

(Tax Invoice under Section 31 of GST Act)

ADITYA BIRLA MONEY LIMITED

Registered Office : INDIAN RAYON COMPOUND, VERAVAL - 362 266 GUJARAT

For any queries/complaints write to us at Email ID for Investor Grievance : care.stocksandsecurities@adityabirlacapital.com

Corporate Office : ALI CENTRE, NO.53, GREAMS ROAD CHENNAI- 600 006 Tel: 1800 270 7000 Fax: 044 - 28290835

Compliance Officer Name : MR.VISHWANATH RAMAN;Email Address : abm-chn.compliance@adityabirlacapital.com;Contact :1800 270 7000

Website :www.stocksandsecurities.adityabirlacapital.com

CIN Number : L65993GJ1995PLC064810

**GST Invoice No. : 2718190000070803**

Trading Code : P971018885 UCC Code : 1018885 Branch Code : P97

Exchange	Segment	Clearing No	Trading No.	CMBP ID	Sebi Registration Number
NSE	CASH		13470	IN557962	INZ000172636

Contract Note No. : 689549 Trade Date 07/09/2018

Mr SUHAS SUNIL DALVI
NO A 101 KRSHNA COMPLEX
SUBHASH ROAD-A
VILE PARLE E
MUMBAI

MAHARASHTRA - 400057**GST Identification No. :****Place of supply : MAHARASHTRA / 27**

Tel/Mobile : 9833446569

PAN of Client : AIVPD4717A

Sir/ Madam,

Transaction Summary

Settlement Number	Security/ Contract	Buy Qty	Buy Net Rate	Sell Qty	Sell Net Rate	Net Qty	Net Average Rate	Net Amt
NSE/NORMAL/2018172	ASAHI SONGWON COLORS			150	264.2733	-150	264.2733	-39,641.00
	BIRLA CORPORATION LT			104	752.7536	-104	752.7536	-78,286.37
	L I C HOUSING FINANC			44	493.5200	-44	493.5200	-21,714.88
								-139,642.25

(-) Indicates Sell

Net Payin and Payout Summary

Exchange & Segment	Pay In/ Pay Out Obligation	Securities Transaction Tax	Exchange Trans. Charges	SEBI turnover Fees	Stamp Duty	Other Charges	C/f Total	Settlement Date
NSE	-139,642.25	140.00	4.55	0.21	14.01	0.00	-139,483.48	11/09/2018
Total (Net)	-139,642.25	140.00	4.55	0.21	14.01	0.00	-139,483.48	

Exchange & Segment	B/f Total	CGST 9%	SGST 9%	IGST %	UTT %	Net amount Receivable / Payable	Total GST Taxable Amount
NSE	-139,483.48	38.16	38.16	0.00	0.00	-139,407.16	424.00
Total (Net)	-139,483.48	38.16	38.16	0.00	0.00	-139,407.16	424.00

Net Amount due to you : Rs. One Lac Thirty Nine Thousand Four Hundred Seven and Sixteen Paise Only.

(-) indicates Credit

Statement of Securities Transaction Tax

Statement of Security Transaction Tax For NSE Equities													
Security	Transaction Settled by Delivery Purchase				Transaction Settled by Delivery Sale				Transaction Settled by Other Than Delivery				Total STT
	Qty	Price	Value	STT	Qty	Price	Value	STT	Qty	Price	Value	STT	
ASAHI SONGWON CO					150	265.07	39760.50	39.76					39.76
BIRLA CORPORATIO					104	755.02	78522.08	78.52					78.52
L I C HOUSING FINAN					44	495.00	21780.00	21.78					21.78

Date : 07/09/2018

Place : CHENNAI

Yours faithfully,

For ADITYA BIRLA MONEY LIMITED

Authorized Signatory

HARIHARAN B

Dealing Office Address : 10TH FLOOR, R TECH PARK, NIRLON COMPLEX, OFF WESTERN EXPRESS HIGH WAY, GOREGAON EAST, MUMBAI -400063, MAHARASHTRA

PAN No. : AAACA7472K

GSTIN of Trading Member : 27AAACA7472K1ZF

Description of Service : -Brokerage and related securities and commodities services including commodity exchange services.

