

Technology & Operations - BORM - (1800029755)

Job Operations

Primary Location Greater China and North Asia-Taiwan-Taipei

Schedule Full-time

Global Grade: Grade 8

Employee Status Permanent

Posting Date 02/Sep/2018

Unposting Date Ongoing

The Unit Operational Risk Manager (UORM) represents the Information Technology & Operation (ITO) as a first line of defence, implements the Standard Chartered Operational Risk Framework (ORF), and supports the Group's Operational risk policy and associated operational risk procedures to ensure that operational risks are effectively managed at the business unit level. The Unit Operational Risk Manager is to focus specifically on Banking Operation risk and control managements, governance and supervision.

Principal responsibilities

- To coordinate / conduct Key Control Self Assessment (KCSA) / Control Sampling Test (CST) to ensure the effectiveness of controls for compliance monitoring and risk management;
- To be the source of reference within the business units in facilitating and promoting the understanding of operational risk and compliance requirements.
- To coordinate and support implementation of new operational risk initiatives, internal control standards, and regulatory requirements with the business units;
- To ensure risks and issues identified are escalated, registered, and resolved in an appropriate and timely manner;
- To monitor key risk indicators (KRI) and reports for RBWMO / CIC Ops;
- To provide risk awareness training to ensure all staff are fully aware of the importance and consequences of operational risks;
- To streamline the processes by establishing and implementing control improvement programmes;
- To coordinate and support different type of audits;

Qualifications Requirements

- Undergraduate or post graduate degree level in accountancy, finance or a related field ;
- Good understanding and knowledge of operational risk and internal control principles and ability to assess risk trends ;
- Ability to drive operational risk within the first line of defense and obtain appropriate management buy in
- Experience in implementing control frameworks within complex environments
- Attention to detail combined with strong delivery focus and ability to meet aggressive timeframes with quality results
- Experience in compliance, audit or operational risk in financial industry
- Relevant product knowledge including knowledge of Retail Banking Operations, (e.g. Banking Operation Support, Landing Service Operations, Wealth Management Operations, Financial Operations...etc) is desirable but not essential
- Strategic thinker with strong analytical and project management skills to enhance procedures and controls
- Excellent written and verbal communication skills in English as well as Mandarin, able to negotiate and work effectively with different stakeholders across business units
- Banking operation and audit experience would also be an advantage