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**FLYING REACTORS: THE  
POLITICAL FEASIBILITY OF  
NUCLEAR POWER IN SPACE**

by

James R. Downey, Lt Col, USAFR  
Anthony M. Forestier, Wg Cdr. RAAF  
David E. Miller, Lt Col, USAF

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# **Executive Summary**

## **Research Question: What mechanisms would improve the political feasibility of a nuclear power program for U.S. space operations?**

For more than 50 years the United States has explored the possibility of space borne nuclear fission reactors. The advantages of reactors are light weight, high power, long life, and lower comparative costs. A nuclear reactor could simultaneously support large space vehicle electrical power requirements, and enable either electric or ionic propulsion. Nevertheless as technologically attractive as Space Nuclear Power (SNP) may be as a mission enabling technology, the use of SNP systems is currently politically challenging. Today, the United States has no active SNP systems, although there are missions that would benefit from SNP technology.

## **Conclusions and Recommendations**

- Modern society is risk adverse, especially so regarding nuclear technologies.
- Carte blanche permission for the U.S. government to develop nuclear technologies has been withdrawn.
- For SNP to be feasible there must be a compelling mission requirement and a reasonable level of popular political support.
- The Values-Focused Decision Strategy should be employed by both NASA and Department of Defense SNP missions.
- NASA should carry SNP public policy forward with a focus on deep space exploration—a mission that requires SNP technology.
- Department of Defense also has a strong case for SNP arising from emerging security concerns and should leverage NASA’s experience.

This paper advocates a Values-Focused Decision Strategy for SNP within a trans-scientific context. If properly applied, the strategy should improve NASA's or the Department of Defense's chances of satisfying Congress of the political feasibility of an SNP program. The Values-Focused Decision Strategy outlines a democratically legitimate and scientifically rigorous mechanism to assist policy-makers in considering SNP as a trans-scientific policy option.

The Values-Focused decision strategy seeks a reasonable political compromise based on stakeholder values regarding potential consequences. While the Values-Focused decision strategy is only one possible approach, experiments using this process indicate that using it greatly improves the chances of program acceptance over non-participatory decision processes currently used. The strategy has five steps:

1. Carefully define the decision to be made
2. Identify 'what matters' in the context of an impending decision in the form of the stakeholders' objectives
3. Create a set of appealing and purposeful alternatives
4. Employ the best available technical information to characterize the consequences of the alternatives, including the associated uncertainty
5. Carry out an in-depth evaluation of the alternatives addressing the tradeoffs they entail.

The political environment has changed fundamentally from the Cold War era of the 1950s and 1960s. The Cold War focus was on a monolithic, nuclear capable, external threat that is now diffused. As a consequence, the tacit permission that was granted to the government by society during the Cold War to pursue nuclear programs has been curtailed. That is especially true for programs that are perceived to pose trans-scientific public risks such as SNP. Ultimately, it was a political judgment that ended every SNP program.

The primary factor influencing the current political debate over SNP is the increasing fear of technology that has developed in society over the past few decades. Our society perceives

great risk in nuclear technology, yet paradoxically willingly enjoys the benefits. Some politically active groups perceive cataclysmic outcomes from SNP and are prepared to vigorously protest against it, in all of its forms. SNP opponents claim with some justification that a failure of a space launch system carrying a nuclear powered space vehicle would produce the equivalent of a “dirty” nuclear bomb. The reality is likely to be far less dire, but the perception of excessive risk is in the public arena. Therefore, the political battle is over perceived risks instead of empirical fact, with the judgment further complicated because not all of the consequences pertaining to an accident with a nuclear powered vehicle in the Earth’s atmosphere can be verified by current scientific techniques.

For example, the consequences of a nuclear payload breaking up in the Earth’s atmosphere cannot today be empirically quantified. Therefore, experts have considerable scope to argue over the risk. Program opponents and proponents use their own experts to present risk analyses that cover an extraordinarily broad range. The trans-scientific doubt about the SNP enterprise also places the policy-maker in the unenviable position of needing to make a decision without sufficient empirical data.

Meanwhile, the unscientific public remains unaware or unconvinced of the reward of the enterprise being supported by the nuclear power source and certainly uncomfortable with the potential risks. As well, they are not sure about the relative merit of a nuclear power source for space applications versus competing technologies.

Looking towards the future, the policy-maker must consider emerging missions that will require SNP to be feasible. Such missions include space science undertaken by NASA, and national security undertaken by the Department of Defense. The first proposed scientific mission is NASA’s Jupiter Icy Moon Orbiter (JIMO) planned for 2012. JIMO is designed to search for the precursors of life in the waters under the surface ice on Jupiter’s moons Callisto, Ganymede, and Europa. For Department of Defense, SNP-enabled hyper-spectral satellites could transform space based intelligence, surveillance and reconnaissance (ISR) improving U.S. and allied security profoundly.

If SNP is necessary for the U.S. to extend space based capabilities for science and security, then a political engagement strategy is required because nuclear SNP is a scientific

problem that has entered the political realm of trans-science. Similar to other nuclear technologies, SNP has significant political risks that cannot be scientifically verified. Therefore, we believe that the most certain way to cultivate the unscientific public's trust is to improve both the underlying safety and robustness of nuclear and space technologies while engaging the public politically in an open and democratically transparent way.

Sound trans-scientific policies are the result of sound political judgments informed by sound scientific judgments. Ultimately, the goal of trans-scientific policy formulation is to manage the political calculus of risk and reward while protecting the intellectual integrity of the science that originally enabled the political option. The perceived risks should be considered in conjunction with both reward and relativity of SNP. These three policy dimensions within a trans-scientific context are the foundation of the Values-Focused Decision Strategy presented in this paper.

# Chapter 1

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## Whither Space Nuclear Power?

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*Our ordinary citizens, though occupied with the pursuits of industry, are still fair judges of public matters; ...and instead of looking on discussion as a stumbling block in the way of action, we think of it as an indispensable preliminary to any wise action at all.<sup>1</sup>*

Pericles

**Research Question: What mechanism(s) would improve the political feasibility of a nuclear power program for United States space operations?**

For a period of more than 50 years the United States has been exploring the potential of nuclear power reactors for use in a variety of space based applications. From the earliest days there have been numerous challenges—some technical, many political—that have impeded progress in every program that has been considered. The issues surrounding space nuclear power (SNP) are complex and multifaceted. For the United States, the development of SNP lies at the intersection of program cost benefit and the social perception of risk. The actual decision to employ SNP is finally political, encompassing political, judgment will and acceptance of risk. But if the current climate surrounding all things nuclear remains manifest, the future for SNP looks politically challenging.

The specter of a Delta IV rocket carrying a nuclear powered satellite exploding on launch from Florida is an outcome the United States government and its agencies would rather not

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<sup>1</sup> Quoted in Dahl, Robert A. *On Democracy*, Yale University Press, 1998, p 39.

confront. Though that has never happened, it remains the type of image that the anti-SNP lobby, under the umbrella of groups like the Florida Coalition for Peace and Justice (FCPJ), presents as a potential outcome of SNP programs.

The FCPJ cites past space based nuclear incidents and a lack of public confidence in government agencies to combine nuclear and space technologies safely as a cause for serious public concern. Their premise is that the risk of adverse environmental outcomes is not outweighed by the reward promised by programs needing or wanting to take advantage of the operational benefits offered by SNP. They believe that, as a corollary to SNP for space science, the United States is committed to weaponizing space. The FCPJ and its allies do not trust the government, and they seek to align others to their cause to stop all SNP programs, building on the disquiet felt by many United States citizens about nuclear power in general. The FCPJ advances its cause via political and legal arenas, specifically by engaging in public protest, political activism, lobbying, and legal challenge.

On the other side of the debate, the United States National Aeronautics and Space Administration (NASA) would like to fire the imagination of the public with the glories of space exploration to ensure the longevity of the space program in general and to counter the public's nuclear disquiet so as to enable missions requiring SNP. Fascinating images of Mars, Saturn, Jupiter, Neptune and Uranus fill TV screens in our homes and adorn the front covers of glossy magazines. People are eager for information about space. In 2012, NASA's proposed, SNP-based Jupiter Icy Moon Orbiter (JIMO) may use advanced sensors to search under the icy surface of Jupiter's moons Callisto, Ganymede and Europa.<sup>2</sup> In the water believed to be under the ice we may well find an answer to the question: Is there life on other worlds? Space science, enabled by SNP, may soon be able to definitively address one of our most profound questions.

From the perspective of the Department of Defense (DoD), perhaps in the decade 2010-2020 the United States and its allies may take comfort in the fact that, although terrorism has not been eliminated, a constellation of large, long lived SNP satellites with their hyper-spectral sensors have made the problem of global intelligence, surveillance and reconnaissance (ISR)

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<sup>2</sup> NASA. Jet Propulsion Laboratory. <<http://www.jpl.nasa.gov/jimo/>>, accessed Mar 5, 2004.

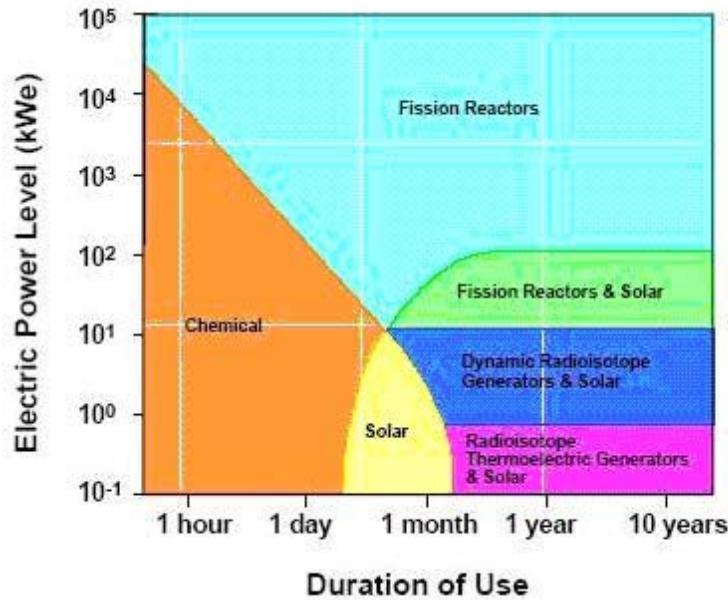
much more manageable. Terrorists and proliferators of nuclear weapons and associated delivery systems will find fewer opportunities to act and places to hide.

So is SNP an environmental menace or a feasible enabling technology? The argument is polarized in the United States, the epicenter of the debate as the world's most capable space faring and democratic nation. Valid arguments can be made either way. Each side of the debate has its active proponents, supported by allies and *ad hoc* coalitions of stakeholders. Yet between the interlocutors in the debate there is the vast, unaligned, and politically passive or inactive majority. The public is interested in space science but is also sensitive to the costs and risks. Politically aligned and activated, even a small part of that majority would pose pressure that policy-makers in the government could not ignore, and such pressure may determine the feasibility of SNP systems' going forward.

Despite the polarization in the public debate about SNP, there is not doubt about the attractiveness of the technology to support space based missions. Space science and national security are both missions enabled by the next generation of satellites and space vehicles. Such vehicles may depend on onboard nuclear reactors to reliably generate large amounts of electricity for power and propulsion.

## Motivation for Space Nuclear Power

All space vehicles require onboard power sources. For most space systems, onboard electrical power is provided by a combination of batteries and solar panels. Figure 1 illustrates the characteristics that alternative energy sources offer for space missions.



**Figure 1 - Required Power Level versus Mission Duration for Space Applications<sup>3</sup>**

A few examples of how this graph is interpreted should prove useful in explaining its meaning. If a particular mission requires 1000 kilowatts of electric power (kWe) and must last for one day, then this mission can be accomplished using chemical batteries. For a system such as the international space station, which requires approximately 240 kWe<sup>4</sup> and must function for several years, solar panels are employed. The graph shows that for space missions demanding both high power (100 kWe and more) and long duration (months to years), fission reactors offer the only existing practicable option for providing electrical energy to the spacecraft. Reactors also promise power for thrust. These capabilities are attractive and make SNP desirable as an enabling technology for multiple purposes. Radioisotope thermoelectric generators (RTGs), a commonly used form of SNP, offer less power than reactors but an equally long life, and they have utility for deep space missions where the sun's energy density is too low for solar panels to be effective. The key technical characteristics of SNP compared to solar power are summarized below in Table 1.

SNP is attractive due to compact size, high power and long life.

<sup>3</sup> Angelo, Joseph A. and David Buden., *Space Nuclear Power*, Orbit Book Company, 1985, p ix.

<sup>4</sup> NASA. <<http://spacelink.nasa.gov/Instructional.Materials/NASA.Educational.Products/International.Space.Station.Solar.Arrays/ISS.Solar.Arrays.pdf>>, accessed Mar 5, 2004

**Table 1 - Key Characteristics of Solar, RTG and Fission Reactor Space Power Systems<sup>5</sup>**

	<b>Solar</b>	<b>RTG</b>	<b>Fission Reactor</b>
<b>Energy Density</b> (mass-volume-output)	Low	High	High
<b>Electrical Power Level</b>	Up to High	Up to Moderate	Up to Very High
<b>Reliability</b>	High	Very High	High
<b>Propulsion</b>	No	No	Yes
<b>Life</b>	Long	Very Long	Very Long
<b>Cost</b>	High (1)	Low	Low (2)
<b>Relative Safety</b>	Very High	Moderate	High (3)
<b>Publicly Perceived Safety</b>	Very High	Low	Low
<b>Output decreases with distance from Sun</b>	Yes	No	No

(1) A large part of the cost of a solar powered space system is incurred in the launch budget. This is because solar panels are heavy for their power output. Further, their life is relatively short (about 7 years, sometimes longer); demanding a frequent launch cycle to maintain a satellite constellation, if that is a mission design parameter.

(2) The cost of a developed reactor is relatively low, and in assigning this rating we have not included the initial development costs necessary to produce a space-rated reactor. This was done to make a baseline comparison against the other technologies presented, whose initial development costs are already met. Further, the cost for a fission reactor is only low if the developing nation already has a nuclear program to provide fuel, as the United States does.

(3). Assuming the reactor is activated outside the Earth's gravity well (about 1,000 km orbit).

It is SNP's technological advantages that make it so attractive as a power source. These significant advantages raise the question as to why the United States currently does not have any SNP systems. History provides insights to the contemporary argument.

## Space Nuclear Power History and Its Implications

The United States has had a public policy interest in the development of SNP since the late 1950s. Over the years, there have been several attempts to build space nuclear reactors for these purposes. Despite this extended effort, no operational SNP system has ever been deployed by either NASA or DOD. It is now approaching 50 years since SNP was initially proposed. It is difficult to think of another scientific development program that has been stalled for so long by political concerns.

Despite a 50-year history, no operational space based nuclear reactor is in use by the United States.

<sup>5</sup> Table developed and ratings assigned by the authors.

Therefore, in order to contemplate a modern public policy-maker's decision as to whether to deploy SNP, we must understand both the scientific history of SNP and the politics that has delayed the deployment of reactors in space for so long. This section will highlight the key points that can be deduced from the history. A more detailed review of SNP past programs is presented in Appendix 1.

Before moving to a brief history of SNP, we offer RTGs as an example of another nuclear-based technology that has been used for several space missions. RTGs are made using an isotope of plutonium (Pu-238). This isotope generates large amounts of heat as it undergoes radioactive decay and the heat is then converted to electricity. RTGs have been the mainstay for virtually all of NASA's deep space missions because of their high reliability and long operating life. Until the 1980s, many NASA missions had been flown using RTGs without incurring any serious political opposition. However, in recent years opposing voices have increased in volume if not number. They are also more organized and politically effective than ever before. The most significant and important example of public protest against an RTG-SNP system was directed at the Cassini mission that NASA launched in 1997. The Cassini mission and its political impact are discussed further in Appendix 1.

While RTGs are not the primary subject of this paper, the political conditions of the recent past have made it clear that continued use of RTGs may be difficult. In fact, the political problems associated with RTG based systems spill over to the debate about reactors, if for no other reason than that they both use nuclear materials as the primary source of energy.

Both the scientific and the political history of SNP inform the current public policy debate. The early period of SNP occurred during a time when scientists enjoyed tacit if not explicit permission from society and the government to develop SNP. In addition, nuclear power projects were supported and promoted by popular culture that included the entertainment industry and extended to advertisements in the popular press. The early programs were very much a scientific success and certainly proved the technical feasibility of SNP. The question is, given the initial successes and the cultural permissions to pursue SNP technology, why has so little progress been made to date? In order to answer to this question, the linked influences of science and politics must be understood within the historical context.

One factor is that from the 1950s through the late 1990s there was no compelling requirement for power in space that an alternative technology could not provide. Although SNP is technically feasible and extraordinarily capable in comparison to alternative technologies, the politics of nuclear technologies appears to have been a major impediment to SNP. This is especially apparent when SNP is compared to the naval nuclear submarine program. It also clear that SNP quickly became a victim of the general fear and anxiety that ground based nuclear power caused in the minds of the public starting in the early 1970s. The risks and rewards of SNP are in the public domain, and the political environment has made it extremely difficult to move the program off the drawing board and into space.

Complicating the situation is the political legacy of nuclear technologies from the Cold War. The Cold War gave governments, particularly those of the United States and the Soviet Union, essentially *carte blanche* authority to develop nuclear technologies for the national defense and then to extend their application into the civilian arena, notably for electrical power generation and medicine. The Soviet Union did it through communist central authority. The United States government had tacit approval for SNP from its public because of overriding national security concerns. The United State's population had yielded to what sociologists call the "authoritarian reflex"<sup>6</sup>, that is the tendency of populations to trust government when they feel threatened. Accordingly, the people trusted their government to wisely use a technology that few properly understood.

When the Cold War ended with the fall of the Berlin Wall in 1989, so in large part did the nuclear security imperative. With the security imperative gone, and with the rise of individualism and post-materialist values in the latter third of the 20<sup>th</sup> century, the United States population effectively withdrew *carte blanche* permission for the government to do as it would with nuclear technologies. The public disclosure of some of the risks both the United States and Soviet governments took in experimenting with nuclear technologies in the 1940s and 1950s gave many pause, as did the 1979 Three Mile Island and 1986 Chernobyl nuclear power station

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<sup>6</sup> Nye, J., Zelikow, P. and King, D. *Why People Don't Trust Government*, Harvard University Press, 1997, p 218.

accidents. Emergent disclosure about those early experiments and the public risk they engendered exposed a new concern for policy-makers: trans-science.<sup>7</sup>

## Trans-scientific Policy

Trans-scientific policy concerns those questions that arise from science that science cannot directly answer. Experimentation cannot be conducted either because of limitations in technique or because experimental outcomes might involve excessive risk. There is a temporal dimension to trans-science as well; just because an issue is trans-scientific today does not mean it necessarily will remain so as scientific technique improves or the political environment changes.

Nuclear technologies were the first instance of a scientific problem that entered the political realm as trans-science. If mishandled as a matter of public policy, negative consequences of the nuclear experimentation could be momentous. For example, when Enrico Fermi was looking into the possible consequences of detonating a nuclear explosion for the Trinity experiments in the 1940s, one hypothetical outcome was that the nuclear explosion would start an atomic chain reaction in the Earth's atmosphere. The atmosphere would be burnt off, destroying life on Earth. Fermi himself went to the President to report this conclusion and his concern. The possibility of such a catastrophic outcome was later discounted through calculation and limited experimentation. However, there was never total surety, and the successful conclusion of the Trinity event must have brought tremendous relief. Trans-scientific doubt concerning risk coupled with the possibility of catastrophic consequence accompanied the first blaze of nuclear science. For some uses of nuclear technologies, such as SNP, trans-scientific doubt about risk and consequence still exists.

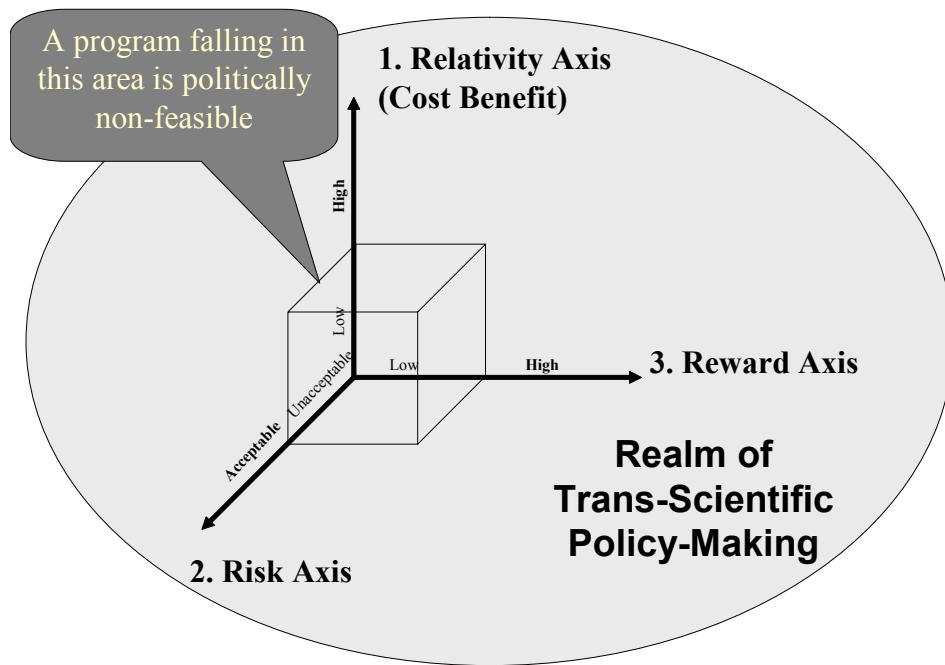
In today's political environment, SNP falls squarely into the category of trans-scientific policy because of some of the risks it inherently entails. For example, the risk of inadvertent release of Plutonium 238 from an RTG over a wide area due to a space-system mishap could have environmental consequences that can't be safely tested, although it can be inferred. Such inferences are open to dispute among experts. Receiving conflicting expert advice leaves both policy-makers and the general public in a quandary as to whether SNP programs should be

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<sup>7</sup> First described by Weinberg, Alvin M. *Science and Trans-Science*, Minerva, 1972.

supported. Consequential risk analyses for particular events can easily bridge many orders of magnitude depending on which expert is asked and how empirical factors are weighed.

In the trans-scientific context, decision making becomes a matter of judgment, with the most salient concerning the **risk** inherent in the enterprise–risk both measured and perceived. For a particular program, risk should be considered in concert with **reward** and **relativity**, as represented in Figure 2. These three axes inside a trans-scientific context represent the foundation of the policy model we propose in Chapter 4 as an aid to decision-makers needing to make political judgments about trans-scientific programs, such as SNP programs.



