Detection and Mitigation of Corrupted Information in Distributed Model Predictive Control Based on Resource Allocation

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https://git.io/JEFGW

Context

- Energy Distribution System
- Traffic management
- Heat distribution
- Water distribution
- ...

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- Geographically distributed
- Coupled by constraints (energy)
- Optimization objectives
  - Energy
  - User satisfaction
  - ...
- Solution → Model Predictive Control

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Model Predictive Control

Objective: Find control input sequence that optimizes an objective function

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\begin{aligned}
\underset{\boldsymbol{u}(k:k+N_p-1|k)}{\text{optimize}} \quad & J(\boldsymbol{x}(k), \boldsymbol{u}(k)) \\
\text{subject to} \quad & \left.\begin{array}{c} \boldsymbol{x}(\xi+1) = f(\boldsymbol{x}(\xi), \boldsymbol{u}(\xi)) \\ g_i(\boldsymbol{x}(\xi), \boldsymbol{u}(\xi)) \leq 0 \\ h_j(\boldsymbol{x}(\xi), \boldsymbol{u}(\xi)) = 0 \end{array}\right\} \begin{array}{l} \forall \xi \in \{1, \dots, N_p\} \\ \forall i \in \{1, \dots, m\} \\ \forall j \in \{1, \dots, p\} \end{array}
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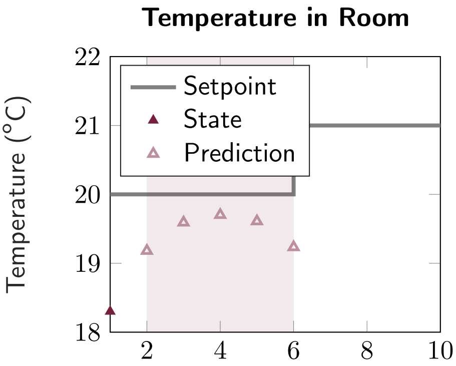
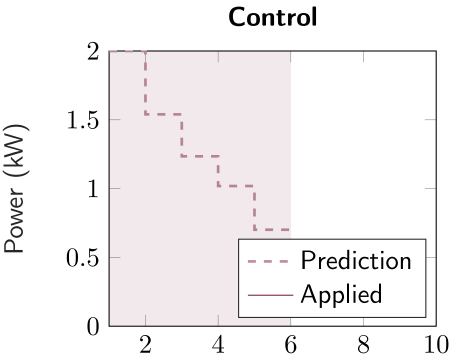
Model Predictive Control

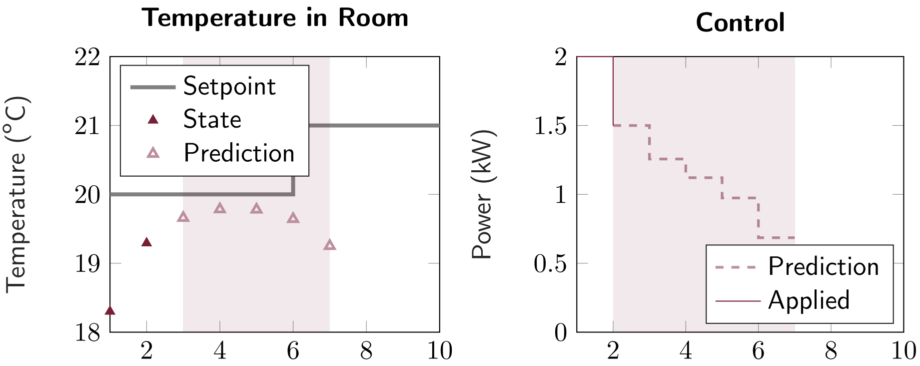
Find optimal control sequence



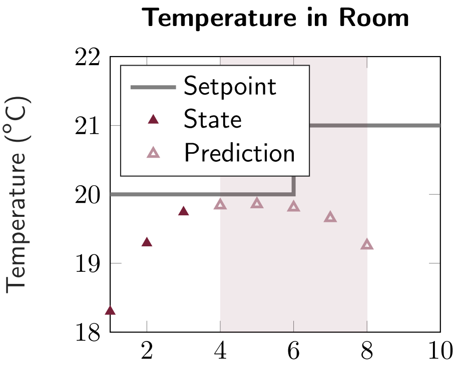


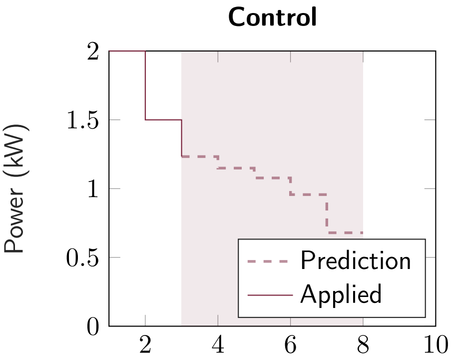
Model Predictive Control

Find optimal control sequence, apply first element



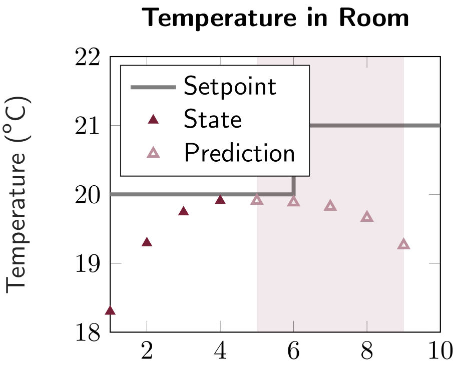
Find optimal control sequence, apply first element, rinse repeat