Service account Code (SAC) : 997152

(Tax Invoice under Section 31 of GST Act)

ADITYA BIRLA MONEY LIMITED

Registered Office : INDIAN RAYON COMPOUND, VERAVAL - 362 266 GUJARAT

For any queries/complaints write to us at Email ID for Investor Grievance : care.stocksandsecurities@adityabirlacapital.com

Corporate Office : ALI CENTRE, NO.53, GREAMS ROAD CHENNAI- 600 006 Tel: 1800 270 7000 Fax: 044 - 28290835

Compliance Officer Name : MR. VISHWANATH RAMAN; Email Address : abm-chn.compliance@adityabirlacapital.com; Contact : 1800 270 7000

Website : www.stocksandsecurities.adityabirlacapital.com

CIN Number : L65993GJ1995PLC064810



I / We have this day done by your order and on your account the following transactions:

Order No.	Order Time	Trade No.	Trade Time	Security/ Contract description	B/ S	Quantity	Gross Rate/ Trade \ Price Per unit (Rs)	Brokerage per Unit (Rs)	Net Rate per Unit (Rs)	Closing Rate per Unit(only for Derivatives) (Rs.)	Net Total (Before Levies) (Rs)	Remarks
Exchange : NSE	Segment : CM	Book Type : NORMAL	Settlement Date : 11/09/2018	Settlement Number : 2018172	Product : DEFAULT PRODUCT							
100000000204177	09:16:36	129450	09:20:14	ASAHI SONGWON COLORS	S	99	265.0000	0.7900	264.2100	0.0000	-26,156.79	
100000000204177	09:16:36	49978	09:16:36	ASAHI SONGWON COLORS	S	1	265.0000	0.7900	264.2100	0.0000	-264.21	
100000000204177	09:16:36	49977	09:16:36	ASAHI SONGWON COLORS	S	50	265.2000	0.8000	264.4000	0.0000	-13,220.00	
				ISIN : INE228I01012								
				Sell Average		150			264.2733		39,641.00	
100000000284899	09:17:38	83482	09:18:06	BIRLA CORPORATION LT	S	1	755.0000	2.2600	752.7400	0.0000	-752.74	
100000000284899	09:17:38	88576	09:18:19	BIRLA CORPORATION LT	S	34	755.0000	2.2600	752.7400	0.0000	-25,593.16	
100000000284899	09:17:38	72327	09:17:38	BIRLA CORPORATION LT	S	24	755.0500	2.2700	752.7800	0.0000	-18,066.72	
100000000284899	09:17:38	72328	09:17:38	BIRLA CORPORATION LT	S	35	755.0000	2.2600	752.7400	0.0000	-26,345.90	
100000000284899	09:17:38	75860	09:17:47	BIRLA CORPORATION LT	S	5	755.0000	2.2600	752.7400	0.0000	-3,763.70	
100000000284899	09:17:38	72326	09:17:38	BIRLA CORPORATION LT	S	5	755.1000	2.2700	752.8300	0.0000	-3,764.15	
				ISIN : INE340A01012								
				Sell Average		104			752.7536		78,286.37	
120000000209468	09:17:04	50041852	09:17:04	L I C HOUSING FINANC	S	44	495.0000	1.4800	493.5200	0.0000	-21,714.88	
				ISIN : INE115A01026								
				Sell Average		44			493.5200		21,714.88	

Net Amount due to you : Rs. One Lac Thirty Nine Thousand Four Hundred Seven and Sixteen Paise Only.

** Indicates trades were executed due to non-discharge of client's obligation towards Margin/MTM/Settlement or other debit

Details of trade-wise levies shall be provided on request.

Transactions mentioned in this contract note cum bill shall be governed and subject to the Rules, Bye-laws and Regulations and Circulars of the respective Exchanges on which trades have been executed and Securities and Exchange Board of India from time to time. The Exchanges provide Complaint Resolution, Arbitration and Appellate arbitration facilities at the Regional Arbitration Centres (RAC). The client may approach its nearest centre, details of which are available on respective Exchange's website. Please visit www.bseindia.com for BSE, www.mseil.in for MSEI, www.nseindia.com for NSE and www.useindia.com for USE.

Date : 07/09/2018

Place : CHENNAI

Yours faithfully,

For ADITYA BIRLA MONEY LIMITED

Authorized Signatory

HARIHARAN B

Dealing Office Address : 10TH FLOOR, R TECH PARK, NIRLON COMPLEX, OFF WESTERN EXPRESS HIGH WAY, GOREGAON EAST, MUMBAI -400063, MAHARASHTRA

PAN No. : AAACA7472K

GSTIN of Trading Member : 27AAACA7472K1ZF

Description of Service : -Brokerage and related securities and commodities services including commodity exchange services.

