

CSCI-SHU 220: Algorithms Dynamic Programming I

NYU Shanghai
Spring 2025

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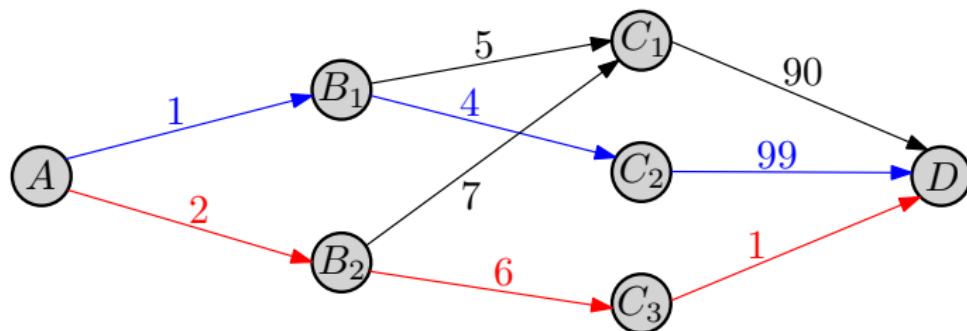
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- The main reason for why a greedy algorithm fails is that it considers optimality **locally** instead of **globally** when making a decision.
 - If **locally optimal = globally optimal**, the greedy algorithm **works.**
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- So for some problems, we have to consider optimality **globally.**

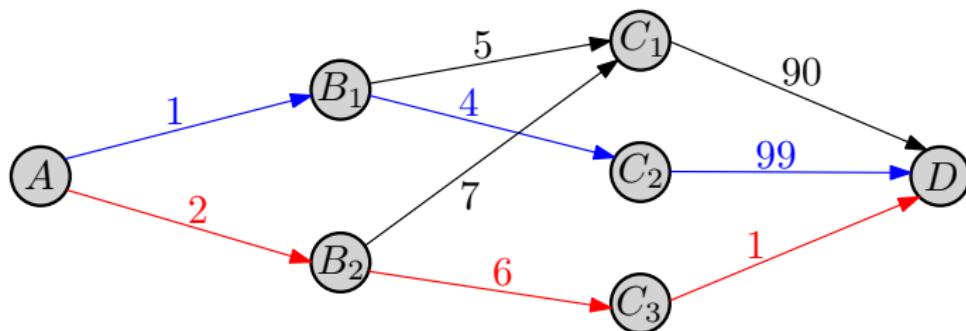
Weakness of greedy algorithms

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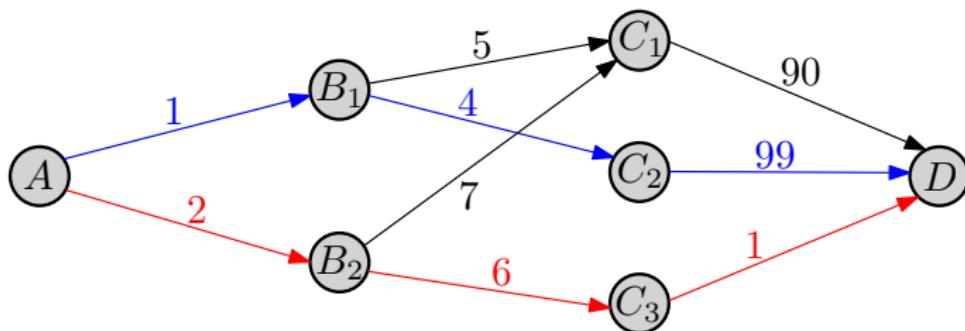
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- Moving $A \rightarrow B_1$ only costs 1 but brings us to a “bad” position B_1 . Moving $A \rightarrow B_2$ costs 2 but brings us to a “good” position B_2 .
- Should consider the **cost** and the **position** simultaneously.

Dynamic programming

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- $A \rightarrow B_1$ or $A \rightarrow B_2$? Depend on which of the above two is smaller.
- Knowing the shortest path from B_1 to D and the shortest path from B_2 to D , we can make a globally optimal choice at A , thus directly compute the shortest path from A to D .

