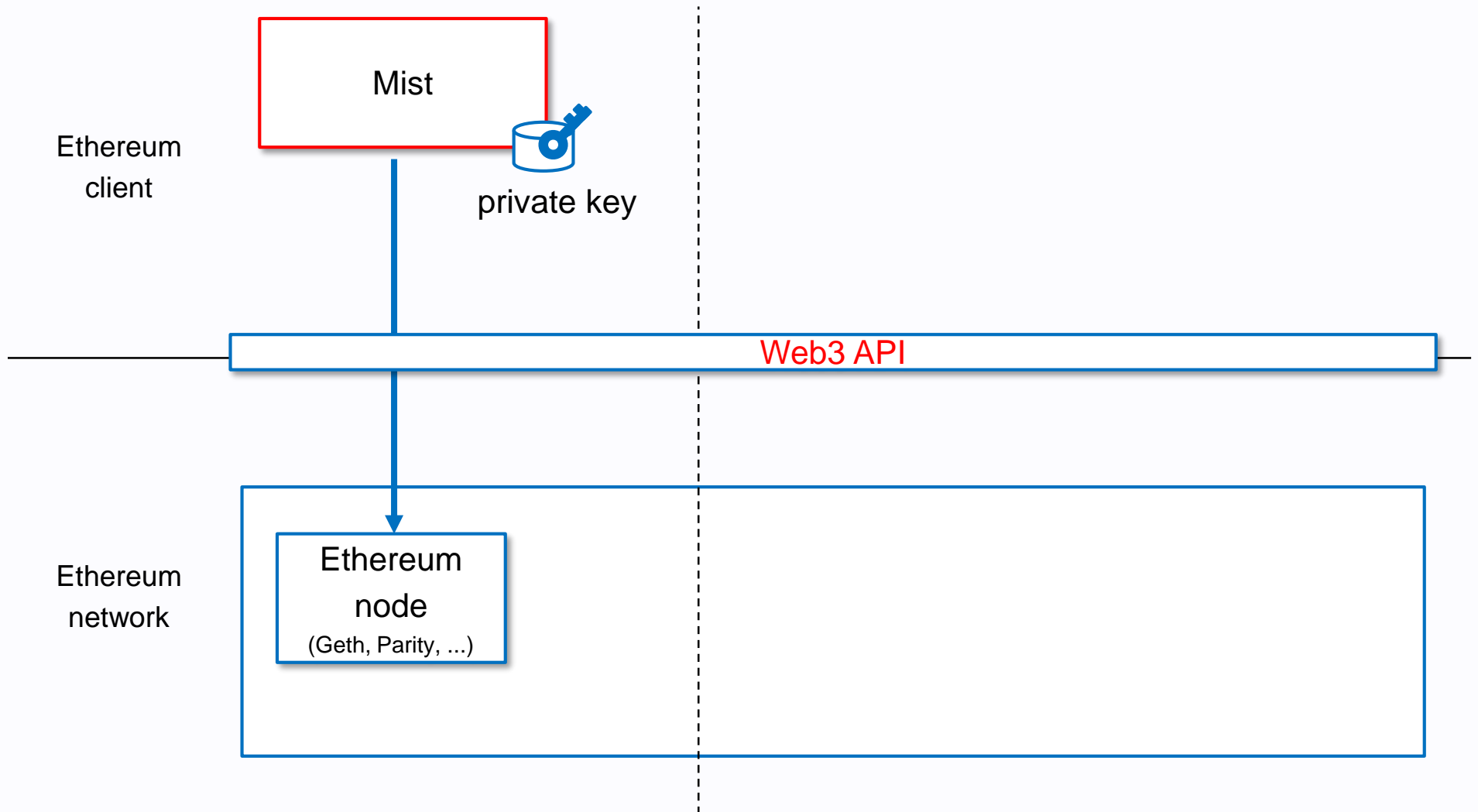


Ethereum clients access to Ethereum network via Web3 API.

