

Using the Software Analysis Workbench (SAW)

Galois, Inc.
421 SW 6th Ave., Ste. 300
Portland, OR 97204

Overview

The Software Analysis Workbench (SAW) is a tool for constructing mathematical models of the computational behavior of software, transforming these models, and proving properties about them.

SAW can currently construct models of a subset of programs written in Cryptol, LLVM (and therefore C), and JVM (and therefore Java). The models take the form of typed functional programs, so in a sense SAW can be considered a translator from imperative programs to their functional equivalents. Given a functional model in SAW, various external proof tools, including a variety of SAT and SMT solvers, can be used to prove properties about it. Models can be constructed from arbitrary Cryptol programs, and can typically be constructed from C and Java programs that have fixed-size inputs and outputs, and that terminate after a fixed number of iterations of any loop (or a fixed number of recursive calls). One common use case is to verify the equivalence of an implementation of an algorithm with its specification in Cryptol.

The process of extracting models from programs, manipulating them, forming queries about them, and sending them to external provers is orchestrated using a special purpose language called SAWScript. SAWScript is a typed functional language with support for sequencing of imperative commands.

The rest of this document first describes how to use the SAW tool, `saw`, and outlines the structure of the SAWScript language and its relationship with Cryptol. It then follows up with a description of the commands in SAWScript that can transform functional models and prove properties about them. Finally, it describes the specific commands available for constructing models from imperative programs in a variety of languages.

Invoking SAW

The primary mechanism for interacting with SAW is through the `saw` executable included as part of the standard binary distribution. With no arguments, `saw` starts a read-evaluate-print loop (REPL) which allows the user to interactively evaluate commands in the SAWScript language, described in more detail in the following section. With one file name argument, it executes the specified file as a SAWScript program.

In addition to a file name, the `saw` executable accepts several command-line options:

- `-h, -?, --help` Print a help message.
- `-V, --version` Show the version of the SAWScript interpreter.
- `-c path, --classpath=path` Specify a colon-delimited list of paths to search for Java classes.
- `-i path, --import-path=path` Specify a colon-delimited list of paths to search for imports.
- `-t, --extra-type-checking` Perform extra type checking of intermediate values.
- `-I, --interactive` Run interactively (with a REPL).
- `-j path, --jars=path` Specify a colon-delimited list of paths to `.jar` files to search for Java classes.
- `-d num, --sim-verbose=num` Set the verbosity level of the Java and LLVM simulators.
- `-v num, --verbose=num` Set verbosity level of the SAWScript interpreter.

SAW also uses several environment variables for configuration:

CRYPTOLPATH Specify a colon-delimited list of directory paths to search for Cryptol imports (including the Cryptol prelude).

SAW_IMPORT_PATH Specify a colon-delimited list of directory paths to search for imports.

SAW_JDK_JAR Specify the path of the `.jar` file containing the core Java libraries.

All of the command-line options and environment variables that accept colon-delimited lists use semicolon-delimited lists on Windows.

Structure of SAWScript

A SAWScript program consists, at the top level, of a sequence of commands to be executed in order. Each command is terminated with a semicolon. For example, the `print` command displays a textual representation of its argument. Suppose the following text is stored in the file `print.saw`:

```
print 3;
```

Then the command `saw print.saw` will yield output similar to the following:

```
Loading module Cryptol
Loading file "print.saw"
3
```

Similarly, the same code can be run from the interactive REPL:

```
sawscript> print 3;
3
```

At the REPL, terminating semicolons can be omitted:

```
sawscript> print 3
3
```

To make common use cases simpler, bare values at the REPL are treated as if they were arguments to `print`:

```
sawscript> 3
3
```

One SAWScript file can be included in another using the `include` command, which takes the name of the file to be included as an argument. For example:

```
include "print.saw";
```

Basic Types and Values

All values in SAWScript have types, and these types are determined and checked before a program runs (that is, SAWScript is statically typed). The basic types available are similar to those in many other languages.

- The `Int` type represents unbounded mathematical integers. Integer constants can be written in decimal notation (e.g., `42`), hexadecimal notation (`0x2a`), and binary (`0b00101010`). However, unlike many languages, integers in SAWScript are used primarily as constants. Arithmetic is usually encoded in Cryptol, as discussed in the next section.
- The Boolean type, `Bool`, contains the values `true` and `false`, like in many other languages. As with integers, computations on Boolean values usually occur in Cryptol.
- Values of any type can be aggregated into tuples. For example, the value `(true, 10)` has the type `(Bool, Int)`.

- Values of any type can also be aggregated into records, which are exactly like tuples except that their components have names. For example, the value `{ b = true, n = 10 }` has the type `{ b : Bool, n : Int }`.
- A sequence of values of the same type can be stored in a list. For example, the value `[true, false, true]` has the type `[Bool]`.
- Strings of textual characters can be represented in the `String` type. For example, the value `"example"` has type `String`.
- The “unit” type, written `()` is essentially a placeholder. It has only one value, also written `()`. Values of type `()` convey no information. We will show in later sections several cases where this is useful.

SAWScript also includes some more specialized types which do not have a straightforward counterpart in most other languages. These will appear in later sections.

Basic Expression Forms

One of the key forms of top-level command in SAWScript is a *binding*, introduced with the `let` keyword, which gives a name to a value. For example:

```
sawscript> let x = 5
sawscript> x
5
```

Bindings can have parameters, in which case they define functions. For instance, the following function takes one parameter and constructs a list containing that parameter as its single element.

```
sawscript> let f x = [x]
sawscript> f "text"
["text"]
```

Functions themselves are values, and have types. The type of a function that takes an argument of type `a` and returns a result of type `b` is `a -> b`.

Typically, the types of functions are inferred. As in the example `f` above. In this case, because `f` only creates a list with the given argument, and because it's possible to create a list of any element type, `f` can be applied to an argument of any type. We say, therefore, that `f` is *polymorphic*. Concretely, we write the type of `f` as `{a} a -> [a]`, meaning it takes a value of any type (call it `a`) and returns a list containing elements of that same type. This means we can also apply it to `10`:

```
sawscript> f 10
[10]
```

However, we may want to specify that a function operates at a more specific type than the most general type possible. In this case, we could restrict `f` to operate only on `Int` parameters.

```
sawscript> let f (x : Int) = [x]
```

This will work identically to the original `f` on an `Int` parameter:

```
sawscript> f 10
[10]
```

But it will fail for a `String` parameter.

```
sawscript> f "text"

type mismatch: String -> t.0 and Int -> [Int]
```

```
at "_" (REPL)
mismatched type constructors: String and Int
```

Type annotations can be applied to any expression. The notation $(e : \tau)$ indicates that the expression e is expected to have type τ , and that it is an error for it to have a different type. Most types in SAWScript are inferred automatically, but it can sometimes be valuable for readability to specify them explicitly.

Because functions are values, functions can return other functions. We make use of this feature for writing functions of multiple arguments. Consider the function g , similar to f but with two arguments:

```
sawscript> let g x y = [x, y]
```

Like f , g is polymorphic. Its type is $\{a\} a \rightarrow a \rightarrow [a]$. This means it takes an argument of type a and returns a *function* that takes an argument of the same type a and returns a list of a values. We can therefore apply g to any two arguments of the same type:

```
sawscript> g 2 3
[2,3]
sawscript> g true false
[true,false]
```

But type checking will fail if we apply it to two values of different types:

```
sawscript> g 2 false

type mismatch: Bool -> t.0 and Int -> [Int]
at "_" (REPL)
mismatched type constructors: Bool and Int
```

In the text so far we have used two related terms, *function* and *command*, and we take these to mean slightly different things. A function is any value with a function type (e.g., $\text{Int} \rightarrow [\text{Int}]$). A command is a function in which the result type is one of a specific set of special types. These special types are *parameterized* (similarly to how the list type is parameterized), and allow us to restrict commands to be usable only in specific contexts.

The most important command type is the `TopLevel` type, indicating a command that can run at the top level (directly at the REPL, or as one of the top level commands listed in a script file). The `print` command has the type $\{a\} a \rightarrow \text{TopLevel } ()$, where `TopLevel ()` means that it is a command that runs in the `TopLevel` context and returns a value of type `()` (that is, no useful information). In other words, it has a side effect (printing some text to the screen), but doesn't produce any information to use in the rest of the SAWScript program. This is the primary place where you'll see the `()` type used.

It can sometimes be useful to bind together a sequence of commands in a unit. This can be accomplished with the `do { ... }` construct. For example:

```
sawscript> let print_two = do { print "first"; print "second"; }
sawscript> print_two
first
second
```

The bound value, `print_two`, has type `TopLevel ()`, since that is the type of its last command.

Note that in the previous example the printing doesn't occur until `print_two` directly appears at the REPL. The `let` expression does not cause those commands to run. The construct that *runs* a command is written using the `<-` operator. This operator works like `let` except that it says to run the command listed on the right hand side and bind the result, rather than binding the variable to the command itself. Using `<-` instead of `let` in the previous example yields:

```
sawscript> print_two <- do { print "first"; print "second"; }
first
second
sawscript> print_two
()
```

Here, the `print` commands run first, and then `print_two` gets the value returned by the second `print` command, namely `()`. Any command listed alone at the REPL, the top level in a script, or inside a `do` block is treated as implicitly having a `<-` that binds its result to an unnamed variable (that is, discards it).