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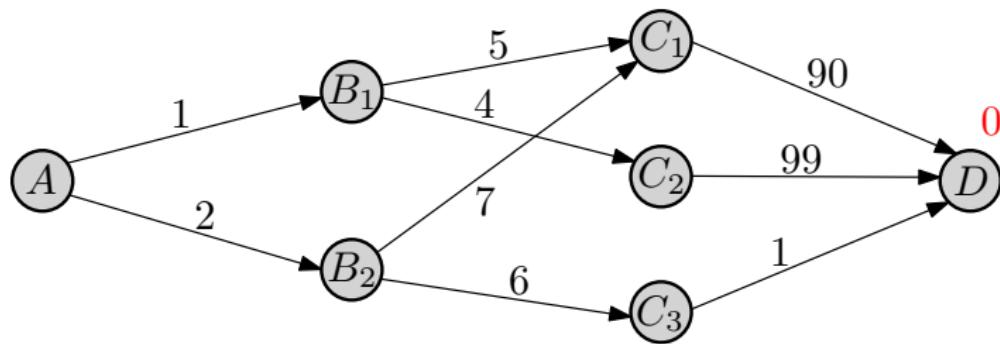
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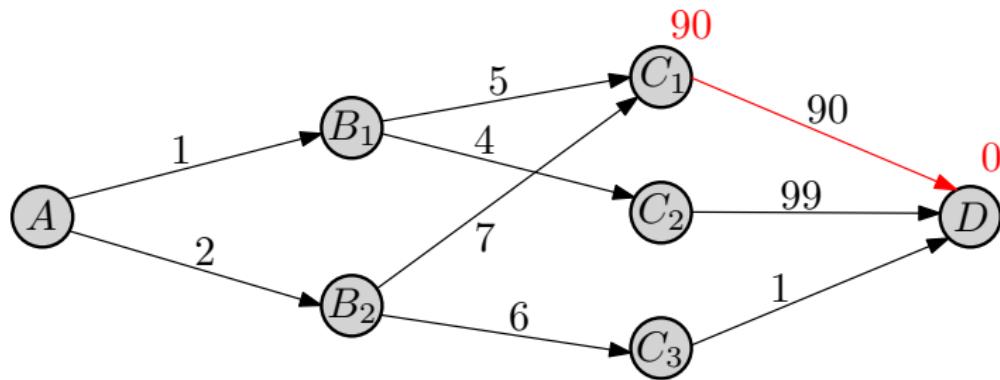
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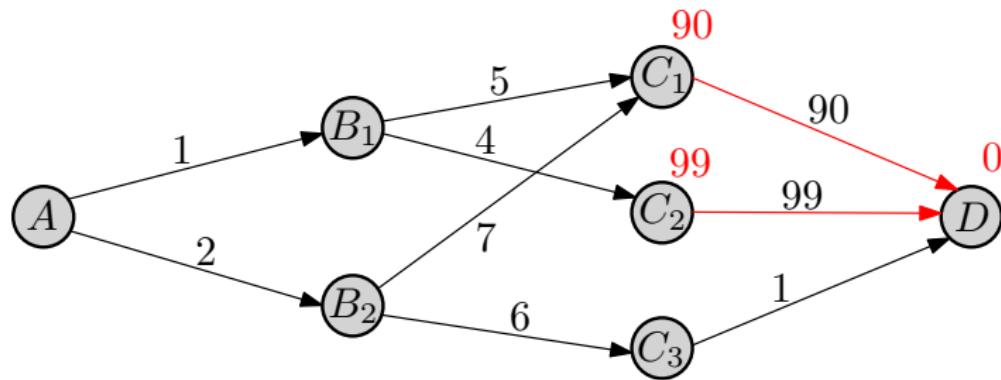
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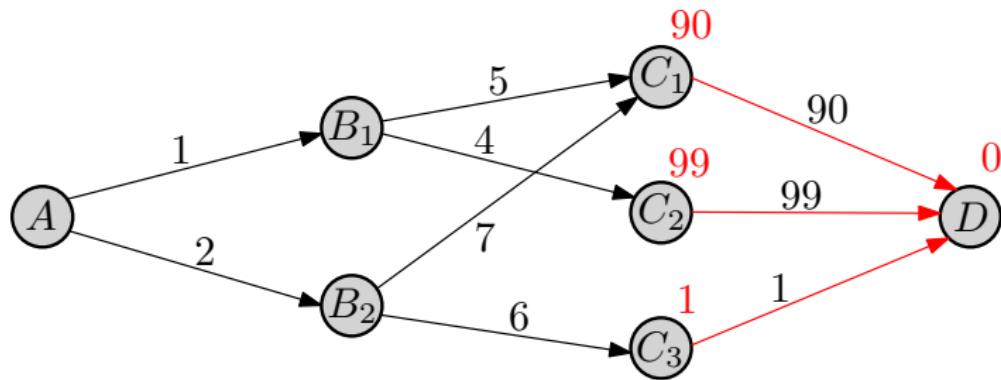