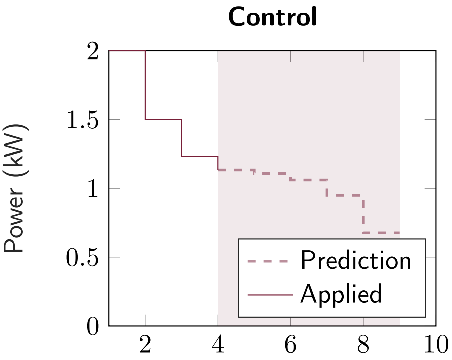




Model Predictive Control

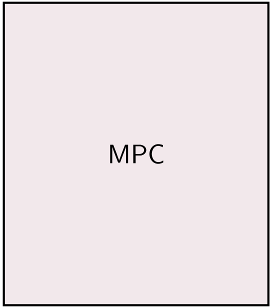
Find optimal control sequence, apply first element, rinse repeat $\rightarrow$ Receding Horizon





Distributed Model Predictive Control

- Problem: Complexity depends on N_p, m, p and sizes of $\boldsymbol{x}$ and $\boldsymbol{u}$
- Solution: Divide and Conquer



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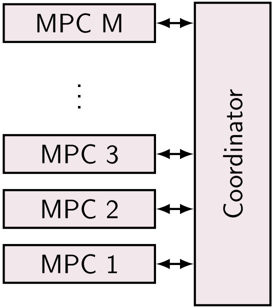

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\begin{array}{cl}
\underset{\boldsymbol{u}_i(k:k+N_p-1|k)}{\text{minimize}} & \overbrace{\sum_{i=1}^{M} \underbrace{\sum_{j=1}^{N_p} \|\boldsymbol{v}_i(k+j|k)\|_{Q_i}^2 + \|\boldsymbol{u}_i(k+j-1|k)\|_{R_i}^2}_{J_i(k)}}^{J_G(k)} \\
\text{subject to} & \left.\begin{array}{c} \boldsymbol{x}_i(k+1) = A_i \boldsymbol{x}_i(k) + B_i \boldsymbol{u}_i(k) \\ \sum_{i=1}^{M} \Gamma_i \boldsymbol{u}_i(k) = \boldsymbol{u}_{\max} \end{array}\right\} \begin{array}{l} \forall i \in \{1, \ldots, M\} \\ \forall j \in \{1, \ldots, N_p\} \end{array}
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Distributed Model Predictive Control

Quantity Decomposition | Resource Allocation

$$\left.\begin{aligned} J_i^\star(\boldsymbol{\theta}_i(k)) = &\underset{\boldsymbol{u}_i(k:k+N_p-1|k)}{\text{minimize}} \; J_i(k) \\ \text{s.t.} \quad &\boldsymbol{x}_i(k+1) = A_i\boldsymbol{x}_i(k) + B_i\boldsymbol{u}_i(k) \\ &\Gamma_i\boldsymbol{u}_i(k) = \boldsymbol{\theta}_i(k) : \boldsymbol{\lambda}_i(k) \end{aligned}\right\} \begin{aligned} &\forall i \in \{1, \ldots, M\} \\ &\forall j \in \{1, \ldots, N_p\} \end{aligned}$$

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\right\}
\begin{aligned}
&\forall i \in \{1,\dots,M\} \\
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$$
\boldsymbol{\theta}_i^{(p+1)} = \boldsymbol{\theta}_i^{(p)} + \rho\left(\boldsymbol{\lambda}_i^\star(\boldsymbol{\theta}_i^{(p)}) - \frac{1}{M}\sum_{j=1}^{M}\boldsymbol{\lambda}_j^\star(\boldsymbol{\theta}_j^{(p)})\right)
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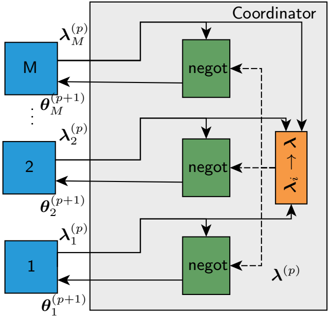

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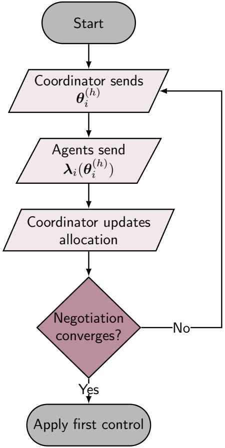

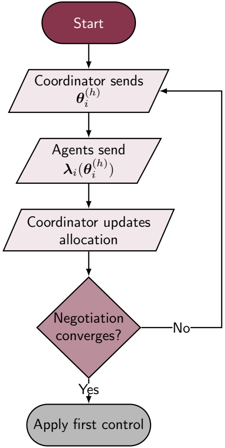
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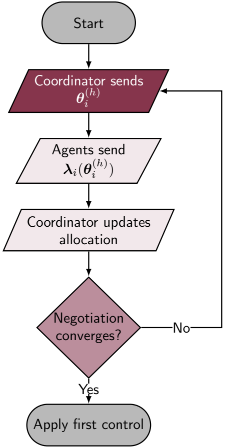
Coordinator sends $\boldsymbol{\theta}_i^{(h)}$
Agents send $\boldsymbol{\lambda}_i(\boldsymbol{\theta}_i^{(h)})$
Coordinator updates allocation
Negotiation converges?
No
Yes
Apply first control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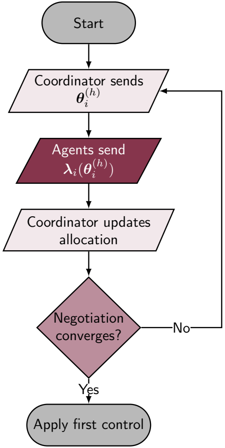

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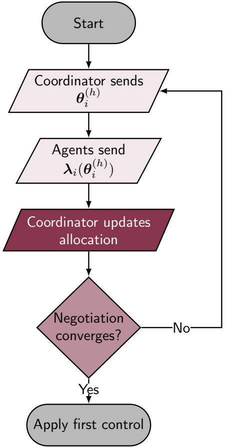

