

Automatic Control

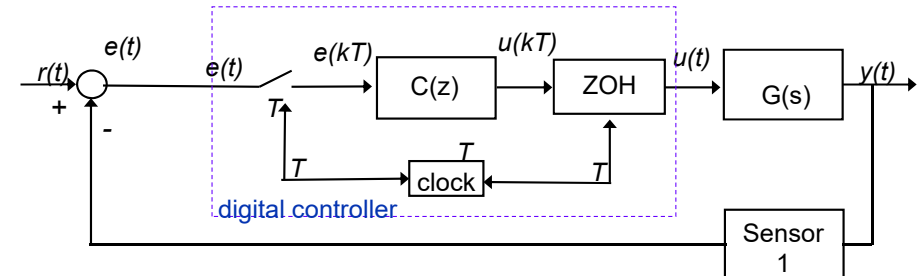
Introduction to digital control

• Digital control design by emulation



Digital control design by emulation: preliminary steps

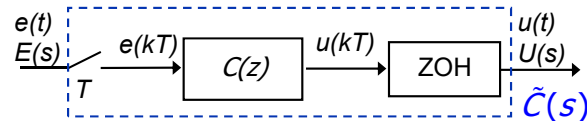
Now, the problem of designing the controller $C(z)$ for the sampled data control system structure below is considered.



A possible procedure is suggested by the structure of the digital controller → ...

Digital control design by emulation: preliminary steps

The structure of the digital controller is



and it is equivalent to an analog controller with transfer function

$$\tilde{C}(s) = G_{\text{ZOH}}(s)C(e^{sT})\frac{1}{T}$$

Control performance depends on the frequency response (e.g. the Nichols plot) of the (analog) loop function

$$\tilde{L}(s) = \tilde{C}(s)G(s), \quad \tilde{C}(s) = \underbrace{G_{\text{ZOH}}(s)}_{D/A} C(e^{sT}) \underbrace{\frac{1}{T}}_{A/D}$$

$$\rightarrow \tilde{L}(j\omega) = \tilde{C}(j\omega)G(j\omega) = G_{\text{ZOH}}(j\omega)C(e^{j\omega T})\frac{1}{T}G(j\omega)$$

Digital control design by emulation: preliminary steps

Thus, $C(z)$ should be designed so that the frequency response of the analog controller

$$\tilde{C}(s) = G_{\text{ZOH}}(s)C(e^{sT})\frac{1}{T}$$

$$\rightarrow \tilde{C}(j\omega) = G_{\text{ZOH}}(j\omega)C(e^{j\omega T})\frac{1}{T} = \frac{1 - e^{-j\omega T}}{j\omega} C(e^{j\omega T})\frac{1}{T} =$$

$$\approx Te^{-j\omega T/2} C(e^{j\omega T})\frac{1}{T} = e^{-j\omega T/2} C(e^{j\omega T}), \omega \in [0, \omega_N]$$

satisfies frequency domain constraints imposed, e.g., on the Nichols plane.

Digital control design by emulation: definition

$$\tilde{C}(j\omega) \approx e^{-j\omega T/2} C(e^{j\omega T}), \omega \in [0, \omega_N]$$

... on the other hand, the loop-shaping continuous-time approach is a well established control design procedure.

In this regard, a suitable procedure for the design of the digital tf $C(z)$ consists in

1. looking for an analog controller $C_0(s)$ such that the loop function frequency response

$$\tilde{L}_0(j\omega) = \tilde{C}(j\omega)G(j\omega) \approx e^{-j\omega T/2} C_0(j\omega)G(j\omega), \omega \in [0, \omega_N]$$

satisfies the control requirements

2. computing a discrete equivalent $C(z)$ of $C_0(s)$ so that

$$C(e^{j\omega T}) \approx C_0(j\omega), \omega \in [0, \omega_N]$$

This design procedure is referred to as **emulation**.

Digital control design by emulation: overview

The main steps of the **emulation** design method are

1. Choose a suitable sampling period T
2. Design an analog controller $C_0(s)$ using any method (e.g. frequency response loop-shaping)
3. Obtain through a suitable discretization procedure the digital controller $C(z)$
4. Verify through simulation time domain performance
5. If needed, design anti-aliasing filter
6. If needed, perform modifications of $C_0(s)$ in order to meet the requirements

Sampling time selection

Digital controllers design by emulation: details

Choice of a suitable sampling period T

Several aspects have to be taken into account.