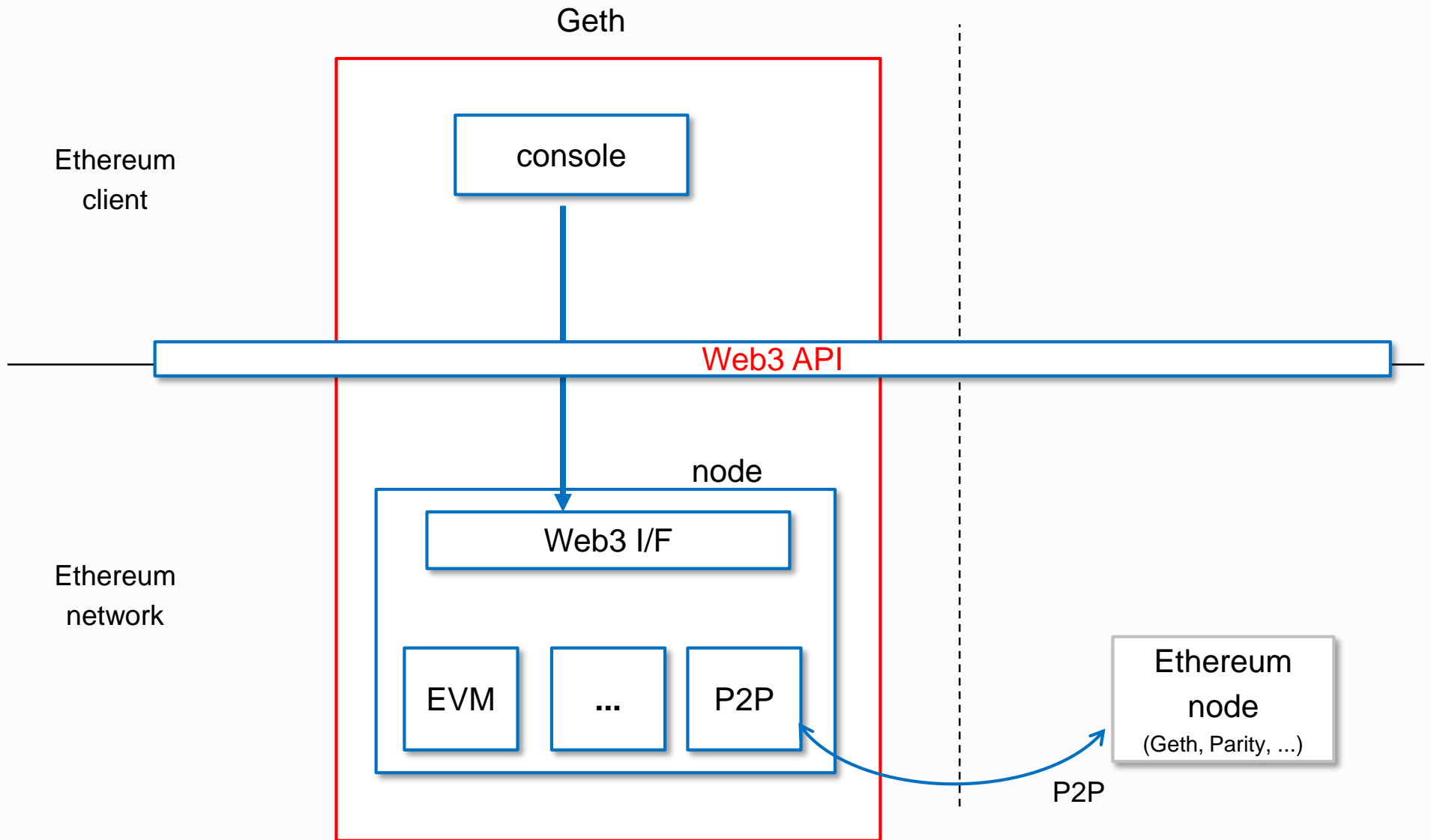
## Appendix A

### Ethereum implementations