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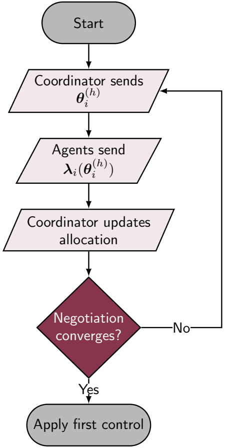

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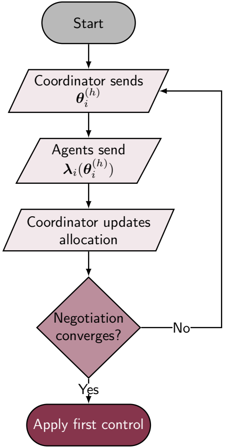

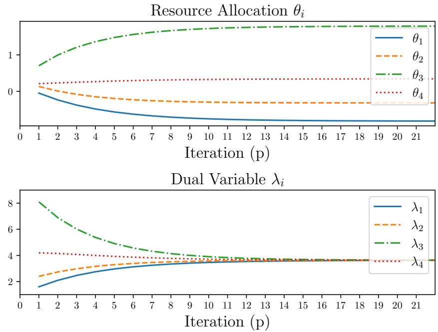

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What if agents send a non-agreed $\boldsymbol{\lambda}_i$?

Outline

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Outline

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1. Vulnerabilities in distributed MPC based on Resource Allocation
   - Attacks
   - Consequences
2. Securing the DMPC
   - Analysis of Subproblems
   - Detection Mechanism
   - Mitigation Mechanism
   - Complete Mechanism
3. Results

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Outline

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How can a non-cooperative agent attack?

- $\boldsymbol{\lambda}_i$ is the only interface with coordination
- Non-cooperative agent sends $\tilde{\boldsymbol{\lambda}}_i = \gamma_i(\boldsymbol{\lambda}_i)$

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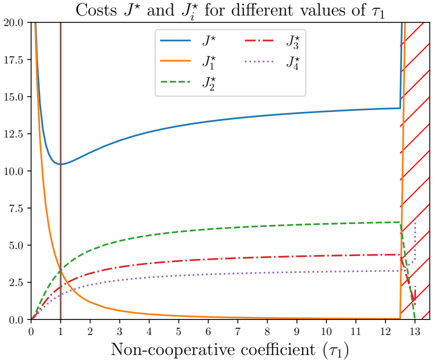
Example



4 distinct agents

- Agent 1 is non-cooperative
- It uses $\tilde{\boldsymbol{\lambda}}_1 = \gamma_1(\boldsymbol{\lambda}_1) = \tau_1 I \boldsymbol{\lambda}_1$

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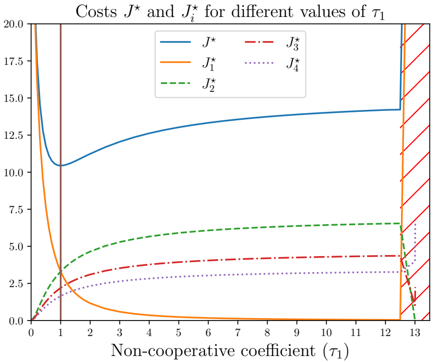
Example



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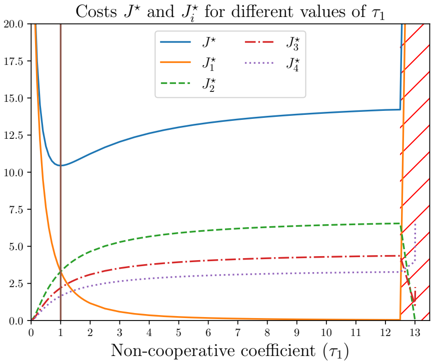

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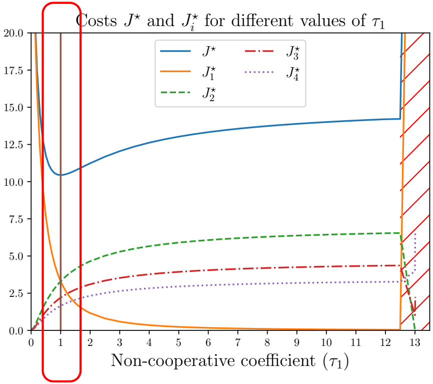

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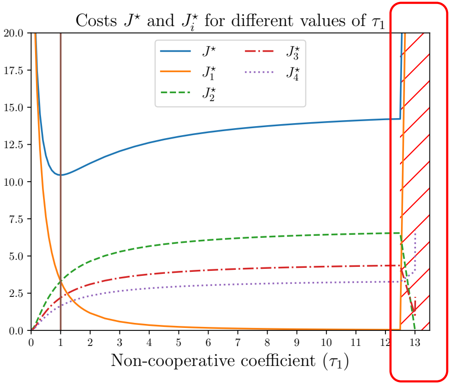

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Outline

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Quadratic Case

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Quadratic Case

$$\begin{aligned} \underset{\boldsymbol{U}_i(k)}{\text{minimize}} \quad & \overbrace{\frac{1}{2}\boldsymbol{U}_i(k)^T H_i \boldsymbol{U}_i(k) + \boldsymbol{f}_i(k)^T \boldsymbol{U}_i(k)}^{J_i(\boldsymbol{\theta}_i)} \\ \text{s.t.} \quad & \Theta_i \boldsymbol{U}_i(k) = \boldsymbol{\theta}_i : \boldsymbol{\lambda}_i \end{aligned}$$

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$$

$$\boldsymbol{\lambda}_i = -P_i \boldsymbol{\theta}_i - \boldsymbol{s}_i(k)$$

where $P_i = \left(\Theta_i H_i^{-1} \Theta_i^{\mathrm{T}}\right)^{-1}$ and $\boldsymbol{s}_i(k) = P_i \Theta_i H_i^{-1} \boldsymbol{f}_i(k)$