- Sampling Theorem

the sampling frequency must at least equal twice the value of the highest significant frequency in the signal.

→ in a feedback system the highest significant frequency of all the signals in the loop is the system bandwidth ω_B , therefore a lower bound for the sampling frequency ω_s is

$$\omega_s > 2 \omega_B \rightarrow \omega_s > 3 \omega_c$$

(for a well damped system: $\omega_B \geq 1.5 \omega_c$)

Digital controllers design by emulation: details

Choice of a suitable sampling period T

- ZOH filter:

the D/A converter introduces a phase lag of

$$\angle G_{ZOH}(j\omega) = -\frac{\omega T}{2}$$

In order to limit the phase lag at the cross-over frequency to small values (e.g. -10° to -5°) the following bound should be considered

$$\angle G_{ZOH}(j\omega) = -\frac{\omega T}{2} = -\frac{\omega\pi}{\omega_s}$$

$$-10^\circ < -\frac{\omega\pi}{\omega_s} < -5^\circ \rightarrow 0.087 \text{ rad} < \frac{\omega\pi}{\omega_s} < 0.17 \text{ rad}$$

$$\xrightarrow{\omega=\omega_c} 18\omega_c < \omega_s < 36\omega_c$$

Digital controllers design by emulation: details

Choice of a suitable sampling period T

- Suitable sampling of the transient:

a suitable number of samples must be considered for describing the behavior of the transient phase

typically 10 to 50 samples can be employed for a suitable description of the transient behavior

for a well damped system (e.g. $\zeta = 0.6$) we have

$$t_{s,1\%}\omega_c \approx 5.5 \rightarrow \frac{t_{s,1\%}}{50} < T < \frac{t_{s,1\%}}{10}$$

$$\frac{0.11}{\omega_c} < T < \frac{0.55}{\omega_c} \rightarrow \frac{0.11}{\omega_c} < \frac{2\pi}{\omega_s} < \frac{0.55}{\omega_c}$$

$$\xrightarrow{\omega=\omega_c} 11.42\omega_c < \omega_s < 57.2\omega_c$$

Digital controllers design by emulation: details

Choice of a suitable sampling period T

- Limitations \rightarrow "small" T

- improve conversion accuracy and destabilizing effects
- increase the cost of A/D and D/A devices (more than linearly)
- emphasizes quantization effects

a trade-off among such criteria is made for choosing T

A final practical rule of thumb is to choose T within the interval

$$20\omega_c < \omega_s < 50\omega_c \rightarrow 0.12/\omega_c < T < 0.3/\omega_c$$

provided that the chosen values satisfy HW and cost limitations

Analog controller design

Digital controllers design by emulation: details

Design an analog controller $C_0(s)$ using any method

If it is known in advance that the controller has to be realized through a digital computer, the design of $C_0(s)$ is performed taking into account the dynamics introduced by the A/D and the ZOH D/A transfer function

$$G_{A/D}(s) = \frac{1}{T} \quad G_{ZOH}(s) = \frac{1 - e^{-Ts}}{s}$$

Thus, the analog controller design should be performed considering as plant transfer function:

$$G'(s) = \frac{1}{T} G(s) \frac{1 - e^{-Ts}}{s}$$

Remark : in MatLab environment, use the 1st order Padé approximation:

$$G_{ZOH}(s) = \frac{1 - e^{-Ts}}{s} \approx \frac{T}{1 + sT/2} \rightarrow G'(s) = G(s) \frac{1}{1 + sT/2}$$

Discretization of continuous-time LTI systems

Introduction to discretization methods

In order to derive a discrete equivalent of the analog controller $C_0(s)$, the sampling transformation defined by $z = e^{sT}$, can be employed

In particular, the discrete time controller tf $C(z)$, can be found using the inverse of the sampling transformation:

$$s = \frac{1}{T} \log(z) \Rightarrow C(z) = C_0\left(\frac{1}{T} \log(z)\right)$$