In some cases it can be useful to have more control over the value returned by a `do` block. The `return` command allows us to do this. For example, say we wanted to write a function that would print a message before and after running some arbitrary command, and then return the result of that command. We could write:

```
let run_with_message c =
  do {
    print "Starting.";
    res <- c;
    print "Done.";
    return res;
  };

x <- run_with_message (return 3);
print x;
```

If we put this script in `run.saw` and run it with `saw`, we get something like:

```
Loading module Cryptol
Loading file "run.saw"
Starting.
Done.
3
```

Note that it ran the first `print` command, then the caller-specified command, then the second `print` command. The result stored in `x` at the end is the result of the `return` command passed in as an argument.

Other Basic Functions

Besides the functions we have listed so far, a number of other operations exist for working with basic data structures and interacting with the operating system.

The following functions work on lists:

```
concat : {a} [a] -> [a] -> [a]

head : {a} [a] -> a

tail : {a} [a] -> [a]

length : {a} [a] -> Int

null : {a} [a] -> Bool

nth : {a} [a] -> Int -> a
```

```
for : {m, a, b} [a] -> (a -> m b) -> m [b]
```

The `concat` function takes two lists and returns the concatenation of the two. The `head` function returns the first element of a list, and the `tail` function returns everything except the first element. The `length` function counts the number of elements in a list, and the `null` function indicates whether a list is empty (has zero elements). The `nth` function returns the element at the given position, with `nth 1 0` being equivalent to `head 1`. The `for` command takes a list and a function that runs in some command context. The passed command will be called once for every element of the list, in order, and `for` will ultimately return a list of all of the results produced by the command.

For interacting with the operating system, we have:

```
get_opt : Int -> String

exec : String -> [String] -> String -> TopLevel String

exit : Int -> TopLevel ()
```

The `get_opt` function returns the command-line argument to `saw` at the given index. Argument 0 will always be the name of the `saw` executable itself, and higher indices represent later arguments. The `exec` command runs an external program given, respectively, an executable name, a list of arguments, and a string to send to the standard input of the program. The `exec` command returns the standard output from the program it executes and prints standard error to the screen. Finally, the `exit` command stops execution of the current script and returns the given exit code to the operating system.

Finally, a few miscellaneous functions and commands exist. The `show` function computes the textual representation of its argument in the same way as `print`, but instead of displaying the value it returns it as a `String` value for later use in the program. This can be useful for constructing more detailed messages later. The `str_concat` function, which concatenates two `String` values, can also be useful in this case.

The `time` command runs any other `TopLevel` command and prints out the time it took to execute. If you want to use the time value later in the program, the `with_time` function returns both the original result of the timed command and the time taken to execute it (in milliseconds), without printing anything in the process.

```
show : {a} a -> String

str_concat : String -> String -> String

time : {a} TopLevel a -> TopLevel a

with_time : {a} TopLevel a -> TopLevel (Int, a)
```

The Term Type

Perhaps the most important type in SAWScript, and the one most unlike the built-in types of most other languages, is the `Term` type. Essentially, a value of type `Term` precisely describes all of the possible computations performed by some program. And, in particular, if two `Term` values are *equivalent*, then the programs that they represent will always compute the same results given the same inputs. We will say more later about what exactly it means for two terms to be equivalent, and how to determine whether two terms are equivalent.

Before we dig into the `Term` type more deeply, it will be useful to describe the role of the Cryptol language in SAW.

Cryptol and its Role in SAW

Cryptol is a domain-specific language originally designed for the high-level specification of cryptographic algorithms. It is general enough, however, to describe a wider variety of programs, and is particularly applicable to describing computations that operate on streams of data of some fixed size.

Because Cryptol is a stand-alone language in addition to being integrated into SAW, it has its own manual, which you can find here:

```
http://cryptol.net/files/ProgrammingCryptol.pdf
```

SAW includes deep support for Cryptol, and in fact requires the use of Cryptol for most non-trivial tasks. So to fully understand the rest of this manual you'll need to develop at least a rudimentary understanding of Cryptol.

The primary use of Cryptol is to construct values of type **Term**. Although **Term** values can be constructed from various sources, inline Cryptol expressions are the most direct and convenient way to create them.

Specifically, a Cryptol expression can be placed inside double curly braces (`{ { }` and `}}`), resulting in a value of type **Term**. As a very simple example, there is no built-in integer addition operation in SAWScript, but there is in Cryptol, and we can use it as follows:

```
sawscript> let t = { { 0x22 + 0x33 } }
sawscript> print t
85
```

Note, however, that although it printed out in the same way as an **Int**, `t` actually has type **Term**. We can see how this term is represented internally, before being evaluated, with the `print_term` function.

```
sawscript> print_term t
Cryptol.ecPlus
  (Prelude.Vec 8 Prelude.Bool)
  (Cryptol.OpsSeq
    (Cryptol.TCNum 8)
    Prelude.Bool
    Cryptol.OpsBit)
  (Prelude.bvNat 8 34)
  (Prelude.bvNat 8 51)
```

For the moment, it's not important to understand what this output means. We show it simply to clarify that **Term** values have their own internal structure that goes beyond what exists in SAWScript. The internal representation of **Term** values is in a language called SAWCore. The full semantics of SAWCore are beyond the scope of this document.

The text constructed by `print_term` can also be accessed programmatically, instead of printing it to the screen, using the `show_term` function, which returns a **String**. The `show_term` function is not a command, so it executes directly and does not need `<-` to bind its result. Therefore, the following will have the same result as the `print_term` command above:

```
sawscript> let s = show_term t
sawscript> print s
```

When printing terms, numbers are printed in decimal notation by default, but the following two commands can change that behavior.

```
set_ascii : Bool -> TopLevel ()

set_base  : Int  -> TopLevel ()
```

The `set_ascii` command, when passed `true`, makes subsequent `print_term` or `show_term` commands print sequences of bytes as ASCII strings (and doesn't affect printing of anything else). The `set_base` command prints all bit vectors in the given base. Any base from 2 through 36 (inclusive) is supported.

A `Term` that represents an integer (any bit vector, as affected by `set_base`) can be translated into a SAWScript `Int` using the `eval_int` function, of type `Term -> Int`. This function will return an `Int` if the `Term` can be represented as one, and will fail at runtime otherwise.

```
sawscript> print (eval_int t)
85
sawscript> print (eval_int {{ True }})

"eval_int" (<stdin>:1:1):
eval_int: argument is not a finite bitvector
sawscript> print (eval_int {{ [True] }})
1
```

Similarly, values of type `Bit` in Cryptol can be translated into values of type `Bool` in SAWScript using the `eval_bool` function:

```
sawscript> let b = {{ True }}
sawscript> print_term b
Prelude.True
sawscript> print (eval_bool b)
true
```

In addition to being able to extract integer and Boolean values from Cryptol expressions, `Term` values can be injected into Cryptol expressions. When SAWScript evaluates a Cryptol expression between `{{` and `}}` delimiters, it does so with several extra bindings in scope:

- Any value in scope of SAWScript type `Bool` is visible in Cryptol expressions as a value of type `Bit`.
- Any value in scope of SAWScript type `Int` is visible in Cryptol expressions as a *type variable*. Type variables can be demoted to numeric bit vector values using the backtick (```) operator.
- Any value in scope of SAWScript type `Term` is visible in Cryptol expressions as a value with the Cryptol type corresponding to the internal type of the term. The power of this conversion is that the `Term` does not need to have originally been derived from a Cryptol expression.

In addition to these rules, bindings created at the Cryptol level, either from included files or inside Cryptol quoting brackets, are visible only to later Cryptol expressions, and not as SAWScript variables.

To make these rules more concrete, consider the following examples. If we bind a SAWScript `Int`, we can use it as a Cryptol type variable. If we create a `Term` variable which internally has function type, we can apply it to an argument within a Cryptol expression, but not at the SAWScript level:

```
sawscript> let n = 8
sawscript> let {{ f (x : [n]) = x + 1 }}
sawscript> print {{ f 2 }}
3
sawscript> print (f 2)

unbound variable: "f" (<stdin>:1:8)
```

If `f` was a binding of a SAWScript variable to a `Term` of function type, we would get a different error:

```
sawscript> let f = {{ \(x : [n]) -> x + 1 }}
```



```
sawscript> print {{ f 2 }}
3
sawscript> print (f 2)

type mismatch: Int -> t.0 and Term
at "-" (REPL)
mismatched type constructors: (->) and Term
```

One subtlety of dealing with `Terms` constructed from `Cryptol` is that because the `Cryptol` expressions themselves are type checked by the `Cryptol` type checker, and because they may make use of other `Term` values already in scope, they are not type checked until the `Cryptol` brackets are evaluated. So type errors at the `Cryptol` level may occur at runtime from the `SAWScript` perspective (though they occur before the `Cryptol` expressions are run).