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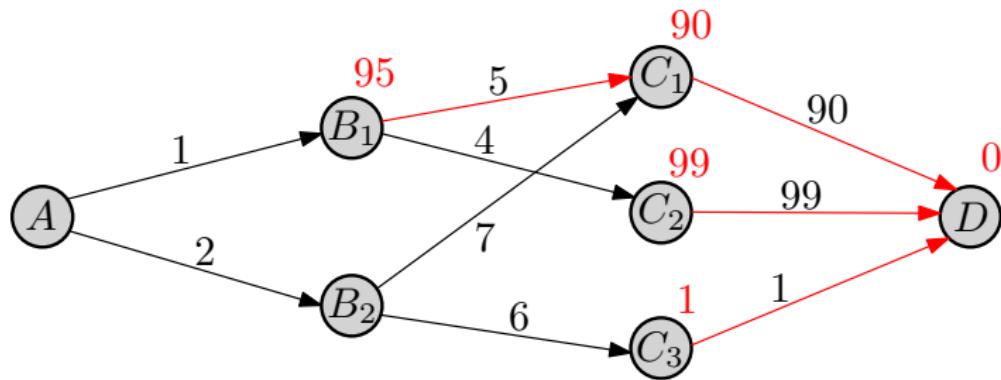
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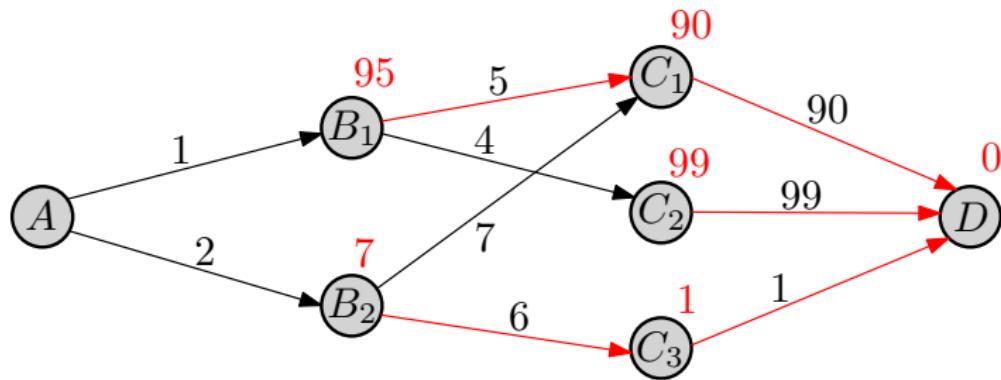
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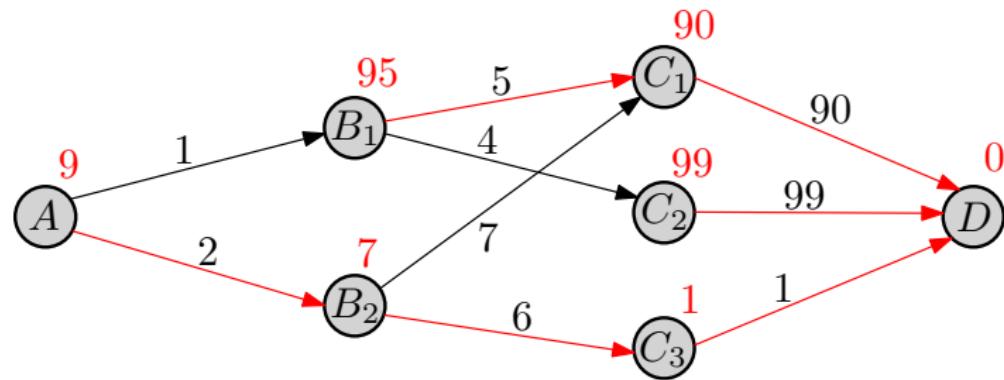
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Dynamic programming