However, the obtained $C(z)$ is not provided by a real rational function, and thus it does not represent a finite dimensional discrete time system

Introduction to discretization methods

A real rational form for $C(z)$ can be obtained through a procedure which aims at computing a linear discrete time system whose input-output relationship is made up by a finite difference equation of the form

$$u(k) = -a_{n-1}u(k-1) - a_{n-2}u(k-2) - \dots - a_0u(k-n) + b_n e(k) + b_{n-1}e(k-1) + \dots + b_0e(k-n)$$

which approximates the behavior of the continuous time dynamical system represented by the tf $C_0(s)$

Such procedure is referred to as **discretization** of continuous time dynamical systems

Discretization through the bilinear transformation

The simplest and most effective way to discretize a continuous time controller $C_0(s)$ is to consider its state space representation

$$\begin{cases} \dot{x}(t) = Ax(t) + Be(t) \\ u(t) = Cx(t) + De(t) \end{cases} \rightarrow C_0(s) = \frac{U(s)}{E(s)} = C(sI - A)^{-1}B + D$$

and suppose to integrate it through a numeric method, such that:

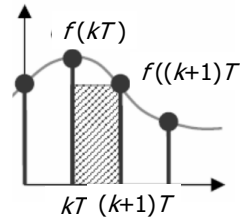
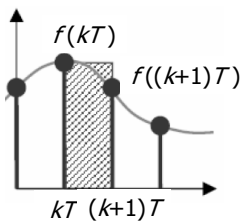
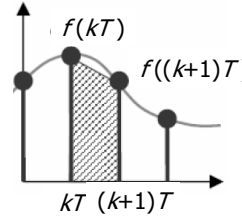
$$\begin{aligned} \int_{kT}^{(k+1)T} \dot{x}(t) dt &= \int_{kT}^{(k+1)T} Ax(t) dt + \int_{kT}^{(k+1)T} Be(t) dt \\ \rightarrow x^*(k+1) - x^*(k) &= A \int_{kT}^{(k+1)T} x(t) dt + B \int_{kT}^{(k+1)T} e(t) dt \end{aligned}$$

\uparrow
 $x(kT) = x^*(k)$
 $x((k+1)T) = x^*(k+1)$

Discretization through the bilinear transformation

The integral $\int_{kT}^{(k+1)T} f(t) dt$ represents the area under the function $f(t)$ between $t = kT$ and $t = (k+1)T$. Such an area can be approximated as

$$\int_{kT}^{(k+1)T} f(t) dt \approx [(1 - \alpha)f(kT) + \alpha f((k+1)T)]T, 0 \leq \alpha \leq 1$$

$\alpha = 1 \rightarrow$ Backward Euler	$\alpha = 0 \rightarrow$ Forward Euler	$\alpha = 0.5 \rightarrow$ Tustin
		
Area = $T f((k+1)T)$	Area = $T f(kT)$	Area = $0.5 T (f((k+1)T) + f(kT))$

Discretization through the bilinear transformation

Thus we have

$$x^*(k+1) - x^*(k) = A[(1 - \alpha)x^*(k) + \alpha x^*(k+1)]T + B[(1 - \alpha)e^*(k) + \alpha e^*(k+1)]T$$

$$\rightarrow \left(\frac{1}{T} \frac{z-1}{\alpha z + 1 - \alpha} I - A \right) X^*(z) = B E^*(z)$$

$$\rightarrow X^*(z) = \left(\frac{1}{T} \frac{z-1}{\alpha z + 1 - \alpha} I - A \right)^{-1} B E^*(z)$$

$$u^*(k) = Cx^*(k) + De^*(k) \rightarrow U^*(z) = CX^*(z) + DE^*(z)$$

$$\rightarrow U^*(z) = \underbrace{\left[C \left(\frac{1}{T} \frac{z-1}{\alpha z + 1 - \alpha} I - A \right)^{-1} B + D \right]}_{C(z)} E^*(z)$$