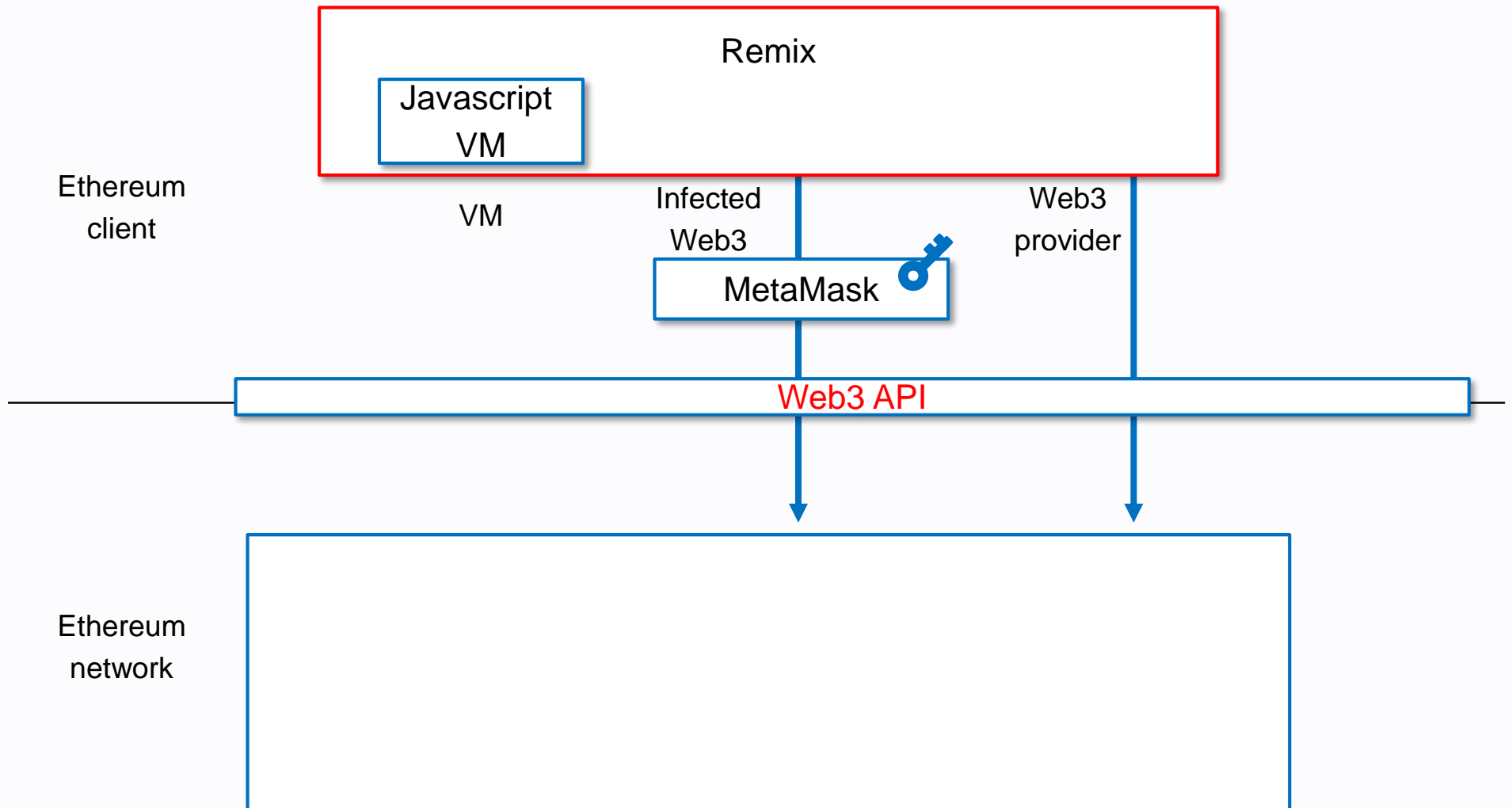
# Mist



# Geth



# Remix





# Truffle