So far, we have talked about using `Cryptol` *value* expressions. However, `SAWScript` can also work with `Cryptol` *types*. The most direct way to refer to a `Cryptol` type is to use type brackets: `{|` and `|}`. Any `Cryptol` type written between these brackets becomes a `Type` value in `SAWScript`. Some types in `Cryptol` are *size* types, and isomorphic to integers. These can be translated into `SAWScript` integers with the `eval_size` function. For example:

```
sawscript> let {{ type n = 16 }}
sawscript> eval_size {| n |}
16
sawscript> eval_size {| 16 |}
16
```

For non-size types, `eval_size` fails at runtime:

```
sawscript> eval_size {| [16] |}

"eval_size" (<stdin>:1:1):
eval_size: not a numeric type
```

In addition to the use of brackets to write `Cryptol` expressions inline, several built-in functions can extract `Term` values from `Cryptol` files in other ways. The `import` command at the top level imports all top-level definitions from a `Cryptol` file and places them in scope within later bracketed expressions.

The `cryptol_load` command behaves similarly, but returns a `CryptolModule` instead. If any `CryptolModule` is in scope, its contents are available qualified with the name of the `CryptolModule` variable. To see how this works, consider the `cryptol_prims` function, of type `() -> CryptolModule`. This function returns a built-in module containing a collection of useful `Cryptol` definitions that are not available in the standard `Cryptol` Prelude.

The definitions in this module include (in `Cryptol` syntax):

```
trunc : {m, n} (fin m, fin n) => [m + n] -> [n]

uext : {m, n} (fin m, fin n) => [n] -> [m + n]

sgt : {n} (fin n) => [n] -> [n] -> Bit

sge : {n} (fin n) => [n] -> [n] -> Bit

slt : {n} (fin n) => [n] -> [n] -> Bit
```

```
sle : {n} (fin n) => [n] -> [n] -> Bit
```

These perform bit-vector operations of truncation (`trunc`), unsigned extension (`uext`), and signed comparison (`sgt`, `sge`, `slt`, and `sle`). These definitions are typically accessed through binding `cryptol_prims` to a local variable, as typically done with `cryptol_load`:

```
sawscript> set_base 16
sawscript> let m = cryptol_prims ()
sawscript> let x = {{ (m::trunc 0x23) : [4] }}
sawscript> print x
0x3
```

We truncated the 8-bit value `0x23` to a 4-bit value `0x3`.

Finally, a specific definition can be extracted from a `CryptolModule` more explicitly using the `cryptol_extract` command:

```
cryptol_extract : CryptolModule -> String -> TopLevel Term
```

Transforming Term Values

The three primary functions of SAW are *extracting* models (`Term` values) from programs, *transforming* those models, and *proving* properties about models using external provers. So far, we've shown how to construct `Term` values from Cryptol programs (and later sections will describe how to extract them from other programs). Now we show how to use the various term transformation features available in SAW.

Rewriting

Rewriting a `Term` consists of applying one or more *rewrite rules* to it, resulting in a new `Term`. A rewrite rule in SAW can be specified in multiple ways:

- as the definition of a function that can be unfolded,
- as a term of Boolean type (or a function returning a Boolean) that is an equality statement, and
- as a term of *equality type* with a body that encodes a proof that the equality in the type is valid.

Each of these forms is a `Term` of a different shape. And in each case the term logically consists of two parts, each of which may contain variables (bound by enclosing lambda expressions). By thinking of the variables as holes which may match any sub-term, the two parts of each term can both be seen as *patterns*. The left-hand pattern describes a term to match (which may be a sub-term of the full term being rewritten), and the right-hand pattern describes a term to replace it with. Any variable in the right-hand pattern must also appear in the left-hand pattern and will be instantiated with whatever sub-term matched that variable in the original term.

For example, say we have the following Cryptol function:

```
\(x:[8]) -> (x * 2) + 1
```

We might for some reason want to replace multiplication by a power of two with a shift. We can describe this replacement by an equality statement in Cryptol:

```
\(y:[8]) -> (y * 2) == (y << 1)
```

Interpreting this as a rewrite rule, it says that for any 8-bit vector (call it `y` for now), we can replace `y * 2` with `y << 1`. Applying this rule to the earlier expression would then yield:

```
\(x:[8]) -> (x << 1) + 1
```

The general philosophy of rewriting is that the left and right patterns, while syntactically different, should be semantically equivalent. Therefore, applying a set of rewrite rules should not change the fundamental meaning

of the term being rewritten. SAW is particularly focused on the task of proving that some logical statement expressed as a `Term` is always true. If that is in fact the case, then the entire term can be replaced by the term `True` without changing its meaning. The rewriting process can in some cases, by repeatedly applying rules that themselves are known to be valid, reduce a complex term entirely to `True`, which constitutes a proof of the original statement. In other cases, rewriting can simplify terms before sending them to external, automated provers, which can then finish the job. Sometimes this simplification can help the automated provers run more quickly, and sometimes it can help them prove things they would otherwise be unable to prove, by applying reasoning steps (rewrite rules) that are not available to the automated provers.

To use rewrite rules in practice, they can be aggregated into `Simpset` values in SAWScript. A few pre-defined `Simpset` values exist:

```
empty_ss : Simpset
basic_ss : Simpset
cryptol_ss : () -> Simpset
```

The first is the empty set of rules. Rewriting with it should have no effect, but it is useful as an argument to some of the functions that construct larger `Simpset` values. The `basic_ss` constant is a collection of rules that will be useful in most proof scripts. The `cryptol_ss` value includes a collection of Cryptol-specific rules, including rules to simplify away the abstractions introduced in the translation from Cryptol to SAWCore, which can be useful when proving equivalence between Cryptol and non-Cryptol code. When comparing Cryptol to Cryptol code, leaving these abstractions in place can be most appropriate, however, so `cryptol_ss` is not included in `basic_ss`.

The next set of functions add either a single rule or a list of rules to an existing `Simpset`.

```
addsimp' : Term -> Simpset -> Simpset

addsims' : [Term] -> Simpset -> Simpset
```

Given a `Simpset`, the `rewrite` command applies it to an existing `Term` to produce a new `Term`.

```
rewrite : Simpset -> Term -> Term
```

To make this more concrete, we can now look at how the rewriting example sketched above, to convert multiplication into shift, can work in practice. We'll simplify everything with `cryptol_ss` as we go along so that the `Terms` don't get too cluttered. First, let's declare the term to be transformed:

```
sawscript> let term = rewrite (cryptol_ss ()) {{ \x:[8]) -> (x * 2) + 1
}};
sawscript> print_term term;
\x::Prelude.Vec 8 Prelude.Bool) ->
  Prelude.bvAdd 8
    (Prelude.bvMul 8 x
      (Prelude.bvNat 8 2))
    (Prelude.bvNat 8 1)
```

Now, let's declare the rewrite rule:

```
sawscript> let rule = rewrite (cryptol_ss ()) {{ \y:[8]) -> (y * 2) ==
(y << 1) }};
sawscript> print_term rule;
let { x0 = Prelude.Vec 8 Prelude.Bool;
}
in \y::x0 ->
  Prelude.eq x0
```

```
(Prelude.bvMul 8 y
  (Prelude.bvNat 8 2))
(Prelude.bvShiftL 8 Prelude.Bool
  1
  Prelude.False
  y
  (Prelude.bvNat 1 1))
```

Finally, we can apply the rule to the target term:

```
sawscript> let result = rewrite (addsimp' rule empty_ss) term;
sawscript> print_term result;
\[x::Prelude.Vec 8 Prelude.Bool) ->
  Prelude.bvAdd 8
    (Prelude.bvShiftL 8 Prelude.Bool
      1
      Prelude.False
      x
      (Prelude.bvNat 1 1))
    (Prelude.bvNat 8 1)
```

Note that `addsimp'` and `addsims'` take a `Term` or list of `Terms`, which could in principle be anything, not necessarily terms representing logically valid equalities. This is why they have `'` suffixes: they are not intended to be the primary interface to rewriting. When using these functions, the soundness of the proof process depends on the correctness of these rules as a side condition.

The primary interface to rewriting uses the `Theorem` type instead of the `Term` type, as shown in the signatures for `addsimp` and `addsims`.

```
addsimp : Theorem -> Simpset -> Simpset

addsims : [Theorem] -> Simpset -> Simpset
```

A `Theorem` is essentially just a `Term` that is proven correct in some way. In general, a `Theorem` can be any statement, and may not be useful as a rewrite rule. However, if it has the shape described earlier, it can be used for rewriting. When we get to the “Proofs about Terms” section, we’ll describe how to construct `Theorem` values from `Term` values.