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Detection

Assumption

We know nominal $\bar{P}_i$

Assumption

Attacker chooses $\tilde{\boldsymbol{\lambda}}_i = \gamma_i(\boldsymbol{\lambda}_i) = T_i(k)\boldsymbol{\lambda}_i$
$-T_i(k)P_i\boldsymbol{\theta}_i - T_i(k)\boldsymbol{s}_i(k) \rightarrow -\tilde{P}_i\boldsymbol{\theta}_i - \tilde{\boldsymbol{s}}_i(k)$

- We can estimate[1] $\hat{P}_i$ and $\widehat{\tilde{\boldsymbol{s}}}_i(k)$ such as:

$$\tilde{\boldsymbol{\lambda}}_i = \gamma_i(\boldsymbol{\lambda}_i(\boldsymbol{\theta}_i)) = -\widehat{\tilde{P}}_i(k)\boldsymbol{\theta}_i - \widehat{\tilde{\boldsymbol{s}}}_i(k)$$

- If $\widehat{\tilde{P}}_i(k) \neq \bar{P}_i \rightarrow$ Attack

[1] Using Recursive Least Squares

Detection

Assumption

We know nominal $\bar{P}_i$

Assumption

Attacker chooses $\tilde{\boldsymbol{\lambda}}_i = \gamma_i(\boldsymbol{\lambda}_i) = T_i(k)\boldsymbol{\lambda}_i$
$-T_i(k)P_i\boldsymbol{\theta}_i - T_i(k)\boldsymbol{s}_i(k) \rightarrow -\tilde{P}_i\boldsymbol{\theta}_i - \tilde{\boldsymbol{s}}_i(k)$

- We can estimate[1] $\hat{P}_i$ and $\widehat{\tilde{\boldsymbol{s}}}_i(k)$ such as:

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- If $\widehat{\tilde{P}}_i(k) \neq \bar{P}_i \rightarrow$ Attack

[1] Using Recursive Least Squares

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About Estimation

- We estimate $\hat{P}_i$ and $\widehat{\tilde{\boldsymbol{s}}}_i(k)$ simultaneously using Recursive Least Squares
- Problem: Estimation during negotiation fails
  - Consecutive $\boldsymbol{\lambda}_i^p$ and $\boldsymbol{\theta}_i^p$ are linearly dependent $\rightarrow$ low input excitation
- Solution: Send sequence of random values of $\boldsymbol{\theta}_i$ until estimates converge

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Detection

In detail

- Error $E_i(k) = \|\widehat{\tilde{P}}_i(k) - \bar{P}_i\|_F$
- Create threshold ϵ_P
- Indicator $d_i \in \{0, 1\}$ detects the attack in agent i.
- $d_i = 1$ if $E_i(k) > \epsilon_P$, 0 otherwise

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Mitigation

- Main idea: Reconstruct $\boldsymbol{\lambda}_i$ and use in negotiation

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We suppose $\tilde{\boldsymbol{\lambda}}_i = \mathbf{0}$ only if $\boldsymbol{\lambda}_i = \mathbf{0}$, which implies $T_i(k)$ invertible.

- Estimate the inverse of $T_i(k)$

$$\widehat{T_i(k)^{-1}} = \bar{P}_i \widehat{\tilde{P}}_i(k)^{-1}$$

- Reconstruct $\boldsymbol{\lambda}_i$

$$\boldsymbol{\lambda}_{i\text{rec}} = \widehat{T_i(k)^{-1}} \tilde{\boldsymbol{\lambda}}_i = -\bar{P}_i \boldsymbol{\theta}_i - \widehat{T_i(k)^{-1}} \widehat{\tilde{\boldsymbol{s}}}_i(k)$$

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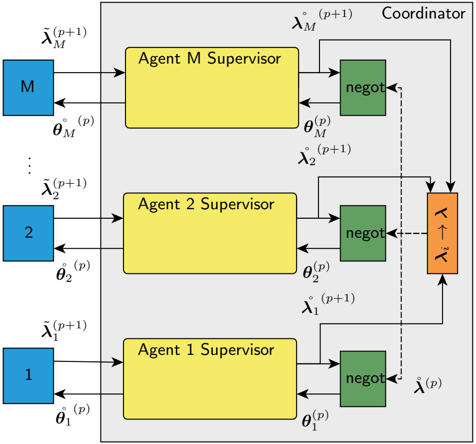
Complete Mechanism



Two phases:

1. Detect which agents are non-cooperative
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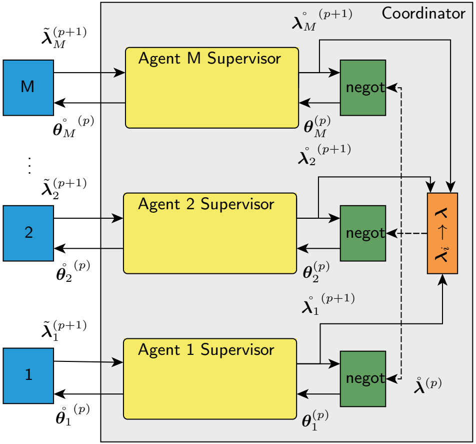
Complete Mechanism



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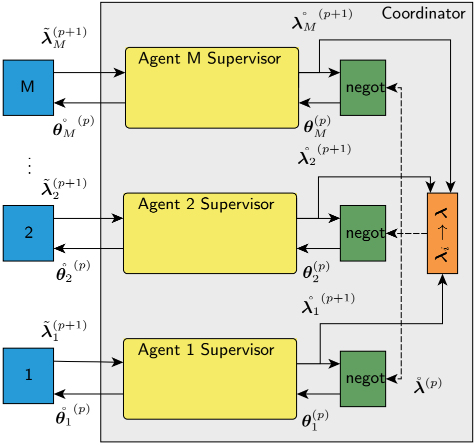