**Figure 2 - SNP Program Feasibility Space**

The intent of this paper is to address the question, '**What mechanism would improve the political feasibility of a nuclear power program for United States space operations?**' The authors' inquiries have highlighted the fact that the answer to the question is highly contextual and mainly a matter of political judgment. Unlike pure science, trans-scientific policy must include scientific data interpretation by inference and political value judgments. Trans-science is the art of synthesizing political solutions that science informs but cannot solve. Empirical analysis is a necessary but insufficient tool for solving trans-scientific problems. That

conclusion, and the fact that some stakeholders have not assimilated it, causes serious problems in engaging the public with respect to SNP. The result is the discomfort felt even in politically moderate circles. One side talks about empirical scientific facts (the proposing agencies) and historically has largely ignored the public face of the political debate. The other side counters with environmental and socially derived values (the public opposition), focusing on worst case scenarios and potentially disastrous outcomes. The potential value of SNP enabled programs is sacrificed in the name of ultimate safety. Both parties are talking past one another, and the ensuing polarized public debate is politically divisive. SNP remains politically problematic, and the conduct of space science remains overtly politicized.

To address this problem we present a model that policy-makers considering SNP can use to ensure they have thoroughly considered the various positions of the stakeholders while respecting the rigorous requirements of sound scientific judgment. We argue that SNP policy requires a carefully considered public engagement strategy. This engagement strategy should inform the public of both the risks and rewards of SNP while respecting the scientific underpinnings of the policy options.

In this paper, we do not advocate for or against SNP. In the context of space missions, SNP is simply an enabling technology that needs to be considered in the risk, relativity, and reward framework we are proposing. SNP has its own advantages and limitations that, when considered in conjunction with the political context, will either enable its use or not. For the SNP decision-maker—who in this context is finally the President, as the approving authority for nuclear space mission, supported by Congress, as the body that authorizes funding—SNP lies at the intersection of technical risk, the public’s perception of that risk (social risk), and political risk. What we do advocate for is a policy model that, if wisely exercised, will improve the NASA or DOD program proponents’ chance of having a rationally based program seen as politically feasible by the decision-makers. We will argue that such feasibility is contingent on the weight of public opinion being, at worst, insufficient to stop the program. The policy model is intended to outline a democratically legitimate and scientifically sound mechanism to assist policy-makers in considering SNP as a trans-scientific policy problem.

As illustrated in Figure 2, the aim of the program proponent, in exercising the authors' policy model, must be to minimize the area of program 'non-feasibility'. Further, the program proponent should have developed Measures of Effectiveness (MOE) to satisfy decision-makers of the probity and political feasibility of his proposal.

In approaching the research question the authors discovered that very little has been written on the subject of SNP from a policy perspective. This is somewhat surprising given the long history of SNP. Numerous volumes are filled with the technical plans and details for SNP systems and their applications. However, the lack of policy analysis indicates a need which this paper seeks to satisfy. In that vein, the arrangement of the remainder of the paper to present the arguments outlined is as follows:

- **Chapter 2: SNP as Trans-Scientific Public Policy**, describes the nature of trans-scientific enquiry and relates it to SNP programs specifically.
- **Chapter 3: Political Permission: Contemporary Considerations**, examines contemporary society and its relationship to technology and science to draw out the implications SNP raises for contemporary policy and decision-makers.
- **Chapter 4: A Trans-Scientific Political Engagement Strategy**, presents the Values-Focused Decision Strategy in association with the authors' policy model.
- **Chapter 5: Conclusions**, summarizes our research approach and our recommendation for the use of a Values-Focused Decision Strategy to determine the political feasibility of trans-scientific policy options.

# Chapter 2

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## Space Nuclear Power as Trans-Scientific Public Policy

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*Many of the issues which arise in the course of the interaction between science or technology and society ... hang on the answers to questions which can be asked of science and yet which cannot be answered by science.<sup>8</sup>...*

Alvin M. Weinberg  
Science and Trans-Science

In this chapter SNP is examined as trans-scientific public policy. From both the scientific and historical perspectives, SNP is both hypothetically possible and technologically feasible. Yet from the standpoint of weighing the political risks and rewards of pursuing SNP, science alone cannot and should not determine public policy. Proper political concerns about unavoidable public risks and potential consequences and political rewards proscribe scientists from proceeding with the experimentation and observation that would objectively verify the risks and rewards of SNP as a matter of public policy. These political limitations on the conduct of nuclear science place SNP in the realm of trans-scientific policy making.

SNP, as is often the case with trans-science issues, generates political controversy by the intermingling of yet untested scientific hypotheses with competing social values about the political risks and rewards of a policy option. In the case of SNP, political controversy primarily comes from the perceived risk in launching, orbiting or de-orbiting fissile material. As with most trans-scientific issues, the science that initially created the political option continues to inform the political debate. However, scientific methods are inherently apolitical and not well suited to make the value discriminations required in political judgments. In a democratic society, scientific methods alone cannot resolve trans-scientific political controversies.

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<sup>8</sup> Weinberg, Alvin M *Science and Trans-Science*, Minerva, 1972, p 209.

# The Political Limitations of the Nuclear Sciences

First, let's examine the nature of normal apolitical science in order to determine how the continuation of the nuclear sciences can become politically infeasible. Thomas Kuhn, in his seminal work *The Structure of Scientific Revolutions*, describes science as a process that develops iteratively and is one in which anomalies in the scientific community's world view accrue until that world view must be fundamentally altered. Kuhn describes normal science as follows:

Normal science means research firmly based upon one or more past scientific achievements, achievements that some particular scientific community acknowledges for a time as supplying the foundation for its further practice<sup>9</sup>.

Therefore, normal science can be considered a strategy for choosing what to believe about the natural world. In the case of SNP, the political policy options are firmly based on research and achievement in space exploration and the nuclear sciences. These scientific achievements have provided a framework of beliefs and knowledge that permit the formulation of scientific hypotheses that in turn create new public policy options, such as placing nuclear reactors in space. However, SNP has political repercussions that scientific methods alone cannot address. SNP is a public policy option that cannot be scientifically tested or verified *a priori* because the potential political risks and consequences make scientific rigor impracticable or unethical to attain without proper political oversight. Conversely, proper political oversight cannot be achieved without respecting the rigorous requirements of sound scientific judgment.<sup>10</sup>

In trans-scientific policy making, the science and politics are inextricably linked. When considering SNP as public policy, the risks and rewards must be shared publicly and cannot be confined to a laboratory environment. The governance process must be respected before space nuclear experimentation can take place. Science provides the policy-maker with powerful tools that permit selection, evaluation, and criticism of SNP policy options: however, science does not provide the tools necessary to make political judgments concerning competing social values or to

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<sup>9</sup> Kuhn, Thomas S. *The Structure of Scientific Revolutions*, University of Chicago Press, 1962, pp 10-11.

<sup>10</sup> For a more detailed discussion see Jasinoft, Sheila. *Citizens at Risk: Cultures of Modernity in the United States and EU*, Science as Culture, Vol. 11 No. 3, 2002.

evaluate what risks are politically acceptable in a democratic society.<sup>11</sup> Even within the scientific community itself, social values often vary considerably from one discipline to the next.

These values inevitably affect scientific data selection, experimental design, outcome interpretation, and the criticism of scientific technique. Social values and public perceptions of risk and reward already do have a powerful effect on ongoing scientific research and public policies, and especially the public policy options that nuclear science creates and informs.<sup>12</sup> Ultimately, it is a political and not a scientific decision to accept or reject the risks of SNP and science is but one of several sets of tools available to a policy-maker for a political decision.

Science does not provide the tools to make the necessary political judgments about SNP.

We now see that SNP has entered the realm of trans-scientific policy making because science posits that SNP is technologically feasible and highly desirable for many missions, and at the same time informs us of the potential risks of moving fissile material into and out of space. Unfortunately, these political risks and rewards cannot be proven with sufficient scientific rigor prior to committing one way or the other on the policy option of placing nuclear power in space. Therefore, the political risks and rewards must be inferred from available information. The policy-maker is then faced with the dilemma of determining whether the possible rewards of SNP outweigh the potential risks of launching fissile material.

The political debate over SNP is typical of trans-scientific public policy issues insofar as the limitation that causes science to move into the political realm is not an inherent limitation of the scientific method. Rather, it is an appropriate political limitation on the conduct of scientific inquiry in a democratic society. When the public risks of SNP cannot be confined to a controlled environment and the outcome of the endeavor has political consequences, then trans-scientific policy formulation is required. Ultimately, the goal of trans-scientific policy is to manage the political calculus of public risk and reward while protecting the intellectual integrity of the underlying science that enables the political option.

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<sup>11</sup> Krimsky, S. and Golding, D. *Social Theories of Risk*, Praeger, Westport, Connecticut, 1992, p 238.

<sup>12</sup> Jaeger, C., Renn, O., Rosa, E. and Webler, T., *Risk, Uncertainty and Rational Action*, Earthscan, 2001, p 82.

## Political Actors and Trans-Science

Political actors such as the Florida Coalition for Justice and Peace (FCJP) form communities that exert influence in a democratic society through their shared values. These values form the foundation of the group's political activity. In contrast with the skepticism of purely scientific researchers, the very nature of political value judgments that scientific observation informs but does not verify frequently causes political actors to have rigorous and rigid perceptions of political good. This rigidity of belief is remarkable, seeing that the underpinning scientific knowledge is by definition indeterminate or unverifiable in trans-science.<sup>13</sup> Group dynamics also tend to reinforce political beliefs to ensure that shared beliefs exert a deep and enduring hold on the political actors' values.

Political actors will often suppress fundamental scientific and empirical data because they are subversive of their basic political position. It is nevertheless important to note that a central premise of democratic politics is that the political community can discover what policies are best through open political discourse and rational public debate. Political actors concerned with trans-scientific issues will take great pains to defend the premise of open, rational discourse even while actively attempting to suppress scientific analysis or ongoing research. Therefore, trans-scientific political debate in a democracy tends to devolve into a strenuous and sustained attempt by the political actors to force public policies into conformity with the political value judgments pre-supplied by the actors.

Politics injected into the scientific process can result in politically biased interpretations of scientific fact and observation and it is difficult and time consuming to reconcile the political actors' biases and differing observations. Trans-scientific issues tend to become seen increasingly as political issues and less scientific in nature as the prevailing group of actors consolidates its political victory. The prevailing group may also construct political barriers to scientific investigation in order to strengthen its political position.<sup>14</sup> The politicization of a trans-scientific issue can result in the long term loss of opportunity for scientific investigation

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<sup>13</sup> Kuhn, Thomas S. *op cit*, p 79.

<sup>14</sup> For example Grossman, Karl. *Alternative Energy Meets Main Street*, New Age, July/August 1999, p 59.

and research, and possibly in the creation of an anti-intellectual political atmosphere that stifles scientific inquiry.

## Trans-Science and the Politics of Risk and Reward

Let us now define the risks and rewards of SNP that nuclear science cannot verify due to political constraints. Consider reward as political advantage, or at least acceptance, to proceed with a policy option. Conversely, risk is the political liability of proceeding with a policy option. Together with the usual political tension of risk versus reward, consider trans-scientific policy making as involving the art of reconciling the requirements of science with the realities of democratic politics.

In other words, trans-scientific policy making has two distinct requirements. The first is to preserve the intellectual integrity of the scientific or technological inquiry that informs the public debate. The second requirement is to conduct policy making in an open and democratically legitimate way. Ultimately, trans-scientific policy making should develop sound public policies that eventually depoliticize science, even though the program itself is always subject to legitimate political constraints.<sup>15</sup>

Trans-scientific policy can only offer methods for politically evaluating technical aspects of SNP.

Trans-scientific policy making can only offer methods for politically evaluating the technical aspects of SNP. Scientific inquiry informs politics of the possibilities of success or failure by casting light on the technical risks and consequences. Thus, trans-scientific policy formulation must articulate the political assessment of the possible technical successes or failures. Trans-scientific policymaking must grapple with the political risks and rewards in a democratically legitimate way while preserving the intellectual integrity of the scientific endeavors underlying the political controversy.

Technical assessment of the trans-scientific risks and rewards of SNP are grounded in the hard sciences, such as mathematics, physics, and chemistry. However, in trans-scientific issues, the experimental tools of the hard sciences are limited in scope and precision by political

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<sup>15</sup> For example budgetary constraints could still arise without re-politicizing the science itself.

concerns and resource limitations. Nevertheless, at least some aspects of SNP can be verified with scientific rigor. A great deal of data can be collected and observed without actually launching fissile material into space and much analysis can be done at the component level of SNP without public risk. Yet the overall soundness of SNP as a public policy cannot be tested without positively committing to launching nuclear material.

SNP as public policy cannot be tested without committing to launching nuclear material.

The technical assessment of risk and reward consist of the following:

- technical feasibility assessment of SNP at the component level,
- evaluation of the technical risks of the policy option, and
- scientific extrapolation of the consequences of the policy option.

The political assessment of SNP risk and reward is grounded in the democratic governance process, and political assessments are highly sensitive to how the arguments are framed for public debate. Ultimately, policy decisions in trans-science are based on value judgments about political risks and rewards, which, in turn, must be inferred from a policy option that scientific methods cannot verify. Therefore, the efficacy of technical risk and reward analysis and the political acceptability of consequences are rarely agreed upon by the stakeholders.

The political assessment of risk and reward are based upon three issues:

- political will of the stakeholders,
- subjective evaluation of the political risks, and
- political consequences and opportunity costs of the possible outcomes.

This creates a classic political conundrum for the policy-maker with respect to SNP. Nuclear technologies are profound technological enablers of space missions because they provide high levels of power over very long periods of time with high reliability and

comparatively low weight penalties. Nevertheless, all the technical engineering difficulties cannot be worked out until the reactor is actually flown in space. The engineering difficulties draw attention to the fact that there are inherent risks in launching fissile material into space.<sup>16</sup>

These hypothetical risks, especially the environmental risks, simply cannot be assessed with technical certainty, and the possible consequences of a launch mishap make nuclear power in space politically infeasible without some sort of engagement strategy to minimize both the technical and political risks. An engagement strategy is needed that allows science to move forward and continue to inform the political debate and that eventually reconciles the available scientific data with divergent perceptions of political risk and reward.

This chapter has established that SNP is a classic problem in trans-science. In the modern context, policy decisions about SNP must take this crucial aspect into consideration. The situation is further complicated by the contemporary context. Public attitudes toward nuclear power, particularly political permission for the government to pursue the technology, are not assured. The various aspects of the contemporary political climate are the subject of the next chapter.

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<sup>16</sup> Stearns, P. and Tennen, L. Regulation of Space Activities and Trans-Science: Public Perceptions and Policy Considerations, Space Policy, August 1995, p 182.

# Chapter 3

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## Political Permission - The Contemporary Dimensions

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*Sociologically, there is a big difference between those who take risks and those who are victimized by the risks others take.*<sup>17</sup>

Ulrich Beck  
*The Risk Society*, 1992

The political context of SNP has changed fundamentally from that of the Cold War era. The Cold War focus of the United States and its allies on a monolithic, nuclear capable external threat is today diffused. As a consequence, the tacit and *carte blanche* public permission that was granted to government during the Cold War to pursue nuclear programs as a matter for executive decision is today revoked. That is especially true for programs that are perceived to pose significant environmental problems, such as SNP programs. As well, people today are far more questioning of authority than they were in the Cold War era; they trust government less than their forebears.<sup>18</sup>

Automatic permission for the United States government to develop nuclear technologies has been withdrawn.

All of this directly affects the political feasibility of SNP and poses a challenge to those proposing programs where SNP is either preferred or necessary for space vehicle propulsion or electrical power. As alluded to in the opening quotation, SNP program proponents no longer operate in a context where they can conduct the relatively simple technical assessments of risk they are most familiar with and use the conclusions to satisfy the government that their program

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<sup>17</sup> Beck, Ulrich. *The Risk Society: Towards a New Modernity*. Sage Publishing. Thousand Oaks, CA, 1992, p10.

<sup>18</sup> Nye, J., Zelikow, P. and King, D. *op cit*, pp 219-221.

is necessary and that risk is acceptably low. The new demand is that they justify their programs in the public arena. This means that programs must be politically and socially justifiable and supported, in addition to satisfying the normal internal programmatic.

The audience to which such justification must be presented and the approvals that must be garnered has broadened to the point where public interest groups of various stripes are already influential in the decision process, but are not formally part of it. In the case of NASA, it is plausible that the implications of the contemporary state of affairs are only just being recognized with respect to SNP space science programs. This is highlighted by NASA's problematic public engagement strategy relating to Cassini. In the case of DOD, the need for a national security imperative and the perceived risk of linkage to space weaponization make SNP an issue of great public concern and a conduit for political activism.

This chapter examines the contemporary political dimensions of the problem SNP program proponents face in satisfying leery United States and international communities of the safety and practicality of SNP. More specifically, the chapter develops the contemporary dimensions of the SNP debate in terms of four areas:

- **The context**, with a focus on the contested nature of the environment, public perception of risk, and the rising hurdles of permission granted by the public to the government to employ SNP.
- **The imperative**, from the standpoint of program proponents: who proposes, why they propose, what they want and how they seek it, with a focus on contemporary and near future missions where SNP is necessary or desirable.
- **The resistance** by program opponents: who opposes, why they oppose, what they want and how they seek it.
- **The implications**, a summary of imperatives and implications leading to the policy analysis model presented in Chapter 4.

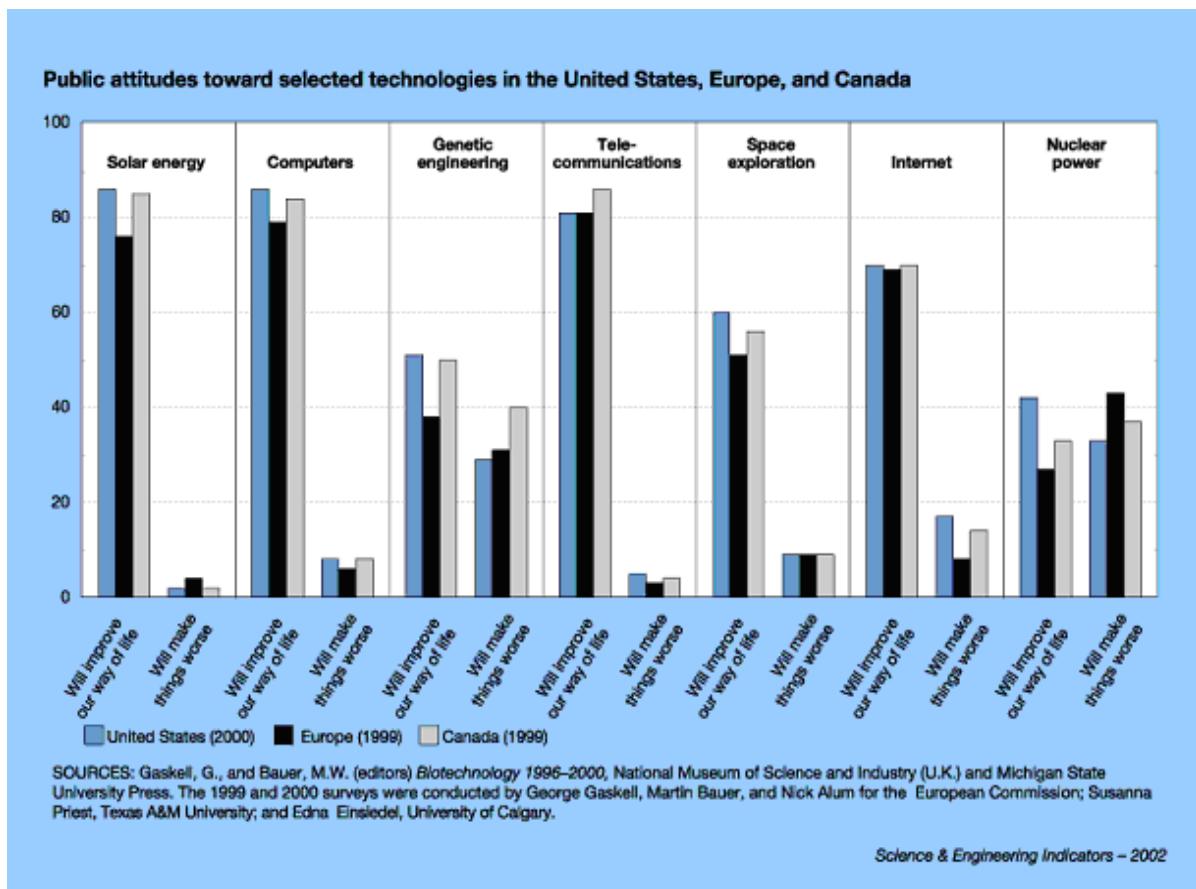
# The Context

## Risk Society

Today's prosperous, stable, and democratic industrial society enjoys physical wealth and comfort that is based on technology. However, societal polarization can result when people are both simultaneously attracted to and repelled by the consequences of technology. This is particularly true for those technologies that are publicly perceived to intrinsically encapsulate both enormous social reward and momentous risk. Such technologies include the nuclear and genetic technologies, bio-technologies, and nano-technologies.<sup>19</sup> To illustrate this point, Figure 3 depicts the relative coolness the public feels towards those technologies perceived as high risk (genetic engineering and nuclear technology), compared to those perceived as benign (solar energy, computers etc.). The assimilation of technical risk into a public *gestalt* has produced what Ulrich Beck described as "risk society" in his seminal work of the same name.

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<sup>19</sup> The National Science Foundation has a large body of recent work available on the internet on this subject. See <<http://www.nsf.gov/sbe/srs/seind02/intro/intro.htm>>



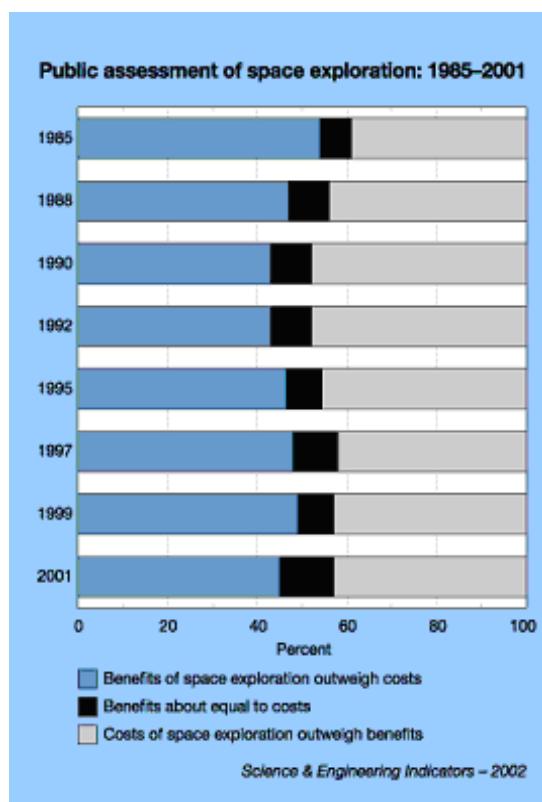
**Figure 3 - Public Attitudes toward Selected Technologies 2002<sup>20</sup>**

A risk society is one that perceives risk in technology because it does not automatically trust it or its proponents, political or scientific, yet paradoxically enjoys the fruits of the modern world, even if sometimes only those from a list sanctioned by their conscience. Some groups in risk society perceive cataclysmic outcomes from particular technologies or technology mixes and are prepared to protest against them. That is the case with SNP, where opponents claim, with some justification from the experience of the recent past, that the combination of a failure of a launch or orbital system carrying radioactive materials produces the equivalent of a dirty nuclear bomb. The reality of the risk is somewhat different, but such a perception exists in the public arena. So the battle is joined, SNP proponents versus opponents. But are they fighting in the same conflict space?