In the absence of user-constructed `Theorem` values, there are some additional built-in rules that are not included in either `basic_ss` and `cryptol_ss` because they are not always beneficial, but can sometimes be helpful or essential.

```
add_cryptol_eqs : [String] -> Simpset -> Simpset

add_prelude_defs : [String] -> Simpset -> Simpset

add_prelude_eqs : [String] -> Simpset -> Simpset
```

The `cryptol_ss` simpset includes rewrite rules to unfold all definitions in the `Cryptol SAWCore` module, but does not include any of the terms of equality type. The `add_cryptol_eqs` function adds the terms of equality type with the given names to the given `Simpset`. The `add_prelude_defs` and `add_prelude_eqs` functions add definition unfolding rules and equality-typed terms, respectively, from the `SAWCore Prelude` module.

Finally, it’s possible to construct a theorem from an arbitrary `SAWCore` expression (rather than a `Cryptol` expression), using the `core_axiom` function.

```
core_axiom : String -> Theorem
```

Any `Theorem` introduced by this function is assumed to be correct, so use it with caution.

Folding and Unfolding

A SAWCore term can be given a name using the `define` function, and is then by default printed as that name alone. A named subterm can then be “unfolded” so that the original definition appears again.

```
define : String -> Term -> TopLevel Term
```

```
unfold_term : [String] -> Term -> Term
```

For example:

```
sawscript> let t = {{ 0x22 }}
sawscript> print_term t
Prelude.bvNat 8 34
sawscript> t' <- define "t" t
sawscript> print_term t'
t
sawscript> let t'' = unfold_term ["t"] t'
sawscript> print_term t''
Prelude.bvNat 8 34
```

This process of folding and unfolding is useful both to make large terms easier for humans to work with and to make automated proofs more tractable. We'll get into the latter purpose when we talk about interacting with external provers.

Folding happens automatically when constructing Cryptol expressions in some cases. Consider the following example:

```
sawscript> let t = {{ 0x22 }}
sawscript> print_term t
Prelude.bvNat 8 34
sawscript> let {{ t' = 0x22 }}
sawscript> print_term {{ t' }}
t
```

This illustrates that a bare expression in Cryptol braces gets translated directly to a SAWCore term. However, a Cryptol *definition* gets translated into a *folded* SAWCore term. In addition, because the second definition of `t` occurs at the Cryptol level, rather than the SAWScript level, it is visible only inside Cryptol braces. Definitions imported from Cryptol source files are also initially folded and can be unfolded as needed.

Other Built-in Transformation and Inspection Functions

In addition to the `Term` transformation functions described so far, a miscellaneous variety of others also exist.

```
beta_reduce_term : Term -> Term
```

```
replace : Term -> Term -> Term -> TopLevel Term
```

The `beta_reduce_term` function takes any sub-expression of the form `(\x -> t)v` in the given `Term` and replaces it with a transformed version of `t` in which all instances of `x` are replaced by `v`.

The `replace` function replaces arbitrary subterms. A call to `replace x y t` replaces any instance of `x` inside `t` with `y`.

Assessing the size of a term can be useful during benchmarking, in particular. SAWScript provides two mechanisms for this.

```
term_size : Term -> Int

term_tree_size : Term -> Int
```

The first, `term_size`, calculates the number of nodes in the Directed Acyclic Graph (DAG) representation of a `Term` used internally by SAW. This is the most appropriate way of determining the resource use of a particular term. The second, `term_tree_size`, calculates how large a `Term` would be if it were represented by a tree instead of a DAG. This can, in general, be much, much larger than the number returned by `term_size`, and serves primarily as a way of assessing how much benefit there is to the term sharing used by the DAG representation, for a specific term.

Finally, a few commands exist related to the internal SAWCore type of a `Term`.

```
check_term : Term -> TopLevel ()

type : Term -> Type
```

The `check_term` command checks that the internal structure of a `Term` is well-formed, and that it passes all of the rules of the SAWCore type checker. The `type` function returns the type of a particular `Term`, which can then be used to, for example, construct a new fresh variable with `fresh_symbolic`.

Loading and Storing Terms

Most frequently, `Term` values in SAWScript come from Cryptol, JVM, or LLVM programs, or some transformation thereof. However, it is also possible to obtain them from various other sources.

```
parse_core : String -> Term

read_aig : String -> TopLevel Term

read_bytes : String -> TopLevel Term

read_core : String -> TopLevel Term
```

The `parse_core` function parses a `String` containing a term in SAWCore syntax, returning a `Term`. The `read_core` command is similar, but obtains the text from the given file and expects it to be in the simpler SAWCore external representation format, rather than the human-readable syntax shown so far. The `read_aig` command returns a `Term` representation of an And-Inverter-Graph (AIG) file in AIGER format. The `read_bytes` command reads a constant sequence of bytes from a file and represents it as a `Term`. Its result will always have Cryptol type `[n][8]` for some `n`.

It is also possible to write `Term` values into files in various formats, including: AIGER (`write_aig`), CNF (`write_cnf`), SAWCore external representation (`write_core`), and SMT-Lib version 2 (`write_smtlib2`).

```
write_aig : String -> Term -> TopLevel ()

write_cnf : String -> Term -> TopLevel ()

write_core : String -> Term -> TopLevel ()

write_smtlib2 : String -> Term -> TopLevel ()
```

Proofs about Terms

Ultimately, the goal of SAW is to allow for proofs about the behavior of programs. It may be useful to prove some small fact to use as a rewrite rule in later proofs, but ultimately these rewrite rules come together into a proof of some higher-level property about a software system.

Whether proving small lemmas (in the form of rewrite rules) or a top-level theorem, the process builds on the idea of a *proof script* which is then ultimately run by one of the top level proof commands.

```
prove_print : ProofScript SatResult -> Term -> TopLevel Theorem

sat_print : ProofScript SatResult -> Term -> TopLevel ()
```

The `prove_print` command takes a proof script (which we'll describe next) and a `Term`. The `Term` should be of function type with a return value of `Bool` (Bit at the Cryptol level). It will then use the proof script to attempt to show that the `Term` returns `True` for all possible inputs. If it is successful, it will print `Valid` and return a `Theorem`. If not, it will abort.

The `sat_print` command is similar except that it looks for a *single* value for which the `Term` evaluates to `True` and prints out that value, returning nothing.

A similar command to `prove_print`, `prove_core` can produce a `Theorem` from a string containing a SAWCore term.

```
prove_core : ProofScript SatResult -> String -> TopLevel Theorem
```

Automated Tactics

The simplest proof scripts just indicate which automated prover to use. The `ProofScript` values `abc` and `z3` select the ABC and Z3 theorem provers, respectively, and are typically good choices.

For example, combining `prove_print` with `abc`:

```
sawscript> t <- prove_print abc {{ \(x:[8]) -> x+x == x*2 }}
Valid
sawscript> t
Theorem (let { x0 = Cryptol.TCSeq (Cryptol.TCNum 8) Cryptol.TCBit;
           x1 = Cryptol.ePArith x0;
           }
in \(x::Prelude.Vec 8 Prelude.Bool) ->
   Cryptol.ecEq x0
   (Cryptol.ePCmp x0)
   (Cryptol.ecPlus x0 x1 x x)
   (Cryptol.ecMul x0 x1 x
    (Prelude.bvNat 8 2)))
```

Similarly, `sat_print` will show that the function returns `True` for one specific input (which it should, since we already know it returns `True` for all inputs):

```
sawscript> sat_print abc {{ \(x:[8]) -> x+x == x*2 }}
Sat: [x = 0]
```

In addition to these, the `boolector`, `cvc4`, `mathsat`, and `yices` provers are available. The internal decision procedure `rme`, short for Reed-Muller Expansion, is an automated prover that works particularly well on the Galois field operations that show up, for example, in AES.

In more complex cases, some pre-processing can be helpful or necessary before handing the problem off to an automated prover. The pre-processing can involve rewriting, beta reduction, unfolding, the use of provers

that require slightly more configuration, or the use of provers that do very little real work.

Proof Script Diagnostics

During development of a proof, it can be useful to print various information about the current goal. The following tactics are useful in that context.

```
print_goal : ProofScript ()

print_goal_consts : ProofScript ()

print_goal_depth : Int -> ProofScript ()

print_goal_size : ProofScript ()
```

The `print_goal` tactic prints the entire goal in SAWCore syntax. The `print_goal_depth` is intended for especially large goals. It takes an integer argument, `n`, and prints the goal up to depth `n`. Any elided subterms are printed with a `...` notation. The `print_goal_consts` tactic prints a list of the names of subterms that are folded in the current goal, and `print_goal_size` prints the number of nodes in the DAG representation of the goal.

Rewriting in Proof Scripts

The `simplify` command works just like the `rewrite` command, except that it works in a `ProofScript` context and implicitly transforms the current (unnamed) goal rather than taking a `Term` as a parameter.

```
simplify : Simpset -> ProofScript ()
```

Other Transformations

Some useful transformations are not easily specified using equality statements, and instead have special tactics.

```
beta_reduce_goal : ProofScript ()

unfolding : [String] -> ProofScript ()
```

The `beta_reduce_goal` tactic takes any sub-expression of the form $(\lambda x \rightarrow t)v$ and replaces it with a transformed version of `t` in which all instances of `x` are replaced by `v`.