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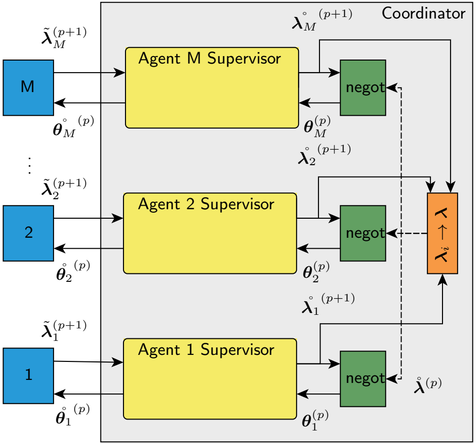

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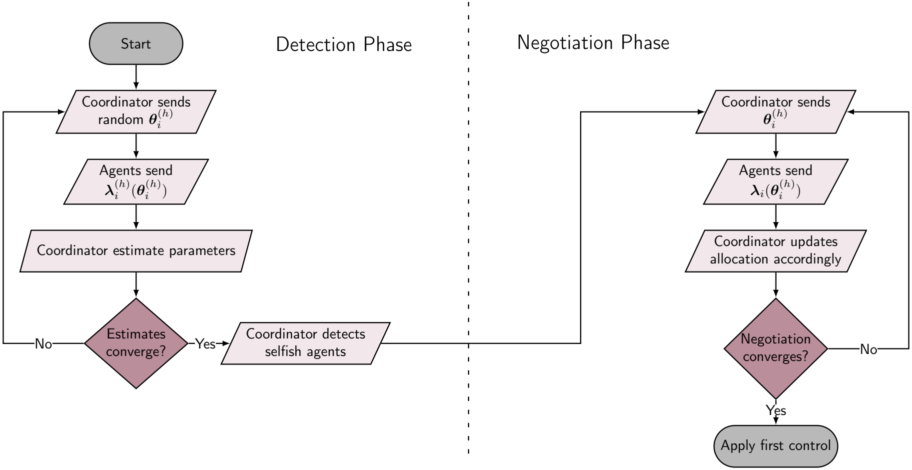
Secure DMPC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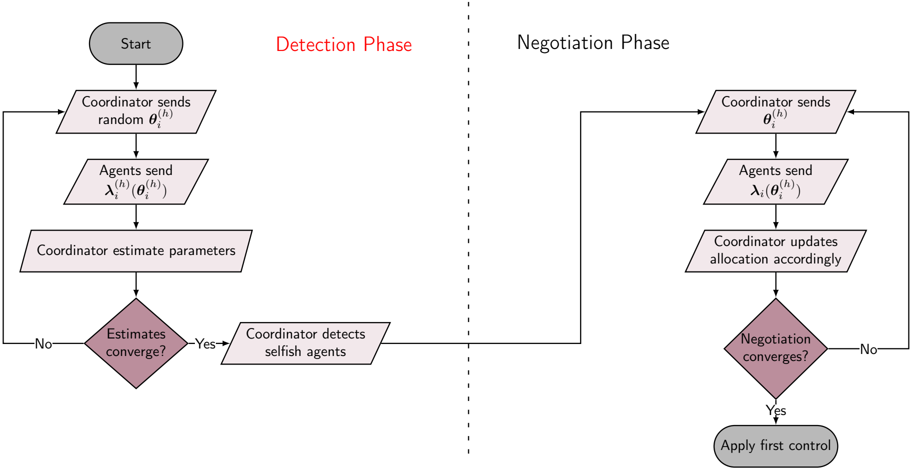

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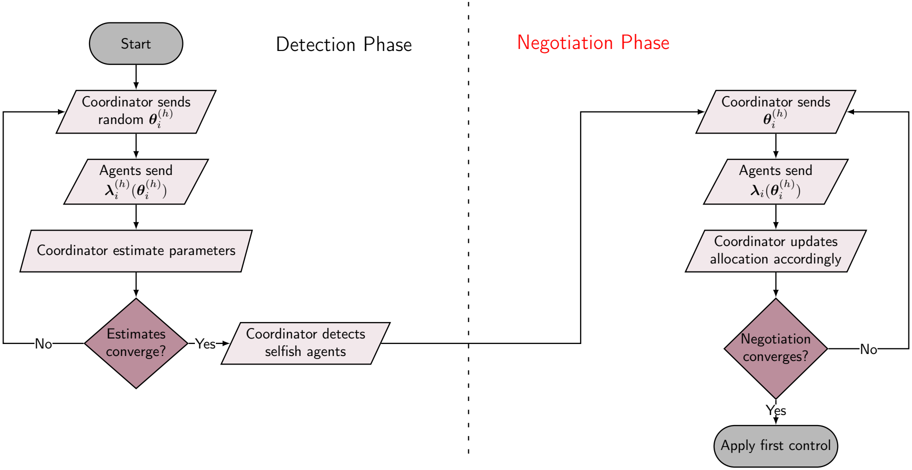