Discretization through the bilinear transformation

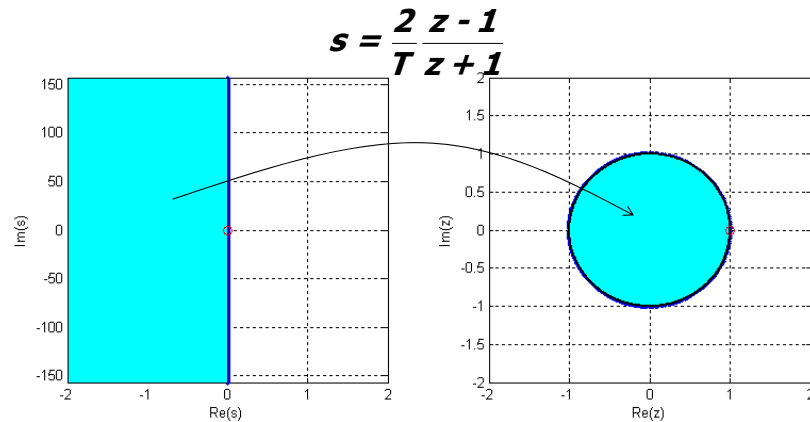
Then:

$$\left. \begin{aligned} C_0(s) &= C(sI - A)^{-1}B + D \\ C(z) &= C \left(\frac{1}{T} \frac{z-1}{\alpha z + 1 - \alpha} I - A \right)^{-1} B + D \\ &\rightarrow C(z) = C_0(s) \Big|_{s=\frac{1}{T} \frac{z-1}{\alpha z + 1 - \alpha}} \end{aligned} \right\} \rightarrow \begin{aligned} s &= \frac{1}{T} \frac{z-1}{\alpha z + 1 - \alpha} \\ &\text{bilinear transformation} \end{aligned}$$

$$\text{In particular } \rightarrow \begin{cases} \alpha = 0.5 \rightarrow \text{bilinear transformation} & s = \frac{2}{T} \frac{z-1}{z+1} = \frac{2}{T} \frac{1-z^{-1}}{1+z^{-1}} \\ \alpha = 1 \rightarrow \text{Backward Euler} & s = \frac{1}{T} \frac{z-1}{z} = \frac{1-z^{-1}}{T} \\ \alpha = 0 \rightarrow \text{Forward Euler} & s = \frac{z-1}{T} = \frac{1}{T} \frac{1-z^{-1}}{z^{-1}} \end{cases}$$

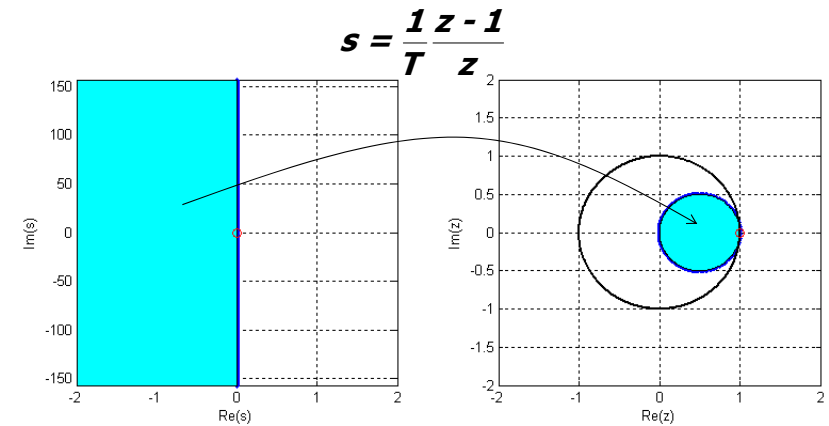
Discretization through the bilinear transformation

