



# CS240 Algorithm Design and Analysis

## Lecture 0

### Introduction and Overview

Quan Li

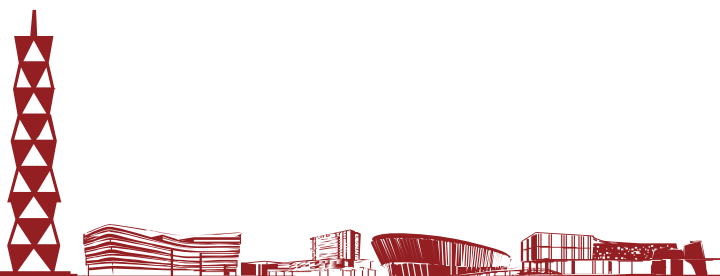
Fall 2025  
2025.09.16

## Instructor

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## Prerequisites

- **Algorithm and Data Structure (Undergraduate course)**
  - Sorting and searching, divide & conquer, greedy, dynamic programming, graph algorithms
  - Analysis of algorithms
- **Basic discrete mathematics**
  - Recurrences, logic and proofs, basic graph theory
- **Basic probability theory**
  - Probability space, random variables, expectation, variance
- **Computer programming**
  - Doesn't matter which language(s) you know
  - But you should be capable of translating high-level algorithm descriptions into working programs in some programming language



## • Textbook

- [KT] Algorithm Design, by Jon Kleinberg and Eva Tardos.
- [CLRS] Introduction to Algorithms (3rd edition), by T. Cormen, C. Leiserson, R. Rivest, and C. Stein.
- [V] Approximation Algorithms, by Vijay V. Vazirani.
- [MR] Randomized Algorithms, by Rajeev Motwani and Prabhakar Raghavan.

## • Piazza (<https://piazza.com/shanghaitech.edu.cn/fall2025/cs240>) **(Please JOIN as students!)**

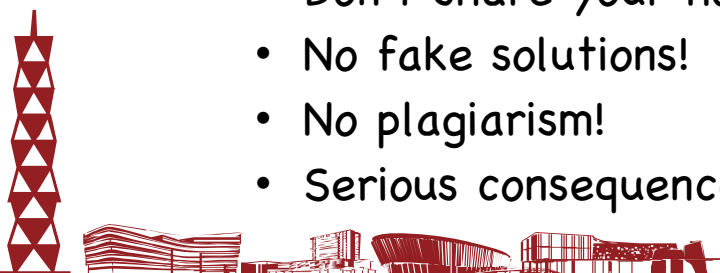
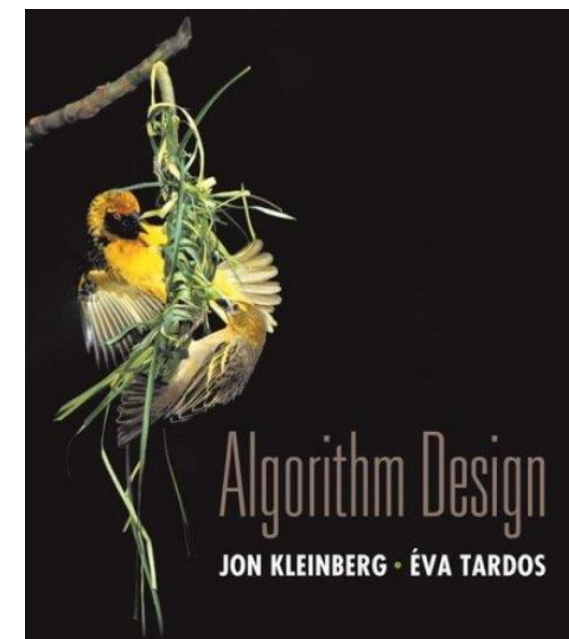
- Lecture slides, announcements, homework assignment, QA and discussions, etc.

## • Gradescope

- Homework submission and grading

## • Academic Integrity

- Unless explicitly noted, work turned in should reflect your own/independent capabilities
- No cheating (We will check carefully!)
  - Don't share your homework/code!
  - No fake solutions!
  - No plagiarism!
  - Serious consequences!



- Grading
  - Assignments (20%)
  - Midterm (35%)
  - Final (35%)
  - Course Project (10%)
  - Exams will be open-book with only one A4 cheating cheet

## 上海科技大学2025-2026学年校历

	八月		九月				十月					十一月				十二月				
星期一	18	25	1	8	15	22	29	6 中秋节	13	20	27	3	10	17	24	1	8	15	22	29
星期二	19	26	2	9	16	23	30	7	14	21	28	4	11	18	25	2	9	16	23	30
星期三	20	27	3	10	17	24	1 国庆节	8	15	22	29	5	12	19	26	3	10	17	24	31
星期四	21	28	4	11	18	25	2	9	16	23	30	6	13	20	27	4	11	18	25	1 元旦
星期五	22	29	5	12	19	26	3	10	17	24	31	7	14	21	28	5	12	19	26	2
星期六	23	30	6	13	20	27	4	11	18	25	1	8	15	22	29	6	13	20	27	3
星期日	24	31	7	14	21	28	5	12	19	26	2	9	16	23	30	7	14	21	28	4
周数	5	6	7	8	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
学期	暑假					秋学期														

秋学期 (2025.9.15-2026.1.18)

- 一. 9月14日老生注册, 9月15日本科生、研究生上课
- 二. 17、18周本科生、研究生考试
- 三. 1月19日-2月28日放寒假

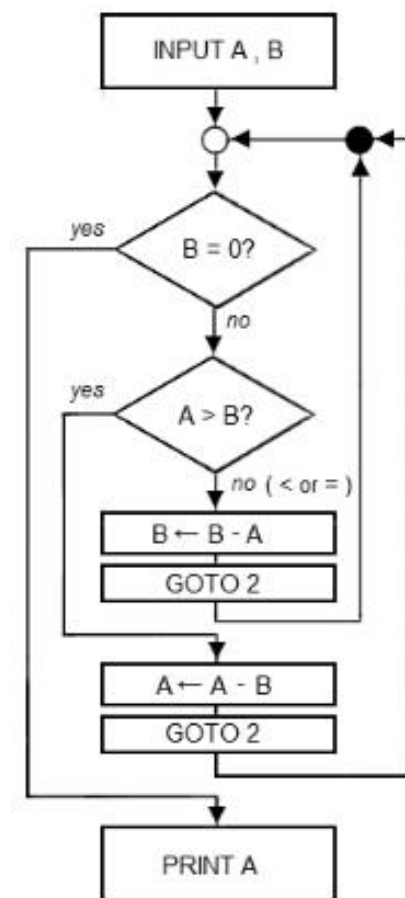
*Dates for homework assignments, midterm, and course project will be announced in due course~*



# Algorithms



- [Knuth, TAOCP] An algorithm is a finite, definite, effective procedure, with some input and some output
- [Wikipedia] An algorithm is a finite sequence of well-defined, computer-implementable instructions, typically to solve a class of problems or to perform a computation
- Important for all other branches of computer science
- Plays a key role in modern technological innovation
- Provides novel “lens” on processes outside of computer science and technology
  - Internet: Web search, packet routing, distributed file sharing, ...
  - Biology: Human genome project, protein folding, ...
  - Computers: Circuit layout, databases, caching, networking, compilers, ...
  - Computer graphics: Movies, video games, virtual reality, ...
  - Security: Cell phones, e-commerce, voting machines, federated learning, ...
  - Multimedia: MP3, JPG, DivX, HDTV, face recognition, ...
  - Social networks: Recommendations, news feeds, advertisements, ...
  - Physics. N-body simulation, particle collision simulation, ...