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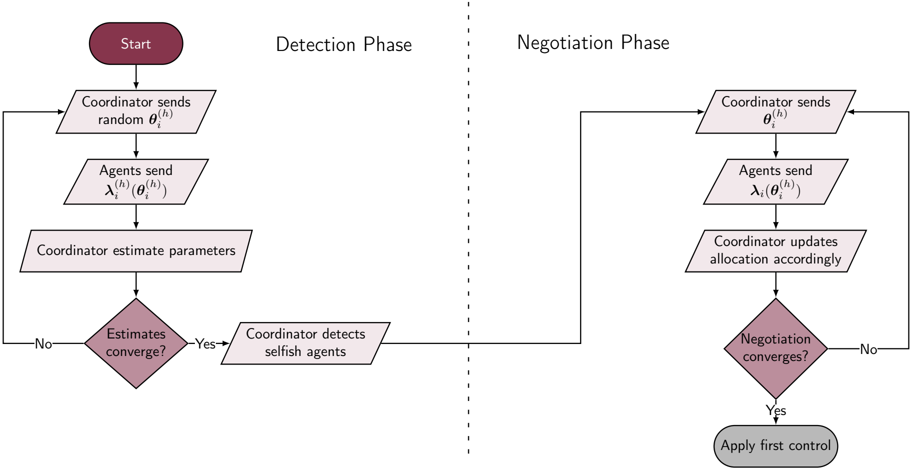
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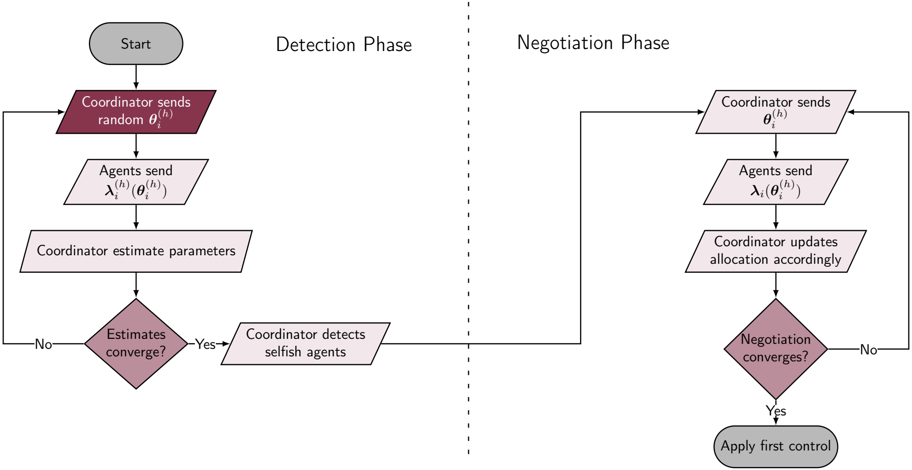

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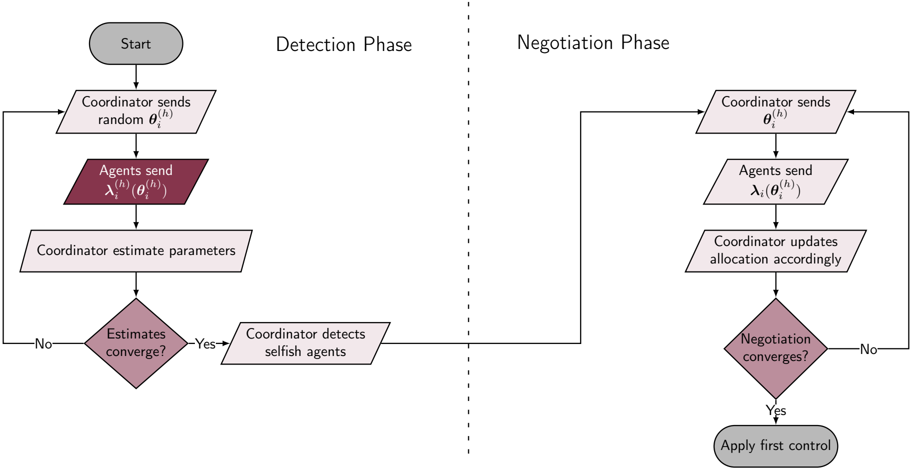

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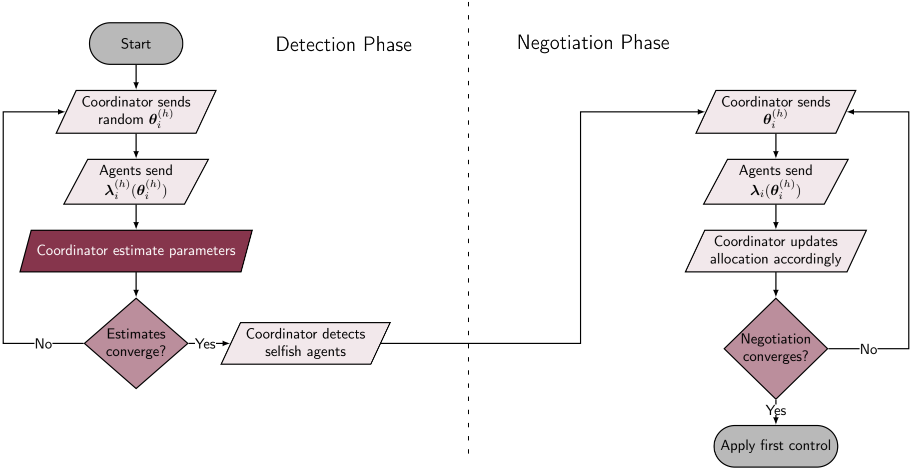
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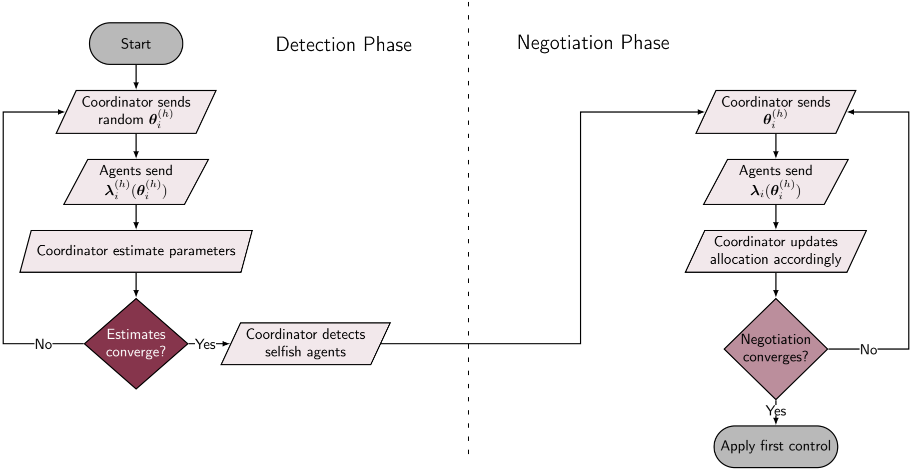
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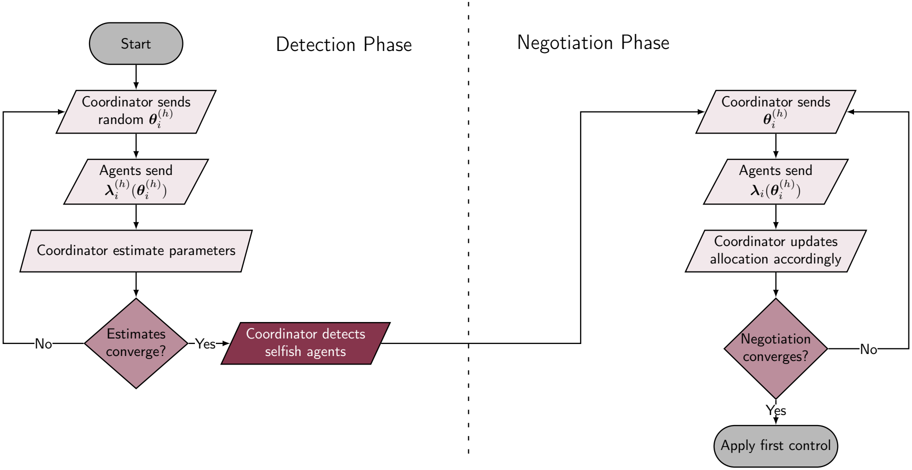