<sup>20</sup> National Science Board, *Science and Engineering Indictors 2002*. Figure 7-11.  
 <<http://www.nsf.gov/sbe/srs/seind02/c7/fig07-11.htm>>, accessed Mar 11, 2004.

The conflict space is murky; it is essentially political, value laden, inhabited by the passionate on both sides, and covering an issue that is by nature trans-scientific. The object of the fight is the hearts and minds of non-aligned middle-America. The conflict space is further clouded by public ignorance: about 70 percent of Americans lack a clear understanding of the scientific process, which compromises their capacity to understand technical risk and make rational, well informed choices as to whom to listen to in contested risk assessments, and why.<sup>21</sup>

As well, the public has been ambivalent about the costs versus the benefits of space exploration, with opinion divided roughly equally since at least the mid-1980s (Figure 4). However, it may be that the January 2004 Presidential announcement regarding a manned lunar base as a precursor to a manned Mars mission—with an estimated cost of up to one trillion dollars—has galvanized public opinion regarding space exploration to some extent.



**Figure 4 - Public Assessment of Space Exploration 1985 to 2001<sup>22</sup>**

<sup>21</sup> National Science Board. *Science and Engineering Indicators 2002*, Chapter 7: Science and Technology: Public Attitudes and Public Understanding, <<http://www.nsf.gov/sbe/srs/seind02/c7/c7h.htm>>, accessed 27 Jan 04.

<sup>22</sup> National Science Board. *Science and Engineering Indicators 2002*. Figure 7-12. <<http://www.nsf.gov/sbe/srs/seind02/c7/fig07-12.htm>> accessed Mar 11, 2004.

The *status quo* is that risk society is feeling somewhat uncomfortable about the combination of nuclear and space technologies. This discomfort has been reinforced by recent failures in NASA space programs such as shuttle accidents and Mars missions that fail more often than not, which call into serious question the credibility of the scientific and policymaking elites and their ability to manage their programs safely. As well, the discomfort has been seized upon by those championing post-materialist values.

### **The Rise of Post-Materialist Values<sup>23</sup>**

Since the end of the Cold War in 1989, and even extending back before that, publics in prosperous, stable and democratic industrial societies have become, both in reality and perception, more physically secure, the upset of September 11, 2001, notwithstanding. Their "authoritarian reflex," or willingness to acquiesce to government control, has diminished. Such societies exhibit high levels of dissatisfaction with their political systems; they have less confidence in their political leaders and public institutions. Intergenerational stability in the provision of basic survival needs and comforts produces a post-materialist mindset where prosperity is taken for granted and society begins to focus on other aspects of life, such as the quality of the political, social, and natural environments. As well, concern about these becomes relatively more important, and because post-materialists tend to be educated, articulate and well read, they are able and willing to find mechanisms to steer governmental decision-makers toward their preferred ends.

From the United States perspective, political influence is not just (and perhaps too rarely) exerted through the ballot box, but more often through effective and disciplined issues based political campaigns conducted by those with post-materialist concerns. The reality in United States politics today is that the public is not less politically active, as some would argue is evidenced through persistently low voter turnouts, but rather more so. However, the mechanism of choice is direct action, or political activism.

SNP was directly challenged in this way with significant public protests against NASA's Cassini mission of 1997.<sup>24</sup> Prior to that, NASA was challenged on the launch of the Galileo

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<sup>23</sup> Nye, J., *et al. op cit*, pp 291-221, 271.

probe on the shuttle Atlantis in 1989, and later on the Ulysses probe on the shuttle Discovery in 1990.<sup>25</sup> These missions were challenged both through public activism and through the courts. The basis of the public protest is best encapsulated in the monograph *The Wrong Stuff: The Space Program's Nuclear Threat to Our Planet* written in 1997 by Karl Grossman, a professor of journalism at the College of Old Westbury, State University of New York.<sup>26</sup>

## **The Rise of Individualism<sup>27</sup>**

The balance between emphasis on the individual versus emphasis on the community in Western societies has been moving toward the individual ever since the industrial revolution. This trend has tended to disempower institutions in the broad, not just political intuitions, and reached a nadir in the United States in the 1960s with the youth revolutions. In 2003, many of those same youth are now adult post-materialists. In the 1960s, the United States underwent a 'Great Awakening' that created a central paradox. Society directly challenged institutional authority while at the same time demanding that government deliver the social services they desired as well as reflect a social conscience. People seemed to want government to be everything and nothing: social service provider and social shaper, but without intrusion into their lives or a high dollar cost. These conflicting demands have produced "a regime of activist government and activist anti-government politics that they [the people] can little understand, much less sense they are controlling."<sup>28</sup>

Underpinning the trend to individualism and activism, and the ensuing public confusion they have created, is the most widely accepted driver of human behavior in social science, self interest.<sup>29</sup> Self interest can be expressed in many dimensions, including those that appear altruistic such as environmentalism, but altruism in outcome should not be mistaken for altruism in motivation.

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<sup>24</sup> An excellent master's thesis on the political and sociological aspects surrounding Cassini entitled *Protest Space: A Study of Technology, Choice, Perception of Risk and Space Exploration* was completed by Victoria Friedensen in 1999.

<sup>25</sup> Sterns, P. and Tennen, L. *op cit*, p 185.

<sup>26</sup> Grossman, Karl. *The Wrong Stuff: The Space Program's Nuclear Threat to Our Planet*. Common Courage Press, 1997.

<sup>27</sup> Nye, *et al*, *op cit*, pp 271-272.

<sup>28</sup> Nye, *et al*, *op cit*, p 272.

<sup>29</sup> Rayner, S. *op cit*, p 110.

The *real politik* effect of the rise of post-materialist values and individualism in the United States is that governing is harder. Less authority is ceded to the government, as is less respect to public institutions generally. Government policy often has to be transacted directly with issues based groups, which may or may not represent the broader interest or hold the most balanced view, but must be accommodated to some degree. The degree of accommodation awarded to any particular group by the government is a political judgment, and perhaps more often a factor of media than merit.

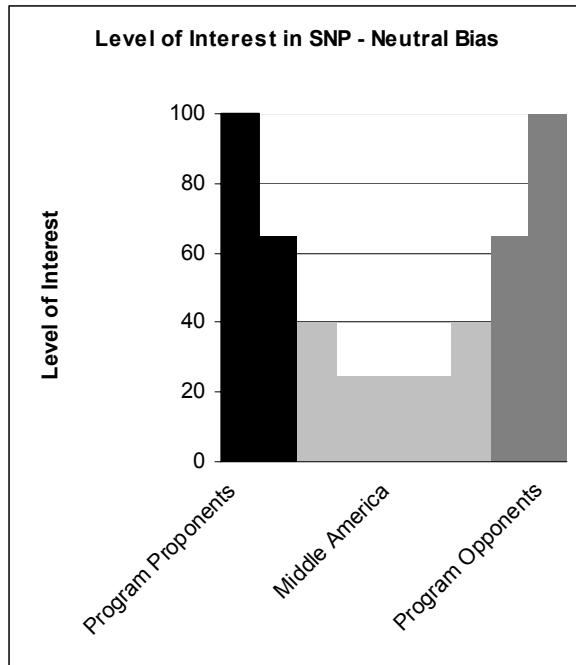
## Contested Ground

The nature of the political conflict around SNP is essentially polarized, as conceptually depicted in Figure 5. On one side are the program proponents, which in the case of SNP are represented by government agencies. These include NASA, whose interest is space science, and DOD, whose interest is terrestrial security using space based systems in a support role. These principal proponents are supported by a small constellation of special interest groups, both national and international, seeking to promote SNP for their own ends or interests. For example, NASA is supported by various man-to-Mars factions.<sup>30</sup> For the opposition, the largest anti-SNP protest, that against Cassini, was led by the Florida Coalition for Peace and Justice (FCPJ), with its own constellation of allied actors.<sup>31</sup> With SNP, as with most issues brought into the public arena by proponents and opponents, the bulk of the public is neutral unless activated.

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<sup>30</sup> The only way to put a man on Mars is to use SNP. Other power systems are inadequate for the mission.

<sup>31</sup> See Appendix 2 for the list of actors comprising the FCPJ during the Stop Cassini! Campaign.



**Figure 5 - Societal Level of Interest in SNP: Neutral Bias**

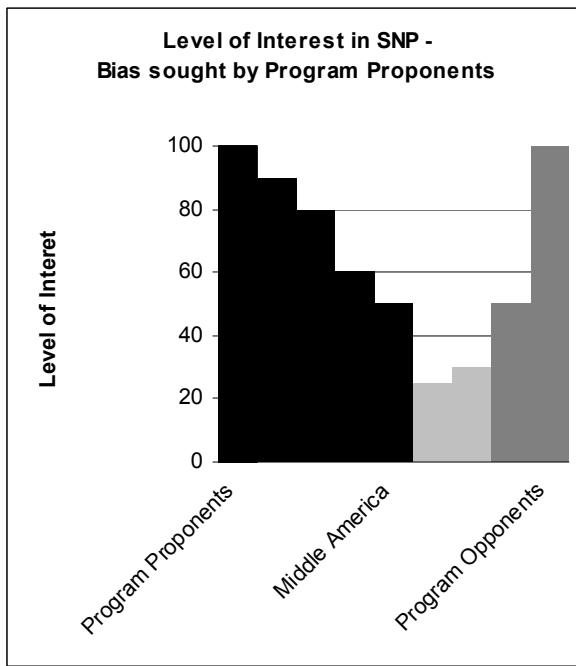
What both of the SNP polar groups want is political victory such that their preferred outcome is achieved. If they are wise and not totally desperate for their way, each will also seek a victory yielding consequences that do not destroy trust in the process. This means that in pursuing their ends, both sides would choose means that are deemed to be socially effective and morally sustainable over the long term. Otherwise, it is a case of "win the battle and lose the war."

To obtain their political victory, each side will seek to raise the profile of their argument to mobilize middle-America to their side (as conceptually depicted in Figures 6 and 7) or at the very least have the other side fail to mobilize middle-America against it. There is also a virtual aspect to any such victory. Because the public part of the battle will be waged largely through the media, it may be that generating the illusion of public alignment, by generating media favorable to your case, is enough to tilt the political balance.

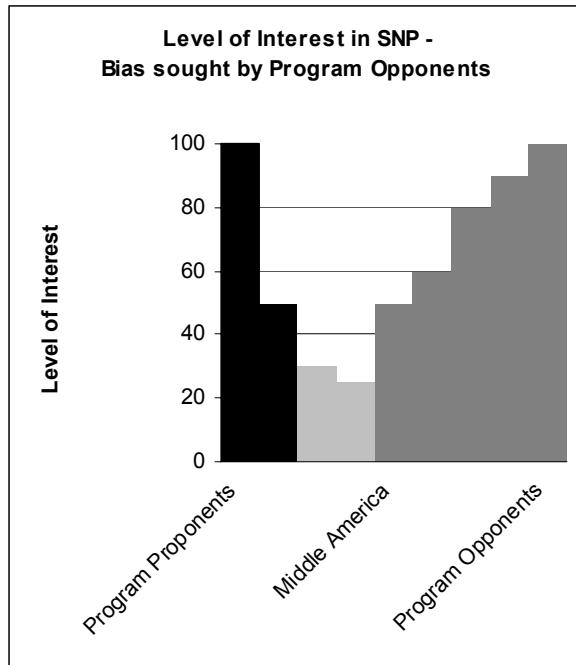
Historically, middle-America has been the contested ground that program proponents and opponents have fought over. The null or negative position for either group is to use an effective public engagement strategy to keep the other side from activating and aligning at least part of middle-America to their end. If you are the program proponent, you also will want to keep your

program in a favorable light with the political decision-makers. For SNP, the decision-makers are Congress and the Executive branch for program funding, and the President for a launch of fissionable materials.

The positive and more difficult task of activating and aligning at least part of middle-America to your ends requires domestic and, better still, international leverage. The logic from both sides is that a victory in either halting your opponent's strategy or strongly aligning at least part of the normally neutral stakeholders in society to your view (especially the latter) is a very powerful political mechanism. Effectively, the victors will gain public avowal of their position and a political following, or at least the absence of a disavowal. In theory, that should leave the way clear for Congress and the Executive to weigh the political risks of the program in their favor.



**Figure 6 - Societal Bias and Activation Sought by Program Proponents**



**Figure 7 - Societal Bias and Activation Sought by Program Opponents**

## Political Will

The aim of SNP program proponents and opponents alike is to convince the political decision-maker to their end, or at least to an acceptable compromise. But the government is not a neutral stakeholder, not a supplicant subject to the whim of whichever actor garners the most public support. The government's own will, beliefs, grass roots support, and strategies regarding an issue are critical factors for the strategies of both proponents and opponents.

In pursing a particular policy or program in a democratic system, the government can work from one of three premises:

- explicit permission to proceed, enabled through successful engagement with the public through shared process that generates public trust in government;
- tacit permission to proceed, where permission is granted because of a public perception of serious threat or vulnerability invoking the authoritarian reflex,<sup>32</sup> or

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<sup>32</sup> For example, the September 11, 2001, terrorist attack.

- autocratic decision to proceed irrespective of any consensual public process, with a concomitant willingness to accept the political consequences, as with President John F. Kennedy pronouncing that America would go to the Moon (1961), or President George W. Bush pronouncing that America would go to war with Iraq (2003).<sup>33</sup>

From the list presented, the mechanism finally chosen by government to affect a program is a question of political will and judgment. It is shaped but not necessarily controlled by the public perception of risk, relativity, and reward.

It is fair to say that, given the nature of American post-materialist society, unless there is an overwhelming and publicly understood imperative for a particular program, which is not currently the case with SNP, obtaining explicit permission from the public is generally the most effective course for program proponents to pursue. This is because process and policy fairness are seen as very important.<sup>34</sup> That is particularly true for programs that have long time frames covering several election cycles, and where widely understood and supported outcomes of social value would not exist without explicit, effective public engagement. Programs incorporating SNP are of this ilk.

## **International Treaty Restraints**

Two international treaties and one export control protocol have governed space policy for the last several decades. They are the Outer Space Treaty (OST) of 1967, the Antiballistic Missile Treaty (ABM) of 1972, and the Missile Technology Control Regime (MTCR) of 1987. Briefly, the OTS states that international law applies beyond the atmosphere. The treaty reemphasizes that, in accordance with the 1947 United Nations Charter, one sovereign state cannot threaten the territorial integrity or political independence of another. The OST initiated new space related agreements aimed at assuring free access to space and celestial bodies.

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<sup>33</sup> There is one other mechanism for introducing a program, at least a national security program, and that is to run it secretly. Such programs are termed “black,” and their conduct is justified under the national security guise that the program would be fundamentally compromised should it be made public. The black mechanism will not be discussed further, as it is outside the scope of the paper.

<sup>34</sup> Jaeger, C., Renn, O., Rosa, E. and Webler, T. *Risk, Uncertainty and Rational Action*, Earthscan, 2001, p 186.

Additionally, it prohibits national appropriations of space or celestial bodies, and finally, prohibits placing any WMD in space or on celestial bodies.<sup>35</sup>

The ABM treaty prohibited the development, testing or deployment of space based ABM systems or components. It limited the United States and the Soviet Union to a single terrestrial ABM site each with a maximum of one hundred missiles. It also prohibited interference with the national technical means (that are, in part, reconnaissance satellites) used to verify treaty compliance.<sup>36</sup> On June 13, 2002, the United States withdrew from the ABM treaty citing national security concerns. This freed the United States from the treaty prohibition against testing or deploying weapons in space other than WMD.

The MTCR is an export control regime signed by the leading space faring nations in order to prevent proliferation of rocket technologies beyond a closed circle of countries already in possession of them.

For the purposes of this paper, the important consideration is that international treaties of themselves are not obstacles to a responsibly managed SNP program. The extension of conflict into space and perhaps the weaponization of space are different matters entirely, but those are not the subjects of this paper.

International treaties are not obstacles to a responsibly managed SNP program.

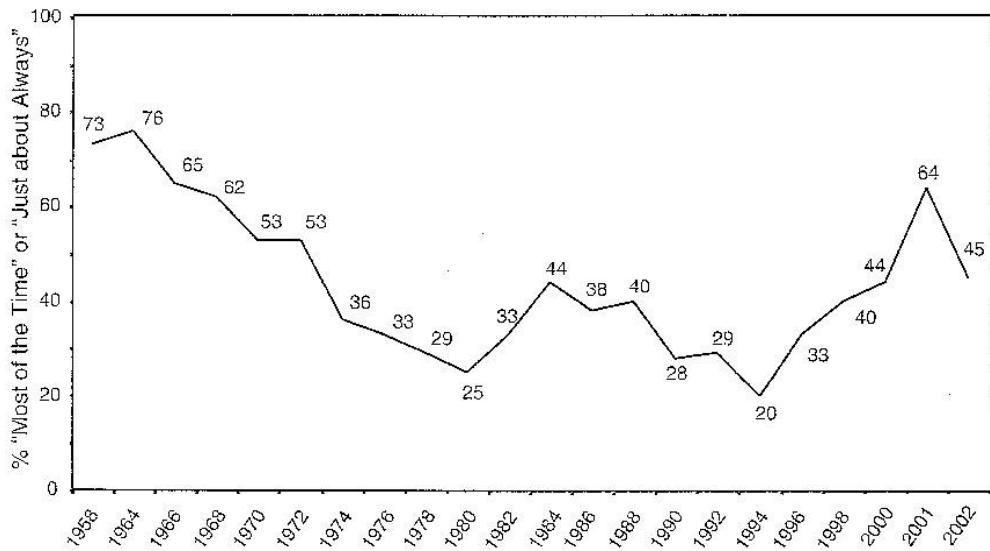
## **The Authoritarian Reflex**

Despite the difficulty that current societal attitudes cause those who would govern, the people will cede control to their government, and tend to trust government more, when they feel threatened. This behavior is deemed the "authoritarian reflex" and is amply demonstrated by history, as illustrated in Figure 8.

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<sup>35</sup> Article XI and Article IX prohibit the weaponization or annexation of celestial bodies. A copy of the treaty may be found at <<http://www.oosa.unvienna.org/SpaceLaw/outersptxt.htm>>, accessed March 25, 2004.

<sup>36</sup> The Federation of American Scientists (FAS) maintains a copy of the treaty, source documents, chronology, and news regarding the ABM treaty as part of their Weapons of Mass Destruction monitoring program. <<http://www.fas.org/nuke/control/abmt/>> accessed March 26, 2004.



Sources: 1958–2000: National Election Studies (2000); 2001: Washington Post Poll (2001); 2002: CNN/USA Today/Gallup Polls (2002a).

**Figure 8 - Citizens Who Trust the United States Federal Government 1958 to 2002<sup>37</sup>**

Figure 8 illustrates the high level of trust United States citizens placed in their government in the 1950s and 1960s. This trust was a product of the social mores of the day and the level of threat felt by society. The trust placed in government afforded it *carte blanche* to develop nuclear technologies in the first two decades of the Cold War. The figure also illustrates the emergent decline in trust in the early 1970s, which arose primarily as a result of the Vietnam War and also because of the post-materialist factors already discussed. What is interesting is the spike in trust that followed Al Qaeda's attack on the United States on September 11, 2001, and also its brevity. However, the spike does demonstrate that even today, people will cede more authority to the government when they feel threatened. Thus, it highlights the possibility that a well engineered and relatively safe SNP-based program could gain public acceptance should the security environment deteriorate in the future.

<sup>37</sup> King, D. and Karabell, Z. The Generation of Trust: How the United States Military has regained the Public's Confidence Since Vietnam. The American Enterprise Institute Press, Washington D.C. 2003, p 2.

# The Imperative

*The reality is that technology programs that are not tied to specific—and agreed upon—mission goals become very vulnerable to budget cuts or even cancellation over time.<sup>38</sup>*

Congressman Bart Gordon  
Ranking Democrat  
United States Congressional Sub-Committee on Space and Aeronautics, 2003

Within the United States, there are only two government agencies capable of, and currently interested in, pursuing SNP for contemporary and near future missions. They are NASA and DOD, in partnership with other national security agencies. The underlying technical reasons as to why SNP is of interest for space missions were presented in the first chapter. However, there are three generic missions directly linked to their respective stakeholders that are candidates for SNP:

- **Space and space based science missions.** In the United States, space science is the domain of NASA, which is supported by scientific advocates of SNP who believe that nuclear power is the only practicable way to develop a sustainable human presence in space and to conduct space research where the sun's energy density falls below the level at which solar power is viable or where solar panels would be too large or heavy for launch.
- **Space and space based national security missions.** In the United States, space based security missions are the domain of United States security agencies, supported by national security proponents who advocate a more active role in space because they believe it enhances national security capability with acceptable technical and policy risk. Some also believe that acting quickly to seize the ultimate high ground of earth orbit to support terrestrial operations will confer a "first mover" advantage, with that outweighing the potential disadvantages to national security and international stability of making space an arena for direct action, rather than the support environment it is currently.

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<sup>38</sup> Congressman Bart Gordon is the Ranking Democrat, United States Congressional Sub-Committee on Space and Aeronautics. House Committee on Science, *Witnesses Suggest Change of Course for NASA Human Space Flight Programs*, Oct 16, 2003.

- **Emergent missions.** Emergent missions will be a product of the first two mission types, making SNP *de rigueur* in government run space operations. From a proven base, others may wish to move SNP technology laterally into new applications. Commercial missions would benefit from the technology, as would other nations to support their own interests. Over time, commercial missions could emerge in parallel with the development of United States national capabilities, but this is unlikely because the risk, cost and national control of nuclear technologies would require a fundamental policy shift as to how nuclear materials are controlled and who operates nuclear systems. Alternatively, and more likely, a demand for commercial operations could arise at an opportune time in the future. The timeframe for an emergent demand for commercial SNP would be at least 15-25 years, and as such is not for consideration in this paper. However, the issue of SNP proliferation by existing nuclear states could potentially emerge, as could counter-space concerns, and these are germane.

Of the listed mission categories, the first two arise from United States space policy.

## **United States Space Policy**

President Clinton signed the most recent United States National Space Policy in 1996. National Security Presidential Directive/NSPD-15, signed by President George W. Bush on June 28, 2002, calls for a review of this policy. Until such a review is completed, the 1996 National Space Policy outlined by President Clinton remains current. The Clinton era document essentially "repackaged the same goals summarized in the National Space Act of 1958 and updated them in the context of current commercial and military landscapes."<sup>39</sup> NSPD-15 stresses that "[a]ccess to and use of space is central for preserving peace and protecting United States interests."<sup>40</sup> Though not citing specific threats, the policy states that the United States will conduct those space activities necessary to ensure national security, which include "assuring that hostile forces cannot prevent our own use of space" and "countering, if necessary, space systems

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<sup>39</sup> United States Government. White House, *Fact Sheet: National Space Policy*, September 19, 1996. <<http://www.ostp.gov/NSTC/html/fs/fs-5.html>> accessed, Mar 26, 2004.