Mapping properties of the Tustin approximation



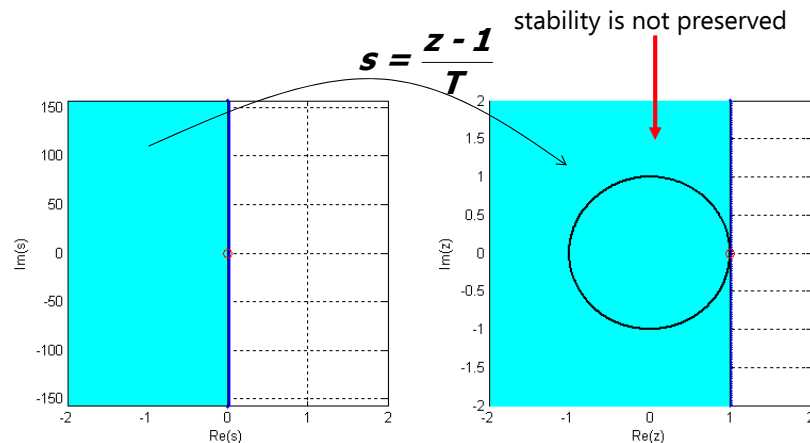
Discretization through the bilinear transformation

Mapping properties of the backward Euler approximation



Discretization through the bilinear transformation

Mapping properties of the forward Euler approximation



Discretization through the bilinear transformation

Example: discretize the following analog controller with $T = 1$ s

$$C_0(s) = 2 \frac{1 + \frac{s}{0.1}}{1 + \frac{s}{10}}, K_c = \lim_{s \rightarrow 0} C_0(s) = 2$$

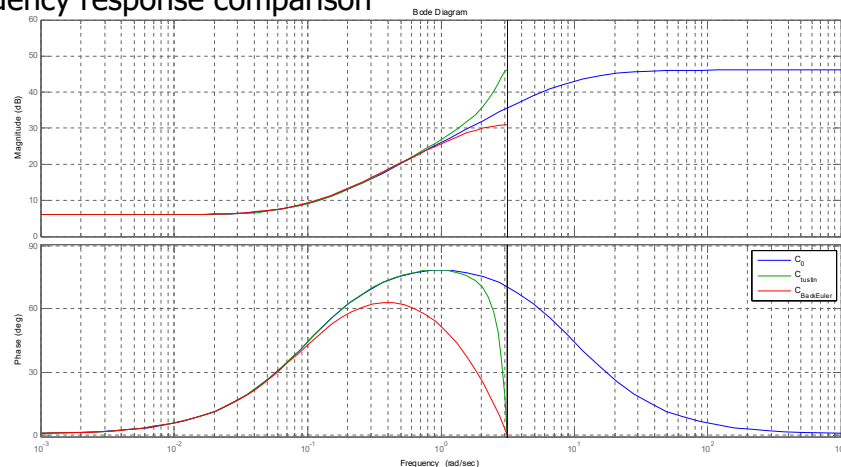
→ **Tustin :** $C(z) = \frac{35z - 31.67}{z + 0.6}, K_c = \lim_{z \rightarrow 1} C(z) = 2$

→ **Backward Euler :** $C(z) = \frac{20z - 18.18}{z - 0.09}, K_c = \lim_{z \rightarrow 1} C(z) = 2$

→ **Forward Euler :** $C(z) = \frac{200z - 180}{z + 9} \rightarrow \text{unstable}$

Discretization through the bilinear transformation

Frequency response comparison



The Tustin approximation provides the best frequency response matching of the analog controller

Discretization through zero-pole-gain matching

An alternative approach for analog controller discretization can be obtained through the application of the sampling transformation $z = e^{sT}$ to zeros and poles of the analog controller $C_0(s)$

Given the zpk form

$$C_0(s) = K \frac{(s - q_1)(s - q_2) \dots (s - q_n)}{s^r (s - p_1)(s - p_2) \dots (s - p_{n-r})}$$

The **matched pole-zero (MPZ)** method: the discretized digital controller $C(z)$ is obtained as:

$$C(z) = K_d \frac{(z - e^{q_1 T})(z - e^{q_2 T}) \dots (z - e^{q_n T})}{(z - 1)^r (z - e^{p_1 T})(z - e^{p_2 T}) \dots (z - e^{p_{n-r} T})}, K_d = K \frac{\prod_{i=1}^n q_i \prod_{i=1}^{n-r} (1 - e^{p_i T})}{\prod_{i=1}^{n-r} p_i \prod_{i=1}^n (1 - e^{q_i T})}$$

Remark: K_d is computed in order to guarantee that $C_0(s)$ and $C(z)$ have the same (generalized) DC gain