The `unfolding` tactic works like `unfold_term` but on the current goal. Using `unfolding` is mostly valuable for proofs based entirely on rewriting, since default behavior for automated provers is to unfold everything before sending a goal to a prover. However, with Z3 and CVC4, it is possible to indicate that specific named subterms be represented as uninterpreted functions.

```
unint_cvc4 : [String] -> ProofScript SatResult

unint_yices : [String] -> ProofScript SatResult

unint_z3 : [String] -> ProofScript SatResult
```

The list of `String` arguments in these cases indicates the names of the subterms to leave folded, and therefore present as uninterpreted functions to the prover. To determine which folded constants appear in a goal, use the `print_goal_consts` function described above.

Ultimately, we plan to implement a more generic tactic that leaves certain constants uninterpreted in whatever prover is ultimately used (provided that uninterpreted functions are expressible in the prover).

Other External Provers

In addition to the built-in automated provers already discussed, SAW supports more generic interfaces to other arbitrary theorem provers supporting specific interfaces.

```
external_aig_solver : String -> [String] -> ProofScript SatResult

external_cnf_solver : String -> [String] -> ProofScript SatResult
```

The `external_aig_solver` function supports theorem provers that can take input as a single-output AIGER file. The first argument is the name of the executable to run. The second argument is the list of command-line parameters to pass to that executable. Within this list, any element that consists of `%f` on its own is replaced with the name of the temporary AIGER file generated for the proof goal. The output from the solver is expected to be in DIMACS solution format.

The `external_cnf_solver` function works similarly but for SAT solvers that take input in DIMACS CNF format and produce output in DIMACS solution format.

Offline Provers

For provers that must be invoked in more complex ways, or to defer proof until a later time, there are functions to write the current goal to a file in various formats, and then assume that the goal is valid through the rest of the script.

```
offline_aig : String -> ProofScript SatResult

offline_cnf : String -> ProofScript SatResult

offline_extcore : String -> ProofScript SatResult

offline_smtlib2 : String -> ProofScript SatResult

offline_unint_smtlib2 : [String] -> String -> ProofScript SatResult
```

These support the AIGER, DIMACS CNF, shared SAWCore, and SMT-Lib v2 formats, respectively. The shared representation for SAWCore is described in the `saw-script` repository. The `offline_unint_smtlib2` command represents the folded subterms listed in its first argument as uninterpreted functions.

Miscellaneous Tactics

Some proofs can be completed using unsound placeholders, or using techniques that do not require significant computation.

```
assume_unsat : ProofScript SatResult

assume_valid : ProofScript ProofResult

quickcheck : Int -> ProofScript SatResult

trivial : ProofScript SatResult
```

The `assume_unsat` and `assume_valid` tactics indicate that the current goal should be considered unsatisfiable or valid, depending on whether the proof script is checking satisfiability or validity. At the moment, `java_verify` and `llvm_verify` run their proofs in the a satisfiability-checking context, so `assume_unsat` is currently the appropriate tactic. This is likely to change in the future.

The `quickcheck` tactic runs the goal on the given number of random inputs, and succeeds if the result of

evaluation is always `True`. This is unsound, but can be helpful during proof development, or as a way to provide some evidence for the validity of a specification believed to be true but difficult or infeasible to prove.

The `trivial` tactic states that the current goal should be trivially true (i.e., the constant `True` or a function that immediately returns `True`). It fails if that is not the case.

Proof Failure and Satisfying Assignments

The `prove_print` and `sat_print` commands print out their essential results (potentially returning a `Theorem` in the case of `prove_print`). In some cases, though, one may want to act programmatically on the result of a proof, rather than display it.

The `prove` and `sat` commands allow this sort of programmatic analysis of proof results. To allow this, they use two types we haven't mentioned yet: `ProofResult` and `SatResult`. These are different from the other types in SAWScript because they encode the possibility of two outcomes. In the case of `ProofResult`, a statement may be valid or there may be a counter-example. In the case of `SatResult`, there may be a satisfying assignment, or the statement may be unsatisfiable.

```
prove : ProofScript SatResult -> Term -> TopLevel ProofResult

sat   : ProofScript SatResult -> Term -> TopLevel SatResult
```

To operate on these new types, SAWScript includes a pair of functions:

```
caseProofResult : {b} ProofResult -> b -> (Term -> b) -> b

caseSatResult   : {b} SatResult -> b -> (Term -> b) -> b
```

The `caseProofResult` function takes a `ProofResult`, a value to return in the case that the statement is valid, and a function to run on the counter-example, if there is one. The `caseSatResult` function has the same shape: it returns its first argument if the result represents an unsatisfiable statement, or its second argument applied to a satisfying assignment if it finds one.

AIG Values and Proofs

Most SAWScript programs operate on `Term` values, and in most cases this is the appropriate representation. It is possible, however, to represent the same function that a `Term` may represent using a different data structure: an And-Inverter-Graph (AIG). An AIG is a representation of a Boolean function as a circuit composed entirely of AND gates and inverters. Hardware synthesis and verification tools, including the ABC tool that SAW has built in, can do efficient verification and particularly equivalence checking on AIGs.

To take advantage of this capability, a handful of built-in commands can operate on AIGs.

```
bitblast : Term -> TopLevel AIG

cec       : AIG -> AIG -> TopLevel ProofResult

load_aig  : String -> TopLevel AIG

save_aig  : String -> AIG -> TopLevel ()

save_aig_as_cnf : String -> AIG -> TopLevel ()
```

The `bitblast` command represents a `Term` as an AIG by “blasting” all of its primitive operations (things like bit-vector addition) down to the level of individual bits. The `cec` command, for Combinational Equivalence Check, will compare two AIGs, returning a `ProofResult` representing whether the two are equivalent. The `load_aig` and `save_aig` commands work with external representations of AIG data structures in the AIGER

format. Finally, `save_aig_as_cnf` will write an AIG out in CNF format for input into a standard SAT solver.

Symbolic Execution

Analysis of Java and LLVM within SAWScript builds heavily on *symbolic execution*, so some background on how this process works can help with understanding the behavior of the available built-in functions.

At the most abstract level, symbolic execution works like normal program execution except that the values of all variables within the program can be arbitrary *expressions*, rather than concrete values, potentially containing free variables. Therefore, each symbolic execution corresponds to some set of possible concrete executions.

As a concrete example, consider the following C program, which returns the maximum of two values:

```
unsigned int max(unsigned int x, unsigned int y) {
    if (y > x) {
        return y;
    } else {
        return x;
    }
}
```

If you call this function with two concrete inputs, like this:

```
int r = max(5, 4);
```

then it will assign the value 5 to `r`. However, we can consider what it will do for *arbitrary* inputs, as well. Consider the following example:

```
int r = max(a, b);
```

where `a` and `b` are variables with unknown values. It is still possible to describe the result of the `max` function in terms of `a` and `b`. The following expression describes the value of `r`:

```
ite (b > a) b a
```

where `ite` is the “if-then-else” mathematical function that, based on the value of its first argument returns either the second or third. One subtlety of constructing this expression, however, is the treatment of conditionals in the original program. For any concrete values of `a` and `b`, only one branch of the `if` statement will execute. During symbolic execution, on the other hand, it is necessary to execute *both* branches, track two different program states (each composed of symbolic values), and then to *merge* those states after executing the `if` statement. This merging process takes into account the original branch condition and introduces the `ite` expression.

A symbolic execution system, then, is very similar to an interpreter with a different notion of what constitutes a value, and which executes *all* paths through the program instead of just one. Therefore, the execution process follows a similar process to that of a normal interpreter, and the process of generating a model for a piece of code is similar to building a test harness for that same code.

More specifically, the setup process for a test harness typically takes the following form:

- Initialize or allocate any resources needed by the code. For Java and LLVM code, this typically means allocating memory and setting the initial values of variables.
- Execute the code.
- Check the desired properties of the system state after the code completes.

Accordingly, three pieces of information are particularly relevant to the symbolic execution process, and therefore needed as input to the symbolic execution system:

- The initial (potentially symbolic) state of the system.
- The code to execute.
- The final state of the system, and which parts of it are relevant to the properties being tested.

In the following sections, we describe how the Java and LLVM analysis primitives work in the context of these key concepts. We start with the simplest situation, in which the structure of the initial and final states can be directly inferred, and move on to more complex cases that require more information from the user.

Symbolic Termination

In the previous section we described the process of executing multiple branches and merging the results when encountering a conditional statement in the program. When a program contains loops, the branch that chooses to continue or terminate a loop could go either way. Therefore, without a bit more information, the most obvious implementation of symbolic execution would never terminate when executing programs that contain loops.

The solution to this problem is to analyze the branch condition whenever considering multiple branches. If the condition for one branch can never be true in the context of the current symbolic state, there is no reason to execute that branch, and skipping it can make it possible for symbolic execution to terminate.