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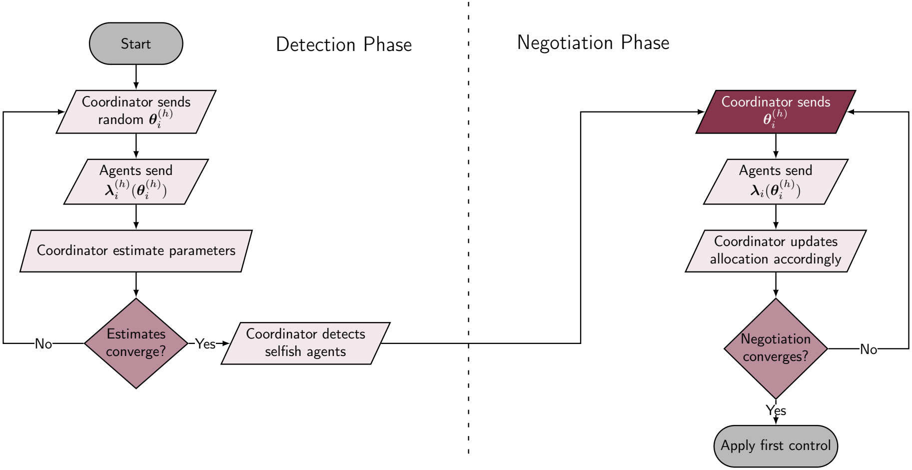
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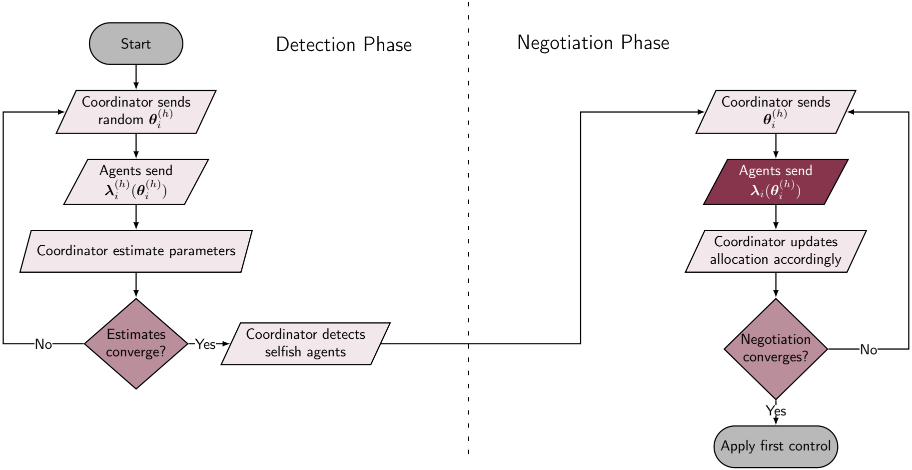
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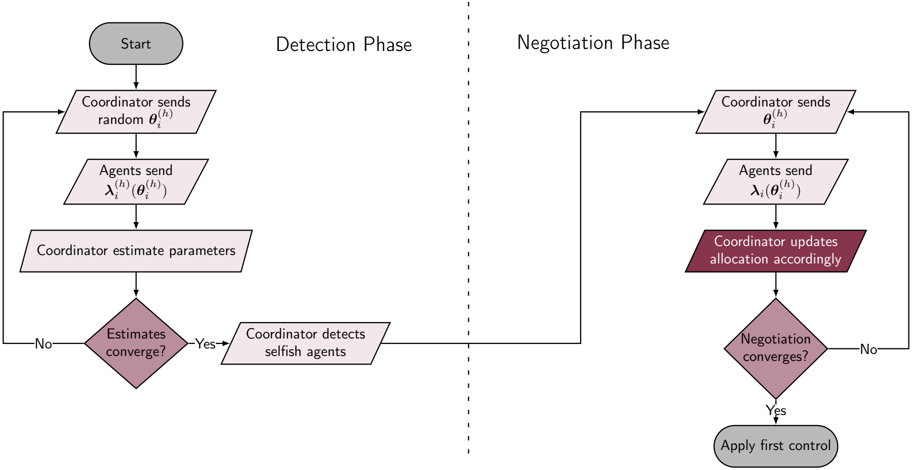
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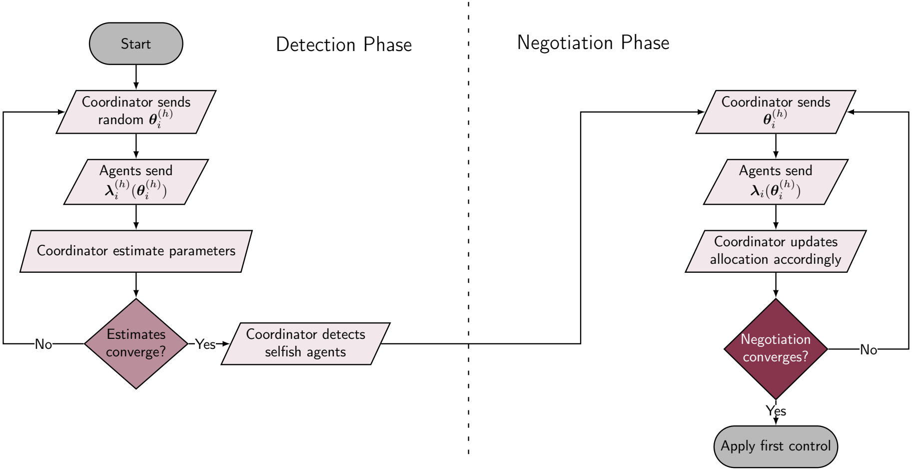