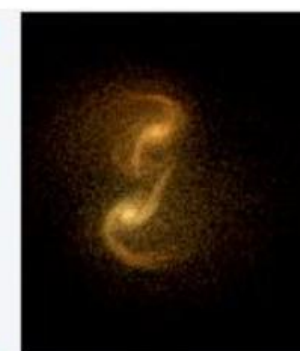
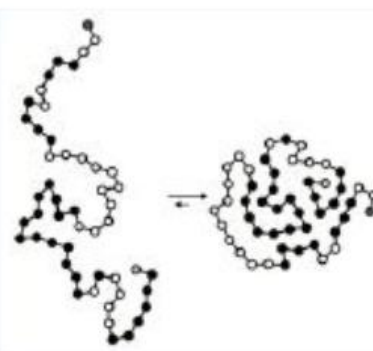
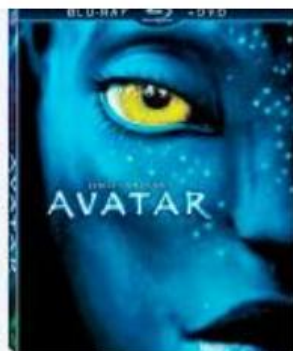




# Why Study Algorithms?



- Wide range of applications
  - **Internet.** Web search, packet routing, distributed file sharing, ...
  - **Biology.** Human genome project, protein folding, ...
  - **Computers.** Circuit layout, databases, caching, networking, compilers, ...
  - **Computer graphics.** Movies, video games, virtual reality, ...
  - **Security.** Cell phones, e-commerce, voting machines, ...
  - **Multimedia.** MP3, JPG, DivX, HDTV, face recognition, ...
  - **Social networks.** Recommendations, news feeds, advertisements, ...
  - **Physics.** N-body simulation, particle collision simulation, ...





# Typical Undergraduate Algorithm Course

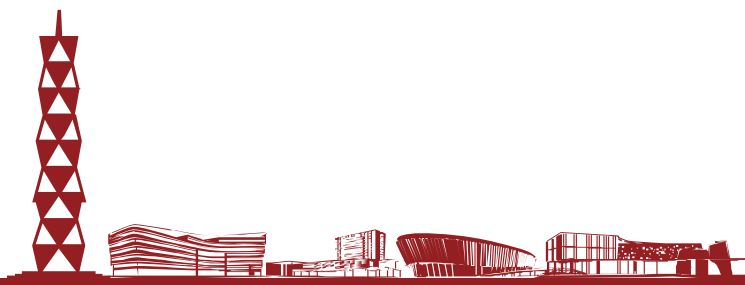


上海科技大学  
ShanghaiTech University

## Understanding and implementing classic algorithms

- Sorting
- Searching
- String algorithms
- Graph algorithms

## Critical thinking, problem-solving, coding



立志成才 报国裕民



## Design and analysis of computer algorithms

- Vocabulary for design and analysis of algorithms
- Greedy algorithms
- Divide-and-conquer
- Dynamic programming
- Network flow
- Intractability (complexity classes)
- Amortized analysis
- Approximation algorithms
- Randomized algorithms
- Local search

**Critical thinking, problem-solving, rigorous analysis**





# Integer Multiplication



- Input: two  $n$ -digit numbers  $x$  and  $y$
- Output: the product  $x \cdot y$
- “Primitive operation”: add or multiply two single-digit numbers
- The grade-school algorithm:  $5678 * 1234 = 7006652$
- **$2n$**  operations per row and there are  **$n$**  rows
- Upshot: #operations overall  $\leq \text{constant} \cdot n^2$

$$\begin{array}{r} 5678 \\ \times 1234 \\ \hline 22712 \\ 17034- \\ 11356-- \\ 5678--- \\ \hline 7006652 \end{array}$$

- The Algorithm Designers' Mantra

*"Perhaps the most important principle for the good algorithm designers is to refuse to be content."* – Aho, Hopcroft, and Ullman, The Design and Analysis of Computer Algorithms, 1974

- **CAN WE DO BETTER?**





# Karatsuba Multiplication



- Example:  $x = 5678$   $y = 1234$  to compute the product  $x \cdot y$
- Assume  $56 = a$ ,  $78 = b$ ,  $12 = c$ ,  $34 = d$
- Step 1: Compute  $a \cdot c = 672$
- Step 2: Compute  $b \cdot d = 2652$
- Step 3: Compute  $(a+b) \cdot (c+d) = 134 \cdot 46 = 6164$
- Step 4: Compute  $\text{Step3} - \text{step2} - \text{step1} = 2840$

$$\begin{array}{r} 6720000 \\ 2652 \\ 284000 \\ \hline 7006652 \end{array}$$





# A Recursive Algorithm



- Write  $x = 10^{n/2}a + b$  and  $y = 10^{n/2}c + d$  where  $a, b, c, d$  are  $n/2$ -digit numbers
- [Example:  $a = 56, b = 78, c = 12, d = 34$ ]
- Then:  $x \cdot y = (10^{n/2}a + b) \cdot (10^{n/2}c + d) = 10^n ac + 10^{n/2}(ad + bc) + bd$
- Idea; recursively compute  $ac, ad, bc, bd$ , then compute the above equation in the straightforward way
- Simple base case omitted (if input is very small, get the result immediately)
- **Karatsuba Multiplication**
  - Recall:  $x \cdot y = 10^n ac + 10^{n/2}(ad + bc) + bd$  (seems having 4 recursive multiplications...)
  - Step 1: recursively compute  $ac$
  - Step 2: recursively compute  $bd$
  - Step 3: recursively compute  $(a+b)(c+d) = ac+ad+bc+bd$
  - **Gauss's trick: step3 - step1 - step2 =  $ad + bc$**
  - Upshot: only need 3 recursive multiplications and some additions

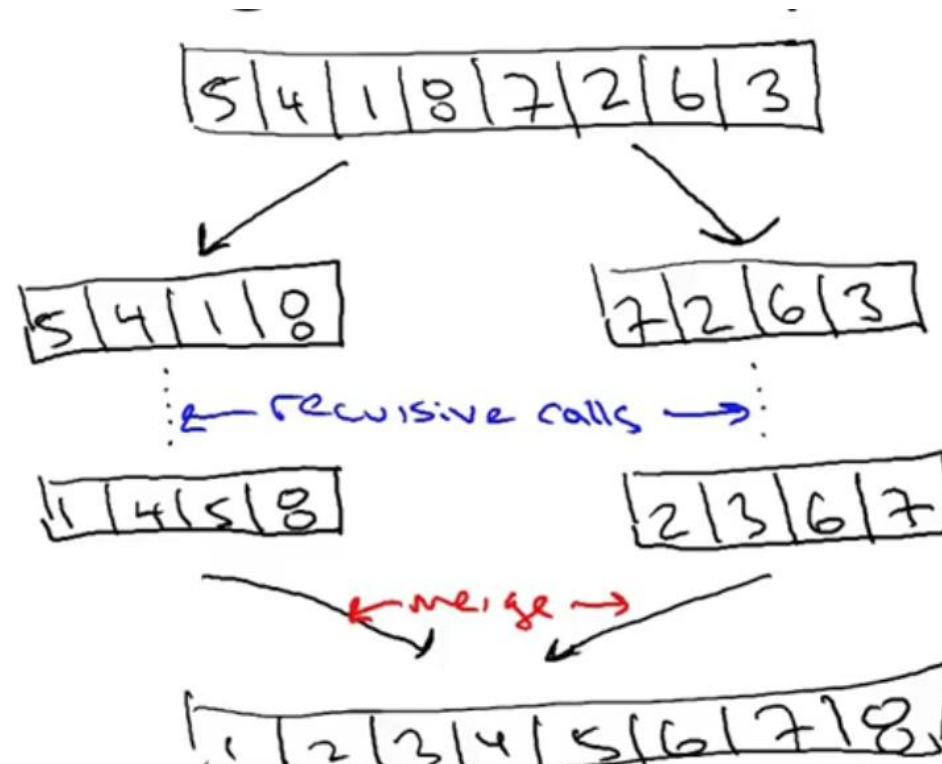
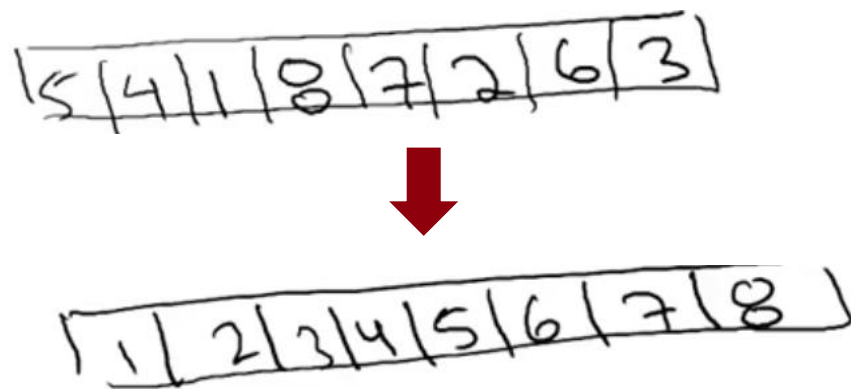