Directly comparing the branch condition to a constant can sometimes be enough to ensure termination. For example, in simple, bounded loops like the following, comparison with a constant is sufficient.

```
for (int i = 0; i < 10; i++) {  
    // do something  
}
```

In this case, the value of `i` is always concrete, and will eventually reach the value 10, at which point the branch corresponding to continuing the loop will be infeasible.

As a more complex example, consider the following function:

```
uint8_t f(uint8_t i) {  
    int done = 0;  
    while (!done){  
        if (i % 8 == 0) done = 1;  
        i += 5;  
    }  
    return i;  
}
```

The loop in this function can only be determined to symbolically terminate if the analysis takes into account algebraic rules about common multiples. Similarly, it can be difficult to prove that a base case is eventually reached for all inputs to a recursive program.

In this particular case, however, the code *is* guaranteed to terminate after a fixed number of iterations (where the number of possible iterations is a function of the number of bits in the integers being used). To show that the last iteration is in fact the last possible, it's necessary to do more than just compare the branch condition with a constant. Instead, we can use the same proof tools that we use to ultimately analyze the generated models to, early in the process, prove that certain branch conditions can never be true (i.e., are *unsatisfiable*).

Normally, most of the Java and LLVM analysis commands simply compare branch conditions to the constant **True** or **False** to determine whether a branch may be feasible. However, each form of analysis allows branch satisfiability checking to be turned on if needed, in which case functions like `f` above will terminate.

Now let's get into the details of the specific commands available to analyze JVM and LLVM programs.

Loading Code

The first step in analyzing any code is to load it into the system.

To load LLVM code, simply provide the location of a valid bitcode file to the `llvm_load_module` function.

```
llvm_load_module : String -> TopLevel LLVMModule
```

The resulting `LLVMModule` can be passed into the various functions described below to perform analysis of specific LLVM functions.

Loading Java code is slightly more complex, because of the more structured nature of Java packages. First, when running `saw`, two flags control where to look for classes. The `-j` flag takes the name of a JAR file as an argument, and adds the contents of that file to the class database. The `-c` flag takes the name of a directory as an argument, and adds all class files found in that directory (and its subdirectories) to the class database. By default, the current directory is included in the class path. However, the Java runtime and standard library (usually called `rt.jar`) is generally required for any non-trivial Java code, and is installed in a wide variety of different locations. Therefore, for most Java analysis, you must provide a `-j` argument specifying where to find this file.

Once the class path is configured, you can pass the name of a class to the `java_load_class` function.

```
java_load_class : String -> TopLevel JavaClass
```

The resulting `JavaClass` can be passed into the various functions described below to perform analysis of specific Java methods.

Direct Extraction

In the case of the `max` function described earlier, the relevant inputs and outputs are directly apparent. The function takes two integer arguments, always uses both of them, and returns a single integer value, making no other changes to the program state.

In cases like this, a direct translation is possible, given only an identification of which code to execute. Two functions exist to handle such simple code:

```
java_extract : JavaClass -> String -> JavaSetup () -> TopLevel Term
```

```
llvm_extract : LLVMModule -> String -> LLVMSetup () -> TopLevel Term
```

The structure of these two functions is essentially identical. The first argument describes where to look for code (in either a Java class or an LLVM module, loaded as described in the previous section). The second argument is the name of the method or function to extract.

The third argument provides the ability to configure other aspects of the symbolic execution process. At the moment, only one option possible: pass in `java_pure` or `llvm_pure`, for Java and LLVM respectively, and the default extraction process is simply to set both arguments to fresh symbolic variables.

When the `..._extract` functions complete, they return a `Term` corresponding to the value returned by the function or method.

These functions currently work only for code that takes some fixed number of integral parameters, returns an integral result, and does not access any dynamically-allocated memory (although temporary memory allocated during execution and not visible afterward is allowed).

Creating Symbolic Variables

The direct extraction process just discussed automatically introduces symbolic variables and then abstracts over them, yielding a SAWScript `Term` that reflects the semantics of the original Java or LLVM code. For simple functions, this is often the most convenient interface. For more complex code, however, it can be necessary (or more natural) to specifically introduce fresh variables and indicate what portions of the program state they correspond to.

The function `fresh_symbolic` is responsible for creating new variables in this context.

```
fresh_symbolic : String -> Type -> TopLevel Term
```

The first argument is a name used for pretty-printing of terms and counter-examples. In many cases it makes sense for this to be the same as the name used within SAWScript, as in the following:

```
x <- fresh_symbolic "x" ty;
```

However, using the same name is not required.

The second argument to `fresh_symbolic` is the type of the fresh variable. Ultimately, this will be a SAWCore type, however it is usually convenient to specify it using Cryptol syntax using the type quoting brackets `{|` and `|}`. So, for example, creating a 32-bit integer, as might be used to represent a Java `int` or an LLVM `i32`, can be done as follows:

```
x <- fresh_symbolic "x" {| [32] |};
```

Although symbolic execution works best on symbolic variables, which are “unbound” or “free”, most of the proof infrastructure within SAW uses variables that are *bound* by an enclosing lambda expression. Given a `Term` with free symbolic variables, we can construct a lambda term that binds them in several ways.

```
abstract_symbolic : Term -> Term
```

```
lambda : Term -> Term -> Term
```

```
lambdas : [Term] -> Term -> Term
```

The `abstract_symbolic` function is the simplest, but gives you the least control. It finds all symbolic variables in the `Term` and constructs a lambda expression binding each one, in some order. The result is a function of some number of arguments, one for each symbolic variable.

```
sawscript> x <- fresh_symbolic "x" {| [8] |}
sawscript> let t = {{ x + x }}
sawscript> print_term t
let { x0 = Cryptol.TCSeq (Cryptol.TCNum 8) Cryptol.TCBit;
    }
  in Cryptol.ecPlus x0
    (Cryptol.ePArith x0)
      x
      x
sawscript> let f = abstract_symbolic t
sawscript> print_term f
let { x0 = Cryptol.TCSeq (Cryptol.TCNum 8) Cryptol.TCBit;
    }
  in \(x::Prelude.Vec 8 Prelude.Bool) ->
    Cryptol.ecPlus x0
      (Cryptol.ePArith x0)
```

```
x
x
```

If there are multiple symbolic variables in the `Term` passed to `abstract_symbolic`, the ordering of parameters can be hard to predict. In some cases (such as when a proof is the immediate next step, and it's expected to succeed) the order isn't important. In others, it's nice to have more control over the order.

The building block for controlled binding is `lambda`. It takes two terms: the one to transform, and the portion of the term to abstract over. Generally, the first `Term` is one obtained from `fresh_symbolic` and the second is a `Term` that would be passed to `abstract_symbolic`.

```
sawscript> let f = lambda x t
sawscript> print_term f
let { x0 = Cryptol.TCSeq (Cryptol.TCNum 8) Cryptol.TCBit;
    }
in \(x::Prelude.Vec 8 Prelude.Bool) ->
    Cryptol.ecPlus x0
    (Cryptol.ePArith x0)
    x
    x
```

For `Terms` with more than one symbolic variable, `lambdas` allows you to list the order in which they should be bound. Consider, for example, a `Term` which adds two symbolic variables:

```
sawscript> x1 <- fresh_symbolic "x1" {| [8] |}
sawscript> x2 <- fresh_symbolic "x2" {| [8] |}
sawscript> let t = {{ x1 + x2 }}
sawscript> print_term t
let { x0 = Cryptol.TCSeq (Cryptol.TCNum 8) Cryptol.TCBit;
    x1 = Prelude.Vec 8 Prelude.Bool;
    }
in Cryptol.ecPlus x0
    (Cryptol.ePArith x0)
    x1
    x2
```

We can turn this into a function that takes `x1` followed by `x2`:

```
sawscript> let f1 = lambdas [x1, x2] t
sawscript> print_term f1
let { x0 = Cryptol.TCSeq (Cryptol.TCNum 8) Cryptol.TCBit;
    x1 = Prelude.Vec 8 Prelude.Bool;
    }
in \(x1::x1) ->
    \(x2::x1) ->
        Cryptol.ecPlus x0
        (Cryptol.ePArith x0)
        x1
        x2
```

Or we can turn `t` into a function that takes `x2` followed by `x1`:

```
sawscript> let f1 = lambdas [x2, x1] t
sawscript> print_term f1
let { x0 = Cryptol.TCSeq (Cryptol.TCNum 8) Cryptol.TCBit;
```

```

    x1 = Prelude.Vec 8 Prelude.Bool;
  }
in \ (x2::x1) ->
  \ (x1::x1) ->
    Cryptol.ecPlus x0
      (Cryptol.ePArith x0)
      x1
      x2

```

Monolithic Symbolic Execution

In many cases, the inputs and outputs of a function are more complex than supported by the direct extraction process just described. In that case, it's necessary to provide more information. In particular, following the structure described earlier, we need:

- For every pointer or object reference, how much storage space it refers to.
- A list of (potentially symbolic) values for some elements of the initial program state.
- A list of elements of the final program state to treat as outputs.