<sup>40</sup> *Ibid.*

and services used for hostile purposes.<sup>41</sup> It goes on to state the goals of the national space agenda, five of which are as follows:

- Knowledge by exploration
- Maintain national security
- Enhance competitiveness and capabilities
- Private sector investment
- Promote international competition

DOD space policy focuses on operational capabilities that enable the military services to fulfill the national security objectives. It enumerates three space related tasks that guide the military services: (1) deter or, if necessary, defend against enemy attack; (2) enhance the operations of United States and allied forces by employing space systems; and (3) ensure that forces of hostile nations cannot prevent our use of space. In 1998, the Secretary of Defense's 1998 annual report asserted that space power had become vitally important to the nation for economic as well as military reasons:

The world is increasingly transitioning to economies in which information is a major engine of prosperity. While United States national security interests focused in the past on assuring the availability of oil, the future may require greater interest in protecting and accessing the flow of information. As a result, the importance of space as a principal avenue for the unimpeded flow of information throughout a global market increases. DOD recognizes these strategic imperatives and will assure free access to and use of space to support United States national security and economic interests.<sup>42</sup>

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<sup>41</sup> *Ibid.*

<sup>42</sup> United States. Department of Defense. *Report of the Secretary of Defense to the President and Congress, 1998.* <<http://www.defenselink.mil/execsec/adr98/chap8.html#top>> accessed Mar 26, 2004.

The report goes on to state that the United States should anticipate attacks against United States and friendly allied space systems in the future and declares that, "DOD must have capabilities to deny an adversary's use of space systems to support hostile military forces."<sup>43</sup>

The Air Force Space Command (AFSPC) has taken the above policy and guidance and crafted a strategic master plan. Its vision statement declares that AFSPC will develop "[a]globally integrated aerospace force [capable of] providing continuous deterrence and prompt engagement for America and its allies...through control and exploitation of space and information." AFSPC capabilities will

... enable a fully integrated Aerospace Force to rapidly engage military forces worldwide. Our space forces will move beyond being primarily force multipliers to also being direct force providers. Global real-time, situational awareness will be provided to our combat commanders through space based Navigation, Satellite Communications, Environmental Monitoring, Surveillance and Threat Warning, Command and Control, and Information Operations systems. Robust and responsive space-lift and improved satellite operations capabilities will provide on-demand space transportation on-demand space asset operations ensuring our ability to access and operate in space. Full spectrum dominance in the space medium will be achieved through total space situational awareness, protection of friendly space assets, prevention of unauthorized use of those assets, negation of adversarial use of space and a fully capable National Missile Defense.<sup>44</sup>

## NASA and Space Science Missions

NASA has definite plans for space science missions that will necessitate SNP:

- **Project Prometheus.** In 2003, NASA renamed what was its Nuclear Systems Initiative as Project Prometheus. That reflected the sensitivity NASA has been feeling with regard to SNP and the new awareness within the agency regarding the importance of managing public risk perception through effective engagement,

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<sup>43</sup> *Ibid.*

<sup>44</sup> United States. Air Force. Space Command. *Strategic Master Plan for FY 04 and Beyond*. <<http://www.cdi.org/news/space-security/afspc-strategic-master-plan-04-beyond.pdf>> accessed March 26, 2004.

especially with regard to high profile missions using nuclear power. Prometheus has three components:<sup>45</sup>

- **The Jupiter Icy Moon Orbiter** (JIMO), with a planned launch date of 2012. JIMO is a flagship mission that will pioneer the use of a small nuclear fission reactor for orbiter electrical power and indirectly generated thrust. SNP is the only current technology that will provide the power density necessary for the JIMO mission. To mitigate risk, current plans are that the reactor will not be activated until the orbiter has left the Earth's gravity well (about 1,000km low earth orbit). A full description of the JIMO mission is provided in Appendix 2.
  - **Nuclear Power**, which is an initiative designed to improve the efficiency of current RTG technologies.
  - **Nuclear Propulsion**, which includes the development of JIMO's nuclear reactor.
- **Manned Lunar Base and Man-on-Mars.** Pursuit of the manned lunar base and subsequent trip to Mars announced by President Bush in 2004 will necessitate the use of SNP in some form for technical reasons. Man will not be able to get to Mars without the advantages of power density and longevity offered by SNP over any foreseeable alternative power source. Of note, the nuclear power aspect of the proposal has not been mentioned by any government agency, most probably due to the public sensitivity of the issue.

### **DOD et al. and Space Based Security Missions**

At the time of writing, DOD has no known plans on the table to field a space based system reliant on nuclear power. However, a 2001 Space Commission report, chaired by the current Secretary of Defense, Donald Rumsfeld, does call for the United States to maintain

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<sup>45</sup> Hsu, Jeremy. *Project Prometheus: A Paradigm Shift in Risk Communication.* <sciencepolicy.colorado.edu/gccs/2003/student\_work/deliverables/jeremy\_hsu\_project\_prometheus.pdf>, accessed Mar 8, 2004.

superior capability both in terrestrial operations supported from space and in space operations themselves:

... we know from history that every medium—air, land and sea—has seen conflict. Reality indicates that space will be no different. Given this virtual certainty, the United States must develop the means both to deter and to defend against hostile acts in and from space. This will require superior space capabilities. Thus far, the broad outline of United States national space policy is sound, but the United States has not yet taken the steps necessary to develop the needed capabilities and to maintain and ensure continuing superiority.<sup>46</sup>

The report also emphasizes the necessity for superior United States space based intelligence, surveillance and reconnaissance (ISR), and for space control. While missions envisioned under these drivers do not absolutely need SNP, any simple analysis demonstrates that they would benefit by using nuclear power because of its intrinsic advantages. Direct costs would fall, and mission effectiveness would be enhanced by a small, light, compact, long lived system that provided both ample electrical power and thrust for on-orbit maneuver.

If DOD enters the SNP business, though, a major shift will occur. Instead of the few, rare SNP system launches that NASA would execute primarily for deep space missions, DOD missions would necessitate that SNP operations become commonplace. It is easy to envision constellations of nuclear powered satellites in orbit.

One candidate system would be advanced space based radar, or perhaps a system with a mix of active and passive hyper-spectral sensors. Regular SNP

operations in low earth orbit by DOD would add a new dimension to the public's perception of risk.

operations in low earth orbit would add a new dimension to the public's perception of risk. They would also require a different imperative to establish an effective public engagement process about the political feasibility of SNP before there is a need to increase the number of operational SNP platforms. In addition, SNP systems in orbit around the Earth will certainly be of concern to numerous international stakeholders.

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<sup>46</sup> United States. Department of Defense. *Report of the Commission to Assess United States National Security Space Management and Organization*, 2001, p 25. Otherwise see <<http://www.defenselink.mil/pubs/spaceintro.pdf>> accessed Mar 26, 2004.

Overall, it is fair to say that the only reason that DOD *et al.* have not in the recent past sought reactor based SNP systems is because of (1) the problematic political dimension, (2) the lack of a truly compelling mission that could overcome the political problem, and (3) the cost of developing such a system. However, if JIMO proceeds as planned, NASA will develop a reactor based SNP system, providing an opportunity for the national security agencies to perhaps "hitch a ride" while allowing NASA to deal with the political issues under the guise of space science. So the fight is NASA's, unless there is an emergent security issue calling out for SNP that DOD is poised to exploit.. NASA needs to confront committed opposition and win the public's hearts and minds to support a space based version of those nuclear technologies they are wary of and uncomfortable with even in terrestrial applications.

## The Resistance

What is interesting about SNP opponents is that they are not protesting the space operations *per se*, but rather the risk they perceive to be inherent in a particular enabling technology, SNP. In the case of Cassini, the 80 or so allied groups comprising the FCPJ<sup>47</sup> have weighed the risk inherent in nuclear power together with the fragility of space operations and have decided that

- the public reward of SNP does not warrant the risk, the reward being knowledge through space science in the case of Cassini and its forebears;
- NASA's SNP program has a direct link to nuclear weapons (a claim always denied by NASA and one that falls under the heading of popular conspiracy theory rather than substantial fact); and
- the FCPJ was determined to do something about it.

To forestall NASA, the FCPJ's methods included public engagement, political activism, and formal legal action against the Cassini launch. FCPJ also gained attention by focusing on NASA's risk assessment, in particular the Final Environmental Impact Statement (FEIS). The FEIS is a necessary outcome of the 1969 National Environmental Policy Act (NEPA). NEPA

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<sup>47</sup> See Appendix 2 for a complete list of FCPJ members.

requires that any major program conducted or authorized by the federal government be subjected to an environmental impact assessment. FCPJ challenged NASA's risk assessment methodology and conclusions claiming that both were incorrect and incomplete.<sup>48</sup>

The FCPJ is a fairly typical activist group. It has a small overhead, with a full time staff of two in 1997 during its Stop Cassini! campaign. It is comprised of individual and organizational members. Most members are middle to high income, with the majority Christian, white, and college educated. Despite being able to attract up to 1,500 people to its protest rallies, and even with the legal action it took against NASA, the FCPJ did not believe it could stop the Cassini launch. However, according to its director, Bruce Gagnon, it could still provide an important vehicle for expression for people who otherwise felt powerless. Overall, the FCPJ is representative of a post-materialist, issue based group of concerned and responsible citizens as described earlier in this chapter.<sup>49</sup>

Cassini and its predecessors were launched despite the FCPJ's best efforts, but NASA seems to be coming to the realization that it is facing a capable and determined opposition whose values based message has appealed more to the public than has NASA's own logic based message. Further, if the FCPJ could enlist the support of more mainstream and universally appealing activist groups, such as Greenpeace and the Sierra Club, it is quite possible that NASA will lose a future fight for the hearts and minds of middle-America, making it politically very difficult or impossible for the government to justify its future space plans on a risk basis, let alone a cost basis. JIMO and Man-to-Mars may be lost unless they are authorized through autocratic presidential decree. Such a decree, if contrary to the wishes of a hostile public, could come at high personal price to the President,<sup>50</sup> and may or may not be pursued depending on the character of the administration of the day. From DOD's perspective on the sideline, public opinion galvanized against SNP could cause the cancellation of Prometheus, with the subsequent loss of access to NASA developed space rated fission reactor technology. That would make the space control advocates' longer term ambitions politically infeasible due to (1) prohibitively high startup costs, (2) an erosion of the technical expertise necessary to develop SNP, or (3) the fact that SNP in any form would not wash with the public.

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<sup>48</sup> Friedensen, *op cit*, p 49.

<sup>49</sup> Friedensen, *op cit*, p 44.

<sup>50</sup> Friedensen, *op cit*, p 7.

This analysis leads us to where the United States is today. DOD is on the sidelines, perhaps waiting for a crisis of opportunity to obtain tacit public and hence political permission to meet its long term ambitions in space. NASA, at least in some quarters, is seeking to improve its engagement with the public such that they can mobilize public bias to their ends. Where to next?

## The Implications

### Large Democracy

Democracies of different sizes tend toward the traits presented in Table 2:

**Table 2 - Traits of Democracies<sup>51</sup>**

	<b>In smaller systems:</b>	<b>In larger systems:</b>
1. The members are more	homogeneous	diverse
2. Incentives to conform to a uniform code of behavior are	stronger	weaker
3. In relation to the numbers holding the majority view in a conflict, the number who openly dissent are	fewer	greater
4. The likelihood that conflicts among groups involve personal conflicts among the individuals in each group is	higher	lower
5. Conversely, conflicts among organizations are	less frequent	more frequent
<b>6. Processes for dealing with organized group conflicts are</b>	<b><i>less institutionalized</i></b>	<b><i>more institutionalized</i></b>
<b>7. Group conflicts are</b>	<b><i>infrequent but explosive</i></b>	<b><i>frequent but less explosive</i></b>
<b>8. Group conflicts are</b>	<b><i>more likely to polarize the whole community</i></b>	<b><i>less likely to polarize the whole community</i></b>

The issues of specific concern for SNP in the table are italicized. The United States as a large democracy aligns to the traits of larger systems. Dissenters can usually find enough allies in a large democracy to reach a threshold where dissent is effective, and that dissent can be

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<sup>51</sup> Dahl, Robert A. and Tufte, Edward R. *Size and Democracy*, Stanford University Press, Stanford, CA, 1973, p 92.

persistent and overt.<sup>52</sup> This is the exact case for SNP, which point to the need to develop a public engagement strategy that forestalls community polarization.

## Factors Internal to the United States

NASA's logic based arguments regarding SNP have not captured the public's hearts and minds. And although NASA has not had a launch stopped because of SNP activism, the swell of negative public opinion and the guarantee that the next launch will attract another debilitating legal challenge<sup>53</sup> has given NASA cause to reexamine its communication strategy. NASA seems to be coming to the realization that if it wants to capture middle-America as a foil to anti-SNP activism, public communication will have to be done differently.

## A Binary Framework is Unhelpful

What NASA did in the past, and to some extent continues to do, is frame SNP as a binary decision. A binary frame means that the public debate is forced such that it is over before it has begun, and the political decision-makers are left to wonder how much political capital they will have to expend in support of the program, and whether that is warranted. The choice has been simple: either SNP is used to enable the mission or the mission simply can't be done. That SNP is needed for some missions is in fact true, but framing the argument in these binary terms gives the public no latitude in the debate and allows program opponents to galvanize the public to only one possible position: NO. This from Professor Grossman, author of *The Wrong Stuff*, when asked what could justify the use of SNP: "On the use of nuclear power in space, this may sound extreme but I don't believe it ever should be used above our heads—not in earth orbit, not on deep space missions."<sup>54</sup> Of course, as a leading activist, Grossman will never be swayed. Nonetheless, leaving room to frame the problem in such a way as to meet the value based preferences of the target audience (middle-America) would be wise and will enhance the political feasibility of SNP.

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<sup>52</sup> Dahl, Robert A. and Tufte, Edward R. *op cit*, p 91.

<sup>53</sup> We describe the legal challenge as debilitating because of the implications of its being timed to this effect by program opponents. Deep space-science missions have particular launch windows that in most cases only open every few years. Therefore, a legal challenge to an SNP system launch only has to delay the launch past the launch window to disable the program. Programmatic are such that few programs can survive a several-year hiatus. The implication is that the program opponents of SNP do not have to work to cancel a program; a well-timed legal challenge near the launch window will disable it, perhaps fatally.

<sup>54</sup> Grossman, Professor Karl. From an email *Re: Policy and Nuclear Power in Space* to Wing Commander Anthony Forestier, Oct 9, 2003.

Some early offerings regarding Prometheus have been of the binary ilk. For example, in 2003 Lockheed Martin's Vice-President stated, "it is not whether we will discover new nuclear space power systems—but whether we will explore space."<sup>55</sup> The issue is not the necessity of SNP itself, but the frame in which the technology is put forward.

## Going Forward

This chapter has established the contested nature of the current political environment and highlighted the fact that contemporary society has withdrawn its *carte blanche* permission for nuclear technologies. NASA will carry the public policy debate for SNP forward, centered on JIMO, which is a compelling mission that requires the technology. DOD also has a strong case for SNP arising from emergent security concerns. We have stated that DOD should be establishing a mechanism to leverage such an opportunity. We have presented the case that NASA has not done well in convincing a leery public of the risk, relativity, and reward of SNP—as evidenced by its debilitating public debates with FCPJ *et al.* thanks to an inadequate and antiquated public engagement mechanism.

In the next chapter, *A Trans-Scientific Political Engagement Strategy*, we present an alternative to the polarized binary public engagement framework NASA has been using. The alternative framework is one where both sides agree to seek a proposition based on shared values.<sup>56</sup> This allows for public concerns to be brought out early and included in policy analysis and mission design. On the surface it might seem that this Values-Focused approach is applicable only to NASA. However, short of responding to an immediate national security threat, the approach also has value for DOD as it considers SNP for its own future space operations.

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<sup>55</sup> United States. Congress. Senate. Committee on Commerce, Science and Transportation. *In-Space Propulsion Technologies, Testimony by Mr. James H. Crocker, Vice-President of Lockheed Martin*, June 3, 2003. Quoted in Hsu, *op cit*, p 6.

<sup>56</sup> Arvai, J., McDaniels, T. and Gregory, R. Exploring a structured decision approach as a means of fostering participatory space policy making at NASA, Space Policy, Aug 2002.

# Chapter 4

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## A Trans-Scientific Political Engagement Strategy

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*Science alone cannot establish the ends to which it is put.*

Francis Fukuyama

Achieving national goals in space will be extraordinarily arduous both technically and politically over the next few decades. There are numerous technological challenges which have inherent political dimensions. Some examples include proactively fostering cooperation on the international space station, ensuring the safety of flight personnel, and implementing technical performance plans as required by law. These activities take a significant toll on an agency's time and resources. Additionally, and perhaps most significantly, some programs, such as SNP, pose trans-scientific public health and environmental risks that affect the unscientific public and create political problems that can make program planning and implementation every bit as demanding and complex as the science that originally enabled the project.

Responsibly implementing an SNP program will be a critical test of both technological and political skill. Barring some unforeseen breakthrough in fuel cell or solar technology, SNP will almost certainly be desirable for many future missions, and in JIMO's case, it will be essential.

Because the power source is the enabling technology for the conduct of all types of missions in space, special care must be exercised when proposing SNP.<sup>57</sup> A political fumble in implementing SNP could effectively scuttle NASA's long term plans for deep space exploration or manned expeditions beyond Earth's orbit. This outcome could also affect any future plans DOD might have for SNP.

Clearly DOD would have different intentions for SNP than NASA and may be able to make a case for its necessity due to foreseen or emergent national security imperatives. However, DOD's political challenges are similar to NASA's. The underlying rationale for political permission is the only significant difference. Because SNP is a trans-scientific issue, an agency's engagement strategy must explain the technical risk as forthrightly as possible, meet the public interest politically, and protect the intellectual integrity of the science from undue political influence.

The history of reactor based SNP is one of starts and stops. Without a publicly understood and politically compelling mission requirement, it is difficult to imagine any SNP program getting very far in the modern political arena, unless the President chooses to accept the political risk of an autocratic decision. SNP does have significant advantages and is a generally well proven technology. However, the significant challenges faced by all nuclear technologies are exacerbated by the perceived risk of their use in space. The decision strategy must recognize this history, and an effective engagement strategy will serve to minimize the real and perceived risks.

A reasonable trans-scientific decision strategy can be constructed that simultaneously respects the requirement for democratic legitimacy and scientific rigor in the policy making process by a using Values-Focused Decision Strategy. The strategy is derived from the work of Keeney<sup>58</sup> on values-focused thinking and is combined with current best professional practices in science and space policy making. A Values-Focused Decision Strategy answers the political actors' empirical concerns using the best available scientific techniques and predictive tools.

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<sup>57</sup> Friedensen, Victoria. *Protest Space: A Study of Technological Choice, Perception of Risk, and Space Exploration*. A Thesis submitted to the Virginia Polytechnic Institute and State University, Oct 11, 1999.

<sup>58</sup> Keeney, R. L. *Values-Focused Thinking a Path to Creative Decision Making*. Cambridge, Massachusetts: Harvard University Press, 1992.

The strategy then uses objective scientific facts in the context of political judgments of risk and rewards to move policy forward democratically.

In order to implement a Values-Focused Decision Strategy for SNP, this chapter first outlines the classic three dimensional policy components of risk, relativity, and reward pertaining to SNP. Next these dimensions of the problem are examined using a five step Values-Focused Decision Strategy. This Values-Focused Decision Strategy has the advantage of maintaining scientific rigor where rigor can be achieved and yet retains the ability to examine the potential effects of science through a political lens where rigor is not possible.

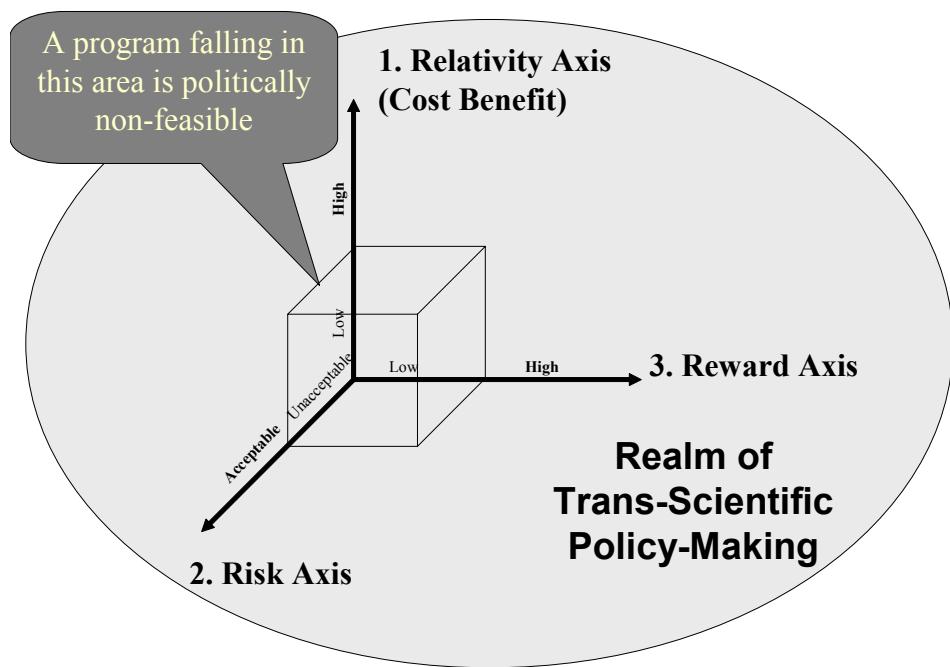
The trans-scientific aspects of SNP make it impossible to objectively verify all the stakeholders' concerns about the risk, reward, and relative merits of a particular policy option. However, a Values-Focused strategy can be crafted using the best available scientific techniques to inform a political decision regarding the stakeholders' differing political values and perspectives on risk relativity, and reward.

## **The Dimensions of Space Nuclear Power Policy**

In considering the political feasibility of SNP, an agency such as NASA or DOD is confronted with a three dimensional trans-scientific program feasibility space. The objective is to find a policy option that balances the risks and rewards of SNP-enabled missions with the relative merits of the alternative power sources. We can graphically depict the dimensions of the problem by first considering the relative merits of SNP in comparison to the policy alternatives, such as solar energy, along the vertical axis. The other two dimensions of policy analysis are measures of the risks (second axis) and rewards (third axis) of the mission. The model, first introduced at the beginning of this paper, is presented again as Figure 9.

Near the origin of the model, the benefits of SNP relative to the alternatives are low, the rewards are low, and risk is high. This creates an area where SNP is clearly politically infeasible if tacit or explicit public permission is sought, as we have argued it should be. As we move away from the origin, the relative benefit of SNP increases with respect to the alternatives. Likewise, the other two axes depict increasing benefit and decreasing risks. In this outer area, SNP may be a politically feasible policy option.