# Why study merge sort?



- Good introduction to divide & conquer
  - Improves over selection, insertion, bubble sorts
- Motivates guiding principles for algorithm analysis (worst-case and asymptotic analysis)
- Analysis generalizes to "Master Method"
- The Sorting Problem
- Input: array of  $n$  numbers, unsorted
- Output: Same numbers, sorted in increasing order





# Merge Sort: Pseudocode



- Recursively sort 1<sup>st</sup> half of input array
- Recursively sort 2<sup>nd</sup> half of input array
- Merge two sorted sublists into one

- Pseudocode for Merge:

C = output array [length = n]

A = 1<sup>st</sup> sorted array [n/2]

B = 2<sup>nd</sup> sorted array [n/2]

i = 1

j = 1

```
for k = 1 to n
  if A[i] < B[j]
    C[k] = A[i]
    i++
  else B[j] < A[i]
    C[k] = B[j]
    j++
End
(ignore end cases)
```





# Merge Sort Running Time?



- **Key question:** running the MergeSort on array of  $n$  numbers?
- Running time  $\approx$  # of lines of code executed

- Pseudocode for Merge:

$C$  = output array [length =  $n$ ]

$A$  = 1<sup>st</sup> sorted array [ $n/2$ ]

$B$  = 2nd sorted array [ $n/2$ ]

$i = 1, j = 1$

**2 operations**

```
for k = 1 to n
  if  $A[i] < B[j]$ 
     $C[k] = A[i]$ 
     $i++$ 
  else  $B[j] < A[i]$ 
     $C[k] = B[j]$ 
     $j++$ 
End
(ignore end cases)
```

- **Upshot:** running time of merge on array of  $n$  numbers is  $\leq 4n + 2 \leq 6n$  (since  $n \geq 1$ )
- **Claim:** MergeSort requires  $\leq 6n \log n + 6n$  operations to sort  $n$  numbers
- **Recall:**  $\log n$  = # of times you divide by 2 until you get down to 1







# Proof of claim (assuming $n = \text{power of } 2$ )



- Will use "recursion tree"
- Q: Roughly how many levels does this recursion tree have (as a function of  $n$ , the length of the input array)?



- A constant number (independent of  $n$ )
- $\sqrt{n}$
- $\log_2 n$
- $n$



- Q: What is the pattern? Fill in blanks in the following statement: at each level  $j = 0, 1, 2, \dots, \log_2 n$ , there are \_\_\_ subproblems, each of size \_\_\_\_.



- $2^j$  and  $2^j$ , respectively
- $n/2^j$  and  $n/2^j$ , respectively
- $2^j$  and  $n/2^j$ , respectively
- $n/2^j$  and  $2^j$ , respectively

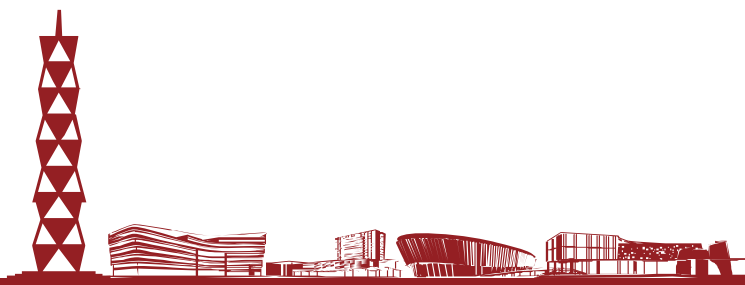




# Proof of claim (assuming $n = \text{power of } 2$ )



- At each level  $j = 0, 1, 2, \dots, \log_2 n$ , there are  $2^j$  subproblems, each of size  $n/2^j$
- Total # of operations at level  $j$ :  
[each  $j=0, 1, 2, \dots, \log_2 n$ ]  
 $\leq 2^j * 6(n/2^j) = 6n \leftarrow \text{independent of } j$
- Total  $\leq 6n(\log_2 n + 1)$
- **Claim:** For every input array of  $n$  numbers, MergeSort produces a sorted output array and uses at most  $6n\log_2 n + 6n$  operations



# Guiding Principles



## • Guiding Principle 1

- “worst-case analysis” : running time bound holds for every input of length  $n$
- Particularly appropriate for “general-purpose” routines
- As opposed to “average-case” analysis and benchmarks (requires domain knowledge)
- Worst case usually easier to analyze

## • Guiding Principle 2

- Won't pay much attention to constant factors, lower-order terms
- Way easier
- Constants depend on architecture/compiler/programmer anyways
- Lose very little predictive power

## • Guiding Principle 3

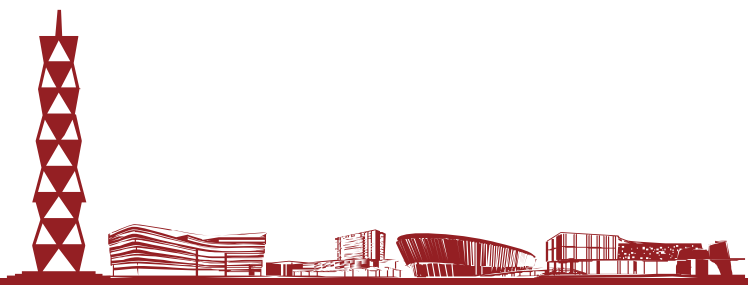
- Asymptotic analysis: focus on running time for large input size  $n$
- E.g.,  $6n\log_2 n + 6n$  “better than”  $\frac{1}{2}n^2$  (e.g., insertion sort)
- Justification: any big problems are interesting