This capability is provided by the following built-in functions:

```

java_symexec : JavaClass ->
  String ->
  [(String, Term)] ->
  [String] ->
  Bool ->
  TopLevel Term

llvm_symexec : LLVMModule ->
  String ->
  [(String, Int)] ->
  [(String, Term, Int)] ->
  [(String, Int)] ->
  Bool ->
  TopLevel Term

```

For both functions, the first two arguments are the same as for the direct extraction functions from the previous section, identifying what code to execute. The final argument for both indicates whether or not to do branch satisfiability checking.

The remaining arguments are slightly different for the two functions, due to the differences between JVM and LLVM programs.

For `java_symexec`, the third argument, of type `[(String, Term)]`, provides information to configure the initial state of the program. Each `String` is an expression describing a component of the state, such as the name of a parameter, or a field of an object. Each `Term` provides the initial value of that component (which may include symbolic variables returned by `fresh_symbolic`).

The syntax of these expressions is as follows:

- Arguments to the method being analyzed can be referred to by name (if the `.class` file contains debugging information, as it will be if compiled with `javac -g`). The expression referring to the value of the argument `x` in the `max` example is simply `x`. For Java methods that do not have debugging information, arguments can be named positionally with `args[0]`, `args[1]` and so on. The name `this` refers to the same implicit parameter as the keyword in Java.

- The expression form `pkg.C.f` refers to the static field `f` of class `C` in package `pkg` (and deeper nesting of packages is allowed).
- The expression `return` refers to the return value of the method under analysis.
- For an expression `e` of object type, `e.f` refers to the instance field `f` of the object described by `e`.
- The value of an expression of array type is the entire contents of the array. At the moment, there is no way to refer to individual elements of an array.

The fourth argument of `java_symexec` is a list of expressions describing the elements of the state to return as outputs. The returned `Term` will be of tuple type if this list contains more than one element, or simply the value of the one state element if the list contains only one. In addition to the expressions listed above, this list can contain the special variable `$safety`, which refers to a `Term` describing the conditions under which the result of symbolic execution is well-defined. It can be useful to obtain this `Term` and prove that it's always valid (that the program is always safe), or that it's valid under the expected preconditions.

The `llvm_symexec` command uses an expression syntax similar to that for `java_symexec`, but not identical. The syntax is as follows:

- Arguments to the function being analyzed can be referred to by name (if the name is reflected in the LLVM code, as it is with code generated by some versions of Clang). The expression referring to the value of the argument `x` in the `max` example is simply `x`. For LLVM functions that do not have named arguments (such as those generated by the Rust compiler, for instance), arguments can be named positionally with `args[0]`, `args[1]` and so on.
- Global variables can be referred to directly by name.
- The expression `return` refers to the return value of the function under analysis.
- For any valid expression `e` referring to something with pointer type, the expression `*e` refers to the value pointed to. There are some differences between this and the equivalent expression in C, however. If, for instance, `e` has type `int *`, then `*e` will have type `int`. If `e` referred to a pointer to an array, the C expression `*e` would refer to the first element of that array. In SAWScript, it refers to the entire contents of the array, and there is no way to refer to individual elements of an array.
- For any valid expression `e` referring to a pointer to a `struct`, the expression `e->n`, for some natural number `n`, refers to the `n`th field of that `struct`. If the LLVM file contains debugging information, the field names used in the original C types are also allowed.
- For any valid expression `e` referring to a `struct` (directly, not via pointer), the expression `e.n`, for some natural number `n`, refers to the `n`th field of that `struct`. This is particularly useful for fields of nested structs, even if the outer struct is passed by pointer. As for indirect fields, names are allowed if debugging information is present.

In addition to the different expression language, the arguments are similar but not identical. The third argument, of type `[(String, Int)]`, indicates for each pointer how many elements it points to. Before execution, SAW will allocate the given number of elements of the static type of the given expression. The strings given here should be expressions identifying *pointers* rather than the values of those pointers.

The fourth argument, of type `[(String, Term, Int)]` indicates the initial values to write to the program state before execution. The elements of this list should include *value* expressions. For example, if a function has an argument named `p` of type `int *`, the allocation list might contain the element `("p", 1)`, whereas the initial values list might contain the element `("*p", v, 1)`, for some value `v`. The `Int` portion of each tuple indicates how large the term is: for a term with Cryptol type `[n]a`, the `Int` argument should be `n`. In the future we expect this value to be inferred.

Finally, the fifth argument, of type `[(String, Int)]` indicates the elements to read from the final state. For each entry, the `String` should be a value expression, and the `Int` parameter indicates how many elements to

read. The number of elements does not need to be the same as the number of elements allocated or written in the initial state. However, reading past the end of an object or reading a location that has not been initialized will lead to an error. In this list, the special name `$safety` works in the same way as for LLVM.

Examples

The following code is a complete example of using the `java_symexec` function.

```
// show that add(x,y) == add(y,x) for all x and y
cadd <- java_load_class "Add";
x <- fresh_symbolic "x" {| [32] |};
y <- fresh_symbolic "y" {| [32] |};
res <- java_symexec cadd "add" [("x", x), ("y", y)] ["return", "$safety"] true;
let jadd = {{ res.0 }};
let safe = {{ res.1 }};
jadd' <- abstract_symbolic jadd;
print_term jadd';
print "Proving commutativity:";
prove_print abc {{ \a b -> jadd' a b == jadd' b a }};
print "Proving safety:";
prove_print abc safe;
print "Done.";
```

It first loads the `Add` class and creates two 32-bit symbolic variables, `x` and `y`. It then symbolically executes the `add` method with the symbolic variables just created passed in as its two arguments, and returns the symbolic expression denoting the method's return value.

Once the script has a `Term` in hand (the variable `ja`), it prints it out and then translates the version containing symbolic variables into a function that takes concrete values for those variables as arguments. Finally, it proves that the resulting function is commutative.

Running this script through `saw` gives the following output:

```
% saw -j <path to>rt.jar java_symexec.saw
Loading file "java_symexec.saw"
let { x0 = Prelude.Vec 32 Prelude.Bool;
    }
in \ (x::x0) ->
    \ (y::x0) -> Prelude.bvAdd 32 x y
Proving commutivity:
Valid
Proving safety:
Valid
Done.
```

Limitations

Although the `symexec` functions are more flexible than the `extract` functions, they still have some limitations and assumptions.

- When allocating memory for objects or arrays, each allocation is done independently. Therefore, there is currently no way to create data structures that share sub-structures. No aliasing is possible. Therefore, it is important to take into account that any proofs performed on the results of symbolic execution will not necessarily reflect the behavior of the code being analyzed if it is called in a context where its inputs involve aliasing or overlapping memory regions.

- The sizes and pointer relationships between objects in the heap must be specified before doing symbolic execution. Therefore, the results may not reflect the behavior of the code when called with, for example, arrays of different sizes.
- In Java, any variables of class type are initialized to refer to an object of that specific, statically-declared type, while in general they may refer to objects of subtypes of that type. Therefore, the code under analysis may behave differently when given parameters of more specific types.

Specification-Based Verification

The built-in functions described so far work by extracting models of code which can then be used for a variety of purposes, including proofs about the properties of the code.

When the goal is to prove equivalence between some Java or LLVM code and a specification, however, sometimes a more declarative approach is convenient. The following two functions allow for combined model extraction and verification.

```
java_verify : JavaClass ->
    String ->
    [JavaMethodSpec] ->
    JavaSetup () ->
    TopLevel JavaMethodSpec

llvm_verify : LLVMModule ->
    String ->
    [LLVMMethodSpec] ->
    LLVMSetup () ->
    TopLevel LLVMMethodSpec
```

Like all of the functions for Java and LLVM analysis, the first two parameters indicate what code to analyze. The third parameter is used for compositional verification, as described in the next section. For now, the empty list works fine. The final parameter describes the specification of the code to be analyzed, built out of commands of type `JavaSetup` or `LLVMSetup`. In most cases, this parameter will be a `do` block containing a sequence of commands of this type. Specifications are slightly different between Java and LLVM, but make use of largely the same set of concepts.

- Several commands are available to configure the contents of the initial state, before symbolic execution.
- Several commands are available to describe what to check of the final state, after symbolic execution.
- One final command describes how to prove that the code under analysis matches the specification.

The following sections describe the details of configuring initial states, stating the expected properties of the final state, and proving that the final state actually satisfies those properties.

Configuring the Initial State

The first step in configuring the initial state is to specify which program variables are important, and to specify their types more precisely. The symbolic execution system currently expects the layout of memory before symbolic execution to be completely specified. As in `llvm_symexec`, SAW needs information about how much space every pointer or reference variable points to. And, with one exception, SAW assumes that every pointer points to a distinct region of memory.

Because of this structure, there are separate functions used to describe variables with values of base types versus variables of pointer type.