Discretization through zero-pole-gain matching

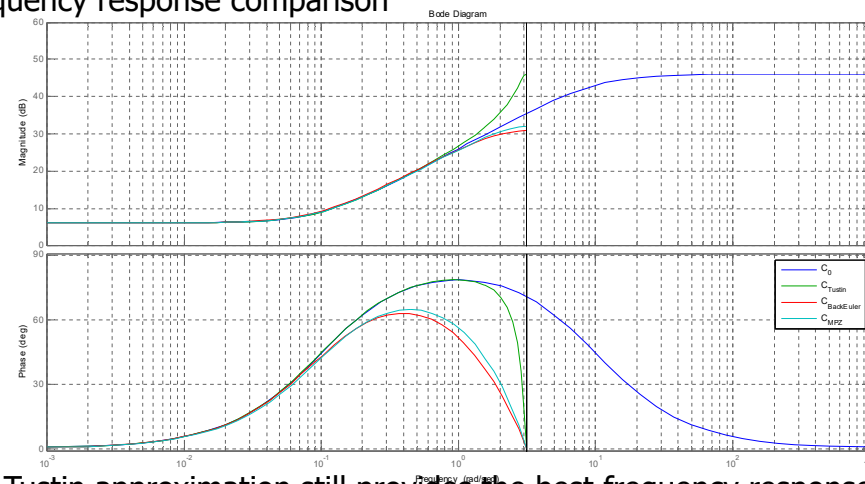
Example: ($T = 1$ s)

$$C_0(s) = 2 \frac{1 + \frac{s}{0.1}}{1 + \frac{s}{10}} = 200 \frac{s + 0.1}{s + 10}, K_c = \lim_{s \rightarrow 0} C_0(s) = 2$$

MPZ: $C(z) = 21.0157 \frac{z - e^{-0.1}}{z - e^{-10}} = 21.0157 \frac{z - 0.9048}{z - 4.54 \cdot 10^{-5}}, \lim_{z \rightarrow 1} C(z) = 2$

Discretization through the bilinear transformation

Frequency response comparison



The Tustin approximation still provides the best frequency response matching of the analog controller



Discretization of a transfer function with MatLab

```
>> C = c2d(C_0, T, METHOD)
```

```
METHOD:
'zoh'      Zero-order hold
'foh'      First-order hold
'imp'      Impulse-invariant
→ 'tustin' Tustin
'prewarp'  Tustin approximation with frequency
           prewarping
→ 'matched' Matched pole-zero
```

The default is 'zoh' when METHOD is omitted

Anti-aliasing filter design

Digital controllers design by emulation: details

Antialiasing filter design

- A Butterworth filter of the form

$$F(s) = \frac{1}{B_n(s)} \rightarrow s' = \frac{s}{\omega_f}$$

order n	$B_n(s)$
1	$(s'+1)$
2	$(s'^2 + 1.414s' + 1)$
3	$(s'^2 + s' + 1)(s' + 1)$
4	$(s'^2 + 0.765s' + 1)(s'^2 + 1.848s' + 1)$

can be employed as antialiasing filter

Digital controllers design by emulation: details

Antialiasing filter design

It is required that the cut-off frequency ω_f satisfies:

$$\omega_B < \omega_f < \frac{\omega_s}{2}$$

The filter can be designed by imposing a given attenuation γ (e.g. $\gamma = 0.1$) for all the frequencies greater than a given ω_h (e.g. $\omega_h = \omega_s/2$):

$$|F(j\omega)| \leq \gamma, \forall \omega \geq \omega_h$$

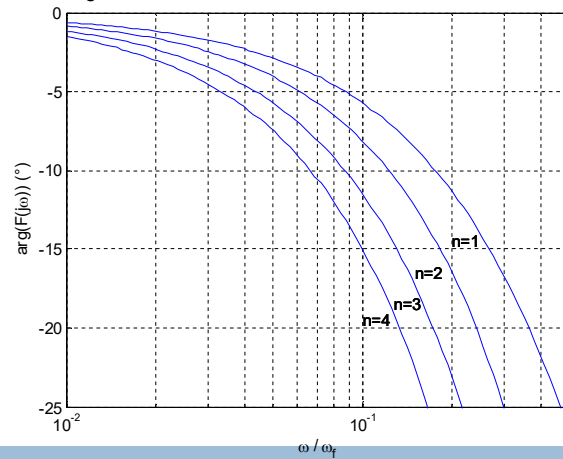
We have

$$\omega_f = \omega_h \left(\frac{\gamma^2}{1 - \gamma^2} \right)^{\frac{1}{2n}} \underset{\gamma \ll 1}{\approx} \omega_h \gamma^{\frac{1}{n}}$$