**Fast algorithm:** worst-case running time grows slowly with input size





# Five Representative Problems

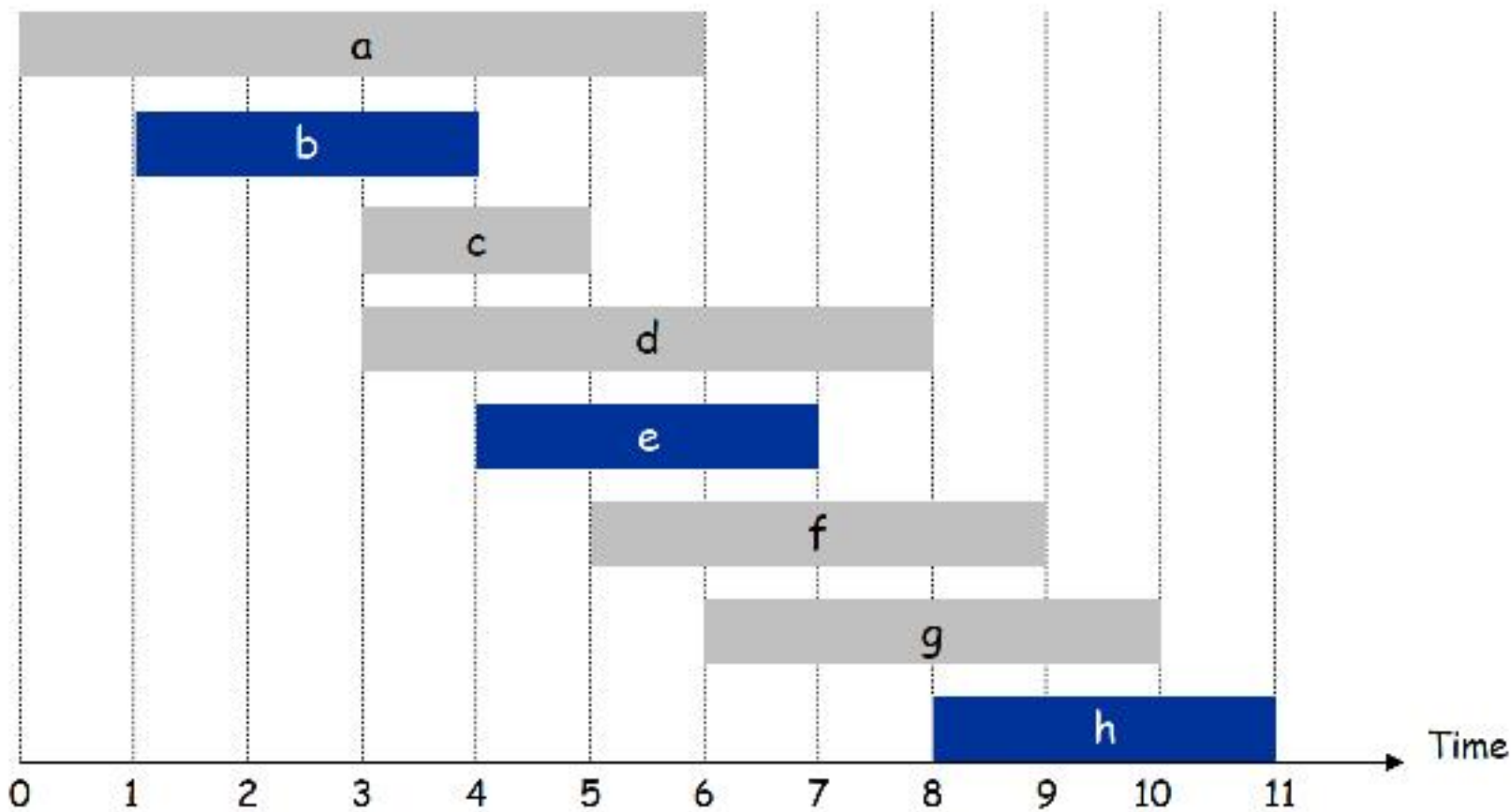




# Interval Scheduling



- **Input:** Set of jobs with start times and finish times
- **Goal:** Find **maximum cardinality** subset of mutually compatible (i.e., jobs don't overlap) jobs

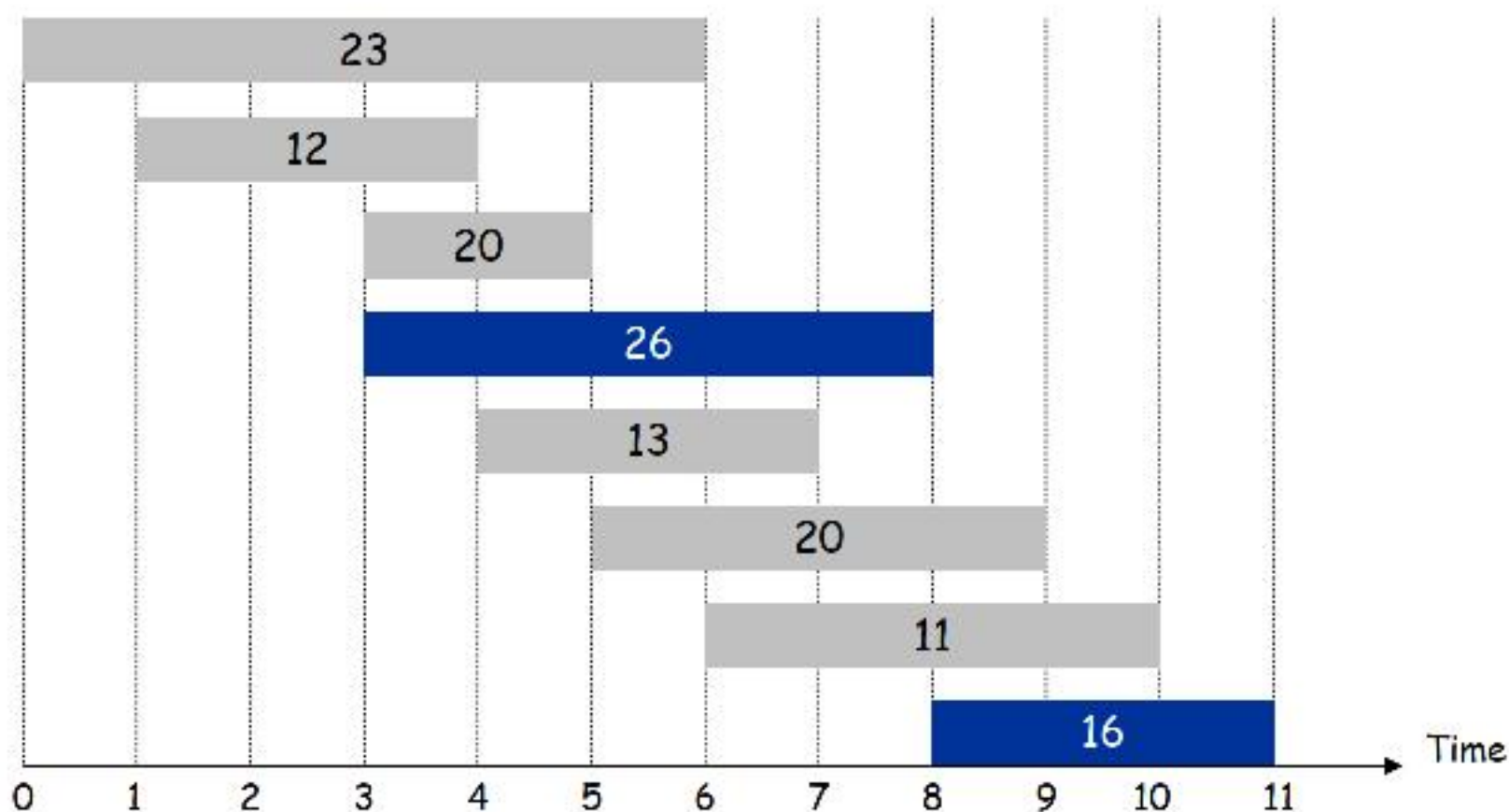




# Weighted Interval Scheduling



- **Input:** Set of jobs with start times, finish times, and weights
- **Goal:** Find maximum weight subset of mutually compatible jobs