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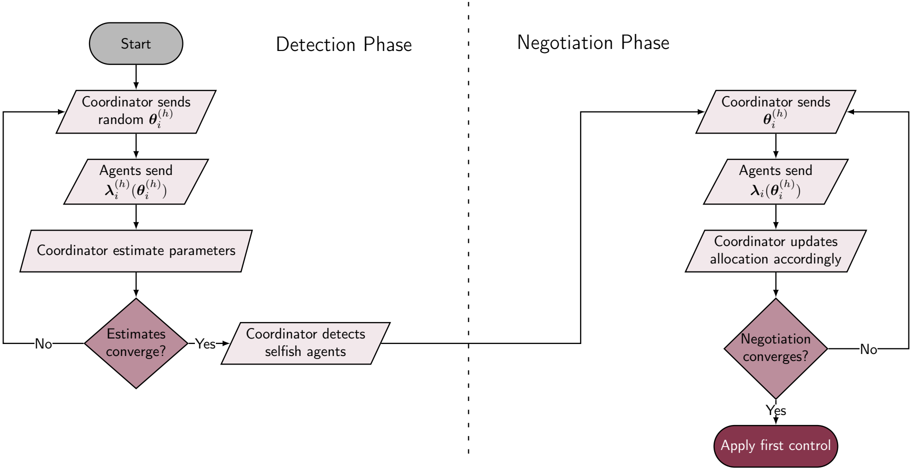
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Outline

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Example

Temperature Control of 4 Distinct Rooms Under Power Scarcity

- 4 distinct rooms modeled using 3R-2C
- Initial temperature under $20^{o}\mathrm{C}$
- Not enough power to achieve setpoint $\left(\sum_{i=1}^{4} \boldsymbol{u}_i(k) \leq 4\mathrm{kW}\right)$
- Simulated for a period of $5\mathrm{h}$
- ZOH $T_s = 0.25\mathrm{h}$
- 3 scenarios
  1. Nominal
  2. Agent I non cooperative from k>6 with T=4*I
  3. Similar but with secure algorithm

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Temperature Control of 4 Distinct Rooms Under Power Scarcity

- 4 distinct rooms modeled using 3R-2C
- Initial temperature under 20^oC
- Not enough power to achieve setpoint $\left(\sum_{i=1}^{4} \boldsymbol{u}_i(k) \leq 4\text{kW}\right)$
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- ZOH $T_s = 0.25\text{h}$
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Temperature Control of 4 Distinct Rooms Under Power Scarcity

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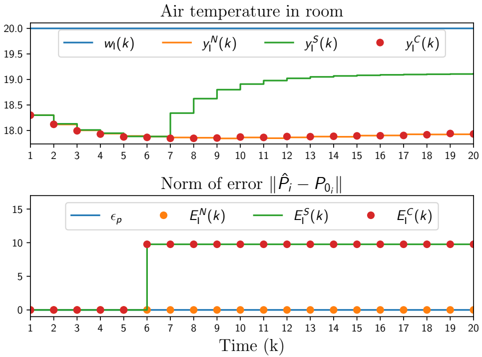
Results

Temporal



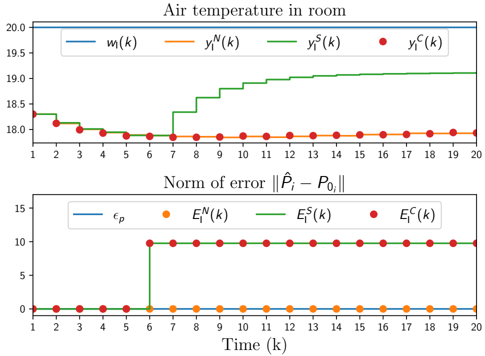
N Nominal

S Selfish behavior

C selfish behavior with Correction

Results

Temporal



N Nominal

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Results

Table 1: Comparison of costs J_i^N and J_G^N

Agent	Nominal	Selfish	Selfish + correction
I	103	64	104
II	73	91	73
III	100	123	101
IV	132	154	131
Global	408	442	409

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Results

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Summary

1. Resource allocation based DMPC is vulnerable to attacks.
2. Sub-problems' structure has time invariant parameters.
3. Attacks can be detected using these parameters.
4. Effects can be mitigated.

- Outlook
  - Inequality Constraints yield Hybrid behavior
  - Non-linear attack model

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For Further Reading I

J. M. Maestre, R. R. Negenborn *et al.*
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Springer, 2014, vol. 69.

P. Velarde, J. M. Maestre, H. Ishii, and R. R. Negenborn,
"Scenario-based defense mechanism for distributed model predictive control,"
2017 IEEE 56th Annual Conference on Decision and Control (CDC). IEEE, Dec 2017, pp. 6171–6176.

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Thank you!

Repository
https://github.com/Accacio/SysTol-21

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