The informed reader will recognize this three dimensional model as typical for any program that might be contemplated by NASA or DOD, and exercising it to reach a decision should be relatively straightforward. However, the process is complicated by the trans-scientific nature of SNP. The entire region of the feasibility space sits within a trans-scientific context. Because of this, the decision process is not one of simply determining the risk, relativity, and reward through a typical cost-benefit analysis. The trans-scientific nature of the problem means that there are technical, social, and political considerations that must be dealt with along all three axes of the model. It also means that there is no sharp boundary between the feasible and non-feasible space. These considerations must be applied to each axis.



**Figure 9 - SNP Program Feasibility Space.**

## Relativity (Axis 1)

The relativity axis measures the relative merit of each course of action. More specifically, it deals with the various power source options that might be considered for space missions. From a current and near future technical perspective, there are only five discrete options when selecting a power source for space missions:

- Do not launch and therefore not accomplish the mission
- Solar power
- Fuel cell technology
- RTGs
- Fission reactor SNP<sup>59</sup>

Each of these five options has distinct advantages and limitations. Obviously, the decision not to launch is a null option with no mission. This decision is particularly profound if it results because SNP was deemed the only practical power source for a given mission. The consequence of the null policy option in this case is that it is a *de facto* political decision to cease practicing the very science that explicitly defines it as a trans-scientific policy option.<sup>60</sup>

Where SNP is a viable option, its main advantages are high power, with that independent of distance from the sun, and long life. The other three space power sources make technical trade offs between available power levels and operational life, with solar power restricted in operating range from the sun. Cost is usually the driving factor when all other considerations are equal, but technical parameters such as energy density will be more important considerations for an interplanetary probe such as JIMO, where high energy, low mass and high reliability will be required.

From a social perspective, the relativity involves tradeoffs between SNP-enabled missions and other programs that merit public support and consideration. For example, the primary mission objective of JIMO is to advance the life sciences. Observations from NASA's Galileo probe gave strong indications that Jupiter's moons Callisto, Ganymede and particularly Europa have oceans underneath a sheath of surface ice. These oceans are believed to have more saltwater than the Earth and may have the prerequisites for the formation of extra-terrestrial life. Life forms that draw energy from numerous different chemical processes on these moons could sidestep the need for sunlight or photosynthesis, which most terrestrial life relies on. JIMO

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<sup>59</sup> American Institute of Aeronautics and Astronautics. *Space Nuclear Power: Key to Outer Solar System Exploration*, an AIAA position paper, New York, 1995.

<sup>60</sup> Kuhn, Thomas S. *op cit*, p 151

proposes to explore the moons robotically for Jovian life forms and transmit the findings back to earth. Certainly, this mission would be an historic boon to science. However, from the social perspective, launching JIMO must not present either a real or perceived unacceptable level of risk to the public. From a political perspective, the costs of JIMO must be acceptable to a democratic society and consonant with other national priorities.

## Risk (Axis 2)

The real and perceived risks are depicted along the second axis of the model. From a technical perspective, this risk generally involves an analysis of the probability of failure of the system and the associated consequences of that failure. For SNP the quantification of technical risk is limited by the trans-scientific nature of the problem. Therefore, once technical risk analysis has been completed, the issues will quickly become political as much or more than they are technical. However, it is important to realize that the trans-science aspects of the problem must eventually be reconciled with the rigorous requirements of science.

The issue will be further complicated by the fact that scientific knowledge is not value free. It is conditioned by the social context of the researchers.<sup>61</sup> Even experts suffer from cognitive biases, and trans-scientific issues impose significant limitations on risk assessments. Both technical and social analyses have quantitative and qualitative aspects. Technical risk analysis is not purely empirical, and the social is not as normative an enterprise as many would have it seem. Unfortunately, a robust framework from which to assess the junction of technical and social perceptions of risk is not available. Therefore, the trans-scientific nature of SNP makes the problem highly political—much more so than technical. Recognizing this fact will be critical in the formulation of policy.

In any case, from the perspective of the public policy-maker risk is generally more than just a technical issue. Many other considerations, such as (1) public understanding of the science and analytical methodologies and (2) the public's risk tolerance play a critical role in the policy debate. Simply contrasting the political cultures engaged in the controversies over SNP makes it clear that political actors have great difficulty in understanding the fears and objections of

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<sup>61</sup> Krinsky, S. and Golding, D. *Social Theories of Risk*, Praeger, Westport, Connecticut, 1992, p356.

others.<sup>62</sup> The process of discovery and intelligent risk mitigation through political action will be every bit as intellectually challenging as the technical formulation of the options that pure science originally provided. In the end, a political decision-maker will also have to decide how much political capital should be risked to advance any program. In the case of SNP, this decision lies with the President. The calculation will be different for a NASA mission than for a national security issue. However, once the level of risk has been determined, the policy analysis is still not be complete. The amount of risk that can be accepted, technically, socially and politically, must also be related to the potential reward the program or mission might achieve.

## Reward (Axis 3)

For NASA missions, the rewards generally center on basic science and a better understanding of the universe. For DOD, the rewards might include accomplishing a desired military mission, adding new capabilities, or maintaining a significant military advantage over potential adversaries. From a social perspective, the public must be convinced that the rewards are sufficient to merit support of the program: that is, the reward must have recognized social value. Any SNP-enabled system or mission will be very expensive. The public will not be willing to commit the required resources if they perceive the reward as not being sufficient to justify the cost.

The political acceptability of SNP for military missions will also be dependent upon SNP being de-linked from space weaponization issues. Current policy is that outer space will not be weaponized, and the United States has long standing treaty obligations prohibiting weapons in space. Nevertheless, opponents of DOD argue that SNP is a prelude to the weaponization of space, and it is a fact that SNP is a potent enabling technology. The concerned public may also associate SNP with space weaponization. Therefore, the political feasibility of SNP is clearly dependent upon severing any perceived linkages with space weaponization. De-linking weaponization and SNP politically is perhaps the most the important factor regarding public perception of SNP. If space weapons are eliminated from the current discussion, then some of the potential rewards of SNP are listed below:

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<sup>62</sup> Jaeger, C., Renn, O., Rosa, E. and Webler, T. *op cit*, p 186.

- JIMO mission to the moons of Jupiter to search for life.
- Space based, SNP-enabled hyper-spectral sensors to track terrorist and weapons of mass destruction (WMD) proliferation threats (a hybrid intelligence, surveillance and reconnaissance, or ISR, mission).
- Space based, SNP-enabled high bandwidth communications for both military and civilian use.
- Emergent uses not currently envisioned.

Working in the three dimensional realm of relativity, risk, and reward is a necessary but not sufficient condition for program decisions involving SNP. One has only to look to the history of failed SNP programs. For SNP to have any hope of success, it will first need to have a compelling mission requirement articulated. The program will also have to navigate the murky waters of trans-science and deal with a skeptical public. Going forward with the above model will require an effective engagement strategy, one that goes far beyond simply informing the public about mission plans.

In the landscape of strategy options, one methodology appears to hold significant promise. The methodology suggested here is the Values-Focused Decision Strategy introduced earlier.

### **The Need for a Value-Focused Decision Strategy**

Essentially, the Values-Focused Decision Strategy seeks a reasoned stakeholder consensus, which starts by recognizing the interested public as a valid stakeholder in the SNP debate, not just a passive victim of policy. Increasingly, the public will not accept being policy victims to big science. The overall aim of such an engagement strategy should be to bring to light agreed ends (such as specifically enunciated and agreed goals of recognized public value from space science or national security), with means, and estimates of the likely and tolerable risks surrounding those means, negotiated as part of the process. This may be unpalatable by conservatives in the policymaking fraternity, but the overall result should be ongoing program viability if such an engagement strategy is professionally pursued.

From the perspective of DOD it might appear that there is little need or merit in pursuing a Values-Focused Decision Strategy. Clearly in the case of a national emergency or significant perceived threat, DOD can rely on the authoritarian reflex to gain permission to pursue SNP-enabled missions. As discussed in the previous chapter, an opportunity for this certainly existed in the aftermath of September 11<sup>th</sup>, 2001. However, it is more likely that DOD will have to take a more measured approach to the development of programs using SNP. Furthermore, this process will necessarily occur over several presidential administrations, perhaps as many as five, so sustained support for the program will be necessary. Whether the general public will be involved in the Values-Focused process for national security systems is not clear. However, the Congress, acting on behalf of their constituents, certainly will be.

It seems clear, then, that both NASA and DOD could benefit from an engagement strategy that takes all stakeholders into account. In fact, NASA will have to pursue such a strategy all the time if it is to have any hope of achieving success with programs such as JIMO. DOD would be wise to employ an ongoing engagement strategy as well. First, the time horizon for development of SNP-enabled systems is quite long, perhaps 10-20 years. It is doubtful that permission resulting from a crisis situation can be sustained over that length of time. In addition, the benefits of a sustained engagement strategy would be enhanced should a national security imperative arise from a crisis. While the Values-Focused Decision Strategy is only one approach, experiments using this process indicate that it improves the chances of program success. The following section describes how the strategy can be applied to SNP.

## A Values-Focused Decision Strategy for Space Nuclear Power

Values-Focused decision strategies focus on how policy options are created and nuanced by the stakeholders, in contrast to the more common analytical techniques of dispute resolution.<sup>63</sup> This difference is important when working in trans-scientific endeavors such as SNP, where scientific techniques and analytical methods lack the political permissions necessary to empirically evaluate the policy alternatives. In fact, one potential advantage of a Values-

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<sup>63</sup> Cormick G.W., Knaster A. *Mediation and Scientific Issues*. Environment 1986; 28: 6-16.

Focused Decision Strategy is that it has the potential to minimize the most potentially debilitating aspects of a trans-science problem.

A Values-Focused Decision Strategy has five steps.<sup>64</sup> These are listed below and discussed in more detail in the succeeding sections.

1. Carefully define the decision to be made.
2. Identify ‘what matters’ in the context of an impending decision in the form of the stakeholders’ objectives.
3. Create a set of appealing and purposeful alternatives.
4. Employ the best available technical information to characterize the consequences of the alternatives, including the uncertainty associated with them.
5. Carry out an in-depth evaluation of the alternatives by addressing the tradeoffs they entail.

Stakeholders play a major role in the formulation of values-focused decisions, and whom these stakeholders are will depend on the mission being considered. As discussed in the previous chapter, the primary kinds of missions for SNP involve space science, presumably under the direction of NASA; national security, under the purview of DOD; and possibly commercial applications, which could include a large number of international actors. Shown in Table 3 below are some of the stakeholders that are likely to be involved in the decision process for various missions.

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<sup>64</sup> Arvais J. L., McDaniels T., and Gregory R. *op cit*, p 221-231.

**Table 3 - Potential Stakeholders in a Values-Focused Decision Strategy**

Potential Stakeholder	NASA Scientific Mission	DOD Missions	Civilian Communications Mission
NASA	χ		
Department of Energy	χ	χ	χ
DOD		χ	χ
Congress	χ	χ	χ
National Reconnaissance Office		χ	
NSA		χ	
NOAA	χ		
Federation of American Scientists	χ	χ	χ
UN		χ	χ
“In-Community” International Actors	χ	χ	χ
Environmental Groups e.g. Nukewatch or FCJP	χ	χ	χ

This table is not absolute or exhaustive as presented. For any given program the stakeholders may change, and some that might otherwise not be included may come into play. However, identifying a viable set of stakeholders will be critical to the decision strategy.

One of the more important aspects of the Values-Focused Decision Strategy is that it is, and is publicly perceived to be, participatory and democratic. Therefore, the process helps provide insights for the public into the reasoning behind trans-scientific policy decisions. It also creates a transparent process for all the stakeholders and builds communication channels back to the public. As well, the strategy allows the stakeholders to gain real insight to public concerns, and so develop responses to remedy difficulties.

Experience has demonstrated that a Values-Focused Decision Strategy can work. Participants in trials with Cassini as a test case expressed higher overall support for and satisfaction with Cassini than did those who worked under an expert driven regime.<sup>65</sup> Done well, a Values-Focused Decision Strategy should explicitly address public concerns about permission, trust, liability and risk.

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<sup>65</sup> Hsu, J. *op cit*, p 8.

## **Step 1. Defining the Decision**

In order to define the decision, the proposing agency must establish the mission requirements and the technological facts first. Once the empirical data are on the table, the stakeholders compare the relative costs and risks of each feasible course of action. In this case, three major types of space missions that may seek to use nuclear power sources are broadly categorized as military, scientific, or commercial. As described earlier, there are only five space power alternatives for these missions, and the analysis of the objective costs and technical feasibility of each option is straightforward.

SNP provides very high power levels for long periods of time. All of the other power sources make significant technical tradeoffs between available power and operational life. Once these scientific facts are laid out, then the question is not whether we should use SNP, but rather how to balance mission capabilities, risks, and the political rewards. There may well be a time in the future when SNP can be considered as an alternative to solar or chemical energy. In the current political environment, however, SNP should be reserved for the missions that truly warrant the tradeoffs required and for missions that still cannot be deferred after properly considering the risks and rewards.

## **Step 2. Identify 'What Matters' to the Stakeholders: Feasibility and Risk**

The second step in the Values-Focused Decision Strategy is to identify specifically what matters to stakeholders. This step-by-step approach helps to maintain the distinction between empirical facts and the interpretation of data. Values-Focused decision strategies facilitate policy making by respecting legitimate differences in scientific and political judgment. By focusing on value judgments in this step, the strategy helps stakeholders to articulate their political objectives instead of becoming mired in the far more contentious activity of interpreting scientific data. Clearly stating the political objectives is a vital part of the strategy because politics, not science, defines specifically where the significant differences are and ultimately "what matters" in the debate.

Perhaps this appears as an intellectual defect from a scientific perspective, but political limitations born from the positions of the stakeholders turn out to be crucial to the development of public policy options that science cannot fully inform. By focusing attention on the political

aspects of the problem as opposed to purely scientific aspects, the implications of policy are investigated by the stakeholders in crucial areas and in detail that would otherwise be impracticable for the scientific community to pursue alone. This is extraordinarily important, because trans-scientific policy is the articulation of the political acceptability of risks versus the perceived benefits of the policy options that science provides but cannot verify.

SNP will be considered as a policy option when it appears to be the only technologically feasible way to accomplish the mission to the proposing agency. Although the costs and technical feasibility are generally straightforward to understand in the first step of this strategy, the risks and potential consequences of choosing a particular course of action are much more open to interpretation and value judgments by the stakeholders. Therefore, it is the interpretation of science that informs the various risk and benefit assessments in trans-science.

Considering again the Cassini program as an example, proponents came from a small cross-section of society. They tended to be highly logical technocrats but were also imbued with enthusiasm for the romance of space exploration. That enthusiasm can be compelling, depending on the audience, and it certainly does color the debate. The transcripts of public engagements between NASA and Cassini protestors indicate that the NASA representatives tended to push the scientific logic and analysis of their position, with the romance of space exploration serving to underpin their argument. On the other side of the debate, the FCPJ presented counter-arguments to appeal to the fears of the public with regard to technology and to emphasize the absolute worst possible negative consequences that could be extrapolated from scientific data. The opposing parties often talked past one another, and rarely did the different positions intersect such that a negotiation could be initiated.

The FCPJ and NASA were in a polarized and adversarial political battle in 1997 with little or no room for compromise or useful discussion of tradeoffs. Yet trans-scientific issues, especially when the science involves fissile materials, require a thoughtful understanding of the consequences that science informs but does not verify. In order to create dialogue between the stakeholders, the Values-Focused Decision Strategy could be used to identify the key issues and potential area of compromise.

In a social experiment conducted by Arvai, the differences between a Values-Focused Decision Strategy and expert driven decision processes were evaluated.<sup>66</sup> Arvai's study found that a Values-Focused strategy increased acceptance of a policy decision—either positive or negative—regarding RTGs on Cassini by roughly 25% for both men and women.<sup>67</sup> In the experiment, stakeholders subjectively evaluated overall acceptance on a seven-point scale. Approval rating increased from an average of 3.8 to 5.1 for women and 4.1 to 5.3 for men. The sample sizes indicated results were statistically significant to the 0.01 probability level for both men and women whether they were considering the risks or rewards of RTGs on Cassini. In the same study, the Values-Focused Decision Strategy improved the policy process without causing politics to interfere with the underlying science. The openness and democratic legitimacy of the Values-Focused strategy permitted the stakeholders to respect differing interpretations of science and therefore accept different policy outcomes.

Another example of a significant “what matters” issue is the linkage between SNP and the weaponization of space. Although NASA generally makes a strong case against this linkage, they still are forced to deal with it. And, there can be little doubt that the linkage to weaponization will immediately be made for any DOD system that proposes to use SNP. How program proponents address this issue with the relevant stakeholders will have a major impact on the success of the policy process.

### **Step 3. Create Appealing and Purposeful Alternatives**

The third step in the Values-Focused Decision Strategy is to create appealing and purposeful alternatives. In considering space power alternatives, the technical limitations are quite clear. Special consideration must be given to nuclear power sources (both RTG and fission) because they have political considerations that solar and chemical technologies do not. The overall political acceptability must balance the mission benefits with a technical risk assessment of alternative power sources.

Agencies such as DOD may soon be proposing nuclear power to support national security objectives. SNP is particularly attractive to DOD because the department already uses both

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<sup>66</sup> Arvai, J., McDaniels, T. and Gregory, R. *op cit.*

<sup>67</sup> For more specific statistical data see Arvai J. *et al*, *op cit*, p 228

nuclear and space technology and has resident expertise. Therefore, the costs of entry are considerably lower for DOD than for other government agencies. DOD also might tend to prefer nuclear power sources because they have a much higher energy density than the alternatives and are considerably more reliable. Here is a short list of the missions that DOD may propose that may or may not require SNP.

### Military Missions That May Require Nuclear Power

- Space-focused radar
- Laser Communications
- Electric/Ionic Propulsion
- Space based Data Processing
- Mid-Course Discrimination
- Advanced Meteorology
- Battlefield Illumination
- Directed Energy Station keeping

### Military Missions Not Likely To Require Nuclear Power

- GPS/navigation
- Continuous Optical/UV reconnaissance
- Space Weather
- Meteorology
- Communications

Military space missions generally cannot be scaled up or down in order to move from one power option to another. For example, space based radar sensors require a continuous power source of greater than 100kWe to provide space sensors with the ability to generate sufficient radiant energy to map the earth's surface, as well as to transmit data with the reliability required under wartime conditions. Therefore, with currently available technologies, space based ground mapping radar will require SNP, and alternatives such as fuel cells or solar energy will not

suffice for mission requirements. Nevertheless, DOD's opponents do contend that missions might be accomplished without space resources altogether. This results in choosing the option of not launching at all rather than risking a military mission with fissile material in orbit.

This may seem like a dilemma where appealing alternatives are not available to either camp. However, it may be possible to reduce the power requirements somewhat in space to make solar or fuel cell technology more attractive and then to augment the space mission with airborne assets such as the Air Force's JOINT STARS or the U-2. The Values-Focused Decision Strategy encourages the stakeholders to examine these options in depth.

For scientific exploration of space, it is possible to scale required power levels up or down to a much greater extent than with military missions. The inflexible aspect of scientific mission requirements is usually flight time. For example, interplanetary explorations can be done with devices requiring very low levels of power. However, an interplanetary expedition is necessarily a long duration operation and will require a highly reliable power source that can function for months or even years at a time. Therefore, scientific missions tend to prefer one of three power options. Solar power is long lasting but limited by low solar flux beyond earth orbit. Also, solar panels are large and quite fragile, which diminishes their reliability and puts the mission at risk. This risk is very important when considering the costs of space expeditions and the national prestige that may be on the line in the event of mission failure.

RTGs are also very limited in the amount of power they can provide. However, they are extraordinarily reliable and can function continuously for as long as 70 years without maintenance. This has made RTGs the preferred power source for deep space exploration thus far, despite the fact that there was considerable political opposition to launching RTGs containing Plutonium 238 on the Cassini mission in 1997.

When SNP is considered for scientific space missions, it must be for the few missions that require considerable power for long periods of time. SNP will also be necessary when long duration power is required and solar panels are not sufficiently reliable for the mission. The scientific missions that may meet those requirements are outlined below.

## Potential Scientific Endeavors Requiring Nuclear Power

- Deep-Space Missions
- Interplanetary Robotic Exploration
- Extended Human Lunar Mission
- Human Exploration of Mars
- Propulsion
- Advanced Meteorology

The potential commercial applications of SNP are currently limited to hypothetical scenarios only. Due to the trans-scientific nature of SNP, it is highly unlikely that commercial interests would be the first to use nuclear technologies in space. It is much more likely that commercial ventures would piggyback on a military or scientific enterprise. Only after SNP has passed from the trans-science arena into the realm of routine public policy will we see space nuclear reactors dedicated to commercial enterprises. Some of those future enterprises are listed here.

## Potential Commercial Endeavors Potentially Requiring Nuclear Power

- Space based Manufacturing
- Laser communications
- High Power and Broadband Communications
- Directed Energy Power Transmission

The potential rewards of SNP used for commercial purposes are primarily financial; however, it would be extraordinarily difficult to justify public risks for the sake of private investment interests in the present political environment. Perhaps commercial uses of SNP will be justifiable sometime in the future, after the risks have been mitigated by previous experimentation and SNP has moved beyond the realm of trans-scientific policymaking. In the interim, commercial use of SNP will likely be limited to joint enterprises with the military or scientific communities.

When stakeholders perceive the technical options are exhausted they will then undertake more normative work to politically reformulate the policy options in terms more favorable to their biases and interests. Stakeholders must attempt to resolve residual ambiguities concerning risks and reward to reach an acceptable consensus. The stakeholders refine consequence descriptions and perform political risk analysis of problems to which scientific technique had previously only drawn attention.

#### **Step 4. Employ Best Available Technical Information to Characterize Consequences and Uncertainties**

By articulating what matters and working together to create appealing alternatives, the stakeholders tend to focus on, and attempt to increase the accuracy and scope of, science that they interpret to be particularly revealing of either the political risks or the benefits of a proposed program, depending upon their preferred policy option. The stakeholders focus on scientific facts that can be compared directly with political predictions from their preferred policy options. Stakeholders such as Nukewatch, the Federation of American Scientists, or the FCJP are willing to invest great effort and ingenuity to bring science and their preferred policy options into closer empirical agreement.

Ultimately, when the stakeholders' analysis and interpretation of science cannot serve their particular interests, the stakeholders must endeavor to discover revealing new facts or else adjust their interests and begin to accept some compromise. Even so, some established stakeholders acting as social risk amplifiers occasionally refuse to consider new scientific analysis and show little tolerance for those who do.<sup>68</sup> For example, Nukewatch activist Bruce Gagnon has protested that scientific exploration missions to Mars are a "dangerous step in the expansion of nuclear technology into space"<sup>69</sup> because the spacecraft uses RTGs. Mr. Gagnon insists that these missions are dual use with the military, despite the fact that there is no conceivable military mission beyond Earth orbit and the RTGs used are 30-year old technology with no applicability to weapons development.

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<sup>68</sup> As an example see the following court case where the plaintiff repeatedly tries to suppress Environmental Impact Statements revised specifically to answer the plaintiff's original scientific objections. Florida Coalition for Peace and Justice v. George Herbert Walker Bush, Lexis 12003 (Washington D.C., 1989).