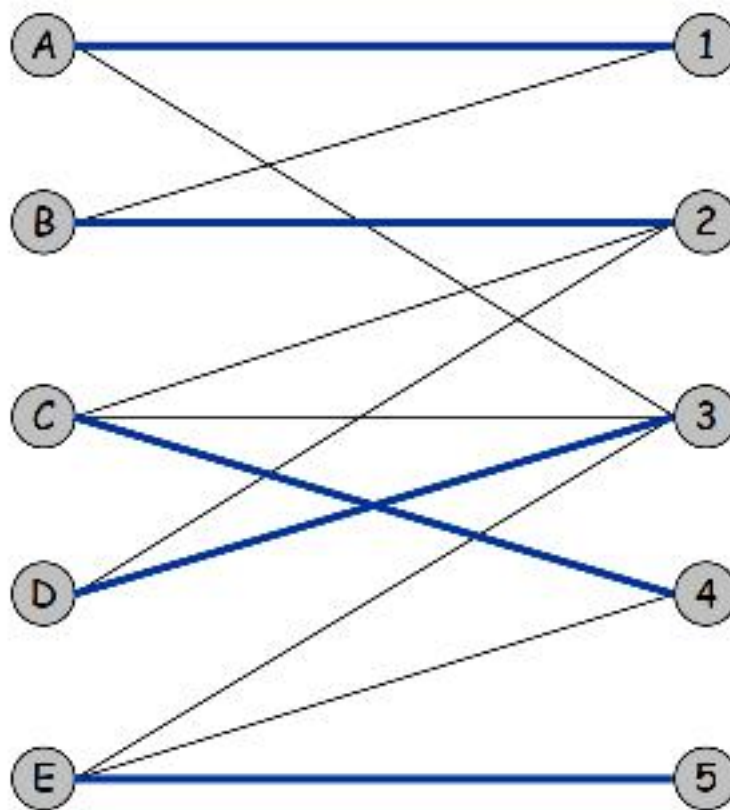




# Bipartite Matching



- **Input:** Bipartite graph
- **Goal:** Find **maximum cardinality** matching

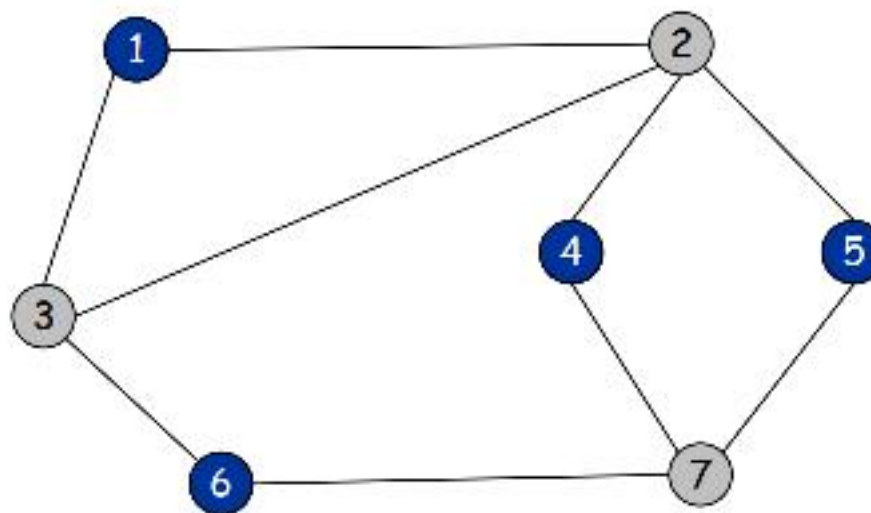




# Independent Set



- **Input:** Graph
- **Goal:** Find **maximum cardinality** independent (i.e., subset of nodes such that no two joined by an edge) set



- **Extension:** Weighted independent set





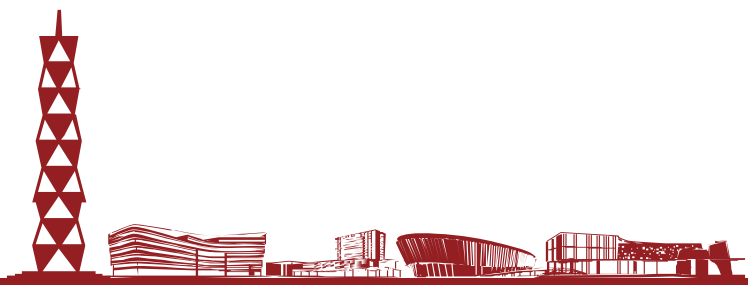
# Competitive Facility Location



- **Input:** Graph with weight on each node
- **Game:** Two competing players alternate in selecting nodes. Not allowed to select a node if any of its neighbors have been selected
- **Goal:** Select a **maximum weight** subset of nodes



Second player can guarantee 20, but not 25

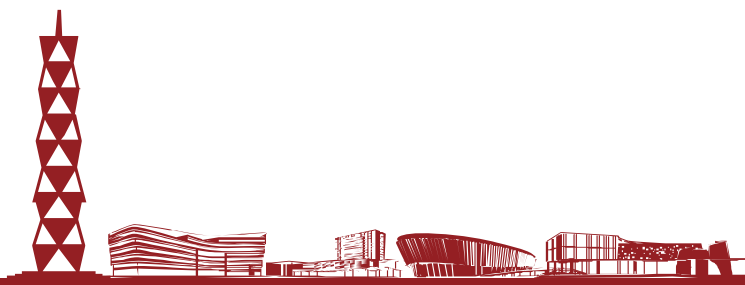




# Five Representative Problems



- **Variations on a theme:** independent set
- **Interval scheduling:**  $n \log n$  greedy algorithm
- **Weighted interval scheduling:**  $n \log n$  dynamic programming algorithm
- **Bipartite matching:**  $n^2$  max-flow-based algorithm
- **Independent set:** NP-complete
- **Competitive facility location:** PSPACE-complete





# CS240 Algorithm Design and Analysis

## Lecture 1

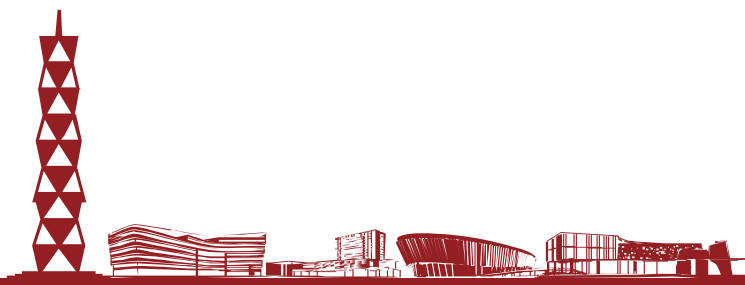
### Computational Tractability

Quan Li

Fall 2025  
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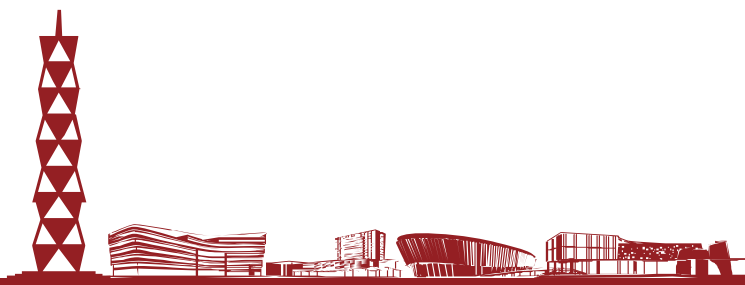
"For me, great algorithms are the poetry of computation. Just like verse, they can be terse, allusive, dense, and even mysterious. But once unlocked, they cast a brilliant new light on some aspect of computing." – Francis Sullivan, Science, Vol. 287, No. 5454, p.799, February 2000