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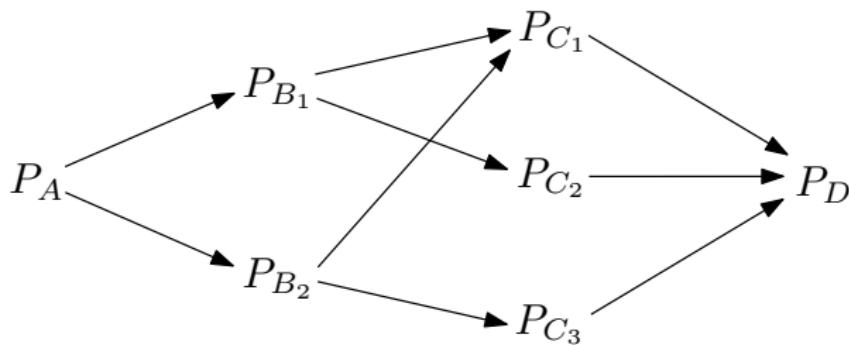
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- ② Sort the subproblems in \mathcal{S} as P_1, \dots, P_N (where $N = |\mathcal{S}|$) so that each subproblem P_i only depends on P_j for $j < i$.

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- ③ Solve the subproblems P_1, \dots, P_N iteratively, and then recover the **final optimal solution** from the optimal solutions of P_1, \dots, P_N .

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 - ③ Solve the subproblems P_1, \dots, P_N iteratively, and then recover the **final optimal solution** from the optimal solutions of P_1, \dots, P_N .
- Step 1 is the most **technical** and **important** step.

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- Let $P \in \mathcal{S}$ and suppose P depends on $Q_1, \dots, Q_r \in \mathcal{S}$.

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- $C(P)$ = the set of choices of the first (or last) step for P
- $\text{opt}(P) = \min_{c \in C(P)} f_c(\text{opt}(Q_1), \dots, \text{opt}(Q_r))$ for minimization.
 $\text{opt}(P) = \max_{c \in C(P)} f_c(\text{opt}(Q_1), \dots, \text{opt}(Q_r))$ for maximization.

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- A generalized version of the shortest-path problem

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Problem (shortest path)

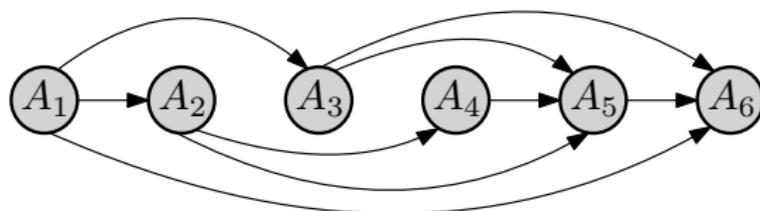
Suppose there are n cities A_1, \dots, A_n and a set E of m edges connecting these cities. Each path directs from a city A_i to another city A_j for $j > i$, and has a length. Our goal is to find a shortest path from A_1 to A_n .