Digital controllers design by emulation: details

Antialiasing filter design

The order n of the filter is chosen in order to limit the phase lag introduced at ω_c using the normalized filter phase diagram



Digital controllers design by emulation: details

Example: Design a Butterworth filter to introduce an attenuation $\gamma = 0.1$ at $\omega_h = 10$ rad/s for a control system with $\omega_c = 0.2$ rad/s

$$n = 2 \rightarrow$$

$$\omega_f = \omega_h \gamma^{1/n} = 10 \cdot 0.1^{1/2} = 3.16$$

$$\omega_c / \omega_f = 0.2 / 3.16 = 0.063$$

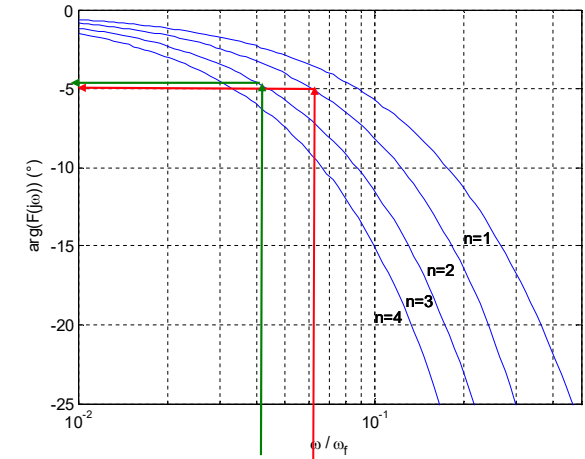
$$\rightarrow \varphi_{lag} \approx -5^\circ$$

$$n = 3 \rightarrow$$

$$\omega_f = \omega_h \gamma^{1/n} = 10 \cdot 0.1^{1/3} = 4.64$$

$$\omega_c / \omega_f = 0.2 / 4.64 = 0.043$$

$$\rightarrow \varphi_{lag} \approx -4.9^\circ$$



Butterworth filters

Butterworth filter computation with MatLab

BUTTER Butterworth digital and analog filter design.

`[B,A] = BUTTER(N,Wf)` designs an Nth order lowpass digital Butterworth filter and returns the filter coefficients in length $N+1$ vectors B (numerator) and A (denominator). The coefficients are listed in descending powers of z . The cutoff frequency Wf must be $0.0 < Wf < 1.0$, with 1.0 corresponding to half the sample rate.

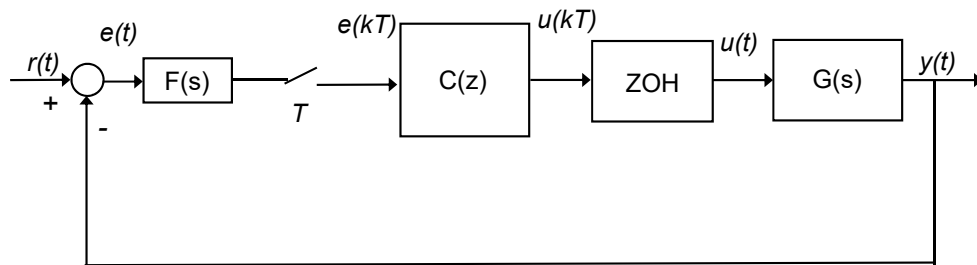
`[B,A] = BUTTER(N,Wf,'s')`, design analog Butterworth filters. In this case, Wf is in [rad/s] and it can be greater than 1.0.

Performance evaluation through simulation

Digital controllers design by emulation: details

Verify through simulation time domain performance

- Simulink simulation is needed to verify time domain performance



Remark: in the Simulink scheme, blocks corresponding to A/D and D/A may be omitted