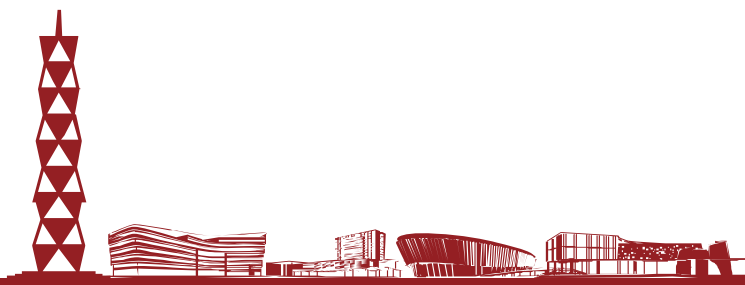
- **Brute force.** For many non-trivial problems, there is a natural brute force search algorithm that checks every possible solution
  - Typically takes  $2^N$  time or worse for inputs of size  $N$
  - Unacceptable in practice
- **Desirable scaling property.** When the input size doubles, the algorithm should only slow down by some constant factor  $C$

**Poly-time:** There exists constants  $c > 0$  and  $d > 0$  such that on every input of size  $N$ , its running time is bounded by  $cN^d$  steps

- **Thm.** An algorithm is poly-time iff the above scaling property holds (i.e., choose  $C = 2^d$ )



- **Def.** An algorithm is **efficient** if its running time is polynomial
- **Exceptions**
  - Some poly-time algorithms do have high constants and/or exponents, and are useless in practice.  
Ex.  $6.02 * 10^{23} * N^{20}$
- **Justification: It really works in practice!**
  - In practice, the poly-time algorithms that people develop almost always have low constants and low exponents
  - Breaking through the exponential barrier of brute force typically exposes some crucial structure of the problem





# Why It Matters



	$n$	$n \log_2 n$	$n^2$	$n^3$	$1.5^n$	$2^n$	$n!$
$n = 10$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	4 sec
$n = 30$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	18 min	$10^{25}$ years
$n = 50$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	11 min	36 years	very long
$n = 100$	< 1 sec	< 1 sec	< 1 sec	1 sec	12,892 years	$10^{17}$ years	very long
$n = 1,000$	< 1 sec	< 1 sec	1 sec	18 min	very long	very long	very long
$n = 10,000$	< 1 sec	< 1 sec	2 min	12 days	very long	very long	very long
$n = 100,000$	< 1 sec	2 sec	3 hours	32 years	very long	very long	very long
$n = 1,000,000$	1 sec	20 sec	12 days	31,710 years	very long	very long	very long

The running times (rounded up) of different algorithms on inputs of increasing size, for a processor performing a million high-level instructions per second.  
In cases where the running time exceeds  $10^{25}$  years, we simply record the algorithm as taking a very long time

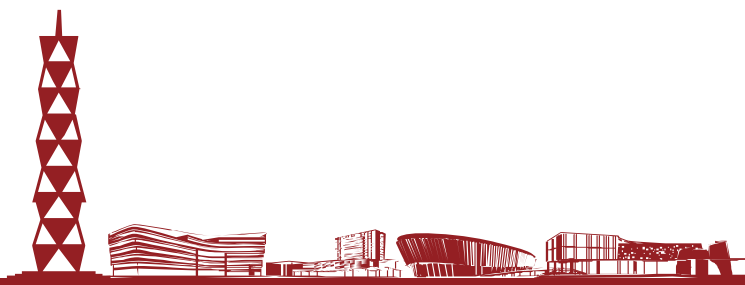




# Worst-Case Analysis



- **Worst case running time.** Obtain bound on largest possible running time of algorithm on input of a given size  $N$ 
  - Generally captures efficiency in practice
- **Exceptions**
  - Some exponential-time (or worse) algorithms are widely used because the worst-case instances seem to be rare (e.g., simplex method Unix grep)

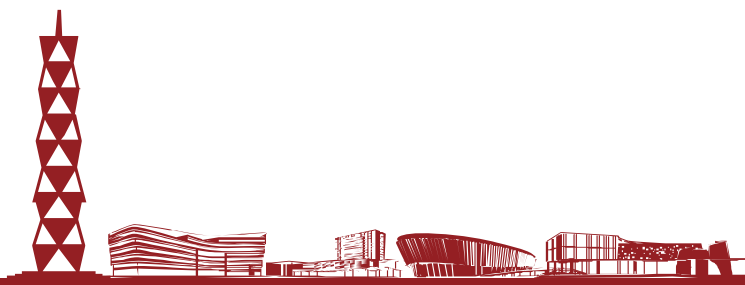




# Average-Case Analysis

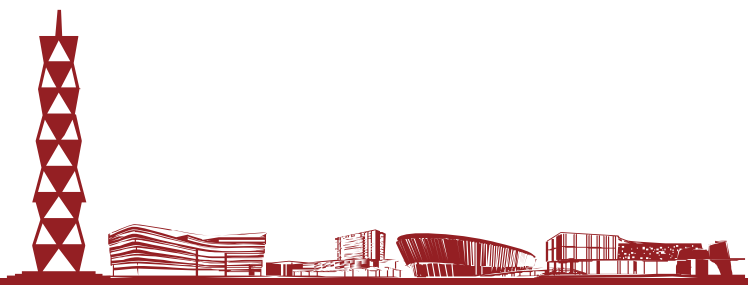


- **Average case running time.** Obtain bound on running time of algorithm on **random** input as a function of input size  $N$ 
  - Need to choose a distribution over input instances
  - Algorithm tuned for a certain distribution may perform poorly on other inputs
  - Average-case analysis may tell us more about the choice of distributions than about the algorithm itself





# Asymptotic Order of Growth

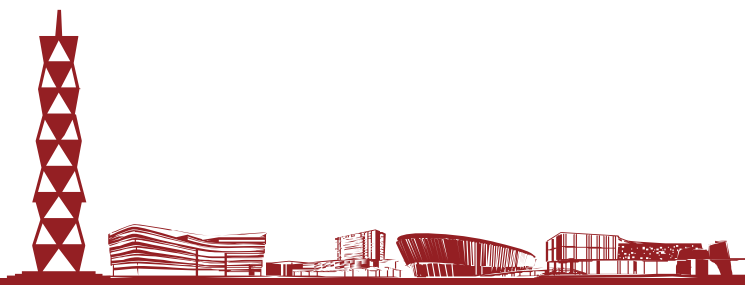




# Asymptotic Order of Growth



- **Importance:** vocabulary for the design and analysis of algorithms (e.g., bit-oh notation)
- Sweet spot for high-level reasoning about algorithms
- Coarse enough to suppress architecture/language/compiler-dependent details
- Sharp enough to make useful comparisons between different algorithms, especially on large inputs (e.g., sorting or integer multiplication)
- High-level idea: Suppress constant factors (too system-dependent) and lower-order terms  
→ irrelevant for large inputs
- **Example:** equate  $6n\log_2 n + 6n$  with just  $n\log n$
- **Terminology:** running time is  $O(n\log n)$  where  $n$  = input size (e.g., length of input array)





# Examples



- Problem: does array A contain the integer t?
- Given A (array of length n) and t (an integer)

```
for i = 1 to n
    if A[i] == t return TRUE
return FALSE
```

- Problem: Given A, B (array of length n) and t (an integer), does A or B contain t?

```
for i = 1 to n
    if A[i] == t return TRUE
for i = 1
    if B[i] == t return TRUE
return FALSE
```

- Problem: Do arrays A and B have a number in common?

```
for i = 1 to n
    for j = 1 to n
        if A[i] == B[j] return TRUE
return FALSE
```

- Problem: Do arrays A have duplicated entities?

```
for i = 1 to n
    for j = i+1 to n
        if A[i] == A[j] return TRUE
return FALSE
```

Question: What is the running time?