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- SHORTESTPATH(n, A_1, \dots, A_n, E)

```
opt[1] ← 0
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     $E_i \leftarrow \{e \in E : \text{target}(e) = i\}$ 
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- Time complexity = $O(n^2)$ or $O(n + |E|)$ if implemented carefully

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- $\text{SHORTESTPATH}(n, A_1, \dots, A_n, E)$
return $\text{SOLVE}(n, E)$
- $\text{SOLVE}(i, E)$
if $i = 1$ **then return** 0
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return $\min_{e \in E_i} (\text{length}(e) + \text{SOLVE}(\text{source}(e), E))$

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- If we have edges between all $\binom{n}{2}$ pairs of cities...
 $T(n) \geq \sum_{i=1}^{n-1} T(i) \implies T(n) = \Omega(2^n)$

Dynamic programming

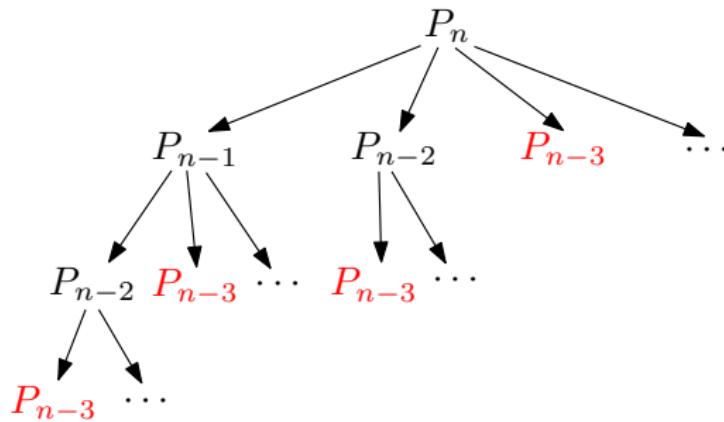
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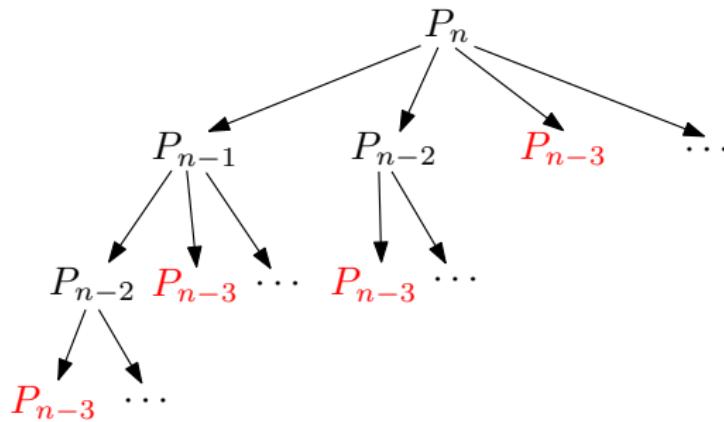
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- When we have $\binom{n}{2}$ edges, P_{n-3} is solved **4 times**.

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- **How to retrieve an optimal solution**

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```
        opt[i] ← mine ∈ E_i(length(e) + opt[source(e)])
```

```
        last[i] ← arg mine ∈ E_i(length(e) + opt[source(e)])
```

```
path ← [ ] and  $i \leftarrow n$ 
```

```
while  $i > 1$  do
```

```
    path ← [last[i]] + path
```

```
     $i \leftarrow \text{source}(\text{last}[i])$ 
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```
return (opt[n], path)
```

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- Can we do even better?

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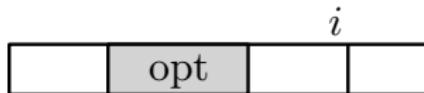
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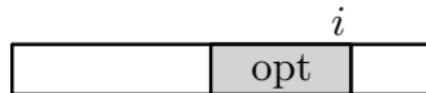
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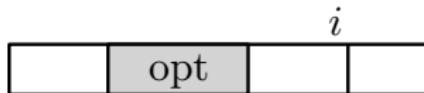
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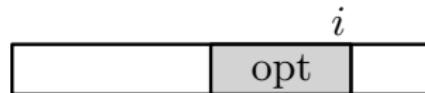
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Case 1



Case 2

- Seems **impossible**... An optimal solution of P_i may **end at $A[i]$** , and in this case the optimal solutions of P_1, \dots, P_{i-1} are not helpful.