For simple integer values, use `java_var` or `llvm_var`.

```
java_var : String -> JavaType -> JavaSetup Term
```

```
llvm_var : String -> LLVMType -> LLVMSetup Term
```

These functions both take a variable name and a type. The variable names use the same syntax described earlier for `java_symexec` and `llvm_symexec`. The types are built up using the following functions:

```
java_bool  : JavaType
java_byte  : JavaType
java_char  : JavaType
java_short : JavaType
java_int   : JavaType
java_long  : JavaType
java_float : JavaType
java_double : JavaType
java_class : String -> JavaType
java_array : Int -> JavaType -> JavaType

llvm_int   : Int -> LLVMType
llvm_array : Int -> LLVMType -> LLVMType
llvm_struct : String -> LLVMType
llvm_float : LLVMType
llvm_double : LLVMType
```

Most of these types are straightforward mappings to the standard Java and LLVM types. The one key difference is that arrays must have a fixed, concrete size. Therefore, all analysis results are under the assumption that any arrays have the specific size indicated, and may not hold for other sizes. The `llvm_int` function also takes an `Int` parameter indicating the variable's bit width.

LLVM types can also be specified in LLVM syntax directly, by using the `llvm_type` function.

```
llvm_type : String -> LLVMType
```

For example, `llvm_type "i32"` yields the same result as `llvm_int 32`.

The `Term` returned by `java_var` and `llvm_var` is a representation of the *initial value* of the variable being declared. It can be used in any later expression.

While `java_var` and `llvm_var` declare elements of the program state that have values representable in the logic of SAW, pointers and references exist only inside the simulator: they are not representable before or after symbolic execution. Because of this, different functions are available to declare variables of pointer or reference type.

```
java_class_var : String -> JavaType -> JavaSetup ()

llvm_ptr : String -> LLVMType -> LLVMSetup ()
```

For both functions, the first argument is the name of the state element that they refer to. For `java_class_var`, the second argument is the type of the object, which should always be the result of the `java_class` function called with an appropriate class name. Arrays in Java are treated as if they were values, rather than references, since their values are directly representable in SAWCore. For `llvm_ptr`, the second argument is the type of the value pointed to. Both functions return no useful value (the unit type `()`), since the values of pointers are not meaningful in SAWCore. In LLVM, arrays are represented as pointers, and therefore the pointer and the value pointed to must be declared separately:

```
llvm_ptr "a" (llvm_array 10 (llvm_int 32));
a <- llvm_var "*a" (llvm_array 10 (llvm_int 32));
```

The `java_assert` and `llvm_assert` functions take a `Term` of boolean type as an argument which states a condition that must be true in the initial state, before the function under analysis executes. The term can refer to the initial values of any declared program variables.

When the condition required of an initial state is that a variable always has a specific, concrete value, optimized forms of these functions are available. The `java_assert_eq` and `llvm_assert_eq` functions take two arguments: an expression naming a location in the program state, and a `Term` representing an initial value. These functions work as destructive updates in the state of the symbolic simulator, and can make branch conditions more likely to reduce to constants. This means that, although `..._assert` and `..._assert_eq` functions can be used to make semantically-equivalent statements, using the latter can make symbolic termination more likely.

Finally, although the default configuration of the symbolic simulators in SAW is to make every pointer or reference refer to a fresh region of memory separate from all other pointers, it is possible to override this behavior for Java programs by declaring that a set references can alias each other.

```
java_may_alias : [String] -> JavaSetup ()
```

This function takes a list of names referring to references, and declares that any element of this set may (or may not) alias any other. Because this is a may-alias relationship, the verification process involves a separate proof for each possible aliasing configuration. At the moment, LLVM heaps must be completely disjoint.

Another precondition relevant only to Java concerns the set of classes that are initialized before execution of a particular method. To state that the proof of the method being specified assumes that a class `C` is already initialized, use `java_requires_class "C"`.

```
java_requires_class : String -> JavaSetup ()
```

During verification, the `java_requires_class` clause instructs the simulator to initialize the named class before executing the method to be verified.

Finally, one more precondition is relevant only to LLVM programs. The `llvm_assert_null` function works like `llvm_assert_eq` except that it works only on pointer variables and assigns the implicit value `NULL`.

```
llvm_assert_null : String -> LLVMSetup ()
```

Specifying the Final State

The simplest statement about the expected final state of the method or function under analysis is to declare what value it should return (generally as a function of the variables declared as part of the initial state).

```
java_return : Term -> JavaSetup ()
```

```
llvm_return : Term -> LLVMSetup ()
```

```
llvm_return_arbitrary : LLVMSetup ()
```

The `llvm_return_arbitrary` command indicates that the function *does* return a value, but that we don't want to specify what value it returns.

For side effects, the following two functions allow declaration of the final expected value of that the program state should contain when execution finishes.

```
java_ensure_eq : String -> Term -> JavaSetup ()
```

```
llvm_ensure_eq : String -> Term -> LLVMSetup ()
```

For the most part, these two functions may refer to the same set of variables used to set up the initial state. However, for functions that return pointers or objects, the special name `return` is also available. It can be used in `java_class_var` and `llvm_ptr` calls, to declare the more specific object or array type of a return value, and in the `..._ensure_eq` function to declare the associated values. For LLVM arrays, typical use is like this:

```
llvm_ensure_eq "*return" v;
```

The `return` expression is also useful for fields of returned objects or structs:

```
java_ensure_eq "return.f" v;
```

```
llvm_ensure_eq "return->0" v;
```

Finally, for LLVM programs it is possible to state that the function being analyzed is expected to allocate a new object, stored in the given location.

```
llvm_allocates : String -> LLVMSetup ()
```

When executing an override containing `llvm_allocates`, the override will allocate a new object of the appropriate type and store a pointer to it in the given location.

Running Proofs

Once the constraints on the initial and final states have been declared, what remains is to prove that the code under analysis actually meets these specifications. The goal of SAW is to automate this proof as much as possible, but some degree of input into the proof process is sometimes necessary, and can be provided with the following functions:

```
java_verify_tactic : ProofScript SatResult -> JavaSetup ()
```

```
llvm_verify_tactic : ProofScript SatResult -> LLVMSetup ()
```

Both of these take a proof script as an argument, which specifies how to perform the proof. If the setup block does not call one of these functions, SAW will print a warning message and skip the proof (which can sometimes be a useful behavior during debugging, or in compositional verification as described later).

The process of verification checks all user-specified postconditions, and also checks that the safety condition (as referred to by `$safety` in `*_symexec`) is valid, and therefore that symbolic execution is always well defined (under the supplied pre-conditions).

Compositional Verification

The primary advantage of the specification-based approach to verification is that it allows for compositional reasoning. That is, when proving something about a given method or function, we can make use of things we have already proved about its callees, rather than analyzing them afresh. This enables us to reason about much larger and more complex systems than otherwise possible.

The `java_verify` and `llvm_verify` functions return values of type `JavaMethodSpec` and `LLVMMethodSpec`, respectively. These values are opaque objects that internally contain all of the information provided in the associated `JavaSetup` or `LLVMSetup` blocks, along with the results of the verification process.

Any of these `MethodSpec` objects can be passed in via the third argument of the `..._verify` functions. For any function or method specified by one of these parameters, the simulator will not follow calls to the associated target. Instead, it will perform the following steps:

- Check that all `..._assert` and `..._assert_eq` statements in the specification are satisfied.
- Check that any aliasing is compatible with the aliasing restricted stated with `java_may_alias`, for Java programs.

- Check that all classes required by the target method have already been initialized, for Java programs.
- Update the simulator state as described in the specification.

Normally, a `MethodSpec` comes as the result of both simulation and proof of the target code. However, in some cases, it can be useful to use it to specify some code that either doesn't exist or is hard to prove. In those cases, the `java_no_simulate` or `llvm_no_simulate` functions can be used to indicate not to even try to simulate the code being specified, and instead return a `MethodSpec` that is assumed to be correct.

The default behavior of `java_verify` disallows allocation within the method being analyzed. This restriction makes it possible to reason about all possible effects of a method, since only effects specified with `java_ensure_eq` or `java_modify` are allowed. For many cryptographic applications, this behavior is ideal, because it is important to know whether, for instance, temporary variables storing key material have been cleared after use. Garbage on the heap that has been collected but not cleared could let confidential information leak. If allocation is not a concern in a particular application, the `java_allow_alloc` function makes allocation within legal within the method being specified.

Controlling Symbolic Execution

One other set of commands is available to control the symbolic execution process. These control the use of satisfiability checking to determine whether both paths are feasible when encountering branches in the program, which is particularly relevant for branches controlling the iteration of loops.

```
java_sat_branches : Bool -> JavaSetup ()
llvm_sat_branches : Bool -> LLVMSetup ()
```

The `Bool` parameter has the same effect as the `Bool` parameter passed to `java_symexec` and `llvm_symexec`.

Finally, in some cases, pointers in LLVM can become what look like complex symbolic values during symbolic simulation, even though they can be simplified down to constants. Using these complex pointers directly is slow, and simplifying them can greatly speed up symbolic execution of some programs. For other programs, however, the simplification is wasted effort. Therefore, the `llvm_simplify_addrs` command turns the simplification of pointer expressions on or off.

```
llvm_simplify_addrs : Bool -> LLVMSetup ()
```