O(1)  
O(n)  
O(logn)  
O(n<sup>2</sup>)





# Asymptotic Order of Growth



- **Upper bounds.**  $T(n)$  is  $O(f(n))$  if there exist constants  $c > 0$  and  $n_0 \geq 0$  such that for all  $n \geq n_0$  we have  $T(n) \leq c \cdot f(n)$
- Example #1
  - Claim: If  $T(n) = a_k n^k + \dots + a_1 n + a_0$  then  $T(n) = O(n^k)$
  - Proof: Choose  $n_0 = 1$  and  $c = |a_k| + |a_{k-1}| + \dots + |a_1| + |a_0|$
  - Need to show that exist  $n \geq 1$ ,  $T(n) \leq cn^k$
  - We have for every  $n \geq 1$ ,  $T(n) \leq |a_k|n^k + \dots + |a_1|n + |a_0| \leq |a_k|n^k + \dots + |a_1|n^k + |a_0|n^k = cn^k$
- Example #2
  - Claim: for every  $k \geq 1$ ,  $n^k$  is not  $O(n^{k-1})$
  - Proof: by contradiction. Suppose  $n^k = O(n^{k-1})$
  - Then exist constants  $c, n_0 > 0$  such that  $n^k \leq cn^{k-1}$  for every  $n \geq n_0$
  - But then cancelling  $n^{k-1}$  from both sides  $\rightarrow n \leq c$  which is clearly false







# Asymptotic Order of Growth



- **Upper bounds.**  $T(n)$  is  $O(f(n))$  if there exist constants  $c > 0$  and  $n_0 \geq 0$  such that for all  $n \geq n_0$  we have  $T(n) \leq c \cdot f(n)$
- **Lower bounds.**  $T(n)$  is  $\Omega(f(n))$  if there exist constants  $c > 0$  and  $n_0 \geq 0$  such that for all  $n \geq n_0$  we have  $T(n) \geq c \cdot f(n)$
- **Tight bounds.**  $T(n)$  is  $\Theta(f(n))$  if  $T(n)$  is both  $O(f(n))$  and  $\Omega(f(n))$ .
  - Exist constants  $c_1, c_2, n_0$ , such that  $c_1 f(n) \leq T(n) \leq c_2 f(n)$  for all  $n \geq n_0$
- Let  $T(n) = \frac{1}{2}n^2 + 3n$ . Which of the following statements are true?
  - $T(n) = O(n)$
  - $T(n) = \Omega(n)$
  - $T(n) = \Theta(n)$
  - $T(n) = O(n^3)$

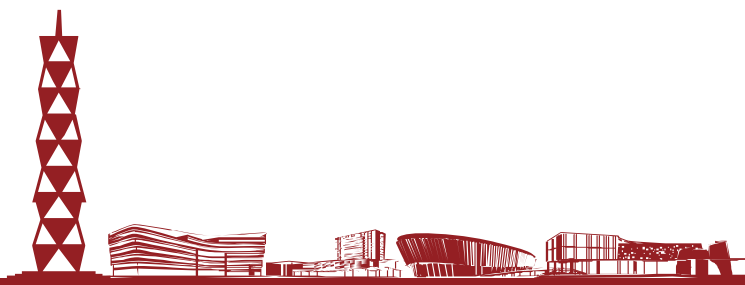
Ex:  $T(n) = 32n^2 + 17n + 32$   
 $T(n)$  is  $O(n^2), O(n^3) \leftarrow$  choose  $c = 50, n_0 = 1$   
 $T(n)$  is  $\Omega(n^2), \Omega(n) \leftarrow$  choose  $c=32, n_0 = 1$   
 $T(n)$  is  $\Theta(n^2)$   
 $T(n)$  is not  $O(n), \Omega(n^3), \Theta(n),$  or  $\Theta(n^3)$



# More Examples



- **Claim:**  $2^{n+10} = O(2^n)$
- **Proof:** need to pick constants  $c, n_0$  such that  $2^{n+10} \leq c2^n$  for every  $n \geq n_0$
- **Note:**  $2^{n+10} = 2^{10}2^n = (1024)2^n$
- **So:** if we choose  $c = 1024, n_0 = 1$ , then  $2^{n+10} \leq c2^n$  holds
  
- **Claim:**  $2^{10n}$  is not  $O(2^n)$
- **Proof:** By contradiction. If  $2^{10n} = O(2^n)$ , then exist constants  $c, n_0 > 0$ , such that
  - $2^{10n} \leq c2^n$  for every  $n \geq n_0$
  - But then cancelling  $2^n$ :
  - $2^{9n} \leq c$  for every  $n \geq n_0$  which is certainly false



# More Examples



- **Claim:** for every pair of (positive) functions  $f(n)$ ,  $g(n)$ ,  $\max\{f, g\} = \Theta(f(n) + g(n))$
- **Proof:**  $\max\{f, g\} = \Theta(f(n) + g(n))$

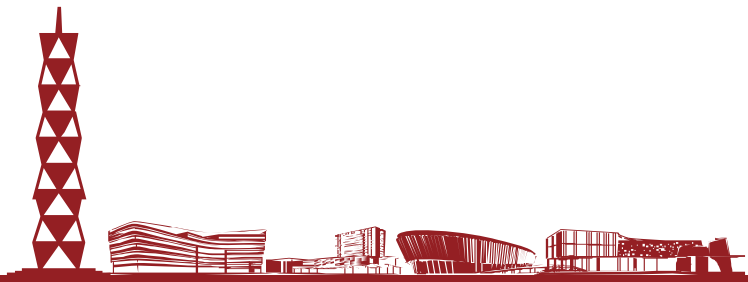
For every  $n$ , we have  $\max\{f(n), g(n)\} \leq f(n) + g(n)$

and

$$2\max\{f(n), g(n)\} \geq f(n) + g(n) \rightarrow \max\{f(n), g(n)\} \geq \frac{1}{2} (f(n) + g(n))$$

Thus:  $\frac{1}{2} (f(n) + g(n)) \leq \max\{f(n), g(n)\} \leq f(n) + g(n)$  for all  $n \geq 1$

$\rightarrow \max\{f, g\} = \Theta(f(n) + g(n))$ , where  $n_0 = 1$ ,  $c_1 = \frac{1}{2}$ ,  $c_2 = 1$





# Notation and Properties



- **Slight abuse of notation.**  $T(n) = O(f(n))$

- **Asymmetric**

- $f(n) = 5n^3$ ;  $g(n) = 3n^2$
- $f(n) = O(n^3) = g(n)$
- But  $f(n) \neq g(n)$
- Better notation:  $T(n) \in O(f(n))$

**$O(f(n))$  is a set of functions, but we often write  $T(n) = O(f(n))$  instead of  $T(n) \in O(f(n))$**

- **Transitivity**

- If  $f=O(g)$  and  $g=O(h)$  then  $f=O(h)$
- If  $f=\Omega(g)$  and  $g=\Omega(h)$  then  $f=\Omega(h)$
- If  $f=\Theta(g)$  and  $g=\Theta(h)$  then  $f=\Theta(h)$