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- Consider a subproblem P_i . Construct a solution of P_i step by step?

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Start at $A[i]$, choose “go left” or “stop” in each step.

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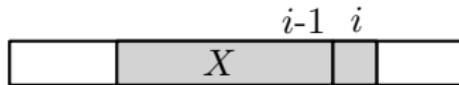
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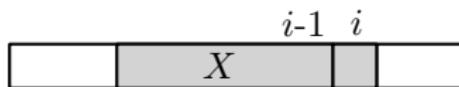
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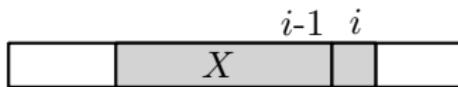
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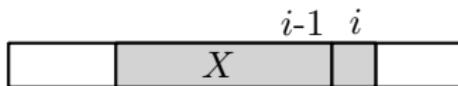
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$\text{opt}[1] \leftarrow A[1]$ and $C[1] \leftarrow \text{"stop"}$

for $i = 2, \dots, n$ **do**

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Problem (weighted activity selection)

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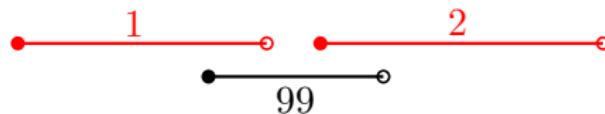
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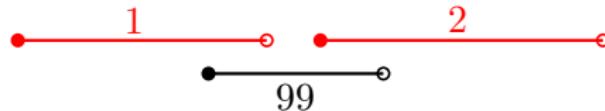


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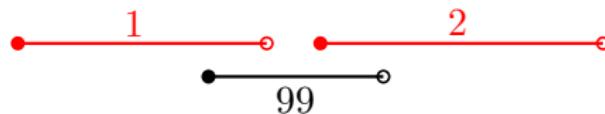
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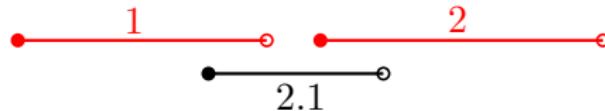
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 - Time complexity? At least $\Omega(2^n)$.

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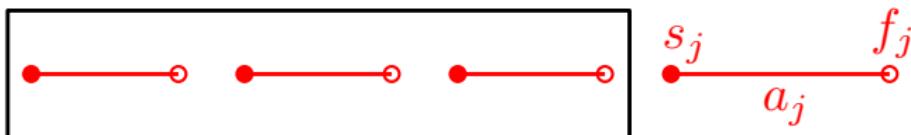
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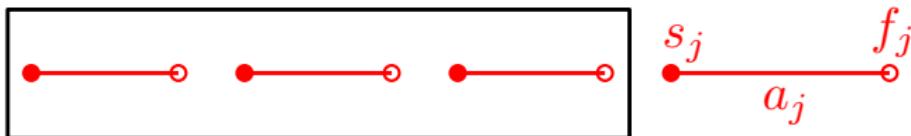
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- Recall that $\text{pre}(j) = \max\{k : f_k \leq s_j\}$. Since $f_1 < \dots < f_n$, we can use **binary search** to compute $\text{pre}(j)$ in $O(\log n)$ time.

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- WEIGHTEDACTSELECT(n, S, F, W)

Sort the activities such that $F[1] \leq \dots \leq F[n]$

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for $j = 1, \dots, n$ **do**

$\text{pre}[j] \leftarrow$ largest k s.t. $F[k] \leq S[j]$ \triangleright done in $O(\log n)$ time

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Problem (longest increasing subsequence)

Given an array $A[1 \dots n]$, we want to design an algorithm that computes a **longest** subsequence B that is **increasing**.