<sup>69</sup> Leonard, David, *NASA'S Nuclear Prometheus Project Viewed as Major Paradigm Shift* <[http://www.space.com/businesstechnology/technology/nuclear\\_030117.html](http://www.space.com/businesstechnology/technology/nuclear_030117.html)> accessed Mar 26, 2004

Nevertheless, science can eventually extend the stakeholders' experience base to facts that will be particularly persuasive. This step in the decision strategy is an effort to move policy goals forward using the scientific tools that are available, including the untested, incomplete, and unverifiable hypotheses that normal science can provide without incurring unacceptable political risks. According to Steven Aftergood of the Project on Government Secrecy within the Federation of American Scientists

There are those in the broad general public who aren't concerned about safety. There are others who can't be convinced that space nuclear power and propulsion are sufficiently safe. But for everyone else, a program that is open, accountable, and responsive to public inquiries is most likely to be acceptable. As a practical matter, this means acknowledging that space nuclear power, like spaceflight in general, is not 'safe' in any absolute sense. The issue rather, is the value of the mission as well as the adequacy of the steps that are taken to minimize potential hazards.<sup>70</sup>

This dialogue is beneficial to both scientific interests and the political debate. If risks and consequences are characterized with intellectual integrity, then this grounds the political debate with sound scientific judgment and analysis. The political context likewise proscribes ethical scientists from performing experimentation or research that may cause unacceptable public risks and should have political oversight. At this juncture the policy-maker simultaneously has useful political and scientific leverage on the problem. This dual leverage is necessary in order for trans-scientific policy to advance science and minimize public risks. The transparency of the process results in a more open ended policy formulation model that respects the democratic process.

### **Step 5. In-depth Evaluation of Alternatives Addressing Tradeoffs**

The process of discovery and intelligent risk mitigation through political action can often be every bit as intellectually challenging as the original technical formulation of the options that pure science originally provided. In the previous steps of the Values-Focused Decision Strategy, every reasonable effort must have been made to call forth new data and political options. The art of policy process is to ensure that the concerns of both sides of the political debate are addressed in a scientifically sound way.

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<sup>70</sup> *Ibid.*

At this final step in the decision strategy, the options are evaluated on the basis of their political merit as informed by the scientific judgments carefully formulated in the previous steps. The JIMO mission provides an example. Nuclear power will be needed to power the expedition unless extraordinary advances are made in solar or chemical energy sources, and such advances are not foreseen. Waiting for them could be a matter of decades. So if JIMO is to proceed, even by 2012, it must utilize SNP. Therefore, the principal public risk with JIMO involves getting fissile material into orbit safely.

In this step of the Values-Focused Decision Strategy, the stakeholders evaluate the alternatives using best professional practices and scientific techniques. Although judgments will certainly vary, the alternatives are specified in scope and detail that would not be possible otherwise. Where differences in judgment exist, those judgments are specific and based upon common entering arguments for scientific evaluation. Values-Focused strategies focus on how options are created and permit policy decisions to be accepted by the stakeholders as compared to more didactic methods of dispute resolution.<sup>71</sup> This is important when working in trans-scientific endeavors such as SNP, where scientific techniques and analytical methods lack the political permissions necessary to evaluate the policy alternatives scientifically.

## **How to Know if the Process is Working**

One fundamental question about the process remains to be addressed: How will one know that the Values-Focused engagement strategy is working? Determining measures of effectiveness is an important challenge, but it is necessary to ensure that objectives are being met. Because of the trans-scientific nature of the problem, purely empirical measures are necessary but not sufficient to judge how effective the strategy is in attaining its goals. Therefore, empirical measures of merit must be supplemented with subjective evaluations. For example,

- Assessment of proponents' and opponents' political will
- Opportunity costs of not accomplishing a scientific mission
- Additional risk to national security of not accomplishing a military mission

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<sup>71</sup> Cormick G.W., Knaster A. *Mediation and Scientific Issues*. Environment 1986; 28. pp 6-16.

- Potential environmental damage from launch failure

These measures of effectiveness will factor into the policy-makers' advice to the political decision-makers regarding SNP. Although there is a great deal of technical information that can be quantitatively determined, the qualitative assessments of the risks and rewards are the politically contentious issues. Because the risks and rewards cannot be determined precisely or strictly quantified, the interpretation of science by the stakeholders should explain the concerns and why they matter politically.

## **Operationalizing a Values-Focused Decision Strategy**

The United States will soon be at a decision point concerning SNP. The balance between SNP's promise and the public's concern about public risk is further complicated by the fact that a nuclear technology in space is a trans-scientific issue. Policy makers cannot ignore the scientific uncertainty of the consequences of a nuclear payload breaking up in the Earth's atmosphere. The technical experts may argue over the ramifications of an accident, and program opponents and proponents may use their own interpretations of science to further their policy goals. In the meantime, the public in the middle remains quietly confused and certainly uncomfortable with the consequences program opponents describe. The trans-scientific doubt about the SNP places Congress and the Executive branch in the difficult position of making a decision about SNP programs without a complete understanding of either the risks or the consequences.

Because trans-scientific decisions are ultimately a matter of political judgment, the risks of SNP should be considered along with reward and relativity. These three policy dimensions are the foundation upon which a Values-Focused Decision Strategy aids policy formulation. Ultimately, the goal of a Values-Focused Decision Strategy is to manage the trans-scientific uncertainties while protecting the intellectual integrity of the underlying science that originally generated the policy option. A Values-Focused Decision Strategy outlines a democratically legitimate and scientifically sound rigorous method to assist policy-makers in considering SNP as a trans-scientific policy problem. Given the high stakes surrounding SNP, an effective engagement strategy is critical to the success of any future SNP-enabled missions.

# Chapter 5

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## Conclusions

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*I began by trying to quantify technical risks, thinking that if they were “put into perspective” through comparison with familiar risks we could better judge their social acceptability. I am ashamed now of my naiveté, although I have the excuse that this was more than twenty years ago, while some people are still doing it today.<sup>72</sup>*

Harry Otway  
PhD, 1992

Nuclear technologies were the first instance of a scientific problem that entered the political realm as trans-science. If mishandled, the negative consequences of nuclear experimentation would have been momentous, if not catastrophic. A public increasingly aware of the risks has slowly withdrawn political permissions for conducting most nuclear science. Since the Three Mile Island nuclear accident in 1979, no new nuclear power plants have been built or ordered in the United States. The issues of waste disposal continue to be contentious. Like most things nuclear, space exploration also has significant risks that complicate the political problems of SNP. Therefore, we believe that the most certain way to cultivate the unscientific public’s trust is to simultaneously improve the underlying nuclear and space technologies while engaging the public politically in an open and democratically transparent way.

We also believe scientific technique will eventually be able to fully inform SNP policy. Presently, however, scientific techniques and analytical methods lack the political permissions

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<sup>72</sup> Quoted in Kammen, Daniel and Hassenzahl, David. *Should We Risk It? Exploring Technological Problem Solving*, Princeton University Press, 1999.

necessary to properly evaluate the risks and rewards of SNP as an alternative source of power in space. In the meantime, we believe that a public policy decision that involves SNP should be made with a Values-Focused Decision Strategy that informs the political dimensions of risk, relativity, and reward.

This paper has addressed the question, '**What mechanism would improve the political feasibility of a nuclear power program for United States space operations?**' The authors' inquiries have highlighted the fact that the answer is highly contextual and falls squarely in the realm of political judgment as opposed to scientific analysis. Moreover, the difficulties of making these political judgments are intrinsic to the trans-scientific nature of SNP. Policy-makers have incomplete empirical or analytic data to draw from when making decisions. The interpretation of whatever data are available can vary widely from one set of experts to the next, clouding the judgments that need to be made about social value and political risk in choosing whether to implement a trans-scientific program such as SNP.

Unlike pure science, trans-scientific policy must harmonize the rigors of scientific judgment with the imperatives of politics. Empirical analysis is a necessary but not a sufficient tool for solving trans-scientific policy problems. That conclusion and the fact that some stakeholders have not assimilated it have caused significant problems in engaging the public with respect to SNP. There is a resulting discomfort about SNP even in politically moderate circles because of the dearth of empirically verifiable data regarding the political risks and rewards. Although the rewards of SNP are potentially profound, they are sacrificed because of the publicly perceived but unverifiable risks.

Although SNP is now clearly technically feasible, it is not currently politically acceptable to the public because of the perceived risks. The present situation contrasts sharply with the 1950s, when SNP enjoyed tacit public support and was even touted in the popular press. This change seems to be due to the fact that the unscientific public has become increasingly aware of partisan risk assessments presented by political activists opposed to all forms of nuclear power, including SNP. However, the public is still largely unaware of the trans-scientific uncertainties regarding the assessments of both risk and reward.

Organizations such as the FCJP or Nukewatch have made the maximum plausible assertions regarding the possible risks, while NASA (and/or DOD) has made the minimum plausible assertions about these same risks. These extreme positions have done little to advance either science or reasoned political discourse. Neither group can be entirely sure of its position with respect to SNP because of the trans-scientific doubt surrounding the risk and potential consequences of an accident on the launch pad or in Earth's atmosphere.

The issues surrounding trans-scientific risk assessment for SNP are extraordinarily complex and multifaceted. Therefore, an engagement strategy is required that is democratically legitimate and transparent. A strategy must take the issue to the people, or at least to their representatives. A decision strategy that addresses such difficult scientific issues must also protect the intellectual integrity of science from undue political influence.

To assist in addressing stakeholder concerns, we have presented a model that policy-makers considering SNP can use to ensure they have thoroughly evaluated the positions of the various stakeholders while simultaneously respecting the rigorous requirements of sound scientific judgment. The authors' first recommendation is that potential risks should be considered along with reward and relativity, much as with any other political issue. However, in the case of SNP, these three considerations exist within a trans-scientific context. The trans-scientific nature of the problem implies that sound scientific analysis is a necessary but not a sufficient prerequisite to achieving a politically acceptable solution. The political interpretation of scientific data and value judgments will determine whether SNP is feasible or not.

NASA has been carrying the torch for SNP and will continue to do so until DOD establishes a definite mission imperative. Therefore, NASA's engagement strategy must establish the initial issues around SNP and space science that are germane. DOD should learn from NASA's experience, even if society's national security permissions arise from different concerns than do those of space science. Although the mission objectives would be different, the political dimensions of risk, relativity, and reward, shrouded by trans-science, would be the same for both agencies. We also believe that DOD should consider an ongoing program that would at least maintain the state of the art in SNP technology, as well as explore mission scenarios where SNP might be desirable or necessary.

There are several reasons for doing this, but at least three stand out. First, the knowledge base for developing SNP is rapidly aging. The last major program to be considered was terminated in 1993 and many of the scientists in the field have either retired or moved on to other things. Second, it is very likely that future DOD space operations will require high power, the kind that only nuclear reactors appear to be able to provide. Given the long lead time to develop such systems, an ongoing program makes sense. Finally, SNP is not a system enabler that can be developed and deployed over night. Should a future national security situation arise where SNP would play a critical role, an ongoing program is much more likely to be available in the short term than one started from scratch.

The authors' next recommendation is to use a Values-Focused Decision Strategy to inform the dimensions of the trans-science feasibility space as discussed in Chapter 4. A Values-Focused strategy presents an alternative to the present, highly polarized political framework. In the Values-Focused alternative, both sides agree to seek a proposition based on shared values.<sup>73</sup> By determining "what matters" to the stakeholders in terms of informed value judgments, concerns regarding risk and reward are shared early and embedded in policy formulation from the outset. In essence, this Values-Focused strategy seeks a reasoned stakeholder consensus that respects sound scientific judgments on both sides of the debate. The strategy also recognizes the interested public as a valid stakeholder in the SNP debate.

The Values-Focused Decision Strategy allows the stakeholders to inform the unscientific public about their interpretation of scientific information regarding both the risks and rewards of SNP. These value judgments about the interpretation of scientific data are folded into the political decision process and publicly reevaluated using the best available scientific techniques to characterize the consequences of a particular course of action. This analysis in turn informs the classical political dimensions of risk, relative merit, and reward. Two of the more important aspects of the Values-Focused Decision Strategy are that the strategy is participatory and democratic. Therefore, the process helps to provide insights for the public into the reasoning behind trans-scientific policy decisions. This process creates a transparency for all the stakeholders and builds communication channels between the concerned public and the policy-makers. NASA is in an excellent position to test the strategy much as was done for Cassini

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<sup>73</sup> Arvai, J., et al. *op cit.*

project.<sup>74</sup> This test should focus on Project Prometheus and the proposed JIMO mission. If successful the Values-Focused decision strategy could then be employed with the relevant stakeholders.

For more than 50 years now, the United States has explored the potential of nuclear power in a variety of space focused applications. There have been numerous technical challenges; however, most of the technical issues have now been overcome. The public is interested in space science but is also sensitive to the political risks, the relative merit of alternatives, and the potential rewards. Politically aligned and activated, even a small part of the public would pose pressure that policy-makers could not ignore, and such pressure may determine the feasibility of SNP systems going forward.

So, will we ever see the day when there are nuclear reactors flying in space? NASA certainly hopes so, and DOD will probably wish it to be so in the not too distant future. The ever increasing demands for power in space seem to suggest that SNP is inevitable. No matter what the outcome, we believe informed stakeholders making decisions based upon shared values, while still respecting legitimate differences in scientific judgment is a far better policy process than the divisive and uncompromising situation that presently exists.

## **Summary of Recommendations**

- Modern society is risk adverse, especially so regarding nuclear technologies.
- Carte blanche permission for the U.S. government to develop nuclear technologies has been withdrawn.
- For SNP to be feasible there must be a compelling mission requirement and a reasonable level of popular political support.
- The Values-Focused Decision Strategy should be employed by both NASA and Department of Defense SNP missions.

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<sup>74</sup> Arvai, J., et al. *op cit.*

- NASA should carry SNP public policy forward with a focus on deep space exploration—a mission that requires SNP technology.
- Department of Defense also has a strong case for SNP arising from emerging security concerns and should leverage NASA’s experience.

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## Appendix 1

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### The History of Space Nuclear Power

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*The utilization of atomic power in outer space offers the greatest opportunity for the United States to create a climate of world good will through leadership in peaceful space exploration. This matter could be as important as the hydrogen bomb debate in the early 1950s or the Manhattan project decision in 1942. Few things deserve more serious reflection, for we can now be missing a great opportunity while sowing the seeds of another prestige disaster...<sup>75</sup>*

Senator Clinton P. Anderson  
Chairman of the Joint Committee on Atomic Energy, United States Senate, 1960

## Early Developments

### Atoms for Peace

Before embarking on a discussion of the history of space nuclear power (SNP), it is useful to consider the political context in which the early programs began. The Cold War was underway and tension between the United States and Soviet Union was increasing. The first use of atomic power had been the two bombs dropped on Japan by the United States at the end of the Second World War in 1945. The Soviet Union conducted their first atomic test in 1949 and by 1953 both superpowers had detonated hydrogen bombs. Both countries were rapidly developing large stockpiles of nuclear weapons. Against this backdrop, President Dwight Eisenhower, initiated a bold program to try and pursue nuclear power for peaceful purposes. In a speech before the United Nations General Assembly on 8 December, 1953 he described a new initiative called Atoms for Peace. President Eisenhower explained his policy in the following quote:

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<sup>75</sup> Anderson, Clinton P. *Nuclear Power for Outer Space*, Senate Floor Speech, 1960.

The United States knows that peaceful power from atomic energy is no dream of the future. That capability, already proved, is here now – today. Who can doubt, if the entire body of the world's scientists and engineers had adequate amounts of fissionable material with which to test and develop their ideas, that this capability would rapidly be transformed into universal, efficient and economic usage?<sup>76</sup>

Dwight D. Eisenhower  
President of the United States, 1953

What this speech indicates is that even with the development of nuclear weapons there was a sincere desire to see other applications of nuclear energy come into being. It is also an indication that American society, if not the entire world, was being encouraged to support the development of nuclear technologies. In some sense, there was probably no more opportune for SNP to have been developed.

### **The Nuclear Navy Program**

Even before the Atoms for Peace initiative the United States was in the process of pursuing nuclear power. In 1948 the Navy began the development of a nuclear reactor for submarine propulsion. The Navy effort is worthy of analysis because it highlights several important contextual issues which combined to make it successful. In contrast, the political factors that made the Navy program successful did not transfer directly to SNP.

The Navy program was the first major nuclear power effort after World War II. The Cold War was beginning and the political imperative to establish worldwide United States military superiority had high priority. At the time submarines capability to operate submerged was limited. Then they were basically surface ships with the ability to submerge for periods of only 30 to 40 minutes. While under water their maneuverability was also extremely limited. Their poor subsurface capability imposed severe tactical limitations on their employment and compromised their most important attribute, stealth.

Hence, a main motivation for developing nuclear power for submarines was to obtain the capability to operate for extended periods below the surface of the ocean. The nuclear submarine

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<sup>76</sup> Eisenhower, Dwight D. *Atoms for Peace*, Speech given to United Nations General Assembly, 8 December 1953.

program achieved a significant milestone on January 17, 1955 with the Nautilus.<sup>77</sup> The Nautilus was the world's first nuclear powered naval vessel. Now submarines could stay underwater for extended periods of time with a reliable power supply that could provide propulsive as well as onboard electrical power. The success of the Nautilus spawned future generations of ever more capable nuclear powered submarines and initiated profound changes to the fundamentals of naval warfare, deterrence, covert operations and intelligence gathering.

Underlying the success of the Navy program were several important factors. First, the Navy had a compelling mission requirement that no available alternative enabling technology could provide. Second, nuclear propulsion produced a substantial performance increase for submarines that improved their capability, employability and versatility. Third, the Navy had the advantage of economy of scale in that many submarines would ultimately be produced making the development of purpose built nuclear reactors cost effective.

The Navy had a compelling mission requirement for nuclear propulsion.

The Navy also benefited from the tacit, *carte blanche*, political permissions to proceed with nuclear technology that the Cold War provided. The public knew little about nuclear power in any case and national security was adequate justification to proceed with nuclear power on warships. In fact, at the time there was no requirement for regulatory approval outside of DOD. In addition, the political effectiveness of then Captain Hyman Rickover should not be underestimated.<sup>78</sup> His constant direction, push, and oversight certainly contributed to the success of the program.

Finally, the Navy program appears to have had wide acceptance by American society to go proceed. Even the entertainment industry was politically supportive. A Walt Disney Productions graphic for the Nautilus is depicted in Figure 10. The political conditions reflected by the image stand in stark contrast with those of today. It is almost impossible to imagine today an entertainment company signing on to promote a “politically incorrect” and contentious technology such as SNP. The Disney company also produced an animated film entitled ‘Our Friend the Atom’ in 1957 that was intended to promote atomic technology.

<sup>77</sup> Simpson, John W. *Nuclear Power from Underseas to Outer Space*, American Nuclear Society, 1995, p 65.

<sup>78</sup> Simpson, John W. *op cit*, p 35.

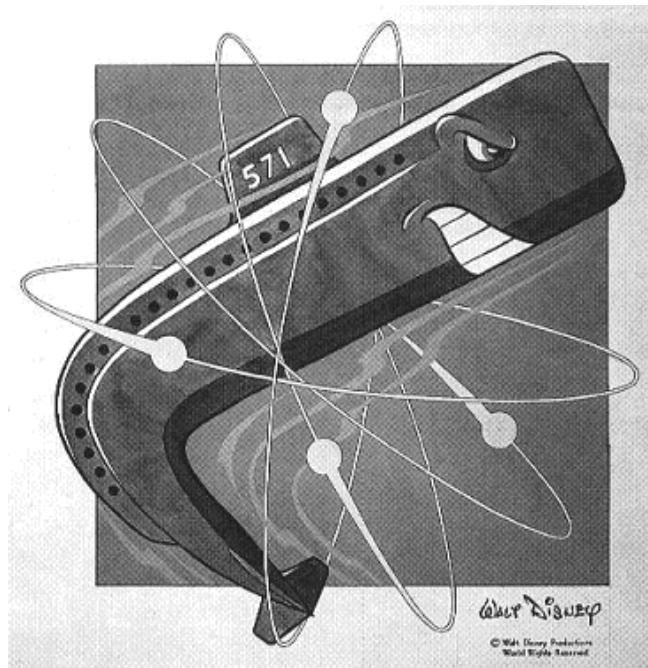


Figure 10 - Disney Graphic of Navy Nautilus Submarine.<sup>79</sup>

### Early Space Reactor Programs

Proposals for SNP began to appear in the late 1940s. Despite the earlier successes of the Navy program, few policy-makers seem to have seriously considered how nuclear power might be employed for space applications. Rather, public attention was turned to the development of commercial nuclear power reactors. Work was begun on the Shippingport nuclear reactor located on the banks of the Ohio River in Pennsylvania. This reactor was to be the world's first commercial nuclear electric power generator. By all accounts the Shippingport program progressed very successfully and the nuclear core of the reactor was inserted on October 4, 1957.<sup>80</sup>

October 4, 1957, is also significant for another event, the launch of Sputnik. The political shock of the Sputnik launch to the United States was momentous and galvanized United States political will into pursuing the space race against the Soviet Union. Increasing emphasis was placed on scientific education and the country began to invest significant time and resources into space programs. Around the time of Sputnik, scientists and engineers who had been working on the Shippingport reactor were already thinking about where the next new challenge for nuclear

<sup>79</sup> Simpson, John W. *op cit*, p 64.

<sup>80</sup> Simpson, John W. *op cit*, p 109.

power might come from. They got their answer as they gazed upward to see the small Soviet satellite pass overhead and wondered immediately what the role for nuclear power in space might actually be. This crucial question, even at the earliest stages of SNP, is indicative of the major problems that plagued the SNP program. What are the mission requirements and what alternative courses of action are available to policy-makers? The scope of what the United States wanted to accomplish is summarized well in the following quote from Glenn Seaborg, then the chairman of the Atomic Energy Commission.

What we are attempting to make is a flyable compact reactor, not bigger than an office desk, which will produce the power of the Hoover Dam from a cold start in a matter of minutes.<sup>81</sup>

Glenn Seaborg  
AEC Chairman, 1958

### **Early Space Propulsion Reactor Programs**

Initial studies on nuclear rocket propulsion had begun as far back as 1947.<sup>82</sup> The technical focus was on nuclear rockets for missile propulsion. The first perceived science mission requirement for a nuclear propelled rocket was a mission to either Mars or the Moon. However, unlike the Navy program no mission was actually in the works and the initial development occurred prior to President Kennedy's speech that launched the program to put a man on the moon.

This lack of a mission requirement that could be met with another technology was probably the most significant issue that would hobble the SNP program for decades to come. Over the years, several nuclear rocket propulsion systems were considered but one that stands out for its technological promise is the NERVA program. NERVA, which stands for Nuclear Engine for Rocket Vehicle Applications, became a major program effort in early 1961. Developed over several years, the NERVA program was an outstanding technological success. NERVA was intended for a mission to Mars because the NERVA rocket had several payload advantages. The most significant was the takeoff weight envisioned for the Mars mission. If

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<sup>81</sup> Simpson, John W. *op cit*, p 115.