- **Additivity**

- If  $f = O(h)$  and  $g = O(h)$  then  $f + g = O(h)$
- If  $f = \Omega(h)$  and  $g = \Omega(h)$  then  $f + g = \Omega(h)$
- If  $f = \Theta(h)$  and  $g = \Theta(h)$  then  $f + g = \Theta(h)$





# Asymptotic Bounds for Some Common Functions

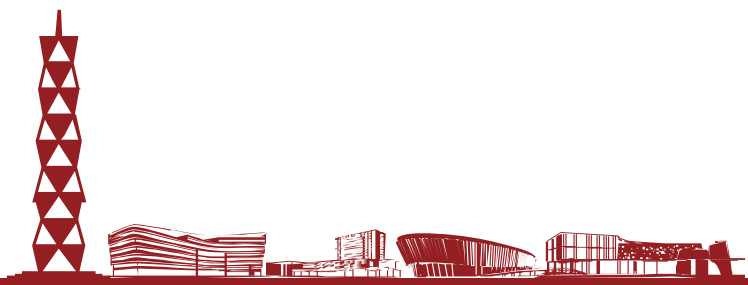


- **Polynomials.**  $a_0 + a_1n + \dots + a_dn^d$  is  $\Theta(n^d)$  if  $a_d > 0$
- **Polynomial time.** Running time is  $O(n^d)$  for some constant  $d$  independent of the input size  $n$
- **Logarithms.**  $O(\log_a n) = O(\log_b n)$  for any constants  $a, b > 1$
- **Logarithms.** For every  $x > 0$ ,  $\log n = O(n^x)$

log grows slower than every polynomial

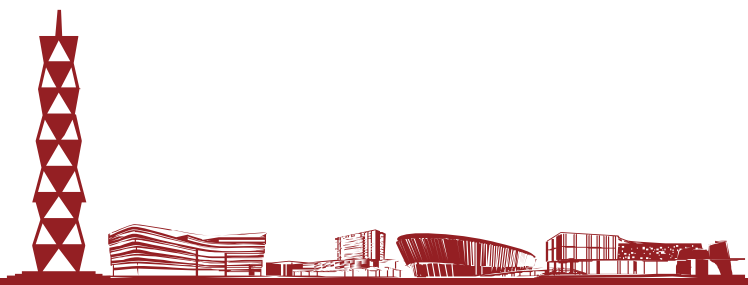
- **Exponentials.** For every  $r > 1$  and every  $d > 0$ ,  $n^d = O(r^n)$

every exponential grows faster than every polynomial





# A Survey of Common Running Times



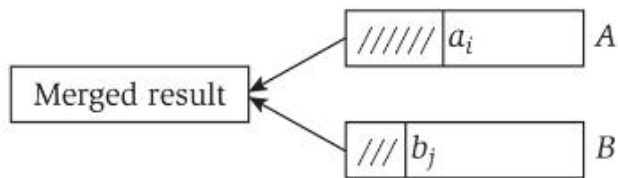
# Linear Time: $O(n)$



- **Linear time.** Running time is at most a constant factor times the size of the input
- **Computing the maximum.** Compute the maximum of  $n$  numbers  $a_1, \dots, a_n$

```
max ← a1
for i = 2 to n {
    if (ai > max)
        max ← ai
}
```

- **Merge.** Combine two sorted lists  $A = a_1, a_2, \dots, a_n$  with  $B = b_1, b_2, \dots, b_n$  into sorted whole



```
i = 1, j = 1
while (both lists are nonempty) {
    if (ai ≤ bj) append ai to output list and increment i
    else         append bj to output list and increment j
}
append remainder of nonempty list to output list
```

- **Claim.** Merging two lists of size  $n$  takes  $O(n)$  time
- **Pf.** After each comparison, the length of output list increases by 1

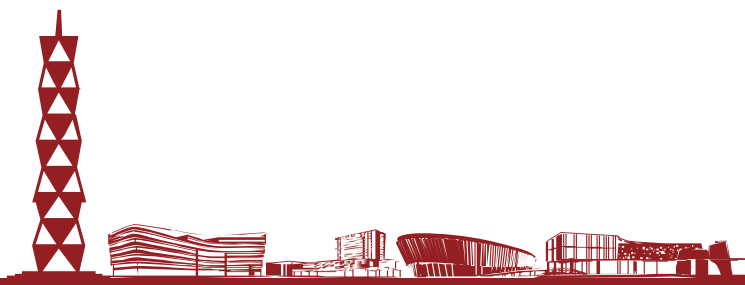




# $O(n \log n)$ Time



- **$O(n \log n)$  time.** Arises in divide-and-conquer algorithms
- **Sorting.** Mergesort and heapsort are sorting algorithms that perform  $O(n \log n)$  comparisons
- **Largest empty interval.** Given  $n$  timestamps  $x_1, \dots, x_n$  on which copies of a file arrive at a server, what is largest interval of time when no copies of the file arrive?
- **$O(n \log n)$  solution.** Sort the timestamps. Scan the sorted list in order, identifying the maximum gap between successive timestamps







# Quadratic Time: $O(n^2)$



- **Quadratic time.** Enumerate all pairs of elements
- **Closest pair of points.** Given a list of  $n$  points in the plane  $(x_1, y_1), \dots, (x_n, y_n)$ , find the pair that is closest
- **$O(n^2)$  solution.** Try all pairs of points

```
min ←  $(x_1 - x_2)^2 + (y_1 - y_2)^2$ 
for i = 1 to n {
    for j = i+1 to n {
        d ←  $(x_i - x_j)^2 + (y_i - y_j)^2$ 
        if (d < min)
            min ← d
    }
}
```

Don't need to take square roots

- **Remark.**  $\Omega(n^2)$  seems inevitable, but this is just an illusion



# Cubic Time: $O(n^3)$



- **Cubic time.** Enumerate all triples of elements
- **Set disjointness.** Given  $n$  sets  $S_1, \dots, S_n$  each of which is a subset of  $1, 2, \dots, n$ , is there some pair of these which are disjoint?
- **$O(n^3)$  solution.** For each pairs of sets, determine if they are disjoint

```
foreach set  $S_i$  {  
    foreach other set  $S_j$  {  
        foreach element  $p$  of  $S_i$  {  
            determine whether  $p$  also belongs to  $S_j$   
        }  
        if (no element of  $S_i$  belongs to  $S_j$ )  
            report that  $S_i$  and  $S_j$  are disjoint  
    }  
}
```





# Polynomial Time: $O(n^k)$ Time



- **Independent set of size k.** Given a graph, are there k nodes such that no two are joined by an edge?
- **$O(n^k)$  solution.** Enumerate all subsets of k nodes

```
foreach subset S of k nodes {  
    check whether S is an independent set  
    if (S is an independent set)  
        report S is an independent set  
    }  
}
```

- Check whether S is an independent set =  $O(k^2)$

- Number of k element subsets = 
$$\binom{n}{k} = \frac{n(n-1)(n-2)\cdots(n-k+1)}{k(k-1)(k-2)\cdots(2)(1)} \leq \frac{n^k}{k!}$$

- $O(k^2 n^k / k!) = O(n^k)$

poly-time for  $k=17$ ,  
but not practical



# Exponential Time



- **Independent set.** Given a graph, what is maximum size of an independent set?
- **$O(n^2 2^n)$  solution.** Enumerate all subsets.

```
S* ←  $\phi$ 
foreach subset S of nodes {
    check whether S is an independent set
    if (S is largest independent set seen so far)
        update S* ← S
    }
}
```