Service account Code (SAC) : 997152

(Tax Invoice under Section 31 of GST Act)

ADITYA BIRLA MONEY LIMITED

Registered Office : INDIAN RAYON COMPOUND, VERAVAL - 362 266 GUJARAT

For any queries/complaints write to us at Email ID for Investor Grievance : care.stocksandsecurities@adityabirlacapital.com

Corporate Office : ALI CENTRE, NO.53, GREAMS ROAD CHENNAI- 600 006 Tel: 1800 270 7000 Fax: 044 - 28290835

Compliance Officer Name : MR. VISHWANATH RAMAN; Email Address : abm-chn.compliance@adityabirlacapital.com; Contact : 1800 270 7000

Website : www.stocksandsecurities.adityabirlacapital.com

CIN Number : L65993GJ1995PLC064810

**Annexure-A**

As per Regulation 19(1) of Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2012 (SECC Regulations) which states that no person shall, directly or indirectly, acquire or hold equity shares of a recognised Stock Exchange or recognised Clearing Corporation unless he is a fit and proper person in terms of Regulation 19 and 20 of the said SECC Regulations.

Regulations 19 and Regulations 20 as prescribed by Board (herein referred as "SEBI") are reproduced below:

Eligibility for acquiring or holding shares.

19. (1) No person shall, directly or indirectly, acquire or hold equity shares of a recognised stock exchange or recognised clearing corporation unless he is a fit and proper person.
- (2) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquire equity shares such that his shareholding exceeds two per cent of the paid up equity share capital of a recognised stock exchange or recognised clearing corporation shall seek approval of the Board within fifteen days of the acquisition.
- (3) A person eligible to acquire or hold more than five per cent of the paid up equity share capital under sub-regulation (2) of regulation 17 and sub-regulation (2) of regulation 18 may acquire or hold more than five per cent of the paid up equity share capital of a recognised stock exchange or a recognised clearing corporation only if he has obtained prior approval of the Board.
- (4) Any person holding more than two per cent. of the paid up equity share capital of the recognised stock exchange or the clearing corporation on the date of commencement of these regulations, shall ensure compliance with this regulation within a period of ninety days from the date of such commencement.
- (5) If approval under sub-regulation (2) or (4) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.
- (6) Any person holding more than two per cent. of the paid up equity share capital in a recognised stock exchange or a recognised clearing corporation, as the case may be, shall file a declaration within fifteen days from the end of every financial year to the recognised stock exchange or recognised clearing corporation, as the case may be, that he complies with the fit and proper criteria provided in these regulations.

Fit and proper criteria.

20. (1) For the purposes of these regulations, a person shall be deemed to be a fit and proper person if—
 - (a) such person has a general reputation and record of fairness and integrity, including but not limited to—
 - (i) financial integrity;
 - (ii) good reputation and character; and
 - (iii) honesty;
 - (b) such person has not incurred any of the following disqualifications—
 - (i) the person, or any of its whole time directors or managing partners, has been convicted by a court for any offence involving moral turpitude or any economic offence or any offence against the securities laws;
 - (ii) an order for winding up has been passed against the person;
 - (iii) the person, or any of its whole time directors or managing partners, has been declared insolvent and has not been discharged;
 - (iv) an order, restraining, prohibiting or debarring the person, or any of its whole time directors or managing partners, from dealing in securities or from accessing the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the expiry of the period specified in the order has not elapsed;
 - (v) any other order against the person, or any of its whole time directors or managing partners, which has a bearing on the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the order has not elapsed;
 - (vi) the person has been found to be of unsound mind by a court of competent jurisdiction and the finding is in force; and
 - (vii) the person is financially not sound.
- (2) If any question arises as to whether a person is a fit and proper person, the Board's decision on such question shall be final.

Date : 07/09/2018
Place : CHENNAI

Yours faithfully,
For ADITYA BIRLA MONEY LIMITED
Authorized Signatory

HARIHARAN B

Dealing Office Address : 10TH FLOOR, R TECH PARK, NIRLON COMPLEX, OFF WESTERN EXPRESS HIGH WAY, GOREGAON EAST, MUMBAI - 400063, MAHARASHTRA

PAN No. : AAACA7472K

GSTIN of Trading Member : 27AAACA7472K1ZF

Description of Service : -Brokerage and related securities and commodities services including commodity exchange services.
Service account Code (SAC) : 997152