<sup>82</sup> NERVA, <<http://www.astronautix.com/project/nerva.htm>>, accessed 24 Mar 04.

chemical rockets had been used, the orbital mass would have been three to five times greater depending on planetary alignment.<sup>83</sup>

A flight test for NERVA was scheduled for 1973 but the program was abruptly discontinued in late 1972. The program was cancelled due to a lack of funding and the lack of definite plans for a Mars mission. As the program was being pursued various political issues related to nuclear materials began to cause delays. The reactor thrust plume was slightly radioactive, although the total radioactivity was not considered a significant hazard. The greatest effect of the radioactive plume came when the above ground test ban treaty was signed in 1963. After the treaty became effective all above ground testing was prohibited—even for peaceful purposes such as NERVA. In the coming years, political constraints, like the above ground test ban treaty would continue to haunt the development of SNP.

Nuclear rockets held great promise but lacked a compelling mission for which they could be used.

## Early Space Power Reactor Programs

At the same time that the United States was pursuing nuclear powered rockets a decision was made in 1960 by the Eisenhower administration to develop a nuclear reactor system for electrical power in space. That system designated the SNAP-10A (Space Nuclear Auxiliary Power) and developed jointly by the Atomic Energy Commission and the Air Force was actually flown in orbit in 1965. The design of the reactor was technically elegant. Several safety procedures were put into place to limit the risk of a nuclear accident and exposure to radioactive materials. First, the reactor was launched in “cold state” meaning the reactor would not actually be turned on until it was safely established in its proper orbit.

The United States launched only one reactor, the SNAP-10A in 1965.

Second, even during operation, the reactor was designed such that the nuclear reactor could not go out of control. Finally, the reactor was placed in a very high orbit above the earth, approximately 1296 kilometers (over 800 miles). The reactor functioned flawlessly for 42 days

<sup>83</sup> Simpson, John W. *op cit*, p 151.

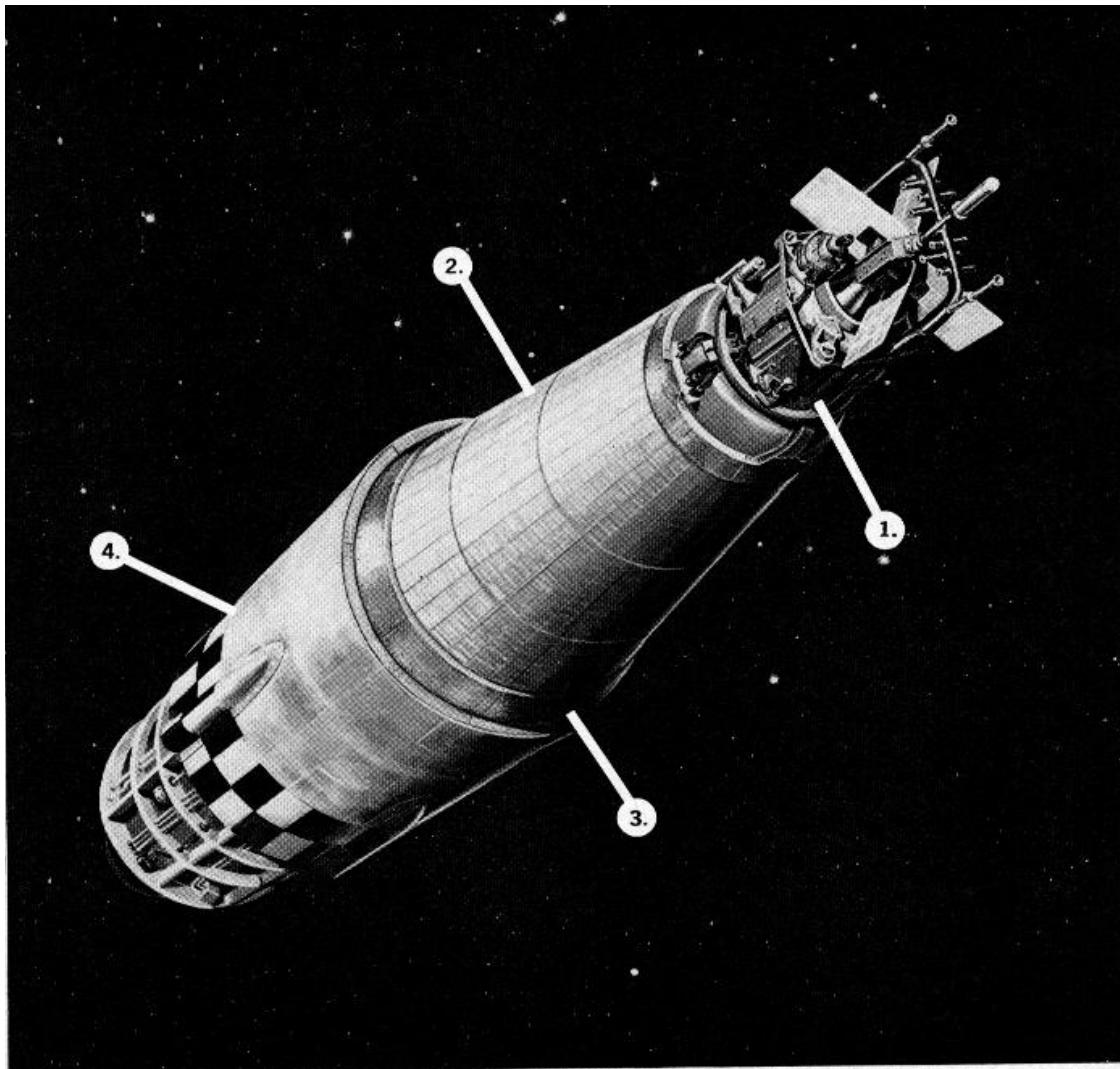
and then shut down after a voltage regulator failure. It remains in orbit today and is not expected to reenter the Earth's atmosphere for several hundred years.<sup>84</sup>

Many technologies and operational procedures were scientifically validated with SNAP-10A and expectations for the future of SNP were high. Shown in Figure 11 is an advertisement for SNAP-10A that appeared in Newsweek magazine in the June 21, 1965 issue. This historical artifact is significant as it points to the kind of political permission that existed at that time. As presented, this advertisement is not unlike one that would appear today for a sports car or modern appliances. The possible uses for the reactor were quite varied and the company which produced it, North American Aviation, appeared very optimistic about the future of SNP.

Despite the technological successes of the SNAP-10A no additional systems were produced and the program apparently ended without follow on development. The reasons for this seem lost but one likely explanation is the lack of a compelling mission that demanded the capabilities only a SNP system could provide. The government was focused on the lunar program and it appears to have taken precedence over many other programs. It is unfortunate that SNP fell off the national priority list because when it appeared again in the 1980s the political conditions were quite different. By the 1980s the public's tolerance for nuclear technology was much diminished from that of the 1950s and 1960s.

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<sup>84</sup> Angelo, Joseph A. and David Buden., *op cit*, pp 165-168.



1. Nuclear reactor to produce heat 2. Thermoelectric units to convert heat into electricity 3. Instrumentation compartment 4. Agena vehicle

**This is SNAP 10A**  
**the world's first nuclear reactor in space and the newest  
addition to America's space power from North American Aviation**

SNAP 10A is more than 700 miles out in space...circling the earth every 112 minutes in a 4,000-year orbit. During its 43 days of uninterrupted, flawless operation, it produced over 500,000 watt-hours of electricity. SNAP reactor systems can provide power for observation and weather satellites, orbiting laboratories, electrical propulsion in space, and for communicating directly from space to ordinary antennas on the ground. The SNAP 10A system was designed and built by North American Aviation/Atomics International Division for the Atomic Energy Commission. NAA/Rocketdyne built the Atlas rocket engines that launched it. North American Aviation is a leader in electronics, aviation, life sciences, space flight, atomic energy, rocketry, and basic research.

**North American Aviation**

Atomics International, Autonetics, Columbus, Los Angeles, Rocketdyne, Science Center, Space & Information Systems

**Figure 11 - SNAP-10A Reactor Advertised in Newsweek in 1965**

## The Soviet Union's Space Nuclear Program

At the same time that the United States was developing both nuclear propulsion and power systems the Soviet Union was also working hard on SNP for military applications. From 1967 to 1988 the Soviet Union launched 35 nuclear reactor systems.<sup>85</sup> The primary purpose for these reactors was to provide power for Radar Ocean Reconnaissance Satellites (RORSAT). Soviet radar technology was limited at the time and in order to obtain a useful radar signal the satellites had to be flown at low orbital altitudes. This altitude was too low for solar panels to be used due to their high atmospheric drag, hence the need for SNP. This highlights a major difference between the Soviet program and that of the United States. Unlike the United States, the Soviet Union did have a compelling mission requirement that could not be satisfied by an alternative technology.

Unlike the United States, the Soviet Union had a compelling mission requirement for nuclear power in space.

The Russian reactor, called the Topaz 1, was first unveiled at the 1964 Third U.N. Conference on the Peaceful Uses of Atomic Energy. These reactors were used until the late 1980s; well into the timeframe where worldwide political activism concerning nuclear power was beginning to have significant policy impact elsewhere in the world. Unlike the United States, the Soviets had no need to consider the internal political aspects of using SNP. Furthermore, given the highly sensitive nature of these systems it is likely the Soviet public didn't even know they existed.

Significantly, the Soviet RORSAT program suffered five failures, three of which resulted in unplanned re-entries of fissile material. In April 1973, a Soviet RORSAT mission launch failure resulted in the return of the power source in the Pacific Ocean, North of Japan. Air sampling by United States planes detected radioactive material as would be expected from the Topaz 1 reactor. On the 24<sup>th</sup> of January 1978, a Cosmos satellite carrying a Topaz 1 nuclear reactor re-entered the atmosphere over the Northwest Territories of Canada. According to the Soviets, the reactor was designed to burn upon re-entry. Nevertheless, a significant amount of radioactive debris was found in an area of approximately 100,000 square kilometers. This re-entry was a major international incident and certainly would have been much worse had the breakup occurred over a population center such as Montreal or Toronto. Another reactor, this

<sup>85</sup> Aftergood, Steven. *Background on Space Nuclear Power*, Science and Global Security, 1989, Volume 1, p 97.

time from Cosmos 1402, re-entered the atmosphere in February of 1983 after the payload was not boosted into a high enough orbit. Fortunately this reactor fell harmlessly into the South Atlantic Ocean although a trail of radioactive material was left behind in the atmosphere.<sup>86</sup>

The Soviet Union apparently stopped using space nuclear reactors in the late 1980s. Clearly concern about the previous accidents was a factor. In fact, the 1978 accident accelerated the eventual decline of the RORSAT program. Furthermore, the Soviet Union had turned its attention to submarines as a means to track United States aircraft carriers. In addition, Soviet radar technology had advanced sufficiently that lower orbit operations were not required to accomplish the desired mission. It is possible that the use of SNP would have ended sooner than it did. However, it was only after Gorbachev came into power that new and different ways of thinking were allowed to be explored.<sup>87</sup>

These Soviet failures have had important policy implications for the United States program. They highlight the potential risks of spaceflight and the especially serious consequences when nuclear materials are involved, particularly when the environmental consequences of an accident cannot be fully assessed due to the trans-scientific nature of this problem. By the 1980s the general public's concern over nuclear issues made the prospects of a new SNP program politically infeasible even though technological feasibility was well established.

## Into the 1990s

Despite the fact that early SNP programs never advanced beyond the test and evaluation phase, development continued into the 1990s. After a nearly 20-year hiatus interest in the United States was rekindled with the Strategic Defense Initiative (SDI). Some information from this era remains classified but two major programs emerged. The first of these was Timberwind, a nuclear rocket propulsion system. The other was SP-100, a nuclear reactor that was intended to provide primary power for several space based components of SDI. When initially proposed

Space nuclear reactors were integral to plans for the strategic defense initiative of the 1980s and early 1990s.

<sup>86</sup> Aftergood, Steven. *op cit*, pp 98-100.

<sup>87</sup> Sagdeev, Professor Roald. Interview conducted at Eisenhower Institute by Lt Col Jim Downey, Feb 24, 2004.

these two programs appeared to satisfy the long missing compelling mission requirement for SNP. SDI was ambitious, complex and would require electrical power levels never before envisioned. However, as was the case for much of SDI, the requirement disappeared with the end of the Cold War in 1989, and so did Timberwind and SP-100.

## **Timberwind**

The Timberwind rocket was conceived as a heavy lift vehicle that would be used for launching SDI components into orbit. In many ways, the development of this program mirrors that of the NERVA rocket. Timberwind began in 1982 and it is estimated that more than \$800 million was spent on the program. The program was largely declassified in 1992 and renamed the Space Nuclear Thermal Propulsion (SNTP) program. The program was cancelled in 1993 when the Clinton administration terminated most nuclear programs.

Once information about Timberwind became available several scientists and other activists raised serious concerns with the program. Quite vocal and ominous sounding, their pronouncements were significant in contrast to the near total silence surrounding the NERVA program. One example comes from the late Dr. Henry Kendall, the then chairman of the Union of Concerned Scientists and a Nobel Laureate. He is quoted as saying:

... the needle just goes up on the end of the [danger] scale and stays there." Such a rocket would "release a stream of radiation" as it flew and if it broke up, "you've got radioactive material spraying all over the place ... the risks are extremely great.<sup>88</sup>

Dr. Henry Kendall  
Chairman, Union of Concerned Scientists

If nothing else, this comment and others like it certainly indicate that the tacit political permission previously granted by society was under serious challenge. By the 1980s people were fearful of nuclear technologies. Appeal and amplification of that fear, if not heard by everyone in the society, certainly were ringing in the ears of policy-makers, particularly as organized social protest began to emerge.

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<sup>88</sup> *Global Network – Plutonium in Space (Again!) – 7/02*, <<http://www.globenet.free-online.co.uk/articles/morenukesinspace.htm>>, accessed 24 Mar 04.

## **SP-100**

The SP-100 program started in 1983. It was the first major reactor program to undergo serious development since SNAP-10A. SP-100 was also an important program for SDI. The 100 in the name comes from the plan to have the reactor produce 100 kW of electric power. It was intended to operate for seven years of full power over a 10-year life cycle. The SP-100 design allowed for power outputs ranging from 10 kW to one megawatt.<sup>89</sup>

The SP-100 program appears to have been plagued by problems almost from the beginning. First, SP-100 was very complex and pushed the edge of technology in materials, fuel, and energy conversion. Program participants included the Defense Advanced Research Projects Agency (DARPA), DOD, Department of Energy (DoE) and NASA. As the system evolved the time to completion was pushed farther out. This introduced significant program risk as support was necessary over several sessions of Congress and three different administrations.<sup>90</sup>

Surprisingly, there appears to have been little organized protest against the development of SP-100. This can partially be explained by the classified nature of the program. In addition, the major protests were over the SDI in general, not particular systems. Finally, SP-100 was cancelled in 1993.

## **The Cassini Mission**

In 1997 NASA launched a space probe named Cassini.<sup>91</sup> Dubbed the “Rolls Royce” of space missions it is one of the largest and most ambitious missions ever undertaken. The Cassini probe will explore Saturn and conduct numerous experiments on the planet, its rings and satellites. The cost of this mission is about \$3.4 billion dollars. The Cassini probe will arrive at Saturn in July of 2004.

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<sup>89</sup> Aftergood, Steven. *op cit*, pp 100-103.

<sup>90</sup> Nicklovitch, Mitch, Ph.D. Interview conducted with Lt Col Jim Downey, October 2003.

<sup>91</sup> The purpose of this paper is not to explore Cassini in depth; this has already been done elsewhere. The reader is invited to review the excellent work of Victoria P. Friedensen, which chronicles the details of the protest movement and its consequences. Another discussion of the issues surrounding Cassini can be found in an online forum conducted by the Newshour program on PBS. To gain a perspective on the opposition’s point of view the book by Karl Grossman *The Wrong Stuff* is the most extensive source. These references are in the bibliography. The political impact of Cassini is also explored in the next chapter of this paper.

Those unfamiliar with the Cassini mission might wonder why it is significant in the political debate about nuclear power in space. More to the point, Cassini is not powered by a nuclear reactor, the main focus of this paper. However, it is largely powered by nuclear energy, that energy coming from an array of RTGs. RTGs were discussed in some detail in Chapter 1. What makes them particularly significant for Cassini is the quantity of plutonium 238 being carried on the probe; that is, 72 pounds. This is the largest amount ever launched into space. The launch of this amount of a highly toxic substance caused significant protest to develop.

NASA was challenged to verify the safety of the program. Under the umbrella of the Florida Coalition for Peace and Justice (FCPJ) a number of protest events were held and legal action was taken against the mission. Concern centered on two aspects of Cassini. First was the threat of explosion on launch with the resulting possibility of contamination in the launch area. However, the larger concern centered on the fact that the Cassini probe was going to make a close approach to the Earth in 1999. The fear was that if the probe re-entered the Earth's atmosphere it would burn up and large amounts of plutonium would then enter the ecosystem. For the protestors this risk was simply unacceptable and doomsday proclamations were made about the future of humanity. The close approach did occur in 1999 without incident.

The highly toxic nature of plutonium is what frightens people the most. Even extremely small amounts lodging in the body, particularly the lungs are thought to certainly cause lung cancer. Fear of a plutonium release is not without precedent. In 1964 a United States navigational satellite failed reach its intended orbit. The RTG power source disintegrated into the atmosphere as it was designed to do. This incident released 2.1 pounds of Pu-238 into the atmosphere, tripling the worldwide inventory. There has been considerable debate about the potential health impacts of radioactive materials released into the atmosphere by these accidents. Some have argued that significant increases in worldwide cancer can be directly attributed to the additional plutonium that was added to the atmosphere.<sup>92</sup> However there is no way to scientifically confirm this. While it is true that Pu-238 is now found in the bones of all human beings as a result of the 1964 re-entry, it is also true that the average life span of humans has been steadily increasing.

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<sup>92</sup> Grossman, Karl. *The Wrong Stuff: The Space Program's Nuclear Threat to Our Planet*, Common Courage Press, 1997.

Here again we see the trans-science nature of SNP. Questions about the potential risks of nuclear materials release can never be answered by experiment and testing. No rational actor would be willing to explode a functioning space nuclear reactor on launch or allow it to burn up in the atmosphere to see exactly what would happen. We are left to make the best technical analysis and estimates and then make a political calculation about the risk, relativity, and reward of any mission involving SNP.

The purpose of this paper is not to explore Cassini in depth; this has already been done elsewhere. The reader is invited to review the excellent work of Victoria P. Friedensen, which chronicles the details of the protest movement and its consequences.<sup>93</sup> Another discussion of the issues surrounding Cassini can be found in an online forum conducted by the Newshour program on PBS.<sup>94</sup> To gain a perspective on the opposition's point of view the book by Karl Grossman *The Wrong Stuff* is the most extensive source.<sup>95</sup>

Despite the risks, the Cassini mission was executed as planned. However, it is clear that political permission from the society was being challenged in significant ways. Had the FCPJ been successful in delaying the launch of Cassini it is possible the mission might not have ever flown. Unlike the early days of the Atoms for Peace initiative, many in the society saw nuclear energy more as Atoms for Death. This was especially true for space missions where the direct benefit to society was called into question.

### **Contemporary Space Nuclear Power**

After the termination of Timberwind and SP-100 in 1993 no major program to develop SNP was initiated. In fact, in 2001 DOD's Space Technology Guide dropped any reference to SNP. Defense officials at the time were quoted as saying:

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<sup>93</sup> Friedensen, *op cit.*

<sup>94</sup> Online Newshour Forum: The Cassini Mission -- October 21, 1997,  
<<http://www.pbs.org/newshour/forum/october97/cassini.html>>, accessed 24 Mar 04.

<sup>95</sup> Grossman, Karl. *The Wrong Stuff: The Space Program's Nuclear Threat to Our Planet*, *op cit.*

In the STG, the Congress asked for an investment strategy for space technology. Given the severely constrained funding available for space technology development, funds for nuclear power devices would not make the priority cut. Even if we could produce them economically, the mission costs would be unaffordable because of the measures necessary for security.<sup>96</sup>

Department of Defense comments on SNP

There are really no major technical hurdles that would prevent further development and even deployment of space systems that would use nuclear reactors for power or propulsion. Technical feasibility has already been well established and even demonstrated with SNAP-10A in 1965. Given sufficient time and resources there is little doubt that the technology can be made safe to 21<sup>st</sup> century standards. In fact, space based reactors have one safety advantage over RTGs. The reactors can be launched in a non-operating mode; turned on only after the proper orbit is achieved. One could in fact argue that there is really no restriction on developing SNP to the same level of safety and low operating risk that the airline industry now enjoys. Nevertheless, it is true that the environmental consequences of an SNP accident on launch or in the atmosphere do remain trans-scientific. That, in the context of today's political climate, will mean that the use of SNP will remain a contested political decision.

The political feasibility of SNP will be tested with the announcement of NASA's new Project Prometheus. NASA has decided to embark on a new deep space mission to explore the icy moons of Jupiter. Amongst the many challenges facing this mission is the requirement to use a nuclear reactor based propulsion system. The reader is referred to the Appendix 2 for a description of Prometheus from a technical and scientific perspective.

Once again, a program to develop SNP has been initiated. If history is any indicator this project faces significant challenge. NASA no longer has the luxury of developing technologies in a political vacuum. Several activists groups are certain to oppose the project. NASA will be forced to engage the public to gain acceptance for the program. Given the long and troubled history of SNP, it is hard to imagine this will be an easy undertaking.

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<sup>96</sup> DOD's Flirtation with Nuclear-Powered Satellites Ends, Analyst Says,  
<<http://www.fas.org/sgp/news/2001/03/iaf030201.html>>, accessed 24 Mar 04.

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## Appendix 2

# Project Prometheus - Frequently Asked Questions - December 2003<sup>97</sup>

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### What is Project Prometheus?

NASA's Mission to understand and protect our home planet, to explore the Universe and search for life, and to inspire the next generation of explorers requires that we make strategic investments in technologies that will transform our capability to explore the Solar System and beyond. Within the Space Science Enterprise, we are developing the tools, insights, and abilities necessary to answer some of humanity's most profound questions: How did the Universe begin and evolve? How did we get here? Where are we going? Are we alone?

In Greek mythology, Prometheus was the wisest of the Titans who gave the gift of fire to humanity. The word "Prometheus" is synonymous with "forethought," an idea that embodies NASA's hope to establish new tools for expanding our exploration capabilities.

NASA believes that, in the field of space exploration, answering these questions translates to constantly striving to develop innovative scientific instruments and more effective ways to safely power, propel, and maneuver spacecraft, as we explore the worlds beyond our current reach. Achievement of this ambitious vision requires a bold approach to the next generation of solar system exploration missions, including revolutionary improvements in energy generation and use in space.