Longest increasing subsequence

- A **subsequence** of $A[1 \dots n]$ is an array $B[1 \dots m]$ for $m \leq n$ such that there exists $i_1 < \dots < i_m$ satisfying $B[j] = A[i_j]$ for $j \in \{1, \dots, m\}$.
- A sequence $B[1 \dots m]$ is **increasing** if $B[1] < \dots < B[m]$.

Problem (longest increasing subsequence)

Given an array $A[1 \dots n]$, we want to design an algorithm that computes a **longest** subsequence B that is **increasing**.

- **Example**

6	3	7	5	6	1	9	18	14	4	15
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- Dependency among P_1, \dots, P_n ?

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- Consider a subproblem P_i . Construct a solution of P_i **step by step**?

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Start at $A[i]$, determine the solution **from right to left**.

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- The **first step**: determine the second rightmost number

Longest increasing subsequence

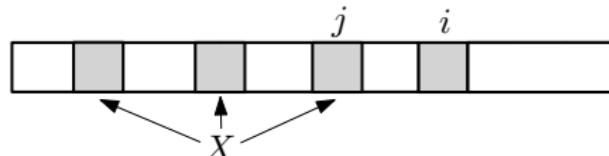
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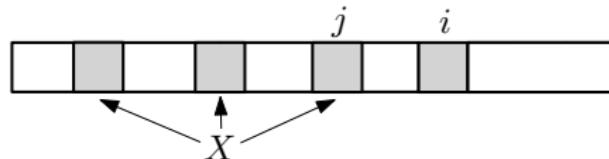
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- The solution consists of $A[i]$ and an **IS** X of A ending at $A[j]$.
Score = $\text{length}(X) + 1$, maximized when $\text{length}(X) = \text{opt}(P_j)$.

Longest increasing subsequence

- $\text{opt}(P_i) = \max_{j \in C(P_i)} (\text{opt}(P_j) + 1) = \max_{j \in C(P_i)} \text{opt}(P_j) + 1$

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- LIS(n, A)

for $i = 1, \dots, n$ **do**

$m \leftarrow 0$ and $k \leftarrow 0$

for $j = 1, \dots, i - 1$ **do**

if $A[j] < A[i]$ and $\text{opt}[j] > m$ **then**

$m \leftarrow \text{opt}[j]$ and $k \leftarrow j$

$\text{opt}[i] \leftarrow m + 1$ and $\text{pos}[i] \leftarrow j$

$i^* = \arg \max_{i \in \{1, \dots, n\}} \text{opt}[i]$

$i \leftarrow i^*$ and $B \leftarrow [A[i]]$

while $\text{pos}[i] > 0$ **do**

$i \leftarrow \text{pos}[i]$ and $B \leftarrow [A[i]] + B$

return B

Longest common subsequence

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- **Example**

Z	C	B	A	C	C	K	X	U	L	P
C	K	A	T	U	B	C	X	P	A	Z

Longest common subsequence

- Let's consider an easy case...

Assume the elements in either of A and B are **distinct**.

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① Remove the elements of A that **do not appear in B** .

Remove the elements of B that **do not appear in A** .

Now the elements of A **one-to-one correspond** to the elements of B .

Longest common subsequence

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- Create an array A' where $A'[i]$ stores the **index** of $A[i]$ in B .

Consider a common subsequence $A[i_1, \dots, i_m] = B[j_1, \dots, j_m]$ of A, B and observe the subsequence $A'[i_1, \dots, i_m]$ of A' . What do you find?

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- Compute an **LIS of A'** , which corresponds to an **LCS of A and B** .

Longest common subsequence

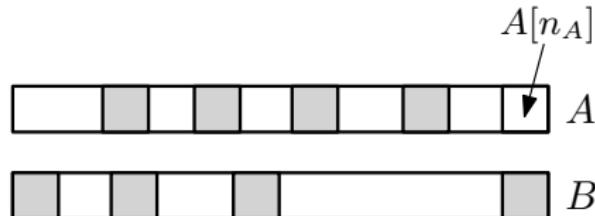
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Longest common subsequence

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- Imagine an **LCS** C of A and B . There can be **three cases** for C .