Project Prometheus, the Nuclear Systems Program, is making strategic investments in near- and long-term nuclear electric power and propulsion technologies to maintain our current space science capabilities and that would enable space exploration missions and scientific returns never before achievable. In addition to developing the next generation of radioisotope power systems, the predecessors of which have been used for over 30 years to power space science missions, Project Prometheus would develop and demonstrate the safe and reliable operation of a nuclear reactor-powered spacecraft on a long-duration space science mission. Toward this end, the proposed Jupiter Icy Moons Orbiter (JIMO) mission has been identified as the first space science mission that would incorporate these new revolutionary technologies.

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<sup>97</sup> Reproduced from NASA. *Project Prometheus, Frequently Asked Questions, December 2003*. [http://www.jpl.nasa.gov/jimo/Schiff\\_FAQ\\_03\\_pdf2.pdf](http://www.jpl.nasa.gov/jimo/Schiff_FAQ_03_pdf2.pdf) accessed Mar 11, 2004.

## **What is the Jupiter Icy Moons Orbiter?**

Project Prometheus is developing a proposed space science mission, the Jupiter Icy Moons Orbiter, that would enable detailed scientific investigation and data return from the icy moons of Jupiter - Callisto, Ganymede and Europa - that may have three ingredients considered essential for life: water, energy and organic material. Making use of nuclear fission power and electric propulsion, the mission would involve one spacecraft orbiting, at close range and for long durations (months at a time), these three planet-sized moons. The spacecraft would orbit each of these moons for extensive investigations of their makeup, history, and potential for sustaining life.

In addition to enabling an entirely new class of scientific investigations, the mission would also demonstrate the safe and reliable use of a space nuclear reactor in deep space for long-duration space exploration. The amount of power available from a nuclear reactor – potentially hundreds of times greater than that available to current interplanetary spacecraft - would enable delivery of larger payloads with vastly more capable instruments and faster data transmission back to Earth than such missions as Voyager, Galileo, and Cassini. In addition, because extremely fuel-efficient electric thrusters would propel the spacecraft, mission planners could make course adjustments throughout the mission in response to real-time discoveries.

## **How will the Jupiter Icy Moons Orbiter get to Jupiter?**

After being launched from Earth by a traditional chemical rocket, the spacecraft would rely on an electric propulsion system, e.g., a system expelling electrically charged particles called ions from its engines to generate thrust. Powered by a small nuclear reactor, the electric propulsion system would propel the spacecraft to the Jovian system and then insert the spacecraft into orbit around, successively, each of Jupiter's three icy moons. In 1998, NASA's Deep Space 1 mission successfully demonstrated the use of ion propulsion for interplanetary travel.

## **Why do you need to use a nuclear reactor to get to Jupiter?**

The large quantities of power generated by the compact nuclear reactor (about the size of a 5-gallon bucket) enable a variety of advanced mission capabilities, and therefore increased scientific return, not possible with conventional power systems.

To start, access to high levels of continuous power enables full-time maneuverability of the spacecraft. After being launched from Earth, using conventional chemical rockets, the spacecraft would use fuel-efficient electric thrusters to propel it to Jupiter. Once in the Jovian system, the engines would propel the spacecraft to each moon where controlled, close-range orbits would provide ideal conditions for science observations. Moreover, the maneuverability afforded by nuclear power and electric propulsion would enable mission scientists to alter mission plans based on real-time discoveries. Such maneuvers are not possible with current chemical propulsion systems, which consume the bulk of their propellant during departure from Earth. Once outside Earth's gravitational influence, such conventionally powered spacecraft coast to their destination, making very limited adjustments to their trajectories using relatively inefficient chemical combustion for propulsion.

Electric propulsion should also enable delivery of significantly heavier, and in most cases larger, payloads to destinations throughout the solar system and beyond. Because the electric propulsion system would consume fuel very efficiently, it could be used throughout the mission to gradually accelerate the spacecraft and its unprecedented scientific payload to high velocities.

Either in transit or at its final destination, the reactor could power high-capability, active science instruments never before used beyond Earth orbit. Another benefit is that space scientists could operate these instruments simultaneously rather than cycling them as is currently the practice due to limitations in the power available on present-day exploration missions.

Finally, the reactor would power the spacecraft's communications equipment, which would transmit the voluminous science data acquired by these instruments back to Earth in quantities and speeds never before possible.

**Why are Jupiter's icy moons a priority (i.e., what is the scientific justification)? What are the science goals?**

Exploring the Universe and searching for life are central to NASA's mission, and Jupiter's large icy moons appear to have three ingredients considered essential for life: water, energy and the necessary chemical elements. NASA's Galileo spacecraft found evidence for subsurface oceans on these three moons of Jupiter – a finding that ranks among the major scientific discoveries of the Space Age.

The National Research Council (NRC) completed a report last year, based on input from the planetary science community, that prioritized potential flight missions for exploring the solar system. It ranked an "Europa geophysical explorer" mission as its top priority for a "flagship" mission, based on the Galileo data suggesting a liquid ocean under Europa's ice crust. The Jupiter Icy Moons Orbiter mission would build upon and exceed the NRC's recommendation by not only conducting in-depth investigations of Europa, but because of the propulsion capabilities of the spacecraft, it would also examine Callisto and Ganymede, providing comparisons key to understanding all three.

The Jupiter Icy Moons Orbiter mission has four major science goals:

1. Determine the interior structures of the icy moons of Jupiter in relation to the formation and history of the Jupiter system;
2. Determine the evolution and present state of the Galilean satellite surfaces and subsurfaces, and the processes affecting them;
3. Determine how the components of the Jovian system operate and interact, leading to the diverse and possibly habitable environments of the icy moons;
4. Determine the habitability of Europa and the other icy moons of Jupiter.

### **How much fuel will be used in the reactor?**

The amount of fuel needed for the proposed reactor would depend on the final reactor design. However, based on a reference power level of 100 kilowatts (electric power), the reactor would be quite small: the entire reactor core could fit within a 5-gallon drum. Each of the reactor design concepts currently being considered could have from 100 to 150 kilograms or approximately 220 to 330 pounds of uranium fuel.

### **How hazardous would it be if there were an accident in space and the reactor explodes?**

The reactor will be designed with multiple safety features that will prevent uncontrolled, sustained nuclear fission (which could disassemble the reactor) before, during or after launch of the spacecraft. Moreover, the space reactor would be designed to remain intact over a broad range of ground and in-space accidents.

### **How often will a mission similar to the Jupiter Icy Moons Orbiter be mounted?**

It is planned that the Jupiter Icy Moons Orbiter mission would be the first of many scientific missions enabled by nuclear electric power and propulsion. Specifics regarding any future missions would be dependent on NASA's exploration requirements, developed in consultation with the scientific community. It is expected that the technologies developed by Project Prometheus could support future space exploration missions, including human exploration of space.

### **What will be the operations cost for the JIMO mission and how long will those costs continue?**

The operational cost will be highly dependent on the method chosen to implement the Jupiter Icy Moons Orbiter mission. When the initial mission studies are completed in FY05, NASA expects to be in a better position to provide accurate and complete project life cycle cost estimates, including operational costs.

### **What confidence do we have that the systems developed for the JIMO mission will work?**

NASA is very confident in its ability to design and build systems that will meet all mission requirements and be ready to launch by the early part of the next decade, assuming that the required funding is received. The technical hurdles, while significant, do not require major breakthroughs but can be managed with a focused and consistent engineering effort by the nation's R&D community.

### **Where will we get the enriched uranium for the JIMO reactor?**

The uranium-235 for the fission reactor would come from current federal government stocks owned and managed by the Department of Energy.

### **What is the total cost of this mission? Why is it so expensive?**

NASA's funding estimates reflected in the President's FY04 budget run out through FY08 includes roughly \$3 billion for Project Prometheus, with just over \$2 billion of that directed toward the technology development for the Jupiter Icy Moons Orbiter and similar missions. NASA expects to be able to provide more accurate and complete project life cycle cost estimates when initial mission studies are completed in FY05.

The Jupiter Icy Moons Orbiter mission would be the most capable deep space science mission ever launched by NASA, with revolutionary new capabilities enabled by the power available from its space nuclear reactor. NASA views Project Prometheus and the JIMO mission as strategic investments necessary to expand our capabilities to effectively support our mission of exploring the universe and searching for life.

### **What scientific payload could justify the expense of such a mission?**

Presently, because of power limitations, outer solar system exploration missions have been limited in their science capabilities and, therefore, their science return. Project Prometheus is NASA's strategic investment in technologies that could provide the science community with the energy supply necessary, practically anywhere in the solar system, to dramatically increase science opportunities and the quality of science conducted throughout a mission. .

Because of the power available from a space nuclear reactor, the spacecraft would be able to carry instruments with capabilities far beyond those flown in previous outer solar system missions, including high-power, active instruments as well as instruments of much greater precision and resolution that would generate orders of magnitude more data than current missions with significantly smaller power sources. Examples of such instruments are high-power radars that could penetrate deep into the subsurface of the three moons (10s of kilometers) in search of liquid water, more capable cameras and spectrometers with greater resolution (200 colors vs. 7 colors or less than 100 meters per pixel vs. 100 km per pixel) to map nearly the entire surface of each moon, and instruments that use lasers to measure the topography of, or to illuminate, extraterrestrial surfaces. Moreover, as opposed to current missions where instruments are cycled on and off, the nuclear-powered spacecraft would have the capability to power all its science instruments, if desirable, simultaneously.

When assessing the science potential of a mission, one must look not only at the scientific payload, but also at how these instruments can be used throughout the mission. Nuclear electric power and propulsion technologies are being studied because they have the potential to enable close-range observations of multiple destinations for extended periods of time in a single mission; to adjust mission objectives in response to real-time discoveries, and to transmit huge amounts of data back to Earth.

Specific science instruments have yet to be identified for the proposed JIMO mission. To facilitate this process, NASA is working with the science community via a Science Definition Team, to identify specific science objectives for the mission and the measurements necessary to support these objectives. In addition, NASA has begun a new program dedicated to developing the new high capability instruments that would be possible on the potential JIMO mission. Final

determination of science instruments would be carried out through an Announcement of Opportunity (AO), which would provide the science community an opportunity to formally propose specific instruments and measurements.

**How will you ensure planetary protection at Europa?**

NASA's Office of Space Science will work with the NASA Planetary Protection Advisory Committee to develop guidelines for planetary protection requirements for Europa. For example, this mission would be designed to reduce the probability that the spacecraft would strike Europa at any stage of the mission.

**Why can't this mission be done with solar electric propulsion and solar sails?**

Solar arrays would have to be far too large to produce the electrical power required to operate the electric propulsion system and scientific instruments for the Jupiter Icy Moons Orbiter mission. The Sun's energy at Jupiter is less than 1/25th of its level at Earth, which make this type of mission virtually impossible to perform with solar arrays, even taking into account expected improvements in solar array efficiency in the foreseeable future.

NASA is researching the ability of solar sails (not to be confused with large solar arrays) to enable low mass spacecraft to achieve large increases in velocity by using the pressure of sunlight to fill a lightweight sail, thereby "pushing" the spacecraft. Solar sails may one day be used to propel small spacecraft to the outer solar system, but presently their most effective use appears to be within a 'zone' no more than twice the Earth's distance from the Sun. Therefore, solar sails are not a viable option for propelling a JIMO-like spacecraft to Jupiter, let alone maneuvering it around Jupiter's three icy moons.

**What is the Department of Defense's role in this program? Is NASA really just a front for DOD in their desire for fission-powered space weapons?**

While NASA maintains open lines of communication with various components of the federal government, DOD has no role in this program. Project Prometheus program requirements have and will continue to be established to meet NASA's science and technology needs for space exploration.

Meanwhile, NASA works very closely with the Department of Energy (DOE) to develop space science missions using nuclear power sources. As we expand such cooperation to include both radioisotope and nuclear reactor power systems, we will be calling upon more of DOE's experience base and technical infrastructure than that necessary for radioisotope work alone.

**How do you plan to test the reactor to meet the schedule for this mission?**

We are too early in the program definition phase to appropriately address this topic. Specific details of how the space reactor will be tested would be defined once a reactor type has been selected and the design, test, and manufacturing plans are worked out with the reactor developer.

## **How safe are radioisotope thermoelectric generators and reactors?**

Safety is of the utmost importance and drives the overall design of radioisotope power systems and reactors, their applications, and the extensive testing, analysis and review that each system undergoes. Prior to any mission carrying nuclear material, NASA and the Department of Energy (which is responsible for development of any space nuclear systems for NASA) jointly conduct extensive safety reviews supported by safety testing and analysis. To date, NASA has safely developed, tested, and flown radioisotope power systems on 17 missions and the United States successfully launched a nuclear reactor into earth orbit in 1965. The Department of Energy and NASA place the highest priority on assuring the safe use of any nuclear power systems for space missions.

In addition to internal agency reviews for missions involving nuclear systems, an ad hoc Interagency Nuclear Safety Review Panel (INSRP) is established as part of the Presidential nuclear safety launch approval process to evaluate the safety analysis report prepared by the Department of Energy. Based upon recommendations by the Department of Energy and other agencies and the INSRP evaluation, NASA submits a request for nuclear safety launch approval to the White House Office of Science and Technology Policy (OSTP). The OSTP Director may make the decision or refer the matter to the President. In either case, the process for launch cannot proceed until nuclear safety launch approval has been granted.

Launch approval for United States space missions that use nuclear systems is based on careful consideration of the projected benefits and risks of the proposed mission. The analysis of potential consequences will be based on a detailed understanding of: a) the possible accident environments; b) the response of the nuclear system in those accident environments; c) modeling how any potential releases of nuclear material might be transported; d) estimates of potential public exposure and the consequences of those exposures.

## **What is the danger to the public from this project? (testing, launch, flight, re-entry?)**

NASA's top priority is to ensure that this program and its missions can be implemented safely. Therefore, safety will be the primary driver in every aspect of the program, including spacecraft design, test, manufacture, and operation. All program activities will be conducted in a manner to reduce risk to levels as low as reasonably achievable. A hierarchy of safety objectives, requirements and engineering specifications will be established and followed during each phase of every mission.

To support these objectives, NASA will identify and mitigate risks as early in the system design process as possible and we will work continuously to ensure the safety of the public, workers, and the environment. NASA will provide opportunities for public review and comment throughout the life of the program.

## **What were NASA's previous failures with space nuclear systems? Have there been any failures by other organizations or nations?**

None of the more than thirty radioisotope power systems and one reactor system flown by the United States has failed. Three missions using radioisotope power systems have been subject to

mechanical failures or human errors resulting in early aborts of each mission. In each instance, the radioisotope power system performed in accordance with its design requirements.

The first such incident occurred during the launch of a Navy navigation satellite in 1964. The Navy satellite failed to achieve orbit and burned up on re-entry, which was in keeping with the safety design practice at that time. Subsequent radioisotope power systems were designed to remain intact on re-entry. In 1968, NASA aborted its Nimbus-B weather satellite two minutes after launch because human error had caused the rocket to veer off course. The Radioisotope Thermoelectric Generator (RTG) was retrieved intact from the Santa Barbara Channel off the coast of California. The fuel from that system was reused on a subsequent NASA mission. Lastly, in April 1970 an RTG survived the breakup of the Apollo 13 Lunar Module and went down intact in the 20,000 foot deep Tonga Trench.

In 1965, the United States successfully launched a small nuclear reactor into Earth orbit. The reactor operated safely until an electrical failure, unrelated to reactor operation, caused it to prematurely shut down. The spacecraft is now orbiting Earth at a distance that will ensure that it does not return until its radioactive fuel has been rendered harmless due to radioactive decay.

Open literature suggests that through 1988 the former Soviet Union launched just over 30 nuclear-powered spacecraft into Earth orbit for marine radar observations. In 1978 a Soviet space reactor re-entered the atmosphere and landed in Canada. Five years later, a Soviet reactor re-entered over the South Atlantic Ocean. In 1996, the Russian Mars 96 spacecraft carrying an RTG failed to reach Earth orbit on launch and fell into the eastern Pacific. It is believed that some of the debris may have fallen over South America.

### **Who is involved in the launch pad (safety) processes?**

NASA's Kennedy Space Center (KSC) has the overall management and integration responsibilities for launch site ground processing operations. However, launching NASA spacecraft is a joint effort between KSC and the Air Force at Cape Canaveral Air Force Station and Patrick Air Force Base. The Air Force is always involved in launch activities through their management of range assets. For mission launches where the spacecraft incorporates a space nuclear power system, the Department of Energy provides on-site assistance in monitoring and risk assessment.

### **How many RTG launches might there be in the next 10 years?**

At least two NASA missions within the next decade are considering the use of radioisotope power systems, but it is expected that others may also pursue this option. The New Horizons mission to Pluto and the Kuiper Belt is in development for a launch in 2006 with a radioisotope thermoelectric generator to supply electricity to the spacecraft. The Mars Science Laboratory, in development for a 2009 launch, is considering two new radioisotope power systems currently under development by NASA and the Department of Energy – the Multi-Mission Radioisotope Thermoelectric Generator and the Stirling Radioisotope Generator. Aside from these two missions, Project Prometheus is working closely with the space science community to identify missions that could take advantage of the unique capabilities enabled by a radioisotope power system.

## **Is the research really worth the cost?**

NASA recognizes that Project Prometheus is a major investment of taxpayers' dollars for which there should be equally significant benefits. Although difficult to quantify in monetary terms, NASA firmly believes that the technologies developed through Project Prometheus have the potential to revolutionize our ability to explore and understand better the Universe and, ultimately, humankind's past, present, and future.

In the near term, this strategic investment in new technologies would enable an entirely new class of exploratory missions from which unprecedented science data would be returned. Propelled by extremely efficient electric thrusters, a nuclear reactor-powered spacecraft could observe multiple destinations at close range and if necessary, even modify mission objectives mid-mission based on real-time discoveries. Onboard, the spacecraft would carry science instruments that could peer into unknown worlds with more precision and clarity than ever before imaginable. In specific terms, the amount and quality of the data returned from the Jupiter Icy Moons Orbiter mission would dwarf that of any other robotic mission to the outer solar system. New ranges of science instruments, never used beyond Earth's orbit, would capture and return more data than the two Pioneer, two Voyager, Galileo, and Cassini missions combined.

Meanwhile, development of new radioisotope power systems delivering just over 100 watts of power would enable the Mars Science Laboratory to operate anywhere on the planet, regardless of the location of the sun, for months or years rather than days or weeks. Similar power sources could provide electricity for small-to-medium size space missions such as those proposed for New Horizons. Smaller power systems under consideration by NASA, from milliwatts to several watts, would provide mission planners a full complement of long-lived, rugged, reliable power sources. There will likely be significant technological benefits to areas outside of space exploration as well.

In the long term, the technologies developed in support of the Jupiter Icy Moons Orbiter mission could be evolved to the larger power and propulsion systems necessary to support human exploration beyond Earth orbit. Additionally, the knowledge and technologies developed through Project Prometheus-sponsored research and development will have broad applications throughout NASA, other parts of government, academia, and the private sector.

For over thirty years, NASA has relied on the same set of power and propulsion systems to explore the solar system and beyond. Project Prometheus is the investment necessary if NASA is to take a major step forward in our quest to explore our solar system and search for life, and through its groundbreaking technologies and missions would provide an inspiration to the next generation of students and explorers.

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## Appendix 3

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### The Member Groups of the Florida Coalition for Peace and Justice or Stop Cassini! Campaign<sup>98</sup>

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Action Network for Social Justice (Tampa)  
Affirmation Lutheran Church (Boca Raton)  
Alliance for Survival (Costa Mesa, CA)  
Bangladesh Astronomical Society (Dhaka)  
Brevardians for Peace and Justice  
Broward Citizens for Peace and Justice  
Cassini Redirection Society (British Columbia)  
Catholic Diocese of Jacksonville, Office of Peace and Justice  
Center Florida Council of Churches  
Center for Advancement of Human Cooperation (Gainesville)  
Central Florida Presbytery Polk County Citizens for Peace and Justice  
Citizen Soldier (New York, NY)  
Coalition Freedom Coalition (Gainesville)  
Community Action Network (Seattle, WA)  
Crow Indian Landowners Assoc. (Montana)  
Cuba Vive  
Darmstadter Friedensforum (Darmstadt, Germany)  
Delray Citizens for Social Responsibility  
East Bay Peace Action (Berkeley, CA)  
Environmental & Peace Education  
Florida Southwest Peace Education  
Global Peace Foundation (Mill Valley, CA)  
Global Resource Action Center for the Environment  
Glynn Environmental Coalition (Brunswick, GA)  
Grandmothers for Peace (Elk Grove, CA)  
Grandparents for Peace (St. Augustine)  
Iowans for Nuclear Safety (Cherokee, Iowa)  
Jacksonville Coalition for Peace and Justice  
Jonah House (Baltimore, MD)  
Kalamazoo Area Coalition for Peace and Justice  
Leicester Campaign for Nuclear Disarmament

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<sup>98</sup> Friedensen, Victoria. *Protest Space: A Study of Technological Choice, Perception of Risk, and Space Exploration*, Thesis submitted to the Virginia Polytechnic Institute & State University, October 11, 1999, p 43.

Mama Terra Romania (Bucharest)  
Maryland Safe Energy Coalition (Baltimore)  
Metanoia (Jacksonville)  
North Palm Beach Unitarians Deland  
No Nukes Action Project (Los Angeles, CA)  
North Country Coalition for Justice and Peace  
Orlando Friends Meeting  
Patriots for Peace (Ft. Walton Beach)  
Pax Christi (Florida)  
Peace Action (Washington, DC)  
Peace Links (New York, NY)  
Peace Resource Center of San Diego  
People's Action for Clean Energy (Canton, CT)  
Phillip Berrigan (Baltimore, MD)  
Plutonium Action, Hiroshima (Japan)  
Presbytery of Tampa Bay Peace of Highlands County  
Radiant Medicine Project (Kingman, KS)  
Sisters of Mercy Social Justice Team (Brooklyn)  
Solar Design Associates (Harvard, MA)  
South Florida Peace Network  
St. Margaret Mary Catholic Church (Winter Park)  
Tallahassee Society of Friends  
Tampa Bay Peace Education Program  
The Nuclear Resister (Tucson, AZ)  
Unitarian Friends Fellowship of Pineda  
Unitarian Social Concerns Committee Gainesville  
Unitarian Universalist Church of Sarasota  
Unitarian Universalist Church of Tampa  
Unitarians at Large (Del Ray Beach)  
Unitarians Jacksonville  
Unitarians Miami  
Unitarians Unitarian Fellowship Vero Beach  
Ursulines of Tildonk for Justice and Peace  
United States-Vietnam Friendship Assoc. (San Francisco)  
Vets for Peace (Gainesville)  
Vets for Peace (Tallahassee)  
Volunteers for Peace (Belmont, VT)  
War & Peace Foundation (New York)  
War Resisters League (Asheville, NC)  
Westminster Presbyterian Church (Lakeland)  
Women Strike for Peace (Washington, DC)  
Women's International League for Peace and Freedom - Tampa  
Women's International League for Peace and Freedom - Treasure Coast  
Women's International League for Peace and Freedom - West Palm Beach Winter Park Friends Meeting  
Women's International League for Peace and Freedom (Palm Beach County)

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