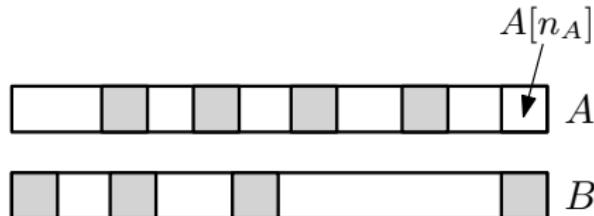
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- Case 1.** C doesn't contain $A[n_A]$.



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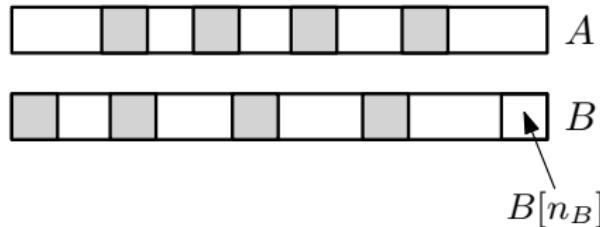
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In this case, C is an LCS
of $A[1 \dots n_A - 1]$ and B .

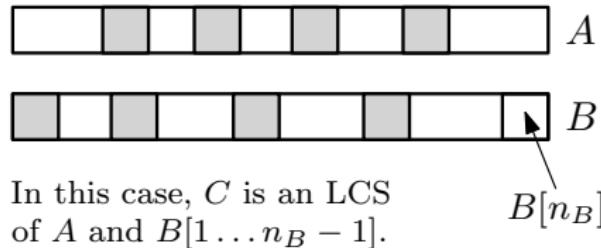
Longest common subsequence

- Now we consider the general case.
- Imagine an **LCS** C of A and B . There can be **three cases** for C .
- Case 2.** C doesn't contain $B[n_B]$.



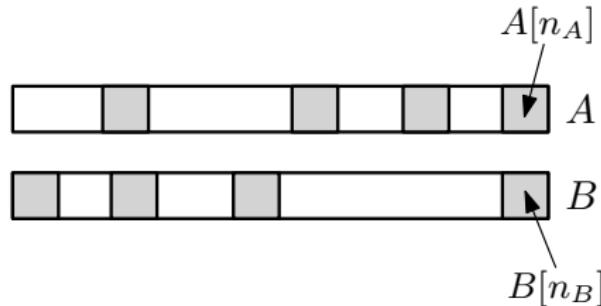
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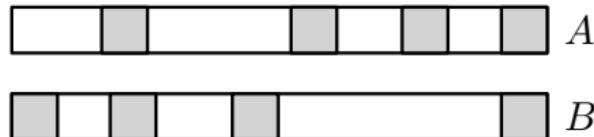
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- Case 3.** C contains both $A[n_A]$ and $B[n_B]$.



Longest common subsequence

- Now we consider the general case.
- Imagine an **LCS** C of A and B . There can be **three cases** for C .
- Case 3.** C contains both $A[n_A]$ and $B[n_B]$.



In this case, C consists of $A[n_A] = B[n_B]$ and an LCS of $A[1 \dots n_A-1]$ and $B[1 \dots n_B-1]$.

Longest common subsequence

- $P_{i,j}$ = computing an LCS of $A[1 \dots i]$ and $B[1 \dots j]$.
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- **Boundary case**
 $\text{opt}(P_{i,0}) = \text{opt}(P_{0,j}) = 0$ for all i and all j

Longest common subsequence

- $\text{LCS}(n_A, A, n_B, B)$

$\text{opt}[i, 0] \leftarrow 0$ and $\text{opt}[0, j] \leftarrow 0$ for $i, j \in \{0, 1, \dots, n\}$

for $i = 1, \dots, n_A$ **do**

for $j = 1, \dots, n_B$ **do**

$\text{opt}[i, j] \leftarrow \max\{\text{opt}[i - 1, j], \text{opt}[i, j - 1]\}$

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- The above code only returns the **optimum**, i.e., the **length** of an LCS.
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- Time complexity = $O(n_A n_B) = O(n^2)$ where $n = n_A + n_B$